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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No. 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning JUL 1, 2013, and ending JUN 30, 2014

Name of foundation

A Employer identification number

COOKE FOUNDATION, LIMITED

23-7120804

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

827 FORT STREET MALL

B Telephone number

(808) 566-5524

City or town, state or province, country, and ZIP or foreign postal code

HONOLULU, HI 96813

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐H Check type of organization: ☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

J Accounting method: ☒ Cash ☐ AccrualF If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

(from Part II, col. (c), line 16)

☐ Other (specify) \_\_\_\_\_

\$ 23,471,077. (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	2,000.		N/A	
	2 Check <input checked="" type="checkbox"/> If the foundation is not required to attach Sch. B interest on savings and temporary cash investments				
	3 Dividends and interest from securities	954,569.	954,569.		STATEMENT 1
	4a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	2,391,519.			
	b Gross sales price for all assets on line 6a	28,148,108.			
	7 Capital gain net income (from Part IV, line 2)		2,391,519.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less: Cost of goods sold				
c Gross profit or (loss)					
11 Other income	<11,303.>	<11,303.>		STATEMENT 2	
12 Total. Add lines 1 through 11	3,336,785.	3,334,785.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	12,147.	6,074.		6,073.
	c Other professional fees				
	17 Interest				
	18 Taxes	30,500.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	8,166.	0.		8,166.
	22 Printing and publications				
	23 Other expenses	258,582.	154,316.		104,266.
	24 Total operating and administrative expenses. Add lines 13 through 23	309,395.	160,390.		118,505.
25 Contributions, gifts, grants paid	1,200,934.			1,200,934.	
26 Total expenses and disbursements. Add lines 24 and 25	1,510,329.	160,390.		1,319,439.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	1,826,456.				
b Net investment income (if negative, enter -0-)		3,174,395.			
c Adjusted net income (if negative, enter -0-)			N/A		

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	7,079.		
	2 Savings and temporary cash investments	433,425.	705,769.	705,769.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations	STMT 7 0.	1,468,671.	1,469,983.
	b Investments - corporate stock	STMT 8 0.	10,351,610.	11,370,463.
	c Investments - corporate bonds	STMT 9 0.	4,955,425.	5,019,552.
	Liabilities	11 Investments - land, buildings, and equipment basis		
Less: accumulated depreciation				
12 Investments - mortgage loans				
13 Investments - other		STMT 10 19,740,043.	4,540,442.	4,905,310.
14 Land, buildings, and equipment basis				
Less: accumulated depreciation				
15 Other assets (describe)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		20,180,547.	22,021,917.	23,471,077.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
22 Other liabilities (describe)				
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	20,180,547.	22,021,917.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	20,180,547.	22,021,917.		
31 Total liabilities and net assets/fund balances	20,180,547.	22,021,917.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	20,180,547.
2 Enter amount from Part I, line 27a	2	1,826,456.
3 Other increases not included in line 2 (itemize) RETURN OF PRIOR YEAR GRANTS	3	15,000.
4 Add lines 1, 2, and 3	4	22,022,003.
5 Decreases not included in line 2 (itemize) SEE STATEMENT 6	5	86.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	22,021,917.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED SCHEDULE	P	VARIOUS	VARIOUS
b SEE ATTACHED SCHEDULE	P	VARIOUS	VARIOUS
c ENBRIDGE ENERGY PTNRS LP - K-1	P	VARIOUS	VARIOUS
d ENBRIDGE ENERGY PTNRS LP - K-1	P	VARIOUS	VARIOUS
e CAPITAL GAINS DIVIDENDS			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 12,028,624.		11,765,301.	263,323.
b 16,119,209.		13,991,286.	2,127,923.
c		2.	<2.>
d 268.			268.
e 7.			7.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			263,323.
b			2,127,923.
c			<2.>
d			268.
e			7.

2 Capital gain net income or (net capital loss) If gain, also enter in Part I, line 7  
If (loss), enter -0- in Part I, line 7 2 2,391,519.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter -0- in Part I, line 8 3 N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,219,561.	22,105,168.	.055171
2011	958,458.	21,257,828.	.045087
2010	1,127,786.	21,508,616.	.052434
2009	1,173,603.	20,334,653.	.057714
2008	1,246,429.	19,247,469.	.064758

2 Total of line 1, column (d) 2 .275164

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 3 .055033

4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 4 22,721,590.

5 Multiply line 4 by line 3 5 1,250,437.

6 Enter 1% of net investment income (1% of Part I, line 27b) 6 31,744.

7 Add lines 5 and 6 7 1,282,181.

8 Enter qualifying distributions from Part XII, line 4 8 1,319,439.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	31,744.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	31,744.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	31,744.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		6a	46,229.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		6b	
6 Credits/Payments:		6c	
a 2013 estimated tax payments and 2012 overpayment credited to 2013		6d	
b Exempt foreign organizations - tax withheld at source		7	46,229.
c Tax paid with application for extension of time to file (Form 8868)		8	
d Backup withholding erroneously withheld		9	
7 Total credits and payments. Add lines 6a through 6d		10	14,485.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		11	0.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input checked="" type="checkbox"/> 14,485. Refunded <input type="checkbox"/>			

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> HI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>HTTP://WWW.COOKEFDN.ORG</u>	13	X	
14	The books are in care of ► <u>HAWAII COMMUNITY FOUNDATION</u> Telephone no. ► <u>(808) 566-5524</u> Located at ► <u>827 FORT STREET MALL, HONOLULU, HI</u> ZIP+4 ► <u>96813</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <u>N/A</u>	1b	
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u>	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) <u>N/A</u>	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	21,868,814.
b	Average of monthly cash balances	1b	1,198,790.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	23,067,604.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	23,067,604.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	346,014.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	22,721,590.
6	Minimum investment return. Enter 5% of line 5	6	1,136,080.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	1,136,080.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	31,744.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	31,744.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	1,104,336.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,104,336.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	1,104,336.

**Part XII****Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,319,439.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,319,439.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	31,744.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,287,695.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,104,336.
2 Undistributed income, if any, as of the end of 2013			0.	
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	264,130.			
b From 2009	166,914.			
c From 2010	114,753.			
d From 2011				
e From 2012	130,233.			
f Total of lines 3a through e	676,030.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 1,319,439.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				1,104,336.
e Remaining amount distributed out of corpus	215,103.			
5 Excess distributions carryover applied to 2013 (if an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	891,133.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	264,130.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	627,003.			
10 Analysis of line 9:				
a Excess from 2009	166,914.			
b Excess from 2010	114,753.			
c Excess from 2011				
d Excess from 2012	130,233.			
e Excess from 2013	215,103.			



**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
SEE ATTACHED SCHEDULE				1,200,934.
<b>Total</b>			<b>3a</b>	1,200,934.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>			<b>3b</b>	0.

## **Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies .....						
2 Membership dues and assessments .....						
3 Interest on savings and temporary cash investments .....						
4 Dividends and interest from securities .....			14	954,569.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property .....						
b Not debt-financed property .....						
6 Net rental income or (loss) from personal property .....						
7 Other investment income .....			14	<11,303.>		
8 Gain or (loss) from sales of assets other than inventory .....			18	2,391,519.		
9 Net income or (loss) from special events .....						
10 Gross profit or (loss) from sales of inventory .....						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e) .....		0.		3,334,785.		0.
13 Total. Add line 12, columns (b), (d), and (e) .....					13	3,334,785.

(See worksheet in line 13 instructions to verify calculations.)

## **Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



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FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BANK OF HAWAII	53,953.	0.	53,953.	53,953.	
MORGAN STANLEY	900,623.	7.	900,616.	900,616.	
TO PART I, LINE 4	954,576.	7.	954,569.	954,569.	

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FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ENBRIDGE ENERGY PTNRS LP - K-1	<9,622.>	<9,622.>	
ONEOK PARTNERS LP - K-1	<2,995.>	<2,995.>	
LITIGATION SETTLEMENT PROCEEDS	1,314.	1,314.	
TOTAL TO FORM 990-PF, PART I, LINE 11	<11,303.>	<11,303.>	

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FORM 990-PF	ACCOUNTING FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEES	3,665.	1,833.		1,832.
AUDIT FEES	8,482.	4,241.		4,241.
TO FORM 990-PF, PG 1, LN 16B	12,147.	6,074.		6,073.

FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAXES	30,500.	0.		0.
TO FORM 990-PF, PG 1, LN 18	30,500.	0.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GRANTS ADMINISTRATION FEES	82,500.	0.		82,500.
MEMBERSHIP DUES	2,470.	0.		2,470.
STATIONARY & PRINTING	6,230.	0.		6,230.
AGENT FEES TO BANK OF HAWAII	22,141.	16,606.		5,535.
INVESTMENT MANAGEMENT FEES	115,145.	115,145.		0.
INVESTMENT CONSULTANT FEES	30,087.	22,565.		7,522.
ENBRIDGE ENERGY PTNRS LP - K-1	9.	0.		9.
TO FORM 990-PF, PG 1, LN 23	258,582.	154,316.		104,266.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	6
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DESCRIPTION	AMOUNT
NON-DEDUCT EXPENSES - LIMITED PARTNERSHIPS K-1	86.
TOTAL TO FORM 990-PF, PART III, LINE 5	86.



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FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	7
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
GOVERNMENT SECURITIES		X	1,468,671.	1,469,983.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			1,468,671.	1,469,983.
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,468,671.	1,469,983.

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FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCKS	10,351,610.	11,370,463.
TOTAL TO FORM 990-PF, PART II, LINE 10B	10,351,610.	11,370,463.

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FORM 990-PF	CORPORATE BONDS	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS	4,955,425.	5,019,552.
TOTAL TO FORM 990-PF, PART II, LINE 10C	4,955,425.	5,019,552.

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FORM 990-PF	OTHER INVESTMENTS	STATEMENT	10
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
EXCHANGE-TRADED & CLOSED-END FDS	COST	4,452,559.	4,777,825.
LIMITED PARTNERSHIPS	COST	87,883.	127,485.
TOTAL TO FORM 990-PF, PART II, LINE 13		4,540,442.	4,905,310.

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 11

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
DALE S. BACHMAN 827 FORT STREET MALL HONOLULU, HI 96813	PRESIDENT/TRUSTEE 1.00	0.	0.	0.
LYNNE JOHNSON 827 FORT STREET MALL HONOLULU, HI 96813	VICE PRES/TRUSTEE 1.00	0.	0.	0.
ELIZABETH DUNFORD 827 FORT STREET MALL HONOLULU, HI 96813	VICE PRES/TRUSTEE 1.00	0.	0.	0.
CATHERINE COOKE 827 FORT STREET MALL HONOLULU, HI 96813	VICE PRES/TRUSTEE 1.00	0.	0.	0.
CAROLINE BOND DAVIS 827 FORT STREET MALL HONOLULU, HI 96813	SECRETARY/TRUSTEE 1.00	0.	0.	0.
CHARLES SPALDING JR. 827 FORT STREET MALL HONOLULU, HI 96813	TREASURER/TRUSTEE 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

## **Cooke Foundation**

### **Grant Application Guidelines 2014**

#### **Mission**

The Cooke Foundation supports worthy endeavors in the community that the family feels will make a significant difference in the betterment and welfare of the people of Hawai'i.

#### **Eligibility and priorities**

The Foundation's priority interests are arts, culture, humanities; education; environment; and human services. The Foundation may make grants in other areas of interest at the discretion of the Trustees, but unsolicited proposals outside its priority interests will not be considered.

Applicants must be classified under section 501(c)(3) of the Internal Revenue Code. The Foundation does not make grants to supporting organizations classified under section 509(a)(3) of the Internal Revenue Code.

Applicants must be in existence for five years and be in stable financial condition.

The Foundation does not generally fund loans; operating support; endowments; funds for re-granting; conferences, festivals, and similar one-time events; religious programs; activities that have already occurred; scholarships; and grants to individuals or for the benefit of identified individuals.

Applications from a unit of the University of Hawai'i must be submitted through the University of Hawai'i Foundation.

A grantee may not receive more than one grant in any fiscal year (July through June), except that a grantee serving as the fiscal sponsor for another organization may receive a second grant for its own project.

The term of a grant is usually one year. In general, organizations may receive a maximum of three consecutive years of grant support.

The Foundation may choose to fund over a number of years. In the case of a multi-year pledge, payments are released in twelve-month intervals, and each payment is contingent on receipt of a satisfactory progress report.

Requests to the Foundation over \$5,000 may not exceed 30% of the project budget.

Requests for more than \$20,000 must be sponsored by a Trustee.

The Foundation does not accept incomplete applications or applications from organizations with overdue reports.

#### **Application procedures**

##### **Online Submission**

This application is available for online submission. Your organization must first establish an online account with the Hawaii Community Foundation to access the online application. Please go to <https://nexus.hawaiicommunityfoundation.org/nonprofit> to request an account or, if you already have an account, to access the online application.

##### **Note**

If you are requesting an account for the first time, it may take two to three days for you to receive the account information. We recommend that you request your account early to give yourself adequate time to complete the application by the submission deadline. If you are not able to submit your proposal online, please contact Terry Savage, via email at [tsavage@hcf-hawaii.org](mailto:tsavage@hcf-hawaii.org) or call (808)566-5508, toll free from neighbor islands (888)731-3863 ext. 508.

### **Executive Summary**

Please summarize the proposal narrative that follows as concisely as possible, using the same headings. *(Maximum 4,000 character count, single spaced)*

### **Proposal narrative**

Organization: Describe the organization, including mission and history, year established, geographic reach, staff size, and staff capabilities to conduct the proposed work. *(Maximum 3,500 character count, single spaced)*

Problem or opportunity: Describe the problem or opportunity to be addressed by the project. Describe the population that will benefit from the project, including an estimate of size or numbers. *(Maximum 5,000 character count, single spaced)*

Activities: Describe the activities to be performed, and the services or products to be delivered, including quantities and a timeline. If the project is a partnership, describe each partner's role. Explain why you chose this approach to the problem or opportunity. *(Maximum 5,000 character count, single spaced)*

Expected outcomes: Explain how participants or the community will benefit. (Provide specific, measurable expected results.) Describe the plan to determine the effectiveness of the project. *(Maximum 3,000 character count, single spaced)*

Funding plan: Explain the project budget, including adjustments to be made if not all anticipated funding is received. Describe the plan, if any, to continue funding the project after the grant period ends. Requests to the Foundation over \$5,000 may not exceed 30% of the project budget. *(Maximum 3,000 character count, single spaced)*

Addendum for capital requests: Capital campaign: Describe how the capital campaign is being conducted and the experience of board members and staff with capital campaigns. Identify how much funding has been secured as of the date of the proposal. *(Maximum 3,000 character count, single spaced)* Construction: Describe the form of site control, including relevant terms of long-term leases or purchase agreements if not under ownership. Describe the status and timeline for design and engineering work and the status of required permits. Provide the source for cost estimates. Describe who will manage the design and construction phases and their experience in this work. *(Maximum 2,500 character count single spaced)*

### **Additional required documents**

Please upload these files:

Project budget showing: Anticipated income (source, amount, restrictions, and whether secured or pending); Anticipated expenses (overall expenses, and expenses for which Cooke Foundation grant will be used)

Board of directors list

IRS 501(c)(3) determination letter (not required if applying through a fiscal sponsor)

Organization's annual operating budget for the current year

Organization's balance sheet for the most recently completed fiscal year

Organization's income statement (or profit/loss statement) for the most recently completed fiscal year

*Audited financial statements are preferred but not required.  
Local units of national organizations must submit local unit financial information.*

If a fiscal sponsor is involved, please upload the following additional files:

Fiscal sponsor's Board of Directors Resolution authorizing project fiscal sponsorship  
available at: <http://www.hawaiicommunityfoundation.org/nonprofits/fiscal-sponsor-materials>

Fiscal Sponsor's Agreement (click to download template)  
available at: <http://www.hawaiicommunityfoundation.org/nonprofits/fiscal-sponsor-materials>

Fiscal Sponsor's IRS 501 (c)(3) determination letter

Fiscal Sponsor's Board of Director's list

Fiscal Sponsor's annual operating budget for the current year

Fiscal Sponsor's balance sheet for the most recently completed fiscal year

Fiscal Sponsor's income statement (or profit/loss statement) for the most recently completed fiscal year

*Audited financial statements are preferred but not required.  
Local units of national organizations must submit local unit financial information.*

#### **Deadlines**

For applications to be considered, applications must be submitted online by clicking the "Submit" button on the final page of the application. Applications submitted by 5:00 pm (HST) on these dates will be considered at the next Board of Trustees meeting in the following months:

March 3, 2014 at May meeting, decisions announced early June

September 2, 2014 at November meeting, decisions announced early December

#### **Contact Information**

For more information, contact Terry Savage via email at [tsavage@hcf-hawaii.org](mailto:tsavage@hcf-hawaii.org) or call (808) 566-5508 or toll-free from neighbor islands (888) 731-3863 ext. 508.

Cooke Foundation, Limited				
2014 Grants List				
Organization	Address	Project Description	Check Date	Payment Amount
After-School All-Stars Hawaii	4747 Kilauea Ave., #210 Honolulu, HI 96816	After-School Programs in Ten Title I Schools	6/13/14	15,000
Aha Kane Foundation for the Advancement of Native Hawaiian Males	677 Ala Moana Blvd. #1015 Honolulu, HI 96822	Hale Mua Project	6/13/14	19,768
Aikahi Elementary School	281 Ilihu St. Kailua, HI 96734	2013 Cooke Beautification Award	11/26/13	5,000
American Cancer Society	2370 Nuuanu Ave. Honolulu, HI 96817-1714	Hope Lodge Hawaii - Temporary Housing Facility for Cancer Patients Who Are Undergoing Treatment	11/26/13	10,000
Artspace Projects, Inc.	250 Third Avenue North, Suite 500 Minneapolis, MN 55401	Ola Ka 'Ilima Artspace Lofts	6/13/14	20,000
Big Brothers Big Sisters Hawaii, Inc.	418 Kuwili St., Ste. 106 Honolulu, HI 96817	West Hawaii Island Expansion	6/13/14	19,500
Big Island Mediation, Inc.	PO Box 7020 Kamuela, HI 96743	Big Island Middle School Pilot Program Phase II - Conflict Resolution Education/Peer Mediation	4/9/14	6,500
Bishop Museum	1525 Bernice St. Honolulu, HI 96817-2704	Comprehensive Architectural and Programmatic Renovation of the Historic 120-Year Old Pacific Hall	5/29/14	50,000
Boys & Girls Club of Hawaii	1523 Kalakaua Ave., Rm. 202 Honolulu, HI 96826	General Operations	8/19/13	50,000
Camp Mokuleia Inc.	68-729 Farrington Highway Waialua, HI 96791	A Sustainable Transformation at Camp Mokuleia	12/16/13	25,000
Extreme Response International, Inc.	P.O. Box 345 Snellville, GA 30078	Philippines Typhoon Haiyan Disaster Relief	11/26/13	5,000
Friends of Hawaii Robotics	P.O. Box 3019 Honolulu, HI 96802	Waialua Intermediate STEM Learning Center	6/13/14	10,000
Friends of the Future	P.O. Box 2655 Kamuela, HI 96743	Unwrapping the Standards	11/26/13	30,000
Friends of the Palace Theater	38 Haili Street Hilo, HI 96720	Theater Lighting and Audio Upgrades	11/26/13	10,000
Hawaii Community Foundation	827 Fort Street Mall Honolulu, HI 96813	Pathways to Resilient Communities	8/19/13	66,666
Hawaii Opera Theatre	848 S. Beretania St., Ste. 301 Honolulu, HI 96813	Hawaii Opera Theatre Production of Gilbert & Sullivan's "The Mikado"	6/13/14	20,000
Hawaii Public Television Foundation dba PBS Hawaii	PO Box 11599 Honolulu, HI 96828	New Home Campaign	11/26/13	50,000
Hawaii Youth Opera Chorus	P.O. Box 22304 Honolulu, HI 96823	Music Education for Oahu Elementary Schools	11/26/13	20,000
Hawaiian Mission Children's Society	553 S. King St. Honolulu, HI 96813	HMH 2014 Theatrical Productions	11/26/13	5,000
Honolulu Academy of Arts dba Honolulu Museum of Art	900 S. Beretania St. Honolulu, HI 96814-1495	Improving the Quality of the Visitor's Experience	6/13/14	20,000
Honolulu Academy of Arts dba Honolulu Museum of Art	900 S. Beretania St. Honolulu, HI 96814-1495	Annual Grant	6/17/14	100,000
Hospice of Hilo	1011 Waianuenue Ave. Hilo, HI 96720	Hospice of Hilo's Hawai'i Palliative Care Center	11/26/13	15,000
IHS, The Institute for Human Services, Inc.	546 Ka'aahi St. Honolulu, HI 96817	IHS's Rooftop Training and Education Center "Kulia I Ka Nuku"	11/26/13	20,000
Kapiolani Health Foundation	55 Merchant St., 26th Flr. Honolulu, HI 96813	Phase 1 Renovation of Kapiolani Medical Center for Women & Children	12/16/13	50,000
Kauai Christian Fellowship	PO Box 633 Lawai, HI 96765	Youth and Sports Center	11/26/13	50,000
Kauai Habitat for Humanity	P.O. Box 28 Eleele, HI 96705	Eleele Iluna Subdivision Infrastructure	6/13/14	25,000

Cooke Foundation, Limited				
2014 Grants List				
Organization	Address	Project Description	Check Date	Payment Amount
Kuikahi Mediation Center, Inc.	101 Aupuni St., Ste. 1014 B2 Hilo, HI 96720	East Hawai'i Peer Mediation Elementary School Pilot Project	6/13/14	5,000
Leadership Kauai	PO Box 1567 Lihue, HI 96766	Pi'ina Hoku Youth Leadership Training Expansion 2013-2014	11/26/13	15,000
Love the Journey, Inc	PO Box 3076 Lihue, HI 96766	Extended Furlough Housing Program	11/26/13	5,000
Lutheran Church Missouri Synod Good Shepherd Preschool	638 N. Kuakini St. Honolulu, HI 96817	Preschool Expansion Project	6/13/14	5,000
Malama Maunaloa	P.O. Box 240421 Honolulu, HI 96824	Growing Volunteer Leaders: Community Kuleana in Action	11/26/13	25,000
Manoa Heritage Center	2859 Manoa Rd. Honolulu, HI 96822	Manoa Heritage Center Visitor Hale and Education Center	5/29/14	100,000
Mental Health Kokua	1221 Kapiolani Blvd., Ste. 345 Honolulu, HI 96814	Video Support to Help Reduce Mental Health Stigma	6/13/14	5,000
National Tropical Botanical Garden	3530 Papalina Rd. Kalaheo, HI 96741	Cultivating Paradise: The Campaign for National Tropical Botanical Garden	11/26/13	50,000
Pacific Buddhist Academy	1710 Pali Hwy. Honolulu, HI 96813	Construction of a New Classroom Building	1/14/14	25,000
Paia Youth Council, Inc.	P. O. Box 790999 Paia, HI 96779	Equipment Upgrade for Pa'ia Youth & Cultural Center's Hekili Multimedia Lab Program	6/13/14	5,000
Project Vision Hawaii	P.O. Box 23212 Honolulu, HI 96823	Better Vision for Hawai'i: A Cataract Surgery Program for the Underserved	6/13/14	18,000
St. Andrew's Priory School	224 Queen Emma Sq. Honolulu, HI 96813	Education Equity and Access - Strategic Initiative	6/13/14	25,000
St. John Vianney Parish School	940 Keolu Dr. Kailua, HI 96734	An Early Learning Center for 3-Year Old Children	11/26/13	15,000
The Arc of Hilo	1099 Waiianuenue Ave. Hilo, HI 96720	Roof Replacement Phase II	6/13/14	12,000
The Nature Conservancy of Hawaii	923 Nu'uuanu Ave. Honolulu, HI 96817	Protecting Kauai's Native Forests	5/29/14	50,000
The Salvation Army - Hawaiian & Pacific Islands Division	P.O. Box 620 Honolulu, HI 96809	Preschool and Therapeutic Nursery Outdoor Play Area Enhancement, Phase 2	11/26/13	10,000
Tri-Isle Resource Conservation and Development Council	PO Box 338 Kahului, HI 96733	Makawao Historical Walking Tour	6/13/14	5,000
Trust for Public Land	101 Montgomery St., Suite 900 San Francisco, CA 94104	The Trust for Public Land's Agriculture Initiative	11/26/13	20,000
University of Hawaii Foundation	2444 Dole St., Bachman Hall 105 Honolulu, HI 96822	Culinary Institute of the Pacific at Diamond Head	1/14/14	50,000
University of Hawaii Foundation	P.O. Box 11270 Honolulu, HI 96828	Curve of the Hook Book	6/13/14	20,000
Waikiki Health	277 Ohua Ave. Honolulu, HI 96815	"Sew Awesome": A Pilot Sewing Skills Job Training Program to Empower Homeless Women	6/13/14	5,000
Waikoloa Dry Forest Initiative	68-3720 Lua Hoana Pl. Waikoloa, HI 96738	Ho'ola hou	6/13/14	13,500
		Total grants paid FYE 6/14		1,200,934

Cooke Foundation, Limited									
Securities Sales - Short-Term Gains & Losses									
Tax Year: 2013									
EIN: 23-7120804									
Name	Quantity	Acquired Date	Period	Notation	Sale Date	Proceeds	Total Cost (Orig)	Gain / Loss	
ABBVIE INC COM	2,540,000	3/11/13	Short	P	7/12/13	113,548.47	96,722.69	16,825.78	
AMER INTL GP INC NEW	530,000	3/11/13	Short	P	7/12/13	24,697.62	20,918.36	3,779.26	
AMERICAN ELECTRIC POWER CO	1,150,000	9/24/12	Short	P	7/12/13	53,521.90	50,738.00	2,783.90	
APPLE INC	60,000	3/11/13	Short		7/12/13	25,487.10	25,697.27	(210.17)	
ASTRAZENECA PLC ADS	810,000	9/24/12	Short		7/12/13	39,994.67	38,969.02	1,025.65	
BP PLC ADS	2,220,000	3/11/13	Short	P	7/12/13	93,756.95	90,132.00	3,624.95	
COACH INC	850,000	9/24/12	Short	P	7/12/13	50,129.23	48,067.50	2,061.73	
CUMMINS INC	80,000	9/24/12	Short		7/12/13	9,207.05	7,642.04	1,565.01	
DEERE & CO	330,000	9/24/12	Short	P	7/12/13	27,617.71	27,321.00	296.71	
DOMINION RES INC (NEW)	530,000	3/11/13	Short		7/12/13	31,075.37	29,791.30	1,284.07	
EXPEDITORS INTL WASH INC	710,000	9/24/12	Short		7/12/13	28,260.06	26,148.24	2,111.82	
JOHN HANCOCK DISC VAL MDCP I	57,943.769	9/24/12	Short		9/9/13	954,913.31	753,268.42	201,644.89	
KRAFT FOODS GROUP INC COM	1,550,000	11/28/12	Short		7/12/13	87,718.40	70,876.08	16,842.32	
KRAFT FOODS GROUP INC COM	840,000	3/11/13	Short		7/12/13	47,537.71	41,946.83	5,590.88	
MC DONALDS CORP	450,000	3/11/13	Short		7/12/13	45,563.50	44,478.00	1,085.50	
MERCK & CO INC NEW COM	480,000	3/11/13	Short		7/12/13	23,218.97	20,793.60	2,425.37	
MONSTER BEVERAGE CORP COM	440,000	11/28/12	Short	P	7/12/13	26,363.50	22,390.28	3,973.22	
OCCIDENTAL PETROLEUM CORP DE	250,000	3/11/13	Short	P	7/12/13	22,497.93	20,761.35	1,736.58	
ORACLE CORP	710,000	9/24/12	Short	P	7/12/13	22,451.93	22,939.25	(487.32)	
PRICELINE.COM INC NEW	40,000	11/28/12	Short	P	7/12/13	36,659.36	26,122.40	10,536.96	
REYNOLDS AMERICAN INC	470,000	11/28/12	Short		7/12/13	24,032.14	20,419.48	3,612.66	
SENIOR HSG PPTY TR SBI	970,000	3/11/13	Short	P	7/12/13	26,262.19	24,435.56	1,826.63	
TOTAL S A SPON ADR	760,000	9/24/12	Short		7/12/13	38,222.70	39,747.01	(1,524.31)	
VARIAN MEDICAL SYS INC	400,000	9/24/12	Short		7/12/13	27,705.04	24,368.00	3,337.04	
VODAFONE GP PLC ADS NEW	800,000	9/24/12	Short		7/12/13	23,515.51	23,024.00	491.51	
T ROWE PRICE EMRG MKTS EQ INST	157,947	12/18/13	Short		2/19/14	4,383.21	4,637.32	(254.11)	
GOLDMAN SACHS GROUP PFD D	216,000	9/16/13	Short		12/18/13	3,936.38	4,298.25	(361.87)	
GOLDMAN SACHS GROUP PFD D	148,000	9/19/13	Short		12/18/13	2,697.15	2,970.36	(273.21)	
GOLDMAN SACHS GROUP PFD D	336,000	9/20/13	Short		12/18/13	6,123.25	6,738.88	(615.63)	
GOLDMAN SACHS GROUP PFD D	1,000,000	9/20/13	Short		12/18/13	18,219.68	20,056.20	(1,836.52)	
GOLDMAN SACHS GROUP PFD D	1,000,000	9/20/13	Short		12/18/13	18,146.68	20,056.20	(1,909.52)	
GOLDMAN SACHS GROUP PFD D	1,000,000	9/20/13	Short		12/18/13	18,102.68	20,056.20	(1,953.52)	
GOLDMAN SACHS GROUP PFD D	1,000,000	9/20/13	Short		12/18/13	18,101.68	20,056.20	(1,954.52)	
GOLDMAN SACHS GROUP PFD D	300,000	9/20/13	Short		12/19/13	5,433.21	6,016.86	(583.65)	
GOLDMAN SACHS GROUP PFD D	1,000,000	10/14/13	Short		12/19/13	18,110.68	19,935.00	(1,824.32)	











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LABORATORY CP AMER HLDGS NEW	230.000	3/30/12	Long	P	7/12/13	22,242.22	21,018.39	1,223.83	
LORILLARD INC	780.000	6/17/11	Long		7/12/13	35,903.39	29,093.27	6,810.12	
LORILLARD INC	720.000	11/2/11	Long		7/12/13	33,141.60	25,937.59	7,204.01	
MERCK & CO INC NEW COM	3,760.000	2/7/12	Long		7/12/13	181,881.95	145,275.50	36,606.45	
NATIONAL OILWELL VARCO INC	330.000	11/2/11	Long	P	7/12/13	23,988.34	22,858.94	1,129.40	
NATL GRID TRANSCO PLC ADS	1,660.000	6/17/11	Long		7/12/13	95,580.47	79,696.60	15,883.87	
NATL GRID TRANSCO PLC ADS	1,600.000	9/8/11	Long		7/12/13	92,125.76	80,557.44	11,568.32	
NEXTERA ENERGY INC COM	520.000	12/14/10	Long	P	7/12/13	43,603.22	26,742.93	16,860.29	
PEPSICO INC NC	770.000	11/2/11	Long	P	7/12/13	64,682.10	47,897.70	16,784.40	
PHILIP MORRIS INTL INC	590.000	6/17/11	Long		7/12/13	52,676.53	40,554.77	12,121.76	
PROCTER & GAMBLE	230.000	11/2/11	Long		7/12/13	18,687.20	14,387.19	4,300.01	
REYNOLDS AMERICAN INC	1,830.000	6/17/11	Long		7/12/13	93,571.94	69,993.66	23,578.28	
REYNOLDS AMERICAN INC	1,180.000	11/2/11	Long		7/12/13	60,336.01	44,961.89	15,374.12	
ROYAL DUTCH SHELL PLC CL B	1,540.000	6/17/11	Long		7/12/13	107,309.80	106,184.39	1,125.41	
ROYAL DUTCH SHELL PLC CL B	940.000	11/2/11	Long		7/12/13	65,500.78	66,585.56	(1,084.78)	
RS GLOBAL NATURAL RES Y	7,623.911	3/27/12	Long		9/12/13	291,614.91	280,483.69	11,131.22	
SANDISK CORP	520.000	2/8/11	Long	P	7/12/13	31,484.67	25,906.92	5,577.75	
SCHLUMBERGER LTD	480.000	11/2/11	Long	P	7/12/13	36,768.18	34,450.85	2,317.33	
SOUTHERN CO	2,500.000	6/17/11	Long		7/12/13	112,250.55	99,717.75	12,532.80	
SOUTHERN CO	1,440.000	9/8/11	Long		7/12/13	64,656.31	59,601.17	5,055.14	
STERICYCLE INC	250.000	3/27/12	Long		7/12/13	29,150.89	21,409.00	7,741.89	
TOTAL S A SPON ADR	570.000	6/17/11	Long		7/12/13	28,667.02	31,009.08	(2,342.06)	
TOTAL S A SPON ADR	2,000.000	11/2/11	Long		7/12/13	100,586.05	101,891.60	(1,305.55)	
UNILEVER PLC (NEW) ADS	1,210.000	6/17/11	Long	P	7/12/13	51,127.54	38,425.85	12,701.69	
UNITED TECHNOLOGIES CORP	310.000	3/27/12	Long	P	7/12/13	30,901.62	25,838.59	5,063.03	
VERISK ANALYTICS CL A	1,050.000	9/8/11	Long	P	7/12/13	66,206.59	35,964.60	30,241.99	
VISA INC CL A	230.000	2/8/11	Long		7/12/13	43,703.52	17,092.78	26,610.74	
VODAFONE GP PLC ADS NEW	810.000	3/9/11	Long		7/12/13	23,809.45	23,902.94	(93.49)	
VODAFONE GP PLC ADS NEW	2,530.000	6/17/11	Long		7/12/13	74,367.80	65,525.74	8,842.06	
VODAFONE GP PLC ADS NEW	1,930.000	11/2/11	Long		7/12/13	56,731.17	53,470.26	3,260.91	
WINDSTREAM CORP	2,200.000	6/17/11	Long		7/12/13	17,755.89	28,668.42	(10,912.53)	
WINDSTREAM CORP	1,940.000	11/2/11	Long		7/12/13	15,657.46	23,577.40	(7,919.94)	
HARBOR INTERNATIONAL INST	557.398	3/13/12	Long		2/7/14	38,505.05	33,834.06	4,670.99	
ABERDEEN ASIA PAC(XJAPAN)EQ I	20,430.205	12/10/04	Long		12/10/13	235,764.36	210,585.36	25,179.00	
ABERDEEN ASIA PAC(XJAPAN)EQ I	250.415	10/29/08	Long		12/10/13	2,889.79	2,095.11	794.68	

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ABERDEEN ASIA PAC(XJAPAN)EQ I	7,416.172	12/15/08	Long		12/10/13	85,582.55	51,542.10	34,040.45	
ABERDEEN ASIA PAC(XJAPAN)EQ I	1,207.454	12/15/08	Long		12/10/13	13,934.01	8,391.77	5,542.24	
ABERDEEN ASIA PAC(XJAPAN)EQ I	93.541	12/29/08	Long		12/10/13	1,079.46	651.57	427.89	
ABERDEEN ASIA PAC(XJAPAN)EQ I	13,443.851	7/22/09	Long		12/10/13	155,142.33	124,263.40	30,878.93	
ABERDEEN INTL EQ INST	12,782.371	10/18/02	Long		9/12/13	193,524.97	131,942.96	61,582.01	
ABERDEEN INTL EQ INST	8,619.258	3/4/05	Long		9/12/13	130,495.48	138,331.42	(7,835.94)	
ABERDEEN INTL EQ INST	171.692	4/29/05	Long		9/12/13	2,599.42	2,561.26	38.16	
ABERDEEN INTL EQ INST	250.364	7/29/05	Long		9/12/13	3,790.51	3,970.18	(179.67)	
ABERDEEN INTL EQ INST	2,927.092	9/26/05	Long		9/12/13	44,316.14	48,760.52	(4,444.38)	
ABERDEEN INTL EQ INST	124.928	10/29/08	Long		9/12/13	1,891.41	1,406.96	484.45	
ABERDEEN INTL EQ INST	20,196.990	12/15/08	Long		9/12/13	305,782.23	176,134.52	129,647.71	
ABERDEEN INTL EQ INST	621.832	12/15/08	Long		9/12/13	9,414.53	5,422.90	3,991.63	
ABERDEEN INTL EQ INST	183.285	12/29/08	Long		9/12/13	2,774.93	1,595.20	1,179.73	
ABERDEEN INTL EQ INST	2,909.099	4/20/11	Long		9/12/13	44,043.73	42,447.57	1,596.16	
ALLSTATE CORP	520.000	12/14/10	Long		7/12/13	26,451.99	16,173.67	10,278.32	
ALTRIA GROUP INC	1,710.000	12/14/10	Long		7/12/13	62,866.89	42,375.85	20,491.04	
AMERICAN EXPRESS CO	275.000	3/18/10	Long		7/12/13	21,412.17	11,286.74	10,125.43	
AMERICAN EXPRESS CO	250.000	4/19/10	Long		7/12/13	19,465.61	11,444.45	8,021.16	
AMERICAN EXPRESS CO	125.000	5/10/10	Long		7/12/13	9,732.80	5,362.14	4,370.66	
AMERICAN EXPRESS CO	100.000	8/9/10	Long		7/12/13	7,786.24	4,390.67	3,395.57	
AMERICAN EXPRESS CO	330.000	12/14/10	Long		7/12/13	25,694.61	15,337.90	10,356.71	
AMERISOURCEBERGEN CORP	19.000	2/20/08	Long		7/12/13	1,104.88	416.84	688.04	
AMERISOURCEBERGEN CORP	309.000	8/12/08	Long		7/12/13	17,968.78	6,628.05	11,340.73	
AMERISOURCEBERGEN CORP	119.000	8/12/08	Long		7/12/13	6,920.02	2,548.65	4,371.37	
AMERISOURCEBERGEN CORP	80.000	12/14/10	Long		7/12/13	4,652.11	2,562.21	2,089.90	
APACHE CORP	40.000	6/16/09	Long		7/12/13	3,287.58	3,218.50	69.08	
APACHE CORP	200.000	6/30/09	Long		7/12/13	16,437.89	14,444.54	1,993.35	
APPLE INC	164.000	12/11/07	Long		7/12/13	69,664.74	32,076.70	37,588.04	
APPLE INC	10.000	8/10/09	Long		7/12/13	4,247.85	1,643.40	2,604.45	
AT&T INC	362.000	12/8/08	Long		7/12/13	12,923.72	10,948.36	1,975.36	
AT&T INC	125.000	6/30/09	Long		7/12/13	4,462.61	3,107.50	1,355.11	
AT&T INC	875.000	9/14/09	Long		7/12/13	31,238.27	23,206.40	8,031.87	
AT&T INC	150.000	11/10/09	Long		7/12/13	5,355.13	3,945.60	1,409.53	
AT&T INC	575.000	12/18/09	Long		7/12/13	20,528.00	15,716.82	4,811.18	
AT&T INC	625.000	5/10/10	Long		7/12/13	22,313.05	15,992.50	6,320.55	



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HAWAII ST HSG BE 2.600 7-01-37	1,189.010	01/01/0001	Long		9/1/13	1,189.01	-	1,189.01	
HONEYWELL INTERNATIONAL INC	510.000	12/14/10	Long	P	7/12/13	41,902.40	26,849.41	15,052.99	
INTEL CORP	990.000	12/14/10	Long	P	7/12/13	23,454.08	21,249.66	2,204.42	
JANUS TRITON I	30,115.268	12/14/10	Long		9/9/13	663,439.05	497,774.36	165,664.69	
JANUS TRITON I	1,126.137	2/16/12	Long		9/9/13	24,808.79	20,450.65	4,358.14	
JANUS TRITON I	9,112.135	3/30/12	Long		9/9/13	200,740.24	167,845.53	32,894.71	
JOHNSON & JOHNSON	203.000	3/13/03	Long		7/12/13	18,204.72	11,102.31	7,102.41	
JOHNSON & JOHNSON	55.000	10/23/03	Long		7/12/13	4,932.31	2,773.53	2,158.78	
JOHNSON & JOHNSON	183.000	10/23/03	Long		7/12/13	16,411.15	9,218.54	7,192.61	
JOHNSON & JOHNSON	62.000	2/24/04	Long		7/12/13	5,560.06	3,354.64	2,205.42	
JOHNSON & JOHNSON	70.000	3/2/04	Long		7/12/13	6,277.49	3,692.64	2,584.85	
JOHNSON & JOHNSON	225.000	6/16/09	Long		7/12/13	20,177.65	12,361.50	7,816.15	
JPMORGAN CHASE & CO	811.000	1/9/06	Long		7/12/13	44,534.32	32,894.47	11,639.85	
JPMORGAN CHASE & CO	241.000	8/12/08	Long		7/12/13	13,233.99	8,989.82	4,244.17	
MAINSTAY HI YLD CORP BOND I	75,540.591	6/28/11	Long		9/12/13	453,243.55	447,954.95	5,288.60	
MC DONALDS CORP	510.000	12/14/10	Long		7/12/13	51,638.64	39,372.97	12,265.67	
MICROSOFT CORP	722.000	9/30/02	Long	P	7/12/13	25,633.58	15,877.52	9,756.06	
PERRIGO CO	450.000	12/14/10	Long	P	7/12/13	57,639.04	29,689.38	27,949.66	
PHILIP MORRIS INTL INC	75.000	11/9/10	Long		7/12/13	6,696.17	4,467.23	2,228.94	
PHILIP MORRIS INTL INC	460.000	12/14/10	Long		7/12/13	41,069.83	27,264.98	13,804.85	
PPL CORPORATION	2,090.000	6/17/11	Long		7/12/13	63,315.24	56,806.20	6,509.04	
PPL CORPORATION	1,780.000	11/2/11	Long		7/12/13	53,923.98	52,333.07	1,590.91	
PROCTER & GAMBLE	870.000	12/14/10	Long		7/12/13	70,686.35	55,338.78	15,347.57	
PUBLIC SERVICE ENTERPRISE GP	960.000	12/14/10	Long	P	7/12/13	31,807.50	30,169.06	1,638.44	
QUALCOMM INC	550.000	11/9/10	Long		7/12/13	33,961.90	26,376.19	7,585.71	
QUALCOMM INC	670.000	12/14/10	Long		7/12/13	41,371.78	32,981.22	8,390.56	
REYNOLDS AMERICAN INC	150.000	6/16/09	Long		7/12/13	7,669.83	2,741.25	4,928.58	
RS GLOBAL NATURAL RES Y	22,888.232	6/23/11	Long		9/12/13	875,474.65	873,872.70	1,601.95	
RS GLOBAL NATURAL RES Y	9,102.769	2/16/12	Long		9/12/13	348,180.82	347,907.74	273.08	
STERICYCLE INC	270.000	12/14/10	Long		7/12/13	31,482.96	21,637.04	9,845.92	
TEMPLETON GLOBAL BD FD ADV	47,410.650	6/28/11	Long		9/12/13	612,545.60	651,896.44	(39,350.84)	
TIME WARNER CABLE INC NEW	125.000	11/9/10	Long		7/12/13	14,324.66	7,762.75	6,561.91	
TIME WARNER CABLE INC NEW	220.000	12/14/10	Long		7/12/13	25,211.41	14,324.40	10,887.01	
U S BANCORP COM NEW	890.000	12/14/10	Long	P	7/12/13	33,422.29	23,291.92	10,130.37	
VARIAN MEDICAL SYS INC	225.000	3/18/10	Long		7/12/13	15,584.08	12,117.17	3,466.91	



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VARIAN MEDICAL SYS INC	75,000	6/9/10	Long		7/12/13	5,194.69	3,671.33	1,523.36	
VARIAN MEDICAL SYS INC	290,000	12/14/10	Long		7/12/13	20,086.15	19,937.27	148.88	
VERIZON COMMUNICATIONS	550,000	8/9/10	Long		7/12/13	27,724.69	16,455.51	11,269.18	
VERIZON COMMUNICATIONS	2,160,000	6/17/11	Long		7/12/13	108,882.41	77,130.79	31,751.62	
VERIZON COMMUNICATIONS	1,560,000	11/2/11	Long		7/12/13	78,637.29	57,132.03	21,505.26	
VISA INC CL A	50,000	9/8/10	Long		7/12/13	9,500.76	3,431.30	6,069.46	
WAL MART STORES INC	680,000	12/14/10	Long	P	7/12/13	52,695.61	37,043.81	15,651.80	
WELLS FARGO & CO NEW	57,500	11/11/08	Long		7/12/13	2,446.10	1,665.71	780.39	
WELLS FARGO & CO NEW	782,500	2/17/09	Long		7/12/13	33,288.30	11,221.05	22,067.25	
WELLS FARGO & CO NEW	250,000	8/10/09	Long		7/12/13	10,635.24	7,145.20	3,490.04	
TUNISIA 1.686 7-16-19	125,000,000	9/4/12	Long		10/4/13	121,162.50	127,308.75	(6,146.25)	
HARBOR INTERNATIONAL INST	4,339,766	11/3/08	Long		2/7/14	299,791.04	178,147.35	121,643.69	
HARBOR INTERNATIONAL INST	157,251	12/19/08	Long		2/7/14	10,862.90	6,140.67	4,722.23	
HARBOR INTERNATIONAL INST	1,131,222	7/20/09	Long		2/7/14	78,144.82	51,176.47	26,968.35	
HARBOR INTERNATIONAL INST	2,345,542	4/20/11	Long		2/7/14	162,030.04	153,023.14	9,006.90	
T ROWE PRICE EMRG MKTS EQ INST	18,549,284	4/20/11	Long		2/19/14	514,742.45	622,142.99	(107,400.54)	
ALABAMA POWER CO 5.650 3-15-35	75,000,000	3/29/05	Long		9/12/13	77,856.00	73,272.75	4,583.25	
ALABAMA POWER CO 5.650 3-15-35	10,000,000	1/12/06	Long		9/12/13	10,380.80	9,556.80	824.00	
APACHE FINANCE 7 3/4 12-15-29	25,000,000	1/25/07	Long	P	9/13/13	31,147.50	29,832.50	1,315.00	
BHP BILLITON FIN 1 7/8 11-21-16	50,000,000	11/16/11	Long		9/12/13	50,607.50	49,734.50	873.00	
BHP BILLITON FIN 1 7/8 11-21-16	25,000,000	2/24/12	Long		9/12/13	25,303.75	25,541.25	(237.50)	
DOMINION RESOURCE 5 1/4 8-01-33	65,000,000	9/8/06	Long		9/12/13	68,055.00	62,396.75	5,658.25	
DOMINION RESOURCE 5 1/4 8-01-33	10,000,000	1/29/07	Long		9/12/13	10,470.00	9,704.10	765.90	
EUROPEAN INVST BK 1 3/4 3-15-17	75,000,000	4/19/12	Long	P	9/12/13	76,039.50	76,200.75	(161.25)	
FHLMC MTN 2 3/8 1-13-22	75,000,000	2/17/12	Long	P	9/12/13	71,080.80	74,516.40	(3,435.60)	
FNMA 1.000 7-17-17	50,000,000	7/17/12	Long	P	9/12/13	48,875.00	49,995.00	(1,120.00)	
FNMA 5 1/2 5-10-27	40,000,000	9/29/08	Long	P	9/17/13	43,200.00	39,800.00	3,400.00	
HARVARD PRE&FEL 6.300 10-01-37	10,000,000	8/17/06	Long		9/16/13	11,085.00	10,420.20	664.80	
HARVARD PRE&FEL 6.300 10-01-37	40,000,000	11/6/06	Long		9/16/13	44,340.00	41,600.00	2,740.00	
INTER-AMERICAN 3.200 8-07-42	45,000,000	7/31/12	Long	P	9/13/13	35,937.45	44,681.85	(8,744.40)	
KINDER MORGAN 7.400 3-15-31	15,000,000	5/3/10	Long	P	9/13/13	17,184.75	17,146.65	38.10	
LANDESBANK BADEN 7 5/8 2-01-23	40,000,000	8/7/12	Long	P	9/12/13	46,444.00	52,602.80	(6,158.80)	
NCUA GUARN NTS TR 2.350 6-12-17	150,000,000	10/25/11	Long	P	9/16/13	148,125.00	155,212.50	(7,087.50)	
NEW VALLEY GEN IV 4.687 1-15-22	50,000,000	7/5/06	Long	P	9/17/13	33,327.23	29,124.98	4,202.25	
PHARMACIA CORP 6 3/4 12-15-27	50,000,000	12/21/05	Long	P	9/12/13	60,825.00	57,911.50	2,913.50	



• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒ **X**

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

**Part II Additional (Not Automatic) 3-Month Extension of Time.** Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	COOKE FOUNDATION, LIMITED	23-7120804
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	827 FORT STREET MALL	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	HONOLULU, HI 96813	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

**HAWAII COMMUNITY FOUNDATION**

• The books are in the care of **827 FORT STREET MALL - HONOLULU, HI 96813**

Telephone No. **(808) 566-5524**

Fax No.

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **MAY 15, 2015**

5 For calendar year , or other tax year beginning **JUL 1, 2013**, and ending **JUN 30, 2014**

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return

☐ Change in accounting period

7 State in detail why you need the extension **THE TAXPAYER HAS BEEN UNABLE TO ACCUMULATE ALL THE DATA NECESSARY FOR THE FILING OF AN ACCURATE TAX RETURN; THEREFORE, ADDITIONAL TIME IS BEING REQUESTED.**

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	46,229.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	46,229.
c <b>Balance due.</b> Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form

Signature

Title

Date **Feb. 13, 2015**

Form 8868 (Rev. 1-2014)