Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*

OMB No 1545-0052
2013
Open to Public Inspection

For calendar year 2013 or tax year beginning 07/01 , 2013, and ending 06/30, 20 14

Name of foundation
RENATE, HANS & MARIA HOFMANN TR P62429009

A Employer identification number
13-7102172

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
10 S DEARBORN IL1-0117

B Telephone number (see instructions)
866-888-5157

City or town, state or province, country, and ZIP or foreign postal code
CHICAGO, IL 60603

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 51,663,359.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) ____________
(Part I, column (d) must be on cash basis.)

	Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc , received (attach schedule)				
2	Check ▶ ☒ if the foundation is **not** required to attach Sch B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	655,397.	653,873.		
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	2,388,020.			
b	Gross sales price for all assets on line 6a 16,110,633.				
7	Capital gain net income (from Part IV, line 2)		2,388,020.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)	16,794.	-19,380.		STMT 1
12	**Total.** Add lines 1 through 11	3,060,211.	3,022,513.		
13	Compensation of officers, directors, trustees, etc	371,608.	185,804.		185,804.
14	Other employee salaries and wages	266,392.	NONE	NONE	266,392.
15	Pension plans, employee benefits	24,498.	NONE	NONE	24,498.
16a	Legal fees (attach schedule) STMT 2	76,587.	NONE	NONE	76,587.
b	Accounting fees (attach schedule) STMT 3	17,000.	NONE	NONE	17,000.
c	Other professional fees (attach schedule) STMT 4	267,700.			267,700.
17	Interest				
18	Taxes (attach schedule) (see instructions) STMT 5	77,186.	13,186.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy	85,075.			85,075.
21	Travel, conferences, and meetings		NONE	NONE	
22	Printing and publications	156,239.	NONE	NONE	156,239.
23	Other expenses (attach schedule) STMT 6	315,309.	226,787.		88,522.
24	**Total operating and administrative expenses.** Add lines 13 through 23	1,657,594.	425,777.	NONE	1,167,817.
25	Contributions, gifts, grants paid	1,315,000.			1,315,000.
26	**Total expenses and disbursements** Add lines 24 and 25	2,972,594.	425,777.	NONE	2,482,817.
27	Subtract line 26 from line 12:				
a	**Excess of revenue over expenses and disbursements**	87,617.			
b	**Net investment income** (if negative, enter -0-)		2,596,736.		
c	**Adjusted net income** (if negative, enter -0-)				

Revenue

Operating and Administrative Expenses

Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	3,075,879.		
	2	Savings and temporary cash investments		1,699,726.	1,699,726.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶ NONE			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule)	17,778,599.	20,965,459.	28,276,809.
	c	Investments - corporate bonds (attach schedule)	6,395,753.	5,834,826.	5,791,666.
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)	7,065,588.	6,140,667.	6,973,087.
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶ ARTWORK)	1,436,449.	1,186,522.	8,922,071.
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	35,752,268.	35,827,200.	51,663,359.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here** ▶ ☐ **and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, ▶ ☒ **check here and complete lines 27 through 31.**			
	27	Capital stock, trust principal, or current funds	35,752,268.	35,827,200.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	35,752,268.	35,827,200.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	35,752,268.	35,827,200.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	35,752,268.
2	Enter amount from Part I, line 27a	2	87,617.
3	Other increases not included in line 2 (itemize) ▶RECOVERY OF QUALIFYING DISTRIBUTIONS	3	703.
4	Add lines 1, 2, and 3	4	35,840,588.
5	Decreases not included in line 2 (itemize) ▶COST BASIS ADJUSTMENT	5	13,388.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	35,827,200.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	16,110,633.		13,722,594.	2,388,039.
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M.V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				2,388,039.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 2,388,039.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	2,375,599.	46,461,654.	0.051130
2011	2,247,486.	42,192,499.	0.053267
2010	1,466,569.	44,584,494.	0.032894
2009	1,635,354.	42,909,261.	0.038112
2008	1,634,078.	39,647,623.	0.041215

2	**Total** of line 1, column (d)	2	0.216618
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.043324
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	49,317,569.
5	Multiply line 4 by line 3	5	2,136,634.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	25,967.
7	Add lines 5 and 6	7	2,162,601.
8	Enter qualifying distributions from Part XII, line 4	8	2,482,817.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter ________________ **(attach copy of letter if necessary - see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	25,967.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			2	
3	Add lines 1 and 2			3	25,967.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	25,967.
6	Credits/Payments:				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	59,624.		
b	Exempt foreign organizations - tax withheld at source	6b	NONE		
c	Tax paid with application for extension of time to file (Form 8868)	6c	20,000.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	79,624.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . . ▶			10	53,657.
11	Enter the amount of line 10 to be. **Credited to 2014 estimated tax** ▶ 31,000. **Refunded** ▶			11	22,657.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ ____________ (2) On foundation managers ▶ $ ____________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ____________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation.*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV.*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ JPMORGAN CHASE BANK, NA Telephone no ▶ (866) 888-5157

Located at ▶ 10 S. DEARBORN, IL1-0117, CHICAGO, IL ZIP+4 ▶ 60603-2300

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JPMORGAN CHASE BANK, NA 10 S. DEARBORN, IL1-0117, CHICAGO, IL 60603-2300	CO-TRUSTEE 40	350,822.	-0-	-0-
ROBERT S. WARSHAW, ESQ. 6 GUION LANE, LARCHMON, NY 10538	TRUSTEE 10	2,143.	-0-	-0-
PATRICIA A. GALLAGHER, ESQ. 400 AVINGER LANE, DAVIDSON, NC 28036	TRUSTEE 10	18,643.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SUZANNE VILLIGER 400 W. BROADWAY, FL 3, NEW YORK, NY	CR PROJECT MGR	96,100.	7,385.	-0-
JULIANA KREINIK 400 W. BROADWAY, FL 3, NEW YORK, NY	RESEARCH ASSISTA	59,200.	4,561.	-0-
JESSIE SENTIVAN 400 W. BROADWAY, FL 3, NEW YORK, NY	RESEARCH ASSISTA	59,200.	4,561.	-0-
HELEN L VONG 400 W. BROADWAY, FL 3, NEW YORK, NY	RESEARCH ASSISTA	51,892.	4,000.	-0-

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	38,847,514.
b	Average of monthly cash balances	1b	2,419,014.
c	Fair market value of all other assets (see instructions)	1c	8,802,070.
d	**Total** (add lines 1a, b, and c)	1d	50,068,598.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	50,068,598.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	751,029.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	49,317,569.
6	**Minimum investment return.** Enter 5% of line 5	6	2,465,878.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	2,465,878.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	25,967.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	25,967.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	2,439,911.
4	Recoveries of amounts treated as qualifying distributions			4	703.
5	Add lines 3 and 4			5	2,440,614.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	2,440,614.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,482,817.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,482,817.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	25,967.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	2,456,850.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7					2,440,614.
2	Undistributed income, if any, as of the end of 2013					
a	Enter amount for 2012 only				1,866,103.	
b	Total for prior years 20 11 ,20______,20______			NONE		
3	Excess distributions carryover, if any, to 2013					
a	From 2008	NONE				
b	From 2009	NONE				
c	From 2010	NONE				
d	From 2011	NONE				
e	From 2012	NONE				
f	**Total** of lines 3a through e		NONE			
4	Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 2,482,817.					
a	Applied to 2012, but not more than line 2a				1,866,103.	
b	Applied to undistributed income of prior years (Election required - see instructions)			NONE		
c	Treated as distributions out of corpus (Election required - see instructions)		NONE			
d	Applied to 2013 distributable amount					616,714.
e	Remaining amount distributed out of corpus		NONE			
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		NONE			NONE
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		NONE			
b	Prior years' undistributed income. Subtract line 4b from line 2b			NONE		
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			NONE		
d	Subtract line 6c from line 6b Taxable amount - see instructions			NONE		
e	Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions					
f	Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					1,823,900.
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)		NONE			
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)		NONE			
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		NONE			
10	Analysis of line 9:					
a	Excess from 2009	NONE				
b	Excess from 2010	NONE				
c	Excess from 2011	NONE				
d	Excess from 2012	NONE				
e	Excess from 2013	NONE				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter:					
(1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
VERBAND DER DIOEZESEN DEUTSCHLANDS . GERMANY .	NONE	NC	GENERAL	550,000.
WHITNEY MUSEUM OF AMERICAN ART 945 MADISON AVENUE NEW YORK NY 10021	NONE	PC	GENERAL	25,000.
METROPOLITAN MUSEUM OF ART 1000 5TH AVENUE NEW YORK NY 10028	NONE	PC	GENERAL	25,000.
UNIVERSITY OF CALIFORNIA 200 CALIFORNIA HALL BERKELEY CA 94720	NONE	PC	GENERAL	180,000.
NEW YORK COMMUNITY TRUST 909 3RD AVE NEW YORK NY 10022	NONE	PC	GENERAL	100,000.
MUSEUM OF CONTEMPORARY ART 333 N LAURA ST JACKSONVILLE FL 32202	NONE	PC	GENERAL	70,000.
TWENTY SUMMERS, INC 29 MILLER HILL RD PROVINCETOWN MA 02657	NONE	PC	GENERAL	10,000.
BRUCE MUSEUM OF ARTS AND SCIENCE 1 MUSEUM DRIVE GREENWICH CT 06830	NONE	PC	GENERAL	180,000.
ROCKEFELLER UNIVERSITY 1230 YORK AVE NEW YORK NY 10065	NONE	PC	GENERAL	150,000.
THE DOE FUND 232 EAST 84TH STREET NEW YORK NY 10028	NONE	PC	GENERAL	25,000.
Total . . . . . . ▶ **3a**				1,315,000.
b *Approved for future payment*				
Total . . . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	655,397.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	4,000.	
8 Gain or (loss) from sales of assets other than inventory			18	2,388,020.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b TAX REFUNDS			01	12,794.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				3,060,211.	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 3,060,211.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

VICE PRESIDENT | 05/14/2015

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
CAROLYN J. SECHEL	Carolyn J. Sechel	05/14/2015		P01256399

Firm's name ▶ DELOITTE TAX LLP

Firm's EIN ▶ 86-1065772

Firm's address ▶ 127 PUBLIC SQUARE
CLEVELAND, OH 44114

Phone no 312-486-9652

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
TAX REFUNDS	12,794.	
MISC ART SALE PROCEEDS	4,000.	4,000.
POWERSHARES K-1		-23,380.
TOTALS	16,794.	-19,380.

FORM 990PF, PART I - LEGAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
LEGAL FEES - PRINCIPAL (ALLOCA	76,587.			76,587.
TOTALS	76,587.	NONE	NONE	76,587.

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
IRENE HEICKLEN	17,000.			17,000.
TOTALS	17,000.	NONE	NONE	17,000.

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	CHARITABLE PURPOSES
STACEY GERSHON FINE	75,000.	75,000.
PAUL MOORHOUSE	4,000.	4,000.
ANI BOYAJIAN	61,200.	61,200.
KAREN WILKIN	16,000.	16,000.
PATRICIA GALLAGHER	90,000.	90,000.
ROBERT S WARSHAW	10,000.	10,000.
PETER MORRIN	5,000.	5,000.
MARCELLE POLEDNIK	4,000.	4,000.
JULIANA KREINIK	2,500.	2,500.
TOTALS	267,700.	267,700.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN TAXES	108.	108.
FEDERAL TAX PAYMENT - PRIOR YE	27,000.	
FEDERAL ESTIMATES - INCOME	37,000.	
FOREIGN TAXES ON QUALIFIED FOR	11,264.	11,264.
FOREIGN TAXES ON NONQUALIFIED	1,814.	1,814.
TOTALS	77,186.	13,186.

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
OTHER CHARITABLE EXPENSES	87,772.		87,772.
NY AG FILING FEE	750.		750.
SHIPPING, INSURANCE & STORAGE	226,787.	226,787.	
TOTALS	315,309.	226,787.	88,522.

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ *Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.*
▶ Information about Schedule D and its separate instructions is at *www.irs gov/form1041*

OMB No 1545-0092

2013

Name of estate or trust: RENATE, HANS & MARIA HOFMANN TR P62429009

Employer identification number: 13-7102172

Note: *Form 5227 filers need to complete only Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked				
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked				
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked	3,921,984.	4,070,946.		-148,962.

4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	5	
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet	6	( )
7 **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ▶	7	-148,962.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked				
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked				
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked	11,800,114.	9,651,648.		2,148,466.

11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	12	
13 Capital gain distributions	13	388,535.
14 Gain from Form 4797, Part I	14	
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet	15	( )
16 **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ▶	16	2,537,001.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041. **Schedule D (Form 1041) 2013**

JSA
3F1210 1 000

Part III Summary of Parts I and II

Caution: *Read the instructions **before** completing this part.*

			(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
17	**Net short-term gain or (loss)**	17			-148,962.
18	**Net long-term gain or (loss):**				
a	Total for year	18a			2,537,001.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	18b			
c	28% rate gain	18c			1,357,166.
19	**Total net gain or (loss).** Combine lines 17 and 18a ▶	19			2,388,039.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet,** as necessary.*

Part IV Capital Loss Limitation

20	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of: a The loss on line 19, column (3) **or** b $3,000	20	( )

Note: *If the loss on line 19, column (3), is more than $3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:*

- *Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			21			
22	Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22					
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23					
24	Add lines 22 and 23	24					
25	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	25					
26	Subtract line 25 from line 24. If zero or less, enter -0-			26			
27	Subtract line 26 from line 21. If zero or less, enter -0-			27			
28	Enter the **smaller** of the amount on line 21 or $2,450			28			
29	Enter the **smaller** of the amount on line 27 or line 28			29			
30	Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶					30	
31	Enter the smaller of line 21 or line 26			31			
32	Subtract line 30 from line 26			32			
33	Enter the **smaller** of line 21 or $11,950			33			
34	Add lines 27 and 30			34			
35	Subtract line 34 from line 33. If zero or less, enter -0-			35			
36	Enter the **smaller** of line 32 or line 35			36			
37	Multiply line 36 by 15% ▶					37	
38	Enter the amount from line 31			38			
39	Add lines 30 and 36			39			
40	Subtract line 39 from line 38. If zero or less, enter -0-			40			
41	Multiply line 40 by 20% ▶					41	
42	Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			42			
43	Add lines 37, 41, and 42			43			
44	Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			44			
45	**Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶					45	

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ **Information about Form 8949 and its separate instructions is at** ***www.irs gov/form8949***

▶ **File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.**

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	**Social security number or taxpayer identification number**
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
21. ONYX PHARMACEUTICALS I	04/01/2013	07/01/2013	2,754.00	1,916.00			838.00
34. ONYX PHARMACEUTICALS I	VAR	07/01/2013	4,459.00	3,063.00			1,396.00
30. ONYX PHARMACEUTICALS I	03/28/2013	07/01/2013	3,953.00	2,677.00			1,276.00
8. ONYX PHARMACEUTICALS IN	03/28/2013	07/01/2013	1,051.00	714.00			337.00
3. INVESCO LTD SHS	12/14/2012	07/01/2013	96.00	76.00			20.00
9. INVESCO LTD SHS	12/14/2012	07/01/2013	289.00	229.00			60.00
1. INVESCO LTD SHS	12/14/2012	07/01/2013	32.00	25.00			7.00
2. INVESCO LTD SHS	12/14/2012	07/01/2013	64.00	51.00			13.00
124. PHILLIPS 66	VAR	07/02/2013	7,074.00	6,623.00			451.00
6. INVESCO LTD SHS	VAR	07/02/2013	189.00	153.00			36.00
24. APACHE CORP	05/20/2013	07/03/2013	1,936.00	2,016.00			-80.00
8. APACHE CORP	05/20/2013	07/03/2013	645.00	672.00			-27.00
1. APACHE CORP	05/20/2013	07/03/2013	81.00	84.00			-3.00
11. APACHE CORP	05/20/2013	07/03/2013	881.00	924.00			-43.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b (if Box A above is checked), line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶**Information about Form 8949 and its separate instructions is at** *www.irs gov/form8949.*

▶**File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.**

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You ***must*** **check Box A, B,** ***or*** **C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr.)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	 (g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
5. INVESCO LTD SHS	12/14/2012	07/03/2013	158.00	127.00			31.00
1. INVESCO LTD SHS	12/14/2012	07/05/2013	32.00	25.00			7.00
8. INVESCO LTD SHS	12/14/2012	07/05/2013	254.00	204.00			50.00
1. INVESCO LTD SHS	12/14/2012	07/08/2013	32.00	25.00			7.00
1. INVESCO LTD SHS	12/14/2012	07/08/2013	32.00	25.00			7.00
1. INVESCO LTD SHS	12/14/2012	07/08/2013	32.00	25.00			7.00
9. INVESCO LTD SHS	12/14/2012	07/08/2013	287.00	229.00			58.00
1. INVESCO LTD SHS	12/14/2012	07/08/2013	32.00	25.00			7.00
375000. CS REN HSCEI 02/	02/01/2013	07/09/2013	274,313.00	371,250.00			-96,937.00
1. INVESCO LTD SHS	12/14/2012	07/09/2013	32.00	25.00			7.00
3. INVESCO LTD SHS	12/14/2012	07/09/2013	95.00	76.00			19.00
1. INVESCO LTD SHS	12/14/2012	07/09/2013	32.00	25.00			7.00
19. INVESCO LTD SHS	12/14/2012	07/09/2013	608.00	484.00			124.00
2. INVESCO LTD SHS	12/14/2012	07/10/2013	63.00	51.00			12.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions.
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Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949*.

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

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Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example· 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	 (g) Amount of adjustment	(h) **Gain or (loss).** *Subtract column (e)* from column (d) and combine the result with column (g)
1. INVESCO LTD SHS	12/14/2012	07/10/2013	32.00	25.00			7.00
1. INVESCO LTD SHS	12/14/2012	07/10/2013	32.00	25.00			7.00
17. INVESCO LTD SHS	VAR	07/10/2013	534.00	433.00			101.00
1. INVESCO LTD SHS	12/14/2012	07/10/2013	32.00	25.00			7.00
1. INVESCO LTD SHS	12/14/2012	07/11/2013	32.00	25.00			7.00
36. INVESCO LTD SHS	VAR	07/11/2013	1,154.00	916.00			238.00
7. INVESCO LTD SHS	12/14/2012	07/11/2013	224.00	178.00			46.00
37. PHILIP MORRIS INTERNAT	01/15/2013	07/12/2013	3,306.00	3,296.00			10.00
7. PHILIP MORRIS INTERNATI	01/15/2013	07/12/2013	630.00	624.00			6.00
155. TIME WARNER INC	VAR	07/12/2013	9,506.00	8,236.00			1,270.00
21. TIME WARNER INC	09/26/2012	07/12/2013	1,292.00	940.00			352.00
60. PHILIP MORRIS INTERNAT	01/15/2013	07/15/2013	5,386.00	5,345.00			41.00
54. TIME WARNER INC	09/26/2012	07/15/2013	3,318.00	2,418.00			900.00
11. GOOGLE INC	VAR	07/16/2013	10,082.00	9,964.00			118.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions.

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Form **8949** (2013)

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶Information about Form 8949 and its separate instructions is at *www.irs gov/form8949*

▶File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
13. GOOGLE INC	VAR	07/16/2013	11,933.00	11,643.00			290.00
5. GOOGLE INC	04/04/2013	07/17/2013	4,596.00	3,970.00			626.00
5. GOOGLE INC	VAR	07/17/2013	4,590.00	3,968.00			622.00
4. GOOGLE INC	04/16/2013	07/17/2013	3,674.00	3,172.00			502.00
20. WALTER INDS INC	09/07/2012	07/24/2013	241.00	691.00			-450.00
11. WALTER INDS INC	09/07/2012	07/25/2013	127.00	380.00			-253.00
93. LINKEDIN CORP - A	VAR	07/29/2013	18,848.00	16,540.00			2,308.00
25. JOHNSON CTLS INC	05/20/2013	07/30/2013	1,029.00	943.00			86.00
7. JOHNSON CTLS INC	05/20/2013	07/30/2013	288.00	264.00			24.00
4. JOHNSON CTLS INC	05/20/2013	07/30/2013	163 00	151.00			12.00
4. JOHNSON CTLS INC	05/20/2013	07/30/2013	162.00	151.00			11.00
17. JOHNSON CTLS INC	05/20/2013	07/30/2013	691.00	641.00			50.00
16794. ISHARES MSCI EMERGI INDEX	VAR	07/31/2013	654,232.00	719,699.00			-65,467.00
38. JOHNSON CTLS INC	05/20/2013	07/31/2013	1,537.00	1,433.00			104.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

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Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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▶File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

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☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions. (f) Code(s) from instructions	 (g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
3. JOHNSON CTLS INC	05/20/2013	07/31/2013	121.00	113.00			8.00
7. JOHNSON CTLS INC	05/20/2013	07/31/2013	282.00	264.00			18.00
2. JOHNSON CTLS INC	05/20/2013	07/31/2013	80.00	75.00			5.00
15518.457 JPMORGAN EMERGIN DEBT FUND	08/27/2012	07/31/2013	128,182.00	136,873.00			-8,691.00
16. ONYX PHARMACEUTICALS I	VAR	07/31/2013	2,119.00	1,419.00			700.00
16. ONYX PHARMACEUTICALS I	04/03/2013	07/31/2013	2,110.00	1,409.00			701.00
16. ONYX PHARMACEUTICALS I	VAR	07/31/2013	2,110.00	1,389.00			721.00
5404.235 VIRTUS EMERGING M	02/12/2013	07/31/2013	53,016.00	56,150.00			-3,134.00
23. WALTER INDS INC	09/07/2012	07/31/2013	267.00	794.00			-527.00
32. JOHNSON CTLS INC	05/20/2013	08/01/2013	1,315.00	1,207.00			108.00
13. JOHNSON CTLS INC	05/20/2013	08/01/2013	533.00	490.00			43.00
2. JOHNSON CTLS INC	05/20/2013	08/01/2013	82.00	75.00			7.00
20. NISOURCE INC	04/05/2013	08/01/2013	617.00	595.00			22.00
47. ONYX PHARMACEUTICALS I	VAR	08/01/2013	6,267.00	4,065.00			2,202.00
2 Totals Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions. Form **8949** (2013)

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
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1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.**		**(h) Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
2. ONYX PHARMACEUTICALS IN	04/04/2013	08/01/2013	269.00	173.00			96.00
109. WALTER INDS INC	09/07/2012	08/01/2013	1,268.00	3,763.00			-2,495.00
19. JOHNSON CTLS INC	05/20/2013	08/02/2013	783.00	717.00			66.00
3. JOHNSON CTLS INC	05/20/2013	08/02/2013	124.00	113.00			11.00
2. JOHNSON CTLS INC	05/20/2013	08/02/2013	83.00	75.00			8.00
20. NISOURCE INC	04/05/2013	08/02/2013	620.00	595.00			25.00
86. XILINX INC	05/08/2013	08/02/2013	3,972.00	3,315.00			657.00
7. JOHNSON CTLS INC	05/20/2013	08/05/2013	287.00	264.00			23.00
2. JOHNSON CTLS INC	05/20/2013	08/05/2013	82.00	75.00			7.00
1. JOHNSON CTLS INC	05/20/2013	08/05/2013	41.00	38.00			3.00
25. XILINX INC	05/08/2013	08/05/2013	1,152.00	964.00			188.00
67. XILINX INC	VAR	08/05/2013	3,089.00	2,576.00			513.00
5. JOHNSON CTLS INC	05/20/2013	08/06/2013	202.00	189.00			13.00
20. NISOURCE INC	04/05/2013	08/06/2013	607.00	595.00			12.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

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For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

JSA
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Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
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☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) *See the separate instructions.* (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
33. WALTER INDS INC	09/07/2012	08/06/2013	352.00	1,139.00			-787.00
18. WALTER INDS INC	09/07/2012	08/06/2013	187.00	621.00			-434.00
106. XILINX INC	05/08/2013	08/06/2013	4,862.00	4,071.00			791.00
47. XILINX INC	05/08/2013	08/06/2013	2,145.00	1,805.00			340.00
20. NISOURCE INC	04/05/2013	08/07/2013	613.00	595.00			18.00
21. WALTER INDS INC	09/07/2012	08/07/2013	217.00	725.00			-508.00
58. WALTER INDS INC	09/07/2012	08/07/2013	584.00	2,003.00			-1,419.00
6. XILINX INC	05/08/2013	08/07/2013	274.00	230.00			44.00
51. XILINX INC	VAR	08/07/2013	2,328.00	1,953.00			375.00
11. XILINX INC	05/07/2013	08/07/2013	502.00	420.00			82.00
51. XILINX INC	05/07/2013	08/08/2013	2,321.00	1,948.00			373.00
2. XILINX INC	05/07/2013	08/08/2013	91.00	76.00			15.00
19. XILINX INC	05/07/2013	08/09/2013	862.00	726.00			136.00
1. XILINX INC	05/07/2013	08/09/2013	45.00	38.00			7.00
2 Totals. *Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts)* Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

JSA
3X2615 2 000

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶**Information about Form 8949 and its separate instructions is at** *www irs gov/form8949*

▶**File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.**

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I Short-Term. Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example· 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
47. NISOURCE INC	04/05/2013	08/12/2013	1,434.00	1,398.00			36.00
2. XILINX INC	05/07/2013	08/12/2013	91.00	76.00			15.00
8. XILINX INC	05/07/2013	08/12/2013	363.00	306.00			57.00
16. XILINX INC	05/07/2013	08/12/2013	726.00	611.00			115.00
1. XILINX INC	05/07/2013	08/12/2013	46.00	38.00			8.00
228. NISOURCE INC	VAR	08/13/2013	7,019.00	6,711.00			308.00
4. XILINX INC	VAR	08/13/2013	180.00	153.00			27.00
4. XILINX INC	05/08/2013	08/13/2013	181.00	153.00			28.00
6. XILINX INC	05/08/2013	08/13/2013	271.00	229.00			42.00
7. XILINX INC	05/08/2013	08/13/2013	317.00	267.00			50.00
8. NISOURCE INC	02/27/2013	08/14/2013	246.00	219.00			27.00
40. NISOURCE INC	02/27/2013	08/14/2013	1,230.00	1,096.00			134.00
12. TARGET CORP	04/24/2013	08/14/2013	845.00	836.00			9.00
10. TARGET CORP	04/24/2013	08/14/2013	702.00	697.00			5.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

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3X2615 2 000

Form **8949** (2013)

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶**Information about Form 8949 and its separate instructions is at** *www.irs gov/form8949*

▶**File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.**

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You ***must*** **check Box A, B,** ***or*** **C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
9. TARGET CORP	04/24/2013	08/14/2013	630.00	627.00			3.00
34. TARGET CORP	VAR	08/14/2013	2,381.00	2,369.00			12.00
2. XILINX INC	05/08/2013	08/14/2013	91.00	76.00			15.00
3. XILINX INC	05/08/2013	08/14/2013	136.00	115.00			21.00
4. XILINX INC	05/08/2013	08/14/2013	180.00	153.00			27.00
28. CERNER CORP	01/14/2013	08/15/2013	1,321.00	1,149.00			172.00
59. CERNER CORP	01/14/2013	08/15/2013	2,780.00	2,420.00			360.00
9. NISOURCE INC	02/27/2013	08/15/2013	275.00	247.00			28.00
3. TARGET CORP	04/23/2013	08/15/2013	207.00	209.00			-2.00
1. TARGET CORP	04/23/2013	08/15/2013	69.00	70.00			-1.00
10. TARGET CORP	04/23/2013	08/15/2013	689.00	697.00			-8.00
14. TARGET CORP	04/23/2013	08/15/2013	967.00	975.00			-8.00
56. TARGET CORP	VAR	08/15/2013	3,877.00	3,882.00			-5.00
81. ASML HOLDING N.V.	10/26/2012	08/15/2013	7,401.00	5,770.00			1,631.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www irs gov/form8949*

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return: RENATE, HANS & MARIA HOFMANN TR P62429009

Social security number or taxpayer identification number: 13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.**		(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
100. CERNER CORP	VAR	08/16/2013	4,713.00	4,044.00			669.00
13320.647 VANGUARD FXD INC TM CORP*	10/31/2012	08/16/2013	129,343.00	140,000.00			-10,657.00
28. CERNER CORP	01/15/2013	08/19/2013	1,336.00	1,125.00			211.00
51. NISOURCE INC	02/27/2013	08/19/2013	1,517.00	1,398.00			119.00
3. NISOURCE INC	02/27/2013	08/20/2013	90.00	82.00			8.00
58. EDISON INTL	VAR	09/11/2013	2,613.00	2,764.00			-151.00
7. EDISON INTL	VAR	09/11/2013	315.00	332.00			-17.00
1. EDISON INTL	06/17/2013	09/11/2013	45.00	47.00			-2.00
119. ASML HOLDING N.V.	10/26/2012	09/11/2013	10,622.00	8,477.00			2,145.00
19. EDISON INTL	VAR	09/12/2013	851.00	900.00			-49.00
121. EDISON INTL	VAR	09/12/2013	5,396.00	5,716.00			-320.00
7. EDISON INTL	06/19/2013	09/12/2013	313.00	330.00			-17.00
280. DOW CHEM CO	VAR	09/13/2013	11,101.00	9,316.00			1,785.00
26. EDISON INTL	VAR	09/13/2013	1,169.00	1,226.00			-57.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

JSA
3X2615 2 000

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ **Information about Form 8949 and its separate instructions is at** ***www.irs gov/form8949***

▶ **File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.**

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return: RENATE, HANS & MARIA HOFMANN TR P62429009

Social security number or taxpayer identification number: 13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	**(h) Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
109. EDISON INTL	VAR	09/13/2013	4,902.00	5,033.00			-131.00
115. ASML HOLDING N.V.	VAR	09/18/2013	10,785.00	8,107.00			2,678.00
21. CVS CORP	04/25/2013	09/19/2013	1,256.00	1,218.00			38.00
24. CVS CORP	04/25/2013	09/19/2013	1,435.00	1,392.00			43.00
6. CVS CORP	04/25/2013	09/19/2013	359.00	348.00			11.00
57. CVS CORP	VAR	09/19/2013	3,410.00	3,303.00			107.00
7. CVS CORP	04/11/2013	09/19/2013	418.00	405.00			13.00
449. HEWLETT PACKARD CO	VAR	09/19/2013	9,594.00	12,173.00			-2,579.00
908. HEWLETT PACKARD CO	VAR	09/19/2013	19,540.00	24,442.00			-4,902.00
80. CVS CORP	VAR	09/20/2013	4,751.00	4,631.00			120.00
1. CVS CORP	04/11/2013	09/20/2013	59.00	58.00			1.00
260. CVS CORP	VAR	09/20/2013	15,196.00	14,918.00			278.00
5. HEWLETT PACKARD CO	08/09/2013	09/20/2013	107.00	133.00			-26.00
44. INTUITIVE SURGICAL INC	VAR	09/26/2013	16,160.00	23,744.00			-7,584.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

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☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions	 (g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
60. AXIALL CORPORATION	04/12/2013	10/02/2013	2,288.00	3,435.00			-1,147.00
85. AXIALL CORPORATION	04/12/2013	10/02/2013	3,241.00	4,866.00			-1,625.00
8. TARGET CORP	04/22/2013	10/02/2013	508.00	551.00			-43.00
259. AXIALL CORPORATION	VAR	10/03/2013	9,822.00	14,449.00			-4,627.00
164. AXIALL CORPORATION	VAR	10/04/2013	6,249.00	8,961.00			-2,712.00
78. AXIALL CORPORATION	02/07/2013	10/04/2013	2,985.00	4,236.00			-1,251.00
29. DTE ENERGY CO	VAR	10/17/2013	1,923.00	2,075.00			-152.00
28. DTE ENERGY CO	VAR	10/17/2013	1,873.00	1,998.00			-125.00
80. DTE ENERGY CO	VAR	10/17/2013	5,337.00	5,649.00			-312.00
17. DTE ENERGY CO	VAR	10/17/2013	1,141.00	1,168.00			-27.00
7. DTE ENERGY CO	VAR	10/17/2013	466.00	473.00			-7.00
13. DTE ENERGY CO	VAR	10/17/2013	861.00	874.00			-13.00
39. AVAGO TECHNOLOGIES LTD	06/17/2013	10/17/2013	1,749.00	1,487.00			262.00
11. AVAGO TECHNOLOGIES LTD	VAR	10/17/2013	496.00	419.00			77.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions. Form **8949** (2013)

JSA
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Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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▶ **File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.**

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

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You ***must*** **check Box A, B,** ***or*** **C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

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☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
1. DTE ENERGY CO	08/27/2013	10/18/2013	67.00	67.00			
25. DTE ENERGY CO	VAR	10/18/2013	1,684.00	1,679.00			5.00
17. DTE ENERGY CO	VAR	10/18/2013	1,145.00	1,140.00			5.00
1. DTE ENERGY CO	08/29/2013	10/18/2013	67.00	67.00			
13. DTE ENERGY CO	VAR	10/18/2013	876.00	869.00			7.00
10. DTE ENERGY CO	09/11/2013	10/18/2013	673.00	668.00			5.00
37. AVAGO TECHNOLOGIES LTD	06/17/2013	10/18/2013	1,697.00	1,410.00			287.00
10. DTE ENERGY CO	09/11/2013	10/21/2013	668.00	668.00			
20. DTE ENERGY CO	VAR	10/21/2013	1,334.00	1,336.00			-2.00
21. DTE ENERGY CO	09/11/2013	10/21/2013	1,403.00	1,403.00			
67. AVAGO TECHNOLOGIES LTD	VAR	10/21/2013	3,136.00	2,545.00			591.00
322. BAXTER INTL INC	VAR	10/22/2013	21,026.00	23,394.00			-2,368.00
58. BAXTER INTL INC	VAR	10/22/2013	3,786.00	4,091.00			-305.00
26. DTE ENERGY CO	09/11/2013	10/22/2013	1,755.00	1,737.00			18.00
2 Totals Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

JSA
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Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www irs gov/form8949*

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
26. DTE ENERGY CO	VAR	10/22/2013	1,754.00	1,731.00			23.00
1. AVAGO TECHNOLOGIES LTD	05/31/2013	10/22/2013	47.00	38.00			9.00
15. AVAGO TECHNOLOGIES LTD	05/31/2013	10/22/2013	700.00	570.00			130.00
65. BAXTER INTL INC	VAR	10/23/2013	4,238.00	4,541.00			-303.00
117. CHENIERE ENERGY INC	VAR	10/23/2013	4,479.00	1,851.00			2,628.00
321. EATON CORP PLC	VAR	10/23/2013	21,743.00	21,374.00			369.00
37. EATON CORP PLC	08/05/2013	10/23/2013	2,506.00	2,455.00			51.00
1. AVAGO TECHNOLOGIES LTD	05/31/2013	10/23/2013	45.00	38.00			7.00
7. AVAGO TECHNOLOGIES LTD	05/31/2013	10/23/2013	318.00	266.00			52.00
2. AVAGO TECHNOLOGIES LTD	05/31/2013	10/23/2013	90.00	76.00			14.00
126. CAMERON INTERNATIONAL	11/05/2012	10/24/2013	6,794.00	6,556.00			238.00
6. CAMERON INTERNATIONAL C	11/05/2012	10/24/2013	325.00	312.00			13.00
223. EATON CORP PLC	VAR	10/24/2013	15,186.00	14,745.00			441.00
73. BROADCOM CORP CL A	11/19/2012	10/25/2013	1,930.00	2,264.00			-334.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

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Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

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You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.**		(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
160. BROADCOM CORP CL A	VAR	10/25/2013	4,232.00	4,931.00			-699.00
95. BROADCOM CORP CL A	11/16/2012	10/25/2013	2,522.00	2,856.00			-334.00
71. BROADCOM CORP CL A	VAR	10/25/2013	1,877.00	2,133.00			-256.00
1. AVAGO TECHNOLOGIES LTD	05/31/2013	10/25/2013	46.00	38.00			8.00
20. AVAGO TECHNOLOGIES LTD	05/31/2013	10/25/2013	910.00	760.00			150.00
6. AVAGO TECHNOLOGIES LTD	05/31/2013	10/25/2013	273.00	228.00			45.00
46. AVAGO TECHNOLOGIES LTD	05/31/2013	10/25/2013	2,097.00	1,747.00			350.00
45. AVAGO TECHNOLOGIES LTD	05/31/2013	10/28/2013	2,048.00	1,709.00			339.00
67. AVAGO TECHNOLOGIES LTD	05/31/2013	10/29/2013	3,079.00	2,544.00			535.00
1105. SPDR TR UNIT SER 1	07/31/2013	11/04/2013	195,410.00	186,391.00			9,019.00
56. DOW CHEM CO	10/22/2013	11/07/2013	2,188.00	2,328.00			-140.00
6. DOW CHEM CO	10/22/2013	11/07/2013	236.00	249.00			-13.00
15. DOW CHEM CO	10/22/2013	11/07/2013	588.00	624.00			-36.00
22. DOW CHEM CO	10/22/2013	11/08/2013	869.00	914.00			-45.00
2 Totals. *Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts)* Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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▶ **File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.**

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

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Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss** If you enter an amount in column (g), enter a code in column (f). **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
13. DOW CHEM CO	10/22/2013	11/11/2013	515.00	540.00			-25.00
29. DOW CHEM CO	10/22/2013	11/11/2013	1,147.00	1,205.00			-58.00
18. ARCHER DANIELS MIDLAND	05/17/2013	11/12/2013	727.00	628.00			99.00
16. DOW CHEM CO	10/22/2013	11/12/2013	629.00	665.00			-36.00
5. DOW CHEM CO	10/22/2013	11/12/2013	198.00	208.00			-10.00
25. ARCHER DANIELS MIDLAND	VAR	11/13/2013	1,023.00	871.00			152.00
17. ARCHER DANIELS MIDLAND	05/17/2013	11/13/2013	696.00	592.00			104.00
8. DOW CHEM CO	10/22/2013	11/13/2013	314.00	333.00			-19.00
117. DOW CHEM CO	VAR	11/13/2013	4,604.00	4,061.00			543.00
10. DOW CHEM CO	03/01/2013	11/13/2013	393.00	318.00			75.00
2. DOW CHEM CO	03/01/2013	11/13/2013	79.00	64.00			15.00
7. DOW CHEM CO	03/01/2013	11/13/2013	275.00	223.00			52.00
39. ARCHER DANIELS MIDLAND	VAR	11/14/2013	1,630.00	1,354.00			276.00
127. CITRIX SYS INC	12/12/2012	11/14/2013	7,002.00	8,213.00			-1,211.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

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☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) See the separate instructions (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
43. DOW CHEM CO	03/01/2013	11/14/2013	1,718.00	1,367.00			351.00
5. DOW CHEM CO	03/01/2013	11/14/2013	199.00	159.00			40.00
169. ASML HOLDING N.V.	VAR	11/14/2013	14,990.00	10,993.00			3,997.00
28. ARCHER DANIELS MIDLAND	VAR	11/15/2013	1,141.00	959.00			182.00
18. ARCHER DANIELS MIDLAND	05/17/2013	11/15/2013	730.00	614.00			116.00
68. DAVITA INC	09/20/2013	11/15/2013	4,008.00	4,014.00			-6.00
11. DAVITA INC	09/20/2013	11/15/2013	649.00	649.00			
112. DAVITA INC	VAR	11/15/2013	6,597.00	6,571.00			26.00
8. ARCHER DANIELS MIDLAND	05/17/2013	11/18/2013	327.00	273.00			54.00
1. ARCHER DANIELS MIDLAND	05/17/2013	11/18/2013	41.00	34.00			7.00
19. ARCHER DANIELS MIDLAND	05/17/2013	11/18/2013	777.00	648.00			129.00
17. ARCHER DANIELS MIDLAND	05/17/2013	11/19/2013	695.00	580.00			115.00
13. ARCHER DANIELS MIDLAND	05/17/2013	11/19/2013	532.00	444.00			88.00
2. ARCHER DANIELS MIDLAND	05/17/2013	11/19/2013	82.00	68.00			14.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions. Form **8949** (2013)

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Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

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☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions	 (g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
503. MICROSOFT CORP	VAR	11/19/2013	18,534.00	17,047.00			1,487.00
166. UNITEDHEALTH GROUP IN	VAR	11/19/2013	11,866.00	12,031.00			-165.00
37. ARCHER DANIELS MIDLAND	05/17/2013	11/20/2013	1,493.00	1,263.00			230.00
216. ARCHER DANIELS MIDLAN	VAR	11/21/2013	8,870.00	7,099.00			1,771.00
119. STRYKER CORP	VAR	11/25/2013	8,911.00	8,851.00			60.00
58. STRYKER CORP	VAR	11/26/2013	4,343.00	4,292.00			51.00
65. STRYKER CORP	VAR	11/26/2013	4,869.00	4,794.00			75.00
38. STRYKER CORP	VAR	11/27/2013	2,841.00	2,783.00			58.00
23. APPLIED MATLS INC	05/02/2013	12/13/2013	385.00	340.00			45.00
607. APPLIED MATLS INC	VAR	12/13/2013	10,137.00	8,879.00			1,258.00
987. APPLIED MATLS INC	VAR	12/13/2013	16,478.00	14,045.00			2,433.00
147. EMERSON ELEC CO	09/19/2013	12/13/2013	9,736.00	9,778.00			-42.00
5. LINKEDIN CORP - A	03/19/2013	12/13/2013	1,168.00	868.00			300.00
115. LINKEDIN CORP - A	VAR	12/13/2013	26,548.00	19,878.00			6,670.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

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Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

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☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
77. PENTAIR LTD SHS	10/23/2013	12/13/2013	5,399.00	4,999.00			400.00
392. APPLIED MATLS INC	VAR	12/16/2013	6,547.00	5,543.00			1,004.00
132. APPLIED MATLS INC	VAR	12/16/2013	2,205.00	1,862.00			343.00
16. EMERSON ELEC CO	09/19/2013	12/16/2013	1,071.00	1,064.00			7.00
45. PENTAIR LTD SHS	10/23/2013	12/16/2013	3,215.00	2,922.00			293.00
171. JOHNSON & JOHNSON	VAR	12/19/2013	15,682.00	15,775.00			-93.00
400. MICROSOFT CORP	09/11/2013	12/19/2013	14,554.00	13,132.00			1,422.00
323. PERRIGO CO	VAR	12/19/2013	49,165.00	49,540.00			-375.00
323. PERRIGO CO LTD		12/19/2013	1,012.00				1,012.00
65108.225 JPMORGAN CORE BO	08/16/2013	01/03/2014	747,442.00	752,000.00			-4,558.00
223. APACHE CORP	VAR	01/14/2014	19,107.00	18,443.00			664.00
565. MICROSOFT CORP	VAR	01/14/2014	20,195.00	18,506.00			1,689.00
98. APACHE CORP	04/04/2013	01/15/2014	8,332.00	7,331.00			1,001.00
14. APACHE CORP	04/04/2013	01/15/2014	1,198.00	1,047.00			151.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

JSA
3X2615 2 000

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶Information about Form 8949 and its separate instructions is at *www.irs gov/form8949.*

▶File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions	 (g) Amount of adjustment	**(h) Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
15. APACHE CORP	04/04/2013	01/15/2014	1,285.00	1,122.00			163.00
790. CISCO SYS INC	VAR	01/15/2014	18,016.00	19,405.00			-1,389.00
9. CISCO SYS INC	05/30/2013	01/15/2014	206.00	219.00			-13.00
26. INTERCONTINENTALEXCHAN INC	10/17/2013	01/15/2014	5,493.00	5,108.00			385.00
3. INTERCONTINENTALEXCHANG	10/17/2013	01/15/2014	634.00	589.00			45 00
2. MICROSOFT CORP	08/09/2013	01/15/2014	73.00	65.00			8.00
10. MICROSOFT CORP	08/09/2013	01/15/2014	367.00	327.00			40.00
3. MICROSOFT CORP	08/09/2013	01/15/2014	110.00	98.00			12.00
81. APACHE CORP	04/05/2013	01/16/2014	6,862.00	6,006.00			856.00
4. INTERCONTINENTALEXCHANG	10/17/2013	01/16/2014	840.00	786.00			54.00
1. INTERCONTINENTALEXCHANG	10/17/2013	01/16/2014	210.00	196.00			14.00
4. INTERCONTINENTALEXCHANG	10/17/2013	01/16/2014	845.00	786.00			59.00
2. INTERCONTINENTALEXCHANG	10/17/2013	01/16/2014	418.00	393.00			25.00
10. INTERCONTINENTALEXCHAN INC	10/17/2013	01/17/2014	2,079.00	1,965.00			114.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

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For Paperwork Reduction Act Notice, see your tax return instructions. Form **8949** (2013)

JSA
3X2615 2 000

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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▶File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

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Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	**(h) Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
12. INTERCONTINENTALEXCHAN INC	VAR	01/21/2014	2,517.00	2,235.00			282.00
1. INTERCONTINENTALEXCHANG	06/21/2013	01/21/2014	211.00	172.00			39.00
3. INTERCONTINENTALEXCHANG	06/21/2013	01/21/2014	629.00	516.00			113.00
3. INTERCONTINENTALEXCHANG	06/21/2013	01/21/2014	624.00	516.00			108.00
2. INTERCONTINENTALEXCHANG	06/21/2013	01/21/2014	416.00	344.00			72.00
6. INTERCONTINENTALEXCHANG	06/21/2013	01/22/2014	1,247.00	1,031.00			216.00
3. INTERCONTINENTALEXCHANG	06/21/2013	01/22/2014	624.00	516.00			108.00
84. ALEXION PHARMACEUTICAL	VAR	01/30/2014	13,572.00	9,526.00			4,046.00
21750.586 JPMORGAN INTERNA CURRENCY	09/24/2013	01/30/2014	237,299.00	242,737.00			-5,438.00
20096.322 PIMCO COMMODITYP	02/12/2013	01/30/2014	210,408.00	229,500.00			-19,092.00
149. AVAGO TECHNOLOGIES LT	VAR	01/31/2014	8,142.00	5,621.00			2,521.00
59. AVAGO TECHNOLOGIES LTD	VAR	02/03/2014	3,143.00	2,210.00			933.00
243. PHILLIPS 66	VAR	02/05/2014	17,196.00	16,184.00			1,012.00
168. PENTAIR LTD SHS	VAR	02/05/2014	12,566.00	8,779.00			3,787.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

JSA
3X2615 2 000

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www irs gov/form8949*.

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return: RENATE, HANS & MARIA HOFMANN TR P62429009

Social security number or taxpayer identification number: 13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
19. PENTAIR LTD SHS	02/07/2013	02/05/2014	1,419.00	960.00			459.00
190. COCA-COLA CO	12/19/2013	02/10/2014	7,254.00	7,565.00			-311.00
690. COCA-COLA CO	12/19/2013	02/10/2014	26,527.00	27,474.00			-947.00
197. MARATHON PETROLEUM CO	VAR	02/10/2014	16,226.00	17,156.00			-930.00
283. COCA-COLA CO	12/19/2013	02/11/2014	10,938.00	11,268.00			-330.00
1. PEPSICO INC	07/02/2013	02/14/2014	79.00	82.00			-3.00
77. PEPSICO INC	07/02/2013	02/14/2014	6,058.00	6,286.00			-228.00
10. PEPSICO INC	07/02/2013	02/14/2014	789.00	816.00			-27.00
213. WILLIAMS COS INC	09/19/2013	03/03/2014	8,799.00	7,838.00			961.00
131. NEXTERA ENERGY INC	10/17/2013	03/14/2014	12,370.00	10,766.00			1,604.00
32. NISOURCE INC	01/16/2014	03/14/2014	1,126.00	1,079.00			47.00
47. NISOURCE INC	VAR	03/14/2014	1,661.00	1,573.00			88.00
96. NISOURCE INC	VAR	03/14/2014	3,389.00	3,199.00			190.00
16. NISOURCE INC	01/15/2014	03/17/2014	566.00	531.00			35.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

JSA
3X2615 2 000

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ **Information about Form 8949 and its separate instructions is at** *www irs gov/form8949.*

▶ **File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.**

OMB No 1545-0074

2013

Attachment
Sequence No **12A**

Name(s) shown on return
RENATE, HANS & MARIA HOFMANN TR P62429009

Social security number or taxpayer identification number
13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

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☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions**		(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
33. NISOURCE INC	VAR	03/17/2014	1,167.00	1,095.00			72.00
61. NISOURCE INC	VAR	03/18/2014	2,176.00	1,959.00			217.00
4. NISOURCE INC	10/17/2013	03/18/2014	143.00	125.00			18.00
10. NISOURCE INC	10/17/2013	03/19/2014	356.00	312.00			44.00
4. NISOURCE INC	10/17/2013	03/19/2014	143.00	125.00			18.00
10. NISOURCE INC	10/17/2013	03/19/2014	350.00	312.00			38.00
18. NISOURCE INC	10/17/2013	03/20/2014	626.00	562.00			64.00
94. MCKESSON HBOC INC	VAR	03/26/2014	16,429.00	15,497.00			932.00
3. ALEXION PHARMACEUTICALS	09/11/2013	03/31/2014	454.00	339.00			115.00
1. ALEXION PHARMACEUTICALS	09/11/2013	03/31/2014	151.00	113.00			38.00
4. ALEXION PHARMACEUTICALS	09/11/2013	03/31/2014	606.00	452.00			154.00
14. ALEXION PHARMACEUTICAL	VAR	03/31/2014	2,126.00	1,545.00			581.00
19. ALEXION PHARMACEUTICAL	VAR	03/31/2014	2,836.00	2,091.00			745.00
1. ALEXION PHARMACEUTICALS	08/13/2013	03/31/2014	150.00	110.00			40.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

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3X2615 2 000

Form **8949** (2013)

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

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1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	**(h) Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
20. ALEXION PHARMACEUTICAL	VAR	03/31/2014	3,011.00	2,200.00			811.00
159. MCKESSON HBOC INC	VAR	04/03/2014	27,632.00	25,425.00			2,207.00
107. PHILLIPS 66	10/17/2013	04/03/2014	8,615.00	6,564.00			2,051.00
12. MCKESSON HBOC INC	11/20/2013	04/04/2014	2,090.00	1,897.00			193.00
100. QEP RESOURCES INC	10/29/2013	04/16/2014	3,167.00	3,343.00			-176.00
223. QEP RESOURCES INC	VAR	04/16/2014	7,051.00	7,397.00			-346.00
51. QEP RESOURCES INC	VAR	04/16/2014	1,611.00	1,681.00			-70.00
56. QEP RESOURCES INC	VAR	04/17/2014	1,803.00	1,828.00			-25.00
64. QEP RESOURCES INC	VAR	04/17/2014	2,059.00	2,066.00			-7.00
58. QEP RESOURCES INC	VAR	04/17/2014	1,874.00	1,865.00			9.00
128. QEP RESOURCES INC	VAR	04/21/2014	4,150.00	4,105.00			45.00
32. QEP RESOURCES INC	11/06/2013	04/21/2014	1,038.00	1,026.00			12.00
919. EMC CORP	VAR	04/22/2014	24,764.00	24,148.00			616.00
92. MERCK & CO INC	03/26/2014	04/22/2014	5,324.00	5,179.00			145.00
2 Totals Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

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Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

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OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return: RENATE, HANS & MARIA HOFMANN TR P62429009

Social security number or taxpayer identification number: 13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
55. QEP RESOURCES INC	VAR	04/22/2014	1,728.00	1,763.00			-35.00
1. QEP RESOURCES INC	11/06/2013	04/22/2014	31.00	32.00			-1.00
13. QEP RESOURCES INC	11/06/2013	04/22/2014	411.00	415.00			-4.00
13. QEP RESOURCES INC	11/06/2013	04/22/2014	410.00	415.00			-5.00
9. QEP RESOURCES INC	11/06/2013	04/22/2014	283.00	287.00			-4.00
23. QEP RESOURCES INC	11/06/2013	04/22/2014	727.00	735.00			-8.00
45. QEP RESOURCES INC	VAR	04/22/2014	1,416.00	1,436.00			-20.00
78. MERCK & CO INC	03/26/2014	04/23/2014	4,486.00	4,391.00			95.00
32. QEP RESOURCES INC	11/06/2013	04/23/2014	1,026.00	1,021.00			5.00
63. MERCK & CO INC	03/26/2014	04/24/2014	3,628.00	3,546.00			82.00
3. QEP RESOURCES INC	11/06/2013	04/24/2014	96.00	96.00			
63. MERCK & CO INC	03/26/2014	04/25/2014	3,602.00	3,546.00			56.00
19. QEP RESOURCES INC	11/06/2013	04/25/2014	593.00	606.00			-13.00
28. MERCK & CO INC	03/26/2014	04/28/2014	1,585.00	1,576.00			9.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions.

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Form **8949** (2013)

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ **Information about Form 8949 and its separate instructions is at** *www.irs.gov/form8949.*

▶ **File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.**

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return: RENATE, HANS & MARIA HOFMANN TR P62429009

Social security number or taxpayer identification number: 13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You ***must*** **check Box A, B,** ***or*** **C below. Check only one box.** If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
10. QEP RESOURCES INC	11/06/2013	04/28/2014	314.00	319.00			-5.00
713. CBS CORP	VAR	04/29/2014	40,968.00	37,606.00			3,362.00
158. MERCK & CO INC	VAR	04/29/2014	9,196.00	8,467.00			729.00
69. CONSTELLATION BRANDS I	03/21/2014	05/08/2014	5,487.00	5,750.00			-263.00
35. CONSTELLATION BRANDS I	03/21/2014	05/08/2014	2,774.00	2,917.00			-143.00
94. CONSTELLATION BRANDS I	03/21/2014	05/08/2014	7,475.00	7,833.00			-358.00
55. CONSTELLATION BRANDS I	03/21/2014	05/09/2014	4,346.00	4,583.00			-237.00
146. CONSTELLATION BRANDS	VAR	05/09/2014	11,577.00	12,077.00			-500.00
32. FREEPORT-MCMORAN COPPE B	VAR	05/21/2014	1,096.00	1,173.00			-77.00
27. FREEPORT-MCMORAN COPPE B	VAR	05/21/2014	925.00	989.00			-64.00
72. FREEPORT-MCMORAN COPPE B	VAR	05/21/2014	2,469.00	2,631.00			-162.00
57. FREEPORT-MCMORAN COPPE B	VAR	05/21/2014	1,953.00	2,077.00			-124.00
61. FREEPORT-MCMORAN COPPE B	10/22/2013	05/22/2014	2,095.00	2,221.00			-126.00
237. FREEPORT-MCMORAN COPP B	VAR	05/22/2014	8,140.00	8,316.00			-176.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶Information about Form 8949 and its separate instructions is at *www irs gov/form8949.*

▶File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions**		**(h) Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
16. FREEPORT-MCMORAN COPPE B	09/20/2013	05/23/2014	552.00	550.00			2.00
66. FREEPORT-MCMORAN COPPE B	VAR	05/23/2014	2,275.00	2,256.00			19.00
59. FREEPORT-MCMORAN COPPE B	VAR	05/23/2014	2,033.00	2,000.00			33.00
16. FREEPORT-MCMORAN COPPE B	09/18/2013	05/23/2014	550.00	541.00			9.00
145. FREEPORT-MCMORAN COPP B	VAR	05/23/2014	4,982.00	4,898.00			84.00
85. JOHNSON & JOHNSON	09/03/2013	05/29/2014	8,526.00	7,331.00			1,195.00
27. UNITED TECHNOLOGIES CO	10/23/2013	05/29/2014	3,134.00	2,868.00			266.00
5. UNITED TECHNOLOGIES COR	10/23/2013	05/29/2014	582.00	531.00			51.00
45. UNITED TECHNOLOGIES CO	10/23/2013	05/29/2014	5,234.00	4,780.00			454.00
2. UNITED TECHNOLOGIES COR	10/23/2013	05/30/2014	233.00	212.00			21.00
13. UNITED TECHNOLOGIES CO	10/23/2013	05/30/2014	1,511.00	1,381.00			130.00
4. UNITED TECHNOLOGIES COR	10/23/2013	05/30/2014	464.00	425.00			39.00
89. FLUOR CORP	09/19/2013	06/17/2014	6,926.00	6,353.00			573.00
246. FLUOR CORP	VAR	06/18/2014	18,912.00	16,500.00			2,412.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			3,921,984.	4,070,946.			-148,962.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	**Social security number or taxpayer identification number**
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
325000. HSBC BREN EAFE 0	06/15/2012	07/03/2013	396,842.00	321,750.00			75,092.00
7. INVESCO LTD SHS	05/31/2012	07/11/2013	224.00	150.00			74.00
6. INVESCO LTD SHS	05/31/2012	07/12/2013	192.00	129.00			63.00
1. INVESCO LTD SHS	05/31/2012	07/12/2013	32.00	21.00			11.00
1. INVESCO LTD SHS	05/31/2012	07/12/2013	32.00	21.00			11.00
58. INVESCO LTD SHS	05/31/2012	07/12/2013	1,850.00	1,245.00			605.00
25. PHILIP MORRIS INTERNAT	06/21/2012	07/15/2013	2,244.00	2,143.00			101.00
40. TIME WARNER INC	02/08/2008	07/15/2013	2,458.00	1,306.00			1,152.00
11. TIME WARNER INC	02/08/2008	07/15/2013	677.00	359.00			318.00
8. INVESCO LTD SHS	05/31/2012	07/15/2013	256.00	172.00			84.00
14. INVESCO LTD SHS	05/31/2012	07/15/2013	446.00	301.00			145.00
1. INVESCO LTD SHS	05/31/2012	07/15/2013	32.00	21.00			11.00
1. INVESCO LTD SHS	05/31/2012	07/15/2013	32.00	21.00			11.00
4. INVESCO LTD SHS	VAR	07/15/2013	127.00	85.00			42.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)
RENATE, HANS & MARIA HOFMANN TR P62429009

Social security number or taxpayer identification number
13-7102172

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☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	 (g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
100. TIME WARNER INC	02/08/2008	07/16/2013	6,119.00	3,265.00			2,854.00
2. INVESCO LTD SHS	10/28/2011	07/16/2013	64.00	42.00			22.00
1. INVESCO LTD SHS	10/28/2011	07/16/2013	32.00	21.00			11.00
9. INVESCO LTD SHS	10/28/2011	07/16/2013	287.00	189.00			98.00
8. INVESCO LTD SHS	10/28/2011	07/16/2013	255.00	168.00			87.00
3. INVESCO LTD SHS	10/28/2011	07/16/2013	96.00	63.00			33.00
6. INVESCO LTD SHS	10/28/2011	07/17/2013	192.00	126.00			66.00
35. AMAZON COM INC	VAR	07/18/2013	10,649.00	4,477.00			6,172.00
10. INVESCO LTD SHS	10/28/2011	07/18/2013	325.00	209.00			116.00
16. INVESCO LTD SHS	10/28/2011	07/18/2013	521.00	335.00			186.00
10. INVESCO LTD SHS	10/28/2011	07/18/2013	326.00	209.00			117.00
3. INVESCO LTD SHS	10/28/2011	07/18/2013	98.00	63.00			35.00
1. WALTER INDS INC	02/02/2012	07/19/2013	14.00	74.00			-60.00
2. INVESCO LTD SHS	10/28/2011	07/19/2013	65.00	42.00			23.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Form 8949 (2013) Attachment Sequence No **12A** Page **2**

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

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☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
3. INVESCO LTD SHS	10/28/2011	07/19/2013	97.00	63.00			34.00
20. INVESCO LTD SHS	10/28/2011	07/19/2013	648.00	419.00			229.00
5. INVESCO LTD SHS	10/28/2011	07/19/2013	161.00	105.00			56.00
5. INVESCO LTD SHS	10/28/2011	07/19/2013	161.00	105.00			56.00
20. WALTER INDS INC	VAR	07/22/2013	272.00	1,456.00			-1,184.00
4. WALTER INDS INC	02/10/2012	07/22/2013	54.00	286.00			-232.00
17. WALTER INDS INC	02/10/2012	07/22/2013	228.00	1,218.00			-990.00
11. WALTER INDS INC	02/10/2012	07/22/2013	149.00	788.00			-639.00
2. WALTER INDS INC	02/10/2012	07/22/2013	27.00	143.00			-116.00
8. INVESCO LTD SHS	10/28/2011	07/22/2013	263.00	168.00			95.00
4. INVESCO LTD SHS	10/28/2011	07/22/2013	131.00	84.00			47.00
8. INVESCO LTD SHS	10/28/2011	07/22/2013	263.00	168.00			95.00
6. INVESCO LTD SHS	10/28/2011	07/22/2013	198.00	126.00			72.00
3. INVESCO LTD SHS	10/28/2011	07/22/2013	99.00	63.00			36.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Form 8949 (2013) Attachment Sequence No **12A** Page **2**

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	**Social security number or taxpayer identification number**
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

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☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions**		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
6. INVESCO LTD SHS	10/28/2011	07/22/2013	198.00	126.00			72.00
1. INVESCO LTD SHS	10/28/2011	07/22/2013	33.00	21.00			12.00
4. INVESCO LTD SHS	10/28/2011	07/22/2013	131.00	84.00			47.00
65. WALTER INDS INC	02/10/2012	07/23/2013	927.00	4,655.00			-3,728.00
3. WALTER INDS INC	02/01/2012	07/23/2013	42.00	214.00			-172.00
8. WALTER INDS INC	02/01/2012	07/23/2013	112.00	570.00			-458.00
15. WALTER INDS INC	02/01/2012	07/23/2013	217.00	1,068.00			-851.00
41. WALTER INDS INC	02/01/2012	07/23/2013	587.00	2,920.00			-2,333.00
32. WALTER INDS INC	02/01/2012	07/23/2013	444.00	2,279.00			-1,835.00
24. WALTER INDS INC	02/01/2012	07/23/2013	345.00	1,709.00			-1,364.00
8. WALTER INDS INC	02/01/2012	07/23/2013	115.00	570.00			-455.00
19. WALTER INDS INC	02/01/2012	07/24/2013	232.00	1,353.00			-1,121.00
50. WALTER INDS INC	02/01/2012	07/24/2013	601.00	3,561.00			-2,960.00
. MALLINCKRODT PUB LTD CO		07/29/2013	33.00				33.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Form 8949 (2013) Attachment Sequence No **12A** Page **2**

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)	**Social security number or taxpayer identification number**
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

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1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo., day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions	 (g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
650000. BNP REN SPX 07/31/	07/13/2012	07/31/2013	783,250.00	643,500.00			139,750.00
76691.231 EATON VANCE MUT	04/28/2011	07/31/2013	704,026.00	697,890.00			6,136.00
18281.022 JPMORGAN INTERNA CURRENCY	12/29/2009	07/31/2013	202,371.00	196,612.00			5,759.00
19667.124 VIRTUS EMERGING	07/24/2012	07/31/2013	192,934.00	181,331.00			11,603.00
9. CAREFUSION CORPORATION	VAR	08/02/2013	324.00	233.00			91.00
88. CAREFUSION CORPORATION	VAR	08/02/2013	3,174.00	2,273.00			901.00
484. CAREFUSION CORPORATIO	VAR	08/02/2013	17,599.00	12,210.00			5,389.00
1. COVIDIEN PLC NEW	08/18/2011	08/08/2013	64.00	48.00			16.00
13. COVIDIEN PLC NEW	08/18/2011	08/08/2013	831.00	628.00			203.00
4. COVIDIEN PLC NEW	08/18/2011	08/08/2013	255.00	193.00			62.00
18. APPLE COMPUTER INC	VAR	08/09/2013	8,186.00	7,234.00			952.00
30. APPLE COMPUTER INC	08/18/2011	08/09/2013	13,748.00	10,843.00			2,905.00
8. APPLE COMPUTER INC	08/18/2011	08/09/2013	3,662.00	2,891.00			771.00
18. APPLE COMPUTER INC	VAR	08/09/2013	8,220.00	6,492.00			1,728.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Form **8949** (2013)

Form 8949 (2013) Attachment Sequence No **12A** Page **2**

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	**Social security number or taxpayer identification number**
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

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1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions**		(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
252. VERIZON COMMUNICATION	VAR	08/09/2013	12,390.00	9,325.00			3,065.00
1. COVIDIEN PLC NEW	08/18/2011	08/09/2013	64.00	48.00			16.00
2. COVIDIEN PLC NEW	08/18/2011	08/09/2013	127.00	97.00			30.00
8. COVIDIEN PLC NEW	08/18/2011	08/09/2013	509.00	387.00			122.00
1. COVIDIEN PLC NEW	08/18/2011	08/12/2013	64.00	48.00			16.00
8. COVIDIEN PLC NEW	08/18/2011	08/12/2013	511.00	387.00			124.00
7. COVIDIEN PLC NEW	08/18/2011	08/12/2013	446.00	338.00			108.00
4. COVIDIEN PLC NEW	08/18/2011	08/13/2013	254.00	193.00			61.00
9. COVIDIEN PLC NEW	08/18/2011	08/13/2013	572.00	435.00			137.00
13. COVIDIEN PLC NEW	08/18/2011	08/13/2013	826.00	628.00			198.00
1. COVIDIEN PLC NEW	08/18/2011	08/13/2013	64.00	48.00			16.00
1. COVIDIEN PLC NEW	08/18/2011	08/13/2013	64.00	48.00			16.00
1. COVIDIEN PLC NEW	08/18/2011	08/14/2013	63.00	48.00			15.00
3. COVIDIEN PLC NEW	08/18/2011	08/14/2013	191.00	145.00			46.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Form **8949** (2013)

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

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1. COVIDIEN PLC NEW	08/18/2011	08/14/2013	64.00	48.00			16.00
6. COVIDIEN PLC NEW	08/18/2011	08/14/2013	381.00	290.00			91.00
9. COVIDIEN PLC NEW	08/18/2011	08/14/2013	569.00	435.00			134.00
227. TYCO INTERNATIONAL LT	VAR	08/14/2013	7,826.00	5,902.00			1,924.00
5. COVIDIEN PLC NEW	VAR	08/15/2013	310.00	205.00			105.00
1. COVIDIEN PLC NEW	12/01/2010	08/15/2013	62.00	39.00			23.00
1. COVIDIEN PLC NEW	12/01/2010	08/15/2013	62.00	39.00			23.00
61. MALLINCKRODT PUB LTD C	VAR	08/15/2013	2,627.00	1,725.00			902.00
428. TYCO INTERNATIONAL LT	VAR	08/15/2013	14,617.00	8,798.00			5,819.00
123. TYCO INTERNATIONAL LT	VAR	08/15/2013	4,205.00	2,258.00			1,947.00
63976.378 VANGUARD FXD INC TM CORP*	09/01/2011	08/16/2013	621,211.00	650,000.00			-28,789.00
202. TYCO INTERNATIONAL LT	06/01/2010	08/16/2013	6,903.00	3,661.00			3,242.00
17. COVIDIEN PLC NEW	12/01/2010	08/19/2013	1,037.00	666.00			371.00
14. COVIDIEN PLC NEW	12/01/2010	08/20/2013	855.00	548.00			307.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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RENATE, HANS & MARIA HOFMANN TR P62429009

Social security number or taxpayer identification number
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1 (a) Description of property (Example 100 sh. XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
1. COVIDIEN PLC NEW	12/01/2010	08/20/2013	61.00	39.00			22.00
7. COVIDIEN PLC NEW	12/01/2010	08/20/2013	427.00	274.00			153.00
1. COVIDIEN PLC NEW	12/01/2010	08/21/2013	61.00	39.00			22.00
1. COVIDIEN PLC NEW	12/01/2010	08/21/2013	61.00	39.00			22.00
8. COVIDIEN PLC NEW	12/01/2010	08/22/2013	486.00	313.00			173.00
12. COVIDIEN PLC NEW	12/01/2010	08/22/2013	727.00	470.00			257.00
4. COVIDIEN PLC NEW	12/01/2010	08/23/2013	243.00	157.00			86.00
9. COVIDIEN PLC NEW	12/01/2010	08/23/2013	548.00	352.00			196.00
13. COVIDIEN PLC NEW	12/01/2010	08/23/2013	788.00	509.00			279.00
3. COVIDIEN PLC NEW	12/01/2010	08/26/2013	184.00	117.00			67.00
5. COVIDIEN PLC NEW	VAR	08/26/2013	306.00	190.00			116.00
7. COVIDIEN PLC NEW	12/17/2008	08/26/2013	427.00	234.00			193.00
4. COVIDIEN PLC NEW	12/17/2008	08/27/2013	240.00	134.00			106.00
6. COVIDIEN PLC NEW	12/17/2008	08/27/2013	360.00	201.00			159.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Form 8949 (2013) Attachment Sequence No **12A** Page **2**

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side): RENATE, HANS & MARIA HOFMANN TR P62429009

Social security number or taxpayer identification number: 13-7102172

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					(f) Code(s) from instructions	(g) Amount of adjustment	
11. COVIDIEN PLC NEW	12/17/2008	08/28/2013	658.00	368.00			290.00
3. COVIDIEN PLC NEW	12/17/2008	08/28/2013	179.00	100.00			79.00
. INTERNATIONAL GAME TECHN		08/29/2013	54.00				54.00
1. COVIDIEN PLC NEW	12/17/2008	08/29/2013	60.00	33.00			27.00
1. COVIDIEN PLC NEW	12/17/2008	08/29/2013	60.00	33.00			27.00
3. COVIDIEN PLC NEW	12/17/2008	08/29/2013	181.00	100.00			81.00
20. COVIDIEN PLC NEW	12/17/2008	08/29/2013	1,205.00	669.00			536.00
2. COVIDIEN PLC NEW	12/17/2008	08/30/2013	119.00	67.00			52.00
4. COVIDIEN PLC NEW	12/17/2008	08/30/2013	238.00	134.00			104.00
210. CARDINAL HEALTH INC	VAR	09/03/2013	10,563.00	7,020.00			3,543.00
123. CARDINAL HEALTH INC	VAR	09/03/2013	6,173.00	4,078.00			2,095.00
49. CARDINAL HEALTH INC	VAR	09/03/2013	2,457.00	1,585.00			872.00
211. VERIZON COMMUNICATION	VAR	09/03/2013	9,657.00	7,188.00			2,469.00
22. COVIDIEN PLC NEW	12/17/2008	09/03/2013	1,314.00	736.00			578.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Form **8949** (2013)

Form 8949 (2013) Attachment Sequence No **12A** Page **2**

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	**Social security number or taxpayer identification number**
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

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1 **(a)** Description of property (Example 100 sh XYZ Co)	**(b)** Date acquired (Mo , day, yr)	**(c)** Date sold or disposed (Mo , day, yr)	**(d)** Proceeds (sales price) (see instructions)	**(e)** Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions**		**(h)** **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	**(g)** Amount of adjustment	
11. COVIDIEN PLC NEW	12/17/2008	09/03/2013	655.00	368.00			287.00
125. CARDINAL HEALTH INC	VAR	09/04/2013	6,306.00	3,283.00			3,023.00
15. CARDINAL HEALTH INC	06/08/2009	09/04/2013	754.00	329.00			425.00
8. CARDINAL HEALTH INC	06/08/2009	09/04/2013	405.00	175.00			230.00
7. COVIDIEN PLC NEW	VAR	09/04/2013	425.00	233.00			192.00
11. COVIDIEN PLC NEW	12/17/2008	09/04/2013	670.00	365.00			305.00
4. COVIDIEN PLC NEW	12/17/2008	09/04/2013	240.00	133.00			107.00
38. COVIDIEN PLC NEW	12/17/2008	09/04/2013	2,306.00	1,259.00			1,047.00
4. COVIDIEN PLC NEW	12/17/2008	09/04/2013	241.00	133.00			108.00
4. COVIDIEN PLC NEW	12/17/2008	09/04/2013	239.00	133.00			106.00
145. CARDINAL HEALTH INC	06/08/2009	09/05/2013	7,304.00	3,176.00			4,128.00
10. COVIDIEN PLC NEW	12/17/2008	09/05/2013	607.00	331.00			276.00
3. COVIDIEN PLC NEW	12/17/2008	09/05/2013	182.00	99.00			83.00
20. COVIDIEN PLC NEW	12/17/2008	09/06/2013	1,218.00	663.00			555.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Form **8949** (2013)

JSA
3X2616 2 000

Form 8949 (2013) Attachment Sequence No **12A** Page **2**

Name(s) shown on return. (Name and SSN or taxpayer identification no not required if shown on other side)	**Social security number or taxpayer identification number**
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

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1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions**		(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
17. COVIDIEN PLC NEW	VAR	09/09/2013	1,035.00	560.00			475.00
1. COVIDIEN PLC NEW	06/05/2009	09/09/2013	61.00	33.00			28.00
10. COVIDIEN PLC NEW	06/05/2009	09/09/2013	611.00	329.00			282.00
16. COVIDIEN PLC NEW	06/05/2009	09/10/2013	982.00	526.00			456.00
6. COVIDIEN PLC NEW	06/05/2009	09/10/2013	369.00	197.00			172.00
17. APPLE COMPUTER INC	VAR	09/11/2013	7,964.00	5,259.00			2,705.00
6. CELGENE CORP.	03/30/2011	09/11/2013	884.00	341.00			543.00
5. CELGENE CORP.	08/18/2011	09/11/2013	743.00	271.00			472.00
46. CELGENE CORP.	08/18/2011	09/11/2013	6,847.00	2,496.00			4,351.00
1. COVIDIEN PLC NEW	06/05/2009	09/11/2013	62.00	33.00			29.00
1. COVIDIEN PLC NEW	06/05/2009	09/11/2013	62.00	33.00			29.00
3. COVIDIEN PLC NEW	06/05/2009	09/11/2013	186.00	99.00			87.00
9. COVIDIEN PLC NEW	06/05/2009	09/11/2013	556.00	296.00			260.00
18. COVIDIEN PLC NEW	06/05/2009	09/12/2013	1,108.00	592.00			516.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Form **8949** (2013)

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RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

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1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a *code* in column (f) **See the separate instructions**		(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
9. COVIDIEN PLC NEW	06/05/2009	09/12/2013	553.00	296.00			257.00
2. COVIDIEN PLC NEW	06/05/2009	09/12/2013	124.00	66.00			58.00
6. COVIDIEN PLC NEW	06/05/2009	09/12/2013	369.00	197.00			172.00
250000. BARC 93% PPN CURRE 9/13/13	09/09/2011	09/13/2013	232,500.00	257,520.00			-25,020.00
94. COSTCO WHSL CORP NEW	06/15/2012	09/13/2013	11,020.00	8,562.00			2,458.00
22. COSTCO WHSL CORP NEW	06/15/2012	09/13/2013	2,595.00	2,004.00			591.00
1. COVIDIEN PLC NEW	06/05/2009	09/13/2013	61.00	33.00			28.00
1. COVIDIEN PLC NEW	06/05/2009	09/13/2013	61.00	33.00			28.00
2. COVIDIEN PLC NEW	06/05/2009	09/13/2013	122.00	66.00			56.00
89. COSTCO WHSL CORP NEW	06/15/2012	09/16/2013	10,509.00	8,107.00			2,402.00
11. APPLE COMPUTER INC	06/16/2010	09/19/2013	5,205.00	2,928.00			2,277.00
539. LENNAR CORP	VAR	09/19/2013	19,545.00	7,588.00			11,957.00
4. TD AMERITRADE HOLDING C	05/31/2012	09/19/2013	106.00	68.00			38.00
1. TD AMERITRADE HOLDING C	05/31/2012	09/19/2013	27.00	17.00			10.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	**Social security number or taxpayer identification number**
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

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1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions**		**(h) Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
69. TD AMERITRADE HOLDING	05/31/2012	09/19/2013	1,831.00	1,165.00			666.00
104. TD AMERITRADE HOLDING	VAR	09/19/2013	2,759.00	1,689.00			1,070.00
23. TD AMERITRADE HOLDING	08/18/2010	09/19/2013	609.00	369.00			240.00
32. TD AMERITRADE HOLDING	08/18/2010	09/19/2013	847.00	513.00			334.00
20. TD AMERITRADE HOLDING	08/18/2010	09/19/2013	529.00	321.00			208.00
3. ENSCO PLC	07/18/2012	09/19/2013	169.00	150.00			19.00
27. ENSCO PLC	07/18/2012	09/19/2013	1,502.00	1,351.00			151.00
28. ENSCO PLC	VAR	09/19/2013	1,552.00	1,399.00			153.00
20. APPLE COMPUTER INC	06/16/2010	09/20/2013	9,442.00	5,324.00			4,118.00
9. TD AMERITRADE HOLDING C	08/18/2010	09/20/2013	240.00	144.00			96.00
16. TD AMERITRADE HOLDING	08/18/2010	09/20/2013	427 00	256.00			171.00
128. TD AMERITRADE HOLDING	VAR	09/20/2013	3,407.00	2,013.00			1,394.00
85. ENSCO PLC	07/18/2012	09/20/2013	4,674.00	4,241.00			433.00
350000. BNP BREN ASIA BSKT	09/14/2012	10/02/2013	396,459.00	346,500.00			49,959.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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590. TD AMERITRADE HOLDING	VAR	10/02/2013	15,427.00	8,894.00			6,533.00
250. TARGET CORP	VAR	10/02/2013	15,874.00	16,342.00			-468.00
350000. BARC PARTICIPATING	09/28/2012	10/09/2013	258,115.00	346,500.00			-88,385.00
45. AMAZON COM INC	08/13/2010	10/17/2013	13,919.00	5,670.00			8,249.00
345. INVESCO LTD SHS	VAR	10/17/2013	11,161.00	7,020.00			4,141.00
29. CHENIERE ENERGY INC	VAR	10/23/2013	1,110.00	462.00			648.00
115. CAMERON INTERNATIONAL	VAR	10/24/2013	6,242.00	6,354.00			-112.00
239. CAMERON INTERNATIONAL	VAR	10/24/2013	12,887.00	13,109.00			-222.00
599. BROADCOM CORP CL A	08/13/2012	10/25/2013	15,840.00	20,792.00			-4,952.00
3. BIOGEN IDEC INC	03/19/2010	10/31/2013	739.00	180.00			559.00
7. BIOGEN IDEC INC	03/19/2010	10/31/2013	1,726.00	420.00			1,306.00
1. BIOGEN IDEC INC	03/19/2010	10/31/2013	246.00	60.00			186.00
15. TARGET CORP	VAR	10/31/2013	984.00	973.00			11.00
13. TARGET CORP	VAR	10/31/2013	844.00	839.00			5.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Form 8949 (2013) Attachment Sequence No **12A** Page **2**

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7. TARGET CORP	09/18/2012	10/31/2013	459.00	449.00			10.00
28. TARGET CORP	VAR	10/31/2013	1,821.00	1,794.00			27.00
3. TARGET CORP	09/18/2012	10/31/2013	197.00	192.00			5.00
23. BIOGEN IDEC INC	03/19/2010	11/01/2013	5,583.00	1,379.00			4,204.00
1. BIOGEN IDEC INC	03/19/2010	11/01/2013	243.00	60.00			183.00
14. TARGET CORP	09/18/2012	11/01/2013	905.00	897.00			8.00
. WASHINGTON MUT INC		11/01/2013	137.00				137.00
. WASHINGTON MUT INC		11/01/2013	46.00				46.00
1. BIOGEN IDEC INC	03/19/2010	11/04/2013	243.00	60.00			183.00
3. BIOGEN IDEC INC	03/19/2010	11/04/2013	721.00	180.00			541.00
1. BIOGEN IDEC INC	03/19/2010	11/04/2013	240.00	60.00			180.00
2. BIOGEN IDEC INC	03/19/2010	11/04/2013	485.00	120.00			365.00
3. BIOGEN IDEC INC	03/19/2010	11/04/2013	725.00	180.00			545.00
39769.436 EATON VANCE MUT	VAR	11/04/2013	365,083.00	353,163.00			11,920.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Form **8949** (2013)

JSA
3X2616 2 000

Form 8949 (2013) Attachment Sequence No **12A** Page **2**

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RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

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1 (a) Description of property (Example. 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions	 (g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
5771.662 JPMORGAN LARGE CA FUND	01/28/2011	11/04/2013	172,515.00	120,224.00			52,291.00
43071.293 JPMORGAN HIGH YI FUND	08/22/2008	11/04/2013	354,046.00	320,450.00			33,596.00
1. TARGET CORP	09/18/2012	11/04/2013	65.00	64.00			1.00
30. TARGET CORP	09/18/2012	11/04/2013	1,954.00	1,922.00			32.00
2. BIOGEN IDEC INC	03/19/2010	11/05/2013	479.00	120.00			359.00
6. BIOGEN IDEC INC	03/19/2010	11/05/2013	1,463.00	360.00			1,103.00
1. BIOGEN IDEC INC	03/19/2010	11/05/2013	245.00	60.00			185.00
2. BIOGEN IDEC INC	03/19/2010	11/05/2013	484.00	120.00			364.00
19. TARGET CORP	VAR	11/05/2013	1,231.00	1,216.00			15.00
1. BIOGEN IDEC INC	03/19/2010	11/06/2013	241.00	60.00			181.00
1. BIOGEN IDEC INC	03/19/2010	11/06/2013	239.00	60.00			179.00
13. TARGET CORP	10/26/2012	11/06/2013	853.00	831.00			22.00
34. TARGET CORP	VAR	11/06/2013	2,224.00	2,160.00			64.00
33. TARGET CORP	VAR	11/07/2013	2,160.00	2,080.00			80.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Form **8949** (2013)

Form 8949 (2013) Attachment Sequence No **12A** Page **2**

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)

RENATE, HANS & MARIA HOFMANN TR P62429009

Social security number or taxpayer identification number

13-7102172

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

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Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh. XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo., day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions	 (g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
13. TARGET CORP	VAR	11/08/2013	843.00	818.00			25.00
27. TARGET CORP	10/25/2012	11/08/2013	1,752.00	1,699.00			53.00
17. TARGET CORP	VAR	11/11/2013	1,116.00	1,068.00			48.00
9. TARGET CORP	10/24/2012	11/11/2013	591.00	565.00			26.00
3. TARGET CORP	10/24/2012	11/11/2013	197.00	188.00			9.00
4. TARGET CORP	10/24/2012	11/12/2013	262.00	251.00			11.00
12. TARGET CORP	10/24/2012	11/12/2013	785.00	754.00			31.00
5. TARGET CORP	10/24/2012	11/12/2013	328.00	314.00			14.00
12. TARGET CORP	10/24/2012	11/12/2013	785.00	754.00			31.00
335. INVESCO LTD SHS	07/23/2010	11/12/2013	11,126.00	6,552.00			4,574.00
20. TARGET CORP	10/24/2012	11/13/2013	1,332.00	1,256.00			76.00
32. TARGET CORP	10/24/2012	11/13/2013	2,124.00	2,010.00			114.00
6. TARGET CORP	10/24/2012	11/13/2013	400.00	377.00			23.00
240. CITRIX SYS INC	VAR	11/14/2013	13,232.00	14,221.00			-989.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Form **8949** (2013)

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)
RENATE, HANS & MARIA HOFMANN TR P62429009

Social security number or taxpayer identification number
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☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.**		(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
32. TARGET CORP	VAR	11/14/2013	2,132.00	2,010.00			122.00
11. TARGET CORP	10/24/2012	11/14/2013	731.00	691.00			40.00
71. DAVITA INC	11/07/2012	11/15/2013	4,182.00	4,026.00			156.00
1. TARGET CORP	10/24/2012	11/15/2013	66.00	63.00			3.00
2. TARGET CORP	10/24/2012	11/15/2013	133.00	126.00			7.00
2. DAVITA INC	11/07/2012	11/18/2013	119.00	113.00			6.00
75. DAVITA INC	VAR	11/18/2013	4,477.00	4,229.00			248.00
2. DAVITA INC	10/23/2012	11/18/2013	120.00	111.00			9.00
7. DAVITA INC	10/23/2012	11/18/2013	416.00	390.00			26.00
48. DAVITA INC	VAR	11/19/2013	2,802.00	2,666.00			136 00
19. DAVITA INC	VAR	11/19/2013	1,111.00	1,053.00			58.00
2. DAVITA INC	10/19/2012	11/20/2013	117.00	111.00			6.00
23. DAVITA INC	VAR	11/20/2013	1,338.00	1,274.00			64.00
10. DAVITA INC	VAR	11/20/2013	581.00	552.00			29.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Social security number or taxpayer identification number
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☒ (F) Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
29. DAVITA INC	10/12/2012	11/21/2013	1,653.00	1,599.00			54.00
21. DAVITA INC	VAR	11/21/2013	1,197.00	1,154.00			43.00
2. DAVITA INC	VAR	11/21/2013	116.00	110.00			6.00
36. DAVITA INC	VAR	11/22/2013	2,030.00	1,973.00			57.00
2. DAVITA INC	10/15/2012	11/22/2013	113.00	110.00			3.00
9. DAVITA INC	VAR	11/22/2013	508.00	493.00			15.00
13. DAVITA INC	VAR	11/22/2013	732.00	711.00			21.00
32. DAVITA INC	VAR	11/25/2013	1,917.00	1,738.00			179.00
88. DAVITA INC	VAR	11/25/2013	5,366.00	4,765.00			601.00
9. DAVITA INC	VAR	11/25/2013	541.00	485.00			56.00
20. DAVITA INC	10/09/2012	11/25/2013	1,216.00	1,077.00			139.00
2. ENSCO PLC	07/18/2012	11/25/2013	119.00	100.00			19.00
6. ENSCO PLC	07/18/2012	11/25/2013	357.00	299.00			58.00
9. ENSCO PLC	07/18/2012	11/25/2013	537.00	449.00			88.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Form 8949 (2013) Attachment Sequence No **12A** Page **2**

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

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1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) **See the separate instructions** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
8. ENSCO PLC	VAR	11/25/2013	475.00	399.00			76.00
94. ENSCO PLC	VAR	11/25/2013	5,605.00	4,610.00			995.00
38. ENSCO PLC	07/03/2012	11/25/2013	2,251.00	1,846.00			405.00
16. ENSCO PLC	07/03/2012	11/25/2013	958.00	777.00			181.00
6. ENSCO PLC	07/03/2012	11/25/2013	359.00	291.00			68.00
3. ENSCO PLC	07/03/2012	11/26/2013	178.00	146.00			32.00
6. ENSCO PLC	07/03/2012	11/26/2013	354.00	291.00			63.00
3. ENSCO PLC	07/03/2012	11/26/2013	178.00	146.00			32.00
18. ENSCO PLC	07/03/2012	11/26/2013	1,065.00	874.00			191.00
91. ENSCO PLC	VAR	11/26/2013	5,398.00	4,418.00			980.00
22. ENSCO PLC	07/03/2012	11/26/2013	1,318.00	1,066.00			252.00
55. ENSCO PLC	VAR	11/26/2013	3,262.00	2,590.00			672.00
91. ENSCO PLC	07/02/2012	11/26/2013	5,450.00	4,257.00			1,193.00
6. ENSCO PLC	07/02/2012	11/27/2013	357.00	281.00			76.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Form **8949** (2013)

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RENATE, HANS & MARIA HOFMANN TR P62429009

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1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions**		(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
14. ENSCO PLC	07/02/2012	11/27/2013	836.00	655.00			181.00
16. ENSCO PLC	07/02/2012	11/27/2013	956.00	748.00			208.00
107. EOG RESOURCES INC	VAR	12/03/2013	17,681.00	8,312.00			9,369.00
22. OCCIDENTAL PETE CORP	VAR	12/05/2013	2,055.00	1,951.00			104.00
1. OCCIDENTAL PETE CORP	04/24/2012	12/05/2013	93.00	88.00			5.00
26. OCCIDENTAL PETE CORP	04/24/2012	12/05/2013	2,444.00	2,292.00			152.00
30. OCCIDENTAL PETE CORP	04/24/2012	12/06/2013	2,816.00	2,645.00			171.00
18. OCCIDENTAL PETE CORP	VAR	12/06/2013	1,667.00	1,559.00			108.00
16. OCCIDENTAL PETE CORP	VAR	12/06/2013	1,489.00	1,315.00			174.00
12. OCCIDENTAL PETE CORP	08/18/2011	12/09/2013	1,115.00	982.00			133.00
6. OCCIDENTAL PETE CORP	08/18/2011	12/09/2013	557.00	491.00			66.00
23. OCCIDENTAL PETE CORP	08/18/2011	12/09/2013	2,142.00	1,882.00			260.00
2. OCCIDENTAL PETE CORP	08/18/2011	12/09/2013	187.00	164.00			23.00
2. OCCIDENTAL PETE CORP	08/18/2011	12/09/2013	187.00	164.00			23.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Form 8949 (2013) Attachment Sequence No **12A** Page **2**

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Social security number or taxpayer identification number
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1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions**		(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
10. OCCIDENTAL PETE CORP	08/18/2011	12/09/2013	932.00	818.00			114.00
7. OCCIDENTAL PETE CORP	08/18/2011	12/09/2013	652.00	573.00			79.00
11. OCCIDENTAL PETE CORP	08/18/2011	12/09/2013	1,027.00	900.00			127.00
36. EMERSON ELEC CO	05/18/2011	12/16/2013	2,411.00	1,947.00			464.00
47. EMERSON ELEC CO	VAR	12/17/2013	3,131.00	2,470.00			661.00
6395. POWERSHARES DB COMMO TRACKING FUND	09/01/2011	12/18/2013	162,929.00	179,358.00			-16,429.00
53. EMERSON ELEC CO	02/28/2012	12/19/2013	3,604.00	2,675.00			929.00
495. MONDELEZ INTERNATIONA	VAR	12/19/2013	16,928.00	13,076.00			3,852.00
29084.688 JPMORGAN REALTY	08/27/2012	01/03/2014	325,458.00	340,000.00			-14,542.00
. WYETH		01/13/2014	85.00				85.00
5. MICROSOFT CORP	07/11/2002	01/15/2014	184.00	129.00			55.00
63. MICROSOFT CORP	07/11/2002	01/15/2014	2,310.00	1,625.00			685.00
31. MICROSOFT CORP	07/11/2002	01/15/2014	1,138.00	800.00			338.00
302. MICROSOFT CORP	07/11/2002	01/15/2014	11,049.00	7,790.00			3,259.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	Social security number or taxpayer identification number
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

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☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.**		(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
103. MICROSOFT CORP	07/11/2002	01/15/2014	3,777.00	2,657.00			1,120.00
70. MICROSOFT CORP	07/11/2002	01/15/2014	2,571.00	1,806.00			765.00
11899.895 JPMORGAN INTERNA CURRENCY	12/29/2009	01/30/2014	129,828.00	127,983.00			1,845.00
39660.447 PIMCO COMMODITYP	01/18/2013	01/30/2014	415,245 00	442,214.00			-26,969.00
44215.739 VIRTUS EMERGING	07/24/2012	01/30/2014	398,384.00	407,669.00			-9,285.00
139. PENTAIR LTD SHS	VAR	02/05/2014	10,377.00	4,737.00			5,640.00
96. PENTAIR LTD SHS	VAR	02/05/2014	7,182.00	2,638.00			4,544.00
13. PEPSICO INC	08/03/2012	02/14/2014	1,026.00	947.00			79.00
87. PEPSICO INC	VAR	02/18/2014	6,791.00	6,329.00			462.00
11. PEPSICO INC	07/31/2012	02/19/2014	851.00	800.00			51.00
12. PEPSICO INC	VAR	02/19/2014	935.00	872.00			63.00
7. PEPSICO INC	07/30/2012	02/19/2014	540.00	509.00			31.00
5. PEPSICO INC	07/30/2012	02/19/2014	388.00	364.00			24.00
. AMBAC FINL GROUP INC		02/26/2014	48.00				48.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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					(f) Code(s) from instructions	(g) Amount of adjustment	
1. ORACLE CORP	04/12/2012	03/03/2014	38.00	29.00			9.00
51. ORACLE CORP	04/12/2012	03/03/2014	1,970.00	1,460.00			510.00
224. ORACLE CORP	VAR	03/03/2014	8,618.00	6,117.00			2,501.00
139. WILLIAMS COS INC	VAR	03/03/2014	5,742.00	4,668.00			1,074.00
67. WILLIAMS COS INC	VAR	03/03/2014	2,771.00	2,239.00			532.00
375000. GS BREN MXEA 3/5/1	02/15/2013	03/05/2014	417,469.00	371,250.00			46,219.00
39. CELGENE CORP.	08/18/2011	03/14/2014	5,813.00	2,117.00			3,696.00
41. WILLIAMS COS INC	12/21/2012	03/14/2014	1,689.00	1,337.00			352.00
79. WILLIAMS COS INC	VAR	03/14/2014	3,259.00	2,426.00			833.00
32. WILLIAMS COS INC	05/05/2011	03/17/2014	1,325.00	804.00			521.00
. WACHOVIA CORP 2ND NEW		03/18/2014	38.00				38.00
40. WILLIAMS COS INC	VAR	03/18/2014	1,663.00	1,004.00			659.00
3. WILLIAMS COS INC	07/15/2011	03/18/2014	125 00	74.00			51.00
350000. DB MARKET PLUS SX5	09/14/2012	03/19/2014	405,319.00	345,625.00			59,694.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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					(f) Code(s) from instructions	(g) Amount of adjustment	
3. WILLIAMS COS INC	07/15/2011	03/19/2014	125.00	74.00			51.00
9. WILLIAMS COS INC	07/15/2011	03/19/2014	375.00	221.00			154.00
7. WILLIAMS COS INC	07/15/2011	03/19/2014	291.00	172.00			119.00
400. PEPSICO INC	VAR	03/20/2014	32,676.00	28,844.00			3,832.00
13. WILLIAMS COS INC	07/15/2011	03/20/2014	532.00	319.00			213.00
325000. SG BRENT CBEN 3/24	03/08/2013	03/24/2014	358,963.00	321,750.00			37,213.00
553. WILLIAMS COS INC	VAR	03/26/2014	22,242.00	12,942.00			9,300.00
20. WILLIAMS COS INC	08/18/2011	03/26/2014	810.00	415.00			395.00
28. BIOGEN IDEC INC	03/19/2010	03/31/2014	8,423.00	1,679.00			6,744.00
42. CELGENE CORP.	VAR	03/31/2014	5,907.00	2,249.00			3,658.00
. EL PASO CORP		04/02/2014	19.00				19.00
375000. SG BREN MXEA 04/02	03/15/2013	04/02/2014	414,375.00	371,250.00			43,125.00
156. CHENIERE ENERGY INC	VAR	04/03/2014	8,793.00	2,464.00			6,329.00
44. PHILLIPS 66	12/12/2012	04/03/2014	3,543.00	2,344.00			1,199.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Form 8949 (2013) Attachment Sequence No **12A** Page **2**

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	**Social security number or taxpayer identification number**
RENATE, HANS & MARIA HOFMANN TR P62429009	13-7102172

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1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.**		(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
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27. AUTOZONE INC	09/19/2012	04/07/2014	14,029.00	10,046.00			3,983.00
7. AUTOZONE INC	VAR	04/08/2014	3,612.00	2,441.00			1,171.00
104. ALLERGAN INC	01/19/2012	04/29/2014	17,203.00	9,047.00			8,156.00
65. LAM RESEARCH CORPORATI	VAR	04/29/2014	3,657.00	2,770.00			887.00
256. LAM RESEARCH CORPORAT	VAR	04/30/2014	14,714.00	10,873.00			3,841.00
375000. CS BREN MXEA 05/	04/12/2013	05/01/2014	415,500.00	371,250.00			44,250.00
23. ALLERGAN INC	VAR	05/08/2014	3,776.00	1,957.00			1,819.00
7. ALLERGAN INC	12/09/2011	05/08/2014	1,148.00	586.00			562.00
1. ALLERGAN INC	12/09/2011	05/08/2014	166.00	84.00			82.00
3. ALLERGAN INC	12/09/2011	05/08/2014	491.00	251.00			240.00
7. ALLERGAN INC	12/09/2011	05/09/2014	1,134.00	586.00			548.00
16. ALLERGAN INC	12/09/2011	05/09/2014	2,583.00	1,339.00			1,244.00
3. ALLERGAN INC	12/09/2011	05/09/2014	486.00	251.00			235.00
2. ALLERGAN INC	12/09/2011	05/09/2014	323.00	167.00			156.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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2. ALLERGAN INC	12/09/2011	05/09/2014	323.00	167.00			156.00
. JP MORGAN MULTI-MANAGER		05/09/2014	383.00				383.00
. JP MORGAN MULTI-MANAGER		05/09/2014	74.00				74.00
6. ALLERGAN INC	12/09/2011	05/12/2014	962.00	502.00			460.00
5. ALLERGAN INC	12/09/2011	05/12/2014	795.00	419.00			376.00
18. AUTOZONE INC	08/18/2011	05/22/2014	9,777.00	5,155.00			4,622.00
37. AUTOZONE INC	VAR	05/23/2014	20,027.00	10,585.00			9,442.00
5. UNITED TECHNOLOGIES COR	01/10/2013	05/30/2014	580.00	423.00			157.00
6. UNITED TECHNOLOGIES COR	01/10/2013	05/30/2014	697.00	507.00			190.00
10185.893 PARNASSUS EQTY I	05/31/2012	06/05/2014	400,000.00	277,464.00			122,536.00
36. METTLER TOLEDO INTERNA	VAR	06/10/2014	8,960.00	7,653.00			1,307.00
11. METTLER TOLEDO INTERNA	03/01/2013	06/11/2014	2,721.00	2,321.00			400.00
1. METTLER TOLEDO INTERNAT	03/01/2013	06/11/2014	248.00	211.00			37.00
412. ORACLE CORP	VAR	06/11/2014	17,541.00	10,803.00			6,738.00
2 Totals Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Form **8949** (2013)

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RENATE, HANS & MARIA HOFMANN TR P62429009

Social security number or taxpayer identification number
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38. ORACLE CORP	08/18/2011	06/11/2014	1,626.00	948.00			678.00
55. METTLER TOLEDO INTERNA	VAR	06/12/2014	13,553.00	11,503.00			2,050.00
19. METTLER TOLEDO INTERNA	04/22/2013	06/13/2014	4,691.00	3,837.00			854.00
236. TIME INC	VAR	06/17/2014	5,414.00	2,213.00			3,201.00
69. FLUOR CORP	12/21/2012	06/18/2014	5,305.00	4,062.00			1,243.00
57. TIME INC	VAR	06/18/2014	1,286.00	344.00			942.00
69719.121 JPMORGAN SHORT D FUND	12/11/2009	06/19/2014	760,636.00	760,636.00			
. TIME INC		06/25/2014	14.00				14.00
1. ARTWORK	01/01/2000	06/30/2014	1,606,875.00	249,927.00	C		1,356,948.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			11,800,114.	9,651,648.			2,148,466.

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JPMorgan Chase Bank, N.A.
270 Park Avenue, New York, NY 10017-2014

RENATE, HANS & MARIA HOFMANN TR ACCT P62429009
For the Period 6/1/14 to 6/30/14

Fiduciary Account

J.P. Morgan Team		
Julia Fowler	Banker	212/464-0354
John Powers	T & E Officer	212/464-0275
Sandra Knowles	T & E Administrator	212/464-2588
Alex Catterick	Portfolio Manager	212/464-2914
Melissa Crabtree	Client Service Team	800/657-1145
Michael Contento	Client Service Team	
Christopher Hill	Client Service Team	
Daniel Ives	Client Service Team	
Online access	**www jpmorganonline.com**	

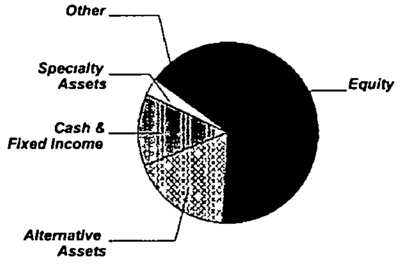
Please see disclosures located at the end of this statement package for important information relating to each J P Morgan account(s)

Account Summary

PRINCIPAL

Asset Allocation	Beginning Market Value	Ending Market Value	Change In Value	Estimated Annual Income	Current Allocation
Equity	27,853,930 85	28,276,808 88	422,878 03	450,157 02	67%
Alternative Assets	6,871,134 85	6,973,087 02	101,952 17	4,440 09	18%
Cash & Fixed Income	5,139,639 32	5,227,503 07	87,863 75	120,101 25	13%
Specialty Assets	1 00	1 00	0 00		1%
Other	2 00	2 00	0 00		1%
Market Value	**$39,864,708.02**	**$40,477,401.97**	**$612,693.95**	**$574,698.36**	**100%**

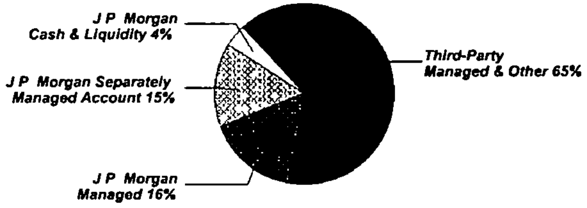
Asset Allocation



INCOME

Cash Position	Beginning Market Value	Ending Market Value	Change In Value
Cash Balance	31,681 26	17,595 07	(14,086 19)
Accruals	13,578 37	8,482 85	(5,095 52)
Market Value	**$45,259.63**	**$26,077.92**	**($19,181.71)**

Manager Allocation *



* - **J.P. Morgan Managed** includes (to the extent permitted under applicable law) mutual funds, other registered funds and hedge funds managed by J P Morgan and structured products issued by J P Morgan **J P. Morgan Separately Managed Account** includes fixed income, equity, and alternative separately managed accounts managed by J P Morgan **J.P. Morgan Cash & Liquidity Funds** includes cash, J P Morgan deposit sweeps and J P Morgan money market mutual funds **Third-Party Managed & Other** includes mutual funds, exchange traded funds, hedge funds, and separately managed accounts managed by parties other than J P. Morgan, structured products and exchange traded notes issued by parties other than J P Morgan, and other investments not managed or issued by J.P Morgan See important information about investment principles and conflicts in the disclosures section

Account Summary CONTINUED

	PRINCIPAL		INCOME	
Portfolio Activity	Current Period Value	Year-to-Date Value	Current Period Value	Year-to-Date Value
Beginning Market Value	**39,864,708 02**	**35,294,183.53**	**31,681.26**	**20,300.02**
Additions	192,700 00	1,624,371 93	963 53	846,101 46
Withdrawals & Fees	(49,628 41)	(1,667,300 31)	(66,098 54)	(1,465,869 38)
Net Additions/Withdrawals	**$143,071.59**	**($42,928.38)**	**($65,135.01)**	**($619,767.92)**
Income		1,195 08	51,048 82	617,062 87
Change In Investment Value	469,622 36	5,224,951 74		0 10
Ending Market Value	**$40,477,401.97**	**$40,477,401.97**	**$17,595.07**	**$17,595.07**
Accruals	--	--	8,482 85	8,482 85
Market Value with Accruals	**--**	**--**	**$26,077.92**	**$26,077.92**

Tax Summary	Current Period Value	Year-to-Date Value
Domestic Dividends/Distributions	50,368 59	608,390 90
Foreign Dividends	635 66	8,131 97
Interest Income	44 57	540 00
Original Issue Discount		1,195 08
Taxable Income	**$51,048.82**	**$618,257.95**

	Current Period Value	Year-to-Date Value
LT Capital Gain Distributions		385,417 35
ST Realized Gain/Loss	2,985 22	(149,988 04)
LT Realized Gain/Loss	139,998 81	778,207 10
Realized Gain/Loss	**$142,984.03**	**$1,013,636.41**

	To-Date Value
Unrealized Gain/Loss	**$7,365,867.83**

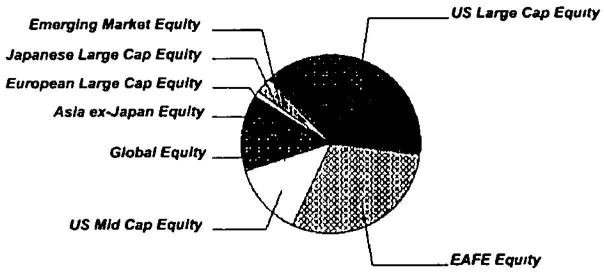
Account Summary CONTINUED

Cost Summary	Cost
Equity	20,965,458 56
Cash & Fixed Income	5,252,543 79
Other	1 00
Total	**$26,218,003.35**

Equity Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
US Large Cap Equity	11,443,593 08	11,722,383 73	278,790 65	26%
US Mid Cap Equity	3,394,690 06	3,493,776 31	99,086 25	9%
EAFE Equity	8,218,585 96	8,209,307 23	(9,278 73)	20%
European Large Cap Equity	812,314 58	798,593 95	(13,720 63)	2%
Japanese Large Cap Equity	200,603 62	211,066.39	10,462 77	1%
Asia ex-Japan Equity	1,299,921 45	1,306,293 62	6,372 17	3%
Emerging Market Equity	321,822 34	333,502 61	11,680 27	1%
Global Equity	2,162,399 76	2,201,885 04	39,485 28	5%
Total Value	**$27,853,930.85**	**$28,276,808.88**	**$422,878.03**	**67%**

Asset Categories



Market Value/Cost	Current Period Value
Market Value	28,276,808 88
Tax Cost	20,965,458 56
Unrealized Gain/Loss	7,311,350 32
Estimated Annual Income	450,157 02
Accrued Dividends	6,108 50
Yield	1 59%

Equity as a percentage of your portfolio - 67 %

Equity Detail

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
ACCENTURE PLC-CL A G1151C-10-1 ACN	80 84	556 000	44,947 04	45,218 46	(271 42)	1,034 16	2 30%
ACE LTD NEW H0023R-10-5 ACE	103 70	805 000	83,478 50	48,546 99	34,931 51	2,093 00	2 51%
ADOBE SYSTEMS INC 00724F-10-1 ADBE	72 36	701 000	50,724 36	24,959 41	25,764 95		
ALEXION PHARMACEUTICALS INC 015351-10-9 ALXN	156 25	193 000	30,156 25	19,177 40	10,978 85		
ALLERGAN INC 018490-10-2 AGN	169 22	137 000	23,183 14	11,468 83	11,714 31	27 40	0 12%
ALLIANCE DATA SYSTEMS CORP 018581-10-8 ADS	281 25	117 000	32,906 25	20,662 33	12,243 92		
AMAZON COM INC 023135-10-6 AMZN	324 78	173 000	56,186 94	32,560 25	23,626 69		
ANADARKO PETROLEUM CORP 032511-10-7 APC	109 47	694 000	75,972.18	51,480 21	24,491 97	749 52	0 99%
APPLE INC. 037833-10-0 AAPL	92 93	2,492 000	231,581 56	62,662 48	168,919 08	4,684 96	2 02%
ARCHER DANIELS MIDLAND CO 039483-10-2 ADM	44 11	792 000	34,935 12	28,525 48	6,409 64	760 32	2 18%
AVAGO TECHNOLOGIES LTD Y0486S-10-4 AVGO	72 07	1,656 000	119,347 92	56,010 11	63,337 81	1,920 96	1 61%
BANK OF AMERICA CORP 060505-10-4 BAC	15 37	7,555 000	116,120 35	95,035 68	21,084 67	302 20	0 26%

US Large Cap Equity

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
BARC REN SPX 09/04/14 2 XLEV- 7 85%CAP- 15 70% MAXPYMT INITIAL LEVEL-08/16/13 SPX.1655 83 06741T-D4-6	115 16	475,000 000	547,010 00	470,250 00	76,760 00		
BIOGEN IDEC INC 09062X-10-3 BIIB	315 31	247 000	77,881 57	23,040 45	54,841 12		
BOSTON SCIENTIFIC CORP 101137-10-7 BSX	12 77	3,460 000	44,184 20	45,671 57	(1,487 37)		
BRISTOL MYERS SQUIBB CO 110122-10-8 BMY	48 51	2,098 000	101,773 98	85,722 66	16,051.32	3,021 12	2 97%
CAPITAL ONE FINANCIAL CORP 14040H-10-5 COF	82 60	448 000	37,004 80	23,992 32	13,012 48	537 60	1 45%
CELGENE CORPORATION 151020-10-4 CELG	85 88	756 000	64,925 28	23,917 51	41,007 77		
CERNER CORP 156782-10-4 CERN	51 58	579 000	29,864 82	25,384 04	4,480 78		
CHENIERE ENERGY INC 16411R-20-8 LNG	71 70	423 000	30,329 10	6,620 66	23,708 44		
CISCO SYSTEMS INC 17275R-10-2 CSCO	24 85	2,849 000	70,797 65	48,460 77	22,336 88	2,165 24	3 06%
CITIGROUP INC NEW 172967-42-4 C	47 10	2,056 000	96,837 60	71,701 07	25,136 53	82 24	0 08%
COLGATE PALMOLIVE CO 194162-10-3 CL	68 18	724 000	49,362 32	44,683 86	4,678 46	1,042 56	2 11%
COMCAST CORP CL A 20030N-10-1 CMCS A	53 68	2,171 000	116,539 28	51,826 60	64,712 68	1,953 90 976 96	1 68%

US Large Cap Equity

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
COSTCO WHOLESALE CORP 22160K-10-5 COST	115 16	235 000	27,062 60	28,559 42	(1,496 82)	333 70	1 23%
CS CYCLICAL SECT REL PERF NT 2/25/15 1 09 XLEV BASKET UPSIDE- UNCAPPED 1 1 SPX DOWNSIDE 2/7/14 SPX 1797 02 BSKT IXM 212 50 IXI 504 93 IXT 351 01 22547Q-HK-5	107 81	400,000 000	431,238 40	396,000.00	35,238 40		
CSX CORP 126408-10-3 CSX	30 81	1,748 000	53,855 88	37,720 04	16,135 84	1,118 72	2 08%
CVS CAREMARK CORPORATION 126650-10-0 CVS	75 37	691 000	52,080 67	43,581 99	8,498 68	760 10	1 46%
DISH NETWORK CORP CL A 25470M-10-9 DISH	65 08	820 000	53,365 60	30,684 04	22,681 56		
DOMINION RESOURCES INC VA 25746U-10-9 D	71 52	482 000	34,472 64	33,774 82	697 82	1,156 80	3 36%
DOW CHEMICAL CO 260543-10-3 DOW	51 46	1,259 000	64,788 14	38,695 51	26,092 63	1,863 32 465 83	2 88%
EATON CORP PLC G29183-10-3 ETN	77 18	772 000	59,582 96	56,472 91	3,110 05	1,513 12	2 54%
EBAY INC 278642-10-3 EBAY	50 06	1,266 000	63,375 96	68,957 74	(5,581 78)		
EMERSON ELECTRIC CO 291011-10-4 EMR	66.36	677 000	44,925 72	31,796 55	13,129 17	1,164 44	2 59%
EOG RESOURCES INC 26875P-10-1 EOG	116 86	368 000	43,004 48	19,155 31	23,849.17	184 00	0 43%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
EXXON MOBIL CORP 30231G-10-2 XOM	100 68	1,370 000	137,931 60	85,833 62	52,097.98	3,781 20	2 74 %
FLOWSERVE CORP 34354P-10-5 FLS	74 35	404 000	30,037 40	31,077 25	(1,039 85)	258 56 64.64	0 86 %
FLUOR CORP 343412-10-2 FLR	76 90	858 000	65,980 20	44,129 97	21,850 23	720 72 265 02	1 09 %
FREEPORT-MCMORAN COPPER & GOLD INC CL B 35671D-85-7 FCX	36 50	1,084 000	39,566 00	35,192 88	4,373 12	1,355 00	3 42 %
GENERAL MILLS INC 370334-10-4 GIS	52 54	766 000	40,245 64	24,400 42	15,845 22	1,256 24	3 12 %
GENERAL MOTORS CO 37045V-10-0 GM	36 30	2,186 000	79,351 80	64,517 07	14,834.73	2,623 20	3 31 %
GOOGLE INC CLASS C 38259P-70-6 GOOG	575 28	189 000	108,727 92	73,008 47	35,719 45		
GOOGLE INC CLASS A 38259P-50-8 GOOG L	584 67	146 000	85,361 82	50,480 41	34,881 41		
HARTFORD FINANCIAL SERVICES GROUP INC 416515-10-4 HIG	35 81	1,023 000	36,633 63	30,876 08	5,757 55	613 80 153 45	1 68 %
HOME DEPOT INC 437076-10-2 HD	80 96	1,084 000	87,760 64	49,919 10	37,841 54	2,037 92	2 32 %
HONEYWELL INTERNATIONAL INC 438516-10-6 HON	92 95	1,394 000	129,572 30	77,869 14	51,703 16	2,509 20	1 94 %
HUMANA INC 444859-10-2 HUM	127 72	400 000	51,088 00	30,037 63	21,050.37	448 00 112 00	0 88 %

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
INTERCONTINENTAL EXCHANGE, INC 45866F-10-4 ICE	188 90	183 000	34,568 70	27,401 66	7,167 04	475 80	1 38%
INVESCO LTD G491BT-10-8 IVZ	37 75	486 000	18,346 50	8,726 71	9,619 79	486 00	2 65%
JOHNSON & JOHNSON 478160-10-4 JNJ	104 62	2,010 000	210,286 20	155,597 63	54,688 57	5,628 00	2 68%
JOHNSON CONTROLS INC 478366-10-7 JCI	49 93	1,035 000	51,677 55	18,959 14	32,718 41	910 80 227 70	1 76%
JPM GRWTH ADVANTAGE FD - SEL FUND 1567 4812A3-71-8 JGAS X	14 50	50,412 678	730,983 83	402,085 03	328,898 80	6,604 06	0 90%
JPM LARGE CAP GRWTH FD - SEL FUND 3118 4812C0-53-0 SEEG X	32 52	15,736 264	511,743 31	327,786 39	183,956 92	4,154 37	0 81%
KLA-TENCOR CORP 482480-10-0 KLAC	72 64	687 000	49,903 68	44,234 40	5,669 28	1,236 60	2 48%
LAM RESEARCH CORP 512807-10-8 LRCX	67 58	465 000	31,424.70	19,493 36	11,931 34	334 80 83 70	1 07%
LOWES COMPANIES INC 548661-10-7 LOW	47 99	1,758 000	84,366 42	36,154 75	48,211 67	1,617 36	1 92%
MARATHON OIL CORP 565849-10-6 MRO	39 92	1,262 000	50,379 04	46,264 21	4,114 83	959 12	1 90%
MARSH & MCLENNAN COMPANIES INC 571748-10-2 MMC	51 82	1,238 000	64,153 16	57,639 07	6,514 09	1,386 56	2 16%
MASCO CORP 574599-10-6 MAS	22 20	2,597 000	57,653 40	39,415 86	18,237 54	934 92 233 73	1 62%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div.	Yield
US Large Cap Equity							
MASTERCARD INC - CLASS A 57636Q-10-4 MA	73 47	1,100 000	80,817 00	35,751 71	45,065 29	484 00	0 60%
MERCK AND CO INC 58933Y-10-5 MRK	57 85	1,035 000	59,874.75	36,742 74	23,132 01	1,821 60 455 40	3 04%
METLIFE INC 59156R-10-8 MET	55 56	1,891 000	105,063 96	61,726 79	43,337 17	2,647 40	2 52%
MICROSOFT CORP 594918-10-4 MSFT	41 70	3,221 000	134,315 70	74,737 42	59,578 28	3,607 52	2 69%
MONDELEZ INTERNATIONAL-W/I 609207-10-5 MDLZ	37 61	1,306 000	49,118 66	29,214 85	19,903 81	731 36 182 84	1 49%
MONSANTO CO 61166W-10-1 MON	124 74	512 000	63,866 88	55,195 07	8,671 81	880 64	1 38%
MORGAN STANLEY 617446-44-8 MS	32 33	2,431 000	78,594 23	53,563 33	25,030 90	972 40	1 24%
NEXTERA ENERGY INC 65339F-10-1 NEE	102 48	472 000	48,370 56	36,831 06	11,539 50	1,368 80	2 83%
NISOURCE INC 65473P-10-5 NI	39 34	941 000	37,018 94	26,437 67	10,581 27	978 64	2 64%
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	102 63	885 000	90,827 55	57,983 79	32,843 76	2,548 80 637 20	2 81%
ORACLE CORP 68389X-10-5 ORCL	40 53	1,516 000	61,443 48	33,365 07	28,078 41	727 68	1 18%
PACCAR INC 693718-10-8 PCAR	62 83	1,244 000	78,160 52	45,762 26	32,398 26	1,094 72	1 40%
PARNASSUS EQTY INCOME FD-INS 701769-40-8 PRIL X	39 58	37,538 042	1,485,755 70	1,022,536 27	463,219 43	20,645 92	1 39%

US Large Cap Equity

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
PERRIGO CO LTD G97822-10-3 PRGO	145 76	323 000	47,080 48	50,174 70	(3,094 22)	135 66	0 29%
PHILIP MORRIS INTERNATIONAL 718172-10-9 PM	84 31	600 000	50,586 00	49,775 69	810 31	2,256 00 564 00	4 46%
PHILLIPS 66 718546-10-4 PSX	80 43	569 000	45,764 67	26,878 33	18,886 34	1,138 00	2 49%
PROCTER & GAMBLE CO 742718-10-9 PG	78 59	1,139 000	89,514 01	69,867 97	19,646 04	2,931 78	3 28%
QUALCOMM INC 747525-10-3 QCOM	79 20	1,363 000	107,949 60	68,697 39	39,252 21	2,289 84	2 12%
SCHLUMBERGER LTD 806857-10-8 SLB	117 95	1,536 000	181,171 20	125,947 22	55,223 98	2,457 60 614 40	1 36%
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	195 72	8,569 000	1,677,124 68	1,520,119 46	157,005 22	30,668 45	1 83%
STATE STREET CORP 857477-10-3 STT	67 26	657 000	44,189 82	38,897 60	5,292 22	788 40 197 10	1 78%
STRYKER CORP 863667-10-1 SYK	84 32	363 000	30,608 16	30,451 41	156 75	442 86 110 72	1 45%
THE MOSAIC COMPANY NEW 61945C-10-3 MOS	49 45	610 000	30,164 50	30,052 46	112 04	610 00	2 02%
THE PRICELINE GROUP INC 741503-40-3 PCLN	1,203 00	37 000	44,511 00	25,766 24	18,744.76		
TIME WARNER INC NEW 887317-30-3 TWX	70 25	2,349 000	165,017 25	60,592 32	104,424 93	2,983 23	1 81%

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
TIME WARNER CABLE INC 88732J-20-7 TWC	147 30	233 000	34,320 90	26,426 79	7,894 11	699 00	2 04 %
TJX COMPANIES INC 872540-10-9 TJX	53 15	514 000	27,319.10	28,734 17	(1,415 07)	359 80	1 32 %
TWENTY FIRST CENTY FOX INC CL A 90130A-10-1 FOXA	35 15	1,465 000	51,494 75	47,617 50	3,877 25	366 25	0 71 %
UNION PACIFIC CORP 907818-10-8 UNP	99 75	307 000	30,623 25	31,263 52	(640 27)	558 74 108 29	1 82 %
UNITEDHEALTH GROUP INC 91324P-10-2 UNH	81 75	1,540 000	125,895 00	85,210 00	40,685 00	2,310 00	1 83 %
UNITED TECHNOLOGIES CORP 913017-10-9 UTX	115 45	1,309 000	151,124 05	78,622 87	72,501 18	3,089 24	2 04 %
UNITED CONTINENTAL HOLDINGS INC. 910047-10-9 UAL	41 07	1,258 000	51,666 06	52,886 43	(1,220 37)		
VALERO ENERGY CORP 91913Y-10-0 VLO	50 10	552 000	27,655 20	31,044 56	(3,389 36)	552 00	2 00 %
VERIZON COMMUNICATIONS INC 92343V-10-4 VZ	48 93	1,994 000	97,566 42	77,840 92	19,725 50	4,227 28	4 33 %
VERTEX PHARMACEUTICALS INC 92532F-10-0 VRTX	94 68	345 000	32,664 60	13,165 01	19,499 59		
WALT DISNEY CO 254687-10-6 DIS	85 74	740 000	63,447 60	49,202 78	14,244 82	636 40	1 00 %
WELLS FARGO & CO 949746-10-1 WFC	52 56	3,570 000	187,639 20	92,295 79	95,343 41	4,998 00	2 66 %

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
US Large Cap Equity							
YUM BRANDS INC 988498-10-1 YUM	81 20	893 000	72,511 60	31,501 36	41,010 24	1,321 64	1 82%
Total US Large Cap Equity			**$11,722,383.73**	**$8,296,958.24**	**$3,425,425.49**	**$173,131.26** **$5,452.98**	**1.48%**
US Mid Cap Equity							
AMG FDS TMSQ MDC GW INST 00170K-74-5 TMDI X	19 21	85,452 993	1,641,552 00	909,249 00	732,303 00		
ISHARES CORE S&P MID-CAP ETF 464287-50-7 IJH	143 08	4,683 000	670,043 64	409,024 93	261,018 71	8,251 44	1 23%
JPM MID CAP EQ FD - SEL FUND 689 4812A1-26-6 VSNG X	45 14	26,189 204	1,182,180 67	490,000 00	692,180 67	9,323 35 655 52	0 79%
Total US Mid Cap Equity			**$3,493,776 31**	**$1,808,273.93**	**$1,685,502.38**	**$17,574.79** **$655.52**	**0 50%**
EAFE Equity							
DODGE & COX INTL STOCK FUND 256206-10-3 DODF X	46 44	55,413 167	2,573,387 48	1,873,336 05	700,051 43	38,512 15	1 50%
ISHARES MSCI EAFE INDEX FUND 464287-46-5 EFA	68 37	40,451 000	2,765,634 87	2,436,844 67	328,790 20	90,124 82	3 26%
MFS INTL VALUE-I 55273E-82-2 MINI X	37 15	55,567 149	2,064,319 59	1,536,661 99	527,657 60	36,729 88	1 78%

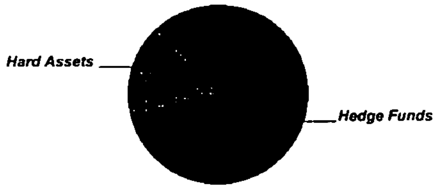
	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc Accrued Div	Yield
EAFE Equity							
OAKMARK INTERNATIONAL FD-I 413838-20-2 OAKI X	26 90	29,961 535	805,965 29	779,898 75	26,066 54	13,063 22	1 62 %
Total EAFE Equity			**$8,209,307.23**	**$6,626,741.46**	**$1,582,565.77**	**$178,430.07**	**2.18 %**
European Large Cap Equity							
VANGUARD FTSE EUROPE ETF 922042-87-4 VGK	59 95	13,321 000	798,593 95	765,238 67	33,355.28	30,678 26	3 84 %
Japanese Large Cap Equity							
BROWN ADV JAPAN ALPHA OPP-IS 115233-57-9 BAFJ X	10 49	20,120 724	211,066 39	200,000 00	11,066 39		
Asia ex-Japan Equity							
ABERDEEN ASIA-PAC XJ-INST EQUITY FUND - INSTITUTIONAL SERVICE SHARES 003021-69-8 AAPI X	12 30	106,202 733	1,306,293 62	1,198,000 00	108,293 62	15,930 40	1 22 %

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Inc. Accrued Div	Yield
Emerging Market Equity							
JPM CHINA REGION FD - SEL FUND 3810 4812A3-52-8 JCHS X	21 70	15,368 784	333,502 61	280,634 00	52,868 61	6,424 15	1 93%
Global Equity							
JPM GLBL RES ENH INDEX FD - SEL FUND 3457 46637K-51-3 JEIT X	18 96	116,133 177	2,201,885 04	1,789,612 26	412,272 78	27,988 09	1 27%

Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Hedge Funds	5,838,129 34	5,916,553 06	78,423 72	15%
Hard Assets	1,033,005 51	1,056,533 96	23,528 45	3%
Total Value	**$6,871,134.85**	**$6,973,087.02**	**$101,952.17**	**18%**

Asset Categories



Alternative Assets as a percentage of your portfolio - 18 %

Alternative Assets Detail

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
BLACKSTONE PARTNERS OFFSHORE FUND LTD CLASS H2 - NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS - LEAD SERIES N/O Client 092911-96-5	1,365 00 5/30/14	1,267 280	1,729,837 20	1,500,000 00

Hedge Funds

	Price	Quantity	Estimated Value	Cost
INV BALANCED-RISK ALLOC-Y 00141V-69-7 ABRY X	12 67	52,382 892	663,691 24	655,000 00
MANIKAY OFFSHORE FUND, LTD. CLASS B SUB-CLASS 1N SHARES - NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS - LEAD SERIES 2014 N/O Client 652623-91-9	1,792 53 5/30/14	335 390	601,197 35	500,000 00
OCH-ZIFF OZOFII PRIVATE INVESTORS OFFSHORE LTD CLASS G PRIME - NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS N/O Client 986903-92-0	163 85 5/30/14	10,918 048	1,788,886 47	1,500,000 00
SOUTHPAW CREDIT OPPORTUNITY FUND (FTE) LTD CLASS C - NEW ISSUES INELIGIBLE NON-SELF DEALING FIDUCIARY INVESTORS - LEAD SERIES N/O Client 764650-92-5	153 97 5/30/14	3,772 865	580,917 08	500,000 00
WINTON FUTURES FUND, LTD. CLASS B - NON-SELF DEALING FIDUCIARY INVESTOR - LEAD SERIES N/O Client 976491-91-0	914 37 5/30/14	603 720	552,023 72	500,000 00
Total Hedge Funds			**$5,916,553.06**	**$5,155,000.00**

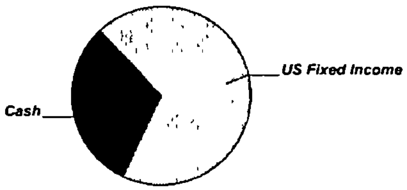
Hard Assets

	Price	Quantity	Estimated Value	Cost	Est. Annual Income Accrued Income
BARC BEN BRENT 09/29/14 LNKD TO CO1 85% BARRIER- 5 47%CPN 15%CAP INITIAL LEVEL-09/13/13 112 63 06741T-K8-9	105 13	200,000 000	210,260 00	198,000 00	
BARC BREN PLDMLNPM 2/17/15 10% BUFFER-1 21%XLEV 12 10%MAX-10%CAP PLDMLNPM 726 20 06741T-4X-2	107 43	215,000 000	230,974 50	212,850 00	
DB MARKET PLUS WTI 07/21/15 79 9% BARRIER- 6 5%CPN INITIAL LEVEL 05/23/14 CL1 104 35 25152R-KH-5	101 40	200,000 000	202,800 00	197,600 00	
PIMCO COMMODITYPL STRAT-P 72201P-16-7 PCLP X	11 52	35,807 245	412,499 46	377,216 97	4,440 09
Total Hard Assets			**$1,056,533.96**	**$985,666.97**	**$4,440.09**

Cash & Fixed Income Summary

Asset Categories	Beginning Market Value	Ending Market Value	Change In Value	Current Allocation
Cash	1,520,740 45	1,613,111 37	92,370 92	4%
US Fixed Income	3,618,898 87	3,614,391 70	(4,507 17)	9%
Total Value	**$5,139,639.32**	**$5,227,503.07**	**$87,863.75**	**13%**

Asset Categories



Market Value/Cost	Current Period Value
Market Value	5,227,503 07
Tax Cost	5,252,543 79
Unrealized Gain/Loss	(25,040 72)
Estimated Annual Income	120,101 25
Accrued Interest	2,374 35
Yield	2 29%

Cash & Fixed Income as a percentage of your portfolio - 13 %

SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months[1]	5,227,503 07	100%

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	1,613,111 37	30%
Mutual Funds	3,614,391 70	70%
Total Value	**$5,227,503.07**	**100%**

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[1] The years indicate the number of years until the bond is scheduled to mature based on the statement end date Some bonds may be called, or paid in full, before their stated maturity

Note [1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost	Unrealized Gain/Loss	Est Annual Income	Yield
				Original Cost		Accrued Interest	
Cash							
US DOLLAR PRINCIPAL	1 00	1,613,111 37	1,613,111 37	1,613,111 37		483 93	0 03 %[1]
US Fixed Income							
DOUBLELINE TOTAL RET BD-I 258620-10-3	10 99	156,723 03	1,722,386 08	1,750,000 00	(27,613 92)	88,078 34	5 11 %
JPM STRAT INC OPP FD - SEL FUND 3844 4812A4-35-1	11 90	96,066 46	1,143,190 91	1,141,990 42	1,200 49	24,400 88 1,825 26	2 13 %
JPM SHORT DURATION BD FD - SEL FUND 3133 4812C1-33-0	10 91	68,635 63	748,814 71	747,442 00	1,372 71	7,138 10 549 09	0 95 %
Total US Fixed Income			**$3,614,391.70**	**$3,639,432.42**	**($25,040.72)**	**$119,617.32** **$2,374.35**	**3 31 %**

Specialty Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Real Estate	1 00	1 00	0 00	1%

Specialty Assets Detail

	Interest Type	Percentage of Ownership	Estimated Value	Estimated Cost
Real Estate				
Lease with SF Acquisition LLC re 400 West Broadway New York, NY 10012 LEASEHOLD INTEREST Bearer 997608-13-9	SURFACE-REAL ESTATE	100 00 %	1 00	1 00

Other Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Other	2 00	2 00	0 00	1%

Market Value/Cost	Current Period Value
Estimated Value	2 00
Tax Cost	1 00

Note ** Unrealized Gain/Loss is shown as "N/A" in cases where we do not have appropriate cost information for all tax lots comprising the position Please contact your J.P Morgan team for additional information

Other Detail

	Price	Quantity	Value	Adjusted Cost Original Cost	Unrealized Gain/Loss	Accruals
Other						
TANGIBLE PERSONAL PROPERTY ELSEWHERE TRUST & ESTATE TEAM #3104 Assets Held Elsewhere 118991-KR-8		1 000	1 00	1 00		

	Price	Quantity	Value	Adjusted Cost Original Cost	Unrealized Gain/Loss	Accruals
Other						
1166 SECOND AVENUE, THIRD FLOOR, NEW YORK, NY 10021 LEASEHOLD INTEREST AT DAY & MEYER, MURRAY & YOUNG Assets Held Elsewhere 999108-86-3	100 00 11/4/10	1 000	1 00	N/A **	N/A	
Total Other			**$2.00**	**$1.00**	**$0.00**	

Portfolio Activity Summary

	PRINCIPAL		INCOME	
Transactions	Current Period Value	Year-To-Date Value*	Current Period Value	Year-To-Date Value*
Beginning Cash Balance	**1,526,699.62**	--	**31,681.26**	--
INFLOWS				
Income			51,048 82	617,062 87
Contributions	192,700 00	1,624,371 93		810,986 28
Total Inflows	**$192,700.00**	**$1,624,371.93**	**$51,048.82**	**$1,428,049 15**
OUTFLOWS **				
Withdrawals	(48,300 52)	(1,497,683 16)	(124 28)	(1,052,291 28)
Fees & Commissions		(129,739 79)	(65,967 48)	(349,335 34)
Tax Payments			(6 78)	(64,242 76)
Miscellaneous Debits	(963 53)	(35,115 18)	963 53	35,115.18
Expenses	(364 36)	(4,762 18)		
Total Outflows	**($49,628.41)**	**($1,667,300.31)**	**($65,135.01)**	**($1,430,754.20)**
TRADE ACTIVITY				
Settled Sales/Maturities/Redemptions	508,158 17	13,741,431 15		0 10
Settled Securities Purchased	(564,818 01)	(13,995,142 00)		
Total Trade Activity	**($56,659.84)**	**($253,710.85)**	**$0.00**	**$0.10**
Ending Cash Balance	**$1,613,111.37**	--	**$17,595.07**	--

Portfolio Activity Summary

Cost Adjustments	Current Period Value	Year-To-Date Value*
Accretion		1,195 08
Cost Adjustments		952 81
Total Cost Adjustments	**$0.00**	**$2,147.89**

* Year to date information is calculated on a fiscal year basis, beginning Jul 1, 2013
** Your account's standing instructions use a HIGH COST method for relieving assets from your position

Portfolio Activity Detail

INFLOWS & OUTFLOWS

Settle Date	Type Selection Method	Description	Quantity Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
6/2	Interest Income	DEPOSIT SWEEP INTEREST FOR 05/01/14 - 05/31/14 @ 03% RATE ON AVG COLLECTED BALANCE OF $1,752,317 84 AS OF 06/01/14				44 57
6/2	Div Domest	WELLS FARGO & CO @ 0 35 PER SHARE (ID 949746-10-1)	3,570 000	0 35		1,249 50
6/2	Div Domest	JPM STRAT INC OPP FD - SEL FUND 3844 @ 0 014 PER SHARE (ID 4812A4-35-1)	96,066 463	0 014		1,344 93
6/2	Div Domest	JPM SHORT DURATION BD FD - SEL FUND 3133 @ 0 004 PER SHARE (ID 4812C1-33-0)	68,635 629	0 004		274 54
6/2	Div Domest	KLA-TENCOR CORP @ 0 45 PER SHARE (ID· 482480-10-0)	687 000	0 45		309 15

INFLOWS & OUTFLOWS

Settle Date	Type Selection Method	Description	Quantity Cost	Per Unit Amount	**PRINCIPAL** Amount	**INCOME** Amount
6/2	Div Domest	PHILLIPS 66 @ 0 50 PER SHARE (ID 718546-10-4)	569 000	0 50		284 50
6/3	Div Domest	DOUBLELINE TOTAL RET BD-I 05/30/14 INCOME DIVIDEND @ 0 044 PER SHARE AS OF 05/30/14 (ID 258620-10-3)	156,723 028	0 044		6,889 58
6/4	Misc Disbursement	FUNDS TRFD BY ACH TO CITIBANK NA FAO ANI BOYAJIAN REPRESENTS CR ADVISORY FEE			(5,100 00)	
6/4	Misc Disbursement	TRANSFERRED BY ACH TO ISRAEL DISCOUNT BK OF NY FAO KAREN WILKIN CR ADVISORY FEE			(1,000 00)	
6/4	Misc Disbursement	TRANSFERRED BY ACH TO JPMORGAN CHASE BANK FAO STACEY GERSHON FINE RE ART MANAGEMENT SERVICES			(5,416 67)	
6/5	Div Domest	ARCHER DANIELS MIDLAND CO @ 0 24 PER SHARE (ID 039483-10-2)	545 000	0 24		130 80
6/5	Div Domest	PACCAR INC @ 0 22 PER SHARE (ID 693718-10-8)	1,244 000	0 22		273 68
6/6	Foreign Dividend	INVESCO LTD @ 0 25 PER SHARE (ID G491BT-10-8)	486 000	0 25		121 50
6/6	Div Domest	TIME WARNER INC NEW @ 0 3175 PER SHARE (ID· 887317-30-3)	2,349 000	0 318		745 81
6/6	Div Domest	JPM GLBL RES ENH INDEX FD - SEL FUND 3457 MAY ACCOUNT FEE OFFSET FROM JPMORGAN FOR USE OF AFFILIATED FUNDS (ID 46637K-51-3)	116,133 177	0 005		594 13
6/6	Div Domest	JPM MID CAP EQ FD - SEL FUND 689 MAY ACCOUNT FEE OFFSET FROM JPMORGAN FOR USE OF AFFILIATED FUNDS (ID 4812A1-26-6)	26,189 204	0 028		728 23
6/6	Div Domest	JPM CHINA REGION FD - SEL FUND 3810 MAY ACCOUNT FEE OFFSET FROM JPMORGAN FOR USE OF AFFILIATED FUNDS (ID 4812A3-52-8)	15,368 784	0 026		402 03
6/6	Div Domest	JPM GRWTH ADVANTAGE FD - SEL FUND 1567 MAY ACCOUNT FEE OFFSET FROM JPMORGAN FOR USE OF AFFILIATED FUNDS (ID 4812A3-71-8)	50,412 678	0 011		577 00

INFLOWS & OUTFLOWS

Settle Date	Type Selection Method	Description	Quantity Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
6/6	Div Domest	JPM STRAT INC OPP FD - SEL FUND 3844 MAY ACCOUNT FEE OFFSET FROM JPMORGAN FOR USE OF AFFILIATED FUNDS (ID 4812A4-35-1)	96,066 463	0 007		685 74
6/6	Div Domest	JPM LARGE CAP GRWTH FD - SEL FUND 3118 MAY ACCOUNT FEE OFFSET FROM JPMORGAN FOR USE OF AFFILIATED FUNDS (ID 4812C0-53-0)	15,736 264	0 022		348 27
6/6	Div Domest	JPM SHORT DURATION BD FD - SEL FUND 3133 MAY ACCOUNT FEE OFFSET FROM JPMORGAN FOR USE OF AFFILIATED FUNDS (ID 4812C1-33-0)	68,635 629	0 005		318 62
6/9	Receipt of Assets	TIME INC SPIN-OFF - HOLDERS RECEIVE ONE SHARE FOR EVERY EIGHT SHARES OF TIME WARNER, INC CUSIP 887317303, HELD (ID 887228-10-4)	293 000 0 00			
6/9	Misc Disbursement	PAID CYBER CITY, INC REPRESENTS CR EXPENSES PER INVOICE #MSUS-26081 DATED 06/05/2014 TREASURERS CHECK NO 3176561			(2,801 32)	
6/9	Misc Disbursement	PAID VERIZON REPRESENTS CR EXPENSE FOR THE PERIOD 05/28/14 - 06/27/14 PER BILL DATED 05/28/2014 TREASURERS CHECK NO 3176562			(396 28)	
6/9	Misc Disbursement	PAID GE CAPITAL REPRESENTS CR EXPENSE PER INVOICE # 60776150 DATED 05/28/2014 TREASURERS CHECK NO 3176563			(245 00)	
6/9	Misc Disbursement	PAID EMAC CLEANING SERVICES REPRESENTS CR EXPENSE FOR MAY 2014 PER INVOICE #1617 DATED 05/30/2014 TREASURERS CHECK NO 3176564			(140 00)	
6/9	Misc Disbursement	PAID MR T CARTING CORP REPRESENTS CR EXPENSE FOR JUNE 2014 PER INVOICE NO 1710486-IN DATED 6/01/2014 TREASURERS CHECK NO 3176565			(35 00)	
6/9	Stock Split	APPLE INC 7 FOR 1 STOCK SPLIT (ID 037833-10-0)	2,136 000			

INFLOWS & OUTFLOWS

Settle Date	Type Selection Method	Description	Quantity Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
6/10	Div Domest	EXXON MOBIL CORP @ 0 69 PER SHARE (ID 30231G-10-2)	1,370 000	0 69		945 30
6/10	Div Domest	EMERSON ELECTRIC CO @ 0 43 PER SHARE (ID. 291011-10-4)	677 000	0 43		291 11
6/10	Div Domest	UNITED TECHNOLOGIES CORP @ 0 59 PER SHARE (ID 913017-10-9)	1,416 000	0 59		835 44
6/10	Div Domest	MARATHON OIL CORP @ 0 19 PER SHARE (ID 565849-10-6)	1,038 000	0 19		197 22
6/10	Div Domest	HONEYWELL INTERNATIONAL INC @ 0 45 PER SHARE (ID 438516-10-6)	1,394 000	0 45		627 30
6/10	Div Domest	JOHNSON & JOHNSON @ 0 70 PER SHARE (ID 478160-10-4)	2,095 000	0 70		1,466 50
6/10	Name Change	TIMESSQUARE MID CAP GROW-PRM CUSIP CHANGE TO 00170K752 (ID 561709-83-3)	(86,725 697) (909,249 00)			
6/10	Name Change	AMG FDS TMSQ MD GW PRE CUSIP CHANGE FROM 561709833 (ID 00170K-75-2)	86,725 697 909,249 00			
6/11	Name Change	AMG FDS TMSQ MD GW PRE NON-TAX CONVERSION TO 00170K745 (ID 00170K-75-2)	(86,725 697) (909,249 00)	18 80		
6/11	Name Change	AMG FDS TMSQ MDC GW INST NON-TAX CONVERSION FROM 00170K752 (ID 00170K-74-5)	85,452 993 909,249 00	18 80		
6/11	Misc Disbursement	TRANSFERRED BY WIRE TO BANK ONE TRUST CO FAO AS REQUESTED PAYROLL PROCESSING			(7,798 55)	
6/11	Misc Disbursement	TRANSFERRED BY WIRE TO BANK ONE TRUST CO FAO AS REQUESTED PAYROLL PROCESSING			(4,042.23)	
6/12	Div Domest	MICROSOFT CORP @ 0 28 PER SHARE (ID 594918-10-4)	3,221 000	0 28		901 88
6/13	Div Domest	METLIFE INC @ 0 35 PER SHARE (ID 59156R-10-8)	1,891 000	0 35		661 85

INFLOWS & OUTFLOWS

Settle Date	Type Selection Method	Description	Quantity Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
6/13	Div Domest	ALLERGAN INC @ 0 05 PER SHARE (ID 018490-10-2)	137 000	0 05		6 85
6/13	Div Domest	CSX CORP @ 0 16 PER SHARE (ID 126408-10-3)	1,748 000	0 16		279 68
6/16	Div Domest	TIME WARNER CABLE INC @ 0 75 PER SHARE (ID 88732J-20-7)	233 000	0 75		174 75
6/16	Div Domest	NEXTERA ENERGY INC @ 0 725 PER SHARE (ID· 65339F-10-1)	472 000	0 725		342 20
6/16	Misc Receipt	RECD FOR DEPOSIT CHECK NO 30282 DATED 6/3/2014 FROM WILL AMERINGER FINE ART, INC REPRESENTS MAY 2014 ART SALES PROCEEDS			192,700 00	
6/17	Foreign Dividend	PERRIGO CO LTD @ 0 105 PER SHARE (ID G97822-10-3)	323 000	0 105		33 92
6/17	FGN Tax Withheld	PERRIGO CO LTD TAX WITHHELD IRELAND 20 00% (ID G97822-10-3)	323 000			(6 78)
6/17	Div Domest	ABERDEEN ASIA-PAC XJ-INST EQUITY FUND - INSTITUTIONAL SERVICE SHARES 06/16/14 INCOME DIVIDEND @ 0 063 PER SHARE AS OF 06/16/14 (ID 003021-69-8)	106,202 733	0 063		6,701 39
6/18	Div Domest	VALERO ENERGY CORP @ 0 25 PER SHARE (ID 91913Y-10-0)	552 000	0 25		138 00
6/18	Misc Disbursement	PAID SUZANNE VILLIGER CR EXPENSE REPRESENTS REIMBURSEMENT FOR OUT OF POCKET EXPENSES RE TRIP TO LONDON, U K FROM JUNE 1 THROUGH JUNE 14, 2014 TREASURERS CHECK NO 3187598				(124 28)
6/18	Misc Disbursement	PAID STAPLES CREDIT PLAN REPRESENTS CR EXPENSE PER BILL CLOSING DATE 6/4/14 FOR AC# 6035 5178 7338 1601 TREASURERS CHECK NO 3187599			(109 36)	

INFLOWS & OUTFLOWS

Settle Date	Type Selection Method	Description	Quantity Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
6/18	Misc Disbursement	PAID THE STATE INSURANCE FUND REPRESENTS RENEWAL OF DISABILITY INSURANCE FOR THE PERIOD 7/11/2014 - 7/11/2015 PER BILL # 4857245 DTD 6/11/14 FOR POLICY # DB 5743 71-2 TREASURERS CHECK NO 3187600			(95 56)	
6/18	Misc Disbursement	INTERNAL TRANSFER OF FUNDS PAYROLL FEES FOR MAY 2014			(240 75)	
6/18	Expenses	Lease with SF Acquisition LLC re 400 West Broadway New York, NY 10012 LEASEHOLD INTEREST CON EDISON ELECTRIC /GAS (P) ELECTRIC SERV 433205760000044-5/6/ (ID 997608-13-9)			(364 36)	
6/19	Fees & Commissions	PAID PATRICIA A GALLAGHER ESQ QUARTERLY CO-TRUSTEE FEE THRU 06-15-2014 ON $90,350 97 REC'D INC $2,710 52 TREASURERS CHECK NO 3187960				(2,710 52)
6/19	Div Domest	HOME DEPOT INC @ 0 47 PER SHARE (ID 437076-10-2)	1,084 000	0 47		509 48
6/19	Div Domest	THE MOSAIC COMPANY NEW @ 0 25 PER SHARE (ID 61945C-10-3)	297 000	0 25		74 25
6/20	Div Domest	DOMINION RESOURCES INC VA @ 0 60 PER SHARE (ID 25746U-10-9)	482 000	0 60		289 20
6/23	Fees & Commissions	JPMORGAN CHASE TRUST FEE FOR THE PERIOD 03-16-2014 TO 06-15-2014 ON $90,350 97 INCOME REC'D AND ON ADJUSTED MV $38,343,967 57 INC $63,256 96 PRORATED BASE FEE $562 50				(63,256 96)
6/23	Div Domest	PIMCO COMMODITYPL STRAT-P 06/19/14 INCOME DIVIDEND @ 0 102 PER SHARE AS OF 06/19/14 (ID 72201P-16-7)	35,807 245	0 102		3,654 85

INFLOWS & OUTFLOWS

Settle Date	Type Selection Method	Description	Quantity Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
6/24	Misc Debit	FUNDS TRANSFERRED FROM TRUST AC# P62429009 (PRN) TO TRUST AC# P62429009 (INC) REPRESENTS POWER TO ADJUST			(963 53)	
6/24	Misc Debit	POWER TO ADJUST				963 53
6/25	Div Domest	QUALCOMM INC @ 0 42 PER SHARE (ID 747525-10-3)	1,363 000	0 42		572 46
6/25	Div Domest	ANADARKO PETROLEUM CORP @ 0 27 PER SHARE (ID 032511-10-7)	694 000	0 27		187 38
6/25	Div Domest	UNITEDHEALTH GROUP INC @ 0 375 PER SHARE (ID 91324P-10-2)	1,540 000	0 375		577 50
6/26	Misc Disbursement	PD DAY & MEYER, MURRAY & YOUNG CORP REP STORAGE FEES FOR JUNE 2014 PER INVOICE #102875 DATED 6/1/2014 TREASURERS CHECK NO 3196683			(5,940 00)	
6/26	Misc Disbursement	TRANSFERRED BY WIRE TO BANK ONE TRUST CO FAO AS REQUESTED PAYROLL PROCESSING			(3,269 40)	
6/26	Misc Disbursement	TRANSFERRED BY WIRE TO BANK ONE TRUST CO FAO AS REQUESTED PAYROLL PROCESSING			(6,123 64)	
6/26	Stock Split	CELGENE CORPORATION 2 FOR 1 STOCK SPLIT (ID 151020-10-4)	378 000 0 00			
6/26	Div Domest	GENERAL MOTORS CO @ 0 30 PER SHARE (ID 37045V-10-0)	2,186 000	0 30		655 80
6/30	Misc Disbursement	PAID AMERICAN EXPRESS REPRESENTS CR EXPENSE PER BILL CLOSING DATE 06/20/2014 TREASURERS CHECK NO 3200813			(5,546 76)	
6/30	Div Domest	INTERCONTINENTAL EXCHANGE, INC @ 0 65 PER SHARE (ID 45866F-10-4)	183 000	0 65		118 95
6/30	Foreign Dividend	AVAGO TECHNOLOGIES LTD @ 0 29 PER SHARE (ID Y0486S-10-4)	1,656 000	0 29		480 24

INFLOWS & OUTFLOWS

Settle Date	Type Selection Method	Description	Quantity Cost	Per Unit Amount	PRINCIPAL Amount	INCOME Amount
6/30	Div Domest	BANK OF AMERICA CORP @ 0 01 PER SHARE (ID 060505-10-4)	7,555 000	0 01		75 55
6/30	Div Domest	ISHARES CORE S&P MID-CAP ETF @ 0 388497 PER SHARE (ID 464287-50-7)	4,683 000	0 389		1,819 33
6/30	Div Domest	VANGUARD FTSE EUROPE ETF @ 0 984 PER SHARE (ID 922042-87-4)	13,321 000	0 984		13,107 86
Total Inflows & Outflows					**$143,071.59**	**($14,086.19)**

TRADE ACTIVITY

Note **L** indicates Long Term Realized Gain/Loss **S** indicates Short Term Realized Gain/Loss
* Settled transaction was initiated in prior statement period and settled in current statement period

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
5/29 6/3	Sale High Cost	JOHNSON & JOHNSON @ 100 3254 8,527 66 BROKERAGE 1 27 TAX &/OR SEC 19 SANFORD C BERNSTEIN & CO INC (SCB (ID 478160-10-4)	(85 000)	100 308	8,526 20	(7,331 09)	1,195 11 S*
5/29 6/3	Sale High Cost	UNITED TECHNOLOGIES CORP @ 116 0876 3,134 37 BROKERAGE 0 61 TAX &/OR SEC 07 MORGAN STANLEY & CO LLC (ID 913017-10-9)	(27 000)	116 063	3,133 69	(2,867 71)	265 98 S*
5/29 6/3	Sale High Cost	UNITED TECHNOLOGIES CORP @ 116 418 582 09 BROKERAGE 0 11 TAX &/OR SEC 01 CREDIT SUISSE FIRST BOSTON LLC (ID 913017-10-9)	(5 000)	116 394	581 97	(531 06)	50 91 S*
5/29 6/3	Sale High Cost	UNITED TECHNOLOGIES CORP @ 116 3379 5,235 21 BROKERAGE 1 01 TAX &/OR SEC 12 CREDIT SUISSE FIRST BOSTON LLC (ID 913017-10-9)	(45 000)	116 313	5,234 08	(4,779 51)	454 57 S*

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
5/30 6/4	Sale High Cost	UNITED TECHNOLOGIES CORP @ 116 5614 233 12 BROKERAGE 0 03 TAX &/OR SEC 01 SANFORD C BERNSTEIN & CO INC (SCB (ID: 913017-10-9)	(2 000)	116 54	233 08	(212 42)	20 66 S*
5/30 6/4	Sale High Cost	UNITED TECHNOLOGIES CORP @ 116 2863 1,511 72 BROKERAGE 0 29 TAX &/OR SEC 03 MORGAN STANLEY & CO LLC (ID 913017-10-9)	(13 000)	116 262	1,511 40	(1,380 75)	130 65 S*
5/30 6/4	Sale High Cost	UNITED TECHNOLOGIES CORP @ 115 9603 1,043 64 BROKERAGE 0 20 TAX &/OR SEC 02 CREDIT SUISSE FIRST BOSTON LLC (ID 913017-10-9)	(9 000)	115 936	1,043 42	(847.55)	156 98 L* 38 89 S
5/30 6/4	Sale High Cost	UNITED TECHNOLOGIES CORP @ 116 2468 697 48 BROKERAGE 0 14 TAX &/OR SEC 02 CREDIT SUISSE FIRST BOSTON LLC (ID 913017-10-9)	(6 000)	116 22	697 32	(507 25)	190 07 L*
6/5 6/6	Sale High Cost	PARNASSUS EQTY INCOME FD-INS (ID 701769-40-8)	(10,185 893)	39 27	400,000 00	(277,463 73)	122,536 27 L
6/10 6/13	Sale High Cost	METTLER TOLEDO INTERNATIONAL INC @ 248 9103 8,960 77 BROKERAGE 0 54 TAX &/OR SEC 20 CITIGROUP GLOBAL MKTS INC (ID 592688-10-5)	(36 000)	248 89	8,960 03	(7,653 26)	1,306 77 L
6/11 6/16	Sale High Cost	ORACLE CORP @ 42 5919 17,547 86 BROKERAGE 6 18 TAX &/OR SEC .39 GOLDMAN SACHS & CO (ID 68389X-10-5)	(412 000)	42 576	17,541 29	(10,803 48)	6,737 81 L
6/11 6/16	Sale High Cost	METTLER TOLEDO INTERNATIONAL INC @ 247 3813 2,721 19 BROKERAGE 0 25 TAX &/OR SEC 06 CREDIT SUISSE FIRST BOSTON LLC (ID 592688-10-5)	(11 000)	247 353	2,720 88	(2,321 09)	399 79 L
6/11 6/16	Sale High Cost	METTLER TOLEDO INTERNATIONAL INC @ 247 6288 247 63 BROKERAGE 0 02 TAX &/OR SEC 01 WEEDEN AND CO (ID 592688-10-5)	(1 000)	247 60	247 60	(211.01)	36 59 L
6/11 6/16	Sale High Cost	ORACLE CORP @ 42 815 1,626 97 BROKERAGE 0 57 TAX &/OR SEC 04 BARCLAYS CAPITAL LE (ID 68389X-10-5)	(38 000)	42 799	1,626 36	(947 72)	678 64 L

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
6/12 6/17	Sale High Cost	METTLER TOLEDO INTERNATIONAL INC @ 246 4349 13,553 92 BROKERAGE 0 83 TAX &/OR SEC 30 BLOOMBERG TRADEBOOK LLC (ID 592688-10-5)	(55 000)	246 414	13,552 79	(11,502 83)	2,049 96 L
6/13 6/18	Sale High Cost	METTLER TOLEDO INTERNATIONAL INC @ 246 9288 4,691 65 BROKERAGE 0 29 TAX &/OR SEC 10 CITIGROUP GLOBAL MKTS INC (ID 592688-10-5)	(19 000)	246 908	4,691 26	(3,837 39)	853 87 L
6/17 6/20	Sale High Cost	FLUOR CORP @ 77 8347 6,927 29 BROKERAGE 1 34 TAX &/OR SEC 15 GOLDMAN SACHS & CO (ID 343412-10-2)	(89 000)	77 818	6,925 80	(6,352 74)	573 06 S
6/17 6/20	Sale High Cost	TIME INC @ 22 9555 5,417 50 BROKERAGE 3 54 TAX &/OR SEC 12 GOLDMAN SACHS & CO (ID 887228-10-4)	(236 000)	22 94	5,413 84	(2,213 36)	3,200 48 L
6/18 6/23	Sale High Cost	FLUOR CORP @ 76 8966 24,222 43 BROKERAGE 4 73 TAX &/OR SEC 54 BARCLAYS CAPITAL LE (ID 343412-10-2)	(315 000)	76 88	24,217 16	(20,562 18)	1,242 82 L 2,412 16 S
6/18 6/23	Sale High Cost	TIME INC @ 22 5702 1,286 50 BROKERAGE 0 86 TAX &/OR SEC 03 MORGAN STANLEY & CO LLC (ID 887228-10-4)	(57 000)	22 555	1,285 61	(344 19)	941.42 L
6/25 6/25	Cash In Lieu	TIME INC CASH IN LIEU OF FRACTIONAL SHARES (ID 887228-10-4)			14 39		14 39 L
Total Settled Sales/Maturities/Redemptions					**$508,158.17**	**($362,670.32)**	**$140,345.86 L** **$5,141.99 S**

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
5/28 6/2	Purchase	MARSH & MCLENNAN COMPANIES INC @ 49 805 49 81 BROKERAGE 0 02 CREDIT SUISSE FIRST BOSTON LLC (ID 571748-10-2)	1 000	49 83	(49 83) *
5/28 6/2	Purchase	THE MOSAIC COMPANY NEW @ 49 2178 442 96 BROKERAGE 0 14 SANFORD C BERNSTEIN & CO INC (SCB (ID 61945C-10-3)	9 000	49 233	(443 10) *
5/28 6/2	Purchase	THE MOSAIC COMPANY NEW @ 49 3433 1,184 24 BROKERAGE 0 36 UBS SECURITIES LLC (ID 61945C-10-3)	24 000	49 358	(1,184 60) *
5/28 6/2	Purchase	MARSH & MCLENNAN COMPANIES INC @ 49 9076 399 26 BROKERAGE 0 18 CANTOR FITZGERALD & CO INC (ID 571748-10-2)	8 000	49 93	(399 44) *
5/28 6/2	Purchase	THE MOSAIC COMPANY NEW @ 49 4123 444 71 BROKERAGE 0 14 BARCLAYS CAPITAL LE (ID 61945C-10-3)	9 000	49 428	(444 85) *
5/29 6/3	Purchase	CELGENE CORPORATION @ 152 7591 4,124 50 BROKERAGE 0 41 MERRILL LYNCH PIERCE FENNER & SMIT (ID 151020-10-4)	27 000	152 774	(4,124 91) *
5/29 6/3	Purchase	THE MOSAIC COMPANY NEW @ 49 357 148 07 BROKERAGE 0 05 CITIGROUP GLOBAL MKTS INC (ID 61945C-10-3)	3 000	49 373	(148 12) *
5/29 6/3	Purchase	THE MOSAIC COMPANY NEW @ 49 5932 99 19 BROKERAGE 0 03 MORGAN STANLEY & CO LLC (ID 61945C-10-3)	2 000	49 61	(99 22) *
5/29 6/3	Purchase	THE MOSAIC COMPANY NEW @ 49 698 646 07 BROKERAGE 0 20 BARCLAYS CAPITAL LE (ID 61945C-10-3)	13 000	49 713	(646 27) *

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
5/29 6/3	Purchase	CELGENE CORPORATION @ 153 0258 3,825 65 BROKERAGE 0 38 SANFORD C BERNSTEIN & CO INC (SCB (ID 151020-10-4)	25 000	153 041	(3,826 03) *
5/29 6/3	Purchase	APPLE INC @ 635 38 2,541 52 BROKERAGE 0 06 JEFFERIES & COMPANY (ID 037833-10-0)	4 000	635 395	(2,541 58) *
5/29 6/3	Purchase	APPLE INC @ 632 9012 9,493 52 BROKERAGE 0 23 MERRILL LYNCH PIERCE FENNER & SMIT (ID 037833-10-0)	15 000	632 917	(9,493 75) *
5/29 6/3	Purchase	CELGENE CORPORATION @ 152 695 458 09 BROKERAGE 0 05 PENSERRA SECURITIES (ID 151020-10-4)	3 000	152 713	(458 14) *
5/29 6/3	Purchase	CELGENE CORPORATION @ 152 7564 763 78 BROKERAGE 0 08 STATE STREET BROKERAGE SVCS (ID 151020-10-4)	5 000	152 772	(763 86) *
5/29 6/3	Purchase	MARSH & MCLENNAN COMPANIES INC @ 49 90 199 60 BROKERAGE 0 09 CANTOR FITZGERALD & CO INC (ID 571748-10-2)	4 000	49 923	(199 69) *
5/29 6/3	Purchase	MARSH & MCLENNAN COMPANIES INC @ 49 90 99 80 BROKERAGE 0 05 CANTOR FITZGERALD & CO INC (ID 571748-10-2)	2 000	49 925	(99 85) *
5/29 6/3	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 0223 100 04 BROKERAGE 0 03 BARCLAYS CAPITAL LE (ID 571748-10-2)	2 000	50 035	(100 07) *
5/30 6/4	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 0987 100 20 BROKERAGE 0 03 GOLDMAN SACHS & CO (ID 571748-10-2)	2 000	50 115	(100 23) *
5/30 6/4	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 0139 200 06 BROKERAGE 0 06 UBS SECURITIES LLC (ID 571748-10-2)	4 000	50 03	(200 12) *

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
5/30 6/4	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 1111 150 33 BROKERAGE 0 05 PENSERRA SECURITIES (ID 571748-10-2)	3 000	50 127	(150 38) *
5/30 6/4	Purchase	THE MOSAIC COMPANY NEW @ 49 7945 199 18 BROKERAGE 0 06 MORGAN STANLEY & CO LLC (ID· 61945C-10-3)	4 000	49 81	(199 24) *
5/30 6/4	Purchase	THE MOSAIC COMPANY NEW @ 49 8348 697 69 BROKERAGE 0 21 UBS SECURITIES LLC (ID 61945C-10-3)	14 000	49 85	(697 90) *
5/30 6/4	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 2523 100 50 BROKERAGE 0 03 BARCLAYS CAPITAL LE (ID 571748-10-2)	2 000	50 265	(100 53) *
5/30 6/4	Purchase	THE MOSAIC COMPANY NEW @ 49 9598 149 88 BROKERAGE 0 05 BARCLAYS CAPITAL LE (ID 61945C-10-3)	3 000	49 977	(149 93) *
5/29 6/5	Purchase	THE MOSAIC COMPANY NEW @ 49 8533 49 85 BROKERAGE 0 02 CITIGROUP GLOBAL MKTS INC (ID 61945C-10-3)	1 000	49 87	(49 87) *
5/30 6/5	Purchase	THE MOSAIC COMPANY NEW @ 49 7474 248 74 BROKERAGE 0 08 CITIGROUP GLOBAL MKTS INC (ID 61945C-10-3)	5 000	49 764	(248 82) *
6/2 6/5	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 565 50 57 BROKERAGE 0 02 CREDIT SUISSE FIRST BOSTON LLC (ID 571748-10-2)	1 000	50 59	(50 59)
6/2 6/5	Purchase	THE MOSAIC COMPANY NEW @ 49 9355 549 29 BROKERAGE 0 17 SANFORD C BERNSTEIN & CO INC (SCB (ID 61945C-10-3)	11 000	49 951	(549 46)

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
6/2 6/5	Purchase	THE MOSAIC COMPANY NEW @ 49 7579 149 27 BROKERAGE 0 05 MERRILL LYNCH PIERCE FENNER & SMIT (ID 61945C-10-3)	3 000	49 773	(149 32)
6/2 6/5	Purchase	THE MOSAIC COMPANY NEW @ 49 8431 199 37 BROKERAGE 0 06 MERRILL LYNCH PIERCE FENNER & SMIT (ID 61945C-10-3)	4 000	49 858	(199 43)
6/2 6/5	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 505 1,666 67 BROKERAGE 0 50 IVY SECURITIES, INC (ID 571748-10-2)	33 000	50 52	(1,667 17)
6/2 6/5	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 493 302 96 BROKERAGE 0 09 BARCLAYS CAPITAL LE (ID· 571748-10-2)	6 000	50 508	(303 05)
6/2 6/5	Purchase	THE MOSAIC COMPANY NEW @ 49 7866 448 08 BROKERAGE 0 14 BARCLAYS CAPITAL LE (ID 61945C-10-3)	9 000	49 802	(448 22)
6/3 6/6	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 41 50 41 BROKERAGE 0 02 CREDIT SUISSE FIRST BOSTON LLC (ID 571748-10-2)	1 000	50 43	(50 43)
6/3 6/6	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 4587 201 83 BROKERAGE 0 09 CANTOR FITZGERALD & CO INC (ID 571748-10-2)	4 000	50 48	(201 92)
6/3 6/6	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 5255 252 63 BROKERAGE 0 08 IVY SECURITIES, INC (ID 571748-10-2)	5 000	50 542	(252 71)
6/3 6/6	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 545 50 55 BROKERAGE 0 02 STATE STREET BROKERAGE SVCS (ID 571748-10-2)	1 000	50 57	(50 57)

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
6/3 6/6	Purchase	THE MOSAIC COMPANY NEW @ 48 9613 2,203 26 BROKERAGE 0 68 CITIGROUP GLOBAL MKTS INC (ID 61945C-10-3)	45 000	48 976	(2,203 94)
6/3 6/6	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 4744 50 47 BROKERAGE 0 02 BARCLAYS CAPITAL LE (ID 571748-10-2)	1 000	50 49	(50 49)
6/3 6/6	Purchase	THE MOSAIC COMPANY NEW @ 48 935 146 81 BROKERAGE 0 07 CREDIT SUISSE FIRST BOSTON LLC (ID. 61945C-10-3)	3 000	48 96	(146 88)
6/4 6/9	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 7971 203 19 BROKERAGE 0 09 GOLDMAN SACHS & CO (ID 571748-10-2)	4 000	50 82	(203 28)
6/4 6/9	Purchase	THE MOSAIC COMPANY NEW @ 48 9598 1,615 67 BROKERAGE 0 50 CITIGROUP GLOBAL MKTS INC (ID 61945C-10-3)	33 000	48 975	(1,616 17)
6/4 6/9	Purchase	THE MOSAIC COMPANY NEW @ 48 875 537 63 BROKERAGE 0 17 PENSERRA SECURITIES (ID 61945C-10-3)	11 000	48 891	(537 80)
6/4 6/9	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 5006 303 00 BROKERAGE 0 09 STATE STREET BROKERAGE SVCS (ID 571748-10-2)	6 000	50 515	(303 09)
6/5 6/10	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 6352 101 27 BROKERAGE 0 03 GOLDMAN SACHS & CO (ID 571748-10-2)	2 000	50 65	(101 30)
6/5 6/10	Purchase	THE MOSAIC COMPANY NEW @ 48 8303 732 45 BROKERAGE 0 23 MERRILL LYNCH PIERCE FENNER & SMIT (ID 61945C-10-3)	15 000	48 845	(732 68)

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
6/5 6/10	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 56 50 56 BROKERAGE 0 02 CREDIT SUISSE FIRST BOSTON LLC (ID 571748-10-2)	1 000	50 58	(50 58)
6/5 6/10	Purchase	THE MOSAIC COMPANY NEW @ 48 7062 438 36 BROKERAGE 0 14 CITIGROUP GLOBAL MKTS INC (ID· 61945C-10-3)	9 000	48 722	(438 50)
6/5 6/10	Purchase	SPDR S&P 500 ETF TRUST @ 194 46 403,504 50 BROKERAGE 31 13 DEUTSCHE BANC ALEX BROWN INC (ID 78462F-10-3)	2,075 000	194 475	(403,535 63)
6/5 6/10	Purchase	THE MOSAIC COMPANY NEW @ 48 55 825 35 BROKERAGE 0 26 LIQUIDNET INC (ID 61945C-10-3)	17 000	48 565	(825 61)
6/5 6/10	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 6557 202 62 BROKERAGE 0 06 BARCLAYS CAPITAL LE (ID 571748-10-2)	4 000	50 67	(202 68)
6/6 6/11	Purchase	THE MOSAIC COMPANY NEW @ 48 7307 243 65 BROKERAGE 0 08 MORGAN STANLEY & CO LLC (ID 61945C-10-3)	5 000	48 746	(243 73)
6/6 6/11	Purchase	THE MOSAIC COMPANY NEW @ 48 6453 1,216 13 BROKERAGE 0 38 MERRILL LYNCH PIERCE FENNER & SMIT (ID 61945C-10-3)	25 000	48 66	(1,216 51)
6/6 6/11	Purchase	THE MOSAIC COMPANY NEW @ 48 8567 781 71 BROKERAGE 0 24 LIQUIDNET INC (ID 61945C-10-3)	16 000	48 872	(781 95)
6/6 6/11	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 9408 50 94 BROKERAGE 0 02 SANFORD C BERNSTEIN & CO INC (SCB (ID 571748-10-2)	1 000	50 96	(50 96)
6/6 6/11	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 91 101 82 BROKERAGE 0 05 MERRILL LYNCH PIERCE FENNER & SMIT (ID 571748-10-2)	2 000	50 935	(101 87)

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
6/6 6/11	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 925 50 93 BROKERAGE 0 02 CREDIT SUISSE FIRST BOSTON LLC (ID 571748-10-2)	1 000	50 95	(50 95)
6/6 6/11	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 90 305 40 BROKERAGE 0 14 DEUTSCHE BANC ALEX BROWN INC (ID 571748-10-2)	6 000	50 923	(305 54)
6/6 6/11	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 955 101 91 BROKERAGE 0 03 STATE STREET BROKERAGE SVCS (ID 571748-10-2)	2 000	50 97	(101 94)
6/9 6/12	Purchase	THE MOSAIC COMPANY NEW @ 48 9048 195 62 BROKERAGE 0 06 SANFORD C BERNSTEIN & CO INC (SCB (ID 61945C-10-3)	4 000	48 92	(195 68)
6/9 6/12	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 11 306 66 BROKERAGE 0 14 MERRILL LYNCH PIERCE FENNER & SMIT (ID 571748-10-2)	6 000	51 133	(306 80)
6/9 6/12	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 03 102 06 BROKERAGE 0 05 CREDIT SUISSE FIRST BOSTON LLC (ID 571748-10-2)	2 000	51 055	(102 11)
6/9 6/12	Purchase	THE MOSAIC COMPANY NEW @ 49 095 98 19 BROKERAGE 0 05 CREDIT SUISSE FIRST BOSTON LLC (ID 61945C-10-3)	2 000	49 12	(98 24)
6/9 6/12	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 0866 51 09 BROKERAGE 0 02 CITIGROUP GLOBAL MKTS INC (ID 571748-10-2)	1 000	51 11	(51 11)
6/9 6/12	Purchase	THE MOSAIC COMPANY NEW @ 49 0766 2,012 14 BROKERAGE 0 62 CITIGROUP GLOBAL MKTS INC (ID 61945C-10-3)	41 000	49 092	(2,012 76)

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
6/9 6/12	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 075 102 15 BROKERAGE 0 03 IVY SECURITIES, INC (ID 571748-10-2)	2 000	51 09	(102 18)
6/9 6/12	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 0784 102 16 BROKERAGE 0 03 BARCLAYS CAPITAL LE (ID 571748-10-2)	2 000	51 095	(102 19)
6/9 6/12	Purchase	THE MOSAIC COMPANY NEW @ 49 1107 49 11 BROKERAGE 0 02 STATE STREET BROKERAGE SVCS (ID 61945C-10-3)	1 000	49 13	(49 13)
6/10 6/13	Purchase	BOSTON SCIENTIFIC CORP @ 12 76 1,237 72 BROKERAGE 2 18 MORGAN STANLEY & CO LLC (ID 101137-10-7)	97 000	12 782	(1,239 90)
6/10 6/13	Purchase	BOSTON SCIENTIFIC CORP @ 12 7652 382 96 BROKERAGE 0 45 CITIGROUP GLOBAL MKTS INC (ID. 101137-10-7)	30 000	12 78	(383 41)
6/10 6/13	Purchase	BOSTON SCIENTIFIC CORP @ 12 7917 741 92 BROKERAGE 1 31 MERRILL LYNCH PROF CLEARING CORP (ID 101137-10-7)	58 000	12 814	(743 23)
6/10 6/13	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 1462 51 15 BROKERAGE 0 02 GOLDMAN SACHS & CO (ID 571748-10-2)	1 000	51 17	(51 17)
6/10 6/13	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 1405 51 14 BROKERAGE 0 02 SANFORD C BERNSTEIN & CO INC (SCB (ID 571748-10-2)	1 000	51 16	(51 16)
6/10 6/13	Purchase	BOSTON SCIENTIFIC CORP @ 12 8424 282 53 BROKERAGE 0 33 CITIGROUP GLOBAL MKTS INC (ID 101137-10-7)	22 000	12 857	(282 86)

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
6/10 6/13	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 0886 153 27 BROKERAGE 0 05 CITIGROUP GLOBAL MKTS INC (ID 571748-10-2)	3 000	51 107	(153 32)
6/10 6/13	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 10 102 20 BROKERAGE 0 05 WILLIAM BLAIR & COMPANY LLC (ID 571748-10-2)	2 000	51 125	(102 25)
6/10 6/13	Purchase	BOSTON SCIENTIFIC CORP @ 12 755 63 78 BROKERAGE 0 08 STATE STREET BROKERAGE SVCS (ID 101137-10-7)	5 000	12 772	(63 86)
6/10 6/13	Purchase	THE MOSAIC COMPANY NEW @ 49 0831 343 58 BROKERAGE 0 16 GOLDMAN SACHS & CO (ID 61945C-10-3)	7 000	49 106	(343 74)
6/10 6/13	Purchase	THE MOSAIC COMPANY NEW @ 48 9615 97 92 BROKERAGE 0 03 SANFORD C BERNSTEIN & CO INC (SCB (ID 61945C-10-3)	2 000	48 975	(97 95)
6/10 6/13	Purchase	THE MOSAIC COMPANY NEW @ 49 095 147 29 BROKERAGE 0 07 CREDIT SUISSE FIRST BOSTON LLC (ID 61945C-10-3)	3 000	49 12	(147 36)
6/10 6/13	Purchase	THE MOSAIC COMPANY NEW @ 49 0326 294 20 BROKERAGE 0 09 CITIGROUP GLOBAL MKTS INC (ID 61945C-10-3)	6 000	49 048	(294 29)
6/10 6/13	Purchase	THE MOSAIC COMPANY NEW @ 49 1115 589 34 BROKERAGE 0 18 CITIGROUP GLOBAL MKTS INC (ID 61945C-10-3)	12 000	49 127	(589 52)
6/10 6/13	Purchase	THE MOSAIC COMPANY NEW @ 49 215 196 86 BROKERAGE 0 06 IVY SECURITIES, INC (ID 61945C-10-3)	4 000	49 23	(196 92)

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
6/11 6/16	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 9726 203 89 BROKERAGE 0 06 STATE STREET BROKERAGE SVCS (ID 571748-10-2)	4 000	50 988	(203 95)
6/11 6/16	Purchase	BOSTON SCIENTIFIC CORP @ 12 7693 970 47 BROKERAGE 1 14 CITIGROUP GLOBAL MKTS INC (ID 101137-10-7)	76 000	12 784	(971 61)
6/11 6/16	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 113 51 11 BROKERAGE 0 02 CITIGROUP GLOBAL MKTS INC (ID 571748-10-2)	1 000	51 13	(51 13)
6/11 6/16	Purchase	THE MOSAIC COMPANY NEW @ 48 9255 1,908 09 BROKERAGE 0 59 CITIGROUP GLOBAL MKTS INC (ID 61945C-10-3)	39 000	48 941	(1,908 68)
6/11 6/16	Purchase	BOSTON SCIENTIFIC CORP @ 12 755 51 02 BROKERAGE 0 06 IVY SECURITIES, INC (ID 101137-10-7)	4 000	12 77	(51 08)
6/11 6/16	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 025 51 03 BROKERAGE 0 02 STATE STREET BROKERAGE SVCS (ID 571748-10-2)	1 000	51 05	(51 05)
6/11 6/16	Purchase	BOSTON SCIENTIFIC CORP @ 12 7476 382 43 BROKERAGE 0 45 SANFORD C BERNSTEIN & CO INC (SCB (ID 101137-10-7)	30 000	12 763	(382 88)
6/11 6/16	Purchase	UNION PACIFIC CORP @ 102 1378 18,282 67 BROKERAGE 2 69 SANFORD C BERNSTEIN & CO INC (SCB (ID 907818-10-8)	179 000	102 153	(18,285 36)
6/11 6/16	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 1811 614 17 BROKERAGE 0 27 MERRILL LYNCH PIERCE FENNER & SMIT (ID 571748-10-2)	12 000	51 203	(614 44)
6/11 6/16	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 045 51 05 BROKERAGE 0 02 CREDIT SUISSE FIRST BOSTON LLC (ID 571748-10-2)	1 000	51 07	(51 07)

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
6/11 6/16	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 00 51 00 BROKERAGE 0 02 WILLIAM BLAIR & COMPANY LLC (ID 571748-10-2)	1 000	51 02	(51 02)
6/11 6/16	Purchase	UNION PACIFIC CORP @ 101 6382 5,996 65 BROKERAGE 0 89 BARCLAYS CAPITAL LE (ID 907818-10-8)	59 000	101 653	(5,997 54)
6/11 6/16	Purchase	BOSTON SCIENTIFIC CORP @ 12 723 63 62 BROKERAGE 0 08 STATE STREET BROKERAGE SVCS (ID 101137-10-7)	5 000	12 74	(63 70)
6/11 6/16	Purchase	BOSTON SCIENTIFIC CORP @ 12 828 2,539 94 BROKERAGE 4 46 S G COWEN & CO (ID 101137-10-7)	198 000	12 851	(2,544 40)
6/12 6/17	Purchase	UNION PACIFIC CORP @ 101 1533 6,979 58 BROKERAGE 1 04 MORGAN STANLEY & CO LLC (ID 907818-10-8)	69 000	101 168	(6,980 62)
6/12 6/17	Purchase	THE MOSAIC COMPANY NEW @ 48 6941 243 47 BROKERAGE 0 08 GOLDMAN SACHS & CO (ID 61945C-10-3)	5 000	48 71	(243 55)
6/12 6/17	Purchase	BOSTON SCIENTIFIC CORP @ 12 8119 1,294 00 BROKERAGE 2 27 MORGAN STANLEY & CO LLC (ID 101137-10-7)	101 000	12 834	(1,296 27)
6/12 6/17	Purchase	BOSTON SCIENTIFIC CORP @ 12 8418 3,762 65 BROKERAGE 6 59 MERRILL LYNCH PIERCE FENNER & SMIT (ID 101137-10-7)	293 000	12 864	(3,769 24)
6/12 6/17	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 6717 557 39 BROKERAGE 0 25 MERRILL LYNCH PIERCE FENNER & SMIT (ID 571748-10-2)	11 000	50 695	(557 64)
6/12 6/17	Purchase	THE MOSAIC COMPANY NEW @ 48 7341 97 47 BROKERAGE 0 03 MERRILL LYNCH PIERCE FENNER & SMIT (ID 61945C-10-3)	2 000	48 75	(97 50)

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
6/12 6/17	Purchase	THE MOSAIC COMPANY NEW @ 48 7203 292 32 BROKERAGE 0 14 RBC CAPITAL MARKETS CORPORATION (ID 61945C-10-3)	6 000	48 743	(292 46)
6/12 6/17	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 925 50 93 BROKERAGE 0 02 CREDIT SUISSE FIRST BOSTON LLC (ID 571748-10-2)	1 000	50 95	(50 95)
6/12 6/17	Purchase	BOSTON SCIENTIFIC CORP @ 12 8422 1,014 53 BROKERAGE 1 19 CITIGROUP GLOBAL MKTS INC (ID 101137-10-7)	79.000	12 857	(1,015 72)
6/12 6/17	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 605 50 61 BROKERAGE 0 02 IVY SECURITIES, INC (ID 571748-10-2)	1 000	50 63	(50 63)
6/12 6/17	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 93 50 93 BROKERAGE 0 02 STATE STREET BROKERAGE SVCS (ID 571748-10-2)	1 000	50 95	(50 95)
6/12 6/17	Purchase	BOSTON SCIENTIFIC CORP @ 12 8315 3,490 17 BROKERAGE 6 12 S G COWEN & CO (ID 101137-10-7)	272 000	12 854	(3,496 29)
6/13 6/18	Purchase	BOSTON SCIENTIFIC CORP @ 12 815 12 82 BROKERAGE 0 02 STATE STREET BROKERAGE SVCS (ID 101137-10-7)	1 000	12 84	(12 84)
6/13 6/18	Purchase	BOSTON SCIENTIFIC CORP @ 12 7831 63 92 BROKERAGE 0 08 MORGAN STANLEY & CO LLC (ID 101137-10-7)	5 000	12 80	(64 00)
6/13 6/18	Purchase	BOSTON SCIENTIFIC CORP @ 12 805 243 30 BROKERAGE 0 43 CREDIT SUISSE FIRST BOSTON LLC (ID 101137-10-7)	19 000	12 828	(243 73)
6/13 6/18	Purchase	MARATHON OIL CORP @ 38 9759 8,730 60 BROKERAGE 3 36 SANFORD C BERNSTEIN & CO INC (SCB (ID 565849-10-6)	224 000	38 991	(8,733 96)

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
6/13 6/18	Purchase	BOSTON SCIENTIFIC CORP @ 12 8499 629 65 BROKERAGE 1 10 BMO NESBITT BURNS CORP (ID 101137-10-7)	49 000	12 872	(630 75)
6/13 6/18	Purchase	BOSTON SCIENTIFIC CORP @ 12 78 434 52 BROKERAGE 0 77 MORGAN STANLEY & CO LLC (ID 101137-10-7)	34 000	12 803	(435 29)
6/13 6/18	Purchase	BOSTON SCIENTIFIC CORP @ 12 85 141 35 BROKERAGE 0 25 BNP PARIBAS SECURITIES BOND (ID 101137-10-7)	11 000	12 873	(141 60)
6/13 6/18	Purchase	BOSTON SCIENTIFIC CORP @ 12 8699 1,389 95 BROKERAGE 2 43 BARCLAYS CAPITAL LE (ID 101137-10-7)	108 000	12 892	(1,392 38)
6/13 6/18	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 7475 405 98 BROKERAGE 0 18 MERRILL LYNCH PIERCE FENNER & SMIT (ID 571748-10-2)	8 000	50 77	(406 16)
6/16 6/19	Purchase	MARSH & MCLENNAN COMPANIES INC @ 50 7093 101 42 BROKERAGE 0 03 CITIGROUP GLOBAL MKTS INC (ID 571748-10-2)	2 000	50 725	(101 45)
6/17 6/20	Purchase	FLOWSERVE CORP @ 77 5846 5,198 17 BROKERAGE 1 01 GOLDMAN SACHS & CO (ID 34354P-10-5)	67 000	77 60	(5,199 18)
6/17 6/20	Purchase	TWENTY FIRST CENTY FOX INC CL A @ 35 5743 6,687 97 BROKERAGE 2 82 GOLDMAN SACHS & CO (ID 90130A-10-1)	188 000	35 589	(6,690 79)
6/17 6/20	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 0769 255 38 BROKERAGE 0 08 MERRILL LYNCH PIERCE FENNER & SMIT (ID 571748-10-2)	5 000	51 092	(255 46)
6/17 6/20	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 0113 357 08 BROKERAGE 0 11 ACADEMY SECURITIES, INC (ID 571748-10-2)	7 000	51 027	(357 19)

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
6/18 6/23	Purchase	FLOWSERVE CORP @ 76 7745 25,873 01 BROKERAGE 5 06 MERRILL LYNCH PIERCE FENNER & SMIT (ID 34354P-10-5)	337 000	76 79	(25,878 07)
6/18 6/23	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 515 103 03 BROKERAGE 0 03 IVY SECURITIES, INC (ID 571748-10-2)	2 000	51 53	(103 06)
6/18 6/23	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 4384 360 07 BROKERAGE 0 11 BARCLAYS CAPITAL LE (ID 571748-10-2)	7 000	51 454	(360 18)
6/18 6/23	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 525 206 10 BROKERAGE 0 06 ACADEMY SECURITIES, INC (ID 571748-10-2)	4 000	51 54	(206 16)
6/18 6/23	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 5293 206 12 BROKERAGE 0 06 CANTOR FITZGERALD & CO/CASTLEOAK S (ID 571748-10-2)	4 000	51 545	(206 18)
6/19 6/24	Purchase	ARCHER DANIELS MIDLAND CO @ 45 0701 11,132 31 BROKERAGE 3 71 SANFORD C BERNSTEIN & CO INC (SCB (ID 039483-10-2)	247 000	45 085	(11,136 02)
6/19 6/24	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 8574 414 86 BROKERAGE 0 12 GOLDMAN SACHS & CO (ID 571748-10-2)	8 000	51 873	(414 98)
6/20 6/25	Purchase	MARSH & MCLENNAN COMPANIES INC @ 52 1305 208 52 BROKERAGE 0 09 MERRILL LYNCH PIERCE FENNER & SMIT (ID 571748-10-2)	4 000	52 153	(208 61)
6/20 6/25	Purchase	MARSH & MCLENNAN COMPANIES INC @ 51 885 103 77 BROKERAGE 0 05 CREDIT SUISSE FIRST BOSTON LLC (ID 571748-10-2)	2 000	51 91	(103 82)
Total Settled Securities Purchased					**($564,818.01)**

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For your convenience we have combined statement(s) for activity you conduct through J.P Morgan in one package. Below are important disclosures relating to these different accounts. These statements may relate to various account types. Some of the disclosures are applicable to all of your accounts. For ease of reference the disclosures applicable to a particular type of account have been grouped together by descriptive headers.

IMPORTANT GENERAL INFORMATION APPLICABLE TO ALL OF YOUR ACCOUNT(S)

Important Information about Pricing ,Valuations, Estimated Annual Income, and Estimated Yield

Market value information (including without limitation, prices, exchange rates, accrued income and bond ratings) furnished herein, some of which has been provided by pricing sources that J P Morgan believes to be reliable, is not guaranteed for accuracy but provided for informational purposes and is furnished for the exclusive use of the client

The current price is the value of the financial asset share, unit or contract as priced at the close of the market on the last day of the statement period or the last available price All values provided for structured yield deposits (for example, JPMorgan London Time Deposits) reflect the original deposit amount only The value for Real Estate, Mineral Interests and Miscellaneous Assets may not reflect the most current value of the asset

Important information regarding Auction Rate Securities (ARS) ARS are debt or preferred securities with an interest or dividend rate reset periodically in an auction Although there may be daily, weekly and monthly resets, there is no guarantee that there will be liquidity If there are not enough bids at an auction to redeem the securities available for sale, the result may be a failed auction In the event of a failed auction, there is no assurance that a secondary market will develop or that the security will trade at par or any other price reflected on statements and online Accordingly, investors should not rely on pricing information appearing in their statements or online with respect to ARS When J P Morgan is unable to obtain a price from an internal or outside source for a particular ARS, the price column on your statement will indicate "unpriced"

Valuations of over-the-counter derivative transactions, including certain derivatives-related deposit products, have been prepared on a mid-market basis These valuations are sourced from the various issuers of the securities or they are sourced from a third party valuation provider J P Morgan expressly disclaims any responsibility for (1) the accuracy of the models or estimates used in deriving the valuations, (2) any errors or omissions in computing or disseminating the valuations, and (3) any uses to which the valuations are put Valuations are provided for information purposes only and are intended solely for your own use Please refer to the trade confirmation for details of each transaction

Certain assets, including but not limited to, pooled and private investments, non-publicly traded and infrequently traded securities, derivatives, partnership interests and tangible assets are generally illiquid, the value of such asset may have been provided to us by third parties who may or may not be independent of the issuer or manager Such information is reflected as of the last date provided to us, and is not independently verified

In cases where we are unable to obtain a current market value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced" Although such securities may have value, please note that the value of a security indicated as "unpriced" will not be included in your overall current market value as reflected on the statement

J P Morgan makes no representation, warranty or guarantee, express or implied, that any quoted value represents the actual terms at which securities could be bought or sold or new transactions could be entered into, or the actual terms on which existing transactions or securities could be liquidated Such values may only be indicative

When we are unable to obtain a current value from an internal or outside source for a particular security, the price column on your statement will indicate "unpriced"

If a partial call is made with respect to an issue of securities included in your Accounts we will allocate the call by a method we deem fair and equitable

To the extent applicable, please note the following regarding estimated annual income (EAI) and estimated yield (EY) EAI and EY for certain types of securities could include a return of principal or capital gains in which case the EAI and EY would be overstated EAI and EY are estimates and the actual income and yield might be lower or higher than the estimated amounts EY reflects only the income generated by an investment It does not reflect changes in its price, which may fluctuate

Offshore Deposits - London and Nassau

Deposits in Foreign Branches are not insured by the FDIC or any other Agency of the Federal Government, amounts in such foreign accounts do not have the benefit of any domestic preference applicable to U.S Banks; certain Foreign accounts are considered reportable to the Internal Revenue Service on a Report of Foreign Bank and Financial Accounts (TD F 90-22.1).

Bank products and services are offered through JPMorgan Chase Bank, N A ("JPMCB") and its banking affiliates Securities are offered by J P Morgan Securities LLC ("JPMS") and, to the extent noted below, cleared through J P Morgan Clearing Corp ("JPMCC")

Neither JPMS, nor JPMCC is a bank and are each separate legal entities from its bank or thrift affiliates

Investment Products: Not FDIC Insured -No Bank Guarantee -May Lose Value

Fund manager disclosure information available upon request

If you have an investment account that is managed by an SEC-Registered Investment Advisor, J P Morgan will provide a copy of the advisor's Form ADV II or brochure upon written request

These statements are not official documents for income tax reporting purposes and should not be relied upon for such purposes, including determination of income, cost basis, amortization or accretion, or gain/loss Such information, which may be inaccurate, incomplete or subject to updating, should be confirmed with your records and your tax advisor

Please take the steps indicated below if you think statement(s) are incorrect or contact your J.P.Morgan team if you require additional information about a transaction on your statement(s).

IMPORTANT INFORMATION APPLICABLE ONLY TO YOUR FIDUCIARY ACCOUNT(S) WHICH REFLECTS ASSETS HELD AT JPMORGAN CHASE BANK, N.A. OR A BANKING OR TRUST AFFILIATE

IMPORTANT ADDITIONAL INFORMATION SPECIFIC TO A TRUST OF WHICH YOU ARE A BENEFICIARY

Limitation of Action Against Trustee State laws provide that an action against a trustee for breach of trust based on matters in a trustee's report that adequately disclose the existence of a potential claim for breach of trust may be barred unless the action is commenced within a certain time period after your receipt of such a report The limitations periods for certain states are as follows - Florida and Utah within 6 months, Arizona, Arkansas, District of Columbia, Kansas, Maine, Michigan, Missouri, North Dakota, New Hampshire, New Mexico, Nebraska, Oregon, South Carolina, Tennessee, Vermont, West Virginia and Virginia within 1 year, Alabama, Ohio, Oklahoma and Wyoming within 2 years, California within 3 years For other state limitation periods or questions, please consult your attorney This disclosure is hereby made a part of the trustee s written report(s) (consisting of the statements relating to your trust(s)) provided to you as a trust beneficiary and is incorporated therein

IF THIS STATEMENT RELATES TO A TRUST GOVERNED BY CALIFORNIA LAW FOR WHICH JPMorgan Chase Bank, N.A. ("JPMCB") IS A FIDUCIARY, California Probate Code Section 16060, et seq , requires us to tell you the following

- The recipient of this account may petition the court pursuant to California Probate Code Section 17200 to obtain a court review of this account and of the acts of the trustee reported here
- Claims against the Trustee for breach of trust must be made within three years of the date the beneficiary receives an account or report disclosing facts giving rise to the claim

If you have any questions regarding your statement, please call your Fiduciary Manager

IMPORTANT INFORMATION ABOUT YOUR INVESTMENTS AND POTENTIAL CONFLICTS OF INTEREST APPLICABLE TO ALL OF YOUR MANAGED INVESTMENT ACCOUNT(S)

Conflicts of interest may arise whenever JPMorgan Chase Bank, N A or any of its affiliates (together, "J P Morgan") has an actual or perceived economic or other incentive in its management of our clients' portfolios to act in a way that benefits J P Morgan Conflicts may result, for example (to the extent the following activities are permitted in your account) (1) when J.P Morgan invests in an investment product, such as a mutual fund, structured product, separately managed account or hedge fund issued or managed by JPMorgan Chase Bank, N A or an affiliate, such as J P Morgan Investment Management Inc , (2) when a J P Morgan entity obtains services, including trade execution and trade clearing, from an affiliate such as J P Morgan Securities LLC or J P Morgan Clearing Corp, (3) when J P Morgan receives payment as a result of purchasing an investment product for a client's account, or (4) when J P Morgan receives payment for providing services (including shareholder servicing, recordkeeping or custody) with respect to investment products purchased for a client's portfolio Other conflicts may result because of relationships that J P Morgan has with other clients or when J P Morgan acts for its own account

Prospective investment strategies are carefully selected from both J P Morgan and third-party asset managers across the industry and are subject to a rigorous and ongoing review process that is consistently applied by our manager research teams Recommended strategies are then subject to investment committee review and approval

From the approved pool of strategies, our portfolio construction teams select those strategies we believe best fit our asset allocation goals and forward looking views in order to meet the portfolio's investment objective As a general matter, we prefer J P Morgan managed strategies unless we think third-party managers offer substantially differentiated portfolio construction benefits Consequently, we expect the proportion of J P Morgan managed strategies will be high (in fact, up to 100 percent) in strategies such as, for example, cash and high-quality fixed income, subject to applicable law and any account-specific considerations

We prefer internally managed strategies because they generally align well with our forward looking views and our familiarity with the investment processes, as well as the risk and compliance philosophy that comes from being part of the same firm It is important to note that J P Morgan Chase receives more overall fees when internally managed strategies are included

PRODUCT RELATED DISCLOSURE· IMPORTANT INFORMATION ABOUT ALTERNATIVE INVESTMENTS: FOOTNOTES 1 - 4

Private Equity, Hedge Funds, Exchange Funds, Real Estate and Other Alternative Assets

1 Direct private equity investments and pooled private investments (e g , interests in limited partnerships and limited liability companies) are generally illiquid securities Values are estimates only and are not warranted for accuracy or completeness Values do not represent the actual terms at which transactions or securities could be bought or sold or new transactions could be entered into or the actual terms on which existing transactions could be liquidated as of the date of this statement

Direct private equity investments are generally valued at cost, unless there is an active secondary trading market in the securities, in which case, direct private equity investments are valued using market prices as of the close of the last business day for this statement period Pooled private equity investments are valued according to the General Partner's or Manager's stated methodology. Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each investment for the specific valuation methodology used by the General Partner or Manager for that investment or for your Investment Management or Trust account contact your J P Morgan team J P Morgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put Valuations are provided for information purposes only and are intended solely for your own use

Hedge funds generally calculate the price (the "Net Asset Value" or " NAV"), 10-15 business days following the last business day of the month For that reason, hedge fund NAVs shown will generally be the NAV of the month preceding this statement period In general, the NAV is stated net of management and incentive fees The NAV is calculated according to the General Partner's or Manager's stated methodology Please refer to the limited partnership agreement, limited liability company agreement or other operative documentation for each hedge fund for the specific methodology used by the General Partner or Manager for that hedge fund or for your Investment Management or Trust account contact your J P Morgan team J P Morgan expressly disclaims any responsibility for (1) the accuracy of the information and the methodology used by the General Partner or Manager in deriving valuations, (2) any errors or omissions in compiling or disseminating the valuations, and (3) any use to which the valuations are put Valuations are provided for information purposes only and are intended solely for your own use

These statements do not provide tax reporting on private investments Please continue to rely upon the General Partner or Manager of the investment vehicle for this information or for your Investment Management or Trust account contact your J P Morgan team

2 The 'Capital Called Since Inception USD' and 'Cash/Security distributions Since Inception USD' columns are updated monthly to reflect activity (capital calls and distributions), if any

3 Hedge funds generally allow subscriptions on a monthly or quarterly basis An interest in a hedge fund or hedge fund of funds is generally as of the first business day of the subscription period Due to early funding requirements by the applicable fund, your account may be debited for the subscription amount prior to the subscription date Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J P Morgan team

Hedge fund redemptions are generally on a monthly, quarterly or annual basis but can sometimes be subject to a multi-year lockup before a redemption is permitted For redemptions, there is generally a notification period that can be a long interval before the actual redemption date Redemption proceeds are generally paid 15 calendar days after the final NAV is issued, but can be subject to a holdback of a portion of the proceeds until an annual audit of the Fund has been completed Please refer to the applicable hedge fund's operative documentation for further details or for your Investment Management or Trust account contact your J P Morgan team

Global Access Portfolios share certain characteristics with hedge funds In particular, an investor who withdraws from a Global Access Portfolio will not receive the withdrawal proceeds in their entirety until 30-60 days after completion of the Portfolio's audit, which may be 18 months or longer after the redemption date Interest might not be paid on any proceeds pending distribution These restrictions apply even if the investor's account at JPMorgan is closed The Confidential Private Placement Memorandum and applicable Supplements contain other important information about the Global Access Portfolios and are available upon request or for your Investment Management or Trust account contact your J P Morgan team

4 Alternative assets may include publicly available mutual funds that utilize non-traditional investment management strategies, for example, strategies commonly employed by hedge funds Mutual funds generally calculate the price (the "Net Asset Value" or "NAV") on a daily basis and mutual fund NAVs shown on your statement generally will be the NAV as of the close of the last business day for this statement period Please refer to the applicable mutual fund prospectus for further details If the applicable mutual fund is a JPMorgan Fund, please refer to disclosures on this statement concerning JPMorgan Funds for other important information

PRODUCT RELATED DISCLOSURE: THE JPMORGAN FUNDS OR THIRD PARTIES

Shares of the funds referenced above are not bank deposits and are not guaranteed by any bank, government entity, or the FDIC Return and share price will fluctuate and redemption value may be more or less than original cost While the money market funds seek to maintain a stable net asset value of $1 00 per share, there is no assurance that they will continue to do so The estimated annual income and dividend yield figures for mutual funds represent the funds' most recent income dividend annualized

Prospectuses and other fund information for JPMorgan funds may be obtained by calling your J P Morgan team or JPMorgan Distribution Services, Inc. at (800) 480-4111 You also may view and order materials online for JPMorgan funds at www jpmorganfunds com

J P Morgan affiliates may receive compensation from the JPMorgan funds for providing investment advisory services to the funds J P Morgan affiliates may also provide administrative, custodial, sales, distribution, shareholder or other services to the JPMorgan Funds or funds established, sponsored, advised, or managed by third parties, and J P Morgan affiliates may be compensated for such services as allowed by applicable law The distributor of the JPMorgan Funds is JPMorgan Distribution Services, Inc , which is an affiliate of JPMCB

Assets may be reflected herein even though they may be held by a third party unaffiliated with J P Morgan In such cases, unless J P Morgan otherwise agrees, J P Morgan has no responsibility for the verification, valuation, safekeeping or management of those assets