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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

For calendar year 2013, or tax year beginning Jul 1, 2013, and ending Jun 30, 2014

Name of foundation The Commonwealth Fund		A Employer identification number 13-1635260
Number and street (or P O box number if mail is not delivered to street address) One East 75th Street		B Telephone number (see the instructions) (212) 606-3858
City or town, state or province, country, and ZIP or foreign postal code New York NY 10021		C If exemption application is pending, check here. <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial Return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 762,520,635.	J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (att sch)				
	2 Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments.				
	4 Dividends and interest from securities	4,661,485.	4,661,485.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	27,912,520.	L-6a Stmt		
	b Gross sales price for all assets on line 6a 133,079,599.				
	7 Capital gain net income (from Part IV, line 2)		27,912,520.		
	8 Net short-term capital gain				
	9 Income modifications.				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
Other	20,922.	23,094.			
12 Total. Add lines 1 through 11.	32,594,927.	32,597,099.			
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc.	1,081,737.	155,109.		
	14 Other employee salaries and wages	5,611,244.			
	15 Pension plans, employee benefits	3,122,707.	55,958.		
	16a Legal fees (attach schedule).	2,597.			
	b Accounting fees (attach sch).	28,000.			
	c Other prof fees (attach sch) L-16c Stmt.	5,001,428.	5,001,428.		
	17 Interest.				
	18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	1,857,546.			
	19 Depreciation (attach sch) and depletion	324,126.			
	20 Occupancy.				
	21 Travel, conferences, and meetings	747,724.	64,700.		
	22 Printing and publications	90,873.	25,800.		
	23 Other expenses (attach schedule)				
	Other expenses	1,413,426.	328,771.		12,043,125.
	24 Total operating and administrative expenses. Add lines 13 through 23	19,281,408.	5,631,766.		12,043,125.
25 Contributions, gifts, grants paid	18,985,127.			20,396,649.	
26 Total expenses and disbursements. Add lines 24 and 25	38,266,535.	5,631,766.		32,439,774.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-5,671,608.				
b Net Investment Income (if negative, enter -0-).		26,965,333.			
c Adjusted net income (if negative, enter -0-).					

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Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
ASSETS	1 Cash — non-interest-bearing	1,443,033.	1,436,048.	1,436,048.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	167,230.	285,958.	285,958.
	10a Investments — U.S. and state government obligations (attach schedule) L-10a. Stmt . .	58,212,143.	44,950,179.	44,950,179.
	b Investments — corporate stock (attach schedule) . L-10b. Stmt . .	613,988,397.	688,072,842.	688,072,842.
	c Investments — corporate bonds (attach schedule) . L-10c. Stmt . .	23,416,300.	22,323,892.	22,323,892.
LIABILITIES	11 Investments — land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)			
	14 Land, buildings, and equipment: basis 7,535,395.			
	Less accumulated depreciation (attach schedule) . . . L-14. Stmt . . ▶	4,645,669.	4,419,349.	4,419,349.
	15 Other assets (describe . . . L-15 Stmt)	331,846.	1,032,367.	1,032,367.
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I).	702,204,618.	762,520,635.	762,520,635.
	17 Accounts payable and accrued expenses	1,321,764.	1,070,840.	
	18 Grants payable	20,722,315.	19,350,859.	
NET FUND ASSETS	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe . . . L-22 Stmt)	7,333,724.	8,002,307.	
	23 Total liabilities (add lines 17 through 22)	29,377,803.	28,424,006.	
	Foundations that follow SFAS 117, check here X			
	and complete lines 24 through 26 and lines 30 and 31.			
NET FUND ASSETS	24 Unrestricted	672,826,815.	734,096,629.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	672,826,815.	734,096,629.	
	31 Total liabilities and net assets/fund balances (see instructions)	702,204,618.	762,520,635.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	672,826,815.
2	Enter amount from Part I, line 27a	2	-5,671,608.
3	Other increases not included in line 2 (itemize) Change in unrealized appreciation	3	66,941,422.
4	Add lines 1, 2, and 3	4	734,096,629.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	734,096,629.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(j) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss).

— If gain, also enter in Part I, line 7
If (loss), enter -0- in Part I, line 7

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)

If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0-
in Part I, line 8

3

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	31,674,944.	661,447,163.	0.047887
2011	31,338,042.	644,737,814.	0.048606
2010	36,964,939.	653,906,242.	0.056529
2009	32,509,627.	600,084,655.	0.054175
2008	36,055,491.	572,343,579.	0.062996

2 Total of line 1, column (d) 2 0.270193

3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years 3 0.054039

4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. 4 719,066,483.

5 Multiply line 4 by line 3 5 38,857,634.

6 Enter 1% of net investment income (1% of Part I, line 27b) 6 269,653.

7 Add lines 5 and 6. 7 39,127,287.

8 Enter qualifying distributions from Part XII, line 4 8 32,537,578.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary — see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	539,307.
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	539,307.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	539,307.
6 Credits/Payments			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	2,189,406.	
b Exempt foreign organizations — tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d	7	2,189,406.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,650,099.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 1,650,099. Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ (2) On foundation managers <input type="checkbox"/> \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by <i>General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV.	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) See States Registered In		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12	X	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <u>www.commonwealthfund.org</u>				
14	The books are in care of <u>Dr Jeffrey Haber, CPA, Controller</u> Telephone no. <u>(212) 606-3858</u> Located at <u>1 East 75th Street, New York, NY</u> ZIP + 4 <u>10021</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X	
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country <u>UK</u>				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1 b	X
Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3 b	
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☒ Yes ☐ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☒ Yes ☐ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5 b X

Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☒ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b X

If 'Yes' to 6b, file Form 8870.

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

7 b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See attachment				
		1,081,737.	137,000.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Anthony Shih 1 East 75th St, New York NY 10021	EVP for Programs 50.00	281,357.	56,271.	0.
Cathy Schoen 1 East 75th St, New York NY 10021	SVP for Res & Eval 50.00	257,094.	51,419.	0.
Barry Scholl 1 East 75th St, New York NY 10021	VP - Communications 50.00	310,500.	62,100.	0.
Anne-Marie Audet, MD 1 East 75th St, New York NY 10021	VP, Health System Qual 50.00	264,807.	52,961.	0.
Diana Davenport 1 East 75th St, New York NY 10021	VP, Administration 50.00	252,449.	50,490.	0.

Total number of other employees paid over \$50,000 35

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Investure LLC 126 Garrett Street Charlottesville VA 22902	Investment Mgmt	3,526,316.
ABRY 111 Huntington Avenue, 30th Floor Boston MA 20199	Investment Mgmt	334,084.
Convexity Capital Management 200 Clarendon Street Boston MA 02116	Investment Mgmt	287,458.
Blackstone Group 345 Park Avenue New York NY 10154	Investment Mgmt	222,996.
BNY Mellon 135 Santilli Highway Everett MA 02149	Custody	173,321.
Total number of others receiving over \$50,000 for professional services		5

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 Health Care Coverage and Access see attached program descriptions	1,677,817.
2 Communications see attached program descriptions	1,585,664.
3 Health Care Delivery System Reform see attached program descriptions	891,548.
4 International Health Policy and Innovation see attached program descriptions	818,246.

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions.	
3	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1 a	722,736,518.
b	Average of monthly cash balances	1 b	1,567,049.
c	Fair market value of all other assets (see instructions)	1 c	5,713,167.
d	Total (add lines 1a, b, and c)	1 d	730,016,734.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1 e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	730,016,734.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	10,950,251.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	719,066,483.
6	Minimum investment return. Enter 5% of line 5	6	35,953,324.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	35,953,324.
2 a	Tax on investment income for 2013 from Part VI, line 5	2 a	539,307.
b	Income tax for 2013 (This does not include the tax from Part VI.)	2 b	
c	Add lines 2a and 2b	2 c	539,307.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	35,414,017.
4	Recoveries of amounts treated as qualifying distributions	4	12,916.
5	Add lines 3 and 4	5	35,426,933.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	35,426,933.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	32,439,774.
b	Program-related investments — total from Part IX-B	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	97,804.
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	32,537,578.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	32,537,578.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				35,426,933.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			1,283,673.	
b Total for prior years 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2013				
a From 2008 0.				
b From 2009 0.				
c From 2010 0.				
d From 2011 0.				
e From 2012 0.				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 32,537,578.				
a Applied to 2012, but not more than line 2a			1,283,673.	
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2013 distributable amount				31,253,905.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				4,173,028.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009 0.				
b Excess from 2010 0.				
c Excess from 2011 0.				
d Excess from 2012 0.				
e Excess from 2013 0.				

BAA

Form 990-PF (2013)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test — enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2))

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

Andrea Landes
The Commonwealth Fund 1 East 75th Street
New York NY 10021 (212) 606-3844

b The form in which applications should be submitted and information and materials they should include.

See attachment

c Any submission deadlines:

See attachment

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

See attachment

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See attached schedule of grants payments				20,396,649.
Total			3 a	20,396,649.
b Approved for future payment See attached schedule of grants balances				19,350,859.
Total			3 b	19,350,859.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies . .					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	4,661,485.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory . . .	531390	-59,439.	18	27,912,520.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory . . .					
11 Other revenue					
a Miscellaneous			15	23,094.	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		-59,439.		32,597,099.	
13 Total. Add line 12, columns (b), (d), and (e)					32,537,660.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

**Form 990-PF
Part I, Line 6a**

Net Gain or Loss From Sale of Assets

2013

Name The Commonwealth Fund	Employer Identification Number 13-1635260
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Asset Information:

Description of Property: <u>Investments</u>	
Date Acquired:	How Acquired
Date Sold	Name of Buyer
Sales Price <u>133,079,599.</u>	Cost or other basis (do not reduce by depreciation)
Sales Expense <u>105,167,079.</u>	Valuation Method:
Total Gain (Loss). <u>27,912,520.</u>	Accumulation Depreciation:
Description of Property:	
Date Acquired:	How Acquired
Date Sold	Name of Buyer
Sales Price:	Cost or other basis (do not reduce by depreciation)
Sales Expense	Valuation Method:
Total Gain (Loss).	Accumulation Depreciation:
Description of Property:	
Date Acquired	How Acquired
Date Sold	Name of Buyer.
Sales Price	Cost or other basis (do not reduce by depreciation)
Sales Expense	Valuation Method:
Total Gain (Loss)	Accumulation Depreciation:
Description of Property:	
Date Acquired:	How Acquired
Date Sold	Name of Buyer
Sales Price'	Cost or other basis (do not reduce by depreciation)
Sales Expense:	Valuation Method:
Total Gain (Loss):	Accumulation Depreciation:
Description of Property:	
Date Acquired:	How Acquired.
Date Sold	Name of Buyer:
Sales Price	Cost or other basis (do not reduce by depreciation)
Sales Expense:	Valuation Method:
Total Gain (Loss)	Accumulation Depreciation
Description of Property:	
Date Acquired.	How Acquired:
Date Sold	Name of Buyer.
Sales Price	Cost or other basis (do not reduce by depreciation)
Sales Expense:	Valuation Method:
Total Gain (Loss)	Accumulation Depreciation:
Description of Property:	
Date Acquired.	How Acquired
Date Sold	Name of Buyer:
Sales Price	Cost or other basis (do not reduce by depreciation)
Sales Expense	Valuation Method
Total Gain (Loss).	Accumulation Depreciation
Description of Property:	
Date Acquired.	How Acquired:
Date Sold:	Name of Buyer
Sales Price	Cost or other basis (do not reduce by depreciation)
Sales Expense	Valuation Method:
Total Gain (Loss):	Accumulation Depreciation:

Form 990-PF, Page 1, Part I, Line 18

Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
Excise tax	1,855,966.			
State registration	1,580.			
Total	<u>1,857,546.</u>			

Form 990-PF, Page 4, Part VII-A, Line 8a

States Registered In

NY - New York

DC - District of Columbia

Form 990-PF, Page 1, Part I

Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investure	Investment Mgmt	3,526,316.			
BNY Mellon	Custodial	173,321.			
ABRY	Investment Mgmt	334,084.			
Blackstone	Investment Mgmt	222,996.			
Carlyle	Investment Mgmt	11,056.			
Columbia	Investment Mgmt	45,175.			
Convexity	Investment Mgmt	287,458.			
EnCap	Investment Mgmt	20,303.			
EnerVest	Investment Mgmt	77,106.			
Lindsay Goldberg	Investment Mgmt	118,897.			
Shorenstein	Investment Mgmt	58,865.			
ShoreView	Investment Mgmt	57,755.			
JPMorgan Chase	Line of Credit	63,315.			
Commercial Banks	Service Charges	4,781.			
Total		<u>5,001,428.</u>			

Form 990-PF, Page 2, Part II, Line 10a

L-10a Stmt

Line 10a - Investments - US and State Government Obligations:	End of Year		End of Year	
	State and Local Obligations Book Value	State and Local Obligations FMV	US Government Obligations Book Value	US Government Obligations FMV
Investure Fixed Income			28,652,050.	28,652,050.
BNYM Stif			16,298,129.	16,298,129.
Total			<u>44,950,179.</u>	<u>44,950,179.</u>

Form 990-PF, Page 2, Part II, Line 10b

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
Investure Emerging Markets Fund	52,490,709.	52,490,709.
Investure Global Equity - All Series	246,610,253.	246,610,253.
Investure Global Equity - Marketable Series	11,204,084.	11,204,084.
ABRY Advanced Securities	380,012.	380,012.
ABRY Advanced Securities II	3,286,599.	3,286,599.
Farallon	4,538,084.	4,538,084.
Varde	1,623,429.	1,623,429.
Astoria	3,652,476.	3,652,476.
Investure Alternatives Fund	174,010,582.	174,010,582.
Investure Evergreen Fund - Permanent	26,872,796.	26,872,796.
Investure Evergreen Fund - 2013	6,757,474.	6,757,474.
Investure Evergreen Fund - 2014	3,884,870.	3,884,870.
Blackstone Distressed Securities	76,179.	76,179.
ABRY Senior Equity III	4,055,500.	4,055,500.
ABRY Partners VI	3,003,659.	3,003,659.
ABRY VII	4,704,719.	4,704,719.
Blackstone Capital Partners V	4,773,611.	4,773,611.
Carlyle Partners	1,451,045.	1,451,045.
Lindsay Goldberg	469,815.	469,815.
Lindsay Goldberg II	2,790,112.	2,790,112.
Lindsay Goldberg Bessemer III	3,582,259.	3,582,259.
Permit Capital	3,927,437.	3,927,437.
Proquest Investments	506,001.	506,001.
Shoreview Capital	951,190.	951,190.
Shoreview Capital II	5,012,093.	5,012,093.
Silver Lake Partners II	2,125,315.	2,125,315.
Silver Lake Partners III	3,490,134.	3,490,134.
TA IX	183,860.	183,860.
TA X	2,735,167.	2,735,167.
TA XI	3,549,673.	3,549,673.
Abingworth Bioventures IV	2,178,463.	2,178,463.
Abingworth Bioventures V	1,921,539.	1,921,539.
Columbia Capital Equity IV	5,856,853.	5,856,853.
Columbia Capital Equity V	6,646,594.	6,646,594.
Dover Street V	694,202.	694,202.
Dover Street VI	2,946,729.	2,946,729.
Dover Street VII	4,130,964.	4,130,964.
Granite Ventures	5,045,861.	5,045,861.
Sofinnova Ventures	1,380,628.	1,380,628.
TIFF Partners II	122,431.	122,431.
Polaris Ventures V	4,514,474.	4,514,474.
Polaris Ventures VI	2,107,798.	2,107,798.
Rho Ventures V	2,352,378.	2,352,378.
Rho Ventures VI	4,621,157.	4,621,157.
EnCap Energy V	216,769.	216,769.
EnCap Energy VI	756,090.	756,090.
EnCap Energy VII	1,548,397.	1,548,397.
EnCap Energy VIII	4,788,653.	4,788,653.
EverVest X	2,311,966.	2,311,966.
EnerVest XI	6,256,462.	6,256,462.
EnerVest XII	2,198,347.	2,198,347.

Form 990-PF, Page 2, Part II, Line 10b
L-10b Stmt

Continued

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
Resource Capital Fund	2,533,808.	2,533,808.
RMS Growth	6,593,032.	6,593,032.
Blackstone Real Estate Europe	5,172,112.	5,172,112.
Blackstone Real Estate Advisor Intl II	4,755,616.	4,755,616.
Blackstone Real Estate V	5,419,407.	5,419,407.
Blackstone Real Estate VI	7,535,270.	7,535,270.
Lubert Adler VI	790,435.	790,435.
Luber Adler V	600,234.	600,234.
Madison International III	1,852,412.	1,852,412.
Madison International IV	4,344,572.	4,344,572.
Shorenstein 9	3,021,932.	3,021,932.
Xylos Series B and D Preferred Restricted	158,120.	158,120.
Total	688,072,842.	688,072,842.

Form 990-PF, Page 2, Part II, Line 10c
L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year	
	Book Value	Fair Market Value
Convexity - Class A	22,323,892.	22,323,892.
Total	22,323,892.	22,323,892.

Form 990-PF, Page 2, Part II, Line 14
L-14 Stmt

Line 14b - Description of Land, Buildings, and Equipment	(a) Cost/Other Basis	(b) Accumulated Depreciation	(c) Book Value
Building	275,000.	0.	275,000.
Furniture, Fixtures, Equipment	7,260,395.	3,116,046.	4,144,349.
Total	7,535,395.	3,116,046.	4,419,349.

Form 990-PF, Page 2, Part II, Line 15
Other Assets Stmt

Line 15 - Other Assets:	Beginning Year Book Value	End of Year	
		Book Value	Fair Market Value
Receivable from security sales	7,136.	4,284.	4,284.
Taxes refundable	186,946.	850,726.	850,726.
Miscellaneous holdings	137,764.	0.	0.

Form 990-PF, Page 2, Part II, Line 15

Continued

Other Assets Stmt

Line 15 - Other Assets:	Beginning Year Book Value	End of Year	
		Book Value	Fair Market Value
Interest and dividends receivable	0.	177,357.	177,357.
Total	331,846.	1,032,367.	1,032,367.

Form 990-PF, Page 2, Part II, Line 22

Other Liab Stmt

Line 22 - Other Liabilities:	Beginning Year Book Value	Ending Year Book Value
Deferred taxes	1,504,917.	2,825,123.
Post retirement health care liab	5,009,509.	5,217,250.
Incentive fee payable	819,298.	0.
Discount on grants payable	0.	-40,066.
Total	7,333,724.	8,002,307.

Supporting Statement of:

Form 990-PF, pl/Line 23(a)-1

Description	Amount
Temporary help and recruiting	233,959.
Building operating	487,134.
Consultants	266,292.
Machine maintenance	89,390.
Computer equipment and supplies	40,870.
Telephone, fax, internet, email	72,107.
Office supplies	19,748.
Postage, messenger, FedEx	22,896.
Memberships	19,964.
Food supplies	111,470.
Insurance	44,664.
Media and online	4,932.
Total	<u>1,413,426.</u>

Supporting Statement of:

Form 990-PF, pl/Line 23(b)-1

Description	Amount
Recruiting	214,409.
Memberships	6,458.
Office and related	30,096.
Professional services	66,240.
Board retreat	1,985.
Retirement and deferred comp	9,583.
Total	<u>328,771.</u>

The Commonwealth Fund
 13-1635260
 Depreciation Expense
 Fiscal Year End 6/30/14

Part I, Line 19, Column (a)

Year			Furniture &	Computer
<u>Acquired</u>	<u>Total</u>	<u>Buildings</u>	<u>Fixtures</u>	<u>Equipment</u>
1991 - 1992	7,023	7,023	0	0
1992 - 1993	2,322	428	1,894	0
1993 - 1994	1,359	1,029	330	0
1994 - 1995	651	339	313	0
1995 - 1996	2,064	1,287	776	0
1996 - 1997	0	0	0	0
1997 - 1998	7,255	5,541	1,713	0
1998 - 1999	7,139	2,864	4,274	0
1999 - 2000	8,686	8,530	156	0
2000 - 2001	25,572	23,172	2,400	0
2001 - 2002	17,051	16,539	512	0
2002 - 2003	23,873	23,873	0	0
2003 - 2004	4,016	4,016	0	0
2004 - 2005	7,485	7,485	0	0
2005 - 2006	23,823	23,411	412	0
2006 - 2007	14,442	14,442	0	0
2007 - 2008	60,810	44,221	16,590	0
2008 - 2009	45,760	9,865	2,741	33,154
2009 - 2010	8,634	7,400	1,234	0
2010 - 2011	30,558	17,220	13,338	0
2011 - 2012	10,699	6,196	1,523	2,980
2012 - 2013	13,899	5,432	8,467	0
2013 - 2014	1,006	550	0	456
Total	324,126	230,862	56,673	36,590

The Commonwealth Fund

13-1635260

Other expenses

Fiscal Year End 6/30/14

Part I, Line 23, Column (d)

<u>Line</u>		<u>Program Expenses</u>	<u>Administrative Expenses</u>	<u>Total</u>
13	Compensation of officers, directors, trustees, etc.	919,091	176,899	1,095,990
14	Other employee salaries and wages	5,272,967	338,277	5,611,244
15	Pension plans, employee benefits	2,369,382	227,023	2,596,405
16a	Legal fees	0	2,597	2,597
16b	Accounting fees	0	28,000	28,000
16c	Other professional fees	2,412	0	2,412
17	Interest	0	0	0
18	Taxes	0	0	0
19	Depreciation and depletion	0	0	0
20	Occupancy	456,217	576,351	1,032,568
21	Travel, conferences, and meetings	553,277	64,179	617,456
22	Printing and publications	8,537	0	8,537
23	Other expenses	526,221	521,695	1,047,916
	Total other expenses	10,108,104	1,935,021	12,043,125

The Commonwealth Fund
Attachment to the Internal Revenue Service Form 990-PF
Part VII-B, Question 5C on page 6
Expenditure Responsibility Statement for the year ending June 30, 2014

Request Number	Organization Name	Organization Address	Award Date	Grant Amount	Project Title	Payment Amount	Payment Date	Any Diversion by Grantee	Dates of Reports by Grantee	Date and Results of Verification
20140699	Acumen, LLC	500 Airport Blvd, Suite 365, Burlingame, CA 94010	4/8/2014	\$220,084	Slowing Medicare Spending Analysis of Trends and Their Policy Implications	N/A	N/A	No	No payments were made during the fiscal year Final project report is due on 7/31/2015. Future payments will be made only when reporting requirements have been fulfilled	Not required
20141071	Bailit Health Purchasing, LLC	56 Pickering Street, Needham, MA 02492	6/28/2014	\$34,539	Aligning Provider Compensation Models with External Value-Based Payment Incentives. Current and Innovative Practice	N/A	N/A	No	No payments were made during the fiscal year Final project report is due on 12/15/2014. Future payments will be made only when reporting requirements have been fulfilled	Not required
20110258	Center for Studying Health System Change	1100 1st Street, NE, 12th Floor, Washington, DC 20002-4221	4/12/2011	\$110,440	The Affordability of Medical Care: Recent Trends at the National and State Level and the Potential Effects of Health Ref	\$17,440	8/2/2013	No	Final Financial Report: 1/23/13 Final Project Report: 7/18/13	Not required
20110327	Center for Studying Health System Change	1100 1st Street, NE, 12th Floor, Washington, DC 20002-4221	7/12/2011	\$233,455	Models of Access to Specialty Services for Medicaid Enrollees: Implications for Health Reform	\$48,336	9/3/2013	No	Final Financial Report: 3/12/13 Final Project Report: 7/30/13 Unexpended balance cancelled in November 2013	Not required
20110083	The Commonwealth Fund	1 East 75th Street, New York, NY 10021	11/9/2010	\$250,000	Edward Schor, M.D. 11/1/10-6/30/11 Seizing Opportunities to Facilitate State Health Care Reform	\$2,588	10/15/2013	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office	Not required

Request Number	Organization Name	Organization Address	Award Date	Grant Amount	Project Title	Payment Amount	Payment Date	Any Diversion by Grantee	Dates of Reports by Grantee	Date and Results of Verification
20110354	The Commonwealth Fund	1 East 75th Street New York, NY 10022	4/12/2011	\$100,000	Barry Scholl 5/1/11-4/30/12 Authorization to Support Additional Publishing Projects	-	N/A	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office. An unspent balance of \$2,039 was cancelled by the Fund during the fiscal year, bringing the balance to 0.	Not required
20120107	The Commonwealth Fund	1 East 75th Street New York, NY 10023	11/15/2011	\$75,000	Robin Osborn 12/1/11-12/31/12 Commonwealth Fund/Nufield Trust International Conference on Quality Improvement and Delivery System Reform 2012	\$0	N/A	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office. An unspent balance of \$10,916 was cancelled by the Fund during the fiscal year.	Not required
20120150	The Commonwealth Fund	1 East 75th Street New York, NY 10024	11/15/2011	\$149,848	Stuart Guterman 12/1/11-11/30/12 Commonwealth Fund Commission on a High Performance Health System Meetings	\$18,948	N/A	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office. An unspent balance of \$18,948 was cancelled during the fiscal year.	Not required
20120298	The Commonwealth Fund	1 East 75th Street New York, NY 10025	4/10/2012	\$100,000	Barry Scholl 5/1/12-4/30/13 Online Resources for Educating Key Audiences About Policy and Delivery System Reforms	\$13,200 \$250	6/23/2014 11/6/2013	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office.	Not required
20120302	The Commonwealth Fund	1 East 75th Street New York, NY 10026	4/10/2012	\$200,000	Barry Scholl 5/1/12-4/30/14 Educating Key Audiences About How the U.S. Health System is Reforming	\$14,000	multiple payment dates during fiscal year	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office.	Not required
20120454	The Commonwealth Fund	1 East 75th Street New York, NY 10027	7/10/2012	\$300,000	Sara Collins Ph.D. 8/1/12-12/31/13 Analysis and Modeling of Health Care Reform Implementation	\$0	N/A	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office. \$204,261 was transferred from this authorization to grants during the fiscal year.	Not required

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20120468	The Commonwealth Fund	1 East 75th Street New York, NY 10028	7/10/2012	\$130,000	Cathy Schoen 8/1/12 - 12/31/13 Authorization to Support Data Acquisition	\$58,302	multiple payment dates during fiscal year	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office	Not required
20120485	The Commonwealth Fund	1 East 75th Street New York, NY 10029	7/10/2012	\$1,124,794	Barry Scholl 7/1/12-6/30/13 Supporting the Fund's Communications and Publishing Capacity to Reach Change Agents and Inform Public Discourse	\$440,733	multiple payment dates during fiscal year	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office	Not required
20130087	The Commonwealth Fund	1 East 75th Street New York, NY 10030	11/13/2012	\$75,000	Robin Osborn, M B A 12/1/12 - 12/31/13 Commonwealth Fund/Nuffield Trust International Conference on Quality Improvement and Delivery System Reform, 2013	\$54,518	multiple payment dates during fiscal year	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office	Not required
20130580	The Commonwealth Fund	1 East 75th Street New York, NY 10031	7/9/2013	\$1,296,290	Barry Scholl 7/1/13 - 6/30/14 Supporting the Fund's Communications and Publishing Capacity to Reach Change Agents and Inform Public Discourse	\$956,666	multiple payment dates during fiscal year	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office	Not required
20140118	The Commonwealth Fund	1 East 75th Street New York, NY 10032	11/12/2013	\$50,000	Robin Osborn 12/1/13 - 6/30/15 Commonwealth Fund/Nuffield Trust Commissioned Papers on Delivery System Reform, 2015	\$0	N/A	No	No payment or reporting activity during fiscal year	Not required
20140125	The Commonwealth Fund	1 East 75th Street New York, NY 10033	11/12/2013	\$500,000	Anne-Marie Audet, M D , M Sc 8/1/13 - 7/31/14 Breakthrough Health Care Opportunities Program Year 1 Strategic Implementation	\$0	N/A	No	\$202,765 was transferred from this authorization to related grants during the fiscal year	Not required
20140243	The Commonwealth Fund	1 East 75th Street New York, NY 10034	11/12/2013	\$104,102	Cathy Schoen 1/1/14 - 12/31/14 Authorization to Support Data Acquisition and Analytic Software	\$0	N/A	No	No payment or reporting activity during fiscal year	Not required

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20100292	The Commonwealth Fund	1 East 75th Street New York, NY 10035	4/13/2010	\$1,682,500	Robin Osborn 4/15/10-10/14/12 Harkness Fellowships in Health Care Policy and Practice, 2011-12	\$19,107	multiple payment dates during fiscal year	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office	Not required
20110290	The Commonwealth Fund	1 East 75th Street New York, NY 10036	4/12/2011	\$1,682,500	Robin Osborn 4/15/11-10/14/13 Harkness Fellowships in Health Care Policy and Practice, 2012-13	\$243,562	multiple payment dates during fiscal year	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office	Not required
20120237	The Commonwealth Fund	1 East 75th Street New York, NY 10037	4/10/2012	\$1,682,500	Robin Osborn 4/15/12-10/14/14 Harkness Fellowships in Health Care Policy and Practice, 2013-14	\$2,055,145	multiple payment dates during fiscal year	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office. Payments exceed grant amount, due to funds received from co-funding organizations	Not required
20130305	The Commonwealth Fund	1 East 75th Street New York, NY 10038	4/9/2013	\$1,682,793	Robin Osborn 4/15/13 - 10/14/15 Harkness Fellowships in Health Care Policy and Practice, 2014-15	\$232,803	multiple payment dates during fiscal year	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office	Not required
20140708	The Commonwealth Fund	1 East 75th Street New York, NY 10039	4/8/2014	\$1,800,625	Robin Osborn 4/1/14 - 10/14/16 Harkness Fellowships in Health Care Policy and Practice, 2015-16	\$39,246	multiple payment dates during fiscal year	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office	Not required
none	The Commonwealth Fund	1 East 75th Street New York, NY 10040	N/A	N/A	Harkness Fellowships in Health Care Policy and Practice - Reauthorization	\$38,380	multiple payment dates during fiscal year	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office	Not required
20110459	The Commonwealth Fund	1 East 75th Street New York, NY 10041	7/12/2011	\$365,000	Robin Osborn 8/1/11-12/31/12 International Symposium on Health Care Policy, Fall 2012	\$574		No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office	Not required

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20120466	The Commonwealth Fund	1 East 75th Street New York, NY 10042	7/10/2012	\$365,000	Robin Osborn 8/1/12-12/31/13 International Symposium on Health Care Policy, Fall 2013	\$283,180	multiple payment dates during fiscal year	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office	Not required
20130573	The Commonwealth Fund	1 East 75th Street New York, NY 10043	7/9/2013	\$365,000	Robin Osborn 8/1/13 - 12/31/14 International Symposium on Health Care Policy, Fall 2014	\$20,000	multiple payment dates during fiscal year	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office	Not required
none	The Commonwealth Fund	1 East 75th Street New York, NY 10044	N/A	N/A	International Symposium on Health Care Policy Issue Briefs Fund	\$0	N/A	No	No payment or reporting activity during fiscal year	Not required
20050579	The Commonwealth Fund	1 East 75th Street New York, NY 10045	4/17/2009	\$25,000	Robin Osborn 4/15/09-12/31/09 Innovations in Health Policy and Practice: An International Case Study Series	\$0	N/A	No	No payment or reporting activity during fiscal year	Not required
20130426	The Commonwealth Fund	1 East 75th Street New York, NY 10046	2/20/2013	\$38,000	Robin Osborn 5/1/13 - 10/31/13 Achieving a High Performing Health Care System: Shared Lessons for Canada and the United States	\$0	N/A	No	No payment or reporting activity during fiscal year	Not required
20140409	The Commonwealth Fund	1 East 75th Street New York, NY 10047	11/22/2013	\$34,350	Cathy Schoen 12/1/13 - 11/30/14 Cost Control Advisory Group	\$10,091	multiple payment dates during fiscal year	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office	Not required
20140458	The Commonwealth Fund	1 East 75th Street New York, NY 10048	11/22/2013	\$38,225	Melinda Abrams 1/1/14 - 12/31/14 Health Care Delivery System Reform Advisory Group	\$2,569	multiple payment dates during fiscal year	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office	Not required

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20140994	The Commonwealth Fund	1 East 75th Street New York, NY 10049	5/23/2014	\$14,050	Rachel Nuzum 6/1/14 - 8/31/14 Commonwealth Fund Strategy Session Engaging Federal and State Policymakers	\$1,928	multiple payment dates during fiscal year	No	Payments and balances for self-administered grants and authorizations are reviewed and reconciled quarterly by the Commonwealth Fund controller and grants management office	Not required
20141043	The Commonwealth Fund	1 East 75th Street New York, NY 10050	6/3/2014	\$50,000	Pamela Riley, Ed D 7/1/14 - 6/30/15 Mongan Commonwealth Fund Fellowship in Minority Health Policy Support for Identifying Second Year Practicum Opportunities, and Support for Augmenting Leadership Training	\$0	N/A	No	No payment or reporting activity during fiscal year	Not required
20110473	DMA Health Strategies	9 Meriam Street, Suite 4, Lexington, MA 02420	7/12/2011	\$76,865	Role and Financing of Enabling Services for Vulnerable Populations	\$16,865	3/31/2014	No	Final Financial Report 11/26/13 Final Project Report 3/11/14	Not required
20140742	Erasmus University Rotterdam	Burgemeester Oudlaan 50 3062 PA Rotterdam Netherlands	6/30/2014	\$41,000	Commonwealth Fund and European Health Insurance and Risk Adjustment Collaboration	N/A	N/A	No	No payments made during the fiscal year. Final project report due on 1/15/2015. Future payments will be made only when reporting requirements have been fulfilled	Not required
20110371	Fluxx Labs, Inc	301 Battery Street, 5th Floor/San Francisco, CA 94111	4/12/2011	\$25,000	Grants Database Implementation Support	N/A	N/A	NO	No payments were made during the fiscal year	Not required
20140057	The Governing Council of the University of Toronto	150 College Street, Fitzgerald Bldg, Toronto, Ontario M5S 1A8	2/19/2014	\$50,000	International Roundtable to Advance Physician Leadership on Overuse	\$40,000	3/31/2014	No	During the fiscal year, grantee submitted work products. Final project report due 9/15/15. Future payments will be made only when reporting requirements have been fulfilled	Not required
20110035	Health Management Associates, Inc	120 North Washington Square, Suite 705, Lansing, MI 48933	11/9/2010	\$308,759	Case Studies of Innovation and High Performance for WhyNotTheBest.org	\$61,745	12/9/2013	No	Final Financial Report 11/21/13 Final Project Report 11/21/13 Unexpended balance cancelled in April 2014	Not required

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20120061	Health Management Associates, Inc	120 North Washington Square, Suite 705, Lansing, MI 48933	11/15/2011	\$178,729	Medicaid Managed Care Innovations to Improve Care for Vulnerable Populations	\$35,716	7/22/2013	No	Final Financial Report 6/28/13 Final Project Report 6/28/13 Unexpended balance cancelled in November 2013	Not required
20120078	Health Management Associates, Inc	120 North Washington Square, Suite 705, Lansing, MI 48933	11/15/2011	\$179,491	Case Studies of State Innovations in Payment and Delivery System Reform	\$28,767	10/28/2013	No	Final Financial Report 10/16/13 Final Project Report 10/16/13 Unexpended balance cancelled in July 2014	Not required
20140325	Health Policy Alternatives, Inc	400 North Capitol Street, N.W., Suite 799, Washington, DC 20001-1536	10/4/2013	\$7,500	Funding Moderator for October 18th Convening of Groups with Comprehensive Cost Control Proposals	\$7,500	11/18/2013	No	Final Financial Report 11/11/13 Final Project Report 10/18/13	Not required
20130050	Health Vista LLC	P O Box 47141, Chicago, IL 47141-0141	8/27/2012	\$49,738	Quality Matters A Fund Bimonthly Newsletter about Innovators Making a Difference in Patient Care, Patient Outcomes, and Efficiency	\$6,906 \$835.98 \$7,406 \$4,473.68	7/8/2013 9/16/2013 9/30/2013 11/11/2013	No	Final Financial Report 11/4/13 Grantee satisfied reporting requirements during the fiscal year	Not required
20140410	Health Vista LLC	P O Box 47141, Chicago, IL 47141-0141	11/12/2013	\$49,738	Quality Matters A Fund Bimonthly Newsletter about Innovators Making a Difference in Patient Care, Patient Outcomes, and Efficiency	\$2,432.32 \$8,106 \$7,406 \$8,106 \$873.40	2/18/2014 2/18/2014 3/17/2014 5/27/2014 6/23/2014	No	During fiscal year, grantee submitted work products. Final project report due 12/31/14. Future payments will be made only when reporting requirements have been fulfilled	Not required
20140115	Issues Research, Inc	P O Box 220, Durango, CO 81302	11/12/2013	\$360,690	Research and Advisory Services in Support of Health System Performance Monitoring, Reform, and Innovation	\$88,500 \$88,500 \$88,500	1/6/2014 4/28/2014 6/23/2014	No	Final financial report due 1/31/15. Future payments will be made only when reporting requirements have been fulfilled	Not required

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20140755	Jonathan Gruber	83 Pleasant Street, Lexington, MA 02421	3/27/2014	\$25,000	Describing Pre-ACA Volatility in Insurance Market Prices	\$20,000 \$5,000	6/9/2014 6/9/2014	No	Grantee satisfied reporting requirements during the fiscal year	Not required
20140198	KNG Health Consulting	15245 Shady Grove Road, Suite 305, Rockville, MD 20850	10/24/2013	\$50,000	Translating Intentions into Action: Lessons from Non-Participants of the Medicare Bundled Payment for Care Improvement	\$40,000	12/9/2013	No	During the fiscal year, grantee submitted work products. Final project report and final financial report scheduled for next fiscal year. Future payments will be made only when reporting requirements have been fulfilled	Not required
20140969	KNG Health Consulting	15245 Shady Grove Road, Suite 305, Rockville, MD 20850	5/23/2014	\$48,938	Tracking ACA Provisions Related to Payment and Delivery System Reform	N/A	N/A	No	No payments were made during the fiscal year. Final project report due 5/31/15. Future payments will be made only when reporting requirements have been fulfilled	Not required
20120396	The King's Fund	11-13 Cavendish Square, London W1M 0AN	6/2/2012	\$49,995	International Case Studies of Integrated Care for Older People with Complex Needs	N/A	N/A	No	No payments made this fiscal year. Final financial report overdue. Future payments will be made only when reporting requirements have been fulfilled	Not required
20140980	L&M Policy Research	1743 Connecticut Ave NW, Suite 200, Washington D C 20009	5/23/2014	\$49,650	Tracking ACA Provisions Related to Primary Care and Medicaid/Medicare Delivery System Reform Provisions	N/A	N/A	No	No payments were made during the fiscal year. Final project report is due on 5/31/2015. Future payments will be made only when reporting requirements have been fulfilled	Not required
20120306	London School of Economics and Political Science	Houghton Street, London, ENGLAND WC2A 2AE	4/10/2012	\$164,890	International Data Tracking Project: Comparison of Health Care Costs in the U S with Those in Selected Countries	\$85,000 \$85,000 \$17,380	8/13/2013 6/9/2014 6/9/2014	No	Final Financial Report 3/19/14 Final Project Report 3/20/14	Not required
20120330	London School of Economics and Political Science	Houghton Street, London, ENGLAND WC2A 2AE	4/10/2012	\$199,540	International Lessons for the Financial Sustainability of Health Systems	\$34,118	5/12/2014	No	Final Financial Report 4/14/14 Final Project Report 4/14/14	Not required

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20120431	London School of Economics and Political Science	Houghton Street, London, ENGLAND WC2A 2AE	4/24/2012	\$50,000	Analysis of Medical Imaging Policies, Prices and Utilization in High-Income Countries	\$20,000	11/11/2013	No	Final Financial Report 4/14/14 Final Project Report 6/6/14	Not required
20130350	London School of Economics and Political Science	Houghton Street, London, ENGLAND WC2A 2AE	4/9/2013	\$199,650	Learning from the International Experience, Year 6	\$85,000	8/13/2013	No	Final Financial Report 6/25/14 Final Project Report 6/25/2014	Not required
20130645	London School of Economics and Political Science	Houghton Street, London, ENGLAND WC2A 2AE	10/4/2013	\$34,979	Best Practices for International Mental Health Care in Primary Care	\$20,000	12/16/2013	No	Final Financial Report 6/6/14 Final Project Report 5/30/14 Cancellation scheduled for November 2014	Not required
20140683	London School of Economics and Political Science	Houghton Street, London, ENGLAND WC2A 2AE	4/8/2014	\$173,800	International Data Tracking Project: Comparison of Health Care Costs in the U S with Those in Selected Countries, 2014	N/A	N/A	No	No payments were made during the fiscal year. Final project report is due on 6/30/2015. Future payments will be made only when reporting requirements have been fulfilled.	Not required
20140684	London School of Economics and Political Science	Houghton Street, London, ENGLAND WC2A 2AE	4/8/2014	\$378,080	International Working Group on Care for High-Need, High-Cost Patients, Year 7	N/A	N/A	No	No payments were made during the fiscal year. Future payments will be made only when reporting requirements have been fulfilled.	Not required
20130450	Manatt, Phelps & Phillips, LLP	7 Times Square, New York, NY 10036	4/29/2013	\$50,000	Achieving a High Performing Health System: Addressing the Social Determinants of Health	\$10,000	6/23/2014	No	Final Financial Report 12/24/13 Final Project Report 6/9/14	Not required
20130538	Manatt, Phelps & Phillips, LLP	7 Times Square, New York, NY 10036	7/9/2013	\$260,000	Examining the Implications of the 2014 Medicaid Expansion for Behavioral Health Services	\$104,000 \$104,000	8/13/2013 2/3/2014	No	Final Financial Report 5/29/14 Final Project Report 4/28/14	Not required
20140904	Manatt, Phelps & Phillips, LLP	7 Times Square, New York, NY 10036	4/18/2014	\$50,000	Arkansas Payment Reform: A Leading Laboratory for Health Transformation	\$40,000	5/12/2014	No	During the fiscal year, grantees submitted work products. Final project report and final financial report scheduled for next fiscal year. Future payments will be made only when reporting requirements have been fulfilled.	Not required

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20110470	Mathematica Policy Research, Inc	P O Box 2393, Princeton, NJ 08543-2393	7/12/2011	\$156,689	The Affordability of Medical Care Management of Chronic Conditions and the Potential Effects of Health Reform, Phase 2	\$26,689	3/31/2014	No	Final Financial Report 2/17/14 Final Project Report 3/20/14	Not required
20120487	Mathematica Policy Research, Inc	P O Box 2393, Princeton, NJ 08543-2393	6/2/2012	\$24,975	Variation in Efficiency Medicare Advantage Plans Relative to Medicare Fee For Service	\$4,975	12/9/2013	No	Final Financial Report 12/3/13 Final Project Report 11/20/13	Not required
20130070	Mathematica Policy Research, Inc	P O Box 2393, Princeton, NJ 08543-2393	11/13/2012	\$284,586	Understanding How Primary Care Teams in Medical Homes Develop and Function	\$113,850	9/3/2013	No	Final Financial Report 4/11/14 Final Project Report 4/30/14	Not required
20130078	Mathematica Policy Research, Inc	P O Box 2393, Princeton, NJ 08543-2393	11/22/2012	\$31,000	Addressing Provider Consolidation through Market Forces and Regulation	\$6,200	3/17/2014	No	Final Financial Report 1/23/14 Final Project Report 12/23/13	Not required
20141095	Mathematica Policy Research, Inc	P O Box 2393, Princeton, NJ 08543-2393	6/28/2014	\$49,835	What We Know About How Physicians Respond To Payment, Structure, and Incentives Implications for Predicting the Cost of the SGR Fix	N/A	N/A	No	No payments were made during the fiscal year Final project report is due on 12/31/2014 Future payments will be made only when reporting requirements have been fulfilled	Not required
20140706	Mathew Greenwald & Associates	4201 Connecticut Avenue NW, Suite 620, Washington, DC 20008	2/19/2014	\$12,150	Commonwealth Fund 2014 Audience and Grantee Survey	\$9,000	3/17/2014	No	Final Project Report is overdue Future payments will be made only when reporting requirements have been fulfilled	Not required
20150038	OptumInsight, Inc	11000 Optum Circle Eden Prairie, MN 55344	6/30/2014	\$10,000	Tracking Private and Medicare Costs by Clinical Condition			No	No payments were made during the fiscal year Future payments will be made only when reporting requirements have been fulfilled	Not required
20120452	Organisation for Economic Cooperation and Development (OECD)	2 Rue Andre Pascal Cedex 16, Paris 75775	8/1/2012	\$50,000	Development and Validation of a Survey to Enable International Benchmarking of Availability and Use of Information Techn	\$5,459	12/9/2013	No	Final Financial Report 10/24/13 Final Project Report 10/24/13	Not required

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20110093	Pear Tree Communications, Inc	3035 Lincoln Boulevard, Cleveland Heights, OH 44118	11/9/2010	\$168,120	Raising the Bar for Web Resources on Health Care Performance Benchmarking and Improvement Upgrades for WhyNotTheBest.org	\$3,024 \$1,011.96	9/3/2013 12/31/2013	No	Final Financial Report 1/12/12 Grantee satisfied reporting requirements during the fiscal year	Not required
20120105	Pear Tree Communications, Inc	3035 Lincoln Boulevard, Cleveland Heights, OH 44118	11/15/2011	\$320,000	Profiling the Performance of Health Care Organizations and Systems on WhyNotTheBest.org Phase 4	\$9,500 \$9,500 \$9,500 \$9,500 \$9,500 \$9,500 \$3,095 \$8,415	7/8/2013 8/2/2013 9/3/2013 9/30/2013 10/28/2013 12/9/2013 1/6/2014 3/17/2014	No	Final Financial Report 1/9/13 Grantee satisfied reporting requirements during the fiscal year	Not required
20130082	Pear Tree Communications, Inc	3035 Lincoln Boulevard, Cleveland Heights, OH 44118	11/13/2012	\$64,195	Growing the Audience for WhyNotTheBest.org Phase 5	\$53.98 \$21,298 \$3,041 \$21,987 \$12,883 \$2,189 \$2,743	9/30/2013 1/6/2014 2/18/2014 3/3/2014 3/17/2014 5/12/2014 6/23/2014	No	Final Financial Report 1/10/14 Grantee satisfied reporting requirements during the fiscal year	Not required

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20140124	Pear Tree Communications, Inc	3035 Lincoln Boulevard, Cleveland Heights, OH 44118	11/12/2013	\$78,292	WhyNotTheBest.org Sustainability Planning and Readying for Transition, Phase 6	\$18,555	6/23/2014	No	Interim Financial Report 7/29/14 Final project report due 1/31/15 Future payments will be made only when reporting requirements have been fulfilled	Not required
20140199	Princeton Survey Research Associates International	911 Commons Way, Princeton, NJ 08540	11/12/2013	\$633,025	Assessing the Impact of the Affordable Care Act After Six Months The Commonwealth Fund Biennial Health Insurance Survey	\$400,000	3/3/2014	No	Final project report due 12/31/14 Future payments will be made only when reporting requirements have been fulfilled	Not required
20140444	RK Evaluations and Strategies, L L C	3155 19th Street NW, Washington D C 20010	11/22/2013	\$23,000	Taking the Pulse Bellwether Interviews to Support the Fund's New Programs	\$20,000	2/3/2014	No	Final Project Report is overdue Future payments will be made only when reporting requirements have been fulfilled	Not required
20120464	Rockefeller Archive Center	15 Dayton Avenue, Sleepy Hollow, NY 10591-1598	7/10/2012	\$85,000	Transfer and Maintenance of The Commonwealth Fund's Archives, Year 17	\$8,500	9/3/2013	No	Final Project Report 8/5/13	Not required
20130597	Rockefeller Archive Center	15 Dayton Avenue, Sleepy Hollow, NY 10591-1598	7/9/2013	\$85,000	Transfer and Maintenance of The Commonwealth Fund's Archives, Year 18	\$38,250 \$38,250	9/30/2013 4/14/2014	No	During the fiscal year, grantees submitted work products Final project report and final financial report scheduled for next fiscal year Future payments will be made only when reporting requirements have been fulfilled	Not required
20130552	Scientific Institute for Quality of Healthcare	Raboud University Nijmegen Medical Centre P O Box 9101 114, Nijmegen 6500 HB	2/20/2013	\$23,468	Expansion of 2013 Commonwealth Fund International Health Policy Survey to include the Netherlands	\$15,000	7/8/2013	No	Final Project Report is overdue Future payments will be made only when reporting requirements have been fulfilled	Not required

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20140682	Scientific Institute for Quality of Healthcare	Raboud University Nijmegen Medical Centre P O Box 9101 114, Nijmegen 6500 HB	2/7/2014	\$34,507	Expansion of 2014 Commonwealth Fund International Health Policy Survey to Include the Netherlands	\$20,704	3/31/2014	No	Final project report is due on 10/31/14. Future payments will be made only when reporting requirements have been fulfilled	Not required
20120486	SSRS	53 West Baltimore Pike, Media, PA 19063	7/10/2012	\$425,000	International Health Policy Survey, 2013	\$175,000 \$50,000 \$50,494	8/2/2013 9/16/2013 2/3/2014	No	Grantee satisfied reporting requirements during the fiscal year	Not required
20130063	SSRS	53 West Baltimore Pike, Media, PA 19063	11/13/2012	\$178,147	A National Survey to Assess the Capacity of Community Health Centers to Serve as Medical Homes and Participate in Accountable Care Networks	\$75,000	1/6/2014	No	Final financial report overdue. Future payments will be made only when reporting requirements have been fulfilled	Not required
20130598	SSRS	53 West Baltimore Pike, Media, PA 19063	7/9/2013	\$450,000	International Health Policy Survey, 2014	\$180,000 \$180,000	8/13/2013 4/14/2014	No	Interim Financial Report 3/28/14. Final project report due on 9/30/14. Future payments will be made only when reporting requirements have been fulfilled	Not required
20130703	SSRS	53 West Baltimore Pike, Media, PA 19063	7/9/2013	\$604,299	The 2013-2014 Commonwealth Fund Marketplace (Exchange) Tracking Surveys	\$280,000 \$280,000	7/22/2013 4/14/2014	No	Final Project Report is overdue. Future payments will be made only when reporting requirements have been fulfilled	Not required
20140309	SSRS	53 West Baltimore Pike, Media, PA 19063	10/4/2013	\$61,346	The Commonwealth Fund Health Insurance Marketplace Surveys	\$55,000	10/15/2013	No	Final Financial Report 4/11/2014. During the fiscal year, grantee submitted work products. Future payments will be made only when reporting requirements have been fulfilled	Not required
20140508	SSRS	53 West Baltimore Pike, Media, PA 19063	4/8/2014	\$176,786	Commonwealth Fund/Kaiser Family Foundation 2014 Survey of Primary Care Providers	N/A	N/A	No	No payments were made during the fiscal year. Final project report due 2/28/2015. Future payments will be made only when reporting requirements have been fulfilled	Not required

Request Number	Organization Name	Organization Address	Award Date	Grant Amount	Project Title	Payment Amount	Payment Date	Any Diversion by Grantee	Dates of Reports by Grantee	Date and Results of Verification
20140681	SSRS	53 West Baltimore Pike, Media, PA 19063	2/7/2014	\$19,250	Expansion of 2014 Commonwealth Fund International Health Policy Survey to Include Germany	\$12,000	3/31/2014	No	During the fiscal year, grantees submitted work products. Final project report due 9/15/15. Future payments will be made only when reporting requirements have been fulfilled	Not required

The Commonwealth Fund
 EIN 13-1635260

990-PF
 FYE June 30, 2014
 Part VIII, Line 1

Name	Address	Title and average hours per week devoted to position	Compensation	Contributions to employee benefit plan	Other allowances
David Blumethal, MD	The Commonwealth Fund 1 East 75th Street New York, NY 10021	President 60 hours per week	610,000	68,500	None
John E Craig, Jr	The Commonwealth Fund 1 East 75th Street New York, NY 10021	Executive Vice President and Chief Operating Officer 60 hours per week	471,737	68,500	None
Maureen Bisognano	The Commonwealth Fund 1 East 75th Street New York, NY 10021	Director Average of 1.2 hours per week	None	None	None
Sheila Burke	The Commonwealth Fund 1 East 75th Street New York, NY 10021	Director Average of 0.8 hours per week	None	None	None
Benjamin K Chu, MD	The Commonwealth Fund 1 East 75th Street New York, NY 10021	Director Average of 2.4 hours per week	None	None	None
Michael V Drake, MD	The Commonwealth Fund 1 East 75th Street New York, NY 10021	Director Average of 2.3 hours per week	None	None	None
Samuel Fleming	The Commonwealth Fund 1 East 75th Street New York, NY 10021	Director Average of 1.4 hours per week	None	None	None
Julio Frenk, MD, PhD	The Commonwealth Fund 1 East 75th Street New York, NY 10021	Director Average of 1.1 hours per week	None	None	None
Kathryn Haslanger	The Commonwealth Fund 1 East 75th Street New York, NY 10021	Director Average of 0.3 hours per week	None	None	None
Jane E Henney, MD	The Commonwealth Fund 1 East 75th Street New York, NY 10021	Director Average of 1.2 hours per week	None	None	None
Robert Pozen	The Commonwealth Fund 1 East 75th Street New York, NY 10021	Director Average of 1.4 hours per week	None	None	None

Cristine Russell	The Commonwealth Fund 1 East 75th Street New York, NY 10021	Director Average of 1 7 hours per week	None	None	None
Simon Stevens	The Commonwealth Fund 1 East 75th Street New York, NY 10021	Director Average of 0 9 hours per week	None	None	None
James R Tallon, Jr	The Commonwealth Fund 1 East 75th Street New York, NY 10021	Director Average of 4 0 hours per week	None	None	None
William Y Yun	The Commonwealth Fund 1 East 75th Street New York, NY 10021	Director Average of 1.5 hours per week	None	None	None

PART IX CHARITABLE ACTIVITIES DESCRIPTION

The Fund's Mission and Strategy

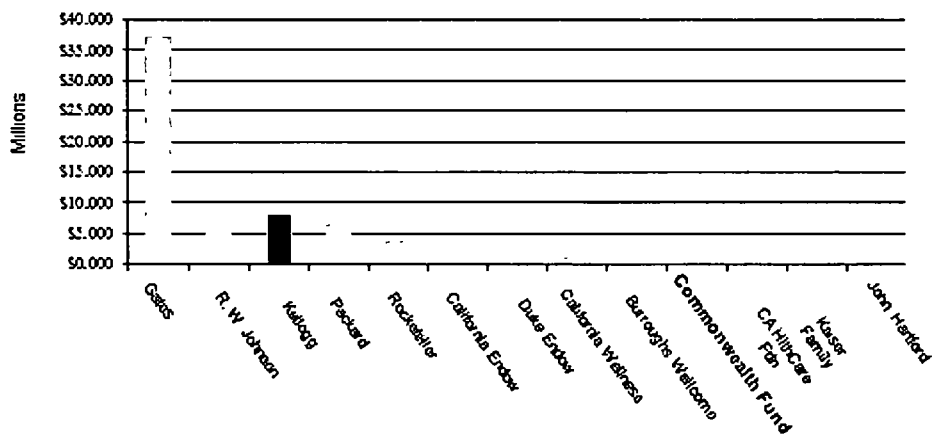
The mission of The Commonwealth Fund is to promote a high-performing health care system that achieves better access, improved quality, and greater efficiency, particularly for society's most vulnerable, including low-income people, the uninsured, minority Americans, young children, and elderly adults.

The Fund carries out this mandate by supporting independent research on health care issues and making grants to improve health care practice and policy. An international program in health policy and practice is designed to stimulate innovative policies and practices in the United States and other industrialized countries.

The foundation pursues its mission through four program strategies: Health Care Coverage and Access, Delivery System Reform, International Health Policy and Practice Innovations, and Health System Breakthrough Opportunities. Additional arms of the Fund's strategy include cross-cutting resources and policy analytic activities, as described below.

The Commonwealth Fund's peer group of health care foundations is very small

- With a \$702 million endowment, the Fund was the 106th largest U.S. foundation in 2013
- The Fund was the 10th largest health care-focused foundation in 2013
- The Fund was one of only 4 large foundations operating nationwide that focused primarily on improving health care access, quality, and efficiency—and controlling costs

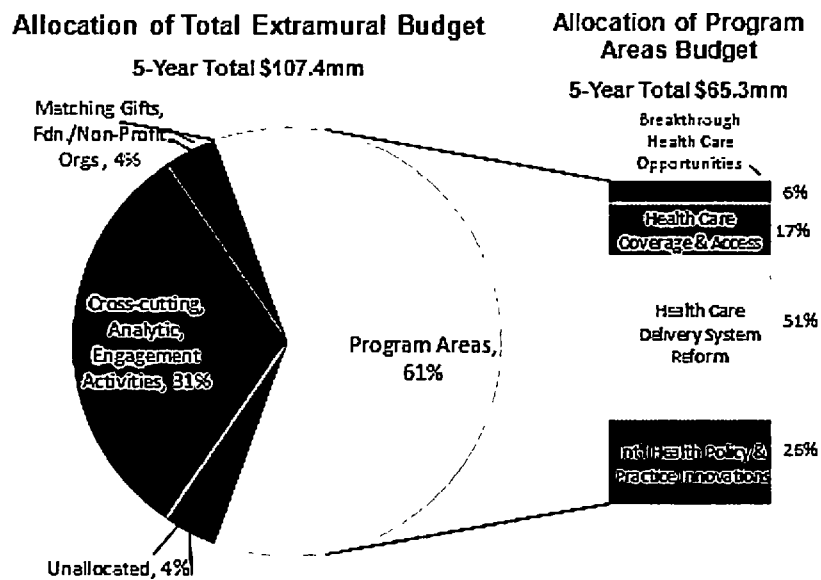


Over the five-year period beginning on July 1, 2014, the Fund expects to spend \$176.1 million to advance its mission.

Five-Year Spending Plan Approved by the Fund's Board, 2014-19

Commonwealth Fund Budget (Millions)	FY 2014-15	FY 2015-16	FY 2016-17	FY 2017-18	FY 2018-19	5-Year Total
Total Fund Budget	\$33.2	\$34.2	\$35.2	\$36.2	\$37.3	\$176.1
Intramural Programs	\$12.9	\$13.3	\$13.7	\$14.1	\$14.6	\$68.7
Extramural Grants Budget	\$20.2	\$20.8	\$21.5	\$22.1	\$22.8	\$107.4
Extramural % of Total Budget	60%	61%	61%	61%	61%	61%

Five-Year Plan Extramural Budget Allocations, 2014-2019



HEALTH CARE COVERAGE AND ACCESS

The number of people without health insurance in the United States climbed steadily over the past decade, rising from 37 million in 2000 to 50 million in 2010. In 2011, however, the picture

began to brighten, as the number of uninsured declined to 48.6 million people—the largest one-year drop in the past 10 years. This improvement was likely driven by an increase in the number of young adults who were enrolled in their parents' health plan, a direct result of one of the Affordable Care Act's first reforms.

The recent gains in coverage for young adults foreshadow the further progress expected once the law's major insurance provisions are fully implemented over the next few years. Millions of Americans will soon be able to enroll in private subsidized health plans offered through the new state insurance marketplaces, while others will become eligible for coverage through the Medicaid program. Many people will also benefit from a panoply of new insurance market reforms, including a new standard for essential health benefits and bans on insurers from charging people more based on health or gender or barring enrollment because of a preexisting health condition. In all, some 37 million Americans are expected to gain new or more affordable coverage over the next decade.

As the central coverage reforms go into effect, The Commonwealth Fund's **Health Care Coverage and Access** program will furnish policymakers and key stakeholders with timely analysis to inform successful implementation. Activities will include:

- Providing timely information about the law's reforms and the status of their implementation.
- Tracking enrollment and people's experiences with the new insurance options.
- Evaluating the effects of insurance reforms and state and federal innovation on the extent and quality of health coverage, access to health care, changes in employer-based coverage, affordability of premiums and out-of-pocket costs, health plan competition, innovation in insurance markets, and sustainability of the insurance marketplaces.
- Analyzing and developing national and state short-term policy solutions to address implementation issues as they arise.
- Identifying gaps in the law and its implementation that may leave some groups of people without coverage or adequate protection from costs.

HEALTH CARE DELIVERY SYSTEM REFORM

Health care in the United States is too often compromised by fragmentation in its delivery, by patients' limited access, and by spiraling costs.

Two groups of patients experience the problems in our health system more intensely and more regularly than any other: those with complex, long-term health care needs, and those with low incomes, often no health insurance, and few social supports. The Commonwealth Fund's new **Health Care Delivery System Reform** program focuses on these individuals, because they represent the greatest opportunity to achieve meaningful, rapid gains in the value of health care.

According to federal data, 5 percent of the U.S. population accounts for 50 percent of the nation's health care costs, and 10 percent accounts for 65 percent of total costs. High-cost patients include those with health-related functional limitations requiring not just medical care

but frequently also long-term supports and services, such as individuals with multiple, serious chronic conditions like congestive heart failure, coronary artery disease, and diabetes.

People living in poverty and without health insurance coverage—a disproportionate number of whom are members of racial or ethnic minority groups—also experience our health system's shortcomings acutely. Extensive research shows that economically and socially disadvantaged individuals have greater difficulty obtaining health care, receive lower-quality care, and have poorer health outcomes than other groups.

By prioritizing complex and vulnerable patient populations, we as a nation can target our energy and resources where the impact will likely be greatest. Moreover, improvements in care and health for these patients can catalyze improvements throughout the entire health care delivery system.

The goal of the Health Care Delivery System Reform program is to improve outcomes and lower costs for high-cost, complex and vulnerable patient populations. Work supported by the program will be governed by five strategies:

- Promoting care systems that coordinate medical care, long-term care? services, and community-based resources.
- Reforming how providers are paid for their services to encourage more coordination, better quality, and greater efficiency.
- Strengthening primary care.
- Partnering with payers, particularly Medicare and Medicaid, to test and spread effective innovations.
- Developing the physician leadership needed to address the health needs of vulnerable populations through the Mongan Commonwealth Fund Fellowship in Minority Health Policy.

MONGAN COMMONWEALTH FUND FELLOWSHIP PROGRAM IN MINORITY HEALTH POLICY

Achieving a high-performance health care system requires dedicated physician-leaders capable of transforming care delivery and promoting policies and practices that improve access to high-quality care for vulnerable populations, including racial and ethnic minorities and economically disadvantaged groups. Since 1996, the Mongan Commonwealth Fund Fellowship Program in Minority Health Policy has played an important role in developing such leaders.

Based at Harvard Medical School under the direction of Joan Reede, M.D., Dean for Diversity and Community Partnership, the year-long fellowship offers intensive study in health policy, public health, and management for physicians committed to transforming health care delivery systems for vulnerable populations. Under the program, fellows complete academic work leading to a master of public health degree at the Harvard School of Public Health, or a master of public administration degree at the Harvard Kennedy School of Government. Fellows also participate in leadership forums and seminars with nationally recognized leaders in health care delivery systems, minority health, and public policy.

Selected fellows can participate in an optional second year of practicum experience to supplement academic and leadership development training with practical experience in a health care delivery system setting, a federal or state agency, or a policy-oriented institution. The practicum is a competitive program, with a variable number of placements available each year.

INTERNATIONAL HEALTH POLICY & PRACTICE INNOVATIONS

Across the industrialized world, health care policymakers face mounting pressure to “bend the cost curve” while at the same time maintaining access to the latest in medical technologies, improving the quality and safety of care, and making the health system more responsive to patients’ needs and preferences. How other advanced countries address these challenges should be of particular interest to the United States, which spends far more on health care—per person and as a percentage of the nation’s economic output—than any other nation and yet receives less in return than most.

The Commonwealth Fund’s **International Health Policy & Practice Innovations** program seeks to learn about successful approaches taken by other advanced countries to ensure access to high-quality, cost-effective health care, and then share those lessons among our peer nations. The program promotes cross-national learning by:

- Annually surveying the public, patients, and physicians in 11 countries to compare health system performance.
- Organizing an annual International Symposium on Health Care Policy, attended by health ministers and senior government officials, as well as other multinational forums.
- Sponsoring the Harkness Fellowships in Health Policy and Practice, which enable promising health care policy researchers and practitioners in nine countries to spend up to 12 months in the U.S. conducting policy-oriented research, gaining firsthand exposure to innovative models of health care delivery, and working with leading policy experts. The fellowship has a number of international cosponsors.
- Identifying and disseminating information about health care delivery system innovations abroad that might also work in the U.S.

BREAKTHROUGH HEALTH CARE INNOVATIONS

In any industry or field, a little disruption every once in a while—and, sometimes, even a major shock—can be a good thing. Whether change requires us to make a simple adjustment or to completely rethink how we approach our work, in the long run it can lead us to improvements that previously were never thought possible.

The Commonwealth Fund’s new **Breakthrough Health Care Innovations** program seeks to identify and assess new ideas with the potential to disrupt the health system in a good way—by making it more responsive and more efficient, by expanding access to quality care, or by improving patient outcomes. Think of the now-ubiquitous checklist, used in hospital operating rooms and intensive care units around the country to ensure the right care is provided at the right time. Only a short time ago, checklists were considered by many doctors to be an unnecessary

burden, even a challenge to their authority. Today we know the use of checklists has saved many lives by reducing human errors that imperil patient safety.

The Breakthrough Health Care Innovations program will explore emerging technologies, care delivery processes, organizational models, incentives, and policies that could have a game-changing effect on health system performance. By bringing together innovators, users, entrepreneurs, venture capitalists and regulators, the program will facilitate the translation of these innovative ideas into practice.

In its first year, the program has focused on three areas:

- Engaging consumers in their health care through information technology.
- Next-generation provider incentives that are grounded in behavioral change theory.
- “Frugal innovations” from the developing world that may be transferable to the United States.

CROSS-CUTTING RESOURCES AND POLICY ANALYTIC ACTIVITIES

TRACKING HEALTH SYSTEM PERFORMANCE

The U.S. health system is undergoing major changes intended to expand the availability of high-quality care, achieve healthier outcomes, and lower costs. With enactment of the Affordable Care Act, the federal government is investing billions in expanded health insurance coverage and innovations in care delivery.

The Commonwealth Fund’s **Tracking Health System Performance** initiative is assessing the nation’s progress toward these goals, including whether the investment is yielding hoped-for gains. Building on a series of national, state, and local health system scorecards begun in 2006, the initiative provides performance benchmarks and improvement targets while helping to inform future action by policymakers and health care stakeholders.

Drawing from multiple data sources to provide key indicators of access, quality/prevention, costs, and healthy lives, the scorecards to date include:

- *National Scorecard on U.S. Health System Performance* (2006, 2008, 2011): compares U.S. average performance to benchmarks set within the U.S. and internationally.
- *State Scorecard on Health System Performance* (2007, 2009, 2014): assesses states’ performance on health care relative to achievable benchmarks.
- *Scorecard on Local Health System Performance* (2012): compares performance in more than 300 U.S. hospital referral regions.
- *Scorecard on State Health System Performance for Low-Income Populations* (2013): focuses on how well states are addressing the health care needs of their low-income populations.
- *State Scorecard on Long-Term Services and Supports* (2011, 2014): assesses the performance of nursing homes, home health agencies, and state programs across the states. The scorecard is sponsored jointly by The Commonwealth Fund, AARP, and the SCAN Foundation.

The Commonwealth Fund supports a research team at the Institute for Healthcare Improvement (IHI) to assemble health system performance tracking metrics and develop the scorecards.

In addition, the Fund supports WhyNotTheBest.org, an online tool that allows health care professionals and researchers to benchmark health care performance and find resources to guide their improvement efforts.

ADVANCING MEDICARE

For nearly five decades, Medicare has helped older adults and disabled people of all ages obtain the health care they need while protecting the most vulnerable from severe financial hardship.

The Commonwealth Fund's **Advancing Medicare** initiative identifies ways in which this crucial program—the largest single payer of health care services in the United States—can serve its beneficiaries more effectively and efficiently while helping to foster health system improvements nationwide.

Medicare has enormous capacity to leverage broader change in U.S. health care. More than 50 million aged and disabled Americans access care through the program, which accounts for a large and growing share of the federal budget (the government spends nearly \$600 billion on Medicare benefits annually, accounting for 18 percent of total federal non-interest spending). And with the creation of the Center for Medicare and Medicaid Innovation, Medicare has a key role in the development, implementation, evaluation, and spread of innovative models of health care organization, delivery, and payment—not only for Medicare, but for other federal and state health insurance programs and private payers, too.

As Medicare approaches its 50th anniversary in 2015, The Commonwealth Fund will be studying potential solutions to the issues facing the program. The Fund and its grantees will examine how Medicare can:

- Improve access to care needed by aged and disabled beneficiaries.
- Lower program costs.
- Assess and disseminate innovations in care delivery and provider payment throughout the program and the entire health system.
- Improve coordination between Medicare and other public programs, like Medicaid.
- Work together with private insurers, particularly as the new state insurance marketplaces open for business.

Success in each of these areas will help ensure that Medicare remains a vital force in our nation's health care.

CONTROLLING HEALTH COSTS

The U.S. health care system is by far the most expensive in the world, consuming 18 percent of the nation's gross domestic product. That's 50 percent higher than the share of

GDP devoted to health care in the second-most-expensive country (the Netherlands) and double that in many other high-income countries.

Despite all that spending, health outcomes for Americans are often worse, while evidence of waste and inefficiency throughout the U.S. health system abounds. Evidence also shows that U.S. prices are out of line with what other developed nations pay for the same medical services, devices, and pharmaceuticals—even those produced in the United States sold on global markets. All this excess spending is putting a strain on businesses, governments, and household budgets—diverting resources away from investments in jobs, education, and other social and economic needs.

The Commonwealth Fund's **Controlling Health Costs** initiative monitors and analyzes spending in both the public and private health care sectors. It seeks answers to two central questions:

- What is driving higher or lower costs and spending in health care markets across the country?
- What policy reforms, innovations in care delivery, or changes in provider payment have the potential to reduce costs while improving outcomes in all regions?

Patients with the highest and costliest health care needs, such as people with multiple chronic conditions, are a particular emphasis of the initiative. Research efforts also focus on the cost impact of new medical technologies and changing demographics, as well as the role of prices, treatment patterns, and resource use.

It is hoped that findings from these and other analyses will inform new approaches to controlling costs that yield far better value for our health care dollars.

ENGAGING FEDERAL AND STATE HEALTH POLICYMAKERS

If we as a nation are to achieve fundamental improvements in our health care system, federal and state policymakers will need to take action in a coordinated fashion. As implementation of the Affordable Care Act proceeds, both the federal government and the states have roles in expanding access to affordable insurance coverage, in designing, testing, and refining new ways to deliver and pay for health care, and in ensuring that health services are delivered efficiently and equitably. Continuing budgetary pressures, moreover, have forced federal and state officials alike to prioritize the search for ways to produce better health outcomes at lower cost.

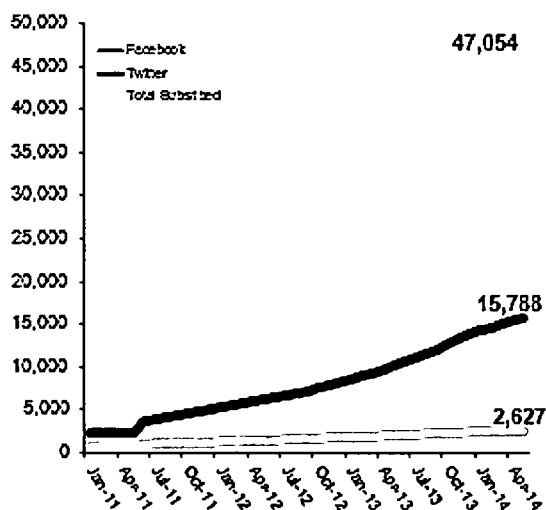
The Commonwealth Fund's **Engaging Federal and State Health Policymakers** initiative provides independent analysis of health care issues tailored for those serving in state and federal government. The Fund's team helps to inform and educate congressional members and staff, officials in the executive branch, state policymakers, and the broader policy community—across the political spectrum—on issues central to achieving a high-performance health system.

Through the briefings, conferences, and retreats it sponsors, the Fund's team also serves as a neutral convener, facilitating both state-to-state learning and communications between federal and state policymakers to inform policy deliberations and generate new solutions. Finally, the team also supports The Commonwealth Fund's programmatic efforts to provide policymakers with timely, balanced, evidenced-based policy research and analysis.

COMMUNICATIONS

The Fund's Communications program uses print, broadcast, online, and social media to bring information on health reform and health system transformation to the attention of critical stakeholder groups, especially policy officials and leaders in health care delivery. The foundation's *Realizing Health Reform's Potential* issue brief series enriches public understanding of how the Affordable Health Care Act will affect specific groups, including women, disabled persons, small businesses, persons with pre-existing conditions, older adults, and workers undergoing a change in employment status. A media fellowship program conducted by the Association of Health Care Journalists, encourages in-depth reporting on issues related to health system performance and change. *The Commonwealth Fund Blog* features topical analyses by staff, grantee, and external policy experts and is a major source for analysis of state health insurance exchange regulations and the status of states' progress on exchange implementation. The online Health Reform Resource Center provides a timeline of the Affordable Care Act's major provisions and an interactive tool for searching specific provisions by year of implementation, category, and stakeholder group.

Fund's Subscribed Audience Tops 47,000



- Combined email subscribers, Facebook fans, Twitter followers and RSS users, as of May 31, 2014

- Nearly 11% growth year on year – almost all on social media

- Twitter followers have increased 55% in the past year.

Publications and Presentations Resulting from Fund Grants Completed in 2013-14

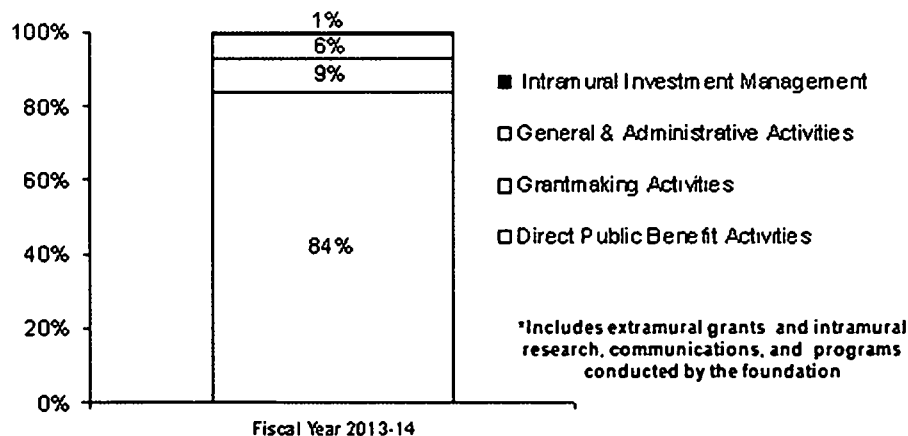
Fund Pubs.	Peer-Reviewed Pubs	Books/ Chapters	Grantee Pubs.	Other Pubs.	Congress./ State Testimony	Meetings/ Other Presentations	Webinars	Congressional Staff Briefings
56	148	2	63	111	1	586	610	21

The Fund's Resources and Their Management

The Fund's human resources are as important as its financial ones. They include highly productive professional staff based in the Fund's New York City headquarters and in its Washington, D.C., and Boston offices—as well as an outstanding constellation of advisers, principal investigators on Fund grants, and members of the Fund's own Board of Directors.

Reflecting the foundation's value-added approach to grantmaking, approximately 40 percent of the total budget is devoted to intramural units engaged in research and program development, collaborations with grantees, and dissemination of program results to policymakers, health care leaders, researchers, and other influential audiences. The portion of the foundation's total budget devoted to administration is 6 percent.

Properly measured, the Fund's administrative expenses amount to 6% of the total budget. Direct public benefit activities* and value-adding grantmaking work make up 93% of the budget.



THE FOUNDATION'S PERFORMANCE

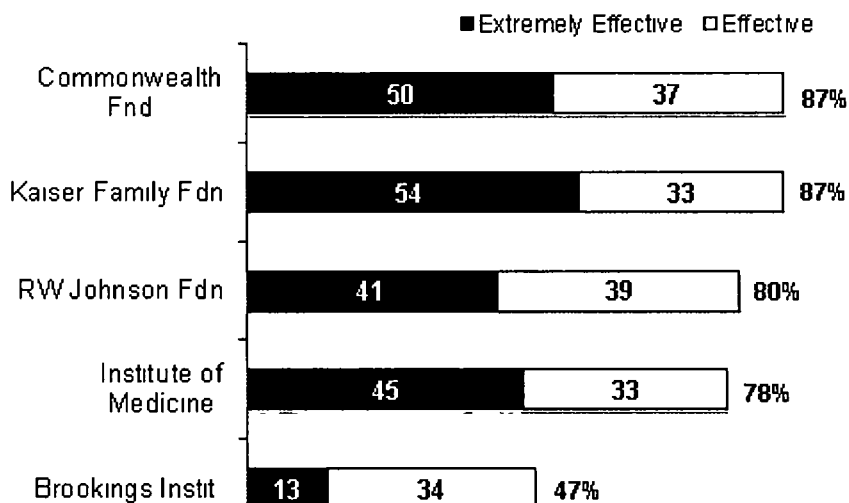
The Fund aims to be a learning organization, and consequently places a high value on assessing its own performance. The foundation's annual external program reviews, annual reports to the

Board on the performance of all grants completed during the year, semiannual audience and grantee surveys, annual confidential surveys of Fund Board members, and periodic surveys of Fund staff—all of which contribute to the Fund's own annual performance scorecard—help to ensure a high level of accountability and institutional learning.

Fund Staff Output in FY 2013-14

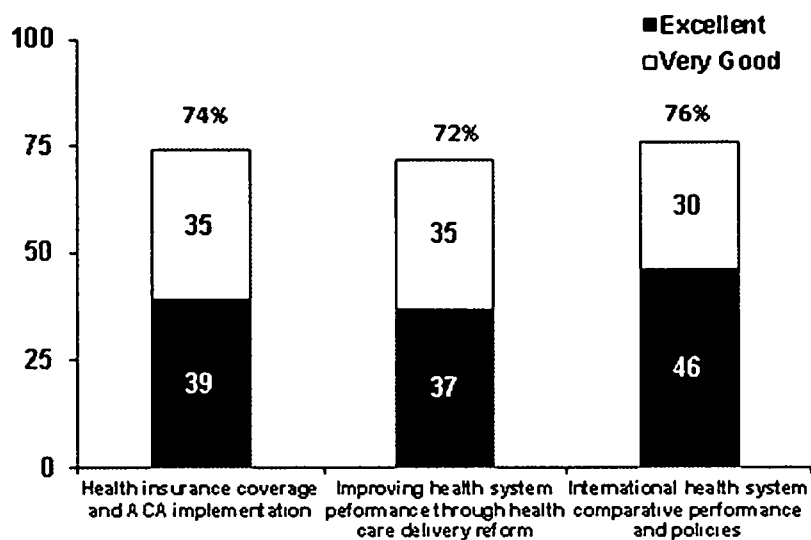
Peer-reviewed journal articles published	13
Commonwealth Fund publications	65
High-level meetings organized	9
“New media” events organized (webinars, e-forums, podcasts, videos)	20
External meeting presentations	140
Media interviews	283
Membership on boards, advisory groups	58

Relative to its peers, the Commonwealth Fund is regarded as being very effective at framing key issues in achieving better health care access, improved quality and greater efficiency



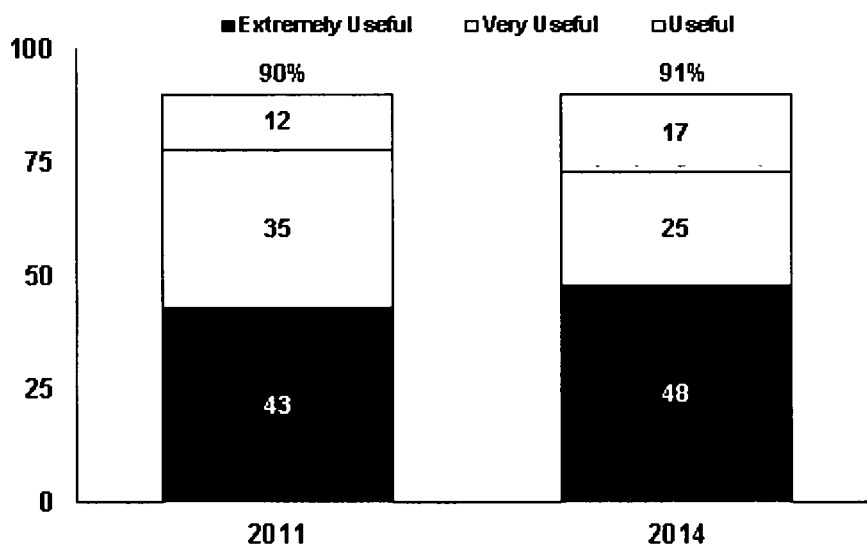
Source: The 2014 Commonwealth Fund Audience Survey

The Commonwealth Fund receives high ratings for providing unique information that others do not provide



Source: The 2014 Commonwealth Fund Audience Survey

Commonwealth Fund grantees report that Fund staff involvement is useful for assuring effective project design, conduct, and communication of results

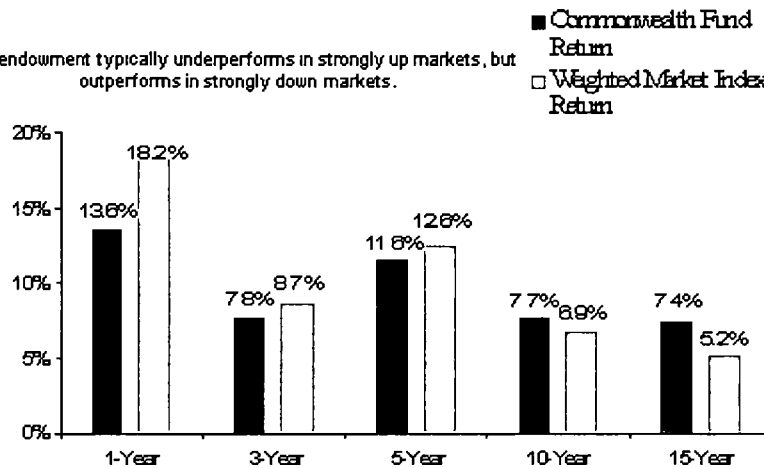


Source: The 2011 and 2014 Commonwealth Fund Audience Surveys

PERFORMANCE OF THE FUND'S ENDOWMENT

Due to risk controls in the Fund's investment strategy, in the current buoyant market the endowment has underperformed the market benchmark; but performance is well above the market's over the long term.

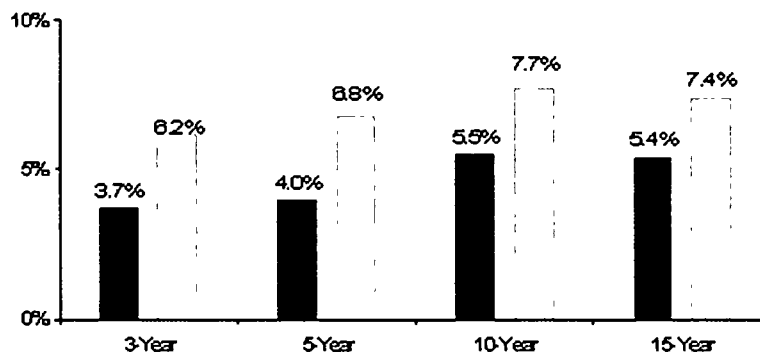
The endowment typically underperforms in strongly up markets, but outperforms in strongly down markets.



Periods ending June 30, 2014

Reflecting the risk control features of the investment strategy, the returns on the Fund's endowment have been considerably, and consistently, less volatile than those of the market.

■ Commonwealth Fund Return's Standard Deviation
□ Weighted Market Index Return's Standard Deviation



Periods ending June 30, 2014

The Commonwealth Fund

7-1-2013 to 6-30-2014

Part XV - Grants and Contributions Paid

Paid During the Year or Approved for Future Payment

Key for Foundation Status of Recipient

NC Non-charity (not 501(c)(3))

PF Private non-operating foundation (section 509(a))

POF Private operating foundation (section 4942(j)(3)) other than an EOF

PC Public charity described in section 509(a)(1) or (2)

Appropriation Date	Type of Grant	Institution	Foundation Status of Recipient	Grant No	Project Director and Description	Amount Paid	Ending Balance @ 6-30-14
11/13/2007	Board Grant	Pennsylvania, Trustees of the University of 3400 Spruce Street Philadelphia, PA 19106	PC	20070777	Lorin Hitt, Ph D 1/1/08-12/31/12 The Business Case for Health Information Technology in Nursing Homes	\$ 22,800	\$ -
11/11/2008	Board Grant	President and Fellows of Harvard College 17 Quincy Street, Massachusetts Hall Cambridge, MA 02138	PC	20090056	Meredith Rosenthal, Ph D 1/1/09-6/30/13 Evaluating a Medical Home Demonstration in Colorado and Ohio		\$ 45,716
4/14/2009	Board Grant	AcademyHealth 1150 17th Street NW, Suite 600 Washington, DC 20036	PC	20090473	W David Helms, Ph D 7/1/09-6/30/10 Partnering with AcademyHealth to Promote a High Performance Health System		\$ -
7/14/2009	Board Grant	President and Fellows of Harvard College 17 Quincy Street, Massachusetts Hall Cambridge, MA 02138	PC	20090560	Joan Reede, M D , M P H , M S 7/1/10-6/30/11 The Commonwealth Fund/Harvard University Fellowship in Minority Health Policy Support for Program Direction and Fellowships, 2010-11	\$ 50,000	\$ -
11/10/2009	Board Grant	University of Chicago 5801 South Ellis Avenue Chicago, IL 60637	PC	20100071	Marshall Chin, M D , M P H 2/1/10-10/31/13 Evaluation of The Commonwealth Fund's Safety-Net Medical Home Initiative, Phase 2		\$ 250,000
4/13/2010	Board Grant	National Opinion Research Center 1155 E 60th St Chicago, IL 60637	PC	20100140	Jon Gabel, M A 5/1/10-12/31/11 Comparing Employer and Nongroup Health Plans Against the Health Reform Benefit Standard	\$ 65,912	\$ -
4/13/2010	Board Grant	President and Fellows of Harvard College 17 Quincy Street, Massachusetts Hall Cambridge, MA 02138	PC	20100274	David Grabowski, Ph D , M A 5/1/10-4/30/12 Evaluating the Potential of Telemedicine to Reduce Hospitalizations of Nursing Home Residents		\$ 0
4/13/2010	Board Grant	AcademyHealth 1150 17th Street NW, Suite 600 Washington, DC 20036	PC	20100291	W David Helms, Ph D 7/1/10-6/30/11 Rent and Services		\$ -
4/13/2010	Board Grant	RAND Corporation 1776 Main Street P O Box 2138 Santa Monica, CA 90407	PC	20100314	Mark Friedberg, M D , M P P 5/1/10-6/30/13 Evaluating Models of Medical Home Payment Within the Pennsylvania Chronic Care Initiative		\$ 74,422
7/13/2010	Board Grant	Parkland Health & Hospital System 5201 Harry Hines Boulevard Dallas, TX 75235	PC	20100323	Ruben Amarasingham, M D , M B A 8/15/10-12/31/12 Developing a Clinical Decision Support Tool to Prospectively Identify Patients at High Risk for Hospital Readmission	\$ 65,844	\$ -

Appropriation Date	Type of Grant	Institution	Foundation Status of Recipient	Grant No.	Project Director and Description	Amount Paid	Ending Balance @ 6-30-14
4/13/2010	Board Grant	Regents of the University of Michigan 3003 South State Street 1020, Ann Arbor, MI 48109	PC	20100329	Christy Lemak, Ph D 5/1/10-3/31/13 Evaluating Blue Cross Blue Shield of Michigan's Physician Group Incentive Program	\$ 28,735	\$ 0
4/13/2010	Board Grant	Pennsylvania State University University Park, PA 16802	PC	20100333	Madhu Reddy, Ph D 8/15/10-2/15/13 Diffusing Health Information Technology in Rural Areas Through Hospital-to-Hospital Partnerships	\$ 12,743	\$ (0)
4/13/2010	Board Grant	Massachusetts General Hospital 15 Parkman Street, WAC 812 Boston, MA 02114-3117	PC	20100334	Peter Pronovost, M D , Ph D , and Lisa Iezzoni, M D , M Sc 5/1/10-4/30/13 Developing and Testing a Set of Measures to Assess Safety in High-Risk Intensive Care Units		\$ 63,878
4/13/2010	Board Grant	Center for Excellence in Health Care Journalism 10 Neff Hall Columbia, MO 65211	PC	20100352	Len Bruzzese 5/1/10-4/30/12 Association of Health Care Journalists Media Fellowships in Health System Performance		\$ 22,753
4/13/2010	Board Grant	Washington and Lee University 204 West Main Street Lexington, VA 24450	PC	20100360	Timothy Jost, J D 6/1/10-6/30/13 Implementing Health Insurance Exchanges What Are the Keys to Success?		\$ 59,539
4/13/2010	Board Grant	Pennsylvania State University University Park, PA 16802	PC	20100392	Pamela Farley Short, Ph D 6/1/10-5/31/12 Analyzing Policy Options for Improving the Stability of Health Insurance Coverage	\$ 57,925	\$ 14,851
7/13/2010	Board Grant	President and Fellows of Harvard College 17 Quincy Street, Massachusetts Hall Cambridge, MA 02138	PC	20100463	Joan Reede, M D , M P H , M B A 7/1/11-6/30/12 The Commonwealth Fund/Harvard University Fellowship in Minority Health Policy Support for Program Direction and Fellowships, 2011-12		\$ 50,000
7/13/2010	Board Grant	President and Fellows of Harvard College 17 Quincy Street, Massachusetts Hall Cambridge, MA 02138	PC	20100467	Michael Chemew, Ph D 8/1/10-7/31/12 Understanding Geographic Variation in Health Care Costs for Privately Insured Patients and Medicare Beneficiaries	\$ 166,108	\$ -
7/13/2010	Board Grant	The George Washington University 44983 Knoll Square 251 Ashburn, VA 20147	PC	20100500	Brian Biles, M D , M P H 7/15/10-11/30/12 Medicare Private Plans in the Era of Health Care Reform	\$ 30,028	\$ (0)
11/9/2010	Board Grant	Health Management Associates, Inc 120 North Washington Square, Suite 705 Lansing, MI 48933	NC	20110035	Sharon Silow-Carroll, M S W , M B A 1/1/11-6/30/12 Case Studies of Innovation and High Performance for WhyNotTheBest.org	\$ 61,745	\$ -
11/9/2010	Board Grant	Joan and Sanford I. Weill Medical College of Cornell University 525 E 68th St, New York, NY 10021	PC	20110081	Tara Bishop, M D and Lawrence Casalino, Ph D 12/1/10-11/30/12 Evaluating a Shared Patient-Panel Manager Program in New York City's Primary Care Information Project	\$ 23,881	\$ -

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4/12/2011	Board Grant	University of New Mexico MSC05 3300 Scholes Hall Suite 144 1 University of New Mexico Albuquerque, NM 87131	PC	20110082	Arthur Kaufman, M D 7/1/11-12/31/12 Spreading New Mexico's Model for Helping Primary Care Practices Become Medical Homes	\$ 42,293	\$ (0)
11/9/2010	Board Grant	The Commonwealth Fund 1 East 75th Street New York, NY 10021	PF	20110083	Edward Schor, M D 11/1/10-6/30/11 Seizing Opportunities to Facilitate State Health Care Reform	\$ 2,588	\$ -
11/9/2010	Board Grant	Massachusetts General Hospital 15 Parkman Street, WAC 812 Boston, MA 02114-3117	PC	20110087	Karen Donelan, Sc D 3/1/11-2/28/14 Surveying Physician Practices About Their Capacity to Provide Coordinated, Patient-Centered Care		\$ 37,162
11/9/2010	Board Grant	Health Research and Educational Trust 155 North Wacker Drive Chicago, IL 60606	PC	20110088	Maulik Joshi, Dr P H 1/1/11-12/31/12 Tracking Progress in Health Care Integration and Adoption of Best Practices for High Performance A Data Brief Series	\$ 43,190	\$ -
11/9/2010	Board Grant	Knowledge Networks, Inc 440 Park Avenue South, 6th Floor New York, NY 10016	NC	20110092	Jordon Peugh, M A 1/1/11-12/31/13 Tracking Health Reform's Impact on Insurance Coverage for Young Adults, Older Adults, and Low-Income Families		\$ -
11/9/2010	Board Grant	Pear Tree Communications, Inc 3035 Lincoln Boulevard Cleveland Heights, OH 44118	NC	20110093	Martha Hostetter 1/1/11-12/31/11 Raising the Bar for Web Resources on Health Care Performance Benchmarking and Improvement Upgrades for WhyNotTheBest org, Phase 3	\$ 4,036	\$ 589
4/12/2011	Board Grant	Geisinger Clinic 100 North Academy Avenue Danville, PA 17822	PC	20110214	Walter Stewart, Ph D , M P H , and Jove Graham, Ph D 5/1/11-4/30/12 What Makes Medical Homes Work Lessons for Implementation and Spread		\$ 34,007
4/12/2011	Board Grant	RAND Corporation 1776 Main Street P O Box 2138 Santa Monica, CA 90407	PC	20110223	Barbara Wynn, M A 5/1/11-10/31/12 Financial Levers to Promote Integrated Health Care Systems for Low-Income Populations		\$ 31,591
4/12/2011	Board Grant	Center for Health Care Strategies, Inc 200 American Metro Boulevard, Suite 119 Hamilton, NJ 08619	PC	20110239	Nikki Highsmith, M P A. 5/15/11- 1/31/13 Using Medicaid Rate Increases as a Lever for Reform of Primary Care Delivery and Payment		\$ 40,000
4/12/2011	Board Grant	RAND Corporation 1776 Main Street P O Box 2138 Santa Monica, CA 90407	PC	20110257	Christine Eibner, Ph D 4/15/11- 9/30/12 Current Health Benefits for Workers with Low and Moderate Incomes and Potential Effects of the Affordable Care Act	\$ 30,800	\$ -
4/12/2011	Board Grant	Center for Studying Health System Change 600 Maryland Avenue SW, Suite 550 Washington, DC 20024- 5216	NC	20110258	Peter Cunningham, Ph D 5/1/11- 12/31/12 The Affordability of Medical Care Recent Trends at the National and State Level and the Potential Effects of Health Reform, Phase 1	\$ 17,440	\$ -

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4/12/2011	Board Grant	President and Fellows of Harvard College 17 Quincy Street, Massachusetts Hall Cambridge, MA 02138	PC	20110282	Nancy Kane, D B A., M B A 5/1/11-4/30/11 Current Financial Status and Funding Sources of Major Urban Public Safety-Net Hospitals Establishing a Baseline	\$ 17,505	\$ (0)
4/12/2011	Board Grant	University of Iowa 101 Jessup Hall Iowa City, IA 52242	PC	20110283	Peter Damiano, D D S, M P H 4/15/11-10/14/12 Strategies in Iowa for Improving Performance of the Health Care Safety Net in the Era of Health Reform		\$ 29,766
4/12/2011	Board Grant	Jonathan Gruber Consultant 83 Pleasant Street Lexington, MA 02421	NC	20110287	Jonathan Gruber, Ph D 5/1/11-12/31/11 Modeling Alternatives to the Affordable Care Act		\$ 17,500
4/12/2011	Board Grant	Urban Institute 2100 M Street NW Washington, DC 20037	PC	20110310	Bradford Gray, Ph D 6/15/11-6/14/12 Enhancing the International Program's Communications and Publications Capacity, Year 3	\$ 19,634	\$ -
7/12/2011	Board Grant	Center for Studying Health System Change 600 Maryland Avenue SW, Suite 550 Washington, DC 20024-5216	NC	20110327	Laurie Felland, M S , 8/1/11-11/30/12 Models of Access to Specialty Services for Medicaid Enrollees Implications for Health Reform	\$ 48,336	\$ 0
4/12/2011	Board Grant	Center for Health Care Strategies, Inc 200 American Metro Boulevard, Suite 119 Hamilton, NJ 08619	PC	20110330	Stephen Somers, Ph D 5/1/11-4/30/13 State Planning for a High Performance Health System for Medicare/Medicaid Dual Eligibles		\$ -
4/12/2011	Board Grant	Premier Research Institute 13034 Ballantyne Corporate Place Charlotte, NC 28277	PC	20110331	Eugene Kroch, Ph D , and Danielle Lloyd, M P H 5/1/11-12/31/11 Learning What It Takes to Form Successful Accountable Care Organizations	\$ 32,289	\$ -
4/12/2011	Board Grant	AcademyHealth 1150 17th Street NW, Suite 600 Washington, DC 20036	PC	20110343	Lisa Simpson, M B 5/1/11-4/30/13 Establishing a New Beacon Community Learning Network to Accelerate Local Delivery System Redesign	\$ 136,000	\$ 68,000
4/12/2011	Board Grant	The Commonwealth Fund 1 East 75th Street New York, NY 10021	PF	20110354	Barry Scholl 5/1/11-4/30/12 Authorization to Support Additional Publishing Projects		\$ -
7/12/2011	Board Grant	Brigham and Women's Hospital Inc 75 Francis Street Boston, MA 02115	PC	20110355	Nitesh K Choudhry, M D , Ph D 7/15/11-7/14/12 The Potential of Value-Based Insurance Design Evaluating the Effect of Eliminating Prescription Drug Copayments for Heart Attack Patients		\$ 22,083
7/12/2011	Board Grant	President and Fellows of Harvard College 17 Quincy Street, Massachusetts Hall Cambridge, MA 02138	PC	20110391	Michael Chernow, Ph D 8/1/11-1/31/13 Evaluating the Clinical and Economic Impact of the Alternative Quality Contract, Phase 2	\$ 127,000	\$ 44,667

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7/12/2011	Board Grant	President and Fellows of Harvard College 17 Quincy Street, Massachusetts Hall Cambridge, MA 02138	PC	20110426	Joan Reede, M D , M P H , M B A. 7/1/12-6/30/13 The Mongan Commonwealth Fund Fellowship in Minority Health Policy Support for Program Direction and Fellowships, 2012-13		\$ 50,000
7/12/2011	Board Grant	Alliance for Health Reform 1444 Eye Street, NW, Suite 910 Washington, DC 20005-6573	PC	20110432	Edward Howard, J D 7/15/11-7/14/12 Health Policy Seminars and Roundtables, Staff Dialogues, and Congressional Staff/Support Agency Retreat, 2011-12	\$ 37,078	\$ 0
7/12/2011	Board Grant	University of Oregon 5219 University of Oregon Eugene, OR 97403-5219	PC	20110458	Jessica Greene, Ph D 9/16/11-9/15/13 How a Health System Uses an Innovative Physician Compensation Model to Drive Improvements in Care Delivery		\$ 45,461
7/12/2011	Board Grant	Group Health Cooperative 1730 Minor Ave Suite 1600 Seattle, WA 98101	PC	20110467	Edward Wagner, M D , M P H 8/1/11-1/31/13 Creating a National Medical Home Curriculum Diffusion of the Safety Net Medical Home Initiative	\$ 45,794	\$ 0
7/12/2011	Board Grant	Center for Studying Health System Change 600 Maryland Avenue SW, Suite 550 Washington, DC 20024-5216	NC	20110470	Peter Cunningham, Ph D 8/1/11-12/31/12 The Affordability of Medical Care Management of Chronic Conditions and the Potential Effects of Health Reform, Phase 2	\$ 26,689	\$ -
7/12/2011	Board Grant	DMA Health Strategies 9 Meriam Street, Suite 4 Lexington, MA 02420	NC	20110473	Wendy Holt, M P H 8/1/11-8/31/12 Role and Financing of Enabling Services for Vulnerable Populations	\$ 16,865	\$ -
7/12/2011	Board Grant	Massachusetts General Hospital 15 Parkman Street, WAC 812 Boston, MA 02114-3117	PC	20110495	Timothy Ferris, M D , M P H 8/1/11-7/31/12 Implementing Care Management for Complex Patients in Medical Homes A Comparison of Models		\$ 13,890
7/12/2011	Board Grant	AcademyHealth 1150 17th Street NW, Suite 600 Washington, DC 20036	PC	20110512	Lisa Simpson, M B , B Ch , M P H , F A A P 7/1/11-6/30/12 Partnering with Academy Health to Promote a High Performing Health System Rent and Services	\$ 8,735	\$ -
11/15/2011	Board Grant	National Opinion Research Center 1155 E 60th St Chicago, IL 60637	PC	20110556	Jon R Gabel, M A 11/15/11-11/15/12 Surveying Small Employers to Inform the Design of State Insurance Exchanges	\$ 84,036	\$ -
4/10/2012	Board Grant	Brigham and Women's Hospital Inc 75 Francis Street Boston, MA 02115	PC	20120001	David Bates, M D , M Sc 5/1/12-9/30/13 Evaluating a Comprehensive Primary Care Medical Home Payment Model in Albany, N Y , Phase 2		\$ 71,661
4/10/2012	Board Grant	Harvard College, President and Fellows of 17 Quincy Street, Massachusetts Hall Cambridge, MA 02150	PC	20120031	Richard Frank, Ph D , M A 5/1/12-4/30/13 Helping Dually Eligible Beneficiaries with Mental Illness Receive Better Care Coordination		\$ 25,202

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11/15/2011	Board Grant	Yale University P O Box 2038 New Haven, CT 06520	PC	20120050	Elizabeth Bradley, Ph D 1/1/12-6/30/13 Spreading Effective Hospital Strategies for Reducing 30-Day Mortality for Heart Attack, Phase 1	\$ 38,852	\$ -
11/15/2011	Board Grant	Joan and Sanford I Weill Medical College of Cornell University 425 East 61st Street New York, NY 10021	PC	20120052	Lawrence Casalino, M D , Ph D 12/1/11-5/31/13 Exploring How Small Physician Practices Can Achieve Higher-Quality, Lower-Cost Care	\$ 135,000	\$ 64,571
11/15/2011	Board Grant	Pacific Business Group on Health c/o PBGH 221 Main Street, Suite 1500 San Francisco, CA 94105	PC	20120054	Suzanne Delbanco, Ph D 1/1/12-3/31/14 Identifying, Describing, and Tracking Innovative Payment Initiatives		\$ 46,291
11/15/2011	Board Grant	Health Management Associates, Inc 120 North Washington Square, Suite 705 Lansing, MI 48933	NC	20120061	Sharon Silow-Carroll, M S W , M B A 12/1/11-11/30/12 Medicaid Managed Care Innovations to Improve Care for Vulnerable Populations	\$ 39,716	\$ -
11/15/2011	Board Grant	Trustees of Dartmouth College 11 Rope Ferry Road, #6210 Hanover, NH 03755	PC	20120069	Valerie Lewis, Ph D 1/1/12-12/31/12 How Will Vulnerable Populations Fare in Accountable Care Organizations?	\$ 46,398	\$ -
11/15/2011	Board Grant	Brandeis University 415 South Street Waltham, MA 02454	PC	20120070	Robert Mechanic, M B A 3/1/12-2/28/13 Evaluating the Impact of the Alternative Quality Contract on Medical Groups' Capacity to Provide Accountable Care, Phase 2	\$ 46,923	\$ 0
11/15/2011	Board Grant	Trustees of Dartmouth College 11 Rope Ferry Road, #6210 Hanover, NH 03755	PC	20120072	Elliott Fisher, M D , M P H 1/1/12-12/31/12 The Dartmouth Accountable Care Organization Tracking Project, Year 3 Assessing Implementation, Identifying Success Factors, and Supporting Spread		\$ 16,774
11/15/2011	Board Grant	LeadingAge, Inc 2519 Connecticut Avenue NW Washington, DC 20008-1520	PC	20120076	Carol Benner, Sc M 12/1/11-9/30/12 Advancing Excellence in America's Nursing Homes Accelerating Results Through Coalitions, Year 5	\$ 48,223	\$ (0)
11/15/2011	Board Grant	Health Management Associates, Inc 120 North Washington Square, Suite 705 Lansing, MI 48933	NC	20120078	Sharon Silow-Carroll, M S W , M B A. 12/1/11-11/30/12 Case Studies of State Innovations in Payment and Delivery System Reform	\$ 28,767	\$ -
11/15/2011	Board Grant	Pear Tree Communications, Inc 3035 Lincoln Boulevard Cleveland Heights, OH 44118	NC	20120105	Martha Hostetter 1/1/12-12/31/12 Profiling the Performance of Health Care Organizations and Systems on WhyNotTheBest.org, Phase 4	\$ 68,510	\$ (0)
11/15/2011	Board Grant	The Commonwealth Fund 1 East 75th Street New York, NY 10021	PF	20120107	Robin Osborn 12/1/11-12/31/12 Commonwealth Fund/Nuffield Trust International Conference on Quality Improvement and Delivery System Reform, 2012		\$ (0)

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11/15/2011	Board Grant	The Commonwealth Fund 1 East 75th Street New York, NY 10021	PF	20120150	Stuart Guterman 12/1/11-11/30/12 Commonwealth Fund Commission on a High Performance Health System Meetings		\$ (0)
4/10/2012	Board Grant	Center for Health Policy Development National Academy for State Health Policy 10 Free Street, 2nd Floor Portland, ME 04101	PC	20120257	Jill Rosenthal, M P H 6/1/12-2/28/13 ABCD III Distilling and Disseminating Lessons for Improving Care Coordination for Young Children	\$ 30,504	\$ (0)
4/10/2012	Board Grant	University of Montana School of Journalism 32 Campus Dr Missoula, MT 59812	PC	20120259	Stephen Seninger, Ph D 7/1/12- 6/30/13 Cost Analysis of a Nurse Care Management Program for High- Risk Medicaid Patients in Montana	\$ 25,488	\$ -
4/10/2012	Board Grant	Institute for Community Health 163 Gore Street Cambridge, MA 02141	PC	20120260	Karen Hacker, M D , M P H 5/1/12- 4/30/13 Examining a Safety-Net System's Transformation into an Accountable Care Organization	\$ 28,557	\$ -
4/10/2012	Board Grant	Florida Atlantic University 777 Glades Road Boca Raton, FL 33431	PC	20120276	Joseph Ouslander, M D 5/1/12- 10/31/13 National Dissemination of a Program for Improving Management of Acute Conditions in Nursing Homes		\$ 40,000
4/10/2012	Board Grant	Visiting Nurse Service of New York 1675 Broadway, 18th Floor New York, NY 10019	PC	20120277	Penny Feldman, Ph D and Carol Raphael, M P A 5/1/12-7/31/13 Promoting Integrated Care for Dual Eligibles, Phase 1	\$ 38,084	\$ -
4/10/2012	Board Grant	Qualis Health 10700 Meridian Avenue N, Suite 100 Seattle, WA 98133	PC	20120296	Jonathan Sugarman, M D , M P H 5/1/12-4/30/13 Transforming Safety- Net Clinics into Patient-Centered Medical Homes, 2012-13	\$ 209,449	\$ -
4/10/2012	Board Grant	Project HOPE - The People- to-People Health Foundation, Inc 7500 Old Georgetown Road, Suite 600 Bethesda, MD 20814	PC	20120297	Susan Dentzer, M A 5/1/12-4/30/13 Web Publishing Alliance with Health Affairs	\$ 20,000	\$ -
4/10/2012	Board Grant	The Commonwealth Fund 1 East 75th Street New York, NY 10021	PF	20120298	Barry Scholl 5/1/12-4/30/13 Online Resources for Educating Key Audiences About Policy and Delivery System Reforms	\$ 13,450	\$ -
4/10/2012	Board Grant	Urban Institute 2100 M Street NW Washington, DC 20037	PC	20120301	Bradford Gray, Ph D 6/15/12-6/14/13 Enhancing the International Program's Communications and Publications Capacity, Year 4	\$ 40,000	\$ 12,916
4/10/2012	Board Grant	The Commonwealth Fund 1 East 75th Street New York, NY 10021	PF	20120302	Barry Scholl 5/1/12-4/30/14 Educating Key Audiences About How the U S Health System is Reforming	\$ 14,000	\$ -

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7/10/2012	Board Grant	Institute for Healthcare Improvement 20 University Road 7th Floor Cambridge, MA 02138	PC	20120303	Donald Goldmann, M D 7/1/12 - 9/30/13 The State Action on Avoidable Rehospitalizations (STAAR) Initiative, Phase 5	\$ 150,000	\$ -
7/10/2012	Board Grant	Medica Research Foundation Mail Route CW295 PO Box 9310 Minneapolis, MN 55440-9310	PC	20120304	Caroline Carlin, Ph D 8/1/12-8/31/13 Vertical Integration of Clinic Systems Impact on Care Delivery	\$ 84,483	\$ -
4/10/2012	Board Grant	London School of Economics and Political Science Houghton Street London, ENGLAND WC2A 2AE United Kingdom	NC	20120306	Sarah Thomson, Ph D , and Elias Mossialos, M D 5/1/12-4/30/13 International Data Tracking Project Comparison of Health Care Costs in the U S with Those in Selected Countries	\$ 187,380	\$ -
4/10/2012	Board Grant	AcademyHealth 1150 17th Street NW, Suite 600 Washington, DC 20036	PC	20120316	Lisa Simpson, M B , B Ch , M P H , F A A P 1/1/12-12/31/12 General Support		\$ -
4/10/2012	Board Grant	AcademyHealth 1150 17th Street NW, Suite 600 Washington, DC 20036	PC	20120317	Lisa Simpson, M B , B Ch , M P H , F A A P 7/1/12-6/30/13 Rent and Services	\$ 284	\$ 20,306
4/10/2012	Board Grant	London School of Economics and Political Science Houghton Street London, ENGLAND WC2A 2AE United Kingdom	NC	20120330	Sarah Thomson, Ph D , and Elias Mossialos, M D 5/1/12-4/30/13 International Lessons for the Financial Sustainability of Health System	\$ 34,118	\$ -
4/10/2012	Board Grant	Harvard College, President and Fellows of 17 Quincy Street, Massachusetts Hall Cambridge, MA 02150	PC	20120331	Arnold Epstein, M D , M A and Ashish Jha, M D , M P H 7/1/12-12/31/13 Assessing the Characteristics and Performance of Accountable Care Organizations and Their Potential for Spread, Phase 1	\$ 363,260	\$ -
7/10/2012	Board Grant	Center for Excellence in Health Care Journalism 10 Neff Hall Columbia, MO 65211	PC	20120382	Len Bruzzese 8/1/12-7/31/14 Reporting Fellowships in Health Care Performance, Phase 2		\$ 30,000
7/10/2012	Board Grant	President and Directors of Georgetown College for Georgetown University 2233 Wisconsin Avenue, NW, Suite 525 Washington, DC 20007	PC	20120413	Laura Summer, M P H , and Jack Hoadley, Ph D 8/1/12-7/31/13 Assessing the Influence of Medicaid Managed Care on Health Care Delivery Change	\$ 24,984	\$ -
7/10/2012	Board Grant	Group Health Cooperative 1730 Minor Ave Suite 1600 Seattle, WA 98101	PC	20120415	Robert Reid, M D , M P H , Ph D 8/1/12-7/31/14 The Spread of High-Performance Integrated Care Delivery Systems Assessing Two Hybrid Approaches	\$ 139,000	\$ 69,741
7/10/2012	Board Grant	AARP Foundation 601 E Street NW Washington, DC 20049	PC	20120416	Susan Reinhard, R N , Ph D 8/1/12-12/31/14 Raising Expectations State Scorecard on Long-Term Services and Supports, 2nd Edition	\$ 160,449	\$ 73,517

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7/10/2012	Board Grant	Camden Coalition of Health Care Providers 808 Market Street, 2nd Floor Camden, NJ 08102	PC	20120421	Jeffrey Brenner, M D 9/1/12-8/31/14 Spreading Use of a Health Care "Hotspotting" Tool to Improve Quality and Reduce Costs	\$ 75,000	\$ 29,472
7/10/2012	Board Grant	President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138	PC	20120439	Joan Reede, M D , M P H , M S , M B A 7/1/13-6/30/14 The Mongan Commonwealth Fund Fellowship in Minority Health Policy Support for Program Direction and Fellowships, 2013-14	\$ 750,000	\$ 50,000
7/10/2012	Board Grant	Center for Health Policy Development National Academy for State Health Policy 10 Free Street, 2nd Floor Portland, ME 04101	PC	20120441	Mary Takach, M P H 8/1/12-7/31/14 Strengthening Primary Care Through Multipayer Medical Home Initiatives	\$ 200,000	\$ 121,386
7/10/2012	Board Grant	President and Directors of Georgetown College for Georgetown University 2233 Wisconsin Avenue, NW, Suite 525 Washington, DC 20007	PC	20120442	Kevin Lucia, J D , M H P 10/1/12- 9/30/13 Implementing the Affordable Care Act Tracking and Analysis of Insurance Market Reforms, Year 2	\$ 103,716	\$ (0)
7/10/2012	Board Grant	Alliance for Health Reform 444 Eye Street, NW, Suite 910 Washington, DC 20005- 6573	PC	20120444	Edward Howard, J D 7/15/12- 7/14/13 Commonwealth Fund Bipartisan Congressional Retreat, 2013	\$ 40,896	\$ 0
7/10/2012	Board Grant	Alliance for Health Reform 1444 Eye Street, NW, Suite 910 Washington, DC 20005- 6573	PC	20120445	Edward Howard, J D 7/15/12- 8/31/13 Health Policy Briefings, Roundtables, Staff Dialogues, and Congressional Staff Retreat, 2012-13	\$ 65,711	\$ 10,506
7/10/2012	Board Grant	LeadingAge, Inc 2519 Connecticut Avenue NW Washington, DC 20008- 1520	PC	20120448	Cheryl Phillips, M D 10/1/12-9/30/13 Leveraging Change Through Engagement Building on the Advancing Excellence Campaign to Improve Nursing Home Care, Year 6	\$ 43,963	\$ -
7/10/2012	Board Grant	Institute for Healthcare Improvement 20 University Road, 7th Floor Cambridge, MA 02138	PC	20120451	David Radley, Ph D , M P H 8/1/12- 12/31/13 Support for a Research Unit to Update the Health System Scorecards and Analyze Local Variations in Performance, 2012-13	\$ 115,559	\$ -
7/10/2012	Board Grant	The George Washington University 44983 Knoll Square 251 Ashburn, VA 20147	PC	20120453	Sara Rosenbaum, J D 9/1/12- 12/31/13 Monitoring Implementation of Standards for Health Plans Offered in State Insurance Exchanges, Phase 2	\$ 120,000	\$ 90,959
7/10/2012	Board Grant	The Commonwealth Fund 1 East 75th Street New York, NY 10021	PF	20120454	Sara Collins, Ph D 8/1/12-12/31/13 Analysis and Modeling of Health Care Reform Implementation		\$ -
7/10/2012	Board Grant	Center for Health Care Strategies, Inc 200 American Metro Boulevard, Suite 119 Hamilton, NJ 08619	PC	20120462	Patricia McGinnis, M P P , M P H 9/1/12-8/31/13 Advancing Accountable Care Organizations in Medicaid	\$ 133,765	\$ -

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7/10/2012	Board Grant	Rockefeller Archive Center 15 Dayton Avenue Sleepy Hollow, NY 10591-1598	POF	20120464	Lee Hiltzik, Ph D 7/1/12-6/30/13 Transfer and Maintenance of The Commonwealth Fund's Archives, Year 17	\$ 8,500	\$ -
7/10/2012	Board Grant	The Commonwealth Fund 1 East 75th Street New York, NY 10021	PF	20120468	Cathy Schoen 8/1/12-12/31/13 Authorization to Support Data Acquisition	\$ 58,302	\$ 7,716
7/10/2012	Board Grant	The Commonwealth Fund 1 East 75th Street New York, NY 10021	PF	20120485	Barry Scholl 7/1/12-6/30/13 Supporting the Fund's Communications and Publishing Capacity to Reach Change Agents and Inform Public Discourse	\$ 440,733	\$ (0)
7/10/2012	Board Grant	Social Science Research Solutions 53 West Baltimore Pike Media, PA 19063	PC	20120486	Melissa J Herrmann and Eran Ben-Porath, Ph D 8/1/12-11/30/13 International Health Policy Survey, 2013	\$ 275,494	\$ -
7/10/2012	Board Grant	Institute for Healthcare Improvement 20 University Road, 7th Floor Cambridge, MA 02138	PC	20120490	Douglas McCarthy, M B A 7/15/12 - 12/31/13 Exemplars of Local Health Care Delivery Reform Where Policy Meets Practice	\$ 113,657	\$ -
11/13/2012	Board Grant	President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138	PC	20120491	Michael Chernew, Ph D 12/1/12 - 5/31/14 Evaluating the Impact of a Tiered Hospital Network on Costs	\$ 188,950	\$ 47,237
11/13/2012	Board Grant	Regents of the University of California 11 Shields Avenue Davis, CA 95616	PC	20130008	Christine Ritchie, M D 1/1/13 - 12/31/13 Developing a Quality Framework for Medical Care Provided at Home	\$ 45,693	\$ 10,153
4/9/2013	Board Grant	President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138	PC	20130024	Sara Singer, Ph D , M B A 5/1/13 - 11/30/14 Understanding Variations in Care Coordination from the Patient's Viewpoint, Phase 1	\$ 280,000	\$ 70,000
11/13/2012	Board Grant	Social Science Research Solutions 53 West Baltimore Pike Media, PA 19063	NC	20130063	Melissa J Herrmann, M A 1/1/13 - 12/31/13 A National Survey to Assess the Capacity of Community Health Centers to Serve as Medical Homes and Participate in Accountable Care Networks	\$ 75,000	\$ 16,565
11/13/2012	Board Grant	National Senior Citizens Law Center 1444 Eye Street NW, Suite 1100 Washington, DC 20005	PC	20130064	Kevin Prindiville, J D 12/1/12 - 11/30/13 Amplifying the Consumer Voice as States Integrate Care for Dual Eligibles, Phase 2	\$ 15,000	\$ -
11/13/2012	Board Grant	The George Washington University 44983 Knoll Square 251 Ashburn, VA 20147	PC	20130065	Brian Biles, M D , M P H 12/1/12 - 5/31/14 Medicare's Future Lessons from Medicare Advantage		\$ 158,601

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11/13/2012	Board Grant	The University of Texas Health Science Center at Houston 4201 Medical Drive Suite 240 Bluffcreek Towers San Antonio, TX 78229	PC	20130066	Luisa Franzini, Ph D 1/1/13 - 6/30/14 Determinants of Spending Variation The Roles of Price, Service Use, and Market Conditions in Texas		\$ 150,474
11/13/2012	Board Grant	National Governors Association Center for Best Practices 444 North Capital Street, Suite 267 Washington, DC 20001-1512	PC	20130068	Krista Drobac, M P P and Brian Osberg, M P H 12/1/12 - 7/31/13 Helping States Design Multipayer Care Delivery Models		\$ -
11/13/2012	Board Grant	Center for Health Policy Development National Academy for State Health Policy 10 Free Street, 2nd Floor Portland, ME 04101	PC	20130069	Jill Rosenthal, M P H 1/1/13 - 3/31/14 Promoting Federal-State Collaboration to Achieve a High Performance Health System	\$ 140,508	\$ -
11/13/2012	Board Grant	Center for Studying Health System Change 1100 1st Street, NE, 12th Floor Washington, DC 20002-4221	NC	20130070	Ann O'Malley, M D , M P H 2/1/13 - 1/31/14 Understanding How Primary Care Teams in Medical Homes Develop and Function	\$ 113,850	\$ 56,886
11/13/2012	Board Grant	President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138	PC	20130071	Bruce Landon, M D , M B A , M Sc 12/1/12 - 11/30/13 Assessing the Evolution of Medical Home Initiatives A National Survey	\$ 46,400	\$ 23,233
11/13/2012	Board Grant	I PRO, Inc 1979 Marcus Avenue, Suite 105 Lake Success, NY 11042-1002	PC	20130081	Jaz-Michael King 1/1/13 - 12/31/13 Growing the Audience for WhyNotTheBest.org, Phase 5	\$ 209,313	\$ -
11/13/2012	Board Grant	Pear Tree Communications, Inc 3035 Lincoln Boulevard Cleveland Heights, OH 44118	NC	20130082	Martha Hostetter, M F A 1/1/13 - 12/31/13 Growing the Audience for WhyNotTheBest.org, Phase 5	\$ 64,195	\$ -
11/13/2012	Board Grant	Yale University P O Box 2038 New Haven, CT 06520	PC	20130083	Leslie Curry, Ph D and Elizabeth Bradley, Ph D 1/1/13 - 6/30/15 Evaluating the STAAR Collaborative's Impact on Hospitals' Care Transition Practices and Ability to Reduce Readmissions, Phase 2		\$ 287,999
11/13/2012	Board Grant	Trustees of Dartmouth College 11 Rope Ferry Road, #6210 Hanover, NH 03755	PC	20130084	Elliott Fisher, M D , M P H 1/1/13 - 12/31/13 Evaluating the Formation and Performance of Accountable Care Organizations, Year 4	\$ 229,024	\$ -
11/13/2012	Board Grant	Trustees of Dartmouth College 11 Rope Ferry Road, #6210 Hanover, NH 03755	PC	20130086	Valerie Lewis, Ph D 1/1/13 - 12/31/13 Examining the Development of Accountable Care Organizations Serving Vulnerable Populations	\$ 119,627	\$ -
11/13/2012	Board Grant	The Commonwealth Fund 1 East 75th Street New York, NY 10021	PF	20130087	Robin Osborn, M B A 12/1/12 - 12/31/13 Commonwealth Fund/Nuffield Trust International Conference on Quality Improvement and Delivery System Reform, 2013	\$ 54,518	\$ 10,497

Appropriation Date	Type of Grant	Institution	Foundation Status of Recipient	Grant No.	Project Director and Description	Amount Paid	Ending Balance @ 6-30-14
4/9/2013	Board Grant	American Academy of Home Care Physicians PO Box 1037 Edgewood, MD 21040-1037	PC	20130114	Constance Row, M P A 5/1/13 - 4/30/15 Independence at Home Learning Collaborative		\$ 71,360
11/13/2012	Board Grant	Johns Hopkins University 3400 North Charles Street Baltimore, MD 21218	PC	20130129	Karen Davis, Ph D 1/1/13 - 12/31/13 Informing the National Policy Debate on Medicare's Future and Provider Payment Reform	\$ 79,167	\$ 0
4/9/2013	Board Grant	Project HOPE - The People-to-People Health Foundation, Inc 7500 Old Georgetown Road, Suite 600 Bethesda, MD 20814	PC	20130273	John Iglehart 5/1/13 - 4/30/14 Web Publishing Alliance with Health Affairs	\$ 110,000	\$ -
4/9/2013	Board Grant	GfK Custom Research, LLC 440 Park Avenue South, 6th Floor New York, NY 10016	NC	20130280	Jordon Peugh, M A 5/1/13 - 10/31/15 Tracking Health Insurance Reform 2013-15		\$ -
4/9/2013	Board Grant	Trustees of Columbia University in the City of New York 630 West 168th Street New York, NY 10027	PC	20130281	Sherry Glied, Ph D 7/1/13 - 6/30/14 Analysis and Technical Assistance in Support of Health Reform		\$ -
4/9/2013	Board Grant	Center for Health Care Strategies, Inc 200 American Metro Boulevard, Suite 119 Hamilton, NJ 08619	PC	20130283	Stephen Somers, Ph D , and Alice Lind, M P H 5/1/13 - 7/31/14 Helping States Implement New Systems of Integration for Care of Dual Eligibles, Phase 2	\$ 200,000	\$ 49,977
4/9/2013	Board Grant	Urban Institute 2100 M Street NW Washington, DC 20037	PC	20130307	Robert Berenson, M D 5/1/13 - 4/30/14 Interaction of Medical Homes and Accountable Care Organizations	\$ 60,000	\$ 28,621
4/9/2013	Board Grant	Qualis Health 10700 Meridian Avenue North, #5 Seattle, WA 98133	PC	20130308	Jonathan Sugarman, M D , M P H 6/1/13 - 5/31/15 Safety Net Medical Home Initiative Dissemination and Behavioral Health Integration	\$ 90,000	\$ 158,801
4/9/2013	Board Grant	Wake Forest University Health Sciences Medical Center Blvd Winston-Salem, NC 27157-1066	PC	20130320	Mark Hall, J D 5/1/13 - 4/30/14 Insurance Premium Rate Review Assessing the Industry's Response and the Effect on Premiums Across States	\$ 80,920	\$ 80,921
4/9/2013	Board Grant	AcademyHealth 1150 17th Street NW, Suite 600 Washington, DC 20036	PC	20130324	Lisa Simpson, M B , B Ch , M P H , F A A P 1/1/13 - 12/31/13 General Support	\$ 6,000	\$ -
4/9/2013	Board Grant	AcademyHealth 1150 17th Street NW, Suite 600 Washington, DC 20036	PC	20130325	Lisa Simpson, M B , B Ch , M P H , F A A P 7/1/13 - 6/30/14 Rent and Services		\$ 27,481

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4/9/2013	Board Grant	The Center for Effective Philanthropy 675 Massachusetts Avenue, 7th Floor Cambridge, MA 02139	PC	20130326	Phil Buchanan 1/1/13 - 12/31/13 General Support	\$ 5,550	\$ 5,550
4/9/2013	Board Grant	Brigham and Women's Hospital Inc 75 Francis Street Boston, MA 02115	PC	20130339	Thomas Sequist, M D , M P H 5/1/13 - 4/30/15 Using Electronic Health Records to Measure Overuse of Low-Value Health Services	\$ 160,000	\$ 238,255
4/9/2013	Board Grant	London School of Economics and Political Science Houghton Street London, ENGLAND WC2A 2AE	NC	20130350	Sarah Thomson, Ph D , and Elias Mossialos, M D , Ph D 5/1/13 - 4/30/14 Learning from the International Experience, Year 6	\$ 85,000	\$ 114,650
4/9/2013	Board Grant	Urban Institute 2100 M Street NW Washington, DC 20037	PC	20130351	Bradford Gray, Ph D 6/15/13 - 6/14/14 Enhancing the International Program's Communications and Publications Capacity, Year 5		\$ 100,000
7/9/2013	Board Grant	President and Fellows of Harvard College Massachusetts Hall, Cambridge, MA 02138	PC	20130493	Joan Y Reede, M D , M P H , M S , M B A 7/1/14 - 6/30/15 Mongan Commonwealth Fund Fellowship in Minority Health Policy Support for Program Direction and Fellowships, 2014-15	\$ 650,000	\$ 150,000
7/9/2013	Board Grant	President and Fellows of Harvard College Massachusetts Hall, Cambridge, MA 02138	PC	20130499	Haideen Huskamp, Ph D and Colleen Barry, Ph D , M P P 8/1/13 - 7/31/14 Examining the Impact of the Alternative Quality Contract on the Treatment and Costs of Patients with Mental Illness	\$ 152,000	\$ 39,783
7/9/2013	Board Grant	LeadingAge, Inc 2519 Connecticut Avenue NW Washington, DC 20008-1520	PC	20130521	Cheryl L Phillips, M D 3/1/14 - 3/15/15 Leveraging Change Through Engagement Building on the Advancing Excellence Campaign to Improve Nursing Homes For Their Residents	\$ 200,000	\$ 284,602
7/9/2013	Board Grant	Joan and Sanford I. Weill Medical College of Cornell University 425 East 61st Street New York, NY 10021	PC	20130522	Lawrence Casalino, M D , Ph D 9/1/13 - 4/30/14 Assessing the Large Primary Care Medical Group as an Alternative Model for Organizing Health Care		\$ 100,000
7/9/2013	Board Grant	Georgetown University 2233 Wisconsin Avenue, NW, Suite 525, Washington, DC 20007	PC	20130532	Kevin Lucia, J D , M H P 10/1/13 - 9/30/14 Monitoring and Analyzing Implementation of the Affordable Care Act's Insurance Market Reforms, Year 3	\$ 175,000	\$ 261,144
7/9/2013	Board Grant	Manatt, Phelps & Phillips, LLP 7 Times Square, New York, NY 10036	NC	20130538	Deborah Bachrach, J D 8/1/13 - 3/31/14 Examining the Implications of the 2014 Medicaid Expansion for Behavioral Health Services	\$ 208,000	\$ 52,000
7/9/2013	Board Grant	Alliance for Health Reform 1444 Eye Street, NW, Suite 910 Washington, DC 20005-6573	PC	20130550	Edward Howard, J D 7/15/13 - 7/14/14 Commonwealth Fund Bipartisan Congressional Retreat, 2014	\$ 309,234	\$ 77,310

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7/9/2013	Board Grant	Alliance for Health Reform 1444 Eye Street, NW, Suite 910 Washington, DC 20005-6573	PC	20130551	Edward Howard, J D 7/15/13 - 7/14/14 Health Policy Briefings, Roundtables, and Congressional Staff Retreat, 2014	\$ 216,922	\$ 62,741
7/9/2013	Board Grant	National Association of Medicaid Directors 444 North Capitol Street, #309 Washington, DC 20001	PC	20130552	Kathleen Nolan, M P H 7/15/13 - 7/14/14 State Medicaid Leaders and Payment and Delivery Reform Emerging Issues in a Time of Change	\$ 114,090	\$ 28,522
7/9/2013	Board Grant	The Commonwealth Fund 1 East 75th Street New York, NY 10021	PF	20130580	Barry Scholl 7/1/13 - 6/30/14 Supporting the Fund's Communications and Publishing Capacity to Reach Change Agents and Inform Public Discourse	\$ 956,666	\$ 292,485
7/9/2013	Board Grant	President and Fellows of Harvard College Massachusetts Hall, Cambridge, MA 02138	PC	20130596	Meredith Rosenthal, Ph D 8/1/13 - 7/31/15 A Meta-Analysis of Medical Home Evaluations	\$ 135,479	\$ 192,662
7/9/2013	Board Grant	Rockefeller Archive Center 15 Dayton Avenue Sleepy Hollow, NY 10591-1598	POF	20130597	Lee Hiltzik, Ph D 7/1/13 - 6/30/14 Transfer and Maintenance of The Commonwealth Fund's Archives, Year 18	\$ 76,500	\$ 8,500
7/9/2013	Board Grant	SSRS 53 West Baltimore Pike Media, PA 19063	NC	20130598	Melissa J Herrmann, M A , and Robyn Rapoport 8/1/13 - 11/30/14 International Health Policy Survey, 2014	\$ 360,000	\$ 90,000
7/9/2013	Board Grant	Digital Divide Data 115 West 30th Street Suite 400 New York, NY 10001	PC	20130606	Jeremy Hockenstein 7/1/13 - 6/30/14 Grant Archives Digitization	\$ 50,000	\$ -
7/9/2013	Board Grant	President and Fellows of Harvard College Massachusetts Hall Cambridge, MA 02138	PC	20130678	Arnold Epstein, M D , M A 8/1/13 - 7/31/14 Examining the Early Impact of the Affordable Care Act on Low-Income Populations Comparing State Decisions on Medicaid Expansion, Phase 1	\$ 200,000	\$ 298,980
7/9/2013	Board Grant	New York University 70 Washington Square South New York, NY 10012	PC	20130697	Sherry Glied, Ph D 9/1/13 - 11/30/14 Analysis and Technical Assistance in Support of Health Reform	\$ 65,000	\$ 104,775
7/9/2013	Board Grant	SSRS 53 West Baltimore Pike Media, PA 19063	NC	20130703	Melissa J Herrmann, M A and Eran Ben-Porath, Ph D 8/1/13 - 11/30/14 The 2013-2014 Commonwealth Fund Marketplace (Exchange) Tracking Surveys	\$ 560,000	\$ 44,300
4/8/2014	Board Grant	Regents of the University of California 11 Shields Avenue Davis, CA 95616	PC	20140041	Christine Ritchie, M D , M S P H 5/1/14 - 4/30/15 Optimizing Quality in Home-Centered Primary Care, Phase 2	\$ 110,000	\$ 170,130

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11/12/2013	Board Grant	Issues Research, Inc P O Box 220, Durango, CO 81302	NC	20140115	Douglas McCarthy, M B A 1/1/14 - 12/31/14 Research and Advisory Services in Support of Health System Performance Monitoring, Reform and Innovation	\$ 354,000	\$ 95,190
11/13/2013	Board Grant	Trustees of Dartmouth College 11 Rope Ferry Road, #6210 Hanover, NH 03755	PC	20140116	Carrie Colla, Ph D 1/1/14 - 12/31/14 Evaluating the Formation and Performance of Accountable Care Organizations Focus on High-Need, High-Cost Populations, Year 5	\$ 170,000	\$ 253,992
4/8/2014	Board Grant	Trustees of Dartmouth College 11 Rope Ferry Road, #6210, Hanover, NH 03755	PC	20140117	Valerie Lewis, Ph D 5/1/14 - 7/31/14 Examining the Efforts of Accountable Care Organizations to Improve Coordination of Care for Vulnerable Patients	\$ 97,397	\$ 146,095
11/12/2013	Board Grant	The Commonwealth Fund 1 East 75th Street New York, NY 10021	PF	20140118	Robin Osborn 12/1/13 - 6/30/15 Commonwealth Fund/Nuffield Trust Commissioned Papers on Delivery System Reform, 2015		\$ 50,000
11/12/2013	Board Grant	Regents of the University of Michigan 3003 South State Street 1020, Ann Arbor, MI 48109	PC	20140121	Julia Adler-Milstein, Ph D 1/1/14 - 12/31/15 Improving the Performance of Primary Care Physicians in Caring for High-Need, High-Cost Patients	\$ 140,000	\$ 204,298
11/12/2013	Board Grant	I PRO, Inc 1979 Marcus Avenue, Suite 105, Lake Success, NY 11042-1002	PC	20140123	Jaz-Michael King 1/1/14 - 12/31/14 Sustainability Planning and Readying for Transition WhyNotTheBest org, Phase 6	\$ 140,000	\$ 212,028
11/12/2013	Board Grant	Pear Tree Communications, Inc 3035 Lincoln Boulevard Cleveland Heights, OH 44118	NC	20140124	Martha Hostetter, M F A 1/1/14 - 12/31/14 Sustainability Planning and Readying for Transition WhyNotTheBest org, Phase 6	\$ 18,555	\$ 59,737
11/12/2013	Board Grant	The Commonwealth Fund 1 East 75th Street New York, NY 10021	PF	20140125	Anne-Marie Audet, M D , M Sc 8/1/13 - 7/31/14 Breakthrough Health Care Opportunities Program Year 1 Strategic Implementation		\$ 202,765
11/12/2013	Board Grant	Princeton Survey Research Associates International 911 Commons Way, Princeton, NJ 08540	NC	20140199	Mary McIntosh, Ph D 1/1/14 - 12/31/14 Assessing the Impact of the Affordable Care Act After Six Months The Commonwealth Fund Biennial Health Insurance Survey, 2014	\$ 400,000	\$ 233,025
11/12/2013	Board Grant	National Opinion Research Center 1155 E 60th St Chicago, IL 60637	PC	20140200	Jon Gabel, M A 12/1/13 - 12/31/14 Surveying Small Employers to Inform the Design of State Insurance Marketplaces, 2014	\$ 110,000	\$ 159,327
11/12/2013	Board Grant	Center for Health Care Strategies, Inc 200 American Metro Boulevard, Suite 119 Hamilton, NJ 08619	PC	20140201	Patricia McGinnis, M P P , M P H 1/1/14 - 12/31/14 Enhancing the Capacity of Medicaid Accountable Care Organizations to Serve Vulnerable Populations, Phase 2	\$ 85,000	\$ 128,630

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11/12/2013	Board Grant	National Senior Citizens Law Center 1444 Eye Street NW, Suite 1100, Washington, DC 20005	PC	20140202	Kevin Prindiville, J D 12/1/13 - 11/30/14 Amplifying the Consumer Voice as States Integrate Care for Dual Eligibles, Phase 3	\$ 40,000	\$ 70,000
11/12/2013	Board Grant	National Governors Association Center for Best Practices 444 North Capital Street, Suite 267 Washington, DC 20001-1512	PC	20140204	Frederick Isasi, J D , M P H 12/1/13 - 11/30/14 A 50-State Meeting on Health System Transformation	\$ 180,000	\$ 20,000
11/12/2013	Board Grant	Center for Health Care Strategies, Inc 200 American Metro Boulevard Suite 119 Hamilton, NJ 08619	PC	20140215	Sarah Barth, J D 12/1/13 - 7/31/15 Promoting Integrated Care for Medicare/Medicaid Dual Eligibles, Phase 2	\$ 300,000	\$ 162,731
11/12/2013	Board Grant	Johns Hopkins University 242 Garland Hall 3400 North Charles Street Baltimore, MD 21218	PC	20140216	Karen Davis, Ph D 1/1/14 - 6/30/15 Medicare at 50	\$ 160,000	\$ 239,525
11/12/2013	Board Grant	President and Fellows of Harvard College Massachusetts Hall, Cambridge, MA 02138	PC	20140227	Ashish Jha, M D , M P H 12/1/13 - 5/31/15 Care Utilization and Spending Patterns for High-Cost Medicare Beneficiaries	\$ 175,325	\$ 266,356
11/12/2013	Board Grant	Institute for Healthcare Improvement 20 University Road, 7th Floor Cambridge, MA 02138	PC	20140228	David Radley, Ph D , M P H 1/1/14 - 12/31/14 Support for a Research Unit to Produce Health System Performance Scorecards, Year 4	\$ 245,000	\$ 104,410
11/12/2013	Board Grant	The Commonwealth Fund 1 East 75th Street, New York, NY 10021	PF	20140243	Cathy Schoen 1/1/14 - 12/31/14 Authorization to Support Data Acquisition and Analytic Software		\$ 104,102
11/12/2013	Board Grant	Massachusetts General Hospital 15 Parkman Street, WAC 812 Boston, MA 02114-3117	PC	20140250	John Hsu, M D , M B A , M S C E 11/15/13 - 11/14/14 Assessing Adverse Selection in California's Individual Health Insurance Market, Phase 1	\$ 120,000	\$ 179,980
4/8/2014	Board Grant	Project HOPE - The People-to-People Health Foundation, Inc 7500 Old Georgetown Road, Suite 600 Bethesda, MD 20814	PC	20140578	John Iglehart 5/1/14 - 4/30/15 Dissemination of Policy Information on Innovation and Health Reform Implementation, 2014	\$ 90,000	\$ 110,000
4/8/2014	Board Grant	National Conference of State Legislatures 7700 East 1st Place Denver, CO 80230	NC	20140588	Laura Tobler, M P P 5/1/14 - 4/30/15 Creating State Legislative Champions for Health System Reform, 2014	\$ 90,000	\$ 135,000
4/8/2014	Board Grant	Center for Health Policy Development National Academy for State Health Policy 10 Free Street, 2nd Floor Portland, ME 04101	PC	20140589	Jill Rosenthal, M P H 5/1/14 - 7/31/15 Continuing the Dialogue Federal-State Discourse to Promote a High Performance Health System, Phase 2	\$ 100,000	\$ 151,619

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4/8/2014	Board Grant	Johns Hopkins University 242 Garland Hall 3400 North Charles Street Baltimore, MD 21218	PC	20140603	Gerard Anderson, Ph D 4/1/14 - 4/14/15 Identifying Successful Programs for Managing Care for High-Need, High-Cost Populations, 2014	\$ 108,000	\$ 163,000
4/8/2014	Board Grant	Regents of the University of California 11 Shields Avenue Davis, CA 95616	PC	20140605	Laura Gottlieb, M,D , M P H 5/1/14 - 7/31/15 The Case for Medicaid Managed Care Plan Investment in Programs Addressing the Social Determinants of Health	\$ 88,660	\$ 132,988
4/8/2014	Board Grant	SSRS 53 West Baltimore Pike Media, PA 19063	NC	20140608	Robyn Rapoport 7/15/14 - 2/28/15 Commonwealth Fund/Kaiser Family Foundation 2014 Survey of Primary Care Providers		\$ 176,786
4/8/2014	Board Grant	The George Washington University 44983 Knoll Square 251 Ashburn, VA 20147	PC	20140610	Peter Shin, Ph D , M P H 5/1/14 - 3/31/15 Identifying Innovative Payment Models for Federally Qualified Health Centers, 2014	\$ 52,172	\$ 78,259
4/8/2014	Board Grant	Wake Forest University Health Sciences Medical Center Blvd. Winston-Salem, NC 27157-1066	PC	20140651	Mark Hall, J D 7/1/14 - 6/30/15 Examining Health Insurers' Premium Rate Increases and Their Response to the Medical Loss Ratio Requirement, 2013-14		\$ 159,932
4/8/2014	Board Grant	London School of Economics and Political Science Houghton Street London, ENGLAND WC2A 2AE	NC	20140683	Elias Mossialos, Ph D 5/1/14 - 4/30/15 International Data Tracking Project Comparison of Health Care Costs in the U S with Those in Selected Countries, 2014		\$ 173,800
4/8/2014	Board Grant	London School of Economics and Political Science Houghton Street London, ENGLAND WC2A 2AE	NC	20140684	Elias Mossialos, Ph D 5/1/14 - 4/30/16 International Working Group on Care for High-Need, High-Cost Patients, Year 7		\$ 378,080
4/8/2014	Board Grant	Vanderbilt University 2301 Vanderbilt Place Nashville, TN 37235	PC	20140698	Melinda Buntin, Ph D 4/1/14 - 6/30/15 Slowing Medicare Spending Analysis of Trends and Their Policy Implications		\$ 231,547
4/8/2014	Board Grant	Acumen, LLC 500 Airport Blvd, Suite 365 Burlingame, CA 94010	NC	20140699	Thomas DeLeire, Ph D 4/1/14 - 6/30/15 Slowing Medicare Spending Analysis of Trends and Their Policy Implications		\$ 220,084
4/8/2014	Board Grant	President and Fellows of Harvard College Massachusetts Hall, Cambridge, MA 02138	PC	20140701	Michael Chernew, Ph D 6/1/14 - 5/31/15 Tracking Private and Medicare Costs by Clinical Condition		\$ 69,561
4/8/2014	Board Grant	Health Care Cost Institute, Inc 1310 G Street NW Suite 720 Washington, DC 20005	PC	20140702	David Newman, Ph D , J D 5/1/14 - 7/31/15 Tracking Private and Medicare Costs by Clinical Condition		\$ 96,941

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4/8/2014	Board Grant	AcademyHealth 1150 17th Street NW, Suite 600 Washington, DC 20036	PC	20140784	Lisa Simpson, M B , B Ch , M P H , F A A P 1/1/14 - 12/31/14 General Support	\$ 12,000	\$ 3,000
4/8/2014	Board Grant	AcademyHealth1150 17th Street NW, Suite 600, Washington, DC 20036	PC	20140785	Lisa Simpson, M B , B Ch , M P H , F A A P 1/1/14 - 12/31/14 Rent and Services	\$ 100,000	\$ 28,706
4/8/2014	Board Grant	The Center for Effective Philanthropy 675 Massachusetts Avenue, 7th Floor Cambridge, MA 02139	PC	20140786	Phil Buchanan 1/1/13 - 12/31/13 General Support		\$ 7,500
4/8/2014	Board Grant	The Communications Network 1755 Park Street, Suite 260 Naperville, IL 60563	PC	20140787	Bruce S Trachtenberg 1/1/14 - 12/31/14 General Support	\$ 3,500	\$ -
4/8/2014	Board Grant	Foundation Center 79 Fifth Avenue New York, NY 10003-3076	PC	20140788	Bradford Smith 1/1/14 - 12/31/14 General Support	\$ 15,000	\$ -
4/8/2014	Board Grant	Grantmakers in Aging 2001 Jefferson Davis Highway, Suite 504 Arlington, VA 22202	PC	20140789	John Feather, Ph D 1/1/13 - 12/31/13 General Support	\$ 6,500	\$ -
4/8/2014	Board Grant	Grantmakers In Health 1100 Connecticut Avenue, NW, Suite 1200, Washington, DC 20036	PC	20140790	Faith Mitchell, Ph D 1/1/14 - 12/31/14 General Support	\$ 15,000	\$ -
4/8/2014	Board Grant	Grants Managers Network, Inc 1666 K Street, NW, Suite 440, Washington, DC 20006	PC	20140791	Michelle Greanias, M B A 1/1/14 - 12/31/14 General Support	\$ 2,500	\$ -
4/8/2014	Board Grant	Nonprofit Coordinating Committee of New York 135 West 36th Street, 15th Floor New York, NY 10018	PC	20140792	Michael Clark 1/1/14 - 12/31/14 General Support	\$ 75,000	\$ -
4/8/2014	Board Grant	Philanthropy New York 79 Fifth Avenue, Fourth Floor New York, NY 10003-3076	PC	20140793	Ronna Brown, J D 1/1/14 - 12/31/14 General Support	\$ 18,100	\$ -
4/8/2014	Board Grant	Technology Affinity Group 23 Bnar Road Wayne, PA 19087	PC	20140794	Lisa Pool 1/1/13 - 12/31/13 General Support	\$ 1,000	\$ -

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4/12/2011	Board Grant	Fluxx Labs, Inc 301 Battery Street, 5th Floor San Francisco, CA 94111	NC	20110371	5/1/11-4/30/12 Grants Database Implementation Support	\$ 1,115	\$ -
10/4/2013	Board Grant -- From Authorization	London School of Economics and Political Science Houghton Street London, ENGLAND WC2A 2AE	NC	20130645	Elias Mossialos, Ph D 8/1/13 - 12/31/13 Best Practices for International Mental Health Care in Primary Care	\$ 20,000	\$ 14,980
10/4/2013	Board Grant -- From Authorization	The George Washington University 44983 Knoll Square 251 Ashburn, VA 20147	PC	20130659	Sara Rosenbaum, J D 10/1/13 - 6/30/14 Assessing the Early Experiences of Multi-Market Health Plans Under the Affordable Care Act, as Well as Early Industry Re	\$ 110,000	\$ 39,947
7/21/2013	Board Grant -- From Authorization	Nebraska Press Association Foundation 845 S Street, Lincoln, NE 68508	PC	20140007	Allen Beermann 9/1/13 - 8/31/14 Rural Health News Service Pilot Project Nebraska, South Dakota, Colorado	\$ 46,000	\$ 6,000
7/21/2013	Board Grant -- From Authorization	Trustees of Columbia University in the City of New York 630 West 168th Street, New York, NY 10027	PC	20140035	Bhaven Sampat, Ph D 7/1/13 - 8/31/13 Programming Support for Fund's Research Programs Analysis of National Datasets on Health Reform Issues	\$ 21,876	\$ -
10/4/2013	Board Grant -- From Authorization	SSRS 53 West Baltimore Pike Media, PA 19063	NC	20140309	Robyn Rapoport 10/1/13 - 1/3/14 The Commonwealth Health Insurance Marketplace Surveys	\$ 55,000	\$ 6,347
11/22/2013	Board Grant -- From Authorization	Trustees of Columbia University in the City of New York 630 West 168th Street, New York, NY 10027	PC	20140361	Brent Cunningham, M S , M A 1/1/14 - 12/31/14 The Second Opinion	\$ 40,000	\$ 10,000
11/12/2013	Board Grant -- From Authorization	Health Vista LLC P O Box 47141 Chicago, IL 47141-0141	NC	20140410	Sarah Klein 12/1/13 - 12/1/14 Quality Matters A Fund Bimonthly Newsletter about Innovators Making a Difference in Patient Care, Patient Outcomes, and Efficiency	\$ 26,924	\$ 22,815
1/6/2014	Board Grant -- From Authorization	Trustees of the University of Pennsylvania Department of Family Practice and Community Medicine 2nd Floor Gates Building 3400 Spruce Street, Philadelphia, PA 19104	PC	20140526	Kevin Volpp, M D , Ph D 2/1/14 - 9/30/14 Using Behavioral Economics and Psychology to Create Effective Provider Incentives	\$ 40,077	\$ 60,117
3/27/2014	Board Grant -- From Authorization	Brigham and Women's Hospital Inc 75 Francis Street, Boston, MA 02115	PC	20140655	David Bates, M D , M Sc 1/1/14 - 12/31/14 Engaging Consumers Using Health IT and Mobile Applications	\$ 79,000	\$ 118,041
5/23/2014	Board Grant -- From Authorization	Project HOPE - The People-to-People Health Foundation, Inc 7500 Old Georgetown Road, Suite 600 Bethesda, MD 20814	PC	20140917	John Iglehart 5/1/14 - 9/1/14 Health Affairs Thematic Issue on Global Health Innovation		\$ 50,000

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4/21/2011	Board Grant -- From Authorization	Project HOPE - The People-to-People Health Foundation, Inc P7500 Old Georgetown Road, Suite 600 Bethesda, MD 20814	PC	20110441	Susan Dentzer, M A 5/1/11-4/30/12 Health Affairs Paper Cluster Key Implementation Issues for Employer Participation in the Insurance Exchanges	\$	-
8/15/2011	Board Grant -- From Authorization	Regents of the University of California 11 Shields Avenue Davis, CA 95616	PC	20110552	Steven Wallace, Ph D 10/1/11-9/30/12 Undocumented Immigrants and Health Care Reform	\$ 11,203	\$ -
6/2/2012	Board Grant -- From Authorization	The King's Fund 11-13 Cavendish Square London W1M 0AN UK	NC	20120396	Anna Dixon, Ph D 5/1/12-12/31/12 International Case Studies of Integrated Care for Older People with Complex Needs	\$ 6,183	\$ 6,183
6/2/2012	Board Grant -- From Authorization	Nebraska Press Association Foundation 845 S Street Lincoln, NE 68508	PC	20120507	Allen Beermann 9/1/12-5/31/12 Pilot Model for Creating a State Rural Health News Service	\$ 6,550	\$ -
6/28/2012	Board Grant -- From Authorization	Project HOPE/The People-to-People Health Foundation, Inc 7500 Old Georgetown Road, Suite 600 Bethesda, MD 20814	PC	20120511	Susan Dentzer, M A 7/1/12-6/30/13 International Publishing Alliance with Health Affairs	\$ 17,961	\$ -
6/13/2012	Board Grant -- From Authorization	University of Pittsburgh 139 University Place Pittsburgh, PA 15260	PC	20120516	Yuting Zhang, Ph D 7/1/12 - 11/30/13 Evaluating the Quality of Prescribing in Medicare	\$ 35,683	\$ -
8/27/2012	Board Grant -- From Authorization	Health Vista LLC P O Box 47141 Chicago, IL 47141-0141	NC	20130050	Sarah Klein 9/1/12 - 8/31/13 Quality Matters A Fund Bimonthly Newsletter about Innovators Making a Difference in Patient Care, Patient Outcomes, and Efficiency	\$ 19,622	\$ 0
11/23/2012	Board Grant -- From Authorization	Trustees of Columbia University in the City of New York 630 West 168th Street New York, NY 10027	PC	20130163	Michael Hoyt 1/1/13 - 12/31/13 The Second Opinion Elevating the Coverage of Health Care Policy	\$ 10,000	\$ -
3/22/2013	Board Grant -- From Authorization	Trustees of Columbia University in the City of New York 630 West 168th Street New York, NY 10027	PC	20130302	Bhaven Sampat, Ph D 11/1/12 - 6/30/13 Programming Support for Fund's Research Programs Analysis of National Datasets on Health Reform Issues	\$ 83,717	\$ 3,088
4/1/2013	Board Grant -- From Authorization	Center for Health Policy Development National Academy for State Health Policy 10 Free Street, 2nd Floor Portland, ME 04101	PC	20130406	Mary Takach, M P H , R N 4/1/13 - 3/31/14 Enhancing NASHP's Central Resource for State/Medicaid Accountable Care Delivery Models	\$ 3,320	\$ -
6/30/2013	Board Grant -- From Authorization	University of Pittsburgh 139 University Place Pittsburgh, PA 15260	PC	20130660	Yuting Zhang, Ph D 7/1/13 - 6/30/14 Updating Measures of Geographic Variation in the Quality of Prescribing in Medicare	\$ 30,000	\$ 20,000

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4/13/2010	Board Grants (IHP Fellowships)	The Commonwealth Fund 1 East 75th Street New York, NY 10021	PF	20100292	Robin Osborn 4/15/10-10/14/12 Harkness Fellowships in Health Care Policy and Practice, 2011-12	\$ 19,107	\$ 813,929
4/12/2011	Board Grants (IHP Fellowships)	The Commonwealth Fund 1 East 75th Street New York, NY 10022	PF	20110290	Robin Osborn 4/15/11-10/14/13 Harkness Fellowships in Health Care Policy and Practice, 2012-13	\$ 243,562	\$ 378,747
4/10/2012	Board Grants (IHP Fellowships)	The Commonwealth Fund 1 East 75th Street New York, NY 10023	PF	20120237	Robin Osborn 4/15/12-10/14/14 Harkness Fellowships in Health Care Policy and Practice, 2013-14	\$ 2,055,145	\$ 629,751
4/9/2013	Board Grants (IHP Fellowships)	The Commonwealth Fund 1 East 75th Street New York, NY 10024	PF	20130305	Robin Osborn 4/15/13 - 10/14/15 Harkness Fellowships in Health Care Policy and Practice, 2014-15	\$ 232,803	\$ 1,391,078
4/8/2014	Board Grants (IHP Fellowships)	The Commonwealth Fund 1 East 75th Street New York, NY 10025	PF	20140708	Robin Osborn 4/1/14 - 10/14/16 Harkness Fellowships in Health Care Policy and Practice, 2015-16	\$ 39,246	\$ 1,761,379
	Board Grants (IHP Fellowships)	The Commonwealth Fund 1 East 75th Street New York, NY 10026	PF	none	Harkness Fellowships in Health Care Policy and Practice - Reauthorization	\$ 38,380	\$ 1,290,426
7/12/2011	Board Grants (IHP Symposium)	The Commonwealth Fund 1 East 75th Street New York, NY 10027	PF	20110459	Robin Osborn 8/1/11-12/31/12 International Symposium on Health Care Policy, Fall 2012	\$ 574	\$ 6,865
7/10/2012	Board Grants (IHP Symposium)	The Commonwealth Fund 1 East 75th Street New York, NY 10028	PF	20120466	Robin Osborn 8/1/12-12/31/13 International Symposium on Health Care Policy, Fall 2013	\$ 283,180	\$ 87,980
7/9/2013	Board Grants (IHP Symposium)	The Commonwealth Fund 1 East 75th Street New York, NY 10029	PF	20130573	Robin Osborn 8/1/13 - 12/31/14 International Symposium on Health Care Policy, Fall 2014	\$ 20,000	\$ 345,000
ongoing approval of transfers	Board Grants (IHP Symposium)	The Commonwealth Fund 1 East 75th Street New York, NY 10030	PF	none	International Symposium on Health Care Policy Issue Briefs Fund		\$ 184,836
12/2/2008	Small Grant	Bundesgeschäftsstelle Qualitätssicherung gGmbH Kanzlerstraße 4 Düsseldorf 40472 Germany	NC	20090275	Christof Veit, M D 1/1/09-12/31/09 Planning Grant for The Commonwealth Fund Initiative for Second Generation International Benchmarking in Health Care		\$0

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4/17/2009	Small Grant	The Commonwealth Fund 1 East 75th Street New York, NY 10021	PF	20090579	Robin Osborn 4/15/09-12/31/09 Innovations in Health Policy and Practice An International Case Study Series		\$4,500
5/22/2010	Small Grant	University Hospital of Cologne Schumacher Street 62 Cologne 50937 Germany	PC	20100550	Stephanie Stock, M D , Ph D 9/1/10-8/31/11 Patient-Related Outcomes Survey in German Disease Management Programs		\$10,000
6/25/2010	Small Grant	President and Directors of Georgetown College 2233 Wisconsin Avenue, NW, Suite 525 Washington, DC 20007	PC	20100566	Ryung Suh, M D , M P P , M B A , M P H 12/1/10-11/30/12 Massachusetts Health Insurance Reform Promise and Results		\$19,943
12/7/2010	Small Grant	Brandeis University 415 South Street Waltham, MA 02454	PC	20110123	Robert Mechanic, M B A 1/1/11-10/31/12 Survey of Capitation Contracting and Physician Compensation in Organized Delivery Systems	\$9,842	\$0
8/15/2011	Small Grant	The Board of Trustees of the University of Alabama for the University of Alabama at Birmingham Birmingham, AL 35294-2010	PC	20120012	Robert Weech-Maldonado, M B A , Ph D 8/1/12-7/31/12 Dissemination of CAHPS Cultural Competency and Health Literacy Surveys		\$0
10/17/2011	Small Grant	President and Directors of Georgetown College for Georgetown University 2233 Wisconsin Avenue, NW, Suite 525 Washington, DC 20007	PC	20120043	Imma Kottmar, J D 09/01/11-03/31/11 Implementation of the Affordable Care Act Critical Issues About the Private Health Insurance Market, Entities, Products, and Implications For Successful Health Insurance Reforms and State Exchanges	\$14,098	\$0
11/28/2011	Small Grant	National Business Coalition on Health 1015 18th Street NW, Suite 730 Washington, DC 20036	PC	20120208	Andrew Webber 1/1/12-12/31/12 "Purchasing High Performance" Newsletter		\$0
3/1/2012	Small Grant	The Florence Heller Graduate School Institute for Health Policy - MS035 415 South Street Waltham, MA 02454-9110	PC	20120248	Stuart H Altman, Ph D 3/1/12 - 12/31/12 19th Annual Princeton Conference States' Role in Healthcare Reform - Possibilities to Improve Access and Quality	\$3,997	\$0
3/1/2012	Small Grant	National Academy of Sciences 500 5th Street NW, Keck 849 Washington, DC 20001	PC	20120299	Jill Eden, M B A , M P H 6/1/12-2/28/14 Developing New Approaches to Governance and Financing of Graduate Medical Education for the 21st Century		\$7,500
3/1/2012	Small Grant	University of Chicago 5801 South Ellis Avenue Chicago, IL 60637	PC	20120332	Marshall Chin, M D , M P H , F A C P 3/1/12-2/28/13 Incorporating Medical Home Measures into National Reporting Systems for Federally Qualified Health Centers		\$9,869
3/30/2012	Small Grant	Center for Health Policy Development National Academy for State Health Policy 10 Free Street, 2nd Floor Portland, ME 04101	PC	20120351	Jill Rosenthal, M P H 3/1/12-5/31/13 Transforming Primary Care through State Initiatives Adapting the North Carolina Experience	\$6,312	\$0

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4/24/2012	Small Grant	Brigham and Women's Hospital Inc 75 Francis Street Boston, MA 02115	PC	20120359	Alexander Turchin, M D , M S 6/1/12-5/30/13 Effect of Health Information Exchange on the Cost of Medical Tests	\$10,518	\$0
7/10/2012	Small Grant	Brigham and Women's Hospital Inc 75 Francis Street Boston, MA 02115	PC	20120369	Elliot Wakeam, M D 7/1/12 - 9/30/13 Identifying Hospital Best Safety Practices to Reduce Post-Surgical Complications	\$8,913	\$0
6/25/2012	Small Grant	Trustees of the University of Pennsylvania Medicine 2nd Floor Gates Building Philadelphia, PA 19104	PC	20120393	David Casarett, M D 7/1/12-6/30/13 Identifying Best Practices in the Care of Seriously Ill Nursing Home Residents A Positive Deviance Approach		\$8,000
4/9/2012	Small Grant	London School of Economics and Political Science Houghton Street London, ENGLAND WC2A 2AE United Kingdom	NC	20120431	Sarah Thomson, Ph D & Elias Mossialos, M D 8/1/12-4/30/13 Analysis of Medical Imaging Policies, Prices and Utilization in High-Income Countries	\$20,000	\$29,989
6/25/2012	Small Grant	Geisinger Clinic 100 North Academy Avenue Danville, PA 17822	PC	20120432	Thomas Graf, M D , F A A F P 6/1/12-5/31/13 Comparative Survey in Structured Diabetes Care		\$5,000
4/24/12	Small Grant	Johns Hopkins University 242 Garland Hall 3400 North Charles Street Baltimore, MD 21218	PC	20120434	Gerard Anderson, Ph D & Robin Osborn, M B A 6/1/12-5/31/12 Top 10 Health Innovations for the US to Consider	\$9,800	\$0
6/30/2013	Small Grant	Organisation for Economic Cooperation and Development (OECD) 2 Rue Andre Pascal Cedex 16 Paris 75775	NC	20120452	Mark Pearson 8/15/12 - 9/30/13 Development and Validation of a Survey to Enable International Benchmarking of Availability and Use of Information Technologies in the Health Sector	\$5,459	\$0
6/2/2012	Small Grant	Mathematica Policy Research, Inc P O Box 2393 Princeton, NJ 08543-2393	NC	20120487	Marsha Gold, Sc D 7/1/12-9/30/12 Variation in Efficiency Medicare Advantage Plans Relative to Medicare Fee For Service	\$4,975	\$0
6/2/2012	Small Grant	Regents of the University of Michigan 3003 South State Street, 1020 Ann Arbor, MI 48109	PC	20120488	Lauren Nicholas, Ph D 8/1/12-7/31/13 Assessing the Impact of the Medicare Advantage Bid System Market Structure, Plan Payment and Enrollment	\$11,348	\$0
4/1/2013	Small Grant	Project HOPE - The People-to-People Health Foundation, Inc 7500 Old Georgetown Road, Suite 600 Bethesda, MD 20814	PC	20120489	Gail Wilensky, Ph D 9/1/12 - 2/28/13 Alternative Strategies for Reforming Medicare Physician Payment	\$16,317	\$0
6/25/2012	Small Grant	Harvard Pilgrim Health Care Two Fenway Plaza Boston, MA 02215	PC	20120504	Stephen Soumerai, Sc D 7/1/12-6/30/13 Interpreting Results of Evaluations of Healthcare Interventions Shedding Light on the Impact of Research Designs on Validity and Consequences for Recommending Adoption and Spread	\$4,984	\$0

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11/23/2012	Small Grant	Society of General Internal Medicine 500 King Street, Suite 303 Alexandria, VA 22314	PC	20120505	David Karlson, Ph D and Gary Rosenthal, M D 10/1/12 - 9/30/13 Patient Centered Medical Home Research Conference 2	\$6,000	\$0
6/25/2012	Small Grant	University of Kansas Center for Research, Inc Youngberg Hall, 2385 Irving Hill Road Lawrence, KS 66045	PC	20120508	Jean Hall, Ph D 8/1/12-7/31/13 Evaluation of Pre-Existing Condition Insurance Plans Under the Affordable Care Act		\$9,503
6/25/2012	Small Grant	RAND Corporation 1776 Main Street P O Box 2138 Santa Monica, CA 90407	PC	20120509	Mireille Jacobson, Ph D 8/1/12-7/31/13 The Impact of Health Insurance on Financial Risk	\$13,361	\$0
6/28/2012	Small Grant	Harvard College, President and Fellows of 17 Quincy Street, Massachusetts Hall Cambridge, MA 02150	PC	20120519	Richard Frank, Ph D , M A 8/1/12-2/28/13 Organizing Coordinated Care for Medicaid A Case Study		\$5,150
10/2/2012	Small Grant	Center for Medicare Advocacy, Inc P O Box 350 Willimantic, CT 06226	PC	20130001	Toby S Edelman, J D 10/1/12 - 6/30/13 Examining Inappropriate Antipsychotic Drug Use in Nursing Homes	\$8,998	\$0
8/27/2012	Small Grant	Yale University P O Box 2038 New Haven, CT 06520	PC	20130014	Leslie Curry, Ph D 7/1/12 - 12/31/12 Understanding Hospital Practices among Hospitals Enrolled with the State Action on Avoidable Rehospitalizations (STAAR) Initiatives	\$9,059	\$0
11/23/2012	Small Grant	Focused Medical Analytics 125 Sully's Trail, Suite 8 Pittsford, NY 14534	NC	20130049	Howard Beckman, M D 10/1/12 - 3/31/13 Practitioner/Health Plan Collaboration Building a New Model for the Next Decade	\$4,996	\$0
8/27/2012	Small Grant	National Academy of Social Insurance 1776 Massachusetts Avenue, N W , Suite 400 Washington, DC 20036	PC	20130077	Lee Goldberg, J D , M A 10/1/12 - 11/30/12 Avoiding Unintended Consequences Identifying Critical Issues in Implementing the Duals Demonstration Projects	\$9,706	\$0
10/2/2012	Small Grant	Center for Studying Health System Change 1100 1st Street, NE, 12th Floor Washington, DC 20002-4221	NC	20130078	Paul Ginsburg, Ph D 11/1/12 - 8/31/13 Addressing Provider Consolidation through Market Forces and Regulation	\$12,400	\$0
8/10/2012	Small Grant	The University of Texas at Austin 1515 Holcombe Boulevard Box 536 Houston, TX 77030	PC	20130079	William Sage, M D , J D 1/1/13 - 8/31/13 Health Reform, Competition Policy, and Emerging Health Care Markets		\$4,904
8/27/2012	Small Grant	Public Health Institute 555 12th Street, 10th Floor Oakland, CA 94607	PC	20130152	Andrew Brodenck, M B A , M A. 1/1/13 - 9/30/13 Safety Net Transformation through Patient Engagement Using mHealth	\$16,008	\$0

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8/27/2012	Small Grant	AcademyHealth 1150 17th Street NW, Suite 600 Washington, DC 20036	PC	20130164	Enrique Martinez-Vidal, M P P 12/1/12 - 9/30/13 Support for the 2013 Activities of AcademyHealth's State Health Research and Policy Interest Group	\$5,000	\$0
6/30/2013	Small Grant	Pennsylvania State University University Park, PA 16802	PC	20130170	Ann Kolanowski, Ph D , R N , F A A N 12/1/12 - 8/15/13 Toolbox for Improving Behavioral Health in Nursing Homes	\$3,375	\$0
8/1/2012	Small Grant	CUNY TV Foundation 365 Fifth Avenue, Suite 1400 New York, NY 10016	PC	20130171	Robert Isaacson and Scott Williston 1/1/13 - 6/30/13 Talking Health TV Series on CUNY-TV	\$14,500	\$0
4/9/2013	Small Grant	Lown Cardiovascular Research Foundation, Inc 21 Longwood Avenue Brookline, MA 02446	PC	20130199	Vikas Saini, M D 6/1/13 - 12/31/13 Developing a Clinical Case-Series Describing the Nature of the Decision Making Process that Leads to Overuse at the Point of Care	\$9,760	\$0
2/4/2013	Small Grant	Brown University 171 Meeting Street, G-B 215M Providence, RI 02912	PC	20130200	Denise Tyler, Ph D 6/1/13 - 1/31/14 Innovation in High Medicaid Nursing Homes	\$7,449	\$0
10/2/2012	Small Grant	Association of Health Care Journalists Missouri School of Journalism 10 Neff Hall Columbia, MO 65211	PC	20130265	Len Bruzzese 3/1/13 - 2/28/14 Support for the Association of Health Care Journalists' Health Journalism 2013, Rural Health Journalism Workshop, and the Aging and Long-Term Care Online Learning Center	\$8,000	\$0
8/1/2012	Small Grant	Brandeis University 415 South Street Waltham, MA 02454	PC	20130296	Stuart H. Altman, Ph D 3/1/13 - 12/31/13 20th Annual Princeton Conference "The US Healthcare System in Transition"	\$3,000	\$0
11/28/2012	Small Grant	RAND Corporation 1776 Main Street P O Box 2138 Santa Monica, CA 90407	PC	20130311	Peter Hussey, Ph D and Cheryl Damberg, Ph D 3/1/13 - 8/31/13 Assessing the Current Position of WhyNotTheBest.org as a National Benchmarking and Performance Improvement Tool An External Evaluation	\$29,443	\$0
8/1/2012	Small Grant	Progressive States Network 82 Wall Street, Suite 200 New York, NY 10005	PC	20130335	Ann Pratt 4/1/13 - 9/30/13 State Health Care Policy Implementation Project	\$7,000	\$0
11/28/2012	Small Grant	Scientific Institute for Quality of Healthcare Raboud University Nijmegen Medical Centre P O Box 9101 114 Nijmegen 6500 HB	NC	20130352	Gert Westert, Ph D 4/1/13 - 12/31/13 Expansion of 2013 Commonwealth Fund International Health Policy Survey to Include the Netherlands	\$15,000	\$8,468
4/1/2013	Small Grant	The George Washington University 44983 Knoll Square 251 Ashburn, VA 20147	PC	20130422	Leighton Ku, Ph D 5/1/13 - 9/30/13 Comprehensive Immigration Reform Opportunities To Improve Immigrant Health	\$9,228	\$0

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11/23/2012	Small Grant	Wake Forest University Health Sciences Medical Center Blvd Winston-Salem, NC 27157-1066	PC	20130423	Mark Hall, J D 8/1/13 - 3/31/14 Assessing Health Insurers Using Medical Loss Ratio Data – Round II	\$40,000	\$10,000
2/20/2013	Small Grant	Project HOPE - The People-to-People Health Foundation, Inc 7500 Old Georgetown Road, Suite 600 Bethesda, MD 20814	PC	20130424	John Iglehart 3/21/13 - 4/30/13 Author Travel to Health Affairs Briefing On The Triple Aim Goes Global Improving Health and Health Care While Lowering Cost	\$15,000	\$0
2/20/2013	Small Grant	The Commonwealth Fund 1 East 75th Street New York, NY 10021	PF	20130426	Robin Osborn 5/1/13 - 10/31/13 Achieving a High Performing Health Care System Shared Lessons for Canada and the United States		\$38,000
6/30/2013	Small Grant	The George Washington University 44983 Knoll Square 251 Ashburn, VA 20147	PC	20130427	Katie Horton, R N , J D , M P H and Mary-Beth Malcarney, J D , M P H 4/1/13 - 8/15/13 Budget Deficit Reduction A Review of Stakeholder Proposals	\$9,694	(\$0)
11/22/2012	Small Grant	AcademyHealth 1150 17th Street NW, Suite 600 Washington, DC 20036	PC	20130436	Lisa Simpson, M B , B Ch , M P H , F A A P 4/1/13 - 10/31/13 Current State of Federal Health Services Research Architecture	\$28,795	\$0
6/30/2013	Small Grant	Digital Divide Data 115 West 30th Street, Suite 400 New York, NY 10001	PC	20130439	Jeremy Hockenstein 4/1/13 - 7/31/13 Grant Archives Digitalization	\$5,000	\$0
4/9/2013	Small Grant	Manatt, Phelps & Phillips, LLP 7 Times Square New York, NY 10036	NC	20130450	Deborah Bachrach, J D 5/1/13 - 11/15/13 Achieving a High Performing Health System Addressing the Social Determinants of Health	\$10,000	\$0
10/2/2012	Small Grant	Brandeis University 415 South Street Waltham, MA 02454	PC	20130486	Robert Mechanic, M B A and Darren Zinner, Ph D 6/15/13 - 5/15/14 Identifying Progress and Pace of Rapid Change in Reimbursement Contracts Among Group Physician Practices	\$40,000	\$9,987
4/29/2013	Small Grant	Trustees of the University of Pennsylvania 3400 Spruce Street Philadelphia, PA 19104	PC	20130502	Ezekiel Emanuel, M D , Ph D 5/1/13 - 4/30/14 Cross National Comparisons of End-of-Life Patterns of Care		\$10,000
10/22/2012	Small Grant	Center for Health Care Strategies, Inc 200 American Metro Boulevard, Suite 119 Hamilton, NJ 08619	PC	20130503	Patricia McGinnis, M P P , M P H 5/1/13 - 9/30/13 Leveraging Public Health and Social Services in Statewide Health Care Delivery and Payment Reform	\$9,953	\$0
4/1/2013	Small Grant	Washington and Lee University 204 West Main Street Lexington, VA 24450	PC	20130599	Timothy Jost, J D 9/1/13 - 8/31/14 Health Insurance Exchanges Under Health Care Reform Issues in Government and Marketplace Implementation	\$40,000	\$10,000

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7/21/2013	Small Grant	Virginia Commonwealth University P O Box 842512 Richmond, VA 23284	PC	20130611	Peter Cunningham, Ph D 10/1/13 - 1/31/15 The Financial Burden of Medical Care Implications of Recent Developments in Health Care Policy, Coverage, and Care Del	\$40,000	\$8,247
10/4/2013	Small Grant	National Academy of Sciences 500 5th Street NW, Keck 849 Washington, DC 20001	PC	20130622	Jill Eden, M B A, M P H 4/1/14 - 1/31/16 Study of Family Caregiving in the United States		\$25,000
6/30/2013	Small Grant	Society of American Business Editors and Writers, Inc University of Missouri-Columbia 385 McReynolds Hall Columbia, MO 65211	PC	20130636	Warren Watson 7/1/13 - 11/30/13 The Business of Health Care 2013 Workshops and Symposium	\$33,423	\$0
4/29/2013	Small Grant	Grantmakers In Health 1100 Connecticut Avenue, NW, Suite 1200 Washington, DC 20036	PC	20130644	Osula Evadne Rushing, M S 7/1/13 - 6/30/14 Support for the Federal-State Implementation Project, Phase 3	\$24,000	\$6,000
6/30/2013	Small Grant	University of New Mexico MSC05 3300 Scholes Hall University of New Mexico Albuquerque, NM 87131	PC	20130647	Arthur Kaufman, M D 7/1/13 - 6/30/14 Sustainability of the National Primary Care Extension Program	\$28,000	\$7,000
6/30/2013	Small Grant	National Committee for Quality Assurance 1100 13th Street NW, Suite 1000 Washington, DC 02005	PC	20130661	Sarah Scholle, Dr P H , M P H 7/1/13 - 6/30/14 Leveraging Support for Reporting and Benchmarking Patient Experiences Results for PCMH Practices	\$20,000	\$27,405
6/30/2013	Small Grant	Center for Health Care Strategies, Inc 200 American Metro Boulevard, Suite 119 Hamilton, NJ 08619	PC	20130663	Sarah Barth, J D 7/1/13 - 12/15/13 Bndge Proposal for Promoting Integrated Care for Dual Eligibles (PRIDE) Phase 2	\$49,686	\$0
	Small Grant	Coalition to Transform Advanced Care 1001 G Street, NW, 8th Floor Washington, DC 20001	PC	20130671	Jon Broyles and Randall Krakauer, M D 9/1/13 - 1/31/14 Strategies to Promulgate Advanced Illness Care Models that Work	\$44,600	\$0
2/20/2013	Small Grant	National Committee for Quality Assurance 1100 13th Street NW, Suite 1000 Washington, DC 02005	PC	20130682	Robert Saunders, Ph D 7/1/13 - 10/31/14 Piloting and Assessing the Ability of ACOs to Collect and Report Performance on a Standardized Set of Measures	\$40,000	\$8,290
6/30/2013	Small Grant	Joan and Sanford I Weill Medical College of Cornell University 425 East 61st New York, NY 10021	PC	20130685	Lisa Kern, M D , M P H , F A C P 7/1/13 - 6/30/14 Two-Year Additional Follow-up to Medical Home Evaluation	\$36,000	\$13,886
6/30/2013	Small Grant	Health Research and Educational Trust 155 North Wacker Drive Chicago, IL 60606	PC	20130696	Maulik Joshi, Dr P H 7/1/13 - 6/30/14 2013 Trust Award	\$5,000	\$0

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6/30/2013	Small Grant	The Carter Center 453 Freedom Parkway Atlanta, GA 30307	PC	20130708	John Hardman, MD 29th Annual Rosalynn Carter Symposium on Mental Health Policy	\$10,000	\$0
7/21/2013	Small Grant	Innovation Network, Inc 1625 K Street, NW Suite 1050 Washington, DC 20006	PC	20140039	Tanya Beer, M P A , M A 7/22/13 - 9/15/13 Evaluation Strategy Workshop	\$7,100	\$0
10/4/2013	Small Grant	United Hospital Fund of New York 1411 Broadway, 12th Fl , New York, NY 10018	PC	20140054	James R Tallon, Jr 9/1/13 - 11/30/13 2013 United Hospital Fund Gala	\$25,000	\$0
2/19/2014	Small Grant	The Governing Council of the University of Toronto 150 College Street, Fitzgerald Bldg Toronto, Ontario M5S 1A8	NC	20140057	Wendy Levinson, M D 4/1/14 - 10/31/14 International Roundtable to Advance Physician Leadership on Overuse	\$40,000	\$10,000
10/4/2013	Small Grant	Greater New York Hospital Association 555 West 57th Street, 15th Floor New York, NY 10019	PC	20140139	Tim Johnson 8/15/13 - 11/15/13 24th Annual Symposium on Health Care Services in New York Research and Practice	\$2,400	\$0
10/24/2013	Small Grant	KNG Health Consulting 15245 Shady Grove Road, Suite 305 Rockville, MD 20850	NC	20140198	Lane Koenig, Ph D 10/1/13 - 4/30/14 Translating Intentions into Action Lessons from Non-Participants of the Medicare Bundled Payment for Care Improvement	\$40,000	\$10,000
10/24/2013	Small Grant	Massachusetts General Hospital 15 Parkman Street WAC 812 Boston, MA 02114-3117	PC	20140262	Elizabeth Mort, M D , M P H 2/1/14 - 6/30/14 A Proposal to Improve the Quality of Health Care through Peer to Peer Assessment	\$40,000	\$10,000
10/4/2013	Small Grant	Health Policy Alternatives, Inc 400 North Capitol Street N W , Suite 799 Washington, DC 20001-1536	NC	20140325	Jack Ebeler 10/1/13 - 10/31/13 Funding Moderator for October 18th Convening of Groups with Comprehensive Cost Control Proposals	\$7,500	\$0
11/22/2013	Small Grant	American Society of Health Economics 725 15th Street, NW Suite 600 Washington, D C 20005	PC	20140358	Anthony Lo Sasso, Ph D 2/1/14 - 7/31/14 Advanced Pay-For-Performance - Reengineering Provider Incentive Programs using Behavioral Economics	\$20,000	\$5,000
10/24/2013	Small Grant	Association of Health Care Journalists Missouri School of Journalism 10 Neff Hall, Columbia, MO 65211	PC	20140359	Len Bruzese 1/1/14 - 12/31/14 Support for the Association of Health Care Journalists' Health Journalism 2014, Regional Health Journalism Workshop, and the Aging and Long-Term Care Online Learning Center	\$36,000	\$9,000
10/24/2013	Small Grant	CUNY TV Foundation 365 Fifth Avenue Suite 1400 New York, NY 10016	PC	20140360	Robert Isaacson 11/1/13 - 4/30/14 Talking Health TV Series on CUNY-TV	\$35,000	\$14,500

Appropriation Date	Type of Grant	Institution	Foundation Status of Recipient	Grant No.	Project Director and Description	Amount Paid	Ending Balance @ 6-30-14
10/24/2013	Small Grant	Regents of the University of California 11 Shields Avenue Davis, CA 95616	PC	20140370	Molly Coye, M D 10/14/13 - 5/31/14 Scanning for Disruptive Innovations Identifying Potential Innovations for Enhanced Access, Reduced Costs, and Rapid Scalability	\$40,000	\$10,000
11/22/2013	Small Grant	The Commonwealth Fund 1 East 75th Street New York, NY 10021	PF	20140409	Cathy Schoen 12/1/13 - 11/30/14 Cost Control Advisory Group	\$10,091	\$24,259
10/24/2013	Small Grant	New York eHealth Collaborative 40 Worth Street, 5th Floor New York, NY 10013-2988	PC	20140411	David Whitlinger 2013 New York eHealth Collaborative Gala & PATH Awards	\$5,000	\$0
12/7/2013	Small Grant	The Foundation for Medical Excellence One S W Columbia Street Suite 800 Portland, OR 97258	PC	20140414	Barry Egner, M D 1/1/14 - 6/30/15 Creation of a Charter on Organizational Professionalism	\$10,000	\$15,000
11/22/2013	Small Grant	RK Evaluations and Strategies, L L C 3155 19th Street NW Washington D C 20010	NC	20140444	Robin Kane, M P A 12/1/13 - 5/30/14 Taking the Pulse Bellwether Interviews to Support the Fund's New Programs	\$20,000	\$3,000
11/22/2013	Small Grant	Nonprofit Coordinating Committee of New York 135 West 36th Street 15th Floor New York, NY 10018	PC	20140450	Michael Clark 12/1/13 - 5/30/14 General Operating Support	\$40,000	\$0
11/22/2013	Small Grant	The Commonwealth Fund 1 East 75th Street New York, NY 10021	PF	20140458	Melinda Abrams 1/1/14 - 12/31/14 Health Care Delivery System Reform Advisory Group	\$2,569	\$35,656
2/19/2014	Small Grant	Brandeis University 415 South Street Waltham, MA 02454	PC	20140557	Stuart Altman 3/1/14 - 6/30/14 21st Princeton Conference at the Robert Wood Johnson Foundation	\$12,000	\$3,000
1/6/2014	Small Grant	Project HOPE - The People- to-People Health Foundation, Inc 7500 Old Georgetown Road Suite 600 Bethesda, MD 20814	PC	20140562	John Iglehart 1/1/14 - 11/30/14 Health Affairs Briefing on Market Consolidation	\$32,000	\$7,993
2/19/2014	Small Grant	National Committee for Quality Assurance 1100 13th Street NW, Suite 1000 Washington, DC 02005	PC	20140601	Phyllis Torda 3/1/14 - 12/31/14 Practice Transformation Using Patient Reported Outcomes and Measures in Learning Health Care Systems	\$39,971	\$9,993
2/7/2014	Small Grant	Johns Hopkins University 242 Garland Hall 3400 North Charles Street Baltimore, MD 21218	PC	20140614	Gerard Anderson, Ph D 2/1/14 - 8/31/14 Understanding the Health and Health Care Needs of High-Cost Patients Evidence for Care Coordination and Payment Reform	\$28,000	\$7,000

Appropriation Date	Type of Grant	Institution	Foundation Status of Recipient	Grant No	Project Director and Description	Amount Paid	Ending Balance @ 6-30-14
3/27/2014	Small Grant	Small Business Majority Foundation Inc 4000 Bridgeway Suite 101, Sausalito, CA 94965	PC	20140619	John Arensmeyer, J D 4/1/14 - 12/31/14 Taking Stock of SHOP Lessons from California and Colorado	\$35,000	\$13,000
2/19/2014	Small Grant	The Brookings Institution 1775 Massachusetts Avenue NW Washington, DC 20036-2188	PC	20140623	Mark McClellan 3/1/14 - 12/15/14 Global Experiences in Accountable Care Implications for Health Care Reform in the US and Abroad	\$20,000	\$30,000
3/27/2014	Small Grant	The George Washington University 44983 Knoll Square 251 Ashburn, VA 20147	PC	20140627	Sara Rosenbaum, J D 4/1/14 - 7/31/14 Examining Cost Sharing Reduction Assistance Under the Affordable Care Act	\$35,000	\$14,991
2/7/2014	Small Grant	SSRS 53 West Baltimore Pike Media, PA 19063	NC	20140681	Robyn Rapoport 3/1/14 - 11/30/14 Expansion of 2014 Commonwealth Fund International Health Policy Survey to Include Germany	\$12,000	\$7,250
2/7/2014	Small Grant	Scientific Institute for Quality of Healthcare Raboud University Nijmegen Medical Centre P O Box 9101 114, Nijmegen 6500 HB	NC	20140682	Gert Westert, Ph D 3/1/14 - 12/31/14 Expansion of 2014 Commonwealth Fund International Health Policy Survey to Include the Netherlands	\$20,704	\$13,803
2/19/2014	Small Grant	Mathew Greenwald & Associates 4201 Connecticut Avenue NW Suite 620, Washington DC 20008	NC	20140706	Anne Elmlinger 2/15/14 - 6/15/14 Commonwealth Fund 2014 Audience and Grantee Survey	\$9,000	\$3,150
6/30/2014	Small Grant	Erasmus University Rotterdam Burgemeester Oudlaan 50 3062 PA Rotterdam NETHERLANDS	NC	20140742	Wynand P M M van de Ven 7/1/14 - 1/31/15 Commonwealth Fund and European Health Insurance and Risk Adjustment Collaboration		\$41,000
6/28/2014	Small Grant	Center for Health Care Strategies, Inc 200 American Metro Boulevard Suite 119 Hamilton, NJ 08619	PC	20140754	Stephen Somers, Ph D 7/15/14 - 12/15/14 State Payment and Financing Models to Promote the Integration of Health Care and Social Services		\$45,403
3/27/2014	Small Grant	Jonathan Gruber, Consultant 83 Pleasant Street, Lexington, MA 02421	NC	20140755	Jonathan Gruber, Ph D 4/1/14 - 5/31/14 Describing pre-ACA Volatility in Insurance Market Prices	\$25,000	\$0
3/27/2014	Small Grant	National Medical Fellowships 347 Fifth Avenue Suite 510 New York, NY 10016-5007	PC	20140782	Esther Dyer, D L S , M L S 2014 New York Champions of Health Awards Gala	\$7,500	\$0
3/27/2014	Small Grant	Society of American Business Editors and Writers, Inc University of Missouri-Columbia 385 McReynolds Hall, Columbia, MO 65211	PC	20140833	Warren Watson 3/25/14 - 11/30/14 SABEW Business of Health Care Workshops and Symposium	\$28,000	\$7,000

Appropriation Date	Type of Grant	Institution	Foundation Status of Recipient	Grant No	Project Director and Description	Amount Paid	Ending Balance @ 6-30-14
4/18/2014	Small Grant	Manatt, Phelps & Phillips, LLP 7 Times Square New York, NY 10036	NC	20140904	Deborah Bachrach, J D 4/15/14 - 6/30/14 Arkansas Payment Reform A Leading Laboratory for Health Transformation	\$40,000	\$10,000
4/18/2014	Small Grant	Primary Care Development Corporation 22 Cortlandt Street 12th Floor New York, NY 10007	PC	20140909	Ronda Kotelchuck, M R P Primary Care Development Corporation 2014 Annual Spring Gala	\$8,000	\$0
4/18/2014	Small Grant	AcademyHealth 1150 17th Street NW, Suite 600 Washington, DC 20036	PC	20140929	Alison Rein, M S Academy Health Annual Research Meeting Innovation Station Sponsorship	\$10,000	\$5,000
4/18/2014	Small Grant	National Academy of Social Insurance 1776 Massachusetts Avenue, N W , Suite 400 Washington, DC 20036	PC	20140937	Pamela Larson, M A NASI's 2014 Robert M Ball Award for Outstanding Achievements in Social Insurance	\$5,000	\$0
5/23/2014	Small Grant	KNG Health Consulting 15245 Shady Grove Road, Suite 305 Rockville, MD 20850	NC	20140969	Lane Koenig, Ph D 6/2/14 - 6/1/15 Tracking ACA Provisions Related to Payment and Delivery System Reform		\$48,938
6/28/2014	Small Grant	New York Academy of Medicine 1216 Fifth Avenue New York, NY 10029-5293	PC	20140971	Jo Ivey Boufford, M D The Margaret E Mahoney Fellowship Program		\$25,000
5/23/2014	Small Grant	L&M Policy Research 1743 Connecticut Ave NW, Suite 200 Washington D C 20009	NC	20140980	Julia Doherty, M H S A 6/1/14 - 3/31/14 Tracking ACA Provisions Related to Primary Care and Medicaid/Medicare Delivery System Reform Provisions		\$49,650
5/23/2014	Small Grant	The Commonwealth Fund 1 East 75th Street New York, NY 10021	PF	20140994	Rachel Nuzum 6/1/14 - 8/31/14 Commonwealth Fund Strategy Session Engaging Federal and State Policymakers	\$1,928	\$12,122
6/30/2014	Small Grant	Lown Cardiovascular Research Foundation, Inc 21 Longwood Avenue, Brookline, MA 02446	PC	20140996	Adam Elshaug, Ph D 7/1/14 - 6/30/15 Lancet series on Low-Value, Avoidable Care		\$50,000
5/22/2014	Small Grant	National Opinion Research Center 1155 E 60th St Chicago, IL 60637	PC	20141012	Jon Gabel, M A 6/2/14 - 7/31/14 Monitoring Trends in the Individual & Small Group Marketplaces on a Real-Time Basis Phase One	\$38,776	\$9,695
6/28/2014	Small Grant	The Brookings Institution 1775 Massachusetts Avenue NW Washington, DC 20036-2188	PC	20141034	Kavita Patel, Ph D , M D , 7/1/14 - 12/31/14 Policy Implications of Medicare Physician Payment Data Transparency		\$39,979

Appropriation Date	Type of Grant	Institution	Foundation Status of Recipient	Grant No.	Project Director and Description	Amount Paid	Ending Balance @ 6-30-14
6/3/2014	Small Grant	The Commonwealth Fund 1 East 75th Street New York, NY 10021	PF	20141043	Pamela Riley, M D 7/1/14 - 6/30/15 Mongan Commonwealth Fund Fellowship in Minority Health Policy Support for Identifying Second Year Practicum Opportunities, and Support for Augmenting Leadership Training		\$50,000
6/3/2014	Small Grant	New York Academy of Medicine 1216 Fifth Avenue New York, NY 10029-5293	PC	20141046	Jo Ivey Boufford, M D 6/1/14 - 5/31/15 New York Academy of Medicine's 20th Annual Gala	\$6,000	\$0
6/23/2014	Small Grant	Alliance for Health Reform 1444 Eye Street, NW, Suite 910 Washington, DC 20005- 6573	PC	20141066	Edward Howard, J D Bipartisan Congressional Retreat Overages		\$29,726
6/23/2014	Small Grant	Alliance for Health Reform 1444 Eye Street, NW, Suite 910 Washington, DC 20005- 6573	PC	20141070	Edward Howard, J D 6/1/14 - 5/31/15 2014 Mid-Year Event and Stakeholder Engagement		\$49,946
6/28/2014	Small Grant	Bailit Health Purchasing, LLC 56 Pickering Street Needham, MA 02492	NC	20141071	Michael Bailit, M B A 7/1/14 - 10/31/14 Aligning Provider Compensation Models with External Value-Based Payment Incentives Current and Innovative Practice		\$34,539
6/19/2014	Small Grant	Independent Sector 1602 L Street NW, Suite 900 Washington, DC 20036	PC	20141084	Diana Aviv General Support		\$10,000
6/28/2014	Small Grant	Mathematica Policy Research, Inc P O Box 2393 Princeton, NJ 08543-2393	NC	20141095	James Reschovsky, Ph D 9/1/14 - 12/31/14 What We Know About How Physicians Respond To Payment, Structure, and Incentives Implications for Predicting the Cost of the SGR Fix		\$49,835
6/28/2014	Small Grant	Greater New York Hospital Association 555 West 57th Street, 15th Floor New York, NY 10019	PC	20141111	Tim Johnson 8/1/14 - 10/31/14 25th Annual Symposium on Health Care Services in New York Research and Practice		\$1,200
6/30/2014	Small Grant	OptumInsight Inc 11000 Optum Circle Eden Prairie, MN 55344	NC	20150038	9/1/14 - 8/31/15 Tracking Private and Medicare Costs by Clinical Condition		\$10,000

\$ 21,636,281 \$ 19,350,859

Co-funding received \$ (1,614,882)

Matching grants \$ 375,250

Amount paid \$ 20,396,649