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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 07-01-2013 , and ending 06-30-2014

Name of foundation THE FISH FAMILY FOUNDATION		A Employer identification number 04-6905753	
Number and street (or P O box number if mail is not delivered to street address) 75 STATE STREET		B Telephone number (see instructions) (617) 428-3775	
City or town, state or province, country, and ZIP or foreign postal code BOSTON, MA 02109		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 12,739,349		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	1,082,278			
	2 Check ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	2,799	2,799		
	4 Dividends and interest from securities.	171,244	171,244		
	5a Gross rents	83	83		
	b Net rental income or (loss) _____ 83				
	6a Net gain or (loss) from sale of assets not on line 10	552,177			
	b Gross sales price for all assets on line 6a _____ 1,815,629				
	7 Capital gain net income (from Part IV, line 2)		552,177		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 25,667	25,667		
	12 Total. Add lines 1 through 11	1,834,248	751,970		
	13 Compensation of officers, directors, trustees, etc	7,250	2,750		4,500
	14 Other employee salaries and wages	228,774	12,125		216,649
	15 Pension plans, employee benefits	6,526	634		5,892
	16a Legal fees (attach schedule)	 2,050	0		2,050
	b Accounting fees (attach schedule)	 8,000	1,600		6,400
	c Other professional fees (attach schedule)	 35,102	15,989		19,113
	17 Interest	14,647	14,647		0
	18 Taxes (attach schedule) (see instructions)	 27,958	5,773		19,636
	19 Depreciation (attach schedule) and depletion	 1,054	0		
	20 Occupancy				
	21 Travel, conferences, and meetings	5,834	0		5,834
	22 Printing and publications				
	23 Other expenses (attach schedule)	 210,008	101,215		108,793
	24 Total operating and administrative expenses. Add lines 13 through 23	547,203	154,733		388,867
	25 Contributions, gifts, grants paid	942,542			942,542
	26 Total expenses and disbursements. Add lines 24 and 25	1,489,745	154,733		1,331,409
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	344,503			
	b Net investment income (if negative, enter -0-)		597,237		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	4,114,968	1,307,372	1,307,372
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	259,558	2,046,338	2,937,822
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	6,519,434	7,907,549	8,491,660
Liabilities	14	Land, buildings, and equipment basis ▶ _____ 17,648 Less accumulated depreciation (attach schedule) ▶ 15,153	2,291	2,495	2,495
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	10,896,251	11,263,754	12,739,349
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	0	23,000	
	23	Total liabilities (add lines 17 through 22)	0	23,000	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	10,896,251	11,240,754	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	Total net assets or fund balances (see page 17 of the instructions)	10,896,251	11,240,754	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	10,896,251	11,263,754	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	10,896,251
2	Enter amount from Part I, line 27a	2	344,503
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	11,240,754
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	11,240,754

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	552,177
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,210,215	12,598,400	0 096061
2011	1,623,537	11,204,023	0 144907
2010	1,626,008	9,214,595	0 176460
2009	640,135	11,599,698	0 055185
2008	687,903	12,359,598	0 055657

2	Total of line 1, column (d).	2	0 528270
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 105654
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	13,829,994
5	Multiply line 4 by line 3.	5	1,461,194
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	5,972
7	Add lines 5 and 6.	7	1,467,166
8	Enter qualifying distributions from Part XII, line 4.	8	1,331,409

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	11,945
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0
3 Add lines 1 and 2.	3	11,945
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	11,945
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	8,200
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d.	7	8,200
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	22
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed ☐	9	3,767
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . ☐	10	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ 0 (2) On foundation managers ☐ \$ _____ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ MA _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of TREF BORDEN Telephone no (617) 428-3775			
Located at THE FISH FAMILY FOUNDATION - 75 STATE STREET BOSTON MA ZIP+4 02109				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			Yes	No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			Yes	No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			Yes	No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			Yes	No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			Yes	No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).			Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		No
Organizations relying on a current notice regarding disaster assistance check here.				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?		Yes	No
If "Yes," list the years 20 , 20 , 20 , 20				
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here			
20 , 20 , 20 , 20				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		Yes	No
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

YesNo

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

YesNo

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

YesNo

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

YesNo

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

YesNo

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

YesNo

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

YesNo

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

YesNo

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ALICE BORDEN	EXEC DIRECTOR	121,250	2,048	0
C/O FISH FAMILY FDN 75 STATE ST BOSTON,MA 02109	40 00			

Total number of other employees paid over \$50,000.0

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 THE FOUNDATION IS INVOLVED IN THE GREATER BOSTON CITIZENSHIP INITIATIVE PROGRAM THAT HELPS ELIGIBLE LEGAL PERMANENT RESIDENTS OVERCOME BARRIERS TO NATURALIZATION. THIS PROGRAM WAS CREATED BY THE FISH FAMILY FOUNDATION AND MIRA COALITION IN PARTNERSHIP WITH SIX OTHER IMMIGRANT, COMMUNITY-BASED, AND DIRECT SERVICE ORGANIZATIONS TO INCREASE NATURALIZATION RATES IN THE STATE.	50,436
2 THE FOUNDATION IS INVOLVED WITH THE JAPANESE WOMEN'S LEADERSHIP INITIATIVE PROGRAM WHICH BRINGS WOMAN FROM JAPAN TO BOSTON TO RECEIVE FOUR WEEKS OF DIRECT EXPERIENCE AND TRAINING IN NONPROFIT MANAGEMENT AND LEADERSHIP DEVELOPMENT IN AREAS OF IMPORTANCE TO WOMAN AND JAPANESE SOCIETY.	22,728
3 THE FOUNDATION IS INVOLVED WITH THE JAPANESE DISASTER RELIEF FUND-BOSTON PROGRAM WHICH GIVES AID TO THOSE AFFECTED BY THE EARTHQUAKE AND TSUNAMI IN JAPAN. THIS PROGRAM IS A COLLABORATION AMONG THE FISH FAMILY FOUNDATION, THE BOSTON FOUNDATION, AND THE JAPAN SOCIETY OF BOSTON.	3,313
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	3,181,679
b	Average of monthly cash balances.	1b	2,367,264
c	Fair market value of all other assets (see instructions).	1c	8,491,660
d	Total (add lines 1a, b, and c).	1d	14,040,603
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	14,040,603
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	210,609
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	13,829,994
6	Minimum investment return. Enter 5% of line 5.	6	691,500

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	691,500
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	11,945
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	11,945
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	679,555
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	679,555
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	679,555

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	1,331,409
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,331,409
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,331,409
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				679,555
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				39,785
b From 2009.				62,430
c From 2010.				1,171,359
d From 2011.				1,065,488
e From 2012.				586,240
f Total of lines 3a through e.	2,925,302			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 1,331,409				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				679,555
e Remaining amount distributed out of corpus	651,854			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	3,577,156			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	39,785			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	3,537,371			
10 Analysis of line 9				
a Excess from 2009. . . .				62,430
b Excess from 2010. . . .				1,171,359
c Excess from 2011. . . .				1,065,488
d Excess from 2012. . . .				586,240
e Excess from 2013. . . .				651,854

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

LAWRENCE K FISH

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Form 990-PF (2013)

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	942,542
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ROCKEFELLER K-1'S	P		
ROCKEFELLER SPECIAL GLOBAL OPS K-1	P		
ROCKEFELLER ALT STRATEGIES K-1	P		
13400 SHS NATIONAL BANK HLDGS	P	2013-06-26	2013-08-15
14150 SHS NATIONAL BANK HLDGS	P	2013-08-21	2013-11-19
1000 SHS JOHNSON AND JOHNSON	P	2010-12-06	2014-04-22
1000 SHS NESTLE	P	2013-08-19	2014-06-25
1000 SHS JOHNSON AND JOHNSON	P	2014-04-23	2014-04-28
2240 SHS JOHNSON AND JOHNSON	P	2014-06-11	2014-06-16
5000 SHS NESTLE	P	2014-06-13	2014-06-18
INTERACTIVE BROKERS	P		2014-06-30
INTERACTIVE BROKERS	P		2014-06-30
CAPITAL GAINS PER K-1'S	P		2014-06-30
CAPITAL GAINS PER K-1'S	P		2014-06-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
188			188
47			47
241			241
273,323		259,558	13,765
289,066		290,783	-1,717
100,263		94,740	5,523
77,234		67,007	10,227
100,258		62,413	37,845
231,342		136,178	95,164
384,123		351,621	32,502
		1,152	-1,152
16,809			16,809
263,779			263,779
78,956			78,956

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			188
			47
			241
			13,765
			-1,717
			5,523
			10,227
			37,845
			95,164
			32,502
			-1,152
			16,809
			263,779
			78,956

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LAWRENCE K FISH	TRUSTEE 2 00	0	0	0
C/O FISH FAMILY FDN- 75 STATE STREET BOSTON,MA 02109				
ATSUKO TOKO FISH	TRUSTEE 2 00	0	0	0
C/O FISH FAMILY FDN- 75 STATE STREET BOSTON,MA 02109				
LEAH OKAJIMA TOKO FISH	TRUSTEE 1 00	500	0	0
C/O FISH FAMILY FDN- 75 STATE STREET BOSTON,MA 02109				
EDWARD TAKEZO FISH	TRUSTEE 1 50	4,250	0	0
C/O FISH FAMILY FDN- 75 STATE STREET BOSTON,MA 02109				
THALEIA SCHLESINGER	TRUSTEE 1 00	2,000	0	0
C/O FISH FAMILY FDN- 75 STATE STREET BOSTON,MA 02109				
EMILY FISH	TRUSTEE 2 00	250	0	0
C/O FISH FAMILY FDN- 75 STATE STREET BOSTON,MA 02109				
MATIAS SACERDOTE THRU 5514	TRUSTEE 1 00	250	0	0
C/O FISH FAMILY FDN- 75 STATE STREET BOSTON,MA 02109				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AGENCIA APLHA 62 NORTHAMPTON STREET BOSTON,MA 02118	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	13,500
AMERICAN ACADEMY OF ARTS & SCIENCES 136 IRVING STREET CAMBRIDGE,MA 02138	NONE	OTHER PUBLIC CHARITY	ARTS, CULTURE & HUMANITIES EDUCATION	300
AMERICAN CANCER SOCIETY 30 SPEEN ST FRAMINGHAM,MA 01701	NONE	OTHER PUBLIC CHARITY	HEALTH	200
AILA NEW ENGLAND 929 MASSACHUSETTS AVENUE PHILADELPHIA,PA 19102	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	500
ASIAN AMERICAN ACADEMY OF ARTS & SCIENCES 87 TYLER STREET BOSTON,MA 02111	NONE	OTHER PUBLIC CHARITY	ARTS, CULTURE & HUMANITIES	11,620
ALL HANDS VOLUNTEERS 8 COUNTY ROAD MATTAPOISETT,MA 02739	NONE	OTHER PUBLIC CHARITY	ARTS, CULTURE & HUMANITIES	10,000
ASIAN CENTER OF MERRIMACK VALLEY 1 BALLARD WAY LAWRENCE,MA 01843	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	1,144
BERKLEE COLLEGE OF MUSIC 1140 BOYLSTON STREET BOSTON,MA 02215	NONE	OTHER PUBLIC CHARITY	EDUCATION	6,500
BETHEL INSTITUTE FOR SOCIAL JUSTICE 40 WALK HILL STREET JAMAICA PLAIN,MA 02130	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	5,000
BLACK MINISTERIAL ALLIANCE 7 PALMER STREET ROXBURY,MA 02119	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	5,000
BOSTON CHILDREN'S MUSEUM 308 CONGRESS ST BOSTON,MA 02210	NONE	OTHER PUBLIC CHARITY	ARTS, CULTURE & HUMANITIES	10,000
BOYS & GIRLS CLUBS OF BOSTON INC 50 CONGRESS STREET BOSTON,MA 02109	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	98,746
BOSTON HARBOR ISLAND ALLIANCE 15 STATE ST BOSTON,MA 02109	NONE	OTHER PUBLIC CHARITY	EDUCATION	1,000
BRIDGE OVER TROUBLED WATER 47 WEST ST BOSTON,MA 02111	NONE	OTHER PUBLIC CHARITY	EDUCATION	1,000
BUCKINGHAM BROWNE & NICHOLS 80 GERRYS LANDING ROAD CAMBRIDGE,MA 02138	NONE	OTHER PUBLIC CHARITY	EDUCATION	250
Total  3a				942,542

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
BUZZARDS BAY COALITION 114 FRONT ST NEW BEDFORD, MA 02740	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	25,000
CALIFORNIA COMMUNITY PARTNERS FOR YOUTH PO BOX 578 SAN JOSE, CA 95106	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	2,500
CENTRO LATINO 267 BROADWAY CHELSEA, MA 02150	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	44,850
CATHOLIC LEGAL IMMIGRATION NETWORK 8757 GEORGIA AVENUE SILVER SPRING, MD 20910	NONE	OTHER PUBLIC CHARITY	INTERNATIONAL/FOREIGN AFFAIRS	20,000
CHILDREN'S HOSPITAL BOSTON 300 LONGWOOD AVENUE BOSTON, MA 02115	NONE	OTHER PUBLIC CHARITY	HEALTH	3,450
COLLEGE BOUND DORCHESTER 18 SAMOSET ST DORCHESTER, MA 02124	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	2,500
COMBINED JEWISH PHILANTHROPIES 126 HIGH ST BOSTON, MA 02110	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	85,680
COACHING 4 CHANGE 75 AMORY ST BOSTON, MA 02119	NONE	OTHER PUBLIC CHARITY	EDUCATION	750
DISCOVERING JUSTICE ONE COURTHOUSE WAY BOSTON, MA 02210	NONE	OTHER PUBLIC CHARITY	PUBLIC/SOCIETY BENEFIT	250
DANA-FARBER CANCER INSTITUTE 450 BROOKLINE AVE BOSTON, MA 02215	NONE	OTHER PUBLIC CHARITY	HEALTH	700
DRAKE UNIVERSITY 2507 UNIVERSITY AVE DES MOINES, IA 50311	NONE	OTHER PUBLIC CHARITY	EDUCATION	74,288
FISHER HOUSE FOUNDATION 111 ROCKVILLE PIKE ROCKVILLE, MD 20850	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	250
GEORGE WASHINGTON UNIVERSITY 2121 EYE STREET WASHINGTON, DC 20052	NONE	OTHER PUBLIC CHARITY	EDUCATION	250
HONOLULU MUSEUM OF ART 900 S BERETANIA ST HONOLULU, HI 96814	NONE	OTHER PUBLIC CHARITY	ARTS, CULTURE & HUMANITIES	5,000
IRISH INTERNATIONAL IMMIGRANT CENTER 100 FRANKLIN ST BOSTON, MA 02110	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	65,300
Total  3a				942,542

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
JAPAN SOCIETY OF BOSTON 420 POND ST BOSTON,MA 02139	NONE	OTHER PUBLIC CHARITY	INTERNATIONAL/FOREIGN AFFAIRS	350
JEWISH BIG BROTHERS BIG SISTERS OF LA 6505 WILSHIRE BOULEVARD LOS ANGELES,CA 90048	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	250
JEWISH VOCATIONAL SERVICE 29 WINTER ST BOSTON,MA 02108	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	2,500
JWLI 301 THE FENWAY BOSTON,MA 02115	NONE	OTHER PUBLIC CHARITY	INTERNATIONAL/FOREIGN AFFAIRS	16,343
LIEUTENANT WALSH - BOSTON FIREFIGHTERS 60 HALLET STREET DORCHESTER,MA 02124	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	400
MA GENERAL HOSPITAL 55 FRUIT ST BOSTON,MA 02114	NONE	OTHER PUBLIC CHARITY	HEALTH	500
MASSACHUSETTS HORTICULTURAL SOCIETY 900 WASHINGTON STREET WELLESLEY,MA 02482	NONE	OTHER PUBLIC CHARITY	ENVIRONMENT	500
MASS BREAST CANCER COALITION 333 WEYMOUTH STREET ROCKLAND,MA 02370	NONE	OTHER PUBLIC CHARITY	HEALTH	200
MASSACHUSETTS ALLIANCE OF PORTUGUESE SPEAKERS (MAPS) 1046 CAMBRIDGE STREET CAMBRIDGE,MA 02139	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	92,450
MATTAPAN COMMUNITY HEALTH CENTER 1575 BLUE HILL AVENUE MATTAPAN,MA 02126	NONE	OTHER PUBLIC CHARITY	HEALTH	20,000
MIRA COALITION 105 CHAUNCY ST BOSTON,MA 02111	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	84,251
MIT ALUMNI ASSOCIATION 600 MEMORIAL DRIVE CAMBRIDGE,MA 02139	NONE	OTHER PUBLIC CHARITY	EDUCATION	500
MUSEUM OF FINE ARTS 465 HUNTINGTON AVE BOSTON,MA 02115	NONE	OTHER PUBLIC CHARITY	ARTS, CULTURE & HUMANITIES	2,500
NATIONAL IMMIGRATION FORUM 50 F STREET NW WASHINGTON,DC 20001	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	10,000
MUSEUM OF AFRICAN AMERICAN HISTORY 14 BEACON ST BOSTON,MA 02108	NONE	OTHER PUBLIC CHARITY	ARTS, CULTURE & HUMANITIES	1,000
Total ▶ 3a				942,542

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
NEXT CHAPTER BOOK CLUB 1581 DODD DRIVE COLUMBUS,OH 43210	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	52,000
MOVEMBER PO BOX 1595 CULVER CITY,CA 90232	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	100
PHOENIX CHARTER ACADEMY 60 CANAL STREET BOSTON,MA 02114	NONE	OTHER PUBLIC CHARITY	EDUCATION	1,000
PAN MASS CHALLENGE 77 4TH AVENUE NEEDHAM,MA 02494	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	100
RAWART WORKS 37 CENTRAL SQUARE LYNN,MA 01901	NONE	OTHER PUBLIC CHARITY	ARTS, CULTURE & HUMANITIES	1,000
ROBIN HOOD FOUNDATION 826 BROADWAY NEWYORK,NY 10003	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	100
ROOT CAUSE 11 AVENUE DE LAFAYETTE BOSTON,MA 02111	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	300
SILENT SPRING INSTITUTE 29 CRAFTS STREET NEWTON,MA 02458	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	200
SIMMONS COLLEGE 300 THE FENWAY BOSTON,MA 02115	NONE	OTHER PUBLIC CHARITY	EDUCATION	143,120
SPAULDING REHABILITATION HOSPITAL 125 NASHUA STREET BOSTON,MA 02114	NONE	OTHER PUBLIC CHARITY	HEALTH	1,000
STRIVE BOSTON EMPLOYMENT SERVICE 651 WASHINGTON STREET BOSTON,MA 02114	NONE	OTHER PUBLIC CHARITY	EDUCATION	1,000
TENACITY INC 38 EVERETT STREET BOSTON,MA 02134	NONE	OTHER PUBLIC CHARITY	EDUCATION	4,000
TEMPLE BETH EL 70 ORCHARD AVE PROVIDENCE,RI 02906	NONE	OTHER PUBLIC CHARITY	RELIGIOUS	5,000
THE MAY INSTITUTE 41 PACELLA PARK DRIVE RANDOLPH,MA 02368	NONE	OTHER PUBLIC CHARITY	ARTS, CULTURE & HUMANITIES	500
UMASS-DARTMOUTH FOUNDATION 285 OLD WESTPORT ROAD NORTH DARTMOUTH,MA 02215	NONE	OTHER PUBLIC CHARITY	EDUCATION	1,500
Total  3a				942,542

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
THE WINSOR SCHOOL 525 BRANCH AVENUE PROVIDENCE,RI 02904	NONE	OTHER PUBLIC CHARITY	EDUCATION	1,500
VIET-AID 42 CHARLES ST BOSTON,MA 02122	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	500
WATER FOR WASALA 2000 FRIEDENSBURG ROAD READING,PA 19606	NONE	OTHER PUBLIC CHARITY	ENIRONMENTAL	350
YWCA BOSTON 140 CLARENDON ST BOSTON,MA 02116	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	1,000
ADOPTION AND FOSTER CARE MENTORING 727 ATLANTIC AVENUE BOSTON,MA 02111	NONE	OTHER PUBLIC CHARITY	HUMAN SERVICES	1,000
Total  3a				942,542

Schedule B (Form 990, 990-EZ, or 990-PF) Department of the Treasury Internal Revenue Service	Schedule of Contributors ▶ Attach to Form 990, 990-EZ, or 990-PF. ▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.	OMB No 1545-0047 2013

Name of the organization THE FISH FAMILY FOUNDATION	Employer identification number 04-6905753
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Organization type (check one)

Filers of:	Section:
Form 990 or 990-EZ	☐ 501(c)() (enter number) organization ☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation ☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation ☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33¹/₃% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer “No” on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization THE FISH FAMILY FOUNDATION	Employer identification number 04-6905753
---	---

Part I	Contributors (see instructions) Use duplicate copies of Part I if additional space is needed		
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	LAWRENCE K FISH 75 STATE ST BOSTON, MA 02109	\$ 250,000	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
<u>2</u>	LAWRENCE K FISH 75 STATE ST BOSTON, MA 02109	\$ 290,783	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
<u>3</u>	LAWRENCE K FISH 75 STATE ST BOSTON, MA 02109	\$ 94,740	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
<u>4</u>	LAWRENCE K FISH 75 STATE ST BOSTON, MA 02109	\$ 446,755	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
<u> </u>	 	\$ <u> </u>	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
<u> </u>	 	\$ <u> </u>	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed			
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	14,150 SHARES OF NATIONAL BANK HOLDINGS CORP CLASS A COMMON STOCK	\$ 290,783	2013-08-21
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
3	1,000 SHARES OF JOHNSON & JOHNSON COMMON STOCK	\$ 94,740	2014-01-10
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
4	22,450 SHARES OF NATIONAL BANK HOLDING CORP CLASS A COMMON STOCK	\$ 446,755	2014-02-28
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	

Name of organization THE FISH FAMILY FOUNDATION	Employer identification number 04-6905753
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Part III	Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry For organizations completing Part III, enter the total of <i>exclusively</i> religious, charitable, etc , contributions of \$1,000 or less for the year (Enter this information once See instructions) ▶ \$ Use duplicate copies of Part III if additional space is needed
-----------------	---

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—			
(e) Transfer of gift			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	

TY 2013 Accounting Fees Schedule

Name: THE FISH FAMILY FOUNDATION

EIN: 04-6905753

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEES	8,000	1,600		6,400

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: THE FISH FAMILY FOUNDATION

EIN: 04-6905753

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
COMPUTER EQUIPMENT	2009-06-30	1,492	1,492	SL	5 0000000000000	0	0		
OFFICE FURNITURE	2009-06-30	1,962	1,962	SL	7 0000000000000	0	0		
OFFICE PHONES	2009-06-30	180	180	SL	5 0000000000000	0	0		
OFFICE FURNITURE	2009-06-30	6,242	6,242	SL	7 0000000000000	0	0		
COPIER	2009-06-30	401	401	SL	5 0000000000000	0	0		
COM/PHONE SET-UP	2009-06-30	720	720	SL	5 0000000000000	0	0		
COMPUTER EQUIPMENT	2009-06-30	963	963	SL	5 0000000000000	0	0		
OFFICE EQUIPMENT	2009-06-30	1,000	767	SL	5 0000000000000	200	0		
IPADS	2012-06-30	3,430	1,372	SL	5 0000000000000	686	0		
COMPUTER EQUIPMENT	2013-11-15	1,258		SL	5 0000000000000	168	0		

**TY 2013 Investments Corporate
Stock Schedule****Name:** THE FISH FAMILY FOUNDATION**EIN:** 04-6905753

Name of Stock	End of Year Book Value	End of Year Fair Market Value
INTERACTIVE BROKERS	273,585	312,598
FIRST REPUBLIC SECURITIES CO.	1,171,342	2,008,391
NATIONAL BANK HOLDINGS CORP	0	0
JP MORGAN	601,411	616,833

TY 2013 Investments - Other Schedule**Name:** THE FISH FAMILY FOUNDATION**EIN:** 04-6905753

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
ROCKEFELLER	AT COST	287,779	341,695
LONE CASCADE LP	AT COST	1,247,002	1,574,397
ARISAIG LATIN AMERICA	AT COST	424,129	434,794
ARISAIG ASIA CONSUMER	AT COST	424,129	499,514
AVENUE EUROPE SPECIAL SIT FUND	AT COST	909,642	855,123
NEWTYN PARTNERS	AT COST	1,101,816	1,204,957
KIG INVESTMENT PARTNERS	AT COST	496,575	742,652
SPRUCE HOUSE	AT COST	1,002,128	754,722
ACACIA CONSERVATION	AT COST	1,014,349	1,083,806
FIRST REPUBLIC SECURITIES CO.	AT COST	0	0
ARISTOTLE FUND LP	AT COST	1,000,000	1,000,000

TY 2013 Land, Etc. Schedule**Name:** THE FISH FAMILY FOUNDATION**EIN:** 04-6905753

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
COMPUTER EQUIPMENT	1,492	1,492	0	
OFFICE FURNITURE	1,962	1,962	0	
OFFICE PHONES	180	180	0	
OFFICE FURNITURE	6,242	6,242	0	
COPIER	401	401	0	
COM/PHONE SET-UP	720	720	0	
COMPUTER EQUIPMENT	963	963	0	
OFFICE EQUIPMENT	1,000	967	33	
IPADS	3,430	2,058	1,372	
COMPUTER EQUIPMENT	1,258	168	1,090	

TY 2013 Legal Fees Schedule

Name: THE FISH FAMILY FOUNDATION

EIN: 04-6905753

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	2,050	0		2,050

TY 2013 Other Expenses Schedule

Name: THE FISH FAMILY FOUNDATION

EIN: 04-6905753

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK FEES	335	0		335
PAYROLL PROCESSING FEES	1,827	0		1,827
INSURANCE	10,560	0		10,560
POSTAGE AND PRINTING	4,172	0		4,172
SEMINARS AND TRAINING	347	0		347
REIMBURSED EXPENSES	5,967	0		5,967
PORTFOLIO DEDUCTIONS PER K-1'S	56,058	56,058		0
MEAL AND ENTERTAINMENT	1,379	0		1,379
IT/TECH	973	0		973
JWLI PROGRAM EXPENSE	22,728	0		22,728
JDRFB PROGRAM EXPENSE	3,313	0		3,313
GBCI PROGRAM EXPENSE	51,054	0		51,054
OTHER PROGRAM EXPENSES	411	0		411
OTHER LOSSES FROM K-1'S	38,598	38,598		0
MEMBERSHIP FEES	4,700	0		4,700
DONATIONS PER K-1'S	2	0		2
OFFICE SUPPLIES AND EXPENSE	1,025	0		1,025
INVESTMENT EXPENSES	6,559	6,559		0

TY 2013 Other Income Schedule**Name:** THE FISH FAMILY FOUNDATION**EIN:** 04-6905753

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
INVESTMENT INCOME PER K-1'S	423	423	423
OTHER INCOME PER K-1'S	25,244	25,244	25,244

TY 2013 Other Liabilities Schedule**Name:** THE FISH FAMILY FOUNDATION**EIN:** 04-6905753

Description	Beginning of Year - Book Value	End of Year - Book Value
CREDIT CARD PAYABLE	0	4,001
CONTRIBUTION PAYABLE	0	18,999

TY 2013 Other Professional Fees Schedule**Name:** THE FISH FAMILY FOUNDATION**EIN:** 04-6905753

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MGMT FEES	15,989	15,989		0
OUTSIDE SERVICES	11,113	0		11,113
OTHER PROFESSIONAL FEES	8,000	0		8,000

TY 2013 Taxes Schedule**Name:** THE FISH FAMILY FOUNDATION**EIN:** 04-6905753

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PAYROLL TAXES	20,563	927		19,636
FOREIGN TAXES PAID PER K-1'S	4,846	4,846		0
FEDERAL TAXES	2,549	0		0