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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning JUL 1, 2013, and ending JUN 30, 2014

Name of foundation <b>THE SPERRY FUND</b>		A Employer identification number <b>13-6114308</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>99 PARK AVENUE</b>	Room/suite <b>2220</b>	B Telephone number <b>(212) 370-1144</b>
City or town, state or province, country, and ZIP or foreign postal code <b>NEW YORK, NY 10016</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ <b>17,665,739.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		0.		N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		265,465.	265,465.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		746,164.			
b Gross sales price for all assets on line 6a		746,228.			
7 Capital gain net income (from Part IV, line 2)			746,164.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		<35,247.>	0.		STATEMENT 2
12 Total. Add lines 1 through 11		976,382.	1,011,629.		
13 Compensation of officers, directors, trustees, etc		4,000.	0.		4,000.
14 Other employee salaries and wages		29,634.	0.		29,634.
15 Pension plans, employee benefits		7,683.	0.		7,683.
16a Legal fees					
b Accounting fees STMT 3		13,996.	13,996.		0.
c Other professional fees STMT 4		75,365.	0.		75,365.
17 Interest					
18 Taxes STMT 5		15,401.	15,401.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 6		70,754.	56,200.		14,555.
24 Total operating and administrative expenses. Add lines 13 through 23		216,833.	85,597.		131,237.
25 Contributions, gifts, grants paid		871,410.			871,410.
26 Total expenses and disbursements. Add lines 24 and 25		1,088,243.	85,597.		1,002,647.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<111,861.>			
b Net investment income (if negative, enter -0-)			926,032.		
c Adjusted net income (if negative, enter -0-)				N/A	

**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

	Beginning of year	End of year	
	(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>			
1 Cash - non-interest-bearing	170,575.	41,362.	41,362.
2 Savings and temporary cash investments	641,125.	588,106.	588,106.
3 Accounts receivable ▶			
Less: allowance for doubtful accounts ▶			
4 Pledges receivable ▶			
Less: allowance for doubtful accounts ▶			
5 Grants receivable			
6 Receivables due from officers, directors, trustees, and other disqualified persons			
7 Other notes and loans receivable ▶			
Less: allowance for doubtful accounts ▶			
8 Inventories for sale or use			
9 Prepaid expenses and deferred charges			
10a Investments - U.S. and state government obligations			
b Investments - corporate stock			
c Investments - corporate bonds			
11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶			
12 Investments - mortgage loans			
13 Investments - other <b>STMT 8</b>	15,898,506.	17,036,271.	17,036,271.
14 Land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶			
15 Other assets (describe ▶)			
16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item 1)	16,710,206.	17,665,739.	17,665,739.
<b>Liabilities</b>			
17 Accounts payable and accrued expenses			
18 Grants payable			
19 Deferred revenue			
20 Loans from officers, directors, trustees, and other disqualified persons			
21 Mortgages and other notes payable			
22 Other liabilities (describe ▶)			
23 <b>Total liabilities</b> (add lines 17 through 22)	0.	0.	
<b>Net Assets or Fund Balances</b>			
Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> <b>X</b> and complete lines 24 through 26 and lines 30 and 31.			
24 Unrestricted	16,710,206.	17,665,739.	
25 Temporarily restricted			
26 Permanently restricted			
Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31.			
27 Capital stock, trust principal, or current funds			
28 Paid-in or capital surplus, or land, bldg., and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds			
30 <b>Total net assets or fund balances</b>	16,710,206.	17,665,739.	
31 <b>Total liabilities and net assets/fund balances</b>	16,710,206.	17,665,739.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	16,710,206.
2 Enter amount from Part I, line 27a	2	<111,861.>
3 Other increases not included in line 2 (itemize) ▶ <b>SEE STATEMENT 7</b>	3	1,067,394.
4 Add lines 1, 2, and 3	4	17,665,739.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	17,665,739.

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## THE SPERRY FUND

CONTINUATION FOR 990-PF, PART IV  
13-6114308 PAGE 1 OF 1**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	K-1 PROSPECT CAPITAL PARTNERS	P	01/01/13	12/31/13
b	K-1 PROSPECT CAPITAL PARTNERS	P	01/01/12	12/31/13
c	K-1 VENTURE INVESTMENT ASSOCIATES	P	01/01/12	12/31/13
d	K-1 VENTURE INVESTMENT ASSOCIATES II	P	01/01/12	12/31/13
e	K-1 VENTURE INVESTMENT ASSOCIATES III	P	01/01/12	12/31/13
f	K-1 VENTURE INVESTMENT ASSOCIATES III		01/01/13	12/31/13
g	K-1 VENTURE INVESTMENT ASSOCIATES IV	P	01/01/13	12/31/13
h	K-1 VENTURE INVESTMENT ASSOCIATES IV	P	01/01/12	12/31/13
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 100,305.			100,305.
b 601,846.			601,846.
c 36.			36.
d 849.			849.
e 1,158.			1,158.
f		64.	<64.>
g 1,078.			1,078.
h 40,956.			40,956.
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			100,305.
b			601,846.
c			36.
d			849.
e			1,158.
f			<64.>
g			1,078.
h			40,956.
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	746,164.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 746,228.		64.	746,164.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			746,164.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	746,164.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	950,998.	15,519,154.	.061279
2011	897,455.	16,516,450.	.054337
2010	976,271.	14,726,000.	.066296
2009	924,828.	14,682,377.	.062989
2008	841,444.	18,316,173.	.045940

2 Total of line 1, column (d)	2	.290841
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.058168
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	16,008,324.
5 Multiply line 4 by line 3	5	931,172.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	9,260.
7 Add lines 5 and 6	7	940,432.
8 Enter qualifying distributions from Part XII, line 4	8	1,002,647.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	9,260.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	9,260.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	9,260.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	12,080.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	12,080.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,820.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input checked="" type="checkbox"/> 2,820. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?		X	
Website address <b>N/A</b>				
14	The books are in care of <b>ROBERT J. BARLETTA, TREASURER</b>	Telephone no.	<b>(212) 370-1144</b>	
	Located at <b>99 PARK AVENUE, SUITE 2220, NEW YORK, NY</b>	ZIP+4	<b>10016</b>	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year		15	N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?		16	Yes No X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country <b>N/A</b>				

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years <b>N/A</b>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)		
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here

☒

5b

X

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		4,000.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ANTAEUS ENTERPRISES, INC. - 99 PARK AVENUE, SUITE 2200, NEW YORK, NY 10016	ADMINISTRATIVE	98,117.
THOMAS L. PARKINSON	SCHOLARSHIP	
BOX 125, 8240 PEACH LN, FOGELSVILLE, PA 18051	CONSULTANT	75,365.

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
<b>Total.</b> Add lines 1 through 3	0.

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**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	0.
b	Average of monthly cash balances	1b	353,601.
c	Fair market value of all other assets	1c	15,898,505.
d	Total (add lines 1a, b, and c)	1d	16,252,106.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	16,252,106.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	243,782.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	16,008,324.
6	Minimum investment return. Enter 5% of line 5	6	800,416.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	800,416.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	9,260.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	9,260.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	791,156.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	791,156.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	791,156.

**Part XII****Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,002,647.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,002,647.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	9,260.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	993,387.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				791,156.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009	222,590.			
c From 2010	244,309.			
d From 2011	88,497.			
e From 2012	199,182.			
f Total of lines 3a through e	754,578.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 1,002,647.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				791,156.
e Remaining amount distributed out of corpus	211,491.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:	966,069.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	966,069.			
10 Analysis of line 9:				
a Excess from 2009	222,590.			
b Excess from 2010	244,309.			
c Excess from 2011	88,497.			
d Excess from 2012	199,182.			
e Excess from 2013	211,491.			



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
GRANTS (SEE ATTACHED SCHEDULE)				871,410.
<b>Total</b>			<b>3a</b>	871,410.
<b>b Approved for future payment</b>				
GRANTS (SEE ATTACHED SCHEDULE)				241,000.
SCHOLARSHIPS (SEE ATTACHED SCHEDULE)				2,392,115.
<b>Total</b>			<b>3b</b>	2,633,115.





FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES				STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
PROSPECT CAPITAL PARTNERS	262,144.	0.	262,144.	262,144.		
VENTURE INVESTMENT ASSOCIATES II	2.	0.	2.	2.		
VENTURE INVESTMENT ASSOCIATES III	5.	0.	5.	5.		
VENTURE INVESTMENT ASSOCIATES IV	45.	0.	45.	45.		
VENTURE INVESTMENT ASSOCIATES LP	3,269.	0.	3,269.	3,269.		
TO PART I, LINE 4	265,465.	0.	265,465.	265,465.		

FORM 990-PF	OTHER INCOME			STATEMENT	2
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME		
UNRELATED BUSINESS TAXABLE INCOME	1,990.	0.			
OTHER PARTNERSHIP INCOME	<37,237.>	0.			
TOTAL TO FORM 990-PF, PART I, LINE 11	<35,247.>	0.			

FORM 990-PF	ACCOUNTING FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	13,996.	13,996.			0.
TO FORM 990-PF, PG 1, LN 16B	13,996.	13,996.			0.



FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
THOMAS L. PARKINSON	75,365.	0.		75,365.	
TO FORM 990-PF, PG 1, LN 16C	75,365.	0.		75,365.	

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
STATE TAX	250.	250.		0.	
FEDERAL EXCISE TAX	15,151.	15,151.		0.	
TO FORM 990-PF, PG 1, LN 18	15,401.	15,401.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CUSTODY FEES	1,500.	1,500.		0.	
PHONE AND COMPUTER EXPENSE	6,100.	0.		6,100.	
FILING FEES	750.	0.		750.	
OFFICE EXPENSE	642.	0.		643.	
SELECTION COMMITTEE FEES	6,000.	0.		6,000.	
ADMINISTRATION	3,700.	3,700.		0.	
INVESTMENT OPERATIONS	33,200.	33,200.		0.	
FINANCIAL REPORTING	17,800.	17,800.		0.	
MEALS	1,030.	0.		1,030.	
TRAVEL	32.	0.		32.	
TO FORM 990-PF, PG 1, LN 23	70,754.	56,200.		14,555.	

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	7
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DESCRIPTION	AMOUNT
UNREALIZED GAIN ON PARTNERSHIP ASSETS	1,067,394.
TOTAL TO FORM 990-PF, PART III, LINE 3	1,067,394.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
PROSPECT CAPITAL PARTNERS	FMV	16,467,149.	16,467,149.
VENTURE INVESTMENT ASSOCIATES II	FMV	12,520.	12,520.
VENTURE INVESTMENT ASSOCIATES III	FMV	135,300.	135,300.
VENTURE INVESTMENT ASSOCIATES IV	FMV	314,948.	314,948.
VENTURE INVESTMENT ASSOCIATES L.P.	FMV	106,354.	106,354.
TOTAL TO FORM 990-PF, PART II, LINE 13		17,036,271.	17,036,271.

THE SPERRY FUND  
EIN: 13-6114308  
FORM 990 PF June 30, 2013

**Page 3, Part IV; CAPITAL GAINS AND LOSSES**

**(a) From Passthroughs:**

	<u>Gain/ (Loss)</u>
Schedule K-1: Venture Investment Associates, LP - EIN: 22-3248650	36
Schedule K-1: Venture Investment Associates II, LP - EIN: 22-3284167	849
Schedule K-1: Venture Investment Associates III, LP - EIN: 22-3543341	1,158
Schedule K-1: Venture Investment Associates III, LP - EIN: 22-3543341	(64)
Schedule K-1: Venture Investment Associates IV, LP - EIN: 22-3679965	1,078
Schedule K-1: Venture Investment Associates IV, LP - EIN: 22-3679965	40,956
Schedule K-1: Prospect Capital Partners - EIN: 13-3745680	100,305
Schedule K-1: Prospect Capital Partners - EIN: 13-3745680	<u>601,846</u>
	746,164

Date	Reference	Jrnl	Trans Description	Amount	Organization type
1 7/1/13	2211	CDJ	Andrew Y Lee - Beinecke Scholarship	4,000 00	Individual
2 9/6/13	Transfer6	CDJ	Balliol College - Beinecke Scholarship	6,000 00	501(C)(3)
3 7/1/13	2206	CDJ	Blythe K George - Beinecke Scholarship	4,000 00	Individual
4 8/1/13	2256	CDJ	Brown University - Beinecke Scholarship Mahmoud A Samon xxx-xx-1069	6,000 00	501(C)(3)
5 8/1/13	2261	CDJ	Brown University - Beinecke Scholarship Paula S Dias xxx-xx-1762	6,000 00	501(C)(3)
6 4/8/14	2313	CDJ	Citytrack, Inc - Grants	10,000 00	501(C)(3)
7 12/16/13	2299	CDJ	Columbia University - Beinecke Scholarship James J Genen-Chen xxx-xx-6559	7,500 00	501(C)(3)
8 8/1/13	2230	CDJ	Columbia University - Beinecke Scholarship James R Purcell xxx-xx-2111	7,500 00	501(C)(3)
9 8/1/13	2231	CDJ	Columbia University - Beinecke Scholarship Valeria A Tsygankova xxx-xx-9343	6,000 00	501(C)(3)
10 7/16/13	2224	CDJ	Columbia University - Grant# 955811 - Institute for the Study of Human Rights	25,000 00	501(C)(3)
11 8/1/13	2274	CDJ	Cornell University - Beinecke Scholarship J Mackenzie Pierce xxx-xx-7135	7,500 00	501(C)(3)
12 8/1/13	2277	CDJ	Cornell University - Beinecke Scholarship Jason C Oaks xxx-xx-2531	6,000 00	501(C)(3)
13 8/1/13	2258	CDJ	CUNY Graduate Center - Beinecke Scholarship Mya B Dosch xxx-xx-2773	7,500 00	501(C)(3)
14 7/1/13	2202	CDJ	Daniel Carranza - Beinecke Scholarship	4,000 00	Individual
15 7/1/13	2216	CDJ	Daniel W Young - Beinecke Scholarship	4,000 00	Individual
16 7/1/13	2204	CDJ	David P Cotrone - Beinecke Scholarship	4,000 00	Individual
17 8/1/13	2288	CDJ	Duke Divinity School - Beinecke Scholarship Hana M Suckstorff xxx-xx-2279	10,000 00	501(C)(3)
18 8/1/13	2255	CDJ	Duke University - Beinecke Scholarship Luke J Caldwell xxx-xx-0800	6,000 00	501(C)(3)
19 8/1/13	2263	CDJ	Duke University - Beinecke Scholarship Sarah E Robinson-Neill xxx-xx-7793	6,000 00	501(C)(3)
20 8/1/13	2268	CDJ	Duke University - Beinecke Scholarship Zaid Adhami xxx-xx-7072	6,000 00	501(C)(3)
21 2/13/14	Transfer 1	CDJ	Emmanuel College - Beinecke Scholarship	7,000 00	501(C)(3)
22 9/6/13	Transfer4	CDJ	Emmanuel College - Beinecke Scholarship	8,000 00	501(C)(3)
23 4/23/14	2318	CDJ	Friends of FAFG - Grants	10,000 00	501(C)(3)
24 8/1/13	2266	CDJ	George Mason University - Beinecke Scholarship Kasey M Greer xxx-xx-2537	6,000 00	501(C)(3)
25 7/1/13	2215	CDJ	Hana M Suckstorff - Beinecke Scholarship	4,000 00	Individual
26 8/1/13	2264	CDJ	Harvard Divinity School - Beinecke Scholarship Seth P Robinson xxx-xx-3426	11,594 00	501(C)(3)
27 8/1/13	2234	CDJ	Harvard University - Beinecke Scholarship Andrew J Pope xxx-xx-1760	15,000 00	501(C)(3)
28 8/1/13	2238	CDJ	Harvard University - Beinecke Scholarship Asad L Asad xxx-xx-1419	6,500 00	501(C)(3)
29 8/1/13	2240	CDJ	Harvard University - Beinecke Scholarship Blythe K George xxx-xx-0855	4,000 00	501(C)(3)
30 8/1/13	2241	CDJ	Harvard University - Beinecke Scholarship Calista A McRae xxx-xx-9544	11,000 00	501(C)(3)
31 8/1/13	2247	CDJ	Harvard University - Beinecke Scholarship David W Ungvary xxx-xx-9390	6,000 00	501(C)(3)
32 8/1/13	2270	CDJ	Harvard University - Beinecke Scholarship Dmitry Taubinsky xxx-xx-8461	15,000 00	501(C)(3)
33 8/1/13	2272	CDJ	Harvard University - Beinecke Scholarship Gabrielle L Ramaiah xxx-xx-0490	11,000 00	501(C)(3)
34 8/1/13	2276	CDJ	Harvard University - Beinecke Scholarship Jasmine S Hu xxx-xx-7673	4,000 00	501(C)(3)
35 8/1/13	2281	CDJ	Harvard University - Beinecke Scholarship Kevin P Madore xxx-xx-2596	8,000 00	501(C)(3)
36 8/1/13	2282	CDJ	Harvard University - Beinecke Scholarship Mana P Devlin xxx-xx-6754	11,000 00	501(C)(3)
37 8/1/13	2283	CDJ	Harvard University - Beinecke Scholarship Mateo C Jarquin xxx-xx-7573	4,000 00	501(C)(3)
38 8/1/13	2286	CDJ	Harvard University - Beinecke Scholarship Rudi E Batzell xxx-xx-8065	12,000 00	501(C)(3)
39 7/1/13	2220	CDJ	Hawk Mountain Sanctuary Assoc - Grant#9503607 payment 1 of 3	20,000 00	501(C)(3)
40 7/1/13	2214	CDJ	James R Purcell - Beinecke Scholarship	4,000 00	Individual
41 7/1/13	2213	CDJ	Janae A Peters - Beinecke Scholarship	4,000 00	Individual
42 7/1/13	2203	CDJ	Joanna Chromik - Beinecke Scholarship	4,000 00	Individual
43 7/1/13	2201	CDJ	Jonathan D Askonas - Beinecke Scholarship	4,000 00	Individual
44 7/1/13	2208	CDJ	Kip G Hutchins - Beinecke Scholarship	4,000 00	Individual
45 9/6/13	Transfer2	CDJ	Lady Margaret Hall - Beinecke Scholarship	6,000 00	501(C)(3)
46 9/6/13	Transfer5	CDJ	Magdalen College - Beinecke Scholarship	6,000 00	501(C)(3)
47 6/3/14	Transfer 2	CDJ	Magdalen College - Beinecke Scholarship	3,000 00	501(C)(3)
48 7/24/13	2225	CDJ	Mahmoud Samon - Beinecke Scholarship	4,000 00	Individual
49 7/1/13	2210	CDJ	Mateo C Jarquin - Beinecke Scholarship	4,000 00	Individual
50 8/1/13	2253	CDJ	Michigan State University - Beinecke Scholarship Kristen J Mills xxx-xx-8670	6,000 00	501(C)(3)
51 8/1/13	2236	CDJ	New York University - Beinecke Scholarship Andrew Y Lee xxx-xx-8400	6,000 00	501(C)(3)
52 8/1/13	2244	CDJ	New York University - Beinecke Scholarship Cristina Vignone xxx-xx-7076	15,000 00	501(C)(3)
53 8/1/13	2246	CDJ	New York University - Beinecke Scholarship David P Cotrone xxx-xx-6454	15,000 00	501(C)(3)
54 8/1/13	2232	CDJ	Princeton University - Beinecke Scholarship Adam J Lerner xxx-xx-8493	6,000 00	501(C)(3)
55 8/1/13	2239	CDJ	Princeton University - Beinecke Scholarship Benjamin J Sacks xxx-xx-7867	7,500 00	501(C)(3)
56 8/1/13	2250	CDJ	Princeton University - Beinecke Scholarship Heidi L Hausse xxx-xx-4433	6,000 00	501(C)(3)
57 8/1/13	2254	CDJ	Princeton University - Beinecke Scholarship Leslie B Ribovich xxx-xx-2875	6,000 00	501(C)(3)
58 7/25/13	2226	CDJ	ProPublica - Grant #956310 - installment 1	25,000 00	501(C)(3)
59 8/1/13	2273	CDJ	Regents, University of Califor - Beinecke Scholarship Grace T Harpster xxx-xx-9	6,000 00	501(C)(3)
60 8/1/13	2280	CDJ	Regents, University of Califor - Beinecke Scholarship Katherine C Hood xxx-xx-	6,000 00	501(C)(3)
61 8/1/13	2285	CDJ	Regents, University of Califor - Beinecke Scholarship Robert J Iafolla xxx-xx-621	6,000 00	501(C)(3)
62 7/1/13	2209	CDJ	Robert J Iafolla - Beinecke Scholarship	4,000 00	Individual
63 8/1/13	2237	CDJ	Rutgers University - Beinecke Scholarship Anise G Vance xxx-xx-1486	12,000 00	501(C)(3)
64 6/11/14	2323	CDJ	Rutgers University - Beinecke Scholarship Tara Gildea xxx-xx-3562	6,000 00	501(C)(3)
65 7/1/13	2212	CDJ	Sean P McElroy - Beinecke Scholarship	4,000 00	Individual
66 8/1/13	2275	CDJ	Smith College - Beinecke Scholarship Janae A Peters xxx-xx-7675	15,000 00	501(C)(3)
67 8/21/13	2291	CDJ	Stanford Law School - Beinecke Scholarship Sean P McElroy xxx-xx-5202	15,000 00	501(C)(3)
68 8/1/13	2257	CDJ	Stanford University - Beinecke Scholarship Michelle L Kahn xxx-xx-7165	6,000 00	501(C)(3)
69 8/1/13	2262	CDJ	Stanford University - Beinecke Scholarship Rodolfo Cortes xxx-xx-3969	6,000 00	501(C)(3)
70 8/1/13	2265	CDJ	Stanford University - Beinecke Scholarship Wenfei Zhou xxx-xx-6102	7,500 00	501(C)(3)
71 4/8/14	2312	CDJ	Sterling & Francine Clark Art - Grants	50,000 00	501(C)(3)
72 4/23/14	2317	CDJ	Sterling & Francine Clark Art - Grants	15,000 00	501(C)(3)
73 7/1/13	2207	CDJ	Tara Gildea - Beinecke Scholarship	4,000 00	Individual
74 8/1/13	2287	CDJ	Temple University - Beinecke Scholarship Tyler R Bamford xxx-xx-0545	15,000 00	501(C)(3)
75 7/1/13	2221	CDJ	The Keewaydin Foundation - Grant #954817- payment 4 of 5	25,000 00	501(C)(3)
76 8/21/13	2290	CDJ	The Ohio State University - Beinecke Scholarship Sarah L Lukowski xxx-xx-087	6,000 00	501(C)(3)
77 8/1/13	2235	CDJ	The University of Chicago - Beinecke Scholarship Andrew T Werner xxx-xx-220	10,000 00	501(C)(3)
78 8/1/13	2243	CDJ	The University of Chicago - Beinecke Scholarship Claire N Roosen xxx-xx-9668	10,000 00	501(C)(3)
79 8/1/13	2245	CDJ	The University of Chicago - Beinecke Scholarship Daniel Carranza xxx-xx-3674	7,500 00	501(C)(3)
80 8/1/13	2269	CDJ	The University of Chicago - Beinecke Scholarship Dhananjay Jagannathan xxx-xx	7,500 00	501(C)(3)
81 8/1/13	2279	CDJ	The University of Chicago - Beinecke Scholarship Joanna Chromik xxx-xx-5948	15,000 00	501(C)(3)

82	9/6/13	Transfer3	CDJ	Trinity College - Beinecke Scholarship	12,000 00	501(C)(3)
83	8/1/13	2228	CDJ	University of British Columbia - Beinecke Scholarship Paige M Patchin xxx-xx-4	3,500 00	501(C)(3)
84	8/1/13	2284	CDJ	University of Chicago - Beinecke Scholarship Michael J Murto xxx-xx-5866	15,000 00	501(C)(3)
85	8/1/13	2271	CDJ	University of Maryland, Colleg - Beinecke Scholarship Dustin A Chacon xxx-xx-	6,000 00	501(C)(3)
86	8/1/13	2233	CDJ	University of Massachusetts - Beinecke Scholarship Amanda J Rysling xxx-xx-52	7,500 00	501(C)(3)
87	10/15/13	2293	CDJ	University of Massachusetts - Beinecke Scholarship Erica E Kowsz xxx-xx-6046	7,500 00	501(C)(3)
88	8/1/13	2260	CDJ	University of Massachusetts-Am - Beinecke Scholarship Patrick Boundja	18,000 00	501(C)(3)
89	12/9/13	2298	CDJ	University of Michigan - Beinecke Scholarship Kyera C Singleton xxx-xx-2414	6,000 00	501(C)(3)
90	8/1/13	2252	CDJ	University of Notre Dame - Beinecke Scholarship Joshua A McIntire xxx-xx-338	4,000 00	501(C)(3)
91	8/21/13	2289	CDJ	University of Notre Dame - Beinecke Scholarship Katherine A Finley xxx-xx-401	8,000 00	501(C)(3)
92	9/6/13	Transfer1	CDJ	University of Oxford, St Cros - Beinecke Scholarship	15,000 00	501(C)(3)
93	8/1/13	2259	CDJ	University of Pennsylvania - Beinecke Scholarship Patricia E Kim xxx-xx-1144	6,000 00	501(C)(3)
94	8/1/13	2242	CDJ	University of Southern Califor - Beinecke Scholarship Caress B Reeves xxx-xx-3	15,000 00	501(C)(3)
95	8/1/13	2251	CDJ	University of Virginia - Beinecke Scholarship James F Hrdlicka xxx-xx-6738	6,000 00	501(C)(3)
96	8/1/13	2267	CDJ	University of Wisconsin - Beinecke Scholarship Kip G Hutchins xxx-xx-3699	7,500 00	501(C)(3)
97	6/2/14	2320	CDJ	William J Simmons - Beinecke Scholarship	4,000 00	Individual
98	8/1/13	2248	CDJ	Yale University - Beinecke Scholarship Devin R. Goure xxx-xx-2054	3,500 00	501(C)(3)
99	8/1/13	2278	CDJ	Yale University - Beinecke Scholarship Jessica M Rizzo xxx-xx-9201	4,316 00	501(C)(3)
100	10/15/13	2294	CDJ	Yale University - Beinecke Scholarship Nathana O'Brien xxx-xx-5838	7,500 00	501(C)(3)
101	7/1/13	2218	CDJ	Zachary M Domach - Beinecke Scholarship	4,000 00	Individual

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871,410 00

# Payments Due Schedule, By Month

6/30/2014

Payee Organization Project Title, Grant Amount	Request ID Ref. No.	Due Date	Payment Amount	Payment Fund	Notes
<u>July, 2014</u>					
Columbia University Institute for the Study of Human Rights <i>one-year grant to support the Human Rights Advocates Program</i> \$31,000.00	956470 9503893	7/1/2014	\$31,000.00	The Sperry Fund	
Hawk Mountain Sanctuary Association <i>The Sperry Fund Scholars in Raptor Conservation Science</i> \$60,000.00	956212 9503607	7/1/2014	\$20,000.00	The Sperry Fund	
The Keewaydin Foundation <i>five-year grant to endow an annual scholarship for one camper to attend Keewaydin Camp (cannot go to a camper from Vermont)</i> \$125,000.00	954817 9502323	7/1/2014	\$25,000.00	The Sperry Fund	
ProPublica <i>Two year grant (2013-2014) for expansion of Environmental Trust</i> \$50,000.00	956310 9503704	7/1/2014	\$25,000.00	The Sperry Fund	
The Sterling and Francine Clark Art Institute <i>two-year grant (FY2014-2015) for the Michael Ann Holly Fellowship in the Research and Academic Program endowment</i> \$25,000.00	956469 9503853	7/1/2014	\$10,000.00	The Sperry Fund	
Total July, 2014 (5 items)			\$111,000.00		

Payee Organization Project Title, Grant Amount	Request ID Ref. No.	Due Date	Payment Amount	Payment Fund	Notes
<b>August, 2014</b>					
Restless Development USA <i>two-year grant for the Big Idea and advancing youth-led development in USAID and other US gov't initiatives and coalitions</i> \$100,000.00	956472 9503894	8/18/2014	\$50,000.00	The Sperry Fund	
		Total August, 2014 (1 item)	\$50,000.00		
<b>April, 2015</b>					
Citytrack <i>three-year grant for general support</i> \$30,000.00	955814 9503213	4/24/2015	\$10,000.00	The Sperry Fund	
		Total April, 2015 (1 item)	\$10,000.00		
<b>July, 2015</b>					
Hawk Mountain Sanctuary Association <i>The Sperry Fund Scholars in Raptor Conservation Science</i> \$60,000.00	956212 9503607	7/1/2015	\$20,000.00	The Sperry Fund	
		Total July, 2015 (1 item)	\$20,000.00		
<b>August, 2015</b>					
Restless Development USA <i>two-year grant for the Big Idea and advancing youth-led development in USAID and other US gov't initiatives and coalitions</i> \$100,000.00	956472 9503894	8/17/2015	\$50,000.00	The Sperry Fund	
		Total August, 2015	\$50,000.00		

Payee Organization Project Title, Grant Amount	Request ID Ref. No.	Due Date	Payment Amount	Payment Fund	Notes
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(1 item)

Grand Total	\$241,000.00
(9 items)	



THE BEINECKE SCHOLARSHIP PROGRAM																			
FINANCIAL OBLIGATIONS AS OF JUNE 30, 2014																			
										6/30/2011	GRADUATE STIPENDS				TOTAL		REMAINING	SELECTION	
											FUNDS	INITIAL			FUNDS				
SCHOOL CURRENTLY ATTENDED											EXPENDED	STIPEND	AVAILABLE				YEAR		
																	TOTAL		
2014 BEINECKE SCHOLARS (21)																			
Simon Brown	2014	PITTSBURGH-Senior								\$0	\$32,000	\$34,000							
Andres Bustamante	2014	YALE-Senior								\$0	\$32,000	\$34,000							
Abby Carlozzo	2014	OHIO STATE-Senior								\$0	\$32,000	\$34,000							
Cody Dales	2014	HARVARD-Senior								\$0	\$32,000	\$34,000							
Emma Eisenberg	2014	OBERLIN-Senior								\$0	\$32,000	\$34,000							
Sarah Estrela	2014	WHEATON-Senior								\$0	\$32,000	\$34,000							
Daniel Graves	2014	RICE-Senior								\$0	\$32,000	\$34,000							
Alexandria Hall	2014	VERMONT-Senior								\$0	\$32,000	\$34,000							
Lauren Henley	2014	WASHINGTON U -Senior								\$0	\$32,000	\$34,000							
Zoe High	2014	BARNARD-Senior								\$0	\$32,000	\$34,000							
Stephanie Letzel	2014	MICHIGAN-Senior								\$0	\$32,000	\$34,000							
Bronte Mansfield	2014	WISCONSIN-Senior								\$0	\$32,000	\$34,000							
Mary Naydan	2014	DICKINSON-Senior								\$0	\$32,000	\$34,000							
Sam O'Donnell	2014	WILLIAMS-Senior								\$0	\$32,000	\$34,000							
Nikolas Oktaba	2014	FORDHAM-Senior								\$0	\$32,000	\$34,000							
Benjamin Randolph	2014	DARTMOUTH-Senior								\$0	\$32,000	\$34,000							
Mary Stoner	2014	PRINCETON-Senior								\$0	\$32,000	\$34,000							
Neel Thakkar	2014	STANFORD-Senior								\$0	\$32,000	\$34,000							
Conner VanderBeek	2014	NORTHWESTERN-Senior								\$0	\$32,000	\$34,000							
Vierne Wagner	2014	NOTRE DAME-Senior								\$0	\$32,000	\$34,000					\$680,000		
2013 BEINECKE SCHOLARS (21)																			
Felix Mulindahabi	African 2013	FLORIDA (Pending)							na	\$0	\$32,000	\$36,000					African Scholar		
Christian Brendel	2013	LEAVE								\$0	\$32,000	\$34,000							
Philip Caprara	2013	OXFORD (UK)							\$4,000	\$4,000	\$32,000	\$30,000							
Samantha Doolittle	2013	MASSACHUSETTS							\$4,000	\$4,000	\$32,000	\$30,000							
Daniel Gorman	2013	VILLANOVA							\$4,000	\$4,000	\$32,000	\$30,000							
Derek Heckman	2013	MONTANA							\$4,000	\$4,000	\$32,000	\$30,000							
Kersten King	2013	BRYN MAWR							\$4,000	\$4,000	\$32,000	\$30,000							
Paul Koenig	2013	HARVARD							\$4,000	\$4,000	\$32,000	\$30,000							
Heather Lane	2013	LEAVE								\$0	\$32,000	\$34,000							
Joanna Lawrence	2013	CAMBRIDGE (UK)							\$4,000	\$4,000	\$32,000	\$30,000							
Mary Prokop	2013	NOTRE DAME							\$4,000	\$4,000	\$32,000	\$30,000							
Sophia Sanborn	2013	LEAVE								\$0	\$32,000	\$34,000							
Williams Simmons	2013	CUNY Grad Center							\$4,000	\$4,000	\$32,000	\$30,000							
Nathaniel Sims	2013	UCSB							\$4,000	\$4,000	\$32,000	\$30,000							
Brian Sopher	2013	TORONTO							\$4,000	\$4,000	\$32,000	\$30,000							
Kristen Starkowski	2013	PRINCETON							\$4,000	\$4,000	\$32,000	\$30,000							
Charles Tyson	2013	OXFORD (UK)							\$4,000	\$4,000	\$32,000	\$30,000							
Joel Vargas	2013	USC							\$4,000	\$4,000	\$32,000	\$30,000							
Sarah Weston	2013	CAMBRIDGE (UK)							\$4,000	\$4,000	\$32,000	\$30,000							
David Williams	2013	JOHNS HOPKINS							\$4,000	\$4,000	\$32,000	\$30,000							
Celeste Winston	2013	CUNY Grad Center							\$4,000	\$4,000	\$32,000	\$30,000					\$648,000		
2012 BEINECKE SCHOLARS (20)																			
Jonathan Askonas	2012	OXFORD (UK)							\$4,000	\$15,000	\$19,000	\$32,000	\$15,000						
Jonathan Chromik	2012	LEAVE							\$4,000	\$15,000	\$19,000	\$32,000	\$15,000						
David Cotrone	2012	NYU							\$4,000	\$15,000	\$19,000	\$32,000	\$15,000						
Zachary Domach	2012	COLUMBIA							\$4,000	\$12,000	\$16,000	\$32,000	\$18,000						
Julia Frankenbach	2012	COLORADO							\$4,000		\$4,000	\$32,000	\$30,000						
Gabriella Friedman	2012	CORNELL							\$4,000		\$4,000	\$32,000	\$30,000						
Tara Gildea	2012	RUTGERS							\$4,000	\$6,000	\$10,000	\$32,000	\$24,000						
Ben Goossen	2012	HARVARD							\$4,000		\$4,000	\$32,000	\$30,000						
Kasay Greer	2012	GEORGE MASON							\$4,000	\$6,000	\$10,000	\$32,000	\$24,000						
Robert Iafolla	2012	UCLA							\$4,000	\$6,000	\$10,000	\$32,000	\$24,000						
Mateo Jarquin	2012	HARVARD							\$4,000	\$4,000	\$8,000	\$32,000	\$26,000						
Ken Lambert	2012	YALE							\$4,000		\$4,000	\$32,000	\$30,000						
Andrew Lee	2012	NYU							\$4,000	\$6,000	\$10,000	\$32,000	\$24,000						
Mura McCammon	2012	MASSACHUSETTS							\$4,000		\$4,000	\$32,000	\$30,000						
Mahmoud Samori	2012	BROWN							\$4,000	\$6,000	\$10,000	\$32,000	\$24,000						
Jenny Tang	2012	YALE							\$4,000		\$4,000	\$32,000	\$30,000						
Flora Thomson-DeVaux	2012	BROWN							\$4,000		\$4,000	\$32,000	\$30,000						
Sean Wehle	2012	HARVARD							\$4,000		\$4,000	\$32,000	\$30,000						
Daniel Young	2012	OXFORD (UK)							\$4,000	\$6,000	\$10,000	\$32,000	\$24,000				\$473,000		
2011 BEINECKE SCHOLARS (15)																			
Daniel Carranza	2011	CHICAGO							\$4,000	\$7,500	\$11,500	\$32,000	\$22,500						
Katherine Finley	2011	NOTRE DAME							\$4,000	\$8,000	\$20,000	\$32,000	\$14,000						
Blythe George	2011	HARVARD							\$4,000	\$4,000	\$8,000	\$32,000	\$26,000						
Byron Gray	2011	STANFORD							\$4,000	\$6,000	\$19,000	\$32,000	\$15,000						
Jasmine Hu	2011	HARVARD							\$4,000	\$4,000	\$12,000	\$32,000	\$22,000						
Kip Hutchins	2011	WISCONSIN							\$4,000	\$7,500	\$11,500	\$32,000	\$22,500						
Michelle Kahn	2011	STANFORD							\$4,000	\$6,000	\$16,000	\$32,000	\$18,000						
Patricia Kim	2011	PENN							\$4,000	\$6,000	\$16,000	\$32,000	\$18,000						
Spencer Lenfield	2011	OXFORD (UK)							\$4,000	\$6,000	\$16,000	\$32,000	\$18,000						
Adam Lerner	2011	PRINCETON							\$4,000	\$6,000	\$16,000	\$32,000	\$18,000						
Sarah Lukowski	2011	OHIO STATE							\$4,000	\$6,000	\$16,000	\$32,000	\$18,000						
Sean McElroy	2011	STANFORD LAW							\$4,000	\$15,000	\$19,000	\$32,000	\$15,000						
Kristen Mids	2011	MICHIGAN STATE							\$4,000	\$6,000	\$16,000	\$32,000	\$18,000						
James Purcell	2011	COLUMBIA							\$4,000	\$7,500	\$11,500	\$32,000	\$22,500						
Wenfei Zhou	2011	STANFORD							\$4,000	\$7,500	\$11,500	\$32,000	\$22,500				\$290,000		
2010 BEINECKE SCHOLARS (16)																			
Asad Asad	2010	HARVARD							\$4,000	\$4,000	\$6,500	\$6,500	\$21,000	\$32,000	\$13,000				
Mane Devin	2010	HARVARD							\$4,000	\$4,000	\$4,000	\$11,000	\$23,000	\$32,000	\$11,000				
James Geven-Chen	2010	COLUMBIA							\$4,000	\$7,500	\$7,500		\$19,000	\$32,000	\$15,000				
Grace Harpster	2010	BERKELEY							\$4,000	\$3,885	\$6,000	\$6,000	\$25,885	\$32,000	\$8,115				
Catherine Hood	2010	BERKELEY							\$4,000	\$6,000	\$16,000		\$16,000	\$32,000	\$18,000				
Enca Kowsz	2010	MASSACHUSETTS							\$4,000	\$7,500	\$7,500		\$19,000	\$32,000	\$15,000				
Kevin Madore	2010	HARVARD							\$4,000	\$4,000	\$4,000	\$8,000	\$20,000		\$14,000				
Page Patchan	2010	BRITISH COLUMBIA							\$4,000	\$15,000	\$5,000	\$3,500	\$27,500	\$32,000	\$6,500				
J Mackenzie Pierce	2010	CORNELL							\$4,000	\$7,500	\$7,500		\$19,000	\$32,000	\$15,000				

**Sperry Fund**  
**EIN: 13-6114308**  
**Attachment: PG 11 Part XV 3b**

Andrew Pope	2010	HARVARD	\$4,000	\$4,000	\$4,000	\$15,000					\$27,000	\$32,000	\$7,000	
Leslie Ribovich	2010	PRINCETON	\$4,000	\$6,000	\$6,000	\$6,000					\$22,000	\$32,000	\$12,000	
Charles Rousseau	2010	LEAVE	\$4,000	\$6,000	\$8,000	\$7,000					\$25,000	\$32,000	\$9,000	
Amanda Rysling	2010	MASSACHUSETTS	\$4,000	\$7,500	\$7,500						\$19,000	\$32,000	\$15,000	
Kyera Singleton	2010	MICHIGAN	\$4,000	\$6,000	\$6,000	\$6,000					\$22,000	\$32,000	\$12,000	
Hana Suckstorff	2010	DUKE DIVINITY	\$4,000	\$10,000							\$14,000	\$32,000	\$20,000	
Valena Tsygankova	2010	COLUMBIA	\$4,000	\$6,000	\$6,000	\$6,000					\$22,000	\$32,000	\$12,000	\$202,615
<b>2009 BEINECKE SCHOLARS (11)</b>														
Zaid Adhami	2009	DUKE	\$4,000	\$6,000	\$6,000	\$6,000	\$6,000				\$28,000	\$32,000	\$6,000	
Luke Caldwell	2009	DUKE	\$4,000	\$6,000	\$6,000	\$6,000	\$6,000				\$28,000	\$32,000	\$6,000	
Aimee Carbaugh	2009	ILLINOIS	\$4,000	\$15,000							\$19,000	\$32,000	\$15,000	
Dustin Chacon	2009	MARYLAND	\$4,000	\$6,000	\$6,000	\$6,000	\$6,000				\$28,000		\$6,000	
James Hrdicka	2009	VIRGINIA	\$4,000	\$6,000	\$6,000	\$6,000	\$6,000				\$28,000		\$6,000	
Janee Peters	2009	SMITH	\$4,000	\$15,000							\$19,000	\$32,000	\$15,000	
Gabrielle Ramaiah	2009	HARVARD	\$4,000	\$4,000	\$4,000	\$11,000					\$23,000	\$32,000	\$11,000	
Benjamin Sacks	2009	PRINCETON	\$4,000	\$7,500	\$7,500	\$7,500					\$26,500	\$32,000	\$7,500	
Claire Saperstein	2009	CHICAGO	\$4,000	\$10,000	\$10,000						\$24,000	\$32,000	\$10,000	
David Ungvary	2009	HARVARD	\$4,000	\$7,500	\$2,500	\$4,000	\$4,000	\$6,000			\$28,000	\$32,000	\$6,000	
Andrew Werner	2009	CHICAGO	\$4,000	\$10,000	\$10,000						\$24,000	\$32,000	\$10,000	\$98,500
DEBT		BEINECKE SCHOLARSHIP PROGRAM									Scholarships		101	\$2,356,115 FN 7
		BEINECKE AFRICAN SCHOLARS PROGRAM											1	\$36,000 FN 7
OUTSTANDING		TOTAL OBLIGATIONS OUTSTANDING											102	\$2,392,115

**THE SPERRY FUND****EIN: 13-6114308****INVESTMENT IN PROSPECT CAPITAL PARTNERS DETAIL****JUNE 30, 2014**

Quantity	Security	Market Value
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CASH AND EQUIVALENTS		
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	BBIF T/E Fund CI 1	208
	Northern Funds US Govt Select Money Mkt Fd	541,946
	Northern Trust Euro Account	57
	Schwab US Treasury Money Fund	1
		542,212
TAXABLE BONDS		
-----		
32,695.38	Blackrock Strategic Inc Opport Portf Instl	339,051
		339,051
DOMESTIC EQUITIES		
-----		
Large Capitalization		
477,250.00	Edgewood Management LLC-PCP	851,828
25,889.27	Longleaf Partners Fund	935,638
40,808.93	The GMO Quality Fund-III	1,076,131
Small Capitalization		
2,305.33	Hannon Armstrong Sustainable Infrastr Cap	33,058
18,930.90	Wellington Micro Cap Equity	598,355
	DOMESTIC EQUITIES Total	3,495,011

## FOREIGN EQUITIES

### Developed Markets

12,992.60	First Eagle Overseas Fund CI I	327,543
17,287.07	Highclere Intl	388,686
12,626.85	Silchester Intl Investors	1,405,377

### Emerging Markets

207,500.00	Indus Select Fund Ltd	291,522
311,250.00	Newport Asia Institutional Fund(PCP)	392,209
18,056.89	Oppenheimer Develop Mkts Fd CI I	719,747
12.27	Spinnaker Capital PACNET Holdings-PCP	980
207,500.00	Spinnaker GO Fund CI I	256,907
4,150.00	Sandstone Capital India Offshore Fund, Ltd	23,454

FOREIGN EQUITIES Total 3,806,426

## ABSOLUTE RETURN

93.95	Cerberus Intl SPV, Ltd	172,298
207,500.00	Colchis P2P Income Fund	212,444
622,500.00	Convexity Capital Offshore L.P.	343,750
415,000.00	Davidson Kempner Institutional	642,029
726,250.00	Farallon Captl Institutional Ptnrs	917,437
435,750.00	Oceanwood Opportunities Fd-PCP	434,241
20.75	Silver Point Capital Offshore Fund, Ltd	378,315
435,750.00	York Credit Opp Class A Unit Trust	458,955
128,130.33	AG Long-Term SuperFund	7,497
389.06	Friedberg Milstein Pfd Shs 144A	3,891
18,513.30	Madoff Securities	-
1,198.11	Vega - Liquidating Assets	-
		3,570,857

## HEDGED EQUITY

242,775.00	Artorius Offshore Investments, Ltd	272,681
207,500.00	Coatue Offshore Fund Ltd	197,350
259,375.00	Elbrook Offshore Fund Ltd	295,065
259,375.00	Kettle Hill Offshore Ltd	229
290,500.00	Kettle Hill Ptrs II-PCP	294,175

207,500.00	MW PLC Eureka Fund	206,454
207,500.00	Palo Alto Healthcare Offshore II, Ltd	242,177
2,075.00	Perceptive Life Sciences Offshore Fund Ltd	202,783
2,240.22	WMIB - Spindrift Side Pocket Shs	25,329
6.49	Sansar Capital Holdings	2,818
20.38	Swiftcurrent Offshore, Ltd CI D Ser 1	1,295

1,740,357

#### HARD ASSETS

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##### Real Estate Investments

207,499.79	Cerberus Asia Partners, L.P.	18,540
307,961.33	H.I.G. Realty Fund, L.P.(Cronus)(01/14)	91,857
830.00	LBA Realty Fund Inc	148,461
1.04	Prana Associates 21 LP	6,144
1.04	Prana Associates 22 LP	85,201
321,963.64	Rossrock Fund II LP(09/14)	79,127

##### Oil & Gas

416,889.44	Encap Energy Capital Fund VII(06/14)	129,720
56,223.79	VIA Energy II(06/14)	67,286

HARD ASSETS Total 626,334

#### VENTURE CAPITAL INVESTMENTS

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##### Partnerships-VC

204,776.98	Amberbrook IV LLC(03/14)	56,184
193,970.17	Amberbrook V LLC(03/14)	164,909
145,509.38	DFJ Dragon Fund China L.P.(06/14)	131,708
162,731.93	Next Wave Fund I LP(12/13)	68,751
321,212.28	Venture Investment Associates V(06/14)	459,270
342,109.29	Venture Investment Associates VI(06/14)	513,238

VENTURE CAPITAL INVEST Total 1,394,060

PRIVATE EQUITY INVESTMENTS

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Partnerships-PE

122,051.04	Clearview Partners Fund II(06/14)	124,281
955,713.29	Fortress Credit Oppor Fund(B)LP (06/14)	306,378
189,806.22	Founders Equity SBIC I, LP(06/14)	157,421
416,255.58	MissionPoint Capital Ptnrs Fund(06/14)	177,857
30,221.96	MissionPoint HA Parallel Fund II	13,930

Direct Investments-PE

207,500.00	SRB OPCO II, Inc.	172,973
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PRIVATE EQUITY INVESTM Total	952,840
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TOTAL PORTFOLIO	16,467,149
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FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS STATEMENT 9

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
FREDERICK W. BEINECKE 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	PRESIDENT/DIRECTOR 2.00	0.	0.	0.
WILLIAM S. BEINECKE 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	DIRECTOR 2.00	0.	0.	0.
R. SCOTT GREATHEAD 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	SECRETARY/DIRECTOR 2.00	2,000.	0.	0.
MELVYN L. SHAFFIR 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	DIRECTOR 2.00	2,000.	0.	0.
ROBERT J. BARLETTA 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	TREASURER 5.00	0.	0.	0.
JOHN B. BEINECKE 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	VICE-PRESIDENT/DIRECTOR 2.00	0.	0.	0.
FRANCES B. ELSTON 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	DIRECTOR 2.00	0.	0.	0.
SARAH B. RICHARDSON 99 PARK AVENUE, SUITE 2200 NEW YORK, NY 10016	DIRECTOR 2.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		4,000.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 10

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

THE SPERRY FUND  
99 PARK AVENUE, SUITE 2220  
NEW YORK, NY 10016

TELEPHONE NUMBER

212-370-1144

FORM AND CONTENT OF APPLICATIONS

PLEASE SEE WEBSITE - [WWW.FDNCENTER.ORG/GRANTMAKER/PROSPECTHILL](http://WWW.FDNCENTER.ORG/GRANTMAKER/PROSPECTHILL) FOR FULL  
APPLICATION REQUIREMENTS.

ANY SUBMISSION DEADLINES

APPLICATIONS FOR GRANTS MAY BE SUBMITTED AT ANY TIME.

RESTRICTIONS AND LIMITATIONS ON AWARDS

PLEASE SEE WEBSITE [WWW.FDNCENTER.ORG/GRANTMAKER/PROSPECTHILL](http://WWW.FDNCENTER.ORG/GRANTMAKER/PROSPECTHILL) FOR AWARD  
RESTRICTIONS AND LIMITATIONS.