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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter Social Security numbers on this form as it may be made public.

► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013
Open to Public Inspection

For	cale	ndar year 2013 or tax year beginning JUL	1, 2013	, and ending	JUN 30, 2014	
Na	ne o	foundation	A Employer identification number			
_						
•		KE FOUNDATION, LIMITED	23-7120804			
_		and street (or P.O box number if mail is not delivered to street	Room/suite	B Telephone number		
		FORT STREET MALL	antal and			5524
-		own, state or province, country, and ZIP or foreign p OLULU , HI 96813	osiai code		C If exemption application is p	ending, check here
		call that apply: Initial return	Initial return of a f	ormer public charity	D 1. Foreign organizations	check here
		Final return	Amended return	ormor public onarty		
		Address change	Name change		Foreign organizations me check here and attach co	eting the 85% test,
HC	hecl	type of organization: X Section 501(c)(3) ex	cempt private foundation		 E If private foundation sta	
] <u>s</u> e	ection 4947(a)(1) nonexempt charitable trust	Other taxable private found	ation	under section 507(b)(1)	
1 Fa	ir m	arket value of all assets at end of year J Account	-	Accrual	F If the foundation is in a	60-month termination
		Part II, col. (c), line 16)	ther (specify)	 	under section 507(b)(1)	
	\$	23,471,077. (Part I, colu Analysis of Revenue and Expenses		Dasis.)	ļ	T (A)
	II L	(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
	1	Contributions, gifts, grants, etc., received	2,000.		N/A	
	2	Check X If the foundation is not required to attach Sch. B			WEARLER CALLED	
	3	Interest on savings and temporary cash investments				新加州市村等的
	4	Dividends and interest from securities	954,569.	954,569.		STATEMENT 1
	5a	Gross rents	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,			the quence by
	þ	Net rental income or (loss)	A PROPERTY OF THE PROPERTY OF	1		354 (1) 33 3 3 4 3 4 1 1 1 1 1 1 1 1 1 1 1 1 1
92	6a	Net gain or (loss) from sale of assets not on line 10 Gross sales price for all	2,391,519.		Ministral Constant Constant	
Revenue	7	cross sales price for all assets on line 6a 28,148,108. Capital gain net income (from Part IV, line 2)	** ** ** ** ** ** ** ** ** ** ** ** **	RH20,391/15/109.		Carried and the state of the st
æ	8	Net short-term capital gain		1124,3377,313.	entro to the entropy of a cit.	1 4 3 4 4 5 1 4 5 1 4 5 1 4 5 1 5 1 5 1 5 1 5
	9	Income modifications			3	
	10a	Gross sales less returns and allowances	. 44. () - 120 M. 19.	WAY 22 2015		1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1. 1
	b	Less: Cost of goods sold	Lesister : All the		E ROMEA WORK	açentici មេដូចែ វ
	C	Gross profit or (loss)		COENMUTE		# # # # # # # # # # # # # # # # # # #
	11	Other income	<11,303.		<u> </u>	STATEMENT 2
	12	Total. Add lines 1 through 11	3,336,785.	3,334,785.		हिल्ली के किए प्राप्त के किला है हैं।
	13	Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 15	Other employee salaries and wages				
Š		I anal fees				
ens		Accounting fees STMT 3	12,147.	6,074.		6,073.
찏		Other professional fees		3,0,20		0,015.
<u>Š</u>	17	Interest				
Operating and Administrative Expens	18	Taxes STMT 4	30,500.	0.		0.
inis	19	Depreciation and depletion				7,4 27 4 4
Ę	20	Occupancy				
ΑÞ	21	Travel, conferences, and meetings	8,166.	0.		8,166.
a	22 23	Printing and publications Other expenses STMT 5	250 502	154 216		104 066
ıting		Total operating and administrative	<u>258,582.</u>	154,316.		104,266.
era	27	expenses. Add lines 13 through 23	309,395.	160,390.		118,505.
ŏ	25	Contributions, gifts, grants paid	1,200,934.	100,350.	· · · · · · · · · · · · · · · · · · ·	1,200,934.
		Total expenses and disbursements.				<u> </u>
		Add lines 24 and 25	1,510,329.	160,390.		1,319,439.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	1,826,456.		,	
		Net investment income (if negative, enter -0-)		3,174,395.		
32250		Adjusted net income (if negative, enter -0-)			N/A	

323501 10-10-13 LHA For Paperwork Reduction Act Notice, see instructions. Form **990-PF** (2013

		0-PF(2013) COOKE FOUNDATION, LIMIT			7120804 Page 2		
Part I		Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year		of year		
<u>.</u>	ui L	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value		
	1	Gash - non-interest-bearing	7,079.				
	2	Savings and temporary cash investments	433,425.	705,769.	705,769.		
	4	Accounts receivable ►			N. A. TRAFE & THE D. CO. V. W. C. LAN. St.		
		Less: allowance for doubtful accounts	[`				
	4	Pledges receivable ►		· ·			
	i	Less; allowance for doubtful accounts	[l n	in ") sin 10 m medicing.		
	_	Grants receivable					
		Receivables due from officers, directors, trustees, and other					
	"		1				
	١,	disqualified persons		1 13 14 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	7,757,45,47 ,5,47 ,7,1		
	(Other notes and loans receivable	<u>-</u> ∴	and the control of the second states	Blumber		
	١.	Less: allowance for doubtful accounts		· · · — · · · · · · · · · · · · · · · ·			
Assets		Inventories for sale or use	<u> </u>				
1SS		Prepaid expenses and deferred charges		1 460 671	1 460 002		
•	1	Investments - U.S. and state government obligations STMT . 7	0.				
		Investments - corporate stock STMT 8	0.	10,351,610.	11,370,463.		
	C	Investments - corporate bonds STMT 9	0.	4,955,425.	5,019,552.		
	11	******			namanakannasainsa jada arabandaka daganasa k		
	1	Less: accumulated depreciation					
	12	Investments - mortgage loans					
	13	Investments - other STMT 10	19,740,043.	4,540,442.	4,905,310.		
	14	Land, buildings, and equipment basis ▶	Property of the state of the st	The state of the s	Abir for spring and a state of the abir and a state of		
	1	Less accumulated depreciation					
	15	Other assets (describe >					
	16	Total assets (to be completed by all filers - see the					
		instructions. Also, see page 1, item 1)	20,180,547.	22,021,917.	23,471,077.		
	17	Accounts payable and accrued expenses					
		Grants payable	`				
(O		Deferred revenue					
Liabilities		Loans from officers, directors, trustees, and other disqualified persons					
Ē	21	Mortgages and other notes payable					
===	1	Other liabilities (describe)					
	22	Other Habilides (describe					
	22	Total liabilities (add lines 17 through 22)	0.	0.			
_	23	Foundations that follow SFAS 117, check here					
S	١.,	and complete lines 24 through 26 and lines 30 and 31.	20,180,547.	22,021,917.			
ĕ	24	Unrestricted	20,100,547.	44,041,917.			
ala	25	Temporarily restricted	<u> </u>				
B	26	Permanently restricted					
Ę		Foundations that do not follow SFAS 117, check here					
Net Assets or Fund Balances	l.	and complete lines 27 through 31.					
ğ	27	Capital stock, trust principal, or current funds					
SSe	28	Paid-in or capital surplus, or land, bldg., and equipment fund	 				
Ž	29	Retained earnings, accumulated income, endowment, or other funds \dots					
Š	30	Total net assets or fund balances	20,180,547.	22,021,917.			
	ĺ		ľ				
_	31	Total liabilities and net assets/fund balances	20,180,547.	22,021,917.			
Г	art	Analysis of Changes in Net Assets or Fund E	Ralances				
드	art	III 74 days of on angos in Not 7550 to 1 days					
1	Total	net assets or fund balances at beginning of year - Part II, column (a), line	30				
	(mus	t agree with end-of-year figure reported on prior year's return)		<u>1</u>	20,180,547.		
2		amount from Part I, line 27a		2	1,826,456.		
3	Other	increases not included in line 2 (itemize) RETURN OF E	RIOR YEAR GRA		15,000.		
		ines 1, 2, and 3		4	22,022,003.		
		eases not included in line 2 (itemize)	SEE ST	ATEMENT 6 5	86.		
		net assets or fund balances at end of year (line 4 minus line 5) - Part II, o		6	22,021,917.		
<u>~</u>	, old	Total and a form banding at one of your finite a tribital mile of a artiful	John Marie Co.		Form 990-PF (2013)		

10-10-13

12510/20 75755/ 26070

Ľ	Part IV Capital Gains	and Losses for Tax on Ir	ivestmen	t income					
	(a) List and descr 2-story brick wa	ribe the kind(s) of property sold (e.g rehouse; or common stock, 200 sh	., real estate, s. MLC Co.)		(b) I	low acquired - Purchase - Donation	(c) Dat (mo.,	e acquired day, yr.)	(d) Date sold (mo., day, yr.)
18	SEE ATTACHED S	CHEDULE			 -	P	VAR	IOUS	VARIOUS
	SEE ATTACHED S					P		IOUS	VARIOUS
_	ENBRIDGE ENERG	Y PTNRS LP - K-1				P		IOUS	VARIOUS
	ENBRIDGE ENERG	Y PTNRS LP - K-1				P	VAR	IOUS	VARIOUS
_6	CAPITAL GAINS	DIVIDENDS							
	(e) Gross sales price	(f) Depreciation allowed (or allowable)		st or other basis expense of sale				Gain or (loss us (f) minus	
a	12,028,624.		1	1,765,30	1.				263,323.
_b	16,119,209.		1	3,991,28	6.				2,127,923.
_					2.				<2.
_6									268.
_6			l		_		<u></u> .		7.
_	Complete only for assets showin	g gain in column (h) and owned by						(Col. (h) gain	
	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69		cess of col. (i) col. (j), if any			Losse	t not less that s (from col.	(h))
_2		7-1			_				<u> 263,323.</u>
_b		···			_				2,127,923.
_0		······································							<u> </u>
d									268.
_e			l		_				<u>7.</u>
2	Capital gain net income or (net ca	pital loss) $ \begin{cases} & \text{if gain, also enter} \\ & \text{if (loss), enter -0} \end{cases} $			$\left \cdot \right $	2			2,391,519.
3	Net short-term capital gain or (los If gain, also enter in Part I, line 8,		nd (6):						
	If (loss), enter -0- in Part I, line 8				1	3		N/A	•
F	Part V Qualification U	nder Section 4940(e) for	Reduced	Tax on Net	Inv	estment Ir	come	-1/.	<u>`</u>
(Fo	or optional use by domestic private	foundations subject to the section	4940(a) tax on	net investment in	come	r)			
						•			
11 3	section 4940(d)(2) applies, leave th	iis part diank.							
	as the foundation liable for the sect				iod?			•••	Yes X No
	Yes," the foundation does not qual								
1	Enter the appropriate amount in e	ach column for each year; see the i	nstructions be	fore making any e	ntries	<u>. </u>			7.0
	Base period years Calendar year (or tax year beginnir	ng in) Adjusted qualifying dis	tributions	Net value of no	(c) nchar	itable-use asse	ts	Distrii (col. (b) dr	(d) bution ratio vided by col. (c))
	2012	1,21	9,561.		22	,105,16	8.	<u> </u>	.055171
_	2011	95	8,458.			,257,82			.045087
_	2010	1,12	7,786.		21	,508,61	6.		.052434
_	2009	1,17	3,603.		20	,334,65	3.		.057714
_	2008	1,24	6,429.		<u> 19</u>	,247,46	9.		
									064758
	Total of line 1, column (d)							ł	
3					·····		. 2		
	Average distribution ratio for the 5	i-year base period - divide the total	on line 2 by 5,	or by the number	of ye	ars		_	.275164
	Average distribution ratio for the 5	s-year base period - divide the total nce if less than 5 years	on line 2 by 5,	or by the number	of ye	ars			.275164
	Average distribution ratio for the 5 the foundation has been in existen	i-year base period - divide the total lice if less than 5 years	on line 2 by 5,	or by the number	of ye	ars 	3	2	.275164
	Average distribution ratio for the 5	i-year base period - divide the total lice if less than 5 years	on line 2 by 5,	or by the number	of ye	ars 	3	2	.275164
4	Average distribution ratio for the 5 the foundation has been in existen	i-year base period - divide the total ince if less than 5 yearsle-use assets for 2013 from Part X,	on line 2 by 5,	or by the number	of ye	ars	3	2	.064758 .275164 .055033 22,721,590. 1,250,437.
4	Average distribution ratio for the 5 the foundation has been in exister Enter the net value of noncharitable Multiply line 4 by line 3	i-year base period - divide the total ince if less than 5 years le-use assets for 2013 from Part X,	on line 2 by 5,	or by the number	of ye	ars	3	2	.275164 .055033
4	Average distribution ratio for the 5 the foundation has been in exister Enter the net value of noncharitable	i-year base period - divide the total ince if less than 5 years le-use assets for 2013 from Part X,	on line 2 by 5,	or by the number	of ye	ars	3	2	.275164
4 5 6	Average distribution ratio for the 5 the foundation has been in exister Enter the net value of noncharitable Multiply line 4 by line 3	is-year base period - divide the total ince if less than 5 years	on line 2 by 5,	or by the number	of ye.	ars	. 4	2	.275164 .055033 2,721,590. 1,250,437. 31,744.
4 5 6	Average distribution ratio for the 5 the foundation has been in exister Enter the net value of noncharitable Multiply line 4 by line 3	is-year base period - divide the total ince if less than 5 years	on line 2 by 5,	or by the number	of ye.	ars	. 4	2	.275164 .055033 2,721,590. 1,250,437.
4 5 6 7	Average distribution ratio for the 5 the foundation has been in exister Enter the net value of noncharitable Multiply line 4 by line 3	is-year base period - divide the total ince if less than 5 years	on line 2 by 5,	or by the number	of ye	ars	. 4	2	.275164 .055033 22,721,590. 1,250,437. 31,744. 1,282,181.
4 5 6 7	Average distribution ratio for the 5 the foundation has been in exister. Enter the net value of noncharitable Multiply line 4 by line 3 Enter 1% of net investment income Add lines 5 and 6 Enter qualifying distributions from	is-year base period - divide the total ince if less than 5 years	on line 2 by 5,	or by the number	of ye	ars	3 4 5 6	2	.275164 .055033 2,721,590. 1,250,437. 31,744.
4 5 6 7	Average distribution ratio for the 5 the foundation has been in exister. Enter the net value of noncharitable Multiply line 4 by line 3 Enter 1% of net investment income Add lines 5 and 6 Enter qualifying distributions from	is-year base period - divide the total ince if less than 5 years	on line 2 by 5,	or by the number	of ye	ars	3 4 5 6		.275164 .055033 22,721,590. 1,250,437. 31,744. 1,282,181.

	990-PF (2013) COOKE FOUNDATION, LIMITED rt VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4		7120 - see i			age 4
1a	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1.			,		
	Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)	.			*,	
h	Domestic foundations that meet the section 4940(e) requirements in Part V, check here X and enter 1%	1		31	L,7	44.
·	of Doubl. See 07h	·	,	· · (
^	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).	-	` .	, .		•
	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2] - · · · ·	. x'		0.
	Add lines 1 and 2	3	<u> </u>	31	L , 7	44.
3	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	 			0.
4		5		3.	1,7	
o o	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	. :				33.
	Credits/Payments:	12.				
	2013 estimated tax payments and 2012 overpayment credited to 2013 6a 46,229.	(35°).	*1, '		1,1 /	,
	Exempt foreign organizations - tax withheld at source			3,3	- 1	: :
	Tax paid with application for extension of time to file (Form 8868)	136" C	144	·** 3 ** 6	· ·	, *
	Backup withholding erroneously withheld	Sad Sec.	· mrmi · ·			
7	Total credits and payments. Add lines 6a through 6d	7	<u> </u>	4 (5,2	<u> 29.</u>
8	Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached	8	ļ			
	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9_	ļ			
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	ļ	14	4,4	<u>85.</u>
	Enter the amount of line 10 to be: Credited to 2014 estimated tax	11	<u> </u>			0.
	rt VII-A Statements Regarding Activities			Ten Samurana I		
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene	in		h byth	Yes	
	any political campaign?			1a		<u>X</u> _
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?		15		<u> </u>
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials publish	ed or			3,	
	distributed by the foundation in connection with the activities.			avidino.	ásinstitut ses	d you added that a
C	Did the foundation file Form 1120-POL for this year?			10		X
đ	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:					5,45
	(1) On the foundation. \blacktriangleright \$ 0 . (2) On foundation managers. \blacktriangleright \$ 0 .	_				1 1/2
е	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation	•				71.1 100 - 11.1
	managers. ▶ \$ 0.					
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?			2		X
	If "Yes," attach a detailed description of the activities.			144	246	
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation,	or				
•	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes			3	*******	X
4 a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	•••••	••••••	42		X
	If "Yes," has it filed a tax return on Form 990-T for this year?		J/A	4b		
	Was there a liquidation, termination, dissolution, or substantial contraction during the year?			5		X
•	If "Yes," attach the statement required by General Instruction T.	•		138	1, 5	N. State
ß	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:			100 m	1	
·	By language in the governing instrument, or			3.5		
	 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state 	a bw		1,000	537	1.5
		3 KUW		2.22. Karahan	X	fam. sa
,	remain in the governing instrument?			7	X	
7	This the foundation have at least \$5,000 in assets at any time during the year in test, complete Part II, cor. (c), and Part X	<i>'</i>	•••••	////	<u>, , , , , , , , , , , , , , , , , , , </u>	
•	The state of the state of the form of the state of the st			1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		4 y*-
Вa	Enter the states to which the foundation reports or with which it is registered (see instructions)			J.,	·	
	HI				,	٠,
D	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)				7	-
_	of each state as required by General Instruction G? If "No," attach explanation			8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for call	endar			****	ı :
	year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.			9_		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	<u></u>	·	10		X
			For	m 990	-PF	(2013)

323531 10-10-13

orm	990-PF (2013) COOKE FOUNDATION, LIMITED 23-712	0804		Page 5
Pε	art VII-A Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
	section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		Х
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?			
	If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ► HTTP://WWW.COOKEFDN.ORG			
14	The books are in care of ► HAWAII COMMUNITY FOUNDATION Telephone no. ► (808)	566	<u>-55</u>	24
	Located at ► 827 FORT STREET MALL, HONOLULU, HI ZIP+4 ►9	<u>6813</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here			
	and enter the amount of tax-exempt interest received or accrued during the year	N	/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank,		Yes	No
	securities, or other financial account in a foreign country?	16	L	<u>X</u>
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign		`	
D-	country			
Pa	art VII-B Statements Regarding Activities for Which Form 4720 May Be Required			A
4.	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. During the year did the foundation (either directly or indirectly):	* .	Yes	No
14	AN Franchist I I I I I I I I I I I I I I I I I I I	1 ` `		,
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)	1	3. T	
	Attender to the control of the contr		ا ا	
	a disqualified person? Yes X No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No		ا معرد د معرد	
	(A) Development that the second section to the section to the second section to the sec	, QX.) - (5)	*****	1.51
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No (5) Transfer any income or assets to a disqualified person (or make any of either available			
	for the benefit or use of a disqualified person)?		55.	, "
	(6) Agree to pay money or property to a government official? (Exception. Check "No"	* 1		-
	if the foundation agreed to make a grant to or to employ the official for a period after	***		, .
	termination of government service, if terminating within 90 days.)		3.0	
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations	133	#1.3.7 2.2.7	
	section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b)* * 4* r=>	بالأعبية والم
	Organizations relying on a current notice regarding disaster assistance check here	117, 14,	3.22	, ,
£	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected	- NA - C		,-
	before the first day of the tax year beginning in 2013?	1c	" `	x
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation			
	defined in section 4942(j)(3) or 4942(j)(5)):	1.50		. 45
2	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning	4.	<u> </u>	
	before 2013? Yes X No		\$7	3
	If "Yes," list the years \blacktriangleright ,,,,,,		1 7 12. 1	·
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect	1,00		
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach	ii:		
	statement - see instructions.) N/A	2b		
C	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.			
_				-
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time			
ı	during the year? Yes X No	*		
D	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after		•	,
	May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose	٠.,		
	of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,		11	
4-	Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A	3b_		
	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
U	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?			•
		4b orm 99 0)_DE	(2012)
		いいい フラい	ノード・ド	(2013)

Form 990-PF (2013) COOKE FOUNDATION, LIMITE	D		23-712080) 4 Page 6
Part VII-B Statements Regarding Activities for Which F	orm 4720 May Be F	Required (continu	ied)	
5a During the year did the foundation pay or incur any amount to: (1) Carry on propaganda, or otherwise attempt to influence legislation (section (2) Influence the outcome of any specific public election (see section 4955); or any voter registration drive? (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a charitable, etc., organization 509(a)(1), (2), or (3), or section 4940(d)(2)? (5) Provide for any purpose other than religious, charitable, scientific, literary,	4945(e))? r to carry on, directly or indirectly or ind	Ye:	s X No s X No s X No s X No	
the prevention of cruelty to children or animals? b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify und section 53.4945 or in a current notice regarding disaster assistance (see instru-Organizations relying on a current notice regarding disaster assistance check h	er the exceptions described in the ctions)?	Ye In Regulations	N/A 5	ib
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption frexpenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945	om the tax because it maınta	ined		
 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pa personal benefit contract? b Did the foundation, during the year, pay premiums, directly or indirectly, on a part of the foundation. 	ersonal benefit contract?			36 X
7a At any time during the tax year, was the foundation a party to a prohibited tax s b. If "Yes," did the foundation receive any proceeds or have any net income attributed the foundation About Officers, Directors, Trustor	table to the transaction?		N/A 7	7b 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 100 1
1 List all officers, directors, trustees, foundation managers and their	compensation.			
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deterred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.
2 Compensation of five highest-paid employees (other than those inc		, enter "NONE."		
(a) Name and address of each employee paid more than \$50,000 NONE	(b) Title, and average hours per week devoted to position	(c) Compensation	(cl) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000			. Form	990-PF (2013)

323551 10-10-13

Form 990-PF (2013) COOKE FOUNDATION, LIMITED	23-7	120804 Page 7
Part VIII . Information About Officers, Directors, Trustees, Four Paid Employees, and Contractors (continued)	ndation Managers, Highly	
3 Five highest-paid independent contractors for professional services. If none, e	nter "NONE."	·
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services	• • • • • • • • • • • • • • • • • • • •	<u>▶</u> 0
Part IX-A Summary of Direct Charitable Activities		
List the foundation's four largest direct charitable activities during the tax year. Include relevant's number of organizations and other beneficiaries served, conferences convened, research papers	tatistical information such as the produced, etc.	Expenses
1 <u>N/A</u>		
2		
3		
4		
Part IX-B Summary of Program-Related Investments		
Describe the two largest program-related investments made by the foundation during the tax year	r on lines 1 and 2.	Amount
1 N/A		
2		
All other program-related investments. See instructions.		
3		
Tetal Add lines 1 through 2	▶ 1	Λ

0 . Form **990-PF** (2013)

	William investment Neturn (All domestic foundations must complete this part, Poreign foundations		
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	٠,	
a	Average monthly fair market value of securities	1a	21,868,814.
b	Average of monthly cash balances	1b	1,198,790.
C	Fair market value of all other assets	1c	
ď	Total (add lines 1a, b, and c)	1d	23,067,604.
е	Reduction claimed for blockage or other factors reported on lines 1a and	١.,	
	1c (attach detailed explanation) 1e0 .	7 .	
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	23,067,604.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	346,014.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5_	22,721,590.
6	Minimum investment return. Enter 5% of line 5	6	1,136,080.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations ar	d certain	
	foreign organizations check here 🕨 🔙 and do not complete this part.)		
1	Minimum investment return from Part X, line 6	1	1,136,080.
2a	Tax on investment income for 2013 from Part VI, line 5 2a 31,744.	30, 54, 34	
b	Income tax for 2013. (This does not include the tax from Part VI.)	s Same	
C	Add lines 2a and 2b	2c	31,744.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,104,336.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,104,336.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,104,336.
P	art XII Qualifying Distributions (see instructions)		
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:) ; (); m. 43a-ac	
а	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	1,319,439.
b	Program-related investments - total from Part IX-B	16	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:	1°, 1	
а	Suitability test (prior IRS approval required)	3a	
	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,319,439.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment		
	Income. Enter 1% of Part I, line 27b	5	31,744.
6	Adjusted qualifying distributions, Subtract line 5 from line 4	6	1,287,695.
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation	qualifies	for the section

Form **990-PF** (2013)

Part XIII Undistributed Income (see instructions)

•	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI,		*		
line 7	,1			1,104,336.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only		1,11	0.	
b Total for prior years:				
 ', 		0.		
3 Excess distributions carryover, if any, to 2013:	r	, ,		
a From 2008 264,130.	, A1	4 164	, ,,	
b From 2009 166,914.	•			•
eFrom 2010 114,753.		, * ,	,	
dFrom 2011	,	, , , ,	,	
eFrom 2012 130,233.	(FC 020	- */ * *	`	
f Total of lines 3a through e	676,030.	() () () () () () () () () ()		
4 Qualifying distributions for 2013 from			* * * * * * * * * * * * * * * * * * * *	
Part XII, line 4: ►\$ 1,319,439.				, ,
a Applied to 2012, but not more than line 2a			0.	, , , , , , , , , , , , , , , , , , , ,
b Applied to undistributed income of prior				·, · · · · · ·
years (Election required - see instructions)	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	0.		
c Treated as distributions out of corpus	0.			,
(Election required - see instructions) d Applied to 2013 distributable amount	· · · · · · · · · · · · · · · · · · ·		, h (, , , , , , , , , , , , , , , , , ,	1 104 226
	215,103.			1,104,336.
e Remaining amount distributed out of corpus 5 Excess distributions carryover applied to 2013	215,103.	1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	2 3 4	
(If an amount appears in column (d), the same amount			4 5 5 5 6	0.
must be shown in column (a).)			i tali i ta	,
6 Enter the net total of each column as indicated below:	,, ,			* *
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	891,133.			
b Prior years' undistributed income. Subtract	3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3. 3	, , ,		<u> </u>
line 4b from line 2b	74	0.		,
c Enter the amount of prior years'	425 14	0.	* ,	
undistributed income for which a notice of				
deficiency has been issued, or on which			3 2011	
the section 4942(a) tax has been previously assessed		0.		, · · · · · · · · · · · · · · · · · · ·
d Subtract line 6c from line 6b. Taxable	aleste in the second		, 30	35 4 5 4
amount - see instructions		0.	1 71	,
e Undistributed income for 2012. Subtract line		1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1		
4a from line 2a. Taxable amount - see instr.	(1), , ki , 1, , , , , , , , , , , , , , , , , ,	1	0.	, * *
f Undistributed income for 2013. Subtract				
lines 4d and 5 from line 1. This amount must	, .	· ·	,	
be distributed in 2014	,	`,`		0.
7 Amounts treated as distributions out of		,		
corpus to satisfy requirements imposed by		, ,	, ,	
section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008		,		
not applied on line 5 or line 7	264,130.		,	
9 Excess distributions carryover to 2014.		,		
Subtract lines 7 and 8 from line 6a	627,003.			
10 Analysis of line 9:		^ •		
a Excess from 2009 166,914.				
b Excess from 2010 114,753.				
c Excess from 2011				
d Excess from 2012 130,233.				
e Excess from 2013 215,103.				

	OUNDATION,		A O)		120804 Page 10
Part XIV Private Operating F			A, question 9)	N/A	
1 a If the foundation has received a ruling o			ļ		
foundation, and the ruling is effective fo		=	▶ ∟		
b Check box to indicate whether the found		ng foundation described i		4942(j)(3) or4	1942(j)(5)
2 a Enter the lesser of the adjusted net	Tax year (a) 2013	(b) 2012	Prior 3 years (c) 2011	(d) 2010	(a) Total
income from Part I or the minimum	(a) 2013	(0) 2012	(6) 2011	(u) 2010	(e) Total
investment return from Part X for					
each year listed				ļ	
b 85% of line 2a	<u> </u>			ļ	
c Qualifying distributions from Part XII,		1			
line 4 for each year listed				 	
d Amounts included in line 2c not					
used directly for active conduct of					
exempt activities e Qualifying distributions made directly				 	
for active conduct of exempt activities.					
Subtract line 2d from line 2c	ŀ				
3 Complete 3a, b, or c for the					
alternative test relied upon:	'				
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying					
under section 4942(j)(3)(B)(i) b "Endowment" alternative test - enter					
2/3 of minimum investment return					
shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:				<u> </u>	
(1) Total support other than gross					
investment income (interest,					
dividends, rents, payments on securities loans (section					
512(a)(5)), or royalties)					
(2) Support from general public					
and 5 or more exempt organizations as provided in		į			
section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from					
an exempt organization					
(4) Gross investment income	(01	1		1 45 000	<u> </u>
Part XV Supplementary Info			it the foundation	i nad \$5,000 or n	nore in assets
1 Information Regarding Foundation	·····	14040113.7			
a List any managers of the foundation wh	_	than 2% of the total cont	ributions received by the	foundation before the c	lose of any tay
year (but only if they have contributed r			ibalions received by an	, lostidation belove the c	iosc of any tax
NONE					
b List any managers of the foundation wh	o own 10% or more of the	ne stock of a corporation (or an equally large porti	on of the ownership of a	partnership or
other entity) of which the foundation ha	s a 10% or greater intere	st			
NONE					
2 Information Regarding Contribut			•		
Check here if the foundation of the foundation makes gifts, grants, etc.	only makes contributions	to preselected charitable	organizations and does i	not accept unsolicited re	quests for funds. If
· · · · · · · · · · · · · · · · · · ·		 			IIO U.
a The name, address, and telephone num SEE ATTACHED GRANT G		ine person to whom appil	cations snould be addre	ssea:	
DEE ATTACHED GIVENT	OIDHIINED				
b The form in which applications should t		tion and materials they st	ould include:		
SEE ATTACHED GRANT G	UIDELINES				
c Any submission deadlines:	ינודה דאופס				
SEE ATTACHED GRANT G d Any restrictions or limitations on award		al areae charitable fields	kinds of institutions or	other factores	
SEE ATTACHED GRANT G		ai ai cas, chai kavie liciUS,	KIHUS OF HISHUUUNS, UF	omer idutura.	

Form **990-PF** (2013)

Part XV Supplementary Information (continued) 3 Grants and Contributions Paid During the Year or Approved for Future Payment If recipient is an individual, Recipient Purpose of grant or contribution Foundation status of show any relationship to Amount any foundation manager or substantial contributor Name and address (home or business) recipient a Paid during the year SEE ATTACHED SCHEDULE 1,200,934, Total **▶** 3a 1 200 934. b Approved for future payment NONE Total

Part XVI-A	Analysis of	Income-Producing	Activities
------------	-------------	------------------	------------

Enter gross amounts unless otherwise indicated.	Unrelat (a) Business	ed business income (b)	Exclu- Exclu- sion	(d)	(e) Related or exempt
1 Program service revenue:	code	Amount	code	Amount	function income
a	ļ		<u> </u>		
b			<u> </u>		
C	<u> </u>		<u> </u>		
d			<u> </u>		
e			<u> </u>		
f			<u> </u>		
g Fees and contracts from government agencies			<u> </u>		
2 Membership dues and assessments					
3 Interest on savings and temporary cash			ł		
investments					
4 Dividends and interest from securities				954,569.	
5 Net rental income or (loss) from real estate:		ME Decrease de Militar	Sel.	ABBUS ADDISOR	
a Debt-financed property					
b Not debt-financed property				· ·	
6 Net rental income or (loss) from personal property					
7 Other Investment income			14	<11,303.	>
8 Gain or (loss) from sales of assets other				122,5051	
than inventory			18	2,391,519.	
9 Net income or (loss) from special events			1	2/051/0150	
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:			\vdash		
a					
h					
			_		
·		·	-		
			├		
12 Subtotal. Add columns (b), (d), and (e)	SECOND ACTOR	1	72 A	3,334,785.	
12 Total Add line 12 columns (b), (d), and (e)	AND STREET	0.			0. 3,334,785.
13 Total. Add line 12, columns (b), (d), and (e)		•••••••••••••••••••••••••••••••••••••••	•••••	13_	3,334,703.
(See worksheet in line 13 instructions to verify calculations.)					
Relationship of Activities				-	
Line No. Explain below how each activity for which income the foundation's exempt purposes (other than	me is reported	in column (e) of Part XVI-A	contri	outed importantly to the accor	nplishment of
wile foundation's exempt purposes (other than	by providing it	mus for such purposes).			****
·		· · · · · · · · · · · · · · · · · · ·			
		·		·	
					
· · · · · · · · · · · · · · · · · · ·					
					· · · · · · · · · · · · · · · · · · ·
					
					
					
					- · · · · · · · · · · · · · · · · · · ·

Sign	Under penalties of perjury, I declare that I have examined this return, inclu- and belief, it is true, correct, and complete. Declaration of preparer (other t	han taxpayer) is based on all informa	
lere	The contract of the contract o	15/12/15	President
	Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? shown below (se

Sig	nature of officer or trustee	Date	Title	
Paid Preparer Use Only	1111110110110 7 01221212 012021 2	Preparer's signature WEN LLP	Date 04/30/15	Check if
Ose Only	Firm's address ► 3684 WAIALA HONOLULU, H			Phone no. 808 735-8585

Form 990-PF (2013)

FORM 990-PF	DIVIDENDS	AND INTER	EST	FROM SECUE	RITIES	STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDEND		(A) REVENUE PER BOOKS	(B) NET INVEST MENT INCOM		
BANK OF HAWAII MORGAN STANLEY	53,953. 900,623.		0. 7.	53,953 900,616	-		
TO PART I, LINE 4	954,576.		7.	954,569	954,569		
FORM 990-PF		OTHER I	NCON	Æ		STATEMENT	2
DESCRIPTION			REV		(B) NET INVEST- MENT INCOME	(C) ADJUSTE NET INCO	
ENBRIDGE ENERGY PTN ONEOK PARTNERS LP - LITIGATION SETTLEME	K-1			<9,622.> <2,995.> 1,314.	<9,622. <2,995. 1,314.	>	
TOTAL TO FORM 990-P	F, PART I,	LINE 11 _		<11,303.>	<11,303.	>	
FORM 990-PF		ACCOUNTI	NG I	?EES		STATEMENT	3
DESCRIPTION		(A) EXPENSES PER BOOKS	NE?	(B) F INVEST- NT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITA PURPOS	
TAX PREPARATION FEE AUDIT FEES	s	3,665. 8,482.		1,833. 4,241.		1,8 4,2	
TO FORM 990-PF, PG	1, LN 16B	12,147.		6,074.		6,0	73.

FORM 990-PF	TAX	ES	S	TATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAXES	30,500.	0.		0.
TO FORM 990-PF, PG 1, LN 18	30,500.	0.		0.
FORM 990-PF	OTHER E	XPENSES	S	TATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GRANTS ADMINISTRATION FEES MEMBERSHIP DUES STATIONARY & PRINTING AGENT FEES TO BANK OF HAWAII INVESTMENT MANAGEMENT FEES INVESTMENT CONSULTANT FEES ENBRIDGE ENERGY PTNRS LP - K-1	82,500. 2,470. 6,230. 22,141. 115,145. 30,087.	115,145.		82,500. 2,470. 6,230. 5,535. 0. 7,522.
TO FORM 990-PF, PG 1, LN 23	258,582.	154,316.		104,266.
FORM 990-PF OTHER DECREASE DESCRIPTION			BALANCES S	STATEMENT 6
NON-DEDUCT EXPENSES - LIMITEI		PS K-1		86.
TOTAL TO FORM 990-PF, PART II	II, LINE 5			86

	r obligations	STATEMENT 7
DESCRIPTION U.S. OTHER GOV'T GOV'T	BOOK VALUE	FAIR MARKET VALUE
GOVERNMENT SECURITIES X	1,468,671.	1,469,983.
TOTAL U.S. GOVERNMENT OBLIGATIONS		
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS	1,468,671.	1,469,983.
TOTAL TO FORM 990-PF, PART II, LINE 10A	1,468,671.	1,469,983.
FORM 990-PF CORPORATE STOCK		STATEMENT 8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCKS	10,351,610.	11,370,463.
TOTAL TO FORM 990-PF, PART II, LINE 10B	10,351,610.	11,370,463.
FORM 990-PF CORPORATE BONDS		STATEMENT 9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	4,955,425.	5,019,552.
TOTAL TO FORM 990-PF, PART II, LINE 10C	4,955,425.	5,019,552.
FORM 990-PF OTHER INVESTMENTS		STATEMENT 10
DESCRIPTION METHOD	BOOK VALUE	FAIR MARKET VALUE
EXCHANGE-TRADED & CLOSED-END FDS COST LIMITED PARTNERSHIPS COST	4,452,559.	4,777,825. 127,485.

	T OF OFFICERS, D FOUNDATION MANA		STATI	EMENT 11
NAME AND ADDRESS	TITLE AND AVRG HRS/WK		EMPLOYEE BEN PLAN CONTRIB	
DALE S. BACHMAN 827 FORT STREET MALL HONOLULU, HI 96813	PRESIDENT/TRUS	TEE 0.	0.	0.
LYNNE JOHNSON 827 FORT STREET MALL HONOLULU, HI 96813	VICE PRES/TRUS	TEE 0.	0.	0.
ELIZABETH DUNFORD 827 FORT STREET MALL HONOLULU, HI 96813	VICE PRES/TRUS 1.00	TEE 0.	0.	0.
CATHERINE COOKE 827 FORT STREET MALL HONOLULU, HI 96813	VICE PRES/TRUS	TEE 0.	0.	0.
CAROLINE BOND DAVIS 827 FORT STREET MALL HONOLULU, HI 96813	SECRETARY/TRUS	TEE 0.	0.	0.
CHARLES SPALDING JR. 827 FORT STREET MALL HONOLULU, HI 96813	TREASURER/TRUS	TEE 0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6	, PART VIII	0.	0.	0.

Cooke Foundation Grant Application Guidelines 2014

Mission

The Cooke Foundation supports worthy endeavors in the community that the family feels will make a significant difference in the betterment and welfare of the people of Hawai'i.

Eligibility and priorities

The Foundation's priority interests are arts, culture, humanities; education; environment; and human services. The Foundation may make grants in other areas of interest at the discretion of the Trustees, but unsolicited proposals outside its priority interests will not be considered.

Applicants must be classified under section 501(c)(3) of the Internal Revenue Code. The Foundation does not make grants to supporting organizations classified under section 509(a)(3) of the Internal Revenue Code.

Applicants must be in existence for five years and be in stable financial condition.

The Foundation does not generally fund loans; operating support; endowments; funds for re-granting; conferences, festivals, and similar one-time events; religious programs; activities that have already occurred; scholarships; and grants to individuals or for the benefit of identified individuals.

Applications from a unit of the University of Hawai`i must be submitted through the University of Hawai`i Foundation.

A grantee may not receive more than one grant in any fiscal year (July through June), except that a grantee serving as the fiscal sponsor for another organization may receive a second grant for its own project.

The term of a grant is usually one year. In general, organizations may receive a maximum of three consecutive years of grant support.

The Foundation may choose to fund over a number of years. In the case of a multi-year pledge, payments are released in twelve-month intervals, and each payment is contingent on receipt of a satisfactory progress report.

Requests to the Foundation over \$5,000 may not exceed 30% of the project budget.

Requests for more than \$20,000 must be sponsored by a Trustee.

The Foundation does not accept incomplete applications or applications from organizations with overdue reports.

Application procedures

Online Submission

This application is available for online submission. Your organization must first establish an online account with the Hawaii Community Foundation to access the online application. Please go to https://nexus.hawaiicommunityfoundation.org/nonprofit to request an account or, if you already have an account, to access the online application.

Note

If you are requesting an account for the first time, it may take two to three days for you to receive the account information. We recommend that you request your account early to give yourself adequate time to complete the application by the submission deadline. If you are not able to submit your proposal online, please contact Terry Savage, via email at tsavage@hcf-hawaii.org or call (808)566-5508, toll free from neighbor islands (888)731-3863 ext. 508.

Executive Summary

Please summarize the proposal narrative that follows as concisely as possible, using the same headings. (Maximum 4,000 character count, single spaced)

Proposal narrative

<u>Organization</u>: Describe the organization, including mission and history, year established, geographic reach, staff size, and staff capabilities to conduct the proposed work. (Maximum 3,500 character count, single spaced)

<u>Problem or opportunity:</u> Describe the problem or opportunity to be addressed by the project. Describe the population that will benefit from the project, including an estimate of size or numbers. (Maximum 5,000 character count, single spaced)

<u>Activities</u>: Describe the activities to be performed, and the services or products to be delivered, including quantities and a timeline. If the project is a partnership, describe each partner's role. Explain why you chose this approach to the problem or opportunity. (Maximum 5,000 character count, single spaced)

<u>Expected outcomes</u>: Explain how participants or the community will benefit. (Provide specific, measurable expected results.) Describe the plan to determine the effectiveness of the project. (Maximum 3,000 character count, single spaced)

<u>Funding plan</u>: Explain the project budget, including adjustments to be made if not all anticipated funding is received. Describe the plan, if any, to continue funding the project after the grant period ends. Requests to the Foundation over \$5,000 may not exceed 30% of the project budget. (Maximum 3,000 character count, single spaced)

Addendum for capital requests: Capital campaign: Describe how the capital campaign is being conducted and the experience of board members and staff with capital campaigns. Identify how much funding has been secured as of the date of the proposal. (Maximum 3,000 character count, single spaced) Construction: Describe the form of site control, including relevant terms of long-term leases or purchase agreements if not under ownership. Describe the status and timeline for design and engineering work and the status of required permits. Provide the source for cost estimates. Describe who will manage the design and construction phases and their experience in this work. (Maximum 2,500 character count single spaced)

Additional required documents

Please upload these files:

Project budget showing: Anticipated income (source, amount, restrictions, and whether secured or pending); Anticipated expenses (overall expenses, and expenses for which Cooke Foundation grant will be used)

Board of directors list

IRS 501(c)(3) determination letter (not required if applying through a fiscal sponsor)

Organization's annual operating budget for the current year

Organization's balance sheet for the most recently completed fiscal year

Organization's income statement (or profit/loss statement) for the most recently completed fiscal year

Audited financial statements are preferred but not required. Local units of national organizations must submit local unit financial information.

If a fiscal sponsor is involved, please upload the following additional files:

Fiscal sponsor's Board of Directors Resolution authorizing project fiscal sponsorship available at: http://www.hawaiicommunityfoundation.org/nonprofits/fiscal-sponsor-materials

Fiscal Sponsor's Agreement (click to download template) available at: http://www.hawaiicommunityfoundation.org/nonprofits/fiscal-sponsor-materials

Fiscal Sponsor's IRS 501 (c)(3) determination letter

Fiscal Sponsor's Board of Director's list

Fiscal Sponsor's annual operating budget for the current year

Fiscal Sponsor's balance sheet for the most recently completed fiscal year

Fiscal Sponsor's income statement (or profit/loss statement) for the most recently completed fiscal year

Audited financial statements are preferred but not required.

Local units of national organizations must submit local unit financial information.

Deadlines

For applications to be considered, applications must be submitted online by clicking the "Submit" button on the final page of the application. Applications submitted by 5:00 pm (HST) on these dates will be considered at the next Board of Trustees meeting in the following months:

March 3, 2014 at May meeting, decisions announced early June September 2, 2014 at November meeting, decisions announced early December

Contact Information

For more information, contact Terry Savage via email at tsavage@hcf-hawaii.org or call (808) 566-5508 or toll-free from neighbor islands (888) 731-3863 ext. 508.

		ndation, Limited		
	2014	Grants List	<u>-</u>	
				
Organization	Address	Project Description	Check Date	Payment Amount
After-School All-Stars Hawaii	4747 Kilauea Ave., #210 Honolulu, HI 96816	After-School Programs in Ten Title I Schools	6/13/14	15,000
Aha Kane Foundation for the Advancment of Native Hawaiian Males	677 Ala Moana Bivd. #1015 Honolulu, HI 96822	Hale Mua Project	6/13/14	19,768
Aikahi Elementary School	281 Ilihau St. Kailua, HI 96734	2013 Cooke Beautification Award	11/26/13	5,000
American Cancer Society	2370 Nuuanu Ave.Honolulu, HI96817-1714	Hope Lodge Hawaii - Temporary Housing Facility for Cancer Patients Who Are Undergoing Treatment	11/26/13	10,000
Artspace Projects, Inc.	250 Third Avenue North, Suite 500 Minneapolis, MN 55401	Ola Ka 'Ilima Artspace Lofts	6/13/14	20,000
Big Brothers Big Sisters Hawaii, Inc.	418 Kuwili St., Ste. 106 Honolulu, HI 96817	West Hawaii Island Expansion	6/13/14	19,500
Big Island Mediation, Inc.	PO Box 7020 Kamuela, HI 96743	Big Island Middle School Pilot Program Phase II - Conflict Resolution Education/Peer Mediation	4/9/14	6,500
Bishop Museum	1525 Bernice St. Honolulu, HI 96817-2704	Comprehensive Architectural and Programmatic Renovation of the Historic 120-Year Old Pacific Hall	5/29/14	50,000
Boys & Girls Club of Hawaii	1523 Kalakaua Ave., Rm. 202 Honolulu, HI 96826	General Operations	8/19/13	50,000
Camp Mokuleia Inc.	68-729 Farrington Highway Waialua, HI 96791	A Sustainable Transformation at Camp Mokule'ia	12/16/13	25,000
Extreme Response International, Inc.	P.O. Box 345 Snellville, GA 30078	Philippines Typhoon Haiyan Disaster Relief	11/26/13	5,000
Friends of Hawaii Robotics	P.O. Box 3019 Honolulu, HI 96802	Waialua Intermediate STEM Learning Center	6/13/14	10,000
Friends of the Future	P.O. Box 2655 Kamuela, HI 96743	Unwrapping the Standards	11/26/13	30,000
Friends of the Palace Theater	38 Haili Street Hilo, HI 96720	Theater Lighting and Audio Upgrades	11/26/13	10,000
Hawaii Community Foundation	827 Fort Street Mall Honolulu, HI 96813	Pathways to Resilient Communities	8/19/13	66,666
Hawaii Opera Theatre	848 S. Beretania St., Ste. 301 Honolulu, HI 96813	Hawaii Opera Theatre Production of Gilbert & Sullivan's "The Mikado"	6/13/14	20,000
Hawaii Public Television Foundation dba PBS Hawaii	PO Box 11599 Honolulu, HI 96828	New Home Campaign	11/26/13	50,000
Hawaii Youth Opera Chorus	P.O. Box 22304 Honolulu, HI 96823	Music Education for Oahu Elementary Schools	11/26/13	20,000
Hawaiian Mission Children's Society	553 S. King St. Honolulu, HI 96813	HMH 2014 Theatrical Productions	11/26/13	5,000
Honolulu Academy of Arts dba Honolulu Museum of Art	900 S. Beretania St. Honolulu, HI 96814-1495	Improving the Quality of the Visitor's Experience	6/13/14	20,000
Honolulu Academy of Arts dba Honolulu Museum of Art	900 S. Beretania St. Honolulu, HI 96814-1495	Annual Grant	6/17/14	100,000
Hospice of Hilo	1011 Waianuenue Ave. Hilo, HI 96720	Hospice of Hılo's Hawai'i Palliatıve Care Center	11/26/13	15,000
IHS, The Institute for Human Services, Inc.	546 Ka`aahi St. Honolulu, HI 96817	IHS's Rooftop Training and Education Center "Kulia I Ka Nuku"	11/26/13	20,000
Kapiolani Health Foundation	55 Merchant St., 26th Fir. Honolulu, HI 96813	Phase 1 Renovation of Kapiolani Medical Center for Women & Children	12/16/13	50,000
Kauai Christian Fellowship	PO Box 633 Lawai, HI 96765	Youth and Sports Center	11/26/13	50,000
Kauai Habitat for Humanity	P.O. Box 28 Eleele, HI 96705	Eleele Iluna Subdivision Infrastructure	6/13/14	25,000

		undation, Limited Grants List		
•	2014	Grants List		
			-	
Organization	Address	Project Description	Check Date	Payment Amount
Kuikahi Mediation Center, Inc.	101 Aupuni St., Ste. 1014 B2 Hilo, HI 96720	East Hawai'i Peer Mediation Elementary School Pilot Project	6/13/14	5,000
Leadership Kauai	PO Box 1567 Lihue, HI 96766	Pi'ina Hoku Youth Leadership Training Expansion 2013-2014	11/26/13	15,000
Love the Journey, Inc	PO Box 3076 Lihue, HI 96766	Extended Furlough Housing Program	11/26/13	5,000
Lutheran Church Missouri Synod Good Shepherd Preschool	638 N. Kuakini St. Honolulu, HI 96817	Preschool Expansion Project	6/13/14	5,000
Malama Maunalua	P.O. Box 240421 Honolulu, HI 96824	Growing Volunteer Leaders: Community Kuleana in Action	11/26/13	25,000
Manoa Heritage Center	2859 Manoa Rd. Honolutu, HI 96822	Manoa Heritage Center Visitor Hale and Education Center	5/29/14	100,000
Mental Health Kokua	1221 Kapiolani Blvd., Ste. 345 Honolulu, HI 96814	Video Support to Help Reduce Mental Health Stigma	6/13/14	5,000
National Tropical Botanical Garden	3530 Papalina Rd. Kalaheo, HI 96741	Cultivating Paradise: The Campaign for National Tropical Botanical Garden	11/26/13	50,000
Pacific Buddhist Academy	1710 Pali Hwy. Honolulu, HI 96813	Construction of a New Classroom Building	1/14/14	25,000
Paia Youth Council, Inc.	P. O. Box 790999 Paia, HI 96779	Equipment Upgrade for Pa`ia Youth & Cultural Center's Hekili Multimedia Lab Program	6/13/14	5,000
Project Vision Hawaii	P.O. Box 23212 Honolulu, HI 96823	Better Vision for Hawai'i: A Cataract Surgery Program for the Underserved	6/13/14	18,000
St. Andrew's Priory School	224 Queen Emma Sq. Honolulu, HI 96813	Education Equity and Access - Strategic Initiative	6/13/14	25,000
St. John Vianney Parish School	940 Keolu Dr. Kailua, HI 96734	An Early Learning Center for 3-Year Old Children	11/26/13	15,000
The Arc of Hilo	1099 Waianuenue Ave. Hilo, HI 96720	Roof Replacement Phase If	6/13/14	12,000
The Nature Conservancy of Hawaii	923 Nu'uanu Ave. Honolulu, HI 96817	Protecting Kauai's Native Forests	5/29/14	50,000
The Salvation Army - Hawaiian & Pacific Islands Division	P.O. Box 620 Honolulu, HI 96809	Preschool and Therapeutic Nursery Outdoor Play Area Enhancement, Phase 2	11/26/13	10,000
Tri-Isle Resource Conservation and Development Council	PO Box 338 Kahului, HI 96733	Makawao Historical Walking Tour	6/13/14	5,000
Trust for Public Land	101 Montgomery St., Suite 900 San Francisco, CA 94104	The Trust for Public Land's Agriculture Initiative	11/26/13	20,000
University of Hawaii Foundation	2444 Dole St., Bachman Hall 105 Honolulu, HI 96822	Culinary Institute of the Pacific at Diamond Head	1/14/14	50,000
University of Hawaii Foundation	P.O. Box 11270 Honolulu, HI 96828	Curve of the Hook Book	6/13/14	20,000
Waikiki Health	277 Ohua Ave. Honolulu, HI 96815	"Sew Awesome": A Pilot Sewing Skills Job Training Program to Empower Homeless Women	6/13/14	5,000
Walkoloa Dry Forest Initiative	68-3720 Lua Hoana Pl. Waikoloa, Hl 96738	Ho'ola hou	6/13/14	13,500
		Total grants paid FYE 6/14		1,200,934

Cooke Foundation, Limited								
Securities Sales - Short-Term Gains & Losse	ses							
Tax Year: 2013								
EIN: 23-7120804								
e Ee	Ouantity	Acquired Date	Period	Notation	Sale Date	Proceeds	Total Cost (Orig)	Gain / Loss
ABBVIE INC COM	2,540.000	3/11/13	Short	۵	7/12/13	113,548.47	96,722.69	16,825.78
AMER INTL GP INC NEW	530.000	3/11/13	Short		7/12/13	24,697.62	20,918.36	3,779.26
AMERICAN ELECTRIC POWER CO	1,150.000	9/24/12	Short	Р	7/12/13	53,521.90	50,738.00	2,783.90
APPLE INC	60.000	3/11/13	Short		7/12/13	25,487.10	25,697.27	(210.17)
ASTRAZENECA PLC ADS	810.000	9/24/12	Short		7/12/13	39,994.67	38,969.02	1,025.65
BP PLC ADS	2,220.000	3/11/13	Short	Р	7/12/13	93,756.95	90,132.00	3,624.95
COACH INC	850.000	9/24/12	Short	Р	7/12/13	50,129.23	48,067.50	2,061.73
CUMMINS INC	80.000	9/24/12	Short		7/12/13	9,207.05	7,642.04	1,565.01
DEERE & CO	330.000	9/24/12	Short	Ь	7/12/13	27,617.71	27,321.00	296.71
DOMINION RES INC (NEW)	530.000	3/11/13	Short		7/12/13	31,075.37	29,791.30	1,284.07
EXPEDITORS INTL WASH INC	710.000	9/24/12	Short		7/12/13	28,260.06	26,148.24	2,111.82
JOHN HANCOCK DISC VAL MDCP I	57,943.769	9/24/12	Short		9/9/13	954,913.31	753,268.42	201,644.89
KRAFT FOODS GROUP INC COM	1,550.000	11/28/12	Short		7/12/13	87,718.40	70,876.08	16,842.32
KRAFT FOODS GROUP INC COM	840.000	3/11/13	Short		7/12/13	47,537.71	41,946.83	5,590.88
MC DONALDS CORP	450.000	3/11/13	Short		7/12/13	45,563.50	44,478.00	1,085.50
MERCK & CO INC NEW COM	480.000	3/11/13	Short		7/12/13	23,218.97	20,793.60	2,425.37
MONSTER BEVERAGE CORP COM	440.000	11/28/12	Short	р	7/12/13	26,363.50	22,390.28	3,973.22
OCCIDENTAL PETROLEUM CORP DE	250.000	3/11/13	Short	Р	7/12/13	22,497.93	20,761.35	1,736.58
ORACLE CORP	710.000	9/24/12	Short	р	7/12/13	22,451.93	22,939.25	(487.32)
PRICELINE.COM INC NEW	40.000	11/28/12	Short	Р	7/12/13	36,659.36	26,122.40	10,536.96
REYNOLDS AMERICAN INC	470.000	11/28/12	Short		7/12/13	24,032.14	20,419.48	3,612.66
SENIOR HSG PPTY TR SBI	970.000	3/11/13	Short	Ф	7/12/13	26,262.19	24,435.56	1,826.63
TOTAL S A SPON ADR	260,000	9/24/12	Short		7/12/13	38,222.70	39,747.01	(1,524.31)
VARIAN MEDICAL SYS INC	400.000	9/24/12	Short		7/12/13	27,705.04	24,368.00	3,337.04
VODAFONE GP PLC ADS NEW	800.000	9/24/12	Short		7/12/13	23,515.51	23,024.00	491.51
T ROWE PRICE EMRG MKTS EQ INST	157.947	12/18/13	Short		2/19/14	4,383.21	4,637.32	(254.11)
GOLDMAN SACHS GROUP PFD D	216.000	9/16/13	Short		12/18/13	3,936.38	4,298.25	(361.87)
GOLDMAN SACHS GROUP PFD D	148.000	9/19/13	Short		12/18/13	2,697.15	2,970.36	(273.21)
GOLDMAN SACHS GROUP PFD D	336.000	9/20/13	Short		12/18/13	6,123.25	6,738.88	(615.63)
GOLDMAN SACHS GROUP PFD D	1,000.000	9/20/13	Short		12/18/13	18,219.68	20,056.20	(1,836.52)
GOLDMAN SACHS GROUP PFD D	1,000.000	9/20/13	Short		12/18/13	18,146.68	20,056.20	(1,909.52)
GOLDMAN SACHS GROUP PFD D	1,000.000	9/20/13	Short		12/18/13	18,102.68	20,056.20	(1,953.52)
GOLDMAN SACHS GROUP PFD D	1,000.000	9/20/13	Short		12/18/13	18,101.68	20,056.20	(1,954.52)
GOLDMAN SACHS GROUP PFD D	300.000	9/20/13	Short		12/19/13	5,433.21	6,016.86	(583.65)
GOLDMAN SACHS GROUP PFD D	1,000.000	10/14/13	Short		12/19/13	18,110.68	19,935.00	(1,824.32)

Frerm Galins & Losses Acquired Acq	Cooke Foundation, Limited								
Name Quantity Date Period Notation Sale Date Process 0.50% SER 10 4,250.000 9/15/13 Short 10/17/13 106.200 0.50% SER 10 1,000.000 9/27/13 Short 12/9/13 26.5 0.50% SER 10 1,000.000 9/27/13 Short 12/10/13 26.5 0.50% SER 10 1,000.000 9/27/13 Short 12/10/13 26.5 0.50% SER 10 1,000.000 9/27/13 Short 12/10/13 26.5 0.50% SER 10 1,000.000 9/17/13 Short 12/10/13 26.1 0.50% SER 10 1,000.000 9/17/13 Short 12/10/13 3.26.1 0.50% SER 10 1,000.000 9/17/13 Short 12/10/	Securities Sales - Short-Term Gains & Los	ses							
Name Acquired Period Notation Sale Date Proces LC PED A 7.625% 4,250.000 9/12/13 Short 10/17/13 106.206.00 LOSOS SER 10 1,000.000 9/27/13 Short 12/9/13 126.13 0.50% SER 10 1,000.000 9/27/13 Short 12/9/13 26.5 0.50% SER 10 1,000.000 9/27/13 Short 12/9/13 26.5 0.50% SER 10 1,000.000 9/27/13 Short 12/9/13 26.5 0.50% SER 10 1,000.000 9/27/13 Short 12/10/13 26.5 0.50% SER 10 1,000.000 9/17/13 Short 12/10/13 26.1 0.50% SER 10 1,000.000 9/17/13 Short 9/12/13 3.61.1 SS 1 1,000.000 9/17/13 Short 9/12/13 3.61.1 SS 1 1,000.000 9/16/13 Short 12/10/13 3.01.1 SS 1 1,000.000 9/16/13 Short 5/19/14 2.01.1 </td <td>Tax Year: 2013</td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td> <td></td>	Tax Year: 2013								
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c 4,250.000 9/16/13 Short 10/17/13 106.2 1,000.000 9/27/13 Short 12/9/13 26,5 1,000.000 9/27/13 Short 12/9/13 26,5 1,000.000 9/27/13 Short 12/9/13 26,6 1,000.000 9/27/13 Short 12/9/13 26,6 1,000.000 9/27/13 Short 12/10/13 26,6 1,000.000 9/27/13 Short 12/10/13 26,6 1,000.000 9/27/13 Short 12/10/13 26,6 1,000.000 9/17/13 Short 5/16/14 9,7 1,000.000 9/16/13 Short 5/16/14 3,261,1 1,000.000 9/16/13 Short 5/16/14 40,1 1,000.000 1/12/13 Short 5/16/14 40,1 1,000.000 1/12/13 Short 5/16/14 20,7 1,000.000 1/10/14 Short 5/16/14 20,7 1,000.000			Acquired		1	200		(class)	201/ aico
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499,000 9/27/13 Short 12/9/13 13,6 1,500,000 9/27/13 Short 12/9/13 40,3 1,000,000 9/27/13 Short 12/9/13 26,8 1,000,000 9/27/13 Short 12/9/13 26,8 1,000,000 9/27/13 Short 12/10/13 26,9 1,000,000 9/12/13 Short 9/12/13 3,261,4 1,000,000 9/17/13 Short 5/16/14 9,261,1 1,000,000 9/16/13 Short 5/19/14 25,7 1,000,000 9/16/13 Short 5/19/14 25,7 1,000,000 9/16/13 Short 5/19/14 47,1 1,000,000 1/10/14 Short 5/19/14 47,1 1,000,000 1/10/14 Short 5/19/14 10,3 1,000,000 1/10/14 Short 5/19/14 10,3 1,000,000 1/10/14 Short 5/19/14 10,4 1,000,000 1/10/14	SANTANDER FIN 10.50% SER 10	1,000,000	9/27/13	Short		12/9/13	26,919.53	26,456.00	463.53
1,500,000 9/27/13 Short 12/9/13 26,8 1,000,000 9/27/13 Short 12/10/13 26,9 1,000,000 9/27/13 Short 12/10/13 26,9 1,000,000 9/27/13 Short 12/10/13 26,9 1,501,000 7/12/13 Short 12/10/13 26,9 1,000,000 7/12/13 Short 9/12/13 3,261,3 1,000,000 10/17/13 Short 5/19/14 25,7 1,500,000 9/16/13 Short 5/19/14 25,7 1,000,000 10/14/13 Short 5/19/14 20,7 1,000,000 11/10/14 Short 5/19/14 10,3 1,000,000 11/10/14 Short 5/19/14 11,6 1,000,000 11/10/14 Short 5/19/14 11,6 1,000,000 11/10/14 Short 5/19/14 11,6 1,000,000 11/10/14 Short 11/10/13 Short 5/19/14 11,6 1,000,000 11/10/14 Short 11/10/13 29,0 1,260,000 9/13/13 Short 7/12/13 23,0 1,260,000 9/13/13 Short 7/12/13 23,0 1,260,000 9/13/13 Short 7/12/13 23,0 1,260,000 9/13/13 Short 11/26/14 30,00 11/28/12 Short 7/12/13 23,0 1,260,000 9/13/13 Short 11/26/14 30,00 11/28/12 Short 11/28/12 Short 11/28/12 Short 11/28/13 23,0 1,260,000 9/13/13 Short 11/28/13 23,0 1,260,000 9/13/13 Short 11/28/13 Short 11/28/14 30,000,000 11/28/14 S	SANTANDER FIN 10.50% SER 10	499.000	9/27/13	Short		12/9/13	13,427.85	13,201.54	226.31
1,000.000 9/27/13 Short 12/9/13 26,9 1,000.000 9/27/13 Short 12/10/13 26,9 1,000.000 9/27/13 Short 12/10/13 26,9 1,000.000 7/12/13 Short 12/10/13 3,261,1 1,096.000 9/17/13 Short 9/12/13 3,261,1 1,096.000 9/17/13 Short 5/19/14 25,7 1,000.000 10/14/13 Short 5/9/14 130,1 1,000.000 10/14/13 Short 5/9/14 30,7 1,000.000 10/14/13 Short 5/19/14 20,7 1,000.000 10/14/13 Short 5/19/14 20,7 1,000.000 10/17/13 Short 5/19/14 20,7 1,000.000 10/17/13 Short 5/19/14 20,7 1,000.000 10/17/13 Short 5/19/14 10,3 2,000.000 10/71/3 Short 5/19/14 10,3 2,000.000 10/71/3 Short 5/19/14 10,3 2,000.000 8/26/13 Short 5/19/14 40,8 0,013 8/26/13 Short 5/19/14 40,8 0,0143 8/26/13 Short 5/19/14 40,8 0,015 8/26/13 Short 5/19/14 40,8 1,000.000 9/13/13 Short 5/19/14 40,8 1,000.000 9/13/13 Short 5/19/14 40,8 1,000.000 9/13/13 Short 5/13/14 40,8 1,000.000 9/13/13 Short 5/13/14 20,7 1,000.000 9/13/13 Short 7/12/13 23,7 1,260.000 9/13/13 Short 7/12/13 23,7 1,260.000 9/14/13 Short 10/4/13 19,3	SANTANDER FIN 10.50% SER 10	1,500.000	9/27/13	Short		12/9/13	40,349.29	39,684.00	665.29
1,000.000 9/27/13 Short 12/10/13 26,9 1,000.000 9/27/13 Short 12/10/13 26,9 1,000.000 9/27/13 Short 12/10/13 26,9 1,501.000 7/12/13 Short 9/12/13 3,061,13 1,006.000 7/12/13 Short 9/12/13 3,061,14 1,096.000 9/17/13 Short 9/12/14 3,061,14 1,006.000 9/14/13 Short 5/19/14 25,7 1,000.000 9/16/13 Short 5/9/14 47,1 4,230.000 9/16/13 Short 5/9/14 40,10,0 1,000.000 1/10/14 Short 5/9/14 10,0 24,000.000 1/10/14 Short 5/19/14 10,0 1,000.000 1/10/14 Short 5/19/14 10,0 1,000.000 1/10/13 Short 5/19/14 10,6 1,000.000 1/26/13 Short 5/19/14 11,6 2,000.000 9/13/1	SANTANDER FIN 10.50% SER 10	1,000.000	9/27/13	Short		12/9/13	26,884.53	26,456.00	428.53
1,000.000 9/27/13 Short 12/10/13 26,9 1,501.000 9/27/13 Short 12/10/13 40,3 1,501.000 7/12/13 Short 9/12/13 3,261,4 1,096.000 9/17/13 Short 9/12/13 3,261,4 1,096.000 9/17/13 Short 5/19/14 25,7 1,096.000 9/17/13 Short 5/19/14 25,7 1,000.000 9/16/13 Short 5/9/14 47,1 1,000.000 10/14/13 Short 5/9/14 4010,5 24,000.000 1/10/14 Short 5/19/14 20,7 1,000.000 1/10/14 Short 5/19/14 20,7 24,000.000 1/10/14 Short 5/19/14 20,7 1,000.000 1/2/13 Short 5/19/14 21,4 2,000.000 1/10/14 Short 5/19/14 21,4 4,230.000 10/17/13 Short 5/19/14 21,4 5,000.000 10/12/13 <td>SANTANDER FIN 10.50% SER 10</td> <td>1,000.000</td> <td>9/27/13</td> <td>Short</td> <td></td> <td>12/10/13</td> <td>26,919.53</td> <td>26,456.00</td> <td>463.53</td>	SANTANDER FIN 10.50% SER 10	1,000.000	9/27/13	Short		12/10/13	26,919.53	26,456.00	463.53
1,501.000 9/27/13 Short 12/10/13 40,3 501.000 7/12/13 Short 9/12/13 3,261,3 19,275.000 7/12/13 Short 9/12/13 3,261,4 1,096.000 9/17/13 Short 5/16/14 9,261,4 1,000.000 9/16/13 Short 2/26/14 47,1 1,000.000 10/14/13 Short 5/9/14 30,7 1,000.000 10/14/13 Short 5/9/14 30,7 24,000.000 1/21/13 Short 5/19/14 20,7 1,000.000 1/20/14 Short 5/19/14 20,7 24,000.000 1/20/14 Short 5/19/14 20,7 1,000.000 1/20/13 Short 5/19/14 21,4 2,000.000 10/7/13 Short 5/19/14 21,4 2,000.000 10/7/13 Short 5/19/14 40,5 2,000.000 9/26/13 Short 5/19/14 40,5 2,000.000 9/13/13 <td>SANTANDER FIN 10.50% SER 10</td> <td>1,000.000</td> <td>9/27/13</td> <td>Short</td> <td></td> <td>12/10/13</td> <td>26,916.53</td> <td>26,456.00</td> <td>460.53</td>	SANTANDER FIN 10.50% SER 10	1,000.000	9/27/13	Short		12/10/13	26,916.53	26,456.00	460.53
501.000 7/12/13 Short 9/12/13 3,261,3 19,275.000 7/12/13 Short 9/12/13 3,261,4 9,22/13 3,261,4 9,21/13 3,261,4 9,12/13 3,261,4 9,12/13 3,261,4 47,1 5/19/14 25,7 1,570.00 9/16/13 Short 2/26/14 47,1 25,7 1,000.00 9/16/13 Short 2/26/14 47,1 30,7 1,000.00 9/16/13 Short 5/9/14 30,7 3,001.00 3,001.00 10/14/13 Short 5/9/14 30,7 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 3,001.00 <	SANTANDER FIN 10.50% SER 10	1,501.000	9/27/13	Short		12/10/13	40,376.19	39,710.46	665.73
19,275,000 7/12/13 Short 5/16/14 9, 3, 261, 3, 261, 3, 261, 3, 260, 00 9/17/13 Short 5/19/14 25, 3, 264, 000,000 9/16/13 Short 2/26/14 47, 130, 1, 200,000 10/14/13 Short 5/9/14 30, 24,000,000 10/14/13 Short 5/9/14 30, 24,000,000 7/12/13 Short 5/9/14 30, 22,000,000 12/19/13 Short 5/19/14 20, 20, 1,000,000 12/19/13 Short 5/19/14 20, 20, 125,000 10/17/13 Short 5/19/14 20, 20, 125,000 10/17/13 Short 5/19/14 21, 20, 20, 20, 20, 20, 20, 20, 20, 20, 20	SPDR TRUST SERIES 1	501.000	7/12/13	Short		9/12/13	84,774.34	83,870.41	903.93
1,096.000 9/17/13 Short 5/16/14 9, 3,004.000 9/17/13 Short 2/26/14 47,1 1,570.000 9/16/13 Short 2/26/14 47,1 1,000.000 10/14/13 Short 11/14/13 22,000.000 12/19/13 Short 5/19/14 20,7 1,000.000 12/19/13 Short 11/14/13 4,010,5 1,000.000 12/19/13 Short 5/19/14 20,7 1,000.000 12/19/13 Short 5/19/14 20,7 1,000.000 10/7/13 Short 5/19/14 10,3 2,000.000 8/26/13 Short 5/19/14 40,8 2,000.000 9/13/13 Short 5/19/14 40,8 2,000.000 9/13/13 Short 5/19/14 40,8 2,000.000 9/13/13 Short 5/19/14 40,8 2,181.000 9/13/13 Short 5/19/14 40,8 2,181.000 9/13/13 Short 5/19/14 116,8 2,450.000 9/19/13 Short 7/12/13 23,7 450.000 9/24/12 Short 7/12/13 23,7 330.000 11/28/12 Short 7/12/13 23,7 3,296.644 9/24/12 Short 10/4/13 29,0 20,000.000 4/18/13 Short 10/4/13 19,3	SPDR TRUST SERIES 1	19,275.000	7/12/13	Short		9/12/13	3,261,527.67	3,224,852.21	36,675.46
QUIT 3,004.000 9/17/13 Short 5/19/14 25,7 1,570.000 9/16/13 Short 2/26/14 47,1 1,000.000 10/14/13 Short 5/9/14 130,1 2,000.000 10/14/13 Short 11/14/13 30,7 2,000.000 17/12/13 Short 11/14/13 30,7 1,000.000 12/19/13 Short 8/26/13 4,010,5 1,000.000 12/19/13 Short 5/19/14 20,7 1,000.000 12/19/13 Short 5/19/14 20,7 2,000.000 10/7/13 Short 5/19/14 21,6 2,000.000 10/7/13 Short 5/19/14 40,8 2,000.000 9/13/13 Short 5/19/14 40,8 2,000.000 9/13/13 Short 5/19/14 40,8 2,000.000 9/19/13 Short 5/19/14 40,8 2,111,13 Short 5/19/14 40,8 450.000 9/19/13	MADISON COVRED CALL & EQUIT	1,096.000	9/17/13	Short		5/16/14	9,402.59	8,720.54	682.05
1,570,000 9/16/13 Short 2/26/14 47,1 4,230,000 9/16/13 Short 5/9/14 130,1 1,000,000 10/14/13 Short 11/14/13 30,7 24,000,000 7/12/13 Short 11/14/13 20,7 1,000,000 12/19/13 Short 5/19/14 20,7 1,000,000 12/19/13 Short 5/19/14 20,7 2,000,000 10/7/13 Short 5/19/14 10,3 2,000,000 10/7/13 Short 5/19/14 20,7 2,000,000 8/26/13 Short 5/19/14 40,8 2,000,000 8/26/13 Short 5/19/14 40,8 2,000,000 8/26/13 Short 5/19/14 40,8 2,000,000 9/13/13 Short 5/19/14 40,8 2,181,000 8/26/13 Short 5/19/14 72,1 2,181,000 9/13/13 Short 6/26/14 72,1 450,000 9/14/13	MADISON COVRED CALL & EQUIT	3,004.000	9/17/13	Short		5/19/14	25,753.32	23,901.93	1,851.39
4,230,000 9/16/13 Short 5/9/14 130,1 1,000,000 10/14/13 Short 5/9/14 30,7 24,000,000 7/12/13 Short 11/14/13 30,7 1,000,000 12/19/13 Short 5/19/14 20,7 1,000,000 12/19/13 Short 5/19/14 20,7 500,000 1/10/14 Short 5/19/14 10,3 125,000 8/26/13 Short 5/19/14 21,4 0.355 8/26/13 Short 5/19/14 40,8 0.0355 8/26/13 Short 5/19/14 40,8 0.0355 8/26/13 Short 5/19/14 40,8 0.272 8/26/13 Short 5/19/14 40,8 0.272 8/26/13 Short 5/19/14 40,8 2,181,000 9/13/13 Short 1/26/14 22,1 450,000 9/19/13 Short 7/12/13 23,2 450,000 9/24/12 Short	XCEL ENERGY INC	1,570.000	9/16/13	Short		2/26/14	47,119.90	43,404.53	3,715.37
1,000.000 10/14/13 Short 11/14/13 30,7 Short 11/14/13 Short 1,000.000 7/12/13 Short 11/14/13 20,7 Short 1,000.000 12/19/13 Short 5/19/14 20,7 Short 125.000 8/26/13 Short 5/19/14 10,3 Short 125.000 8/26/13 Short 5/19/14 21,4 C 0.355 8/26/13 Short 5/19/14 21,4 C 0.355 8/26/13 Short 5/19/14 40,8 Short 5/19/14 30,000 9/19/13 Short 5/12/14 11,260.000 9/19/13 Short 7/12/13 23,7 Short 7/12/13 23,7 Short 7/12/13 23,7 Short 7/12/13 Short 7/12/13 23,7 Short 7/12/13 Short 7/12/13 23,7 Short 7/12/13 Short 7/12/13 23,2 Short 7/12/13 Short 7/12/13 23,2 Short 7/12/13 Short 7/12/13 23,2 Short 7/12/13 Short 7/12/13 Short 10/4/13 19,3 Short 10/4/13 Short 10/4/13 19,3	XCEL ENERGY INC	4,230.000	9/16/13	Short		5/9/14	130,120.38	116,943.43	13,176.95
24,000.000 7/12/13 Short 8/26/13 4,010; 24,000.000 12/19/13 Short 5/19/14 20,7 1,000.000 12/19/13 Short 5/19/14 20,7 500.000 1/10/14 Short 5/19/14 10,3 125.000 8/26/13 Short 5/19/14 21,4 10.143 8/26/13 Short 2/14/14 21,4 10.000 8/26/13 Short 5/19/14 40,5 10.000 8/26/13 Short 5/19/14 40,5 10.000 9/13/13 Short 5/19/14 72,1 10.000 8/26/13 Short 5/19/14 72,1 10.000 9/19/13 Short 6/26/14 18,0 1,260.000 9/19/13 Short 7/12/13 23,7 1,260.000 9/24/12 Short 9/9/13 7/12/13 3,296.644 9/24/12 Short 9/9/13 7/12/13 30,000.000 4/18/13 Short 10/4/13 19,3	XCEL ENERGY INC	1,000.000	10/14/13	Short		5/9/14	30,761.32	27,758.00	3,003.32
24,000.000 7/12/13 Short 8/26/13 4,010,6 1,000.000 12/19/14 Short 5/19/14 20,7 500.000 1/10/14 Short 5/19/14 20,7 125.000 8/26/13 Short 5/19/14 21,4 2,000.000 10/7/13 Short 2/14/14 21,4 2,000.000 8/26/13 Short 5/15/14 40,8 2,000.000 8/26/13 Short 5/19/14 40,8 2,000.000 8/26/13 Short 5/19/14 40,8 2,181.000 8/26/13 Short 5/26/14 72,1 546.000 9/19/13 Short 7/12/13 23,7 450.000 9/24/12 Short 7/12/13 23,7 330.000 11/28/12 Short 7/12/13 23,7 330.000 11/28/12 Short 9/9/13 72,6 330.000 11/28/12 Short 10/4/13 23,9 3,24/12 Short 9/9/13 </td <td>KINDER MORGAN MGMT LLC</td> <td>0.610</td> <td>8/26/13</td> <td>Short</td> <td></td> <td>11/14/13</td> <td>45.84</td> <td>48.42</td> <td>(2.58)</td>	KINDER MORGAN MGMT LLC	0.610	8/26/13	Short		11/14/13	45.84	48.42	(2.58)
1,000.000 12/19/13 Short 5/19/14 20,7 500.000 1/10/14 Short 5/19/14 10,3 125.000 8/26/13 Short 5/19/14 51,4 2,000.000 10/7/13 Short 2/14/14 21,4 2,000.000 8/26/13 Short 5/19/14 116,6 2,000.000 8/26/13 Short 5/19/14 40,8 2,181.000 8/26/13 Short 5/19/14 40,8 2,181.000 8/26/13 Short 6/26/14 72,1 2,181.000 8/26/13 Short 6/26/14 18,6 546.000 9/24/12 Short 7/12/13 23,7 1,260.000 9/24/12 Short 7/12/13 23,7 330.000 11/28/12 Short 7/12/13 23,7 33,296.644 9/24/12 Short 7/12/13 23,7 33,296.644 9/24/12 Short 10/4/13 23,6 20,000.000 6/18/13 Short 10/4/13 19,3	SPDR TRUST SERIES 1	24,000.000	7/12/13	Short		8/26/13	4,010,911.00	4,015,379.85	(4,468.85)
500.000 1/10/14 Short 5/19/14 10,3 125.000 8/26/13 Short 5/19/14 67,6 40.000 10/7/13 Short 5/19/14 21,4 2,000.000 8/26/13 Short 2/14/14 21,4 2,000.000 8/26/13 Short 5/15/14 40,8 700.000 9/13/13 Short 5/19/14 40,8 0.272 8/26/13 Short 5/19/14 40,8 2,181.000 9/13/13 Short 5/26/14 72,1 546.000 9/19/13 Short 6/26/14 72,1 450.000 9/19/13 Short 7/12/13 81,5 330.000 11/28/12 Short 7/12/13 23,7 330,000.000 4/18/13 Short 10/4/13 72,6 30,000.000 4/18/13 Short 10/4/13 72,6 30,000.000 4/18/13 Short 10/4/13 19,3 30,000.000 4/18/13 Short	GAZPROM NEFT SPONS ADR	1,000.000	12/19/13	Short		5/19/14	20,751.54	22,350.00	(1,598.46)
125.000 8/26/13 Short 5/19/14 67,0 5/19/14 67,0 10/7/13 Short 5/19/14 21,4 11 11 11 11 11 11 11	GAZPROM NEFT SPONS ADR	500.000	1/10/14	Short		5/19/14	10,375.77	11,120.00	(744.23)
TLLC 0.143 8/26/13 Short 5/19/14 21,4 TLLC 0.355 8/26/13 Short 2/14/14 TLLC 0.355 8/26/13 Short 5/15/14 116,6 2,000.000 9/13/13 Short 5/19/14 40,8 2,181.000 8/26/13 Short 6/26/14 72,1 RS 450.000 9/19/13 Short 6/26/14 18,5 RS 450.000 9/24/12 Short 7/12/13 81,5 W 330.000 11/28/12 Short 7/12/13 23,7 W 330.000 4/18/13 Short 7/12/13 23,0 Short 9/9/13 7/12/13 23,0 W 330.000 11/28/12 Short 7/12/13 23,0 Short 10/4/13 Short 7/12/13 23,0 Short 10/4/13 23,0 Short 10/4/13 19,3	GOOGLE INC-CL A	125.000	8/26/13	Short		5/19/14	67,026.96	54,713.78	12,313.18
TTLC 0.143 8/26/13 Short 2/14/14 TTLC 0.355 8/26/13 Short 5/15/14 116,6 2,000.000 9/13/13 Short 5/19/14 40,8 0.272 8/26/13 Short 5/19/14 40,8 2,181.000 8/26/13 Short 6/26/14 72,1 8,26.000 9/19/13 Short 6/26/14 18,0 RS 450.000 3/11/13 Short 6/26/14 18,0 W 330.000 11/28/12 Short 7/12/13 23,7 W 33.96.644 9/24/12 Short 7/12/13 23,6 3,296.644 9/24/12 Short 10/4/13 23,6 20,000.000 5/14/13 Short 10/4/13 19,3	GOOGLE INC-CLA	40.000	10/7/13	Short		5/19/14	21,448.63	17,393.79	4,054.84
TLLC	KINDER MORGAN MGMT LLC	0.143	8/26/13	Short		2/14/14	10.81	11.16	(0.35)
2,000.000 8/26/13 Short 5/19/14 116,6 700.000 9/13/13 Short 5/19/14 40,8 0.272 8/26/13 Short 2/21/14 72,1 RS 546.000 9/19/13 Short 6/26/14 72,1 RS 450.000 3/11/13 Short P 7/12/13 23,7 W 330.000 11/28/12 Short 7/12/13 23,6 W 3,296.644 9/24/12 Short 9/9/13 72,6 30,000.000 4/18/13 Short 10/4/13 29,6 20,000.000 5/14/13 Short 10/4/13 19,3	KINDER MORGAN MGMT LLC	0.355	8/26/13	Short		5/15/14	25.48	27.21	(1.73)
NOD.000 9/13/13 Short 5/19/14 40,8 0.272 8/26/13 Short 2/21/14 72,1 8.46.000 8/26/13 Short 6/26/14 72,1 RS 450.000 3/11/13 Short P 7/12/13 23,7 W 330.000 11/28/12 Short 7/12/13 81,5 W 33.296.644 9/24/12 Short 9/9/13 72,6 30,000.000 4/18/13 Short 10/4/13 29,0 20,000.000 5/14/13 Short 10/4/13 19,3	TARGET CORPORATION	2,000.000	8/26/13	Short		5/19/14	116,685.21	129,126.00	(12,440.79)
No.272 8/26/13 Short 2/21/14 2,181.000 8/26/13 Short 6/26/14 72,1 RS 456.000 9/19/13 Short P 7/12/13 23,7 W 330.000 11/28/12 Short 7/12/13 23,6 W 330.000 11/28/12 Short 7/12/13 23,6 3,296.644 9/24/12 Short 9/9/13 72,6 30,000.000 4/18/13 Short 10/4/13 19,3 20,000.000 5/14/13 Short 10/4/13 19,3	TARGET CORPORATION	700.000	9/13/13	Short		5/19/14	40,839.83	44,879.03	(4,039.20)
E. 2,181.000 8/26/13 Short 6/26/14 E. 546.000 9/19/13 Short 6/26/14 EN 450.000 9/24/12 Short 7/12/13 EW 330.000 11/28/12 Short 7/12/13 Swort 7/12/13 Swort 7/12/13 Swort 9/9/13 Swort 10/4/13 Swort 9/9/13 Swort 10/4/13 Swort 10/4/13	VODAFONE GROUP PLC	0.272	8/26/13	Short		2/21/14	11.38	14.90	(3.52)
HS 546.000 9/19/13 Short 6/26/14 HS 450.000 3/11/13 Short P 7/12/13 EW 330.000 11/28/12 Short 7/12/13 EW 3,296.644 9/24/12 Short 9/9/13 20,000.000 4/18/13 Short 10/4/13 20,000.000 5/14/13 Short 10/4/13	VODAFONE GROUP PLC	2,181.000	8/26/13	Short		6/26/14	72,191.68	119,505.10	(47,313.42)
HRS 450.000 3/11/13 Short P 7/12/13 1,260.000 9/24/12 Short 7/12/13 1,260.000 11/28/12 Short 7/12/13 1,260.644 9/24/12 Short 9/9/13 30,000.000 4/18/13 Short 10/4/13 10/4/13 10/4/13	VODAFONE GROUP PLC	546.000	9/19/13	Short		6/26/14	18,072.75	33,621.00	(15,548.25)
EW 330.000 9/24/12 Short 7/12/13 EW 330.000 11/28/12 Short 7/12/13 3,296.644 9/24/12 Short 9/9/13 30,000.000 4/18/13 Short 10/4/13 20,000,000 5/14/13 Short 10/4/13	CBS CORP NEW CL B SHRS	450.000	3/11/13	Short	С	7/12/13	23,712.29	20,619.00	3,093.29
EW 330.000 11/28/12 Short 7/12/13 3,296.644 9/24/12 Short 9/9/13 30,000.000 4/18/13 Short 10/4/13 20,000.000 5/14/13 Short 10/4/13	CONOCOPHILLIPS	1,260.000	9/24/12	Short		7/12/13	81,551.95	71,946.00	9,605.95
3,296.644 9/24/12 Short 9/9/13 30,000.000 4/18/13 Short 10/4/13 20,000,000 5/14/13 Short 10/4/13	DUKE ENERGY CORP NEW	330.000	11/28/12	Short		7/12/13	23,086.13	20,283.52	2,802.61
30,000.000 4/18/13 Short 10/4/13 20,000,000 5/14/13 Short 10/4/13	JANUS TRITON I	3,296.644	9/24/12	Short		9/9/13	72,625.47	60,625.25	12,000.22
20,000,000 5/14/13 Short 10/4/13	TUNISIA 1.686 7-16-19	30,000.000	4/18/13	Short		10/4/13	29,079.00	30,743.40	(1,664.40)
	TUNISIA 1.686 7-16-19	20,000.000	5/14/13	Short		10/4/13	19,386.00	20,247.80	(861.80)
ALLIED CAP 6.875% 6 7/8 4-15-47 1,000.000 9/16/13 Short 11/22/13 23,393.19	ALLIED CAP 6.875% 6 7/8 4-15-47	1,000.000	9/16/13	Short		11/22/13	23,393.19	22,722.83	670.36

Cooke Foundation Limited								
Securities Sales - Short-Term Gains & Losses	es							
Tax Year: 2013		!						
EIN: 23-7120804								
Name	Quantity	Acquired Date	Period	Notation	Sale Date	Proceeds	Total Cost (Orig)	Gain / Loss
ALLIED CAP 6.875% 6 7/8 4-15-47	168.000	9/16/13	Short		11/22/13	3,912.62	3,817.44	95.18
ALLIED CAP 6.875% 6 7/8 4-15-47	1,532.000	9/18/13	Short		11/22/13	35,679.36	34,634.97	1,044.39
ALLIED CAP 6.875% 6 7/8 4-15-47	168.000	9/18/13	Short		11/25/13	3,934.17	3,798.09	136.08
ALLIED CAP 6.875% 6 7/8 4-15-47	832.000	9/19/13	Short		11/25/13	19,483.50	18,992.24	491.26
APPLE INC 2.400 5-03-23	50,000.000	5/1/13	Short	۵	9/17/13	44,276.00	50,168.00	(5,892.00)
DILLARD DEPT STR 7 1/2 8-01-38	1,000.000	9/18/13	Short		12/6/13	24,784.93	25,106.97	(322.04)
DILLARD DEPT STR 7 1/2 8-01-38	1,000.000	9/18/13	Short		12/6/13	24,749.53	25,106.97	(357.44)
DILLARD DEPT STR 7 1/2 8-01-38	485.000	9/18/13	Short		12/6/13	12,000.81	12,176.88	(176.07)
DILLARD DEPT STR 7 1/2 8-01-38	515.000	9/19/13	Short		12/6/13	12,743.12	12,935.09	(191.97)
DILLARD DEPT STR 7 1/2 8-01-38	47.000	9/19/13	Short		12/6/13	1,162.96	1,180.48	(17.52)
DILLARD DEPT STR 7 1/2 8-01-38	953.000	9/20/13	Short		12/6/13	23,580.97	23,882.14	(301.17)
DILLARD DEPT STR 7 1/2 8-01-38	100.000	9/20/13	Short		12/9/13	2,486.82	2,506.00	(19.18)
DILLARD DEPT STR 7 1/2 8-01-38	1,000.000	9/20/13	Short		12/9/13	24,818.31	25,059.96	(241.65)
DILLARD DEPT STR 7 1/2 8-01-38	530.000	9/20/13	Short		12/10/13	13,208.39	13,281.78	(73.39)
DILLARD DEPT STR 7 1/2 8-01-38	1,370.000	9/23/13	Short		12/10/13	34,142.46	34,349.09	(206.63)
DILLARD DEPT STR 7 1/2 8-01-38	218.000	9/23/13	Short		12/10/13	5,430.16	5,465.77	(35.61)
DILLARD DEPT STR 7 1/2 8-01-38	1,000.000	9/23/13	Short		12/11/13	24,960.89	25,072.33	(111.44)
DILLARD DEPT STR 7 1/2 8-01-38	800.000	9/23/13	Short		12/11/13	19,967.35	20,057.86	(90.51)
DILLARD DEPT STR 7 1/2 8-01-38	100.000	9/23/13	Short		12/12/13	2,487.26	2,507.23	(19.97)
DILLARD DEPT STR 7 1/2 8-01-38	882.000	9/23/13	Short		12/13/13	21,909.47	22,113.80	(204.33)
FNMA 1 3/4 4-25-28	35,000.000	4/30/13	Short	Ь	9/17/13	29,769.95	34,965.00	(5,195.05)
FNMA 1.000 2-27-17	75,000.000	4/24/13	Short	ď	9/12/13	73,500.00	75,741.00	(2,241.00)
FNMA 1.600 7-24-19	50,000.000	4/12/13	Short	۵	9/12/13	47,300.00	50,157.50	(2,857.50)
HAWAII ST HSG BE 2.600 7-01-37	596.730	3/14/13	Short	٨	10/1/13	596.73		596.73
HAWAII ST HSG BE 2.600 7-01-37	627.710	3/14/13	Short	V	11/1/13	627.71		627.71
HAWAII ST HSG BE 2.600 7-01-37	1,422.080	3/14/13	Short	A	12/1/13	1,422.08		1,422.08
MARATHON OIL CORP 2.800 11-01-22	100,000.000	11/8/12	Short	а	9/12/13	91,209.00	100,722.00	(9,513.00)
NORFOLK SOUTHERN 2.903 2-15-23	70,000.000	5/21/13	Short	Ф	9/12/13	64,368.50	00.062,69	(5,421.50)
US TSY BOND 3 1/2 2-15-39	65,000.000	9/28/12	Short		9/12/13	61,846.20	74,689.07	(12,842.87)
US TSY NOTE 1 5/8 11-15-22	50,000.000	11/15/12	Short	a.	9/12/13	45,054.69	50,146.48	(5,091.79)
US TSY NOTE 3 1/8 5-15-19	73,000.000	5/3/13	Short		9/12/13	77,710.47	82,467.19	(4,756.72)
ALLIED CAP 6.875% 6 7/8 4-15-47	1,000.000	9/19/13	Short		5/9/14	24,607.70	22,827.21	1,780.49
ALLIED CAP 6.875% 6 7/8 4-15-47	1,000.000	9/19/13	Short		5/9/14	24,606.90	22,827.21	1,779.69
ALLIED CAP 6.875% 6 7/8 4-15-47	168.000	9/19/13	Short		5/9/14	4,133.42	3,834.97	298.45
ALLIED CAP 6.875% 6 7/8 4-15-47	200.000	9/20/13	Short		5/9/14	4,920.74	4,550.75	369.99

Cooke Foundation, Limited								
Securities Sales - Short-Term Gains & Losses	ses							
Tax Year: 2013								
EIN: 23-7120804								
		Acquired						
Name	Quantity	Date	Period	Notation	Sale Date	Proceeds	Total Cost (Orig)	Gain / Loss
ALLIED CAP 6.875% 6 7/8 4-15-47	100.000	9/23/13	Short		5/9/14	2,460.37	2,283.94	176.43
ALLIED CAP 6.875% 6 7/8 4-15-47	3,500.000	9/24/13	Short		5/9/14	86,112.97	79,908.62	6,204.35
ALLIED CAP 6.875% 6 7/8 4-15-47	2,532.000	9/27/13	Short		5/9/14	62,296.58	29,266.78	3,029.80
FNMA 1 1/2 6-28-27	45,000.000	5/16/13	Short	۵	5/12/14	42,808.50	44,991.00	(2,182.50)
GAP INC 5.950 4-12-21	200,000.000	9/26/13	Short		5/30/14	230,076.00	221,920.00	8,156.00
HAWAII ST HSG BE 2.600 7-01-37	949.770	3/14/13	Short	A	1/1/14	949.77	-	949.77
HAWAII ST HSG BE 2.600 7-01-37	694.070	3/14/13	Short	4	2/1/14	694.07		694.07
HAWAII ST HSG BE 2.600 7-01-37	1,310.140	3/14/13	Short	A	3/1/14	1,310.14		1,310.14
TCF FINANCIAL CORP 7.5%-A	1,000.000	9/19/13	Short		4/24/14	25,728.03	25,195.80	532.23
TCF FINANCIAL CORP 7.5%-A	1,000.000	9/19/13	Short		4/24/14	25,718.63	25,195.80	522.83
TCF FINANCIAL CORP 7.5%-A	1,000.000	9/19/13	Short		4/24/14	25,699.43	25,195.80	503.63
TCF FINANCIAL CORP 7.5%-A	1,000.000	9/19/13	Short		4/25/14	25,730.13	25,195.80	534.33
TCF FINANCIAL CORP 7.5%-A	1,000.000	9/27/13	Short		4/25/14	25,699.43	25,140.00	559,43
						12,028,624.02	11,765,300.75	263,323.27

Cooke Foundation, Limited								
Securities Sales - Long-Term Gains & Losses	ses							
Tax Year: 2013								
EIN: 23-7120804								
o Mary	Organtific	Acquired	Derind	Notation	Sale Date	Proceeds	Total Cost (Orig)	Gain / Loss
ABERDEEN INTL EQ INST	3,652.327	3/13/12	Long		9/12/13	55,296.71	51,315.16	3,981.55
ACTAVIS INC COM	460.000	3/27/12	Long	۵	7/12/13	57,565.97	30,356.41	27,209.56
ALLSTATE CORP	30.000	6/17/11	Long		7/12/13	1,526.08	889.20	636.88
ALTRIA GROUP INC	000.099	4/20/11	Long		7/12/13	24,264.41	17,289.95	6,974.46
ALTRIA GROUP INC	2,660.000	7/8/11	Puol		7/12/13	97,792.93	72,154.89	25,638.04
AMERISOURCEBERGEN CORP	530.000	3/27/12	Long		7/12/13	30,820.23	20,934.58	9,885.65
ASTRAZENECA PLC ADS	970.000	6/17/11	ชิ บ07		7/12/13	47,894.86	47,944.97	(50.11)
ASTRAZENECA PLC ADS	2,140.000	11/2/11	Long		7/12/13	105,664.94	100,811.12	4,853.82
AT&T INC	230.000	6/17/11	Long		7/12/13	8,211.20	7,117.69	1,093.51
AT&T INC	2,180.000	11/2/11	Long		7/12/13	77,827.91	63,410.10	14,417.81
BCE INC (NEW)	1,830.000	6/17/11	Long	Ь	7/12/13	77,195.00	69,263.49	7,931.51
BEAM INC COM	410.000	12/14/10	Long	Ь	7/12/13	26,678.15	19,981.73	6,696.42
BERKSHIRE HATHAWAY CL-B NEW	600.000	2/8/11	Long		7/12/13	70,031.50	50,545.68	19,485.82
BERKSHIRE HATHAWAY CL-B NEW	380.000	4/20/11	Long		7/12/13	44,353.28	30,970.00	13,383.28
BORG WARNER INC	260.000	3/27/12	Long	۵	7/12/13	23,126.54	22,534.80	591.74
BRISTOL MYERS SQUIBB CO	3,750.000	6/17/11	Long		7/12/13	171,427.89	103,488.38	67,939.51
BRISTOL MYERS SQUIBB CO	1,010.000	9/8/11	Long		7/12/13	46,171.24	30,244.75	15,926.49
CHARLES SCHWAB NEW	2,620.000	2/7/12	Long	۵	7/12/13	56,826.81	32,619.00	24,207.81
COCA COLA CO	140.000	9/8/11	Pong		7/12/13	5,727.84	4,997.68	730.16
CUMMINS INC	420.000	9/8/11	Long		7/12/13	48,336.99	37,918.53	10,418.46
DOMINION RES INC (NEW)	760.000	6/17/11	Long		7/12/13	44,560.91	36,269.25	8,291.66
ברו רוררץ & כס	660.000	6/17/11	Pong		7/12/13	33,753.13	24,686.31	9,066.82
ברו רוררא & כס	2,010.000	11/2/11	Tong		7/12/13	102,793.63	75,127.57	27,666.06
EXPEDITORS INTL WASH INC	710.000	9/8/11	Long		7/12/13	28,260.06	30,552.72	(2,292.66)
EXPRESS SCRIPTS HLDG CO COM	410.000	11/2/11	Long		7/12/13	26,642.89	17,881.99	8,760.90
GLAXOSMITHKLINE PLC ADS	2,320.000	6/17/11	Long		7/12/13	122,642.11	96,202.51	26,439.60
GLAXOSMITHKLINE PLC ADS	1,500.000	9/8/11	Long		7/12/13	79,294.47	62,775.60	16,518.87
GOLDMAN SACHS GRP INC	140.000	4/20/11	Buon		7/12/13	22,322.58	21,279.40	1,043.18
HALLIBURTON CO	760.000	2/16/12	Buoy	d.	7/12/13	33,769.10	26,960.62	6,808.48
HCP INCORPORATED	1,280.000	2/7/12	Long	Ь	7/12/13	59,229.42	54,180.61	5,048.81
HEALTH CARE REIT INC	810.000	6/17/11	Long		7/12/13	54,077.17	42,209.67	11,867.50
HEALTH CARE REIT INC	700.000	11/2/11	Long		7/12/13	46,733.35	36,271.97	10,461.38
HESS CORPORATION	380.000	9/8/11	Puol	С.	7/12/13	26,977.55	22,650.62	4,326.93
JOHNSON & JOHNSON	930.000	11/2/11	Long		7/12/13	83,400.96	59,024.03	24,376.93
KIMBERLY CLARK CORP	1,230.000	6/17/11	Long	Ь	7/12/13	122,373.15	81,493.53	40,879.62

Cooke Foundation, Limited								
Securities Sales - Long-Term Gains & Losses	ses							
Tax Year: 2013								
EIN: 23-7120804								
		Acquired						
Name	Quantity	Date	Period	Notation	Sale Date	Proceeds	Total Cost (Orig)	Gain / Loss
LABORATORY CP AMER HLDGS NEW	230.000	3/30/12	Long	Ь	7/12/13	22,242.22	21,018.39	1,223.83
LORILLARD INC	780.000	6/17/11	Long		7/12/13	35,903.39	29,093.27	6,810.12
LORILLARD INC	720.000	11/2/11	Long		7/12/13	33,141.60	25,937.59	7,204.01
MERCK & CO INC NEW COM	3,760.000	2/7/12	Long		7/12/13	181,881.95	145,275.50	36,606.45
NATIONAL OILWELL VARCO INC	330.000	11/2/11	Long	Ъ	7/12/13	23,988.34	22,858.94	1,129.40
NATL GRID TRANSCO PLC ADS	1,660.000	6/17/11	Long		7/12/13	95,580.47	79,696.60	15,883.87
NATL GRID TRANSCO PLC ADS	1,600.000	9/8/11	Long		7/12/13	92,125.76	80,557.44	11,568.32
NEXTERA ENERGY INC COM	520.000	12/14/10	Long	Р	7/12/13	43,603.22	26,742.93	16,860.29
PEPSICO INC NC	770.000	11/2/11	Long	Ь	7/12/13	64,682.10	47,897.70	16,784.40
PHILIP MORRIS INTL INC	590.000	6/17/11	Long		7/12/13	52,676.53	40,554.77	12,121.76
PROCTER & GAMBLE	230.000	11/2/11	Long		7/12/13	18,687.20	14,387.19	4,300.01
REYNOLDS AMERICAN INC	1,830.000	6/17/11	Long		7/12/13	93,571.94	99.566'69	23,578.28
REYNOLDS AMERICAN INC	1,180.000	11/2/11	Long		7/12/13	60,336.01	44,961.89	15,374.12
ROYAL DUTCH SHELL PLC CL B	1,540.000	6/17/11	Long		7/12/13	107,309.80	106,184.39	1,125.41
ROYAL DUTCH SHELL PLC CL B	940.000	11/2/11	Long		7/12/13	65,500.78	66,585.56	(1,084.78)
RS GLOBAL NATURAL RES Y	7,623.911	3/27/12	Long		9/12/13	291,614.91	280,483.69	11,131.22
SANDISK CORP	520.000	2/8/11	Long	ď	7/12/13	31,484.67	25,906.92	5,577.75
SCHLUMBERGER LTD	480.000	11/2/11	Long	α.	7/12/13	36,768.18	34,450.85	2,317.33
SOUTHERN CO	2,500.000	6/17/11	Long		7/12/13	112,250.55	99,717.75	12,532.80
SOUTHERN CO	1,440.000	9/8/11	Long		7/12/13	64,656.31	59,601.17	5,055.14
STERICYCLE INC	250.000	3/27/12	Long		7/12/13	29,150.89	21,409.00	7,741.89
TOTAL S A SPON ADR	570.000	6/17/11	Long		7/12/13	28,667.02	31,009.08	(2,342.06)
TOTAL S A SPON ADR	2,000.000	11/2/11	Long		7/12/13	100,586.05	101,891.60	(1,305.55)
UNILEVER PLC (NEW) ADS	1,210.000	6/17/11	Long	۵	7/12/13	51,127.54	38,425.85	12,701.69
UNITED TECHNOLOGIES CORP	310.000	3/27/12	Long	4	7/12/13	30,901.62	25,838.59	5,063.03
VERISK ANALYTICS CLA	1,050.000	9/8/11	Long	۵.	7/12/13	66,206.59	35,964.60	30,241.99
VISA INC CL A	230.000	2/8/11	Long		7/12/13	43,703.52	17,092.78	26,610.74
VODAFONE GP PLC ADS NEW	810.000	3/9/11	Long		7/12/13	23,809.45	23,902.94	(93.49)
VODAFONE GP PLC ADS NEW	2,530.000	6/17/11	Long		7/12/13	74,367.80	65,525.74	8,842.06
VODAFONE GP PLC ADS NEW	1,930.000	11/2/11	Long		7/12/13	56,731.17	53,470.26	3,260.91
WINDSTREAM CORP	2,200.000	6/17/11	Long		7/12/13	17,755.89	28,668.42	(10,912.53)
WINDSTREAM CORP	1,940.000	11/2/11	Long		7/12/13	15,657.46	23,577.40	(7,919.94)
HARBOR INTERNATIONAL INST	557.398	3/13/12	Long		2/7/14	38,505.05	33,834.06	4,670.99
ABERDEEN ASIA PAC(XIAPAN)EQ I	20,430.205	12/10/04	Long		12/10/13	235,764.36	210,585.36	25,179.00
ABERDEEN ASIA PAC(XIAPAN)EQ I	250.415	10/29/08	Long		12/10/13	2,889.79	2,095.11	794.68

Cooke Foundation, Limited								
Securities Sales - Long-Term Gains & Losses	ses							
Tax Year: 2013								
EIN: 23-7120804								
Name	Quantity	Acquired Date	Period	Notation	Sale Date	Proceeds	Total Cost (Orig)	Gain / Loss
ABERDEEN ASIA PAC(XJAPAN)EQ I	7,416.172	12/15/08	Long		12/10/13	85,582.55	51,542.10	34,040.45
ABERDEEN ASIA PAC(XJAPAN)EQ I	1,207.454	12/15/08	Long		12/10/13	13,934.01	8,391.77	5,542.24
ABERDEEN ASIA PAC(XJAPAN)EQ I	93.541	12/29/08	Long		12/10/13	1,079.46	651.57	427.89
ABERDEEN ASIA PAC(XJAPAN)EQ I	13,443.851	7/22/09	Long		12/10/13	155,142.33	124,263.40	30,878.93
ABERDEEN INTL EQ INST	12,782.371	10/18/02	Long		9/12/13	193,524.97	131,942.96	61,582.01
ABERDEEN INTL EQ INST	8,619.258	3/4/05	Long		9/12/13	130,495.48	138,331.42	(7,835.94)
ABERDEEN INTL EQ INST	171.692	4/29/05	Long		9/12/13	2,599.42	2,561.26	38.16
ABERDEEN INTL EQ INST	250.364	7/29/05	Long		9/12/13	3,790.51	3,970.18	(179.67)
ABERDEEN INTL EQ INST	2,927.092	9/26/05	Long		9/12/13	44,316.14	48,760.52	(4,444.38)
ABERDEEN INTL EQ INST	124.928	10/29/08	Long		9/12/13	1,891.41	1,406.96	484.45
ABERDEEN INTL EQ INST	20,196.990	12/15/08	Long		9/12/13	305,782.23	176,134.52	129,647.71
ABERDEEN INTL EQ INST	621.832	12/15/08	Long		9/12/13	9,414.53	5,422.90	3,991.63
ABERDEEN INTL EQ INST	183,285	12/29/08	Long		9/12/13	2,774.93	1,595.20	1,179.73
ABERDEEN INTL EQ INST	2,909.099	4/20/11	Long		9/12/13	44,043.73	42,447.57	1,596.16
ALLSTATE CORP	520.000	12/14/10	Long		7/12/13	26,451.99	16,173.67	10,278.32
ALTRIA GROUP INC	1,710.000	12/14/10	Long		7/12/13	62,866.89	42,375.85	20,491.04
AMERICAN EXPRESS CO	275.000	3/18/10	Long		7/12/13	21,412.17	11,286.74	10,125.43
AMERICAN EXPRESS CO	250.000	4/19/10	Long		7/12/13	19,465.61	11,444.45	8,021.16
AMERICAN EXPRESS CO	125.000	5/10/10	Long		7/12/13	9,732.80	5,362.14	4,370.66
AMERICAN EXPRESS CO	100.000	8/9/10	Long		7/12/13	7,786.24	4,390.67	3,395.57
AMERICAN EXPRESS CO	330.000	12/14/10	Long		7/12/13	25,694.61	15,337.90	10,356.71
AMERISOURCEBERGEN CORP	19.000	2/20/08	Long		7/12/13	1,104.88	416.84	688.04
AMERISOURCEBERGEN CORP	309.000	8/12/08	Long		7/12/13	17,968.78	6,628.05	11,340.73
AMERISOURCEBERGEN CORP	119.000	8/12/08	Long		7/12/13	6,920.02	2,548.65	4,371.37
AMERISOURCEBERGEN CORP	80.000	12/14/10	Long		7/12/13	4,652.11	2,562.21	2,089.90
APACHE CORP	40.000	6/16/09	Long		7/12/13	3,287.58	3,218.50	80.69
APACHE CORP	200.000	60/06/9	Long		7/12/13	16,437.89	14,444.54	1,993.35
APPLE INC	164.000	12/11/07	Long		7/12/13	69,664.74	32,076.70	37,588.04
APPLE INC	10.000	8/10/09	Long		7/12/13	4,247.85	1,643.40	2,604.45
AT&T INC	362.000	12/8/08	Long		7/12/13	12,923.72	10,948.36	1,975.36
AT&T INC	125.000	60/08/9	Long		7/12/13	4,462.61	3,107.50	1,355.11
AT&T INC	875.000	9/14/09	Long		7/12/13	31,238.27	23,206.40	8,031.87
AT&T INC	150.000	11/10/09	Long		7/12/13	5,355.13	3,945.60	1,409.53
AT&T INC	575.000	12/18/09	Long		7/12/13	20,528.00	15,716.82	4,811.18
AT&T INC	625.000	5/10/10	Long		7/12/13	22,313.05	15,992.50	6,320.55

Cooke Foundation, Limited								
Securities Sales - Long-Term Gains & Losses	ses							
Tax Year: 2013								
EIN: 23-7120804								
Name	Quantity	Acquired Date	Period	Notation	Sale Date	Proceeds	Total Cost (Orig)	Gain / Loss
AT&T INC	625.000	5/10/10	Long		7/12/13	22,313.05	15,992.50	6,320.55
BANK OF NEW YORK MELLON CORP	920.000	12/14/10	Long		7/12/13	27,547.81	27,033.93	513.88
BANK OF NEW YORK MELLON CORP	30.000	6/17/11	Long		7/12/13	898.30	785.70	112.60
BAXTER INTL INC	630.000	12/14/10	Long	Ь	7/12/13	45,662.92	32,387.23	13,275.69
CHEVRON CORP	915.000	6/16/09	Long	а.	7/12/13	113,004.65	64,221.93	48,782.72
COCA COLA CO	500.000	6/25/10	Long		7/12/13	20,456.54	12,800.00	7,656.54
COCA COLA CO	270.000	9/8/10	Long		7/12/13	11,046.53	7,807.52	3,239.01
COGNIZANT TECH SOLUTIONS CL A	475.000	8/9/10	Long		7/12/13	33,837.70	29,013.10	4,824.60
COGNIZANT TECH SOLUTIONS CLA	115.000	9/8/10	Long		7/12/13	8,192.28	7,284.10	908.18
CONOCOPHILLIPS	291.000	6/23/03	Long		7/12/13	18,834.62	6,159.21	12,675.41
CONOCOPHILLIPS	1,630.000	12/14/10	Long		7/12/13	105,499.75	82,684.60	22,815.15
CONOCOPHILLIPS	260.000	11/2/11	Long		7/12/13	16,828.18	13,788.11	3,040.07
DOVER CORP	75.000	12/18/09	Long		7/12/13	6,067.84	3,035.39	3,032.45
DOVER CORP	520.000	12/14/10	Long		7/12/13	42,070.39	30,192.34	11,878.05
DUKE ENERGY CORP NEW	1,366.666	6/17/11	Long		7/12/13	95,609.20	77,039.00	18,570.20
DUKE ENERGY CORP NEW	796.334	11/2/11	Long	Ь	7/12/13	55,709.92	48,766.68	6,943.24
EBAY INC	670.000	12/14/10	Long	Ь	7/12/13	38,015.67	20,590.24	17,425.43
EMC CORP MASS	2,260.000	12/14/10	Long	Ь	7/12/13	56,074.14	51,338.16	4,735.98
EXPRESS SCRIPTS HLDG CO COM	1,320.000	12/14/10	Long		7/12/13	85,777.12	72,290.99	13,486.13
FREEPORT MCMORAN CP&GLD	200.000	6/16/09	Long		7/12/13	5,592.88	5,275.00	317.88
FREEPORT MCMORAN CP&GLD	100.000	8/10/09	Long		7/12/13	2,796.44	3,104.67	(308.23)
FREEPORT MCMORAN CP&GLD	80.000	12/18/09	Long		7/12/13	2,237.15	3,045.01	(807.86)
FREEPORT MCMORAN CP&GLD	600.000	6/9/10	Long		7/12/13	16,778.65	18,200.58	(1,421.93)
GENERAL ELECTRIC CO	2,173.000	3/19/09	Long	٩	7/12/13	51,412.50	23,185.69	28,226.81
GILEAD SCIENCE	890.000	12/14/10	Long	Δ.	7/12/13	50,722.88	16,574.02	34,148.86
GOLDMAN SACHS GRP INC	49.000	4/8/09	Long		7/12/13	7,812.90	5,462.27	2,350.63
GOOGLE INC-CL A	50.000	6/16/09	Long		7/12/13	45,985.69	20,879.50	25,106.19
GOOGLE INC-CL A	5.000	9/14/09	Long		7/12/13	4,598.57	2,377.50	2,221.07
GOOGLE INC-CL A	10.000	8/9/10	Long		7/12/13	9,197.14	5,044.01	4,153.13
GOOGLE INC-CL A	20.000	9/3/10	Long		7/12/13	18,394.28	9,414.27	8,980.01
HARBOR INTERNATIONAL INST	1,561.918	11/30/07	Long		12/9/13	109,693.50	120,423.88	(10,730.38)
HARBOR INTERNATIONAL INST	59.811	12/19/07	Long		12/9/13	4,200.53	4,129.36	71.17
HARBOR INTERNATIONAL INST	19.532	12/19/07	Long		12/9/13	1,371.73	1,348.52	23.21
HARBOR INTERNATIONAL INST	151.740	12/19/07	Long		12/9/13	10,656.70	10,476.14	180.56
HARBOR INTERNATIONAL INST	1,766.731	11/3/08	Long		12/9/13	124,077.54	72,524.29	51,553.25

Cooke Foundation, Limited								
Securities Sales - Long-Term Galns & Losses	ses							
Tax Year: 2013								
EIN: 23-7120804								
Name	Ouantity	Acquired	Period	Notation	Sale Date	Proceeds	Total Cost (Orig)	Gain / Loss
HAWAII ST HSG BE 2.600 7-01-37	1,189.010	01/01/0001	Long	1 .	9/1/13	1,189.01		1,189.01
HONEYWELL INTERNATIONAL INC	510.000	12/14/10	Long	<u>-</u>	7/12/13	41,902.40	26,849.41	15,052.99
INTEL CORP	990.000	12/14/10	Long	Ь	7/12/13	23,454.08	21,249.66	2,204.42
JANUS TRITON I	30,115.268	12/14/10	Long		9/9/13	663,439.05	497,774.36	165,664.69
JANUS TRITON I	1,126.137	2/16/12	Long		9/9/13	24,808.79	20,450.65	4,358.14
JANUS TRITON I	9,112.135	3/30/12	Long		9/9/13	200,740.24	167,845.53	32,894.71
JOHNSON & JOHNSON	203.000	3/13/03	Long		7/12/13	18,204.72	11,102.31	7,102.41
JOHNSON & JOHNSON	55.000	10/23/03	Long		7/12/13	4,932.31	2,773.53	2,158.78
JOHNSON & JOHNSON	183.000	10/23/03	Long		7/12/13	16,411.15	9,218.54	7,192.61
JOHNSON & JOHNSON	62.000	2/24/04	Long		7/12/13	5,560.06	3,354.64	2,205.42
JOHNSON & JOHNSON	70.000	3/2/04	Long		7/12/13	6,277.49	3,692.64	2,584.85
JOHNSON & JOHNSON	225.000	6/16/09	Long		7/12/13	20,177.65	12,361.50	7,816.15
JPMORGAN CHASE & CO	811.000	1/9/06	Long		7/12/13	44,534.32	32,894.47	11,639.85
JPMORGAN CHASE & CO	241.000	8/12/08	Long		7/12/13	13,233.99	8,989.82	4,244.17
MAINSTAY HI YLD CORP BOND I	75,540.591	6/28/11	Long		9/12/13	453,243.55	447,954.95	5,288.60
MC DONALDS CORP	510.000	12/14/10	Long		7/12/13	51,638.64	39,372.97	12,265.67
MICROSOFT CORP	722.000	9/30/02	Long	۵.	7/12/13	25,633.58	15,877.52	9,756.06
PERRIGO CO	450.000	12/14/10	Long	Ь	7/12/13	57,639.04	29,689.38	27,949.66
PHILIP MORRIS INTL INC	75.000	11/9/10	Long		7/12/13	6,696.17	4,467.23	2,228.94
PHILIP MORRIS INTL INC	460.000	12/14/10	Long		7/12/13	41,069.83	27,264.98	13,804.85
PPL CORPORATION	2,090.000	6/17/11	Long		7/12/13	63,315.24	56,806.20	6,509.04
PPL CORPORATION	1,780.000	11/2/11	Long		7/12/13	53,923.98	52,333.07	1,590.91
PROCTER & GAMBLE	870.000	12/14/10	Long		7/12/13	70,686.35	55,338.78	15,347.57
PUBLIC SERVICE ENTERPRISE GP	960.000	12/14/10	Long	۵	7/12/13	31,807.50	30,169.06	1,638.44
QUALCOMM INC	550.000	11/9/10	Long		7/12/13	33,961.90	26,376.19	7,585.71
QUALCOMM INC	670.000	12/14/10	Long		7/12/13	41,371.78	32,981.22	8,390.56
REYNOLDS AMERICAN INC	150.000	6/16/09	Long		7/12/13	7,669.83	2,741.25	4,928.58
RS GLOBAL NATURAL RES Y	22,888.232	6/23/11	Long		9/12/13	875,474.65	873,872.70	1,601.95
RS GLOBAL NATURAL RES Y	9,102.769	2/16/12	Long		9/12/13	348,180.82	347,907.74	273.08
STERICYCLE INC	270.000	12/14/10	Long		7/12/13	31,482.96	21,637.04	9,845.92
TEMPLETON GLOBAL BD FD ADV	47,410.650	6/28/11	Long		9/12/13	612,545.60	651,896.44	(39,350.84)
TIME WARNER CABLE INC NEW	125.000	11/9/10	Long		7/12/13	14,324.66	7,762.75	6,561.91
TIME WARNER CABLE INC NEW	220.000	12/14/10	Long		7/12/13	25,211.41	14,324.40	10,887.01
U S BANCORP COM NEW	890.000	12/14/10	Long	Q.	7/12/13	33,422.29	23,291.92	10,130.37
VARIAN MEDICAL SYS INC	225.000	3/18/10	Long		7/12/13	15,584.08	12,117.17	3,466.91

Cooke Foundation, Limited								
Securities Sales - Long-Term Gains & Losses	ses							
Tax Year: 2013								
EIN: 23-7120804								
Name	Quantity	Acquired Date	Period	Notation	Sale Date	Proceeds	Total Cost (Orig)	Gain / Loss
VARIAN MEDICAL SYS INC	75.000	6/9/10	Long		7/12/13	5,194.69	3,671.33	1,523.36
VARIAN MEDICAL SYS INC	290.000	12/14/10	Long		7/12/13	20,086.15	19,937.27	148.88
VERIZON COMMUNICATIONS	220.000	8/9/10	Long		7/12/13	27,724.69	16,455.51	11,269.18
VERIZON COMMUNICATIONS	2,160.000	6/17/11	Long		7/12/13	108,882.41	77,130.79	31,751.62
VERIZON COMMUNICATIONS	1,560.000	11/2/11	Long		7/12/13	78,637.29	57,132.03	21,505.26
VISA INC CL A	20.000	9/8/10	Long		7/12/13	9,500.76	3,431.30	6,069.46
WAL MART STORES INC	000'089	12/14/10	Long	Ь	7/12/13	52,695.61	37,043.81	15,651.80
WELLS FARGO & CO NEW	57.500	11/11/08	Long		7/12/13	2,446.10	1,665.71	780.39
WELLS FARGO & CO NEW	782.500	2/17/09	Long		7/12/13	33,288.30	11,221.05	22,067.25
WELLS FARGO & CO NEW	250.000	8/10/09	Long		7/12/13	10,635.24	7,145.20	3,490.04
TUNISIA 1.686 7-16-19	125,000.000	9/4/12	Long		10/4/13	121,162.50	127,308.75	(6,146.25)
HARBOR INTERNATIONAL INST	4,339.766	11/3/08	Long		2/7/14	299,791.04	178,147.35	121,643.69
HARBOR INTERNATIONAL INST	157.251	12/19/08	Long		2/7/14	10,862.90	6,140.67	4,722.23
HARBOR INTERNATIONAL INST	1,131.222	2/20/09	Long		2/7/14	78,144.82	51,176.47	26,968.35
HARBOR INTERNATIONAL INST	2,345.542	4/20/11	Long		2/7/14	162,030.04	153,023.14	9,006.90
T ROWE PRICE EMRG MKTS EQ INST	18,549.284	4/20/11	Long		2/19/14	514,742.45	622,142.99	(107,400.54)
ALABAMA POWER CO 5.650 3-15-35	75,000.000	3/29/05	Long		9/12/13	77,856.00	73,272.75	4,583.25
ALABAMA POWER CO 5.650 3-15-35	10,000.000	1/12/06	Long		9/12/13	10,380.80	9,556.80	824.00
APACHE FINANCE 7 3/4 12-15-29	25,000.000	1/25/07	Long	Р	9/13/13	31,147.50	29,832.50	1,315.00
BHP BILLITON FIN 1 7/8 11-21-16	20,000.000	11/16/11	Long		9/12/13	50,607.50	49,734.50	873.00
BHP BILLITON FIN 1 7/8 11-21-16	25,000.000	2/24/12	Long		9/12/13	25,303.75	25,541.25	(237.50)
DOMINION RESOURCE 5 1/4 8-01-33	65,000.000	9/8/6	Long		9/12/13	68,055.00	62,396.75	5,658.25
DOMINION RESOURCE 5 1/4 8-01-33	10,000.000	1/29/07	Long		9/12/13	10,470.00	9,704.10	765.90
EUROPEAN INVST BK 1 3/4 3-15-17	75,000.000	4/19/12	Long	۵	9/12/13	76,039.50	76,200.75	(161.25)
FHLMC MTN 2 3/8 1-13-22	75,000.000	2/17/12	Long	٩	9/12/13	71,080.80	74,516.40	(3,435.60)
FNMA 1.000 7-17-17	50,000.000	7/17/12	Long	۵.	9/12/13	48,875.00	49,995.00	(1,120.00)
FNMA 5 1/2 5-10-27	40,000.000	9/29/08	Long	Ъ	9/17/13	43,200.00	39,800.00	3,400.00
HARVARD PRE&FEL 6.300 10-01-37	10,000.000	8/17/06	Long		9/16/13	11,085.00	10,420.20	664.80
HARVARD PRE&FEL 6.300 10-01-37	40,000.000	11/6/06	Long		9/16/13	44,340.00	41,600.00	2,740.00
INTER-AMERICAN 3.200 8-07-42	45,000.000	7/31/12	Long	Q.	9/13/13	35,937.45	44,681.85	(8,744.40)
KINDER MORGAN 7.400 3-15-31	15,000.000	5/3/10	Long	ď	9/13/13	17,184.75	17,146.65	38.10
LANDESBANK BADEN 7 5/8 2-01-23	40,000.000	8/7/12	Long	۵	9/12/13	46,444.00	52,602.80	(6,158.80)
NCUA GUARN NTS TR 2.350 6-12-17	150,000.000	10/25/11	Long	ط	9/16/13	148,125.00	155,212.50	(7,087.50)
NEW VALLEY GEN IV 4.687 1-15-22	50,000.000	7/5/06	Long	а	9/17/13	33,327.23	29,124.98	4,202.25
PHARMACIA CORP 6 3/4 12-15-27	50,000.000	12/21/05	Long	٦	9/12/13	60,825.00	57,911.50	2,913.50

Cooke Foundation, Limited								
Securities Sales - Long-Term Gains & Losses	osses							
Tax Year: 2013								
EIN: 23-7120804								
		Acquired						
Name CHELLINTLEIN 2 3/8 8-21-22	Quantity 25 000 000	Date 8/14/12	Period	Notation	Sale Date	22 621 00	10tal Cost (Orig)	Gain / Loss
US TSY BOND 3 1/2 2-15-39	235,000,000	6/4/12	long	ļ	1	223.597.80	282.000.00	(58,402,20)
US TSY BOND 5 1/4 11-15-28	30,000.000	12/10/07	Long		9/12/13	36,521.37	32,419.92	4,101.45
US TSY BOND 5 1/4 11-15-28	110,000.000	6/10/09	Long		9/12/13	133,911.69	117,184.37	16,727.32
US TSY BOND 7 1/8 2-15-23	100,000.000	2/1/94	Long	d	9/12/13	136,261.30	109,171.88	27,089.42
US TSY NOTE 3 1/8 5-15-19	105,000.000	9/13/11	Long		9/12/13	111,775.34	117,181.64	(5,406.30)
US TSY NOTE 3 5/8 2-15-20	25,000.000		Long		9/12/13	27,255.75	24,476.56	2,779.19
US TSY NOTE 3 5/8 2-15-20	100,000.000	3/7/11	Long		9/12/13	109,023.00	102,292.97	6,730.03
US TSY NOTE 4 1/2 5-15-17	100,000.000	12/10/07	Long	d	9/12/13	111,823.90	102,562.50	9,261.40
US TSY NOTE 4 1/4 11-15-17	155,000.000	5/28/08	Long	Р	9/12/13	172,933.45	157,948.63	14,984.82
WAL-MART STORES 6 1/2 8-15-37	75,000.000	7/15/08	Long	ď	9/12/13	91,730.10	75,741.00	15,989.10
FFCB 2.480 11-21-24	75,000.000	11/19/12	Long	d.	5/12/14	69,024.00	74,850.00	(5,826.00)
HAWAII ST HSG BE 2.600 7-01-37	1,774.600	3/14/13	Long	A	4/1/14	1,774.60	•	1,774.60
HAWAII ST HSG BE 2.600 7-01-37	818.150	3/14/13	Long	A	5/1/14	818.15		818.15
HAWAII ST HSG BE 2.600 7-01-37	1,476.730	3/14/13	Long	A	6/1/14	1,476.73	•	1,476.73
HAWAII ST HSG BE 2.600 7-01-37	507.650	3/14/13	Long		7/1/14	507.65	497.28	10.37
BP CAP MARKETS 5 1/4 11-07-13	75,000.000	11/4/08	Long	Ь	11/7/13	75,000.00	74,951.25	48.75
CATERPILLAR FIN 6.200 9-30-13	100,000.000	9/23/08	Long	Ь	9/30/13	100,000.00	99,893.00	107.00
FNMA 2 3/4 3-13-14	35,000.000	8/17/10	Long	Ь	3/13/14	35,000.00	36,910.30	(1,910.30)
GATX CORP 8 3/4 5-15-14	45,000.000	4/30/09	Long	Ф	4/15/14	45,306.90	44,887.50	419.40
HEWLETT-PACKARD 6 1/8 3-01-14	10,000.000	11/18/10	Long	д.	3/3/14	10,000.00	11,415.00	(1,415.00)
US BANK NA 6.300 2-04-14	50,000.000	1/22/07	Long		2/4/14	50,000.00	52,711.50	(2,711.50)
US BANK NA 6.300 2-04-14	20,000.000	2/18/09	Long		2/4/14	20,000.00	21,175.80	(1,175.80)
US TSY NOTE 4 3/4 5-15-14	105,000.000	1	Long	Ь	5/15/14	105,000.00	103,474.22	1,525.78
HAWAII ST HSG BE 2.600 7-01-37	552.640	3/28/13	Long	ď	7/2/13	552.64	552.64	•
T ROWE PRICE INST FL RATE FD	63,414.635	Before 6/12	Long	с	7/15/13	650,000.01	650,634.15	(634.14)
COLUMBIA ACORN INTL CL R5	8,709.345	Before 6/12	Pong		7/18/13	386,172.36	356,220.91	29,951.45
						16,119,209.55	13,991,286.20	2,127,923.35
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Signature and Verification must be completed for Part II only.

Under penalties of perjury,	, I declare that	I have examined this for	rm, including	accompanying	schedules and sta	atements, an	id to the best o	of my kn	owledge a	nd belief
it is true, correct, and com	elete, and that	I am authorized to pre-	are this form					•	•	

EFTPS (Electronic Federal Tax Payment System). See instructions.

t is true, correct,	and complete, and that I am author	orized to prepare this form	
Signature 🕨	Chur Gum	Title ▶	Date ► Feb. 13, 2015
	//		Form 8868 (Rev. 1-201

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