



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**
Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990 and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013Open to Public
Inspection**A** For the 2013 calendar year, or tax year beginning **JUL 1, 2013** and ending **JUN 30, 2014****B** Check if applicable

- ☐ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

C Name of organization**ASSOCIATION OF UNIVERSITY CENTERS ON DISABILITIES**

Doing Business As

Number and street (or P.O. box if mail is not delivered to street address)

1100 WAYNE AVENUE

Room/suite

1000

City or town, state or province, country, and ZIP or foreign postal code

SILVER SPRING, MD 20910**F** Name and address of principal officer **ANDREW IMPARATO****SAME AS C ABOVE****D** Employer identification number**23-7189098****E** Telephone number**(301) 588-8252****G** Gross receipts \$ **3,437,614.****H(a)** Is this a group returnfor subordinates? ☐ Yes ☒ No**H(b)** Are all subordinates included? ☐ Yes ☐ No

If "No," attach a list. (see instructions)

H(c) Group exemption number**I** Tax-exempt status ☒ 501(c)(3) ☐ 501(c) () (insert no.) ☐ 4947(a)(1) or ☐ 527**J** Website: **WWW.AUCD.ORG****K** Form of organization: ☒ Corporation ☐ Trust ☐ Association ☐ Other**L** Year of formation: **1971** **M** State of legal domicile: **DE****Part I Summary**

Activities & Governance

1 Briefly describe the organization's mission or most significant activities **SEE PART III, LINE 1.****2** Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets**3** Number of voting members of the governing body (Part VI, line 1a)**3** **18****4** Number of independent voting members of the governing body (Part VI, line 1b)**4** **18****5** Total number of individuals employed in calendar year 2013 (Part V, line 2a)**5** **28****6** Total number of volunteers (estimate if necessary)**6** **18****7a** Total unrelated business revenue from Part VIII, column (C), line 12**7a** **0.****b** Net unrelated business taxable income from Form 990-T, line 34**7b** **0.**

Revenue

8 Contributions and grants (Part VIII, line 1h)

| Prior Year | Current Year |
|---------------------------|-------------------|
| 24,390,487. | 2,455,032. |
| 888,113. | 898,338. |
| 29,670. | 11,134. |
| 4,557. | 3,167. |
| 5,312,827. | 3,367,671. |
| 1,973,777. | 454,726. |
| 0. | 0. |
| 1,969,590. | 1,755,277. |
| 0. | 0. |
| 1,395,300. | 1,189,034. |
| 5,338,667. | 3,399,037. |
| -25,840. | -31,366. |
| Beginning of Current Year | End of Year |
| 2,970,916. | 2,583,505. |
| 1,210,922. | 816,102. |
| 1,759,994. | 1,767,403. |

9 Program service revenue (Part VIII, line 2g)**10** Investment income (Part VIII, column (A), lines 3, 4, and 7d)**11** Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)**12** Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)

Expenses

13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)**14** Benefits paid to or for members (Part IX, column (A), line 4)**15** Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)**16a** Professional fundraising fees (Part IX, column (A), line 11e)**b** Total fundraising expenses (Part IX, column (D), line 25) **0.****17** Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)**18** Total expenses - Add lines 13-17 (must equal Part IX, column (A), line 25)**19** Revenue less expenses - Subtract line 18 from line 12

Net Assets or Fund Balances

20 Total assets (Part X, line 16)**21** Total liabilities (Part X, line 26)**22** Net assets or fund balances - Subtract line 21 from line 20**Part II Signature Block**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign
Here

Signature of officer

Date

4/23/2015**ANDREW IMPARATO, EXECUTIVE DIRECTOR**

Type or print name and title

Paid

Print/Type preparer's name

Preparer's signature

Date

Check if self-employed ☐

PTIN

P01330244

Preparer

Firm's name **GELMAN, ROSENBERG & FREEDMAN**Firm's EIN **52-1392008**

Use Only

Firm's address **4550 MONTGOMERY AVE SUITE 650N
BETHESDA, MD 20814-2930**Phone no. **(301) 951-9090**

May the IRS discuss this return with the preparer shown above? (see instructions)

☒ Yes ☐ No

SCANNED JUN 09 2015

917

2

**ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES**

Form 990 (2013)

23-7189098 Page 2

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

☒ X

1 Briefly describe the organization's mission

AUCD'S MISSION IS TO ADVANCE POLICIES AND PRACTICES THAT IMPROVE THE
HEALTH, EDUCATION, SOCIAL AND ECONOMIC WELL-BEING OF ALL PEOPLE WITH
DEVELOPMENTAL AND OTHER DISABILITIES, THEIR FAMILIES, AND THEIR
COMMUNITIES BY SUPPORTING OUR MEMBERS IN RESEARCH, EDUCATION, HEALTH

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services?

☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a (Code _____) (Expenses \$ 824,224. including grants of \$ 138,023.) (Revenue \$ _____)
AIDD/TA: A PROJECT OF AUCD, IN PARTNERSHIP WITH AIDD, TO STRENGTHEN AND
SUPPORT THE NETWORK OF UNIVERSITY CENTERS ON DISABILITIES (UCEDDS)
THROUGH TRAINING AND TECHNICAL ASSISTANCE.

4b (Code _____) (Expenses \$ 607,853. including grants of \$ 7,900.) (Revenue \$ _____)
CAAI/ITAC: A PROJECT OF AUCD, IN PARTNERSHIP WITH MCHB, TO IMPROVE THE
HEALTH OF INFANTS, CHILDREN, AND ADOLESCENTS WHO HAVE/ARE AT RISK FOR
DEVELOPED AUTISM SPECTRUM DISORDERS (ASDS) AND OTHER DISABILITY
DETERMINATION SERVICES (DDS) THROUGH PROVIDING TECHNICAL ASSISTANCE
(TA) TO INTERDISCIPLINARY TRAINING PROGRAMS TO BETTER TRAIN
PROFESSIONALS.

4c (Code _____) (Expenses \$ 488,341. including grants of \$ _____) (Revenue \$ 624,348.)
MEMBERSHIP DUES: AUCD IS A MEMBERSHIP ORGANIZATION THAT SUPPORTS AND
PROMOTES A NATIONAL NETWORK OF UNIVERSITY-BASED INTERDISCIPLINARY
PROGRAMS. MEMBERSHIP DUES FUND AUCD EFFORTS TO SUPPORT NETWORK MEMBERS
IN THE AREAS OF PUBLIC POLICY AND LEGISLATIVE INITIATIVES AND OTHER
SPECIAL INTEREST CAPACITIES OR TOPICS.

4d Other program services (Describe in Schedule O)

(Expenses \$ 927,460. including grants of \$ 308,803.) (Revenue \$ 273,990.)

4e Total program service expenses 2,847,878.

**ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES**

Form 990 (2013)

23-7189098 Page 3

Part IV Checklist of Required Schedules

| | Yes | No |
|--|----------|----------|
| 1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? <i>If "Yes," complete Schedule A</i> | X | |
| 2 Is the organization required to complete <i>Schedule B, Schedule of Contributors</i> ? | X | |
| 3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? <i>If "Yes," complete Schedule C, Part I</i> | | X |
| 4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? <i>If "Yes," complete Schedule C, Part II</i> | X | |
| 5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? <i>If "Yes," complete Schedule C, Part III</i> | | X |
| 6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? <i>If "Yes," complete Schedule D, Part I</i> | | X |
| 7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? <i>If "Yes," complete Schedule D, Part II</i> | | X |
| 8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? <i>If "Yes," complete Schedule D, Part III</i> | | X |
| 9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? <i>If "Yes," complete Schedule D, Part IV</i> | | X |
| 10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? <i>If "Yes," complete Schedule D, Part V</i> | | X |
| 11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable | | |
| a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? <i>If "Yes," complete Schedule D, Part VI</i> | X | |
| b Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VII</i> | | X |
| c Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part VIII</i> | | X |
| d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? <i>If "Yes," complete Schedule D, Part IX</i> | | X |
| e Did the organization report an amount for other liabilities in Part X, line 25? <i>If "Yes," complete Schedule D, Part X</i> | X | |
| f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? <i>If "Yes," complete Schedule D, Part X</i> | X | |
| 12a Did the organization obtain separate, independent audited financial statements for the tax year? <i>If "Yes," complete Schedule D, Parts XI and XII</i> | X | |
| b Was the organization included in consolidated, independent audited financial statements for the tax year? <i>If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional</i> | | X |
| 13 Is the organization a school described in section 170(b)(1)(A)(ii)? <i>If "Yes," complete Schedule E</i> | | X |
| 14a Did the organization maintain an office, employees, or agents outside of the United States? | | X |
| b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? <i>If "Yes," complete Schedule F, Parts I and IV</i> | | X |
| 15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? <i>If "Yes," complete Schedule F, Parts II and IV</i> | | X |
| 16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? <i>If "Yes," complete Schedule F, Parts III and IV</i> | | X |
| 17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? <i>If "Yes," complete Schedule G, Part I</i> | | X |
| 18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? <i>If "Yes," complete Schedule G, Part II</i> | | X |
| 19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? <i>If "Yes," complete Schedule G, Part III</i> | | X |
| 20a Did the organization operate one or more hospital facilities? <i>If "Yes," complete Schedule H</i> | | X |
| b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? | | |

Form 990 (2013)

**ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES**

Form 990 (2013)

23-7189098 Page 4

Part IV Checklist of Required Schedules (continued)

| | Yes | No |
|--|----------|----------|
| 21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> | X | |
| 22 Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> | | X |
| 23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> | X | |
| 24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i> | | X |
| b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | | |
| c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | | |
| d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | | |
| 25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> | | X |
| b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> | | X |
| 26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If so, complete Schedule L, Part II</i> | | X |
| 27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i> | | X |
| 28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions) | | |
| a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> | | X |
| b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> | | X |
| c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i> | | X |
| 29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> | | X |
| 30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> | | X |
| 31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> | | X |
| 32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> | | X |
| 33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> | | X |
| 34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i> | | X |
| 35a Did the organization have a controlled entity within the meaning of section 512(b)(13)? | | X |
| b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> | | |
| 36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> | | X |
| 37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> | | X |
| 38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? | X | |

Note. All Form 990 filers are required to complete Schedule O

Form **990** (2013)

**ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES**

Form 990 (2013)

23-7189098 Page 5

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V ☐

| | | Yes | No |
|--|-----|-----|----|
| 1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable. | 22 | | |
| 1b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable. | 0 | | |
| c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? | | X | |
| 2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return. | 28 | | |
| b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions). | | X | |
| 3a Did the organization have unrelated business gross income of \$1,000 or more during the year? | | | X |
| b If "Yes," has it filed a Form 990-T for this year? If "No," to line 3b, provide an explanation in Schedule O. | | | |
| 4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? | | | X |
| b If "Yes," enter the name of the foreign country: _____ See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts. | | | |
| 5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? | | | X |
| b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | | | X |
| c If "Yes," to line 5a or 5b, did the organization file Form 8886-T? | | | |
| 6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? | | | X |
| b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | | | |
| 7 Organizations that may receive deductible contributions under section 170(c). | | | |
| a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor? | | | X |
| b If "Yes," did the organization notify the donor of the value of the goods or services provided? | | | |
| c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? | | | X |
| d If "Yes," indicate the number of Forms 8282 filed during the year. | 7d | | |
| e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | | | X |
| f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | | X |
| g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? | | | |
| h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? | | | |
| 8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? | N/A | | |
| 9 Sponsoring organizations maintaining donor advised funds. | | | |
| a Did the organization make any taxable distributions under section 4966? | N/A | | |
| b Did the organization make a distribution to a donor, donor advisor, or related person? | N/A | | |
| 10 Section 501(c)(7) organizations. Enter | | | |
| a Initiation fees and capital contributions included on Part VIII, line 12. | N/A | | |
| b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities. | | | |
| 11 Section 501(c)(12) organizations. Enter | | | |
| a Gross income from members or shareholders. | N/A | | |
| b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.) | | | |
| 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? | | | |
| b If "Yes," enter the amount of tax-exempt interest received or accrued during the year. | N/A | | |
| 13 Section 501(c)(29) qualified nonprofit health insurance issuers. | | | |
| a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O. | N/A | | |
| b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans. | | | |
| c Enter the amount of reserves on hand. | | | |
| 14a Did the organization receive any payments for indoor tanning services during the tax year? | | | X |
| b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O. | | | |

Form 990 (2013)

**ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES**

Form 990 (2013)

23-7189098 Page **6**

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

☒ **X**

Section A. Governing Body and Management

| | | Yes | No |
|---|--------------|----------|----------|
| 1a Enter the number of voting members of the governing body at the end of the tax year | 1a 18 | | |
| If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O. | | | |
| b Enter the number of voting members included in line 1a, above, who are independent | 1b 18 | | |
| 2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? | 2 | | X |
| 3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? | 3 | | X |
| 4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? | 4 | | X |
| 5 Did the organization become aware during the year of a significant diversion of the organization's assets? | 5 | | X |
| 6 Did the organization have members or stockholders? | 6 | X | |
| 7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? | 7a | X | |
| b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? | 7b | | X |
| 8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following: | | | |
| a The governing body? | 8a | X | |
| b Each committee with authority to act on behalf of the governing body? | 8b | X | |
| 9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O | 9 | | X |

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

| | | Yes | No |
|---|------------|----------|----------|
| 10a Did the organization have local chapters, branches, or affiliates? | 10a | | X |
| b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? | 10b | | |
| 11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? | 11a | X | |
| b Describe in Schedule O the process, if any, used by the organization to review this Form 990 | | | |
| 12a Did the organization have a written conflict of interest policy? If "No," go to line 13 | 12a | X | |
| b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? | 12b | X | |
| c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done | 12c | X | |
| 13 Did the organization have a written whistleblower policy? | 13 | X | |
| 14 Did the organization have a written document retention and destruction policy? | 14 | X | |
| 15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | | |
| a The organization's CEO, Executive Director, or top management official | 15a | X | |
| b Other officers or key employees of the organization | 15b | X | |
| If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions) | | | |
| 16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? | 16a | | X |
| b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? | 16b | | |

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed **▶ NONE**

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization **▶**
ANDREW IMPARATO - (301) 588-8252
1100 WAYNE AVENUE, NO. 1000, SILVER SPRING, MD 20910

ASSOCIATION OF UNIVERSITY CENTERS ON

DISABILITIES

23-7189098

Page 7

Form 990 (2013)

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent ContractorsCheck if Schedule O contains a response or note to any line in this Part VII ☐**Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees****1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|--|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
| | | Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| (1) LESLIE COHEN PRESIDENT (EFFECTIVE 11/13) | 1.00 | X | | X | | | | 0. | 0. | 0. |
| (2) OLIVIA RAYNOR PRESIDENT ELECT (EFFECTIVE 11/13) | 1.00 | X | | X | | | | 0. | 0. | 0. |
| (3) JULIE ANNE FODOR PAST PRESIDENT (EFFECTIVE 11/13) | 1.00 | X | | X | | | | 0. | 0. | 0. |
| (4) A. ANTHONY ANTOSH PAST-PAST PRES. (EFFECTIVE 11/13) | 1.00 | X | | X | | | | 0. | 0. | 0. |
| (5) DANIEL B. CRIMMINS PAST-PAST PRESIDENT (THROUGH 11/13) | 1.00 | X | | X | | | | 0. | 0. | 0. |
| (6) KAREN EDWARDS SECRETARY | 1.00 | X | | X | | | | 0. | 0. | 0. |
| (7) HAROLD KLEINERT TREASURER (EFFECTIVE 11/13) | 1.00 | X | | X | | | | 0. | 0. | 0. |
| (8) DAWN OLSON BOARD MEMBER | 1.00 | X | | | | | | 0. | 0. | 0. |
| (9) SHANNON CALDWELL BOARD MEMBER | 1.00 | X | | | | | | 0. | 0. | 0. |
| (10) KELLY ROBERTS BOARD MEMBER | 1.00 | X | | | | | | 0. | 0. | 0. |
| (11) TOM UNO BOARD MEMBER | 1.00 | X | | | | | | 0. | 0. | 0. |
| (12) MERCEDES AVILA BOARD MEMBER (BEG. 11/13) | 1.00 | X | | | | | | 0. | 0. | 0. |
| (13) AMY SHARP BOARD MEMBER | 1.00 | X | | | | | | 0. | 0. | 0. |
| (14) MICHELLE SCHLADANT BOARD MEMBER (BEG. 11/13) | 1.00 | X | | | | | | 0. | 0. | 0. |
| (15) AMY HEWITT BOARD MEMBER (THROUGH 11/13) | 1.00 | X | | | | | | 0. | 0. | 0. |
| (16) TOBY LONG BOARD MEMBER (THROUGH 11/13) | 1.00 | X | | | | | | 0. | 0. | 0. |
| (17) BRENT ASKVIG MEMBER-AT-LARGE | 1.00 | X | | | | | | 0. | 0. | 0. |

**ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES**

Form 990 (2013)

23-7189098 Page 8

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

| (A) Name and title | (B) Average hours per week (list any hours for related organizations below line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|--|---|---|-----------------------|---------|--------------|------------------------------|--------|--|---|---|
| | | Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| (18) CYNTHIA ELLIS MEMBER-AT-LARGE (BEG. 11/13) | 1.00 | X | | | | | | 0. | 0. | 0. |
| (19) CELIA FEINSTEIN MEMBER-AT-LARGE | 1.00 | X | | | | | | 0. | 0. | 0. |
| (20) BRUCE KEISLING MEMBER-AT-LARGE | 1.00 | X | | | | | | 0. | 0. | 0. |
| (21) PHILIP WILSON MEMBER-AT-LARGE (BEG. 11/13) | 1.00 | X | | | | | | 0. | 0. | 0. |
| (22) SANDRA FRIEDMAN MEMBER-AT-LARGE (THROUGH 11/13) | 1.00 | X | | | | | | 0. | 0. | 0. |
| (23) GEORGE JESIEN EXECUTIVE DIRECTOR (THROUGH 12/13) | 40.00 | | | X | | | | 210,635. | 0. | 31,977. |
| (24) ANDREW IMPARATO EXECUTIVE DIRECTOR (BEG. 09/13) | 40.00 | | | X | | | | 51,277. | 0. | 3,046. |
| (25) ADRIANE GRIFFEN PROJECT DIRECTOR, CDC | 40.00 | | | | | X | | 103,218. | 0. | 11,511. |
| (26) KIM MUSHENO DIRECTOR, LEGISLATIVE AFFAIRS | 40.00 | | | | | X | | 103,660. | 0. | 15,064. |
| 1b Sub-total | | | | | | | | 468,790. | 0. | 61,598. |
| c Total from continuation sheets to Part VII, Section A | | | | | | | | 0. | 0. | 0. |
| d Total (add lines 1b and 1c) | | | | | | | | 468,790. | 0. | 61,598. |

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **3**

| | Yes | No |
|---|-----|----|
| 3 Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? If "Yes," complete Schedule J for such individual | | X |
| 4 For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? If "Yes," complete Schedule J for such individual | X | |
| 5 Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? If "Yes," complete Schedule J for such person | | X |

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year

| (A) Name and business address | (B) Description of services | (C) Compensation |
|--|--------------------------------|---------------------|
| VERIS CONSULTING, INC., 11710 PLAZA AMERICA DRIVE, SUITE 300, RESTON, VA 20190 | ACCOUNTING CONSULTING | 105,063. |
| | | |
| | | |
| | | |
| | | |

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization **1**

**ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES**

Form 990 (2013)

23-7189098 Page **9**

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII ☐

| | | | | (A) Total revenue | (B) Related or exempt function revenue | (C) Unrelated business revenue | (D) Revenue excluded from tax under sections 512-514 |
|---|---|----------------------------|----------------------|----------------------|---|---|--|
| Contributions, Gifts, Grants and Other Similar Amounts | 1 a Federated campaigns | 1a | | | | | |
| | b Membership dues | 1b | | | | | |
| | c Fundraising events | 1c | | | | | |
| | d Related organizations | 1d | | | | | |
| | e Government grants (contributions) | 1e | 2,375,607. | | | | |
| | f All other contributions, gifts, grants, and similar amounts not included above | 1f | 79,425. | | | | |
| | g Noncash contributions included in lines 1a-1f \$ | | | | | | |
| | h Total. Add lines 1a-1f | | | 2,455,032. | | | |
| | Program Service Revenue | 2 a MEMBERSHIP DUES | Business Code | 900099 | 607,176. | 607,176. | |
| b ANNUAL MEETING | | | 900099 | 227,300. | 227,300. | | |
| c OTHER MEETINGS | | | 900099 | 55,690. | 55,690. | | |
| d BROCHURE & PRODUCTION | | | 900099 | 8,172. | 8,172. | | |
| e | | | | | | | |
| f All other program service revenue | | | | | | | |
| g Total. Add lines 2a-2f | | | | 898,338. | | | |
| Other Revenue | 3 Investment income (including dividends, interest, and other similar amounts) | | | 11,830. | | | 11,830. |
| | 4 Income from investment of tax-exempt bond proceeds | | | | | | |
| | 5 Royalties | | | | | | |
| | 6 a Gross rents | (i) Real | (ii) Personal | | | | |
| | b Less: rental expenses | | | | | | |
| | c Rental income or (loss) | | | | | | |
| | d Net rental income or (loss) | | | | | | |
| | 7 a Gross amount from sales of assets other than inventory | (i) Securities | (ii) Other | | | | |
| | | | | 69,247. | | | |
| | b Less: cost or other basis and sales expenses | | | 67,807. | 2,136. | | |
| | c Gain or (loss) | | | 1,440. | -2,136. | | |
| | d Net gain or (loss) | | | | -696. | | -696. |
| | 8 a Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18 | a | | | | | |
| | b Less: direct expenses | b | | | | | |
| | c Net income or (loss) from fundraising events | | | | | | |
| | 9 a Gross income from gaming activities See Part IV, line 19 | a | | | | | |
| | b Less: direct expenses | b | | | | | |
| | c Net income or (loss) from gaming activities | | | | | | |
| | 10 a Gross sales of inventory, less returns and allowances | a | | | | | |
| | b Less: cost of goods sold | b | | | | | |
| c Net income or (loss) from sales of inventory | | | | | | | |
| Miscellaneous Revenue | Business Code | | | | | | |
| 11 a MISCELLANEOUS | | 900099 | 3,167. | | | 3,167. | |
| b | | | | | | | |
| c | | | | | | | |
| d All other revenue | | | | | | | |
| e Total. Add lines 11a-11d | | | | 3,167. | | | |
| 12 Total revenue. See instructions. | | | | 3,367,671. | 898,338. | 0. | 14,301. |

ASSOCIATION OF UNIVERSITY CENTERS ON

Form 990 (2013)

DISABILITIES

23-7189098 Page **10**

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX ☐

| Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII. | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
|---|-----------------------|---------------------------------|--|-----------------------------|
| 1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21 | 454,726. | 454,726. | | |
| 2 Grants and other assistance to individuals in the United States. See Part IV, line 22 | | | | |
| 3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16 | | | | |
| 4 Benefits paid to or for members | | | | |
| 5 Compensation of current officers, directors, trustees, and key employees | 313,907. | 188,344. | 125,563. | |
| 6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) | | | | |
| 7 Other salaries and wages | 1,102,369. | 1,063,649. | 38,720. | |
| 8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) | 76,823. | 72,088. | 4,735. | |
| 9 Other employee benefits | 150,047. | 137,526. | 12,521. | |
| 10 Payroll taxes | 112,131. | 99,742. | 12,389. | |
| 11 Fees for services (non-employees) | | | | |
| a Management | | | | |
| b Legal | | | | |
| c Accounting | 134,029. | 30,278. | 103,751. | |
| d Lobbying | | | | |
| e Professional fundraising services. See Part IV, line 17 | | | | |
| f Investment management fees | | | | |
| g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.) | 138,440. | 107,892. | 30,548. | |
| 12 Advertising and promotion | | | | |
| 13 Office expenses | 86,171. | 35,703. | 50,468. | |
| 14 Information technology | 6,400. | 3,077. | 3,323. | |
| 15 Royalties | | | | |
| 16 Occupancy | 254,290. | 161,996. | 92,294. | |
| 17 Travel | 393,246. | 354,123. | 39,123. | |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials | | | | |
| 19 Conferences, conventions, and meetings | 5,600. | 4,915. | 685. | |
| 20 Interest | | | | |
| 21 Payments to affiliates | | | | |
| 22 Depreciation, depletion, and amortization | 3,976. | | 3,976. | |
| 23 Insurance | 5,903. | | 5,903. | |
| 24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.) | | | | |
| a PRINTING AND PRODUCTION | 103,051. | 95,924. | 7,127. | |
| b DUES AND SUBSCRIPTIONS | 25,044. | 20,552. | 4,492. | |
| c EQUIPMENT RENTAL/MAINT. | 14,592. | 10,838. | 3,754. | |
| d LICENSES & PERMITS | 4,777. | 3,038. | 1,739. | |
| e All other expenses | 13,515. | 3,467. | 10,048. | |
| 25 Total functional expenses. Add lines 1 through 24e | 3,399,037. | 2,847,878. | 551,159. | 0. |
| 26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. | | | | |

Check here ☐ if following SOP 98-2 (ASC 958-720)

**ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES**

Form 990 (2013)

23-7189098 Page 11

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X ☐

| | | (A) Beginning of year | | (B) End of year |
|--|--|---|------------|--------------------|
| Assets | 1 Cash - non-interest-bearing | 3,475. | 1 | 3,682. |
| | 2 Savings and temporary cash investments | 1,298,108. | 2 | 1,155,543. |
| | 3 Pledges and grants receivable, net | 969,534. | 3 | 586,067. |
| | 4 Accounts receivable, net | | 4 | 12,300. |
| | 5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L | | 5 | |
| | 6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L | | 6 | |
| | 7 Notes and loans receivable, net | | 7 | |
| | 8 Inventories for sale or use | | 8 | |
| | 9 Prepaid expenses and deferred charges | 37,437. | 9 | 40,135. |
| | 10a Land, buildings, and equipment. cost or other basis. Complete Part VI of Schedule D | 110,594. | | |
| | b Less accumulated depreciation | 109,379. | | |
| | 11 Investments - publicly traded securities | 7,327. | 10c | 1,215. |
| | 12 Investments - other securities. See Part IV, line 11 | 627,812. | 11 | 757,340. |
| | 13 Investments - program-related. See Part IV, line 11 | | 12 | |
| | 14 Intangible assets | | 13 | |
| | 15 Other assets. See Part IV, line 11 | 27,223. | 14 | 27,223. |
| 16 Total assets. Add lines 1 through 15 (must equal line 34) | 2,970,916. | 15 | 2,583,505. | |
| Liabilities | 17 Accounts payable and accrued expenses | 879,971. | 16 | 2,583,505. |
| | 18 Grants payable | | 17 | 460,741. |
| | 19 Deferred revenue | | 18 | |
| | 20 Tax-exempt bond liabilities | 166,950. | 19 | 209,231. |
| | 21 Escrow or custodial account liability. Complete Part IV of Schedule D | | 20 | |
| | 22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L | | 21 | |
| | 23 Secured mortgages and notes payable to unrelated third parties | | 22 | |
| | 24 Unsecured notes and loans payable to unrelated third parties | | 23 | |
| | 25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D | 164,001. | 24 | 146,130. |
| | 26 Total liabilities. Add lines 17 through 25 | 1,210,922. | 25 | 816,102. |
| | Net Assets or Fund Balances | Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34. | | 26 |
| 27 Unrestricted net assets | | 1,749,501. | | 1,740,646. |
| 28 Temporarily restricted net assets | | 10,493. | 27 | 1,740,646. |
| 29 Permanently restricted net assets | | | 28 | 26,757. |
| Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34. | | | 29 | |
| 30 Capital stock or trust principal, or current funds | | | 30 | |
| 31 Paid-in or capital surplus, or land, building, or equipment fund | | | 31 | |
| 32 Retained earnings, endowment, accumulated income, or other funds | | | 32 | |
| 33 Total net assets or fund balances | | 1,759,994. | 33 | 1,767,403. |
| 34 Total liabilities and net assets/fund balances | | 2,970,916. | 34 | 2,583,505. |

Form 990 (2013)

**ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES**

Form 990 (2013)

23-7189098 Page **12**

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI ☐

| | | | |
|----|---|----|------------|
| 1 | Total revenue (must equal Part VIII, column (A), line 12) | 1 | 3,367,671. |
| 2 | Total expenses (must equal Part IX, column (A), line 25) | 2 | 3,399,037. |
| 3 | Revenue less expenses Subtract line 2 from line 1 | 3 | -31,366. |
| 4 | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) | 4 | 1,759,994. |
| 5 | Net unrealized gains (losses) on investments | 5 | 38,775. |
| 6 | Donated services and use of facilities | 6 | |
| 7 | Investment expenses | 7 | |
| 8 | Prior period adjustments | 8 | |
| 9 | Other changes in net assets or fund balances (explain in Schedule O) | 9 | 0. |
| 10 | Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B)) | 10 | 1,767,403. |

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII ☐

| | | Yes | No |
|----|--|-----|----|
| 1 | Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O | | |
| 2a | Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis | | X |
| 2b | Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input checked="" type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis | X | |
| 2c | If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O | X | |
| 3a | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? | X | |
| 3b | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits | X | |

Form **990** (2013)

SCHEDULE A
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public
Inspection

Name of the organization **ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES**

Employer identification number
23-7189098

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in section 170(b)(1)(A)(i).
- 2 ☐ A school described in section 170(b)(1)(A)(ii). (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in section 170(b)(1)(A)(iii).
- 4 ☐ A medical research organization operated in conjunction with a hospital described in section 170(b)(1)(A)(iii). Enter the hospital's name, city, and state _____
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in section 170(b)(1)(A)(iv). (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in section 170(b)(1)(A)(v).
- 7 ☒ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 8 ☐ A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.)
- 9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See section 509(a)(2). (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See section 509(a)(4).
- 11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2). See section 509(a)(3). Check the box that describes the type of supporting organization and complete lines 11e through 11h.
- a ☐ Type I b ☐ Type II c ☐ Type III - Functionally integrated d ☐ Type III - Non-functionally integrated
- e ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).
- f ☐ If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box _____
- g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
- (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?

| | | |
|----------|-----|----|
| | Yes | No |
| 11g(i) | | |
| 11g(ii) | | |
| 11g(iii) | | |
- (ii) A family member of a person described in (i) above?
- (iii) A 35% controlled entity of a person described in (i) or (ii) above?
- h Provide the following information about the supported organization(s)

| (i) Name of supported organization | (ii) EIN | (iii) Type of organization (described on lines 1-9 above or IRC section (see instructions)) | (iv) Is the organization in col. (i) listed in your governing document? | | (v) Did you notify the organization in col. (i) of your support? | | (vi) Is the organization in col. (i) organized in the U.S.? | | (vii) Amount of monetary support |
|------------------------------------|----------|---|---|----|--|----|---|----|----------------------------------|
| | | | Yes | No | Yes | No | Yes | No | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| Total | | | | | | | | | |

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2013

ASSOCIATION OF UNIVERSITY CENTERS ON

Schedule A (Form 990 or 990-EZ) 2013 **DISABILITIES**

23-7189098 Page 2

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

| Calendar year (or fiscal year beginning in) ► | (a) 2009 | (b) 2010 | (c) 2011 | (d) 2012 | (e) 2013 | (f) Total |
|---|------------|------------|------------|------------|------------|-------------|
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.") | 5,967,559. | 6,833,605. | 7,534,670. | 4,390,487. | 2,445,032. | 27,171,353. |
| 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 3 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 4 Total. Add lines 1 through 3 | 5,967,559. | 6,833,605. | 7,534,670. | 4,390,487. | 2,445,032. | 27,171,353. |
| 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) | | | | | | |
| 6 Public support. Subtract line 5 from line 4 | | | | | | 27,171,353. |

Section B. Total Support

| Calendar year (or fiscal year beginning in) ► | (a) 2009 | (b) 2010 | (c) 2011 | (d) 2012 | (e) 2013 | (f) Total |
|---|------------|------------|------------|------------|------------|-------------|
| 7 Amounts from line 4 | 5,967,559. | 6,833,605. | 7,534,670. | 4,390,487. | 2,445,032. | 27,171,353. |
| 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | 5,014. | 5,756. | 11,665. | 15,039. | 11,830. | 49,304. |
| 9 Net income from unrelated business activities, whether or not the business is regularly carried on | | | | | | |
| 10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV) | 9,315. | 2,897. | 4,704. | 4,557. | 3,167. | 24,640. |
| 11 Total support. Add lines 7 through 10 | | | | | | 27,245,297. |
| 12 Gross receipts from related activities, etc. (see instructions) | | | | 12 | | 4,281,432. |
| 13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ► <input type="checkbox"/> | | | | | | |

Section C. Computation of Public Support Percentage

| | | | |
|--|----|-------|---|
| 14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f)) | 14 | 99.73 | % |
| 15 Public support percentage from 2012 Schedule A, Part II, line 14 | 15 | 99.65 | % |
| 16a 33 1/3% support test - 2013. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ► <input checked="" type="checkbox"/> | | | |
| b 33 1/3% support test - 2012. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization ► <input type="checkbox"/> | | | |
| 17a 10% -facts-and-circumstances test - 2013. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ► <input type="checkbox"/> | | | |
| b 10% -facts-and-circumstances test - 2012. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization ► <input type="checkbox"/> | | | |
| 18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ► <input type="checkbox"/> | | | |

Schedule A (Form 990 or 990-EZ) 2013

ASSOCIATION OF UNIVERSITY CENTERS ON

Schedule A (Form 990 or 990-EZ) 2013 **DISABILITIES**

23-7189098 Page 3

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

| Calendar year (or fiscal year beginning in) ► | (a) 2009 | (b) 2010 | (c) 2011 | (d) 2012 | (e) 2013 | (f) Total |
|---|----------|----------|----------|----------|----------|-----------|
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.") | | | | | | |
| 2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | | | | | | |
| 3 Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | |
| 4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 5 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 6 Total. Add lines 1 through 5 | | | | | | |
| 7a Amounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | |
| b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year | | | | | | |
| c Add lines 7a and 7b | | | | | | |
| 8 Public support. (Subtract line 7c from line 6) | | | | | | |

Section B. Total Support

| Calendar year (or fiscal year beginning in) ► | (a) 2009 | (b) 2010 | (c) 2011 | (d) 2012 | (e) 2013 | (f) Total |
|---|----------|----------|----------|----------|----------|-----------|
| 9 Amounts from line 6 | | | | | | |
| 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 | | | | | | |
| c Add lines 10a and 10b | | | | | | |
| 11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | |
| 12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV) | | | | | | |
| 13 Total support. (Add lines 9, 10c, 11, and 12) | | | | | | |
| 14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/> | | | | | | |

Section C. Computation of Public Support Percentage

| | | |
|--|-----------|---|
| 15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f)) | 15 | % |
| 16 Public support percentage from 2012 Schedule A, Part III, line 15 | 16 | % |

Section D. Computation of Investment Income Percentage

| | | |
|---|-----------|---|
| 17 Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f)) | 17 | % |
| 18 Investment income percentage from 2012 Schedule A, Part III, line 17 | 18 | % |

19a 33 1/3% support tests - 2013. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

b 33 1/3% support tests - 2012. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here**. The organization qualifies as a publicly supported organization ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

Schedule A (Form 990 or 990-EZ) 2013 **DISABILITIES**

Part IV Supplemental Information. Provide the explanations required by Part II, line 10, Part II, line 17a or 17b, and Part III, line 12

332024 09-25-13

SCHEDULE C
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

- ▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**
▶ **See separate instructions.** ▶ **Information about Schedule C (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.**

OMB No 1545-0047

2013

Open to Public
Inspection

If the organization answered "Yes," to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes," to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes," to Form 990, Part IV, line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

| | | | |
|----------------------|--|--------------------------------|-------------------|
| Name of organization | ASSOCIATION OF UNIVERSITY CENTERS ON DISABILITIES | Employer identification number | 23-7189098 |
|----------------------|--|--------------------------------|-------------------|

Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

- 1 Provide a description of the organization's direct and indirect political campaign activities in Part IV
- 2 Political expenditures ▶ \$ _____
- 3 Volunteer hours _____

Part I-B Complete if the organization is exempt under section 501(c)(3).

- 1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ \$ _____
- 2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ \$ _____
- 3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No
- 4a Was a correction made? ☐ Yes ☐ No
- b If "Yes," describe in Part IV

Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

- 1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ \$ _____
- 2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ \$ _____
- 3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ \$ _____
- 4 Did the filing organization file Form 1120-POL for this year? ☐ Yes ☐ No
- 5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

| (a) Name | (b) Address | (c) EIN | (d) Amount paid from filing organization's funds. If none, enter -0- | (e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0- |
|----------|-------------|---------|--|--|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule C (Form 990 or 990-EZ) 2013

LHA

332041
11-08-13

ASSOCIATION OF UNIVERSITY CENTERS ON

Schedule C (Form 990 or 990-EZ) 2013 **DISABILITIES**

23-7189098 Page 2

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

B Check ☐ if the filing organization checked box A and "limited control" provisions apply

| Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.) | (a) Filing organization's totals | (b) Affiliated group totals | | | | | | | | | | | | |
|---|---|--|--------------------|------------------------------|---|---|---|---|--|--|-------------------|-------------|--|--|
| 1a Total lobbying expenditures to influence public opinion (grass roots lobbying) | 0. | | | | | | | | | | | | | |
| b Total lobbying expenditures to influence a legislative body (direct lobbying) | 127,026. | | | | | | | | | | | | | |
| c Total lobbying expenditures (add lines 1a and 1b) | 127,026. | | | | | | | | | | | | | |
| d Other exempt purpose expenditures | 3,272,011. | | | | | | | | | | | | | |
| e Total exempt purpose expenditures (add lines 1c and 1d) | 3,399,037. | | | | | | | | | | | | | |
| f Lobbying nontaxable amount Enter the amount from the following table in both columns | 319,952. | | | | | | | | | | | | | |
| <table border="1" style="width:100%; border-collapse: collapse;"> <thead> <tr> <th style="width:35%;">If the amount on line 1e, column (a) or (b) is:</th> <th style="width:65%;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td>Not over \$500,000</td> <td>20% of the amount on line 1e</td> </tr> <tr> <td>Over \$500,000 but not over \$1,000,000</td> <td>\$100,000 plus 15% of the excess over \$500,000</td> </tr> <tr> <td>Over \$1,000,000 but not over \$1,500,000</td> <td>\$175,000 plus 10% of the excess over \$1,000,000</td> </tr> <tr> <td>Over \$1,500,000 but not over \$17,000,000</td> <td>\$225,000 plus 5% of the excess over \$1,500,000</td> </tr> <tr> <td>Over \$17,000,000</td> <td>\$1,000,000</td> </tr> </tbody> </table> | If the amount on line 1e, column (a) or (b) is: | The lobbying nontaxable amount is: | Not over \$500,000 | 20% of the amount on line 1e | Over \$500,000 but not over \$1,000,000 | \$100,000 plus 15% of the excess over \$500,000 | Over \$1,000,000 but not over \$1,500,000 | \$175,000 plus 10% of the excess over \$1,000,000 | Over \$1,500,000 but not over \$17,000,000 | \$225,000 plus 5% of the excess over \$1,500,000 | Over \$17,000,000 | \$1,000,000 | | |
| If the amount on line 1e, column (a) or (b) is: | The lobbying nontaxable amount is: | | | | | | | | | | | | | |
| Not over \$500,000 | 20% of the amount on line 1e | | | | | | | | | | | | | |
| Over \$500,000 but not over \$1,000,000 | \$100,000 plus 15% of the excess over \$500,000 | | | | | | | | | | | | | |
| Over \$1,000,000 but not over \$1,500,000 | \$175,000 plus 10% of the excess over \$1,000,000 | | | | | | | | | | | | | |
| Over \$1,500,000 but not over \$17,000,000 | \$225,000 plus 5% of the excess over \$1,500,000 | | | | | | | | | | | | | |
| Over \$17,000,000 | \$1,000,000 | | | | | | | | | | | | | |
| g Grassroots nontaxable amount (enter 25% of line 1f) | 79,988. | | | | | | | | | | | | | |
| h Subtract line 1g from line 1a If zero or less, enter -0- | 0. | | | | | | | | | | | | | |
| i Subtract line 1f from line 1c If zero or less, enter -0- | 0. | | | | | | | | | | | | | |
| j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? | | <input type="checkbox"/> Yes <input type="checkbox"/> No | | | | | | | | | | | | |

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

| Lobbying Expenditures During 4-Year Averaging Period | | | | | |
|---|----------|----------|----------|----------|------------|
| Calendar year (or fiscal year beginning in) | (a) 2010 | (b) 2011 | (c) 2012 | (d) 2013 | (e) Total |
| 2a Lobbying nontaxable amount | 521,328. | 556,791. | 416,933. | 319,952. | 1,815,004. |
| b Lobbying ceiling amount (150% of line 2a, column(e)) | | | | | 2,722,506. |
| c Total lobbying expenditures | 199,851. | 199,674. | 199,482. | 127,026. | 726,033. |
| d Grassroots nontaxable amount | 130,332. | 139,198. | 104,233. | 79,988. | 453,751. |
| e Grassroots ceiling amount (150% of line 2d, column (e)) | | | | | 680,627. |
| f Grassroots lobbying expenditures | | | | | |

Schedule C (Form 990 or 990-EZ) 2013

ASSOCIATION OF UNIVERSITY CENTERS ON

Schedule C (Form 990 or 990-EZ) 2013 **DISABILITIES**

23-7189098 Page 3

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

| For each "Yes," response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity | (a) | | (b) |
|---|-----|----|--------|
| | Yes | No | Amount |
| 1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of | | | |
| a Volunteers? | | | |
| b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)? | | | |
| c Media advertisements? | | | |
| d Mailings to members, legislators, or the public? | | | |
| e Publications, or published or broadcast statements? | | | |
| f Grants to other organizations for lobbying purposes? | | | |
| g Direct contact with legislators, their staffs, government officials, or a legislative body? | | | |
| h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means? | | | |
| i Other activities? | | | |
| j Total. Add lines 1c through 1i | | | |
| 2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? | | | |
| b If "Yes," enter the amount of any tax incurred under section 4912 | | | |
| c If "Yes," enter the amount of any tax incurred by organization managers under section 4912 | | | |
| d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year? | | | |

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

| | Yes | No |
|--|----------|----|
| 1 Were substantially all (90% or more) dues received nondeductible by members? | 1 | |
| 2 Did the organization make only in-house lobbying expenditures of \$2,000 or less? | 2 | |
| 3 Did the organization agree to carry over lobbying and political expenditures from the prior year? | 3 | |

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."

| | | |
|---|-----------|--|
| 1 Dues, assessments and similar amounts from members | 1 | |
| 2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid). | | |
| a Current year | 2a | |
| b Carryover from last year | 2b | |
| c Total | 2c | |
| 3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues | 3 | |
| 4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? | 4 | |
| 5 Taxable amount of lobbying and political expenditures (see instructions) | 5 | |

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list); Part II-A, line 2, and Part II-B, line 1. Also, complete this part for any additional information.

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

▶ **Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.**
▶ **Attach to Form 990.**

▶ **Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.**

OMB No 1545-0047

2013

Open to Public
Inspection

Name of the organization **ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES**

Employer identification number
23-7189098

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6

| | (a) Donor advised funds | (b) Funds and other accounts |
|---|-------------------------|--|
| 1 Total number at end of year | | |
| 2 Aggregate contributions to (during year) | | |
| 3 Aggregate grants from (during year) | | |
| 4 Aggregate value at end of year | | |
| 5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? | | <input type="checkbox"/> Yes <input type="checkbox"/> No |
| 6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? | | <input type="checkbox"/> Yes <input type="checkbox"/> No |

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7

1 Purpose(s) of conservation easements held by the organization (check all that apply)

| | |
|--|--|
| <input type="checkbox"/> Preservation of land for public use (e.g., recreation or education) | <input type="checkbox"/> Preservation of an historically important land area |
| <input type="checkbox"/> Protection of natural habitat | <input type="checkbox"/> Preservation of a certified historic structure |
| <input type="checkbox"/> Preservation of open space | |

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

| | Held at the End of the Tax Year |
|--|---------------------------------|
| a Total number of conservation easements | 2a |
| b Total acreage restricted by conservation easements | 2b |
| c Number of conservation easements on a certified historic structure included in (a) | 2c |
| d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register | 2d |

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

| | |
|--|------------|
| (i) Revenues included in Form 990, Part VIII, line 1 | ▶ \$ _____ |
| (ii) Assets included in Form 990, Part X | ▶ \$ _____ |

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

| | |
|--|------------|
| a Revenues included in Form 990, Part VIII, line 1 | ▶ \$ _____ |
| b Assets included in Form 990, Part X | ▶ \$ _____ |

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items

(check all that apply)

a ☐ Public exhibitiond ☐ Loan or exchange programsb ☐ Scholarly researche ☐ Other _____c ☐ Preservation for future generations

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets

to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes☐ No**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes☐ No

b If "Yes," explain the arrangement in Part XIII and complete the following table

c Beginning balance

d Additions during the year

e Distributions during the year

f Ending balance

| | Amount |
|----|--------|
| 1c | |
| 1d | |
| 1e | |
| 1f | |

2a Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes☐ Nob If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII ☐**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10

1a Beginning of year balance

b Contributions

c Net investment earnings, gains, and losses

d Grants or scholarships

e Other expenditures for facilities and programs

f Administrative expenses

g End of year balance

| | (a) Current year | (b) Prior year | (c) Two years back | (d) Three years back | (e) Four years back |
|----|------------------|----------------|--------------------|----------------------|---------------------|
| 1a | | | | | |
| 1b | | | | | |
| 1c | | | | | |
| 1d | | | | | |
| 1e | | | | | |
| 1f | | | | | |
| 1g | | | | | |

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a Board designated or quasi-endowment ▶ _____ %

b Permanent endowment ▶ _____ %

c Temporarily restricted endowment ▶ _____ %

The percentages in lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations

(ii) related organizations

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

| | Yes | No |
|--------|-----|----|
| 3a(i) | | |
| 3a(ii) | | |
| 3b | | |

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10

| Description of property | (a) Cost or other basis (investment) | (b) Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|---|--------------------------------------|---------------------------------|------------------------------|----------------|
| 1a Land | | | | |
| 1b Buildings | | | | |
| 1c Leasehold improvements | | | | |
| 1d Equipment | | | | |
| 1e Other | | 110,594. | 109,379. | 1,215. |
| Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c)) | | | | 1,215. |

Schedule D (Form 990) 2013

**ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES**

Schedule D (Form 990) 2013

23-7189098 Page **3**

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11b See Form 990, Part X, line 12

| (a) Description of security or category (including name of security) | (b) Book value | (c) Method of valuation Cost or end-of-year market value |
|---|----------------|--|
| (1) Financial derivatives | | |
| (2) Closely-held equity interests | | |
| (3) Other | | |
| (A) | | |
| (B) | | |
| (C) | | |
| (D) | | |
| (E) | | |
| (F) | | |
| (G) | | |
| (H) | | |
| Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ► | | |

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c See Form 990, Part X, line 13

| (a) Description of investment | (b) Book value | (c) Method of valuation Cost or end-of-year market value |
|---|----------------|--|
| (1) | | |
| (2) | | |
| (3) | | |
| (4) | | |
| (5) | | |
| (6) | | |
| (7) | | |
| (8) | | |
| (9) | | |
| Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ► | | |

Part IX Other Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d See Form 990, Part X, line 15

| (a) Description | (b) Book value |
|---|----------------|
| (1) | |
| (2) | |
| (3) | |
| (4) | |
| (5) | |
| (6) | |
| (7) | |
| (8) | |
| (9) | |
| Total. (Column (b) must equal Form 990, Part X, col. (B) line 15.) ► | |

Part X Other Liabilities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f See Form 990, Part X, line 25

| 1. (a) Description of liability | (b) Book value |
|---|----------------|
| (1) Federal income taxes | |
| (2) DEFERRED RENT | 146,027. |
| (3) REFUNDABLE ADVANCE | 103. |
| (4) | |
| (5) | |
| (6) | |
| (7) | |
| (8) | |
| (9) | |
| Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ► | |
| | 146,130. |

2. Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☒

Schedule D (Form 990) 2013

**ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES**

Schedule D (Form 990) 2013

23-7189098 Page 4

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a

| | | | |
|----------|---|-----------|------------|
| 1 | Total revenue, gains, and other support per audited financial statements | 1 | 3,408,582. |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12 | | |
| a | Net unrealized gains on investments | 2a | 38,775. |
| b | Donated services and use of facilities | 2b | |
| c | Recoveries of prior year grants | 2c | |
| d | Other (Describe in Part XIII) | 2d | 2,136. |
| e | Add lines 2a through 2d | 2e | 40,911. |
| 3 | Subtract line 2e from line 1 | 3 | 3,367,671. |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line 1 | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | |
| b | Other (Describe in Part XIII) | 4b | |
| c | Add lines 4a and 4b | 4c | 0. |
| 5 | Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.) | 5 | 3,367,671. |

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a

| | | | |
|----------|--|-----------|------------|
| 1 | Total expenses and losses per audited financial statements | 1 | 3,401,173. |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25 | | |
| a | Donated services and use of facilities | 2a | |
| b | Prior year adjustments | 2b | |
| c | Other losses | 2c | |
| d | Other (Describe in Part XIII) | 2d | 2,136. |
| e | Add lines 2a through 2d | 2e | 2,136. |
| 3 | Subtract line 2e from line 1 | 3 | 3,399,037. |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line 1 | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | |
| b | Other (Describe in Part XIII) | 4b | |
| c | Add lines 4a and 4b | 4c | 0. |
| 5 | Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.) | 5 | 3,399,037. |

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART X, LINE 2:

EXPLANATION: FOR THE YEAR ENDED JUNE 30, 2014, AUCD HAS DOCUMENTED ITS
CONSIDERATION OF FASB ASC 740-10 AND DETERMINED THAT NO MATERIAL UNCERTAIN
TAX POSITIONS QUALIFY FOR EITHER RECOGNITION OR DISCLOSURE IN THE
FINANCIAL STATEMENTS.

THE FEDERAL FORM 990, RETURN OF ORGANIZATION EXEMPT FROM INCOME TAX, IS
SUBJECT TO EXAMINATION BY THE INTERNAL REVENUE SERVICE, GENERALLY FOR
THREE YEARS AFTER IT IS FILED.

PART XI, LINE 2D - OTHER ADJUSTMENTS:

LOSS ON DISPOSAL OF ASSETS REPORTED AS EXPENSE ON THE 2,136.

Part XIII Supplemental Information (continued)

FINANCIAL STATEMENTS AND NETTED AGAINST REVENUE ON
FORM 990, PART VIII, LINE 7C.

PART XII, LINE 2D - OTHER ADJUSTMENTS:

LOSS ON DISPOSAL OF ASSETS REPORTED AS EXPENSE ON THE 2,136.

FINANCIAL STATEMENTS AND NETTED AGAINST REVENUE ON
FORM 990, PART VIII, LINE 7C.

**SCHEDULE I
(Form 990)**

Department of the Treasury
Internal Revenue Service

**Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States**

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization

**ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES**

Part I General Information on Grants and Assistance

Employer identification number
23-7189098

2013
Open to Public
Inspection

OMB No 1545-0047

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance?

☒ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed

| 1 (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|--|------------|-------------------------------|--------------------------|-----------------------------------|---|--|--|
| AMCHP 2030 M STREET, NW STE 350 WASHINGTON, DC 20036 | 52-1529448 | 501(C)(3) | 12,785. | 0. | | | RESEARCH, EDUCATION, SERVICE SUBAWARD |
| INSTITUTE ON HUMAN DEVELOPMENT & DISABILITY - 1640 WEST ROOSEVELT RD, ROOM 245 - CHICAGO, IL 60608 | 37-6006007 | 501(C)(3) | 6,500. | 0. | | | TECHNICAL ASSISTANCE SUBAWARD |
| COLORADO CROSS-DISABILITY COALITION - 655 BROADWAY, SUITE 775 - DENVER, CO 80203 | 74-2564419 | 501(C)(3) | 9,662. | 0. | | | TECHNICAL ASSISTANCE SUBAWARD |
| THE BOGGS CENTER 335 GEORGE STREET NEW BRUNSWICK, NJ 08901 | 12-6001086 | 501(C)(3) | 6,500. | 0. | | | TECHNICAL ASSISTANCE SUBAWARD |
| WESTCHESTER INSTITUTE FOR HUMAN DEVELOPMENT - BUSINESS OFFICE 322 CEDARWOOD HALL - VALHALLA, NY 10595 | 20-0738248 | 501(C)(3) | 28,041. | 0. | | | TECHNICAL ASSISTANCE SUBAWARD |
| P.A.D.S - PEER ACTION DISABILITY SUPPORT - PO BOX 883 - CEDAR RAPIDS, IA 52406 | 23-7427639 | 501(C)(3) | 10,000. | 0. | | | TECHNICAL ASSISTANCE SUBAWARD |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

▶ 11.

3 Enter total number of other organizations listed in the line 1 table

▶ 0.

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2013)

ASSOCIATION OF UNIVERSITY CENTERS ON

DISABILITIES

Part II Continuation of Grants and Other Assistance to Governments and Organizations in the United States (Schedule I (Form 990), Part II)

| (a) Name and address of organization or government | (b) EIN | (c) IRC section if applicable | (d) Amount of cash grant | (e) Amount of non-cash assistance | (f) Method of valuation (book, FMV, appraisal, other) | (g) Description of non-cash assistance | (h) Purpose of grant or assistance |
|---|------------|-------------------------------|--------------------------|-----------------------------------|---|--|--|
| REGENTS OF UNIVERSITY OF MINNESOTA NW 5957, PO BOX 1450 MINNEAPOLIS, MN 55485 | 41-6007513 | 501(C)(3) | 57,249. | 0. | | | RESEARCH, EDUCATION, SERVICE SUBAWARD |
| SELF ADVOCATES BECOMING EMPOWERED 3546 FORESTOAK COURT CINCINNATI, OH 45208 | 58-2190392 | 501(C)(3) | 10,000. | 0. | | | TECHNICAL ASSISTANCE SUBAWARD |
| UNIVERSITY OF SOUTH CAROLINA FIN. SERVICES BUILDING COLUMBIA, SC 29208 | 57-6001153 | 501(C)(3) | 101,228. | 0. | | | RESEARCH, EDUCATION, SERVICE SUBAWARD |
| BAYLOR COLLEGE OF MEDICINE PO BOX 301207 DALLAS, TX 85303 | 74-1613878 | 501(C)(3) | 7,900. | 0. | | | TECHNICAL ASSISTANCE SUBAWARD |
| UNIVERSITY OF DELAWARE 116 STUDENT SERVICES BUILDING NEWARK, DE 19716 | 51-6000297 | 501(C)(3) | 80,861. | 0. | | | TECHNICAL ASSISTANCE SUBAWARD |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

Schedule I (Form 990)

Part III

Grants and Other Assistance to Individuals in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 22.
Part III can be duplicated if additional space is needed

| (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|---------------------------------|--------------------------|--------------------------|-----------------------------------|---|--|
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

Part IV

Supplemental Information. Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

PART I, LINE 2:

EXPLANATION: EACH YEAR, AUCD RECEIVES FUNDING THROUGH VARIOUS FEDERAL PARTNERS (SUCH AS CDC, MCHB, AND AIDD) TO PASS THROUGH TO OUR NETWORK MEMBERS TO CONDUCT RESEARCH, TRAINING AND EDUCATION SERVICES. AUCD CONDUCTS APPLICATION AND PEER REVIEW PROCESSES TO SELECT Awardees FOR THE PARTICULAR INITIATIVES. UPON AWARD, SUB-RECIPIENTS ARE REQUIRED TO SUBMIT QUARTERLY INVOICES (SUB-Awardee EXPENDITURES ARE TRACKED AGAINST BUDGETS AND VIA AUCD'S ACCOUNTING SYSTEM) AND PROGRESS REPORTS. AUCD PROJECT STAFF ALSO COMMUNICATE ON A REGULAR BASIS WITH SUB-Awardees THROUGH CONFERENCE CALLS.

Part IV Supplemental Information

WEBINARS AND EMAIL TO CHECK THE PROGRESS OF PROJECTS. ALSO, ALL
SUB-RECIPIENTS ARE REQUIRED TO FOLLOW FINANCIAL GUIDELINES SET FORTH BY
A-89, A-122, OR A-133, AS APPROPRIATE. AS REQUIRED, SUB-AWARDEE PROGRESS
REPORTS AND FINANCIAL INFORMATION ARE PROVIDED BACK TO OUR FEDERAL FUNDERS
TO MONITOR THE PROGRESS OF WORK PERFORMED.

**SCHEDULE J
(Form 990)**

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest
Compensated Employees

▶ **Complete if the organization answered "Yes" on Form 990, Part IV, line 23.**

▶ **Attach to Form 990.** ▶ **See separate instructions.**

▶ **Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.**

OMB No 1545-0047

2013

**Open to Public
Inspection**

Name of the organization

**ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES**

Employer identification number

23-7189098

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990,
Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items

☐ First-class or charter travel

☐ Housing allowance or residence for personal use

☐ Travel for companions

☐ Payments for business use of personal residence

☐ Tax indemnification and gross-up payments

☐ Health or social club dues or initiation fees

☐ Discretionary spending account

☐ Personal services (e g , maid, chauffeur, chef)

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or
reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors,
trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's
CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to
establish compensation of the CEO/Executive Director, but explain in Part III

☒ Compensation committee

☐ Written employment contract

☐ Independent compensation consultant

☒ Compensation survey or study

☐ Form 990 of other organizations

☒ Approval by the board or compensation committee

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing
organization or a related organization

a Receive a severance payment or change-of-control payment?

b Participate in, or receive payment from, a supplemental nonqualified retirement plan?

c Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation
contingent on the revenues of

a The organization?

b Any related organization?

If "Yes" to line 5a or 5b, describe in Part III

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation
contingent on the net earnings of

a The organization?

b Any related organization?

If "Yes" to line 6a or 6b, describe in Part III

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments
not described in lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the
initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in
Regulations section 53.4958-6(c)?

Yes No

1b

2

4a

4b

4c

5a

5b

6a

6b

7

8

9

X

X

X

X

X

X

X

X

X

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2013

| | |
|---------|---|
| Part II | Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed |
|---------|---|

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

[illegible]

ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES

23-7189098

Schedule J (Form 990) 2013

Page 3

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

PART I, LINE 7:

EXPLANATION: ADRIANE GRIFFEN AND KIM MUSHENO EACH RECEIVED BONUSES OF

\$1,000.

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2013

Open to Public
Inspection

Name of the organization

ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES

Employer identification number
23-7189098

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

AND SERVICE ACTIVITIES THAT ACHIEVE OUR VISION.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:

CDC: A PROJECT OF AUCD, IN PARTNERSHIP WITH CDC, TO INCREASE THE PUBLIC
HEALTH CAPACITY OF AUCD NETWORK CENTERS ACROSS THE COUNTRY. EFFORTS
INCLUDE PROVIDING TECHNICAL ASSISTANCE TO THE ACT EARLY NETWORK;
FACILITATING THE FRIENDS OF THE NATIONAL CENTER ON BIRTH DEFECTS AND
DEVELOPMENTAL DISABILITIES; INFORMING NATIONAL, STATE, AND LOCAL
PARTNERS THROUGH REGULAR COMMUNICATION AND TRAINING; AND FACILITATING
MULTI-SITE PROJECTS THAT AIM TO IMPROVE THE HEALTH AND WELLNESS OF
INDIVIDUALS WITH DISABILITIES.

EXPENSES \$ 485,685. INCLUDING GRANTS OF \$ 257,761. REVENUE \$ 0.

ANNUAL MEETING

EXPENSES \$ 221,303. INCLUDING GRANTS OF \$ 0. REVENUE \$ 227,300.

ARC-HM

EXPENSES \$ 52,692. INCLUDING GRANTS OF \$ 28,041. REVENUE \$ 0.

IDDRC

EXPENSES \$ 46,624. INCLUDING GRANTS OF \$ 0. REVENUE \$ 1,415.

RETIREMENT CELEBRATION

EXPENSES \$ 42,598. INCLUDING GRANTS OF \$ 0. REVENUE \$ 13,145.

| | | | |
|--------------------------|--|--------------------------------|------------|
| Name of the organization | ASSOCIATION OF UNIVERSITY CENTERS ON DISABILITIES | Employer identification number | 23-7189098 |
|--------------------------|--|--------------------------------|------------|

HIGHER EDUCATION CONF

EXPENSES \$ 35,279. INCLUDING GRANTS OF \$ 0. REVENUE \$ 32,130.

AUTISM SPEAKS

EXPENSES \$ 23,001. INCLUDING GRANTS OF \$ 23,001. REVENUE \$ 0.

CNS-ICI

EXPENSES \$ 9,522. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.

DD ACT NETWORK

EXPENSES \$ 7,782. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.

SD-NIT MISSOURI

EXPENSES \$ 1,594. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.

ARCH INITIATIVE

EXPENSES \$ 1,028. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.

UIC RRTCCD

EXPENSES \$ 352. INCLUDING GRANTS OF \$ 0. REVENUE \$ 0.

FORM 990, PART VI, SECTION A, LINE 6:

EXPLANATION: THE ASSOCIATION OF UNIVERSITY CENTERS ON DISABILITIES IS A MEMBERSHIP ORGANIZATION THAT SUPPORTS AND PROMOTES A NATIONAL NETWORK OF UNIVERSITY-BASED INTERDISCIPLINARY PROGRAMS. NETWORK MEMBERS CONSIST OF:

- UNIVERSITY CENTERS FOR EXCELLENCE IN DEVELOPMENTAL DISABILITIES (UCEDD), FUNDED BY THE ADMINISTRATION ON INTELLECTUAL AND DEVELOPMENTAL DISABILITIES (AIDD)

Name of the organization **ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES**

Employer identification number
23-7189098

- **LEADERSHIP EDUCATION IN NEURODEVELOPMENTAL DISABILITIES (LEND) PROGRAMS
FUNDED BY THE MATERNAL AND CHILD HEALTH BUREAU (MCHB)**

- **INTELLECTUAL AND DEVELOPMENTAL DISABILITY RESEARCH CENTERS (IDDRC), MOST
OF WHICH ARE FUNDED BY THE EUNICE KENNEDY SHRIVER NATIONAL INSTITUTE FOR
CHILD HEALTH AND DEVELOPMENT (NICHD).**

**THESE PROGRAMS SERVE AND ARE LOCATED IN EVERY U.S. STATE AND TERRITORY AND
ARE ALL PART OF UNIVERSITIES OR MEDICAL CENTERS. THEY SERVE AS A BRIDGE
BETWEEN THE UNIVERSITY AND THE COMMUNITY, BRINGING TOGETHER THE RESOURCES
OF BOTH TO ACHIEVE MEANINGFUL CHANGE.**

**MEMBERS PAY ANNUAL DUES TO AUCD, WHICH ARE DETERMINED BY THE TOTAL AMOUNT
OF CORE AIDD, MCHB, AND NIH FUNDING THEY RECEIVE.**

AUCD SUPPORTS THIS NATIONAL NETWORK THROUGH:

- **LEADERSHIP ON MAJOR SOCIAL PROBLEMS AFFECTING ALL PEOPLE LIVING WITH
DEVELOPMENTAL OR OTHER DISABILITIES OR SPECIAL HEALTH NEEDS**
- **ADVOCACY WITH CONGRESS AND EXECUTIVE BRANCH AGENCIES THAT FUND AND
REGULATE PROGRAMS USED BY PEOPLE WITH DISABILITIES**
- **NETWORKING AND PARTNERING WITH OTHER NATIONAL ORGANIZATIONS TO ADVANCE
THE NETWORK'S NATIONAL AGENDAS**
- **PROMOTING COMMUNICATION WITHIN THE NETWORK AND WITH OTHER GROUPS BY
COLLECTING, ORGANIZING, AND DISSEMINATING DATA ON NETWORK ACTIVITIES AND
ACCOMPLISHMENTS**
- **TECHNICAL ASSISTANCE PROVISION ON A BROAD RANGE OF TOPICS.**

**AUCD BEGAN STRATEGIC PLANNING EFFORTS DURING FY 13-14 TO MAP OUT THE
ASSOCIATION'S VISION, FOCUS AND PRIORITIES OF THE ORGANIZATION AND NETWORK**

Name of the organization ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES

Employer identification number
23-7189098

OVER THE NEXT 2-3 YEARS. FROM THIS PROCESS A STRATEGIC MAP WAS CREATED. THIS MAP OUTLINES FIVE KEY PRIORITY AREAS AND CORRESPONDING SUB-GOALS FOR THE ORGANIZATION AND NETWORK, AND IT SERVES AS A GUIDE FOR THE ORGANIZATION'S COLLECTIVE WORK AND MISSION. IT IS AUCD'S HOPE THAT THIS MAP WILL EMPOWER AND EQUIP THE AUCD STAFF AND NETWORK MEMBERSHIP TO INCREASE THE POWER OF AUCD BY STRENGTHENING OUR NETWORK, ENGAGING THE PUBLIC, AND EXPANDING OUR IMPACT TO THE BROADER DISABILITY COMMUNITY AND OTHER KEY STAKEHOLDERS. AUCD BOARD AND STAFF ARE EXCITED ABOUT IMPLEMENTING OUR AMBITIOUS PLAN OVER THE NEXT FEW YEARS AND WE LOOK FORWARD TO REPORTING ON OUR PROGRESS.

FORM 990, PART VI, SECTION A, LINE 7A:

EXPLANATION: THE MEMBERSHIP OF THE AUCD ELECTS THE FIVE AT-LARGE MEMBERS OF THE AUCD BOARD OF DIRECTORS AT THE END OF EACH AT-LARGE MEMBER'S TERM, WHICH IS THREE YEARS. THESE ELECTIONS TAKE PLACE IN THE FALL OF THE YEAR AS EACH BOARD MEMBER'S TERM ENDS. THE ELECTIONS TAKE PLACE VIA A SECURE ONLINE VOTING APPLICATION. THIS PROCESS IS OUTLINED IN THE BOARD BY-LAWS.

FORM 990, PART VI, SECTION B, LINE 11:

EXPLANATION: THE ASSOCIATION'S BOARD FINANCE AND AUDIT COMMITTEE HAS THE RESPONSIBILITY TO REVIEW THE 990 DURING ONE OF ITS MONTHLY CONFERENCE CALLS. DURING THIS CALL, AUCD'S AUDIT/TAX CONSULTANT PRESENTS THE RESULTS OF THE CURRENT YEAR 990. FOLLOWING THE REVIEW, THE FINANCE AND AUDIT COMMITTEE VOTES TO ACCEPT THE 990 AND RECOMMENDS THAT IT BE PRESENTED TO AND ACCEPTED BY THE FULL BOARD. A COPY OF THE 990 WAS PROVIDED TO AUCD'S GOVERNING BODY BEFORE IT WAS FILED.

FORM 990, PART VI, SECTION B, LINE 12C:

Name of the organization **ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES**

Employer identification number
23-7189098

EXPLANATION: AUCD HAS ADOPTED A SPECIFIC CONFLICT OF INTEREST POLICY THAT ALL STAFF ARE REQUIRED TO COMPLETE AND SIGN UPON EMPLOYMENT AT AUCD, AS WELL AS EACH YEAR OF EMPLOYMENT THEREAFTER. THIS POLICY IS SIMILAR TO THE BOARD OF DIRECTORS CONFLICT OF INTEREST POLICY, WHICH BOARD MEMBERS ARE REQUIRED TO SIGN UPON JOINING THE BOARD AND ANNUALLY FOR EACH YEAR OF THEIR TERM ON THE BOARD. THE AUCD CONFLICT OF INTEREST POLICY REQUIRES, AMONG OTHER THINGS, THAT ALL AUCD EMPLOYEES ANNUALLY, AS A PART OF THEIR ANNUAL PERFORMANCE REVIEW (AND AT THE TIME OF THE BEGINNING OF EMPLOYMENT FOR ALL NEW AUCD EMPLOYEES), COMPLETE AND RETURN TO AUCD THE CONFLICT OF INTEREST DISCLOSURE STATEMENT. INCLUDED IN THE CONFLICT OF INTEREST STATEMENT IS A LISTING OF ALL OUTSIDE EMPLOYMENT BY AUCD EMPLOYEES. NO EMPLOYEE MAY HOLD AN OUTSIDE POSITION WHICH IS IN CONFLICT WITH HIS/HER AUCD EMPLOYMENT OR WHICH UTILIZES AUCD RESOURCES UNLESS AUTHORIZED IN WRITING BY THE EXECUTIVE DIRECTOR. THE BOARD CONFLICT OF INTEREST POLICY STATES THAT, IN THEIR CAPACITY AS DIRECTORS, THE MEMBERS OF THE BOARD OF DIRECTORS OF AUCD MUST ACT AT ALL TIMES IN THE BEST INTERESTS OF AUCD. THE PURPOSE OF THIS POLICY IS TO HELP INFORM THE BOARD ABOUT WHAT CONSTITUTES A CONFLICT OF INTEREST, ASSIST THE BOARD IN IDENTIFYING AND DISCLOSING ACTUAL AND POTENTIAL CONFLICTS, AND HELP ENSURE THE AVOIDANCE OF CONFLICTS OF INTEREST WHERE NECESSARY.

FORM 990, PART VI, SECTION B, LINE 15:

EXPLANATION: THE BOARD, WITH INPUT FROM THE FINANCE AND AUDIT COMMITTEE, DETERMINES THE EXECUTIVE DIRECTOR'S SALARY, BENEFITS, AND ANNUAL INCREASES. AUCD USES OBJECTIVE AND COMPARABILITY DATA TO DETERMINE COMPENSATION. THE BOARD PREPARES A MEMO SUMMARIZING THE EXECUTIVE DIRECTOR'S COMPENSATION DELIBERATIONS AND DECISIONS WHICH IS FILED IN HIS/HER PERSONNEL FILE.

Name of the organization **ASSOCIATION OF UNIVERSITY CENTERS ON
DISABILITIES**

Employer identification number
23-7189098

COMPENSATION IS BASED ON THE ROLES AND RESPONSIBILITIES OF THE POSITION IN ADDITION TO THE EDUCATIONAL LEVEL AND TYPE AND LENGTH OF EXPERIENCE OF THE INDIVIDUAL. ALSO, AUCD USES COMPENSATION DATA FROM OTHER COMPARABLE NONPROFIT ORGANIZATIONS TO DETERMINE SALARY AND BENEFITS. IT IS IMPORTANT TO NOTE THAT MANY OF AUCD'S POSITIONS ARE GRANT FUNDED. THEREFORE, COMPENSATION IS, IN PART, ALSO DEPENDENT ON THE APPROVED SALARY LEVEL IN GRANT BUDGETS. AUCD EMPLOYEES TYPICALLY RECEIVE A COST-OF-LIVING (COL) ADJUSTMENT EACH YEAR. IN ADDITION TO THE COL ADJUSTMENT, THE EXECUTIVE DIRECTOR CAN RECOMMEND A PERFORMANCE-BASED INCREASE FOR STAFF THAT HAVE DONE EXEMPLARY WORK. PERFORMANCE-BASED INCREASES ARE BASED UPON AVAILABLE FUNDS AND STAFF ANNUAL PERFORMANCE REVIEWS. THE COST-OF-LIVING ADJUSTMENT AND PERFORMANCE INCREASES ARE REVIEWED AND APPROVED BY THE BOARD OF DIRECTORS.

THE LAST COMPENSATION REVIEW OF THE EXECUTIVE DIRECTOR TOOK PLACE IN JULY 2013.

FORM 990, PART VI, SECTION C, LINE 19:

EXPLANATION: AUCD'S GOVERNING DOCUMENTS, CONFLICT OF INTEREST POLICY AND FINANCIAL STATEMENTS (INCLUDING ANNUAL AUDITS) ARE AVAILABLE UPON REQUEST.