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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

JUL 1, 2013

, and ending

JUN 30, 2014

Name of foundation

Pattony Foundation

A Employer identification number

04-3577440

Number and street (or P.O. box number if mail is not delivered to street address)

Choate LLP PO Box 961019

Room/suite

B Telephone number

(617) 248-4760

City or town, state or province, country, and ZIP or foreign postal code

Boston, MA 02196

C If exemption application is pending, check here ☐

Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

Check type of organization:

☒ Section 501(c)(3) exempt private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationF If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Fair market value of all assets at end of year

(from Part II, col. (c), line 16)

\$ 5,667,312.

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

300,005.

N/A

2 Check ☐ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

14.

14.

Statement 1

4 Dividends and interest from securities

106,048.

106,048.

Statement 2

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

334,135.

b Gross sales price for all assets on line 6a

2,437,753.

7 Capital gain net income (from Part IV, line 2)

334,135.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

740,202.

440,197.

12 Total. Add lines 1 through 11

0.

0.

0.

13 Compensation of officers, directors, trustees, etc

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

Stmt 3

7,811.

0.

7,811.

b Accounting fees

Stmt 4

6,400.

3,200.

3,200.

c Other professional fees

Stmt 5

55,106.

41,329.

13,777.

17 Interest

18 Taxes

Stmt 6

8,400.

0.

0.

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

Stmt 7

125.

0.

125.

24 Total operating and administrative expenses. Add lines 13 through 23

77,842.

44,529.

24,913.

25 Contributions, gifts, grants paid

0.

0.

26 Total expenses and disbursements. Add lines 24 and 25

77,842.

44,529.

24,913.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

662,360.

b Net investment income (if negative, enter -0-)

395,668.

c Adjusted net income (if negative, enter -0-)

N/A

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing		1.	1.
	2 Savings and temporary cash investments	263,606.	181,802.	181,802.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ 824,930.	Statement 10		
	Less: allowance for doubtful accounts ▶ 0.	670,279.	824,930.	824,930.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock Stmt 11	3,057,779.	4,105,820.	4,105,820.
	c Investments - corporate bonds Stmt 12	75,948.	185,910.	185,910.
	11 Investments - land, buildings, and equipment, basis ▶			
Liabilities	Less: accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other Stmt 13	0.	368,849.	368,849.
	14 Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	4,067,612.	5,667,312.	5,667,312.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	4,008,765.	5,668,716.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	58,847.	<1,404. ▶	
	30 Total net assets or fund balances	4,067,612.	5,667,312.	
	31 Total liabilities and net assets/fund balances	4,067,612.	5,667,312.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,067,612.
2 Enter amount from Part I, line 27a	2	662,360.
3 Other increases not included in line 2 (itemize) ▶ See Statement 8	3	1,103,092.
4 Add lines 1, 2, and 3	4	5,833,064.
5 Decreases not included in line 2 (itemize) ▶ See Statement 9	5	165,752.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,667,312.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a Publicly traded securities		P		
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 2,437,753.		2,103,618.	334,135.	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a			334,135.	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	334,135.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	178,204.	3,694,911.	.048230
2011	144,241.	3,149,496.	.045798
2010	168,277.	2,953,085.	.056983
2009	124,747.	2,394,361.	.052100
2008	85,551.	1,786,387.	.047891
2 Total of line 1, column (d)			2 .251002
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .050200
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 4,282,817.
5 Multiply line 4 by line 3			5 214,997.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 3,957.
7 Add lines 5 and 6			7 218,954.
8 Enter qualifying distributions from Part XII, line 4			8 215,567.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VII Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	7,913.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	7,913.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	7,913.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	6,408.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	6,408.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	1,505.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► Choate Hall & Stewart LLP Located at ► Two International Place, Boston, MA	Telephone no. ► (617) 248-4760 ZIP+4 ► 02110		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☒ Yes ☐ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

☐ Yes ☒ No

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Patricia A. Rubbiccio Choate Hall & Stewart LLP Two Int'l Boston, MA 02110	Trustee 1.00	0.	0.	0.
Anthony F. Rubbiccio Choate Hall & Stewart LLP Two Int'l Boston, MA 02110	Trustee 1.00	0.	0.	0.
William A. Lowell Choate Hall & Stewart LLP Two Int'l Boston, MA 02110	Trustee 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	4,231,715.
b	Average of monthly cash balances	1b	116,323.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	4,348,038.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	4,348,038.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	65,221.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	4,282,817.
6	Minimum investment return. Enter 5% of line 5	6	214,141.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	214,141.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	7,913.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	7,913.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	206,228.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	206,228.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	206,228.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	24,913.
b	Program-related investments - total from Part IX-B	1b	190,654.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	215,567.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	215,567.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				206,228.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009	5,673.			
c From 2010	23,911.			
d From 2011				
e From 2012				
f Total of lines 3a through e	29,584.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 215,567.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				206,228.
e Remaining amount distributed out of corpus	9,339.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	38,923.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	38,923.			
10 Analysis of line 9:				
a Excess from 2009	5,673.			
b Excess from 2010	23,911.			
c Excess from 2011				
d Excess from 2012				
e Excess from 2013	9,339.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
None				
Total			3a	0.
b Approved for future payment				
None				
Total			3b	0.

Part XVII: Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | | | | | | | | | | | | | | | | | | | | |
|--|--|--|-----|-------|--|-------|--|-------|--|-------|--|-------|--|-------|--|-------|--|-------|--|----|--|
| <p>1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?</p> <p>a Transfers from the reporting foundation to a noncharitable exempt organization of:</p> <p>(1) Cash</p> <p>(2) Other assets</p> <p>b Other transactions:</p> <p>(1) Sales of assets to a noncharitable exempt organization</p> <p>(2) Purchases of assets from a noncharitable exempt organization</p> <p>(3) Rental of facilities, equipment, or other assets</p> <p>(4) Reimbursement arrangements</p> <p>(5) Loans or loan guarantees</p> <p>(6) Performance of services or membership or fundraising solicitations</p> <p>c Sharing of facilities, equipment, mailing lists, other assets, or paid employees</p> <p>d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.</p> | <table border="1"> <tr> <td></td><td>Yes</td></tr> <tr> <td>1a(1)</td><td></td></tr> <tr> <td>1a(2)</td><td></td></tr> <tr> <td>1b(1)</td><td></td></tr> <tr> <td>1b(2)</td><td></td></tr> <tr> <td>1b(3)</td><td></td></tr> <tr> <td>1b(4)</td><td></td></tr> <tr> <td>1b(5)</td><td></td></tr> <tr> <td>1b(6)</td><td></td></tr> <tr> <td>1c</td><td></td></tr> </table> | | Yes | 1a(1) | | 1a(2) | | 1b(1) | | 1b(2) | | 1b(3) | | 1b(4) | | 1b(5) | | 1b(6) | | 1c | |
| | Yes | | | | | | | | | | | | | | | | | | | | |
| 1a(1) | | | | | | | | | | | | | | | | | | | | | |
| 1a(2) | | | | | | | | | | | | | | | | | | | | | |
| 1b(1) | | | | | | | | | | | | | | | | | | | | | |
| 1b(2) | | | | | | | | | | | | | | | | | | | | | |
| 1b(3) | | | | | | | | | | | | | | | | | | | | | |
| 1b(4) | | | | | | | | | | | | | | | | | | | | | |
| 1b(5) | | | | | | | | | | | | | | | | | | | | | |
| 1b(6) | | | | | | | | | | | | | | | | | | | | | |
| 1c | | | | | | | | | | | | | | | | | | | | | |

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X




[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**


Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

		
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name Nicholas E. Porto	Preparer's signature 	Date 11/11/14	Check <input type="checkbox"/> if self-employed	PTIN P01310283
Firm's name ▶ Baker Newman & Noyes			Firm's EIN ▶ 01-0494526	
Firm's address ▶ Portland 04112			Phone no. (207)879-2100	

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

- ▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

Pattony Foundation

Employer identification number

04-3577440

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ... ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization	Employer identification number
Pattony Foundation	04-3577440

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	Anthony F. & Patricia A. Rubbico Choate Hall & Stewart LLP Two Int'l Place Boston, MA 02110	\$ 113,112.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
2	Anthony F. & Patricia A. Rubbico Choate Hall & Stewart LLP Two Int'l Place Boston, MA 02110	\$ 186,893.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
			Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

Pattony Foundation

04-3577440



Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Blackrock Federal Trust Fund	14.	14.	
Total to Part I, line 3	14.	14.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
Dividends and Interest	106,048.	0.	106,048.	106,048.	
To Part I, line 4	106,048.	0.	106,048.	106,048.	

Form 990-PF Legal Fees Statement 3

Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Choate Hall & Stewart LLP - Legal Fees RE scholarship Loan Program	7,811.	0.		7,811.
To Fm 990-PF, Pg 1, ln 16a	7,811.	0.		7,811.

Form 990-PF	Accounting Fees			Statement	4
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Choate Hall & Stewart LLP - Tax Preparation Fee	6,400.	3,200.		3,200.	
To Form 990-PF, Pg 1, ln 16b	6,400.	3,200.		3,200.	

Form 990-PF	Other Professional Fees			Statement	5
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Choate Hall & Stewart LLP - Investment Management, Custody, Recordkeeping	55,106.	41,329.		13,777.	
To Form 990-PF, Pg 1, ln 16c	55,106.	41,329.		13,777.	

Form 990-PF	Taxes			Statement	6
Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes	
Excise Tax	8,400.	0.		0.	
To Form 990-PF, Pg 1, ln 18	8,400.	0.		0.	

Form 990-PF	Other Expenses	Statement	7
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Filing Fee for MA Form PC	125.	0.		125.
To Form 990-PF, Pg 1, ln 23	125.	0.		125.

Form 990-PF	Other Increases in Net Assets or Fund Balances	Statement	8
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Description	Amount
Book Value Adjustment from Cost to FMV	1,103,092.
Total to Form 990-PF, Part III, line 3	1,103,092.

Form 990-PF	Other Decreases in Net Assets or Fund Balances	Statement	9
-------------	--	-----------	---

Description	Amount
6/30/13 Dividends and Interest Posted 7/1/13	4,486.
Excess of Market Value Over Cost of Donated Securities	155,920.
Scholarship Loans Forgiven	5,346.
Total to Form 990-PF, Part III, line 5	165,752.

Form 990-PF	Other Notes and Loans Receivable	Statement	10
-------------	----------------------------------	-----------	----

Description	Balance Due	Doubtful Acct Allowance	FMV of Loan
various scholarship loans	824,930.	0.	824,930.
Total to Fm 990-PF, Part II, ln 7	824,930.	0.	824,930.

Form 990-PF	Corporate Stock	Statement	11
-------------	-----------------	-----------	----

<u>Description</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Corporate Stock- Statement 16	4,105,820.	4,105,820.
Total to Form 990-PF, Part II, line 10b	4,105,820.	4,105,820.

Form 990-PF	Corporate Bonds	Statement	12
-------------	-----------------	-----------	----

<u>Description</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Corporate Bonds- Statement 16	185,910.	185,910.
Total to Form 990-PF, Part II, line 10c	185,910.	185,910.

Form 990-PF	Other Investments	Statement	13
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<u>Description</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Other Investments- Statement 16	FMV	368,849.	368,849.
Total to Form 990-PF, Part II, line 13		368,849.	368,849.

Form 990-PF	Summary of Program-Related Investments	Statement	14
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Description

Scholarship Loans (Non-Interest Bearing) To Individuals -
Paid Directly To Educational Institutions.

Current Year Scholarship Loans:	\$196,000
Prior Year Loans Forgiven:	\$<5,346>
	\$190,654

	<u>Amount</u>
To Form 990-PF, Part IX-B, line 1	190,654.

Form 990-PF

Part XV - Line 1a
List of Foundation Managers

Statement 15

Name of Manager

Patricia A. Rubbico

Anthony F. Rubbico

Asset Detail

Statement of Value and Activity

June 1, 2014 - June 30, 2014

Asset Detail

<i>Description</i>	<i>Shares/Quantity</i>	<i>Market Value</i>	<i>Unrealized G/L</i>	<i>Accrued Income</i>	<i>Cost Basis</i>	<i>% of Account</i>
<i>Cash & Equivalents</i>						
BlackRock Liquidity Funds Federal Trust Fund Portfolio TICKER TFFXX	181,801.74	\$181,801.74	\$0.00	\$1.23	\$181,801.74	3.75%
Total Cash & Equivalents		\$181,801.74	\$0.00	\$1.23	\$181,801.74	3.75%
<i>Fixed Income</i>						
<i>Other Taxable</i>						
Federated Total Return Bond Fund TICKER FTRBX	4,872.84	\$54,283.47	\$633.47	\$163.50	\$53,650.00	1.12%
Metropolitan West Total Return Bond Fund TICKER MWTIX	4,980.81	\$53,991.93	\$796.93	\$111.25	\$53,195.00	1.11%
PIMCO Total Return Exchange-Traded Fund TICKER BOND	500.00	\$54,500.00	\$1,104.35	\$0.00	\$53,395.65	1.13%
Total Fixed Income		\$162,775.40	\$2,534.75	\$274.75	\$160,240.65	3.36%
<i>Other International</i>						
Vanguard Total International Bond ETF TICKER BNDX	450.00	\$23,134.50	\$338.49	\$0.00	\$22,796.01	0.48%
Total International		\$23,134.50	\$338.49	\$0.00	\$22,796.01	0.48%
Total Fixed Income		\$185,909.90	\$2,873.24	\$274.75	\$183,036.66	3.84%

Asset Detail (continued)

Statement of Value and Activity

June 1, 2014 - June 30, 2014

Description	Shares/Quantity	Market Value	Unrealized G/L	Accrued Income	Cost Basis	% of Account
<i>Equity</i>						
U S Large Capitalization						
iShares Core S&P 500 ETF TICKER: IVV	1,406 00	\$276,982.00	\$46,385.46	\$0.00	\$230,596.54	5.72%
Powershares Buyback Achievers Portfolio TICKER: PKW	3,680 00	\$165,048.00	\$52,547.73	\$0.00	\$112,500.27	3.41%
Vanguard Dividend Appreciation Index Fund TICKER: VIG	970 00	\$75,611.50	\$27,665.40	\$0.00	\$47,946.10	1.56%
Vanguard 500 Index Fund TICKER: VIFSX	6,473.23	\$966,906.37	\$559,417.38	\$0.00	\$407,488.99	19.94%
		\$1,484,547.87	\$686,015.97	\$0.00	\$798,531.90	30.63%
<i>International Emerging</i>						
Causeway Emerging Markets Fund TICKER: CEMIX	9,761.69	\$123,387.77	\$13,117.77	\$0.00	\$110,270.00	2.55%
Lazard Emerging Markets Equity Inst TICKER: LZEMX	6,196.42	\$126,283.08	\$43,270.18	\$0.00	\$83,012.90	2.61%
Vanguard FTSE Emerging Markets ETF TICKER: VWO	5,815.00	\$250,800.95	\$24,612.01	\$0.00	\$226,188.94	5.18%
Wisdomtree Emerging Mkts Small Cap Dividend Fund TICKER: DGS	1,395.00	\$66,932.10	\$2,440.65	\$0.00	\$64,491.45	1.38%
		\$567,403.90	\$83,440.61	\$0.00	\$483,963.29	11.72%
<i>International Developed</i>						
Artisan International Value Fund TICKER: ARTKX	6,913.71	\$270,533.55	\$23,398.55	\$0.00	\$247,135.00	5.59%

Asset Detail (continued)

Statement of Value and Activity

June 1, 2014 - June 30, 2014

<i>Description</i>	<i>Shares/Quantity</i>	<i>Market Value</i>	<i>Unrealized G/L</i>	<i>Accrued Income</i>	<i>Cost Basis</i>	<i>% of Account</i>
iShares TR MSCI EAFE Growth ETF TICKER: EFG	3,220.00	\$233,289.00	\$42,798.99	\$3,485.65	\$190,490.01	4.82%
MFS International Value Fund TICKER: MINIX	6,694.13	\$248,686.86	\$57,150.86	\$0.00	\$191,536.00	5.14%
Vanguard FTSE Developed Markets ETF TICKER: VEA	13,980.00	\$595,408.20	\$101,535.60	\$0.00	\$493,872.60	12.30%
<hr/>						
<i>International Small Cap</i>		\$1,347,917.61	\$224,884.00	\$3,485.65	\$1,123,033.61	27.85%
MFS International New Discovery Fund	3,338.66	\$102,229.86	\$17,327.66	\$0.00	\$84,902.20	2.11%
TICKER: MWNIX						
Templeton Institutional Foreign Smaller Company Fund	4,363.21	\$101,139.12	\$17,976.42	\$0.00	\$83,162.70	2.09%
TICKER: TFSCX						
<hr/>						
<i>Unclassified</i>		\$203,368.98	\$35,304.08	\$0.00	\$168,064.90	4.20%
iShares MSCI USA Min Vol ETF TICKER: USMV	6,145.00	\$228,716.90	\$8,910.86	\$1,161.20	\$219,806.04	4.72%
Powershares S&P Emerging Markets Low Volatility Portfolio TICKER: EELV	2,490.00	\$70,093.50	\$881.71	\$0.00	\$69,211.79	1.45%

Asset Detail (continued)

Statement of Value and Activity

June 1, 2014 - June 30, 2014

<i>Description</i>	<i>Shares/Quantity</i>	<i>Market Value</i>	<i>Unrealized G/L</i>	<i>Accrued Income</i>	<i>Cost Basis</i>	<i>% of Account</i>
Powershares S&P International Developed Low Volatility Portfolio TICKER: IDLV	6,090.00	\$203,771.40	\$10,602.08	\$0.00	\$193,169.32	4.21%
		\$502,581.80	\$20,394.65	\$1,161.20	\$482,187.15	10.38%
Total Equity		\$4,105,820.16	\$1,050,039.31	\$4,646.85	\$3,055,780.85	84.78%
Alternative Commodities						
Credit Suisse Commodity Return Strategy I TICKER: CRSOX	4,475.04	\$34,457.83	\$2,537.98	\$0.00	\$31,919.85	0.71%
		\$34,457.83	\$2,537.98	\$0.00	\$31,919.85	0.71%
Emerging Market Bonds						
Goldman Sachs Local Emerging Markets Debt Fund TICKER: GIMDX	4,750.43	\$41,043.72	-\$2,846.45	\$201.53	\$43,890.17	0.85%
MFS Emerging Markets Debt Fund TICKER: MEDX	2,671.23	\$40,949.91	\$10,604.77	\$157.73	\$30,345.14	0.85%
		\$81,993.63	\$7,758.32	\$359.26	\$74,235.31	1.70%
Frontier Markets						
Morgan Stanley Ins Fr Emg-I TICKER: MFMIX	5,943.90	\$122,325.42	\$19,555.42	\$0.00	\$102,770.00	2.53%

Asset Detail (continued)

Statement of Value and Activity

June 1, 2014 - June 30, 2014

Description	Shares/Quantity	Market Value	Unrealized G/L	Accrued Income	Cost Basis	% of Account
Templeton Frontier Markets Fund TICKER FFRZX	6,827.92	\$130,071.80	\$20,327.41	\$0.00	\$109,744.39	2.69%
		\$252,397.22	\$39,882.83	\$0.00	\$212,514.39	5.22%
Total Alternative		\$368,848.68	\$50,179.13	\$359.26	\$318,669.55	7.63%
Total All Assets		\$4,842,380.48	\$1,103,091.68	\$5,282.09	\$3,739,288.80	100.00%

THE PATTONY FOUNDATION

Educational Loan Application for School Year 2014-2015

The Pattony Foundation provides interest-free loans to help students finance their education. Loans range in amounts from \$1,000 to \$10,000. The Foundation makes interest-free loans rather than outright grants because it believes that it is important for students to invest in their own education.

Selection Criteria

In order to qualify as an applicant, you must meet the following criteria:

- Have graduated or be about to graduate from a high school or college located in New England (i.e., the states of Connecticut, Maine, Massachusetts, New Hampshire, Rhode Island, or Vermont).
- Be enrolled or enrolling in an accredited post-secondary institution.
- Demonstrate, in order of most to least important, the following: financial need; character, motivation and leadership skills; and academic promise.
- Complete and submit this application with the required attachments, prior to the deadline listed below.

In order to receive the interest-free loan, if selected:

- Dependent students: you and your parents will be required to sign a promissory note agreeing to repay the loan (without interest).
- Independent students: you will be required to sign a promissory note agreeing to repay the loan (without interest).

All loans shall be paid back in equal monthly payments over a five year term. The first payment will be due on the first day of the month following the six-month anniversary of your graduation. Payments are principal only and no interest will be due unless the loan is in default.

* Applicants who have qualified and received interest-free loan(s) from the Foundation in prior year(s) may be eligible to apply for additional loans in succeeding year(s) *

Deadline

Your completed application and attachments must arrive in one envelope at the address listed below no later than **May 31, 2014**. Applicants selected to receive a loan will be notified on or about July 31, 2014.

Mail three (3) copies of the completed application together with three (3) copies of the required attachments in one envelope to:

The Pattony Foundation
c/o William A. Lowell
Choate, Hall & Stewart LLP
Two International Place
Boston, Massachusetts 02110

Please contact Maggie Wilson at (617) 248-4700 if you have any questions concerning the application or submission process.

THE PATTONY FOUNDATION EDUCATIONAL LOAN APPLICATION

The student applying for the loan must complete this application legibly in black or blue ink. Every section must be completed. If a section does not apply to you write an explanation or "N/A". This application will be considered incomplete if a section is left blank.

A. Background Information

Name: _____
Social Security No.: _____
Birth Date: _____
Permanent Address: _____

Telephone: _____
E-mail: _____

Please provide the address and phone number for the best place to contact you, if different from above:

Address: _____
Telephone: _____

High School

Graduated: Yes/No (circle one)

If yes, date of graduation: _____ If no, expected date of graduation: _____

Name, City and State of High School: _____

Post-Secondary Institution, that you currently attend or have attended in the past, if applicable.

Graduated: Yes/No (circle one)

If yes, date of graduation: _____ If no, expected date of graduation: _____

Name, City and State of Institution: _____

Post-Secondary or Graduate Institution, for this loan request.

Name, City and State of Institution: _____

Loan Amount Requested: \$ _____, Not to exceed \$10,000.

(This section MUST be completed. The Foundation reserves the right to award less than the requested amount.)

Enrollment Status:

In Fall 2014,

I am: Accepted/Enrolled/Awaiting a Decision (*circle one*)
If applicable, attach copy of Acceptance LetterI will be a: Freshman/Sophomore/Junior/Senior/Graduate Student (*circle one*)
Full-time/Part-time (*circle one*)

My expected graduation date is: _____

My intended field of study and degree are: _____

My housing status is: On Campus/Off Campus/Home with Family (*circle one*)**Character Reference Letter:** (Required for first time applicants)

Attach a reference letter from someone who will know your address after graduation. (Personal friends, neighbors, employers, clergy, etc.)

** Immediate family and persons from the academic field may not be used **

B. Financial Aid Information(1) Financial Aid:If you have **NOT** applied for financial aid, check this box ☐If you **HAVE** applied for financial aid, please attach the following documents:

- Copy of the financial aid award letter from the college or institution of higher education you will be attending (if you are undecided, please send award letters from your top two choices)
- Copy of your final Student Aid Report (SAR);
"Information Acknowledgement 2014-2015 Student Aid Report Federal Student Aid Programs"

(2) Budget (Attach Official School Brochure or Letter Showing Costs of Tuition, Room & Board, Etc.)Budget for Academic Year

Tuition/Fees \$ _____
 Room/Board \$ _____
 Books \$ _____
 Travel \$ _____
 Other \$ _____
Total \$ _____

Your Resources for Academic Year

Given by Family \$ _____
 Your Own Resources \$ _____
 Wages (if any) \$ _____
 Scholarships (if any) \$ _____
 Other Loans (if any) \$ _____
Total \$ _____

(3) Educational Indebtedness:

Total student loans owed to date - please list sources, interest rate and repayment terms. Include any previous loans from this Foundation. Estimate your total debt upon completion of your studies/graduation.

(4) Financial Resources – Dependent Student:*Parental Information:*

Complete this question using information from the most recent U.S. Income Tax Return.
(A completed Form 4506-T – Request for Transcript of Tax Return must also be included)

Father - Name and Occupation: _____

Mother - Name and Occupation: _____

Adjusted Gross Income: \$ _____ (Amount from Father \$ _____)
(Amount from Mother \$ _____)

Number of Family Members _____ Number of Dependents _____

List all family members supported at least half-time by parents, **not** including student applicant.

Name/age	School/tuition	Relationship to Applicant
_____	_____	_____
_____	_____	_____
_____	_____	_____
_____	_____	_____

(5) Financial Resources - Independent Student:

Complete this question about you and your spouse (if applicable) using information from your most recent U.S. Income Tax Return.

(A completed Form 4506-T-Request for Transcript of Tax Return must also be included)

Adjusted Gross Income: \$ _____ (Amount from Student \$ _____)
(Amount from Spouse \$ _____)

List all family members that you support at least half-time, not including yourself.

Name/age	School/tuition	Relationship to Applicant
Name/age	School/tuition	Relationship to Applicant
Name/age	School/tuition	Relationship to Applicant

(6) Special Circumstances

- Do you have any unusual personal, financial, or family circumstances that warrant special attention? Please be specific.

This image shows a single sheet of white paper with horizontal ruling lines. The lines are evenly spaced and run across the width of the page. There is no text or other markings on the paper.

C. Leadership And Personal Qualities

- (1) Resume/Activities: Please attach a resume or list of activities that you participate in including positions held, dates of participation, estimated time spent on each activity and any special honors received.

- (2) Essay:

If you are going to be a college freshman in the fall AND you are a first-time applicant –
Submit an essay describing your educational goals, why you selected a particular college, efforts you have made to finance your education, and general information about yourself.

If you are not a first-time applicant OR you are already enrolled in college or graduate school OR you will be attending graduate school in the fall –

Submit an essay of no more than 500 words on **one** of the following topics:

- *Tell us about a class you have taken or a teacher that has influenced the career path or future goals.*
- *Explain why a college education is important to you.*

- (3) Letter of Recommendation: (Required for first time applicants)

Submit a letter of recommendation that addresses your leadership skills and potential. You may submit the letter directly or have the person writing the recommendation send it directly to the Pattony Foundation.

D. Academic Information

- (1) Submit an official copy of your most recent transcript; high school, college, or graduate school.

E. Additional Information

- (1) Will you be the first person in your family to pursue a post-secondary education?

☐ Yes

☐ No

- (2) How did you hear about the interest-free loans from the Pattony Foundation?

F. CERTIFICATION AND SIGNATURES

I (we) certify that the information on this form is true and complete to the best of my (our) knowledge. I (we) shall provide on request any information required to verify statements made in this application. I (we) realize that if I (we) do not comply with requests for information, eligibility for loans will be lost. I (we) further agree that, if the Pattony Foundation selects me to receive an interest-free loan, I (we) will execute a promissory note to repay the loan.

Signature of Applicant_____
Date_____
Signature of Applicant's Mother, if applicant is a dependent_____
Date_____
Signature of Applicant's Father, if applicant is a dependent_____
Date

Mail three (3) copies of the completed application together with three (3) copies of the required attachments in one envelope to:

The Pattony Foundation
c/o William A. Lowell
Choate, Hall & Stewart LLP
Two International Place
Boston, Massachusetts 02110

Your envelope must arrive no later than May 31, 2014

*Please **do not** staple the application or attachments, and include your name on all materials submitted*

THE PATTONY FOUNDATION
CHECK LIST OF REQUIREMENTS FOR SUBMITTING
STUDENT LOAN APPLICATION

This check list is intended only as a "check list" and has been included to assist in keeping track of the application requirements which need to be completed.

- ☐ 1. **Application filled in completely**
 - ☐ Background Information (Section A)
 - ☐ Financial Aid Information (Section B)
 - ☐ Leadership and Personal Qualities (Section C)
 - ☐ Academic Information (Section D)
 - ☐ Additional Information (Section E)
 - ☐ Certification and Signatures (Section F)
- ☐ 2. **Acceptance Letter** (Section A) *
- ☐ 3. **Character Reference** (Section A) *
- ☐ 4. **Financial Award Letter and/or SAR** (Section B1)
- ☐ 5. **School Literature** (Section B2) *
- ☐ 6. **Form 4506-T – Request for Transcript of Tax Return** (Section B4 or B5)
- ☐ 7. **Resume of Activities** (Section C1)
- ☐ 8. **Essay** (Section C2)
- ☐ 9. **Scholastic Reference Letter:** (Section C3) *
- ☐ 10. **Official Transcript** (Section D1)

Loans are made to the **student applicant only** (not the parents, the school or outside agencies).

It is important that you follow these instructions carefully - your ability to follow these instructions is a factor taken into consideration by the Foundation Trustees when selecting loan recipients.

The application form **MUST BE FILLED IN COMPLETELY** by the student applying for the loan with all the requested information. If a particular question or section is not applicable to you, indicate either "*Not Applicable*", "*No*" or "*None*".

Recipients must notify the Foundation if any of the following changes have occurred: change of graduation date; change of school; change of major; change of address or change of telephone number.

(*) Students who have previously received funds from the Foundation **DO NOT** need to complete these sections