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Return of Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning JUL 1, 2013, and ending JUN 30, 2014

Name of foundation
THE ROBERT & MARGARET PATRICELLI FAMILY FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address) Room/suite
77 HARTFORD ROAD

City or town, state or province, country, and ZIP or foreign postal code
SIMSBURY, CT 06076

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16)
\$ 3,407,468. (Part I, column (d) must be on cash basis.)

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____

A Employer identification number
06-1487230

B Telephone number
860-678-3401

C If exemption application is pending, check here ☐

D 1. Foreign organizations, check here ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		1,446.	1,446.		STATEMENT 1
4 Dividends and interest from securities		27,274.	27,274.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		16,888.			
b Gross sales price for all assets on line 6a		58,154.			
7 Capital gain net income (from Part IV, line 2)			16,888.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		45,608.	45,608.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees		4,200.	2,100.		2,100.
c Other professional fees					
17 Interest					
18 Taxes		878.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses		8,380.	8,380.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		13,458.	10,480.		2,100.
25 Contributions, gifts, grants paid		891,175.			891,175.
26 Total expenses and disbursements. Add lines 24 and 25		904,633.	10,480.		893,275.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<859,025.>			
b Net investment income (if negative, enter -0-)			35,128.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	2,596,778.	1,701,845.	1,701,845.
	2 Savings and temporary cash investments	7,873.	23,983.	23,983.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	901,810.	914,429.	1,280,959.
	c Investments - corporate bonds STMT 7	376,212.	383,391.	400,681.
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	3,882,673.	3,023,648.	3,407,468.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	3,882,673.	3,023,648.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	0.	0.	
	30 Total net assets or fund balances	3,882,673.	3,023,648.	
31 Total liabilities and net assets/fund balances	3,882,673.	3,023,648.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,882,673.
2 Enter amount from Part I, line 27a	2	<859,025.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,023,648.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,023,648.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a VARIOUS SECURITIES - UBS 12228	P	VARIOUS	VARIOUS
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 58,154.		41,266.	16,888.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			16,888.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	16,888.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	330,970.	3,615,749.	.091536
2011	225,329.	3,271,575.	.068875
2010	585,279.	2,542,147.	.230230
2009	269,806.	1,913,534.	.140999
2008	405,468.	1,804,072.	.224752

2 Total of line 1, column (d)	2	.756392
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.151278
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	3,670,427.
5 Multiply line 4 by line 3	5	555,255.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	351.
7 Add lines 5 and 6	7	555,606.
8 Enter qualifying distributions from Part XII, line 4	8	893,275.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	351.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	351.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	351.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		351.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>ROBERT E. PATRICELLI</u> Telephone no ► <u>860-678-3401</u> Located at ► <u>77 HARTFORD ROAD, SIMSBURY, CT</u> ZIP+4 ► <u>06076</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year. ► 15 <u>N/A</u>			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here. ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) <u>N/A</u>	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) <u>N/A</u>	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? 6b ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A ☐ Yes ☐ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARGARET S. PATRICELLI 77 HARTFORD ROAD SIMSBURY, CT 06070	PRESIDENT 6.00	0.	0.	0.
ROBERT E. PATRICELLI 77 HARTFORD ROAD SIMSBURY, CT 06070	CHAIRMAN 3.00	0.	0.	0.
THOMAS R. PATRICELLI 77 HARTFORD ROAD SIMSBURY, CT 06070	DIRECTOR 0.50	0.	0.	0.
ALISON J. PATRICELLI 77 HARTFORD ROAD SIMSBURY, CT 06070	DIRECTOR 0.50	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	
1	N/A
2	
3	
4	

Part IX-B	Summary of Program-Related Investments
------------------	---

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1	N/A	
2		
3	All other program-related investments See instructions	
Total. Add lines 1 through 3		0.

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Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,577,011.
b	Average of monthly cash balances	1b	2,149,311.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,726,322.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,726,322.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	55,895.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,670,427.
6	Minimum investment return. Enter 5% of line 5	6	183,521.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	183,521.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	351.
b	Income tax for 2013. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	351.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	183,170.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	183,170.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	183,170.

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	893,275.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	893,275.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	351.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	892,924.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				183,170.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	316,088.			
b From 2009	174,699.			
c From 2010	506,592.			
d From 2011	65,511.			
e From 2012	151,040.			
f Total of lines 3a through e	1,213,930.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$	893,275.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				183,170.
e Remaining amount distributed out of corpus	710,105.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	1,924,035.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	316,088.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	1,607,947.			
10 Analysis of line 9				
a Excess from 2009	174,699.			
b Excess from 2010	506,592.			
c Excess from 2011	65,511.			
d Excess from 2012	151,040.			
e Excess from 2013	710,105.			

THE ROBERT & MARGARET PATRICELLI FAMILY
FOUNDATION

Form 990-PF (2013)

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Part XV · **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHMENT	NONE		501 (C)(3)	891,175.
Total			▶ 3a	891,175.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

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FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BANK OF AMERICA	1,446.	1,446.	
TOTAL TO PART I, LINE 3	1,446.	1,446.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
UBS #HA-12228	10,962.	0.	10,962.	10,962.	
UBS #HA-12229	16,312.	0.	16,312.	16,312.	
TO PART I, LINE 4	27,274.	0.	27,274.	27,274.	

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BLUM SHAPIRO	4,200.	2,100.		2,100.
TO FORM 990-PF, PG 1, LN 16B	4,200.	2,100.		2,100.

FORM 990-PF TAXES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES	878.	0.		0.
TO FORM 990-PF, PG 1, LN 18	878.	0.		0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
BANK CHARGES	96.	96.			0.
INVESTMENT MANAGEMENT FEES	8,175.	8,175.			0.
FOREIGN TAXES	79.	79.			0.
OTHER EXPENSES	30.	30.			0.
TO FORM 990-PF, PG 1, LN 23	8,380.	8,380.			0.

FORM 990-PF	CORPORATE STOCK		STATEMENT	6
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
UBS #HA-12229	493,964.	699,944.		
UBS #HA-12228	420,465.	581,015.		
TOTAL TO FORM 990-PF, PART II, LINE 10B	914,429.	1,280,959.		

FORM 990-PF	CORPORATE BONDS		STATEMENT	7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE		
UBS #HA-12229 BONDS	383,391.	400,681.		
TOTAL TO FORM 990-PF, PART II, LINE 10C	383,391.	400,681.		

10:02 AM
07/13/14
Cash Basis

Robert & Margaret Patricelli Family Foundation
Transactions by Account
As of June 30, 2014

Type	Date	Num	Name	Memo	Paid Amount
Arts					
Check	7/19/2013	1812	GH Arts Council	annual	-2,500 00
Check	8/15/2013	1817	Wadsworth Atheneum	annual	-500 00
Check	8/17/2013	1822	Liberty Foundation	B-17 museum and flights	-900 00
Check	10/13/2013	1836	HSO	annual	-1,500 00
Check	12/2/2013	1854	Bushnell	LGI pledge--year 2	-100,000.00
Check	12/12/2013	1860	WFCR	annual	-350 00
Check	12/16/2013	1861	Playhouse on Park	annual	-500 00
Check	12/16/2013	1863	CT Science Center	annual	-3,000 00
Check	12/16/2013	1865	CT Forum	annual	-500 00
Check	12/16/2013	1872	New Britain Museum	annual	-500 00
Check	12/26/2013	1880	Unified Theater	per Goldfarbs	-750 00
Check	2/16/2014	1881	Florence Griswold Museum	annual	-250 00
Check	2/16/2014	1884	Harriet Beach Stowe Center	annual	-250 00
Check	2/16/2014	1885	Hill-Stead Museum	annual--Pope Society	-1,000 00
Check	3/17/2014	1892	Charter Oak Cultural Center	gala	-600 00
Check	3/23/2014	1898	Bushnell	Ovations	-3,400 00
Check	4/27/2014	1909	Chestnut Hill Concerts	Jean Pottoff	-250 00
Check	5/18/2014	1912	Heritage Tile Foundation		-45 00
Check	5/24/2014	1913	Unified Theater		-500.00
Check	5/27/2014	EFT	Bushnell	down payment for 97 E	-57,500 00
Check	6/11/2014	EFT	Bushnell	rest of purchase price f	-542,500 00
Check	6/13/2014	1917	Mark Twain House		-500 00
Check	6/13/2014	1922	GH Arts Council	annual	-2,625 00
Total Arts					-720,420 00
Religion					
Check	8/23/2013	1841	St Christopher Episcopal	Joan	-200 00
Check	8/23/2013	1842	St Christopher Episcopal	Joan	-200 00
Total Religion					-400 00
Social Service					
Check	8/15/2013	1816	Tabor House	per Chris ?	-1,000 00
Check	9/2/2013	1824	United Way	annual	-10,000 00
Check	9/13/2013	1827	St Patrick & St Anthony's	Larson event	-250 00
Check	9/22/2013	1828	CT Women's Hall of Fame		-300 00
Check	10/4/2013	1832	Boys and Girls Clubs of Ha	annual	-300 00
Check	10/13/2013	1833	Loaves and Fishes	Xmas present to WHU	-500 00
Check	12/16/2013	1869	Our Domestic Companions	annual	-1,000 00
Check	12/16/2013	1871	Gifts of Love	annual	-250 00
Check	12/26/2013	1877	Futures for Children	annual	-1,300 00
Check	1/14/2014	1838	Greater Good International	Alice Smith friend	-500 00
Check	2/16/2014	1883	Aurora Foundation	annual	-100 00
Check	2/16/2014	1888	CT Rivers Council, Boy Sc.	annual	-500 00
Check	3/17/2014	1891	itn nO cENTRAL ct		-1,000 00
Check	3/30/2014	1901	YWCA	Hartford	-300 00
Check	4/13/2014	1906	NCCJ	gala	-500 00
Check	4/27/2014	1908	Aurora Foundation		-1,000 00
Check	5/7/2014	1914	Newman's Own Foundaiton	reimburse Jess and Ke	-228 43
Check	6/13/2014	1915	Foodshare		-500 00
Check	6/13/2014	1916	Haitian Health Foundation		-500 00
Total Social Service					-20,028 43

Community Development

Check	8/15/2013	1815	Madison Hose Company	ANNUAL	-100 00
Check	8/15/2013	1819	Simsbury Land Trust	Hall Farm	-250 00
Check	9/2/2013	1825	ADL		-1,500 00
Check	9/2/2013	1826	Simsbury Historical Society	annual	-50 00
Check	10/13/2013	1835	Simsbury Volunteer Fire D	annual	-100 00
Check	10/20/2013	1876	Billings Forge Community	annual	-10,000 00
Check	11/24/2013	1853	Hartford 2000	Bernadine Silvers	-5,000 00
Check	12/16/2013	1868	WNPR	annual	-300 00
Check	12/16/2013	1873	Our Piece of the Pie	annual	-1,500 00
Check	3/23/2014	1899	Simsbury Library	MLK Project	-500 00

Total Community Development**-19,300 00****E&S Ed**

Check	7/2/2013	1811	CT Science Center	wine auction	-202 00
Check	7/31/2013	1813	Achieve Hartford	spelling bee	-500 00
Check	8/15/2013	1818	CT Science Center	Green Gala	-1,500 00
Check	10/13/2013	1834	Renbrook School	Heifer International	-120 00
Check	10/31/2013	1837	Renbrook School	Heifer International, 2nd	-120 00
Check	12/16/2013	1864	Loomis Chaffee School	annual	-3,000 00
Check	12/16/2013	1867	Simsbury ABC	annual	-300 00
Check	2/5/2014	1878	IEA Zone 1	high school riding	-200 00
Check	2/16/2014	1886	Simsbury ABC	annual	-300 00
Check	2/16/2014	1889	Hartford Foundation for Pu	Hartford Promise--first	-25,000 00
Check	3/20/2014	1897	Junior Achievement of SE	gala	-500 00
Check	3/31/2014	1905	Hartford Public Schools	support for reception fo	-500 00
Check	4/1/2014	1900	Discovery Center		-500 00
Check	6/13/2014	1920	Hartford Public Library	annual	-500 00

Total E&S Ed**-33,242 00****Environment**

Check	12/13/2013	1858	Save the Redwoods League		-750 00
Check	2/16/2014	1882	Old Drake Hill Flower Bridge		-100 00
Check	3/3/2014	1839	Save the Redwoods League		-250 00
Check	3/3/2014	1840	Western National Parks As	gift for Michael and Je	-250 00
Check	6/13/2014	1918	FRWA		-100 00

Total Environment**-1,450 00****Health Care**

Check	7/31/2013	1852	American Parkinson's Dise	re Billy	-500 00
Check	8/15/2013	1814	Yale New Haven Hospital	Anne's ride	-250 00
Check	8/15/2013	1820	Center for Medicare Advoc	annual	-200 00
Check	8/17/2013	1821	St Francis Foundation	Michael Borque medic	-1,000 00
Check	8/22/2013	1823	Billings Forge Community		-160 00
Check	9/19/2013	1843	Billings Forge Community	gala	-1,000 00
Check	9/26/2013	1830	March of Dimes	per Sue Miller	-100 00
Check	10/3/2013	1831	JDRF	match with WHUSA	-1,700 00
Check	12/15/2013	1874	Haitian Health Foundation	annual	-75 00
Check	12/16/2013	1866	PPSNE	annual	-1,000 00
Check	12/16/2013	1870	Farmington Valley VNA	annual	-300 00
Check	2/16/2014	1879	Hartford Hospital	annual	-1,500 00
Check	3/20/2014	1895	Serious Fun Children's Net	gala	-500 00
Check	3/20/2014	1896	Dana Farber	Marathon--Tim Shannon	-500 00
Check	3/29/2014	1893	Billings Forge Community	interns	-200 00
Check	4/1/2014	1902	Fidelco		-1,000 00
Check	5/3/2014	1910	Ron Foley Pancreatic Canc	Ron's Run event	-500 00
Check	6/13/2014	1919	SVAA	annual	-100 00
Check	6/13/2014	1921	PPSNE	annual	-1,000 00

Total Health Care**-11,585 00****Higher Education**

Check	9/26/2013	1829	Rosemont College	memory of Dayle Allison	-500 00
Check	12/10/2013	1856	Harvard School of Pubic H	annual	-10,000 00
Check	12/16/2013	1862	Ocean Exploration Trust	capital campaign--1st	-25,000 00
Check	2/16/2014	1887	Tufts University Friedman	annual	-10,000 00
Check	3/5/2014	1890	UCLA Foundation	memory of Pastor Rich	-250 00
Check	3/20/2014	1894	Wesleyan University	EQV interns	-500 00
Check	4/27/2014	1907	Wesleyan University	annual and PCSC	-37,500 00
Check	5/3/2014	1911	University of St. Joseph	Pam Reid call for gala	-1,000 00

Total Higher Education**-84,750 00****TOTAL - \$1175**