EXTENSION ATTACHED

Form **990-PF**
Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation
▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

OMB No 1545-0052
2013
Open to Public Inspection

For calendar year 2013 or tax year beginning JUL 1, 2013 , and ending JUN 30, 2014

Name of foundation: ASTON PLASTIC SURGERY FOUNDATION

A Employer identification number: 13-3081813

Number and street (or P O box number if mail is not delivered to street address): C/O CBIZ MHM LLC, 111 WEST 40TH ST
Room/suite:

B Telephone number: 212-790-5700

City or town, state or province, country, and ZIP or foreign postal code: NEW YORK, NY 10018

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 2,114,336.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I	**Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	3,500.			
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	12.	12.	12.	STATEMENT 1
	4 Dividends and interest from securities	21,594.	21,594.	21,594.	STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	20,801.			
	b Gross sales price for all assets on line 6a 92,622.				
	7 Capital gain net income (from Part IV, line 2)		20,801.		
	8 Net short-term capital gain			28,725.	
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	1,665,540.	1,893.	1,665,540.	STATEMENT 3
	12 **Total.** Add lines 1 through 11	1,711,447.	44,300.	1,715,871.	
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.	0.	0.
	14 Other employee salaries and wages	129,462.	0.	129,462.	0.
	15 Pension plans, employee benefits				
	16a Legal fees STMT 4	750.	375.	375.	0.
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 5	10,111.	394.	9,717.	0.
	19 Depreciation and depletion	502.	0.	502.	
	20 Occupancy				
	21 Travel, conferences, and meetings	1,130,332.	0.	1,130,332.	0.
	22 Printing and publications	10,068.	0.	10,068.	0.
	23 Other expenses STMT 6	82,521.	22,699.	59,437.	385.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	1,363,746.	23,468.	1,339,893.	385.
	25 Contributions, gifts, grants paid	64,750.			64,750.
	26 **Total expenses and disbursements.** Add lines 24 and 25	1,428,496.	23,468.	1,339,893.	65,135.
	27 Subtract line 26 from line 12.				
	a Excess of revenue over expenses and disbursements	282,951.			
	b **Net investment income** (if negative, enter -0-)		20,832.		
	c **Adjusted net income** (if negative, enter -0-)			375,978.	

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10-10-13 LHA For Paperwork Reduction Act Notice, see instructions.

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Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	98,324.	102,331.	102,331.
	2	Savings and temporary cash investments	1,433,299.	175,250.	175,250.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations			
	b	Investments - corporate stock STMT 7	38,041.	1,830,089.	1,830,089.
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment: basis ▶ 49,828.			
		Less accumulated depreciation STMT 8 ▶ 48,237.	1,005.	1,591.	1,591.
	15	Other assets (describe ▶ OTHER ASSETS)	5,075.	5,075.	5,075.
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item 1)	1,575,744.	2,114,336.	2,114,336.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	1,575,744.	2,114,336.	
	30	**Total net assets or fund balances**	1,575,744.	2,114,336.	
	31	**Total liabilities and net assets/fund balances**	1,575,744.	2,114,336.	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,575,744.
2	Enter amount from Part I, line 27a	2	282,951.
3	Other increases not included in line 2 (itemize) ▶ UNREALIZED GAINS - PER BOOKS	3	255,641.
4	Add lines 1, 2, and 3	4	2,114,336.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,114,336.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g , real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE SCHEDULE #2		01/01/14	12/31/14
b	SEE SCHEDULE #2		01/01/13	12/31/14
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	74,174.		45,449.	28,725.
b	18,448.		26,372.	<7,924.>
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
	(i) F.M V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a				28,725.
b				<7,924.>
c				
d				
e				

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	20,801.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	28,725.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,166,528.	1,612,743.	.723319
2011	1,172,454.	1,346,606.	.870673
2010	799,170.	828,370.	.964750
2009	362,995.	318,759.	1.138776
2008	727,525.	680,723.	1.068753

2 Total of line 1, column (d)	2	4.766271
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.953254
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,219,840.
5 Multiply line 4 by line 3	5	2,116,071.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	208.
7 Add lines 5 and 6	7	2,116,279.
8 Enter qualifying distributions from Part XII, line 4	8	65,135.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	417.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	0.
3 Add lines 1 and 2			3	417.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	417.
6 Credits/Payments:				
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	83.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	83.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	334.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11 Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. ▶ $ 0. (2) On foundation managers ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
If "Yes," attach a detailed description of the activities			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
If "Yes," attach the statement required by General Instruction T			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9	X	
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ TAXPAYER Telephone no. ▶ 212-790-5700

Located at ▶ C/O CBIZ MHM LLC, 111 WEST 40TH ST, NEW YORK, NY ZIP+4 ▶ 10018

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b | | |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X |

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b | | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SHERREL J. ASTON C/O CBIZ MHM, LLC, 111 W 40TH STREET NEW YORK, NY 10018	MANAGER 10.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
BERNADETTE MCGOLDRICK - 124 EAST 24TH STREET APT 5F, NEW YORK, NY	EVENT COORDINATOR 40.00	129,462.	0.	0.

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
MICHAEL ANDREWS - 625 WEST 55TH STREET 3RD FL, NEW YORK, NY 10019	EVENT MANAGEMENT SERVICES	147,645.

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 CONDUCTING EDUCATIONAL CONFERENCES AND SEMINARS	1,322,853.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	0.
2	
All other program-related investments. See instructions 3	
Total. Add lines 1 through 3 ▶	0.

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Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,178,413.
b	Average of monthly cash balances	1b	1,075,232.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	2,253,645.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,253,645.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	33,805.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,219,840.
6	**Minimum investment return.** Enter 5% of line 5	6	110,992.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2013 from Part VI, line 5 2a		
b	Income tax for 2013. (This does not include the tax from Part VI.) 2b		
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	65,135.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	65,135.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	65,135.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					0.
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only				0.	
b Total for prior years ______ , ______ , ______			0.		
3 Excess distributions carryover, if any, to 2013:					
a From 2008	693,560.				
b From 2009	341,653.				
c From 2010	757,761.				
d From 2011	1,105,133.				
e From 2012	1,085,891.				
f Total of lines 3a through e		3,983,998.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ N/A					
a Applied to 2012, but not more than line 2a				0.	
b Applied to undistributed income of prior years (Election required - see instructions)			0.		
c Treated as distributions out of corpus (Election required - see instructions)		0.			
d Applied to 2013 distributable amount					0.
e Remaining amount distributed out of corpus		0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)		0.			0.
6 Enter the net total of each column as indicated below:					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		3,983,998.			
b Prior years' undistributed income. Subtract line 4b from line 2b			0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.		
e Undistributed income for 2012 Subtract line 4a from line 2a. Taxable amount - see instr				0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014					0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)		0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7		693,560.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a		3,290,438.			
10 Analysis of line 9:					
a Excess from 2009	341,653.				
b Excess from 2010	757,761.				
c Excess from 2011	1,105,133.				
d Excess from 2012	1,085,891.				
e Excess from 2013					

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶ 06/18/84

b Check box to indicate whether the foundation is a private operating foundation described in section ☒ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	110,992.	80,637.	67,330.	41,419.	300,378.
b 85% of line 2a	94,343.	68,541.	57,231.	35,206.	255,321.
c Qualifying distributions from Part XII, line 4 for each year listed	65,135.	57,446.	49,500.	45,383.	217,464.
d Amounts included in line 2c not used directly for active conduct of exempt activities	0.	0.	0.	0.	0.
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	65,135.	57,446.	49,500.	45,383.	217,464.
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					0.
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0.
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0.
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0.
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)	1,650,107.	1,525,952.	1,453,969.	1,340,654.	5,970,682.
(3) Largest amount of support from an exempt organization					0.
(4) Gross investment income					0.

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SHERREL J. ASTON

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ALZHEIMER'S ASSOCIATION 3846 W 75TH ST PRAIRIE VILLAGE, KS 66208	NONE	TAX EXEMPT	CHARITY	2,500.
COLLEGE FOUNDATION PO BOX 5527 CHARLOTTEVILLE, VA 22905	NONE	TAX EXEMPT	CHARITY	5,000.
INSTITUTE OF RECONSTRUCTIVE 726 BROADWAY 2ND FLOOR NEW YORK, NY 10003	NONE	TAX EXEMPT	CHARITY	25,000.
JACKSON GABRIEL SILVER FOUNDATION 1725 YORK AVENUE NEW YORK, NY 10128	NONE	TAX EXEMPT	CHARITY	500.
THE BUCKLEY SCHOOL 3900 STANSBURY AVE SHERMAN OAKS, CA 91423	NONE	TAX EXEMPT	CHARITY	750.
Total SEE CONTINUATION SHEET(S)			▶ 3a	64,750.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

323611
10-10-13

Form **990-PF** (2013)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a SEMINAR FEES					1,646,607.
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	12.	
4 Dividends and interest from securities			14	21,594.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	20,801.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a REIMBURSED FEES					17,040.
b REIMBURSED FEES					1,893.
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		42,407.	1,665,540.

13 **Total**. Add line 12, columns (b), (d), and (e) 13 1,707,947.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
11A	THE FOUNDATION WAS FORMED TO COORDINATE AND SUPPORT RESEARCH
	AND EDUCATION IN THE FIELD OF PLASTIC SURGERY BY PERIODICALLY
	HOLDING SEMINARS AND CONFERENCES FOR PLASTIC SURGEONS WITH GUEST
	SPEAKERS WHO ARE EXPERTS IN THE FIELD, WE ACCOMPLISH OUR
	PURPOSE OF EDUCATING SURGEONS IN ORDER TO ESTABLISH SUCCESSFUL
	FORMS OF TREATMENT

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash	1a(1)		X
(2) Other assets	1a(2)		X
b Other transactions:			
(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
(3) Rental of facilities, equipment, or other assets	1b(3)		X
(4) Reimbursement arrangements	1b(4)		X
(5) Loans or loan guarantees	1b(5)		X
(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date | ▶ PRESIDENT Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self- employed	PTIN
MARC MINKER		2/11/15		P00529877

Firm's name ▶ CBIZ MHM, LLC

Firm's EIN ▶ 34-1883473

Firm's address ▶ 1065 AVENUE OF THE AMERICAS
NEW YORK, NY 10018

Phone no. 212-790-5700

Form 990-PF (2013)

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE NYU ATTENDING FUND 550 1ST AVENUE NEW YORK, NY 10016	NONE	TAX EXEMPT	CHARITY	5,000.
THE SPENCE SCHOOL 22 EAST 91ST STREET NEW YORK, NY 10128	NONE	TAX EXEMPT	CHARITY	26,000.
Total from continuation sheets				31,000.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
APSF	1.	1.	1.
NYPS	11.	11.	11.
TOTAL TO PART I, LINE 3	12.	12.	12.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
NB	21,594.	0.	21,594.	21,594.	21,594.
TO PART I, LINE 4	21,594.	0.	21,594.	21,594.	21,594.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
SEMINAR FEES	1,646,607.	0.	1,646,607.
REIMBURSED FEES	17,040.	0.	17,040.
REIMBURSED FEES	1,893.	1,893.	1,893.
TOTAL TO FORM 990-PF, PART I, LINE 11	1,665,540.	1,893.	1,665,540.

FORM 990-PF LEGAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES	750.	375.	375.	0.
TO FM 990-PF, PG 1, LN 16A	750.	375.	375.	0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	9,717.	0.	9,717.	0.
FOREIGN TAXES	394.	394.	0.	0.
TO FORM 990-PF, PG 1, LN 18	10,111.	394.	9,717.	0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
CONTRACTOR FEES - LAUREN FISHMAN EVENTS	47,398.	0.	47,398.	0.
TECHNOLOGY EXPENSE	5,305.	0.	5,305.	0.
COMMUNICATION EXPENSE	1,076.	0.	1,076.	0.
INSURANCE	992.	0.	992.	0.
MESSENGER EXPENSE	1,069.	0.	1,069.	0.
FILING FEE	385.	0.	0.	385.
OFFICE EXPENSES	1,795.	0.	1,795.	0.
PAYROLL SERVICE FEES	1,802.	0.	1,802.	0.
INVESTMENT FEES	22,699.	22,699.	0.	0.
TO FORM 990-PF, PG 1, LN 23	82,521.	22,699.	59,437.	385.

FORM 990-PF CORPORATE STOCK STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
240 SHARES OF TIME WARNER	0.	0.
25 SHARES OF GILAT SATELLITE NETWORK	0.	0.
16 SHARES OF AOL INC	0.	0.
SEE SCHEDULE #1	1,830,089.	1,830,089.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,830,089.	1,830,089.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 8

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
EQUIPMENT	47,316.	47,316.	0.
COMPUTER	2,512.	921.	1,591.
TOTAL TO FM 990-PF, PART II, LN 14	49,828.	48,237.	1,591.

2013 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1 990-PF

Asset No	Description	Date Acquired	Method	Life	Conv	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	EQUIPMENT	06/30/08	200DB	5.00	HY	17	47,316.				47,316.	47,316.		0.	47,316.
12	COMPUTER	08/24/12	SL	5.00		16	2,512.				2,512.	419.		502.	921.
	* TOTAL 990-PF PG 1 DEPR						49,828.				49,828.	47,735.		502.	48,237.

(D) - Asset disposed

* ITC, Salvage, Bonus, Commercial Revitalization Deduction, GO Zone

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization 990-PF
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2013

Attachment Sequence No 179

Name(s) shown on return	Business or activity to which this form relates	Identifying number
ASTON PLASTIC SURGERY FOUNDATION	FORM 990-PF PAGE 1	13-3081813

Part I **Election To Expense Certain Property Under Section 179** **Note:** *If you have any listed property, complete Part V before you complete Part I*

1	Maximum amount (see instructions)	1	500,000.
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation	3	2,000,000.
4	Reduction in limitation. Subtract line 3 from line 2 If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property. Enter the amount from line 29	7	

8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction. Enter the **smaller** of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation. Enter the smaller of business income (not less than zero) or line 5	11	
12	Section 179 expense deduction. Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2014 Add lines 9 and 10, less line 12 ▶	13	

Note: *Do not use Part II or Part III below for listed property Instead, use Part V*

Part II **Special Depreciation Allowance and Other Depreciation (Do not** include listed property **)**

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	502.

Part III **MACRS Depreciation (Do not** include listed property.) (See instructions)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ▶ ☐		

Section B - Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

	(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a	3-year property						
b	5-year property						
c	7-year property						
d	10-year property						
e	15-year property						
f	20-year property						
g	25-year property			25 yrs.		S/L	
h	Residential rental property	/		27 5 yrs	MM	S/L	
		/		27 5 yrs	MM	S/L	
i	Nonresidential real property	/		39 yrs	MM	S/L	
		/			MM	S/L	

Section C - Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a	Class life					S/L	
b	12-year			12 yrs		S/L	
c	40-year	/		40 yrs	MM	S/L	

Part IV **Summary** (See instructions.)

21	Listed property Enter amount from line 28	21	
22	**Total.** Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations - see instr.	22	502.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Form 4562 (2013) ASTON PLASTIC SURGERY FOUNDATION 13-3081813 Page 2

Part V **Listed Property** (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement)

Note: *For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only* 24a, 24b, columns (a) *through* (c) *of Section A, all of Section B, and Section C if applicable*

Section A - Depreciation and Other Information (Caution: *See the instructions for limits for passenger automobiles.*)

24a Do you have evidence to support the business/investment use claimed? ☐ **Yes** ☐ **No** **24b** If "Yes," is the evidence written? ☐ **Yes** ☐ **No**

(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation deduction	(i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use						25		
26 Property used more than 50% in a qualified business use								
		%						
		%						
		%						
27 Property used 50% or less in a qualified business use								
		%				S/L -		
		%				S/L -		
		%				S/L -		
28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1						28		
29 Add amounts in column (i), line 26 Enter here and on line 7, page 1							29	

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

	(a) Vehicle		(b) Vehicle		(c) Vehicle		(d) Vehicle		(e) Vehicle		(f) Vehicle	
30 Total business/investment miles driven during the year (**do not** include commuting miles)												
31 Total commuting miles driven during the year												
32 Total other personal (noncommuting) miles driven												
33 Total miles driven during the year Add lines 30 through 32												
	Yes	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**	**Yes**	**No**
34 Was the vehicle available for personal use during off-duty hours?												
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who **are not** more than 5% owners or related persons

	Yes	**No**
37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?		
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use?		

Note: *If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles.*

Part VI **Amortization**

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2013 tax year:					
43 Amortization of costs that began before your 2013 tax year				43	
44 **Total.** Add amounts in column (f) See the instructions for where to report				44	

THE ASTON PLASTIC SURGERY
FOUNDATION, INC.

schedule #1

Quantity	Description	Ticker/Cusip	Purchase Date	Average(1)(4)(9) Unit Cost	Total(1)(4)(9) Cost	Price	Mkt Value	% Portfolio(12)	Annual(6) Income	(10) Yield
EQUITIES										
1,365	ADT CORPORATION COM		01/22/2014	39 5000	53,917 50		47,693 10			
590	ADT CORPORATION COM		01/30/2014	31 5543	18,617 04		20,614 60			
1,955	**POSITION TOTAL**	ADT		37 1021	72,534 54	34 9400	68,307 70	3 41	1,564 00	2 29
1,615	ALLEGHENY TECHNOLOGIES INC	ATI	08/07/2013	26 8068	43,292 98	45 1000	72,836 50	3 63	1,162 80	1 60
1,510	***AMDOCS LIMITED		08/07/2013	38 5456	58 203 86		69,958 30			
295	***AMDOCS LIMITED		10/28/2013	38 3100	11,301 45		13,667 35			
1,805	**POSITION TOTAL**	DOX		38 5071	69,505 31	46 3300	83,625 65	4 17	1,119 10	1 34
4,660	***ARCOS DORADOS HOLDINGS INC		08/07/2013	12 6031	58,730 45		52,192 00			
1,845	***ARCOS DORADOS HOLDINGS INC		08/21/2013	11 0490	20,385 41		20,664 00			
1,645	***ARCOS DORADOS HOLDINGS INC		02/03/2014	8 5400	14,048 30		18,424 00			
220	***ARCOS DORADOS HOLDINGS INC		03/27/2014	9 9922	2,198 28		2,464 00			
8,370	**POSITION TOTAL**	ARCO		11 3934	95,362 44	11 2000	93,744 00	4 67	1,992 06	2 13
1 465	ARRIS GROUP INC NEW COM	ARRS	08/07/2013	15 4072	22,571 55	32 5300	47 656 45	2 38	0.00	0.00
330	ASHLAND INC		08/30/2013	86 9709	28,700 40		35,884.20			

Positions In Your Account

Quantity	Description	Ticker/Cusip	Purchase Date	Average(1)(4)(9) Unit Cost	Total(1)(4)(9) Cost	Price	Mkt Value	% Portfolio(12)	Annual(6) Income	(10) Yield
EQUITIES (Continued)										
190	ASHLAND INC		10/03/2013	85 6640	16,276 16		20,660 60			
520	**POSITION TOTAL**	ASH		86 4934	44,976 56	108 7400	56 544 80	2 82	707 20	1 25
4,255	BLACKROCK KELSO CAP CORPORATION		08/07/2013	10 0902	42,933 80		38,763 05			
1,145	BLACKROCK KELSO CAP CORPORATION		10/28/2013	9 6200	11,014 90		10,430 95			
5 400	**POSITION TOTAL**	BKCC		9 9905	53,948 70	9 1100	49,194 00	2 45	4,536 00	9 22
2,670	CALPINE CORPORATION	CPN	02/24/2014	19 9276	53,206 69	23 8100	63,572 70	3 17	0 00	0 00
660	CHARLES RIVER LABORATORIES INTERNATIONAL INC	CRL	10/09/2013	44 2220	29,186 52	53 5200	35,323 20	1 76	0 00	0 00
2,435	CHEMTURA CORP	CHMT	04/28/2014	22 3073	54,318 28	26 1300	63,626 55	3 17	0 00	0 00
1,930	CORNING INC		08/07/2013	15 0500	29,046 50		42,363 50			
1,150	CORNING INC		09/03/2013	13 9190	16,006 85		25,242 50			
760	CORNING INC		01/28/2014	16 8930	12,838 68		16,682.00			
3,840	**POSITION TOTAL**	GLW		15 0760	57,892 03	21 9500	84,288 00	4 20	1,536 00	1 82
3,080	COVANTA HOLDING CORPORATION		04/01/2014	18 0274	55,524 39		63,478 80			
1,025	COVANTA HOLDING CORPORATION		04/22/2014	17 8508	18,297 07		21,125 25			
4,105	**POSITION TOTAL**	CVA		17 9833	73,821 46	20 6100	84,604 05	4 22	2,955 60	3 49
2,400	***DOMINION DIAMOND CORPORATION COM		01/31/2014	14 5853	35,004 72		34,697 17			
600	***DOMINION DIAMOND CORPORATION COM		04/22/2014	12 5094	7,505 64		8,674 29			
3 000	**POSITION TOTAL**	DDC		14 1701	42,510 36	14 4572	43,371 46	2 16	0 00	0 00
540	EBAY INC		08/07/2013	53 8000	29,052 00		27,032 40			
300	EBAY INC		08/21/2013	51 3690	15,410 70		15,018 00			
155	EBAY INC		10/28/2013	52 1400	8,081 70		7,759 30			

Positions In Your Account

Quantity	Description	Ticker/Cusip	Purchase Date	Average(1)(4)(9) Unit Cost	Total(1)(4)(9) Cost	Price	Mkt Value	% Portfolio(12)	Annual(6) Income	(10) Yield
EQUITIES (Continued)										
250	EBAY INC		04/30/2014	51 9690	12,992 25		12 515 00			
1,245	POSITION TOTAL	EBAY		52 6399	65,536 65	50 0600	62 324 70	3 11	0 00	0 00
2,200	EMC CORP-MASS		08/07/2013	26 3600	57,992 00		57,948 00			
305	EMC CORP-MASS		10/22/2013	24 2800	7,405 40		8,033 70			
395	EMC CORP-MASS		10/28/2013	23 8500	9,420 75		10,404 30			
2,900	POSITION TOTAL	EMC		25 7994	74,818 15	26 3400	76,386 00	3 81	1,334 00	1 75
32	GOOGLE INC CL C		08/07/2013	448 3570	14,347 41		18 408 96			
20	GOOGLE INC CL C		10/09/2013	426 5520	8,531 03		11,505 60			
52	POSITION TOTAL	GOOG		439 9705	22,878 44	575 2800	29 914 56	1 49	0 00	0 00
32	GOOGLE INC CL A		08/07/2013	449 7934	14,393 39		18,709 44			
20	GOOGLE INC CL A		10/09/2013	427 9185	8,558 37		11,693 40			
52	POSITION TOTAL	GOOGL		441 3800	22 951 76	584 6700	30,402.84	1 52	0 00	0 00
1,180	GP STRATEGIES CORP	GPX	08/07/2013	24 6949	29,139 98	25 8800	30,538 40	1 52	0.00	0 00
990	***ICON PLC	ICLR	04/30/2014	37 6469	37,270 43	47 1100	46,638 90	2 33	0.00	0 00
6,545	***ITAU UNIBANCO BANCO HOLDING S A SPONSORED ADR REPSTG 500 PFD	ITUB	08/07/2013	11 4825	75,152 67	14 3800	94,117 10	4 69	471 24	0 50
955	METLIFE INC	MET	10/09/2013	45 9390	43,871 75	55 5600	53,059 80	2 65	1,337 00	2 52
1,840	MICROSOFT CORP	MSFT	08/07/2013	31 5600	58,070 40	41 7000	76,728 00	3 83	2,060 80	2.69
1,160	***NICE SYSTEMS LTD SPONSORED ADR		08/07/2013	37 5797	43,592 45		47,339 60			
205	***NICE SYSTEMS LTD SPONSORED ADR		05/08/2014	37 9780	7,785 49		8,366 05			

Client Statement

For the period 05/31/2014 to 06/30/2014

THE ASTON PLASTIC SURGERY
FOUNDATION, INC.

NEUBERGER | BERMAN

JPMCC Account: 541-30386 017
Base Currency: USD
NB Account Number: 523-05188

Positions In Your Account

Quantity	Description	Ticker/Cusip	Purchase Date	Average[1][4][9] Unit Cost	Total[1][4][9] Cost	Price	Mkt Value	% Portfolio[12]	Annual[6] Income	Yield[10]
EQUITIES (Continued)										
1,365	POSITION TOTAL	NICE		37 6395	51,377 94	40 8100	55,705 65	2 78	735 74	1 32
495	OCCIDENTAL PETE CORP	OXY	08/08/2013	88 0900	43,604 55	102 6300	50,801 85	2 53	1,425 60	2 81
2,110	***PEARSON PLC-ORD 25P		08/07/2013	20 7158	43,710 25		41,654 52			
855	***PEARSON PLC-ORD 25P		02/27/2014	18 0811	15,459 37		16,878 96			
715	***PEARSON PLC-ORD 25P		03/07/2014	17 7438	12,686 83		14,115 16			
3,680	POSITION TOTAL	0677608		19 5262	71,856 45	19 7415	72,648 64	3 62	3,021 78	4.16
445	REINSURANCE GROUP OF AMERICA INCORPORATED	RGA	08/27/2013	64 7720	28,823 54	78 9000	35,110 50	1 75	534 00	1 52
1,240	SCHOLASTIC CORP	SCHL	12/13/2013	27 9899	34,707 48	34 0900	42,271 60	2 11	744 00	1 76
1,160	SOUTHWESTERN ENERGY CO		08/08/2013	37 8100	43,859 60		52,768 40			
240	SOUTHWESTERN ENERGY CO		10/28/2013	37 0000	8,880 00		10,917 60			
1,400	POSITION TOTAL	SWN		37 6711	52,739 60	45 4900	63,686 00	3 18	0 00	0 00
1,860	TETRA TECH INC NEW	TTEK	08/07/2013	23 4957	43,702 00	27 5000	51,150 00	2 55	520 80	1.02
730	TEXAS INSTRUMENTS INCORPORATED	TXN	08/07/2013	39 8200	29,068 60	47 7900	34,886 70	1 74	876 00	2 51
1,190	VCA INC		11/20/2013	29.5706	35,189.01		41,757 10			
1,005	VCA INC		05/07/2014	29 6219	29,770 01		35,265 45			
2,195	POSITION TOTAL	WOOF		29 5941	64,959 02	35 0900	77,022 55	3 84	0 00	0 00
	TOTAL EQUITIES				1,563,656.83		1,830,088.85	91.26	28,633.72	1.56

schedule #2 Capital Gain/Loss Schedule

ASTON THE PLASTIC SURGERY Acct # XXX-XX188 Phone # 2122496000

1/21/2015 Foundation - Corporate Cash NonTaxable Domestic NY Family Member of a Neuberger BermanEmployee - PM team IA=Y FI Acct=N Capital Appreciation
IPO=N

Capital Gains

THE ASTON PLASTIC SURGERY FOUNDATION, INC
728 PARK AVENUE
NEW YORK NY 10021

Capital Gains Summary From 07/01/2013 To 06/30/2014

Description	Totals
Short Term Gain/Loss	28,724 12
Long Term Gain/Loss	-7,924.09

EQUITIES - COVERED

Purchase Date	Close Date	No. of Shares	Orig Face	Symbol	Description	Unit Cost	Sale Price	Total Cost	Net Amount	Ind	ST Gain/Loss	LT Gain/Loss	Book Cost	Disc Inc	Curr Gain/Loss
03/18/2013	08/07/2013	0 99	0 00	TWX	TIME WARNER INC NEW	56 502	65 990	55 92	65 31	ST	9 39	0 00	55 92	0 00	0 00
12/18/2012	08/07/2013	1 05	0 00	TWX	TIME WARNER INC NEW	47 768	65 990	50 30	69 49	ST	19 19	0 00	50 30	0 00	0 00
06/18/2013	08/07/2013	0 49	0 00	TWX	TIME WARNER INC NEW	58 287	65 990	28 59	32 37	ST	3 78	0 00	28 59	0 00	0 00
09/18/2012	08/07/2013	1 11	0 00	TWX	TIME WARNER INC NEW	44 941	65 990	50 01	73 43	ST	23 42	0 00	50 01	0 00	0 00
10/28/2013	12/05/2013	465 00	0 00	ARRS	ARRIS GROUP INC NEW COM	16 520	21 290	7,681 80	9,899 76	ST	2,217 96	0 00	7,681 80	0 00	0 00
08/07/2013	01/09/2014	320 00	0 00	ARRS	ARRIS GROUP INC NEW COM	15 407	25 220	4,930 30	8,070 28	ST	3,139 98	0 00	4,930 30	0 00	0 00
10/28/2013	01/09/2014	120 00	0 00	ARRS	ARRIS GROUP INC NEW COM	16 520	25 220	1,982 40	3,026 36	ST	1,043 96	0 00	1 982 40	0 00	0 00
08/07/2013	02/13/2014	300 00	0 00	ARRS	ARRIS GROUP INC NEW COM	15 407	27 880	4,622 16	8,363 91	ST	3,741 75	0 00	4,622 16	0 00	0 00
08/07/2013	03/04/2014	380 00	0 00	ARRS	ARRIS GROUP INC NEW COM	15 407	29 500	5,854 74	11,209 80	ST	5,355 06	0 00	5 854 74	0 00	0 00

08/07/2013	04/09/2014	555 00	0 00	ATI	ALLEGHENY TECHNOLOGIES INC	26 807	39 589	14 877 78	21 971 18	ST	7 093 40	0 00	14,877 78	0 00	0 00
08/07/2013	05/30/2014	345 00	0 00	ARRS	ARRIS GROUP INC NEW COM	15 407	33 020	5,315 48	11,391 71	ST	6 076 23	0 00	5,315 48	0 00	0 00
ST - TERM TOTAL		2 488 65						45,449 48	74,173 60	ST	28,724 12	0 00	45,449 48	0 00	0 00
06/18/2012	08/07/2013	1 36	0 00	TWX	TIME WARNER INC NEW	36 609	65 990	49 66	89 51	LT	0 00	39 85	49 66	0 00	0 00
06/16/2011	08/07/2013	1 27	0 00	TWX	TIME WARNER INC NEW	34 482	65 990	43 63	83 50	LT	0 00	39 87	43 63	0 00	0 00
03/16/2011	08/07/2013	1 23	0 00	TWX	TIME WARNER INC NEW	35 159	65 990	43 34	81 34	LT	0 00	38 00	43 34	0 00	0 00
09/16/2011	08/07/2013	1 42	0 00	TWX	TIME WARNER INC NEW	31 020	65 990	43 93	93 45	LT	0 00	49 52	43 93	0 00	0 00
03/16/2012	08/07/2013	1 37	0 00	TWX	TIME WARNER INC NEW	35 946	65 990	49 30	90 50	LT	0 00	41 20	49 30	0 00	0 00
12/16/2011	08/07/2013	1 29	0 00	TWX	TIME WARNER INC NEW	34 318	65 990	44 26	85 11	LT	0 00	40 85	44 26	0 00	0 00
LT - TERM TOTAL		7 93						274 12	523 41	LT	0 00	249 29	274 12	0 00	0 00
SECTION TOTAL		2,496 58						45,723 60	74 697 01		28,724 12	249 29	45,723 60	0 00	0 00

EQUITIES - NONCOVERED

Purchase Date	Close Date	No. of Shares	Orig Face	Symbol	Description	Unit Cost	Sale Price	Total Cost	Net Amount	Ind	ST Gain/Loss	LT Gain/Loss	Book Cost	Disc Inc	Curr Gain/Loss
11/18/1998	08/07/2013	25 00	0 00	GILT	***GILAT SATELLITE NETWORKS LTD	1 016 222	5 320	25 405 55	132 99	LT	0 00	-25,272 56	25,405 55	0 00	0 00
09/16/2008	08/07/2013	0 07	0 00	AOL	AOL INC	23 184	38 010	1 66	2 72	LT	0 00	1 06	1 66	0 00	0 00
03/16/2007	08/07/2013	0 04	0 00	AOL	AOL INC	33 025	38 010	1 43	1 65	LT	0 00	0 22	1 43	0 00	0 00
12/18/2007	08/07/2013	0 06	0 00	AOL	AOL INC	27 939	38 010	1 64	2 23	LT	0 00	0 59	1 64	0 00	0 00
09/16/2005	08/07/2013	0 04	0 00	AOL	AOL INC	31 683	38 010	1 28	1 54	LT	0 00	0 26	1 28	0 00	0 00
09/18/2007	08/07/2013	0 05	0 00	AOL	AOL INC	30 885	38 010	1 64	2 02	LT	0 00	0 38	1 64	0 00	0 00
02/02/1902	08/07/2013	14 90	0 00	AOL	AOL INC	0 010	38 010	0 01	566 21	LT	0 00	566 20	0 01	0 00	0 00
06/18/2007	08/07/2013	0 04	0 00	AOL	AOL INC	35 556	38 010	1 44	1 54	LT	0 00	0 10	1 44	0 00	0 00
06/16/2006	08/07/2013	0 04	0 00	AOL	AOL INC	28 795	38 010	1 29	1 70	LT	0 00	0 41	1 29	0 00	0 00
09/18/2006	08/07/2013	0 05	0 00	AOL	AOL INC	29 006	38 010	1 43	1 87	LT	0 00	0 44	1 43	0 00	0 00
03/16/2006	08/07/2013	0 04	0 00	AOL	AOL INC	29 054	38 010	1 29	1 69	LT	0 00	0 40	1 29	0 00	0 00
03/18/2008	08/07/2013	0 07	0 00	AOL	AOL INC	23 844	38 010	1 65	2 63	LT	0 00	0 98	1 65	0 00	0 00
12/16/2008	08/07/2013	0 10	0 00	AOL	AOL INC	16 954	38 010	1 67	3 74	LT	0 00	2 07	1 67	0 00	0 00
03/17/2009	08/07/2013	0 12	0 00	AOL	AOL INC	13 748	38 010	1 68	4 64	LT	0 00	2 96	1 68	0 00	0 00

									Cost						
12/18/2006	08/07/2013	0 04	0 00	AOL	AOL INC	36 573	38 010	1 43	1 49	LT	0 00	0 06	1 43	0 00	0 00
06/16/2009	08/07/2013	0 12	0 00	AOL	AOL INC	19 100	38 010	2 25	4 48	LT	0 00	2 23	2 25	0 00	0 00
09/16/2009	08/07/2013	0 10	0 00	AOL	AOL INC	21 954	38 010	2 27	3 93	LT	0 00	1 66	2 27	0 00	0 00
12/16/2005	08/07/2013	0 04	0 00	AOL	AOL INC	30 496	38 010	1 29	1 61	LT	0 00	0 32	1 29	0 00	0 00
06/17/2008	08/07/2013	0 06	0 00	AOL	AOL INC	25 776	38 010	1 66	2 45	LT	0 00	0 79	1 66	0 00	0 00
12/16/2008	08/07/2013	0 27	0 00	TWC	TIME WARNER CABLE INC	29 717	113 950	8 08	30 98	LT	0 00	22 90	8 08	0 00	0 00
09/18/2007	08/07/2013	0 15	0 00	TWC	TIME WARNER CABLE INC	54 025	113 950	7 92	16 70	LT	0 00	8 78	7 92	0 00	0 00
03/18/2008	08/07/2013	0 19	0 00	TWC	TIME WARNER CABLE INC	41 802	113 950	7 98	21 75	LT	0 00	13 77	7 98	0 00	0 00
06/17/2008	08/07/2013	0 18	0 00	TWC	TIME WARNER CABLE INC	45 025	113 950	8 01	20 27	LT	0 00	12 26	8 01	0 00	0 00
03/16/2006	08/07/2013	0 12	0 00	TWC	TIME WARNER CABLE INC	50 857	113 950	6 23	13 96	LT	0 00	7 73	6 23	0 00	0 00
06/16/2006	08/07/2013	0 12	0 00	TWC	TIME WARNER CABLE INC	50 566	113 950	6 25	14 08	LT	0 00	7 83	6 25	0 00	0 00
03/17/2009	08/07/2013	0 34	0 00	TWC	TIME WARNER CABLE INC	24 103	113 950	8 13	38 43	LT	0 00	30 30	8 13	0 00	0 00
12/16/2005	08/07/2013	0 12	0 00	TWC	TIME WARNER CABLE INC	53 122	113 950	6 21	13 32	LT	0 00	7 11	6 21	0 00	0 00
02/02/1902	08/07/2013	41 57	0 00	TWC	TIME WARNER CABLE INC	0 010	113 950	0 01	4,736 30	LT	0 00	4 736 29	0 01	0 00	0 00
09/18/2006	08/07/2013	0 14	0 00	TWC	TIME WARNER CABLE INC	50 625	113 950	6 89	15 51	LT	0 00	8 62	6 89	0 00	0 00
12/18/2007	08/07/2013	0 16	0 00	TWC	TIME WARNER CABLE INC	49 044	113 950	7 95	18 47	LT	0 00	10 52	7 95	0 00	0 00
09/16/2005	08/07/2013	0 11	0 00	TWC	TIME WARNER CABLE INC	55 506	113 950	6 20	12 73	LT	0 00	6 53	6 20	0 00	0 00
12/18/2006	08/07/2013	0 11	0 00	TWC	TIME WARNER CABLE INC	63 981	113 950	6 91	12 31	LT	0 00	5 40	6 91	0 00	0 00
03/16/2007	08/07/2013	0 12	0 00	TWC	TIME WARNER CABLE INC	57 943	113 950	6 93	13 63	LT	0 00	6 70	6 93	0 00	0 00
06/18/2007	08/07/2013	0 11	0 00	TWC	TIME WARNER CABLE INC	62 109	113 950	6 95	12 75	LT	0 00	5 80	6 95	0 00	0 00
09/16/2008	08/07/2013	0 20	0 00	TWC	TIME WARNER CABLE INC	40 739	113 950	8 05	22 52	LT	0 00	14 47	8 05	0 00	0 00
03/16/2010	08/07/2013	1 24	0 00	TWX	TIME WARNER INC NEW	30 667	65 990	38 15	82 09	LT	0 00	43 94	38 15	0 00	0 00
06/16/2010	08/07/2013	1 17	0 00	TWX	TIME WARNER INC NEW	32 849	65 990	38 41	77 16	LT	0 00	38 75	38 41	0 00	0 00
09/16/2010	08/07/2013	1 24	0 00	TWX	TIME WARNER INC NEW	31 299	65 990	38 66	81 51	LT	0 00	42 85	38 66	0 00	0 00
12/16/2010	08/07/2013	1 25	0 00	TWX	TIME WARNER INC NEW	31 236	65 990	38 92	82 22	LT	0 00	43 30	38 92	0 00	0 00
06/16/2006	08/07/2013	0 49	0 00	TWX	TIME WARNER INC NEW	35 893	65 990	17 67	32 49	LT	0 00	14 82	17 67	0 00	0 00

									Cost			L/T Loss			
03/16/2006	08/07/2013	0 49	0 00	TWX	TIME WARNER INC NEW	36 107	65 990	17 62	32 20	LT	0 00	14 58	17 62	0 00	0 00
06/17/2008	08/07/2013	0 71	0 00	TWX	TIME WARNER INC NEW	31 979	65 990	22 66	46 76	LT	0 00	24 10	22 66	0 00	0 00
12/16/2005	08/07/2013	0 47	0 00	TWX	TIME WARNER INC NEW	37 720	65 990	17 57	30 74	LT	0 00	13 17	17 57	0 00	0 00
09/16/2005	08/07/2013	0 44	0 00	TWX	TIME WARNER INC NEW	39 380	65 990	17 52	29 36	LT	0 00	11 84	17 52	0 00	0 00
02/02/1902	08/07/2013	166 30	0 00	TWX	TIME WARNER INC NEW	0 010	65 990	0 01	10 974 01	LT	0 00	10,974 00	0 01	0 00	0 00
09/16/2008	08/07/2013	0 79	0 00	TWX	TIME WARNER INC NEW	28 913	65 990	22 76	51 95	LT	0 00	29 19	22 76	0 00	0 00
09/18/2007	08/07/2013	0 58	0 00	TWX	TIME WARNER INC NEW	38 350	65 990	22 40	38 54	LT	0 00	16 14	22 40	0 00	0 00
06/18/2007	08/07/2013	0 45	0 00	TWX	TIME WARNER INC NEW	44 091	65 990	19 66	29 42	LT	0 00	9 76	19 66	0 00	0 00
03/16/2007	08/07/2013	0 48	0 00	TWX	TIME WARNER INC NEW	41 172	65 990	19 61	31 43	LT	0 00	11 82	19 61	0 00	0 00
12/16/2008	08/07/2013	1 08	0 00	TWX	TIME WARNER INC NEW	21 106	65 990	22 86	71 47	LT	0 00	48 61	22 86	0 00	0 00
03/17/2009	08/07/2013	1 34	0 00	TWX	TIME WARNER INC NEW	17 121	65 990	23 01	88 69	LT	0 00	65 68	23 01	0 00	0 00
12/18/2007	08/07/2013	0 65	0 00	TWX	TIME WARNER INC NEW	34 804	65 990	22 48	42 62	LT	0 00	20 14	22 48	0 00	0 00
12/18/2006	08/07/2013	0 43	0 00	TWX	TIME WARNER INC NEW	45 467	65 990	19 56	28 39	LT	0 00	8 83	19 56	0 00	0 00
09/18/2006	08/07/2013	0 54	0 00	TWX	TIME WARNER INC NEW	35 953	65 990	19 49	35 77	LT	0 00	16 28	19 49	0 00	0 00
06/16/2009	08/07/2013	1 30	0 00	TWX	TIME WARNER INC NEW	23 734	65 990	30 75	85 50	LT	0 00	54 75	30 75	0 00	0 00
09/16/2009	08/07/2013	1 14	0 00	TWX	TIME WARNER INC NEW	27 241	65 990	30 97	75 02	LT	0 00	44 05	30 97	0 00	0 00
03/18/2008	08/07/2013	0 76	0 00	TWX	TIME WARNER INC NEW	29 657	65 990	22 56	50 20	LT	0 00	27 64	22 56	0 00	0 00
12/10/2009	08/07/2013	1 10	0 00	TWX	TIME WARNER INC NEW	30 554	65 990	33 46	72 26	LT	0 00	38 80	33 46	0 00	0 00
LT - TERM TOTAL		269 42						26,098 02	17,924 64	LT	0 00	-8,173 38	26,098 02	0 00	0 00
SECTION TOTAL		269 42						26,098 02	17 924 64		0 00	-8,173 38	26,098 02	0 00	0 00

summary:		cost	proceeds	gain/(loss)
	ST:	45,449.48	74,173.60	28,724.12
	LT:	26,372.14	18,448.05	- 7,924.09

Form **8868**
(Rev January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**
▶ **Information about Form 8868 and its instructions is at** *www irs gov/form8868* .

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits.*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions. ASTON PLASTIC SURGERY FOUNDATION	Employer identification number (EIN) or 13-3081813
	Number, street, and room or suite no. If a P O. box, see instructions C/O CBIZ MHM LLC, 111 WEST 40TH ST	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions. NEW YORK, NY 10018	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ TAXPAYER C/O CBIZ MHM LLC, 111 WEST 40TH ST - NEW YORK, NY 10018
  Telephone No ▶ 212-790-5700 Fax No. ▶ 212-398-0267
- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box ▶ ☐ If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until FEBRUARY 15, 2015 , to file the exempt organization return for the organization named above The extension is for the organization's return for
▶ ☐ calendar year ______ or
▶ ☒ tax year beginning JUL 1, 2013 , and ending JUN 30, 2014 .

2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	$ 0.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	3b	$ 83.
c	**Balance due.** Subtract line 3b from line 3a Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	3c	$ 0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

LHA **For Privacy Act and Paperwork Reduction Act Notice, see instructions.** Form **8868** (Rev 1-2014)
323841
12-31-13

Form **8868**
(Rev January 2014)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return**

▶ **Information about Form 8868 and its instructions is at** *www irs gov/form8868*

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3 month automatic extension of time to file (6 months for a corporation required to file Form 990 T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit *www irs gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

		Enter filer's identifying number
Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions ASTON PLASTIC SURGERY FOUNDATION	Employer identification number (EIN) or 13-3081813
	Number, street, and room or suite no If a P O box, see instructions C/O CBIZ MHM LLC, 111 WEST 40TH ST	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions NEW YORK, NY 10018	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

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