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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 07-01-2013 , and ending 06-30-2014

Name of foundation The Carl Marks Foundation Inc		A Employer identification number 13-6169215	
% FOUNDATION SOURCE		B Telephone number (see instructions)	
Number and street (or P O box number if mail is not delivered to street address) Foundation Source 501 Silverside Rd Suite 123			
City or town, state or province, country, and ZIP or foreign postal code Wilmington, DE 198091377		C If exemption application is pending, check here ▶ <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 24,428,466		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ▶ <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	296	296		
	4 Dividends and interest from securities.	172,223	172,223		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	1,691,558			
	b Gross sales price for all assets on line 6a 4,649,620				
	7 Capital gain net income (from Part IV, line 2) . . .		1,691,558		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	1,864,077	1,864,077		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages	60,946			60,946
	15 Pension plans, employee benefits	4,662			4,662
	16a Legal fees (attach schedule)	1,523	0	0	1,523
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	84,252			84,252
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	9,815	3,015		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings	1,433			1,433
	22 Printing and publications				
	23 Other expenses (attach schedule)	38,448	290		38,158
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	201,079	3,305	0	190,974
	25 Contributions, gifts, grants paid	1,809,620			1,809,620
	26 Total expenses and disbursements. Add lines 24 and 25	2,010,699	3,305	0	2,000,594
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-146,622			
	b Net investment income (if negative, enter -0-)		1,860,772		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	305,534	3,093,056	3,093,056
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	17,137,270	14,203,126	21,335,410
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	17,442,804	17,296,182	24,428,466	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	17,442,804	17,296,182	
	30	Total net assets or fund balances (see page 17 of the instructions)	17,442,804	17,296,182	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	17,442,804	17,296,182	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	17,442,804
2	Enter amount from Part I, line 27a	2	-146,622
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	17,296,182
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	17,296,182

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a Publicly-traded Securities				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 4,649,620		2,958,062	1,691,558	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	1,691,558
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		}	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	3,041,277	23,215,890	0 131
2011	2,418,678	22,895,862	0 105638
2010	1,784,442	27,173,598	0 065668
2009	1,742,622	24,600,504	0 070837
2008	1,716,310	23,405,369	0 07333

2 Total of line 1, column (d).	2	0 446473
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 089295
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	24,104,460
5 Multiply line 4 by line 3.	5	2,152,408
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	18,608
7 Add lines 5 and 6.	7	2,171,016
8 Enter qualifying distributions from Part XII, line 4.	8	2,000,594

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)					
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	37,215		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)					
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		2	37,215		
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)					
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)				2	
3 Add lines 1 and 2.				3	37,215
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4			
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	37,215		
6 Credits/Payments		7	37,215		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a			14,400	
b Exempt foreign organizations—tax withheld at source	6b				
c Tax paid with application for extension of time to file (Form 8868)	6c			22,815	
d Backup withholding erroneously withheld	6d				
7 Total credits and payments Add lines 6a through 6d.		7	37,215		
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached		8			
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9			
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10			
11 Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>		11			

Part VII-A Statements Regarding Activities				
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		Yes	No
		1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?.	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation .</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of FOUNDATION SOURCE Telephone no (800) 839-1754 Located at 501 Silverside Road Suite 123 Wilmington DE ZIP+4 198091377			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Ms Susan Shankman	PROGRAM ADMIN	60,946		
575 RIVERSIDE AVE STE 202A	40 0			
Westport,CT 06880				
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Marks Family Foundation	Philanthropic	83,189
900 Third Avenue 33rd Fl New York, NY 10022		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2		Amount
1		
2		
All other program-related investments See page 24 of the instructions		
3		
Total. Add lines 1 through 3		

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	23,197,940
b	Average of monthly cash balances.	1b	1,273,593
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	24,471,533
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	24,471,533
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	367,073
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	24,104,460
6	Minimum investment return. Enter 5% of line 5.	6	1,205,223

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	1,205,223
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	37,215
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	37,215
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	1,168,008
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	1,168,008
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	1,168,008

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	2,000,594
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	2,000,594
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	2,000,594
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1		Distributable amount for 2013 from Part XI, line 7			
2		Undistributed income, if any, as of the end of 2013			
a		Enter amount for 2012 only.			
b		Total for prior years 2011 , 2010 , 2009			
3		Excess distributions carryover, if any, to 2013			
a		From 2008.			
b		From 2009.			
c		From 2010.			
d		From 2011. 1,089,026			
e		From 2012. 1,895,514			
f		Total of lines 3a through e. 2,984,540			
4		Qualifying distributions for 2013 from Part XII, line 4 \$ 2,000,594			
a		Applied to 2012, but not more than line 2a			
b		Applied to undistributed income of prior years (Election required—see instructions).			
c		Treated as distributions out of corpus (Election required—see instructions).			
d		Applied to 2013 distributable amount. 1,168,008			
e		Remaining amount distributed out of corpus 832,586			
5		Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6		Enter the net total of each column as indicated below:			
a		Corpus Add lines 3f, 4c, and 4e Subtract line 5 3,817,126			
b		Prior years' undistributed income Subtract line 4b from line 2b.			
c		Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d		Subtract line 6c from line 6b Taxable amount—see instructions.			
e		Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions.			
f		Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014. 0			
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).			
8		Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions).			
9		Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a. 3,817,126			
10		Analysis of line 9			
a		Excess from 2009.			
b		Excess from 2010.			
c		Excess from 2011. 1,089,026			
d		Excess from 2012. 1,895,514			
e		Excess from 2013. 832,586			

Part XIV

4942(j)(3) or 4942(j)(5)

3 Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test—enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .

c "Support" alternative test—enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

■ List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ If the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	1,809,620
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1

Cash.

2

Other assets.

b

Other transactions

1

Sales of assets to a noncharitable exempt organization.

2

Purchases of assets from a noncharitable exempt organization.

3

Rental of facilities, equipment, or other assets.

4

Reimbursement arrangements.

5

Loans or loan guarantees.

6

Performance of services or membership or fundraising solicitations.

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Yes

No

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes

No

b

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

2015-05-01

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

Yes

No

Paid Preparer Use Only

Print/Type preparer's name

Jeffrey D Haskell

Preparer's Signature

Date

Check if self-employed

PTIN

P01345770

Firm's name

Foundation Source

Firm's EIN

Firm's address









One Hollow Ln Ste 212 Lake Success, NY 11042

Phone no

(800) 839-1754

Form 990-PF (2013)


Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Andrew M Boas 	Co-Pres / Dir 1 0	0		
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Carol A Boas 	Treas / Dir 1 0	0		
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Carol L Boas 	Sec / Assistant Treas / Dir 1 0	0		
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Rick Boas 	VP / Dir 1 0	0		
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Marjorie Boas-Levins 	Chairman / Dir 1 0	0		
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Mark Claster 	Co-Pres / Dir 1 0	0		
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Susan B Claster 	VP / Dir 1 0	0		
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				
Jack Levins 	Asst Sec/Asst TreaS 1 0	0		
Foundation Source 501 Silverside Rd Wilmington, DE 198091377				


Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

ANDREW M BOAS
MARK CLASTER


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
A BETTER CHANCE OF WESTPORT INC PO BOX 2153 WESTPORT,CT 06880	N/A	PC	General & Unrestricted	500
ALZHEIMER'S DISEASE AND RELATED DISORDERS ASSn PO BOX 96011 WASHINGTON,DC 20090	N/A	PC	General & Unrestricted	1,000
AMERICAN CANCER SOCIETY 250 WILLIAMS ST ATLANTA,GA 30303	N/A	PC	The Fresh Meadow Country Club's Babes Against Cancer campaign	500
AM COMMITTEE FOR THE WEIZMANN INSTITUTE OF Science 633 3RD AVE FL 20 NEW YORK,NY 10017	N/A	PC	General & Unrestricted	1,000
AMERICAN DIABETES ASSOCIATION INC 55 E MONROE ST ste 3420 CHICAGO,IL 60603	N/A	PC	General & Unrestricted	1,000
AMERICAN FRIENDS OF SHALVA ISRAEL INC 315 5TH AVE FL 6 NEW YORK,NY 10016	N/A	PC	General & Unrestricted	25,000
AMERICAN FRIENDS OF SHALVA ISRAEL INC 315 5TH AVE FL 6 NEW YORK,NY 10016	N/A	PC	Final grant for the capital campaign	50,000
AMERICAN FRIENDS OF THE HEBREW UNIVERSITY INC ONE BATTERY PARK PLZ 25TH FL NEW YORK,NY 10004	N/A	PC	General & Unrestricted	3,000
AM FRIENDS OF THE ISRAEL PHILHARMONIC ORCHESTra 122 E 42ND ST RM 4507 NEW YORK,NY 10168	N/A	PC	General & Unrestricted	2,500
AM FRIENDS OF THE SOROKA MEDICAL CTR OF the negev PO BOX 184H SCARSDALE,NY 10583	N/A	PC	General & Unrestricted	1,800
AM SOCIETY FOR TECHNION-ISRAEL INSTITUTE OF tech 55 E 59TH ST NEW YORK,NY 10022	N/A	PC	General & Unrestricted	1,000
AMERICARES FOUNDATION INC 88 HAMILTON AVE STAMFORD,CT 06902	N/A	PC	General & Unrestricted	15,000
ANN & ROBERT H LURIE CHILDRENS HOSPITAL OF CHI 225 E CHICAGO AVE BOX 4 CHICAGO,IL 60611	N/A	PC	Patient Emergency Fund	40,000
ANN & ROBERT H LURIE CHILDRENS HOSPITAL OF CHI 225 E CHICAGO AVE BOX 4 CHICAGO,IL 60611	N/A	PC	Strengthening Chicago's Youth Program	5,000
ANTI-DEFAMATION LEAGUE 605 3RD AVE NEW YORK,NY 10158	N/A	PC	General & Unrestricted	1,000
Total  3a				1,809,620


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ANTI-DEFAMATION LEAGUE OF BNAI BRITH 1952 WHITNEY AVE 3RD FL HAMDEN,CT 06517	N/A	PC	General & Unrestricted	5,000
APPALACHIAN MOUNTAIN CLUB 5 JOY ST BOSTON,MA 02108	N/A	PC	Trail Maintenance Youth Program	5,000
ARBOR BROTHERS INC 56 E 126TH ST ste 1 NEWYORK,NY 10035	N/A	PC	General & Unrestricted	10,000
ARTHRITIS FOUNDATION INC 122 E 42ND ST FL 18 NEWYORK,NY 10168	N/A	PC	General & Unrestricted	100
B'NAI B'RITH INTERNATIONAL 134 N LASALLE ST ste 1530 CHICAGO,IL 60602	N/A	PC	General & Unrestricted	300
BE THE MATCH FOUNDATION 3001 BROADWAY ST NE STE 601 MINNEAPOLIS,MN 55413	N/A	PC	General & Unrestricted	5,000
BI CULTURAL DAY SCHOOL 2186 HIGH RIDGE RD STAMFORD,CT 06903	N/A	PC	Ayelet Yardena Wiederhorn Bat Mitzvah Fund	180
BRANDEIS UNIVERSITY MS 027 415 S ST WALTHAM,MA 02453	N/A	PC	SCHOLARSHIP FUND	1,000
BUILDERS BEYOND BORDERS 8 WILLARD RD NORWALK,CT 06851	N/A	PC	General & Unrestricted	3,950
CENTER FOR JEWISH HISTORY INC 15 W 16TH ST NEWYORK,NY 10011	N/A	PC	General & Unrestricted	5,000
CHERRY CREEK SCHOOLS FOUNDATION 4700 SYOSEMITE ST STE 1 GREENWOOD VILLAGE,CO 80111	N/A	PC	General & Unrestricted	15,000
CHILD ABUSE PREVENTION SERVICES INC PO BOX 176 ROSLYN,NY 11576	N/A	PC	General & Unrestricted	300
CHILDRENS MEDICAL FUND OF NEWYORK 300 ROBBINS LN SYOSSET,NY 11791	N/A	PC	General & Unrestricted	1,000
CLASP HOMES INC 246 POST RD E WESTPORT,CT 06880	N/A	PC	General & Unrestricted	5,300
CNATURENET 808 14TH AVE SE MINNEAPOLIS,MN 55414	N/A	PC	General & Unrestricted	5,000
Total  3a				1,809,620


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
CONNECTICUT COALITION FOR ACHIEVEMENT NOW INC 85 WILLOW ST NEW HAVEN,CT 06511	N/A	PC	General & Unrestricted	50,000
CONNECTICUT COALITION FOR ACHIEVEMENT NOW INC 85 WILLOW ST NEW HAVEN,CT 06511	N/A	PC	Charitable Event	1,000
CONNECTICUT COMMUNITY FOUNDATION INC 43 FIELD ST WATERBURY,CT 06702	N/A	PC	Give Local Brass City Charter School Fund	5,000
CONNECTICUT FOOD BANK INC PO BOX 8686 NEW HAVEN,CT 06512	N/A	PC	General & Unrestricted	5,000
CONNECTICUT HOSPICE INC 100 DOUBLE BEACH RD BRANFORD,CT 06405	N/A	PC	Fay Fund	20,000
CONNECTICUT VETERANS LEGAL CENTER 114 ORANGE AVE 2ND FL WEST HAVEN,CT 06516	N/A	PC	General & Unrestricted	500
CORNELL UNIVERSITY 260 DAY HALL ITHACA,NY 14853	N/A	PC	Summer School-AF Brooklyn Program SCHOLARSHIP FUND	6,020
CORNELL UNIVERSITY 260 DAY HALL ITHACA,NY 14853	N/A	PC	Scholarship Fund	250,000
CREATIVE VISIONS FOUNDATION 18820 PACIFIC COAST HWY STE 201 MALIBU,CA 90265	N/A	PC	Cardboard Challenge Project	1,000
CROHNS & COLITIS FOUNDATION OF AMERICA 733 3RD AVE NEW YORK,NY 10017	N/A	PC	General & Unrestricted	3,500
CT AGAINST GUN VIOLENCE EDUCATION FUND INC PO BOX 523 SOUTHPORT,CT 06890	N/A	PC	General & Unrestricted	1,000
CYPRESS HILLS LOCAL DEVELOPMENT CORPORATION INC 625 JAMAICA AVE BROOKLYN,NY 11208	N/A	PC	Moving Towards Achievement Program	50,000
CYSTIC FIBROSIS FOUNDATION 424 MADISON AVE 6TH FL NEW YORK,NY 10017	N/A	PC	General & Unrestricted	100
DOCTORS WITHOUT BORDERS USA INC 333 7TH AVE 2ND FL NEW YORK,NY 10001	N/A	PC	General & Unrestricted	15,000
DOMUS KIDS INC 83 LOCKWOOD AVE STAMFORD,CT 06902	N/A	PC	General & Unrestricted	2,500
Total  3a				1,809,620


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
DONORSCHOOSE ORG 134 W 37TH ST 11TH FL NEW YORK,NY 10018	N/A	PC	General & Unrestricted	5,000
ECHOING GREEN FOUNDATION 494 8TH AVE ste 2 NEW YORK,NY 10001	N/A	PC	General & Unrestricted	1,000
EGLEVSKY BALLET COMPANY OF LI INC 700 HICKSVILLE RD STE 102 BETHPAGE,NY 11714	N/A	PC	General & Unrestricted	500
FIVER CHILDRENS FOUNDATION INC 519 8TH AVE 24TH FL NEW YORK,NY 10028	N/A	PC	Step Up to High School and Beyond Program	50,000
FJC 520 8TH AVE FL 20 NEW YORK,NY 10018	N/A	PC	Pink Aid-Ken Olson program	250
FRACTURED ATLAS INC 248 W 35TH ST 10TH FLR NEW YORK,NY 10001	N/A	PC	Loft Opera Project	2,500
FRESH AIR FUND 633 3RD AVE NEW YORK,NY 10017	N/A	PC	General & Unrestricted	100
FRIENDS OF BAXTER STATE PARK PO BOX 609 UNION,ME 04862	N/A	PC	General & Unrestricted	750
FRIENDS OF BURLEY 1630 W BARRY AVE CHICAGO,IL 60657	N/A	PC	General & Unrestricted	25,000
FRIENDS OF THE CHILDREN NEW YORK PO BOX 1649 NEW YORK,NY 10026	N/A	PC	support for a Friend Program	50,000
FRIENDS OF THE ISRAEL DEFENSE FORCES 1430 BROADWAY RM 1301 NEW YORK,NY 10018	N/A	PC	Impact Scholarship Fund	4,000
FRIENDS OF THE NORWALK RIVER VALLEY TRAIL INC 115 NOD HILL RD RIDGEFIELD,CT 06877	N/A	PC	General & Unrestricted	250
FRIENDS OF YEMIN ORDE INC 12230 WILKINS AVE ROCKVILLE,MD 20852	N/A	PC	General & Unrestricted	10,000
FRIENDS OF YEMIN ORDE INC 12230 WILKINS AVE ROCKVILLE,MD 20852	N/A	PC	Graduates Program	50,000
FUTURES WITHOUT VIOLENCE 100 MONTGOMERY ST SAN FRANCISCO,CA 94129	N/A	PC	General & Unrestricted	5,000
Total  3a				1,809,620

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
GLOBAL JUSTICE ECOLOGY PROJECT INC 230 N ST BUFFALO,NY 14201	N/A	PC	General & Unrestricted	10,000
G RICHARDS L POLATSCH & S WEINGARD MEMorial fd 4 EDGEWOOD DR RYE BROOK,NY 10573	N/A	PC	General & Unrestricted	1,000
HABITAT FOR HUMANITY INTERNATIONAL INC 51 AUSTIN ST DANBURY,CT 06810	N/A	PC	General & Unrestricted	5,000
HELEN KELLER INTERNATIONAL INC 352 PARK AVE S STE 1200 NEWYORK,NY 10010	N/A	PC	ChildSight Program	20,000
HILLEL FOUNDATION AT TUFTS UNIVERSITY 220 PACKARD AVE MEDFORD,MA 02155	N/A	PC	General & Unrestricted	250
HILLEL THE FOUNDATION FOR JEWISH CAMPUS LIFE G-34 Anabel Taylor Hall ITHACA,NY 14853	N/A	PC	General & Unrestricted	1,000
HOFSTRA UNIVERSITY - SCHOOL OF LAW 121 HOFSTRA UNIVERSITY RM 029L HEMPSTEAD,NY 11549	N/A	PC	Alan N Resnick Endowed Scholarship Fund	5,000
HOLE IN THE WALL GANG FUND INC 555 LONG WHARF DR NEW HAVEN,CT 06511	N/A	PC	Charitable Event	1,800
HOMES WITH HOPE INC 49 RICHMONDVILLE AVE STE 112 WESTPORT,CT 06880	N/A	PC	General & Unrestricted	2,500
HORACE MANN SCHOOL 231 W 246TH ST BRONX,NY 10471	N/A	PC	Drama Department fund	5,000
HORN OF AFRICA EDUCATION DEVELOPMENT FUND 446 Main St ste 8 WORCESTER,MA 01608	N/A	PC	General & Unrestricted	2,500
HUMAN RIGHTS WATCH INC 350 5TH AVE FL 34 NEWYORK,NY 10118	N/A	PC	General & Unrestricted	5,000
INTERFAITH NUTRITION NETWORK 211 FULTON AVE HEMPSTEAD,NY 11550	N/A	PC	The INNkeeper's Ball 2014 Program	3,250
INTERNATIONAL RESCUE COMMITTEE INC 122 E 42ND ST NEWYORK,NY 10168	N/A	PC	General & Unrestricted	10,000
ITHACA COLLEGE 953 DANBY RD ITHACA,NY 14850	N/A	PC	Jewish Studies Program	10,000
Total  3a				1,809,620


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ITNCOASTALCT INC 303 LINWOOD AVE FAIRFIELD,CT 06824	N/A	PC	General & Unrestricted	500
J P HAITIAN RELIEF ORGANIZATION 6464 W SUNSET BLVD STE 1170 LOS ANGELES,CA 90028	N/A	PC	Charitable Event	10,000
JEWISH CHILD CARE ASSOCIATION OF NEWYORK 858 E 29TH ST BROOKLYN,NY 11210	N/A	PC	General & Unrestricted	500
JEWISH FEDERATION OF PALM BEACH COUNTY INC 4601 COMMUNITY DR WEST PALM BEACH,FL 33417	N/A	PC	General & Unrestricted	10,000
JEWISH HOME LIFECARE MANHATTAN 120 W 106TH ST NEWYORK,NY 10025	N/A	PC	Geriatric Career Development Program	50,000
JOBS FOR AMERICAS GRADUATES INC 1729 KING ST STE 100 ALEXANDRIA,VA 22314	N/A	PC	JAG - connecticut Division	10,000
JUILLIARD SCHOOL 60 LINCOLN CENTER PLZA RM 225 NEWYORK,NY 10023	N/A	PC	Chamber Music Program	1,000
JUMOKE ACADEMY INC 834 ASYLUM AVE HARTFORD,CT 06105	N/A	PC	FUSE, Inc Fund	15,000
KIDS IN DISTRESSED SITUATIONS INC 112 W 34TH ST FL 22 NEWYORK,NY 10120	N/A	PC	General & Unrestricted	2,500
KIDS OF NYU FOUNDATION INC ONE PARK AVE OFC OF DEVELOPMent NEWYORK,NY 10011	N/A	PC	Charitable Event	5,000
KOREA FOUNDATION FOR WOMEN 5f korea fdn for women bldg 448-17 seoul,SEOGY 122-7 KS	N/A	PC	general & unrestricted	28,050
KOREA FOUNDATION FOR WOMEN 5f korea fdn for women bldg 448-17 seoul,SEOGY 122-7 KS	N/A	PC	general & unrestricted	85,179
LEARNING ALLY 20 ROSZEL RD PRINCETON,NJ 08540	N/A	PC	General & Unrestricted	2,500
LEAVE OUT VIOLENCE LOVE US INC 32 W 28TH ST FL 3 NEWYORK,NY 10001	N/A	PC	General & Unrestricted	300
LEUKEMIA & LYMPHOMA SOCIETY INC 1311 MAMARONECK AVE STE 310 WHITE PLAINS,NY 10605	N/A	PC	General & Unrestricted	100
Total  3a				1,809,620


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
LEUKEMIA & LYMPHOMA SOCIETY INC 61 BROADWAY STE 400 NEWYORK,NY 10006	N/A	PC	General & Unrestricted	200
MARCH OF DIMES FOUNDATION 1275 MAMARONECK AVE WHITE PLAINS,NY 10605	N/A	PC	General & Unrestricted	25,000
MEDIA ISLAND INTERNATIONAL DTD 0391 PO BOX 7204 OLYMPIA,WA 98507	N/A	PC	Black Mesa Indigenous Support Program	8,000
MEMORIAL SLOAN KETTERING CANCER CENTER 1275 YORK AVE NEWYORK,NY 10065	N/A	PC	Cycle for Survival Program	500
MORRYS CAMP INC 350 EXECUTIVE BLVD ELMSFORD,NY 10523	N/A	PC	General & Unrestricted	5,000
MORSELIFE FOUNDATION INC 4847 FRED GLADSTONE DR WEST PALM BCH,FL 33417	N/A	PC	General & Unrestricted	1,000
MOUNT SINAI SCHOOL OF MEDICINE OF NY UNIVERSItY ONE GUSTAVE L LEVY PL NEWYORK,NY 10029	N/A	PC	Department of O phththalmology	2,500
NATL CoMMiTtee FOR THE PREVENTION OF ELDER Abuse 1730 RHODE ISLAND AVE NW WASHINGTON,DC 20036	N/A	PC	General & Unrestricted	2,500
NATIONAL COUNCIL OF JEWISH WOMEN INCORPORATED 475 RIVERSIDE DR STE 1901 NEWYORK,NY 10115	N/A	PC	General & Unrestricted	350
NATIONAL MULTIPLE SCLEROSIS SOCIETY 40 MARCUS DR STE 100 MELVILLE,NY 11747	N/A	PC	General & Unrestricted	5,000
NATIONAL RESEARCH FUND FOR TICK BORNE DISEASES INC PO BOX 643 WILTON,CT 06897	N/A	PC	General & Unrestricted	10,000
NEW ENGLAND HEBREW FARMERS OF THE EMANUEL SOCIETY 3215 NETHERLAND AVE APT 3F RIVERDALE,NY 10463	N/A	PC	General & Unrestricted	1,000
NEW ENGLAND HEBREW FARMERS OF THE EMANUEL SOCIETY 3215 NETHERLAND AVE APT 3F RIVERDALE,NY 10463	N/A	PC	Strategic Planning Project	2,500
NEW HEIGHTS YOUTH INC 2576 BROADWAY PMB 213 NEWYORK,NY 10025	N/A	PC	College Assistance Program	40,000
NEWYORK NEEDS YOU INC 589 8TH AVE 5TH FL NEWYORK,NY 10018	N/A	PC	General & Unrestricted	7,500
Total ▶ 3a				1,809,620


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
NEW YORK PRESBYTERIAN HOSPITAL 525 E 68TH ST BOX 123 NEW YORK,NY 10032	N/A	PC	General & Unrestricted	1,000
NEW YORK ROAD RUNNERS INC 9 E 89TH ST NEW YORK,NY 10128	N/A	PC	NY Marathon Fund	256
NORTH SHORE LONG ISLAND JEWISH HEALTH SYSTEM FDn 972 BRUSH HOLLOW RD 5TH FL WESTBURY,NY 11590	N/A	PC	Annual Fund	10,000
NORTH SHORE LONG ISLAND JEWISH HEALTH SYSTEM FDn 972 BRUSH HOLLOW RD 5TH FL WESTBURY,NY 11590	N/A	PC	Leadership Gift for the Annual Fund	7,500
NORTH SHORE LONG ISLAND JEWISH HEALTH SYSTEM FDn 972 BRUSH HOLLOW RD 5TH FL WESTBURY,NY 11590	N/A	PC	Adopt-A-Family Program	500
NORTH SHORE LONG ISLAND JEWISH HEALTH SYSTEM FDn 972 BRUSH HOLLOW RD 5TH FL WESTBURY,NY 11590	N/A	PC	The Richard Goldstein Administrative Fellowship Program	5,000
NORTH SHORE LONG ISLAND JEWISH HEALTH SYSTEM FDn 972 BRUSH HOLLOW RD 5TH FL WESTBURY,NY 11590	N/A	PC	Early Career Award, Advancing Women in Science and Medicine	2,500
NURSE FAMILY PARTNERSHIP 1900 GRANT ST NO 400 DENVER,CO 80203	N/A	PC	General & Unrestricted	5,000
OPERATION FUEL INC 75 CHARTER OAK AVE HARTFORD,CT 06106	N/A	PC	General & Unrestricted	5,000
OPERATION MILITARY EMBRACE INC 21307 CYPRESS ROSEHILL RD TOMBALL,TX 77377	N/A	PC	Walk Off The War Program	5,000
OXALOSIS AND HYPEROXALURIA FOUNDATION 201 E 19TH ST NEW YORK,NY 10003	N/A	PC	General & Unrestricted	700
P E F ISRAEL ENDOWMENT FUNDS INC 317 MADISON AVE STE 607 NEW YORK,NY 10017	N/A	PC	Israel Free Loan Association fund	10,000
PALM BEACH OPERA INC 415 S OLIVE AVE WEST PALM BCH,FL 33401	N/A	PC	General & Unrestricted	5,000
PAN MASSACHUSETTS CHALLENGE TRUST 77 4TH AVE NEEDHAM,MA 02494	N/A	PC	General & Unrestricted	500
PARTNERS IN HEALTH A NONPROFIT CORPORATION 888 COMMONWEALTH AVE 3RD FL BOSTON,MA 02215	N/A	PC	General & Unrestricted	5,000
Total  3a				1,809,620


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
PARTNERSHIP FOR THE HOMELESS 305 7TH AVE 13TH FL NEW YORK,NY 10001	N/A	PC	General & Unrestricted	250
PLANNED PARENTHOOD OF S FL AND THE TREASUre coast 2300 N FLORIDA MANGO RD WEST PALM BCH,FL 33409	N/A	PC	General & Unrestricted	250
POPULATION COUNCIL INC 1 DAG HAMMARSKJOLD PLZ FL 9 NEW YORK,NY 10017	N/A	PC	General & Unrestricted	3,000
POSITIVE DIRECTIONS- CTR FOR PREVENTION & recovery 420 POST RD W WESTPORT,CT 06880	N/A	PC	General & Unrestricted	250
ROCKING THE BOAT INC 812 EDGEWATER RD BRONX,NY 10474	N/A	PC	Alumni Services Fund	50,000
ROCKING THE BOAT INC 812 EDGEWATER RD BRONX,NY 10474	N/A	PC	start-up support for the hire of a Deputy director	34,125
SAVE THE CHILDREN FEDERATION INC 501 KINGS HWY E STE 400 FAIRFIELD,CT 06825	N/A	PC	My First Baby in Nepal Project	25,000
SEVA FOUNDATION 1786 5TH ST BERKELEY,CA 94710	N/A	PC	Mahendranagar Secondary Eye Hospital and Bharatpur Eye Hospital	75,000
SOUTH EAST CONSORTIUM FOR SPECIAL SERVICES INC 740 W BOSTON POST RD MAMARONECK,NY 10543	N/A	PC	General & Unrestricted	20,000
SOUTH EAST CONSORTIUM FOR SPECIAL SERVICES INC 740 W BOSTON POST RD MAMARONECK,NY 10543	N/A	PC	Richard Schapiro Campaign	200
ST JUDE CHILDRENS RESEARCH HOSPITAL INC 262 DANNY THOMAS PL MSC 509 MEMPHIS,TN 38105	N/A	PC	General & Unrestricted	100
STAR FOUNDATION INC 182 WOLFPIT AVE NORWALK,CT 06851	N/A	PC	General & Unrestricted	1,000
STONY BROOK FOUNDATION INC 230 ADMINISTRATION BLDG STONY BROOK,NY 11794	N/A	PC	Staller Center for the Arts Fund	500
TEMPLE BETH EL OF GREAT NECK 5 OLD MILL RD GREAT NECK,NY 11023	N/A	PC	Rabbi's Circle Silver Fund	12,500
TEMPLE BETH EL OF GREAT NECK 5 OLD MILL RD GREAT NECK,NY 11023	N/A	PC	Robert Boas Music Fund	500
Total  3a				1,809,620


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Name and address (home or business)				
a Paid during the year				
TEMPLE BETH EL OF GREAT NECK 5 OLD MILL RD GREAT NECK,NY 11023	N/A	PC	The Brotherhood Program	60
THANKS TO SCANDINAVIA INC 745 5TH AVE GREAT NECK,NY 10151	N/A	PC	General & Unrestricted	1,000
THE CONSERVATIVE SYNAGOGUE INC 30 HILLSPPOINT RD WESTPORT,CT 06880	N/A	PC	Share the Pie Project	400
THE CONSERVATIVE SYNAGOGUE INC 30 HILLSPPOINT RD WESTPORT,CT 06880	N/A	PC	Kol Nidre Initiative	10,000
THE FOUNDATION FOR AIDS RESEARCH 120 WALL ST 13TH FL NEW YORK,NY 10005	N/A	PC	General & Unrestricted	150
THE JEWISH HIGH SCHOOL OF CONNECTICUT INC 360 AMITY RD WOODBIDGE,CT 06525	N/A	PC	General & Unrestricted	5,000
THE METROPOLITAN MUSEUM OF ART 1000 5TH AVE NEW YORK,NY 10028	N/A	PC	General & Unrestricted	200
THE MULTIPLE MYELOMA RESEARCH FOUNDATION INC 383 MAIN AVE 5TH FL NORWALK,WA 06851	N/A	PC	General & Unrestricted	5,000
THE NY PUBLIC LIBRARY ASTOR LENOX AND TILDEN fdn 5TH AVE AND 42ND ST NEW YORK,NY 10018	N/A	PC	General & Unrestricted	150
TOURETTE SYNDROME ASSOCIATION INC 42-40 BELL BLVD BAYSIDE,NY 11361	N/A	PC	General & Unrestricted	1,000
TOWN OF PALM BEACH UNITED WAY INC 44 COCOANUT ROW STE 201 PALM BEACH,FL 33480	N/A	PC	General & Unrestricted	1,000
TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF Ny 622 W 113TH ST MC4520 NEW YORK,NY 10025	N/A	PC	Research and Patient Care Fund run by Michio Hirano, MD, Department of Neurology	5,000
TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF Ny 622 W 113TH ST MC4520 NEW YORK,NY 10025	N/A	PC	Lyme Evaluation Service Fund	10,000
TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 100 E NORTHWESTERN AVE PHILADELPHIA,PA 19118	N/A	PC	School of Nursing Division	1,000
UJA-FEDERATION WESTPORT-WESTON-WILTON-NORWALK 431 POST RD E STE 17 WESTPORT,CT 06880	N/A	PC	2013 Campaign	10,000
Total  3a				1,809,620

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Name and address (home or business)				
a <i>Paid during the year</i>				
UNITED JEWISH APPEAL FED OF JEWISH PHILANTHropies 130 E 59TH ST NEWYORK,NY 10022	N/A	PC	General & Unrestricted	142,500
UNITED JEWISH APPEAL FED OF JEWISH PHILANTHropies 130 E 59TH ST NEWYORK,NY 10022	N/A	PC	Annual Fund and Lions of Judea program	42,000
UNITED STATES COMMITTEE SPORTS FOR ISRAEL INC 1926 ARCH ST PHILADELPHIA,PA 19103	N/A	PC	Maccabi USA Program	150
UNITED STATES HOLOCAUST MEMORIAL MUSEUM 100 RAOUL WALLENBERG PL SW WASHINGTON,DC 20024	N/A	PC	General & Unrestricted	500
UNITED STATES HOLOCAUST MEMORIAL MUSEUM 100 RAOUL WALLENBERG PL SW WASHINGTON,DC 20024	N/A	PC	Charitable Event	5,000
UNITED WAY OF COASTAL FAIRFIELD COUNTY INC 855 MAIN ST FL 10 BRIDGEPORT,CT 06604	N/A	PC	General & Unrestricted	20,000
UNIVERSITY OF PENNSYLVANIA SCHOOL OF NURSING 418 CURIE BLVD PHILADELPHIA,PA 19104	N/A	PC	annual Fund	2,500
UNIVERSITY SETTLEMENT SOCIETY OF NEWYORK 184 ELDRIDGE ST 3RD FL NEWYORK,NY 10002	N/A	PC	General & Unrestricted	2,000
WALL STREET SYNAGOGUE INC 47 BEEKMAN ST NEWYORK,NY 10038	N/A	PC	Yahrzeit Lighting Fund	100
WASHINGTON INSTITUTE FOR NEAR EAST POLICY 1828 L ST NW STE 1050 WASHINGTON,DC 20036	N/A	PC	General & Unrestricted	2,500
WEST SIDE MONTESSORI 74 DEXTER ST PROVIDENCE,RI 02909	N/A	PC	General & Unrestricted	500
WESTPORT ARTS CENTER 51 RIVERSIDE AVE WESTPORT,CT 06880	N/A	PC	Art Center Veterans Program in Bridgeport	3,000
WESTPORT LIBRARY ASSOC 20 JESUP RD WESTPORT,CT 06880	N/A	PC	General & Unrestricted	10,000
WILDERNESS ON WHEELS FOUNDATION PO BOX 1007 WHEAT RIDGE,CO 80034	N/A	PC	General & Unrestricted	2,500
WILTON LAND CONSERVATION TRUST PO BOX 77 WILTON,CT 06897	N/A	PC	General & Unrestricted	150
Total  3a				1,809,620

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Name and address (home or business)				
a <i>Paid during the year</i>				
WILTON LIBRARY ASSOCIATION INCORPORATED 137 OLD RIDGEFIELD RD WILTON,CT 06897	N/A	PC	General & Unrestricted	1,000
WILTON VOLUNTEER AMBULANCE CORPS INC PO BOX 216 WILTON,CT 06897	N/A	PC	General & Unrestricted	250
Total  3a				1,809,620

TY 2013 Compensation Explanation

Name: The Carl Marks Foundation Inc

EIN: 13-6169215

Person Name	Explanation
Marjorie Boas-Levins	*Removed from foundation in FYE 2014

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: The Carl Marks Foundation Inc

EIN: 13-6169215

**TY 2013 Investments Corporate
Stock Schedule****Name:** The Carl Marks Foundation Inc**EIN:** 13-6169215

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BARON FOCUSED GROWTH FD	661,380	1,263,400
BERKSHIRE HATHAWAY INC CL B	610,384	1,012,480
CENOVUS ENERGY INC	39,805	215,843
CRIMSON WINE GROUP, LTD	90,550	225,617
CUBAN ELECTRIC CO	0	0
ENCANA CORP	268,204	395,198
FOREST CITY ENTERPRISES CL A	2,589,152	1,575,691
FRANCISCO IND INC	1,767	176
KANSAS CITY SOUTHERN	530,682	2,936,958
LEUCADIA NATL CORP	5,863,003	9,934,758
U S G CP	3,548,199	3,775,289

TY 2013 Investments - Land Schedule**Name:** The Carl Marks Foundation Inc**EIN:** 13-6169215

TY 2013 Land, Etc. Schedule**Name:** The Carl Marks Foundation Inc**EIN:** 13-6169215

TY 2013 Legal Fees Schedule

Name: The Carl Marks Foundation Inc

EIN: 13-6169215

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
General Consultations	1,523			1,523

TY 2013 Other Expenses Schedule**Name:** The Carl Marks Foundation Inc**EIN:** 13-6169215

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	37,353			37,353
Bank Charges	290	290		
CREDIT CARD FEE	55			55
State or Local Filing Fees	750			750

TY 2013 Other Professional Fees Schedule

Name: The Carl Marks Foundation Inc

EIN: 13-6169215

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PHILANTHROPIC SERVICES	84,252			84,252

TY 2013 Taxes Schedule**Name:** The Carl Marks Foundation Inc**EIN:** 13-6169215

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2014	6,800			
Foreign Tax Paid	3,015	3,015		