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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No. 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

07/01, 2013, and ending

06/30, 2014

Name of foundation

JOHANETTE WALLERSTEIN INSTITUTE 5607-00-4/3

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

A Employer identification number

22-6042908

B Telephone number (see instructions)

ONE ELM WAY

City or town, state or province, country, and ZIP or foreign postal code

WEST ORANGE, NJ 07052

G Check all that apply:

☒ Initial return☐ Final return☐ Address change☐ Initial return of a former public charity☐ Amended return☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

end of year (from Part II, col. (c), line

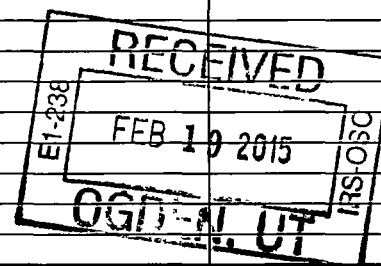
16) ▶ \$ 12,709,196.

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify) _____

(Part I, column (d) must be on cash basis.)

C If exemption application is
pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the
85% test, check here and attach
computation ☐E If private foundation status was terminated
under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The
total of amounts in columns (b), (c), and (d)
may not necessarily equal the amounts in
column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue				
1 Contributions, gifts, grants, etc., received (attach schedule)	43,641.			
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	269,414.	269,414.		STMT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	608,062.			
b Gross sales price for all assets on line 6a 2,865,761.		608,062.		
7 Capital gain net income (from Part IV, line 2)				
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule)				
12 Total. Add lines 1 through 11	921,117.	877,476.		
Operating and Administrative Expenses				
13 Compensation of officers, directors, trustees, etc.				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) STMT 4	6,000.	NONE	NONE	6,000.
c Other professional fees (attach schedule) STMT 5	65,760.	32,880.		32,880.
17 Interest				
18 Taxes (attach schedule) (see instructions) STMT 6	2,326.	2,326.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) STMT 7	1,568.			1,433.
24 Total operating and administrative expenses. Add lines 13 through 23	75,654.	35,206.	NONE	40,313.
25 Contributions, gifts, grants paid	555,518.			555,518.
26 Total expenses and disbursements Add lines 24 and 25	631,172.	35,206.	NONE	595,831.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	289,945.			
b Net investment income (if negative, enter -0-)		842,270.		
c Adjusted net income (if negative, enter -0-)				



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	42,125.	12,697.	12,697.
	2	Savings and temporary cash investments	144,656.	132,986.	132,986.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges	4,124.		
	10 a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)	2,631,823.	2,733,863.	2,717,134.
	c	Investments - corporate bonds (attach schedule)	7,529,511.	6,023,167.	7,884,392.
	11	Investments - land, buildings, and equipment basis ▶			
	Less: accumulated depreciation (attach schedule) ▶				
12	Investments - mortgage loans				
13	Investments - other (attach schedule)		1,726,341.	1,961,987.	
14	Land, buildings, and equipment basis ▶				
	Less: accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	10,352,239.	10,629,054.	12,709,196.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)			NONE	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/> check here and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	10,352,239.	10,629,054.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	NONE	NONE	
	30	Total net assets or fund balances (see instructions)	10,352,239.	10,629,054.	
	31	Total liabilities and net assets/fund balances (see instructions)	10,352,239.	10,629,054.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,352,239.
2	Enter amount from Part I, line 27a	2	289,945.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	10,642,184.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	13,130.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,629,054.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 3,060,882.		2,486,512.	574,370.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h)))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			574,370.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)			2	608,062.	
{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }					
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):			3		
If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8					

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	757,403.	11,139,961.	0.067990
2011	750,749.	10,523,239.	0.071342
2010	645,633.	10,737,173.	0.060131
2009	716,891.	9,839,966.	0.072855
2008	566,865.	9,038,690.	0.062715
2 Total of line 1, column (d)			2 0.335033
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.067007
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 12,011,950.
5 Multiply line 4 by line 3			5 804,885.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 8,423.
7 Add lines 5 and 6			7 813,308.
8 Enter qualifying distributions from Part XII, line 4			8 595,831.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)		1	16,845.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b.			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . .		2	
3 Add lines 1 and 2.		3	16,845.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . .		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	16,845.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013.	6a	9,644.	
b Exempt foreign organizations - tax withheld at source.	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868).	6c	6,476.	
d Backup withholding erroneously withheld.	6d		
7 Total credits and payments. Add lines 6a through 6d.	7	16,120.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	8	6.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed.	9	731.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> NONE Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0 (2) On foundation managers <input type="checkbox"/> \$ 0		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes.		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation. STMT 9	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	X	

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <input type="checkbox"/> N/A				
14	The books are in care of <input type="checkbox"/> BERNARD WALLERSTEIN Telephone no. <input type="checkbox"/>			
	Located at <input type="checkbox"/> ONE ELM WAY, WEST ORANGE, NJ ZIP+4 <input type="checkbox"/> 07052			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country <input type="checkbox"/>			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years <input type="checkbox"/>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <input type="checkbox"/>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No **N/A**

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BERNARD WALLERSTEIN ONE ELM COURT WAY, WEST ORANGE, NJ 07052		-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		-0-	-0-	-0-

Total number of other employees paid over \$50,000 ☐ **NONE**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions.	
3 NONE	
Total. Add lines 1 through 3 ▶	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:	
a	Average monthly fair market value of securities	1a 12,064,616.
b	Average of monthly cash balances	1b 130,257.
c	Fair market value of all other assets (see instructions)	1c NONE
d	Total (add lines 1a, b, and c)	1d 12,194,873.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e
2	Acquisition indebtedness applicable to line 1 assets	2 NONE
3	Subtract line 2 from line 1d	3 12,194,873.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4 182,923.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5 12,011,950.
6	Minimum investment return. Enter 5% of line 5	6 600,598.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1 600,598.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 16,845.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b
c	Add lines 2a and 2b	2c 16,845.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3 583,753.
4	Recoveries of amounts treated as qualifying distributions	4 NONE
5	Add lines 3 and 4	5 583,753.
6	Deduction from distributable amount (see instructions)	6 NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7 583,753.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:	
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a 595,831.
b	Program-related investments - total from Part IX-B	1b
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2 NONE
3	Amounts set aside for specific charitable projects that satisfy the:	
a	Suitability test (prior IRS approval required)	3a NONE
b	Cash distribution test (attach the required schedule)	3b NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4 595,831.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5 N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 595,831.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				583,753.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			NONE	
b Total for prior years. 20____, 20____, 20____		NONE		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	122,318.			
b From 2009	232,859.			
c From 2010	140,060.			
d From 2011	147,749.			
e From 2012	139,083.			
f Total of lines 3a through e	782,069.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 595,831.				
a Applied to 2012, but not more than line 2a			NONE	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2013 distributable amount				583,753.
e Remaining amount distributed out of corpus	12,078.			
5 Excess distributions carryover applied to 2013. (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	794,147.			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instructions			NONE	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	NONE			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	122,318.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	671,829.			
10 Analysis of line 9:				
a Excess from 2009	232,859.			
b Excess from 2010	140,060.			
c Excess from 2011	147,749.			
d Excess from 2012	139,083.			
e Excess from 2013	12,078.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED STATEMENT				555,518.
Total			▶ 3a	555,518.
b Approved for future payment				
Total			▶ 3b	

[illegible]

13 Total. Add line 12, columns (b), (d), and (e) **13** 877,476.
(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
▼	

NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

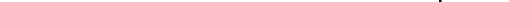

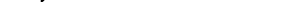
- | | | | |
|---|--------------|------------|-----------|
| 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | Yes | No |
| a Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| (1) Cash | 1a(1) | | X |
| (2) Other assets | 1a(2) | | X |
| b Other transactions: | | | |
| (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| (4) Reimbursement arrangements | 1b(4) | | X |
| (5) Loans or loan guarantees | 1b(5) | | X |
| (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |
| d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here   

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name YONG SHUAI, CPA	Preparer's signature <i>Yong Shuai</i>	Date 2/2/2015	Check <input type="checkbox"/> if self-employed	PTIN P01321359
	Firm's name ▶ THE GLENMEDE TRUST COMPANY, N.A.			Firm's EIN ▶ 32-0274136	
	Firm's address ▶ 1650 MARKET STREET, SUITE 1200 PHILADELPHIA, PA 19103-7391			Phone no 215-419-6000	

Form **990-PF** (2013)

Schedule B(Form 990, 990-EZ,
or 990-PF)
Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

OMB No 1545-0047

2013▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990

Name of the organization

Employer identification number

JOHANETTE WALLERSTEIN INSTITUTE 5607-00-4/3

22-6042908

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒
- For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐
- For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

- ☐
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use
- exclusively*
- for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

- ☐
- For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use
- exclusively*
- for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an
- exclusively*
- religious, charitable, etc., purpose. Do not complete any of the parts unless the
- General Rule**
- applies to this organization because it received
- nonexclusively*
- religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

JSA

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5607-00-4/3

16

Name of organization

JOHANETTE WALLERSTEIN INSTITUTE 5607-00-4/3

Employer identification number

22-6042908

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	BERNARD & JANE WALLERSTEIN THE GLENMEDE TRUST CO. N.A. PHILADELPHIA, PA 19103	\$ 43,641.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Employer identification number

22-6042908

Part II

[illegible]

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
AQR RISK PARITY FUND -I	10,929.	10,929.
ABBOTT LABORATORIES	223.	223.
ADVANCE AUTO PARTS	24.	24.
ALLERGAN INC	18.	18.
AMERICAN EXPRESS CO.	133.	133.
AMPHENOL CORP-CL A	94.	94.
APPLE COMPUTER INC.	560.	560.
ASTRAZENECA GROUP PLC - SPONS ADR	448.	448.
C R BARD INC.	47.	47.
BAXTER INTL. INC.	274.	274.
BLACKROCK INC	101.	101.
BLACKROCK FLOATING RATE INCOME DTD 10/1/	20,027.	20,027.
CHEVRON CORP	712.	712.
CISCO SYSTEMS	195.	195.
COCA COLA CO.	227.	227.
COLGATE PALMOLIVE CO.	249.	249.
DFA INTERNATIONAL CORE EQUITY	14,859.	14,859.
DFA EMERG MKTS CORE EQUITY	3,405.	3,405.
DANAHER CORP	17.	17.
DISCOVER FINANCIAL SERVICES	101.	101.
DODGE & COX STOCK FUND	6,729.	6,729.
DREYFUS GLOBAL REAL ESTATE SECURITIES FD	17,131.	17,131.
EXXON MOBIL CORPORATION	416.	416.
FHLMC CMO 1017 D DTD 11/1/1990 8.3% 11/1	177.	177.
FRANKLIN RESOURCES INC.	118.	118.
GMO QUALITY FUND IV	13,457.	13,457.
GLENMEDE FUND INC-CORE FIXED INCOME PORT	41,148.	41,148.
GLENMEDE FUND INC-ADVISOR SMALL CAP VALU	3,978.	3,978.
GLENMEDE INTL SECURED OPTIONS PORT	18,121.	18,121.
GLENMEDE FUND INC SECURED OPTIONS	25,073.	25,073.
GLOBAL PAYMENTS INC	3.	3.
W W GRAINGER INC	135.	135.
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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
OAKMARK INTERNATIONAL FD I	5,759.	5,759.
HOME DEPOT INC.	264.	264.
HONEYWELL INTERNATIONAL INC	264.	264.
HUBBELL (HARVEY) INC CLASS B	152.	152.
HUMANA INC.	43.	43.
INTERNATIONAL BUSINESS MACHINES CORP.	200.	200.
JP MORGAN CHASE & CO	441.	441.
JOHNSON & JOHNSON	474.	474.
KELLOGG CO.	184.	184.
LEGG MASON BW GLOBAL OP BD-IS	4,258.	4,258.
ELI LILLY & CO.	147.	147.
LONGLEAF PARTNERS FUND	944.	944.
AMG TIMESQUARE MID CAP GROWTH	1,949.	1,949.
MEDTRONIC INC.	190.	190.
MICROSOFT CORP.	108.	108.
MONSANTO CO	150.	150.
NATIONAL-OILWELL INC	189.	189.
NETAPP INC	86.	86.
NORDSTROM INC.	60.	60.
NORTHERN TRUST CORP	71.	71.
OCCIDENTAL PETROLEUM CORP.	96.	96.
ORACLE CORP	232.	232.
PNC FINANCIAL SERVICES GROUP INC	270.	270.
PARKER-HANNIFIN CORP.	186.	186.
PATTERSON COS INC	54.	54.
PEPSICO INC.	196.	196.
PIMCO ALL ASSETS AUTH -IS	11,389.	11,389.
PRECISION CASTPARTS CORP	4.	4.
T ROWE PRICE INST EMERGING STOCK FD	2,802.	2,802.
T ROWE PRICE GROUP INC	110.	110.
PROCTER & GAMBLE CO.	289.	289.
QUALCOMM CORP.	63.	63.
ROCHE HOLDINGS LTD-SPONS ADR	288.	288.
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FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
ROSS STORES INC	120.	120.
ROYCE SPECIAL EQUITY FUND -IN	3,004.	3,004.
RYLAND ACCEPTANCE CORPORATION DTD 5/1/19	133.	133.
SCHLUMBERGER LTD.	241.	241.
SCRIPPS NETWORKS INTERAC	65.	65.
TEMPLETON GLOBAL BOND FD-AD	9,030.	9,030.
TEMPLETON GLOBAL BOND FUND	2,720.	2,720.
THORNBURG INTL VALUE FD-I	7,564.	7,564.
3M CO	298.	298.
U S BANCORP	276.	276.
VANGUARD TOTL STK MKT IND SIGNAL SHARES	33,269.	33,269.
WAL MART STORES INC.	407.	407.
WELLS FARGO CO	497.	497.
YUM BRANDS INC	176.	176.
ACCENTURE PLC	260.	260.
ACE LTD	256.	256.
AVAGO TECHNOLOGIES LTD	57.	57.
	-----	-----
TOTAL	269,414.	269,414.
	=====	=====

FORM 990PF, PART I - ACCOUNTING FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
ACCOUNTING FEE TO DAVID WEICHS	6,000.			6,000.
TOTALS	6,000.	NONE	NONE	6,000.
	=====	=====	=====	=====

FORM 990PF, PART I - OTHER PROFESSIONAL FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
CUSTODIAN & MANAGEMENT FEES (A)	65,760.	32,880.	32,880.
TOTALS	65,760.	32,880.	32,880.
	=====	=====	=====

FORM 990PF, PART I - TAXES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	43.	43.
FOREIGN TAXES ON QUALIFIED FOR	1,889.	1,889.
FOREIGN TAXES ON NONQUALIFIED	394.	394.
	-----	-----
TOTALS	2,326.	2,326.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	CHARITABLE PURPOSES -----
BANK CHARGE	135.	
PROGRAM EXPENSES	375.	375.
OFFICE SUPPLIES	530.	530.
TELEPHONE	279.	279.
NJ REGISTRATION FEES	150.	150.
SUBSCRIPTION	99.	99.
TOTALS	----- 1,568. =====	----- 1,433. =====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
MUTUAL FUND TIME DIFFERENCE	11,004.
OTHER ADJUSTMENT	2,120.
ROUNDING	6.

TOTAL	13,130.
	=====

990PF, PART VII-A LINE 8b - EXPLANATION OF NON-FILING WITH A.G. STMT.
=====

NJ

=====

RECIPIENT NAME:
BERNARD WALLERSTEIN
ADDRESS:
ONE ELM COURT WAY
WEST ORANGE, NJ 07052
FORM, INFORMATION AND MATERIALS:
NONE
SUBMISSION DEADLINES:
NONE
RESTRICTIONS OR LIMITATIONS ON AWARDS:
NONE

Johanette Wallerstein Institute

Grants Paid in FYE 2014

07/1/2013 - 06/30/2014

EIN: 22-6042908

Organization	Amount	Purpose of Grant	Date Paid
Drew University	\$19,000.00	Center for Religion & Culture	7/10/2013
Friends of Edison National Historic Site	\$3,924.00	Laundry yard	7/15/2013
Friends of Edison National Historic Site	\$4,424.00	Laundry yard	7/15/2013
The Land Conservancy of New Jersey	\$5,000.00	General Charitable Purposes	8/8/2013
Oxfam America, Inc.	\$1,000.00	Water	8/8/2013
CONSERVE WILDLIFE FOUNDATION OF NEW JERSEY INC	\$10,000.00	General Charitable Purposes	8/9/2013
Habitat for Humanity International	\$10,000.00	General Charitable Purposes	8/9/2013
American Littoral Soceity	\$15,000.00	Special grant	8/15/2013
Greater Newark Conservancy	\$10,000.00	Urban Gardens	8/15/2013
Greenfaith	\$10,000.00	General Charitable Purposes	8/15/2013
Greenpeace Fund, Inc.	\$10,000.00	General Charitable Purposes	8/15/2013
New York Botanical Garden	\$25,000.00	General Charitable Purposes	8/15/2013
NY/NJ Baykeeper	\$10,000.00	General Charitable Purposes	8/15/2013
Riverkeeper Inc	\$25,000.00	Water Quality	8/15/2013
Wellesley College	\$2,500.00	General Charitable Purposes	9/12/2013
Hackensack Riverkeeper, Inc.	\$10,000.00	General Charitable Purposes	9/16/2013
Raritan Headwaters Association	\$10,000.00	General Charitable Purposes	9/16/2013
Highlands Coalition	\$15,000.00	170 Longview Rd Far Hills, NJ 07931	9/21/2013
Pinelands Preservation Alliance	\$5,000.00	General Charitable Purposes	9/21/2013
People to People Inc	\$10,000.00	General Charitable Purposes	10/1/2013
Pinelands Preservation Alliance	\$5,000.00	General Charitable Purposes	10/4/2013
New Israel Fund	\$500.00	General Charitable Purposes	10/9/2013
Catskill Mountainkeeper	\$10,000.00	General Charitable Purposes	11/8/2013
Community Food Bank of New Jersey	\$15,000.00	General Charitable Purposes	11/8/2013
Mental Health Association of Essex County	\$500.00	General Charitable Purposes	11/8/2013
New Jersey Conservation Foundation	\$25,000.00	General Charitable Purposes	11/8/2013
Worldwatch Institute	\$1,000.00	General Charitable Purposes	11/8/2013
Doctors Without Borders USA, Inc.	\$25,000.00	Philippines relief	11/15/2013
Council of New Jersey Grantmakers Inc	\$1,300.00	General Charitable Purposes	12/4/2013
Saint Barnabas Medical Center	\$25,000.00	General Charitable Purposes	12/11/2013
Wellesley College	\$2,500.00	General Charitable Purposes	12/31/2013

Johanette Wallerstein Institute

Grants Paid in FYE 2014 7/1/2013 - 06/30/2014

EIN: 22-6042908

Organization	Amount	Purpose of Grant	Date Paid
Congregation Bnai Jeshurun	\$1,470.00	Dues	1/22/2014
American Jewish Committee	\$15,500.00	General Charitable Purposes	1/24/2014
United Jewish Appeal of Greater MetroWest NJ	\$1,400.00	JKW & BW	1/24/2014
ANJEC	\$1,000.00	General Charitable Purposes	2/12/2014
Great Swamp Watershed Association	\$5,000.00	General Charitable Purposes	2/12/2014
Housing and Neighborhood Development Services, Inc	\$5,000.00	5 Essex Ave 07050	2/12/2014
National Resources Defense Council, Inc.	\$25,000.00	General Charitable Purposes	2/12/2014
New York University	\$75,000.00	General Charitable Purposes	2/12/2014
Mental Health Association of Essex County	\$1,000.00	2014 Spring Gala	2/26/2014
Drew University	\$2,500.00	Peacebuilder - Silver First Annual Gala	3/12/2014
Trust for Public Land	\$75,000.00	Francisco Farm	3/12/2014
Natural Resources Defense Council, Inc.	\$15,000.00	General Charitable Purposes	6/20/2014
New Jersey Future Fund	\$1,000.00	General Charitable Purposes	6/20/2014
Pacific Institute	\$10,000.00	Water Research	6/20/2014
Grand Totals (45 items)		\$555,518.00	

06/30/2014

ATTACHMENT TO PART II BALANCE SHEETS

	MARKET VALUE	Cost	Unrealized Gain/Loss	Estimated Annual Income	Current Yield
Cash	145,683	145,683	0.00	0.14	0.0%
Fixed Income	2,717,133.52	2,733,862.77	16,729.25-	82,165.13	3.0%
Tax Exempt	0.00	0.00	0.00	0.00	0.0%
Taxable	1,915,572.29	1,956,963.17	41,390.88-	49,731.09	2.6%
High Yield	304,117.49	302,490.09	1,627.40	12,658.26	4.2%
International	497,443.74	474,409.51	23,034.23	19,775.78	4.0%
TIPS	0.00	0.00	0.00	0.00	0.0%
Equity	7,884,392.47	6,023,166.91	1,861,225.56	100,926.02	1.3%
Large Cap	4,985,664.14	3,542,013.73	1,443,650.41	64,684.40	1.3%
Small Cap	546,452.26	411,180.02	135,272.24	1,723.38	0.3%
International	2,352,276.07	2,069,973.16	282,302.91	34,518.24	1.5%
Alternative Assets	1,961,986.54	1,726,341.37	235,645.17	34,045.60	1.7%
Real Estate	664,599.43	606,390.63	58,208.80	17,442.98	2.6%
Private Equity	279,994.79	175,999.72	103,995.07	0.00	0.0%
Absolute Return	1,017,392.32	943,951.02	73,441.30	16,602.63	1.6%
Commodities	0.00	0.00	0.00	0.00	0.0%
Other Assets	0.00	0.00	0.00	0.00	0.0%
Account Total	12,709,196	10,629,054	2,080,141.48	217,136.90	1.7%

**Application for Extension of Time To File an
Exempt Organization Return**

► **File a separate application for each return.**
► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. JOHANETTE WALLERSTEIN INSTITUTE IM 5607-00-4/3	Employer identification number (EIN) or 22-6042908
	Number, street, and room or suite no. If a P.O. box, see instructions. 1650 MARKET STREET, SUITE 1200	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. PHILADELPHIA, PA 19103-7391	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► THE GLENMEDE TRUST CO., N.A.

Telephone No. ► (215) 419-6000 FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 02/16, 20 15, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☐ calendar year 20 or
- ☒ tax year beginning 07/01, 20 13, and ending 06/30, 20 14.

- 2** If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	16,120.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	9,644.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	6,476.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev 1-2014)