See a Social Security Number? Say Something!
Report Privacy Problems to https://public.resource.org/privacy
Or call the IRS Identity Theft Hotline at 1-800-908-4490

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493135062435

Form **990**

Department of the Treasury
Internal Revenue Service

# Return of Organization Exempt From Income Tax

**Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)**

▶ Do not enter Social Security numbers on this form as it may be made public By law, the IRS generally cannot redact the information on the form

▶ Information about Form 990 and its instructions is at *www.IRS.gov/form990*

OMB No 1545-0047

**2013**

**Open to Public Inspection**

**A For the 2013 calendar year, or tax year beginning 07-01-2013 , 2013, and ending 06-30-2014**

**B** Check if applicable
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Terminated
- ☐ Amended return
- ☐ Application pending

**C** Name of organization
YALE UNIVERSITY

Doing Business As

Number and street (or P O box if mail is not delivered to street address) | Room/suite
PO BOX 208239

City or town, state or province, country, and ZIP or foreign postal code
NEW HAVEN, CT 065208239

**D Employer identification number**
06-0646973

E Telephone number
(203)432-5530

**G** Gross receipts $ 8,179,206,822

**F** Name and address of principal officer
PETER SALOVEY
PO BOX 208229
NEW HAVEN, CT 065208229

**H(a)** Is this a group return for subordinates? ☐ Yes ☑ No

**H(b)** Are all subordinates included? ☐ Yes ☐ No
If "No," attach a list (see instructions)

**H(c)** Group exemption number ▶

**I** Tax-exempt status ☑ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no ) ☐ 4947(a)(1) or ☐ 527

**J Website:** ▶ WWW YALE EDU

**K** Form of organization ☑ Corporation ☐ Trust ☐ Association ☐ Other ▶

**L** Year of formation 1701

**M** State of legal domicile CT

## Part I Summary

**Activities & Governance**

1 Briefly describe the organization's mission or most significant activities
TO CREATE, PRESERVE, AND DISSEMINATE KNOWLEDGE

2 Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

| | | | |
|---|---|---|---|
| 3 | Number of voting members of the governing body (Part VI, line 1a) | 3 | 18 |
| 4 | Number of independent voting members of the governing body (Part VI, line 1b) | 4 | 14 |
| 5 | Total number of individuals employed in calendar year 2013 (Part V, line 2a) | 5 | 27,983 |
| 6 | Total number of volunteers (estimate if necessary) | 6 | 7,800 |
| 7a | Total unrelated business revenue from Part VIII, column (C), line 12 | 7a | -31,618,172 |
| b | Net unrelated business taxable income from Form 990-T, line 34 | 7b | -38,851,493 |

| | | | Prior Year | Current Year |
|---|---|---|---|---|
| Revenue | 8 | Contributions and grants (Part VIII, line 1h) | 846,509,395 | 878,354,797 |
| | 9 | Program service revenue (Part VIII, line 2g) | 1,347,807,723 | 1,463,978,643 |
| | 10 | Investment income (Part VIII, column (A), lines 3, 4, and 7d ) | 1,425,586,657 | 2,061,253,109 |
| | 11 | Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) | 68,567,410 | 74,047,019 |
| | 12 | Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12) | 3,688,471,185 | 4,477,633,568 |
| Expenses | 13 | Grants and similar amounts paid (Part IX, column (A), lines 1–3 ) | 393,953,304 | 400,582,698 |
| | 14 | Benefits paid to or for members (Part IX, column (A), line 4) | 0 | 0 |
| | 15 | Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10) | 1,900,267,485 | 1,954,127,182 |
| | 16a | Professional fundraising fees (Part IX, column (A), line 11e) | 0 | 0 |
| | b | Total fundraising expenses (Part IX, column (D), line 25) ▶39,912,185 | | |
| | 17 | Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e) | 1,024,201,482 | 1,068,907,359 |
| | 18 | Total expenses Add lines 13–17 (must equal Part IX, column (A), line 25) | 3,318,422,271 | 3,423,617,239 |
| | 19 | Revenue less expenses Subtract line 18 from line 12 | 370,048,914 | 1,054,016,329 |

| | | | Beginning of Current Year | End of Year |
|---|---|---|---|---|
| Net Assets or Fund Balances | 20 | Total assets (Part X, line 16) | 28,911,175,542 | 32,031,841,267 |
| | 21 | Total liabilities (Part X, line 26) | 6,973,893,909 | 6,911,189,027 |
| | 22 | Net assets or fund balances Subtract line 21 from line 20 | 21,937,281,633 | 25,120,652,240 |

## Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

**Sign Here**

▶ Signature of officer | Date 2015-05-12

▶ SHAUNA R KING VP FINANCE & BUS OPERATIONS
Type or print name and title

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| Firm's name ▶ | | | Firm's EIN ▶ | |
| Firm's address ▶ | | | Phone no | |

May the IRS discuss this return with the preparer shown above? (see instructions) ☐ Yes ☐ No

**Part III** **Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III . . . . . ☑

**1** Briefly describe the organization's mission

SEE SCHEDULE O

**2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . . . ☐ Yes ☑ No

If "Yes," describe these new services on Schedule O

**3** Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . . . ☐ Yes ☑ No

If "Yes," describe these changes on Schedule O

**4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

**4a** (Code ) (Expenses $ 1,508,872,033 including grants of $ 3,292,839 ) (Revenue $ 1,237,604,822 )

INSTRUCTION THE PROGRAM SERVICE COSTS INCLUDE SALARIES, FRINGE BENEFITS AND SUPPLIES OF TEACHING APPROXIMATELY 12,100 STUDENTS INCLUDING 5,409 UNDERGRADUATES, 2,860 GRADUATE STUDENTS AND 3,819 PROFESSIONAL SCHOOL STUDENTS A FACULTY OF MORE THAN 4,100 TEACH AND ADMINISTER PROGRAMS ACROSS A RANGE OF DISCIPLINES IN THE SCIENCES AND ENGINEERING, THE SOCIAL SCIENCES, THE HUMANITIES, AND THE VARIOUS LEARNED PROFESSIONS MORE THAN 80 MAJORS ARE AVAILABLE IN THE UNDERGRADUATE COLLEGE AND MORE THAN 35 DIFFERENT DEGREE PROGRAMS ARE OFFERED BY THE UNIVERSITY -- CONTINUED ON SCHEDULE O IN ADDITION TO THE GRADUATE SCHOOL OF ARTS AND SCIENCES, THE UNIVERSITY HAS PROFESSIONAL SCHOOLS INCLUDING ARCHITECTURE, ART, DIVINITY, DRAMA, ENGINEERING AND APPLIED SCIENCE, FORESTRY AND ENVIRONMENTAL STUDIES, LAW, MANAGEMENT, MEDICINE, MUSIC, NURSING AND PUBLIC HEALTH

**4b** (Code ) (Expenses $ 481,848,000 including grants of $ 48,672,260 ) (Revenue $ 64,120,995 )

RESEARCH THE UNIVERSITY ENGAGES IN EXTENSIVE RESEARCH IN MEDICINE, THE PHYSICAL SCIENCES, THE SOCIAL SCIENCES AND HUMANITIES THE UNIVERSITY'S RESEARCH ACTIVITIES ARE AIMED AT THE ADVANCEMENT OF KNOWLEDGE AS WELL AS TRAINING STUDENTS IN THE RESEARCH PROCESS INCLUDED AMONG THE UNIVERSITY'S OUTSTANDING RESEARCH FACILITIES ARE LABORATORIES AND OTHER FACILITIES DEVOTED TO RESEARCH IN GEOLOGY, CHEMISTRY, BIOLOGY, ENGINEERING AND APPLIED SCIENCE, ANTHROPOLOGY, PALEONTOLOGY, MOLECULAR AND STRUCTURAL BIOLOGY, NUCLEAR STRUCTURE, CHILD DEVELOPMENT, SOCIAL POLICY, BIOSPHERIC STUDIES AND NUMEROUS BIOMEDICAL SCIENCES, TO NAME BUT A FEW

**4c** (Code ) (Expenses $ 650,981,066 including grants of $ 347,006,663 ) (Revenue $ 75,712,884 )

STUDENT FINANCIAL AID AND SERVICES YALE COLLEGE ADMITS STUDENTS FOR THEIR ACADEMIC AND PERSONAL PROMISE WITHOUT REGARD TO THEIR ABILITY TO PAY YALE IS COMMITTED TO A NEED-BLIND ADMISSIONS POLICY AND MEETS 100% OF DEMONSTRATED NEED FOR ALL STUDENTS REGARDLESS OF CITIZENSHIP BY COMMITTING TO AN ADMISSIONS POLICY THAT DOES NOT CONSIDER A STUDENT'S ABILITY TO PAY, AND BY MEETING THE FULL FINANCIAL NEED OF ALL ADMITTED STUDENTS (WITH NO LOANS REQUIRED), YALE ENSURES THAT IT IS ACCESSIBLE TO THE MOST TALENTED STUDENTS FROM AROUND THE WORLD, REGARDLESS OF THEIR FAMILY'S INCOME WITH THIS POLICY, YALE STRIVES TO CREATE A LEARNING ENVIRONMENT THAT INCORPORATES THE WIDEST POSSIBLE RANGE OF STUDENT BACKGROUNDS -- CONTINUED ON SCHEDULE O IN FY2014, 2,847 OR 53%, OF UNDERGRADUATES RECEIVED NEED-BASED SCHOLARSHIPS OR GRANTS FROM YALE SOURCES OF $41,780 ON AVERAGE YALE'S GRADUATE AND PROFESSIONAL SCHOOLS ALSO PROVIDE FINANCIAL AID TO THEIR STUDENTS, RANGING FROM THE MERIT-BASED FUNDING FOR PHD STUDENTS TO THE NEED-BASED AID OFFERED AT THE LAW AND MEDICAL SCHOOLS ALL OF YALE'S GRADUATE AND PROFESSIONAL SCHOOLS PROVIDE FINANCIAL AID TO THEIR STUDENTS BASED ON THEIR INDIVIDUAL POLICIES AND PROCEDURES THIS CATEGORY OF EXPENDITURES ALSO INCLUDES THE OPERATION OF DINING HALLS THAT PROVIDED 2,781,861 MEALS TO STUDENTS, FACULTY AND STAFF, MAINTENANCE OF DORMITORIES HOUSING 4,715 UNDERGRADUATES AND 998 GRADUATE AND PROFESSIONAL SCHOOL STUDENTS, OPERATION AND MAINTENANCE OF ATHLETIC FACILITIES, FOR THE 35 INTERCOLLEGIATE SPORTS, OVER 35 INTRAMURAL SPORTS AND 50 CLUB SPORT TEAMS OFFERED AT YALE AS WELL AS MORE THAN 75 SECTIONS OF PHYSICAL EDUCATION INSTRUCTION, PARKING FACILITIES, LAUNDRY FACILITIES, STUDENT CENTERS AND OTHER FACILITIES AND PROGRAMS (INCLUDING EXTRACURRICULAR ACTIVITIES AND STUDENT HEALTH SERVICES) THAT PROVIDE SUPPORT, SERVICES AND ACADEMIC/CULTURAL ENRICHMENT TO THE UNIVERSITY'S STUDENTS FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES OTHER PROGRAM SERVICES INCLUDE ACADEMIC SUPPORT, PUBLIC SERVICE AND OTHER INSTITUTIONAL SUPPORT

(Code ) (Expenses $ 422,282,059 including grants of $ 1,610,936 ) (Revenue $ 116,656,626 )

**4d** Other program services (Describe in Schedule O )

(Expenses $ 422,282,059 including grants of $ 1,610,936 ) (Revenue $ 116,656,626 )

**4e** **Total program service expenses** ▶ 3,063,983,158

## Part IV Checklist of Required Schedules

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A* | 1 | Yes | |
| 2 | Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)? | 2 | Yes | |
| 3 | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I* | 3 | | No |
| 4 | **Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II* | 4 | Yes | |
| 5 | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III* | 5 | | No |
| 6 | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I* | 6 | Yes | |
| 7 | Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II* | 7 | | No |
| 8 | Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III* | 8 | Yes | |
| 9 | Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV* | 9 | Yes | |
| 10 | Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V* | 10 | Yes | |
| 11 | If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable | | | |
| a | Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI.* | 11a | Yes | |
| b | Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII* | 11b | Yes | |
| c | Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII* | 11c | | No |
| d | Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX* | 11d | | No |
| e | Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X* | 11e | Yes | |
| f | Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X* | 11f | | No |
| 12a | Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII* | 12a | | No |
| b | Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional* | 12b | Yes | |
| 13 | Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E* | 13 | Yes | |
| 14a | Did the organization maintain an office, employees, or agents outside of the United States? | 14a | Yes | |
| b | Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV* | 14b | Yes | |
| 15 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV* | 15 | Yes | |
| 16 | Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV* | 16 | Yes | |
| 17 | Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I (see instructions)* | 17 | | No |
| 18 | Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II* | 18 | Yes | |
| 19 | Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III* | 19 | | No |
| 20a | Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H* | 20a | | No |
| b | If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? | 20b | | |

**Part IV Checklist of Required Schedules** *(continued)*

| | | | | |
|---|---|---|---|---|
| 21 | Did the organization report more than $5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II* | 21 | Yes | |
| 22 | Did the organization report more than $5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III* | 22 | Yes | |
| 23 | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J* | 23 | Yes | |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a* | 24a | Yes | |
| b | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | 24b | | No |
| c | Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds? | 24c | | No |
| d | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | 24d | | No |
| 25a | **Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I* | 25a | | No |
| b | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I* | 25b | | No |
| 26 | Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? *If so, complete Schedule L, Part II* | 26 | Yes | |
| 27 | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III* | 27 | Yes | |
| 28 | Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions) | | | |
| a | A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV* | 28a | | No |
| b | A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV* | 28b | Yes | |
| c | An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV* | 28c | Yes | |
| 29 | Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M* | 29 | Yes | |
| 30 | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M* | 30 | Yes | |
| 31 | Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I* | 31 | | No |
| 32 | Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II* | 32 | | No |
| 33 | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301 7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I* | 33 | Yes | |
| 34 | Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1* | 34 | Yes | |
| 35a | Did the organization have a controlled entity within the meaning of section 512(b)(13)? | 35a | Yes | |
| b | If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2* | 35b | Yes | |
| 36 | **Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2* | 36 | | No |
| 37 | Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI* | 37 | | No |
| 38 | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O | 38 | Yes | |

**Part V Statements Regarding Other IRS Filings and Tax Compliance**

Check if Schedule O contains a response or note to any line in this Part V . . . . . ☑

| | | | | | Yes | No |
|---|---|---|---|---|---|---|
| 1a | Enter the number reported in Box 3 of Form 1096 Enter -0- if not applicable | 1a | 24,731 | | | |
| b | Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable | 1b | 0 | | | |
| c | Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? | | | 1c | Yes | |
| 2a | Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return | 2a | 27,983 | | | |
| b | If at least one is reported on line 2a, did the organization file all required federal employment tax returns? **Note.** If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions) | | | 2b | Yes | |
| 3a | Did the organization have unrelated business gross income of $1,000 or more during the year? | | | 3a | Yes | |
| b | If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O* | | | 3b | Yes | |
| 4a | At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)? | | | 4a | Yes | |
| b | If "Yes," enter the name of the foreign country ▶ AS , CA , CJ , CH , HK , IN , EI , JE , LU , MY , MP , MJ , NL , PL , SN , SZ , UK , JA , IM<br>See instructions for filing requirements for Form TD F 90-22 1, Report of Foreign Bank and Financial Accounts | | | | | |
| 5a | Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? | | | 5a | | No |
| b | Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | | | 5b | | No |
| c | If "Yes," to line 5a or 5b, did the organization file Form 8886-T? | | | 5c | | |
| 6a | Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions? | | | 6a | | No |
| b | If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible? | | | 6b | | |
| 7 | **Organizations that may receive deductible contributions under section 170(c).** | | | | | |
| a | Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor? | | | 7a | Yes | |
| b | If "Yes," did the organization notify the donor of the value of the goods or services provided? | | | 7b | Yes | |
| c | Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282? | | | 7c | | No |
| d | If "Yes," indicate the number of Forms 8282 filed during the year | 7d | | | | |
| e | Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | | | 7e | | No |
| f | Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | | 7f | | No |
| g | If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? | | | 7g | | |
| h | If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? | | | 7h | Yes | |
| 8 | **Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year? | | | 8 | | No |
| 9 | **Sponsoring organizations maintaining donor advised funds.** | | | | | |
| a | Did the organization make any taxable distributions under section 4966? | | | 9a | | No |
| b | Did the organization make a distribution to a donor, donor advisor, or related person? | | | 9b | | No |
| 10 | **Section 501(c)(7) organizations.** Enter | | | | | |
| a | Initiation fees and capital contributions included on Part VIII, line 12 | 10a | | | | |
| b | Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities | 10b | | | | |
| 11 | **Section 501(c)(12) organizations.** Enter | | | | | |
| a | Gross income from members or shareholders | 11a | | | | |
| b | Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them ) | 11b | | | | |
| 12a | **Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041? | | | 12a | | |
| b | If "Yes," enter the amount of tax-exempt interest received or accrued during the year | 12b | | | | |
| 13 | **Section 501(c)(29) qualified nonprofit health insurance issuers.** | | | | | |
| a | Is the organization licensed to issue qualified health plans in more than one state? **Note.** See the instructions for additional information the organization must report on Schedule O | | | 13a | | |
| b | Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans | 13b | | | | |
| c | Enter the amount of reserves on hand | 13c | | | | |
| 14a | Did the organization receive any payments for indoor tanning services during the tax year? | | | 14a | | No |
| b | If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O* | | | 14b | | |

**Part VI** **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*

Check if Schedule O contains a response or note to any line in this Part VI . . . . . ☑

## Section A. Governing Body and Management

| | | | | Yes | No |
|---|---|---|---|---|---|
| 1a | Enter the number of voting members of the governing body at the end of the tax year | 1a | 18 | | |
| | If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O | | | | |
| b | Enter the number of voting members included in line 1a, above, who are independent | 1b | 14 | | |
| 2 | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? | 2 | | | No |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person? | 3 | | | No |
| 4 | Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? | 4 | | Yes | |
| 5 | Did the organization become aware during the year of a significant diversion of the organization's assets? | 5 | | | No |
| 6 | Did the organization have members or stockholders? | 6 | | | No |
| 7a | Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? | 7a | | Yes | |
| b | Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? | 7b | | | No |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following | | | | |
| a | The governing body? | 8a | | Yes | |
| b | Each committee with authority to act on behalf of the governing body? | 8b | | Yes | |
| 9 | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O* | 9 | | | No |

## Section B. Policies (*This Section B requests information about policies not required by the Internal Revenue Code.*)

| | | | Yes | No |
|---|---|---|---|---|
| 10a | Did the organization have local chapters, branches, or affiliates? | 10a | | No |
| b | If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? | 10b | | |
| 11a | Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? | 11a | Yes | |
| b | Describe in Schedule O the process, if any, used by the organization to review this Form 990 | | | |
| 12a | Did the organization have a written conflict of interest policy? *If "No," go to line 13* | 12a | Yes | |
| b | Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? | 12b | Yes | |
| c | Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done* | 12c | Yes | |
| 13 | Did the organization have a written whistleblower policy? | 13 | Yes | |
| 14 | Did the organization have a written document retention and destruction policy? | 14 | Yes | |
| 15 | Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision? | | | |
| a | The organization's CEO, Executive Director, or top management official | 15a | Yes | |
| b | Other officers or key employees of the organization | 15b | Yes | |
| | If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions) | | | |
| 16a | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? | 16a | Yes | |
| b | If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? | 16b | Yes | |

## Section C. Disclosure

17 List the States with which a copy of this Form 990 is required to be filed ▶

18 Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

☐ Own website ☐ Another's website ☑ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization

▶SHAUNA R KING VP FINANCE BUS O 25 SCIENCE PARK 150 MUNSON STREET
NEW HAVEN, CT 06511 (203) 432-1096

**Part VII** **Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII . . . . . ☑

## Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

**1a** Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, or highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W- 2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| | | Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |

**Part VII** **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees** *(continued)*

| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| | | Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| **1b** | **Sub-Total** . . . . . ▶ | | | | | | | | | |
| **c** | **Total from continuation sheets to Part VII, Section A** . . . . ▶ | | | | | | | | | |
| **d** | **Total (add lines 1b and 1c)** . . . . . ▶ | | | | | | | 27,935,637 | 0 | 2,057,131 |

**2** Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶3,131

| | | | Yes | No |
|---|---|---|---|---|
| **3** | Did the organization list any **former** officer, director or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual* . . . . . | **3** | Yes | |
| **4** | For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual* . . . . . | **4** | Yes | |
| **5** | Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person* . . . . . | **5** | | No |

## Section B. Independent Contractors

**1** Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization Report compensation for the calendar year ending with or within the organization's tax year

| (A) Name and business address | (B) Description of services | (C) Compensation |
|---|---|---|
| DIMEO CONSTRUCTION CO 75 CHAPMAN STREET PROVIDENCE RI 02905 | CONSTRUCTION & RELATED SERVICES | 92,360,333 |
| THE WHITING-TURNER CONTRACTING COMPANY 300 EAST JOPPA ROAD BALTIMORE MD 21286 | CONSTRUCTION & RELATED SERVICES | 40,483,317 |
| STANDARD BUILDERS INC 52 HOLMES ROAD NEWINGTON CT 06111 | CONSTRUCTION & RELATED SERVICES | 35,954,328 |
| TURNER CONSTRUCTION COMPANY 50 WATERVIEW DRIVE SHELTON CT 06484 | CONSTRUCTION & RELATED SERVICES | 15,366,435 |
| SHAWMUT WOODWORKING & SUPPLY INC 560 HARRISON AVENUE BOSTON MA 02118 | CONSTRUCTION & RELATED SERVICES | 14,905,186 |

**2** Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶431

**Part VIII** **Statement of Revenue**

Check if Schedule O contains a response or note to any line in this Part VIII . . . . . ☐

| | | | | (A) Total revenue | (B) Related or exempt function revenue | (C) Unrelated business revenue | (D) Revenue excluded from tax under sections 512-514 |
|---|---|---|---|---|---|---|---|
| Contributions, Gifts, Grants and Other Similar Amounts | 1a Federated campaigns | 1a | 109,773 | | | | |
| | b Membership dues | 1b | | | | | |
| | c Fundraising events | 1c | 332,273 | | | | |
| | d Related organizations | 1d | 244,982 | | | | |
| | e Government grants (contributions) | 1e | 456,751,527 | | | | |
| | f All other contributions, gifts, grants, and similar amounts not included above | 1f | 420,916,242 | | | | |
| | g Noncash contributions included in lines 1a-1f $ | | 58,882,972 | | | | |
| | h **Total.** Add lines 1a-1f ▶ | | | 878,354,797 | | | |
| Program Service Revenue | | Business Code | | | | | |
| | 2a MEDICAL SERVICE INCOME | 541900 | | 699,527,574 | 697,068,381 | 2,459,193 | |
| | b STUDENT INCOME | 611310 | | 541,348,009 | 541,348,009 | | |
| | c CONTRACT INCOME-GOV'T | 541700 | | 87,404,168 | 87,404,168 | | |
| | d CONTRACT INC-NON-GOV'T | 541700 | | 51,618,143 | 51,618,143 | | |
| | e PUBLICATIONS INCOME | 511130 | | 21,992,184 | 21,992,184 | | |
| | f All other program service revenue | | | 62,088,565 | 60,038,438 | 2,050,127 | |
| | g **Total.** Add lines 2a–2f ▶ | | | 1,463,978,643 | | | |
| Other Revenue | 3 Investment income (including dividends, interest, and other similar amounts) ▶ | | | 673,390,155 | | -32,546,903 | 705,937,058 |
| | 4 Income from investment of tax-exempt bond proceeds ▶ | | | 241 | | | 241 |
| | 5 Royalties ▶ | | | 10,208,152 | | | 10,208,152 |
| | | (i) Real | (ii) Personal | | | | |
| | 6a Gross rents | 15,792,825 | | | | | |
| | b Less rental expenses | 11,750,567 | | | | | |
| | c Rental income or (loss) | 4,042,258 | | | | | |
| | d Net rental income or (loss) ▶ | | | 4,042,258 | | | 4,042,258 |
| | | (i) Securities | (ii) Other | | | | |
| | 7a Gross amount from sales of assets other than inventory | 2,040,634,743 | 3,036,820,432 | | | | |
| | b Less cost or other basis and sales expenses | 1,584,051,634 | 2,105,540,828 | | | | |
| | c Gain or (loss) | 456,583,109 | 931,279,604 | | | | |
| | d Net gain or (loss) ▶ | | | 1,387,862,713 | | -4,318,976 | 1,392,181,689 |
| | 8a Gross income from fundraising events (not including $ 332,273 of contributions reported on line 1c) See Part IV, line 18 | a | 306,710 | | | | |
| | b Less direct expenses | b | 225,455 | | | | |
| | c Net income or (loss) from fundraising events ▶ | | | 81,255 | | | 81,255 |
| | 9a Gross income from gaming activities See Part IV, line 19 | a | 13,394 | | | | |
| | b Less direct expenses | b | 4,770 | | | | |
| | c Net income or (loss) from gaming activities ▶ | | | 8,624 | | | 8,624 |
| | 10a Gross sales of inventory, less returns and allowances | a | | | | | |
| | b Less cost of goods sold | b | | | | | |
| | c Net income or (loss) from sales of inventory ▶ | | | | | | |
| | Miscellaneous Revenue | Business Code | | | | | |
| | 11a EDUCATIONAL SUPPORT | 611710 | | 34,626,004 | 34,626,004 | | |
| | b PARKING | 812930 | | 11,775,059 | | 738,387 | 11,036,672 |
| | c UTILITIES | 221000 | | 10,071,233 | | | 10,071,233 |
| | d All other revenue | | | 3,234,434 | | | 3,234,434 |
| | e **Total.** Add lines 11a–11d ▶ | | | 59,706,730 | | | |
| | 12 **Total revenue.** See Instructions ▶ | | | 4,477,633,568 | 1,494,095,327 | -31,618,172 | 2,136,801,616 |

## Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A)

Check if Schedule O contains a response or note to any line in this Part IX . . . . . ☑

| Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII. | (A) Total expenses | (B) Program service expenses | (C) Management and general expenses | (D) Fundraising expenses |
|---|---|---|---|---|
| 1 Grants and other assistance to governments and organizations in the United States See Part IV, line 21 | 46,155,306 | 46,155,306 | | |
| 2 Grants and other assistance to individuals in the United States See Part IV, line 22 | 338,094,048 | 338,094,048 | | |
| 3 Grants and other assistance to governments, organizations, and individuals outside the United States See Part IV, lines 15 and 16 | 16,333,344 | 16,333,344 | | |
| 4 Benefits paid to or for members | | | | |
| 5 Compensation of current officers, directors, trustees, and key employees . . . . | 15,840,950 | 1,305,033 | 14,011,642 | 524,275 |
| 6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B) . . . . | 12,757,024 | 9,575,234 | 2,967,466 | 214,324 |
| 7 Other salaries and wages | 1,433,519,307 | 1,334,222,377 | 80,889,319 | 18,407,611 |
| 8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions) . . . . | 141,385,496 | 128,932,632 | 10,473,822 | 1,979,042 |
| 9 Other employee benefits . . . . . | 262,593,129 | 235,460,743 | 23,725,533 | 3,406,853 |
| 10 Payroll taxes . . . . . | 88,031,276 | 79,961,964 | 6,822,944 | 1,246,368 |
| 11 Fees for services (non-employees) | | | | |
| a Management . . . . . | | | | |
| b Legal . . . . . | 4,817,376 | 1,234,252 | 3,583,124 | |
| c Accounting . . . . . | 1,777,509 | 64,837 | 1,712,672 | |
| d Lobbying . . . . . | 135,361 | | 135,361 | |
| e Professional fundraising services See Part IV, line 17 | | | | |
| f Investment management fees . . . . . | 90,916,209 | | 90,916,209 | |
| g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O) . . . . . | 70,657,728 | 56,031,153 | 13,747,642 | 878,933 |
| 12 Advertising and promotion . . . . | 2,831,202 | 2,611,902 | 58,596 | 160,704 |
| 13 Office expenses . . . . . | 23,623,419 | 16,546,549 | 6,003,232 | 1,073,638 |
| 14 Information technology . . . . . | 36,664,955 | 20,788,896 | 15,441,855 | 434,204 |
| 15 Royalties . . | 52,317 | 52,317 | | |
| 16 Occupancy . . . . . | 81,304,819 | 78,473,640 | 541,292 | 2,289,887 |
| 17 Travel . . . . . | 49,714,766 | 46,589,959 | 2,164,772 | 960,035 |
| 18 Payments of travel or entertainment expenses for any federal, state, or local public officials . . . . . | 2,419 | 2,419 | | |
| 19 Conferences, conventions, and meetings . . . . | 4,863,337 | 4,616,700 | 197,624 | 49,013 |
| 20 Interest . . . . . | 88,485,271 | 85,174,567 | 2,782,938 | 527,766 |
| 21 Payments to affiliates . . . . . | | | | |
| 22 Depreciation, depletion, and amortization . . . . . | 248,330,256 | 229,774,898 | 15,597,413 | 2,957,945 |
| 23 Insurance . . . . . | 12,120,308 | 11,252,398 | 867,910 | |
| 24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O ) | | | | |
| a SUPPLIES-MED & RESEARCH | 72,830,628 | 72,830,628 | | |
| b BOOKS, PERIODICALS, ETC | 43,591,060 | 43,488,513 | 20,876 | 81,671 |
| c SUPPLIES-OTHER | 36,235,398 | 34,803,669 | 1,201,010 | 230,719 |
| d FOOD & BEVERAGE | 15,939,150 | 14,144,899 | 1,216,128 | 578,123 |
| e All other expenses | 184,013,871 | 155,460,281 | 24,642,516 | 3,911,074 |
| 25 **Total functional expenses.** Add lines 1 through 24e | 3,423,617,239 | 3,063,983,158 | 319,721,896 | 39,912,185 |
| 26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☐ if following SOP 98-2 (ASC 958-720) | | | | |

**Part X** **Balance Sheet**

Check if Schedule O contains a response or note to any line in this Part X . . . . . ☐

| | | | | (A) Beginning of year | | (B) End of year |
|---|---|---|---|---|---|---|
| Assets | 1 | Cash–non-interest-bearing | | 15,460,156 | 1 | 33,493,994 |
| | 2 | Savings and temporary cash investments | | 273,641,928 | 2 | 199,428,482 |
| | 3 | Pledges and grants receivable, net | | 474,584,945 | 3 | 487,397,603 |
| | 4 | Accounts receivable, net | | 127,247,294 | 4 | 118,699,644 |
| | 5 | Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L | | | 5 | 197,956 |
| | 6 | Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L | | 125,235 | 6 | 80,520 |
| | 7 | Notes and loans receivable, net | | 148,778,187 | 7 | 150,464,013 |
| | 8 | Inventories for sale or use | | 16,317,745 | 8 | 15,811,800 |
| | 9 | Prepaid expenses and deferred charges | | 21,152,539 | 9 | 22,044,264 |
| | 10a | Land, buildings, and equipment cost or other basis Complete Part VI of Schedule D | 10a 6,543,026,246 | | | |
| | b | Less accumulated depreciation | 10b 2,212,408,251 | 4,260,463,890 | 10c | 4,330,617,995 |
| | 11 | Investments—publicly traded securities | | 3,954,966,616 | 11 | 3,885,016,809 |
| | 12 | Investments—other securities See Part IV, line 11 | | 19,248,317,889 | 12 | 22,378,135,546 |
| | 13 | Investments—program-related See Part IV, line 11 | | 27,505,800 | 13 | 29,390,558 |
| | 14 | Intangible assets | | | 14 | |
| | 15 | Other assets See Part IV, line 11 | | 342,613,318 | 15 | 381,062,083 |
| | 16 | **Total assets.** Add lines 1 through 15 (must equal line 34) | | 28,911,175,542 | 16 | 32,031,841,267 |
| Liabilities | 17 | Accounts payable and accrued expenses | | 361,715,777 | 17 | 342,857,310 |
| | 18 | Grants payable | | 3,599,640 | 18 | 3,228,455 |
| | 19 | Deferred revenue | | 87,681,883 | 19 | 90,694,215 |
| | 20 | Tax-exempt bond liabilities | | 2,657,127,658 | 20 | 2,651,280,890 |
| | 21 | Escrow or custodial account liability Complete Part IV of Schedule D | | 1,659,869 | 21 | 2,193,991 |
| | 22 | Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons Complete Part II of Schedule L | | | 22 | |
| | 23 | Secured mortgages and notes payable to unrelated third parties | | | 23 | |
| | 24 | Unsecured notes and loans payable to unrelated third parties | | 808,416,119 | 24 | 558,438,931 |
| | 25 | Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D | | 3,053,692,963 | 25 | 3,262,495,235 |
| | 26 | **Total liabilities.** Add lines 17 through 25 | | 6,973,893,909 | 26 | 6,911,189,027 |
| Net Assets or Fund Balances | | **Organizations that follow SFAS 117 (ASC 958), check here ▶ ☑ and complete lines 27 through 29, and lines 33 and 34.** | | | | |
| | 27 | Unrestricted net assets | | 3,624,985,807 | 27 | 4,244,671,456 |
| | 28 | Temporarily restricted net assets | | 15,117,189,673 | 28 | 17,531,623,759 |
| | 29 | Permanently restricted net assets | | 3,195,106,153 | 29 | 3,344,357,025 |
| | | **Organizations that do not follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 30 through 34.** | | | | |
| | 30 | Capital stock or trust principal, or current funds | | | 30 | |
| | 31 | Paid-in or capital surplus, or land, building or equipment fund | | | 31 | |
| | 32 | Retained earnings, endowment, accumulated income, or other funds | | | 32 | |
| | 33 | Total net assets or fund balances | | 21,937,281,633 | 33 | 25,120,652,240 |
| | 34 | Total liabilities and net assets/fund balances | | 28,911,175,542 | 34 | 32,031,841,267 |

## Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI . . . . . ☑

| | | | |
|---|---|---|---|
| 1 | Total revenue (must equal Part VIII, column (A), line 12) | 1 | 4,477,633,568 |
| 2 | Total expenses (must equal Part IX, column (A), line 25) | 2 | 3,423,617,239 |
| 3 | Revenue less expenses Subtract line 2 from line 1 | 3 | 1,054,016,329 |
| 4 | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) | 4 | 21,937,281,633 |
| 5 | Net unrealized gains (losses) on investments | 5 | 2,087,318,508 |
| 6 | Donated services and use of facilities | 6 | |
| 7 | Investment expenses | 7 | |
| 8 | Prior period adjustments | 8 | |
| 9 | Other changes in net assets or fund balances (explain in Schedule O) | 9 | 42,035,770 |
| 10 | Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B)) | 10 | 25,120,652,240 |

## Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . . . ☐

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Accounting method used to prepare the Form 990 ☐ Cash ☑ Accrual ☐ Other _____<br>If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O | | | |
| 2a | Were the organization's financial statements compiled or reviewed by an independent accountant? | 2a | | No |
| | If 'Yes,' check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both<br>☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis | | | |
| b | Were the organization's financial statements audited by an independent accountant? | 2b | Yes | |
| | If 'Yes,' check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both<br>☐ Separate basis ☑ Consolidated basis ☐ Both consolidated and separate basis | | | |
| c | If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? | 2c | Yes | |
| | If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O | | | |
| 3a | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133? | 3a | Yes | |
| b | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits | 3b | Yes | |

## Additional Data

**Software ID:**
**Software Version:**
**EIN:** 06-0646973
**Name:** YALE UNIVERSITY

### Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee): Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| PETER SALOVEY<br>TRUSTEE AND PRESIDENT | 50 00<br>2 20 | X | | X | | | | 760,974 | 0 | 40,046 |
| BYRON G AUGUSTE<br>TRUSTEE | 5 00<br>0 00 | X | | | | | | 0 | 0 | 0 |
| JOSHUA BEKENSTEIN<br>TRUSTEE | 5 00<br>0 00 | X | | | | | | 0 | 0 | 0 |
| JEFFREY L BEWKES<br>TRUSTEE | 5 00<br>0 00 | X | | | | | | 0 | 0 | 0 |
| MAUREEN C CHIQUET<br>TRUSTEE | 5 00<br>0 00 | X | | | | | | 0 | 0 | 0 |
| FRANCISCO G CIGARROA<br>TRUSTEE | 5 00<br>0 00 | X | | | | | | 0 | 0 | 0 |
| PETER B DERVAN<br>TRUSTEE | 5 00<br>0 00 | X | | | | | | 0 | 0 | 0 |
| DONNA L DUBINSKY<br>TRUSTEE | 5 00<br>0 00 | X | | | | | | 0 | 0 | 0 |
| CHARLES W GOODYEAR IV<br>TRUSTEE | 5 00<br>0 00 | X | | | | | | 0 | 0 | 0 |
| CATHARINE B HILL<br>TRUSTEE | 5 00<br>0 00 | X | | | | | | 0 | 0 | 0 |
| PAUL L JOSKOW<br>TRUSTEE | 5 00<br>0 00 | X | | | | | | 0 | 0 | 0 |
| NEAL L KENY-GUYER<br>TRUSTEE | 5 00<br>0 00 | X | | | | | | 0 | 0 | 0 |
| DANNEL P MALLOY<br>TRUSTEE (EX-OFFICIO) | 0 00<br>0 00 | X | | | | | | 0 | 0 | 0 |
| MARGARET H MARSHALL<br>TRUSTEE | 5 00<br>0 00 | X | | | | | | 0 | 0 | 0 |
| INDRA NOOYI<br>TRUSTEE | 5 00<br>0 00 | X | | | | | | 0 | 0 | 0 |
| E JOHN RICE JR<br>TRUSTEE | 5 00<br>0 00 | X | | | | | | 0 | 0 | 0 |
| KEVIN P RYAN<br>TRUSTEE | 5 00<br>0 00 | X | | | | | | 0 | 0 | 0 |
| DOUGLAS A WARNER III<br>TRUSTEE | 5 00<br>0 00 | X | | | | | | 0 | 0 | 0 |
| NANCY WYMAN<br>TRUSTEE (EX-OFFICIO) | 0 00<br>0 00 | X | | | | | | 0 | 0 | 0 |
| BRUCE D ALEXANDER<br>VP FOR NEW HAVEN & STATE AFFAIRS | 50 00<br>0 00 | | | X | | | | 533,018 | 0 | 40,685 |
| KIMBERLY M GOFF-CREWS<br>SECRETARY AND VP FOR STUDENT LIFE | 50 00<br>1 00 | | | X | | | | 369,411 | 0 | 42,782 |
| SHAUNA R KING<br>VP FOR FINANCE & BUSINESS OPERATIONS | 50 00<br>1 20 | | | X | | | | 559,569 | 0 | 44,527 |
| LINDA K LORIMER<br>VP FOR GLOBAL & STRAT INITIATIVES | 50 00<br>1 80 | | | X | | | | 577,100 | 0 | 46,357 |
| JOAN E O'NEILL<br>VP FOR DEVELOPMENT | 50 00<br>40 | | | X | | | | 440,369 | 0 | 79,787 |
| MICHAEL A PEEL<br>VP FOR HR & ADMINISTRATION | 50 00<br>0 00 | | | X | | | | 549,823 | 0 | 48,803 |

## Form 990, Part VII - Compensation of Officers, Directors,Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

| (A) Name and Title | (B) Average hours per week (list any hours for related organizations below dotted line) | (C) Position (do not check more than one box, unless person is both an officer and a director/trustee) | | | | | | (D) Reportable compensation from the organization (W-2/1099-MISC) | (E) Reportable compensation from related organizations (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations |
|---|---|---|---|---|---|---|---|---|---|---|
| | | Individual trustee or director | Institutional Trustee | Officer | Key employee | Highest compensated employee | Former | | | |
| BENJAMIN POLAK<br>PROVOST | 50 00<br>20 | | | X | | | | 531,112 | 0 | 41,191 |
| DOROTHY K ROBINSON<br>VP & GENERAL COUNSEL | 50 00<br>20 | | | X | | | | 545,625 | 0 | 38,784 |
| ROBERT J ALPERN<br>DEAN SCHOOL OF MEDICINE | 50 00<br>0 00 | | | | X | | | 820,205 | 0 | 32,096 |
| JOHN H BOLLIER<br>AVP FOR FACILITIES | 50 00<br>0 00 | | | | X | | | 355,920 | 0 | 65,720 |
| RICHARD C LEVIN<br>PRESIDENT EMERITUS | 50 00<br>0 00 | | | | X | | | 1,103,147 | 0 | 45,860 |
| RICHARD C LEVIN<br>PRESIDENT EMERITUS | 50 00<br>0 00 | | | | X | | | 8,503,714 | 0 | 0 |
| SCOTT A STROBEL<br>VP WEST CAMPUS PLANNING | 50 00<br>0 00 | | | | X | | | 379,284 | 0 | 53,032 |
| DAVID F SWENSEN<br>CHIEF INVESTMENT OFFICER | 50 00<br>3 40 | | | | X | | | 3,075,883 | 0 | 492,734 |
| DEAN J TAKAHASHI<br>SR DIRECTOR INVESTMENTS | 50 00<br>2 00 | | | | X | | | 2,303,566 | 0 | 234,656 |
| KHALID M ABBED<br>CHIEF, YALE SPINE INSTITUTE | 50 00<br>0 00 | | | | | X | | 1,144,268 | 0 | 58,176 |
| ANAMIKA KATOCH<br>CLINICIAN, CANCER CENTER | 50 00<br>0 00 | | | | | X | | 1,145,863 | 0 | 33,457 |
| DAVID J LEFFELL<br>PROFESSOR OF DERMATOLOGY | 50 00<br>0 00 | | | | | X | | 1,422,872 | 0 | 74,268 |
| THOMAS J RUTHERFORD<br>PROFESSOR, OB/GYN | 50 00<br>0 00 | | | | | X | | 1,495,557 | 0 | 39,837 |
| TIMOTHY SULLIVAN<br>DIRECTOR INVESTMENTS | 50 00<br>0 00 | | | | | X | | 1,064,447 | 0 | 452,820 |
| MICHAEL J DONOGHUE<br>FORMER VP WEST CAMPUS PLANNING | 50 00<br>0 00 | | | | | | X | 253,910 | 0 | 51,513 |

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493135062435

# SCHEDULE A
(Form 990 or 990EZ)

Department of the Treasury
Internal Revenue Service

## Public Charity Status and Public Support

**Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.**

▶ **Attach to Form 990 or Form 990-EZ.** ▶ **See separate instructions.**
▶ **Information about Schedule A (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

**2013**

**Open to Public Inspection**

**Name of the organization**
YALE UNIVERSITY

**Employer identification number**
06-0646973

**Part I** **Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box )

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☑ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E )

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state _____

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II )

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II )

8 ☐ A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II )

9 ☐ An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III )

10 ☐ An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**

11 ☐ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

**a** ☐ Type I **b** ☐ Type II **c** ☐ Type III - Functionally integrated **d** ☐ Type III - Non-functionally integrated

**e** ☐ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)

**f** If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box ☐

**g** Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

| | | Yes | No |
|---|---|---|---|
| **(i)** A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? | **11g(i)** | | |
| **(ii)** A family member of a person described in (i) above? | **11g(ii)** | | |
| **(iii)** A 35% controlled entity of a person described in (i) or (ii) above? | **11g(iii)** | | |

**h** Provide the following information about the supported organization(s)

| **(i) Name of supported organization** | **(ii)** EIN | **(iii)** Type of organization (described on lines 1- 9 above or IRC section **(see instructions)**) | **(iv)** Is the organization in col **(i)** listed in your governing document? | | **(v)** Did you notify the organization in col **(i)** of your support? | | **(vi)** Is the organization in col **(i)** organized in the U S ? | | **(vii)** Amount of monetary support |
|---|---|---|---|---|---|---|---|---|---|
| | | | **Yes** | **No** | **Yes** | **No** | **Yes** | **No** | |
| | | | | | | | | | |
| | | | | | | | | | |
| **Total** | | | | | | | | | |

**For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990EZ.**

Cat No 11285F

Schedule A (Form 990 or 990-EZ) 2013

**Part II** **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)**
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

## Section A. Public Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2009 | (b) 2010 | (c) 2011 | (d) 2012 | (e) 2013 | (f) Total |
|---|---|---|---|---|---|---|
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ") | 773,935,958 | 1,239,645,403 | 863,987,731 | 846,509,395 | 878,354,797 | 4,602,433,284 |
| 2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 3 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 4 **Total.** Add lines 1 through 3 | 773,935,958 | 1,239,645,403 | 863,987,731 | 846,509,395 | 878,354,797 | 4,602,433,284 |
| 5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) | | | | | | |
| 6 **Public support.** Subtract line 5 from line 4 | | | | | | 4,602,433,284 |

## Section B. Total Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2009 | (b) 2010 | (c) 2011 | (d) 2012 | (e) 2013 | (f) Total |
|---|---|---|---|---|---|---|
| 7 Amounts from line 4 | 773,935,958 | 1,239,645,403 | 863,987,731 | 846,509,395 | 878,354,797 | 4,602,433,284 |
| 8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | 544,920,344 | 554,044,718 | 511,578,007 | 558,241,125 | 731,938,276 | 2,900,722,470 |
| 9 Net income from unrelated business activities, whether or not the business is regularly carried on | | | | | | |
| 10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV ) | | | | | | |
| 11 **Total support** (Add lines 7 through 10) | | | | | | 7,503,155,754 |

12 Gross receipts from related activities, etc (see instructions) **12** 6,568,328,061

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** . . . . . ▶☐

## Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f)) **14** 61 340 %

15 Public support percentage for 2012 Schedule A, Part II, line 14 **15** 62 790 %

16a **33 1/3% support test—2013.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☑

b **33 1/3% support test—2012.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

17a **10%-facts-and-circumstances test—2013.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

b **10%-facts-and-circumstances test—2012.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization ▶☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions ▶☐

**Part III** **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

## Section A. Public Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2009 | (b) 2010 | (c) 2011 | (d) 2012 | (e) 2013 | (f) Total |
|---|---|---|---|---|---|---|
| 1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ") | | | | | | |
| 2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose | | | | | | |
| 3 Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | |
| 4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 5 The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 6 **Total.** Add lines 1 through 5 | | | | | | |
| 7a Amounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | |
| b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year | | | | | | |
| c Add lines 7a and 7b | | | | | | |
| 8 **Public support** (Subtract line 7c from line 6 ) | | | | | | |

## Section B. Total Support

| Calendar year (or fiscal year beginning in) ▶ | (a) 2009 | (b) 2010 | (c) 2011 | (d) 2012 | (e) 2013 | (f) Total |
|---|---|---|---|---|---|---|
| 9 Amounts from line 6 | | | | | | |
| 10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 | | | | | | |
| c Add lines 10a and 10b | | | | | | |
| 11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | |
| 12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV ) | | | | | | |
| 13 **Total support.** (Add lines 9, 10c, 11, and 12 ) | | | | | | |

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and **stop here** ▶☐

## Section C. Computation of Public Support Percentage

| | | |
|---|---|---|
| 15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f)) | 15 | |
| 16 Public support percentage from 2012 Schedule A, Part III, line 15 | 16 | |

## Section D. Computation of Investment Income Percentage

| | | |
|---|---|---|
| 17 Investment income percentage for **2013** (line 10c, column (f) divided by line 13, column (f)) | 17 | |
| 18 Investment income percentage from **2012** Schedule A, Part III, line 17 | 18 | |

19a **33 1/3% support tests—2013.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

b **33 1/3% support tests—2012.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶☐

**Part IV** **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

| Facts And Circumstances Test | | |
|---|---|---|
| | | |
| Return Reference | Explanation | |

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493135062435

**SCHEDULE C**
**(Form 990 or 990-EZ)**

Department of the Treasury
Internal Revenue Service

# Political Campaign and Lobbying Activities

**For Organizations Exempt From Income Tax Under section 501(c) and section 527**

▶ **Complete if the organization is described below.** ▶ **Attach to Form 990 or Form 990-EZ.**
▶ **See separate instructions.** ▶ **Information about Schedule C (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

**2013**

**Open to Public Inspection**

**If the organization answered "Yes" to Form 990, Part IV, Line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then**
- Section 501(c)(3) organizations Complete Parts I-A and B Do not complete Part I-C
- Section 501(c) (other than section 501(c)(3)) organizations Complete Parts I-A and C below Do not complete Part I-B
- Section 527 organizations Complete Part I-A only

**If the organization answered "Yes" to Form 990, Part IV, Line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then**
- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)) Complete Part II-A Do not complete Part II-B
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)) Complete Part II-B Do not complete Part II-A

**If the organization answered "Yes" to Form 990, Part IV, Line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then**
- Section 501(c)(4), (5), or (6) organizations Complete Part III

| Name of the organization | Employer identification number |
|---|---|
| YALE UNIVERSITY | 06-0646973 |

## Part I-A Complete if the organization is exempt under section 501(c) or is a section 527 organization.

1 Provide a description of the organization's direct and indirect political campaign activities in Part IV

2 Political expenditures ▶ $

3 Volunteer hours

## Part I-B Complete if the organization is exempt under section 501(c)(3).

1 Enter the amount of any excise tax incurred by the organization under section 4955 ▶ $

2 Enter the amount of any excise tax incurred by organization managers under section 4955 ▶ $

3 If the organization incurred a section 4955 tax, did it file Form 4720 for this year? ☐ Yes ☐ No

4a Was a correction made? ☐ Yes ☐ No

b If "Yes," describe in Part IV

## Part I-C Complete if the organization is exempt under section 501(c), except section 501(c)(3).

1 Enter the amount directly expended by the filing organization for section 527 exempt function activities ▶ $

2 Enter the amount of the filing organization's funds contributed to other organizations for section 527 exempt function activities ▶ $

3 Total exempt function expenditures Add lines 1 and 2 Enter here and on Form 1120-POL, line 17b ▶ $

4 Did the filing organization file **Form 1120-POL** for this year? ☐ Yes ☐ No

5 Enter the names, addresses and employer identification number (EIN) of all section 527 political organizations to which the filing organization made payments For each organization listed, enter the amount paid from the filing organization's funds Also enter the amount of political contributions received that were promptly and directly delivered to a separate political organization, such as a separate segregated fund or a political action committee (PAC) If additional space is needed, provide information in Part IV

| **(a)** Name | **(b)** Address | **(c)** EIN | **(d)** Amount paid from filing organization's funds If none, enter -0- | **(e)** Amount of political contributions received and promptly and directly delivered to a separate political organization If none, enter -0- |
|---|---|---|---|---|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Part II-A** **Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).**

**A** Check ▶ ☑ if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures)

**B** Check ▶ ☐ if the filing organization checked box A and "limited control" provisions apply

| **Limits on Lobbying Expenditures** (The term "expenditures" means amounts paid or incurred.) | **(a)** Filing organization's totals | **(b)** Affiliated group totals |
|---|---|---|
| **1a** Total lobbying expenditures to influence public opinion (grass roots lobbying) | 10,448 | 10,448 |
| **b** Total lobbying expenditures to influence a legislative body (direct lobbying) | 495,621 | 495,621 |
| **c** Total lobbying expenditures (add lines 1a and 1b) | 506,069 | 506,069 |
| **d** Other exempt purpose expenditures | 3,254,174,059 | 3,311,288,678 |
| **e** Total exempt purpose expenditures (add lines 1c and 1d) | 3,254,680,128 | 3,311,794,747 |
| **f** Lobbying nontaxable amount Enter the amount from the following table in both columns | 1,000,000 | 1,000,000 |
| **g** Grassroots nontaxable amount (enter 25% of line 1f) | 250,000 | 250,000 |
| **h** Subtract line 1g from line 1a If zero or less, enter -0- | 0 | 0 |
| **i** Subtract line 1f from line 1c If zero or less, enter -0- | 0 | 0 |

| **If the amount on line 1e, column (a) or (b) is:** | **The lobbying nontaxable amount is:** |
|---|---|
| Not over $500,000 | 20% of the amount on line 1e |
| Over $500,000 but not over $1,000,000 | $100,000 plus 15% of the excess over $500,000 |
| Over $1,000,000 but not over $1,500,000 | $175,000 plus 10% of the excess over $1,000,000 |
| Over $1,500,000 but not over $17,000,000 | $225,000 plus 5% of the excess over $1,500,000 |
| Over $17,000,000 | $1,000,000 |

**j** If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year? ☐ **Yes** ☐ **No**

**4-Year Averaging Period Under Section 501(h)**
**(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)**

**Lobbying Expenditures During 4-Year Averaging Period**

| Calendar year (or fiscal year beginning in) | **(a)** 2010 | **(b)** 2011 | **(c)** 2012 | **(d)** 2013 | **(e)** Total |
|---|---|---|---|---|---|
| **2a** Lobbying nontaxable amount | 1,000,000 | 1,000,000 | 1,000,000 | 1,000,000 | 4,000,000 |
| **b** Lobbying ceiling amount (150% of line 2a, column(e)) | | | | | 6,000,000 |
| **c** Total lobbying expenditures | 609,960 | 521,672 | 606,971 | 506,069 | 2,244,672 |
| **d** Grassroots nontaxable amount | 250,000 | 250,000 | 250,000 | 250,000 | 1,000,000 |
| **e** Grassroots ceiling amount (150% of line 2d, column (e)) | | | | | 1,500,000 |
| **f** Grassroots lobbying expenditures | 15,670 | 21,232 | 18,971 | 10,448 | 66,321 |

**Part II-B** **Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).**

| *For each "Yes" response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.* | | (a) Yes | (a) No | (b) Amount |
|---|---|---|---|---|
| 1 | During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of | | | |
| a | Volunteers? | | | |
| b | Paid staff or management (include compensation in expenses reported on lines 1c through 1i)? | | | |
| c | Media advertisements? | | | |
| d | Mailings to members, legislators, or the public? | | | |
| e | Publications, or published or broadcast statements? | | | |
| f | Grants to other organizations for lobbying purposes? | | | |
| g | Direct contact with legislators, their staffs, government officials, or a legislative body? | | | |
| h | Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means? | | | |
| i | Other activities? | | | |
| j | Total Add lines 1c through 1i | | | |
| 2a | Did the activities in line 1 cause the organization to be not described in section 501(c)(3)? | | | |
| b | If "Yes," enter the amount of any tax incurred under section 4912 | | | |
| c | If "Yes," enter the amount of any tax incurred by organization managers under section 4912 | | | |
| d | If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year? | | | |

**Part III-A** **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Were substantially all (90% or more) dues received nondeductible by members? | 1 | | |
| 2 | Did the organization make only in-house lobbying expenditures of $2,000 or less? | 2 | | |
| 3 | Did the organization agree to carry over lobbying and political expenditures from the prior year? | 3 | | |

**Part III-B** **Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No" OR (b) Part III-A, line 3, is answered "Yes."**

| | | | |
|---|---|---|---|
| 1 | Dues, assessments and similar amounts from members | 1 | |
| 2 | Section 162(e) nondeductible lobbying and political expenditures **(do not include amounts of political expenses for which the section 527(f) tax was paid).** | | |
| a | Current year | 2a | |
| b | Carryover from last year | 2b | |
| c | Total | 2c | |
| 3 | Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues | 3 | |
| 4 | If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year? | 4 | |
| 5 | Taxable amount of lobbying and political expenditures (see instructions) | 5 | |

**Part IV** **Supplemental Information**

Provide the descriptions required for Part I-A, line 1, Part I-B, line 4, Part I-C, line 5, Part II-A (affiliated group list), Part II-A, line 2, and Part II-B, line 1 Also, complete this part for any additional information

| Return Reference | Explanation |
|---|---|
| | |
| | |
| | |
| | |
| | |
| | |
| | |

**Part IV** **Supplemental Information** ***(continued)***

| Return Reference | Explanation |
|---|---|
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |

**SCHEDULE D** (Form 990)

Department of the Treasury
Internal Revenue Service

# Supplemental Financial Statements

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b
▶ Attach to Form 990. ▶ See separate instructions. ▶ Information about Schedule D (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

**2013**

**Open to Public Inspection**

**Name of the organization**
YALE UNIVERSITY

**Employer identification number**
06-0646973

## Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

| | | (a) Donor advised funds | (b) Funds and other accounts |
|---|---|---|---|
| 1 | Total number at end of year | 10 | |
| 2 | Aggregate contributions to (during year) | 22,007,752 | |
| 3 | Aggregate grants from (during year) | 1,817,620 | |
| 4 | Aggregate value at end of year | 65,649,861 | |

5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☑ Yes ☐ No

6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☑ Yes ☐ No

## Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or education)
☐ Preservation of an historically important land area
☐ Protection of natural habitat
☐ Preservation of a certified historic structure
☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

| | | | Held at the End of the Year |
|---|---|---|---|
| a | Total number of conservation easements | 2a | |
| b | Total acreage restricted by conservation easements | 2b | |
| c | Number of conservation easements on a certified historic structure included in (a) | 2c | |
| d | Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register | 2d | |

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶_____

4 Number of states where property subject to conservation easement is located ▶_____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? ☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶_____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ $ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? ☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

## Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ $ _____

(ii) Assets included in Form 990, Part X ▶ $ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ $ _____

b Assets included in Form 990, Part X ▶ $ _____

**Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets** *(continued)*

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a ☑ Public exhibition
b ☑ Scholarly research
c ☑ Preservation for future generations
d ☑ Loan or exchange programs
e ☐ Other

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII

5 During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? ☐ Yes ☑ No

**Part IV Escrow and Custodial Arrangements.** Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? ☐ Yes ☑ No

b If "Yes," explain the arrangement in Part XIII and complete the following table

| | | Amount |
|---|---|---|
| c Beginning balance | 1c | |
| d Additions during the year | 1d | |
| e Distributions during the year | 1e | |
| f Ending balance | 1f | |

2a Did the organization include an amount on Form 990, Part X, line 21? ☑ Yes ☐ No

b If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII . . . . . ☑

**Part V Endowment Funds.** Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

| | (a)Current year | (b)Prior year | b (c)Two years back | (d)Three years back | (e)Four years back |
|---|---|---|---|---|---|
| 1a Beginning of year balance | 20,993,584,000 | 19,606,479,000 | 19,630,896,000 | 16,863,612,000 | 16,493,386,000 |
| b Contributions | 131,476,000 | 147,031,000 | 137,655,000 | 303,444,000 | 84,878,000 |
| c Net investment earnings, gains, and losses | 4,037,639,000 | 2,294,825,000 | 877,883,000 | 3,465,103,000 | 1,370,842,000 |
| d Grants or scholarships | 162,357,000 | 157,718,000 | 152,900,000 | 146,778,000 | 163,872,000 |
| e Other expenditures for facilities and programs | 833,291,000 | 824,778,000 | 819,118,000 | 788,353,000 | 853,772,000 |
| f Administrative expenses | 77,437,000 | 72,255,000 | 67,937,000 | 66,132,000 | 67,850,000 |
| g End of year balance | 24,089,614,000 | 20,993,584,000 | 19,606,479,000 | 19,630,896,000 | 16,863,612,000 |

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a Board designated or quasi-endowment ▶ 15 830 %
b Permanent endowment ▶ 13 740 %
c Temporarily restricted endowment ▶ 70 430 %
The percentages in lines 2a, 2b, and 2c should equal 100%

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by

| | | Yes | No |
|---|---|---|---|
| (i) unrelated organizations | 3a(i) | | No |
| (ii) related organizations | 3a(ii) | | No |
| b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R? | 3b | | |

4 Describe in Part XIII the intended uses of the organization's endowment funds

**Part VI Land, Buildings, and Equipment.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

| Description of property | (a) Cost or other basis (investment) | (b)Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|---|---|---|---|---|
| 1a Land | | 131,892,231 | | 131,892,231 |
| b Buildings | 52,944,800 | 5,813,223,218 | 1,818,507,390 | 4,047,660,628 |
| c Leasehold improvements | | 1,299,035 | 481,575 | 817,460 |
| d Equipment | | 543,666,962 | 393,419,286 | 150,247,676 |
| e Other | | | | |
| **Total.** Add lines 1a through 1e *(Column (d) must equal Form 990, Part X, column (B), line 10(c).)* ▶ | | | | 4,330,617,995 |

**Part VII** **Investments—Other Securities.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

| (a) Description of security or category (including name of security) | (b) Book value | (c) Method of valuation Cost or end-of-year market value |
|---|---|---|
| (1) Financial derivatives | | |
| (2) Closely-held equity interests | | |
| (3) Other<br>(A) COMMON STOCK | 310,830,924 | F |
| (B) EQUITY INVESTMENTS | 21,863,368,569 | F |
| (C) OTHER INVESTMENTS | 203,936,053 | F |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| **Total.** *(Column (b) must equal Form 990, Part X, col (B) line 12 )* ▶ | 22,378,135,546 | |

**Part VIII** **Investments—Program Related.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

| (a) Description of investment | (b) Book value | (c) Method of valuation Cost or end-of-year market value |
|---|---|---|
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| **Total.** *(Column (b) must equal Form 990, Part X, col (B) line 13 )* ▶ | | |

**Part IX** **Other Assets.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11d See Form 990, Part X, line 15

| (a) Description | (b) Book value |
|---|---|
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| **Total.** *(Column (b) must equal Form 990, Part X, col.(B) line 15.)* . . . . . ▶ | |

**Part X** **Other Liabilities.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

| 1 (a) Description of liability | (b) Book value |
|---|---|
| Federal income taxes | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| | |
| **Total.** *(Column (b) must equal Form 990, Part X, col (B) line 25 )* ▶ | 3,262,495,235 |

**2.** Liability for uncertain tax positions In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740) Check here if the text of the footnote has been provided in Part XIII ☐

**Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return** Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

| | | | | | |
|---|---|---|---|---|---|
| 1 | Total revenue, gains, and other support per audited financial statements | | | 1 | 6,402,870,000 |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12 | | | | |
| a | Net unrealized gains on investments | 2a | 2,087,318,508 | | |
| b | Donated services and use of facilities | 2b | | | |
| c | Recoveries of prior year grants | 2c | | | |
| d | Other (Describe in Part XIII ) | 2d | 207,466,017 | | |
| e | Add lines **2a** through **2d** | | | 2e | 2,294,784,525 |
| 3 | Subtract line **2e** from line **1** | | | 3 | 4,108,085,475 |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line **1** | | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | 122,836,508 | | |
| b | Other (Describe in Part XIII ) | 4b | 246,711,585 | | |
| c | Add lines **4a** and **4b** | | | 4c | 369,548,093 |
| 5 | Total revenue Add lines **3** and **4c.** (This must equal Form 990, Part I, line 12 ) | | | 5 | 4,477,633,568 |

**Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.** Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

| | | | | | |
|---|---|---|---|---|---|
| 1 | Total expenses and losses per audited financial statements | | | 1 | 3,058,920,000 |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25 | | | | |
| a | Donated services and use of facilities | 2a | | | |
| b | Prior year adjustments | 2b | | | |
| c | Other losses | 2c | | | |
| d | Other (Describe in Part XIII ) | 2d | 4,850,854 | | |
| e | Add lines **2a** through **2d** | | | 2e | 4,850,854 |
| 3 | Subtract line **2e** from line **1** | | | 3 | 3,054,069,146 |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line **1:** | | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | 122,836,508 | | |
| b | Other (Describe in Part XIII ) | 4b | 246,711,585 | | |
| c | Add lines **4a** and **4b** | | | 4c | 369,548,093 |
| 5 | Total expenses Add lines **3** and **4c.** (This must equal Form 990, Part I, line 18 ) | | | 5 | 3,423,617,239 |

**Part XIII Supplemental Information**

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b Also complete this part to provide any additional information

| Return Reference | Explanation |
|---|---|
| PART III, LINE 1A | YALE UNIVERSITY FINANCIAL STATEMENTS - COLLECTIONS FOOTNOTE COLLECTIONS AT YALE INCLUDE WORKS OF ART, LITERARY WORKS, HISTORICAL TREASURES AND ARTIFACTS THAT ARE MAINTAINED IN THE UNIVERSITY'S MUSEUMS AND LIBRARIES THESE COLLECTIONS ARE PROTECTED AND PRESERVED FOR PUBLIC EXHIBITION, EDUCATION, RESEARCH AND THE FURTHERANCE OF PUBLIC SERVICE COLLECTIONS ARE NOT CAPITALIZED, PURCHASES OF COLLECTION ITEMS ARE RECORDED AS OPERATING EXPENSES IN THE UNIVERSITY'S CONSOLIDATED FINANCIAL STATEMENTS IN THE PERIOD IN WHICH THE ITEMS ARE ACQUIRED |
| PART III, LINE 4 | TEACHING AND RESEARCH ARE SUPPORTED BY THE UNIVERSITY'S EXTENSIVE COLLECTIONS-THE YALE UNIVERSITY ART GALLERY, THE YALE CENTER FOR BRITISH ART, THE PEABODY MUSEUM OF NATURAL HISTORY, AND THE COLLECTION OF MUSICAL INSTRUMENTS ALL THE COLLECTIONS ARE OPEN TO THE PUBLIC THE YALE UNIVERSITY ART GALLERY THE YALE UNIVERSITY ART GALLERY, FOUNDED IN 1832, HOUSES A COLLECTION THAT RANKS WITH THOSE OF THE MAJOR PUBLIC ART MUSEUMS IN THE UNITED STATES ITS BUILDINGS HOUSE ANCIENT, MEDIEVAL, AND RENAISSANCE ART, NEAR AND FAR EASTERN ART, ARCHAEOLOGICAL MATERIAL, PRE-COLUMBIAN AND AFRICAN ART, WORKS OF EUROPEAN AND AMERICAN MASTERS FROM VIRTUALLY EVERY PERIOD, AND A RICH COLLECTION OF MODERN ART THE MISSION OF THE YALE UNIVERSITY ART GALLERY IS TO ENCOURAGE APPRECIATION AND UNDERSTANDING OF ART AND ITS ROLE IN SOCIETY THROUGH DIRECT ENGAGEMENT WITH ORIGINAL WORKS OF ART THE GALLERY STIMULATES ACTIVE LEARNING ABOUT ART AND THE CREATIVE PROCESS THROUGH RESEARCH, TEACHING, AND DIALOGUE AMONG COMMUNITIES OF YALE STUDENTS, FACULTY, ARTISTS, SCHOLARS, ALUMNI, AND THE WIDER PUBLIC THE GALLERY ORGANIZES EXHIBITIONS AND EDUCATIONAL PROGRAMS TO OFFER ENJOYMENT AND ENCOURAGE INQUIRY, WHILE BUILDING AND MAINTAINING ITS COLLECTIONS IN TRUST FOR FUTURE GENERATIONS THE YALE CENTER FOR BRITISH ART THE YALE CENTER FOR BRITISH ART IS A PUBLIC ART MUSEUM AND RESEARCH INSTITUTE FOR THE STUDY OF BRITISH ART AND CULTURE THE YALE CENTER FOR BRITISH ART, WHICH OPENED IN 1977, HOUSES THE LARGEST COLLECTION OF BRITISH ART OUTSIDE THE UNITED KINGDOM THE COLLECTION OF PAINTINGS, SCULPTURE, PRINTS, DRAWINGS, RARE BOOKS, AND MANUSCRIPTS REFLECTS THE DEVELOPMENT OF BRITISH ART AND LIFE FROM THE ELIZABETHAN PERIOD ONWARD THE CENTER OFFERS A YEAR-ROUND SCHEDULE OF EXHIBITIONS AND PROGRAMS, INCLUDING FILMS, CONCERTS, LECTURES, TOURS, AND SPECIAL EVENTS THE YALE CENTER FOR BRITISH ART OVERSEES AN ACTIVE RESEARCH PROGRAM THROUGH WHICH IT PROMOTES AND FOSTERS THE SCHOLARSHIP OF ALL ASPECTS OF BRITISH ART AND MATERIAL CULTURE ACADEMIC RESOURCES OF THE CENTER INCLUDE THE REFERENCE LIBRARY AND ARCHIVE, CONSERVATION LABORATORIES, A STUDY ROOM FOR EXAMINING WORKS ON PAPER AS WELL AS RARE BOOKS AND MANUSCRIPTS FROM THE COLLECTION, AND AN ONLINE CATALOGUE OF THE COLLECTIONS PEABODY MUSEUM YALE'S PEABODY MUSEUM OF NATURAL HISTORY, FOUNDED IN 1866, CONTAINS ONE OF THE GREAT SCIENTIFIC COLLECTIONS IN NORTH AMERICA (NUMBERING OVER 13 MILLION SPECIMENS) AMONG ITS HOLDINGS ARE THE UNIVERSITY'S COMPREHENSIVE MINERALOGICAL AND ORNITHOLOGICAL COLLECTIONS, THE SECOND-LARGEST REPOSITORY OF DINOSAUR ARTIFACTS IN THE UNITED STATES, AND THE LARGEST INTACT APATOSAURUS (BRONTOSAURUS) IN THE WORLD THE PEABODY IS A WORKING MUSEUM, WHERE PUBLIC EXHIBITION, RESEARCH, CONSERVATION, TEACHING, AND LEARNING INTERSECT ITS MISSION IS TO SERVE THE UNIVERSITY BY ADVANCING THE UNDERSTANDING OF EARTH'S HISTORY THROUGH GEOLOGICAL, BIOLOGICAL, AND ANTHROPOLOGICAL RESEARCH, AND BY COMMUNICATING THE RESULTS OF THIS RESEARCH TO THE WIDEST POSSIBLE AUDIENCE THROUGH PUBLICATION, EXHIBITION, AND EDUCATIONAL PROGRAMS FUNDAMENTAL TO THIS MISSION IS STEWARDSHIP OF THE MUSEUM'S RICH COLLECTIONS, WHICH PROVIDE A REMARKABLE RECORD OF THE HISTORY OF THE EARTH, ITS LIFE, AND ITS CULTURES CONSERVATION, AUGMENTATION AND USE OF THESE COLLECTIONS ARE INCREASINGLY URGENT AS MODERN THREATS TO THE DIVERSITY OF LIFE AND CULTURE CONTINUE TO INTENSIFY THE COLLECTIONS OF THE YALE PEABODY MUSEUM OF NATURAL HISTORY PROVIDE MATERIAL SUPPORT FOR UNDERGRADUATE RESEARCH AT YALE UNIVERSITY THE MUSEUM'S CURATORIAL DIVISIONS MAINTAIN TEACHING COLLECTIONS FOR USE IN A WIDE RANGE OF UNDERGRADUATE CLASSROOM AND LABORATORY COURSES, FROM FORENSIC ANTHROPOLOGY TO EVOLUTIONARY BIOLOGY THESE COLLECTIONS ARE ACTIVELY USED FOR SENIOR THESIS RESEARCH IN ADDITION, EACH YEAR THE YALE PEABODY MUSEUM PROVIDES EDUCATIONAL PROGRAMS ON BIOLOGY, PALEONTOLOGY, GEOLOGY, ANCIENT CIVILIZATIONS, SOCIAL STUDIES AND ART TO MORE THAN 25,000 VISITORS FROM THROUGHOUT THE NORTHEAST, INCLUDING ELEMENTARY THROUGH COLLEGE STUDENTS AND OTHER PRIVATE AND COMMUNITY-BASED GROUPS ALL PROGRAMS DRAW ON THE MUSEUM'S EXHIBITS TO MEET THE INCREASINGLY SOPHISTICATED NEEDS OF SCIENCE AND SOCIAL STUDIES EDUCATION AND MOST CAN BE ADAPTED TO ACCOMMODATE SPECIFIC GROUP NEEDS AS REQUESTED THE MUSEUM'S COLLECTIONS ARE AVAILABLE TO LEGITIMATE RESEARCHERS AT ESTABLISHED INSTITUTIONS FOR SCHOLARLY USE COLLECTION OF MUSICAL INSTRUMENTS ESTABLISHED IN 1900, THE COLLECTION TODAY COMPRISES NEARLY ONE THOUSAND INSTRUMENTS, THE MAJORITY DOCUMENTING THE HISTORY OF WESTERN ART MUSIC IT IS COMMITTED TO FOSTERING THE UNDERSTANDING AND APPRECIATION OF MUSICAL INSTRUMENTS FROM ALL CULTURES AND PERIODS ONE OF THE FOREMOST INSTITUTIONS OF ITS KIND, THE COLLECTION ACQUIRES, PRESERVES, AND EXHIBITS MUSICAL INSTRUMENTS FROM ANTIQUITY TO THE PRESENT, FEATURING RESTORED EXAMPLES IN DEMONSTRATION AND LIVE PERFORMANCE IT PROVIDES ACCESS TO AND DISSEMINATES INFORMATION ABOUT ITS HOLDINGS TO YALE STUDENTS, FACULTY, AND STAFF, TO SCHOLARS, MUSICIANS, AND INSTRUMENT MAKERS, AND TO THE BROADER PUBLIC AN IMPORTANT RESOURCE FOR THE MUSIC CURRICULA OF THE UNIVERSITY, THE COLLECTION SERVES AS A LABORATORY FOR COURSES IN THE HISTORY OF MUSICAL INSTRUMENTS AND AS A SUPPLEMENTAL ARCHIVE FOR COURSES TAUGHT IN THE ARTS AND SCIENCES THE COLLECTION MAINTAINS REGULAR PUBLIC VISITING HOURS, MOUNTS EXHIBITS, AND PRESENTS AN ANNUAL SERIES OF CONCERTS LECTURES, TOURS, AND SPECIAL CONCERTS ARE OFFERED THROUGHOUT THE YEAR EXHIBITIONS ARE ALSO FREQUENTLY MOUNTED AT THE FOLLOWING VENUES ON CAMPUS ART & ARCHITECTURE GALLERY (SCHOOL OF ARCHITECTURE), BEINECKE RARE BOOK AND MANUSCRIPT LIBRARY, GREEN HALL GALLERY (SCHOOL OF ART), AND STERLING MEMORIAL LIBRARY, AS WELL AS AT OTHER NON-YALE LOCATIONS AND INSTITUTIONS THROUGH UNIVERSITY LOAN OR EXCHANGE PROGRAMS YALE UNIVERSITY LIBRARY IN ADDITION TO ITS MUSEUMS, THE YALE UNIVERSITY LIBRARY SUPPORTS AND ENHANCES RESEARCH, TEACHING, AND LEARNING AT YALE AND FOR THE WORLD-WIDE SCHOLARLY COMMUNITY THROUGH ITS EXPERTISE, COLLECTIONS, FACILITIES AND SERVICES THE COLLECTION OF NEARLY 15 MILLION PRINT AND ELECTRONIC VOLUMES IS HOUSED IN 15 LIBRARIES, INCLUDING STERLING MEMORIAL, BEINECKE, AND BASS LIBRARIES, THE CENTER FOR SCIENCE AND SOCIAL SCIENCE INFORMATION, AS WELL AS MANY OTHER SCHOOL AND DEPARTMENTAL LIBRARIES COLLECTIONS RANGE FROM ANCIENT PAPYRI AND EARLY PRINTED BOOKS TO DIGITAL COLLECTIONS AND ELECTRONIC DATABASES, AND THEY TRANSCEND A WIDE ARRAY OF FORMATS INCLUDING TEXT, PHOTOGRAPHS, VIDEO, AUDIO, DATA, MAPS, AND EPHEMERA IN BUILDING THE COLLECTIONS OVER THE LAST THREE CENTURIES, YALE MADE A STEWARDSHIP COMMITMENT TO ASSIST IN THE PRESERVATION OF HUMAN KNOWLEDGE THE LIBRARY ALSO HAS A RESPONSIBILITY TO BRING DIGITAL RESOURCES TO THE YALE COMMUNITY AND TO ADDRESS THE CHALLENGES OF DIGITAL PRESERVATION |
| PART IV, LINE 2B | THE AMOUNT REPORTED ON FORM 990, PART X, LINE 21, ESCROW ACCOUNT LIABILITY, REPRESENTS SECURITY DEPOSITS RELATED TO LEASE AGREEMENTS PART V, LINE 1 ENDOWMENT FUNDS INCLUDE THE VALUE OF THE ENDOWMENT AND UNEXPENDED ENDOWMENT INCOME AT JUNE 30, 2014 |
| PART V, LINE 4 | THE ENDOWMENT PROVIDES THE LARGEST SOURCE OF OPERATING REVENUE FOR THE UNIVERSITY SPENDING FROM THE ENDOWMENT GREW DURING THE LAST DECADE FROM $502 MILLION TO $1 0 BILLION, AN ANNUAL GROWTH RATE OF APPROXIMATELY 8% YALE'S SPENDING AND INVESTMENT POLICIES PROVIDED SUBSTANTIAL LEVELS OF CASH FLOW TO THE OPERATING BUDGET FOR CURRENT SCHOLARS WHILE PRESERVING ENDOWMENT PURCHASING POWER FOR FUTURE GENERATIONS THE YALE ENDOWMENT CONTAINS THOUSANDS OF FUNDS WITH A VARIETY OF DESIGNATED PURPOSES AND RESTRICTIONS APPROXIMATELY 84% OF FUNDS CONSTITUTE TRUE ENDOWMENT, GIFTS RESTRICTED BY DONORS TO PROVIDE LONG-TERM FUNDING FOR DESIGNATED PURPOSES THE REMAINING FUNDS REPRESENT QUASI-ENDOWMENT, MONIES THAT THE YALE CORPORATION CHOOSES TO INVEST AND TREAT AS ENDOWMENT DONORS FREQUENTLY SPECIFY A PARTICULAR PURPOSE FOR GIFTS, CREATING ENDOWMENTS TO FUND PROFESSORSHIPS, TEACHING, AND LECTURESHIPS (24%), SCHOLARSHIPS, FELLOWSHIPS, AND PRIZES (17%), MAINTENANCE (4%), BOOKS (3%), AND MISCELLANEOUS SPECIFIC PURPOSES (27%) TWENTY-FIVE PERCENT OF FUNDS ARE UNRESTRICTED TWENTY-TWO PERCENT OF THE ENDOWMENT BENEFITS THE OVERALL UNIVERSITY, WITH REMAINING FUNDS FOCUSED ON SPECIFIC UNITS, INCLUDING THE FACULTY OF ARTS AND SCIENCES (37%), THE PROFESSIONAL SCHOOLS (26%), THE LIBRARY (7%), AND OTHER ENTITIES (7%) PART X, LINE 2 THE UNIVERSITY WAS NOT REQUIRED TO INCLUDE A FIN 48 (ASC 740), ACCOUNTING FOR UNCERTAINTY IN INCOME TAXES, FOOTNOTE IN ITS FINANCIAL STATEMENTS FOR THE FISCAL YEAR ENDED JUNE 30, 2014 |
| PART XI, LINE 2D - OTHER ADJUSTMENTS | AFFILIATED ORGANIZATION INCOME(LOSS)* 58,823,459 CHANGE IN FUNDING STATUS OF DEFINED BENEFIT PLANS 53,007,000 ACTUARIAL ADJUSTMENT FOR SPLIT INTEREST LIABILITY (10,970,082) NON-CONTROLLING INTEREST 106,607,000 ROUNDING (1,360) TOTAL 207,466,017 * THIS AMOUNT IS REPORTED (IF REQUIRED) ON THE FORMS 990 OF THE AFFILIATED ORGANIZATIONS |
| PART XI, LINE 4B - OTHER ADJUSTMENTS | RECLASSIFICATIONS FROM REVENUE TO EXPENSES, INCLUDING EXPENSES ON THE AUDITED FINANCIAL STATEMENTS THAT ARE RECLASSIFIED FOR FORM 990 PURPOSES AND REPORTED ON PART VIII, LINES 6B, 8B, AND 9B PURSUANT TO FORM INSTRUCTIONS (7,548,481) TUITION DISCOUNTS WHICH WERE NETTED WITH STUDENT INCOME ON THE AUDITED FINANCIAL STATEMENTS 254,260,066 TOTAL 246,711,585 |
| PART XII, LINE 2D - OTHER ADJUSTMENTS | AFFILIATED ORGANIZATION EXPENSE* 4,850,854 * THIS AMOUNT IS REPORTED (IF REQUIRED) ON THE FORMS 990 OF THE AFFILIATED ORGANIZATIONS |

**Part XIII Supplemental Information *(continued)***

| Return Reference | Explanation |
|---|---|
| PART XII, LINE 4B - OTHER ADJUSTMENTS | RECLASSIFICATIONS FROM REVENUE TO EXPENSES, INCLUDING EXPENSES ON THE AUDITED FINANCIAL STATEMENTS THAT ARE RECLASSIFIED FOR FORM 990 PURPOSES AND REPORTED ON PART VIII, LINES 6B, 8B, AND 9B PURSUANT TO FORM INSTRUCTIONS (7,548,481) TUITION DISCOUNTS WHICH WERE NETTED WITH STUDENT INCOME ON THE AUDITED FINANCIAL STATEMENTS 254,260,066 TOTAL 246,711,585 |

## Additional Data

**Software ID:**
**Software Version:**
**EIN:** 06-0646973
**Name:** YALE UNIVERSITY

### Form 990, Schedule D, Part X, - Other Liabilities

| 1 **(a)** Description of Liability | **(b)** Book Value |
|---|---|
| EMPLOYEE BENEFIT OBLIGATIONS | 763,308,463 |
| COMPENSATED ABSENCES | 65,173,449 |
| FINANCIAL AID GRANT OBLIGATIONS | 48,223,000 |
| ASSET RETIREMENT OBLIGATIONS | 35,600,000 |
| CAPITAL LEASE OBLIGATIONS | 55,464,331 |
| LIABILITIES UNDER SPLIT-INTEREST AGREEMENTS | 119,182,861 |
| ADVANCES FROM FEDERAL GOV'T FOR STUDENT LOANS | 31,912,000 |
| SECURITIES SOLD NOT YET PURCHASED | 303,901,088 |
| DUE TO INSTITUTIONS | 1,503,580,190 |
| OTHER LIABILITIES | 336,149,853 |

**SCHEDULE E**
**(Form 990 or 990-EZ)**

Department of the Treasury
Internal Revenue Service

# Schools

▶Complete if the organization answered "Yes" to Form 990, Part IV, line 13, or Form 990-EZ, Part VI, line 48.
▶ Attach to Form 990 or Form 990-EZ.
▶ Information about Schedule E (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

**2013**

**Open to Public Inspection**

Name of the organization
YALE UNIVERSITY

**Employer identification number**
06-0646973

**Part I**

| | | | YES | NO |
|---|---|---|---|---|
| 1 | Does the organization have a racially nondiscriminatory policy toward students by statement in its charter, bylaws, other governing instrument, or in a resolution of its governing body? | 1 | Yes | |
| 2 | Does the organization include a statement of its racially nondiscriminatory policy toward students in all its brochures, catalogues, and other written communications with the public dealing with student admissions, programs, and scholarships? | 2 | Yes | |
| 3 | Has the organization publicized its racially nondiscriminatory policy through newspaper or broadcast media during the period of solicitation for students, or during the registration period if it has no solicitation program, in a way that makes the policy known to all parts of the general community it serves? If "Yes," please describe If "No," please explain If you need more space use Part II | 3 | Yes | |
| 4 | Does the organization maintain the following? | | | |
| a | Records indicating the racial composition of the student body, faculty, and administrative staff? | 4a | Yes | |
| b | Records documenting that scholarships and other financial assistance are awarded on a racially nondiscriminatory basis? | 4b | Yes | |
| c | Copies of all catalogues, brochures, announcements, and other written communications to the public dealing with student admissions, programs, and scholarships? | 4c | Yes | |
| d | Copies of all material used by the organization or on its behalf to solicit contributions? | 4d | Yes | |
| | If you answered "No" to any of the above, please explain If you need more space, use Part II | | | |
| 5 | Does the organization discriminate by race in any way with respect to | | | |
| a | Students' rights or privileges? | 5a | | No |
| b | Admissions policies? | 5b | | No |
| c | Employment of faculty or administrative staff? | 5c | | No |
| d | Scholarships or other financial assistance? | 5d | | No |
| e | Educational policies? | 5e | | No |
| f | Use of facilities? | 5f | | No |
| g | Athletic programs? | 5g | | No |
| h | Other extracurricular activities? | 5h | | No |
| | If you answered "Yes" to any of the above, please explain If you need more space, use Part II | | | |
| 6a | Does the organization receive any financial aid or assistance from a governmental agency? | 6a | Yes | |
| b | Has the organization's right to such aid ever been revoked or suspended? | 6b | | No |
| | If you answered "Yes" to either line 6a or line 6b, explain on Part II | | | |
| 7 | Does the organization certify that it has complied with the applicable requirements of sections 4 01 through 4 05 of Rev Proc 75-50, 1975-2 C B 587, covering racial nondiscrimination? If "No," explain on Part II | 7 | Yes | |

**Part II Supplemental Information.** Provide the explanations required by Part I, lines 3, 4d, 5h, 6b, and 7, as applicable Also complete this part to provide any other additional information (see instructions)

| Return Reference | Explanation |
|---|---|
| SCHEDULE E, PART I, LINE 3 | SEE SCHEDULE E PAGE 2 |
| SCHEDULE E, LINE 3 | YALE UNIVERSITY'S EQUAL OPPORTUNITY STATEMENT THE UNIVERSITY IS COMMITTED TO BASING JUDGMENTS CONCERNING THE ADMISSION, EDUCATION, AND EMPLOYMENT OF INDIVIDUALS UPON THEIR QUALIFICATIONS AND ABILITIES AND AFFIRMATIVELY SEEKS TO ATTRACT TO ITS FACULTY, STAFF, AND STUDENT BODY QUALIFIED PERSONS OF DIVERSE BACKGROUNDS IN ACCORDANCE WITH THIS POLICY AND AS DELINEATED BY FEDERAL AND CONNECTICUT LAW, YALE DOES NOT DISCRIMINATE IN ADMISSIONS, EDUCATIONAL PROGRAMS, OR EMPLOYMENT AGAINST ANY INDIVIDUAL ON ACCOUNT OF THAT INDIVIDUAL'S SEX, RACE, COLOR, RELIGION, AGE, DISABILITY, STATUS AS A SPECIAL DISABLED VETERAN, VETERAN OF THE VIETNAM ERA OR OTHER COVERED VETERAN, OR NATIONAL OR ETHNIC ORIGIN, NOR DOES YALE DISCRIMINATE ON THE BASIS OF SEXUAL ORIENTATION OR GENDER IDENTITY OR EXPRESSION UNIVERSITY POLICY IS COMMITTED TO AFFIRMATIVE ACTION UNDER LAW IN EMPLOYMENT OF WOMEN, MINORITY GROUP MEMBERS, INDIVIDUALS WITH DISABILITIES, SPECIAL DISABLED VETERANS, VETERANS OF THE VIETNAM ERA, AND OTHER COVERED VETERANS THE UNIVERSITY'S EQUAL OPPORTUNITY STATEMENT IS MADE KNOWN TO ALL SEGMENTS OF THE GENERAL COMMUNITY SERVICED BY THE UNIVERSITY IT IS INCLUDED IN CATALOGUES AND BROCHURES DEALING WITH STUDENT ADMISSIONS, PROGRAMS AND SCHOLARSHIPS OF THE UNIVERSITY AS WELL AS ON ITS WEBSITE WHICH ARE ALL AVAILABLE TO THE GENERAL PUBLIC |
| SCHEDULE E, LINE 6 | THE UNIVERSITY HAS RECEIVED AND CONTINUES TO RECEIVE STUDENT FINANCIAL AID AND GRANTS FROM GOVERNMENTAL AGENCIES |

SCHEDULE F (Form 990)

Department of the Treasury
Internal Revenue Service

# Statement of Activities Outside the United States

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 14b, 15, or 16.

▶ Attach to Form 990. ▶ See separate instructions.

▶ Information about Schedule F (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
YALE UNIVERSITY

Employer identification number
06-0646973

**Part I** **General Information on Activities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 14b.

1 **For grantmakers.** Does the organization maintain records to substantiate the amount of its grants and other assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☑ Yes ☐ No

2 **For grantmakers.** Describe in Part V the organization's procedures for monitoring the use of its grants and other assistance outside the United States.

3 Activites per Region (The following Part I, line 3 table can be duplicated if additional space is needed )

| (a) Region | (b) Number of offices in the region | (c) Number of employees, agents, and independent contractors in region | (d) Activities conducted in region (by type) (e g , fundraising, program services, investments, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for and investments in region |
|---|---|---|---|---|---|
| (1) See Add'l Data | | | | | |
| (2) | | | | | |
| (3) | | | | | |
| (4) | | | | | |
| (5) | | | | | |
| 3a Sub-total | 0 | 1 | | | 454,909 |
| b Total from continuation sheets to Part I | 3 | 137 | | | 2,060,012,368 |
| c **Totals** (add lines 3a and 3b) | 3 | 138 | | | 2,060,467,277 |

**Part II** **Grants and Other Assistance to Organizations or Entities Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 15, for any recipient who received more than $5,000. Part II can be duplicated if additional space is needed.

| 1 (a) Name of organization | (b) IRS code section and EIN (if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| (1) See Add'l Data | | | | | | | | |
| (2) | | | | | | | | |
| (3) | | | | | | | | |
| (4) | | | | | | | | |
| (5) | | | | | | | | |
| (6) | | | | | | | | |
| (7) | | | | | | | | |
| (8) | | | | | | | | |
| (9) | | | | | | | | |
| (10) | | | | | | | | |
| (11) | | | | | | | | |
| (12) | | | | | | | | |
| (13) | | | | | | | | |
| (14) | | | | | | | | |
| (15) | | | | | | | | |
| (16) | | | | | | | | |

2 Enter total number of recipient organizations listed above that are recognized as charities by the foreign country, recognized as tax-exempt by the IRS, or for which the grantee or counsel has provided a section 501(c)(3) equivalency letter . . . . ▶ 29

3 Enter total number of other organizations or entities . . . . . ▶ 81

**Part III** **Grants and Other Assistance to Individuals Outside the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 16. Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Region | (c) Number of recipients | (d) Amount of cash grant | (e) Manner of cash disbursement | (f) Amount of non-cash assistance | (g) Description of non-cash assistance | (h) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|
| (1) See Add'l Data | | | | | | | |
| (2) | | | | | | | |
| (3) | | | | | | | |
| (4) | | | | | | | |
| (5) | | | | | | | |
| (6) | | | | | | | |
| (7) | | | | | | | |
| (8) | | | | | | | |
| (9) | | | | | | | |
| (10) | | | | | | | |
| (11) | | | | | | | |
| (12) | | | | | | | |
| (13) | | | | | | | |
| (14) | | | | | | | |
| (15) | | | | | | | |
| (16) | | | | | | | |
| (17) | | | | | | | |
| (18) | | | | | | | |

## Part IV Foreign Forms

1 Was the organization a U S transferor of property to a foreign corporation during the tax year? *If "Yes,"the organization may be required to file Form 926, Return by a U.S. Transferor of Property to a Foreign Corporation (see Instructions for Form 926)* ☑ Yes ☐ No

2 Did the organization have an interest in a foreign trust during the tax year? *If "Yes," the organization may be required to file Form 3520, Annual Return to Report Transactions with Foreign Trusts and Receipt of Certain Foreign Gifts, and/or Form 3520-A, Annual Information Return of Foreign Trust With a U.S. Owner (see Instructions for Forms 3520 and 3520-A)* ☑ Yes ☐ No

3 Did the organization have an ownership interest in a foreign corporation during the tax year? *If "Yes," the organization may be required to file Form 5471, Information Return of U.S. Persons with Respect to Certain Foreign Corporations. (see Instructions for Form 5471)* ☑ Yes ☐ No

4 Was the organization a direct or indirect shareholder of a passive foreign investment company or a qualified electing fund during the tax year? *If "Yes," the organization may be required to file Form 8621, Information Return by a Shareholder of a Passive Foreign Investment Company or Qualified Electing Fund. (see Instructions for Form 8621)* ☑ Yes ☐ No

5 Did the organization have an ownership interest in a foreign partnership during the tax year? *If "Yes," the organization may be required to file Form 8865, Return of U.S. Persons with Respect to Certain Foreign Partnerships. (see Instructions for Form 8865)* ☑ Yes ☐ No

6 Did the organization have any operations in or related to any boycotting countries during the tax year? *If "Yes," the organization may be required to file Form 5713, International Boycott Report (see Instructions for Form 5713).* ☐ Yes ☑ No

**Part V** **Supplemental Information**

Provide the information required by Part I, line 2 (monitoring of funds); Part I, line 3, column (f) (accounting method; amounts of investments vs. expenditures per region); Part II, line 1 (accounting method); Part III (accounting method); and Part III, column (c) (estimated number of recipients), as applicable. Also complete this part to provide any additional information (see instructions).

**990 Schedule F, Supplemental Information**

| Return Reference | Explanation |
|---|---|
| PART I, LINE 2 | |

## 990 Schedule F, Supplemental Information

| Return Reference | Explanation |
| --- | --- |
| SCHEDULE F, PART I, LINE 2 | WITH RESPECT TO SPONSORED PROJECT ACTIVITY SUBCONTRACTED TO OTHER ENTITIES, THE UNIVERSITY 'S POLICIES AND PROCEDURES FOR MONITORING GRANTS ARE INTENDED TO ESTABLISH APPROPRIATE AND EFFECTIVE MECHANISMS TO PROMOTE COMPLIANCE WITH FEDERAL AND NON-FEDERAL REQUIREMENTS AND ENSURE THAT THE UNIVERSITY AND ITS SPONSORS RECEIVE VALUE FOR FUNDS EXPENDED THE PROCEDUR ES INCLUDE A FORMAL APPROVAL PROCESS FOR SUBAWARDS, PRE-AWARD AND POST-AWARD MONITORING OF<br>SUB RECIPIENTS TO REVIEW THE FINANCIAL STATUS AND MANAGEMENT CONTROLS, COMPLIANCE CERTIFI CATIONS RELATIVE TO THE USE OF HUMAN SUBJECTS AND ANIMALS, AND REQUIRED DISCLOSURE OF ANY CONFLICT OF INTEREST WITH A SUB RECIPIENT ENTITY AND A REVIEW OF PERTINENT OTHER ORGANIZAT ION INFORMATION IN ACCORDANCE WITH THESE PROCEDURES, THE UNIVERSITY REVIEWS THE SUB RECIP IENT'S ADMINISTRATION, BILLINGS AND SCIENTIFIC PROGRESS OF AN ACTIVE SUBAWARD AND CONDUCTS ON-GOING RISK ASSESSMENTS AS WELL AS QUARTERLY QUALITY ASSURANCE REVIEWS ON RANDOMLY SELE<br>CTED SUBAWARDS THESE PROCEDURES SERVE TO ENSURE THAT THE SUBAWARDS ARE USED FOR PROPER PU<br>RPOSES AND NOT DIVERTED FROM THEIR INTENDED USE. THE UNIVERSITY PROVIDES STUDENTS WITH MAN Y FELLOWSHIP OPPORTUNITIES TO FUND RESEARCH, INDEPENDENT PROJECTS, INTERNSHIPS, VOLUNTEER WORK, COMMUNITY SERVICE, AND STUDY ABROAD RECIPIENTS ARE SELECTED BASED ON AN APPLICATION , INCLUDING A PROPOSAL, ESSAYS, BUDGET, ACADEMIC RECORD, AND INTERVIEW, AS APPLICABLE. IN MOST CASES, THE TERMS OF THE AWARD REQUIRE THAT THE RECIPIENT PREPARE AND PRESENT A REPORT OF THE FELLOWSHIP ACTIVITY FURTHER, CERTAIN FELLOWSHIP RECIPIENTS ARE REQUIRED TO DOCUME NT EXPENSES TO VERIFY THAT THE FELLOWSHIP WAS USED FOR THE PURPOSE INTENDED SUCH DOCUMENT ATION MAY INCLUDE COPIES OF PLANE TICKETS AND BOARDING PASSES, COPIES OF VISAS, RECEIPTS F OR TUITION, LETTERS FROM ORGANIZATIONS VERIFYING THE INTERNSHIP AND DATES, ETC , AS APPROP RIATE. |

## 990 Schedule F, Supplemental Information

| Return Reference | Explanation |
| --- | --- |
| SCHEDULE F, PART I, LINE 3 | PURSUANT TO THE FORM INSTRUCTIONS, THE FOLLOWING IS A BREAKOUT OF AMOUNTS PROVIDED AS INVE STMENTS INVESTMENTS EXPENDITURES CENTRAL AMERICA AND THE CARIBBEAN 1,616,370,271 6,957,81 4 EAST ASIA AND THE PACIFIC 0 178,494 EUROPE (INCLUDING ICELAND & GREENLAND) 201,242,381 3 24,444 NORTH AMERICA 15,667,999 31,180 SOUTH AMERICA 0 1,673,535 SUB-SAHARAN AFRICA 172,59 9,019 0 |

**990 Schedule F, Supplemental Information**

| Return Reference | Explanation |
| --- | --- |
| SCHEDULE F, PART II, LINE 3 | THE TOTAL NUMBER REPORTED ON LINE 3 INCLUDES TAX EXEMPT ORGANIZATIONS AND PUBLIC INSTITUTI ONS MOST OF THE SUBAWARD RECIPIENTS ARE UNIVERSITIES, HOSPITALS, AND RESEARCH INSTITUTION S |

## 990 Schedule F, Supplemental Information

| Return Reference | Explanation |
| --- | --- |
| SCHEDULE F, PART II | A SUBAWARD IS AN AWARD FROM THE UNIVERSITY TO A QUALIFIED THIRD PARTY PRIMARILY TO PERFORM RESEARCH AND OTHER RELATED PROGRAMMATIC EFFORTS UNDER A SPONSORED RESEARCH PROJECT SCHED ULE F, PART III, COLUMNS (C) AND (D) THE AMOUNTS REPORTED IN COLUMNS (C) AND (D) REPRESEN T AN ESTIMATE OF TOTAL AWARDS FOR THE FISCAL YEAR ENDED JUNE 30, 2014 BASED ON INFORMATION PROVIDED BY THE UNIVERSITY'S UNDERGRADUATE, GRADUATE AND PROFESSIONAL SCHOOLS SOME STUDE NTS RECEIVED MORE THAN ONE AWARD DURING THE FISCAL YEAR ENDED JUNE 30, 2014 SCHEDULE F, P ART IV, LINE 6 THE UNIVERSITY DOES NOT BELIEVE THAT IT HAS "OPERATIONS" IN OR RELATED TO BOYCOTTING COUNTRIES, HOWEVER, AS A PROTECTIVE MEASURE, THE UNIVERSITY DOES FILE FORM 5713 |

## Additional Data

**Software ID:**
**Software Version:**
**EIN:** 06-0646973
**Name:** YALE UNIVERSITY

### Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| CENTRAL AMERICA AND THE CARIBBEAN | 0 | 0 | PROGRAM SERVICES | ACADEMIC COLLABORATIONS | 20,851 |
| CENTRAL AMERICA AND THE CARIBBEAN | 0 | 0 | PROGRAM SERVICES | ALUMNI RELATIONS | 25,188 |
| CENTRAL AMERICA AND THE CARIBBEAN | 0 | 0 | PROGRAM SERVICES | ATTENDING CONFERENCES & SEMINARS | 79,074 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| CENTRAL AMERICA AND THE CARIBBEAN | 0 | 0 | PROGRAM SERVICES | CULTURAL EXCHANGE | 20,265 |
| CENTRAL AMERICA AND THE CARIBBEAN | 0 | 0 | PROGRAM SERVICES | INSTRUCTION/ TEACHING | 10,624 |
| CENTRAL AMERICA AND THE CARIBBEAN | 0 | 1 | PROGRAM SERVICES | OTHER MISSION RELATED ACTIVITIES | 86,666 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| CENTRAL AMERICA AND THE CARIBBEAN | 0 | 0 | PROGRAM SERVICES | RESEARCH | 146,203 |
| CENTRAL AMERICA AND THE CARIBBEAN | 0 | 0 | PROGRAM SERVICES | SPEAKING AT SEMINARS & CONFERENCES | 66,038 |
| CENTRAL AMERICA AND THE CARIBBEAN | 0 | 0 | PROGRAM SERVICES | STUDENT RECRUITMENT | 4,993 |

## Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| CENTRAL AMERICA AND THE CARIBBEAN | 0 | 0 | PROGRAM SERVICES | STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS | 12,546 |
| CENTRAL AMERICA AND THE CARIBBEAN | 0 | 0 | PROGRAM SERVICES | TRAINING & CLINICAL PRACTICE | 49,689 |
| CENTRAL AMERICA AND THE CARIBBEAN | 0 | 0 | FUNDRAISING | | 12,035 |

## Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| CENTRAL AMERICA AND THE CARIBBEAN | 0 | 0 | GRANTMAKING | | 545,961 |
| CENTRAL AMERICA AND THE CARIBBEAN | 0 | 0 | INVESTMENTS | | 1,623,328,085 |
| EAST ASIA AND THE PACIFIC | 1 | 11 | PROGRAM SERVICES | ACADEMIC COLLABORATIONS | 2,244,377 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| EAST ASIA AND THE PACIFIC | 0 | 0 | PROGRAM SERVICES | ALUMNI RELATIONS | 80,537 |
| EAST ASIA AND THE PACIFIC | 0 | 0 | PROGRAM SERVICES | ATTENDING CONFERENCES & SEMINARS | 378,278 |
| EAST ASIA AND THE PACIFIC | 0 | 0 | PROGRAM SERVICES | CULTURAL EXCHANGE | 35,781 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| EAST ASIA AND THE PACIFIC | 0 | 3 | PROGRAM SERVICES | INSTRUCTION/ TEACHING | 181,215 |
| EAST ASIA AND THE PACIFIC | 1 | 1 | PROGRAM SERVICES | OTHER MISSION RELATED ACTIVITIES | 2,407,037 |
| EAST ASIA AND THE PACIFIC | 0 | 15 | PROGRAM SERVICES | RESEARCH | 669,995 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| EAST ASIA AND THE PACIFIC | 0 | 0 | PROGRAM SERVICES | SPEAKING AT SEMINARS & CONFERENCES | 1,130,696 |
| EAST ASIA AND THE PACIFIC | 0 | 0 | PROGRAM SERVICES | STUDENT RECRUITMENT | 101,881 |
| EAST ASIA AND THE PACIFIC | 0 | 0 | PROGRAM SERVICES | STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS | 611,192 |

## Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service (s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| EAST ASIA AND THE PACIFIC | 0 | 0 | PROGRAM SERVICES | TRAINING & CLINICAL PRACTICE | 55,628 |
| EAST ASIA AND THE PACIFIC | 0 | 0 | FUNDRAISING | | 155,252 |
| EAST ASIA AND THE PACIFIC | 0 | 0 | GRANTMAKING | | 3,611,166 |

## Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| EAST ASIA AND THE PACIFIC | 0 | 0 | INVESTMENTS | | 178,494 |
| EUROPE (INCLUDING ICELAND & GREENLAND) | 0 | 9 | PROGRAM SERVICES | ACADEMIC COLLABORATIONS | 586,379 |
| EUROPE (INCLUDING ICELAND & GREENLAND) | 0 | 0 | PROGRAM SERVICES | ALUMNI RELATIONS | 94,731 |

## Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| EUROPE (INCLUDING ICELAND & GREENLAND) | 0 | 1 | PROGRAM SERVICES | ATTENDING CONFERENCES & SEMINARS | 1,156,007 |
| EUROPE (INCLUDING ICELAND & GREENLAND) | 0 | 1 | PROGRAM SERVICES | CULTURAL EXCHANGE | 115,359 |
| EUROPE (INCLUDING ICELAND & GREENLAND) | 0 | 3 | PROGRAM SERVICES | INSTRUCTION/ TEACHING | 110,459 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| EUROPE (INCLUDING ICELAND & GREENLAND) | 0 | 16 | PROGRAM SERVICES | OTHER MISSION RELATED ACTIVITIES | 7,351,599 |
| EUROPE (INCLUDING ICELAND & GREENLAND) | 0 | 20 | PROGRAM SERVICES | RESEARCH | 1,932,909 |
| EUROPE (INCLUDING ICELAND & GREENLAND) | 0 | 0 | PROGRAM SERVICES | SPEAKING AT SEMINARS & CONFERENCES | 2,030,502 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| EUROPE (INCLUDING ICELAND & GREENLAND) | 0 | 0 | PROGRAM SERVICES | STUDENT RECRUITMENT | 63,314 |
| EUROPE (INCLUDING ICELAND & GREENLAND) | 0 | 0 | PROGRAM SERVICES | STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS | 767,298 |
| EUROPE (INCLUDING ICELAND & GREENLAND) | 0 | 2 | PROGRAM SERVICES | TRAINING & CLINICAL PRACTICE | 49,232 |

## Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service (s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| EUROPE (INCLUDING ICELAND & GREENLAND) | 0 | 0 | FUNDRAISING | | 61,931 |
| EUROPE (INCLUDING ICELAND & GREENLAND) | 0 | 0 | GRANTMAKING | | 6,552,821 |
| EUROPE (INCLUDING ICELAND & GREENLAND) | 0 | 0 | INVESTMENTS | | 201,566,825 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| MIDDLE EAST AND NORTH AFRICA | 0 | 1 | PROGRAM SERVICES | ACADEMIC COLLABORATIONS | 34,332 |
| MIDDLE EAST AND NORTH AFRICA | 0 | 0 | PROGRAM SERVICES | ALUMNI RELATIONS | 8,644 |
| MIDDLE EAST AND NORTH AFRICA | 0 | 0 | PROGRAM SERVICES | ATTENDING CONFERENCES & SEMINARS | 36,793 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| MIDDLE EAST AND NORTH AFRICA | 0 | 0 | PROGRAM SERVICES | CULTURAL EXCHANGE | 6,818 |
| MIDDLE EAST AND NORTH AFRICA | 0 | 0 | PROGRAM SERVICES | INSTRUCTION/ TEACHING | 6,559 |
| MIDDLE EAST AND NORTH AFRICA | 0 | 1 | PROGRAM SERVICES | OTHER MISSION RELATED ACTIVITIES | 65,598 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| MIDDLE EAST AND NORTH AFRICA | 1 | 6 | PROGRAM SERVICES | RESEARCH | 443,244 |
| MIDDLE EAST AND NORTH AFRICA | 0 | 0 | PROGRAM SERVICES | SPEAKING AT SEMINARS & CONFERENCES | 104,497 |
| MIDDLE EAST AND NORTH AFRICA | 0 | 0 | PROGRAM SERVICES | STUDENT RECRUITMENT | 25,744 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| MIDDLE EAST AND NORTH AFRICA | 0 | 0 | PROGRAM SERVICES | STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS | 85,366 |
| MIDDLE EAST AND NORTH AFRICA | 0 | 0 | PROGRAM SERVICES | TRAINING & CLINICAL PRACTICE | 17,593 |
| MIDDLE EAST AND NORTH AFRICA | 0 | 0 | GRANTMAKING | | 350,305 |

## Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| NORTH AMERICA | 0 | 7 | PROGRAM SERVICES | ACADEMIC COLLABORATIONS | 27,928 |
| NORTH AMERICA | 0 | 0 | PROGRAM SERVICES | ALUMNI RELATIONS | 36,218 |
| NORTH AMERICA | 0 | 0 | PROGRAM SERVICES | ATTENDING CONFERENCES & SEMINARS | 359,018 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| NORTH AMERICA | 0 | 0 | PROGRAM SERVICES | INSTRUCTION/ TEACHING | 3,915 |
| NORTH AMERICA | 0 | 2 | PROGRAM SERVICES | OTHER MISSION RELATED ACTIVITIES | 38,488 |
| NORTH AMERICA | 0 | 4 | PROGRAM SERVICES | RESEARCH | 43,002 |

## Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| NORTH AMERICA | 0 | 0 | PROGRAM SERVICES | SPEAKING AT SEMINARS & CONFERENCES | 315,461 |
| NORTH AMERICA | 0 | 0 | PROGRAM SERVICES | STUDENT RECRUITMENT | 47,756 |
| NORTH AMERICA | 0 | 0 | PROGRAM SERVICES | STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS | 17,179 |

## Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service (s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| NORTH AMERICA | 0 | 0 | PROGRAM SERVICES | TRAINING & CLINICAL PRACTICE | 2,414 |
| NORTH AMERICA | 0 | 0 | GRANTMAKING | | 927,414 |
| NORTH AMERICA | 0 | 0 | INVESTMENTS | | 15,699,179 |

## Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| RUSSIA AND THE NEWLY INDEPENDENT STATES | 0 | 0 | PROGRAM SERVICES | ACADEMIC COLLABORATIONS | 24,347 |
| RUSSIA AND THE NEWLY INDEPENDENT STATES | 0 | 0 | PROGRAM SERVICES | ATTENDING CONFERENCES & SEMINARS | 36,184 |
| RUSSIA AND THE NEWLY INDEPENDENT STATES | 0 | 0 | PROGRAM SERVICES | OTHER MISSION RELATED ACTIVITIES | 1,666 |

## Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| RUSSIA AND THE NEWLY INDEPENDENT STATES | 0 | 6 | PROGRAM SERVICES | RESEARCH | 285,153 |
| RUSSIA AND THE NEWLY INDEPENDENT STATES | 0 | 0 | PROGRAM SERVICES | SPEAKING AT SEMINARS & CONFERENCES | 71,979 |
| RUSSIA AND THE NEWLY INDEPENDENT STATES | 0 | 0 | PROGRAM SERVICES | STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS | 5,825 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| RUSSIA AND THE NEWLY INDEPENDENT STATES | 0 | 0 | GRANTMAKING | | 508,394 |
| SOUTH AMERICA | 0 | 0 | PROGRAM SERVICES | ACADEMIC COLLABORATIONS | 107,707 |
| SOUTH AMERICA | 0 | 0 | PROGRAM SERVICES | ALUMNI RELATIONS | 7,544 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| SOUTH AMERICA | 0 | 1 | PROGRAM SERVICES | ATTENDING CONFERENCES & SEMINARS | 28,100 |
| SOUTH AMERICA | 0 | 0 | PROGRAM SERVICES | CULTURAL EXCHANGE | 8,650 |
| SOUTH AMERICA | 0 | 0 | PROGRAM SERVICES | INSTRUCTION/ TEACHING | 25,046 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| SOUTH AMERICA | 0 | 0 | PROGRAM SERVICES | OTHER MISSION RELATED ACTIVITIES | 45,603 |
| SOUTH AMERICA | 0 | 6 | PROGRAM SERVICES | RESEARCH | 620,964 |
| SOUTH AMERICA | 0 | 0 | PROGRAM SERVICES | SPEAKING AT SEMINARS & CONFERENCES | 364,880 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| SOUTH AMERICA | 0 | 0 | PROGRAM SERVICES | STUDENT RECRUITMENT | 34,238 |
| SOUTH AMERICA | 0 | 0 | PROGRAM SERVICES | STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS | 163,852 |
| SOUTH AMERICA | 0 | 0 | PROGRAM SERVICES | TRAINING & CLINICAL PRACTICE | 9,706 |

## Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service (s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| SOUTH AMERICA | 0 | 0 | FUNDRAISING | | 51,615 |
| SOUTH AMERICA | 0 | 0 | GRANTMAKING | | 1,947,130 |
| SOUTH AMERICA | 0 | 0 | INVESTMENTS | | 1,673,535 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| SOUTH ASIA | 0 | 2 | PROGRAM SERVICES | ACADEMIC COLLABORATIONS | 58,808 |
| SOUTH ASIA | 0 | 0 | PROGRAM SERVICES | ALUMNI RELATIONS | 38,269 |
| SOUTH ASIA | 0 | 1 | PROGRAM SERVICES | ATTENDING CONFERENCES & SEMINARS | 36,575 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| SOUTH ASIA | 0 | 0 | PROGRAM SERVICES | CULTURAL EXCHANGE | 6,905 |
| SOUTH ASIA | 0 | 0 | PROGRAM SERVICES | INSTRUCTION/ TEACHING | 12,920 |
| SOUTH ASIA | 0 | 1 | PROGRAM SERVICES | OTHER MISSION RELATED ACTIVITIES | 39,395 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| SOUTH ASIA | 0 | 2 | PROGRAM SERVICES | RESEARCH | 194,484 |
| SOUTH ASIA | 0 | 0 | PROGRAM SERVICES | SPEAKING AT SEMINARS & CONFERENCES | 117,159 |
| SOUTH ASIA | 0 | 0 | PROGRAM SERVICES | STUDENT RECRUITMENT | 60,273 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| SOUTH ASIA | 0 | 0 | PROGRAM SERVICES | STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS | 63,755 |
| SOUTH ASIA | 0 | 0 | PROGRAM SERVICES | TRAINING & CLINICAL PRACTICE | 2,851 |
| SOUTH ASIA | 0 | 0 | FUNDRAISING | | 43,634 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| SOUTH ASIA | 0 | 0 | GRANTMAKING | | 631,679 |
| SUB-SAHARAN AFRICA | 0 | 3 | PROGRAM SERVICES | ACADEMIC COLLABORATIONS | 100,917 |
| SUB-SAHARAN AFRICA | 0 | 0 | PROGRAM SERVICES | ALUMNI RELATIONS | 70,421 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| SUB-SAHARAN AFRICA | 0 | 0 | PROGRAM SERVICES | ATTENDING CONFERENCES & SEMINARS | 67,978 |
| SUB-SAHARAN AFRICA | 0 | 1 | PROGRAM SERVICES | CULTURAL EXCHANGE | 28,507 |
| SUB-SAHARAN AFRICA | 0 | 1 | PROGRAM SERVICES | INSTRUCTION/ TEACHING | 184,983 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| SUB-SAHARAN AFRICA | 0 | 0 | PROGRAM SERVICES | OTHER MISSION RELATED ACTIVITIES | 24,100 |
| SUB-SAHARAN AFRICA | 0 | 10 | PROGRAM SERVICES | RESEARCH | 981,044 |
| SUB-SAHARAN AFRICA | 0 | 0 | PROGRAM SERVICES | SPEAKING AT SEMINARS & CONFERENCES | 77,862 |

**Form 990 Schedule F Part I - Activities Outside The United States**

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service(s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| SUB-SAHARAN AFRICA | 0 | 0 | PROGRAM SERVICES | STUDENT RECRUITMENT | 18,694 |
| SUB-SAHARAN AFRICA | 0 | 0 | PROGRAM SERVICES | STUDY ABROAD & OTHER EDUCATIONAL PROGRAMS | 157,176 |
| SUB-SAHARAN AFRICA | 0 | 0 | PROGRAM SERVICES | TRAINING & CLINICAL PRACTICE | 208,409 |

## Form 990 Schedule F Part I - Activities Outside The United States

| (a) Region | (b) Number of offices in the region | (c) Number of employees or agents in region | (d) Activities conducted in region (by type) (i e , fundraising, program services, grants to recipients located in the region) | (e) If activity listed in (d) is a program service, describe specific type of service (s) in region | (f) Total expenditures for region |
|---|---|---|---|---|---|
| SUB-SAHARAN AFRICA | 0 | 0 | FUNDRAISING | | 43,342 |
| SUB-SAHARAN AFRICA | 0 | 0 | GRANTMAKING | | 1,183,422 |
| SUB-SAHARAN AFRICA | 0 | 0 | INVESTMENTS | | 172,599,019 |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | CENTRAL AMERICA AND THE CARIBBEAN | SUBAWARD - SPONSORED RESEARCH | 352,859 | CHECK | | | |
| | | EAST ASIA AND THE PACIFIC | SUBAWARD - SPONSORED RESEARCH | 92,000 | WIRE | | | |
| | | EAST ASIA AND THE PACIFIC | SUBAWARD - SPONSORED RESEARCH | 5,000 | WIRE | | | |
| | | EAST ASIA AND THE PACIFIC | SUBAWARD - SPONSORED RESEARCH | 68,368 | WIRE | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EAST ASIA AND THE PACIFIC | SUBAWARD - SPONSORED RESEARCH | 50,189 | CHECK | | | |
| | | EAST ASIA AND THE PACIFIC | SUBAWARD - SPONSORED RESEARCH | 58,033 | CHECK | | | |
| | | EAST ASIA AND THE PACIFIC | SUBAWARD - SPONSORED RESEARCH | 7,980 | CHECK, WIRE | | | |
| | | EAST ASIA AND THE PACIFIC | SUBAWARD - SPONSORED RESEARCH | 213,000 | CHECK, WIRE | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EAST ASIA AND THE PACIFIC | SUBAWARD - SPONSORED RESEARCH | 53,758 | WIRE | | | |
| | | EAST ASIA AND THE PACIFIC | SUBAWARD - SPONSORED RESEARCH | 8,629 | WIRE | | | |
| | | EAST ASIA AND THE PACIFIC | SUBAWARD - SPONSORED RESEARCH | 25,207 | WIRE | | | |
| | | EAST ASIA AND THE PACIFIC | SUBAWARD - SPONSORED RESEARCH | 45,618 | CHECK | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EAST ASIA AND THE PACIFIC | SUBAWARD - SPONSORED RESEARCH | 7,765 | WIRE | | | |
| | | EAST ASIA AND THE PACIFIC | SUBAWARD - SPONSORED RESEARCH | 21,577 | CHECK | | | |
| | | EAST ASIA AND THE PACIFIC | SUBAWARD - SPONSORED RESEARCH | 13,053 | WIRE | | | |
| | | EAST ASIA AND THE PACIFIC | SUBAWARD - SPONSORED RESEARCH | 120,031 | WIRE | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EAST ASIA AND THE PACIFIC | SUBAWARD - SPONSORED RESEARCH | 32,026 | WIRE | | | |
| | | EAST ASIA AND THE PACIFIC | SUBAWARD - SPONSORED RESEARCH | 233,551 | CHECK, WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 6,803 | CHECK, WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 215,007 | CHECK, WIRE | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 17,143 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 57,240 | CHECK | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 6,400 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 26,325 | CHECK | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 8,148 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 15,243 | CHECK | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 5,374 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 8,665 | WIRE | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 5,817 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 18,565 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 12,022 | CHECK | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 15,820 | CHECK | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 15,040 | CHECK | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 136,163 | CHECK | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 7,518 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 98,391 | CHECK | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 12,027 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 7,920 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 62,612 | CHECK | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 16,145 | WIRE | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 25,876 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 7,850 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 7,833 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 22,992 | WIRE | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 11,255 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 8,006 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 25,998 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 6,233 | WIRE | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 18,163 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 11,360 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 5,373 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 73,468 | CHECK | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 6,765 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 17,015 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 8,595 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 12,816 | WIRE | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 11,151 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 72,142 | CHECK | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 12,257 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 214,091 | CHECK | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 5,307 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 10,764 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 112,454 | CHECK | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 6,076 | CHECK | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 9,552 | WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 94,022 | CHECK, WIRE | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 307,875 | CHECK | | | |
| | | EUROPE (INCL ICELAND AND GREENLAND) | SUBAWARD - SPONSORED RESEARCH | 13,581 | CHECK | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | MIDDLE EAST AND NORTH AFRICA | SUBAWARD - SPONSORED RESEARCH | 11,377 | CHECK | | | |
| | | MIDDLE EAST AND NORTH AFRICA | SUBAWARD - SPONSORED RESEARCH | 39,110 | WIRE | | | |
| | | MIDDLE EAST AND NORTH AFRICA | SUBAWARD - SPONSORED RESEARCH | 14,851 | WIRE | | | |
| | | MIDDLE EAST AND NORTH AFRICA | SUBAWARD - SPONSORED RESEARCH | 17,620 | CHECK | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | MIDDLE EAST AND NORTH AFRICA | SUBAWARD - SPONSORED RESEARCH | 39,152 | CHECK | | | |
| | | MIDDLE EAST AND NORTH AFRICA | SUBAWARD - SPONSORED RESEARCH | 33,330 | CHECK | | | |
| | | MIDDLE EAST AND NORTH AFRICA | SUBAWARD - SPONSORED RESEARCH | 13,284 | CHECK, WIRE | | | |
| | | NORTH AMERICA | SUBAWARD - SPONSORED RESEARCH | 75,599 | CHECK, WIRE | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | NORTH AMERICA | SUBAWARD - SPONSORED RESEARCH | 9,526 | CHECK | | | |
| | | NORTH AMERICA | SUBAWARD - SPONSORED RESEARCH | 20,582 | CHECK | | | |
| | | NORTH AMERICA | SUBAWARD - SPONSORED RESEARCH | 7,207 | CHECK | | | |
| | | NORTH AMERICA | SUBAWARD - SPONSORED RESEARCH | 18,856 | CHECK | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | NORTH AMERICA | SUBAWARD - SPONSORED RESEARCH | 42,356 | CHECK | | | |
| | | NORTH AMERICA | SUBAWARD - SPONSORED RESEARCH | 8,484 | CHECK | | | |
| | | NORTH AMERICA | SUBAWARD - SPONSORED RESEARCH | 49,362 | CHECK | | | |
| | | NORTH AMERICA | SUBAWARD - SPONSORED RESEARCH | 172,300 | CHECK | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | NORTH AMERICA | SUBAWARD - SPONSORED RESEARCH | 124,264 | CHECK | | | |
| | | NORTH AMERICA | SUBAWARD - SPONSORED RESEARCH | 10,872 | CHECK | | | |
| | | NORTH AMERICA | SUBAWARD - SPONSORED RESEARCH | 54,288 | CHECK | | | |
| | | NORTH AMERICA | SUBAWARD - SPONSORED RESEARCH | 25,940 | CHECK | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | NORTH AMERICA | SUBAWARD - SPONSORED RESEARCH | 26,143 | CHECK | | | |
| | | NORTH AMERICA | SUBAWARD - SPONSORED RESEARCH | 25,081 | CHECK | | | |
| | | RUSSIA AND THE NEWLY INDEPENDENT STATES | SUBAWARD - SPONSORED RESEARCH | 129,265 | CHECK, WIRE | | | |
| | | RUSSIA AND THE NEWLY INDEPENDENT STATES | SUBAWARD - SPONSORED RESEARCH | 107,460 | CHECK | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | RUSSIA AND THE NEWLY INDEPENDENT STATES | SUBAWARD - SPONSORED RESEARCH | 115,885 | CHECK | | | |
| | | RUSSIA AND THE NEWLY INDEPENDENT STATES | SUBAWARD - SPONSORED RESEARCH | 26,073 | WIRE | | | |
| | | SOUTH AMERICA | SUBAWARD - SPONSORED RESEARCH | 429,121 | CHECK | | | |
| | | SOUTH AMERICA | SUBAWARD - SPONSORED RESEARCH | 165,000 | CHECK | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | SOUTH AMERICA | SUBAWARD - SPONSORED RESEARCH | 127,185 | CHECK | | | |
| | | SOUTH AMERICA | SUBAWARD - SPONSORED RESEARCH | 293,567 | CHECK | | | |
| | | SOUTH ASIA | SUBAWARD - SPONSORED RESEARCH | 106,586 | CHECK | | | |
| | | SOUTH ASIA | SUBAWARD - SPONSORED RESEARCH | 28,324 | WIRE | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | SOUTH ASIA | SUBAWARD - SPONSORED RESEARCH | 78,774 | CHECK | | | |
| | | SUB-SAHARAN AFRICA | SUBAWARD - SPONSORED RESEARCH | 26,868 | CHECK | | | |
| | | SUB-SAHARAN AFRICA | SUBAWARD - SPONSORED RESEARCH | 131,497 | CHECK | | | |
| | | SUB-SAHARAN AFRICA | SUBAWARD - SPONSORED RESEARCH | 13,748 | WIRE | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | SUB-SAHARAN AFRICA | SUBAWARD - SPONSORED RESEARCH | 105,437 | CHECK | | | |
| | | SUB-SAHARAN AFRICA | SUBAWARD - SPONSORED RESEARCH | 36,939 | CHECK, WIRE | | | |
| | | SUB-SAHARAN AFRICA | SUBAWARD - SPONSORED RESEARCH | 9,720 | CHECK | | | |
| | | SUB-SAHARAN AFRICA | SUBAWARD - SPONSORED RESEARCH | 99,455 | WIRE | | | |

**Form 990 Schedule F Part II - Grants or Entities Outside The United States**

| (a) Name of organization | (b) IRS code section and EIN(if applicable) | (c) Region | (d) Purpose of grant | (e) Amount of cash grant | (f) Manner of cash disbursement | (g) Amount of non-cash assistance | (h) Description of non-cash assistance | (i) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|---|
| | | SUB-SAHARAN AFRICA | SUBAWARD - SPONSORED RESEARCH | 83,376 | CHECK | | | |
| | | SUB-SAHARAN AFRICA | SUBAWARD - SPONSORED RESEARCH | 34,477 | WIRE | | | |

**Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S**

| (a) Type of grant or assistance | (b) Region | (c)Number of recipients | (d) Amount of cash grant | (e) Manner of cash disbursement | (f) Amount of non-cash assistance | (g) Description of non-cash assistance | (h) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|
| FELLOWSHIPS | CENTRAL AMERICA AND THE CARIBBEAN | 33 | 95,375 | CHECK, ELECTRONIC FUND OR WIRE TRANSFER | | | |
| FELLOWSHIPS | EAST ASIA AND THE PACIFIC | 224 | 2,028,356 | CHECK, ELECTRONIC FUND OR WIRE TRANSFER | | | |
| FELLOWSHIPS | EUROPE (INCLUDING ICELAND AND GREENLAND) | 143 | 546,961 | CHECK, ELECTRONIC FUND OR WIRE TRANSFER | | | |
| FELLOWSHIPS | MIDDLE EAST AND NORTH AFRICA | 31 | 134,900 | CHECK, ELECTRONIC FUND OR WIRE TRANSFER | | | |
| FELLOWSHIPS | NORTH AMERICA | 46 | 135,204 | CHECK, ELECTRONIC FUND OR WIRE TRANSFER | | | |
| FELLOWSHIPS | RUSSIA AND THE NEWLY INDEPENDENT STATES | 12 | 52,349 | CHECK, ELECTRONIC FUND OR WIRE TRANSFER | | | |
| FELLOWSHIPS | SOUTH AMERICA | 43 | 125,445 | CHECK, ELECTRONIC FUND OR WIRE TRANSFER | | | |
| FELLOWSHIPS | SOUTH ASIA | 57 | 203,555 | CHECK, ELECTRONIC FUND OR WIRE TRANSFER | | | |
| FELLOWSHIPS | SUB-SAHARAN AFRICA | 66 | 210,517 | CHECK, ELECTRONIC FUND OR WIRE TRANSFER | | | |
| STUDENT STIPENDS | CENTRAL AMERICA AND THE CARIBBEAN | 14 | 37,245 | ELECTRONIC FUND OR WIRE TRANSFER | | | |

**Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S**

| (a) Type of grant or assistance | (b) Region | (c)Number of recipients | (d) Amount of cash grant | (e) Manner of cash disbursement | (f) Amount of non-cash assistance | (g) Description of non-cash assistance | (h) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|
| STUDENT STIPENDS | EAST ASIA AND THE PACIFIC | 21 | 127,669 | ELECTRONIC FUND OR WIRE TRANSFER | | | |
| STUDENT STIPENDS | EUROPE (INCLUDING ICELAND AND GREENLAND) | 48 | 542,254 | ELECTRONIC FUND OR WIRE TRANSFER | | | |
| STUDENT STIPENDS | NORTH AMERICA | 4 | 68,410 | ELECTRONIC FUND OR WIRE TRANSFER | | | |
| STUDENT STIPENDS | SOUTH AMERICA | 24 | 185,496 | ELECTRONIC FUND OR WIRE TRANSFER | | | |
| STUDENT STIPENDS | SOUTH ASIA | 8 | 72,503 | ELECTRONIC FUND OR WIRE TRANSFER | | | |
| STUDENT STIPENDS | SUB-SAHARAN AFRICA | 23 | 227,614 | ELECTRONIC FUND OR WIRE TRANSFER | | | |
| SCHOLARSHIPS | CENTRAL AMERICA AND THE CARIBBEAN | 6 | 60,482 | CHECK | | | |
| SCHOLARSHIPS | EAST ASIA AND THE PACIFIC | 52 | 361,559 | CHECK | | | |
| SCHOLARSHIPS | EUROPE (INCLUDING ICELAND AND GREENLAND) | 322 | 3,478,189 | CHECK | | | |
| SCHOLARSHIPS | MIDDLE EAST AND NORTH AFRICA | 9 | 46,681 | CHECK | | | |

**Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S**

| (a) Type of grant or assistance | (b) Region | (c)Number of recipients | (d) Amount of cash grant | (e) Manner of cash disbursement | (f) Amount of non-cash assistance | (g) Description of non-cash assistance | (h) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|
| SCHOLARSHIPS | NORTH AMERICA | 8 | 35,097 | CHECK | | | |
| SCHOLARSHIPS | RUSSIA AND THE NEWLY INDEPENDENT STATES | 8 | 75,322 | CHECK | | | |
| SCHOLARSHIPS | SOUTH AMERICA | 63 | 615,196 | CHECK | | | |
| SCHOLARSHIPS | SOUTH ASIA | 14 | 133,078 | CHECK | | | |
| SCHOLARSHIPS | SUB-SAHARAN AFRICA | 18 | 192,309 | CHECK | | | |
| GRANTS - OTHER BENEFITS | EAST ASIA AND THE PACIFIC | 36 | 37,797 | CHECK | | | |
| GRANTS - OTHER BENEFITS | EUROPE (INCLUDING ICELAND AND GREENLAND) | 33 | 72,159 | CHECK | | | |
| GRANTS - OTHER BENEFITS | NORTH AMERICA | 4 | 17,846 | CHECK | | | |
| GRANTS - OTHER BENEFITS | RUSSIA AND THE NEWLY INDEPENDENT STATES | 1 | 2,040 | CHECK | | | |
| GRANTS - OTHER BENEFITS | SOUTH AMERICA | 3 | 6,120 | CHECK | | | |

**Form 990 Schedule F Part III - Grants and Assistance to Individuals Outside The U S**

| (a) Type of grant or assistance | (b) Region | (c)Number of recipients | (d) Amount of cash grant | (e) Manner of cash disbursement | (f) Amount of non-cash assistance | (g) Description of non-cash assistance | (h) Method of valuation (book, FMV, appraisal, other) |
|---|---|---|---|---|---|---|---|
| GRANTS - OTHER BENEFITS | SOUTH ASIA | 6 | 8,859 | CHECK | | | |
| GRANTS - OTHER BENEFITS | SUB-SAHARAN AFRICA | 6 | 11,467 | CHECK | | | |

**SCHEDULE G (Form 990 or 990-EZ)**

Department of the Treasury
Internal Revenue Service

# Supplemental Information Regarding Fundraising or Gaming Activities

**Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than $15,000 on Form 990-EZ, line 6a.**
**▶Attach to Form 990 or Form 990-EZ. ▶See separate instructions.**
**▶Information about Schedule G (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

**2013**

**Open to Public Inspection**

Name of the organization
YALE UNIVERSITY

**Employer identification number**
06-0646973

**Part I** **Fundraising Activities.** Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Form 990-EZ filers are not required to complete this part.

**1** Indicate whether the organization raised funds through any of the following activities Check all that apply

**a** ☐ Mail solicitations
**b** ☐ Internet and email solicitations
**c** ☐ Phone solicitations
**d** ☐ In-person solicitations
**e** ☐ Solicitation of non-government grants
**f** ☐ Solicitation of government grants
**g** ☐ Special fundraising events

**2a** Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? ☐ **Yes** ☐ **No**

**b** If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least $5,000 by the organization

| **(i)** Name and address of individual or entity (fundraiser) | **(ii)** Activity | **(iii)** Did fundraiser have custody or control of contributions? | | **(iv)** Gross receipts from activity | **(v)** Amount paid to (or retained by) fundraiser listed in col **(i)** | **(vi)** Amount paid to (or retained by) organization |
|---|---|---|---|---|---|---|
| | | **Yes** | **No** | | | |
| 1 | | | | | | |
| 2 | | | | | | |
| 3 | | | | | | |
| 4 | | | | | | |
| 5 | | | | | | |
| 6 | | | | | | |
| 7 | | | | | | |
| 8 | | | | | | |
| 9 | | | | | | |
| 10 | | | | | | |
| **Total** . . . . . ▶ | | | | | | |

**3** List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing

**Part II** **Fundraising Events.** Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than $15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than $5,000.

| | | (a) Event #1 GOLF OUTING (event type) | (b) Event #2 GOLF OUTING (event type) | (c) Other events 13 (total number) | (d) Total events (add col (a) through col (c)) |
|---|---|---|---|---|---|
| Revenue | 1 Gross receipts | 131,322 | 72,693 | 434,968 | 638,983 |
| | 2 Less Contributions | 98,781 | 47,022 | 186,470 | 332,273 |
| | 3 Gross income (line 1 minus line 2) | 32,541 | 25,671 | 248,498 | 306,710 |
| Direct Expenses | 4 Cash prizes | | | | |
| | 5 Noncash prizes | 129 | 480 | 9,369 | 9,978 |
| | 6 Rent/facility costs | 5,710 | 5,465 | 16,769 | 27,944 |
| | 7 Food and beverages | | | | |
| | 8 Entertainment | | | | |
| | 9 Other direct expenses | 23,398 | 16,889 | 147,246 | 187,533 |
| | 10 Direct expense summary Add lines 4 through 9 in column (d) ▶ | | | | (225,455) |
| | 11 Net income summary Subtract line 10 from line 3, column (d) ▶ | | | | 81,255 |

**Part III** **Gaming.** Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than $15,000 on Form 990-EZ, line 6a.

| | | (a) Bingo | (b) Pull tabs/Instant bingo/progressive bingo | (c) Other gaming | (d) Total gaming (add col (a) through col (c)) |
|---|---|---|---|---|---|
| Revenue | 1 Gross revenue | | | | |
| Direct Expenses | 2 Cash prizes | | | | |
| | 3 Non-cash prizes | | | | |
| | 4 Rent/facility costs | | | | |
| | 5 Other direct expenses | | | | |
| | 6 Volunteer labor | ☐ Yes ____ % ☐ No | ☐ Yes ____ % ☐ No | ☐ Yes ____ % ☐ No | |
| | 7 Direct expense summary Add lines 2 through 5 in column (d) ▶ | | | | |
| | 8 Net gaming income summary Subtract line 7 from line 1, column (d) ▶ | | | | |

9 Enter the state(s) in which the organization operates gaming activities _____

a Is the organization licensed to operate gaming activities in each of these states? ☐ Yes ☐ No

b If "No," explain _____

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year? ☐ Yes ☐ No

b If "Yes," explain _____

11

Does the organization operate gaming activities with nonmembers? . . . . . ☐ Yes ☐ No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? . . . . . ☐ Yes ☐ No

13 Indicate the percentage of gaming activity operated in

| | | | |
|---|---|---|---|
| a | The organization's facility . . . . . | 13a | % |
| b | An outside facility . . . . . | 13b | % |

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name ▶ .....

Address ▶ .....

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? . . . . . ☐ Yes ☐ No

b If "Yes," enter the amount of gaming revenue received by the organization ▶ $ _____ and the amount of gaming revenue retained by the third party ▶ $ _____

c If "Yes," enter name and address of the third party

Name ▶ .....

Address ▶ .....

16 Gaming manager information

Name ▶ .....

Gaming manager compensation ▶ $ .....

Description of services provided ▶ .....

☐ Director/officer ☐ Employee ☐ Independent contractor

17 Mandatory distributions

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? . . . . . ☐ Yes ☐ No

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year ▶ $

**Part IV** **Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

| Return Reference | Explanation |
|---|---|

**Schedule I (Form 990)**

Department of the Treasury
Internal Revenue Service

# Grants and Other Assistance to Organizations, Governments and Individuals in the United States

**Complete if the organization answered "Yes," to Form 990, Part IV, line 21 or 22.**
**▶ Attach to Form 990**
**▶ Information about Schedule I (Form 990) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

**2013**

**Open to Public Inspection**

Name of the organization
YALE UNIVERSITY

**Employer identification number**
06-0646973

## Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . . . ☑ Yes ☐ No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

## Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed.

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| See Additional Data Table | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . . . ▶ 244

3 Enter total number of other organizations listed in the line 1 table . . . . . ▶ 23

**Part III** **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

| (a) Type of grant or assistance | (b) Number of recipients | (c) Amount of cash grant | (d) Amount of non-cash assistance | (e) Method of valuation (book, FMV, appraisal, other) | (f) Description of non-cash assistance |
|---|---|---|---|---|---|
| (1) STIPENDS | 3862 | 67,266,679 | | | |
| (2) SCHOLARSHIPS | 7882 | | 249,262,153 | COST | TUITION REDUCTION |
| (3) HEALTH PREMIUM AWARDS | 2818 | | 10,122,669 | COST | HEALTHCARE |
| (4) FELLOWSHIPS | 981 | 8,072,149 | | | |
| (5) PRIZES AND AWARDS | 1276 | 3,370,398 | | | |
| | | | | | |
| | | | | | |

**Part IV** **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b), and any other additional information.

| Return Reference | Explanation |
|---|---|
| SCHEDULE I, PART I, LINE 2 | WITH RESPECT TO SPONSORED PROJECT ACTIVITY SUBCONTRACTED TO OTHER ENTITIES, THE UNIVERSITY'S POLICY AND PROCEDURES FOR MONITORING GRANTS ARE INTENDED TO ESTABLISH APPROPRIATE AND EFFECTIVE MECHANISMS TO PROMOTE COMPLIANCE WITH FEDERAL AND NON-FEDERAL REQUIREMENTS AND ENSURE THAT THE UNIVERSITY AND ITS SPONSORS RECEIVE VALUE FOR FUNDS EXPENDED THESE PROCEDURES INCLUDE A FORMAL APPROVAL PROCESS FOR SUBAWARDS, PRE-AWARD AND POST-AWARD MONITORING OF SUB RECIPIENTS TO REVIEW THE FINANCIAL STATUS AND MANAGEMENT CONTROLS, COMPLIANCE CERTIFICATIONS RELATIVE TO THE USE OF HUMAN SUBJECTS AND ANIMALS, AND REQUIRED DISCLOSURE OF ANY CONFLICT OF INTEREST WITH A SUB RECIPIENT ENTITY AND A REVIEW OF PERTINENT OTHER ORGANIZATION INFORMATION IN ACCORDANCE WITH THESE PROCEDURES, THE UNIVERSITY REVIEWS THE SUB RECIPIENT'S ADMINISTRATION, BILLINGS AND SCIENTIFIC PROGRESS OF AN ACTIVE SUBAWARD AND CONDUCTS ON-GOING RISK ASSESSMENTS AS WELL AS QUARTERLY QUALITY ASSURANCE REVIEWS ON RANDOMLY SELECTED SUBAWARDS THE PROCEDURES SERVE TO ENSURE THAT THE SUBAWARDS ARE USED FOR PROPER PURPOSES AND NOT DIVERTED FROM THEIR INTENDED USE THE UNIVERSITY PROVIDES STUDENTS WITH MANY FELLOWSHIP OPPORTUNITIES TO FUND RESEARCH, INDEPENDENT PROJECTS, INTERNSHIPS, VOLUNTEER WORK, COMMUNITY SERVICE, AND STUDY ABROAD AWARD RECIPIENTS ARE SELECTED BASED ON AN APPLICATION, INCLUDING A PROPOSAL, ESSAYS, BUDGET, ACADEMIC RECORD, AND INTERVIEW, AS APPLICABLE IN MOST CASES, THE TERMS OF THE AWARD REQUIRE THAT THE RECIPIENT PREPARE AND PRESENT A REPORT OF THE FELLOWSHIP ACTIVITY FURTHER, CERTAIN FELLOWSHIP RECIPIENTS ARE REQUIRED TO DOCUMENT EXPENSES TO VERIFY THAT THE FELLOWSHIP WAS USED FOR THE PURPOSE INTENDED SUCH DOCUMENTATION MAY INCLUDE COPIES OF PLANE TICKETS AND BOARDING PASSES, COPIES OF VISAS, RECEIPTS FOR TUITION, LETTERS FROM ORGANIZATIONS VERIFYING THE INTERNSHIP AND DATES, ETC , AS APPROPRIATE |
| SCHEDULE I, PART II | A SUBAWARD IS AN AWARD FROM THE UNIVERSITY TO A QUALIFIED THIRD PARTY PRIMARILY TO PERFORM RESEARCH AND OTHER RELATED PROGRAMMATIC EFFORTS UNDER A SPONSORED RESEARCH PROJECT |
| SCHEDULE I, PART III | THE AMOUNTS REPORTED IN PART III REPRESENT AN ESTIMATE OF TOTAL AWARDS FOR THE FISCAL YEAR ENDED JUNE 30, 2014 BASED ON INFORMATION PROVIDED BY THE UNIVERSITY'S UNDERGRADUATE, GRADUATE AND PROFESSIONAL SCHOOLS SOME STUDENTS RECEIVED MORE THAN ONE AWARD DURING THE FISCAL YEAR ENDED JUNE 30, 2014 |

## Additional Data

**Software ID:**
**Software Version:**
**EIN:** 06-0646973
**Name:** YALE UNIVERSITY

### Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| ABT SRBI INC<br>275 SEVENTH AVENUE<br>SUITE 2700<br>NEW YORK, NY 10001 | 13-3077900 | | 59,505 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| ADVANCED NEUROLOGY SPECIALISTS<br>400 15TH AVENUE SOUTH<br>SUITE 206<br>GREAT FALLS, MT 59405 | 20-1821484 | | 13,033 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| AGILENT TECHNOLOGIES INC<br>4187 COLLECTIONS CENTER DRIVE<br>CHICAGO,IL 60693 | 77-0518772 | | 176,185 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| AIDS CONNECTICUT INC<br>110 BARTHOLOMEW AVENUE SUITE 400<br>HARTFORD,CT 06106 | 22-3014883 | 501(C)(3) | 10,000 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| AIDS PROJECT NEW HAVEN INC<br>1302 CHAPEL STREET<br>NEW HAVEN,CT 06511 | 22-2506184 | 501(C)(3) | 275,944 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| ALERE WELLBEING INC<br>999 THIRD AVENUE<br>SEATTLE, WA 98104 | 20-0231080 | | 43,098 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| ALLEGHENY-SINGER RESEARCH INSTITUTE<br>2 ALLEGHEMY CENTER<br>11TH FLR<br>PITTSBURGH, PA<br>152124746 | 25-1320493 | 501(C)(3) | 32,350 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| ALLIANCE OF CHICAGO COMMUNITY HEALTH SERVICES L3C<br>215 W OHIO 4TH FLOOR<br>CHICAGO,IL 60654 | 36-4444309 | | 22,606 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| ALTRU HEALTH SYSTEM<br>860 SOUTH COLUMBIA ROAD<br>GRAND FORKS,ND 58201 | 45-0310462 | 501(C)(3) | 11,456 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| AMERICAN COLLEGE OF CARDIOLOGY FOUNDATION<br>PO BOX 79231<br>BALTIMORE, MD<br>212790231 | 13-5641985 | 501(C)(3) | 31,356 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| AMERICAN PSYCHOSOCIAL ONCOLOGY SOCIETY<br>154 HANSEN RD SUITE 201<br>CHARLOTTESVILLE,VA<br>22911 | 04-3720121 | 501(C)(3) | 45,520 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| AMERICAN RED CROSS<br>600 A FOREST POINT CIRCLE<br>CHARLOTTE,NC 28273 | 53-0196605 | 501(C)(3) | 795,355 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| AMERICAN SOCIETY OF CLINICAL ONCOLOGY<br>2318 MILL ROAD SUITE 800<br>ALEXANDRIA,VA 22314 | 13-6180380 | 501(C)(3) | 6,415 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| APT FOUNDATION INC<br>ONE LONG WHARF DRIVE<br>SUITE 321<br>NEW HAVEN,CT 06511 | 23-7061218 | 501(C)(3) | 896,068 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| ATLANTA RESEARCH & EDUCATION FOUNDATION INC<br>1902 CLAIRMONT ROAD<br>DECATUR,GA 30033 | 58-1857346 | 501(C)(3) | 91,000 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| BALTIMORE RESEARCH & EDUCATION FOUNDATION INC<br>10 NORTH GREENE STREET<br>BALTIMORE,MD 21201 | 52-1705976 | 501(C)(3) | 75,993 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| BANNER HEALTH<br>901 E WILLETTA STREET<br>PHOENIX, AZ 85006 | 45-0233470 | 501(C)(3) | 8,675 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| BARKER BI-COASTAL HEALTH CONSULTANTS INC<br>3556 ELM DRIVE<br>CALABASAS,CA 91302 | 20-8139387 | | 80,000 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| BAYLOR COLLEGE OF MEDICINE<br>ONE BAYLOR PLAZA<br>HOUSTON,TX 77030 | 74-1613878 | 501(C)(3) | 253,540 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| BAYSTATE MEDICAL CENTER INC<br>280 CHESTNUT STREET<br>SPRINGFIELD,MA 01199 | 04-2790311 | 501(C)(3) | 93,228 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| BECKMAN RESEARCH INSTITUTE OF THE CITY OF HOPE<br>1500 EAST DUARTE ROAD<br>DUARTE, CA 910103000 | 95-3432210 | 501(C)(3) | 9,905 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| BEDFORD VA RESEARCH CORPORATION INC<br>200 SPRINGS ROAD<br>BEDFORD, MA 01730 | 04-3512440 | 501(C)(3) | 66,423 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| BETH ISRAEL DEACONESS MEDICAL CENTER<br>330 BROOKLINE AVENUE<br>BOSTON, MA 02215 | 04-2103881 | 501(C)(3) | 102,073 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| BHCARE INC<br>127 WASHINGTON AVENUE<br>3RD FLOOR<br>NORTH HAVEN, CT 06473 | 22-2598799 | 501(C)(3) | 9,406 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| BOARD OF REGENTS NEVADA SYSTEM OF HIGHER EDUCATION 4505 MARYLAND PKWY LAS VEGAS,NV 89154 | 88-6000024 | 501(C)(3) | 72,066 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| BOARD OF REGENTS OF THE UNIVERSITY OF OKLAHOMA HEALTH SCIENCES CENTER PO BOX 26901 OKLAHOMA CITY,OK 731260901 | 73-6017987 | STATE OF OK | 59,786 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| BOSTON MEDICAL CENTER<br>660 HARRISON AVEVUE<br>BOSTON,MA 02118 | 04-3314093 | 501(C)(3) | 18,354 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| BOSTON UNIVERSITY<br>PO BOX 55058<br>BOSTON,MA 022151301 | 04-2103547 | 501(C)(3) | 316,971 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| BOYCE THOMPSON INSTITUTE FOR PLANT RESEARCH INC<br>533 TOWER ROAD<br>ITHACA,NY 14853 | 13-1739923 | 501(C)(3) | 39,377 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| BRENTWOOD BIOMEDICAL RESEARCH INSTITUTE INC<br>PO BOX 25027<br>LOS ANGELES,CA 90025 | 95-4183712 | 501(C)(3) | 100,118 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| BRIDGEPORT BOARD OF EDUCATION<br>45 LYON TERRACE<br>BRIDGEPORT,CT 06604 | 06-6001865 | CITY-BRIDGEPORT | 600,664 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| BRIDGEPORT HOSPITAL<br>267 GRANT STREET<br>BRIDGEPORT,CT 06610 | 06-0646554 | 501(C)(3) | 102,083 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| BRIGHAM & WOMEN'S HOSPITAL<br>PO BOX 3887<br>BOSTON, MA 022413887 | 04-2312909 | 501(C)(3) | 722,503 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| BRIGHAM YOUNG UNIVERSITY<br>PO BOX 21128<br>PROVO,UT 846021128 | 87-0217280 | 501(C)(3) | 80,864 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| BROWN UNIVERSITY<br>PO BOX 1911<br>PROVIDENCE,RI 02908 | 05-0258809 | 501(C)(3) | 245,161 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CALIFORNIA CENTER FOR PUBLIC HEALTH ADVOCACY<br>PO BOX 2309<br>DAVIS,CA 95617 | 95-4723901 | 501(C)(3) | 30,163 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CASE WESTERN RESERVE UNIVERSITY<br>11100 EUCLID AVENUE<br>SUITE 2217<br>CLEVELAND,OH<br>441062080 | 34-1018992 | 501(C)(3) | 43,384 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CEDARS-SINAI MEDICAL CENTER<br>8700 BEVERLY BLVD<br>LOS ANGELES, CA 90048 | 95-1644600 | 501(C)(3) | 10,411 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CENTER FOR SCIENCE IN THE PUBLIC INTEREST<br>1220 L STREET NW SUITE 300<br>WASHINGTON, DC 200054053 | 23-7122879 | 501(C)(3) | 65,295 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CENTRAL CONNECTICUT STATE UNIVERSITY<br>1615 STANLEY STREET<br>NEW BRITAIN, CT 06053 | 06-1303381 | STATE OF CT | 46,059 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CHILDREN'S HOSPITAL CORPORATION<br>PO BOX 414413<br>BOSTON, MA 022414413 | 04-2774441 | 501(C)(3) | 338,458 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CHILDREN'S HOSPITAL LOS ANGELES<br>4650 SUNSET BOULEVARD<br>LOS ANGELES, CA 90027 | 95-1690977 | 501(C)(3) | 148,922 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CHILDREN'S HOSPITAL MEDICAL CENTER<br>3333 BURNET AVENUE<br>CINCINNATI,OH<br>452293039 | 31-0833936 | 501(C)(3) | 102,525 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CHILDREN'S HOSPITAL OF PHILADELPHIA<br>PO BOX 8500<br>PHILADELPHIA, PA<br>191781457 | 23-1352166 | 501(C)(3) | 62,057 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CHILDREN'S HOSPITAL OF PITTSBURGH FOUNDATION ONE CHILDRENS HOSPITAL DRIVE 4401 PENN AVENUE PITTSBURGH, PA 152241342 | 25-1865744 | 501(C)(3) | 12,500 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CHILDREN'S RESEARCH INSTITUTE<br>111 MICHIGAN AVENUE NW<br>WASHINGTON, DC<br>200102970 | 52-1654453 | 501(C)(3) | 365,651 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CIGITAL INC<br>21351 RIDGETOP CIRCLE<br>SUITE 400<br>DULLES,VA 20166 | 54-1605142 | | 53,548 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CITY OF NEW HAVEN<br>54 MEADOW ST<br>NEW HAVEN, CT 06519 | 06-6001876 | CITY-NEW HAVEN | 104,971 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CLIFFORD W BEERS GUIDANCE CLINIC INC 93 EDWARDS STREET NEW HAVEN, CT 06511 | 06-0646757 | 501(C)(3) | 94,860 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| COLD SPRING HARBOR LABORATORY<br>1 BUNGTOWN ROAD<br>COLD SPRING HARBOR, NY 11724 | 11-2013303 | 501(C)(3) | 407,656 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| COLORADO SCHOOL OF MINES<br>PO BOX 911911<br>DENVER, CO 802911911 | 84-6000551 | STATE OF CO | 168,893 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| COLORADO STATE UNIVERSITY<br>601 SOUTH HOWES ROOM 408<br>FORT COLLINS, CO<br>805232002 | 84-6000545 | STATE OF CO | 24,699 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| COLUMBIA UNIVERSITY<br>615 WEST 131ST STREET<br>4TH FLOOR<br>NEW YORK, NY 10027 | 13-5598093 | 501(C)(3) | 452,396 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CORNELL SCOTT-HILL HEALTH CORPORATION<br>400 COLUMBUS AVENUE<br>2ND FLOOR<br>NEW HAVEN,CT 06519 | 06-0870990 | 501(C)(3) | 182,599 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CORNELL UNIVERSITY<br>PO BOX 22<br>ITHACA,NY 148510022 | 15-0532082 | 501(C)(3) | 550,985 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| DALLAS VA RESEARCH CORPORATION<br>PO BOX 516<br>LANCASTER,TX 75146 | 75-2329831 | 501(C)(3) | 63,992 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| DANA-FARBER CANCER INSTITUTE INC<br>450 BROOKLINE AVENUE<br>BOSTON, MA 02215 | 04-2263040 | 501(C)(3) | 76,396 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| DARTMOUTH COLLEGE<br>11 ROPE FERRY ROAD<br>HANOVER, NH 037551404 | 02-0222111 | 501(C)(3) | 178,852 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| DAVID H MURDOCK RESEARCH INSTITUTE 150 RESEARCH CAMPUS DRIVE KANNAPOLIS,NC 28081 | 20-8730759 | 501(C)(3) | 81,036 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| DECISION SCIENCE RESEARCH INSTITUTE INC<br>1201 OAK STREET<br>EUGENE, OR 97401 | 93-0927305 | 501(C)(3) | 66,817 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| DONALD DANFORTH PLANT SCIENCE CENTER<br>975 NORTH WATSON ROAD<br>ST LOUIS,MO 63132 | 31-1584621 | 501(C)(3) | 316,640 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| DREXEL UNIVERSITY<br>COLLEGE OF MEDICINE<br>3141 CHESTNUT STREET<br>PHILADELPHIA, PA 19104 | 23-2979433 | 501(C)(3) | 38,989 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| DUKE UNIVERSITY<br>PO BOX 602651<br>CHARLOTTE, NC<br>282602651 | 56-0532129 | 501(C)(3) | 222,780 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| EAST CAROLINA UNIVERSITY<br>200 S CHARLES BLVD<br>GREENVILLE, NC<br>278584353 | 56-6000403 | STATE OF NC | 8,718 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| EMERGENCY CARE RESEARCH INSTITUTE 5200 BUTLER PIKE PLYMOUTH MEETING, PA 19462 | 23-1662091 | 501(C)(3) | 5,234 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| EMORY UNIVERSITY<br>PO BOX 935084<br>ATLANTA,GA 311935084 | 58-0566256 | 501(C)(3) | 384,394 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| FAIR HAVEN COMMUNITY HEALTH CLINIC INC<br>374 GRAND AVENUE<br>NEW HAVEN, CT 06513 | 06-0883545 | 501(C)(3) | 244,050 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| FISHER BIOSERVICES<br>14665 ROTHGEB DRIVE<br>ROCKVILLE, MD 20850 | 54-1348241 | | 9,103 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| FLORIDA INTERNATIONAL UNIVERSITY<br>11200 SW 8TH STREET<br>MIAMI,FL 33199 | 65-0177616 | 501(C)(3) | 36,572 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| FOUNDATION FOR APPLIED MOLECULAR EVOLUTION INC<br>PO BOX 13174<br>GAINESVILLE, FL 32604 | 59-3471957 | 501(C)(3) | 217,706 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| GEISINGER CLINIC<br>100 NORTH ACADEMY AVENUE<br>DANVILLE,PA 178222602 | 23-6291113 | 501(C)(3) | 103,192 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| GEORGE MASON UNIVERSITY<br>4400 UNIVERSITY DRIVE<br>FAIRFAX,VA 22030 | 54-0836354 | STATE OF VA | 437,293 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| GFK CUSTOM RESEARCH LLC<br>PO BOX 347353<br>PITTSBURGH, PA<br>152514353 | 52-2339690 | | 55,919 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| GRIFFIN HOSPITAL<br>130 DIVISION STREET<br>DERBY,CT 06418 | 06-0647014 | 501(C)(3) | 468,019 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| GUILFORD NEUROLOGIC ASSOCIATES<br>912 THIRD STREET SUITE 101<br>GREENSBORO,NC 27405 | 56-0963466 | | 107,923 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| H LEE MOFFITT CANCER CENTER AND RESEARCH INSTITUTE HOSPITAL INC 12902 MAGNOLIA DRIVE TAMPA,FL 33612 | 59-3238634 | 501(C)(3) | 11,186 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| HAMILL ASSOCIATESINC<br>1200 HIGH RIDGE ROAD<br>3RD FLOOR<br>STAMFORD,CT 06905 | 13-3804354 | | 27,150 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| HARTFORD HOSPITAL<br>80 SEYMOUR STREET<br>HARTFORD,CT<br>061025037 | 06-0646668 | 501(C)(3) | 806,606 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| HEALTH FIRST PHYSICIANS<br>1223 GATEWAY DRIVE<br>MELBOURNE,FL 32901 | 59-3346397 | | 8,541 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| HEKTOEN INSTITUTE OF MEDICINE<br>2240 WEST OGDEN AVENUE 2ND FLOOR<br>CHICAGO,IL 60612 | 36-2244897 | 501(C)(3) | 53,331 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| HENRY M JACKSON FOUNDATION FOR THE ADVANCEMENT OF MILITARY MEDICINE<br>6720A ROCKLEDGE DRIVE SUITE 100<br>BETHESDA, MD 20817 | 52-1317896 | 501(C)(3) | 262,594 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| HISPANIC HEALTH COUNCIL INC<br>175 MAIN STREET<br>HARTFORD,CT 06106 | 06-1018979 | 501(C)(3) | 310,313 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| HOSPITAL FOR SPECIAL SURGERY FUND INC<br>535 EAST 70TH STREET<br>NEW YORK, NY 10021 | 13-6714749 | 501(C)(3) | 10,482 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| HUGO W MOSER RESEARCH INSTITUTE AT KENNEDY KRIEGER INC<br>707 NORTH BROADWAY<br>BALTIMORE, MD 21205 | 52-1524967 | 501(C)(3) | 30,020 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| ICAHN SCHOOL OF MEDICINE AT MOUNT SINAI<br>1 GUSTAVE L LEVY PLACE<br>NEW YORK, NY 10029 | 13-6171197 | 501(C)(3) | 58,054 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| INDIANA MEDICAL RESEARCH LLC<br>303 SOUTH NAPPANEE STREET<br>ELKHART,IN 46514 | 26-0303059 | | 17,767 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| INDIANA UNIVERSITY<br>PO BOX 66057<br>INDIANAPOLIS,IN<br>462666057 | 35-6001673 | 501(C)(3) | 124,990 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| INNOVATIONS FOR POVERTY ACTION<br>101 WHITNEY AVENUE<br>NEW HAVEN, CT 06510 | 06-1660068 | 501(C)(3) | 1,073,620 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| INSTITUTE FOR CLINICAL RESEARCH INC<br>PO BOX 29545<br>WASHINGTON, DC<br>200170745 | 52-1336656 | 501(C)(3) | 56,243 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| INSTITUTE FOR COMMUNITY RESEARCH INC<br>2 HARTFORD SQUARE WEST SUITE 100<br>HARTFORD,CT<br>061065128 | 06-0653116 | 501(C)(3) | 51,705 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| IOWA NEUROLOGY RESEARCH INC<br>PO BOX 65433<br>WEST DES MOINES, IA 50265 | 92-0179923 | | 29,569 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| IOWA STATE UNIVERSITY OF SCIENCE AND TECHNOLOGY<br>3609 ADMINISTRATIVE SVCS BLDG<br>AMES,IA 500113609 | 42-6004224 | 501(C)(3) | 43,780 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| J CRAIG VENTER INSTITUTE<br>9704 MEDICAL CENTER DRIVE<br>ROCKVILLE, MD 20850 | 52-1842938 | 501(C)(3) | 53,544 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| JOHNS HOPKINS UNIVERSITY<br>3910 KESWICK ROAD<br>N4327B<br>BALTIMORE, MD 21211 | 52-0595110 | 501(C)(3) | 445,280 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| JUNTA FOR PROGRESSIVE ACTION INC<br>169 GRAND AVENUE<br>NEW HAVEN, CT 06513 | 23-7066862 | 501(C)(3) | 123,875 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| KALEIDA HEALTH<br>100 HIGH STREET<br>BUFFALO,NY 14203 | 16-1533232 | 501(C)(3) | 11,171 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| KESSLER FOUNDATION INC<br>300 EXECUTIVE DRIVE<br>SUITE 70<br>WEST ORANGE, NJ<br>070521424 | 31-1562134 | 501(C)(3) | 36,284 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| L2 DIAGNOSTICS LLC<br>PO BOX 8175<br>NEW HAVEN, CT<br>065300175 | 06-1509946 | | 104,952 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| LANKENAU INSTITUTE FOR MEDICAL RESEARCH<br>100 LANCASTER AVENUE<br>WYNNEWOOD,PA 19096 | 23-2175659 | 501(C)(3) | 20,336 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| LIBERTY COMMUNITY SERVICES<br>129 CHURCH STREET 2ND FLOOR<br>NEW HAVEN, CT 06510 | 22-2849124 | 501(C)(3) | 167,636 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| LOUISIANA STATE UNIVERSITY AND AGRICULTURAL & MECHANICAL COLLEGE 6400 PERKINS ROAD BATON ROUGE, LA 70808 | 72-6000848 | 501(C)(3) | 11,831 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| LOYOLA UNIVERSITY OF CHICAGO<br>2700 2160 FIRST AVENUE<br>MAYWOOD,IL 60153 | 36-1408475 | 501(C)(3) | 15,021 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| MACROCYCLICS INC<br>1309 RECORD CROSSING ROAD<br>DALLAS,TX 75235 | 75-2628442 | | 38,021 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| MARQUETTE UNIVERSITY<br>PO BOX 1881<br>MILWAUKEE, WI<br>532011881 | 39-0806251 | 501(C)(3) | 12,220 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| MASSACHUSETTS INSTITUTE OF TECHNOLOGY 77 MASSACHUSETTS AVENUE CAMBRIDGE, MA 021394307 | 04-2103594 | 501(C)(3) | 699,027 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| MAYO CLINIC<br>PO BOX 4008<br>ROCHESTER, MN<br>559034008 | 41-6011702 | 501(C)(3) | 8,307 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| MEMORIAL SLOAN-KETTERING CANCER CENTER<br>PO BOX 26338<br>NEW YORK, NY 10087 | 13-1924236 | 501(C)(3) | 130,504 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| METROHEALTH SYSTEM<br>PO BOX 73308<br>CLEVELAND,OH 44193 | 34-6004382 | CUYAHOGA COUNTY | 8,457 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| MINNEAPOLIS CLINIC OF NEUROLOGY LTD<br>3366 OAKDALE AVENUE NORTH SUITE 309<br>ROBBINSDALE, MN 55422 | 41-0999094 | | 27,257 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| MIRIAM HOSPITAL<br>ONE HOPPIN STREET<br>PROVIDENCE,RI<br>029034141 | 05-0258905 | 501(C)(3) | 9,326 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| NARROWS INSTITUTE FOR BIOMEDICAL RESEARCH<br>800 POLY PLACE<br>BROOKLYN,NY 11209 | 11-3059470 | 501(C)(3) | 131,422 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| NATIONAL JEWISH HEALTH<br>1400 JACKSON STREET<br>DENVER,CO 80206 | 74-2044647 | 501(C)(3) | 64,936 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| NEW HAVEN FAMILY ALLIANCE INC<br>370 JAMES STREET SUITE 201<br>NEW HAVEN,CT 06513 | 06-1324343 | 501(C)(3) | 301,629 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| NEW REACH<br>153 EAST STREET<br>NEW HAVEN,CT 06511 | 22-3037451 | 501(C)(3) | 69,140 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| NEW YORK MEDICAL COLLEGE<br>40 SUNSHINE COTTAGE ROAD<br>VALHALLA,NY 10595 | 13-1099420 | 501(C)(3) | 19,425 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| NEW YORK UNIVERSITY<br>105 E 17TH STREET<br>NEW YORK, NY 100039580 | 13-5562308 | 501(C)(3) | 502,097 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| NORTH CAROLINA STATE UNIVERSITY<br>CAMPUS BOX 7203<br>RALEIGH,NC 276957203 | 56-6000756 | STATE OF NC | 7,707 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| NORTHEAST IOWA MEDICAL EDUCATION FOUNDATION INC<br>2055 KIMBALL AVENUE<br>WATERLOO,IA 50702 | 42-1058745 | 501(C)(3) | 7,345 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| NORTHEASTERN UNIVERSITY<br>360 HUNTINGTON AVENUE<br>BOSTON, MA 02115 | 04-1679980 | 501(C)(3) | 14,999 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| NORTHERN CALIFORNIA INSTITUTE FOR RESEARCH AND EDUCATION INC<br>4150 CLEMENT STREET<br>151NC<br>SAN FRANCISCO,CA<br>94121 | 94-3084159 | 501(C)(3) | 88,624 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| NORTHWESTERN UNIVERSITY<br>633 CLARK ST RM G-547<br>EVANSTON,IL 602081110 | 36-2167817 | 501(C)(3) | 515,658 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| OAKLAND UNIVERSITY<br>529 WILSON HALL<br>ROCHESTER, MI<br>483094401 | 38-1714400 | STATE OF MI | 91,406 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| OCEAN STATE RESEARCH INSTITUTE<br>830 CHALKSTONE AVENUE 35<br>PROVIDENCE,RI 029084734 | 05-0440574 | 501(C)(3) | 105,815 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| OHIO STATE UNIVERSITY<br>1960 KENNY ROAD<br>COLUMBUS,OH 43210 | 31-6025986 | STATE OF OH | 28,985 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| OHIO STATE UNIVERSITY RESEARCH FOUNDATION 1960 KENNY ROAD 4TH FL COLUMBUS,OH 432101063 | 31-6401599 | 501(C)(3) | 10,424 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| OKLAHOMA MEDICAL RESEARCH FOUNDATION 825 NORTHEAST 13TH STREET OKLAHOMA CITY,OK 73104 | 73-0580274 | 501(C)(3) | 50,257 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| OREGON HEALTH & SCIENCE UNIVERSITY<br>2525 SW FIRST AVE STE 220<br>PORTLAND, OR 97201 | 93-1176109 | STATE OF OR | 234,801 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| OREGON STATE UNIVERSITY<br>PO BOX 1086<br>CORVALLIS,OR<br>973391086 | 48-1278540 | STATE OF OR | 87,649 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| PALO ALTO VETERANS INSTITUTE FOR RESEARCH<br>3801 MIRANDA AVENUE<br>PALO ALTO, CA<br>943040038 | 77-0207331 | 501(C)(3) | 18,116 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| PENNSYLVANIA STATE UNIVERSITY<br>500 UNIVERSITY DRIVE<br>HERSHEY, PA 17033 | 24-6000376 | 501(C)(3) | 36,557 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| PHARMASEQ INC<br>11 DEER PARK DRIVE<br>SUITE 104<br>MONMOUTH JUNCTION,NJ<br>08852 | 51-0439937 | | 60,044 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| PORIFERA INC<br>3502 BREAKWATER COURT<br>HAYWARD, CA 94545 | 26-2704998 | | 119,652 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| PRESIDENT AND FELLOWS OF HARVARD COLLEGE PO BOX 415649 BOSTON, MA 022415649 | 04-2103580 | 501(C)(3) | 2,035,582 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| PROFECTUS BIOSCIENCES INC<br>6411 BECKLEY STREET<br>SUITE 201<br>BALTIMORE, MD 21224 | 02-0579416 | | 392,914 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| PROVIDENCE MEDICAL RESEARCH CENTER<br>101 WEST 8TH AVENUE<br>SPOKANE, WA 992202555 | 91-1211963 | 501(C)(3) | 20,298 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| PURDUE UNIVERSITY<br>610 PURDUE MALL<br>WEST LAFAYETTE,IN<br>47907 | 35-6002041 | 501(C)(3) | 31,805 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| RAND CORPORATION<br>1776 MAIN STREET PO BOX 2138<br>SANTA MONICA,CA 90401 | 95-1958142 | 501(C)(3) | 98,266 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| RAYTHEON BBN TECHNOLOGIES CORP<br>PO BOX 4340<br>BOSTON, MA 022114340 | 41-2126829 | | 205,570 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| RECOVERY NETWORK OF PROGRAMS INC<br>2 RESEARCH DR<br>STRATFORD,CT 06615 | 06-0910080 | 501(C)(3) | 231,048 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| REGENTS OF THE UNIVERSITY OF CA - LAWRENCE BERKELEY NATIONAL LABORATORY 1 CYCLOTRON ROAD BERKELEY, CA 94704 | 94-2951741 | 501(C)(3) | 118,410 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT BERKELEY 2195 HEARST AVENUE BERKELEY,CA 947201103 | 94-6002123 | 501(C)(3) | 17,946 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT IRVINE 1400 BIOLOGICAL SCIENCES 3 IRVINE,CA 926971050 | 95-2226406 | 501(C)(3) | 176,852 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| REGENTS OF THE UNIVERSITY OF CALIFORNIA AT SAN DIEGO<br>9500 GILMAN DRIVE<br>LA JOLLA, CA 920930949 | 95-6006144 | 501(C)(3) | 369,626 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| REGENTS OF THE UNIVERSITY OF CALIFORNIA LOS ANGELES 10920 WILSHIRE BLVD LOS ANGELES,CA 90024 | 95-6006143 | 501(C)(3) | 1,694,665 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| REGENTS OF THE UNIVERSITY OF CALIFORNIA DAVIS 4860 Y ST STE 3700 SACRAMENTO,CA 95817 | 94-6036494 | 501(C)(3) | 22,419 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| REGENTS OF THE UNIVERSITY OF CALIFORNIA SAN FRANCISCO<br>PO BOX 0812<br>SAN FRANCISCO,CA 941430812 | 94-6036493 | 501(C)(3) | 58,135 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| REGENTS OF THE UNIVERSITY OF COLORADO<br>12700 E 19TH AVENUE<br>AURORA,CO 80045 | 84-6000555 | STATE OF CO | 788,413 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| REGENTS OF THE UNIVERSITY OF MICHIGAN 3003 S SOUTH ST ANN ARBOR, MI 481091273 | 38-6006309 | 501(C)(3) | 454,358 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| REGENTS OF THE UNIVERSITY OF MINNESOTA<br>PO BOX 1450<br>MINNEAPOLIS, MN 554855957 | 41-6007513 | 501(C)(3) | 477,054 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| RESEARCH FOUNDATION FOR THE STATE UNIVERSITY OF NEW YORK<br>35 STATE STREET<br>ALBANY,NY 122072826 | 14-1368361 | 501(C)(3) | 52,194 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| RTI INTERNATIONAL<br>PO BOX 12194<br>RESEARCH TRIANGLE PARK, NC 27709 | 56-0686338 | 501(C)(3) | 9,966 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| RHODE ISLAND HOSPITAL<br>593 EDDY STREET<br>PROVIDENCE,RI 02903 | 05-0258954 | 501(C)(3) | 192,231 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| ROCKEFELLER UNIVERSITY<br>1230 YORK AVENUE<br>NEW YORK, NY 100216399 | 13-1624158 | 501(C)(3) | 263,874 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| RUSH UNIVERSITY MEDICAL CENTER<br>1725 WEST HARRISON STREET<br>CHICAGO,IL 60612 | 36-2174823 | 501(C)(3) | 12,562 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| RUTGERS UNIVERSITY<br>3 RUTGERS PLAZA 2ND FLR<br>NEW BRUNSWICK, NJ<br>08901 | 22-6001086 | 501(C)(3) | 361,771 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| SACRED HEART UNIVERSITY INCORPORATED<br>5151 PARK AVENUE<br>FAIRFIELD,CT 068251000 | 06-0776644 | 501(C)(3) | 19,872 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| SAINT FRANCIS MEDICAL CENTER<br>530 NE GLEN OAK AVENUE<br>PEORIA,IL 61637 | 37-0662569 | 501(C)(3) | 52,984 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| SANFORD-BURNHAM MEDICAL RESEARCH INSTITUTE<br>10901 NORTH TORREY PINES ROAD<br>LA JOLLA, CA 92037 | 51-0197108 | 501(C)(3) | 672,205 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| SEATTLE BIOMEDICAL RESEARCH INSTITUTE<br>307 WESTLAKE AVENUE<br>SUITE 500<br>SEATTLE, WA 981095219 | 91-0961784 | 501(C)(3) | 776,302 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| SEATTLE CHILDREN'S HOSPITAL<br>PO BOX 24728<br>SEATTLE, WA 981240728 | 91-0564748 | 501(C)(3) | 506,597 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| SENTARA MEDICAL GROUP<br>835 GLENROCK ROAD<br>NORFOLK,VA 23502 | 54-1217184 | 501(C)(3) | 12,587 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| SKIDMORE COLLEGE<br>815 NORTH BROADWAY<br>SARATOGA SPRINGS, NY<br>12866 | 14-1338562 | 501(C)(3) | 22,280 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| SMART POWER INC<br>1120 CONNECTICUT AVE NW STE 1040<br>WASHINGTON, DC 20036 | 03-0375454 | 501(C)(3) | 524,869 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| SMITHSONIAN INSTITUTION<br>PO BOX 37012<br>WASHINGTON, DC<br>200137012 | 53-0206027 | 501(C)(3) | 221,905 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| SOCIAL SCIENCE RESEARCH COUNCIL<br>ONE PIERREPONT PLAZA<br>BROOKLYN,NY 11201 | 13-1325070 | 501(C)(3) | 206,863 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| SOUTH CAROLINA RESEARCH FOUNDATION 901 SUMTER STREET 5TH FL COLUMBIA,SC 29208 | 57-0967350 | 501(C)(3) | 85,553 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| SOUTHERN CONNECTICUT STATE UNIVERSITY<br>501 CRESCENT STREET<br>NEW HAVEN, CT<br>065151355 | 06-1363115 | STATE OF CT | 398,044 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| SOUTHTOWN NEUROLOGY OF WNY PC<br>3775 SENECA STREET<br>WEST SENECA,NY 14224 | 16-1556670 | | 5,267 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| SRI INTERNATIONAL<br>PO BOX 2767<br>MENLO PARK, CA<br>940252767 | 94-1160950 | 501(C)(3) | 150,648 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| STANFORD UNIVERSITY<br>651 SERRA STREET RM 260<br>STANFORD,CA<br>943054125 | 94-1156365 | 501(C)(3) | 155,931 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| STATE OF CONNECTICUT<br>24 WOLCOTT HILL ROAD<br>WETHERSFIELD,CT<br>061091152 | 06-6000798 | STATE OF CT | 150,950 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| STOWERS INSTITUTE FOR MEDICAL RESEARCH<br>PO BOX 412411<br>KANSAS CITY, MO<br>641412411 | 20-2993509 | 501(C)(3) | 308,129 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| TANNER RESEARCH INC<br>825 SOUTH MYRTLE AVENUE<br>MONROVIA,CA 91016 | 95-4163780 | | 12,535 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| TEMPLE UNIVERSITY<br>3401 N BROAD ST 1007<br>JONES HALL<br>PHILADELPHIA, PA 19140 | 23-1365971 | 501(C)(3) | 26,023 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| TEXAS BIOMEDICAL RESEARCH INSTITUTE<br>PO BOX 760549<br>SAN ANTONIO,TX 78245 | 74-1109630 | 501(C)(3) | 483,338 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE BOARD OF TRUSTEE OF THE UNIVERSITY OF ILLINOIS<br>912 S WOOD STREET<br>CHICAGO,IL 60612 | 37-6000511 | 501(C)(3) | 305,897 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE BROAD INSTITUTE INC<br>7 CAMBRIDGE CENTER<br>CAMBRIDGE, MA 02142 | 26-3428781 | 501(C)(3) | 218,357 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE BRONX VETERANS MEDICAL RESEARCH FOUNDATION INC<br>130 WEST KINGSBRIDGE ROAD<br>BRONX,NY 10468 | 13-3699250 | 501(C)(3) | 37,607 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE CHILDREN'S COMMUNITY PROGRAMS OF CT INC<br>446-A BLAKE STREET<br>NEW HAVEN,CT 06515 | 06-1579606 | 501(C)(3) | 57,258 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE CLEVELAND CLINIC FOUNDATION<br>PO BOX 931562<br>CLEVELAND,OH<br>441935012 | 34-0714585 | 501(C)(3) | 45,156 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE COLLEGE OF NEW JERSEY<br>PO BOX 7718 ASB 201<br>EWING, NJ 086280718 | 22-2797398 | STATE OF NJ | 19,699 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN 70 AUDUBON ST NEW HAVEN,CT 06510 | 06-6032106 | 501(C)(3) | 22,400 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE CONSULTATION CENTER INC<br>389 WHITNEY AVENUE<br>NEW HAVEN, CT 06511 | 06-0923986 | 501(C)(3) | 13,110 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE FEINSTEIN INSTITUTE FOR MEDICAL RESEARCH 350 COMMUNITY DRIVE MANHASSET,NY 11030 | 11-2673595 | 501(C)(3) | 224,900 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE GENERAL HOSPITAL CORPORATION<br>50 STANIFORD STREET<br>SUITE 1001<br>BOSTON, MA 02114 | 04-2697983 | 501(C)(3) | 443,914 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE HEBREW HOME FOR THE AGED AT RIVERDALE 5901 PALISADE AVENUE BRONX,NY 10471 | 13-1739971 | 501(C)(3) | 42,145 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE HOWARD UNIVERSITY<br>525 BRYANT STREET NW<br>SUITE 100<br>WASHINGTON, DC 20059 | 53-0204707 | 501(C)(3) | 154,091 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE INGALLS MEMORIAL HOSPITAL<br>ONE INGALLS DRIVE<br>HARVEY,IL 60426 | 36-2170866 | 501(C)(3) | 19,957 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE JOHN B PIERCE LABORATORY INC<br>290 CONGRESS AVENUE<br>NEW HAVEN, CT<br>065191403 | 06-0646780 | 501(C)(3) | 136,355 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE METHODIST HOSPITAL RESEARCH INSTITUTE<br>6565 FANNIN SUITE 802<br>HOUSTON,TX 77030 | 87-0721923 | 501(C)(3) | 16,157 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE MIND RESEARCH NETWORK<br>1101 YALE BLVD NE<br>ALBUQUERQUE,NM 87106 | 85-0457562 | 501(C)(3) | 7,811 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE NEBRASKA MEDICAL CENTER<br>985100 NEBRASKA MEDICAL CENTER<br>OMAHA,NE 681985100 | 91-1858433 | 501(C)(3) | 28,706 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE NEW YORK METHODIST HOSPITAL 263 7TH AVENUE BROOKLYN,NY 11215 | 11-1631796 | 501(C)(3) | 6,102 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE TRUSTEES OF TRINITY COLLEGE 300 SUMMIT STREET HARTFORD,CT 06106 | 06-0646927 | 501(C)(3) | 46,037 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE UNIVERSITY OF TEXAS SOUTHWESTERN MEDICAL CENTER<br>5323 HARRY HINES BLVD<br>DALLAS,TX 753909020 | 75-6002868 | STATE OF TX | 33,976 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE URBAN INSTITUTE<br>2100 M STREET NW<br>WASHINGTON, DC 20037 | 52-0880375 | 501(C)(3) | 14,789 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| TRUSTEES OF THE UNIVERSITY OF PENNSYLVANIA 3451 WALNUT STREET PHILADELPHIA, PA 191046205 | 23-1352685 | 501(C)(3) | 590,878 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| TUFTS MEDICAL CENTER PARENT INC<br>800 WASHINGTON STREET<br>BOX 453<br>BOSTON, MA 02111 | 04-2810022 | 501(C)(3) | 51,011 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| TUFTS UNIVERSITY<br>169 HOLLAND STREET<br>SOMERVILLE,MA 02144 | 04-2103634 | 501(C)(3) | 81,220 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| TULANE UNIVERSITY<br>800 EAST COMMERCE ROAD SUITE 203<br>HARAHAN,LA 70123 | 72-0423889 | 501(C)(3) | 13,652 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNITED STATES DEPARTMENT OF AGRICULTURE PO BOX 979099 ST LOUIS,MO 63179 | 93-0386945 | U S GOVERNMENT | 16,928 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF ALABAMA AT BIRMINGHAM<br>1530 3RD AVENUE SOUTH<br>BIRMINGHAM,AL<br>352940109 | 63-6005396 | 501(C)(3) | 69,869 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF ARIZONA<br>1303 E UNIVERSITY BLVD<br>BOX 3<br>TUCSON, AZ 857190521 | 86-6004791 | STATE OF AZ | 180,279 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF ARKANSAS FOR MEDICAL SCIENCES<br>4301 W MARKHAM STREET<br>LITTLE ROCK,AR 72205 | 71-6046242 | STATE OF AR | 46,978 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF CHICAGO<br>6054 SOUTH DREXEL AVENUE<br>CHICAGO,IL 60637 | 36-2177139 | 501(C)(3) | 81,615 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF CINCINNATI<br>PO BOX 691031<br>CINCINNATI,OH<br>452691031 | 31-6000989 | 501(C)(3) | 112,941 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF CONNECTICUT<br>343 MANSFIELD ROAD<br>STORRS,CT 062692100 | 06-0772160 | STATE OF CT | 503,076 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF CONNECTICUT HEALTH CENTER<br>263 FARMINGTON AVENUE<br>FARMINGTON, CT<br>060305335 | 52-1725543 | STATE OF CT | 736,740 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF DENVER<br>PO BOX 911811<br>DENVER,CO 802911811 | 84-0404231 | 501(C)(3) | 117,773 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF FLORIDA<br>123 GRINTER HALL PO BOX 113001<br>GAINESVILLE, FL 32611 | 59-6002052 | 501(C)(3) | 99,681 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF GEORGIA RESEARCH FOUNDATION 279 WILLIAMS STREET ATHENS, GA 306025333 | 58-1353149 | 501(C)(3) | 23,534 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF HAWAII<br>2530 DOLE STREET<br>HONOLULU, HI<br>968222303 | 99-6000354 | STATE OF HI | 66,461 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF IOWA<br>105 JESSUP HALL<br>IOWA CITY, IA 52242 | 42-6004813 | STATE OF IA | 302,811 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF KENTUCKY RESEARCH FOUNDATION 301 PETERSON SERVICES BUILDING LEXINGTON, KY 405060005 | 61-6033693 | 501(C)(3) | 18,183 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF MAINE<br>5717 CORBETT HALL<br>ORONO,ME 044695717 | 01-6000769 | 501(C)(3) | 49,852 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF MARYLAND<br>3112 LEE BUILDING<br>COLLEGE PARK, MD<br>207425141 | 52-6002033 | STATE OF MD | 77,427 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF MARYLAND MEDICAL SYSTEM CORP<br>220 ARCH STREET<br>BALTIMORE,MD<br>212011531 | 52-1362793 | 501(C)(3) | 44,024 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF MASSACHUSETTS<br>405 GOODELL BUILDING<br>140 HICKS WAY<br>AMHERST,MA 010039272 | 04-3167352 | STATE OF MA | 459,506 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF MIAMI<br>PO BOX 248106<br>CORAL GABLES, FL<br>331242912 | 59-0624458 | 501(C)(3) | 80,329 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF NEW MEXICO<br>1 UNIVERSITY OF NEW MEXICO<br>ALBUQUERQUE,NM<br>871310001 | 85-6000642 | 501(C)(3) | 15,120 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL<br>103 SOUTH BUILDING<br>CHAPEL HILL,NC 27599 | 56-6001393 | 501(C)(3) | 105,157 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF NOTRE DAME<br>836A GRACE HALL<br>NOTRE DAME, IN<br>465565612 | 35-0868188 | 501(C)(3) | 43,874 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF PITTSBURGH<br>116 ATWOOD STREET<br>SUITE 201<br>PITTSBURGH, PA 15260 | 25-0965591 | 501(C)(3) | 345,317 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF PUERTO RICO<br>PO BOX 365067<br>SAN JUAN,PR 009365067 | 66-0433776 | PUERTO RICO | 276,272 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF RHODE ISLAND<br>70 LOWER COLLEGE ROAD<br>KINGSTON, RI 028810811 | 05-6000522 | STATE OF RI | 314,329 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF ROCHESTER<br>601 ELMWOOD AVE BOX 673<br>ROCHESTER, NY 146428670 | 16-0743209 | 501(C)(3) | 205,057 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF SOUTH CAROLINA<br>1600 HAMPTON STREET<br>SUITE 613<br>COLUMBIA,SC 29208 | 57-6001153 | STATE OF SC | 11,624 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF SOUTHERN CALIFORNIA<br>1540 ALCAZAR STREET<br>SUITE 216<br>LOS ANGELES,CA 90033 | 95-1642394 | 501(C)(3) | 218,531 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF TEXAS<br>PO BOX 4390<br>HOUSTON,TX 772104390 | 74-6000203 | STATE OF TX | 483,400 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF TEXAS HEALTH SCIENCE CENTER AT SAN ANTONIO 7703 FLOYD CURL DRIVE SAN ANTONIO,TX 782293900 | 74-1586031 | STATE OF TX | 569,540 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF TEXAS MEDICAL BRANCH 301 UNIVERSITY BLVD GALVESTON,TX 77555 | 74-6000949 | STATE OF TX | 33,318 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF THE VIRGIN ISLANDS<br>2 JOHN BREWERS BAY<br>ST THOMAS, VI<br>008029990 | 66-0432514 | 501(C)(3) | 115,241 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF TOLEDO<br>3000 ARLINGTON AVENUE<br>TOLEDO,OH 436142998 | 34-6401483 | STATE OF OH | 7,402 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF UTAH<br>201 S PRESIDENTS CIR RM 406<br>SALT LAKE CITY, UT<br>841129020 | 87-6000525 | 501(C)(3) | 20,759 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF VERMONT AND STATE AGRICULTURAL COLLEGE 85 SO PROSPECT ST BURLINGTON,VT 05401 | 03-0179440 | 501(C)(3) | 1,059,639 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF VIRGINIA<br>PO BOX 400195<br>CHARLOTTESVILLE,VA<br>229044195 | 54-6001796 | 501(C)(3) | 184,591 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF WASHINGTON<br>3917 UNIVERSITY WAY N E<br>SEATTLE, WA 981056692 | 91-6001537 | STATE OF WA | 569,354 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNIVERSITY OF WISCONSIN SYSTEM<br>21 N PARK ST SUITE 6401<br>MILWAUKEE, WI<br>537151218 | 39-1805963 | STATE OF WI | 210,550 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| US CIVILIAN RESEARCH AND DEVELOPMENT FOUNDATION<br>1776 WILSON BLVD 3RD FLOOR<br>ARLINGTON,VA 22209 | 54-1773406 | 501(C)(3) | 213,185 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| VANDERBILT UNIVERSITY<br>3319 WEST END AVENUE<br>NASHVILLE,TN<br>372036840 | 62-0476822 | 501(C)(3) | 175,225 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| VETERANS BIO-MEDICAL RESEARCH INSTITUTE INC<br>385 TREMONT AVENUE<br>EAST ORANGE, NJ<br>070181095 | 22-2995785 | 501(C)(3) | 37,067 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| VETERANS MEDICAL RESEARCH FOUNDATION OF SAN DIEGO<br>3350 LA JOLLA VILLAGE DR 151A<br>SAN DIEGO, CA<br>921610001 | 33-0189397 | 501(C)(3) | 215,887 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| VIA CHRISTI HOSPITALS WICHITA INC<br>1100 N ST FRANCIS 300<br>WICHITA,KS 67214 | 48-1172106 | 501(C)(3) | 29,169 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| VIRGINIA COMMONWEALTH UNIVERSITY<br>PO BOX 843039<br>RICHMOND,VA<br>232843039 | 54-6001758 | STATE OF VA | 9,043 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| WASHINGTON UNIVERSITY<br>660 S EUCLID AVE<br>CAMPUS BOX 8111<br>ST LOUIS,MO 63110 | 43-0653611 | 501(C)(3) | 97,814 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| WATERBURY HOSPITAL<br>140 GRANDVIEW AVENUE<br>SUITE L-02<br>WATERBURY, CT 06708 | 06-0665979 | 501(C)(3) | 11,173 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| WAYNE STATE UNIVERSITY<br>PO BOX 02788<br>DETROIT,MI 48202 | 38-6028429 | 501(C)(3) | 191,258 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| WEILL MEDICAL COLLEGE OF CORNELL UNIVERSITY 575 LEXINGTON AVENUE 9TH FLOOR NEW YORK, NY 10022 | 13-1623978 | 501(C)(3) | 137,882 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| YALE NEW HAVEN HEALTH SERVICES CORP<br>1 CHURCH STREET 5TH FLOOR<br>NEW HAVEN, CT 06510 | 22-2529464 | 501(C)(3) | 46,174 | | | | SUBAWARD - SPONSORED RESEARCH |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| ABILIS COMMUNITY FOUNDATION INC<br>50 GLENVILLE STREET<br>GREENWICH, CT 06831 | 06-1331293 | 501(C)(3) | 125,000 | | | | DAF DISTRIBUTIONS |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| DOCTORS WITHOUT BORDERS<br>333 SEVENTH AVENUE NO 2ND FL<br>NEW YORK, NY 100015004 | 13-3433452 | 501(C)(3) | 10,000 | | | | DAF DISTRIBUTIONS |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| DONORS TRUST INC<br>109 NORTH HENRY STREET<br>ALEXANDRIA,VA 22314 | 52-2166327 | 501(C)(3) | 200,000 | | | | DAF DISTRIBUTIONS |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| DOROT INC<br>171 WEST 85TH STREET<br>NEW YORK, NY 10024 | 13-3264005 | 501(C)(3) | 50,000 | | | | DAF DISTRIBUTIONS |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| WICHITA STATE UNIVERSITY FOUNDATION 1845 FAIRMOUNT CAMPUS WICHITA,KS 672600002 | 48-6121167 | 501(C)(3) | 75,000 | | | | DAF DISTRIBUTIONS |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| AMISTAD ACADEMY INC<br>403 JAMES STREET<br>NEW HAVEN,CT 06513 | 06-1546695 | 501(C)(3) | 100,000 | | | | CHARITABLE CONTRIBUTION |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CLIFFORD W BEERS GUIDANCE CLINIC INC 93 EDWARDS STREET NEW HAVEN, CT 06511 | 06-0646757 | 501(C)(3) | 33,186 | | | | CHARITABLE CONTRIBUTION |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| COMMON GROUND HIGH SCHOOL<br>358 SPRINGSIDE AVENUE<br>NEW HAVEN,CT 06515 | 22-3171185 | 501(C)(3) | 7,500 | | | | CHARITABLE CONTRIBUTION |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CONNECTICUT ASSOCIATION FOR THE PERFORMING ARTS<br>55 E STATE STREET<br>COLUMBUS,OH 43215 | 06-1625278 | 501(C)(3) | 125,000 | | | | CHARITABLE CONTRIBUTION |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| CONNECTICUT WOMEN'S HALL OF FAME INC<br>320 FITCH STREET<br>NEW HAVEN, CT 06515 | 06-1492895 | 501(C)(3) | 10,000 | | | | CHARITABLE CONTRIBUTION |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| DWIGHT HALL AT YALE<br>67 HIGH STREET<br>NEW HAVEN,CT 06510 | 06-0653140 | 501(C)(3) | 40,531 | | | | CHARITABLE CONTRIBUTION |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| EASTER SEALS GOODWILL INDUSTRIES REHABILITATION CENTER INC<br>432 WASHINGTON AVENUE<br>NORTH HAVEN, CT 06473 | 23-7431264 | 501(C)(3) | 20,000 | | | | CHARITABLE CONTRIBUTION |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| MARKET NEW HAVEN INC<br>900 CHAPEL STREET<br>NEW HAVEN, CT 06510 | 06-1578847 | 501(C)(6) | 250,000 | | | | CONTRIBUTION |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| NEIGHBORHOOD HOUSING SERVICES OF NEW HAVEN INC<br>333 SHERMAN AVENUE<br>NEW HAVEN,CT 06511 | 06-1021268 | 501(C)(3) | 24,000 | | | | CHARITABLE CONTRIBUTION |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| NEW HAVEN INTERNATIONAL FESTIVAL OF ARTS AND IDEAS INC<br>195 CHURCH STREET 12TH FLOOR<br>NEW HAVEN,CT 06510 | 06-1444222 | 501(C)(3) | 102,000 | | | | CHARITABLE CONTRIBUTION |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| NEW HAVEN YOUTH TENNIS AND EDUCATION 80 SEAVIEW TERRACE GUILFORD, CT 06437 | 27-0772846 | 501(C)(3) | 25,000 | | | | CHARITABLE CONTRIBUTION |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| SCIENCE PARK DEVELOPMENT CORPORATION 25 SCIENCE PARK NEW HAVEN,CT 06511 | 06-1062368 | 501(C)(3) | 30,000 | | | | CHARITABLE CONTRIBUTION |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| TEACH FOR AMERICA INC<br>315 WEST 36TH STREET<br>8TH FLOOR<br>NEW YORK, NY 10018 | 13-3541913 | 501(C)(3) | 100,000 | | | | CHARITABLE CONTRIBUTION |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| UNITED WAY OF GREATER NEW HAVEN INC<br>370 JAMES STREET<br>NEW HAVEN, CT 06513 | 06-0646761 | 501(C)(3) | 100,000 | | | | CHARITABLE CONTRIBUTION |

**Form 990,Schedule I, Part II, Grants and Other Assistance to Governments and Organizations in the United States**

| **(a)** Name and address of organization or government | **(b)** EIN | **(c)** IRC Code section if applicable | **(d)** Amount of cash grant | **(e)** Amount of non-cash assistance | **(f)** Method of valuation (book, FMV, appraisal, other) | **(g)** Description of non-cash assistance | **(h)** Purpose of grant or assistance |
|---|---|---|---|---|---|---|---|
| THE COMMUNITY FOUNDATION FOR GREATER NEW HAVEN 70 AUDUBON STREET NEW HAVEN,CT 06510 | 06-6032106 | 501(C)(3) | 643,719 | | | | SCHOLARSHIPS |

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493135062435

**Schedule J** (Form 990)

Department of the Treasury
Internal Revenue Service

# Compensation Information

**For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees**

**▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.**
**▶ Attach to Form 990. ▶ See separate instructions.**
**▶ Information about Schedule J (Form 990) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

**2013**

**Open to Public Inspection**

Name of the organization
YALE UNIVERSITY

**Employer identification number**
06-0646973

## Part I Questions Regarding Compensation

| | | | Yes | No |
|---|---|---|---|---|
| **1a** | Check the appropiate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items<br>☑ First-class or charter travel ☑ Housing allowance or residence for personal use<br>☑ Travel for companions ☐ Payments for business use of personal residence<br>☑ Tax idemnification and gross-up payments ☑ Health or social club dues or initiation fees<br>☐ Discretionary spending account ☑ Personal services (e g , maid, chauffeur, chef) | | | |
| **b** | If any of the boxes in line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain | **1b** | Yes | |
| **2** | Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, officers, including the CEO/Executive Director, regarding the items checked in line 1a? | **2** | Yes | |
| **3** | Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director Check all that apply Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III<br>☑ Compensation committee ☐ Written employment contract<br>☑ Independent compensation consultant ☑ Compensation survey or study<br>☑ Form 990 of other organizations ☑ Approval by the board or compensation committee | | | |
| **4** | During the year, did any person listed in Form 990, Part VII, Section A, line 1a with respect to the filing organization or a related organization | | | |
| **a** | Receive a severance payment or change-of-control payment? | **4a** | | No |
| **b** | Participate in, or receive payment from, a supplemental nonqualified retirement plan? | **4b** | Yes | |
| **c** | Participate in, or receive payment from, an equity-based compensation arrangement? | **4c** | | No |
| | If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III | | | |
| | **Only 501(c)(3) and 501(c)(4) organizations only must complete lines 5-9.** | | | |
| **5** | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of | | | |
| **a** | The organization? | **5a** | | No |
| **b** | Any related organization? | **5b** | | No |
| | If "Yes," to line 5a or 5b, describe in Part III | | | |
| **6** | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of | | | |
| **a** | The organization? | **6a** | | No |
| **b** | Any related organization? | **6b** | | No |
| | If "Yes," to line 6a or 6b, describe in Part III | | | |
| **7** | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III | **7** | Yes | |
| **8** | Were any amounts reported in Form 990, Part VII, paid or accured pursuant to a contract that was subject to the initial contract exception described in Regulations section 53 4958-4(a)(3)? If "Yes," describe in Part III | **8** | Yes | |
| **9** | If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53 4958-6(c)? | **9** | Yes | |

**Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.** Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

**Note.** The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

| **(A)** Name and Title | **(B)** Breakdown of W-2 and/or 1099-MISC compensation | | | **(C)** Retirement and other deferred compensation | **(D)** Nontaxable benefits | **(E)** Total of columns (B)(i)-(D) | **(F)** Compensation reported as deferred in prior Form 990 |
|---|---|---|---|---|---|---|---|
| | **(i)** Base compensation | **(ii)** Bonus & incentive compensation | **(iii)** Other reportable compensation | | | | |
| See Additional Data Table | | | | | | | |

## Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II
Also complete this part for any additional information

| Return Reference | Explanation |
|---|---|
| PART I, LINE 1A | EACH ONE OF THE BENEFITS THAT WAS CHECKED WAS PROVIDED PURSUANT TO A WRITTEN POLICY FIRST-CLASS OR CHARTER TRAVEL ON OCCASION DURING THE CALENDAR YEAR 2013, THE UNIVERSITY CHARTERED PRIVATE AIRCRAFT WHEN DEEMED NECESSARY FOR THE CONDUCT OF UNIVERSITY BUSINESS, PURSUANT TO A CORPORATION POLICY FOUR OFFICERS TRAVELLED ON SUCH FLIGHTS IN 2013 IN ACCORDANCE WITH THE POLICY IN ADDITION, ONE KEY EMPLOYEE TRAVELLED ON A FIRST CLASS FLIGHT DURING 2013 DUE TO SPECIAL CIRCUMSTANCES AND AS PERMITTED PURSUANT TO UNIVERSITY POLICY UNDER APPLICABLE RULES, AMOUNTS FOR SUCH TRAVEL WERE NOT REPORTED AS TAXABLE COMPENSATION TO THE LISTED INDIVIDUALS TRAVEL FOR COMPANIONS THE SPOUSES OF TWO OFFICERS ON OCCASION ACCOMPANIED THEM ON UNIVERSITY BUSINESS THE PRIMARY PURPOSE OF THE TRAVEL WAS TO CONDUCT UNIVERSITY BUSINESS BY REPRESENTING THE UNIVERSITY AT OFFICIAL FUNCTIONS UNDER APPLICABLE RULES, THE COST OF TRAVEL WAS NOT REQUIRED TO BE REPORTED AS TAXABLE COMPENSATION TO THE LISTED INDIVIDUALS TAX INDEMNIFICATION AND GROSS-UP PAYMENTS FOUR OFFICERS RECEIVED TAX GROSS-UP PAYMENTS DURING THE CALENDAR YEAR 2013 FOR CERTAIN LIMITED TAXABLE BENEFITS BASED ON THEIR ESTIMATED RELATED TAX OBLIGATIONS THESE PAYMENTS WERE TREATED AS TAXABLE COMPENSATION TO THE LISTED INDIVIDUALS HOUSING ALLOWANCE OR RESIDENCE FOR PERSONAL USE TWO OFFICERS WERE PROVIDED UNIVERSITY RESIDENCES FOR PERSONAL USE DURING THE CALENDAR YEAR 2013 THE VALUES OF THE RESIDENCES WERE DETERMINED PURSUANT TO IRC SECTION 119(D), AS REQUIRED, AND TREATED AS TAXABLE COMPENSATION IN ADDITION, ONE OFFICER RECEIVED A HOUSING ALLOWANCE WHICH WAS TREATED AS TAXABLE COMPENSATION TO THE INDIVIDUAL DURING CALENDAR YEAR 2013 HEALTH OR SOCIAL CLUB DUES OR INITIATION FEES THE UNIVERSITY PAID ANNUAL CLUB DUES FOR ONE OFFICER THE AMOUNT WAS TREATED AS TAXABLE COMPENSATION PERSONAL SERVICES IN CONNECTION WITH UNIVERSITY BUSINESS ACTIVITY, ONE OFFICER RECEIVED SUPPORT FROM A SERVICE ASSISTANT, THE COST OF PERSONAL SERVICES RENDERED BY THAT ASSISTANT WAS TREATED AS TAXABLE COMPENSATION |
| PART I, LINE 4B | CERTAIN LISTED PERSONS PARTICIPATED IN SUPPLEMENTAL NONQUALIFIED RETIREMENT PLANS DURING THE CALENDAR YEAR 2013 AS DESCRIBED BELOW THE UNIVERSITY PREVIOUSLY AGREED TO SUPPLEMENT THE FORMER PRESIDENT'S RETIREMENT INCOME BY PROVIDING AN ADDITIONAL RETIREMENT BENEFIT EQUAL IN VALUE TO 75% OF HIS FINAL SALARY AS PRESIDENT FOR A POST-RETIREMENT TERM OF 20 YEARS MINUS THE ANNUITY VALUE OF OTHER EMPLOYER-PROVIDED RETIREMENT BENEFITS, SUBJECT TO VESTING CONDITIONS BASED ON CONTINUING SERVICE TO THE UNIVERSITY THIS ADDITIONAL BENEFIT WAS VESTED AND PAID AS A LUMP SUM IN 2013 AND IS REFLECTED IN SCHEDULE J, PART II, COLUMN (B)(III) PRESIDENT LEVIN STEPPED DOWN ON JUNE 30, 2013 AFTER 20 YEARS OF SERVICE AS PRESIDENT OF THE UNIVERSITY THE UNIVERSITY PREVIOUSLY AGREED TO SUPPLEMENT THE RETIREMENT INCOME FOR TWO KEY EMPLOYEES IN THE INVESTMENTS OFFICE BY PROVIDING AN ADDITIONAL RETIREMENT BENEFIT EQUAL IN VALUE TO A PERCENTAGE OF SALARY AT VESTING, FOR A TERM OF 20 YEARS, SUBJECT TO VESTING CONDITIONS BASED ON CONTINUING SERVICE TO THE UNIVERSITY AN ANNUAL ACCRUAL FOR THE ADDITIONAL RETIREMENT BENEFITS IS INCLUDED IN SCHEDULE J, PART II, COLUMN (C) IN ADDITION, THE UNIVERSITY AWARDED BONUSES TO THE TWO PREVIOUSLY MENTIONED EMPLOYEES IN THE INVESTMENTS OFFICE THAT WERE TAXED IN 2013 AND SUBJECT TO DEFERRED PAYMENT WITH ADJUSTMENTS UPWARD OR DOWNWARD DEPENDING ON PERFORMANCE OF THE ENDOWMENT THESE BONUSES FOR 2013 ARE INCLUDED IN SCHEDULE J, PART II, COLUMN B(III) SCHEDULE J, PART I, LINE 7 THE UNIVERSITY PAID TWO KEY EMPLOYEES IN THE INVESTMENTS OFFICE BONUSES AWARDED UNDER A LONG TERM INCENTIVE COMPENSATION PLAN WHICH PROVIDES FOR PAYMENTS WHICH ARE BASED ON QUALITATIVE AND QUANTITATIVE PERFORMANCE FACTORS THE PAYMENTS ARE REPORTED ON SCHEDULE J, PART II, COLUMN (B)(II) THE UNIVERSITY PAID A HIGHLY COMPENSATED EMPLOYEE A BONUS UNDER A LONG TERM INCENTIVE COMPENSATION PLAN WHICH PROVIDES FOR DEFERRED VESTING AND FOR PAYMENT AS DESCRIBED IN THE NOTE TO SCHEDULE J, PART II, COLUMN (C) BELOW THE CURRENT YEAR PAYMENT THAT WAS AWARDED IN PREVIOUS YEARS IS REPORTED ON SCHEDULE J, PART II, COLUMN (B)(II) THE AMOUNT AWARDED IN THE CURRENT YEAR BUT SUBJECT TO DEFERRED VESTING IS INCLUDED AS DEFERRED COMPENSATION ON SCHEDULE J, PART II, COLUMN (C) THE UNIVERSITY ALSO PAID FOUR HIGHLY COMPENSATED EMPLOYEES ADDITIONAL COMPENSATION PURSUANT TO CLINICAL INCENTIVE PLANS THE PAYMENTS ARE REPORTED ON SCHEDULE J, PART II, COLUMN (B)(II) SCHEDULE J, PART I, LINE 8 THERE WAS ONE KEY EMPLOYEE WITH RESPECT TO WHOM AMOUNTS WERE REPORTED IN PART VII WHERE THOSE AMOUNTS WERE PAID OR ACCRUED PURSUANT TO A CONTRACT THAT WAS SUBJECT TO THE INITIAL CONTRACT EXCEPTION DESCRIBED IN REGS SECTION 53 4958-4(A)(3) THE COMPENSATION WAS ALSO APPROVED PURSUANT TO PROCESSES CONSISTENT WITH THE REBUTTABLE PRESUMPTION PROCEDURE DESCRIBED IN REGS SECTION 53 4958-6(C) |
| SCHEDULE J, PART II (GENERAL NOTES) | COLUMN (C) FOR A HIGHLY COMPENSATED EMPLOYEE LISTED IN SCHEDULE J, THE AMOUNT REPORTED IN COLUMN (C) INCLUDES AMOUNTS SUBJECT TO VESTING, CONTINGENT ON THE PERFORMANCE OF FUTURE SERVICES AND MAY CHANGE UPWARD OR DOWNWARD DEPENDING ON PERFORMANCE OF THE ENDOWMENT PRIOR TO VESTING AND PAYMENT THE FINAL AMOUNT WILL BE REPORTED IN COLUMN (B)(II) OF SCHEDULE J, FORM 990, FOR THE YEAR IN WHICH THE COMPENSATION IS PAID COLUMN (D) IN ADDITION TO THE EMPLOYER COST OF HEALTH, DENTAL AND OTHER NONTAXABLE BENEFITS AVAILABLE ON A NONDISCRIMINATORY BASIS, COLUMN (D) INCLUDES AMOUNTS AWARDED AS SCHOLARSHIP GRANTS TO CHILDREN OF EMPLOYEES UNDER THE UNIVERSITY'S NONDISCRIMINATORY QUALIFIED TUITION REDUCTION PLAN COVERING ALL ELIGIBLE FACULTY AND STAFF WITH SIX OR MORE YEARS OF SERVICE COLUMN (F) COMPENSATION REPORTED IN PRIOR FORM 990 THIS AMOUNT IS ALSO INCLUDED IN COLUMN (E) IT IS, THEREFORE, DOUBLE REPORTED IN PART II AND DOES NOT REPRESENT ADDITIONAL COMPENSATION |

## Additional Data

**Software ID:**
**Software Version:**
**EIN:** 06-0646973
**Name:** YALE UNIVERSITY

### Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

| (A) Name | | (B) Breakdown of W-2 and/or 1099-MISC compensation | | | (C) Deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation reported in prior Form 990 or Form 990-EZ |
|---|---|---|---|---|---|---|---|---|
| | | (i) Base Compensation | (ii) Bonus & incentive compensation | (iii) Other compensation | | | | |
| PETER SALOVEY TRUSTEE AND PRESIDENT | (i) | 711,745 | 0 | 49,229 | 29,033 | 11,013 | 801,020 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| BRUCE D ALEXANDER VP FOR NEW HAVEN & STATE AFFAIRS | (i) | 477,136 | 0 | 55,882 | 29,345 | 11,340 | 573,703 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| KIMBERLY M GOFF-CREWS SECRETARY AND VP FOR STUDENT LIFE | (i) | 351,911 | 0 | 17,500 | 34,317 | 8,465 | 412,193 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| SHAUNA R KING VP FOR FINANCE & BUSINESS OPERATIONS | (i) | 529,909 | 0 | 29,660 | 29,033 | 15,494 | 604,096 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| LINDA K LORIMER VP FOR GLOBAL & STRAT INITIATIVES | (i) | 547,887 | 0 | 29,213 | 29,033 | 17,324 | 623,457 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| JOAN E O'NEILL VP FOR DEVELOPMENT | (i) | 393,249 | 0 | 47,120 | 29,345 | 50,442 | 520,156 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| MICHAEL A PEEL VP FOR HR & ADMINISTRATION | (i) | 475,930 | 0 | 73,893 | 29,345 | 19,458 | 598,626 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| BENJAMIN POLAK PROVOST | (i) | 513,612 | 0 | 17,500 | 40,363 | 828 | 572,303 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| DOROTHY K ROBINSON VP & GENERAL COUNSEL | (i) | 528,125 | 0 | 17,500 | 29,033 | 9,751 | 584,409 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ROBERT J ALPERN DEAN SCHOOL OF MEDICINE | (i) | 802,705 | 0 | 17,500 | 29,033 | 3,063 | 852,301 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| JOHN H BOLLIER AVP FOR FACILITIES | (i) | 355,920 | 0 | 0 | 29,324 | 36,396 | 421,640 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RICHARD C LEVIN PRESIDENT EMERITUS | (i) | 982,135 | 0 | 121,012 | 29,033 | 16,827 | 1,149,007 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| RICHARD C LEVIN PRESIDENT EMERITUS | (i) | 0 | 0 | 8,503,714 | 0 | 0 | 8,503,714 | 1,916,261 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| SCOTT A STROBEL VP WEST CAMPUS PLANNING | (i) | 361,784 | 0 | 17,500 | 29,345 | 23,687 | 432,316 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| DAVID F SWENSEN CHIEF INVESTMENT OFFICER | (i) | 772,329 | 1,873,554 | 430,000 | 454,331 | 38,403 | 3,568,617 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| DEAN J TAKAHASHI SR DIRECTOR INVESTMENTS | (i) | 580,901 | 1,405,165 | 317,500 | 201,889 | 32,767 | 2,538,222 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| KHALID M ABBED CHIEF, YALE SPINE INSTITUTE | (i) | 238,968 | 905,300 | 0 | 28,720 | 29,456 | 1,202,444 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| ANAMIKA KATOCH CLINICIAN, CANCER CENTER | (i) | 450,657 | 677,706 | 17,500 | 32,689 | 768 | 1,179,320 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| DAVID J LEFFELL PROFESSOR OF DERMATOLOGY | (i) | 738,266 | 667,106 | 17,500 | 29,033 | 45,235 | 1,497,140 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| THOMAS J RUTHERFORD PROFESSOR, OB/GYN | (i) | 252,577 | 1,229,411 | 13,569 | 28,407 | 11,430 | 1,535,394 | 0 |
| | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |

## Form 990, Schedule J, Part II - Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

| (A) Name | | (B) Breakdown of W-2 and/or 1099-MISC compensation | | | (C) Deferred compensation | (D) Nontaxable benefits | (E) Total of columns (B)(i)-(D) | (F) Compensation reported in prior Form 990 or Form 990-EZ |
|---|---|---|---|---|---|---|---|---|
| | | (i) Base Compensation | (ii) Bonus & incentive compensation | (iii) Other compensation | | | | |
| TIMOTHY SULLIVAN | (i) | 573,920 | 473,027 | 17,500 | 412,366 | 40,454 | 1,517,267 | 0 |
| DIRECTOR | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| INVESTMENTS | | | | | | | | |
| MICHAEL J | (i) | 236,410 | 0 | 17,500 | 28,707 | 22,806 | 305,423 | 0 |
| DONOGHUE FORMER | (ii) | 0 | 0 | 0 | 0 | 0 | 0 | 0 |
| VP WEST CAMPUS | | | | | | | | |
| PLANNING | | | | | | | | |

**Schedule K (Form 990)**

Department of the Treasury
Internal Revenue Service

# Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule K (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

**2013**

**Open to Public Inspection**

Name of the organization
YALE UNIVERSITY

**Employer identification number**
06-0646973

## Part I Bond Issues

| | (a) Issuer name | (b) Issuer EIN | (c) CUSIP # | (d) Date issued | (e) Issue price | (f) Description of purpose | (g) Defeased Yes | (g) Defeased No | (h) On behalf of issuer Yes | (h) On behalf of issuer No | (i) Pool financing Yes | (i) Pool financing No |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| A | STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY | 06-0806186 | 20774LZC2 | 01-08-2003 | 250,000,000 | TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS | | X | | X | | X |
| B | STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY | 06-0806186 | 20774L6B6 | 10-05-2005 | 211,030,000 | TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS | | X | | X | | X |
| C | STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY | 06-0806186 | 20774L6C4 | 10-05-2005 | 100,000,000 | TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS | | X | | X | | X |
| D | STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY | 06-0806186 | 20774UPP4 | 10-04-2007 | 414,292,000 | TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS | | X | | X | | X |

## Part II Proceeds

| | | A | B | C | D |
|---|---|---|---|---|---|
| 1 | Amount of bonds retired | | | | |
| 2 | Amount of bonds legally defeased | | | | |
| 3 | Total proceeds of issue | 252,221,323 | 219,949,777 | 104,887,356 | 424,106,796 |
| 4 | Gross proceeds in reserve funds | | | | |
| 5 | Capitalized interest from proceeds | | | | |
| 6 | Proceeds in refunding escrows | | | | |
| 7 | Issuance costs from proceeds | | | | |
| 8 | Credit enhancement from proceeds | | | | |
| 9 | Working capital expenditures from proceeds | | | | |
| 10 | Capital expenditures from proceeds | 252,221,323 | 219,949,777 | 104,887,356 | 424,106,796 |
| 11 | Other spent proceeds | | | | |
| 12 | Other unspent proceeds | | | | |
| 13 | Year of substantial completion | 2009 | 2012 | 2012 | 2012 |

| | | A Yes | A No | B Yes | B No | C Yes | C No | D Yes | D No |
|---|---|---|---|---|---|---|---|---|---|
| 14 | Were the bonds issued as part of a current refunding issue? | | X | | X | | X | | X |
| 15 | Were the bonds issued as part of an advance refunding issue? | | X | | X | | X | | X |
| 16 | Has the final allocation of proceeds been made? | X | | X | | X | | X | |
| 17 | Does the organization maintain adequate books and records to support the final allocation of proceeds? | X | | X | | X | | X | |

## Part III Private Business Use

| | | A Yes | A No | B Yes | B No | C Yes | C No | D Yes | D No |
|---|---|---|---|---|---|---|---|---|---|
| 1 | Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds? | | X | | X | | X | | X |
| 2 | Are there any lease arrangements that may result in private business use of bond-financed property? | X | | X | | X | | X | |

## Part III Private Business Use *(Continued)*

| | | A | | B | | C | | D | |
|---|---|---|---|---|---|---|---|---|---|
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 3a | Are there any management or service contracts that may result in private business use of bond-financed property? | X | | X | | X | | X | |
| b | If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property? | | X | | X | | X | | X |
| c | Are there any research agreements that may result in private business use of bond-financed property? | X | | X | | X | | X | |
| d | If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property? | | X | | X | | X | | X |
| 4 | Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶ | 0 % | | 0 % | | 0 % | | 0 % | |
| 5 | Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶ | 0 100 % | | 0 % | | 0 % | | 0 % | |
| 6 | Total of lines 4 and 5 | 0 100 % | | 0 % | | 0 % | | 0 % | |
| 7 | Does the bond issue meet the private security or payment test? | | X | | X | | X | | X |
| 8a | Has there been a sale or disposition of any of the bond financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued? | | X | | X | | X | | X |
| b | If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of | | | | | | | | |
| c | If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1 141-12 and 1 145-2? | | | | | | | | |
| 9 | Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2? | X | | X | | X | | X | |

## Part IV Arbitrage

| | | A | | B | | C | | D | |
|---|---|---|---|---|---|---|---|---|---|
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 | Has the issuer filed Form 8038-T? | | X | | X | | X | | X |
| 2 | If "No" to line 1, did the following apply? | | | | | | | | |
| a | Rebate not due yet? | | X | | X | | X | | X |
| b | Exception to rebate? | X | | X | | X | | X | |
| c | No rebate due? | | X | | X | | X | | X |
| | If you checked "No rebate due" in line 2c, provide in Part VI the date the rebate computation was performed | | | | | | | | |
| 3 | Is the bond issue a variable rate issue? | X | | | X | X | | | X |
| 4a | Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? | | X | | X | | X | | X |
| b | Name of provider | | | | | | | | |
| c | Term of hedge | | | | | | | | |
| d | Was the hedge superintegrated? | | | | | | | | |
| e | Was the hedge terminated? | | | | | | | | |

**Part IV Arbitrage** *(Continued)*

| | | A | | B | | C | | D | |
|---|---|---|---|---|---|---|---|---|---|
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 5a | Were gross proceeds invested in a guaranteed investment contract (GIC)? | | X | | X | | X | | X |
| b | Name of provider | | | | | | | | |
| c | Term of GIC | | | | | | | | |
| d | Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? | | | | | | | | |
| 6 | Were any gross proceeds invested beyond an available temporary period? | | X | | X | | X | | X |
| 7 | Has the organization established written procedures to monitor the requirements of section 148? | X | | X | | X | | X | |

**Part V Procedures To Undertake Corrective Action**

| | A | | B | | C | | D | |
|---|---|---|---|---|---|---|---|---|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations? | X | | X | | X | | X | |

**Part VI Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions).

| Return Reference | Explanation |
|---|---|
| SCHEDULE K, PART I, BOND ISSUE, COLUMN (F) | CHEFA BONDS ISSUED 2/24/2010 (CUSIP# 20774UU60) - AND TO DEFEASE CHEFA SERIES W BONDS ISSUED 4/2002 SCHEDULE K, PART II, PROCEEDS, QUESTION 3 TOTAL PROCEEDS OF ISSUE REPRESENT THE ISSUE PRICE (PART I, COLUMN (E)) PLUS ANY INVESTMENT EARNINGS SCHEDULE K, PART III, PRIVATE BUSINESS USE, QUESTION 3B DURING THE 12-MONTH PERIOD USED TO REPORT ON THE BOND ISSUES, THE ORGANIZATION ROUTINELY REVIEWED ANY MANAGEMENT OR SERVICE CONTRACTS RELATING TO THE FINANCED PROPERTY FOR PRIVATE BUSINESS USE, USING EITHER IN-HOUSE COUNSEL, OUTSIDE COUNSEL, OR PROFESSIONAL CONTRACT REVIEWERS TRAINED BY COUNSEL SCHEDULE K, PART III, PRIVATE BUSINESS USE, QUESTION 3D DURING THE 12-MONTH PERIOD USED TO REPORT ON THE BOND ISSUES, THE ORGANIZATION ROUTINELY REVIEWED RESEARCH AGREEMENTS RELATING TO THE FINANCED PROPERTY FOR PRIVATE BUSINESS USE, USING EITHER IN-HOUSE COUNSEL, OUTSIDE COUNSEL, OR PROFESSIONAL CONTRACT REVIEWERS TRAINED BY COUNSEL SCHEDULE K, PART III, PRIVATE BUSINESS USE, QUESTIONS 4-5 IN CERTAIN CASES, A BOND ISSUE WITH AN ENTRY OF 00% MAY HAVE FINANCED PROPERTY WITH SOME PRIVATE BUSINESS USE IN EACH OF THESE CASES, THE PRIVATE BUSINESS USE PERCENTAGE OF THE BOND ISSUE WAS LESS THAN 0 05% AS THE AMOUNTS ARE ROUNDED TO THE NEAREST TENTH OF A PERCENTAGE POINT, PURSUANT TO THE INSTRUCTIONS SCHEDULE K, PART III, PRIVATE BUSINESS USE, QUESTIONS 4-6, BOND B, SECOND PAGE - CHEFA BONDS ISSUED 02/24/10 (CUSIP# 20774UU60) A PORTION OF THE BOND ISSUE WAS A CURRENT REFUNDING OF A PRE-2003 ISSUE, ONLY THE PRIVATE BUSINESS USE PERTAINING TO THE NEW MONEY PORTION OF THE 02/24/10 ISSUE IS REPORTED SCHEDULE K, PART IV, ARBITRAGE, QUESTION 2C, BOND B, SECOND PAGE - CHEFA BONDS ISSUED 02/24/10 (CUSIP# 20774UU60) A PORTION OF THE BOND ISSUE RELATING TO THE CONSTRUCTION FUND IS SUBJECT TO REBATE THE REBATE LIABILITY COMPUTED AT JUNE 30, 2014 WAS NEGATIVE SCHEDULE K, PART IV, ARBITRAGE, QUESTION 3, BOND A, SECOND PAGE - CHEFA BOND ISSUED 10/04/07 (CUSIP# 20774UPQ2) PURSUANT TO THE INSTRUCTIONS FOR PART IV, QUESTION 3, A VARIABLE RATE ISSUE IS AN ISSUE CONTAINING A BOND WITH A YIELD NOT FIXED AND DETERMINABLE ON THE ISSUE DATE THE BOND ISSUE WAS CONVERTED TO A FIXED RATE IN 2008 |

**Schedule K (Form 990)**

Department of the Treasury
Internal Revenue Service

# Supplemental Information on Tax Exempt Bonds

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 24a. Provide descriptions, explanations, and any additional information in Part VI.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule K (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

**2013**

**Open to Public Inspection**

Name of the organization
YALE UNIVERSITY

**Employer identification number**
06-0646973

## Part I Bond Issues

| | (a) Issuer name | (b) Issuer EIN | (c) CUSIP # | (d) Date issued | (e) Issue price | (f) Description of purpose | (g) Defeased | | (h) On behalf of issuer | | (i) Pool financing | |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | Yes | No | Yes | No | Yes | No |
| A | STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY | 06-0806186 | 20774UPQ2 | 10-04-2007 | 200,000,000 | TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS | | X | | X | | X |
| B | STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY | 06-0806186 | 20774UU60 | 02-24-2010 | 579,984,034 | TO FINANCE FACILITIES, ADDITIONS, IMPROVEMENTS, AND RENOVATIONS | | X | | X | | X |
| C | STATE OF CONNECTICUT HEALTH AND EDUCATIONAL FACILITIES AUTHORITY | 06-0806186 | 20774YPD3 | 07-02-2013 | 100,000,000 | TO FULLY REDEEM CHEFA SERIES X1 BONDS ISSUED 1/2003 | | X | | X | | X |

## Part II Proceeds

| | | A | B | C | D |
|---|---|---|---|---|---|
| 1 | Amount of bonds retired | | | | |
| 2 | Amount of bonds legally defeased | | | | |
| 3 | Total proceeds of issue | 204,478,448 | 580,160,339 | 100,000,000 | |
| 4 | Gross proceeds in reserve funds | | | | |
| 5 | Capitalized interest from proceeds | | | | |
| 6 | Proceeds in refunding escrows | | | | |
| 7 | Issuance costs from proceeds | | | | |
| 8 | Credit enhancement from proceeds | | | | |
| 9 | Working capital expenditures from proceeds | | | | |
| 10 | Capital expenditures from proceeds | 204,478,448 | 490,643,522 | | |
| 11 | Other spent proceeds | | 89,516,817 | 100,000,000 | |
| 12 | Other unspent proceeds | | | | |
| 13 | Year of substantial completion | 2012 | 2012 | 2013 | |

| | | A | | B | | C | | D | |
|---|---|---|---|---|---|---|---|---|---|
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 14 | Were the bonds issued as part of a current refunding issue? | | X | X | | X | | | |
| 15 | Were the bonds issued as part of an advance refunding issue? | | X | | X | | X | | |
| 16 | Has the final allocation of proceeds been made? | X | | X | | X | | | |
| 17 | Does the organization maintain adequate books and records to support the final allocation of proceeds? | X | | X | | X | | | |

## Part III Private Business Use

| | | A | | B | | C | | D | |
|---|---|---|---|---|---|---|---|---|---|
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 | Was the organization a partner in a partnership, or a member of an LLC, which owned property financed by tax-exempt bonds? | | X | | X | | X | | |
| 2 | Are there any lease arrangements that may result in private business use of bond-financed property? | X | | | X | X | | | |

## Part III Private Business Use *(Continued)*

| | | A | | B | | C | | D | |
|---|---|---|---|---|---|---|---|---|---|
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 3a | Are there any management or service contracts that may result in private business use of bond-financed property? | X | | X | | X | | | |
| b | If "Yes" to line 3a, does the organization routinely engage bond counsel or other outside counsel to review any management or service contracts relating to the financed property? | | X | | X | | X | | |
| c | Are there any research agreements that may result in private business use of bond-financed property? | X | | X | | X | | | |
| d | If "Yes" to line 3c, does the organization routinely engage bond counsel or other outside counsel to review any research agreements relating to the financed property? | | X | | X | | X | | |
| 4 | Enter the percentage of financed property used in a private business use by entities other than a section 501(c)(3) organization or a state or local government ▶ | 0 % | | 0 % | | 0 % | | | |
| 5 | Enter the percentage of financed property used in a private business use as a result of unrelated trade or business activity carried on by your organization, another section 501(c)(3) organization, or a state or local government ▶ | 0 % | | 0 % | | 0 % | | | |
| 6 | Total of lines 4 and 5 | 0 % | | 0 % | | 0 % | | | |
| 7 | Does the bond issue meet the private security or payment test? | | X | | X | | X | | |
| 8a | Has there been a sale or disposition of any of the bond financed property to a nongovernmental person other than a 501(c)(3) organization since the bonds were issued? | | X | | X | | X | | |
| b | If "Yes" to line 8a, enter the percentage of bond-financed property sold or disposed of | | | | | | | | |
| c | If "Yes" to line 8a, was any remedial action taken pursuant to Regulations sections 1 141-12 and 1 145-2? | | | | | | | | |
| 9 | Has the organization established written procedures to ensure that all nonqualified bonds of the issue are remediated in accordance with the requirements under Regulations sections 1 141-12 and 1 145-2? | X | | X | | X | | | |

## Part IV Arbitrage

| | | A | | B | | C | | D | |
|---|---|---|---|---|---|---|---|---|---|
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 1 | Has the issuer filed Form 8038-T? | | X | | X | | X | | |
| 2 | If "No" to line 1, did the following apply? | | | | | | | | |
| a | Rebate not due yet? | | X | | X | | X | | |
| b | Exception to rebate? | X | | | X | X | | | |
| c | No rebate due? | | X | X | | | X | | |
| | If you checked "No rebate due" in line 2c, provide in Part VI the date the rebate computation was performed | | | | | | | | |
| 3 | Is the bond issue a variable rate issue? | X | | X | | X | | | |
| 4a | Has the organization or the governmental issuer entered into a qualified hedge with respect to the bond issue? | | X | | X | | X | | |
| b | Name of provider | | | | | | | | |
| c | Term of hedge | | | | | | | | |
| d | Was the hedge superintegrated? | | | | | | | | |
| e | Was the hedge terminated? | | | | | | | | |

**Part IV** **Arbitrage** *(Continued)*

| | | A | | B | | C | | D | |
|---|---|---|---|---|---|---|---|---|---|
| | | Yes | No | Yes | No | Yes | No | Yes | No |
| 5a | Were gross proceeds invested in a guaranteed investment contract (GIC)? | | X | | X | | X | | |
| b | Name of provider | | | | | | | | |
| c | Term of GIC | | | | | | | | |
| d | Was the regulatory safe harbor for establishing the fair market value of the GIC satisfied? | | | | | | | | |
| 6 | Were any gross proceeds invested beyond an available temporary period? | | X | | X | | X | | |
| 7 | Has the organization established written procedures to monitor the requirements of section 148? | X | | X | | X | | | |

**Part V** **Procedures To Undertake Corrective Action**

| | A | | B | | C | | D | |
|---|---|---|---|---|---|---|---|---|
| | Yes | No | Yes | No | Yes | No | Yes | No |
| Has the organization established written procedures to ensure that violations of federal tax requirements are timely identified and corrected through the voluntary closing agreement program if self-remediation is not available under applicable regulations? | X | | X | | X | | | |

**Part VI** **Supplemental Information.** Provide additional information for responses to questions on Schedule K (see instructions).

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493135062435

**Schedule L**
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

# Transactions with Interested Persons

▶ Complete if the organization answered "Yes" on Form 990, Part IV, lines 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.
▶ Information about Schedule L (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

**2013**

**Open to Public Inspection**

Name of the organization
YALE UNIVERSITY

**Employer identification number**
06-0646973

## Part I Excess Benefit Transactions (section 501(c)(3) and section 501(c)(4) organizations only).

Complete if the organization answered "Yes" on Form 990, Part IV, line 25a or 25b, or Form 990-EZ, Part V, line 40b

| 1 (a) Name of disqualified person | (b) Relationship between disqualified person and organization | (c) Description of transaction | (d) Corrected? Yes | No |
|---|---|---|---|---|
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

2 Enter the amount of tax incurred by organization managers or disqualified persons during the year under section 4958 . . . . . ▶ $

3 Enter the amount of tax, if any, on line 2, above, reimbursed by the organization . . . . . ▶ $

## Part II Loans to and/or From Interested Persons.

Complete if the organization answered "Yes" on Form 990-EZ, Part V, line 38a, or Form 990, Part IV, line 26, or if the organization reported an amount on Form 990, Part X, line 5, 6, or 22

| (a) Name of interested person | (b) Relationship with organization | (c) Purpose of loan | (d) Loan to or from the organization? To | From | (e) Original principal amount | (f) Balance due | (g) In default? Yes | No | (h) Approved by board or committee? Yes | No | (i) Written agreement? Yes | No |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| (1) SCOTT STROBEL | (PART V) KEY EMPLOYEE | HOUSING | | X | 100,000 | 97,956 | | No | | No | Yes | |
| (2) SCOTT STROBEL | (PART V) KEY EMPLOYEE | HOUSING | | X | 100,000 | 100,000 | | No | | No | Yes | |
| (3) ROBERT BLOCKER | (PART V) DISQUALIFIED PERSON | HOUSING | | X | 200,000 | 8,333 | | No | | No | Yes | |
| (4) T K VANDERLICK | (PART V) DISQUALIFIED PERSON | HOUSING | | X | 100,000 | 72,187 | | No | | No | Yes | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| Total | | ▶ $ | | | | 278,476 | | | | | | |

## Part III Grants or Assistance Benefitting Interested Persons.

Complete if the organization answered "Yes" on Form 990, Part IV, line 27.

| (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of assistance | (d) Type of assistance | (e) Purpose of assistance |
|---|---|---|---|---|
| (1) | | 90,306 | SCHOLARSHIPS | (SEE PART V) |
| (2) | | 200 | PRIZE/AWARD | (SEE PART V) |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Part IV** **Business Transactions Involving Interested Persons.**
Complete if the organization answered "Yes" on Form 990, Part IV, line 28a, 28b, or 28c.

| (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of transaction | (d) Description of transaction | (e) Sharing of organization's revenues? | |
|---|---|---|---|---|---|
| | | | | Yes | No |
| See Additional Data Table | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

**Part V** **Supplemental Information**
Provide additional information for responses to questions on Schedule L (see instructions)

| Return Reference | Explanation |
|---|---|
| SCHEDULE L, PART II | ALL LOANS REPORTED ON SCHEDULE L, PART II WERE MADE AND APPROVED PURSUANT TO UNIVERSITY COMPENSATION POLICIES |
| SCHEDULE L, PART III | THE SCHOLARSHIP GRANTS REPRESENT AMOUNTS AWARDED TO INTERESTED PERSONS UNDER THE UNIVERSITY'S NONDISCRIMINATORY QUALIFIED TUITION REDUCTION PLAN COVERING ALL ELIGIBLE FACULTY AND STAFF WITH SIX OR MORE YEARS OF SERVICE IN ADDITION, A PRIZE/AWARD WAS AWARDED TO AN INTERESTED PERSON |
| SCHEDULE L, PART IV | TRANSACTIONS WITH ENTITIES WHOLLY OWNED, DIRECTLY OR INDIRECTLY, AND LISTED ON SCHEDULE R ARE NOT REPORTED ALTHOUGH YALE OFFICERS OR KEY EMPLOYEES MAY SERVE (WITHOUT COMPENSATION) AS OFFICERS OR DIRECTORS OF SUCH ENTITIES |

## Additional Data

**Software ID:**
**Software Version:**
**EIN:** 06-0646973
**Name:** YALE UNIVERSITY

### Form 990, Schedule L, Part IV - Business Transactions Involving Interested Persons

| (a) Name of interested person | (b) Relationship between interested person and the organization | (c) Amount of transaction | (d) Description of transaction | (e) Sharing of organization's revenues? | |
|---|---|---|---|---|---|
| | | | | Yes | No |
| (1) ABBOTT LABORATORIES | (SEE PART V) ROBERT ALPERN, A KEY EMPLOYEE, IS A DIRECTOR | 283,227 | (SEE PART V) PURCHASE OF LABORATORY SUPPLIES IN THE ORDINARY COURSE OF BUSINESS SHOWN IN ADDITION, THE UNIVERSITY RECEIVED CLINICAL TRIAL INCOME, ROYALTY INCOME, AND OTHER MISCELLANEOUS INCOME IN THE ORDINARY COURSE OF BUSINESS OF $963,318 | | No |
| (2) ABBVIE | (SEE PART V) ROBERT ALPERN, A KEY EMPLOYEE, IS A DIRECTOR | 2,597,230 | (SEE PART V) SPONSORED RESEARCH REVENUE, CLINICAL TRIAL INCOME, ROYALTY INCOME, AND OTHER MISCELLANEOUS INCOME IN THE ORDINARY COURSE OF BUSINESS | | No |
| (3) AMERICAN EXPRESS | (SEE PART V) RICHARD LEVIN, A KEY EMPLOYEE, IS A DIRECTOR | 665,840 | (SEE PART V) PURCHASE OF TRAVELERS CHECKS AND TRAVEL SERVICE FEES PAID IN THE ORDINARY COURSE OF BUSINESS | | No |
| (4) BAIN PRIVATE EQUITY FUNDS | (SEE PART V) JOSHUA BEKENSTEIN, A TRUSTEE, IS A MANAGING DIRECTOR | 112,285,460 | (SEE PART V) CAPITAL CONTRIBUTIONS SHOWN IN ADDITION, THE UNIVERSITY RECEIVED DISTRIBUTIONS OF $269,289,411 IN THE ORDINARY COURSE OF BUSINESS | | No |
| (5) BRIGHT HORIZONS | (SEE PART V) JOSHUA BEKENSTEIN, A TRUSTEE, IS A DIRECTOR | 105,787 | (SEE PART V) PROFESSIONAL SERVICE FEES PAID IN THE ORDINARY COURSE OF BUSINESS | | No |
| (6) GENERAL ELECTRIC COMPANY | (SEE PART V) DOUGLAS WARNER III, A TRUSTEE, IS A DIRECTOR | 2,693,783 | (SEE PART V) SYSTEM MAINTENANCE AND SUPPORT FEES AND THE PURCHASE OF OTHER GOODS AND SERVICES IN THE ORDINARY COURSE OF BUSINESS SHOWN IN ADDITION, THE UNIVERSITY RECEIVED SPONSORED RESEARCH REVENUE, CLINICAL TRIAL INCOME, AND ROYALTY INCOME IN THE ORDINARY COURSE OF BUSINESS OF $364,310 | | No |
| (7) PEPSICO | (SEE PART V) INDRA NOOYI, A TRUSTEE, IS CHAIRMAN AND CEO | 340,846 | (SEE PART V) FOOD AND BEVERAGE PURCHASES FROM PEPSICO AND ITS DIVISIONS IN THE ORDINARY COURSE OF BUSINESS | | No |
| (8) THE MCGRAW-HILL COMPANIES | (SEE PART V) LINDA LORIMER, AN OFFICER, IS A DIRECTOR | 506,296 | (SEE PART V) S&P RATINGS AND PUBLICATION PURCHASES IN THE ORDINARY COURSE OF BUSINESS | | No |
| (9) UNITED EDUCATORS INSURANCE | (SEE PART V) KIMBERLY GOFF-CREWS, AN OFFICER, IS A DIRECTOR | 1,026,513 | (SEE PART V) INSURANCE PREMIUM PAYMENTS IN THE ORDINARY COURSE OF BUSINESS | | No |
| (10) JANE LEVIN | (SEE PART V) SPOUSE OF RICHARD LEVIN, A KEY EMPLOYEE | 94,363 | (SEE PART V) COMPENSATION AND BENEFITS, INCLUDING FACULTY SERVICES AS SENIOR LECTURER | | No |
| (11) STEFANIE MARKOVITS | (SEE PART V) SPOUSE OF BENJAMIN POLAK, AN OFFICER | 139,301 | (SEE PART V) COMPENSATION AND BENEFITS, PROFESSOR OF ENGLISH | | No |
| (12) MARTA MORET | (SEE PART V) SPOUSE OF PETER SALOVEY, AN OFFICER | 30,510 | (SEE PART V) COMPENSATION AND BENEFITS, ASSOCIATE | | No |
| (13) PATRICIA PREISIG | (SEE PART V) SPOUSE OF ROBERT ALPERN, A KEY EMPLOYEE | 182,673 | (SEE PART V) COMPENSATION AND BENEFITS, PROFESSOR, MEDICINE (NEPHROLOGY) AND CELLULAR AND MOLECULAR PHYSIOLOGY | | No |
| (14) WENDY SHARP | (SEE PART V) SPOUSE OF DEAN TAKAHASHI, A KEY EMPLOYEE | 95,182 | (SEE PART V) COMPENSATION AND BENEFITS, DIRECTOR OF CHAMBER MUSIC, LECTURER SCHOOL OF MUSIC | | No |

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493135062435

**SCHEDULE M (Form 990)**

Department of the Treasury
Internal Revenue Service

# Noncash Contributions

▶Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.
▶ Attach to Form 990.
▶Information about Schedule M (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

**2013**

**Open to Public Inspection**

Name of the organization
YALE UNIVERSITY

**Employer identification number**
06-0646973

## Part I Types of Property

| | (a) Check if applicable | (b) Number of contributions or items contributed | (c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g | (d) Method of determining noncash contribution amounts |
|---|---|---|---|---|
| 1 Art—Works of art | X | 71 | 0 | |
| 2 Art—Historical treasures | X | 2 | 0 | |
| 3 Art—Fractional interests | | | | |
| 4 Books and publications | X | | 0 | |
| 5 Clothing and household goods | | | | |
| 6 Cars and other vehicles | | | | |
| 7 Boats and planes | X | 2 | 0 | |
| 8 Intellectual property | | | | |
| 9 Securities—Publicly traded | X | 969 | 50,492,688 | FAIR VALUE |
| 10 Securities—Closely held stock | X | 4 | 968,089 | SALE OF COMP PROPERTY |
| 11 Securities—Partnership, LLC, or trust interests | X | 4 | 7,422,195 | SALE OF COMP PROPERTY |
| 12 Securities—Miscellaneous | | | | |
| 13 Qualified conservation contribution—Historic structures | | | | |
| 14 Qualified conservation contribution—Other | | | | |
| 15 Real estate—Residential | X | 2 | 0 | |
| 16 Real estate—Commercial | | | | |
| 17 Real estate—Other | | | | |
| 18 Collectibles | X | 16 | 0 | |
| 19 Food inventory | X | 1 | 0 | |
| 20 Drugs and medical supplies | | | | |
| 21 Taxidermy | | | | |
| 22 Historical artifacts | | | | |
| 23 Scientific specimens | | | | |
| 24 Archeological artifacts | | | | |
| 25 Other ▶ ( EQUIPMENT ) | X | 5 | 0 | |
| 26 Other ▶ ( MUSICAL INSTR ) | X | 1 | 0 | |
| 27 Other ▶ ( SOFTWARE LIC ) | X | 1 | 0 | |
| 28 Other ▶ ( ) | | | | |

29 Number of Forms 8283 received by the organization during the tax year for contributions for which the organization completed Form 8283, Part IV, Donee Acknowledgement . . . **29** 81

| | | Yes | No |
|---|---|---|---|
| **30a** During the year, did the organization receive by contribution any property reported in Part I, lines 1 through 28, that it must hold for at least three years from the date of the initial contribution, and which is not required to be used for exempt purposes for the entire holding period? | **30a** | | No |
| **b** If "Yes," describe the arrangement in Part II | | | |
| **31** Does the organization have a gift acceptance policy that requires the review of any non-standard contributions? | **31** | Yes | |
| **32a** Does the organization hire or use third parties or related organizations to solicit, process, or sell noncash contributions? | **32a** | Yes | |
| **b** If "Yes," describe in Part II | | | |
| **33** If the organization did not report an amount in column (c) for a type of property for which column (a) is checked, describe in Part II | | | |

**Part II** **Supplemental Information.** Provide the information required by Part I, lines 30b, 32b, and 33, and whether the organization is reporting in Part I, column (b), the number of contributions, the number of items received, or a combination of both. Also complete this part for any additional information.

| Return Reference | Explanation |
|---|---|
| PART I, COLUMN (B) | AMOUNTS REPRESENT THE NUMBER OF NON-CASH CONTRIBUTIONS RECEIVED AND RECORDED IN THE CENTRAL CONTRIBUTION DATABASE OF THE UNIVERSITY |
| PART I, LINE 32B | OCCASIONALLY, THE UNIVERSITY ENGAGES THIRD PARTIES (E G , REAL ESTATE BROKERS, AUCTION HOUSES, INVESTMENT BROKERS, ETC ) TO SELL CERTAIN NON-CASH CONTRIBUTIONS |
| PART I, LINE 33 | PURSUANT TO ACCOUNTING STANDARDS CODIFICATION 958 (ASC 958), THE UNIVERSITY DOES NOT CAPITALIZE ITS COLLECTIONS, INCLUDING WORKS OF ART, LITERARY WORKS, HISTORICAL TREASURES AND ARTIFACTS THAT ARE MAINTAINED IN ITS MUSEUMS AND LIBRARIES NON-CASH CONTRIBUTIONS OF THIS NATURE ARE, THEREFORE, NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES THESE COLLECTIONS ARE PROTECTED AND PRESERVED FOR PUBLIC EXHIBITION, EDUCATION, RESEARCH AND THE FURTHERANCE OF PUBLIC SERVICE OTHER NON-CASH CONTRIBUTIONS WITH NO READILY ASCERTAINABLE FAIR VALUE OR WHICH ARE DEEMED IMMATERIAL ARE NOT RECOGNIZED AS REVENUE FOR FINANCIAL STATEMENT PURPOSES |

efile GRAPHIC print - DO NOT PROCESS | As Filed Data - | DLN: 93493135062435

**SCHEDULE O**
**(Form 990 or 990-EZ)**

Department of the Treasury
Internal Revenue Service

# Supplemental Information to Form 990 or 990-EZ

**Complete to provide information for responses to specific questions on Form 990 or to provide any additional information.**
**▶ Attach to Form 990 or 990-EZ.**
**▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.**

OMB No 1545-0047

**2013**

**Open to Public Inspection**

Name of the organization
YALE UNIVERSITY

**Employer identification number**
06-0646973

| Return Reference | Explanation |
|---|---|
| FORM 990, PART I, LINE 6 | TOTAL NUMBER OF VOLUNTEERS VOLUNTEERS SUPPORT AND BENEFIT THE UNIVERSITY IN A WIDE VARIETY OF ACTIVITIES, PROGRAMS, AND SERVICES WHILE YALE UNIVERSITY DOES NOT TRACK THE NUMBER OF ITS VOLUNTEERS, THE UNIVERSITY ESTIMATES THAT THE NUMBER OF VOLUNTEERS WAS APPROXIMATELY 7,800 DURING FYE 6/30/14 FORM 990, PART III, LINE 1 DESCRIPTION OF ORGANIZATION MISSION LIKE ALL GREAT RESEARCH UNIVERSITIES, YALE HAS A TRIPARTITE MISSION TO CREATE, PRESERVE, AND DISSEMINATE KNOWLEDGE YALE AIMS TO CARRY OUT EACH PART OF ITS MISSION AT THE HIGHEST LEVEL OF EXCELLENCE, ON PAR WITH THE BEST INSTITUTIONS IN THE WORLD YALE SEEKS TO ATTRACT A DIVERSE GROUP OF EXCEPTIONALLY TALENTED MEN AND WOMEN FROM ACROSS THE NATION AND AROUND THE WORLD AND TO EDUCATE THEM FOR LEADERSHIP IN SCHOLARSHIP, THE PROFESSIONS, AND SOCIETY |

| Return Reference | Explanation |
| --- | --- |
| FORM 990, PART VI, SECTION A, LINE 1 | THERE ARE TWO EX-OFFICIO TRUSTEES, THE GOVERNOR OF CONNECTICUT AND THE LIEUTENANT GOVERNOR OF CONNECTICUT HISTORICALLY, THESE INDIVIDUALS DO NOT PARTICIPATE IN GOVERNANCE ACTIVITIES AT THE UNIVERSITY AND THEY ARE REPORTED AS INDEPENDENT |

| Return Reference | Explanation |
| --- | --- |
| FORM 990, PART VI, SECTION A, LINE 4 | DURING THE FYE 6/30/14, THE YALE CORPORATION BY-LAWS WERE AMENDED TO CHANGE THE TITLE AND DESCRIPTION OF AN OFFICER POSITION FROM VICE PRESIDENT OF THE UNIVERSITY TO VICE PRESIDENT FOR GLOBAL AND STRATEGIC AFFAIRS THESE CHANGES ADDED SPECIFICITY TO THE TITLE AND DESCRIPTION OF THE POSITION RATHER THAN SIGNIFICANTLY ALTERING ITS RESPONSIBILITIES |

| Return Reference | Explanation |
| --- | --- |
| FORM 990, PART VI, SECTION A, LINE 7A | THE GOVERNING BODY INCLUDES SIX ALUMNI TRUSTEES WHO ARE ELECTED BY THE ALUMNI |

| Return Reference | Explanation |
| --- | --- |
| FORM 990, PART VI, SECTION B, LINE 11 | THE FORM 990 WAS REVIEWED BY SENIOR MANAGEMENT IN ADDITION, THE UNIVERSITY DISTRIBUTED A DRAFT COPY OF FORM 990 TO THE CORPORATION AUDIT COMMITTEE, COMPRISED OF FOUR MEMBERS OF THE UNIVERSITY'S GOVERNING BODY MEMBERS OF SENIOR MANAGEMENT MET WITH CERTAIN UNIVERSITY OFFICERS AND THE CORPORATION AUDIT COMMITTEE TO DISCUSS THE DRAFT FORM 990 AND ANSWER ANY QUESTIONS PRIOR TO FILING |

| Return Reference | Explanation |
| --- | --- |
| FORM 990, PART VI, SECTION B, LINE 12C | THE YALE UNIVERSITY CONFLICT OF INTEREST POLICY OBLIGATES EACH OFFICER, TRUSTEE, AND KEY EMPLOYEE TO PROMPTLY DISCLOSE ANY ACTUAL OR POTENTIAL CONFLICT OF INTEREST AS DEFINED IN THE POLICY EACH SUCH PERSON MUST SIGN A STATEMENT ANNUALLY AFFIRMING THAT HE OR SHE HAS RECEIVED A COPY OF THE CONFLICT OF INTEREST POLICY, HAS READ AND UNDERSTANDS THE POLICY, AGREES TO COMPLY WITH THE POLICY, AND UNDERSTANDS THAT THE CORPORATION IS CHARITABLE AND IN ORDER TO MAINTAIN ITS EXEMPTION IT MUST ENGAGE PRIMARILY IN ACTIVITIES WHICH ACCOMPLISH ONE OR MORE OF ITS TAX-EXEMPT PURPOSES IN CONNECTION WITH ANY ACTUAL OR POSSIBLE CONFLICT OF INTEREST, AN INTERESTED PERSON MUST DISCLOSE THE EXISTENCE OF HIS OR HER FINANCIAL INTEREST AND BE GIVEN THE OPPORTUNITY TO DISCLOSE ALL MATERIAL FACTS TO THE DIRECTOR AND MEMBERS OF THE COMMITTEE WITH GOVERNING BOARD DELEGATED POWERS CONSIDERING THE PROPOSED TRANSACTION OR ARRANGEMENT AFTER DISCLOSURE OF A POTENTIAL CONFLICT OF INTEREST, THE INTERESTED PERSON IS EXCUSED FROM THE GOVERNING BOARD OR COMMITTEE MEETING WHILE THE DETERMINATION OF A CONFLICT OF INTEREST IS MADE, AND IS RECUSED FROM PARTICIPATING IN ANY VOTE ON THE MATTER |

| Return Reference | Explanation |
| --- | --- |
| FORM 990, PART VI, SECTION B, LINE 15 | THE PROCESS FOR DETERMINING THE COMPENSATION OF THE PRESIDENT INCLUDED INITIAL REVIEW BY THE COMMITTEE ON COMPENSATION (THE COMPENSATION COMMITTEE) OF THE YALE CORPORATION (YALE UNIVERSITY'S GOVERNING BOARD), A RECOMMENDATION VOTED BY THE COMMITTEE TO THE YALE CORPORATION, AND FULL REVIEW AND VOTE BY THE YALE CORPORATION BOTH REVIEWS INCLUDED REVIEW OF THE COMPENSATION OF SIMILARLY QUALIFIED INDIVIDUALS IN COMPARABLE POSITIONS AT OTHER UNIVERSITIES, AS COMPILED BY A PROFESSIONAL COMPENSATION CONSULTANT RETAINED BY THE COMPENSATION COMMITTEE FOR THE PURPOSE, AND REVIEW OF INFORMATION ON SUCH COMPARABLE POSITIONS FROM IRS FORMS 990 FOR SIMILAR ORGANIZATIONS, BOTH REVIEWS CONSIDERED SUCH DATA IN LIGHT OF THE PERFORMANCE OF THE PRESIDENT, WHICH WAS REVIEWED BY THE COMPENSATION COMMITTEE AND BY THE YALE CORPORATION THE PROCEEDINGS OF THE COMPENSATION COMMITTEE, AND THE PROCEEDINGS OF THE YALE CORPORATION, EACH WERE DOCUMENTED IN MINUTES OF THE RESPECTIVE BODY, CONTEMPORANEOUSLY ADOPTED AND RETAINED IN THE RECORDS OF THE RESPECTIVE BODY, ALONG WITH THE DATA ON COMPARABLE COMPENSATION THAT WAS RELIED UPON ARRANGEMENTS FOR THE OUTGOING PRESIDENT SIMILARLY WERE REVIEWED BY THE COMPENSATION COMMITTEE AND APPROVED BY THE CORPORATION THE PROCESS FOR DETERMINING COMPENSATION OF THE OFFICERS CONSISTED OF REVIEW BY THE COMPENSATION COMMITTEE OF THE RECOMMENDATIONS MADE BY THE PRESIDENT, CONSIDERING THE PERFORMANCE OF EACH OFFICER, AND REVIEW OF DATA ON COMPENSATION OF SIMILARLY QUALIFIED INDIVIDUALS IN COMPARABLE POSITIONS AT SIMILAR ORGANIZATIONS, AS COLLECTED BY A PROFESSIONAL COMPENSATION CONSULTANT THROUGH SURVEYS, THE COMPENSATION COMMITTEE VOTED RECOMMENDATIONS ON COMPENSATION OF SUCH INDIVIDUALS TO THE YALE CORPORATION THE YALE CORPORATION RECEIVED THE RECOMMENDATIONS OF THE COMPENSATION COMMITTEE, AND DISCUSSED THESE WITH THE PRESIDENT, WHO REVIEWED THE PERFORMANCE OF EACH OFFICER WITH THE YALE CORPORATION, AND ALSO THE COMPARABLE COMPENSATION INFORMATION THE YALE CORPORATION THEN VOTED ON THE COMPENSATION OF EACH OFFICER THE PROCEEDINGS OF THE COMPENSATION COMMITTEE AND OF THE CORPORATION EACH WERE DOCUMENTED IN MINUTES CONTEMPORANEOUSLY ADOPTED AND RETAINED IN THE RECORDS OF THE RESPECTIVE BODY, ALONG WITH THE DATA ON COMPARABLE COMPENSATION THAT WAS RELIED UPON OF THE KEY EMPLOYEES, THE COMPENSATION OF THE DEAN, SCHOOL OF MEDICINE WAS RECOMMENDED BY THE PRESIDENT TO THE COMPENSATION COMMITTEE, AND REVIEWED BY THAT COMMITTEE IN CONJUNCTION WITH ITS REVIEW OF THE PERFORMANCE OF THE DEAN AND CONSIDERATION OF DATA ON THE COMPENSATION OF SIMILARLY QUALIFIED INDIVIDUALS IN COMPARABLE POSITIONS AT OTHER INSTITUTIONS COMPILED BY AN INDEPENDENT COMPENSATION CONSULTANT THE COMPENSATION COMMITTEE VOTED TO APPROVE THE COMPENSATION, AND CONTEMPORANEOUSLY DOCUMENTED ITS PROCEEDINGS IN MINUTES RETAINED IN ITS RECORDS ALONG WITH THE COMPENSATION DATA RELIED UPON WITH RESPECT TO THE CHIEF INVESTMENTS OFFICER AND SENIOR DIRECTOR OF INVESTMENTS, THE COMPENSATION COMMITTEE VOTED TO APPROVE THE COMPENSATION AFTER CONSIDERATION OF THE RECOMMENDATIONS OF THE PRESIDENT AND OF THE FOLLOWING REVIEW OF THE PERFORMANCE OF EACH, INVESTMENT PERFORMANCE OF THE YALE ENDOWMENT OVER DESIGNATED PERIODS, OTHER PERFORMANCE-RELATED INFORMATION, AND AN ANALYSIS OF THE COMPENSATION OF SIMILARLY QUALIFIED INDIVIDUALS IN COMPARABLE POSITIONS AT TAXABLE AND TAX-EXEMPT ORGANIZATIONS PREPARED BY AN INDEPENDENT COMPENSATION CONSULTANT RETAINED FOR THE PURPOSE THE COMPENSATION COMMITTEE CONTEMPORANEOUSLY DOCUMENTED ITS PROCEEDINGS IN MINUTES RETAINED IN ITS RECORDS ALONG WITH THE COMPENSATION DATA RELIED UPON IN THE CASE OF THE OTHER KEY EMPLOYEES (WITH THE EXCEPTION OF THE OUTGOING PRESIDENT, DISCUSSED ABOVE), COMPENSATION DECISIONS WERE MADE BY THE EMPLOYEE'S SUPERVISOR IN ACCORDANCE WITH UNIVERSITY POLICIES AND PROCEDURES NO MEMBER OF THE YALE CORPORATION WHO HAD A CONFLICT OF INTEREST WITH RESPECT TO A COMPENSATION ARRANGEMENT DESCRIBED ABOVE (I E, BY VIRTUE OF A FAMILY OR BUSINESS RELATIONSHIP) PARTICIPATED IN THE COMPENSATION COMMITTEE REVIEW OR THE VOTE OF THE YALE CORPORATION WITH RESPECT TO SUCH COMPENSATION ARRANGEMENT |

| Return Reference | Explanation |
| --- | --- |
| FORM 990, PART VI, SECTION C, LINE 19 | THE UNIVERSITY'S GOVERNING DOCUMENTS, INCLUDING ANY AMENDMENTS, FINANCIAL STATEMENTS AND STANDARDS OF BUSINESS CONDUCT ARE AVAILABLE ON THE UNIVERSITY'S WEBSITE OR UPON REQUEST |

| Return Reference | Explanation |
| --- | --- |
| FORM 990, PART VII, SECTION A | COMPENSATION AND HOURS FOR A FORMER KEY EMPLOYEE RELATE TO CONTINUING SERVICE IN A NON-KEY EMPLOYEE POSITION FORM 990, PART VII, SECTION A, COLUMN (A) CONTINUATION OF TITLES FOR EACH LISTED PERSON PETER SALOVEY PROFESSOR OF PSYCHOLOGY BRUCE D ALEXANDER AND CAMPUS DEVELOPMENT BENJAMIN POLAK PROFESSOR OF ECONOMICS, PROFESSOR SCHOOL OF MANAGEMENT ROBERT J ALPERN PROFESSOR OF MEDICINE RICHARD C LEVIN SR RESEARCH SCHOLAR JACKSON INSTITUTE, PROFESSOR EMERITUS SCHOOL OF MANAGEMENT, PROFESSOR EMERITUS ECONOMICS SCOTT A STROBEL AND PROGRAM DEVELOPMENT, PROFESSOR OF MOLECULAR BIOPHYSICS AND BIOCHEMISTRY, PROFESSOR OF CHEMISTRY, HOWARD HUGHES MEDICAL INSTITUTE PROFESSOR KHALID M ABBED DIRECTOR, MINIMALLY INVASIVE SPINE SURGERY & SPINE TUMOR SURGERY DAVID J LEFFELL AND PROFESSOR OF SURGERY, SECTION CHIEF, DERMATOLOGIC SURGERY AND CUTANEOUS ONCOLOGY THOMAS J RUTHERFORD SECTION CHIEF GYNECOLOGIC ONCOLOGY MICHAEL J DONOGHUE AND PROGRAM DEVELOPMENT, PROFESSOR OF ECOLOGY AND EVOLUTIONARY BIOLOGY, PROFESSOR SCHOOL OF FORESTRY AND ENVIRONMENTAL STUDIES AND GEOLOGY AND GEOPHYSICS, CURATOR OF BOTANY PEABODY MUSEUM |

| Return Reference | Explanation |
| --- | --- |
| FORM 990, PART VII, SECTION A, COLUMN (B) | THE NUMBER OF HOURS REPORTED FOR EACH LISTED PERSON IS AN ESTIMATE. THE ESTIMATE FOR TRUSTEES INCLUDES MEETING TIME AND PREPARATION, AS WELL AS ATTENDANCE AT CERTAIN OFFICIAL UNIVERSITY FUNCTIONS DURING THE YEAR, BUT DOES NOT INCLUDE TRAVEL TIME OR ATTENDANCE AT OTHER EVENTS AS TRUSTEES. FORM 990, PART VII, SECTION B, COLUMN (C) THE AMOUNT REPORTED REPRESENTS DIRECT CASH PAYMENTS MADE DURING THE CALENDAR YEAR 2013 TO INDEPENDENT CONTRACTORS FOR SERVICES. FOR CONSTRUCTION SERVICES, THE FEES REPRESENT THE ENTIRE CONTRACT COST. FORM 990, PART IX, LINE 24 OTHER EXPENSES INCLUDE $796,831 OF STATE UNRELATED BUSINESS INCOME TAX |

| Return Reference | Explanation |
| --- | --- |
| FORM 990, PART XI, LINE 9 | CHANGE IN FUNDING STATUS OF DEFINED BENEFIT PLANS 53,007,000 ACTUARIAL ADJUSTMENTS FOR SPLIT INTEREST LIABILITY -10,970,082 ROUNDING -1,148 |

| Return Reference | Explanation |
| --- | --- |
| FORM 990, SCHEDULE B, PART I | CONTRIBUTOR NO 1 THE CONTRIBUTION REPRESENTS A PLEDGE. IT IS UNKNOWN AT THIS TIME WHETHER THE PLEDGE WILL BE SATISIFIED WITH CASH OR NON-CASH PROPERTY |

# SCHEDULE R (Form 990)

Department of the Treasury
Internal Revenue Service

## Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule R (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

**2013**

**Open to Public Inspection**

Name of the organization
YALE UNIVERSITY

**Employer identification number**
06-0646973

**Part I** **Identification of Disregarded Entities** Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

| (a) Name, address, and EIN (if applicable) of disregarded entity | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Total income | (e) End-of-year assets | (f) Direct controlling entity |
|---|---|---|---|---|---|
| See Additional Data Table | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |

**Part II** **Identification of Related Tax-Exempt Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Exempt Code section | (e) Public charity status (if section 501(c)(3)) | (f) Direct controlling entity | (g) Section 512(b)(13) controlled entity? Yes | No |
|---|---|---|---|---|---|---|---|
| See Additional Data Table | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |
| | | | | | | | |

**Part III** **Identification of Related Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Predominant income(related, unrelated, excluded from tax under sections 512-514) | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproportionate allocations? | | (i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065) | (j) General or managing partner? | | (k) Percentage ownership |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | Yes | No | | Yes | No | |
| See Additional Data Table | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |
| | | | | | | | | | | | | |

**Part IV** **Identification of Related Organizations Taxable as a Corporation or Trust** Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Type of entity (C corp, S corp, or trust) | (f) Share of total income | (g) Share of end-of-year assets | (h) Percentage ownership | (i) Section 512 (b)(13) controlled entity? | |
|---|---|---|---|---|---|---|---|---|---|
| | | | | | | | | Yes | No |
| See Additional Data Table | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| | | | | | | | | | |

**Part V** **Transactions With Related Organizations** Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

**Note.** Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

| | | Yes | No |
|---|---|---|---|
| **1** During the tax year, did the orgranization engage in any of the following transactions with one or more related organizations listed in Parts II-IV? | | | |
| **a** Receipt of **(i)** interest **(ii)** annuities **(iii)** royalties or **(iv)** rent from a controlled entity | 1a | | No |
| **b** Gift, grant, or capital contribution to related organization(s) | 1b | Yes | |
| **c** Gift, grant, or capital contribution from related organization(s) | 1c | Yes | |
| **d** Loans or loan guarantees to or for related organization(s) | 1d | | No |
| **e** Loans or loan guarantees by related organization(s) | 1e | | No |
| **f** Dividends from related organization(s) | 1f | | No |
| **g** Sale of assets to related organization(s) | 1g | | No |
| **h** Purchase of assets from related organization(s) | 1h | | No |
| **i** Exchange of assets with related organization(s) | 1i | | No |
| **j** Lease of facilities, equipment, or other assets to related organization(s) | 1j | | No |
| **k** Lease of facilities, equipment, or other assets from related organization(s) | 1k | | No |
| **l** Performance of services or membership or fundraising solicitations for related organization(s) | 1l | Yes | |
| **m** Performance of services or membership or fundraising solicitations by related organization(s) | 1m | Yes | |
| **n** Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) | 1n | Yes | |
| **o** Sharing of paid employees with related organization(s) | 1o | | No |
| **p** Reimbursement paid to related organization(s) for expenses | 1p | Yes | |
| **q** Reimbursement paid by related organization(s) for expenses | 1q | Yes | |
| **r** Other transfer of cash or property to related organization(s) | 1r | Yes | |
| **s** Other transfer of cash or property from related organization(s) | 1s | Yes | |

**2** If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

| **(a)** Name of related organization | **(b)** Transaction type (a-s) | **(c)** Amount involved | **(d)** Method of determining amount involved |
|---|---|---|---|
| See Additional Data Table | | | |
| | | | |
| | | | |
| | | | |
| | | | |
| | | | |

**Part VI** **Unrelated Organizations Taxable as a Partnership** Complete if the organization answered "Yes" on Form 990, Part IV, line 37.

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization See instructions regarding exclusion for certain investment partnerships

| (a) Name, address, and EIN of entity | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Predominant income (related, unrelated, excluded from tax under sections 512-514) | (e) Are all partners section 501(c)(3) organizations? | | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproprtionate allocations? | | (i) Code V?UBI amount in box 20 of Schedule K-1 (Form 1065) | (j) General or managing partner? | | (k) Percentage ownership |
|---|---|---|---|---|---|---|---|---|---|---|---|---|---|
| | | | | Yes | No | | | Yes | No | | Yes | No | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |
| | | | | | | | | | | | | | |

## Part VII Supplemental Information

Provide additional information for responses to questions on Schedule R (see instructions)

| Return Reference | Explanation |
|---|---|
| SCHEDULE R, PART I-V | NAME, ADDRESS AND EIN THE FORM 990, SCHEDULE R, LISTS THE NAME OF EACH ENTITY AS OF THE DATE OF THE FORM 990 FILING SCHEDULE R, PART III GENERAL NOTE DISPROPORTIONATE ALLOCATIONS INDICATED IN COLUMN (H) OF PART III GENERALLY RELATE TO I ) A GENERAL PARTNER'S TYPICAL CARRIED INTEREST WITH RESPECT TO PRIVATE FUND INVESTMENTS OR II ) ALLOCATIONS AMONG 501(C)(3) ORGANIZATIONS THAT ARE PARTNERS OR MEMBERS IN AN INVESTMENT ENTITY SCHEDULE R, PART IV AS OF JUNE 30, 2014, YALE UNIVERSITY HELD MORE THAN 50% OF THE BENEFICIAL INTERESTS IN 62 CHARITABLE REMAINDER TRUSTS OF WHICH 58 WERE DOMICILED IN CONNECTICUT AND THE REMAINDER WERE DOMICILED IN TENNESSEE, PENNSYLVANIA, MASSACHUSETTS, AND NEW JERSEY SCHEDULE R, PART V GENERAL NOTE THIS SCHEDULE REFLECTS ALL TRANSFERS BY YALE UNIVERSITY TO CORPORATIONS, PARTNERSHIPS, OR OTHER ENTITIES CONTROLLED BY YALE AS DEFINED IN IRC SECTION 512(B)(13) AND RELATED TAX EXEMPT ORGANIZATIONS NOT DESCRIBED IN IRC SECTION 501(C)(3) IN SOME INSTANCES, THESE ENTITIES MADE FURTHER TRANSFERS TO OTHER ENTITIES CONTROLLED BY THEM OR YALE UNIVERSITY IN ADDITION, THIS SCHEDULE REFLECTS ALL TRANSFERS TO YALE UNIVERSITY BY CORPORATIONS, PARTNERSHIPS, OR OTHER ENTITIES CONTROLLED BY YALE AS DEFINED IN IRC SECTION 512(B)(13) AND RELATED TAX EXEMPT ORGANIZATIONS NOT DESCRIBED IN IRC SECTION 501(C)(3) IN SOME INSTANCES, THESE ENTITIES RECEIVED TRANSFERS FROM OTHER ENTITIES CONTROLLED BY THEM OR YALE UNIVERSITY |

## Additional Data

**Software ID:**
**Software Version:**
**EIN:** 06-0646973
**Name:** YALE UNIVERSITY

### Form 990, Schedule R, Part I - Identification of Disregarded Entities

| (a) Name, address, and EIN (if applicable) of disregarded entity | (b) Primary Activity | (c) Legal Domicile (State or Foreign Country) | (d) Total income | (e) End-of-year assets | (f) Direct Controlling Entity |
|---|---|---|---|---|---|
| (1) YD SMA LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>46-3365267 | INVESTMENT | DE | 2,631,543 | 279,695,345 | YALE UNIVERSITY |
| (1) BOYLSTON LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>46-3324804 | INVESTMENT | DE | 0 | 155,970,690 | YALE UNIVERSITY |
| (2) FENIX I LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>26-2863129 | INVESTMENT | DE | 364,235 | 38,810,066 | YALE UNIVERSITY |
| (3) SARDOUS LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>02-0580383 | INVESTMENT | DE | 881,912 | 23,556,901 | YALE UNIVERSITY |
| (4) HARBOR HILLS INVESTMENTS LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>20-0099423 | INVESTMENT | DE | 99,401 | 18,576,996 | YALE UNIVERSITY |
| (5) RENAISSANCE I LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>26-2863298 | INVESTMENT | DE | | 10,287,222 | YALE UNIVERSITY |
| (6) WE 2 CHURCH STREET SOUTH LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>80-0066065 | INVESTMENT | CT | 627,719 | 9,125,009 | YALE UNIVERSITY |
| (7) ESV HOLDINGS LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>20-3980473 | INVESTMENT | DE | 0 | 4,058,047 | YALE UNIVERSITY |
| (8) ALPHA 9 LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>27-0982227 | INVESTMENT | CT | 0 | 3,451,348 | YALE UNIVERSITY |
| (9) S PROPERTY HOLDING LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>27-3717440 | INVESTMENT | CT | 53,125 | 1,575,007 | YALE UNIVERSITY |
| (10) GSWM INVESTMENTS LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>27-3717358 | INVESTMENT | CT | 30,001 | 1,107,600 | YALE UNIVERSITY |
| (11) PASSIVE HOLDING LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>22-3783661 | INVESTMENT | NJ | 0 | 877,366 | YALE UNIVERSITY |
| (12) 4610 SOUTH 133RD STREET LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-0646973 | INVESTMENT | NE | 0 | 769,458 | YALE UNIVERSITY |
| (13) AMISTAD PARTNERS LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>73-1683601 | INVESTMENT | CT | 0 | 599,975 | YALE UNIVERSITY |
| (14) FLATIRON FUNDING II LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>42-1688137 | INVESTMENT | DE | 52,039 | 199,767 | YALE UNIVERSITY |
| (15) YALE GLOBAL ENTERPRISES LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>27-0859961 | SUPPORTS HIGHER EDUCATION | CT | 0 | 150,000 | YALE UNIVERSITY |
| (16) 41 NORTH LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-1594874 | INVESTMENT | DE | 0 | 1,048 | YALE UNIVERSITY |
| (17) 4 MEETING HOUSE ROAD LLC<br>C/O YALE UNIVERSITY PO BOX 208240<br>NEW HAVEN, CT 065208239<br>06-0646973 | INVESTMENT | MA | 2,677,953 | 0 | YALE UNIVERSITY |
| (18) THE UK FRIENDS OF YALE UNIVERSITY LIMITED<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>98-0552738 | ACCEPTS CHARITABLE CONTRIBUTIONS | UK | 1,846,750 | 0 | YALE UNIVERSITY |
| (19) BETA 14 LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>27-0982129 | INACTIVE | CT | 0 | 0 | YALE UNIVERSITY |

## Form 990, Schedule R, Part I - Identification of Disregarded Entities

| (a) Name, address, and EIN (if applicable) of disregarded entity | (b) Primary Activity | (c) Legal Domicile (State or Foreign Country) | (d) Total income | (e) End-of-year assets | (f) Direct Controlling Entity |
|---|---|---|---|---|---|
| (21) BLUEFIELD TIMBER LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>02-0520556 | INVESTMENT | DE | 0 | 0 | 41 NORTH LLC |
| (1) ENDURANCE LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-1606540 | INVESTMENT | DE | 0 | 0 | YALE UNIVERSITY |
| (2) MEDICAL PRACTICE MANAGEMENT LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>27-1545102 | ADMINISTRATIVE SERVICES | CT | 0 | 0 | YALE UNIVERSITY |
| (3) YALE EDUCATIONAL CONSULTING (BEIJING) LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>98-1006940 | SUPPORTS HIGHER EDUCATION | CH | 0 | 0 | YALE GLOBAL ENTERPRISES LLC |
| (4) YALE STUDENT INVESTMENT FUND LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>46-3851735 | INVESTMENT | DE | 0 | 0 | YALE UNIVERSITY |

**Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations**

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Exempt Code section | (e) Public charity status (if section 501(c)(3)) | (f) Direct controlling entity | (g) Section 512(b)(13) controlled entity? Yes | No |
|---|---|---|---|---|---|---|---|
| (1) 1970 (DEC02) YALE WILLIAM S BEINEKE LIFE-PINGRY<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>13-6331695 | INVESTMENT | IL | 501(C)(3) | 11, TYPE III-O | YALE UNIVERSITY | Yes | |
| (1) 3C CORPORATION<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>41-2046529 | INVESTMENT | DC | 501(C)(3) | 11, TYPE I | YALE UNIVERSITY | Yes | |
| (2) 5C CORPORATION<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>20-0763050 | INVESTMENT | DC | 501(C)(3) | 11, TYPE I | YALE UNIVERSITY | Yes | |
| (3) ALUMNI FUND ASSOCIATION OF YALE UNIVERSITY<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-6078326 | ENCOURAGES AND SOLICITS CONTRIBUTIONS TO OR FOR YALE UNIVERSITY | CT | 501(C)(3) | 11, TYPE I | YALE UNIVERSITY | Yes | |
| (4) ANNE D MILLER FBO FRANK MILLER MEMORIAL SCHOLARSHIP FUND<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-6180906 | FUNDS SCHOLARSHIPS | CT | 4947(A)(1) | 11, TYPE III - O | YALE UNIVERSITY | Yes | |
| (5) CHAPEL COMPANY<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-1532073 | INACTIVE | CT | 501(C)(2) | N/A | YALE UNIVERSITY | Yes | |
| (6) CHARLES A COFFIN MEMORIAL FUND<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-6034841 | SUPPORTS HIGHER EDUCATION | CT | 501(C)(3) | 11, TYPE III-OTHER | YALE UNIVERSITY | Yes | |
| (7) CHIEF EXECUTIVE LEADERSHIP INSTITUTE OF YALE SCHOOL OF MGMT<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>58-2617787 | PROVIDES RESEARCH AND PEER-DRIVEN EDU PROGRAMS TO BUSINESSES | DE | 501(C)(3) | 11, TYPE I | YALE UNIVERSITY | Yes | |
| (8) ELIZABETH N ROBB CHARITABLE FOUNDATION<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>77-6238653 | INVESTMENT | WV | 501(C)(3) | 11, TYPE III-O | N/A | | No |
| (9) FW & ELSIE HEYL SCIENCE SCHOLARSHIP FUND<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>38-6194019 | SUPPORTS HIGHER EDUCATION | MI | 501(C)(3) | 11, TYPE III-O | N/A | | No |
| (10) FOUNDING FATHERS PAPERS INC<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>22-2365602 | SUPPORTS AND FACILITATES PUBLICATIONS | NJ | 501(C)(3) | 11, TYPE I | N/A | | No |
| (11) GRUBER FOUNDATION THE<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>45-1540594 | SUPPORTS HIGHER EDUCATION | DE | 501(C)(3) | 11, TYPE I | YALE UNIVERSITY | Yes | |
| (12) HEALTH BENEFITS HOLDINGS INC<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-1559155 | INVESTMENT | CT | 501(C)(2) | N/A | YALE UNIVERSITY | | No |
| (13) HR PROPERTIES INC<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-1341463 | INVESTMENT | CT | 501(C)(2) | N/A | YALE UNIVERSITY | Yes | |
| (14) JONATHAN EDWARDS TRUST<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-6084676 | SUPPORTS HIGHER EDUCATION | CT | 501(C)(3) | 11, TYPE III-FI | YALE UNIVERSITY | Yes | |
| (15) PAUL MELLON CENTRE FOR STUDIES IN BRITISH ART<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>22-3783661 | PROMOTES AND SUPPORTS STUDY OF BRITISH ART AND ARCHITECTURE | UK | 501(C)(3) | N/A | YALE UNIVERSITY | Yes | |
| (16) PROSPECT STREET HOLDINGS INC<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-1424260 | TITLE HOLDING COMPANY | CT | 501(C)(2) | N/A | YALE UNIVERSITY | Yes | |
| (17) RICHARD U LIGHT FOUNDATION<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>38-2456949 | SUPPORTS EDUCATION | MI | 501(C)(3) | 11, TYPE I | N/A | | No |
| (18) STAFF RETIREMENT HOLDINGS INC<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-1559156 | INVESTMENT | CT | 501(C)(2) | N/A | YALE UNIVERSITY | | No |
| (19) STOECKEL E B RESIDUE TRUST<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-0653108 | INVESTMENT | CT | 501(C)(3) | 11, TYPE III-O | YALE UNIVERSITY | Yes | |

**Form 990, Schedule R, Part II - Identification of Related Tax-Exempt Organizations**

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Exempt Code section | (e) Public charity status (if section 501(c)(3)) | (f) Direct controlling entity | (g) Section 512(b)(13) controlled entity? Yes | No |
|---|---|---|---|---|---|---|---|
| (21) THE BOARD OF TRUSTEES OF THE SHEFFIELD SCIENTIFIC SCHOOL<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-6032565 | PROMOTES THE STUDY OF THE PHYSICAL, NATURAL AND MATHEMATICAL SCIENCES | CT | 501(C)(3) | 11, TYPE II | YALE UNIVERSITY | Yes | |
| (1) YALE ALUMNI PUBLICATIONS INC<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-0646970 | DISTRIBUTES ALUMNI MAGAZINE | CT | 501(C)(3) | 11, TYPE III-FI | N/A | | No |
| (2) YALE ALUMNI SCHOLARSHIP OF CLEVELAND INC<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>34-6523145 | FUNDS SCHOLARSHIPS | OH | 501(C)(3) | 11, TYPE III - FI | N/A | | No |
| (3) YALE CLUB OF CENTRAL NEW JERSEY<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>22-6048686 | FUNDS SCHOLARSHIPS | NJ | 501(C)(3) | 11, TYPE III - O | N/A | | No |
| (4) YALE SCHOLARSHIP FUND OF PITTSBURGH<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>23-7046816 | FUNDS SCHOLARSHIPS | PA | 501(C)(3) | 11, TYPE III - FI | N/A | | No |
| (5) YALE SCHOLARSHIP TRUST OF BOSTON<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>04-6113108 | FUNDS SCHOLARSHIPS | MA | 501(C)(3) | 11, TYPE III - FI | N/A | | No |
| (6) YALE SOUTHERN OBSERVATORY INC<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-6068906 | RESEARCH AND EDUCATION | CT | 501(C)(3) | 7 | YALE UNIVERSITY | Yes | |
| (7) YALE UNIVERSITY ELIZABETHAN CLUB CORP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-0332975 | PROMOTES APPRECIATION OF LITERATURE AMONG MEMBERSHIP AND COMMUNITY | CT | 501(C)(3) | 11, TYPE III - FI | YALE UNIVERSITY | Yes | |
| (8) YALE UNIVERSITY PRESS LONDON<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239 | PUBLISHES SERIOUS WORKS | UK | 501(C)(3) | | YALE UNIVERSITY | Yes | |
| (9) YALE UNIVERSITY RETIREE HEALTH BENEFITS COVERAGE TRUST<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-6414276 | 501(A) TRUST THAT SUPPORTS YALE UNIVERSITY | CT | 501(C)(3) | 11, TYPE II | YALE UNIVERSITY | Yes | |
| (10) YALE- WISCONSIN SCHOLARSHIP FUND<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>39-6065573 | FUNDS SCHOLARSHIPS | WI | 501(C)(3) | 11, TYPE III - O | N/A | | No |
| (11) YALE-NEW HAVEN MEDICAL CENTER INC<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>23-7440891 | SUPPORTS JOINT PROGRAMS OF YALE-NEW HAVEN HOSPITAL AND YALE UNIVERSITY | CT | 501(C)(3) | 11, TYPE III - FI | N/A | | No |

**Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership**

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal Domicile (State or Foreign Country) | (d) Direct Controlling Entity | (e) Predominant income (related, unrelated, excluded from tax under sections 512-514) | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproportionate allocations? Yes | (h) No | (i) Code V-UBI amount in Box 20 of K-1 (Form 1065) | (j) General or Managing Partner? Yes | (j) No | (k) Percentage ownership |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| FOURTH CENTURY LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>41-2046537 | INVESTMENT | DE | YALE UNIVERSITY | EXCLUDED FROM TAX | 504,935,390 | 7,751,269,160 | Yes | | -24,123,417 | | No | 99 800 % |
| FIFTH CENTURY LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-0763076 | INVESTMENT | NV | YALE UNIVERSITY | EXCLUDED FROM TAX | 435,621,227 | 5,871,297,306 | Yes | | 1,743,104 | | No | 99 800 % |
| LAUREL 2008 LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-1649501 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 84,968,937 | 1,627,808,263 | Yes | | 3,772,606 | | No | 99 600 % |
| 667 LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>06-1609184 | INVESTMENT | DE | BBF I HOLDINGS LP | EXCLUDED FROM TAX | 76,921,494 | 728,919,897 | | No | | | No | 86 230 % |
| BBF I HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-8497574 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 76,921,495 | 687,297,855 | | No | | | No | 99 600 % |
| YB INSTITUTIONAL LIMITED PARTNERSHIP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>04-3431530 | INVESTMENT | DE | YALE UNIVERSITY | EXCLUDED FROM TAX | 62,247,557 | 668,125,434 | Yes | | 1,100,259 | | No | 99 860 % |
| LONG TERM VALUE FUND LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>98-0623624 | INVESTMENT | CJ | LAUREL 2008 LP | EXCLUDED FROM TAX | 42,742,014 | 631,674,816 | Yes | | 1,321,016 | | No | 99 600 % |
| CYR HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-0788802 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 22,755,655 | 528,011,958 | | No | | | No | 99 600 % |
| FARALLON CAPITAL INSTITUTIONAL PARTNERS II LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>94-3106692 | INVESTMENT | CA | YALE UNIVERSITY | EXCLUDED FROM TAX | 32,021,012 | 443,117,974 | Yes | | 2,541 | | No | 90 920 % |
| CRS MASTER FUND LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>45-2658870 | INVESTMENT | CJ | CRS FUND LTD | EXCLUDED FROM TAX | 88,074,940 | 412,816,691 | | No | | | No | 93 350 % |
| EASTON 2007 LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-0627974 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 22,517,269 | 410,902,274 | Yes | | 856 | | No | 99 600 % |
| SP II HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-0697863 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 56,302,200 | 387,304,453 | Yes | | -3,859 | | No | 99 600 % |
| PROSPECT STREET PARTNERS LTD<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>59-3041479 | INVESTMENT | FL | YALE UNIVERSITY | EXCLUDED FROM TAX | -4,626,409 | 305,154,217 | | No | -27,310 | | No | 78 490 % |
| YELLOW WARBLER LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-0342603 | INVESTMENT | TX | FOURTH CENTURY LLC | EXCLUDED FROM TAX | -52,220,673 | 233,844,639 | | No | | | No | 98 120 % |
| YHG INVESTMENT LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239 | INVESTMENT | CJ | GAOLING YALI FEEDER LTD | EXCLUDED FROM TAX | 11,097,672 | 231,527,982 | | No | | | No | 75 940 % |

**Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership**

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal Domicile (State or Foreign Country) | (d) Direct Controlling Entity | (e) Predominant income (related, unrelated, excluded from tax under sections 512-514) | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproportionate allocations? Yes | (h) No | (i) Code V-UBI amount in Box 20 of K-1 (Form 1065) | (j) General or Managing Partner? Yes | (j) No | (k) Percentage ownership |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| NOGA PARTNERS LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>20-1192059 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 9,063,634 | 199,655,559 | Yes | | 1,098 | | No | 93 290 % |
| IVP VI HOLDINGS LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>20-8699381 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 7,880,655 | 198,725,517 | Yes | | -374,339 | | No | 99 600 % |
| KTOWN LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>27-4278453 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 11,685,715 | 182,043,091 | Yes | | | | No | 96 780 % |
| KHP FUND II LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>20-5882068 | INVESTMENT | CA | FOURTH CENTURY LLC | UNRELATED | -559,739 | 174,929,365 | | No | -5,821,389 | | No | 60 180 % |
| STRATEGIC RESIDENTIAL FUND II LLC<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>04-3584406 | INVESTMENT | DE | YALE UNIVERSITY | EXCLUDED FROM TAX | 55,868,357 | 153,434,203 | | No | | | No | 95 000 % |
| LUXIVER LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>45-1504333 | INVESTMENT | DE | YALE UNIVERSITY | EXCLUDED FROM TAX | 17,698,259 | 148,825,236 | | No | -1,357,233 | | No | 97 760 % |
| NIFL HOLDINGS LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>20-8973999 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 1,246,987 | 121,361,916 | | No | | | No | 99 600 % |
| KHP FUND I LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>65-1232049 | INVESTMENT | CA | FOURTH CENTURY LLC | UNRELATED | 6,832,168 | 115,981,206 | | No | 6,628,474 | | No | 58 640 % |
| JBG INVESTMENT FUND III LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>52-2384738 | INVESTMENT | DE | FOURTH CENTURY LLC | UNRELATED | -2,042,709 | 112,639,241 | Yes | | -1,541,654 | | No | 59 460 % |
| JBG INVESTMENT FUND IV LLC<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>01-0815265 | INVESTMENT | DE | FOURTH CENTURY LLC | UNRELATED | -848,859 | 102,483,544 | | No | -3,473,955 | | No | 59 880 % |
| TDRC II-A LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>20-5025534 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | | 100,826,618 | | No | | | No | 99 600 % |
| STRATEGIC RESIDENTIAL FUND I LLC<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>04-3398268 | INVESTMENT | DE | YALE UNIVERSITY | EXCLUDED FROM TAX | -5,674,736 | 97,761,908 | Yes | | | | No | 92 500 % |
| PEPF IV HOLDINGS LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>26-0290250 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 7,878,477 | 93,461,340 | | No | | | No | 99 600 % |
| JPF V HOLDINGS LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>20-2008388 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 5,477,263 | 90,648,770 | Yes | | -1,605,885 | | No | 99 600 % |
| LUXIVER NAWAB LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>45-3117734 | INVESTMENT | DE | YALE UNIVERSITY | EXCLUDED FROM TAX | 31,199,565 | 83,615,868 | | No | | | No | 100 000 % |

**Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership**

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal Domicile (State or Foreign Country) | (d) Direct Controlling Entity | (e) Predominant income (related, unrelated, excluded from tax under sections 512-514) | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproportionate allocations? Yes | (h) Disproportionate allocations? No | (i) Code V-UBI amount in Box 20 of K-1 (Form 1065) | (j) General or Managing Partner? Yes | (j) General or Managing Partner? No | (k) Percentage ownership |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| AEF 5 US HOLDINGS LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>20-4076676 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 12,953,175 | 83,603,495 | | No | | | No | 99 600 % |
| CYR FUND LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>26-0270646 | INVESTMENT | DE | CYR HOLDINGS LP | EXCLUDED FROM TAX | 2,504,888 | 78,115,899 | | No | | | No | 93 270 % |
| M&H REALTY PARTNERS VI LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>57-1182074 | INVESTMENT | CA | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 29,050 | 73,860,723 | Yes | | | | No | 52 900 % |
| OMAHA LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239 | INVESTMENT | CA | OM HOLDINGS LP | EXCLUDED FROM TAX | -2,422,280 | 71,205,628 | | No | | | No | 98 600 % |
| FOXWAY LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>46-3794906 | INVESTMENT | DE | YALE UNIVERSITY | EXCLUDED FROM TAX | 25,785 | 70,917,024 | | No | | | No | 99 660 % |
| BAYROOT LLC<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>20-0390410 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 3,767,589 | 69,969,002 | Yes | | | | No | 98 800 % |
| CAUTHEN VI LLC<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>20-8202030 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | -1,910,391 | 65,603,814 | | No | -805,625 | | No | 79 840 % |
| CAUTHEN VII LLC<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>26-3653027 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 3,000,944 | 65,118,651 | | No | 410,719 | | No | 79 840 % |
| RCP II HOLDINGS LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>26-0444200 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 4,122,314 | 65,087,586 | | No | | | No | 99 600 % |
| AEF 6 US HOLDINGS LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>26-2867531 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 1,910,291 | 60,961,155 | Yes | | -205,379 | | No | 99 600 % |
| WOODWAY LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>26-1206761 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 2,424,697 | 60,311,197 | | No | | | No | 79 840 % |
| FRC II HOLDINGS LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>26-1670120 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | -405,458 | 59,676,273 | | No | | | No | 99 600 % |
| MEP IX LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>75-2537935 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 52,029,313 | 58,597,066 | | No | | | No | 100 000 % |
| GEF II HOLDINGS LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>26-0348232 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 1,258,944 | 57,747,962 | | No | | | No | 99 600 % |
| BCAF HOLDINGS LP<br><br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>20-8453177 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 8,669,132 | 56,241,064 | | No | | | No | 99 600 % |

**Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership**

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal Domicile (State or Foreign Country) | (d) Direct Controlling Entity | (e) Predominant income (related, unrelated, excluded from tax under sections 512-514) | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproprtionate allocations? Yes | (h) Disproprtionate allocations? No | (i) Code V-UBI amount in Box 20 of K-1 (Form 1065) | (j) General or Managing Partner? Yes | (j) General or Managing Partner? No | (k) Percentage ownership |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| JPS HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-8909921 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | | 54,183,159 | | No | | | No | 99 600 % |
| SEDGWICK LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-0483594 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 3,304,461 | 52,174,417 | | No | | | No | 79 840 % |
| GHEP III HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-3853985 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 4,220,821 | 47,455,335 | | No | | | No | 99 600 % |
| Y2K PARTNERS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>71-1007459 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | -13,202,752 | 46,447,235 | | No | | | No | 99 800 % |
| ALAMANCE LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-2575524 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 288,308 | 46,220,378 | | No | | | No | 79 840 % |
| RIDGEFIELD VIII LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-1603389 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 3,577,458 | 45,681,037 | | No | 560,010 | | No | 79 840 % |
| LCPF V HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-2776532 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | -10,010 | 44,594,229 | | No | | | No | 99 600 % |
| OSCEOLA LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-2008431 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 2,638,622 | 42,743,738 | | No | -755,709 | | No | 79 840 % |
| MP-MF HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-2099979 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 2,408,629 | 41,512,561 | | No | | | No | 99 600 % |
| MANASLU FUND LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>98-0615593 | INVESTMENT | CJ | MP-MF HOLDINGS LP | EXCLUDED FROM TAX | 2,408,630 | 41,511,648 | Yes | | | | No | 98 910 % |
| ALAMANCE VII LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>45-2046522 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 1,006,298 | 40,673,745 | | No | | | No | 79 840 % |
| MERRIWEATHER LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>04-3664410 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 538,545 | 39,585,785 | Yes | | | | No | 99 760 % |
| YADKIN LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-2707395 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 1,484,191 | 38,499,946 | | No | | | No | 79 840 % |
| GLOSTER LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-1263980 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 1,422,188 | 38,217,324 | | No | 71,419 | | No | 79 840 % |
| WYNNWOOD VIII LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-3294405 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 986,903 | 37,257,254 | | No | -1,028,108 | | No | 79 840 % |

**Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership**

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal Domicile (State or Foreign Country) | (d) Direct Controlling Entity | (e) Predominant income (related, unrelated, excluded from tax under sections 512-514) | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproportionate allocations? Yes | (h) Disproportionate allocations? No | (i) Code V-UBI amount in Box 20 of K-1 (Form 1065) | (j) General or Managing Partner? Yes | (j) General or Managing Partner? No | (k) Percentage ownership |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| ANACONDA LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239 | INVESTMENT | CA | FIFTH CENTURY LP | EXCLUDED FROM TAX | -1,551,368 | 36,950,799 | | No | | | No | 98 800 % |
| FIF IV (CFA) HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-4764198 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | -435,381 | 35,300,966 | Yes | | -141 | | No | 99 600 % |
| RAMSGATE VIII LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-5259910 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | | 35,214,779 | | No | | | No | 79 840 % |
| BCVF 2007 HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-5965183 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | -2,188,213 | 34,542,157 | | No | | | No | 99 600 % |
| RIDGEFIELD LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-2022850 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 11,261,496 | 33,995,802 | | No | | | No | 79 840 % |
| CALVERT VI LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-1603346 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 1,114,477 | 33,344,566 | | No | -265,468 | | No | 79 840 % |
| ALDERBROOK LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-2939768 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 3,457,976 | 32,863,917 | | No | -26,458 | | No | 79 840 % |
| NLVF II HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-1545222 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 111,521 | 32,767,856 | | No | | | No | 99 600 % |
| YADKIN III LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-2477930 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 7,085,046 | 31,691,938 | | No | | | No | 79 840 % |
| RCP HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-2008465 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 9,662,812 | 30,334,026 | | No | | | No | 99 600 % |
| HAWTHORNE III LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-0854590 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | | 29,883,805 | | No | | | No | 79 840 % |
| UHLE LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>27-3981909 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 292,017 | 29,627,131 | | No | 124,174 | | No | 79 840 % |
| CALVERT LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-3960036 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | | 29,573,185 | | No | | | No | 79 840 % |
| SP 8 HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-0558478 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 93,639 | 29,240,308 | | No | | | No | 99 600 % |
| WYNNWOOD II LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-5548320 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 1,710,632 | 29,180,513 | | No | 107,227 | | No | 79 840 % |

**Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership**

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal Domicile (State or Foreign Country) | (d) Direct Controlling Entity | (e) Predominant income (related, unrelated, excluded from tax under sections 512-514) | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproportionate allocations? Yes | (h) Disproportionate allocations? No | (i) Code V-UBI amount in Box 20 of K-1 (Form 1065) | (j) General or Managing Partner? Yes | (j) General or Managing Partner? No | (k) Percentage ownership |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| YADKIN IV LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>46-1569715 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | -182,548 | 29,163,735 | | No | | | No | 79 840 % |
| CAUTHEN VIII LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>45-2750613 | INVESTMENT | DE | FOURTH CENTURY LLC | UNRELATED | 125,004 | 28,496,513 | | No | 231,330 | | No | 79 840 % |
| ALAMANCE A LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-3484520 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 177,421 | 28,442,913 | | No | | | No | 79 840 % |
| HEVP II HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-1828824 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 2,402,750 | 28,243,964 | | No | | | No | 99 600 % |
| IRIS 2009 LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-4100777 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 1,172,100 | 27,700,614 | | No | | | No | 99 600 % |
| RAMSGATE LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-0419757 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | | 25,978,691 | | No | | | No | 79 840 % |
| MANASLU FUND II LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>98-1158285 | INVESTMENT | CJ | FOURTH CENTURY LLC | EXCLUDED FROM TAX | | 25,932,748 | | No | | | No | 61 610 % |
| GARFIELD LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-4592367 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 15,220,854 | 25,309,114 | | No | 1,011 | | No | 79 840 % |
| RAMSGATE X LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>27-3616177 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | | 24,777,750 | | No | | | No | 79 840 % |
| DENT VI LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-2867610 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 774,416 | 24,707,863 | | No | -83,075 | | No | 79 840 % |
| SCOTT LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>27-3616316 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 190,773 | 24,700,866 | | No | -114,754 | | No | 79 840 % |
| WINDOM LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-1768804 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 16,587 | 24,178,095 | | No | 3,951 | | No | 79 840 % |
| FULTON LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-0165041 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 8,184,935 | 24,085,307 | | No | | | No | 79 840 % |
| EDMUNDS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-4257832 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 815,779 | 23,778,190 | | No | 45,180 | | No | 79 840 % |
| RAMSGATE IX LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-8833887 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | | 23,582,722 | | No | | | No | 79 840 % |

**Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership**

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal Domicile (State or Foreign Country) | (d) Direct Controlling Entity | (e) Predominant income (related, unrelated, excluded from tax under sections 512-514) | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproportionate allocations? Yes | (h) Disproportionate allocations? No | (i) Code V-UBI amount in Box 20 of K-1 (Form 1065) | (j) General or Managing Partner? Yes | (j) General or Managing Partner? No | (k) Percentage ownership |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| TYPHOON LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>01-0685132 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 377,933 | 23,446,178 | Yes | | | | No | 91 270 % |
| HEVP HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-4982016 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 4,123,368 | 23,243,823 | | No | | | No | 99 600 % |
| ALAMANCE VI LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-8558864 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 4,788,464 | 21,733,018 | | No | | | No | 79 840 % |
| VOLTA LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-3738749 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | -2,256,309 | 21,612,718 | | No | -1,449,973 | | No | 79 840 % |
| DENT LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-4202230 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 3,296,288 | 21,184,222 | | No | | | No | 79 840 % |
| ABINGDON LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>61-1707283 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 6,760 | 21,157,196 | | No | -1,165 | | No | 79 840 % |
| MACOMB IX LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-5025458 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 5,464,381 | 20,473,265 | | No | 59,138 | | No | 79 840 % |
| IVA II HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-2652041 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | -2,974,725 | 19,974,157 | | No | | | No | 99 600 % |
| YUMA LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-1584783 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 1,626,642 | 19,465,152 | | No | | | No | 79 840 % |
| FARALLON CAPITAL INSTITUTIONAL PARTNERS 25 LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>94-3388317 | INVESTMENT | DE | YALE UNIVERSITY | UNRELATED | -792,936 | 19,039,265 | Yes | | -736,892 | | No | 87 350 % |
| KCF HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-8861865 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | -483,042 | 18,997,171 | | No | | | No | 99 600 % |
| HUIDIKOPER LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-4196504 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 782,966 | 18,506,639 | | No | | | No | 79 840 % |
| CHICAGO LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239 | INVESTMENT | CA | FIFTH CENTURY LP | EXCLUDED FROM TAX | -1,021,373 | 18,352,292 | | No | | | No | 98 800 % |
| VOLTA IV LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>27-0914710 | INVESTMENT | DE | FOURTH CENTURY LLC | UNRELATED | 951,246 | 18,292,994 | | No | 718,331 | | No | 79 840 % |
| WHITEHAVEN X LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>27-5205346 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 742,828 | 17,283,804 | | No | | | No | 79 840 % |

**Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership**

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal Domicile (State or Foreign Country) | (d) Direct Controlling Entity | (e) Predominant income (related, unrelated, excluded from tax under sections 512-514) | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproportionate allocations? Yes | (h) Disproportionate allocations? No | (i) Code V-UBI amount in Box 20 of K-1 (Form 1065) | (j) General or Managing Partner? Yes | (j) General or Managing Partner? No | (k) Percentage ownership |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| OGDEN LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-1212114 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 6,112,139 | 16,966,813 | | No | | | No | 79 840 % |
| GLOSTER III LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-8833953 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 238,067 | 16,707,282 | | No | | | No | 79 840 % |
| VEITCH LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>45-0999084 | INVESTMENT | DE | FOURTH CENTURY LLC | UNRELATED | -183,387 | 15,859,457 | | No | -198,681 | | No | 79 840 % |
| SANGAMORE III LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-0753284 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 826,444 | 15,173,813 | | No | | | No | 79 840 % |
| LORTON LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>45-4238865 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 72,787 | 14,976,953 | | No | -24,005 | | No | 79 840 % |
| YANKEE FOREST LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>04-3384575 | INVESTMENT | NH | YALE UNIVERSITY | EXCLUDED FROM TAX | 978,063 | 14,945,680 | Yes | | | | No | 99 000 % |
| FRESHET WIND ENERGY LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>33-1200160 | INVESTMENT | DE | FWEB LLC | EXCLUDED FROM TAX | -2,355,706 | 14,436,172 | | No | | | No | 79 840 % |
| GOLDSBORO LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-1996058 | INVESTMENT | NV | FIFTH CENTURY LP | UNRELATED | 121,999 | 14,036,336 | | No | 3,314,793 | | No | 79 840 % |
| KENWOOD LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>38-3863347 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 91 | 13,828,731 | | No | | | No | 79 840 % |
| UPTON LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-5778792 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | | 13,802,047 | | No | | | No | 79 840 % |
| QUINN LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-3879664 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | -384,594 | 13,025,613 | | No | | | No | 79 840 % |
| CORBIN LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-1263962 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 542,614 | 12,977,924 | | No | -16,787 | | No | 79 840 % |
| TILDEN II LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>27-4210952 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 50,963 | 12,352,055 | | No | | | No | 79 840 % |
| HERNDON LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>37-1659934 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 4,932 | 11,252,600 | | No | | | No | 79 840 % |
| RIDGEFIELD IX LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>90-1007364 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 22,797 | 11,233,895 | | No | | | No | 79 840 % |

**Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership**

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal Domicile (State or Foreign Country) | (d) Direct Controlling Entity | (e) Predominant income (related, unrelated, excluded from tax under sections 512-514) | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproportionate allocations? Yes | (h) Disproportionate allocations? No | (i) Code V-UBI amount in Box 20 of K-1 (Form 1065) | (j) General or Managing Partner? Yes | (j) General or Managing Partner? No | (k) Percentage ownership |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| WYNNWOOD LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-1363230 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 857,627 | 11,221,031 | | No | 82,036 | | No | 79 840 % |
| SALINA FORESTRY LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239 | INVESTMENT | CA | OM HOLDINGS LP | EXCLUDED FROM TAX | -693,138 | 11,043,684 | | No | | | No | 98 600 % |
| BE III HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-5495967 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | -47,775 | 10,854,101 | | No | | | No | 99 600 % |
| BOF HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-5923569 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 642,064 | 10,785,618 | Yes | | 99,085 | | No | 99 600 % |
| CAUTHEN LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-2776143 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | -537,394 | 10,756,735 | | No | -47,605 | | No | 79 840 % |
| WHITEHAVEN LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-3915778 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 380,326 | 10,688,462 | | No | | | No | 79 840 % |
| IVP V COINVESTMENT HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-2707519 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 9,219,679 | 10,180,762 | | No | | | No | 99 600 % |
| CLEVELAND LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>90-0743297 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | -43,239 | 9,849,388 | | No | | | No | 79 840 % |
| HARBOR HILLS HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>02-0729602 | INVESTMENT | DE | YALE UNIVERSITY | EXCLUDED FROM TAX | | 9,721,343 | | No | | | No | 55 000 % |
| RADFORD LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>45-5470954 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | | 9,478,414 | | No | | | No | 79 840 % |
| FILLMORE LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>45-3783115 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 280,762 | 9,461,740 | | No | | | No | 79 840 % |
| SANGAMORE II LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-2672293 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 2,391,128 | 9,100,578 | | No | -6,641 | | No | 79 840 % |
| WESTPATH LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-2297672 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 1,808,031 | 8,682,145 | | No | -198 | | No | 79 840 % |
| CORBIN VI LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>27-0773197 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 277,630 | 8,659,509 | | No | | | No | 79 840 % |
| RAMSGATE IX A LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-1797786 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | | 8,575,540 | | No | | | No | 79 840 % |

**Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership**

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal Domicile (State or Foreign Country) | (d) Direct Controlling Entity | (e) Predominant income (related, unrelated, excluded from tax under sections 512-514) | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproportionate allocations? Yes | (h) No | (i) Code V-UBI amount in Box 20 of K-1 (Form 1065) | (j) General or Managing Partner? Yes | (j) No | (k) Percentage ownership |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| DANVILLE LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>45-3531407 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | | 8,425,262 | | No | | | No | 79 840 % |
| HARBOR HILLS DEVELOPMENT LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>16-1453802 | INVESTMENT | DE | HARBOR HILLS HOLDINGS LP | EXCLUDED FROM TAX | | 8,362,084 | | No | | | No | 54 450 % |
| POE LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-2765485 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 1,924,632 | 8,352,765 | | No | -273,667 | | No | 79 840 % |
| DUMBARTON LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-3919432 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | | 8,146,057 | | No | | | No | 79 840 % |
| WILSON LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-2232279 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 56,980 | 7,553,624 | | No | | | No | 79 840 % |
| FESSENDEN LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-2270383 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 3 | 7,323,280 | | No | | | No | 79 840 % |
| ODE LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>37-1567347 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 879,030 | 6,816,288 | | No | | | No | 79 840 % |
| MEP IV HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-0646713 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 29,866,742 | 6,311,212 | | No | | | No | 99 600 % |
| ARLINGTON LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>38-3909979 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | | 6,199,884 | | No | | | No | 79 840 % |
| CRANBROOK LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-3115503 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 213,374 | 5,822,969 | | No | | | No | 79 840 % |
| DENT A LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-8725065 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 857,033 | 5,507,911 | | No | | | No | 79 840 % |
| AVON LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-4056103 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | -112,321 | 5,357,364 | | No | 10,560 | | No | 79 840 % |
| ORDWAY LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-5189347 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 1,511,221 | 4,774,795 | | No | -71,328 | | No | 79 840 % |
| VERNON LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>80-0904292 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | | 4,720,540 | | No | | | No | 79 840 % |
| KLINGLE LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-4592461 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 2,926,909 | 4,499,299 | | No | 135 | | No | 79 840 % |

**Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership**

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal Domicile (State or Foreign Country) | (d) Direct Controlling Entity | (e) Predominant income (related, unrelated, excluded from tax under sections 512-514) | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproportionate allocations? Yes | (h) Disproportionate allocations? No | (i) Code V-UBI amount in Box 20 of K-1 (Form 1065) | (j) General or Managing Partner? Yes | (j) General or Managing Partner? No | (k) Percentage ownership |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| ECP II HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>26-0151337 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 257,875 | 4,375,158 | | No | | | No | 99 600 % |
| TILDEN III LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>46-2024260 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 56 | 3,956,036 | | No | | | No | 79 840 % |
| OM HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-5073267 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | -3,115,418 | 3,848,171 | | No | | | No | 99 600 % |
| MACOMB VIII LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-5681131 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 1,494,963 | 3,836,674 | | No | -391,455 | | No | 79 840 % |
| NEWINGTON LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-0763113 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 1,551,583 | 3,820,935 | | No | | | No | 79 840 % |
| LORTON-A LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>45-4239136 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 60,099 | 3,008,509 | | No | | | No | 79 840 % |
| WHITEHAVEN XI LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>37-1738599 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 8 | 2,976,788 | | No | | | No | 79 840 % |
| TILDEN LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-8558781 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 1,485,973 | 2,220,120 | | No | | | No | 79 840 % |
| ODE IV LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208240<br>NEW HAVEN, CT<br>065208239<br>61-1724296 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | | 2,191,745 | | No | | | No | 79 840 % |
| SF-NEW PARTNERS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>92-0179258 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 297,461 | 2,100,484 | | No | | | No | 95 820 % |
| GREENFIELD LAND PARALLEL PARTNERS I LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-4411467 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | -7,440,989 | 2,070,211 | | No | -1,142,565 | | No | 98 800 % |
| WELBORN LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-1212127 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 672,008 | 1,863,261 | | No | | | No | 79 840 % |
| BARTON LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>35-2497887 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | | 1,596,800 | | No | | | No | 79 840 % |
| BARTON-A LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>61-1732894 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | | 1,596,800 | | No | | | No | 79 840 % |
| CHANDLER LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>32-0438514 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | | 958,080 | | No | | | No | 79 840 % |

**Form 990, Schedule R, Part III - Identification of Related Organizations Taxable as a Partnership**

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal Domicile (State or Foreign Country) | (d) Direct Controlling Entity | (e) Predominant income (related, unrelated, excluded from tax under sections 512-514) | (f) Share of total income | (g) Share of end-of-year assets | (h) Disproportionate allocations? Yes | (h) No | (i) Code V-UBI amount in Box 20 of K-1 (Form 1065) | (j) General or Managing Partner? Yes | (j) No | (k) Percentage ownership |
|---|---|---|---|---|---|---|---|---|---|---|---|---|
| CAUTHEN IX LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>46-5376519 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | | 843,396 | | No | | | No | 79 840 % |
| 100 HOWE STREET ASSOCIATES LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>06-1386762 | INVESTMENT | CT | YALE UNIVERSITY | EXCLUDED FROM TAX | 184,654 | 495,592 | Yes | | | | No | 97 080 % |
| NEWBURN LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-0365032 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 10,714,076 | 430,450 | | No | | | No | 79 840 % |
| FARALLON DEPOT INVESTORS LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>94-3309848 | INVESTMENT | DE | YALE UNIVERSITY | UNRELATED | -147,190 | 327,316 | | No | -234,810 | | No | 70 000 % |
| 4620 ASSOCIATES LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>13-4036667 | INVESTMENT | DE | YALE UNIVERSITY | EXCLUDED FROM TAX | 98,353 | 324,271 | | No | | | No | 83 990 % |
| HARBOR HILLS UTILITIES LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>16-1453800 | INVESTMENT | DE | HARBOR HILLS HOLDINGS LP | UNRELATED | -14,138 | 222,603 | | No | -14,138 | | No | 54 450 % |
| SANGAMORE LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-0357077 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | 7,572,251 | 217,729 | | No | -26,956 | | No | 79 840 % |
| 94 HOWE STREET ASSOCIATES LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>06-1428914 | INVESTMENT | CT | YALE UNIVERSITY | EXCLUDED FROM TAX | 40,194 | 149,148 | Yes | | | | No | 91 930 % |
| LUNXUS WIND ENERGY LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>33-1200159 | INVESTMENT | DE | FOURTH CENTURY LLC | UNRELATED | 230,282 | 142,685 | | No | 230,711 | | No | 79 840 % |
| HIGHLAND WIND HOLDINGS LLC<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>33-1200162 | INVESTMENT | DE | LUNXUS WIND ENERGY LLC | UNRELATED | 263,452 | 110,186 | | No | 263,948 | | No | 71 860 % |
| STARFAR I PARTNERS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>94-3205101 | INVESTMENT | DE | YALE UNIVERSITY | UNRELATED | -11,068 | 69,547 | | No | -11,068 | | No | 60 830 % |
| MORRIS ISLAND PARTNERS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>46-4538290 | INVESTMENT | DE | FOURTH CENTURY LLC | EXCLUDED FROM TAX | | | | No | | | No | 93 350 % |
| SPOP II CP HOLDINGS LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>20-8685452 | INVESTMENT | NV | FIFTH CENTURY LP | EXCLUDED FROM TAX | 1,809,723 | | | No | | | No | 99 600 % |
| HARBOR HILLS COUNTRY CLUB LP<br><br>C/O YALE UNIVERSITY<br>PO BOX 208239<br>NEW HAVEN, CT<br>065208239<br>16-1453797 | INVESTMENT | DE | HARBOR HILLS HOLDINGS LP | UNRELATED | -219,139 | -3,285,368 | | No | -219,139 | | No | 54 450 % |

## Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal Domicile (State or Foreign Country) | (d) Direct Controlling Entity | (e) Type of entity (C corp, S corp, or trust) | (f) Share of total income | (g) Share of end-of-year assets | (h) Percentage ownership | (i) Section 512(b)(13) controlled entity? Yes | No |
|---|---|---|---|---|---|---|---|---|---|
| NEW FYI LTD<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239 | INVESTMENT | CJ | YALE UNIVERSITY | C | 130,325,092 | 1,051,106,977 | 100 000 % | Yes | |
| CRS FUND LTD<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>90-0314487 | INVESTMENT | CJ | CYR HOLDINGS LP | C | 126,401,273 | 457,378,642 | 99 600 % | Yes | |
| THE CAERULEUS FUND<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239 | INVESTMENT | CJ | FOURTH CENTURY LLC | C | 68,353,339 | 415,053,463 | 99 660 % | Yes | |
| GAOLING YALI FEEDER LTD<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239 | INVESTMENT | CJ | FOURTH CENTURY LLC | C | 26,108,658 | 205,768,695 | 99 800 % | Yes | |
| FOURTH CENTURY TRUST<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>20-6699631 | INVESTMENT | CT | YALE UNIVERSITY | T | 33,280,370 | 192,267,411 | 100 000 % | Yes | |
| LTR FOCUS FUND<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239 | INVESTMENT | MP | YALE UNIVERSITY | C | 44,686,649 | 142,913,895 | 100 000 % | Yes | |
| PWEB LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>27-3304430 | INVESTMENT | DE | FOURTH CENTURY LLC | C | -7,365,870 | 130,288,266 | 99 800 % | Yes | |
| YB CAYMAN LTD<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239 | INVESTMENT | CJ | YB INSTITUTIONAL LP | C | -10,249,378 | 50,839,389 | 99 860 % | Yes | |
| ARMINIUS REAL ESTATE OPPORTUNITY FEEDER FUND II LP<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239 | INVESTMENT | UK | FOURTH CENTURY LLC | C | -684,392 | 34,313,851 | 90 730 % | Yes | |
| LP VALUE LTD<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239 | INVESTMENT | VQ | YALE UNIVERSITY | C | 13,380,980 | 28,774,807 | 95 410 % | Yes | |
| 3148591 NOVA SCOTIA LIMITED<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239 | INVESTMENT | CA | OM HOLDINGS LP | C | 152,637 | 18,481,029 | 98 600 % | Yes | |
| NCH INVESTORS FUND (YU) CORP<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239 | INVESTMENT | CJ | FOURTH CENTURY LLC | C | 263,238 | 14,104,464 | 99 700 % | Yes | |
| CONNECTICUT BOOLA INC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-0798544 | INVESTMENT | CT | YALE UNIVERSITY | C | 1,468,840 | 13,889,822 | 100 000 % | Yes | |
| FWEB LLC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>27-3304506 | INVESTMENT | DE | FOURTH CENTURY LLC | C | 1,069 | 4,779,211 | 99 800 % | Yes | |
| 3215186 NOVA SCOTIA LIMITED<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239 | INVESTMENT | CA | OM HOLDINGS LP | C | 1,152,743 | 2,075,151 | 98 600 % | Yes | |

## Form 990, Schedule R, Part IV - Identification of Related Organizations Taxable as a Corporation or Trust

| (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal Domicile (State or Foreign Country) | (d) Direct Controlling Entity | (e) Type of entity (C corp, S corp, or trust) | (f) Share of total income | (g) Share of end-of-year assets | (h) Percentage ownership | (i) Section 512(b)(13) controlled entity? Yes | No |
|---|---|---|---|---|---|---|---|---|---|
| 2089841 ONTARIO LIMITED<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239 | INVESTMENT | CA | FIFTH CENTURY LP | C | 7,042 | 1,766,699 | 98 800 % | Yes | |
| MANASLU PARTNERS LTD<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239 | INVESTMENT | CJ | MP-MF HOLDINGS LP | C | 781,588 | 771,814 | 99 600 % | Yes | |
| HARBOR HILLS COUNTRY CLUB INC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>16-1452585 | INVESTMENT | DE | HARBOR HILLS HOLDINGS LP | C | 13 | 27,987 | 55 000 % | Yes | |
| HARBOR HILLS SALES INC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>59-3343340 | INVESTMENT | FL | HARBOR HILLS HOLDINGS LP | C | | 550 | 55 000 % | Yes | |
| DRAMA PRODUCTIONS INC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-0851103 | PERFORMING ARTS | CT | YALE UNIVERSITY | C | 906,011 | 304 | 100 000 % | Yes | |
| YALE UNIVERSITY HONG KONG TRUST<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239 | ACCEPTS CHARITABLE CONTRIBUTIONS | HK | YALE UNIVERSITY | T | 37,534 | 25 | 100 000 % | Yes | |
| YB CAYMAN A LIMITED<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239 | INVESTMENT | CJ | YB INSTITUTIONAL LP | C | 13,125,825 | | 99 860 % | Yes | |
| JOHN E POLLARD TRUST<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-0646973 | INVESTMENT | CT | YALE UNIVERSITY | T | | | 100 000 % | Yes | |
| YORK STREET ASSOCIATES INC<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239<br>06-1174464 | INACTIVE | CT | YALE UNIVERSITY | C | | | 100 000 % | Yes | |
| CHARITABLE POOLED INCOME FUNDS (3)<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239 | INVESTMENT | CT | VARIOUS | T | | | | | No |
| CHARITABLE REMAINDER TRUSTS (62)<br>C/O YALE UNIVERSITY PO BOX 208239<br>NEW HAVEN, CT 065208239 | INVESTMENT | CT | YALE UNIVERSITY | T | | | | | No |

**Form 990, Schedule R, Part V - Transactions With Related Organizations**

| (a) Name of other organization | (b) Transaction type(a-s) | (c) Amount Involved | (d) Method of determining amount involved |
|---|---|---|---|
| 100 HOWE STREET ASSOCIATES LLC | S | 192,270 | CASH VALUE |
| 3C CORPORATION | B | 2,701,272 | CASH VALUE |
| 3C CORPORATION | S | 7,951,234 | CASH VALUE |
| 4620 ASSOCIATES LLC | S | 163,432 | CASH VALUE |
| 5C CORPORATION | B | 1,249,036 | CASH VALUE |
| 5C CORPORATION | S | 3,164,923 | CASH VALUE |
| DRAMA PRODUCTIONS INC | P | 906,011 | CASH VALUE |
| FARALLON CAPITAL INSTITUTIONAL PARTNERS 25 LP | B | 261,354 | CASH VALUE |
| FARALLON CAPITAL INSTITUTIONAL PARTNERS 25 LP | S | 5,889,753 | CASH VALUE |
| FARALLON CAPITAL INSTITUTIONAL PARTNERS II LP | S | 28,201,810 | CASH VALUE |
| FARALLON CAPITAL INSTITUTIONAL PARTNERS II LP | B | 66,143,909 | CASH VALUE |
| FARALLON DEPOT INVESTORS LLC | S | 3,734,512 | CASH VALUE |
| FIFTH CENTURY LP | B | 325,396,669 | CASH VALUE |
| FIFTH CENTURY LP | S | 873,753,925 | CASH VALUE |
| FOURTH CENTURY LLC | B | 1,347,934,938 | CASH VALUE |
| FOURTH CENTURY TRUST | S | 1,092,065,204 | CASH VALUE |
| FOXWAY LP | B | 100,000,000 | CASH VALUE |
| HARBOR HILLS HOLDINGS LP | B | 500,000 | CASH VALUE |
| LP VALUE LTD | S | 3,375,432 | CASH VALUE |
| LTR FOCUS FUND | B | 70,000,000 | CASH VALUE |
| LUXIVER LP | B | 15,000,000 | CASH VALUE |
| LUXIVER NAWAB LP | S | 44,196,238 | CASH VALUE |
| NEW FYI LTD | S | 30,000,000 | CASH VALUE |
| PAUL MELLON CENTRE FOR STUDIES IN BRITISH ART | B | 5,233,574 | CASH VALUE |
| PROSPECT STREET PARTNERS LTD | B | 40,000,000 | CASH VALUE |

**Form 990, Schedule R, Part V - Transactions With Related Organizations**

| (a) Name of other organization | (b) Transaction type(a-s) | (c) Amount Involved | (d) Method of determining amount involved |
|---|---|---|---|
| SCRIPPS LEAGUE NEWSPAPERS EDUCATION & RESEARCH FUND | C | 117,448 | CASH VALUE |
| STOECKEL E B RESIDUE TRUST | C | 300,000 | CASH VALUE |
| STRATEGIC RESIDENTIAL FUND I LLC | B | 4,532,500 | CASH VALUE |
| STRATEGIC RESIDENTIAL FUND II LLC | S | 7,220,000 | CASH VALUE |
| THE BOARD OF TRUSTEES OF THE SHEFFIELD SCIENTIFIC SCHOOL | S | 17,115,679 | CASH VALUE |
| THE CHIEF EXECUTIVE LEADERSHIP INSTITUTE OF THE YALE SCHOOL OF MANAGEMENT | C | 432,000 | CASH VALUE |
| YALE UNIVERSITY ELIZABETHAN CLUB CORP | Q | 73,867 | CASH VALUE |
| YALE UNIVERSITY ELIZABETHAN CLUB CORP | R | 536,029 | CASH VALUE |
| YALE UNIVERSITY PRESS LONDON | M | 1,710,163 | CASH VALUE |
| YALE UNIVERSITY PRESS LONDON | L | 952,370 | CASH VALUE |
| YALE UNIVERSITY RETIREE HEALTH BENEFITS COVERAGE TRUST | Q | 26,249,675 | CASH VALUE |
| YALE UNIVERSITY RETIREE HEALTH BENEFITS COVERAGE TRUST | B | 7,088,643 | CASH VALUE |
| YB INSTITUTIONAL LIMITED PARTNERSHIP | S | 114,671,000 | CASH VALUE |

# TY 2013 Affiliated Group Schedule

**Name:** YALE UNIVERSITY

**EIN:** 06-0646973

| | |
|---|---|
| **Affiliated Group Business Name:** | YALE UNIVERSITY |
| **Address. Either US or Foreign Type:** | PO BOX 208239<br>NEW HAVEN, CT 06520 |
| **EIN:** | 06-0646973 |
| **Electing Organization Checkbox:** | ☑ |
| **Total Grassroots Lobbying:** | 10,448 |
| **Total Direct Lobbying:** | 495,621 |
| **Total Lobbying Expenditures:** | 506,069 |
| **Other Exempt Purpose Expenditures:** | 3,254,174,059 |
| **Total Exempt Purpose Expenditures:** | 3,254,680,128 |
| **Lobbying Nontaxable Amount:** | 1,000,000 |
| **Grassroots Nontaxable Amount:** | 250,000 |
| **Tot Lobbying Grassroot Minus Non Tx:** | 0 |
| **Tot Lobby Expend Mns Lobbying Non Tx:** | 0 |
| **Share Of Excess Lobbying:** | 0 |

| | |
|---|---|
| **Affiliated Group Business Name:** | 3C CORPORATION |
| **Address. Either US or Foreign Type:** | PO BOX 208239<br>NEW HAVEN, CT 06520 |
| **EIN:** | 41-2046529 |
| **Electing Organization Checkbox:** | ☐ |
| **Total Grassroots Lobbying:** | 0 |
| **Total Direct Lobbying:** | 0 |
| **Total Lobbying Expenditures:** | 0 |
| **Other Exempt Purpose Expenditures:** | 0 |
| **Total Exempt Purpose Expenditures:** | 0 |
| **Lobbying Nontaxable Amount:** | 0 |
| **Grassroots Nontaxable Amount:** | 0 |
| **Tot Lobbying Grassroot Minus Non Tx:** | 0 |
| **Tot Lobby Expend Mns Lobbying Non Tx:** | 0 |
| **Share Of Excess Lobbying:** | 0 |

| | |
|---|---|
| **Affiliated Group Business Name:** | 5C CORPORATION |
| **Address. Either US or Foreign Type:** | PO BOX 208239<br>NEW HAVEN, CT 06520 |
| **EIN:** | 20-0763050 |
| **Electing Organization Checkbox:** | ☐ |
| **Total Grassroots Lobbying:** | 0 |
| **Total Direct Lobbying:** | 0 |
| **Total Lobbying Expenditures:** | 0 |
| **Other Exempt Purpose Expenditures:** | 0 |
| **Total Exempt Purpose Expenditures:** | 0 |
| **Lobbying Nontaxable Amount:** | 0 |
| **Grassroots Nontaxable Amount:** | 0 |
| **Tot Lobbying Grassroot Minus Non Tx:** | 0 |
| **Tot Lobby Expend Mns Lobbying Non Tx:** | 0 |
| **Share Of Excess Lobbying:** | 0 |

| | |
|---|---|
| **Affiliated Group Business Name:** | ALUMNI FUND ASSOCIATION OF YALE UNIVERSITY |
| **Address. Either US or Foreign Type:** | 157 CHURCH STREET<br>NEW HAVEN, CT 06510 |
| **EIN:** | 06-6078326 |
| **Electing Organization Checkbox:** | ☐ |
| **Total Grassroots Lobbying:** | 0 |
| **Total Direct Lobbying:** | 0 |
| **Total Lobbying Expenditures:** | 0 |
| **Other Exempt Purpose Expenditures:** | 0 |
| **Total Exempt Purpose Expenditures:** | 0 |
| **Lobbying Nontaxable Amount:** | 0 |
| **Grassroots Nontaxable Amount:** | 0 |
| **Tot Lobbying Grassroot Minus Non Tx:** | 0 |
| **Tot Lobby Expend Mns Lobbying Non Tx:** | 0 |
| **Share Of Excess Lobbying:** | 0 |

| | |
|---|---|
| **Affiliated Group Business Name:** | BOARD OF TRUSTEES OF THE SHEFFIELD SCIENTIFIC SCHOOL |
| **Address. Either US or Foreign Type:** | PO BOX 208239<br>NEW HAVEN, CT 06520 |
| **EIN:** | 06-6032565 |
| **Electing Organization Checkbox:** | ☐ |
| **Total Grassroots Lobbying:** | 0 |
| **Total Direct Lobbying:** | 0 |
| **Total Lobbying Expenditures:** | 0 |
| **Other Exempt Purpose Expenditures:** | 17,114,535 |
| **Total Exempt Purpose Expenditures:** | 17,114,535 |
| **Lobbying Nontaxable Amount:** | 1,000,000 |
| **Grassroots Nontaxable Amount:** | 250,000 |
| **Tot Lobbying Grassroot Minus Non Tx:** | 0 |
| **Tot Lobby Expend Mns Lobbying Non Tx:** | 0 |
| **Share Of Excess Lobbying:** | 0 |
| **Affiliated Group Business Name:** | THE CHIEF EXECUTIVE LEADERSHIP INST OF THE YALE SCHOOL OF MANAGEMENT INC |
| **Address. Either US or Foreign Type:** | 101 CONSTITUTION AVUENUE SUITE 900<br>EAST<br>WASHINGTON, DC 20001 |
| **EIN:** | 58-2617787 |
| **Electing Organization Checkbox:** | ☐ |
| **Total Grassroots Lobbying:** | 0 |
| **Total Direct Lobbying:** | 0 |
| **Total Lobbying Expenditures:** | 0 |
| **Other Exempt Purpose Expenditures:** | 2,056,413 |
| **Total Exempt Purpose Expenditures:** | 2,056,413 |
| **Lobbying Nontaxable Amount:** | 252,821 |
| **Grassroots Nontaxable Amount:** | 63,205 |
| **Tot Lobbying Grassroot Minus Non Tx:** | 0 |
| **Tot Lobby Expend Mns Lobbying Non Tx:** | 0 |
| **Share Of Excess Lobbying:** | 0 |

| | |
|---|---|
| **Affiliated Group Business Name:** | THE NEW CLINICAL PROGRAM DEVELOPMENT CORPORATION |
| **Address. Either US or Foreign Type:** | 789 HOWARD AVENUE<br>NEW HAVEN, CT 06519 |
| **EIN:** | 06-1565494 |
| **Electing Organization Checkbox:** | ☐ |
| **Total Grassroots Lobbying:** | 0 |
| **Total Direct Lobbying:** | 0 |
| **Total Lobbying Expenditures:** | 0 |
| **Other Exempt Purpose Expenditures:** | 2,198,898 |
| **Total Exempt Purpose Expenditures:** | 2,198,898 |
| **Lobbying Nontaxable Amount:** | 259,945 |
| **Grassroots Nontaxable Amount:** | 64,986 |
| **Tot Lobbying Grassroot Minus Non Tx:** | 0 |
| **Tot Lobby Expend Mns Lobbying Non Tx:** | 0 |
| **Share Of Excess Lobbying:** | 0 |

| | |
|---|---|
| **Affiliated Group Business Name:** | YALE SOUTHERN OBSERVATORY INC |
| **Address. Either US or Foreign Type:** | PO BOX 208239<br>NEW HAVEN, CT 06520 |
| **EIN:** | 06-6068906 |
| **Electing Organization Checkbox:** | ☐ |
| **Total Grassroots Lobbying:** | 0 |
| **Total Direct Lobbying:** | 0 |
| **Total Lobbying Expenditures:** | 0 |
| **Other Exempt Purpose Expenditures:** | 0 |
| **Total Exempt Purpose Expenditures:** | 0 |
| **Lobbying Nontaxable Amount:** | 0 |
| **Grassroots Nontaxable Amount:** | 0 |
| **Tot Lobbying Grassroot Minus Non Tx:** | 0 |
| **Tot Lobby Expend Mns Lobbying Non Tx:** | 0 |
| **Share Of Excess Lobbying:** | 0 |

| | |
|---|---|
| **Affiliated Group Business Name:** | YALE UNIVERSITY RETIREE HEALTH BENEFITS COVERAGE TRUST |
| **Address. Either US or Foreign Type:** | PO BOX 208239<br>NEW HAVEN, CT 06520 |
| **EIN:** | 06-6414276 |
| **Electing Organization Checkbox:** | ☐ |
| **Total Grassroots Lobbying:** | 0 |
| **Total Direct Lobbying:** | 0 |
| **Total Lobbying Expenditures:** | 0 |
| **Other Exempt Purpose Expenditures:** | 29,372,965 |
| **Total Exempt Purpose Expenditures:** | 29,372,965 |
| **Lobbying Nontaxable Amount:** | 1,000,000 |
| **Grassroots Nontaxable Amount:** | 250,000 |
| **Tot Lobbying Grassroot Minus Non Tx:** | 0 |
| **Tot Lobby Expend Mns Lobbying Non Tx:** | 0 |
| **Share Of Excess Lobbying:** | 0 |

| | |
|---|---|
| **Affiliated Group Business Name:** | YALE NEW HAVEN MEDICAL CENTER INC |
| **Address. Either US or Foreign Type:** | 20 YORK STREET<br>NEW HAVEN, CT 06510 |
| **EIN:** | 23-7440891 |
| **Electing Organization Checkbox:** | ☐ |
| **Total Grassroots Lobbying:** | 0 |
| **Total Direct Lobbying:** | 0 |
| **Total Lobbying Expenditures:** | 0 |
| **Other Exempt Purpose Expenditures:** | 1,117,894 |
| **Total Exempt Purpose Expenditures:** | 1,117,894 |
| **Lobbying Nontaxable Amount:** | 186,789 |
| **Grassroots Nontaxable Amount:** | 46,697 |
| **Tot Lobbying Grassroot Minus Non Tx:** | 0 |
| **Tot Lobby Expend Mns Lobbying Non Tx:** | 0 |
| **Share Of Excess Lobbying:** | 0 |

| | |
|---|---|
| **Affiliated Group Business Name:** | THE GRUBER FOUNDATION |
| **Address. Either US or Foreign Type:** | PO BOX 208239<br>NEW HAVEN, CT 06520 |
| **EIN:** | 45-1540594 |
| **Electing Organization Checkbox:** | ☐ |
| **Total Grassroots Lobbying:** | 0 |
| **Total Direct Lobbying:** | 0 |
| **Total Lobbying Expenditures:** | 0 |
| **Other Exempt Purpose Expenditures:** | 5,253,914 |
| **Total Exempt Purpose Expenditures:** | 5,253,914 |
| **Lobbying Nontaxable Amount:** | 412,696 |
| **Grassroots Nontaxable Amount:** | 103,174 |
| **Tot Lobbying Grassroot Minus Non Tx:** | 0 |
| **Tot Lobby Expend Mns Lobbying Non Tx:** | 0 |
| **Share Of Excess Lobbying:** | 0 |