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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning JUL 1, 2013, and ending JUN 30, 2014

Name of foundation THE FRITZ AND ADELAIDE KAUFFMANN FOUNDATION, INC - C/O ELLIOT M HERSHBERG		A Employer identification number 22-3689518
Number and street (or P O box number if mail is not delivered to street address) 3720 SOUTH OCEAN BLVD	Room/suite	B Telephone number 941-841-7700
City or town, state or province, country, and ZIP or foreign postal code HIGHLAND BEACH, FL 33487		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 9,996,463. (Part I, column (d) must be on cash basis)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

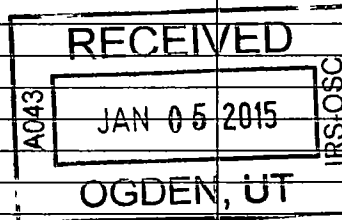
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	29,963.	29,963.		STATEMENT 1
	4 Dividends and interest from securities	244,752.	244,752.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	983,486.			
	b Gross sales price for all assets on line 6a	4,304,417.			
	7 Capital gain net income (from Part IV, line 2)		983,486.		
	8 Net short-term capital gain				
	9 Income modifications Gross sales less returns and allowances				
	10a Less Cost of goods sold				
b Gross profit or (loss)					
11 Other income	26,060.	0.		STATEMENT 3	
12 Total. Add lines 1 through 11	1,284,261.	1,258,201.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 4	12,500.	12,500.		0.
	c Other professional fees STMT 5	77,347.	77,347.		0.
	17 Interest				
	18 Taxes STMT 6	17,168.	17,168.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
23 Other expenses STMT 7	18,544.	18,544.		0.	
24 Total operating and administrative expenses Add lines 13 through 23	125,559.	125,559.		0.	
25 Contributions, gifts, grants paid	1,819,500.			1,819,500.	
26 Total expenses and disbursements. Add lines 24 and 25	1,945,059.	125,559.		1,819,500.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	<660,798.>				
b Net investment income (if negative, enter -0-)		1,132,642.			
c Adjusted net income (if negative, enter -0-)			N/A		

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10-10-13

LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2013)

SCANNED JAN 06 2015



THE FRITZ AND ADELAIDE KAUFFMANN

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Part II Balance Sheets <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	105,648.	243,021.	243,021.
	2 Savings and temporary cash investments		797,133.	797,133.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	7,206,640.	6,320,660.	8,738,214.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 9	927,419.	218,095.	218,095.	
14 Land, buildings, and equipment: basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	8,239,707.	7,578,909.	9,996,463.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	8,239,707.	7,578,909.		
30 Total net assets or fund balances	8,239,707.	7,578,909.		
31 Total liabilities and net assets/fund balances	8,239,707.	7,578,909.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,239,707.
2 Enter amount from Part I, line 27a	2	<660,798.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	7,578,909.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	7,578,909.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 4,304,417.		3,320,931.	983,486.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			983,486.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	983,486.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,337,197.	8,696,963.	.153754
2011	857,097.	9,118,641.	.093994
2010	696,558.	9,163,180.	.076017
2009	380,000.	8,592,637.	.044224
2008	454,162.	7,692,144.	.059042

2 Total of line 1, column (d)	2	.427031
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.085406
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	9,235,299.
5 Multiply line 4 by line 3	5	788,750.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	11,326.
7 Add lines 5 and 6	7	800,076.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,819,500.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	11,326.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	11,326.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	11,326.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 8,000.	7	11,700.
b Exempt foreign organizations - tax withheld at source	6b	8	
c Tax paid with application for extension of time to file (Form 8868)	6c 3,700.	9	
d Backup withholding erroneously withheld	6d	10	374.
7 Total credits and payments. Add lines 6a through 6d		11	0.
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached			
9 Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	374. Refunded		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>THE FOUNDATION</u> Telephone no. ► <u>941-841-7700</u> Located at ► <u>C/O ELLIOT M HERSHBERG - 3720 SOUTH OCEAN BLVD</u> , ZIP+4 ► <u>33487</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <input type="checkbox"/> N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <input type="checkbox"/> N/A		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) <input type="checkbox"/> N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELLIOT M. HERSHBERG	PRESIDENT			
21 RIGENE ROAD				
HARRISON, NY 10528	0.00	0.	0.	0.
THEODORE N. MIRVIS	SECRETARY			
WACHTELL LIPTON ROSEN & KATZ				
NEW YORK, NY 10019	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B

Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
All other program-related investments. See instructions.		
3		

Total. Add lines 1 through 3

0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	8,807,001.
b	Average of monthly cash balances	1b	568,937.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	9,375,938.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	9,375,938.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	140,639.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	9,235,299.
6	Minimum investment return. Enter 5% of line 5	6	461,765.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	461,765.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	11,326.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	11,326.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	450,439.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	450,439.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	450,439.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,819,500.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,819,500.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	11,326.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,808,174.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form **990-PF** (2013)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				450,439.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	76,231.			
b From 2009				
c From 2010	247,001.			
d From 2011	407,857.			
e From 2012	918,167.			
f Total of lines 3a through e	1,649,256.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 1,819,500.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				450,439.
e Remaining amount distributed out of corpus	1,369,061.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	3,018,317.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	76,231.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	2,942,086.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010	247,001.			
c Excess from 2011	407,857.			
d Excess from 2012	918,167.			
e Excess from 2013	1,369,061.			

N/A

▶

☐ 4942(j)(3) or ☐ 4942(j)(5)

(4) Gross investment income

[illegible]

2013.05020 THE FRITZ AND ADELAIDE KAUF 61385-11

THE FRITZ AND ADELAIDE KAUFFMANN

Form 990-PF (2013)

FOUNDATION, INC - C/O ELLIOT M HERSHBERG

22-3689518

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Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMERICANS FOR TAX REFORM FDTN 722 12TH ST NW WASHINGTON, DC 20005		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	10,000.
ANTI-DEFAMATION LEAGUE - PACIFIC NORTHWEST REGION 1700 SEVENTH ST, STE 116-222 SEATTLE, WA 98101		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	30,000.
BIRTHRIGHT ISRAEL 500 AUSTRALIAN AVE. SOUTH - STE 644 WEST PALM BEACH, FL 33401		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES GENERAL TAX-EXEMPT PURPOSES	5,000.
BOCA RATON HISTORICAL SOCIETY & MUSEUM 71 N FEDERAL HIGHWAY BOCA RATON, FL 33432		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	2,000.
CARMEL ACADEMY 270 LAKE AVE GREENWICH, CT 06830		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES GENERAL TAX-EXEMPT PURPOSES	5,000.
Total	SEE CONTINUATION SHEET(S)			3a 1,819,500.
b Approved for future payment				
NONE				
Total				3b 0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						29,963.
4 Dividends and interest from securities						244,752.
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income						
8 Gain or (loss) from sales of assets other than inventory						983,486.
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a SEE STATEMENT 10						26,060.
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		0.		0.		1,284,261.
13 Total. Add line 12, columns (b), (d), and (e)						1,284,261.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE SCHEDULE ATTACHED	P		
b	SEE SCHEDULE ATTACHED	P		
c	SEE SCHEDULE ATTACHED	P		
d	PHOTOMEDEX INC	P		
e	SEE SCHEDULE ATTACHED	P		
f	SEE SCHEDULE ATTACHED	P		
g	SEE SCHEDULE ATTACHED	P		
h	SEE SCHEDULE ATTACHED	P		
i	SEE SCHEDULE ATTACHED	P		
j	SEE SCHEDULE ATTACHED	P		
k	SEE SCHEDULE ATTACHED	P		
l	SEE SCHEDULE ATTACHED	P		
m	GOODRICH PETROLEUM CORP	P		
n	THRU FORTRESS INVESTMENT GROUP	P		
o	THRU FORTRESS INVESTMENT GROUP	P		

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 610,672.		541,859.	68,813.
b 934,646.		764,726.	169,920.
c 65,411.		55,478.	9,933.
d 155,493.		112,226.	43,267.
e 179,459.		130,185.	49,274.
f 1,110,821.		789,127.	321,694.
g 135,480.		80,762.	54,718.
h 502,623.		368,681.	133,942.
i 94,273.		62,722.	31,551.
j 8,759.		5,200.	3,559.
k 76,351.		39,458.	36,893.
l 161,263.		112,455.	48,808.
m 257,983.		257,983.	0.
n 1,094.			1,094.
o 7.			7.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			68,813.
b			169,920.
c			9,933.
d			43,267.
e			49,274.
f			321,694.
g			54,718.
h			133,942.
i			31,551.
j			3,559.
k			36,893.
l			48,808.
m			0.
n			1,094.
o			7.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	THRU KKR & CO	P		
b	THRU KKR & CO	P		
c	THRU KKR & CO- 1256 CONTRACTS			
d	THRU KKR & CO- 1256 CONTRACTS			
e	CAPITAL GAINS DIVIDENDS			
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 285.			285.
b 6,761.			6,761.
c		41.	<41.>
d		28.	<28.>
e 3,036.			3,036.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			285.
b			6,761.
c			<41.>
d			<28.>
e			3,036.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	983,486.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

The Fritz & Adelaide Kauffmann Foundation
Morgan Stanley - 723 049820 421
July 1, 2013 - December 31, 2013

Short Term Covered

<u>Description</u>	<u>Date Acquired</u>	<u>Date Sold</u>	<u>Sales Price</u>	<u>Cost or Other Basis</u>	<u>Gain/(Loss)</u>
Carnival CP New Paired Com	6/7/2013	10/23/2013	33,895	32,690	
	6/21/2013	10/23/2013	33,895	33,592	
Cumulus Media	8/5/2013	9/4/2013	51,593	46,650	
	8/5/2013	9/19/2013	65,158	56,595	
	8/5/2013	9/19/2013	65,158	56,552	
Halliburton Co.	7/20/2012	7/19/2013	56,454	47,887	
	7/20/2012	7/19/2013	18,818	15,401	
	7/20/2012	7/19/2013	38,530	31,925	
Intel Corp.	11/27/2012	11/25/2013	47,838	40,258	
	12/12/2012	11/25/2013	95,675	83,111	
	12/18/2012	11/25/2013	47,838	41,581	
Weyerhaeuser Co.	6/19/2013	8/29/2013	55,819	55,616	
Total Short Term Covered			<u>610,672</u>	<u>541,859</u>	68,813

The Fritz & Adelaide Kauffmann Foundation
Morgan Stanley - 723 049820 421
July 1, 2013 - December 31, 2013

Long Term Covered

Description	Date Acquired	Date Sold	Sales Price	Cost or Other Basis	Gain/(Loss)
Bank of America Corp.	8/8/2011	8/2/2013	35,468	18,872	
	11/15/2011	8/2/2013	21,281	12,728	
	11/18/2011	8/2/2013	14,187	8,483	
Bridge Bancorp Incorporated	6/1/2011	7/9/2013	6,169	5,565	
	6/2/2011	7/9/2013	41,284	37,292	
	6/2/2011	7/10/2013	23,717	21,432	
	3/5/2012	7/10/2013	16,602	13,887	
	3/6/2012	7/10/2013	7,115	5,989	
	3/6/2012	7/11/2013	48,433	39,925	
	7/27/2012	10/23/2013	11,611	10,221	
	7/30/2013	10/23/2013	33,922	29,836	
Cree Research	1/28/2011	10/18/2013	70,777	50,816	
General Electric Co.	8/16/2011	10/9/2013	18,899	15,119	
	8/19/2011	10/9/2013	99,219	79,349	
Halliburton Co.	7/20/2012	10/23/2013	51,006	30,802	
	10/19/2012	11/7/2013	58,922	37,325	
	7/20/2012	12/12/2013	49,305	30,802	
Marvell Tech Group LTD.	11/1/2011	11/15/2013	61,587	67,906	
	11/11/2011	12/9/2013	50,700	69,180	
Shengtai Pharma Inc Com New	9/1/2011	7/8/2013	6,474	8,799	
Suncor Energy In. New Com	9/30/2011	8/19/2013	67,500	52,322	
	9/30/2011	9/10/2013	34,754	26,161	
	10/3/2011	9/10/2013	34,754	24,486	
	6/20/2011	10/23/2013	21,288	22,004	
	1/20/2012	10/23/2013	49,671	45,424	
Total Long Term Covered			<u>934,646</u>	<u>764,726</u>	169,919

The Fritz & Adelaide Kauffmann Foundation
Morgan Stanley - 723 049820 421
July 1, 2013 - December 31, 2013

Long Term Noncovered

<u>Description</u>	<u>Date Acquired</u>	<u>Date Sold</u>	<u>Sales Price</u>	<u>Cost or Other Basis</u>	<u>Gain/(Loss)</u>
Goodrich Petroleum Corp. New	12/8/2009	9/17/2013	<u>65,411</u>	<u>55,478</u>	
Total Long Term Noncovered			<u><u>65,411</u></u>	<u><u>55,478</u></u>	9,932

Realized Gain/Loss for Year 2014

Short Term Gain/Loss

Kauffman Foundation

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	UNIT COST (\$)	TOTAL COST (\$)	ADJUSTED COST (\$)	WASH SALE COST ADJUST (\$)	PROCEEDS(\$)	G/L AMOUNT (\$)	HOLDING PERIOD
Bank Of America Corp 16 000 Jun C G/L Amount: \$1,883 95										
	30	6/23/2014	4/17/2014	0	0	0	-	1,883 95	1,883 95	short
Bank Of America Corp 17 000 Feb P G/L Amount: \$27 97										
	20	2/20/2014	1/6/2014	0 71	1,426 00	1,426 00	-	1,453 97	27 97	short
Bank Of America Corp 17 000 Mar C G/L Amount: -\$532 02										
	20	3/6/2014	2/12/2014	0 66	1,326 00	1,326 00	-	793 98	-532 02	short
Bank Of America Corp 18 000 Apr C G/L Amount: \$808 97										
	5	4/21/2014	3/20/2014	0	0	0	-	139 99	139 99	short
	25	4/21/2014	3/20/2014	0	0	0	-	668 98	668 98	short
SubTotal	30		N/A		\$0 00	\$0 00	-	\$808 97	\$808 97	
Bridge Bancorp Incorporated G/L Amount: \$3,314 96										
	2,000 00	10/2/2013	5/9/2014	21 56	43,128 00	43,128 00	-	46,442 96	3,314 96	short
Cliffs Natural Resour 23 000 Jan C G/L Amount: \$3,887 91										
	20	5/29/2014	2/25/2014	0 38	766	766	-	4,653 91	3 887 91	short
Cree Research Inc 55 000 Jul P G/L Amount: \$2,017 88										
	10	4/22/2014	4/11/2014	3 02	3,016 00	3,016 00	-	5,033 88	2,017 88	short
Cree Research Inc 62 500 Jul C G/L Amount: \$3,001 93										
	10	6/16/2014	4/22/2014	0 12	122	122	-	3,123 93	3 001 93	short
Cree Research Inc 70 000 Jun C G/L Amount: \$4,303 74										
	9	6/16/2014	1/13/2014	0 03	28 8	28 8	-	3,900 53	3,871 73	short
	1	6/16/2014	1/13/2014	0 01	1 38	1 38	-	433 39	432 01	short
SubTotal	10				\$30 18	\$30 18	-	\$4,333 92	\$4,303 74	
Facebook Inc C-A 60 000 Apr C G/L Amount: \$592 06										
	5	3/20/2014	1/17/2014	8 03	4,016 00	4,016 00	-	1,711 97	-2,304 03	short
	5	4/21/2014	1/17/2014	0	0	0	-	1,711 97	1,711 97	short
SubTotal	10				\$4 02	\$4,016 00	-	\$3,423 94	(\$592 06)	
Goodrich Petroleum Co 20 000 Mar C G/L Amount: \$7,513 86										
	40	3/24/2014	12/23/2013	0	0	0	-	7,513 86	7,513 86	short
Isb Industries G/L Amount: \$13,502 09										
	1,000 00	11/25/2013	1/10/2014	31 02	31,024 20	31,024 20	-	37,423 34	6,399 14	short
	500	11/25/2013	5/8/2014	31 02	15,512 10	15,512 10	-	19,368 57	3,856 47	short
	500	11/25/2013	6/3/2014	31 02	15,512 10	15,512 10	-	18,758 58	3,246 48	short
SubTotal	2,000 00				\$62,048 40	\$62,048 40	-	\$75,550 49	\$13,502 09	
Marvell Tech Group Lt 13 000 Jan P G/L Amount: \$3,343 93										
	50	1/21/2014	11/12/2013	0	0	0	-	3,343 93	3,343 93	short
Marvell Tech Group Lt 17 000 Jan P G/L Amount: \$837 73										
	50	2/20/2014	1/15/2014	2 86	14,306 00	14,306 00	-	15,143 73	837 73	short
United Sta Stl Cp Ne 17 000 Jan P G/L Amount: \$5,953 86										
	20	1/21/2014	4/22/2013	0	0	0	-	5,953 86	5 953 86	short

\$130,184 58

\$179,459 28

\$49,274 70

Long Term Gain/Loss

SECURITY	QUANTITY	DATE ACQUIRED	DATE SOLD	UNIT COST (\$)	TOTAL COST (\$)	ADJUSTED COST (\$)	WASH SALE COST ADJUST (\$)	PROCEEDS(\$)	G/L AMOUNT (\$)	HOLDING PERIOD
Bank Of America Corp G/L Amount: \$66,897 77										
	2,500 00	11/18/2011	1/17/2014	8 48	21,207 32	21,207 32	-	33,253 90	12,046 58	long
	5,000 00	7/14/2011	1/17/2014	9 82	49,081 29	49,081 29	8,029 79	66,507 79	17,426 50	long
	2,500 00	2/27/2012	1/17/2014	7 8	19,501 00	19,501 00	-	33,253 89	13 752 89	long
	2,500 00	2/27/2012	5/7/2014	7 8	19,501 00	19,501 00	-	36,928 43	17 427 43	long
	2,500 00	4/17/2013	5/7/2014	12 27	30,684 05	30,684 05	-	36,928 42	6,244 37	long
SubTotal	15,000 00				\$139,974 66	\$139,974 66	\$8,029 79	\$206,872 43	\$66,897 77	
Bridge Bancorp Incorporated G/L Amount: \$17,995 62										
	1,000 00	8/14/2012	1/17/2014	19 85	19,851 00	19,851 00	-	24,628 57	4,777 57	long
	1,000 00	10/18/2012	2/12/2014	21 43	21,428 00	21,428 00	-	25,023 56	3,595 56	long
	1,000 00	10/18/2012	2/14/2014	21 43	21,428 00	21,428 00	-	25,473 55	4,045 55	long
	1,000 00	10/24/2012	2/20/2014	20 36	20,356 00	20,356 00	-	25,932 94	5 576 94	long
SubTotal	4,000 00				\$83,063 00	\$83,063 00	-	\$101,058 62	\$17 995 62	
Fortress Investment Group C-A G/L Amount: \$17,411 77										
	2,000 00	1/2/2013	1/8/2014	4 51	9,021 20	9,021 20	-	17,433 89	8,412 69	long
	2,000 00	1/2/2013	1/17/2014	4 51	9,021 20	9,021 20	-	18,020 28	8,999 08	long
SubTotal	4,000 00				\$18,042 40	\$18,042 40	-	\$35,454 17	\$17,411 77	
Goodrich Petroleum Corp New G/L Amount: -\$38,688 48										
	1,500 00	12/8/2009	2/20/2014	22 19	33,286 97	33,286 97	-	18,387 13	-14,899 84	long
	1,000 00	12/15/2009	2/20/2014	22 73	22,727 75	22,727 75	-	12,258 08	-10 469 67	long
	3,000 00	3/23/2010	2/20/2014	17 15	51,455 25	51,455 25	-	36,774 25	-14,681 00	long
	2,000 00	5/24/2010	2/20/2014	11 99	23,974 00	23,974 00	-	24,516 17	542 17	long
	2,000 00	6/3/2010	2/20/2014	13 25	26,505 80	26,505 80	-	24,516 17	-1 989 63	long
	500	7/1/2010	2/20/2014	11 68	5,837 73	5,837 73	-	6,129 04	291 31	long
	5,000 00	7/1/2010	2/20/2014	11 68	58,377 25	58,377 25	-	60,895 43	2,518 18	long
SubTotal	30,000 00				\$222,164 75	\$222,164 75	-	\$183,476 27	(\$38 688 48)	
Marvell Tech Group Ltd G/L Amount: \$18,725 52										
	300	11/11/2011	1/17/2014	14 72	4,415 76	4,415 76	-	4 066 85	-348 91	long
	3,700 00	11/28/2011	1/17/2014	13 63	50,435 44	50,435 44	-	50,157 79	-277 65	long
	300	11/28/2011	1/17/2014	13 63	4,089 36	4,089 36	-	3,254 57	-834 79	long
	1,000 00	11/28/2011	2/25/2014	13 63	13,631 20	13,631 20	-	15,160 53	1,529 33	long
	4,000 00	4/20/2012	2/25/2014	14 98	59,929 68	59,929 68	-	60,642 14	712 46	long
	1,000 00	4/20/2012	5/7/2014	14 98	14,982 42	14,982 42	-	15,209 66	227 24	long
	4,000 00	7/9/2012	5/7/2014	10 78	43,120 80	43,120 80	-	60,838 64	17,717 84	long
SubTotal	27,300 00				\$303,902 06	\$190,604 66	-	\$209,330 18	\$18,725 52	
Westmoreland Coal Co G/L Amount: \$239,352 08										
	2,329 00	10/13/2010	3/17/2014	10 91	25,417 31	25,417 31	-	57,607 29	32,189 98	long
	2,000 00	10/13/2010	4/1/2014	10 91	21,826 80	21,826 80	-	60,152 66	38,325 86	long
	2,000 00	10/13/2010	4/29/2014	10 91	21,826 80	21,826 80	-	57,032 73	35,205 93	long
	1,000 00	10/13/2010	5/2/2014	10 91	10,913 40	10,913 40	-	28,547 46	17 634 06	long
	2,671 00	10/13/2010	6/10/2014	10 91	29,149 69	29,149 69	-	90,886 77	61,737 08	long
	329	8/9/2011	6/10/2014	11 4	3,749 22	3,749 22	-	11,194 96	7,445 74	long
	1,671 00	8/9/2011	6/11/2014	11 4	19,042 38	19,042 38	-	57,822 83	38,780 45	long
	329	9/1/2011	6/11/2014	10 19	3,351 65	3,351 65	-	11,384 63	8 032 98	long
SubTotal	14,329 00				\$135,277 25	\$135,277 25	-	\$374,629 33	\$239,352 08	

\$789,126 72

\$1,110,821 00

\$321,694 28

P - When there is a "P" next to a transaction, this indicates that you have provided the trade history for this transaction, it was not available through Morgan Stanley Smith Barney LLC records.

C - Tax lot is covered under cost basis reporting requirements.

The Fritz & Adelaide Kauffmann Foundation
Morgan Stanley - 723 049820 421-OPTIONS
July 1, 2013 - December 31, 2013

Short Term

Description	Date Acquired	Date Sold	Sales Price	Cost or Other Basis
Halliburton Co.	4/10/2013	7/3/2013	2,424	3,066
Halliburton Co.	2/21/2013	7/3/2013	2,483	236
Halliburton Co.	7/8/2013	7/18/2013	1,274	866
Halliburton Co.	5/17/2013	7/5/2013	3,254	906
Amer Intl GP	7/17/2013	8/2/2013	2,094	3,146
Cree Research Inc	3/18/2013	8/14/2013	6,234	7,636
Cree Research Inc	3/5/2013	8/29/2013	8,584	1,216
Cree Research Inc	7/12/2013	8/14/2013	4,974	676
Cree Research Inc	7/12/2013	8/14/2013	3,024	246
Suncor Energy inc	2/27/2013	8/15/2013	4,254	261
Intel Corp	11/27/2012	9/17/2013	9,986	1,006
Intel Corp	12/13/2012	9/17/2013	5,994	1,206
Intel Corp	4/23/2013	9/6/2013	754	446
Carnival CP New Paire	6/26/2013	10/23/2013	3,679	1,446
Carnival CP New Paire	6/26/2013	10/23/2013	8,034	7,426
Suncor Energy inc	9/10/2013	10/23/2013	3,734	3,526
Goodrich Petroleum	7/22/2013	11/5/2013	1,679	6,646
Goodrich Petroleum	11/1/2013	11/5/2013	2,054	2,326
Halliburton Co.	6/24/2013	11/7/2013	3,984	13,906
Intel Corp	12/31/2012	11/25/2013	2,314	4,342
Intel Corp	1/2/2013	11/25/2013	1,434	2,171
Intel Corp	1/4/2013	11/25/2013	1,394	2,171
Intel Corp	1/10/2013	11/25/2013	3,234	4,342
Intel Corp	1/11/2013	11/25/2013	1,684	2,171
Intel Corp	11/22/2013	11/25/2013	1,514	2,046
Marvell Tech Group	12/18/2012	11/5/2013	8,033	718
Marvell Tech Group	12/18/2012	11/5/2013	3,727	338
Marvell Tech Group	11/1/2013	11/20/2013	1,136	3,156
Bank of America Corp	1/17/2013	12/10/2013	6,012	80
Bank of America Corp	1/17/2013	12/12/2013	3,382	42
Bank of America Corp	2/12/2013	12/12/2013	6,544	116
Goodrich Petroleum	7/22/2013	12/23/2013	6,714	0
Halliburton Co.	6/24/2013	12/12/2013	4,124	148
Halliburton Co.	9/9/2013	12/12/2013	2,764	1,446
Halliburton Co.	10/14/2013	12/12/2013	2,974	1,286
			<u>135,480</u>	<u>80,762</u>

Morgan Stanley
Capital Gains (loss) -025814-125
July 1, 2013-December 31, 2013

<u>Description</u>	<u>Date Acquired</u>	<u>Date Sold</u>	<u>Proceeds</u>	<u>Costs</u>
Ace LTD	10/20/2003	8/5/2013	45,499	16,952
Bank of New York Mellon	3/11/2005	8/14/2013	12,832	13,437
Du Pont E I DE Nemours & Co	6/7/2005	7/23/2013	11,853	9,410
	6/19/2006	7/23/2013	47,413	32,229
	12/10/2008	7/23/2013	17,780	8,012
	12/16/2008	7/23/2013	17,780	7,979
General Electric	8/16/2006	8/14/2013	7,254	10,033
	08//16/2006	11/26/2013	43,029	53,511
Goodrich Petroleum	9/26/2007	8/14/2013	19,620	27,971
	10/1/2007	8/14/2013	2,180	3,074
	10/1/2007	9/10/2013	2,573	3,074
	10/19/2007	9/10/2013	2,573	3,176
	6/22/2009	9/10/2013	20,581	19,398
	6/22/2009	10/16/2013	24,762	21,823
Honeywell International Inc	9/30/2003	8/14/2013	8,379	2,610
	9/30/2003	11/11/2013	26,140	7,829
Johnson & Johnson	4/20/1999	7/22/2013	55,397	26,823
	4/20/1999	8/14/2013	9,295	4,470
KKR & Co LP	12/6/2010	7/10/2013	15,770	10,269
	12/6/2010	12/16/2013	12,625	6,418
Merck & Co. Inc	10/4/2004	8/14/2013	9,696	6,772
Microsoft Corp	10/19/2001	8/14/2013	19,290	16,962
	11/6/2001	8/14/2013	3,215	3,156
	11/6/2001	10/1/2013	10,029	9,467
	11/6/2001	11/11/2013	7,520	6,311
Newalta Inc	3/23/2007	8/14/2013	12,215	17,367
Travelers Companies Inc	6/26/2002	8/14/2013	13,258	6,006
	6/26/2002	11/11/2013	8,791	3,708
Raytheon	6/14/2010	8/14/2013	7,670	5,217
	6/14/2010	8/21/2013	7,606	5,217
Long- term Non covered			<u>502,623</u>	<u>368,681</u>
Procter & Gamble	2/25/2011	8/14/2013	16,336	12,591
Scientific Games	5/16/2011	8/7/2013	27,004	20,045
	5/17/2011	8/7/2013	4,051	2,949
	5/17/2011	8/14/2013	1,494	983
	5/20/2011	8/14/2013	8,964	5,812
	5/20/2011	11/11/2013	10,862	5,812
	5/20/2011	11/21/2013	25,563	14,530
Long - term Covered			<u>94,273</u>	<u>62,722</u>
Twitter	11/7/2013	11/7/2013	<u>8,759</u>	<u>5,200</u>
Fortress Investment Group	12/31/2012	8/14/2013	20,800	11,274
	12/31/2012	12/12/2013	<u>55,551</u>	<u>28,184</u>
Short - Term Non covered			<u>76,351</u>	<u>39,458</u>

Realized Gain/Loss - Detail
(01/01/14 - 06/30/14)

As of 10/31/2014

Prepared by Shelley Bergman

Ph. +1 212 296-1002

FRITZ & ADELAIDE KAUFFMANN FND
 364 CHESTNUT RIDGE RD APT 222
 WOODCLIFF LAKE NJ 07677-7604

Acct. 730-025814-125

Description	Symbol/CUSIP	Quantity	Purchase	Cost Basis	Sold	Net Proceeds	Gain/Loss
Long Term							
BRIDGE BANCORP INCORPORATED	BDBG	500.000	04/25/11	\$10,596.05	02/20/14	\$12,994.77	\$2,398.72
INTEL CORP	INTC	200.000	10/16/08	3,012.48	06/17/14	5,999.86	2,987.38
MONDELEZ INTL INC COM	MDLZ	200.000	08/16/07	3,985.38	05/13/14	7,574.75	3,589.37
NATL GRID TRANSCO PLC ADS	NGG	135.000	10/17/03	4,957.18	04/09/14	9,243.15	4,285.97
	NGG	65.000	07/28/09	3,074.50	04/09/14	4,450.40	1,375.90
Totals				\$8,031.68		\$13,693.55	\$5,661.87
NEWALTA INC COM	NWLTF	500.000	03/23/07	10,854.50	04/10/14	9,520.68	(1,333.82)
	NWLTF	1,000.000	03/23/07	21,709.00	04/09/14	19,143.67	(2,565.33)
Totals				\$32,563.50		\$28,664.35	\$(3,899.15)
NEWS CORPORATION CL B	NWS	375.000	08/05/04	2,851.64	01/02/14	6,693.74	3,842.10
	NWS	250.000	04/05/05	1,998.70	01/02/14	4,462.50	2,463.80
Totals				\$4,850.34		\$11,156.24	\$6,305.90
PEPSICO INC NC	PEP	100.000	12/17/01	4,762.05	05/23/14	8,538.81	3,776.76
	PEP	100.000	03/25/02	5,148.75	05/23/14	8,538.81	3,390.06
Totals				\$9,910.80		\$17,077.62	\$7,166.82
SCIENTIFIC GAMES CP CL A	SGMS	1,400.000	05/20/11	13,561.24	06/13/14	15,275.20	1,713.96
	SGMS	200.000	05/23/11	1,895.78	06/13/14	2,182.17	286.39
	SGMS	100.000	05/31/11	985.53	06/13/14	1,091.09	105.56
	SGMS	100.000	05/31/11	985.53	06/16/14	1,075.67	90.14
	SGMS	200.000	06/08/11	1,810.62	06/16/14	2,151.33	340.71
	SGMS	800.000	03/13/12	8,805.52	06/16/14	8,605.33	(200.19)
	SGMS	600.000	06/14/12	5,251.26	06/16/14	6,453.99	1,202.73
Totals				\$33,295.48		\$36,834.78	\$3,539.30
TRAVELERS COMPANIES INC COM	TRV	100.000	06/26/02	3,707.54	05/23/14	9,300.79	5,593.25
TELUS CORP NEW	TU	500.000	03/18/03	2,501.38	04/02/14	17,965.90	15,464.52
Long Term Totals:				\$112,454.63		\$161,262.61	\$48,807.98
01/01/14 - 06/30/14 Short & Long Term Totals:				\$112,454.63		\$161,262.61	\$48,807.98

Third-party and Morgan Stanley Wealth Management research on certain companies is available to clients of the Firm at no cost. Clients can access this research at www.morganstanleyclientserv.com or contact their Financial Advisor to request a copy of this research be sent to them.

**THE FRITZ AND ADELAIDE KAUFFMANN
FOUNDATION, INC - C/O ELLIOT M HERSHBERG 22-3689518.**

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CROHNS & COLITIS (CCFA) 386 PARK AVE S - 14TH FL NEW YORK, NY 10016		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	25,000.
CHILDRENS HEALTH & RESEARCH FOUNDATION 1 NORTH LEXINGTON AVE WHITE PLAINS, NY 10601		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	25,000.
CHILDRENS HOSPITAL TRUST 401 PARK AVE - SUITE 602 BOSTON, MA 02215		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	50,000.
CYSTIC FIBROSIS FOUNDATION 700 S DIXIE HWY #100 WEST PALM BEACH, FL 33407		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	6,000.
EDUCATION CANINE AND DISABILITY (ECAD) PO BOX 251 DOBBS FERRY, NY 10522		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	10,000.
EPISCOPAL HIGH SCHOOL 1200 N QUAKER LANE ALEXANDRIA, VA 22302		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	40,000.
FIDELITY INV CHARITABLE GIFT FD PO BOX 770001 CINCINNATI, OH 45277		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	100,000.
HARVARD LAW SCHOOL 1563 MASSACHUSETTS AVE CAMBRIDGE, MA 02138		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	25,000.
HEARTBEET LIFESHARING INC. 218 TOWN FARM ROAD HARDWICK, VT 05843		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	5,000.
HELP, HOPE, LIVE 100 MATSONFORD RD, #100 RADNOR, PA 19087		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	5,000.
Total from continuation sheets				1,767,500.

THE FRITZ AND ADELAIDE KAUFFMANN
FOUNDATION, INC - C/O ELLIOT M HERSHBERG 22-3689518.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HOLE IN THE WALL GANG 160 PEHLE AVE - STE 307 SADDLE BROOK, NJ 07663		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	25,000.
IMUS RANCH WABC - 2 PENN PLAZA NEW YORK, NY 10121		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	30,000.
INDEPENDENT WOMENS FORUM 1875 I STREET NW, STE 500 WASHINGTON, DC 20006		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	10,000.
INVESTIGATIVE PROJECT ON TERRORISM 5614 CONNECTICUT AVE #341 WASHINGTON, DC 20015		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	25,000.
INTREPID FALLEN HEROES FUND 299 PARK AVE - 42ND FL NEW YORK, NY 10171		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	25,000.
ISRAEL AIR FORCE CENTER FOUNDATION 120 EL CAMINO DRIVE - STE 110 BEVERLY HILLS, CA 90212		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	20,000.
JEWISH FEDERATION OF PALM BEACH CTY 4601 COMMUNITY DRIVE WEST PALM BEACH, FL 33417		ALL PUBLIC CHARITIES		10,000.
KRAVIS CENTER FOR PERFORMING ARTS 701 OKEECHOBEE BLVD. WEST PALM BEACH, FL 33401		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	50,000.
MAYO CLINIC 7850 VILLA D'ESTE WAY DELRAY BEACH, FL 33446		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	10,000.
MIKEYS WAY FOUNDATION 2228 BLACKROCK TPKE - STE 307 FAIRFIELD, CT 06825		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	15,000.
Total from continuation sheets				

**THE FRITZ AND ADELAIDE KAUFFMANN
FOUNDATION, INC - C/O ELLIOT M HERSHBERG 22-3689518.**

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MEMORIAL SLOAN KETTERING 1275 YORK AVE. NEW YORK, NY 10065		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	37,500.
MINISTRIES TO CHILDREN PO BOX 100 CULLMAN, AL 35056		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	5,000.
MT. SINAI MEDICAL CENTER 1 GUSTAVE LEVY PL NEW YORK, NY 10029		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	35,000.
NATIONAL FOREST FOUNDATION 120 LONG RIDGE ROAD STAMFORD, CT 06902		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	20,000.
NATIONAL NAVY UDT - SEAL MUSEUM 3300 N HWY A1A FT PIERCE, FL 34949		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	200,000.
NEW YORK STATE TROOPERS 2 AIRPORT PARK BLVD. LATHAM, NY 12110		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	50,000.
NEW YORK UNIVERSITY - LANGONE 1 PARK AVENUE NEW YORK, NY 10016		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	75,000.
PARTNERSHIP FOR PUBLIC SERVICES 1100 NEW YORK AVE NW WASHINGTON, DC 20005		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	60,000.
PAVE THE WAY FOUNDATION 395 TWIN LANE SOUTH WANTAGH, NY 11793		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	325,000.
POLICE BENEVOLENT ASSN CHARITY FD 2100 N FLORIDA MANGO RD WEST PALM BEACH, FL 33409		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	5,000.
Total from continuation sheets				

**THE FRITZ AND ADELAIDE KAUFFMANN
FOUNDATION, INC - C/O ELLIOT M HERSHBERG 22-3689518.**

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RENEWAL COALITION 88 WEST RIVERSIDE DR JUPITER, FL 33469		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	55,000.
ST. FRANCIS FOOD PANTRIES & SHELTERS 450 SEVENTH AVE - STE 2306 NEW YORK, NY 10123		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	5,000.
STAND WITH US 1907 E HIGHLAND DRIVE #7 SEATTLE, WA 98112		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	6,000.
TEL AVIV FOUNDATION 1201 BROADWAY NEW YORK, NY 10001		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	40,000.
THE ACTORS FUND 729 7TH AVE. NEW YORK, NY 10019		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	10,000.
THROUGHLINE ARTISTS INC 15 WEST 53RD STREET NEW YORK, NY 10019		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	5,000.
UJA 130 E 59TH STREET NEW YORK, NY 10022		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	25,000.
WOUNDED WARRIOR PROJECT 121 GREENWICH AVE GREENWICH, CT 06830		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	21,000.
YALE UNVIERSITY SCHOOL OF MEDICINE 367 CEDAR ST ESH 391 NEW HAVEN, CT 06510		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	20,000.
AMERICANS FOR PROSPERITY 2111 WILSON BLVD. ARLINGTON, VA 22201		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	20,000.
Total from continuation sheets				

**THE FRITZ AND ADELAIDE KAUFFMANN
FOUNDATION, INC - C/O ELLIOT M HERSHBERG 22-3689518.**

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
BOCA RATON CHAMPIONS GOLF CHARITIES INC. 1141 SOUTH ROGERS CIRCLE BOCA RATON, FL 33487		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	25,000.
BOCA RATON HOSPITAL 745 MEADOWS ROAD BOCA RATON, FL 33486		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	100,000.
DISABLED AMERICAN VETERANS 4129 KARMA DRIVE NORTH LAS VEGAS, NV 89032		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	25,000.
GIMME SHELTER ANIMAL 12100 US HWY 1 NORTH PALM BEACH, FL 33408		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	10,000.
IT HAPPENED TO ALEXA FOUNDATION 411 CENTER STREET LEWISTON, NY 14092		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	10,000.
LYMPHOMA RESEARCH FOUNDATION 115 BROADWAY NEW YORK, NY 10006		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	2,000.
NORWICH UNIVERSITY 158 HERMAN DR NORTHFIELD, VT 05663		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	20,000.
THE BOYS AND GIRLS CLUB C/O MCLAUGHLIN & STERN 260 MADISON AVE NEW YORK, NY 10016		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	5,000.
THE LITTLE WARRIORS 2 SOUNDVIEW LOOP SOUTH SALEM, NY 10590		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	10,000.
THE NEW YORK FOUNDATION 10 EAST 34TH STREET NEW YORK, NY 10016		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	10,000.
Total from continuation sheets				

Part XV	Supplementary Information
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Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
VIETNAM VETERANS OF AMERICA 173 SW HATTERAS CT PALM CITY, FL 34990		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	10,000.
WHITE PLAINS HOSPITAL 41 EAST POST ROAD WHITE PLAINS,, NY 10601		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	5,000.
HELLENIC TIMES SCHOLARSHIP 823 ELEVENTH AVE NEW YORK, NY 10019		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	<20,000.>
WSC GPF 375 AEI 1150 17TH ST N.W. WASHINGTON, DC 20036		ALL PUBLIC CHARITIES	GENERAL TAX-EXEMPT PURPOSES	25,000.
Total from continuation sheets				

323631
05-01-13

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
HUDSON SAVINGS	499.	499.	
K-1'S	5,410.	5,410.	
MORGAN STANLEY	23,196.	23,196.	
MORGAN STANLEY	856.	856.	
MORGAN STANLEY	2.	2.	
TOTAL TO PART I, LINE 3	29,963.	29,963.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
K-1'S	10,365.	0.	10,365.	10,365.	
MORGAN STANLEY	165,198.	2,886.	162,312.	162,312.	
MORGAN STANLEY	42,583.	0.	42,583.	42,583.	
MORGAN STANLEY	29,642.	150.	29,492.	29,492.	
TO PART I, LINE 4	247,788.	3,036.	244,752.	244,752.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
INCOME FROM INVESTMENT IN KKR & CO LLP	471.	0.	
OTHER INCOME	4,491.	0.	
INCOME FROM IOLAIRE INVESTORS LIMITED	15,117.	0.	
INCOME FROM FORTRESS INVESTMENT GROUP	5,981.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	26,060.	0.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PROFESSIONAL FEES	12,500.	12,500.			0.
TO FORM 990-PF, PG 1, LN 16B	12,500.	12,500.			0.

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
MANAGEMENT FEES	77,347.	77,347.			0.
TO FORM 990-PF, PG 1, LN 16C	77,347.	77,347.			0.

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES	17,168.	17,168.			0.
TO FORM 990-PF, PG 1, LN 18	17,168.	17,168.			0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
MARGIN INTEREST	111.	111.			0.
IOLAIARE INVESTORS EXPENSES	12,557.	12,557.			0.
KKR & CO EXPENSES	1,797.	1,797.			0.
NON DEDUCTIBLE EXPENSES - PARTNERSHIPS	538.	538.			0.
FORTRESS INVESTMENT GROUP EXPENSES	242.	242.			0.

MISCELLANEOUS	3,299.	3,299.	0.
TO FORM 990-PF, PG 1, LN 23	18,544.	18,544.	0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	8
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MARKETABLE CORPORATE STOCK	6,320,660.	8,738,214.
TOTAL TO FORM 990-PF, PART II, LINE 10B	6,320,660.	8,738,214.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
IOLAIRE INVESTORS L.P.	COST	0.	0.
KKR & CO LLP	COST	94,914.	94,914.
FORTRESS INVESTMENTS	COST	123,181.	123,181.
TOTAL TO FORM 990-PF, PART II, LINE 13		218,095.	218,095.

FORM 990-PF	OTHER REVENUE	STATEMENT	10
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DESCRIPTION	BUS CODE	UNRELATED BUSINESS INC	EXCL CODE	EXCLUDED AMOUNT	RELATED OR EXEMPT FUNC-TION INCOME
INCOME FROM INVESTMENT IN KKR & CO LLP					471.
OTHER INCOME					4,491.
INCOME FROM IOLAIRE INVESTORS LIMITED					15,117.
INCOME FROM FORTRESS INVESTMENT GROUP					5,981.
TOTAL TO FORM 990-PF, PG 12, LN 11					26,060.

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

Department of the Treasury
Internal Revenue Service

► **File a separate application for each return.**
► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

		Enter filer's identifying number
Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions. THE FRITZ AND ADELAIDE KAUFFMANN FOUNDATION, INC - C/O ELLIOT M HERSHBERG	Employer identification number (EIN) or 22-3689518
	Number, street, and room or suite no. If a P.O. box, see instructions. 3720 SOUTH OCEAN BLVD	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions HIGHLAND BEACH, FL 33487	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

THE FOUNDATION - C/O ELLIOT M HERSHBERG - 3720 SOUTH

- The books are in the care of ► **OCEAN BLVD - HIGHLAND BEACH, FL 33487**
Telephone No. ► **941-841-7700** Fax No. ► _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **FEBRUARY 15, 2015**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
► ☐ calendar year _____ or
► ☒ tax year beginning **JUL 1, 2013**, and ending **JUN 30, 2014**.

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	11,700.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$	8,000.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c	\$	3,700.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.