



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490





Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

☐ ☒

1

Briefly describe the organization's mission

COLUMBIA UNIVERSITY IS ONE OF THE WORLD'S MOST IMPORTANT CENTERS OF RESEARCH AND AT THE SAME TIME A DISTINCTIVE AND DISTINGUISHED LEARNING ENVIRONMENT FOR UNDERGRADUATES AND GRADUATE STUDENTS IN MANY SCHOLARLY AND PROFESSIONAL FIELDS THE UNIVERSITY RECOGNIZES THE IMPORTANCE OF ITS LOCATION IN NEW YORK CITY AND SEEKS TO LINK ITS RESEARCH AND TEACHING TO THE VAST RESOURCES OF A GREAT METROPOLIS IT SEEKS TO ATTRACT A DIVERSE AND INTERNATIONAL FACULTY AND STUDENT BODY, TO SUPPORT RESEARCH AND TEACHING ON GLOBAL ISSUES, AND TO CREATE ACADEMIC RELATIONSHIPS WITH MANY COUNTRIES AND REGIONS IT EXPECTS ALL AREAS OF THE UNIVERSITY TO ADVANCE KNOWLEDGE AND LEARNING AT THE HIGHEST LEVEL AND TO CONVEY THE PRODUCTS OF ITS EFFORTS TO THE WORLD

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

☐ Yes ☒ No

If "Yes," describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

☐ Yes ☒ No

If "Yes," describe these changes on Schedule O

4

Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code ) (Expenses \$ 1,392,757,363 including grants of \$ 433,590,951 ) (Revenue \$ 1,215,249,006 )

COLUMBIA UNIVERSITY IS A PRIVATE, NONSECTARIAN, NONPROFIT INSTITUTION OF HIGHER EDUCATION WHOSE ACTIVITIES ARE CONCENTRATED AT TWO LOCATIONS IN NEW YORK CITY BUT EXTEND ACROSS THE GLOBE THE UNIVERSITY PROVIDES INSTRUCTION THROUGH SIXTEEN UNDERGRADUATE, GRADUATE, AND PROFESSIONAL SCHOOLS IT OPERATES A VARIETY OF RESEARCH INSTITUTES AND A LIBRARY SYSTEM TO SUPPORT ITS TEACHING AND LEARNING, BASIC AND APPLIED RESEARCH, PATIENT CARE, AND PUBLIC SERVICE ACTIVITIES THE UNIVERSITY ENROLLS APPROXIMATELY 29,250 FULL-TIME AND PART-TIME STUDENTS AND EMPLOYS APPROXIMATELY 30,000 FULL-TIME AND PART-TIME EMPLOYEES, INCLUDING 5,721 FULL-TIME FACULTY MEMBERS

4b

(Code ) (Expenses \$ 743,391,379 including grants of \$ 7,851,676 ) (Revenue \$ 915,097,078 )

THE UNIVERSITY, THROUGH THE COLUMBIA UNIVERSITY MEDICAL CENTER AND ITS MEDICAL FACULTY PRACTICE PLAN, PROVIDES DIRECT PATIENT CARE AND OTHER CLINICAL AND EDUCATIONAL SERVICES TO HOSPITALS AND OTHER HEALTH CARE INSTITUTIONS THROUGH CONTRACTUAL AGREEMENTS FOR SERVICES THE CLINICAL FACULTY HANDLED APPROXIMATELY 1 9 MILLION OUTPATIENT AND EMERGENCY ROOM VISITS AND PARTICIPATED IN INSTRUCTION AND SUPERVISION OF 645 UNIVERSITY MEDICAL STUDENTS AND 911 RESIDENTS AND FELLOWS AT NEW YORK PRESBYTERIAN HOSPITAL AND OTHER AFFILIATED HOSPITALS

4c

(Code ) (Expenses \$ 548,613,997 including grants of \$ 109,340,792 ) (Revenue \$ 159,496,453 )

THE UNIVERSITY PERFORMS RESEARCH, TRAINING, AND OTHER SERVICES UNDER GRANTS AND CONTRACTS WITH AGENCIES OF THE FEDERAL GOVERNMENT AND OTHER SPONSORING ORGANIZATIONS

(Code ) (Expenses \$ 63,741,732 including grants of \$ ) (Revenue \$ )

LIBRARY

(Code ) (Expenses \$ 223,638,328 including grants of \$ ) (Revenue \$ )

CAMPUS OPERATIONS

(Code ) (Expenses \$ 247,636,342 including grants of \$ ) (Revenue \$ )

INSTITUTIONAL SUPPORT

(Code ) (Expenses \$ 112,517,197 including grants of \$ ) (Revenue \$ 146,513,849 )

AUXILIARY ENTERPRISES

(Code ) (Expenses \$ 130,459,367 including grants of \$ 1,870,146 ) (Revenue \$ 3,694,592 )

OTHER SOURCES

4d

Other program services (Describe in Schedule O )

(Expenses \$ 777,992,966 including grants of \$ 1,870,146 ) (Revenue \$ 150,208,441 )









4e

Total program service expenses

3,462,755,705

Form 990 (2013)

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	2	No
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I 	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II 	4 Yes	
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III 	5	No
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6 Yes	
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II 	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8 Yes	
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9	No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10 Yes	
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI 	11a Yes	
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 	11b Yes	
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII 	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX 	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 	11e Yes	
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 	11f	No
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII 	12a	No
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional 	12b Yes	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E 	13 Yes	
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a Yes	
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV 	14b Yes	
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV 	15 Yes	
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV 	16 Yes	
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) 	17 Yes	
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III 	19	No
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i> . . . . .	21	Yes	
22	Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i> . . . . .	22	Yes	
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i> . . . . .	23	Yes	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i> . . . . .	24a	Yes	
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? . . . .	24b		No
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? . . . . .	24c	Yes	
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? . . . .	24d		No
25a	<b>Section 501(c)(3) and 501(c)(4) organizations.</b> Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i> . . . . .	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i> . . . . .	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If so, complete Schedule L, Part II</i> . . . . .	26	Yes	
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i> . . . . .	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28a	Yes	
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28b	Yes	
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i> . . . . .	28c	Yes	
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i> . . . . .	29	Yes	
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i> . . . . .	30	Yes	
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i> . . . . .	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i> . . . . .	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> . . . . .	33	Yes	
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i> . . . . .	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	Yes	
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .	35b	Yes	
36	<b>Section 501(c)(3) organizations.</b> Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> . . . . .	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> . . . . .	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? <b>Note.</b> All Form 990 filers are required to complete Schedule O . . . . .	38	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

☒

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	37,527	
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	0	
1c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	Yes	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.	32,543	
2b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <b>Note.</b> If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	Yes	
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	Yes	
3b	If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O.	Yes	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	Yes	
b	If "Yes," enter the name of the foreign country: _____ See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.		
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		No
5b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		No
5c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?		
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		No
6b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		
7	<b>Organizations that may receive deductible contributions under section 170(c).</b>		
7a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	Yes	
7b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	Yes	
7c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		No
7d	If "Yes," indicate the number of Forms 8282 filed during the year.		
7e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		No
7f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		No
7g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		
7h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		
8	<b>Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.</b> Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		No
9	<b>Sponsoring organizations maintaining donor advised funds.</b>		
9a	Did the organization make any taxable distributions under section 4966?		No
9b	Did the organization make a distribution to a donor, donor advisor, or related person?		No
10	<b>Section 501(c)(7) organizations.</b> Enter		
10a	Initiation fees and capital contributions included on Part VIII, line 12.		
10b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.		
11	<b>Section 501(c)(12) organizations.</b> Enter		
11a	Gross income from members or shareholders.		
11b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).		
12a	<b>Section 4947(a)(1) non-exempt charitable trusts.</b> Is the organization filing Form 990 in lieu of Form 1041?		
12b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year.		
13	<b>Section 501(c)(29) qualified nonprofit health insurance issuers.</b>		
13a	Is the organization licensed to issue qualified health plans in more than one state? <b>Note.</b> See the instructions for additional information the organization must report on Schedule O.		
13b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.		
13c	Enter the amount of reserves on hand.		
14a	Did the organization receive any payments for indoor tanning services during the tax year?		No
14b	If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.		

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year . . . . .		
	If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O		
b	Enter the number of voting members included in line 1a, above, who are independent . . . . .		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? . . . . .	2	Yes
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? . . . . .	3	No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? . . . . .	4	No
5	Did the organization become aware during the year of a significant diversion of the organization's assets? . . . . .	5	No
6	Did the organization have members or stockholders? . . . . .	6	No
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? . . . . .	7a	No
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? . . . . .	7b	No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
a	The governing body? . . . . .	8a	Yes
b	Each committee with authority to act on behalf of the governing body? . . . . .	8b	Yes
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O . . . . .	9	No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates? . . . . .	10a	No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? . . . . .	10b	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? . . . . .	11a	Yes
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990 . . . . .		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13 . . . . .	12a	Yes
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? . . . . .	12b	Yes
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done . . . . .	12c	Yes
13	Did the organization have a written whistleblower policy? . . . . .	13	Yes
14	Did the organization have a written document retention and destruction policy? . . . . .	14	Yes
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official . . . . .	15a	Yes
b	Other officers or key employees of the organization . . . . .	15b	Yes
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)		
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? . . . . .	16a	No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? . . . . .	16b	

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed	CA
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. <input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request <input type="checkbox"/> Other (explain in Schedule O)	
19	Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year	
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization RENOTTA YOUNG DEP CONTROLL 615 W 131ST MC 8749 New York, NY 10027 (212) 854-4684	

Check if Schedule O contains a response or note to any line in this Part VII ☒

**1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations

- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

[illegible]



## Part VII

<b>1b</b>	<b>Sub-Total</b>			
<b>c</b>	<b>Total from continuation sheets to Part VII, Section A</b>			
<b>d</b>	<b>Total (add lines 1b and 1c)</b>	32,737,007	0	6,634,282

\$100,000 of reportable compensation from the organization 4,631

		Yes	No
<b>3</b>	Did the organization list any <b>former</b> officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	<b>3</b> Yes	
<b>4</b>	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i> . . . . .	<b>4</b> Yes	
<b>5</b>	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i> . . . . .	<b>5</b>	No

## Section B. Independent Contractors

**1** Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A)	(B)	(C)
Name and business address	Description of services	Compensation
FXFOWLE ARCHITECTS LLP, 22 WEST 19TH STREET NEW YORK NY 10011	ARCHITECTURAL	9,855,985
DAVIS BRODY BOND LLP, 315 HUDSON STREET NEW YORK NY 10013	ARCHITECTURAL	9,585,331
CAULDWELL WINGATE, 380 LEXINGTON AVENUE NEW YORK NY 10168	Construction	8,976,835
CALDWELL WALSH BLDG CONSTRUCTION, 60 EAST 42ND STREET SUITE 1365 NEW YORK NY 10165	CONSTRUCTION	5,521,329
SYSCO FOOD SERV OF METRO NY, 20 THEODORE CONRAD DRIVE JERSEY CITY NJ 07305	FOOD SERVICE	4,884,134

\$100,000 of compensation from the organization ▶250

Part VIII

Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

☒

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns . . . . . 1a				
	b	Membership dues . . . . . 1b				
	c	Fundraising events . . . . . 1c	8,671,462			
	d	Related organizations . . . . . 1d				
	e	Government grants (contributions) 1e	769,648,021			
	f	All other contributions, gifts, grants, and similar amounts not included above 1f	456,088,784			
	g	Noncash contributions included in lines 1a-1f \$	41,510,351			
	h	Total. Add lines 1a-1f . . . . .	1,234,408,267			
Program Service Revenue	2a	TUITION & FEES	Business Code 611600	1,215,249,006	1,215,249,006	
	b	OTHER EDUCATION & RESEARCH	541700	159,496,453	159,496,453	
	c	PATIENT CARE REVENUE	621110	915,097,078	915,097,078	
	d	AUXILIARY ENTERPRISES	900099	146,513,849	141,713,818	4,800,031
	e	OTHER SOURCES	900099	1,727,805	1,727,805	
	f	All other program service revenue		1,966,787	1,966,787	
	g	Total. Add lines 2a-2f . . . . .		2,440,050,978		
Other Revenue	3	Investment income (including dividends, interest, and other similar amounts) . . . . .	50,663,101			50,663,101
	4	Income from investment of tax-exempt bond proceeds . . . . .	0			
	5	Royalties . . . . .	85,971,754			85,971,754
	6a	Gross rents	(i) Real			
			157,998,040			
			(ii) Personal			
			138,403,482			
	b	Less rental expenses				
	c	Rental income or (loss)	19,594,558			0
	d	Net rental income or (loss) . . . . .		19,594,558	27,339	19,567,219
	7a	Gross amount from sales of assets other than inventory	(i) Securities			
			2,481,347,169			
			(ii) Other			
			1,926,128,774			
	b	Less cost or other basis and sales expenses				
	c	Gain or (loss)	555,218,395			
	d	Net gain or (loss) . . . . .		555,218,395		555,218,395
	8a	Gross income from fundraising events (not including \$ 8,671,462 of contributions reported on line 1c) See Part IV, line 18 . . . . .				
	a		755,747			
	b	Less direct expenses . . . . . b	1,156,881			
	c	Net income or (loss) from fundraising events . . . . .		-401,134		-401,134
	9a	Gross income from gaming activities See Part IV, line 19 . . . . .				
	a					
	b	Less direct expenses . . . . . b				
	c	Net income or (loss) from gaming activities . . . . .		0		
	10a	Gross sales of inventory, less returns and allowances . . . . .				
	a					
	b	Less cost of goods sold . . . . . b				
	c	Net income or (loss) from sales of inventory . . . . .		0		
	Miscellaneous Revenue		Business Code			
	11a	PARTNERSHIP INCOME	900099	85,521,814	-17,154,614	102,676,428
	b					
	c					
	d	All other revenue . . . . .				
	e	Total. Add lines 11a-11d . . . . .		85,521,814		
	12	Total revenue. See Instructions . . . . .		4,471,027,733	2,435,250,947	-12,327,244
					813,695,763	

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX ☒

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States. See Part IV, line 21.	84,526,257	84,526,257		
2	Grants and other assistance to individuals in the United States. See Part IV, line 22.	432,346,549	432,346,549		
3	Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16.	35,780,759	35,780,759		
4	Benefits paid to or for members.	0			
5	Compensation of current officers, directors, trustees, and key employees.	15,884,526		15,884,526	
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).	1,357,216	210,592	1,146,624	
7	Other salaries and wages.	1,854,638,328	1,684,883,903	119,707,258	50,047,167
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions).	127,831,255	116,130,903	8,250,843	3,449,509
9	Other employee benefits.	183,922,734	121,037,963	46,005,116	16,879,655
10	Payroll taxes.	101,178,892	91,918,022	6,530,571	2,730,299
11	Fees for services (non-employees):				
a	Management.	0			
b	Legal.	37,124,278	6,584,659	30,478,254	61,365
c	Accounting.	1,597,833	0	1,597,833	0
d	Lobbying.	551,131	0	551,131	0
e	Professional fundraising services. See Part IV, line 17.	728,275			728,275
f	Investment management fees.	22,193,108	0	22,193,108	0
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).	178,124,983	152,953,438	18,263,201	6,908,344
12	Advertising and promotion.	12,071,539	9,754,008	505,930	1,811,601
13	Office expenses.	192,554,594	172,529,073	14,617,865	5,407,656
14	Information technology.	22,578,177	12,364,442	9,325,912	887,823
15	Royalties.	0			
16	Occupancy.	179,778,839	170,723,748	6,687,898	2,367,193
17	Travel.	61,431,272	54,812,413	4,750,937	1,867,922
18	Payments of travel or entertainment expenses for any federal, state, or local public officials.	0			
19	Conferences, conventions, and meetings.	43,449,159	30,421,678	2,579,838	10,447,643
20	Interest.	47,162,492	43,073,504	3,310,807	778,181
21	Payments to affiliates.	0			
22	Depreciation, depletion, and amortization.	191,596,716	179,449,484	9,828,912	2,318,320
23	Insurance.	19,101,777	18,226,230	875,547	0
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O):				
a	LIBRARY	26,582,088	26,582,088	0	0
b	EMPLOYEE EDUCATION	7,699,024	7,643,391	35,804	19,829
c	DUES & SUBSCRIPTIONS	8,422,959	6,080,146	2,021,910	320,903
d	UNRELATED BUS INCOME TAX EXP	618,512	0	618,512	0
e	All other expenses	25,202,494	4,722,455	16,376,030	4,104,009
25	<b>Total functional expenses.</b> Add lines 1 through 24e.	3,916,035,766	3,462,755,705	342,144,367	111,135,694
26	<b>Joint costs.</b> Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Part X

Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

				(A)		(B)
				Beginning of year		End of year
Assets	1	Cash—non-interest-bearing		0	1	0
	2	Savings and temporary cash investments		1,286,707,034	2	1,258,601,185
	3	Pledges and grants receivable, net		978,343,805	3	889,890,192
	4	Accounts receivable, net		278,529,522	4	312,486,426
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		1,688,419	5	2,132,499
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L		0	6	0
	7	Notes and loans receivable, net		115,695,910	7	121,900,518
	8	Inventories for sale or use		1,879,687	8	2,400,306
	9	Prepaid expenses and deferred charges		38,270,328	9	42,001,926
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a6,748,893,961			
	b	Less: accumulated depreciation	10b2,538,219,326	3,894,971,462	10c	4,210,674,635
	11	Investments—publicly traded securities		2,601,291,177	11	2,736,980,511
	12	Investments—other securities. See Part IV, line 11		5,078,626,530	12	5,898,415,577
	13	Investments—program-related. See Part IV, line 11		0	13	0
	14	Intangible assets		0	14	0
	15	Other assets. See Part IV, line 11		363,473,264	15	481,804,426
	16	Total assets. Add lines 1 through 15 (must equal line 34)		14,639,477,138	16	15,957,288,201
Liabilities	17	Accounts payable and accrued expenses		264,758,054	17	272,628,223
	18	Grants payable		0	18	0
	19	Deferred revenue		255,332,844	19	253,035,053
	20	Tax-exempt bond liabilities		1,288,657,000	20	1,183,840,000
	21	Escrow or custodial account liability. Complete Part IV of Schedule D		0	21	0
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		0	22	0
	23	Secured mortgages and notes payable to unrelated third parties		0	23	0
	24	Unsecured notes and loans payable to unrelated third parties		190,239,233	24	238,884,000
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17–24). Complete Part X of Schedule D		1,022,899,033	25	986,043,575
	26	Total liabilities. Add lines 17 through 25		3,021,886,164	26	2,934,430,851
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.					
	27	Unrestricted net assets		5,088,780,879	27	5,722,651,803
	28	Temporarily restricted net assets		4,017,343,216	28	4,604,012,047
	29	Permanently restricted net assets		2,511,466,880	29	2,696,193,500
	Organizations that do not follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 30 through 34.					
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		11,617,590,974	33	13,022,857,350
	34	Total liabilities and net assets/fund balances		14,639,477,138	34	15,957,288,201

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI . . . . .

1	Total revenue (must equal Part VIII, column (A), line 12) . . . . .	1	4,471,027,733
2	Total expenses (must equal Part IX, column (A), line 25) . . . . .	2	3,916,035,766
3	Revenue less expenses Subtract line 2 from line 1 . . . . .	3	554,991,967
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) . . . . .	4	11,617,590,974
5	Net unrealized gains (losses) on investments . . . . .	5	798,027,327
6	Donated services and use of facilities . . . . .	6	
7	Investment expenses . . . . .	7	
8	Prior period adjustments . . . . .	8	
9	Other changes in net assets or fund balances (explain in Schedule O) . . . . .	9	52,247,082
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	13,022,857,350

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . . .

		Yes	No
1	Accounting method used to prepare the Form 990 <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		No
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input checked="" type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis	Yes	
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	Yes	
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	Yes	

## Additional Data

**Software ID:**  
**Software Version:**  
**EIN:** 13-5598093  
**Name:** THE TRUSTEES OF COLUMBIA UNIVERSITY  
 IN THE CITY OF NEW YORK

**Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
LEE C BOLLINGER PRESIDENT	60 0	X		X				4,225,069	0	416,351
KENNETH A FORDE MD TRUSTEE	3 0	X						789,000	0	2,592
ROLANDO T ACOSTA TRUSTEE	3 0	X						0	0	0
ARMEN A AVANESSIONS TRUSTEE	3 0	X						0	0	0
A'LELIA BUNDLES TRUSTEE	3 0	X						0	0	0
WILLIAM V CAMPBELL TRUSTEE	3 0	X						0	0	0
LISA CARNOY TRUSTEE	3 0	X						0	0	0
NOAM GOTTESMAN TRUSTEE	3 0	X						0	0	0
JOSEPH A GREENAWAY JR TRUSTEE	3 0	X						0	0	0
JAMES HARDEN TRUSTEE	3 0	X						0	0	0
BENJAMIN HOROWITZ TRUSTEE	3 0	X						0	0	0
ANN F KAPLAN TRUSTEE	3 0	X						0	0	0
MARK E KINGDON TRUSTEE	3 0	X						0	0	0
JONATHAN LAVINE TRUSTEE	3 0	X						0	0	0
GERRY LENFEST TRUSTEE (THROUGH 9/1/2013)	3 0	X						0	0	0
CHARLES LI TRUSTEE	3 0	X						0	0	0
PAUL J MADDON TRUSTEE	3 0	X						0	0	0
PHILIP MILSTEIN TRUSTEE (THROUGH 9/1/2013)	3 0	X						0	0	0
VIKRAM PANDIT TRUSTEE	3 0	X						0	0	0
MICHAEL B ROTHFELD TRUSTEE	3 0	X						0	0	0
JONATHAN D SCHILLER TRUSTEE	3 0	X						0	0	0
ESTA STECHER TRUSTEE	3 0	X						0	0	0
KYRIAKOS TSAKOPOULOS TRUSTEE	3 0	X						0	0	0
FAYE WATTLETON TRUSTEE	3 0	X						0	0	0
CLAIRE SHIPMAN TRUSTEE (AS OF 10/1/2013)	3 0	X						0	0	0

Form 990, Part VII - Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors										
(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
ANDREW BARTH	3 0	X						0	0	0
TRUSTEE (AS OF 10/12/2013)										
JANE E BOOTH	60 0			X				581,403	0	42,073
GENERAL COUNSEL										
JOHN COATSWORTH	60 0			X				695,582	0	47,857
PROVOST										
JEROME DAVIS	60 0			X				344,776	0	40,309
SECRETARY										
ROBERT KASDIN	60 0			X				766,722	0	49,609
SENIOR EXECUTIVE VP										
DAVID MADIGAN	60 0			X				356,287	0	96,777
EXEC VP - ARTS & SCIENCES										
ANNE R SULLIVAN	60 0			X				541,544	0	70,263
EXECUTIVE VP FOR FINANCE										
DR LEE GOLDMAN MD	60 0				X			1,190,228	0	489,981
EXEC VP FOR HEALTH SCIENCES										
PETER HOLLAND	60 0				X			3,140,134	0	2,283,369
EXEC VP OF INVESTMENT MGMT										
JOSEPH A IENUSO	60 0				X			442,093	0	51,984
EXEC VP OF FACILITIES										
NIRMAL NARVEKAR	60 0				X			3,492,082	0	2,522,089
PRESIDENT OF INVESTMENT MGMT										
FREDERICK M VAN SICKLE	60 0				X			533,744	0	62,073
EXEC VP - UNIV DEV & ALUM REL										
DAVID N SILVERS	60 0					X		4,827,983	0	46,639
CLINICAL PROFESSOR										
JEFFREY W MOSES	60 0					X		3,083,272	0	66,595
PROFESSOR OF MEDICINE										
CRAIG R SMITH	60 0					X		2,125,576	0	58,591
PROFESSOR OF SURGERY										
MARTIN B LEON	60 0					X		1,733,272	0	64,530
PROFESSOR OF SURGERY										
GREGG STONE	60 0					X		1,733,272	0	75,352
PROFESSOR OF SURGERY										
ALAN BRINKLEY	0 0						X	430,187	0	60,843
PROVOST (FORMER)										
SUSAN K FEAGIN	0 0						X	459,036	0	36,861
EXEC VP-UNIV DEV&ALUM(FORMER)										
NICHOLAS B DIRKS	0 0									
EXEC VP- ARTS&SCIENCES(FORMER)							X	1,245,745	0	49,544

SCHEDULE A

(Form 990 or 990EZ)

Department of the  
Treasury  
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

- ▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.
- ▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2013

Open to Public  
Inspection

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	Employer identification number 13-5598093
--	--

Part I

Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box )

1	<input type="checkbox"/>	A church, convention of churches, or association of churches described in <b>section 170(b)(1)(A)(i).</b>
2	<input checked="" type="checkbox"/>	A school described in <b>section 170(b)(1)(A)(ii).</b> (Attach Schedule E )
3	<input type="checkbox"/>	A hospital or a cooperative hospital service organization described in <b>section 170(b)(1)(A)(iii).</b>
4	<input type="checkbox"/>	A medical research organization operated in conjunction with a hospital described in <b>section 170(b)(1)(A)(iii).</b> Enter the hospital's name, city, and state _____
5	<input type="checkbox"/>	An organization operated for the benefit of a college or university owned or operated by a governmental unit described in <b>section 170(b)(1)(A)(iv).</b> (Complete Part II )
6	<input type="checkbox"/>	A federal, state, or local government or governmental unit described in <b>section 170(b)(1)(A)(v).</b>
7	<input type="checkbox"/>	An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in <b>section 170(b)(1)(A)(vi).</b> (Complete Part II )
8	<input type="checkbox"/>	A community trust described in <b>section 170(b)(1)(A)(vi)</b> (Complete Part II )
9	<input type="checkbox"/>	An organization that normally receives (1) more than 33 <sup>1</sup> / <sub>3</sub> % of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 <sup>1</sup> / <sub>3</sub> % of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See <b>section 509(a)(2).</b> (Complete Part III )
10	<input type="checkbox"/>	An organization organized and operated exclusively to test for public safety See <b>section 509(a)(4).</b>
11	<input type="checkbox"/>	An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See <b>section 509(a)(3).</b> Check the box that describes the type of supporting organization and complete lines 11e through 11h <div><b>a</b> <input type="checkbox"/> Type I   <b>b</b> <input type="checkbox"/> Type II   <b>c</b> <input type="checkbox"/> Type III - Functionally integrated   <b>d</b> <input type="checkbox"/> Type III - Non-functionally integrated</div>
e	<input type="checkbox"/>	By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)
f	<input type="checkbox"/>	If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
g	<input type="checkbox"/>	Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons? <div>(i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? (ii) A family member of a person described in (i) above? (iii) A 35% controlled entity of a person described in (i) or (ii) above?</div>
h	<input type="checkbox"/>	Provide the following information about the supported organization(s)

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
Total									



Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)  
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")	1,233,756,486	1,969,196,418	1,419,840,375	1,427,694,060	1,234,408,267	7,284,895,606
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
3 The value of services or facilities furnished by a governmental unit to the organization without charge						0
4 Total. Add lines 1 through 3	1,233,756,486	1,969,196,418	1,419,840,375	1,427,694,060	1,234,408,267	7,284,895,606
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						50,029,935
6 Public support. Subtract line 5 from line 4						7,234,865,671

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4	1,233,756,486	1,969,196,418	1,419,840,375	1,427,694,060	1,234,408,267	7,284,895,606
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	225,666,736	239,533,381	274,663,421	252,902,435	294,632,895	1,287,398,868
9 Net income from unrelated business activities, whether or not the business is regularly carried on						0
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV )	75,239,250	65,425,604	72,303,940	58,925,715	102,964,244	374,858,753
11 Total support (Add lines 7 through 10)						8,947,153,227
12 Gross receipts from related activities, etc (see instructions)					12	10,808,669,067
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here . . . . .						

Section C. Computation of Public Support Percentage		
14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f))	14	80 862 %
15 Public support percentage for 2012 Schedule A, Part II, line 14	15	0 %
16a 33 1/3% support test—2013. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	▶☑	
b 33 1/3% support test—2012. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization	▶☐	
17a 10%-facts-and-circumstances test—2013. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization	▶☐	
b 10%-facts-and-circumstances test—2012. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization	▶☐	
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions	▶☐	

Part IIISupport Schedule for Organizations Described in Section 509(a)(2)  
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6.)						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage		
15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2012 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage		
17 Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2012 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2013. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
b 33 1/3% support tests—2012. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶		

**Part IV** **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Return Reference	Explanation	
------------------	-------------	--

SCHEDULE C

(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527  
▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**  
▶ **See separate instructions.** ▶ **Information about Schedule C (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

2013

Open to Public Inspection

If the organization answered "Yes" to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

If the organization answered "Yes" to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

If the organization answered "Yes" to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then

- Section 501(c)(4), (5), or (6) organizations Complete Part III

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	Employer identification number  13-5598093
--	--

**Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.**

1	Provide a description of the organization's direct and indirect political campaign activities in Part IV	
2	Political expenditures	▶ \$ _____
3	Volunteer hours	_____

**Part I-B Complete if the organization is exempt under section 501(c)(3).**

1	Enter the amount of any excise tax incurred by the organization under section 4955	▶ \$ _____
2	Enter the amount of any excise tax incurred by organization managers under section 4955	▶ \$ _____
3	If the organization incurred a section 4955 tax, did it file Form 4720 for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
4a	Was a correction made?	<input type="checkbox"/> Yes <input type="checkbox"/> No
b	If "Yes," describe in Part IV	

**Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).**

1	Enter the amount directly expended by the filing organization for section 527 exempt function activities	▶ \$ _____
2	Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities	▶ \$ _____
3	Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b	▶ \$ _____
4	Did the filing organization file <b>Form 1120-POL</b> for this year?	<input type="checkbox"/> Yes <input type="checkbox"/> No
5	Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV	

(a) Name	(b) Address	(c) EIN	(d) Amount paid from filing organization's funds If none, enter -0-	(e) Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0-

Part II-A

Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

- A
- Check ☐ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)
- B
- Check ☐ if the filing organization checked box A and "limited control" provisions apply

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a Total lobbying expenditures to influence public opinion (grass roots lobbying)															
b Total lobbying expenditures to influence a legislative body (direct lobbying)															
c Total lobbying expenditures (add lines 1a and 1b)															
d Other exempt purpose expenditures															
e Total exempt purpose expenditures (add lines 1c and 1d)															
f Lobbying nontaxable amount Enter the amount from the following table in both columns															
<table><tr><td>If the amount on line 1e, column (a) or (b) is:</td><td>The lobbying nontaxable amount is:</td></tr><tr><td>Not over \$500,000</td><td>20% of the amount on line 1e</td></tr><tr><td>Over \$500,000 but not over \$1,000,000</td><td>\$100,000 plus 15% of the excess over \$500,000</td></tr><tr><td>Over \$1,000,000 but not over \$1,500,000</td><td>\$175,000 plus 10% of the excess over \$1,000,000</td></tr><tr><td>Over \$1,500,000 but not over \$17,000,000</td><td>\$225,000 plus 5% of the excess over \$1,500,000</td></tr><tr><td>Over \$17,000,000</td><td>\$1,000,000</td></tr></table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g Grassroots nontaxable amount (enter 25% of line 1f)															
h Subtract line 1g from line 1a If zero or less, enter -0-															
i Subtract line 1f from line 1c If zero or less, enter -0-															
j If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?		<input type="checkbox"/> Yes <input type="checkbox"/> No													

4-Year Averaging Period Under Section 501(h)  
(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period					
Calendar year (or fiscal year beginning in)	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) Total
2a Lobbying nontaxable amount					
b Lobbying ceiling amount (150% of line 2a, column(e))					
c Total lobbying expenditures					
d Grassroots nontaxable amount					
e Grassroots ceiling amount (150% of line 2d, column (e))					
f Grassroots lobbying expenditures					

Part II-B

Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes" response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.		(a)		(b)
		Yes	No	Amount
1	During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of			
a	Volunteers?		No	
b	Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?	Yes		
c	Media advertisements?		No	
d	Mailings to members, legislators, or the public?		No	
e	Publications, or published or broadcast statements?		No	
f	Grants to other organizations for lobbying purposes?	Yes		1,573
g	Direct contact with legislators, their staffs, government officials, or a legislative body?	Yes		50,308
h	Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?		No	
i	Other activities?	Yes		499,250
j	Total Add lines 1c through 1i			551,131
2a	Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?		No	
b	If "Yes," enter the amount of any tax incurred under section 4912			
c	If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d	If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

		Yes	No
1	Were substantially all (90% or more) dues received nondeductible by members?	1	
2	Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3	Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B

Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."

1	Dues, assessments and similar amounts from members	1	
2	Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a	Current year	2a	
b	Carryover from last year	2b	
c	Total	2c	
3	Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4	If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5	Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV

Supplemental Information

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, line 2, and Part II-B, line 1 Also, complete this part for any additional information

Return Reference	Explanation
SCHEDULE C	LINE 1A - VOLUNTEERS N/A LINE 1B - PAID STAFF OR MANAGEMENT THE UNIVERSITY HAS OFFICES OF GOVERNMENT AND COMMUNITY AFFAIRS AT BOTH ITS MORNINGSIDE AND MEDICAL CENTER CAMPUSES WHOSE FUNCTION IS TO KEEP THE UNIVERSITY COMMUNITY INFORMED ABOUT NATIONAL, STATE AND LOCAL LEGISLATION AND POLICY THAT HAS AN IMPACT ON THE UNIVERSITY AND, WHEN APPROPRIATE, TO ADVOCATE FOR THE UNIVERSITY'S INTERESTS WITH RESPECT TO THIS POLICY EMPLOYEES REGULARLY INVOLVED IN THESE ACTIVITIES INCLUDE EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, ASSISTANT VICE PRESIDENT FOR GOVERNMENT RELATIONS PROJECT, COORDINATOR FOR STATE AND FEDERAL RELATIONS, DEPUTY VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER LINE 1C - MEDIA ADVERTISEMENTS NONE LINE 1D - MAILINGS TO MEMBERS, LEGISLATORS OR THE PUBLIC NONE LINE 1E - PUBLICATIONS, OR PUBLISHED OR BROADCAST STATEMENTS NONE LINE 1F - GRANTS TO OTHER ORGANIZATIONS FOR LOBBYING PURPOSES THE UNIVERSITY BELONGS TO CERTAIN HIGHER EDUCATION TRADE ASSOCIATIONS A SMALL PORTION OF THE MEMBERSHIP FEES PAID TO SOME OF THOSE ORGANIZATIONS GOES TO SUPPORT LOBBYING-RELATED ACTIVITIES THESE FEES ARE REGULARLY REPORTED ON THE UNIVERSITY'S LOBBYING DISCLOSURE FILINGS LINE 1G - DIRECT CONTACT WITH LEGISLATORS, THEIR STAFFS, GOVERNMENT OFFICIALS, OR A LEGISLATIVE BODY THE PRESIDENT, THE SENIOR EXECUTIVE VICE PRESIDENT, THE EXECUTIVE VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, THE ASSISTANT VICE PRESIDENT FOR GOVERNMENT RELATIONS, THE PROJECT COORDINATOR FOR STATE AND FEDERAL RELATIONS CONTACTED FEDERAL, STATE AND LOCAL LEGISLATORS AND THEIR POLICY STAFFS ON HIGHER EDUCATION ISSUES THE EXECUTIVE VICE PRESIDENT FOR HEALTH SCIENCE, THE DEPUTY VICE PRESIDENT AND ASSOCIATE DEAN FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, AND THE ASSISTANT VICE PRESIDENT FOR GOVERNMENT AND COMMUNITY AFFAIRS, COLUMBIA UNIVERSITY MEDICAL CENTER, CONTACTED FEDERAL, STATE AND LOCAL LEGISLATORS AND THEIR POLICY STAFFS ON HIGHER EDUCATION ISSUES, HEALTH SCIENCES ISSUES AND OTHER ISSUES AFFECTING ACADEMIC MEDICAL CENTERS THE AMOUNTS SHOWN ON LINE 1G REFLECT PAID STAFF AND MANAGEMENT ACTIVITIES LINE 1H - RALLIES, DEMONSTRATIONS, SEMINARS, CONVENTIONS, SPEECHES, LECTURES OR ANY OTHER MEANS N/A LINE 1I - OTHER ACTIVITIES DURING THE REPORTING PERIOD, THE UNIVERSITY RETAINED OUTSIDE FIRMS FOR ADVOCACY AT THE FEDERAL, STATE AND LOCAL LEVELS THE AMOUNTS SHOWN ON LINE 1I INCLUDE AMOUNTS PAID TO SUCH OUTSIDE FIRMS FOR ALL ACTIVITIES CONDUCTED BY SUCH FIRMS, INCLUDING CERTAIN OF THE ACTIVITIES DESCRIBED ABOVE AND GENERAL ADVISORY SERVICES TO THE UNIVERSITY IN CONNECTION WITH ITS LOBBYING ACTIVITIES

## Part IV

## Return Reference

### Explanation

SCHEDULE D  
(Form 990)

Supplemental Financial Statements

OMB No 1545-0047

2013

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

▶ **Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b**  
▶ **Attach to Form 990.** ▶ **See separate instructions.** ▶ **Information about Schedule D (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).**

<b>Name of the organization</b> THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	<b>Employer identification number</b>  13-5598093
---	---

Part I

Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	6
2	Aggregate contributions to (during year)	3,000,000
3	Aggregate grants from (during year)	415,000
4	Aggregate value at end of year	40,574,350
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? <div>YesNo</div>	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? <div>YesNo</div>	

Part II

Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

Preservation of land for public use (e g , recreation or education) Preservation of an historically important land area

Protection of natural habitat Preservation of a certified historic structure

Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a	Total number of conservation easements
b	Total acreage restricted by conservation easements
c	Number of conservation easements on a certified historic structure included in (a)
d	Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶

4 Number of states where property subject to conservation easement is located ▶

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

YesNo

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

YesNo

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ \$

(ii) Assets included in Form 990, Part X ▶ \$

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ \$

b Assets included in Form 990, Part X ▶ \$



Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)
- a

☒ Public exhibition

d

☒ Loan or exchange programs

b

☒ Scholarly research

e

☐ Other

c

☒ Preservation for future generations
- 4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII
- 5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☒ Yes

☐ No

Part IV

Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☒ No
- b

If "Yes," explain the arrangement in Part XIII and complete the following table
- c

Beginning balance

d

Additions during the year

e

Distributions during the year

f

Ending balance

	Amount
1c	
1d	
1e	
1f	
- 2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes

☒ No
- b

If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII

☐

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current year	(b)Prior year	b (c)Two years back	(d)Three years back	(e)Four years back
1a Beginning of year balance	8,197,880,000	7,654,152,000	7,789,579,000	6,516,512,000	5,892,798,000
b Contributions	173,837,000	165,932,000	183,419,000	202,175,000	159,335,000
c Net investment earnings, gains, and losses	1,424,763,060	871,686,632	161,239,000	1,503,257,000	946,146,321
d Grants or scholarships	92,209,000	81,338,000	84,742,000	71,786,000	72,771,000
e Other expenditures for facilities and programs	440,268,000	374,447,000	363,392,000	329,582,000	387,564,000
f Administrative expenses	40,956,060	38,105,632	31,951,000	30,998,000	21,432,321
g End of year balance	9,223,047,000	8,197,880,000	7,654,152,000	7,789,578,000	6,516,512,000

- 2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as
- a

Board designated or quasi-endowment

37 000 %

b

Permanent endowment

63 000 %

c

Temporarily restricted endowment

The percentages in lines 2a, 2b, and 2c should equal 100%
- 3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by
- (i) unrelated organizations

3a(i)

Yes

No

(ii) related organizations

3a(ii)

No

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

4

Describe in Part XIII the intended uses of the organization's endowment funds
- Part VI

Land, Buildings, and Equipment. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.
- | Description of property  | (a) Cost or other basis (investment) | (b) Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|--|--------------------------------------|---------------------------------|------------------------------|----------------|
| 1a Land  |                                      | 454,549,000                     |                              | 454,549,000    |
| b Buildings  |                                      | 4,820,217,665                   | 2,074,314,025                | 2,745,903,640  |
| c Leasehold improvements   |                                      |                                 |                              |                |
| d Equipment  |                                      | 361,067,976                     | 188,421,655                  | 172,646,321    |
| e Other  | 1,113,059,320                        |                                 | 275,483,646                  | 837,575,674    |
| Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c).) |                                      |                                 |                              | 4,210,674,635  |
- Schedule D (Form 990) 2013



Part XI

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements . . . . .		1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12			
a	Net unrealized gains on investments . . . . .	2a		
b	Donated services and use of facilities . . . . .	2b		
c	Recoveries of prior year grants . . . . .	2c		
d	Other (Describe in Part XIII ) . . . . .	2d		
e	Add lines 2a through 2d . . . . .		2e	
3	Subtract line 2e from line 1 . . . . .		3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1			
a	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	4a		
b	Other (Describe in Part XIII ) . . . . .	4b		
c	Add lines 4a and 4b . . . . .		4c	
5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12 ) . . . . .		5	

Part XII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements . . . . .		1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25			
a	Donated services and use of facilities . . . . .	2a		
b	Prior year adjustments . . . . .	2b		
c	Other losses . . . . .	2c		
d	Other (Describe in Part XIII ) . . . . .	2d		
e	Add lines 2a through 2d . . . . .		2e	
3	Subtract line 2e from line 1 . . . . .		3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b . . . . .	4a		
b	Other (Describe in Part XIII ) . . . . .	4b		
c	Add lines 4a and 4b . . . . .		4c	
5	Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18 ) . . . . .		5	

Part XIII

Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
DETAIL OF UNIVERSITY COLLECTIONS/TEXT OF AUDIT FOOTNOTE	SCHEDULE D, PART III, LINES 1A, 2A & 4 COLLECTIONS AT THE UNIVERSITY INCLUDE WORKS OF ART, LITERARY WORKS, HISTORICAL TREASURES, AND ARTIFACTS THAT ARE MAINTAINED IN THE UNIVERSITY'S GALLERIES, LIBRARIES, AND BUILDINGS. THESE COLLECTIONS ARE PROTECTED AND PRESERVED FOR PUBLIC EXHIBITION, EDUCATION, RESEARCH, AND THE FURTHERANCE OF PUBLIC SERVICE AND, THEREFORE, ARE NOT RECOGNIZED AS ASSETS ON THE CONSOLIDATED BALANCE SHEET. COSTS ASSOCIATED WITH PURCHASING ADDITIONS AND MAINTAINING THESE COLLECTIONS ARE RECORDED AS OPERATING EXPENSES IN THE PERIOD IN WHICH THE ITEMS ARE ACQUIRED.
INTENDED USE OF ENDOWMENT FUNDS	SCHEDULE D, PART V, LINE 4 COLUMBIA UNIVERSITY'S ENDOWMENT FUNDS SUPPORT A RANGE OF PURPOSES IN ACCORDANCE WITH THE UNIVERSITY'S MISSION, INCLUDING FINANCIAL AID, FACULTY SALARIES AND SUPPORT, RESEARCH, CAPITAL PROJECTS, GENERAL SUPPORT OF THE UNIVERSITY, SCHOOLS, DEPARTMENTS, INSTITUTES AND CENTERS.
PART X, LINE 2 (FIN 48)	THE UNIVERSITY BELIEVES THAT ITS TAX POSITIONS AS THEY RELATE TO THE IMPACT ON THE FINANCIAL STATEMENTS OF FIN 48 ARE HIGHLY CERTAIN.

[illegible]

SCHEDULE E  
(Form 990 or 990-EZ)

OMB No 1545-0047

Schools

►Complete if the organization answered "Yes" to Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.  
► Attach to Form 990 or Form 990-EZ.

► Information about Schedule E (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

2013

Open to Public Inspection

Department of the Treasury  
Internal Revenue Service

Name of the organization  
THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Employer identification number  
  
13-5598093

Part I		YES	NO
<div>1</div> <div>Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body?</div>	1	Yes	
	2	Yes	
	3	Yes	
	4a	Yes	
	4b	Yes	
<div>4</div> <div>Does the organization maintain the following?</div> <div>a Records indicating the racial composition of the student body, faculty, and administrative staff?</div> <div>b Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis?</div> <div>c Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships?</div> <div>d Copies of all material used by the organization or on its behalf to solicit contributions?</div> <div>If you answered "No" to any of the above, please explain If you need more space, use Part II</div>	4c	Yes	
	4d	Yes	
	5a		No
	5b		No
	5c		No
	5d		No
	5e		No
	5f		No
	5g		No
	5h		No
<div>6a</div> <div>Does the organization receive any financial aid or assistance from a governmental agency?</div> <div>b Has the organization's right to such aid ever been revoked or suspended?</div> <div>If you answered "Yes" to either line 6a or line 6b, explain on Part II</div>	6a	Yes	
	6b		No
	7	Yes	

**Part III Supplemental Information.** Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable. Also complete this part to provide any other additional information (see instructions)

Return Reference	Explanation
SCHEDULE E, LINE 3	COLUMBIA UNIVERSITY CUSTOMARILY DRAWS A SUBSTANTIAL PERCENTAGE OF ITS STUDENTS FROM ACROSS THE NATION AND THE WORLD. ITS EDUCATIONAL PROGRAMS SEEK A BROAD CROSS-SECTION OF STUDENTS FROM THE METROPOLITAN AREA. IT FOLLOWS A RACIALLY NONDISCRIMINATORY POLICY OF ADMISSION AND INCLUDES A STATEMENT OF ITS RACIALLY NONDISCRIMINATORY POLICY TOWARDS STUDENTS IN ALL SCHOOL AND PROGRAM BULLETINS. IT ALSO REFERS TO THIS POLICY IN ALL WRITTEN ADVERTISING. IT THEREFORE MEETS THE CRITERIA UNDER REV. PROC. 75-50, SECTION 4.03-2(B) FOR EXEMPTION FROM THE PUBLICITY REQUIREMENTS OF SECTION 4.03-1.
SCHEDULE E, LINE 6A	COLUMBIA UNIVERSITY RECEIVES FEDERAL, STATE AND LOCAL GOVERNMENTAL FUNDING THAT SUPPORTS ITS CORE INSTRUCTIONAL AND RESEARCH PROGRAMS.

SCHEDULE F  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Statement of Activities Outside the United States

► Complete if the organization answered "Yes" to Form 990,  
Part IV, line 14b, 15, or 16.  
  
► Attach to Form 990. ► See separate instructions.  
  
► Information about Schedule F (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization  
THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Employer identification number  
  
13-5598093

Part I

General Information on Activities Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

- 1 For grantmakers. Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☒ Yes ☐ No
- 2 For grantmakers. Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.
- 3 Activites per Region (The following Part I, line 3 table can be duplicated if additional space is needed )

(a) Region	(b) Number of offices in the region	(c) Number of employees, agents, and independent contractors in region	(d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for and investments in region
( 1 ) See Add'l Data					
( 2 )					
( 3 )					
( 4 )					
( 5 )					
3a Sub-total	35	6,248			5,105,939,800
b Total from continuation sheets to Part I	53	3,718			229,644,159
c Totals (add lines 3a and 3b)	88	9,966			5,335,583,959

Part II

Grants and Other Assistance to Organizations or Entities Outside the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than \$5,000. Part II can be duplicated if additional space is needed.

1	(a) Name of organization	(b) IRS code section and EIN (if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
( 1 )	See Add'l Data								
( 2 )									
( 3 )									
( 4 )									
( 5 )									
( 6 )									
( 7 )									
( 8 )									
( 9 )									
( 10 )									
( 11 )									
( 12 )									
( 13 )									
( 14 )									
( 15 )									
( 16 )									

2

Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . ▶

131

3

Enter total number of other organizations or entities . . . . . ▶



Part III

Grants and Other Assistance to Individuals Outside the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 16.  
Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Region	(c) Number of recipients	(d) Amount of cash grant	(e) Manner of cash disbursement	(f) Amount of non-cash assistance	(g) Description of non-cash assistance	(h) Method of valuation (book, FMV, appraisal, other)
( 1 )							
( 2 )							
( 3 )							
( 4 )							
( 5 )							
( 6 )							
( 7 )							
( 8 )							
( 9 )							
( 10 )							
( 11 )							
( 12 )							
( 13 )							
( 14 )							
( 15 )							
( 16 )							
( 17 )							
( 18 )							

**Part IV Foreign Forms**

- 1

Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)*

☒ Yes ☐ No
- 2

Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)*

☒ Yes ☐ No
- 3

Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)*

☒ Yes ☐ No
- 4

Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)*

☒ Yes ☐ No
- 5

Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships. (see Instructions for Form 8865)*

☒ Yes ☐ No
- 6

Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713).*

☒ Yes ☐ No

**Part V**

**Supplemental Information**  
Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

990 Schedule F, Supplemental Information

Return Reference	Explanation
SCHEDULE F, PART I, LINE 2	<p>ORGANIZATION'S PROCEDURES FOR MONITORING GRANT FUNDS THE UNIVERSITY MAINTAINS A WRITTEN POLICY THAT GOVERNS THE MONITORING OF ALL SPONSORED PROJECT GRANT FUNDING THIS POLICY IS CONTAINED IN THE "SPONSORED PROJECTS HANDBOOK" THE POLICY STATES THAT THE PRIMARY OBLIGATION FOR THE DAY-TO-DAY MANAGEMENT OF SPONSORED PROJECTS AND INSURING COMPLIANCE WITH FEDERAL AND OTHER SPONSOR REGULATIONS IS THE RESPONSIBILITY OF THE PRINCIPAL INVESTIGATOR SUPPORTED, AS NECESSARY, BY HIS OR HER ADMINISTRATIVE STAFF ADDITIONALLY, THE POLICY SPECIFIES THE FOLLOWING MONITORING PROCEDURES - MONTHLY ACCOUNT RECONCILIATION - REVIEW OF SALARY AND BENEFIT CHARGES - REVIEW OF VENDOR INVOICES - REVIEW OF CHARGES INITIATED BY SERVICE OR RECHARGE CENTERS - REVIEW OF FACILITIES AND ADMINISTRATIVE CHARGES - MONITORING OF SUB-AWARDS THROUGH REVIEW OF CHARGES AND TECHNICAL PERFORMANCE REPORTS, CONDUCTING PERIODIC ON-SITE VISITS, AND INITIATING AUDITS/REVIEWS WHEN NECESSARY EXPENDITURES RELATED TO FOREIGN ACTIVITY EXPENDITURES RELATED TO FOREIGN ACTIVITY ARE GENERATED FROM A NUMBER OF SCHOOLS AND CENTERS AS WELL AS THROUGH CERTAIN CENTRAL ADMINISTRATIVE ACTIVITY EXPENDITURES RELATED TO FOREIGN ACTIVITY ARE GENERATED FROM A NUMBER OF SCHOOLS AND CENTERS AS WELL AS THROUGH CERTAIN CENTRAL ADMINISTRATIVE ACTIVITY MOST OF THE ACTIVITY RELATES TO U.S. GOVERNMENT FUNDED PROGRAM SERVICES OTHER ACTIVITY INCLUDES FACULTY AND STAFF TRAVEL FOR EDUCATIONAL, RESEARCH, FUNDRAISING AND OTHER PROGRAM SERVICES NOT ALL OF THE OVERSEAS EXPENDITURES ARE SEPARATELY TRACKED AS FOREIGN ACTIVITY THE AMOUNTS REPRESENTED ON SCHEDULE F REPRESENT THE UNIVERSITY'S GOOD FAITH EFFORTS TO CAPTURE FOREIGN ACTIVITY -----</p> <p>----- FORM 990, SCHEDULE F, PART I, COLUMN (F) &amp; PART II, LINE 1 COLUMBIA UNIVERSITY ACCOUNTS FOR ALL FOREIGN EXPENDITURES, INCLUDING CASH GRANTS AND NONCASH ASSISTANCE, ON THE ORGANIZATION'S FINANCIAL STATEMENTS IN ACCORDANCE WITH GENERALLY ACCEPTED ACCOUNTING PRINCIPLES IN THE UNITED STATES -----</p> <p>----- FORM 990, SCHEDULE F, PART I THE AMOUNTS LISTED ON SCHEDULE F, PART I AS TOTAL EXPENDITURES FOR INVESTMENTS FOR CERTAIN REGIONS INCLUDES BOTH THE BOOK VALUE OF INVESTMENTS AND SEPARATELY TRACKED INVESTMENT EXPENDITURES IN THAT REGION THE BREAKOUT OF THE AMOUNTS ARE AS FOLLOWS 1) CENTRAL AMERICA AND THE CARIBBEAN - BOOK VALUE \$4,114,514,759 2) EAST ASIA AND THE PACIFIC - BOOK VALUE \$488,850,794 AND EXPENDITURES \$143,704 3) EUROPE - BOOK VALUE \$458,346,626 AND EXPENDITURES \$129,678 4) NORTH AMERICA - BOOK VALUE \$23,441,365 AND EXPENDITURES \$3,815 5) SOUTH AMERICA - EXPENDITURES \$32,087 6) SOUTH ASIA - EXPENDITURES \$1,365 7) SUB-SAHARAN AFRICA - BOOK VALUE \$92,165,389 -----</p> <p>-----</p>

Additional Data

Software ID:  
Software Version:  
EIN: 13-5598093  
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Antarctica	0	1	Program Services	EDUC/RESEARCH/OUTREACH	2,142
Central America and the Caribbean	1	369	Program Services	EDUC/RESEARCH/OUTREACH	1,583,061
Central America and the Caribbean			Grantmaking		165,762

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Central America and the Caribbean			Investments		4,114,514,759
East Asia and the Pacific	9	1,604	Program Services	EDUC/RESEARCH/OUTREACH	8,289,964
East Asia and the Pacific			Grantmaking		4,467,198

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
East Asia and the Pacific			Fundraising		171,843
East Asia and the Pacific			Investments		488,994,498
Europe (Including Iceland and Greenland)	19	3,039	Program Services	EDUC/RESEARCH/OUTREACH	17,701,012

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
Europe (Including Iceland and Greenland)			Grantmaking		4,321,795
Europe (Including Iceland and Greenland)			Fundraising		260,177
Europe (Including Iceland and Greenland)			Investments		458,476,304

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Middle East and North Africa	4	517	Program Services	EDUC/RESEARCH/OUTREACH	3,488,236
Middle East and North Africa			Grantmaking		540,552
Middle East and North Africa			Fundraising		29,665



**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America	2	718	Program Services	EDUC/RESEARCH/OUTREACH	1,330,704
North America			Grantmaking		1,602,128
North America			Fundraising		11,475

**Form 990 Schedule F Part I - Activities Outside The United States**

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
North America			Investments		23,445,180
Russia and the Newly Independent States	2	161	Program Services	EDUC/RESEARCH/OUTREACH	1,162,663
Russia and the Newly Independent States			Grantmaking		83,020

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i.e., fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Russia and the Newly Independent States			Fundraising		24
South America	6	700	Program Services	EDUC/RESEARCH/OUTREACH	3,646,555
South America			Grantmaking		557,906

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
South America			Fundraising		39,284
South America			Investments		32,087
South Asia	3	466	Program Services	EDUC/RESEARCH/OUTREACH	3,386,325

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
South Asia			Grantmaking		65,760
South Asia			Fundraising		107,786
South Asia			Investments		1,365

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service(s) in region	(f) Total expenditures for region
Sub-Saharan Africa	42	2,391	Program Services	EDUC/RESEARCH/OUTREACH	80,944,668
Sub-Saharan Africa			Grantmaking		23,976,638
Sub-Saharan Africa			Fundraising		18,034

Form 990 Schedule F Part I - Activities Outside The United States

(a) Region	(b) Number of offices in the region	(c) Number of employees or agents in region	(d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region)	(e) If activity listed in (d) is a program service, describe specific type of service (s) in region	(f) Total expenditures for region
Sub-Saharan Africa			Investments		92,165,389

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	EDUC/RESEARCH	12,600	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	11,340	CHECK/WIRE		N/A	N/A
		Middle East and North Africa	PROGRAM SVCS	18,650	Wire transfe		N/A	N/A
		Europe	TUITION	21,095	Wire transfe		N/A	N/A



Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	PROGRAM SVCS	50,000	wire transfe		N/A	N/A
		North America	RESEARCH	50,864	check		N/A	N/A
		North America		90,350	check		N/A	N/A
		East Asia and the Pacific	PROGRAM SVCS	14,323	wire transfe		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	PROGRAM SVCS	22,721	wire transfe		N/A	N/A
		South America	RESEARCH	31,096	Wire Transfe		N/A	N/A
		Middle East and North Africa	EDUC/RESEARCH	521,902	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	EDUC/RESEARCH	11,800	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	EDUC/RESEARCH	5,219	CHECK/WIRE		N/A	N/A
		South America	EDUC/RESEARCH	82,270	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	21,600	CHECK/WIRE		N/A	N/A
		North America	EDUC/RESEARCH	31,682	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	EDUC/RESEARCH	11,100	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	25,000	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	EDUC/RESEARCH	168,224	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	8,080	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	EDUC/RESEARCH	23,000	CHECK/WIRE		N/A	N/A
		North America	EDUC/RESEARCH	20,000	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	637,610	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	26,920	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	125,000	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	120,010	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	8,100	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	EDUC/RESEARCH	67,800	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	EDUC/RESEARCH	134,000	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	29,120	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	EDUC/RESEARCH	68,560	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	139,665	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	EDUC/RESEARCH	110,694	CHECK/WIRE		N/A	N/A
		South America	EDUC/RESEARCH	20,000	CHECK/WIRE		N/A	N/A
		South America	EDUC/RESEARCH	20,000	CHECK/WIRE		N/A	N/A
		Central America and the Caribbean	EDUC/RESEARCH	165,762	CHECK/WIRE		N/A	N/A



Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	16,329	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	EDUC/RESEARCH	192,035	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	EDUC/RESEARCH	81,020	CHECK/WIRE		N/A	N/A
		North America	EDUC/RESEARCH	15,228	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	EDUC/RESEARCH	18,900	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	32,940	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	5,481	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	5,219	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	864,447	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	EDUC/RESEARCH	28,800	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	EDUC/RESEARCH	2,328,024	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	32,691	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	EDUC/RESEARCH	60,000	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	EDUC/RESEARCH	232,529	CHECK/WIRE		N/A	N/A
		South Asia	EDUC/RESEARCH	10,000	CHECK/WIRE		N/A	N/A
		Russia and the Newly Independent States	EDUC/RESEARCH	31,000	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	EDUC/RESEARCH	15,000	CHECK/WIRE		N/A	N/A
		South America	EDUC/RESEARCH	258,141	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	95,777	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	41,804	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	EDUC/RESEARCH	82,042	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	8,345	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	15,000	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	9,039	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	774,314	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	265,000	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	20,000	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	62,300	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	EDUC/RESEARCH	207,395	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	EDUC/RESEARCH	29,456	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	88,000	CHECK/WIRE		N/A	N/A
		North America	EDUC/RESEARCH	55,960	CHECK/WIRE		N/A	N/A



Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		North America	EDUC/RESEARCH	8,072	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	EDUC/RESEARCH	53,464	CHECK/WIRE		N/A	N/A
		North America	EDUC/RESEARCH	486,006	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	6,599	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	EDUC/RESEARCH	30,540	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	50,000	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	132,763	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	843,749	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	EDUC/RESEARCH	353,985	CHECK/WIRE		N/A	N/A
		South Asia	EDUC/RESEARCH	31,074	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	325,484	CHECK/WIRE		N/A	N/A
		Russia and the Newly Independent States	EDUC/RESEARCH	52,020	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	EDUC/RESEARCH	32,400	CHECK/WIRE		N/A	N/A
		North America	EDUC/RESEARCH	516,350	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	45,832	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	14,000	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	EDUC/RESEARCH	5,400	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	61,314	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	41,740	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	32,925	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	EDUC/RESEARCH	43,200	CHECK/WIRE		N/A	N/A
		South Asia	EDUC/RESEARCH	8,542	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	21,833	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	224,549	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		South America	EDUC/RESEARCH	119,399	CHECK/WIRE		N/A	N/A
		South America	EDUC/RESEARCH	27,000	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	54,024	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	68,968	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	EDUC/RESEARCH	7,560	CHECK/WIRE		N/A	N/A
		East Asia and the Pacific	EDUC/RESEARCH	20,557	CHECK/WIRE		N/A	N/A
		North America	EDUC/RESEARCH	97,500	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	11,340	CHECK/WIRE		N/A	N/A



Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Europe	EDUC/RESEARCH	10,000	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	66,620	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	189,441	CHECK/WIRE		N/A	N/A
		South Asia	EDUC/RESEARCH	16,144	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	EDUC/RESEARCH	86,189	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	117,757	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	213,063	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	12,479	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	EDUC/RESEARCH	515,298	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	36,811	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	38,154	CHECK/WIRE		N/A	N/A
		North America	EDUC/RESEARCH	191,416	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	103,906	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	14,410	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	104,402	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	1,149,298	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		East Asia and the Pacific	EDUC/RESEARCH	24,431	CHECK/WIRE		N/A	N/A
		Europe	EDUC/RESEARCH	5,219	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	254,568	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	72,332	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	311,605	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	8,745	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	1,730,371	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	6,843,954	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	268,341	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	447,521	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	1,600,386	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	586,973	CHECK/WIRE		N/A	N/A

Form 990 Schedule F Part II - Grants or Entities Outside The United States

(a) Name of organization	(b) IRS code section and EIN(if applicable)	(c) Region	(d) Purpose of grant	(e) Amount of cash grant	(f) Manner of cash disbursement	(g) Amount of non-cash assistance	(h) Description of non-cash assistance	(i) Method of valuation (book, FMV, appraisal, other)
		Sub-Saharan Africa	EDUC/RESEARCH	3,321,177	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	4,423,423	CHECK/WIRE		N/A	N/A
		Sub-Saharan Africa	EDUC/RESEARCH	279,765	CHECK/WIRE		N/A	N/A



SCHEDULE G  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Supplemental Information Regarding  
Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.  
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.  
▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization  
THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Employer identification number  
13-5598093

Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.  
Form 990-EZ filers are not required to complete this part.

1

Indicate whether the organization raised funds through any of the following activities. Check all that apply.

a

☒

Mail solicitations

e

☒

Solicitation of non-government grants

b

☒

Internet and email solicitations

f

☒

Solicitation of government grants

c

☒

Phone solicitations

g

☒

Special fundraising events

d

☒

In-person solicitations

2a

Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?

☒ Yes ☐ No

b

If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1 RUFFALO CODY AND ASSOCIATES PO BOX 3018  CEDAR RAPIDS, IA 52406	CONSULTING		No	1,421,849	464,621	957,228
2 STORY WORLDWIDE LLC 87 WALL STREET  SEATTLE, WA 52406	CONSULTING		No	11,064,924	169,371	10,895,553
3 STEPHEN SAGNER 41 OGDEN AVENUE  WHITE PLAINS, NY 10605	CONSULTING		No	51,824,163	60,285	51,763,878
4 MARILYN SILVERSTEIN 24 MERRILL ROAD  WATERTOWN, ME 02472	CONSULTING		No	18,209,679	33,998	18,175,681
5						
6						
7						
8						
9						
10						
Total . . . . . ▶				82,520,615	728,275	81,792,340

3

List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

NY

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

Revenue			(a) Event #1	(b) Event #2	(c) Other events	(d) Total events	
			<u>ANNUAL DINNER</u>	<u>AWARDS DINNER</u>	<u>51</u>	(add col (a) through	
			(event type)	(event type)	(total number)	col (c))	
	1	Gross receipts . . . .	3,006,390	931,951	5,488,868	9,427,209	
	2	Less Contributions . . .	2,991,570	879,413	4,800,479	8,671,462	
3	Gross income (line 1 minus line 2) . . . .	14,820	52,538	688,389	755,747		
Direct Expenses	4	Cash prizes . . . .					
	5	Noncash prizes . . .	855	348		1,203	
	6	Rent/facility costs . . .	34,729	41,658		76,387	
	7	Food and beverages .	205,544	50,047		255,591	
	8	Entertainment . . . .	1,600	600		2,200	
	9	Other direct expenses .	81,272	51,839	688,389	821,500	
	10	Direct expense summary Add lines 4 through 9 in column (d) . . . . . ▶					(1,156,881)
	11	Net income summary Subtract line 10 from line 3, column (d) . . . . . ▶					-401,134

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
Revenue	1	Gross revenue . . . . .			
	2	Cash prizes . . . . .			
	3	Non-cash prizes . . . .			
	4	Rent/facility costs . . . .			
	5	Other direct expenses . . .			
Direct Expenses	6	Volunteer labor . . . .			
	7	Direct expense summary Add lines 2 through 5 in column (d) . . . . . ▶			
	8	Net gaming income summary Subtract line 7 from line 1, column (d) . . . . . ▶			

9 Enter the state(s) in which the organization operates gaming activities \_\_\_\_\_

a Is the organization licensed to operate gaming activities in each of these states? . . . . . ☐ Yes ☐ No

b If "No," explain \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? . . . . . ☐ Yes ☐ No

b If "Yes," explain \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Does the organization operate gaming activities with nonmembers? ☐ **Yes** ☐ **No**

**12** Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ **Yes** ☐ **No**

**13** Indicate the percentage of gaming activity operated in

<b>a</b> The organization's facility	<b>13a</b>	%
<b>b</b> An outside facility	<b>13b</b>	%

**14** Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶

Address ▶

**15a** Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ **Yes** ☐ **No**

**b** If "Yes," enter the amount of gaming revenue received by the organization ▶ \$ \_\_\_\_\_ and the amount of gaming revenue retained by the third party ▶ \$ \_\_\_\_\_

**c** If "Yes," enter name and address of the third party

Name ▶

Address ▶

**16** Gaming manager information

Name ▶

Gaming manager compensation ▶ \$ \_\_\_\_\_

Description of services provided ▶

☐ Director/officer ☐ Employee ☐ Independent contractor

**17** Mandatory distributions

**a** Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☐ **Yes** ☐ **No**

**b** Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ \$ \_\_\_\_\_

**Part IV** **Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation
------------------	-------------

Schedule I  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Grants and Other Assistance to Organizations,  
Governments and Individuals in the United States

Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.  
▶ Attach to Form 990

▶ Information about Schedule I (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2013

Open to Public  
Inspection

Name of the organization  
THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Employer identification number  
13-5598093

Part I

General Information on Grants and Assistance

- 1

Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . .

☒ Yes ☐ No
- 2

Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II

Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
See Additional Data Table							

2

Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . .

275

3

Enter total number of other organizations listed in the line 1 table . . . . .

**Part III**

**Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22.  
Part III can be duplicated if additional space is needed.

(a)Type of grant or assistance	(b)Number of recipients	(c)Amount of cash grant	(d)Amount of non-cash assistance	(e)Method of valuation (book, FMV, appraisal, other)	(f)Description of non-cash assistance
(1) CCS NANCY RUPP SCHOLARSHIP	2	6,000		N/A	N/A
(2) STUDENT TUITION GRANTS AND SCHOLARSHIPS	24122	432,340,549		N/A	N/A

**Part IV**

**Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

Return Reference	Explanation
DESCRIPTION OF ORGANIZATION'S PROCEDURES FOR MONITORING THE USE OF GRANTS	FORM 990, SCHEDULE I THE UNIVERSITY MAINTAINS A WRITTEN POLICY THAT GOVERNS THE MONITORING OF THE USE OF SPONSORED PROJECT GRANT FUNDING BY SUBRECIPIENTS THE POLICY MANDATES MONITORING IN TWO AREAS (A) SUBRECIPIENT INSTITUTION COMPLIANCE WITH THE AUDITING REQUIREMENTS OF OMB CIRCULAR A-133, AND (B) SUBRECIPIENT INSTITUTION'S APPROPRIATE MANAGEMENT OF SUBAWARD THE UNIVERSITY'S SPONSORED PROJECTS FINANCE GROUP COLLECTS A-133 REPORTS FROM SUBRECIPIENT INSTITUTIONS, AND THE PRINCIPAL INVESTIGATORS CARRY OUT THE SECOND TYPE OF MONITORING, PRINCIPALLY BY - ROUTINELY GATHERING AND REVIEWING TECHNICAL PERFORMANCE REPORTS, - ROUTINELY REVIEWING INVOICES AND EXPENSES RELATIVE TO BUDGET, - CONDUCTING PERIODIC ON-SITE VISITS, WHEN NECESSARY, AND - INITIATING AUDITS, WHEN NECESSARY

Additional Data

Software ID:  
Software Version:  
EIN: 13-5598093  
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
The Research Foundation for Mental Hygiene 1500 BROADWAY MENANDS, NY 12204	14-1410842	501(C)(3)	3,843,453		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF MICHIGAN 2800 PLYMOUTH ROAD ANN ARBOR, MI 48109	38-6006309	501(C)(3)	2,636,940		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI ONE GUSTAVE L LEVY PLACE NEW YORK, NY 10029	13-6171197	501(C)(3)	2,636,178		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CORNELL UNIVERSITY MEDICAL COLLEGE 525 EAST 68TH ST NEW YORK,NY 10021	13-1623978	501(C)(3)	2,528,129		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RESEARCH FOUNDATION OF THE STATE 450 CLARKSON AVE box 129 BROOKLYN, NY 11203	14-1368361	501(C)(3)	2,525,699		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CHICAGO 6054 SOUTH DREXEL AVENUE CHICAGO, IL 60637	36-2177139	501(C)(3)	2,475,371		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
YALE UNIVERSITY admin po box 1873 NEW HAVEN, CT 06508	06-0646973	501(C)(3)	2,157,825		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CALIFORNIA SAN DIEGO 9500 GILMAN DR LA JOLLA,CA 92093	95-6006144	501(C)(3)	2,020,623		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
JOHNS HOPKINS UNIVERSITY 12529 COLLECTIONS Ctr DR CHICAGO,IL 60693	52-0595110	501(C)(3)	1,745,532		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF PENNSYLVANIA PO BOX 785541 PHILADELPHIA, PA 19178	23-1352685	501(C)(3)	1,729,347		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIV OF TEXAS AT ARLINGTON PO BOX 19136 ARLINGTON, TX 76019	75-6000121	501(C)(3)	1,576,333		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
YESHIVA UNIVERSITY 1300 MORRIS PARK AVE BRONX,NY 10461	13-1624225	501(C)(3)	1,507,092		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MASSACHUSETTS INSTITUTE OF TECHNOLOGY 238 MAIN STREET CAMBRIDGE,MA 02142	04-2103594	501(C)(3)	1,285,699		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MEMORIAL SLOAN-KETTERING CANCER CENTER CANCER CTR FINANCE PO BOX 28338 NEW YORK, NY 10087	13-1924236	501(C)(3)	1,238,839		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HARVARD UNIVERSITY PO BOX 415649 BOSTON, MA 02241	04-2103580	501(C)(3)	1,102,638		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RUTGERS UNIVERSITY 3 RUTGERS PLAZA NEW BRUNSWICK, NJ 08901	22-6001086	501(C)(3)	993,897		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ROCKEFELLER UNIVERSITY 1230 YORK AVE NEW YORK,NY 10065	13-1624158	501(C)(3)	924,798		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Millennium Promise Alliance 475 RIVERSIDE DRIVE NEW YORK, NY 10115	20-3042135	501(C)(3)	909,980		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF NORTH CAROLINA at chapel hill PO BOX 402420 ATLANTA,GA 30384	56-6001393	501(C)(3)	854,825		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CORNELL UNIVERSITY 501 OLIN LIBRARY ITHACA, NY 14853	15-0532082	501(C)(3)	803,347		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RESEARCH FOUNDATION OF THE CITY 230 WEST 41ST ST 7TH FL NEW YORK, NY 10036	13-1988190	501(C)(3)	795,970		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF FLORIDA PO BOX 113001 GAINESVILLE, FL 32611	59-6002052	501(C)(3)	794,279		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BOSTON UNIV- College of Arts & Sciences 25 BUICK STREET BOSTON, MA 02215	04-2103547	501(C)(3)	766,261		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PRINCETON UNIVERSITY PO BOX 5292 PRINCETON, NJ 08544	21-0634501	501(C)(3)	740,159		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
REGENTS OF THE UNIV OF CALIFORNIA at Irvine SUITE 1400 IRVINE, CA 92697	95-2226406	501(C)(3)	724,870		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW YORK UNIVERSITY MEDICAL CENTER PO BOX 415026 BOSTON, MA 02241	13-5562309	501(C)(3)	703,876		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Saint Lukes Roosevelt Hospital Center 555 W 57TH ST NEW YORK, NY 10019	13-2997301	501(C)(3)	688,015		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Christiana Care Health System 200 HYGEIA DR suite 2400 NEWARK,DE 19713	51-0103684	501(C)(3)	678,729		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WOODS HOLE OCEANOGRAPHIC INSTITUTION 569 WOODS HOLE ROAD WOODS HOLE, MA 02543	04-2105850	501(C)(3)	659,669		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF WASHINGTON 12455 COLLECTIONS DRIVE CHICAGO,IL 60693	91-6001537	501(C)(3)	652,545		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RUTGERS THE STATE UNIVERSITY OF NJ 65 DAVIDSON RD RM 306 PISCATAWAY, NJ 08854	46-2354111	501(C)(3)	626,071		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIV OF MEDICINE AND DENTISTRY OF Nj 355 george St NEW BRUNSWICK, NJ 08903	22-1775306	501(C)(3)	582,297		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GEORGETOWN UNIVERSITY BOX 571164 WASHINGTON,DC 20057	53-0196603	501(C)(3)	560,309		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
EMORY UNIVERSITY 1462 CLIFTON ROAD ATLANTA, GA 30322	58-0566256	501(C)(3)	559,843		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Health Research Incorporated ELM AND CARLTON STREET BUFFALO ,NY 14263	14-1402155	501(C)(3)	550,205		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW YORK UNIVERSITY PO BOX 5166 NEW YORK, NY 10087	13-5562308	501(C)(3)	545,465		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF IOWA B5 JESSUP HALL IOWA CITY, IA 52242	42-6004813	501(C)(3)	531,938		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BAYLOR COLLEGE OF MEDICINE PO BOX 301207 DALLAS,TX 75303	74-1613878	501(C)(3)	519,753		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TEACHERS COLLEGE 525 WEST 120TH ST NEW YORK, NY 10027	13-1624202	501(C)(3)	515,574		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Bronx Lebanon Hospital Center 1276 FULTON AVE BRONX, NY 10456	13-1974191	501(C)(3)	511,196		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIV OF UTAH 201 president cir SALT LAKE Cty, UT 84112	87-6000525	501(C)(3)	493,289		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DUKE UNIVERSITY 932 MORRENE ROAD DURHAM, NC 27705	56-0532129	501(C)(3)	478,904		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ARIZONA STATE UNIVERSITY PO BOX 876011 TEMPE, AZ 85287	86-0196696	501(C)(3)	472,633		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
New York Blood Center PO BOX 9674 UNIONDALE, NY 11553	13-1949477	501(C)(3)	443,435		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
STANFORD UNIVERSITY PO BOX 44253 SAN FRANCISCO, CA 94144	94-1156365	501(C)(3)	430,097		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Univ OF CALIFORNIA AT SAN FRANCISCO ACCOUNTING OFFICE SAN FRANCISCO, CA 94143	94-6036493	501(C)(3)	422,114		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PENNSYLVANIA STATE UNIVERSITY 227 WBEAVER AVE STATE COLLEGE, PA 16801	24-6000376	501(C)(3)	414,747		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
KAISER PERMANENTE 1800 HARRISON ST 16TH FLR OAKLAND, CA 94612	94-1105628	501(C)(3)	411,709		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Albany Medical CenterCollege 47 NEW SCOTLAND AVE ALBANY,NY 12208	14-1338310	501(C)(3)	403,805		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Saint Peters University Hospital 254 EASTON AVENUE NEW BRUNSWICK, NJ 08901	22-1487330	501(C)(3)	397,188		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF TEXAS AT AUSTIN PO BOX 7159 AUSTIN, TX 78713	74-6000203	501(C)(3)	383,402		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MAYO CLINIC IN ROCHESTER 200 FIRST STREET SW ROCHESTER, MN 55905	41-6011702	501(C)(3)	381,576		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Cicatelli Associates Inc 505 8TH AVE 16th floor NEW YORK, NY 10018	13-3020576	501(C)(3)	376,138		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THOMAS JEFFERSON UNIVERSITY 1020 WALNUT ST PHILADELPHIA, PA 19107	23-1352651	501(C)(3)	365,954		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FRED HUTCHINSON CANCER RESEARCH CENTER 1100 FAIRVIEW AVE SEATTLE,WA 98109	23-7156071	501(C)(3)	362,065		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HOSPITAL FOR SPECIAL SURGERY 535 EAST 70TH ST NEW YORK, NY 10021	13-1624135	501(C)(3)	356,617		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF MIAMI PO BOX 405803 ATLANTA, GA 30384	59-0624458	501(C)(3)	355,745		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Clinical Directors Network Inc 5 W 37TH ST 10TH FL NEW YORK, NY 10018	14-1717344	501(C)(3)	338,620		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Cancer Prevention Institute 2201 WALNUT AVE FREEMONT, CA 94538	23-7427232	501(C)(3)	335,522		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CALIFORNIA BERKELEY 2195 HEARST AVE BERKELEY, CA 94720	94-6002123	501(C)(3)	333,097		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
LUDWIG INST FOR CANCER RES LTD 9500 GILMAN DRIVE LA JOLLA, CA 92093	23-7121131	501(C)(3)	329,000		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF VIRGINIA BUSINESS ADMIN CHARLOTTESVILLE, VA 22906	54-6046419	501(C)(3)	320,551		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW YORK CITY HEALTH AND HOSPITALS 1400 PELHAM PARKWAY BRONX, NY 10461	13-2655001	501(C)(3)	313,189		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FAMILY HEALTH INTERNATIONAL PO BOX 13950 RES TRIANGLE PK, NC 27709	23-7413005	501(C)(3)	305,563		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF SOUTHERN CALIFORNIA SPONSORED PROJECTS LOS ANGELES,CA 90074	95-1642394	501(C)(3)	303,057		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GEORGE WASHINGTON UNIVERSITY 44983 KNOLL SQ ASHBURN, VA 20147	53-0196584	501(C)(3)	302,303		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BRANDEIS UNIVERSITY PO BOX 549110 WALTHAM,MA 02454	04-2103552	501(C)(3)	301,856		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF PITTSBURGH PO BOX 371220 PITTSBURGH, PA 15251	25-0965591	501(C)(3)	300,600		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SYMANTEC PO BOX 60000 SAN FRANCISCO, CA 94160	77-0181864	501(C)(3)	300,000		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DREXEL UNIVERSITY COLLEGE OF MEDICINE PO BOX 95000 PHILADELPHIA, PA 19195	23-2979433	501(C)(3)	269,136		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF COLORADO PO BOX 910220 DENVER, CO 80291	84-6000555	501(C)(3)	261,239		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF MARYLAND 4101 CHESAPEAKE BLDG COLLEGE PARK, MD 20742	52-6002033	501(C)(3)	256,176		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF MISSOURI PO BOX 807012 KANSAS CITY, MO 64180	43-6003859	501(C)(3)	255,091		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
New York Hospital Of Queens 56-45 MAIN STREET FLUSHING,NY 11355	11-1839362	501(C)(3)	254,107		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
OREGON STATE UNIVERSITY PO BOX 1086 CORVALLIS, OR 97339	48-1278540	501(C)(3)	250,713		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE BROAD INSTITUTE INC 7 CAMBRIDGE CENTER CAMBRIDGE, MA 02142	26-3428761	501(C)(3)	249,835		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Rand Corporation FILE 53174 LOS ANGELES, CA 90074	95-1958142	501(C)(3)	245,513		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF KANSAS 2385 IRVING HILL RD LAWRENCE, KS 66044	48-0680117	501(C)(3)	243,639		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF HAWAII 2440 CAMPUS RD box 368 HONOLULU, HI 96822	99-6000354	501(C)(3)	240,101		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSAL PLAY SYSTEMS INC 60 RAMONA COURT NEW ROCHELLE, NY 10804	13-2731153	501(C)(3)	236,000		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University of Massachusetts 140 HICKS WAY AMHERST, MA 01003	04-3167352	501(C)(3)	233,051		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Queens Comprehensive Perinatal Council 111-06 MERRICK BLVD JAMAICA, NY 11433	11-2870422	501(C)(3)	230,131		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Mental Health Mental Retardation 3840 HULEN STREET FORT WORTH, TX 76107	75-1249456	501(C)(3)	229,391		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SUFFOLK COUNTY PERINATAL COALITION 475 E MAIN ST PATCHOGUE, NY 11772	11-2978393	501(C)(3)	228,876		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FOX CHASE CANCER CENTER 604 COTTMAN AVENUE CHELTENHAM, PA 19012	23-2003072	501(C)(3)	223,982		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ST JUDE CHILDRENS RES HOSPITAL PO BOX 1000 MEMPHIS,TN 38148	62-0646012	501(C)(3)	219,000		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FEINSTEIN INSTITUTE FOR MEDICAL RESEARCH 5 DAKOTA DR LAKE SUCCESS, NY 11042	11-2673595	501(C)(3)	216,984		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF ROCHESTER 265 CRITTENDEN Blvd ROCHESTER, NY 14642	16-0743209	501(C)(3)	216,301		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CHILDRENS HOSPITAL BOSTON 300 LONGWOOD AVE BOSTON, MA 02115	04-2774441	501(C)(3)	208,833		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Beth Israel Deaconess Medical Center 330 BROOKLINE AVENUE BOSTON, MA 02215	04-2103881	501(C)(3)	203,920		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
POLAR OCEANS RESEARCH GROUP 619 MIDDLE RD SHERIDAN, MT 59749	81-0536140	501(C)(3)	201,981		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF TEXAS PO BOX 4786-750 HOUSTON, TX 77210	74-6000949	501(C)(3)	198,165		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE BRIGHAM AND WOMENS HOSPITAL INC PO BOX 3887 BOSTON,MA 02241	04-2312909	501(C)(3)	189,577		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Maine Medical Center Research Institute 22 BRAMHALL STREET PORTLAND, ME 04102	01-0238552	501(C)(3)	189,317		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
OHIO STATE UNIV RESEARCH FDN 1960 KENNY ROAD COLUMBUS, OH 43210	31-6025986	501(C)(3)	187,525		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COLORADO STATE UNIVERSITY 2002 CAMPUS DELIVERY FORT COLLINS, CO 80523	84-6000545	501(C)(3)	184,971		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DOSECC EXPLORATION SERVICES LLC 2075 SPIONEER DR SALT LAKE Cty, UT 84104	26-1358837	501(C)(3)	180,954		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Harlem United Community AIDS Ctr INC 123-125 W124TH St NEW YORK, NY 10027	13-3461695	501(C)(3)	176,769		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF NEW MEXICO 1 UNIVERSITY NM ALBUQUERQUE,NM 87131	85-6000642	501(C)(3)	174,213		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TRUDEAU INSTITUTE INC 154 ALGONQUIN AVENUE SARANAC LAKE,NY 12983	14-1401413	501(C)(3)	170,090		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MASSACHUSETTS GENERAL HOSPITAL PO BOX 3829 BOSTON,MA 02241	04-2697983	501(C)(3)	168,030		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ECO OPP COMM OF NASSAU COUNTY 134 JACKSON STREET HEMPSTEAD,NY 11550	11-2195458	501(C)(3)	161,756		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Garden State Infectious Disease Assoc 709 HADDONFIELD-BERLIN Rd VOORHEES,NJ 08043	22-3310855	501(C)(3)	158,184		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Atmospheric and Environmental Research PO BOX 5175 NEW YORK, NY 10087	04-2608324	501(C)(3)	154,866		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Southern Methodist University P O BOX 750259 DALLAS,TX 75275	75-0800689	501(C)(3)	148,287		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NEW YORK MEDICAL COLLEGE 40 SUNSHINE COTTEGE RD VALHALLA,NY 10595	13-1099420	501(C)(3)	146,385		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Visiting Nurse Service of New York 5 PENN PLAZA 12th FL NEW YORK,NY 10001	13-3189926	501(C)(3)	144,837		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CANCER RESEARCH AND BIOSTATISTICS 1730 MINOR AVE SEATTLE,WA 98101	91-1828539	501(C)(3)	144,475		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
VIRGINIA INSTITUTE OF MARINE SCIENCE PO BOX 1346 GLOUCESTER POINT,VA 23062	54-6001802	501(C)(3)	142,652		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TGEN 445 N FIFTH St STE 600 PHOENIX, AZ 85004	75-3065445	501(C)(3)	142,046		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF MINNESOTA NW 5957 po box 1450 MINNEAPOLIS,MN 55485	41-6007513	501(C)(3)	137,057		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MICHIGAN STATE UNIVERSITY 1405 SHARRISON rd EAST LANSING, MI 48823	38-6005984	501(C)(3)	137,028		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE POPULATION COUNCIL INC 1DAG HAMMARSKJOLD Plz NEW YORK,NY 10017	13-1687001	501(C)(3)	135,470		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GEISINGER HEALTH SYSTEM 100 NORTH ACADEMY AVENUE DANVILLE, PA 17822	23-6291113	501(C)(3)	133,585		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
J DAVID GLADSTONE INSTITUTES 1650 OWENS ST SAN FRANCISCO, CA 94158	23-7203666	501(C)(3)	131,000		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE CHILDRENS HOSPITAL OF PHILADELPHIA PO BOX 8500 PHILADELPHIA, PA 19178	23-1352166	501(C)(3)	126,706		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIV OF ARIZONA - Dept of geosciences GOULD-SIMPSON BLDG TUCSON, AZ 85721	86-6004791	501(C)(3)	124,105		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HASTINGS CTR 21 MALCOLM GORDON Rd GARRISON, NY 10524	13-2662222	501(C)(3)	119,735		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Hebrew Home for the Aged at Riverdale 5901 PALISADE AVE RIVERDALE,NY 10471	13-1739971	501(C)(3)	118,131		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
VANDERBILT UNIVERSITY PMB 401591 NASHVILLE,TN 37240	62-0476822	501(C)(3)	117,994		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NORTHERN ILLINOIS UNIVERSITY LOWDEN HALL 201 DEKALB, IL 60115	36-6008480	501(C)(3)	111,843		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WEST HARLEM ENVIRONMENTAL ACTION INC 1854 AMSTERDAM AVE new york, NY 10031	13-3800068	501(C)(3)	109,012		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Parallax Center Inc 145 East 32 St 6th FL New York, NY 10016	13-3501469	501(C)(3)	107,167		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
George Mason University 4400 UNIVERSITY DRIVE FAIRFAX, VA 22030	54-0836354	501(C)(3)	100,972		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE CHARLES STARK DRAPER LABORATORY INC 555 TECHNOLOGY SQUARE CAMBRIDGE,MA 02139	04-2505372	501(C)(3)	98,105		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Research Institute at Nationwide PO BOX 715245 COLUMBUS, OH 43271	31-6056230	501(C)(3)	92,792		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BARNARD COLLEGE 3009 BROADWAY new york, NY 10027	13-1628149	501(C)(3)	92,256		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
LEHIGH VALLEY HEALTH NETWORK 1255 S CEDAR CREST BLVD ALLENTOWN,PA 18103	23-1689692	501(C)(3)	91,526		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PURDUE UNIVERSITY 23510 NETWORK PLACE CHICAGO,IL 60673	35-6002041	501(C)(3)	90,842		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Artstor 151 EAST 61ST STREET NEW YORK, NY 10065	30-0152767	501(C)(3)	90,728		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MONTEFIORE MEDICAL CENTER 3311 BAINBRIDGE AVENUE BRONX, NY 10467	13-1740114	501(C)(3)	89,806		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
International Food Policy Research Institute 2033 K STREET NW WASHINGTON, DC 20006	52-1041632	501(C)(3)	89,421		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CHILDRENS HOSPITAL MEDICAL CENTER 3333 BURNET AVE CINCINNATI, OH 45229	31-0833936	501(C)(3)	86,916		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNC WILMINGTON 601 S COLLEGE ROAD WILMINGTON, NC 28403	56-1258660	501(C)(3)	83,692		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Public Health Solutions 220 CHURCH St 5th FL NEW YORK, NY 10013	13-5669201	501(C)(3)	80,261		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
JESSIE TRICE COMMUNITY HEALTH CTR INC 5607 NW 27TH AVE STE 1 MIAMI, FL 33142	59-1235617	501(C)(3)	77,500		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NATIONAL COALITION ON HEALTH CARE 1825 K ST NW WASHINGTON,DC 20006	52-1687849	501(C)(3)	77,071		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SWOG CLINICAL TRIALS INITIATIVE LLC 24 FRANK LWRIGHT DR ANN ARBOR, MI 48106	26-1146046	501(C)(3)	75,000		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 E CALIFORNIA BLVD PASADENA, CA 91125	95-1643307	501(C)(3)	72,945		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Rush University Medical Center 1700 WEST VAN BUREN CHICAGO, IL 60612	36-2174823	501(C)(3)	70,329		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Creighton University 3528 DODGE STREET OMAHA, NE 68138	47-0376583	501(C)(3)	68,450		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF ALABAMA 510 20TH ST SOUTH BIRMINGHAM,AL 35294	63-6005396	501(C)(3)	66,327		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
INDIANA UNIVERSITY PO BOX 7800 DETROIT,MI 48278	35-6001673	501(C)(3)	66,177		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
DREXEL UNIV PO BOX 95000-1090 PHILADELPHIA, PA 19195	23-1352630	501(C)(3)	64,931		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CONNECTICUT HEALTH CENTER 263 FARMINGTON AVENUE FARMINGTON, CT 06030	52-1725543	501(C)(3)	63,937		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Fundaconciencia Inc 3785 NW 62 Ave Doral, FL 33166	27-0824212	501(C)(3)	63,499		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Texas A&M Research Foundation HMITCHELL PKY COLLEGE STATION, TX 77845	74-1238434	501(C)(3)	62,423		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NORTHWESTERN UNIVERSITY 633 CLARK STREET EVANSTON, IL 60208	36-2167817	501(C)(3)	62,182		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Georgia Institute of Technology PO BOX 100117 ATLANTA,GA 30384	58-0603146	501(C)(3)	62,053		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
American College of Nurse-Midwives 8403 COLESVILLE RD SILVER SPRING, MD 20910	74-1685515	501(C)(3)	60,609		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF ALASKA AT FAIRBANKS PO BOX 756540 FAIRBANKS, AK 99775	92-6000147	501(C)(3)	59,546		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
REGENTS OF THE UNIVERSITY OF CALIFORNIA 900 UNIVERSITY AVENUE RIVERSIDE, CA 92521	95-6006142	501(C)(3)	58,464		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CHILDRENS HOSPITAL OF LOS ANGELES 4650 SUNSET BLVD LOS ANGELES,CA 90027	95-1690977	501(C)(3)	58,462		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF VERMONT AND STATE PO BOX 1389 WILLISTON, VT 05495	03-0179440	501(C)(3)	56,645		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SANDIA NATIONAL LABORATORIES PO BOX 5520 ALBUQUERQUE, NM 87185	85-0097942	501(C)(3)	56,000		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TEMPLE UNIVERSITY 3400 N BROAD STREET PHILADELPHIA, PA 19140	23-1365971	501(C)(3)	55,642		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF SOUTH FLORIDA PO BOX 864568 ORLANDO, FL 32886	59-3102112	501(C)(3)	55,487		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Jet Propulsion Laboratory California 4800 OAK GROVE DRIVE PASADENA, CA 91109	53-0201509	501(C)(3)	55,414		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
National Bureau of Economic Research 1050 MASSACHUSETTS AVE CAMBRIDGE,MA 02138	13-1641075	501(C)(3)	54,961		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Research Triangle Institute PO BOX 900002 RALEIGH, NC 27675	56-0686338	501(C)(3)	54,075		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CALIFORNIA DAVIS P O BOX 989062 WEST SACREMENO ,CA 95798	95-6006143	501(C)(3)	53,833		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Callen-Lorde Community Health Center 356 WEST 18TH STREET NEW YORK, NY 10011	13-3409680	501(C)(3)	52,659		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CASE WESTERN RESERVE UNIVERSITY 10900 EUCLID AVE CLEVELAND, OH 44106	34-1018992	501(C)(3)	51,298		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Woodrow Wilson International Ctr for Scholars 1300 PENN AVE NW WASHINGTON,DC 20004	52-1067541	501(C)(3)	50,414		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
American Museum of Natural History 79TH ST AT CENTRAL PARK WEST NY, NY 10024	13-6162659	501(C)(3)	49,366		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Raritan Bay Medical Center 530 NEW BRUNSWICK AVE PERT AMBOY, NJ 08861	22-1494442	501(C)(3)	46,914		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Public Health Institute 555 12TH ST OAKLAND, CA 94607	94-1646278	501(C)(3)	46,860		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SOUTH CAROLINA RESEARCH FOUNDATION 901 SUMTER STREET COLUMBIA, SC 29208	57-0967350	501(C)(3)	45,534		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
VIRGINIA COMMONWEALTH UNIVERSITY PO BOX 843039 RICHMOND,VA 23284	54-6001758	501(C)(3)	44,927		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
GREAT LAKES MEDICAL RESEARCH LLC 138 EAST MAIN STREET WESTFIELD, NY 14787	16-1602698	501(C)(3)	43,699		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF TENNESSEE 210 STUDENT SERV BLDG KNOXVILLE, TN 37996	62-6001636	501(C)(3)	42,930		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
OREGON HEALTH AND SCIENCES UNIV 3181 SW SJACKSON Rd PORTLAND, OR 97239	93-1176109	501(C)(3)	41,791		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Cooper Health SystemCooper Univ Hospital 3 COOPER PLAZA STE 220 CAMDEN,NJ 08103	21-0634462	501(C)(3)	36,150		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HEALTHPARTNERS INSTIT FOR EDUC & RESEARCH 8170 33RD AVE BLOOMINGTON, MN 55425	41-1670163	501(C)(3)	35,952		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIV OF TX SOUTHERN MED CTR At DALLAS PO BOX 841765 DALLAS, TX 75284	75-6002868	501(C)(3)	35,527		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NORTH CAROLINA STATE UNIVERSITY PO BOX 7214 RALEIGH, NC 27695	56-6000756	501(C)(3)	32,836		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Childrens Health Fund 215 W 125th St New York, NY 10027	13-3468427	501(C)(3)	32,714		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
NORTH PACIFIC WILDLIFE CONSULTING LLC 12600 ELMORE ROAD ANCHORAGE,AK 99516	46-0470251	501(C)(3)	32,065		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Metropolitan Detroit RSCH & EDUC FDN 4646 JOHN R ST 11R DETROIT,MI 48201	38-3086750	501(C)(3)	31,610		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF DELAWARE 116 STUDENT services bldG NEWARK,DE 19716	51-6000297	501(C)(3)	31,416		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF PUERTO RICO PO BOX 365067 SAN JUAN, PR 00936	66-0433762	501(C)(3)	30,840		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SAN DIEGO STATE UNIVERSITY FOUNDATION 5250 CAMPANILE DR SAN DIEGO,CA 92182	95-6042721	501(C)(3)	30,624		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Hektoen Institute of Medicine 2240 W OGDEN AVE CHICAGO,IL 60612	36-2244897	501(C)(3)	30,402		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WASHINGTON UNIVERSITY ST LOUIS 660 S EUCLID ST LOUIS, MO 63110	43-0653611	501(C)(3)	30,376		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ARC XVI Fort Washington Inc Senior ctr 4111 BROADWAY NEW YORK,NY 10033	13-2745426	501(C)(3)	29,413		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Mayo Clinic in Jacksonville 4500 SAN PABLO ROAD JACKSONVILLE,FL 32224	59-3337028	501(C)(3)	28,900		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF TEXAS HSC HOUSTON 7000 FANNIN UCT 902 HOUSTON,TX 77030	74-1761309	501(C)(3)	28,602		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
URBAN INSTITUTE DEPT 950 WASHINGTON,DC 20042	52-0880375	501(C)(3)	28,305		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Seattle Childrens Hospital SEATTLE CHILDRENS Rsch SEATTLE,WA 98124	91-0564748	501(C)(3)	27,928		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
OFM RESEARCH INC 28430 NE 47TH PLACE REDMOND,WA 98053	57-1222227	501(C)(3)	27,740		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BRD OF REGENTS OF UNIV OF OK HLTH SCIENCE CTR PO BOX 2690 OKLAHOMA CITY,OK 73190	73-6017987	501(C)(3)	27,538		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HARBOR-UCLA RESEARCH & ED INST PO BOX 60637-annex LOS ANGELES,CA 90060	95-2138184	501(C)(3)	25,849		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COMMUNITY LEAGUE OF THE HEIGHTS INC 500 W 159TH STREET NEW YORK, NY 10032	13-2564241	501(C)(3)	24,795		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF NEW HAMPSHIRE SERVICE BUILDING ROOM 109 DURHAM, NH 03824	02-6000937	501(C)(3)	24,633		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE VILLAGE SOUTH INC 169 E FLAGLER ST MIAMI,FL 33131	59-1452736	501(C)(3)	23,519		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BOWDOIN COLLEGE 5400 COLLEGE STATION BRUNSWICK, ME 04011	01-0215213	501(C)(3)	22,302		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
VALLEY HOSPITAL 15 ESSEX RD STE 209 PARAMUS,NJ 07652	22-1487307	501(C)(3)	22,000		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
JOHNS HOPKINS UNIVERSITY 1629 THAMES ST BALTIMORE,MD 21231	52-0595110	501(C)(3)	21,357		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Central TX Veterans Health Care SYSTEM 1901 SOUTH 1ST 151N TEMPLE, TX 76504	74-2623309	501(C)(3)	20,900		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF TEXAS AT DALLAS 800 W CAMPBELL RD RICHARDSON, TX 75080	75-1305566	501(C)(3)	20,629		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RHODE ISLAND HOSPITAL 593 EDDY STREET PROVIDENCE,RI 02903	05-0258954	501(C)(3)	20,624		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF NEBRASKA MEDICAL CENTER 982045 NEBRASKA MEDICAL Ctr OMAHA, NE 68198	47-0049123	501(C)(3)	20,354		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
STOCKHOLM INSTITUTE U S 11 CURTIS AVE SOMERVILLE, MA 02144	20-4659308	501(C)(3)	20,142		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CHILDFUND 2821 EMERYWOOD PRKWy RICHMOND,VA 23261	54-0536100	501(C)(3)	20,000		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ROCHESTER INSTITUTE OF TECHNOLOGY 7 LOMB MEMORIAL DR ROCHESTER, NY 14623	16-0743140	501(C)(3)	19,966		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF GEORGIA 475 NORTH LUMPKIN STREET ATHENS, GA 30602	58-1353149	501(C)(3)	19,279		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
OREGON RESEARCH INSTITUTE 1715 FRANKLIN BOULEVARD EUGENE,OR 97403	93-0495655	501(C)(3)	18,692		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
The Cooper Union 41 COOPER SQ STE 205 NEW YORK, NY 10003	13-2879769	501(C)(3)	18,345		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Carnegie Hill Imaging for Women 1245 MADISON AVENUE NEW YORK, NY 10128	20-3492938	501(C)(3)	18,333		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE SCRIPPS RESEARCH INSTITUTE 10550 N TORREY PINES RD LA JOLLA, CA 92037	33-0435954	501(C)(3)	17,823		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
FORDHAM UNIVERSITY 411 E FORDHAM ROAD BRONX, NY 10458	13-1740451	501(C)(3)	16,550		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
RIVERSTONE SENIOR LIFE SERV 99 FT WASHINGTON AVE NEW YORK, NY 10032	13-3355074	501(C)(3)	16,391		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WAKE FOREST UNIVERSITY HLTH SCIENCES MEDICAL Ctr blvd WINSTON SALEM, NC 27157	56-0532138	501(C)(3)	16,289		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CAROLINA BEHAVIORAL CARE PO BOX 1630 PINEHURST, NC 28370	56-1780933	501(C)(3)	15,650		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
University Corporation for Atmospheric RSCH P O BOX 3000 BOULDER, CO 80307	84-0412668	501(C)(3)	15,053		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALLIANCE FOR EPILEPSY RESEARCH 3635 S DOWNS DR DEXTER, MI 48130	43-1760017	501(C)(3)	15,000		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Midwest Biomedical Research Foundation 4801 LINWOOD BLVD KANSAS CITY, MO 64128	43-1496422	501(C)(3)	14,560		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TRUSTEES OF TUFTS COLLEGE 136 HARRISON AVENUE BOSTON,MA 02111	04-2103634	501(C)(3)	14,344		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CATHOLIC CHARITIES COMMITY SERVICES 1011 FIRST AVE 6TH FL NEWYORK,NY 10022	13-5562185	501(C)(3)	13,865		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HEALTH AND CLIMATE FOUNDATION 1425 K St NW STE 250 Washington,DC 20005	26-1671380	501(C)(3)	13,800		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SOUTHWEST RESEARCH INSTITUTE 6220 CULEBRA Rd SAN ANTONIO, TX 78238	74-1070544	501(C)(3)	13,659		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
PHARMASEEK FINANCIAL SERV PO BOX 44719 MADISON,WI 53744	27-3635780	501(C)(3)	13,630		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
TEXAS NEUROLOGY PA 6301 GASTON AVE DALLAS, TX 75214	75-2654757	501(C)(3)	13,553		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SAN FRANCISCO PERINATAL ASSOC 1 DANIEL BURNHAM CT SAN FRANCISCO, CA 94109	20-3799374	501(C)(3)	13,333		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SARC 24 FRANK L WRIGHT DR ANN ARBOR, MI 48106	86-1087705	501(C)(3)	13,278		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MEDICAL COLLEGE OF WISCONSIN 8701 WATERTOWN Rd MILWAUKEE, WI 53226	39-0806261	501(C)(3)	5,100		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Adults and Children in Trust Childrens' Quest Fin 1047 AMSTERDAM AVENUE NEW YORK,NY 10025	13-1623934	501(C)(3)	12,000		N/A	N/A	financial aid



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Alexander Robertson School 3 west 95th street NEW YORK,NY 10025	13-1760095	501(C)(3)	8,000		N/A	N/A	SCHOLARSHIP/FIN AID

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Broadway Community Inc 601 West 114th Street NEW YORK, NY 10025	13-3652817	501(C)(3)	5,113		N/A	N/A	PROGRAM SVCS

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Broadway Presbyterian Church Nursery School 601 West 114th Street NEW YORK, NY 10025	13-1623916	501(C)(3)	9,000		N/A	N/A	SCHOLARSHIP/FIN AID

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Cathedral Community Cares of St John the Divine 1047 Amsterdam Avenue NEW YORK, NY 10025	13-1623934	501(C)(3)	9,000		N/A	N/A	FOOD AND PROGRAM SVS

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
The Children's Learning Center of Morningside Heig 90 La Salle Street NEW YORK,NY 10025	13-4111840	501(C)(3)	10,000		N/A	N/A	SCHOLARSHIP/FIN AID

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Community Impact Inc 2980 Broadway NEW YORK, NY 10027	13-3386904	501(C)(3)	10,000		N/A	N/A	TUITION

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Doing Art Together Inc 127 West 127th Street NEW YORK, NY 10027	13-3363579	501(C)(3)	5,500		N/A	N/A	FINANCIAL AID

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Figure Skating In Harlem 361 West 125th Street NEW YORK, NY 10027	13-3945168	501(C)(3)	6,500		N/A	N/A	PROGRAM SVCS



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Graham Windham- Beacon Center Youth Literacy Progr 33 Irving Place NEW YORK,NY 10003	13-2926426	501(C)(3)	8,000		N/A	N/A	EDUC PROGRAMS

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Hale House Center Inc 152 West 122nd Street NEW YORK, NY 10027	13-2700687	501(C)(3)	10,000		N/A	N/A	SCHOLARSHIP/FIN AID

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Harlem Academy 1330 Fifth Avenue NEW YORK, NY 10026	56-2454573	501(C)(3)	9,000		N/A	N/A	SCHOLARSHIP/FIN AID

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Purple Circle Day Care 251 West 100th Street NEW YORK, NY 10022	13-3073319	501(C)(3)	10,000		N/A	N/A	SCHOLARSHIP/FIN AID

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
The Red Balloon Day Care Center Inc 560 Riverside Drive NEW YORK,NY 10027	13-2772534	501(C)(3)	10,000		N/A	N/A	SCHOLARSHIP/FIN AID

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Rev Linnette C Williamson Memorial Park Associat 141 West 139th Street NEW YORK,NY 10030	13-3861293	501(C)(3)	6,000		N/A	N/A	EDUC PROGRAMS

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
St Mary's Episcopal Church Soup Kitchen and Food 521 West 126th Street NEW YORK, NY 10027	13-1624179	501(C)(3)	6,000		N/A	N/A	FOOD AND EQUIP

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Top Honors Inc 154 West 93rd Street NEW YORK, NY 10025	75-3062601	501(C)(3)	10,000		N/A	N/A	PROGRAM SVCS



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
Wendy Hilliard Foundation 550 West 155th Street NEW YORK, NY 10025	13-3879321	501(C)(3)	11,000		N/A	N/A	EQUIPMENT

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
West Side Campaign Against Hunger 263 West 86th Street NEW YORK, NY 10024	71-0908184	501(C)(3)	10,000	5,250	FMV	canned food	FOOD AND EQUIP

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MEDICAL COLLEGE OF GEORGIA PO BOX 945552 ATTN CLAIMS ATLANTA,GA 30394	58-1418202	501(C)(3)	12,500		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF KANSAS MEDICAL CENTER 3901 RAINBOW BLVD KANSAS CITY, KS 66160	48-1108830	501(C)(3)	12,051		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
AMERICAN ASSOCIATION OF VARIABLE 49 BAY STATE RD CAMBRIDGE,MA 02138	04-2164402	501(C)(3)	12,001		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CLEVELAND VA MEDICAL RESEARCH 10701 E BLVD CLEVELAND, OH 44106	34-1710663	501(C)(3)	11,940		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
LOUISIANA STATE UNIVERSITY 433 BOLIVAR STREET NEW ORLEANS, LA 70112	72-6087770	501(C)(3)	11,719		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WEST VIRGINIA UNIVERSITY RESEARCH CORPOR PO BOX 6002 MORGANTOWN,WV 26506	55-0665758	501(C)(3)	11,650		N/A	N/A	RESEARCH



Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CHILDREN'S HOSPITAL MEDICAL CENTER ONE PERKINS SQUARE ATTN MARYAN MATHIS MS AKRON, OH 44308	34-0714357	501(C)(3)	11,050		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF SOUTH CAROLINA FINANCIAL SERVICES ACCOUNT RECEIVABLE COLUMBIA,SC 29208	23-7042391	501(C)(3)	10,314		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF ILLINOIS 1325 SOUTH OAK STREET CHAMPAIGN, IL 61820	37-6000511	501(C)(3)	10,000		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
HENRY JACKSON FOUNDATION 6720-A ROCKLEDGE DRIVE SUITE 100 BETHESDA,MD 20817	52-1317896	501(C)(3)	9,775		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SCHEPENS EYE RESEARCH INSTITUTE 20 STANIFORD STREET BOSTON, MA 02114	04-2129889	501(C)(3)	9,450		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
MAINE GEOLOGICAL SURVEY 93 STATE HOUSE STATION AUGUSTA,ME 04333	01-6000001	501(C)(3)	8,876		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF CINCINNATI PO BOX 691031 ACCOUNTS REC CINCINNATI,OH 45269	31-6000989	501(C)(3)	8,844		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ALZHEIMERS ASSOC NYC 360 LEXINGTON AVE 4TH FL NEW YORK,NY 10017	13-3277408	501(C)(3)	8,400		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
BARROW ARCTIC SCIENCE CONSORTIUM PO BOX 577 BARROW, AK 99723	92-0158733	501(C)(3)	7,668		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WESTERN ENVIRONMENTAL TECHNOLOGY LABS 16326 COLLECTIONS CTR DR CHICAGO, IL 60693	93-1072453	501(C)(3)	7,438		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WILDLIFE CONSERVATION SOCIETY 2300 SOUTHERN BLVD EDUCATION DEPT BRONX, NY 10460	13-1740011	501(C)(3)	7,244		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CALIFORNIA PACIFIC MEDICAL CENTER 475 BRANNAN ST STE 220 SAN FRANCISCO,CA 94107	94-0562680	501(C)(3)	7,185		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WEST PENN ALLEGHENY HEALTH SYSTEM 2 ALLEGHENY CTR 11TH FL PITTSBURGH,PA 15212	25-1320493	501(C)(3)	6,824		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
SPOKANE MENTAL HEALTH 400 S JEFFERSON STREET SUITE 109 SPOKANE, WA 99204	91-0853801	501(C)(3)	6,740		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
WILLIAM S MIDDLETON MEMORIAL VETERANS 2500 OVERLOOK TERRACE MADISON,WI 53705	74-1612229	501(C)(3)	6,725		N/A	N/A	RESEARCH

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
THE PARKINSONS INSTITUTE 675 ALMANOR AVE ATTN MICHELLE KNAPIK SUNNYVALE, CA 940851605	94-3061594	501(C)(3)	6,722		N/A	N/A	RESEARCH



Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
OB GYN SERVICES PC 130 EAST 77TH ST NEW YORK, NY 10075	13-4073640	501(C)(3)	6,667		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
CLEVELAND CLINIC PO BOX 931531 CLEVELAND CLINIC FDN CLEVELAND, OH 44193	34-0714585	501(C)(3)	6,398		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
UNIVERSITY OF WISCONSIN-MADISON UW-MADISON GAR ACCOUNT OFFICE OF RESEARCH SPONSOR PROGRA MILWAUKEE, WI 53278	39-6006492	501(C)(3)	6,046		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
ARIZONA GEOLOGICAL SURVEY 416 WEST CONGRESS SUITE 100 TUCSON, AZ 85701	86-6004791	501(C)(3)	5,329		N/A	N/A	RESEARCH

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
REID HALL INC 205 LOW MEMORIAL LIBRARY NEW YORK, NY 10027	13-1611126	501(C)(3)	1,244,402		N/A	N/A	CAPITAL CONTR

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COLUMBIA OPHTHALMOLOGY CONSULTANTS INC 635 WEST 165TH STREET NEW YORK,NY 10032	13-3908637	501(C)(3)	1,614,505		N/A	N/A	CAPITAL CONTR

Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV , appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COLUMBIA UNIVERSITY HEALTH CARE INC 630 WEST 168TH STREET NEW YORK,NY 10032	13-3948652	501(C)(3)	6,237,171		N/A	N/A	CAPITAL CONTR

Form 990, Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

(a) Name and address of organization or government	(b) EIN	(c) IRC Code section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
COLUMBIA UNIVERSITY PRESS 61 WEST 62ND STREET 3RD FL NEW YORK, NY 10023	13-1623968	501(C)(3)	1,196,000		N/A	N/A	CAPITAL CONTR



Schedule J  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.  
▶ Attach to Form 990. ▶ See separate instructions.

▶ Information about Schedule J (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization  
THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Employer identification number  
  
13-5598093

Part I

Questions Regarding Compensation

		Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items		
	<div><div><input checked="" type="checkbox"/> First-class or charter travel</div><div><input checked="" type="checkbox"/> Housing allowance or residence for personal use</div></div>		
	<div><div><input checked="" type="checkbox"/> Travel for companions</div><div><input type="checkbox"/> Payments for business use of personal residence</div></div>		
	<div><div><input checked="" type="checkbox"/> Tax idemnification and gross-up payments</div><div><input type="checkbox"/> Health or social club dues or initiation fees</div></div>		
	<div><div><input type="checkbox"/> Discretionary spending account</div><div><input checked="" type="checkbox"/> Personal services (e g , maid, chauffeur, chef)</div></div>		
b	If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b	Yes
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a?	2	Yes
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III		
	<div><div><input checked="" type="checkbox"/> Compensation committee</div><div><input type="checkbox"/> Written employment contract</div></div>		
	<div><div><input checked="" type="checkbox"/> Independent compensation consultant</div><div><input checked="" type="checkbox"/> Compensation survey or study</div></div>		
	<div><div><input checked="" type="checkbox"/> Form 990 of other organizations</div><div><input checked="" type="checkbox"/> Approval by the board or compensation committee</div></div>		
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization		
a	Receive a severance payment or change-of-control payment?	4a	Yes
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b	Yes
c	Participate in, or receive payment from, an equity-based compensation arrangement?	4c	No
	If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III		
	Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.		
5	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of		
a	The organization?	5a	Yes
b	Any related organization?	5b	No
	If "Yes," to line 5a or 5b, describe in Part III		
6	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of		
a	The organization?	6a	No
b	Any related organization?	6b	No
	If "Yes," to line 6a or 6b, describe in Part III		
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7	Yes
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	8	Yes
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	9	Yes

**Part II** **Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
		(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
See Additional Data Table								

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II

Also complete this part for any additional information

Return Reference	Explanation
SUPPLEMENTAL COMPENSATION INFORMATION	NOTES TO PART I, LINE 1A FIRST CLASS OR CHARTER TRAVEL IN CERTAIN LIMITED CIRCUMSTANCES, CERTAIN INDIVIDUALS MAY TRAVEL FIRST CLASS WHEN THE TRAVEL IS SUBSTANTIATED AS REASONABLE EXPENSES TO SUPPORT THE MISSION OF THE UNIVERSITY ANY FIRST CLASS EXCEPTIONS TO THE UNIVERSITY'S POLICY ARE SUBJECT TO REVIEW AND APPROVAL TRAVEL FOR COMPANIONS IN CERTAIN CIRCUMSTANCES, THE SPOUSE OF THE PRESIDENT OR OTHER KEY EMPLOYEE MAY TRAVEL FOR UNIVERSITY PURPOSES EXAMPLES OF SUCH TRAVEL INCLUDE ATTENDANCE AT FUNDRAISING OR ALUMNI EVENTS, AS WELL AS REPRESENTING THE UNIVERSITY AT AWARDS PRESENTATIONS OR OTHER CEREMONIES OR EVENTS IN ACCORDANCE WITH APPLICABLE LEGAL STANDARDS, THE UNIVERSITY WILL PAY FOR SPOUSAL TRAVEL AS A REGULAR BUSINESS EXPENSE IF THE SPOUSAL TRAVEL SERVES A "BONA FIDE BUSINESS PURPOSE" OF THE UNIVERSITY TAX INDEMNIFICATION AND GROSS-UP PAYMENTS AS APPROVED BY THE BOARD OF TRUSTEES, THE UNIVERSITY PAID CERTAIN MEDICAL, DENTAL AND DISABILITY INSURANCE PREMIUMS ON THE PRESIDENT'S BEHALF, INCLUDING A TAX GROSS-UP ON THOSE AMOUNTS THE TOTAL AMOUNT FOR PREMIUMS, FEES AND THE TAXES THEREON \$29,754 IS SHOWN IN COLUMN (B) (III) AS OTHER REPORTABLE COMPENSATION HOUSING ALLOWANCE OR RESIDENCE FOR PERSONAL USE/PERSONAL SERVICES AS A CONDITION OF EMPLOYMENT, THE PRESIDENT IS REQUIRED TO LIVE IN A HOME ON THE UNIVERSITY'S CAMPUS WHICH IS FURNISHED AND MAINTAINED AT THE UNIVERSITY'S EXPENSE THE PRESIDENT'S HOUSING HAS BEEN VALUED AT \$363,130 AND IS REPORTED AS A NON-TAXABLE BENEFIT THE UNIVERSITY ALSO PROVIDES A CAR AND DRIVER TO BE USED BY THE PRESIDENT IN CONNECTION WITH HIS DUTIES THE PRESIDENT IS RESPONSIBLE FOR ANY PERSONAL USE OF THE HOUSEHOLD STAFF OR CAR AND DRIVER AND OTHER PERSONAL EXPENSES PERSONAL SERVICES OTHER REPORTABLE COMPENSATION INCLUDES \$3,704 IMPUTED TO DR GOLDMAN WITH RESPECT TO PERSONAL USE OF A CAR AND DRIVER PROVIDED BY THE UNIVERSITY (SEE PART I, COLUMN (B)(III)) NOTE TO PART I, LINE 4A PROFESSOR DIRKS' COMPENSATION NICHOLAS B DIRKS STEPPED DOWN AS EXECUTIVE VICE PRESIDENT FOR ARTS & SCIENCES ON DECEMBER 31, 2012 AND LEFT THE UNIVERSITY IN JUNE AT THE END OF THE 2012-13 ACADEMIC YEAR THE AMOUNT SHOWN IN COLUMN (B) (III) AS "OTHER REPORTABLE COMPENSATION" REFLECTS PAYMENT OF ACCRUED BENEFITS AND LEAVE NOTE TO PART I, LINE 4B CONSISTENT WITH THE 990 REPORTING REQUIREMENTS THE TOTAL COMPENSATION SHOWN PART II, COLUMN (E) INCLUDES SOME DOUBLE REPORTING THE ACTUAL AMOUNT EARNED DURING THE CALENDAR YEAR REPORTING PERIOD WAS \$3,018,123 (CALCULATED BY SUBTRACTING COLUMN F FROM COLUMN E) THE ADDITIONAL AMOUNT SHOWN IN COLUMN E INCLUDES PREVIOUSLY REPORTED DEFERRED COMPENSATION AND EARNINGS THEREON THAT WERE VESTED AND PAID DURING THE REPORTING PERIOD DEFERRED COMPENSATION INCLUDES AMOUNTS FOR ACHIEVING PERFORMANCE MEASURES WHICH ARE SUBJECT TO SATISFYING VESTING CONDITIONS RELATED TO CONTINUING SERVICE TO THE UNIVERSITY NOTE TO PART I, LINE 5A DR DAVID SILVERS' COMPENSATION IS COMPRISED OF BASE COMPENSATION AND INCENTIVE COMPENSATION DETERMINED FROM HIS PERFORMANCE OF, AND REVENUES FROM, CLINICAL DUTIES IN THE UNIVERSITY'S DERMATOPATHOLOGY PRACTICE WHERE HE IS THE DIRECTOR, AND IS SUBJECT TO A CAP FOR EACH ACADEMIC YEAR NOTE TO PART I, LINE 7 NOTE TO N P NARVEKAR AND PETER HOLLAND'S COMPENSATION THE UNIVERSITY HAS IMPLEMENTED A BONUS PROGRAM UNDER WHICH MR NARVEKAR AND MR HOLLAND ARE AWARDED PERFORMANCE-BASED BONUSES, A PORTION OF WHICH IS PAID CURRENTLY AND A PORTION OF WHICH IS SUBJECT TO SATISFYING VESTING CONDITIONS RELATED TO CONTINUING SERVICE WITH THE UNIVERSITY BONUS AMOUNTS AWARDED AND DEFERRED FROM PREVIOUS PERIODS WITH EARNINGS THEREON PAID DURING THE CALENDAR YEAR REPORTING PERIOD ARE INCLUDED AS BONUS AND INCENTIVE COMPENSATION IN COLUMN B(II) AMOUNTS AWARDED DURING THE CALENDAR YEAR REPORTING PERIOD BUT SUBJECT TO VESTING ARE INCLUDED AS DEFERRED COMPENSATION IN COLUMN C THIS AMOUNT IS ALSO INCLUDED IN COLUMN (E), PART II THEREFORE, THE NUMBER IN COLUMN E REPRESENTS SOME DOUBLE REPORTING THE ACTUAL AMOUNT EARNED DURING THE CALENDAR YEAR REPORTING PERIOD (CALCULATED BY SUBTRACTING COLUMN F FROM COLUMN E) IS \$3,689,144 FOR MR NARVEKAR AND \$3,333,920 FOR MR HOLLAND NOTE TO PROVOST BRINKLEY'S COMPENSATION PROFESSOR BRINKLEY STEPPED DOWN AS PROVOST ON AUGUST 30, 2010 THE AMOUNT SHOWN REFLECTS COMPENSATION PAID TO HIM AS A CHAIRED PROFESSOR OF HISTORY NOTE TO DR GOLDMAN'S COMPENSATION THE UNIVERSITY HAS AGREED TO PROVIDE DR GOLDMAN WITH SUPPLEMENTAL RETIREMENT INCOME BASED ON CUMULATIVE ANNUAL CREDITS INCLUDING AMOUNTS FOR ACHIEVING PERFORMANCE MEASURES, SUBJECT TO SATISFYING VESTING CONDITIONS RELATED TO CONTINUING SERVICES TO THE UNIVERSITY CREDITS AWARDED FOR THE CALENDAR YEAR REPORTING PERIOD (\$456,000) ARE INCLUDED AS DEFERRED COMPENSATION NOTE TO KENNETH FORDE'S COMPENSATION KENNETH FORDE'S REPORTED COMPENSATION WAS PAID FROM A DEFERRED COMPENSATION AGREEMENT IN EFFECT WHEN DR FORDE WAS A PROFESSOR OF SURGERY AT THE UNIVERSITY AND THE REPORTED NON-TAXABLE BENEFITS REFLECT BENEFITS FROM PARTICIPATION IN A RETIREE BENEFITS PLAN DR FORDE IS RETIRED FROM HIS UNIVERSITY POSITION AND RECEIVES NO COMPENSATION OR OTHER BENEFITS FOR HIS DUTIES AS A TRUSTEE NOTE TO DR MOSES', DR SMITH'S, DR STONE'S, & DR LEON'S COMPENSATION THE COMPENSATION OF DR MOSES, DR SMITH, DR STONE, AND DR LEON IS SUPPORTED BY THEIR CLINICAL ACTIVITIES, GRANTS, AND SUPPORT FROM THE UNIVERSITY'S HOSPITAL AFFILIATES NOTE ON TRUSTEES TRUSTEES' TIME DEVOTED TO POSITION (3 HOURS PER WEEK) REPRESENTS AN AVERAGE FOR ALL TRUSTEES BASED ON ATTENDANCE AT QUARTERLY MEETINGS AND REGULARLY SCHEDULED TELEPHONIC CONFERENCES THROUGHOUT THE YEAR BOARD OFFICERS, COMMITTEE CHAIRS AND OTHER TRUSTEES MAY DEVOTE SIGNIFICANTLY MORE TIME TO THE POSITION

Additional Data

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
LEE C BOLLINGER PRESIDENT	(i) (ii)	1,170,200 0	942,600 0	2,112,269 0	26,190 0	390,161 0	4,641,420 0	1,260,161 0
KENNETH A FORDE MD TRUSTEE	(i) (ii)	0 0	0 0	789,000 0	0 0	2,592 0	791,592 0	0 0
JANE E BOOTH GENERAL COUNSEL	(i) (ii)	581,403 0	0 0	0 0	26,190 0	15,883 0	623,476 0	0 0
JOHN COATSWORTH PROVOST	(i) (ii)	647,988 0	6,448 0	41,146 0	26,190 0	21,667 0	743,439 0	0 0
ALAN BRINKLEY PROVOST (FORMER)	(i) (ii)	387,687 0	0 0	42,500 0	38,940 0	21,903 0	491,030 0	0 0
JEROME DAVIS SECRETARY	(i) (ii)	344,776 0	0 0	0 0	26,190 0	14,119 0	385,085 0	0 0
SUSAN K FEAGIN EXEC VP-UNIV DEV&ALUM(FORMER)	(i) (ii)	454,526 0	4,510 0	0 0	26,190 0	10,671 0	495,897 0	0 0
ROBERT KASDIN SENIOR EXECUTIVE VP	(i) (ii)	766,722 0	0 0	0 0	26,190 0	23,419 0	816,331 0	0 0
DAVID MADIGAN EXEC VP - ARTS & SCIENCES	(i) (ii)	321,254 0	0 0	35,033 0	26,190 0	70,587 0	453,064 0	0 0
ANNE R SULLIVAN EXECUTIVE VP FOR FINANCE	(i) (ii)	541,544 0	0 0	0 0	26,190 0	44,073 0	611,807 0	0 0
NICHOLAS B DIRKS EXEC VP- ARTS&SCIENCES (FORMER)	(i) (ii)	245,740 0	0 0	1,000,005 0	38,065 0	11,479 0	1,295,289 0	0 0
DR LEE GOLDMAN MD EXEC VP FOR HEALTH SCIENCES	(i) (ii)	1,116,924 0	0 0	73,304 0	482,190 0	7,791 0	1,680,209 0	0 0
PETER HOLLAND EXEC VP OF INVESTMENT MGMT	(i) (ii)	782,075 0	2,358,059 0	0 0	2,240,521 0	42,848 0	5,423,503 0	2,089,583 0
JOSEPH A IENUSO EXEC VP OF FACILITIES	(i) (ii)	442,045 0	0 0	48 0	26,190 0	25,794 0	494,077 0	0 0
NIRMAL NARVEKAR PRESIDENT OF INVESTMENT MGMT	(i) (ii)	868,329 0	2,623,753 0	0 0	2,491,630 0	30,459 0	6,014,171 0	2,325,027 0
FREDERICK M VAN SICKLE EXEC VP - UNIV DEV & ALUM REL	(i) (ii)	528,440 0	5,304 0	0 0	26,190 0	35,883 0	595,817 0	0 0
DAVID N SILVERS CLINICAL PROFESSOR	(i) (ii)	2,996,004 0	1,831,979 0	0 0	35,488 0	11,151 0	4,874,622 0	0 0
JEFFREY W MOSES PROFESSOR OF MEDICINE	(i) (ii)	3,083,272 0	0 0	0 0	30,339 0	36,256 0	3,149,867 0	0 0
CRAIG R SMITH PROFESSOR OF SURGERY	(i) (ii)	2,125,576 0	0 0	0 0	35,344 0	23,247 0	2,184,167 0	0 0
MARTIN B LEON PROFESSOR OF SURGERY	(i) (ii)	1,733,272 0	0 0	0 0	30,339 0	34,191 0	1,797,802 0	0 0

Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

(A) Name		(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported in prior Form 990 or Form 990-EZ
		(i) Base Compensation	(ii) Bonus & incentive compensation	(iii) Other compensation				
GREGG STONE	(i)	1,733,272	0	0	30,339	45,013	1,808,624	0
PROFESSOR OF	(ii)	0	0	0	0	0	0	0
SURGERY								

Schedule K  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.  
▶ Attach to Form 990. ▶ See separate instructions.  
▶ Information about Schedule K (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization  
THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Employer identification number  
13-5598093

Part I Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	DORMITORY AUTHORITY OF STATE OF NEW YORK 2003A&B	14-6000293	649901R49	03-05-2003	122,696,491	FUND NEW QUALIFIED PROJECTS	X			X		X
B	DORMITORY AUTHORITY OF STATE OF NEW YORK 2004B&C	14-6000293	64983TZD8	06-30-2004	158,627,817	FUND NEW QUALIFIED PROJECTS	X			X		X
C	DORMITORY AUTHORITY OF STATE OF NEW YORK 2006A	14-6000293	64983QUE7	01-18-2006	239,661,663	FUND NEW QUALIFIED PROJECTS		X		X		X
D	DORMITORY AUTHORITY OF STATE OF NEW YORK 2012A	14-6000293	64983QUE7	06-07-2012	174,118,498	REFUNDING AND FUNDING NEW PROJECTS		X		X		X

Part II Proceeds

		A		B		C		D	
1	Amount of bonds retired	24,665,000		40,555,000		41,140,000		0	
2	Amount of bonds legally defeased	63,120,000		61,175,000		0		0	
3	Total proceeds of issue	123,718,793		159,406,375		246,367,334		174,157,898	
4	Gross proceeds in reserve funds	0		0		0		0	
5	Capitalized interest from proceeds	0		0		0		0	
6	Proceeds in refunding escrows	0		0		0		0	
7	Issuance costs from proceeds	802,880		1,020,611		1,516,885		738,570	
8	Credit enhancement from proceeds	0		0		0		0	
9	Working capital expenditures from proceeds	0		0		0		0	
10	Capital expenditures from proceeds	122,097,219		157,343,063		243,286,427		173,344,328	
11	Other spent proceeds	818,694		1,042,701		1,564,052		75,000	
12	Other unspent proceeds	0		0		0		0	
13	Year of substantial completion	2004		2007		2009			
14	Were the bonds issued as part of a current refunding issue?	Yes	No	Yes	No	Yes	No	Yes	No
			X		X		X	X	X
15	Were the bonds issued as part of an advance refunding issue?		X		X		X	X	
16	Has the final allocation of proceeds been made?	X		X		X			X
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III Private Business Use

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?		X		X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?	X		X		X		X	

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?	X		X		X		X	
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?	X		X		X		X	
c	Are there any research agreements that may result in private business use of bond-financed property?	X		X		X		X	
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?	X		X		X		X	
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 %		0 %		0 060 %		0 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 %		0 %		0 170 %		0 %	
6	Total of lines 4 and 5	0 %		0 %		0 230 %		0 %	
7	Does the bond issue meet the private security or payment test?		X		X		X		X
8a	Has there been a sale or disposition of any of the bond financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?		X		X		X		X
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1 141-12 and 1 145-2?		X		X		X		X
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2?	X		X		X		X	

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T?		X		X		X		X
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X		X		X		X
b	Exception to rebate?		X		X		X		X
c	No rebate due?	X		X		X		X	
	If you checked "No rebate due" in line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?	X			X		X		X
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b	Name of provider	0		0		0			
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV

Arbitrage (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b	Name of provider	0		0		0		0	
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7	Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V

Procedures To Undertake Corrective Action

	A		B		C		D	
	Yes	No	Yes	No	Yes	No	Yes	No
Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI

Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Return Reference	Explanation
SCHEDULE K, PART II, LINE 3	DIFFERENCES IN AMOUNTS ENTERED IN PART I, COLUMN (E) AND PART II, LINE 3 REPRESENT INVESTMENT EARNINGS ----- ----- SCHEDULE K, PART II, LINE 11 OTHER SPENT PROCEEDS AMOUNTS REPORTED ON SCHEDULE K, PART II, LINE 11 REPRESENT THE NEW YORK STATE BOND TAX ASSOCIATED WITH EACH ISSUE WITH THE EXCEPTION OF THE 2012A BOND ISSUE ----- SCHEDULE K, PART II, LINE 13 YEAR OF SUBSTANTIAL COMPLETION, LINE 13, HAS BEEN LEFT BLANK FOR SERIES 2006B SINCE IT WAS A REFUNDING ISSUE AND, AS SUCH NO PROJECTS WERE ASSOCIATED WITH THAT BOND -----



Return Reference	Explanation
SCHEDULE K, PART III, LINES 4-5	FOR ALL ISSUES, THE UNIVERSITY HAS ALLOCATED EQUITY INVESTED IN THE FINANCED PROPERTIES TO SOURCES OF PRIVATE BUSINESS USE, WITH THE EXCEPTION OF ISSUANCE COSTS, WITHIN THE REQUIRED TIME FRAME AND HAS CAREFULLY MANAGED THE TYPES OF ACTIVITIES CONDUCTED IN BOND-FINANCED FACILITIES AS SUCH, THE UNIVERSITY HAS REPORTED 0% PRIVATE BUSINESS USE FOR THE SERIES 2003A&B, SERIES 2004B&C, SERIES 2011A AND SERIES 2012A ISSUES AND MINIMAL PRIVATE BUSINESS USE FOR THE REMAINING ISSUES ON SCHEDULE K -----

Return Reference	Explanation
SCHEDULE K, PART I, COLUMN (G)	COLUMN (G) OF PART I HAS BEEN ANSWERED YES FOR THE 2003A&B, 2004B&C, AND 2008A BOND ISSUES THE 2003A AND 2004B BOND ISSUES WERE FULLY DEFEASED AND THE 2008A BOND ISSUE WAS PARTIALLY DEFEASED -----

Return Reference	Explanation
SCHEDULE K, PART IV, LINE 2	THE LATEST ARBITRAGE COMPUTATION DATE FOR DASNY 2003A&B, 2004B&C, 2006A, 2006B, 2008A, 2009A, 2011A AND 2012A WAS DECEMBER 31, 2013

Schedule K  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.  
▶ Attach to Form 990. ▶ See separate instructions.  
▶ Information about Schedule K (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization  
THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Employer identification number  
13-5598093

Part I

Bond Issues

	(a) Issuer name	(b) Issuer EIN	(c) CUSIP #	(d) Date issued	(e) Issue price	(f) Description of purpose	(g) Defeased		(h) On behalf of issuer		(i) Pool financing	
							Yes	No	Yes	No	Yes	No
A	DORMITORY AUTHORITY OF STATE OF NEW YORK 2006B	14-6000293	64983QUE7	01-18-2006	167,228,781	REFUND 1998A, 2000A & 2002B BONDS		X		X		X
B	DORMITORY AUTHORITY OF STATE OF NEW YORK 2008A	14-6000293	649903ZN4	04-23-2008	293,606,826	FUND NEW QUALIFIED PROJECTS	X			X		X
C	DORMITORY AUTHORITY OF STATE OF NEW YORK 2009A	14-6000293	649905DF0	05-14-2009	117,000,000	FUND NEW QUALIFIED PROJECTS		X		X		X
D	DORMITORY AUTHORITY OF STATE OF NEW YORK 2011A	14-6000293	649906AV6	02-16-2011	312,102,192	FUND NEW QUALIFIED PROJECTS		X		X		X

Part II

Proceeds

		A		B		C		D	
1	Amount of bonds retired	46,840,000		0		0		0	
2	Amount of bonds legally defeased	0		82,715,000		0		0	
3	Total proceeds of issue	167,228,781		295,785,662		117,067,254		312,258,932	
4	Gross proceeds in reserve funds	0		0		0		0	
5	Capitalized interest from proceeds	0		0		0		0	
6	Proceeds in refunding escrows	0		0		0		0	
7	Issuance costs from proceeds	1,095,577		1,641,578		823,388		1,678,394	
8	Credit enhancement from proceeds	0		0		0		0	
9	Working capital expenditures from proceeds	0		0		0		0	
10	Capital expenditures from proceeds	0		292,178,836		115,269,253		308,005,900	
11	Other spent proceeds	166,133,203		1,965,248		974,613		2,574,008	
12	Other unspent proceeds	0		0		0		0	
13	Year of substantial completion	2009				2009			
		Yes	No	Yes	No	Yes	No	Yes	No
14	Were the bonds issued as part of a current refunding issue?		X		X		X		X
15	Were the bonds issued as part of an advance refunding issue?	X			X		X		X
16	Has the final allocation of proceeds been made?	X		X		X		X	
17	Does the organization maintain adequate books and records to support the final allocation of proceeds?	X		X		X		X	

Part III

Private Business Use

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds?				X		X		X
2	Are there any lease arrangements that may result in private business use of bond-financed property?			X		X		X	

Part III

Private Business Use (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
3a	Are there any management or service contracts that may result in private business use of bond-financed property?			X		X		X	
b	If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property?			X		X		X	
c	Are there any research agreements that may result in private business use of bond-financed property?			X		X		X	
d	If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property?			X		X		X	
4	Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government	0 %		0 %		0 %		0 %	
5	Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government	0 %		0 840 %		1 000 %		0 %	
6	Total of lines 4 and 5	0 %		0 840 %		1 000 %		0 %	
7	Does the bond issue meet the private security or payment test?				X		X		X
8a	Has there been a sale or disposition of any of the bond financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued?				X		X		X
b	If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of								
c	If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1.141-12 and 1.145-2?				X		X		X
9	Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1.141-12 and 1.145-2?			X		X		X	

Part IV

Arbitrage

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
1	Has the issuer filed Form 8038-T?		X		X		X		X
2	If "No" to line 1, did the following apply?								
a	Rebate not due yet?		X		X		X		X
b	Exception to rebate?		X		X		X		X
c	No rebate due?	X		X		X		X	
	If you checked "No rebate due" in line 2c, provide in Part VI the date the rebate computation was performed								
3	Is the bond issue a variable rate issue?		X		X	X			X
4a	Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue?		X		X		X		X
b	Name of provider	0		0		0			
c	Term of hedge								
d	Was the hedge superintegrated?								
e	Was the hedge terminated?								

Part IV

Arbitrage (Continued)

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
5a	Were gross proceeds invested in a guaranteed investment contract (GIC)?		X		X		X		X
b	Name of provider	0		0		0		0	
c	Term of GIC								
d	Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied?								
6	Were any gross proceeds invested beyond an available temporary period?		X		X		X		X
7	Has the organization established written procedures to monitor the requirements of section 148?	X		X		X		X	

Part V

Procedures To Undertake Corrective Action

		A		B		C		D	
		Yes	No	Yes	No	Yes	No	Yes	No
	Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations?	X		X		X		X	

Part VI

Supplemental Information. Provide additional information for responses to questions on Schedule K (see instructions).

Schedule L  
(Form 990 or 990-EZ)

Department of the Treasury  
Internal Revenue Service

Transactions with Interested Persons

► Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.  
► Attach to Form 990 or Form 990-EZ. ► See separate instructions.  
► Information about Schedule L (Form 990 or 990-EZ) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK	Employer identification number  13-5598093
--	--

Part I

Excess Benefit Transactions (section 501(c)(3) and section 501(c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

1	(a) Name of disqualified person	(b) Relationship between disqualified person and organization	(c) Description of transaction	(d) Corrected?	
				Yes	No

2

Enter the amount of tax incurred by organization managers or disqualified persons during the year under section 4958 . . . . .

► \$

3

Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . . .

► \$

Part II

Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

(a) Name of interested person	(b) Relationship with organization	(c) Purpose of loan	(d) Loan to or from the organization?		(e) Original principal amount	(f) Balance due	(g) In default?		(h) Approved by board or committee?		(i) Written agreement?	
			To	From			Yes	No	Yes	No	Yes	No
(1) JOHN COATSWORTH	OFFICER	HOUSING		X	1,475,000	1,732,499		No	Yes		Yes	
(2) DAVID MADIGAN	OFFICER	HOUSING		X	400,000	400,000		No	Yes		Yes	
Total						2,132,499						

Part III

Grants or Assistance Benefitting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of assistance	(d) Type of assistance	(e) Purpose of assistance

**Part IV Business Transactions Involving Interested Persons.**

Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
See Additional Data Table					

**Part V Supplemental Information**

Provide additional information for responses to questions on Schedule L (see instructions)

Return Reference	Explanation
NOTES TO SCHEDULE L	EXCEPT FOR THE AMOUNTS REPORTED ON LINES 5 AND 8, ALL AMOUNTS SHOWN ON SCHEDULE L REPRESENT PAYMENTS BY THE UNIVERSITY TO THE INTERESTED PERSON. THE SPOUSES OF KEY EMPLOYEES/OFFICERS LISTED IN SCHEDULE L WERE EMPLOYEES OF THE UNIVERSITY DURING THE REPORTING PERIOD. THEIR COMPENSATION REPORTED ON SCHEDULE L WAS DETERMINED IN ACCORDANCE WITH THE UNIVERSITY'S REGULAR COMPENSATION PRACTICES APPLICABLE TO SIMILARLY SITUATED EMPLOYEES. ALL OF THE BUSINESS TRANSACTIONS INVOLVING INTERESTED PERSONS DESCRIBED IN SCHEDULE L, PART IV WERE CONDUCTED IN THE ORDINARY COURSE OF BUSINESS AND AT ARM'S LENGTH. NO UNIVERSITY OFFICIAL WHO WAS ALSO AN OFFICER OR DIRECTOR OF AN INTERESTED PERSON PARTICIPATED IN OR INFLUENCED THE UNIVERSITY'S DECISION ABOUT ANY TRANSACTION. SCHEDULE L, PART II THE LOAN REPORTED FOR JOHN COATSWORTH IN PART II IS INTEREST BEARING AND SECURED BY AN INTEREST IN A PRIMARY RESIDENCE. THE LOAN REPORTED FOR DAVID MADIGAN IN PART II IS INTEREST BEARING AND WAS MADE IN CONNECTION WITH A PRIMARY RESIDENCE.



Additional Data

Software ID:  
Software Version:  
EIN: 13-5598093  
Name: THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

(a) Name of interested person	(b) Relationship between interested person and the organization	(c) Amount of transaction	(d) Description of transaction	(e) Sharing of organization's revenues?	
				Yes	No
(1) EVANGELINE MORPHOS	SPOUSE OF FORMER OFFICER	113,623	EMPLOYMENT		No
(2) JILL S GOLDMAN	SPOUSE OF KEY EMPLOYEE	96,969	EMPLOYMENT		No
(3) GOLDMAN SACHS	TRUSTEES ARE OFFICER/DIR	7,350,148	CONTRACTS, FEES & COMMISSIONS		No
(4) GOLDMAN SACHS	TRUSTEES ARE OFFICER/DIR	513,853	DISTRIBUTIONS AND INTEREST		No
(5) APPLE COMPUTER	TRUSTEE IS DIRECTOR	2,021,667	PURCHASE OF GOODS		No
(6) BAIN CAPITAL AFFILIATES	TRUSTEE IS OFFICER/DIR	4,927,745	INVESTMENT FEES		No
(7) BAIN CAPITAL AFFILIATES	TRUSTEE IS OFFICER/DIR	59,857,970	INVESTMENT DISTRIBUTIONS		No

SCHEDULE M  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Noncash Contributions

▶Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.  
▶ Attach to Form 990.

▶Information about Schedule M (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization  
THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Employer identification number  
  
13-5598093

Part I

Types of Property

	(a) Check if applicable	(b) Number of contributions or items contributed	(c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g	(d) Method of determining noncash contribution amounts
1 Art—Works of art . . . . .	X	4		
2 Art—Historical treasures . . . . .				
3 Art—Fractional interests . . . . .				
4 Books and publications . . . . .				
5 Clothing and household goods . . . . .				
6 Cars and other vehicles . . . . .				
7 Boats and planes . . . . .				
8 Intellectual property . . . . .				
9 Securities—Publicly traded . . . . .				
10 Securities—Closely held stock . . . . .				
11 Securities—Partnership, LLC, or trust interests . . . . .	X	501	41,510,351	COST/SALES PRICE
12 Securities—Miscellaneous . . . . .				
13 Qualified conservation contribution—Historic structures . . . . .				
14 Qualified conservation contribution—Other . . . . .				
15 Real estate—Residential . . . . .	X	1		
16 Real estate—Commercial . . . . .				
17 Real estate—Other . . . . .				
18 Collectibles . . . . .				
19 Food inventory . . . . .	X	18		
20 Drugs and medical supplies . . . . .				
21 Taxidermy . . . . .				
22 Historical artifacts . . . . .				
23 Scientific specimens . . . . .				
24 Archeological artifacts . . . . .				
25 Other ▶ (EQUIPMENT)	X	6	0 0	
26 Other ▶ (TICKETS & GIFT CARDS)	X	5	0 0	
27 Other ▶ (BOOKS)	X	3	0 0	
28 Other ▶ (HOUSEHOLD GOODS)	X	2	0 0	
Other ▶ (SOFTWARE)	X	5	0 0	
Other ▶ (ARCHIVAL RECORDS)	X	2	0 0	

29

Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . . .

29

20

30a

During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? . . . . .

30a

Yes

No

b

If "Yes," describe the arrangement in Part II

31

Does the organization have a gift acceptance policy that requires the review of any non-standard contributions?

31

Yes

32a

Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? . . . . .

32a

Yes

b

If "Yes," describe in Part II

33

If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II

Part II

Supplemental Information. Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

Return Reference	Explanation
DESCRIPTION OF CONTRIBUTED PROPERTY FOR WHICH REVENUES ARE NOT REPORTED	SCHEDULE M, PART I LINE 33 UNDER FAS 116, CONTRIBUTIONS OF WORKS OF ART, HISTORICAL TREASURES, AND SIMILAR ASSETS NEED NOT BE RECOGNIZED AS REVENUES AND CAPITALIZED IF THE DONATED ITEMS ARE ADDED TO COLLECTIONS HELD FOR PUBLIC EXHIBITION, EDUCATION, OR RESEARCH IN FURTHERANCE OF PUBLIC SERVICE RATHER THAN FINANCIAL GAIN BECAUSE THE UNIVERSITY UTILIZES CONTRIBUTIONS OF ART AND HISTORICAL TREASURES IN FURTHERANCE OF PUBLIC SERVICE, THESE CONTRIBUTIONS ARE NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES IN ADDITION, MINOR GIFTS, SUCH AS FOOD OR SUPPLIES, ARE CONSIDERED DE MINIMUS
SCHEDULE M, PART I, COLUMN (B)	THE FIGURES IN COLUMN (B) REPRESENT THE NUMBER OF CONTRIBUTIONS RECEIVED

**SCHEDULE O**  
**(Form 990 or 990-EZ)**

Department of the Treasury  
Internal Revenue Service

**Supplemental Information to Form 990 or 990-EZ**

**Complete to provide information for responses to specific questions on  
Form 990 or to provide any additional information.**

**▶ Attach to Form 990 or 990-EZ.**

**▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at  
[www.irs.gov/form990](http://www.irs.gov/form990).**

OMB No 1545-0047

**2013**

**Open to Public  
Inspection**

Name of the organization  
THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

**Employer identification number**

13-5598093

Return Reference	Explanation	
	SUPPLEMENTAL DISCLOSURES	<p>FORM 990, PART I, LINE 4 AND PART VI, LINE 1B DETAIL REGARDING THE NUMBER OF INDEPENDENT V OTING MEMBERS THE UNIVERSITY'S PRESIDENT, A VOTING MEMBER OF THE BOARD, IS NOT COUNTED AS INDEPENDENT BECAUSE HE IS AN EMPLOYEE OF THE UNIVERSITY IN ACCORDANCE WITH THE FORM 990 R EPORTING INSTRUCTIONS, THE UNIVERSITY HAS ALSO NOT COUNTED AS INDEPENDENT FOR THE PURPOSES OF THIS QUESTION THE FOUR TRUSTEES WHO SERVE AS OFFICERS OR DIRECTORS OF ENTITIES LISTED AS INTERESTED PERSONS ON SCHEDULE L, NOR THE TRUSTEE LISTED ON SCHEDULE J WHO IS RETIRED F ROM THE UNIVERSITY AND RECEIVED PREVIOUSLY -ACCRUED COMPENSATION DURING THE REPORTING PERIO D BASED UPON HIS PRIOR UNIVERSITY EMPLOYMENT -----</p> <p>----- FORM 990, PART I, LINE 6 DETAIL REGARDING THE NUMBER OF VOLUNTEERS THE N UMBER SHOWN IS AN ESTIMATE OF ALUMNI VOLUNTEERS WHO WORK WITH THE UNIVERSITY'S CENTRAL ALU MINI OFFICE ADDITIONAL VOLUNTEERS WORK IN MANY OF THE UNIVERSITY'S SCHOOLS, CENTERS AND OT HER PROGRAMS ----- FORM 990, PART V, LINE 4B FOREIGN</p> <p>COUNTRY LIST BANGLADESH BRAZIL CHILE CHINA CONGO ETHIOPIA FRANCE GERMA NY INDIA ITALY COTE D'IVOIRE (IVORY COAST) JAPAN JORDAN KAZAKHSTAN KENYA KYRGYZSTAN LESOTH O MALAWI MALI MOZAMBIQUE NIGERIA RWANDA SENEGAL SOUTH AFRICA SOUTH SUDAN SWAZILAND TAJIKIS TAN TANZANIA TURKEY UNITED KINGDOM (ENGLAND, NORTHERN IRELAND, SCOTLAND, AND WALES) UGANDA ZAMBIA ----- FORM 990, PART V, LI NES 7G AND 7H</p> <p>CONTRIBUTIONS OF CERTAIN TYPES OF PROPERTY DURING THE YEAR ENDED JUNE 30, 20 14, THE UNIVERSITY DID NOT RECEIVE ANY CONTRIBUTIONS OF QUALIFIED INTELLECTUAL PROPERTY , C ARS, BOATS, AIRPLANES, OR OTHER VEHICLES AS A RESULT, THE UNIVERSITY WAS NOT REQUIRED TO FILE FORMS 8899 OR 1098-C TO REPORT SUCH CONTRIBUTIONS -----</p> <p>--- FORM 990, PART VI, LINE 2 DETAIL OF FAMILY/BUSINESS RELATIONSH IPS ARMEN AVANESSIONS AND ESTA STECHER - BUSINESS RELATIONSHIP ANN KAPLAN AND ESTA STECHER - BUSINESS RELATIONSHIP ---</p> <p>----- FOR M 990, PART VI, LINE 11B PROCESS USED BY</p> <p>MANAGEMENT AND/OR GOVERNING BODY TO REVIEW 990 A SUBCOMMITTEE COMPRISED OF MEMBERS OF TRUSTEES AUDIT COMMITTEE REVIEWED THE FORM 990 FOLLO WING THAT REVIEW, THE FORM 990 WAS MADE AVAILABLE TO ALL TRUSTEES VIA A SECURE WEBSITE AND THEN PRESENTED TO THE FULL BOARD OF TRUSTEES AT A MEETING ON MAY 7, 2015 CHANGES MADE SU BSEQUENT TO THE MAY 7, 2015 MEETING WERE REPORTED TO THE SUBCOMMITTEE, AND ALL TRUSTEES WE RE NOTIFIED OF THE CHANGES VIA ELECTRONIC MAIL THE REVISED FORM WAS POSTED ON THE SECURE WEBSITE FOR TRUSTEE REVIEW PRIOR TO FILING ----- FORM 990, PART VI, LINE 12C</p> <p>DESCRIPTION OF PROCESS TO MONITOR TRANSACTIONS FOR CONFLICTS OF INTEREST ALL TRUSTEES ARE SUBJECT TO THE "STATEMENT OF CONFLICT OF INTER EST POLICY FOR THE TRUSTEES OF COLUMBIA UNIVERSITY " WHEN A TRUSTEE BECOMES AWARE OF AN EX ISTING OR POTENTIAL CONFLICT OF INTEREST, HE OR SHE HAS A DUTY TO (A) DISCLOSE THE CIRCUM STANCES TO THE BOARD CHAIR OR OTHERS, AS APPROPRIATE, (B) REFRAIN FROM ACTING TO INFLUENCE ANY DECISION WHICH MIGHT IMPROPERLY BENEFIT THE TRUSTEE OR A FAMILY MEMBER, AND (C) RECUS E HIMSELF OR HERSELF FROM PARTICIPATION IN ANY DISCUSSIONS OR DECISIONS ABOUT THE POTENTIA L TRANSACTION OR ACTIVITY IN ADDITION, ALL TRUSTEES ARE REQUIRED TO DISCLOSE ANNUALLY INT ERESTS THAT COULD GIVE RISE TO CONFLICTS THE DISCLOSURES ARE REVIEWED FOR APPROPRIATE ACT ION ALL OFFICERS AND KEY EMPLOYEES ARE SUBJECT TO THE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INTEREST "</p> <p>WHEN AN OFFICER OR KEY EMPLOYEE BECOMES AWARE OF AN EXISTING OR PO TENTIAL CONFLICT OF INTEREST, HE OR SHE HAS A DUTY TO (A) DISCLOSE THE CIRCUMSTANCE TO HI S OR HER SUPERVISOR, THE TRUSTEES OR OTHERS, AS APPROPRIATE, (B) REFRAIN FROM ACTING TO IN FLUENCE ANY DECISION WHICH MIGHT IMPROPERLY BENEFIT THE OFFICER OR EMPLOYEE OR A FAMILY ME MBER, AND (C) RECUSE HIM OR HERSELF FROM PARTICIPATION IN ANY DISCUSSION OR DECISIONS ABOU T THE POTENTIAL TRANSACTION OR ACTIVITY IN ADDITION, ALL OFFICERS AND KEY EMPLOYEES ARE R EQUIRED TO DISCLOSE ANNUALLY INTERESTS THAT COULD GIVE RISE TO CONFLICTS THESE DISCLOSURE S ARE REVIEWED AND ANY DISCLOSURES THAT COULD GIVE RISE TO CONFLICTS AS IDENTIFIED THROUGH SUCH REVIEW ARE REPORTED TO AN APPROPRIATE SENIOR OFFICER ANY SUBSTANTIVE CONCERNS ARE A LSO REPORTED TO THE CHAIR OF THE BOARD OF TRUSTEES -----</p> <p>FORM 990, PART VI, LINES 15A AND 15B COMPENSATION PROCESS THE UNIV ERSITY FOLLOWS THE REVIEW PROCESS DESCRIBED BELOW FOR ALL OFFICERS AND KEY EMPLOYEES THIS PROCESS HAS BEEN IN PLACE SINCE AT LEAST 2000 THE COMPENSATION FOR THE ORGANIZATION'S PR ESIDENT IS REVIEWED BY A COMPENSATION COMMITTEE OF INDEPENDENT TRUSTEES AND APPROVED BY TH E INDEPENDENT TRUSTEES ON THE FULL BOARD THE COMP</p>

Return Reference	Explanation	
	SUPPLEMENTAL DISCLOSURES	<p>ENSATION OF OTHER OFFICERS AND KEY EMPLOYEES IS REVIEWED AND APPROVED BY THE COMPENSATION COMMITTEE OF INDEPENDENT TRUSTEES. IN CONNECTION WITH THE PRESIDENT'S COMPENSATION, THE COMPENSATION COMMITTEE AND THE BOARD REVIEW COMPARABILITY DATA AND MINUTES OF DELIBERATIONS ARE RECORDED CONTEMPORANEOUSLY WITH ANY ACTION WITH RESPECT TO OTHER OFFICERS AND KEY EMPLOYEES, THE COMMITTEE FOLLOWS THE SAME PROCESS FOR REVIEW OF COMPARABILITY DATA AND CONTEMPORANEOUS DOCUMENTATION OF ITS ACTIONS. IN THE CASE OF THE ORGANIZATION'S PRESIDENT AND CERTAIN OTHER OFFICERS AND KEY EMPLOYEES, THE COMPENSATION COMMITTEE HAS ALSO CONSULTED WITH INDEPENDENT COMPENSATION CONSULTANTS IN DETERMINING COMPENSATION. THE COMPENSATION OF THE PRESIDENT AND THE EXECUTIVE VICE PRESIDENT OF THE INVESTMENT MANAGEMENT COMPANY IS REVIEWED AND APPROVED BY A COMPENSATION COMMITTEE OF THE INVESTMENT MANAGEMENT COMPANY'S BOARD OF MANAGERS. SUCH COMPENSATION COMMITTEE IS COMPRISED OF INDEPENDENT MEMBERS OF THE BOARD MANAGERS. "INDEPENDENT TRUSTEE" OR "INDEPENDENT MEMBER" AS USED IN THIS FOOTNOTE MEANS WITHOUT A CONFLICT OF INTEREST WITHIN THE MEANING OF PARAGRAPH (c)(1)(iii) OF REGULATION 53.49-58-6 OF THE INTERNAL REVENUE CODE. IN ACCORDANCE WITH THE FORM 990 INSTRUCTIONS, PART VI, LINE 1B ABOVE USES A DIFFERENT DEFINITION. ----- FORM 990, PART VI, QUESTION 19 DOCUMENTS AVAILABILITY TO PUBLIC THE ORGANIZATION'S CHARTER AND STATUTES, FINANCIAL STATEMENTS AND THE "STATEMENT OF UNIVERSITY POLICY ON CONFLICTS OF INTEREST" ARE AVAILABLE ON THE ORGANIZATION'S WEBSITE AT WWW.COLUMBIA.EDU. -----</p> <p>-----</p>

Return Reference	Explanation
FORM 990, PART VIII	<p>DETAIL REGARDING ROYALTY INCOME/EXPENSE THE UNIVERSITY PERIODICALLY FUNDS AND DEVELOPS PATENTS FOR CERTAIN TECHNOLOGIES, THEN LICENSES THE USAGE OF THESE PATENTS TO COMPANIES THE REVENUE, NET OF PAYMENTS DUE TO THIRD PARTIES, IS RECORDED IN "REVENUE FROM OTHER EDUCATIONAL AND RESEARCH ACTIVITIES" IN THE CONSOLIDATED STATEMENT OF ACTIVITIES COSTS INCURRED WITH DEVELOPING AND MAINTAINING THESE PATENTS ARE EXPENSED AS INCURRED SO THAT WHILE ROYALTIES ARE PAID TO FACULTY AND OTHERS IN CERTAIN CIRCUMSTANCES THESE AMOUNTS ARE NOT REFLECTED IN THE STATEMENT OF FUNCTIONAL EXPENSES -----</p> <p>----- FORM 990, PART VIII, LINE 8 AND SCHEDULE G, PART II DESCRIPTION OF ACCOUNTING FOR FUNDRAISING EVENTS AMOUNTS REPORTED FOR FUNDRAISING EVENTS REPRESENT REVENUE AND EXPENSES THAT ARE SEPARATELY TRACKED THE REVENUE AND EXPENSES FROM ADDITIONAL FUNDRAISING ACTIVITIES ARE NOT SEPARATELY TRACKED AND ARE INCLUDED WITHIN CONTRIBUTION REVENUE AND FUNDRAISING EXPENSES -----</p> <p>----- FORM 990, PART IX, LINE 5 COMPENSATION PAID TO CURRENT OFFICERS, DIRECTORS, TRUSTEES AND KEY EMPLOYEES THE COMPENSATION PAID TO CURRENT OFFICERS, DIRECTORS, TRUSTEES AND KEY EMPLOYEES IS PRESENTED AS FULLY ALLOCATED TO MANAGEMENT AND GENERAL WHILE COLUMBIA'S OFFICERS AND KEY EMPLOYEES SPEND A PORTION OF THEIR TIME ON PROGRAMMATIC ACTIVITIES IN ADDITION TO THEIR MANAGEMENT RESPONSIBILITIES, THE UNIVERSITY HAS CHOSEN NOT TO ALLOCATE THE EXPENSES BETWEEN CATEGORIES GIVEN THE DIFFICULTY IN DOING SO -----</p> <p>----- FORM 990, PART IX, LINE 11F INVESTMENT MANAGEMENT FEES THE INVESTMENT MANAGEMENT FEES REPORTED ON THE STATEMENT OF FUNCTIONAL EXPENSES REPRESENT FEES TO THIRD PARTY INVESTMENT MANAGERS AND INCLUDE MANAGEMENT FEE AND PERFORMANCE FEE EXPENSES ASSOCIATED WITH SEPARATELY MANAGED ACCOUNTS INVESTMENT MANAGEMENT FEES ASSOCIATED WITH FUND INVESTMENTS ARE REPORTED NET AGAINST INVESTMENT INCOME AND THEREFORE ARE NOT INCLUDED IN THE STATEMENT OF FUNCTIONAL EXPENSES -----</p> <p>----- FORM 990, PART IX FUNCTIONAL EXPENSES FORM 990, PART IX FUNCTIONAL EXPENSE TOTALS REFLECT THE ELIMINATION OF DEPARTMENTAL OVERHEAD RECOVERIES INCURRED BY PROGRAMMATIC BUSINESS UNITS THE MAJORITY OF RECOVERED EXPENSES ARE SHOWN IN LINE 24F, OTHER EXPENSES ---</p> <p>-----</p>

Return Reference	Explanation
FORM 990, PART X, LINE 7	LOANS RECEIVABLE FROM STUDENTS TOTAL \$101,327,000 LOANS RECEIVABLE FROM NON-KEY EMPLOYEES TOTAL \$20,023,853 THE LOANS FROM NON-KEY EMPLOYEES HAVE VARIOUS INTEREST RATES RANGING FROM 1 81% TO 6 18%, WITH MATURITY DATES RANGING FROM 2014 THROUGH 2042 THE OUTSTANDING BALANCES RANGE FROM \$24,000 TO \$2,679,055 AND A PORTION OF WHICH ARE SECURED BY A PRINCIPAL RESIDENCE LOANS RECEIVABLE FROM OTHER 501(C) (3) ORGANIZATIONS TOTAL \$1,155,085 ----- FORM 990, PART XI, LINE 9 OTHER CHANGES IN NET ASSETS OR FUND BALANCE CHANGE IN FUNDS HELD BY OTHER IN PERPETUITY 24,230,303 PRESENT VALUE ADJUSTMENT TO SPLIT INTEREST AGREEMENTS (2,311,507) CHANGES IN PENSION AND POST-RETIREMENT OBLIGATIONS 30,328,149 OTHER NON-OPERATING ACTIVITIES 137 ----- TOTAL OTHER CHANGES IN NET ASSETS 52,247,082 ----- FORM 990, PART XII, LINE 2 AUDIT OF THE UNIVERSITY'S FINANCIAL STATEMENTS THE CONSOLIDATED FINANCIAL STATEMENTS OF THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK ARE AUDITED BY PRICEWATERHOUSECOOPERS, AN INDEPENDENT AUDITOR THE UNCONSOLIDATED FINANCIAL STATEMENTS REFLECTED IN THIS RETURN REPRESENT APPROXIMATELY 99% OF THE NET ASSETS OF THE CONSOLIDATED GROUP THE UNIVERSITY'S BOARD OF TRUSTEES HAS AN AUDIT COMMITTEE, COMPRISED OF NON-EMPLOYEE TRUSTEES, WHICH IS RESPONSIBLE FOR OVERSIGHT OF THE AUDIT, REVIEW, AND COMPILATION OF THE FINANCIAL STATEMENTS AND SELECTION OF THE INDEPENDENT AUDITOR ----- -----



SCHEDULE R  
(Form 990)

Department of the Treasury  
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.  
▶ Attach to Form 990. ▶ See separate instructions.  
▶ Information about Schedule R (Form 990) and its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990).

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization  
THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Employer identification number  
  
13-5598093

Part I Identification of Disregarded Entities Complete if the organization answered "Yes" on Form 990, Part IV, line 33.					
(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity
See Additional Data Table					

Part II Identification of Related Tax-Exempt Organizations Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.							
(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
See Additional Data Table							

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Cat No 50135Y

Schedule R (Form 990) 2013

Part III

Identification of Related Organizations Taxable as a Partnership

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	
See Additional Data Table												

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end- of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No
See Additional Data Table									

Part V

Transactions With Related Organizations

Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

1

During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a

Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity

b

Gift, grant, or capital contribution to related organization(s)

c

Gift, grant, or capital contribution from related organization(s)

d

Loans or loan guarantees to or for related organization(s)

e

Loans or loan guarantees by related organization(s)

f

Dividends from related organization(s)

g

Sale of assets to related organization(s)

h

Purchase of assets from related organization(s)

i

Exchange of assets with related organization(s)

j

Lease of facilities, equipment, or other assets to related organization(s)

k

Lease of facilities, equipment, or other assets from related organization(s)

l

Performance of services or membership or fundraising solicitations for related organization(s)

m

Performance of services or membership or fundraising solicitations by related organization(s)

n

Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

o

Sharing of paid employees with related organization(s)

p

Reimbursement paid to related organization(s) for expenses

q

Reimbursement paid by related organization(s) for expenses

r

Other transfer of cash or property to related organization(s)

s

Other transfer of cash or property from related organization(s)

Yes

No

1a

No

1b

Yes

1c

No

1d

No

1e

No

1f

No

1g

No

1h

No

1i

No

1j

Yes

1k

No

1l

No

1m

No

1n

No

1o

No

1p

No

1q

No

1r

No

1s

Yes

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
See Additional Data Table			

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII

Supplemental Information

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference	Explanation
SCHEDULE R	<p>COLUMBIA UNIVERSITY OWNS AN 81 57% INTEREST IN 2700 BROADWAY CONDOMINIUM, WHICH IS TREATED AS A CONDOMINIUM ASSOCIATION UNDER NEW YORK STATE LAW ----- SCHEDULE R, PART I END-OF-YEAR ASSET REPORTING CERTAIN DISREGARDED ENTITIES LISTED IN SCHEDULE R, PART I MAY HOLD VEHICLES, OFFICE EQUIPMENT AND RELATED ITEMS, THE VALUE OF WHICH IS INCLUDED IN THE UNIVERSITY'S BALANCE SHEET IN PART X OF THIS FORM 990 BUT IS NOT REFLECTED IN COLUMN (E) END-OF-YEAR ASSETS ----- SCHEDULE R, PART I</p> <p>INCOME/ASSETS ATTRIBUTABLE TO CERTAIN DISREGARDED ENTITIES COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM I, LLC WHICH OWNS 100% OF THE SHARES ENTITLED TO THE INCOME AND ASSETS OF FPCM INFLATION-LINKED OPPORTUNITIES FUND, LIMITED TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM I, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO FPCM INFLATION-LINKED OPPORTUNITIES FUND, LIMITED AS REPORTED IN SCHEDULE R, PART IV THE SHARES OWNED BY CIM I ARE NON-VOTING SHARES ----- SCHEDULE R, PART I, COLUMNS (D) AND (E) INCOME AND ASSETS ATTRIBUTABLE TO CERTAIN DISREGARDED ENTITIES COLUMBIA UNIVERSITY IS THE SOLE MEMBER OF CIM V, LLC, WHICH IS THE SOLE MEMBER OF CONQUEST MANAGED FUTURES SELECT FUND II, LLC TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CIM V, LLC REPRESENT A 100% SHARE OF TOTAL INCOME AND END-OF-YEAR ASSETS ATTRIBUTABLE TO CONQUEST MANAGED FUTURES SELECT FUND II, LLC, AS REPORTED IN SCHEDULE R, PART I -----</p> <p>----- SCHEDULE R, PART IV CONSISTENT WITH GAAP, THE UNIVERSITY DOES NOT CONSOLIDATE THE ENTITIES LISTED IN PART IV SCHEDULE R WHICH HAVE INVESTMENTS AS THEIR PRIMARY PURPOSE THIS IS BECAUSE THE UNIVERSITY DOES NOT HAVE SUFFICIENT VOTING POWER WITH RESPECT TO THESE ENTITIES TO SATISFY THE CONTROL TEST FOR CONSOLIDATION UNDER GAAP THE AMOUNTS SHOWN IN SCHEDULE R, PART IV, COLUMN (G) REFLECT THE UNIVERSITY'S SHARE OF THE NET ASSETS OF THESE ENTITIES FOR THE FISCAL YEAR REPORTING PERIOD WHICH, CONSISTENT WITH GAAP, IS REFLECTED ON LINE 16 OF THE BALANCE SHEET IN PART X OF THIS FORM 990 SIMILARLY, THE UNIVERSITY'S SHARE OF ANY CURRENT INCOME AND REALIZED GAINS FROM THESE ENTITIES IS REFLECTED IN COLUMN (F) OF SCHEDULE R, PART IV AND ON THE STATEMENT OF REVENUE IN PART VIII THESE ENTITIES EACH OPERATE ON A CALENDAR-YEAR BASIS, AND THE UNIVERSITY'S SHARE OF THE GROSS INCOME AND TOTAL ASSETS FOR EACH OF THESE ENTITIES FOR THE CALENDAR YEAR ENDED DECEMBER 31, 2013 IS SET FORTH BELOW ASM HUDSON RIVER FUND SHARE OF TOTAL (GROSS) INCOME \$7,751,005 SHARE OF END-OF-YEAR (GROSS) ASSETS \$153,078,089 CALUNIUS LITIGATION RISK FUND LP SHARE OF TOTAL (GROSS) INCOME \$(612,253) SHARE OF END-OF-YEAR (GROSS) ASSETS \$26,971,628 COLUMBIA/PATRON SCOTLAND LP SHARE OF TOTAL (GROSS) LOSS \$(611,750) SHARE OF END-OF-YEAR (GROSS) ASSETS \$4,684,573 FPCM INFLATION-LINKED OPPORTUNITIES FUND, LTD SHARE OF TOTAL (GROSS) INCOME \$98,506,922 SHARE OF END-OF-YEAR (GROSS) ASSETS \$ 1,550,296,519 HARBOUR LITIGATION INVESTMENT OFFSHORE FUND SHARE OF TOTAL (GROSS) INCOME \$0 SHARE OF END-OF-YEAR (GROSS) ASSETS \$15,763,372 NCH INVESTORS FUND (CU) CORP SHARE OF TOTAL (GROSS) LOSS \$81,870 SHARE OF END-OF-YEAR (GROSS) ASSETS \$2,170,929 CALUNIUS LITIGATION RISK FUND 2 LP SHARE OF TOTAL (GROSS) INCOME \$0 SHARE OF END-OF-YEAR (GROSS) ASSETS \$0 HARBOUR OFFSHORE FUND II LP SHARE OF TOTAL (GROSS) LOSS \$0 SHARE OF END-OF-YEAR (GROSS) ASSETS \$10,101,739 -----</p> <p>----- SCHEDULE R, PART IV AS OF JUNE 30, 2014, THE TRUSTEES OF COLUMBIA UNIVERSITY IN THE CITY OF NEW YORK HELD MORE THAN A 50% BENEFICIAL INTEREST IN 53 CHARITABLE REMAINDER TRUSTS DOMICILED IN NEW YORK AND 3 POOLED INCOME FUNDS DOMICILED IN NEW YORK</p>

Additional Data

Software ID:

Software Version:

EIN: 13-5598093

Name: THE TRUSTEES OF COLUMBIA UNIVERSITY  
IN THE CITY OF NEW YORK

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income	(e) End-of-year assets	(f) Direct Controlling Entity
(1) COLUMBIA INVESTMENT MGT COMPANY LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	INVEST MGMT	NY	0	0	COLUMBIA
(1) CIM I LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901851	INVEST HOLDNG	DE	0	486,737,169	COLUMBIA
(2) CIM II LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901854	INVEST HOLDNG	DE	0	0	COLUMBIA
(3) CIM III LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901855	INVEST HOLDNG	DE	0	0	COLUMBIA
(4) CIM IV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901856	INVEST HOLDNG	DE	0	0	COLUMBIA
(5) CIM V LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901861	INVEST HOLDNG	DE	0	33,012,220	COLUMBIA
(6) CONQUEST MANAGED FUTURES SELECT FUND II 540 MADISON AVENUE 20TH FLOOR NEW YORK, NY 10022 13-5598093	INVESTMENTS	DE	0	33,012,220	CIM V
(7) CIM VI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901857	INVEST HOLDNG	DE	0	0	COLUMBIA
(8) CIM VII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901858	INVEST HOLDNG	DE	0	0	COLUMBIA
(9) CIM VIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901859	INVEST HOLDNG	DE	0	0	COLUMBIA
(10) CIM IX LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901860	INVEST HOLDNG	DE	0	0	COLUMBIA
(11) CIM X LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901862	INVEST HOLDNG	DE	0	0	COLUMBIA
(12) CIM XI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901863	INVEST HOLDNG	DE	0	0	COLUMBIA
(13) CIM XII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901864	INVEST HOLDNG	DE	-24,016,146	27,578,198	COLUMBIA
(14) CIM XIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901865	INVEST HOLDNG	DE	0	0	COLUMBIA
(15) CIM PARENT LLC 15 PIEDMONT CENTER SUITE 1250 ATLANTA, GA 30305 13-5598093	INVESTMENTS	DE	0	0	CIM XIII LLC
(16) CIM XIV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901866	INVEST HOLDNG	DE	0	0	COLUMBIA
(17) CIM XV LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901868	INVEST HOLDNG	DE	-2,202,642	0	COLUMBIA
(18) CIM XVI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3951901	INVEST HOLDNG	DE	7,186	0	COLUMBIA
(19) CIM XVII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977498	INVEST HOLDNG	DE	10,704	6,413,666	COLUMBIA

Form 990, Schedule R, Part I - Identification of Disregarded Entities

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary Activity	(c) Legal Domicile (State or Foreign Country)	(d) Total income	(e) End-of-year assets	(f) Direct Controlling Entity
(21) CIM XVIII LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977500	INVEST HOLDNG	DE	0	0	COLUMBIA
(1) CIM XIX LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977503	INVEST HOLDNG	DE	0	0	COLUMBIA
(2) CIM XX LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977505	INVEST HOLDNG	DE	0	0	COLUMBIA
(3) CIM XXI LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 14-1977507	INVEST HOLDNG	DE	0	0	COLUMBIA
(4) DYNAMO BRASIL VI LLC 1209 ORANGE STREET WILMINGTON, DE 19801 13-5598093	INVESTMENTS	DE	0	155,734,794	COLUMBIA
(5) COLUMBIA AFFILIATED PHYSICIANS IPA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 26-2162648	PHYSICNS PRAC	NY	964,668	1,964,252	COLUMBIA
(6) COLUMBIA DIGITAL KNOWLEDGE VENTURES LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 06-1576880	NEW MEDIA	NY	0	0	COLUMBIA
(7) HITHER PROPERTY LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 27-0201222	REAL ESTATE	DE	0	2,196,179	COLUMBIA
(8) CU GSAPP LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	COLUMBIA
(9) ACCESS PROJECT LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTH RESCH	NY	0	48,791	COLUMBIA
(10) MSPH LESOTHO LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	526,559	COLUMBIA
(11) MSPH MOZAMBIQUE LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	2,361,561	COLUMBIA
(12) MSPH NIGERIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	38,439	COLUMBIA
(13) MSPH RWANDA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	0	COLUMBIA
(14) MSPH SOUTH AFRICA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	466,667	COLUMBIA
(15) MSPH TANZANIA LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	1,688,968	COLUMBIA
(16) MSPH TAJIKISTAN LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	115,504	COLUMBIA
(17) MSPH KG LLC 615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-5598093	HEALTHCARE	NY	0	111,621	COLUMBIA
(18) COLUMBIA INT'L PROJECTS LLC (KENYA) 412 LOW MEMORIAL LIBRARY 535 W 116 NEW YORK, NY 10027 13-5598093	EDUCATION	NY	0	0	COLUMBIA

Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512 (b)(13) controlled entity?	
						Yes	No
(1) CIS I LLC  615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901821	TITLE HOLDING	NY	501(C)(2)	N/A	COLUMBIA	Yes	
(1) CIS II LLC  615 WEST 131ST STREET MC8741 NEW YORK, NY 10027 13-3901826	TITLE HOLDING	NY	501(C)(2)	N/A	COLUMBIA	Yes	
(2) COLUMBIA UNIVERSITY HEALTH CARE INC  630 WEST 168TH STREET NEW YORK, NY 10032 13-3948652	HEALTHCARE	NY	501(C)(3)	9	COLUMBIA	Yes	
(3) COL PRESBYTERIAN MEDICAL CENTER FUND INC  630 WEST 168TH STREET NEW YORK, NY 10032 13-6162924	FUNDRAISING	NY	501(C)(3)	11A	NA		No
(4) COL UNIV RETIREE MED LIFE INS BNFITS TR  535 WEST 116TH STREET NEW YORK, NY 10027 13-3800106	BENEFITS SUPP	NY	501(C)(3)	11A	COLUMBIA	Yes	
(5) COLUMBIA UNIVERSITY PRESS  136 SOUTH BROADWAY IRVINGTON, NY 10533 13-1623968	ACADEMIC PUB	NY	501(C)(3)	11A	COLUMBIA	Yes	
(6) FRIENDS OF COLUMBIA PSYCHOANALYTIC CTR  1051 RIVERSIDE DRIVE NEW YORK, NY 10032 13-3118570	EDUC SUPPORT	NY	501(C)(3)	11A	NA		No
(7) MORNINGSIDE HEIGHTS LEGAL SERVICES INC  435 WEST 116TH STREET BOX C16 RM8 NEW YORK, NY 10027 51-0192355	CLINICAL EDUC	NY	501(C)(3)	11A	COLUMBIA	Yes	
(8) REID HALL INC  535 WEST 116TH STREET NEW YORK, NY 10027 13-1611126	EDU/CUL EXCH	NY	501(C)(3)	11A	COLUMBIA	Yes	
(9) CENTRE UNIVERSITAIRE AMERICAN REID HALL  535 WEST 116TH STREET NEW YORK, NY 10027	EDUCATION	FR	N/A	N/A	REID HALL	Yes	
(10) COLUMBIA GLOBAL CENTERS I EUROPE (PARIS)  4 RUE DE CHEVREUSE PARIS 75006 FR	EDUCATION	FR	N/A	N/A	REID HALL	Yes	
(11) CU NEW YORK  4 RUE DE CHEVREUSE PARIS 75006 FR	EDUCATION	FR	N/A	N/A	REID HALL	Yes	
(12) RESEARCH COLLECTIONS AND PRES CONSORTIUM  400 FORRESTAL ROAD PRINCETON, NJ 08540 22-3751732	LIBRARY COLL	NJ	501(C)(3)	11A	NA		No
(13) FOUNDATION FOR CTR FOR ENERGY MARINE  3225 GALLOWS RD FAIRFAX, VA 22037 54-1962639	EDUC SUPPORT	DE	501(C)(3)	11	NA		No
(14) THE CLYDE & HELEN WU FAMILY FOUNDATION  1275 AUDOBON AVENUE GROSSE POINTE PARK, MI 48230 38-3441481	EDUC SUPPORT	MI	501(C)(3)	11	NA		No
(15) CENTER FOR ENVIRONMENT ECONOMY & SOCIETY  2852 BROADWAY NEW YORK, NY 10025	RESEARCH	DR	N/A	N/A	CIP	Yes	
(16) CENTER FOR NATL HLTH DEVELP IN ETHIOPIA  KEBELE 06 H NO 447 P O BOX 664,ADDIS ABABA CODE 1250 ET	HEALTHCARE	ET	N/A	N/A	COLUMBIA	Yes	
(17) CENTERS FOR INTERNATIONAL PROJECTS TRUST  APT 101 K-62 HAUZ KHAS ENCLAVE NEW DELHI 110016 IN	RESEARCH	IN	N/A	N/A	CIP	Yes	
(18) COLUMBIA GLOBAL CENTER IN INDIA  630 W 168TH STREET NEW YORK, NY 10032	RESEARCH	IN	N/A	N/A	CGC LLC	Yes	
(19) COLUMBIA UK FUND LTD  615 WEST 131ST STREET MC8741 NEW YORK, NY 10027	FUNDRAISING	UK	N/A	N/A	COLUMBIA	Yes	



Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(21) COLUMBIA UNIVERSITY HONG KONG FUND	FUNDRAISING	HK	N/A	N/A	COLUMBIA	Yes	
(1) MSPH SWAZILAND  615 WEST 131ST STREET MC8741 NEW YORK, NY 10027	HEALTHCARE	WZ	N/A	N/A	CIP	Yes	
(2) FUNDACION COLUMBIA U GLOBAL CTR - CHILE  AVDAG HAMMASKJOLD 32691ST FL VITACURA, SANTIAGO CI	RESEARCH	CI	N/A	N/A	COLUMBIA	Yes	
(3) INSTITUTE ON MEDICINE AS A PROFESSION  C/O 630 WEST 168TH STREET PS BOX NEW YORK, NY 10032 33-1033330	HEALTHCARE	DE	501(C)(3)	11C	NA		No
(4) COLUMBIA GLOBAL CENTERS INITIATIVE  615 WEST 131ST STREET MC8741 NEW YORK, NY 10027	RESEARCH	FR	N/A	N/A	COLUMBIA	Yes	
(5) COL GLOBAL CENTER EASTERN & SOUTH AFRICA  PO BOX 51412 NAIROBI 00100 KE	RESEARCH	KE	N/A	N/A	COLUMBIA	Yes	
(6) CU MIDDLE EAST RESEARCH CENTER  5 MOHD AL SAD AL-BATAYNEH STREET AMMAN, JORDAN 11814 JO	EDUC SUPPORT	JO	N/A	N/A	COLUMBIA	Yes	

Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Predominant income (related, unrelated, excluded from tax under sections 512-514)	(f) Share of total income	(g) Share of end-of- year assets	(h) Dispropportionate allocations?		(i) Code V-UBI amount in Box 20 of K-1 (Form 1065)	(j) General or Managing Partner?		(k) Percentage ownership
							Yes	No		Yes	No	
AP MC FUND I LP  ENTSUJI GADELIUS BLDG 1F 2-39 AKASAKA 5-CHOME, TOKYO,MI 107-0052 JA 98-0504300	INVESTMENTS	JA	COLUMBIA	EXCLUDED	5,149,387	532,871		No	0		No	66 479 %
AP RX FUND I LP  STRATEGIC SCENARIO CO BLDG 1F 2-39 AKASAKA 5-CHOME, TOKYO,MI 107-0052 JA 98-0515907	INVESTMENTS	JA	COLUMBIA	EXCLUDED	2	6,454,172		No	0		No	99 503 %
MERIT ENERGY PARTNERS V LP  13727 NOEL ROAD SUITE 500 DALLAS, TX 75240 75-2411038	INVESTMENTS	TX	COLUMBIA	EXCLUDED	11,074,044	25,627,653		No	0		No	98 541 %
PRIDES MONSTER FUND LP  200 HIGH STREET SUITE 700 BOSTON, MA 02110 27-0574628	INVESTMENTS	DE	COLUMBIA	EXCLUDED	0	1,016,911		No	0		No	100 000 %
EMPIRE INSTOPP I  6617 N SCOTTSDALE RD STE 101 SCOTTSDALE, AZ 85250 45-2787490	INVESTMENTS	AZ	COLUMBIA	EXCLUDED	16,057	0		No	0		No	
WAYPOINT FUND I LP  1999 HARRISON STREET 22ND FLOOR OAKLAND, CA 94612 45-2787363	INVESTMENTS	CA	COLUMBIA	EXCLUDED	1,231,616	23,959,669		No	0		No	99 010 %
SANJEEVINI INVESTMENT HOLDINGS  IFS COURT TWENTYEIGHT CYBERCITY EBENE MP 98-1113898	INVESTMENTS	MP	COLUMBIA	EXCLUDED	0	3,468,322		No	0		No	91 749 %
GCM GROSVENOR BLUE LP  767 FIFTH AVENUE 14TH FLOOR NEWYORK, NY 10010 30-0773582	INVESTMENTS	DE	COLUMBIA	EXCLUDED	4,559,914	23,476,522		No	0		No	99 724 %
Q INDIA EQUITY FUND LIMITED  98-0494924	INVESTMENTS	MP	COLUMBIA	EXCLUDED	2,115,366	42,548,162		No	0		No	58 136 %
CANAAN RESOURCE PTRS DRILLING FUND LP  211 NORTH ROBINSON AVENUE SUITE N OKLAHOMA CITY, OK 73102 36-4777388	INVESTMENTS	OK	COLUMBIA	EXCLUDED	0	0		No	0		No	99 000 %

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
16 EAST 60TH STREET CORPORATION 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 13-3675222	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
2301 12TH AVENUE OWNERS CORP 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 99-1786950	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
COLUMBIA PRESBYTERIAN IPA 161 FORT WASHINGTON AVE SUITE 132 NEW YORK, NY 10032 13-3396165	PHYS PRACTICE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
FATHOM KNOWLEDGE NETWORK INC 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 13-4054997	NEW MEDIA	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
FREEZER BOX INC 615 WEST 131ST STREET MC 8741 NEW YORK, NY 10027 99-1596696	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
MORNINGSIDE INC 311 LOW MEMORIAL LIBRARY NEW YORK, NY 10027 06-1430120	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	
COLUMBIA DOCTORS OF NEW JERSEY 630 WEST 168TH STREET NEW YORK, NY 10032 26-4364931	HEALTHCARE	NJ	COLUMBIA	C-CORP	4,014,589	743,626	100 000 %	Yes	
BEIJING DE GA INTRNTL CONSULTING CTR LTD TOWERA TSINGHUA SCIENCEPARK CHENGFURD,HAIDIAN 100084 CH	EDUCATION	CH	COLUMBIA	FOREIGN CORP	0	457,446	100 000 %	Yes	
ASM HUDSON RIVER FUND WALKER HOUSE 87 MARY STREET GEORGE TOWN, GRAND CAYMAN KY1-9002 CJ	INVESTMENTS	CJ	COLUMBIA	REG MUTUAL FUND	0	119,705,997	100 000 %	Yes	
CALUNIUS LITIGATION RISK FUND LP 1ST FLOOR DOREY COURT ADMIRAL PARK ST PETER PORT, GUERNSEY GY1 6HJ GK 98-0684876	INVESTMENTS	GK	COLUMBIA	FOREIGN CORP	102,039	31,652,743	74 980 %	Yes	
COLUMBIAPATRON SCOTLAND LP 50 LOTHIAN ROAD FESTIVAL SQUARE EDINBURGH, SCOTLAND EH3 9BY UK	INVESTMENTS	UK	COLUMBIA	FOREIGN CORP	3,424	4,599,936	100 000 %	Yes	
FPCM INFLATION LINKED OPPORTUNITIES LTD WALKER HOUSE 87 MARY STREET GEORGE TOWN, GRAND CAYMAN KY1-9002 CJ	INVESTMENTS	CJ	COLUMBIA	FOREIGN CORP	0	486,737,169	100 000 %	Yes	
HARBOUR LITIGATION INVEST OFFSHORE FUND PO BOX 309 UGLAND HOUSE GRAND CAYMAN KY1-1104 CJ 98-0660716	INVESTMENTS	CJ	COLUMBIA	FOREIGN CORP	1,686,354	15,047,051	100 000 %	Yes	
NCH INVESTORS FUND (CU) CORP UGLAND S CHURCH ST PO BOX 309 GEORGE TOWN, GRAND CAYMAN KY1-1104 CJ	INVESTMENTS	CJ	COLUMBIA	FOREIGN CORP	0	981,466	100 000 %	Yes	
CALUNIUS LITIGATION RISK FUND 2 LP PO BOX 656 EAST WINGTRAFALGAR CRT LES BANQUES, ST PETER PORT GY1 3PP GK 98-1162651	INVESTMENTS	GK	COLUMBIA	FOREIGN CORP	-11,325	1,786,793	60 400 %	Yes	

Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal Domicile (State or Foreign Country)	(d) Direct Controlling Entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end-of-year assets	(h) Percentage ownership	(i) Section 512(b)(13) controlled entity?	
								Yes	No
HARBOUR OFFSHORE FUND II LP UGLAND HOUSE PO BOX 309 GRAND CAYMAN KY1-1104 CJ 98-1049614	INVESTMENTS	CJ	COLUMBIA	FOREIGN CORP	218,717	6,162,180	50 360 %	Yes	
CHARITABLE REMAINDER TRUSTS (53)	N/A	NY	COLUMBIA	TRUST					
POOLED INCOME FUNDS (3)	N/A	NY	COLUMBIA	TRUST					
CENTERS FOR INTERNATIONAL PROG 535 WEST 116TH STREET NEW YORK, NY 10027 13-5598093	RESEARCH	DE	COLUMBIA	C-CORP	0	745,570		Yes	
GLOBAL HEALTH RESEARCH CNTR-CE 1255 AMSTERDAM AVENUE NEW YORK, NY 10027	RESEARCH	DE	COLUMBIA	C-CORP	0	22,013		Yes	
COLUMBIA OPHTHALMOLOGY CONSULTANTS INC 635 WEST 165TH STREET ROOM 218 NEW YORK, NY 10032 13-3908637	HEALTHCARE	NY	COLUMBIA	C-CORP	342,034	0	100 000 %	Yes	
ASSOCIACAO COLUMBIA GLOBAL CENTERBRASIL RUA DA CANDELARIA 9 30 ANDAR CENTRO, RIO DE JANEIRO BR	RESEARCH	BR	CGC LLC	N/A	0	6,122	100 000 %	Yes	
UNIVERSITY WOMEN'S REALTY CORPORATION 408 LOW MEMORIAL LIBRARY MC 4336 NEW YORK, NY 10025 13-2524803	REAL ESTATE	NY	COLUMBIA	C-CORP	0	0	100 000 %	Yes	

Form 990, Schedule R, Part V - Transactions With Related Organizations

(a) Name of other organization	(b) Transaction type(a-s)	(c) Amount Involved	(d) Method of determining amount involved
GCM GROSVENOR BLUE LP	B	55,364	FMV
GCM GROSVENOR BLUE LP	S	3,362,219	FMV
EMPIRE INSTITUTIONAL OPPORTUNITY FUND I LP	S	73,603	FMV
MERIT ENERGY PARTNERS V LP	S	12,608,552	FMV
WAYPOINT FUND I LP	S	1,692,785	FMV
SANJEEVINI INVESTMENT HOLDINGS	B	3,218,465	FMV
CANAAN RESOURCE PARTNERS DRILLING FUND LP	B	2,513,167	FMV
AP MC FUND I LP	S	8,341,251	FMV
CALUNIUS LITIGATION RISK FUND LP	B	10,326,206	FMV
CALUNIUS LITIGATION RISK FUND 2 LP	B	2,486,700	FMV
COLUMBIAPATRON SCOTLAND LP	B	261,688	FMV
COLUMBIAPATRON SCOTLAND LP	S	657,517	FMV
HARBOUR LITIGATION INVESTMENT OFFSHORE FUND	B	3,627,502	FMV
HARBOUR LITIGATION INVESTMENT OFFSHORE FUND	S	4,329,399	FMV
HARBOUR OFFSHORE FUND II LP	B	3,273,377	FMV
HARBOUR OFFSHORE FUND II LP	S	3,550,755	FMV
REID HALL INC	B	1,244,402	FMV
COLUMBIA OPHTHALMOLOGY CONSULTANTS INC	B	1,614,505	FMV
COLUMBIA UNIVERSITY HEALTH CARE INC	B	6,237,171	FMV
COLUMBIA UNIVERSITY PRESS	B	1,196,000	FMV