Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning JUL 1, 2013 , and ending JUN 30, 2014

Name of foundation: THE SAMUEL RUBIN FOUNDATION, INC.

A Employer identification number: 13-6164671

Number and street (or P O box number if mail is not delivered to street address): 777 UNITED NATIONS PLAZA — Room/suite: 10D

B Telephone number: 2126978945

City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10017

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 12,654,045.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

	Line	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	476,719.	476,719.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,219,945.			
	b Gross sales price for all assets on line 6a 6,841,786.				
	7 Capital gain net income (from Part IV, line 2)		1,219,945.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	1,696,664.	1,696,664.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	25,000.	1,250.		23,750.
	14 Other employee salaries and wages	65,890.	9,884.		56,007.
	15 Pension plans, employee benefits	11,536.	577.		10,959.
	16a Legal fees				
	b Accounting fees STMT 2	20,550.	15,413.		5,138.
	c Other professional fees STMT 3	76,082.	76,082.		0.
	17 Interest				
	18 Taxes STMT 4	19,726.	626.		6,327.
	19 Depreciation and depletion				
	20 Occupancy	25,097.	8,282.		16,815.
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	31,337.	7,922.		23,415.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	275,218.	120,036.		142,411.
	25 Contributions, gifts, grants paid	600,500.			600,500.
	26 **Total expenses and disbursements.** Add lines 24 and 25	875,718.	120,036.		742,911.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	820,946.			
	b **Net investment income** (if negative, enter -0-)		1,576,628.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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10-10-13 LHA For Paperwork Reduction Act Notice, see instructions. Form **990-PF** (2013)

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	26,048.	26,911.	26,911.
	2 Savings and temporary cash investments	470,194.	246,430.	246,430.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 6	2,868,409.	2,743,901.	2,765,067.
	b Investments - corporate stock STMT 7	2,808,244.	3,839,147.	4,626,992.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 8	4,451,189.	4,588,641.	4,980,966.
	14 Land, buildings, and equipment basis ▶ 22,565.			
	Less accumulated depreciation ▶ 19,147.	3,418.	3,418.	3,418.
	15 Other assets (describe ▶ SECURITY DEPOSITS)	4,261.	4,261.	4,261.
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	10,631,763.	11,452,709.	12,654,045.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	10,631,763.	11,452,709.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances**	10,631,763.	11,452,709.	
	31 **Total liabilities and net assets/fund balances**	10,631,763.	11,452,709.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,631,763.
2	Enter amount from Part I, line 27a	2	820,946.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	11,452,709.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	11,452,709.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MORGAN STANLEY - S/T GAINS - SCH. ATTACHED	P		
b	MORGAN STANLEY - L/T GAINS - SCH. ATTACHED	P		
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,979,964.		1,864,772.	115,192.
b	4,861,822.		3,757,069.	1,104,753.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				115,192.
b				1,104,753.
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	1,219,945.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	730,554.	11,440,145.	.063859
2011	717,498.	11,172,899.	.064218
2010	690,811.	11,538,029.	.059873
2009	670,976.	11,440,085.	.058651
2008	686,232.	10,797,701.	.063554

2	**Total** of line 1, column (d)	2	.310155
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.062031
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	12,095,351.
5	Multiply line 4 by line 3	5	750,287.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	15,766.
7	Add lines 5 and 6	7	766,053.
8	Enter qualifying distributions from Part XII, line 4	8	742,911.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	31,533.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	31,533.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	31,533.
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a 8,440.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	8,440.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	23,093.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

		Line	Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ SAMUELRUBINFOUNDATION.ORG

14 The books are in care of ▶ CORA WEISS Telephone no. ▶ 212-697-8945

Located at ▶ 777 UNITED NATIONS PLAZA, NEW YORK, NY ZIP+4 ▶ 10017

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a During the year did the foundation pay or incur any amount to:				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?	☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No			
b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	N/A	5b		
Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A ☐ Yes ☐ No			
If "Yes," attach the statement required by Regulations section 53 4945-5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b		X
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No			
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		25,000.	4,757.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LAURANNE JONES - 93 HAWTHORNE AVENUE, BLOOMFIELD, NJ 07003	GRANT ADMINISTRATOR 40.00	65,890.	6,779.	0.

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
MANNING & NAPPIER 1100 CHASE SQUARE, ROCHESTER, NY 14604	INVESTMENT ADVISOR	76,082.

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	11,775,591.
b	Average of monthly cash balances	1b	496,274.
c	Fair market value of all other assets	1c	7,679.
d	**Total** (add lines 1a, b, and c)	1d	12,279,544.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	12,279,544.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	184,193.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,095,351.
6	**Minimum investment return.** Enter 5% of line 5	6	604,768.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	604,768.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	31,533.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	31,533.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	573,235.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	573,235.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	573,235.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	742,911.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	742,911.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions** Subtract line 5 from line 4	6	742,911.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					573,235.
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only				0.	
b Total for prior years: ____, ____, ____			0.		
3 Excess distributions carryover, if any, to 2013:					
a From 2008	149,708.				
b From 2009	101,849.				
c From 2010	127,131.				
d From 2011	166,217.				
e From 2012	175,413.				
f **Total** of lines 3a through e		720,318.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 742,911.					
a Applied to 2012, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2013 distributable amount					573,235.
e Remaining amount distributed out of corpus		169,676.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		889,994.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.				0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7		149,708.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		740,286.			
10 Analysis of line 9:					
a Excess from 2009	101,849.				
b Excess from 2010	127,131.				
c Excess from 2011	166,217.				
d Excess from 2012	175,413.				
e Excess from 2013	169,676.				

Part XIV | Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV | Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 10

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution **	Amount
a *Paid during the year*				
TRANSNATIONAL INSTITUTE PO BOX 14656 1001 LD AMSTERDAM, NETHERLANDS	NONE	EXEMPT ORGANIZATION	PURSUIT OF INTERNATIONAL PEACE AND JUSTICE	25,000.
VARIOUS - SEE STATEMENT	NONE	EXEMPT ORGANIZATION	PURSUIT OF PEACE AND JUSTICE AND THE SEARCH FOR AN EQUITABLE REALLOCATION OF THE WORLD'S RESOURCES	575,500.
Total			▶ 3a	600,500.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

** SEE PURPOSE OF GRANT CONTINUATIONS

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	476,719.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	1,219,945.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		1,696,664.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 1,696,664.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ [signature] 11/6/14 ▶ 11/6/14 Pres.

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
MYLES W SCHUMER	MYLES W SCHUMER	11/03/14		P01346926

Firm's name ▶ CORNICK, GARBER & SANDLER, LLP — Firm's EIN ▶ 13-2620561

Firm's address ▶ 825 THIRD AVENUE NEW YORK, NY 10022 — Phone no. (212) 557-3900

Form 990-PF (2013)

323622 10-10-13

13071103 132862 06E119 2013.04030 THE SAMUEL RUBIN FOUNDATI 06E119_1

Part XV Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - VARIOUS - SEE STATEMENT

PURSUIT OF PEACE AND JUSTICE AND THE SEARCH FOR AN EQUITABLE REALLOCATION OF THE WORLD'S RESOURCES THROUGH THE FULLEST IMPLEMENTATION OF SOCIAL, ECONOMIC, POLITICAL, CIVIL AND CULTURAL RIGHTS FOR ALL THE WORLD'S PEOPLE.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND INCOME	399,076.	0.	399,076.	399,076.	
INTEREST INCOME	77,643.	0.	77,643.	77,643.	
TO PART I, LINE 4	476,719.	0.	476,719.	476,719.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	20,550.	15,413.		5,138.
TO FORM 990-PF, PG 1, LN 16B	20,550.	15,413.		5,138.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CUSTODIAL AND INVESTMENT FEES	76,082.	76,082.		0.
TO FORM 990-PF, PG 1, LN 16C	76,082.	76,082.		0.

FORM 990-PF — TAXES — STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	6,953.	626.		6,327.
FEDERAL EXCISE TAXES	12,773.	0.		0.
TO FORM 990-PF, PG 1, LN 18	19,726.	626.		6,327.

FORM 990-PF — OTHER EXPENSES — STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSES	28,011.	7,003.		21,008.
INSURANCE	3,151.	788.		2,363.
CONSULTING FEE	175.	131.		44.
TO FORM 990-PF, PG 1, LN 23	31,337.	7,922.		23,415.

FORM 990-PF — U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS — STATEMENT 6

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. TREASURY AND GOVERNMENT AGENCY OBLIGATIONS (SEE SCHEDULE ATTACHED)	X		2,743,901.	2,765,067.
TOTAL U.S. GOVERNMENT OBLIGATIONS			2,743,901.	2,765,067.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			2,743,901.	2,765,067.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK (SEE SCHEDULE ATTACHED)	3,839,147.	4,626,992.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,839,147.	4,626,992.

FORM 990-PF OTHER INVESTMENTS STATEMENT 8

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS (SEE SCHEDULE ATTACHED)	COST	4,588,641.	4,980,966.
TOTAL TO FORM 990-PF, PART II, LINE 13		4,588,641.	4,980,966.

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF INVESTMENTS

AS AT JUNE 30, 2014

Description	Number of Shares or Face Amount	Cost	Market Value
Mutual Funds:			
Manning & Napier - Core Plus Bond	198,294	$ 2,016,145	$ 2,173,307
Manning & Napier - Emerging Markets	6,773	69,364	78,231
Manning & Napier - Global Fixed Income	36,056	360,213	374,267
Manning & Napier - High Yield Bond	33,983	348,884	374,832
Manning & Napier - Inflation Focus Equity	4,111	43,318	52,216
Manning & Napier - Equity Income Series Class I	103,811	1,036,435	1,130,421
Manning & Napier - Real Estate Series	51,564	714,282	797,692
Total Mutual Funds		4,588,641	4,980,966
Common Stocks:			
3M COMPANY	380	$ 50,438	$ 54,431
ABBVIE INC COM	790	38,450	44,588
Alcoa Inc	5,440	42,748	81,002
Alexandria Real Estate EQ Inc	80	4,785	6,211
Amazon Com Inc	110	35,653	35,726
AMC Networks Inc Cl A	1,060	77,734	65,179
Apache Corp	360	33,704	36,223
APOLLO GROUP INC A	950	31,912	29,688
Apple Inc	2,100	145,882	195,153
Baker Hughes Inc	990	38,631	73,706
Becton Dickinson & Co	250	17,124	29,575
Biomed Realty Trust Inc	290	5,583	6,331
CAMERON INTERNATIONAL CORP	770	42,433	52,137
CATAMARAN CORP	730	31,702	32,237
Cerner Corp	1,120	60,717	94,908
CH ROBINSON WORLDWIDE	890	49,774	56,773
CISCO SYS INC.	1,900	41,551	47,215
CONOCOPHILLPS	770	51,390	66,012
Corporate Offices PPty TR Inc	230	4,916	6,396
Digital Realty Trust Inc	110	6,248	6,415
Dupont Fabros Technology Inc	240	5,263	6,470
EBAY INC	950	48,356	47,557
Electronic Arts Inc	1,360	18,879	48,783
ELI LILLY & CO	640	38,682	39,789
EMC Corp Mass	3,360	87,668	96,404
EOG Resources Inc	120	6,345	14,023
EQUIFAX INC	430	29,999	31,192
EXPRESS SCRIPTS HLDG CO	400	30,204	27,732
EXXON MOBILE CORP	1,280	123,808	128,870
GLAZOSMITHKLINE PLC ADS	1,530	83,259	81,824
Google Inc-CL A	80	18,099	46,398
HESS CORP	1,260	59,239	124,601
HOME DEPOT INC	810	67,216	65,578

(Continued)

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF INVESTMENTS

AS AT JUNE 30, 2014

Description	Number of Shares or Face Amount	Cost	Market Value
Common Stocks:			
HSBC HLDGS PLC USD.50 ORD	4,810	$ 48,600	$ 48,784
INTEL CORP	2,830	69,643	87,447
Johnson & Johnson	2,050	173,379	214,471
Joy Global Inc	800	45,524	49,264
Juniper Networks	2,790	45,937	68,467
LENNAR CORP	410	15,745	17,212
LIBERTY GLOBAL PLC CL C NEW	990	40,936	42,823
LULULEMON ATHLETIC INC	970	55,401	39,266
Mastercard Inc CL A	320	22,831	23,510
Mc Graw Hill Cos Inc	380	18,298	31,551
McDONALDS CORP	630	59,829	63,466
MERCK & CO INC	1,530	87,349	88,511
MICROSOFT CORP	3,720	143,998	155,124
Monsanto Co/New	430	21,572	53,638
Nestle SA Cham ET Vevey	630	41,025	48,806
NEXSTAR BROADCASTING GRP CL A	390	13,222	20,128
NOVARTIS AG ADR	1,150	95,095	104,110
Peabody Energy Corp	2,080	39,312	34,008
PEPSICO INC NC	910	73,532	81,299
PFIZER INC	3,510	114,882	104,177
PRICELINE.COM INC NEW	30	36,724	36,090
PROCTER & GAMBLE	1,330	104,428	104,525
Qualcomm Inc	520	32,299	41,184
Range Resources Corp	120	7,067	10,434
REALOGY HOLDING CORP	630	27,275	23,757
ROYAL DUTCH SEHLL PLC	1,220	89,436	100,491
SANOFI ADR	1,790	90,971	95,174
Schlumberger Ltd	610	40,918	71,950
SIEMENS AKTIENGESELLSCHAFT	440	57,675	58,163
SINCLAIR BROADCAST GP CL A	1,020	31,318	35,445
Starz Librty Capital Ser A	870	19,516	25,917
STATOIL ASA ADR	1,350	36,415	41,620
TESCO PLC 5P ORD	5,660	31,399	27,516
THE MOSAIC CO (HLDG) NEW	970	47,706	47,967
Time Warner Inc New	2,070	61,859	145,418
TOLL BROTHERS	530	18,909	19,557
Toyota Motor CP Adr New	470	51,078	56,240
TRIBUNE COMPANY NEW CL A	240	14,935	20,412
Twenty-First century	1,850	58,758	65,028
Unilever Plc	2,888	79,494	130,855
Verifone Systems Inc	1,030	22,626	37,853
VERIZON COMMUNICATIONS	1,120	53,639	54,801
Viacom Inc	710	41,615	61,578

(Continued)

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF INVESTMENTS

AS AT JUNE 30, 2014

	Description	Number of Shares or Face Amount		Cost		Market Value
Common Stocks:						
VISA INC CL A		110		$ 22,655		$ 23,178
Weatherford International Ltd		3,280		53,572		75,440
WEYERHAEUSER CO		1,040		30,312		34,414
Yum Brands Inc		330		22,046		26,796
Total Common Stocks				3,839,147		4,626,992
Government Securities:						
GNMA PL#002671M--1	6.000% due 11/20/2028	$ 34,000	*	$ 709	**	$ 868
GNMA PL#002766M--2	6.000% due 06/20/2029	46,000	*	986	**	1,220
GNMA PL#002972M--4	7.500% due 09/20/2030	55,000	*	220	**	254
GNMA PL#591923X	6.000% due 03/15/2033	95,000	*	1,254	**	1,359
GNMA PL#608432X	5.500% due 05/15/2033	66,000	*	3,480	**	3,711
FHLMC PL#Q15899	4.500% due 02/01/2041	580,000		545,769		559,328
FHLMC PL#A95828	4.500% due 12/01/2040	110,000		81,722		83,541
FNMA PL#AE0984	4.500% due 02/01/2041	246,000		132,010		134,122
FEDERAL NATL MTG ASSN	2.375% due 01/13/2022	166,000		171,561		165,673
FEDERAL NATL MTG ASSN	3.000% due 04/01/2028	580,000		535,374		538,611
FED HOME LN MTG CORP	3.750% due 03/27/2019	295,000		313,057		324,208
U S TREASURY NOTES	2.375% due 07/31/2017	46,000		49,829		48,020
FANNIE MAE	0.875% due 08/28/2014	708,000		711,087		708,871
FEDERAL NATL MTG ASSN	1.625% due 10/26/2015	192,000		196,843		195,281
Total Government Securities				2,743,901		2,765,067
Total Investments				$ 11,171,689		$ 12,373,025

* Represents original amount before return of principal.

** Represents cost after return of principal.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 9

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
CORA WEISS 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	PRESIDENT 40.00	25,000.	4,757.	0.
PETER WEISS 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	CHAIRMAN & TREASURER 1.00	0.	0.	0.
JUDY WEISS 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
TAMARA WEISS 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
DANIEL WEISS 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
ALISON BERNSTEIN 777 UNITED NATIONS PLAZA 10D NEW YORK, NY 10017	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		25,000.	4,757.	0.

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 10
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

LAURANNE JONES
777 UNITED NATIONS PLAZA, #10D
NEW YORK, NY 10017

TELEPHONE NUMBER

212-697-8945

FORM AND CONTENT OF APPLICATIONS

A FULL STATEMENT AS TO THE PURPOSE OF THE REQUEST, INCLUDING A BUDGET AND A COPY OF THE TREASURY DEPARTMENT TAX EXEMPT DETERMINATION LETTER.

ANY SUBMISSION DEADLINES

1ST FRIDAY OF JANUARY, MAY AND SEPTEMBER

RESTRICTIONS AND LIMITATIONS ON AWARDS

NO GRANTS TO INDIVIDUALS, FOR BUILDING FUNDS, ENDOWMENTS OR SCHOLARSHIPS

Samuel Rubin Foundation, Inc.
FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX INVESTMENT INCOME

Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
BRISTOL MYERS SQUIBB CO	760	3/4/2014	4/17/2014	37,586	42,835	(5,249)
CHEVRON CORP	1,080	3/4/2014	4/21/2014	133,508	125,075	8,433
TOTAL S A SPON ADR	680	3/4/2014	4/21/2014	46,420	43,772	2,648
ASTRAZENECA PLC ADS	560	3/4/2014	5/7/2014	43,997	38,197	5,800
EQUIFAX INC	410	2/20/2014	3/4/2014	28,991	28,603	388
TESCO PLC 5P ORD	5,150	2/19/2014	3/5/2014	28,377	28,570	(194)
MEAD JOHNSON NUTRITION COMPANY	390	2/6/2014	3/4/2014	32,723	29,039	3,683
TOLL BROTHERS	300	2/6/2014	3/4/2014	11,869	10,703	1,166
MEAD JOHNSON NUTRITION COMPANY	510	2/6/2014	6/5/2014	44,910	37,974	6,936
SINCLAIR BROADCAST GP CL A	490	11/21/2013	3/4/2014	14,813	15,364	(550)
EBAY INC	810	11/20/2013	3/4/2014	47,786	41,300	6,486
LULULEMON ATHLETIC INC	640	11/1/2013	3/4/2014	32,037	42,994	(10,956)
CAMERON INTERNATIONAL CORP	160	10/29/2013	3/4/2014	10,103	8,817	1,286
REALOGY HOLDING CORP	670	10/23/2013	3/4/2014	32,302	29,007	3,296
TRIBUNE COMPANY NEW CL A	250	10/11/2013	3/4/2014	20,540	15,558	4,982
Twenty-First century	1,980	8/15/2013	3/4/2014	66,754	62,887	3,867
CH ROBINSON WORLDWIDE	610	8/15/2013	3/4/2014	31,873	34,114	(2,242)
CAMERON INTERNATIONAL CORP	790	8/1/2013	3/4/2014	49,884	47,606	2,278
Qualcomm Inc	370	7/24/2013	3/4/2014	28,323	22,982	5,341
Qualcomm Inc	600	7/24/2013	3/17/2014	46,262	37,268	8,994
Qualcomm Inc	90	7/24/2013	4/1/2014	7,168	5,590	1,578
Apple Inc	110	7/5/2013	12/18/2013	60,024	45,957	14,067
Apple Inc	90	7/5/2013	4/29/2014	53,304	37,601	15,702
Nestle SA Cham ET Vevey	990	6/27/2013	3/5/2014	74,408	64,467	9,941
Nestle SA Cham ET Vevey	370	6/27/2013	6/3/2014	29,029	24,094	4,935
Exelon Corp	1,810	6/25/2013	10/25/2013	50,253	56,097	(5,844)
Alcoa Inc	2,100	6/25/2013	3/4/2014	24,639	16,502	8,137
Alcoa Inc	910	6/25/2013	5/9/2014	12,061	7,151	4,911
Caterpillar Inc	230	6/12/2013	9/26/2013	19,327	19,131	195
Caterpillar Inc	580	6/12/2013	3/5/2014	56,863	48,245	8,618
Caterpillar Inc	240	6/12/2013	4/9/2014	24,693	19,963	4,730
Fed Natl Mtg Assn 061217	2,580	5/16/2013	7/19/2013	299,736	306,821	(7,085)
Fed Natl Mtg Assn 061217	1,220	5/16/2013	8/8/2013	141,613	145,086	(3,472)
Starz Librty Capital Ser A	1,610	5/16/2013	3/4/2014	52,719	36,116	16,603
Peabody Energy Corp	840	5/16/2013	3/4/2014	14,836	17,012	(2,176)
Yum Brands Inc	340	4/26/2013	3/4/2014	25,391	22,715	2,677
Alcoa Inc	1,300	4/1/2013	3/4/2014	15,253	10,862	4,390
Fed Home LN Mtg Corp 071532	1,240	3/8/2013	11/1/2013	161,352	178,085	(16,733)
Mc Graw Hill Cos Inc	660	2/5/2013	10/31/2013	46,137	31,781	14,356
Verifone Systems Inc	960	10/24/2012	9/25/2013	22,101	28,833	(6,732)
TOTAL SHORT TERM GAINS (LOSSES)				[illegible]	[illegible]	[illegible]
Directv Com	500	2/20/2013	5/30/2014	41,188	24,602	16,587
Mc Graw Hill Cos Inc	430	2/5/2013	3/4/2014	34,382	20,706	13,676
Viacom Inc	230	1/18/2013	3/4/2014	20,022	13,273	6,749
Verifone Systems Inc	650	10/24/2012	3/4/2014	19,646	19,522	124
Electronic Arts Inc	20	10/17/2012	5/20/2014	688	278	410
Viacom Inc	550	10/11/2012	1/15/2014	46,627	30,547	16,080
Viacom Inc	420	10/11/2012	3/4/2014	36,562	23,327	13,235
Accor SA 3P Ord	820	8/31/2012	3/5/2014	42,271	26,174	16,097
Accor SA 3P Ord	540	8/31/2012	5/19/2014	25,945	17,237	8,708
Adecco SA Chf 1 Reg Shs Vtg	860	8/17/2012	9/12/2013	58,594	40,092	18,503
Qualcomm Inc	330	8/9/2012	9/18/2013	22,916	20,370	2,546

Samuel Rubin Foundation, Inc.
FORM 990-PF - PART IV
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Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
Qualcomm Inc	360	8/9/2012	3/4/2014	27,558	22,222	5,336
Juniper Networks	1,730	6/11/2012	3/4/2014	45,418	28,882	16,536
EMC Corp Mass	1,020	6/11/2012	3/4/2014	27,458	25,043	2,415
Pall Corporation	940	6/7/2012	9/13/2013	68,855	49,034	19,821
Range Resources Corp	420	5/30/2012	3/4/2014	35,930	24,735	11,195
Range Resources Corp	140	5/30/2012	4/29/2014	12,683	8,245	4,438
Autodesk Inc Delaware	890	5/29/2012	8/8/2013	31,740	29,010	2,730
HESS CORP	1,630	5/29/2012	3/4/2014	131,564	76,635	54,930
Electronic Arts Inc	910	5/22/2012	3/4/2014	26,053	13,055	12,997
Electronic Arts Inc	220	5/22/2012	5/20/2014	7,566	3,156	4,409
EOG Resources Inc	90	5/11/2012	3/4/2014	17,299	9,517	7,783
EOG Resources Inc	110	5/11/2012	4/29/2014	22,119	11,632	10,487
Alcoa Inc	4,070	3/27/2012	2/24/2014	47,843	41,840	6,003
Alcoa Inc	2,440	3/27/2012	3/4/2014	28,628	25,083	3,544
Biomed Realty Trust Inc	610	3/26/2012	5/29/2014	13,061	11,743	1,318
Juniper Networks	3,790	3/2/2012	3/4/2014	99,500	85,317	14,183
U S Treasury Note 073117	256,000	2/27/2012	9/4/2013	266,350	277,310	(10,960)
Dupont Fabros Technology Inc	610	2/23/2012	6/4/2014	15,932	13,701	2,231
Electronic Arts Inc	520	2/1/2012	3/4/2014	14,887	9,610	5,277
Electronic Arts Inc	880	1/20/2012	3/4/2014	25,194	15,815	9,379
Time Inc	258	12/17/2011	6/9/2014	5,801	2,638	3,163
Time Inc	1	12/16/2011	6/9/2014	17	7	10
Corporate Offices PPty TR Inc	540	12/15/2011	6/4/2014	14,970	11,543	3,428
Qiagen NV Ord	1,980	12/13/2011	6/19/2014	47,938	27,940	19,998
Directv Com	1,750	11/29/2011	5/30/2014	144,159	80,445	63,714
Alexandria Real Estate EQ Inc	170	9/23/2011	5/29/2014	12,789	10,167	2,622
Autodesk Inc Delaware	260	9/12/2011	8/8/2013	9,272	6,647	2,625
Digital Realty Trust Inc	180	9/12/2011	5/30/2014	10,388	10,223	164
Syngenta AG Chf 10 Ord	40	6/17/2011	3/5/2014	15,068	12,935	2,133
Syngenta AG Chf 10 Ord	100	6/17/2011	4/30/2014	39,466	32,338	7,128
Telenor AS	970	3/18/2011	9/20/2013	22,184	15,518	6,666
Telenor AS	1,980	3/18/2011	12/19/2013	46,140	31,676	14,464
HESS CORP	900	7/28/2010	3/4/2014	72,643	47,241	25,402
Cerner Corp	920	7/12/2010	3/4/2014	56,977	18,493	38,484
Google Inc-CL A	60	6/30/2010	3/4/2014	72,893	27,149	45,744
Monsanto Co/New	430	6/9/2010	3/4/2014	48,783	21,572	27,211
Qualcomm Inc	740	6/3/2010	9/18/2013	51,387	27,003	24,385
Mondelez Intl Inc.	2,380	5/7/2010	8/19/2013	73,362	46,384	26,979
Kraft Foods Inc CL A	490	5/7/2010	3/4/2014	27,340	14,675	12,664
Kraft Foods Inc CL A	303	5/7/2010	4/17/2014	17,091	9,509	7,582
Schlumberger Ltd	490	1/28/2010	3/4/2014	45,534	31,761	13,774
HESS CORP	160	7/29/2009	3/4/2014	12,914	8,522	4,392
HESS CORP	520	6/17/2009	3/4/2014	41,971	27,875	14,096
Becton Dickinson & Co	490	3/11/2009	3/4/2014	57,207	30,316	26,891
Walt Disney Co Hldg Co	760	3/5/2009	12/2/2013	54,037	12,558	41,479
Walt Disney Co Hldg Co	810	3/5/2009	2/18/2014	64,407	13,384	51,023
Electronic Arts Inc	810	1/20/2009	3/4/2014	23,190	13,718	9,471
Walt Disney Co Hldg Co	590	11/12/2008	12/2/2013	41,950	12,361	29,589
Weatherford International Ltd	450	10/31/2008	3/4/2014	7,591	7,273	318
Electronic Arts Inc	940	10/30/2008	2/7/2014	25,592	25,233	359
Electronic Arts Inc	460	10/30/2008	3/4/2014	13,169	12,348	821
Baker Hughes Inc	465	10/30/2008	3/4/2014	29,833	15,463	14,370
EMC Corp Mass	1,950	10/15/2008	1/17/2014	51,290	19,592	31,698
EMC Corp Mass	2,380	10/15/2008	3/4/2014	64,068	23,912	40,156

Samuel Rubin Foundation, Inc.
FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX INVESTMENT INCOME

Security	Shares	Bought	Sold	Gross Proceeds	Cost Basis	Realized Gain/Loss
Weatherford International Ltd	710	10/2/2008	3/4/2014	11,977	14,816	(2,839)
Electronic Arts Inc	750	9/29/2008	2/7/2014	20,419	28,520	(8,101)
EMC Corp Mass	90	7/25/2008	1/17/2014	2,367	1,254	1,113
Electronic Arts Inc	460	1/23/2008	2/7/2014	12,524	21,474	(8,950)
Weatherford International Ltd	510	1/19/2007	3/4/2014	8,604	9,829	(1,226)
Baker Hughes Inc	340	9/29/2006	3/4/2014	21,813	23,177	(1,364)
Baker Hughes Inc	195	8/15/2006	3/4/2014	12,511	14,357	(1,846)
Xin - Sale of Mutual fund			1/29/2014	307,194	303,884	3,310
Xin - Sale of Mutual fund			1/29/2014	236,843	166,882	69,961
Xin - Sale of Mutual fund			1/29/2014	161,837	152,976	8,861
Xin - Sale of Mutual fund			3/7/2014	400,690	365,774	34,917
Xin - Sale of Mutual fund			3/7/2014	320,479	295,100	25,379
Xin - Sale of Mutual fund			3/7/2014	175,237	157,330	17,907
Xin - Sale of Mutual fund			3/7/2014	161,834	148,200	13,634
Xin - Sale of Mutual fund			3/7/2014	56,374	48,635	7,739
Xin - Sale of Mutual fund			3/7/2014	44,128	44,278	(150)
Xin - Sale of Mutual fund			3/7/2014	33,731	31,060	2,671
Xin - Sale of Mutual fund			6/16/2014	116,326	78,599	37,727
Gov't Nat'l Mortg Assoc			6/30/2014	2,692	2,785	(93)
FNMA - Mortg Backed			6/30/2014	75,865	78,743	(2,878)
FHLM - Mortg BC			6/30/2014	42,474	43,266	(792)
TOTAL LONG TERM GAINS (LOSSES)				6,861,822	3,757,069	3,104,753
				6,841,786	5,621,841	1,219,945

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF GRANTS PAID

FOR THE YEAR ENDED JUNE 30, 2014

Direct Grants Paid:

A.J. Muste Memorial Institute (for: European Center for Constitutional & Human Rights)	$ 10,000
A.J. Muste Memorial Institute (for: Palestine-Israel Journal)	5,000
A J. Muste Memorial Institute (for: War Resisters' International's Embrace Nonviolence Project)	5,000
Alliance for Global Justice (for: Coalition of Women for Peace)	5,000
Americans for Peace Now	35,000
Bikes Not Bombs (for: International Programs)	5,000
Center for Constitutional Rights (for: International Human Rights and The Rhonda Copelon Fund for Gender Justice)	55,000
Center for International Policy (for: Arms & Security Project)	5,000
CITYarts (for: Pieces for Peace Program)	3,000
CUNY School of Law (for: CLEAR Project)	10,000
Democracy Now! Productions, Inc.	5,000
Downtown Community Television Center (for PRO-TV Program)	50,000
Encounter Programs	10,000
Finland Center Foundation (for: Hymn to the World Concert for UN Women)	1,000
From The Heart Productions (for: "Nuclear Insecurity"/documentary)	10,000
Fund for Constitutional Government (for: Peace and Security Funders Group)	2,500
Global Kids (for: Power of Citizenry Program)	30,000
Goddard College (for: Ashoka University Exchange Conference)	1,500
Government Accountability Project	5,000
Grassroots International (for: Human Rights in Palestine & Mental Health Programs in Gaza)	12,000
Human Rights Watch (for: Campaign to Stop Killer Robots)	10,000
Institute for Policy Studies (for: Defense Transition Project)	10,000
Institute for Policy Studies (for: Travel/Anniversary event)	1,000
International Civil society Action Network (for: The Global Network of Women Peacebuilders)	10,000
International Commission for Labor Rights (for: IADL Congress)	2,000
International Labor Rights Forum (for: Securing Women Workers' Leadership)	10,000
Just Associates	1,000
Lawyers Committee on Nuclear Policy	50,000
Lawyers Committee on Nuclear Policy (for: Educational Forum, "Law's Imperative")	3,500
Mediators Beyond Borders International (for: Women in Mediation Project)	10,000

SAMUEL RUBIN FOUNDATION, INC.

SCHEDULE OF GRANTS PAID

FOR THE YEAR ENDED JUNE 30, 2014

Michigan State University (for: African Activist Archive Project)	$ 5,000
Miriam College (for: Typhoon Relief)	2,000
Muslim Consultative Network	10,000
Nautilus of America (for: NEA-NWFZ Program)	14,000
New Israel Fund (for: Isha L'Isha Center's GFKT Project)	15,000
New York University (for: Tamiment Archives/Estela Bravo Collection)	10,000
New York Women in Film & Television (for: Fannie Hamer documentary)	5,000
Nonviolent Peaceforce (for: Advocacy & Outreach)	15,000
Peace Action Fund of New York State	7,500
Peace Is Loud	2,500
Protect Our Defenders Foundation	5,000
Provide	5,000
Public Media, Inc. (for: "When We Were Young There Was A War"/documentary)	5,000
Rutgers University Foundation (for: Institute for Women's Leadership/Gloria Steinem Chair)	10,000
San Francisco Film Society (for: "Line In The Sand"/documentary)	10,000
Seeds of Love	7,500
The District One Community Education Center (for: "Singers In The Band"/ documentary)	7,500
The Proteus Fund (for: International Human Rights Funders Group)	1,000
The Trustees of Hampshire College (for: Undocumented Student Fund)	5,000
The Young People's Project (for: Great Math Literacy Workers Program)	1,000
Transnational Institute	25,000
Tri-Valley Communities Against a Radioactive Environment	7,500
T'ruah: The Rabbinic Call for Human Rights	5,000
Town of Aquinnah (for: Gay Head Lighthouse Fund)	10,000
Unitarian Fellowship for World Peace (for: International Network of Museums for Peace)	5,000
University of San Francisco (for: Witness to Guantanamo Project)	5,000
Washington Office on Latin America (for: Colombian Women's Peace & Security Project)	7,500
Washington Office on Latin America (for: Colombia Program)	5,000
Women Make Movies (for: Wednesdays in Mississippi)	5,000
Women Make Movies (for: "Anatomy of a Trial"/video)	5,000
World Federalist Movement/IGP's Coalition for the International Criminal Court Program)	5,000
Total Grants Paid	$ 600,500