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**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

**2013**

Department of the Treasury  
Internal Revenue Service

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Open to Public Inspection

For calendar year 2013 or tax year beginning June 1, 2013, and ending May 31, 2014

Name of foundation <b>Hartman Foundation, Inc</b>		<b>A</b> Employer identification number 58-2471439
Number and street (or P O box number if mail is not delivered to street address) <b>3345 Bee Cave Rd</b>	Room/suite <b>203</b>	<b>B</b> Telephone number (see instructions) (512)339-9771
City or town, state or province, country, and ZIP or foreign postal code <b>Austin, TX 78746</b>		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D</b> 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>H</b> Check type of organization. <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ \$ <b>1,434,322</b>	<b>J</b> Accounting method. <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

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<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	<b>1</b> Contributions, gifts, grants, etc., received (attach schedule)	30,000			
	<b>2</b> Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	<b>3</b> Interest on savings and temporary cash investments	179	179	179	
	<b>4</b> Dividends and interest from securities	20,902	20,902	20,902	
	<b>5a</b> Gross rents				
	<b>b</b> Net rental income or (loss)				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	204,595			
	<b>b</b> Gross sales price for all assets on line 6a <b>904,012</b>				
	<b>7</b> Capital gain net income (from Part IV, line 2)		221,941		
	<b>8</b> Net short-term capital gain			576	
	<b>9</b> Income modifications				
	<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss) (attach schedule)					
<b>11</b> Other income (attach schedule)					
<b>12 Total.</b> Add lines 1 through 11	255,676	243,022	21,657		
<b>Operating and Administrative Expenses</b>	<b>13</b> Compensation of officers, directors, trustees, etc.				
	<b>14</b> Other employee salaries and wages				
	<b>15</b> Pension plans, employee benefits				
	<b>16a</b> Legal fees (attach schedule)				
	<b>b</b> Accounting fees (attach schedule) <b>schedule 1</b>	18,445			18,445
	<b>c</b> Other professional fees (attach schedule)				
	<b>17</b> Interest				
	<b>18</b> Taxes (attach schedule) (see instructions)	2,751	342	342	2,211
	<b>19</b> Depreciation (attach schedule) and depletion				
	<b>20</b> Occupancy	1,838			1,838
	<b>21</b> Travel, conferences, and meetings	2,672			2,672
	<b>22</b> Printing and publications				
	<b>23</b> Other expenses (attach schedule) <b>schedule 1</b>	1,854	1,793	1,793	61
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23	27,560	2,135	2,135	25,227
	<b>25</b> Contributions, gifts, grants paid	1,513,576			743,576
<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	1,541,136	2,135	2,135	768,803	
<b>27</b> Subtract line 26 from line 12					
<b>a Excess of revenue over expenses and disbursements</b>	(1,285,460)				
<b>b Net investment income</b> (if negative, enter -0-)		240,887			
<b>c Adjusted net income</b> (if negative, enter -0-)			19,522		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash—non-interest-bearing	62,534	15,978	15,978
	2	Savings and temporary cash investments	253,598	195,824	195,824
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) sch 1	1,429,370	1,009,394	1,009,394
	c	Investments—corporate bonds (attach schedule) sch 1	226,136	209,279	202,279
	11	Investments—land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶ )	4,336	3,847	3,847	
16	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	1,975,974	1,434,322	1,434,322	
Liabilities	17	Accounts payable and accrued expenses	987	1,185	
	18	Grants payable	175,000	945,000	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ )			
	23	<b>Total liabilities</b> (add lines 17 through 22)	175,987	946,185	
Net Assets or Fund Balances	<b>Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.</b> <input checked="" type="checkbox"/>				
	24	Unrestricted	1,799,987	488,137	
	25	Temporarily restricted			
	26	Permanently restricted			
	<b>Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.</b> <input type="checkbox"/>				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	<b>Total net assets or fund balances</b> (see instructions)	1,799,987	488,137		
31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	1,975,974	1,434,322		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1,799,987
2	Enter amount from Part I, line 27a	(1,285,460)
3	Other increases not included in line 2 (itemize) ▶ Investment Market Value Adjustment	(26,390)
4	Add lines 1, 2, and 3	488,137
5	Decreases not included in line 2 (itemize) ▶	
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5)—Part II, column (b), line 30	488,137

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a</b>	Neuberger Berman Equity Inc Fd - 11,581 47	P	Various	10/31/13
<b>b</b>	Neuberger Berman Equity Inc Fd - 5,974 548	P	6/7/12	12/4/13
<b>c</b>	Invesco Disciplined Equity Fd - 7,363 77	P	Various	7/15/13
<b>d</b>	Atlantic Trust Disciplined Eq Fd - 28,188 865	P	Various	1/23/14
<b>e</b>	See schedule 2	P	Various	Various

  

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 145,000		133,683	11,317
<b>b</b> 73,786		66,318	7,468
<b>c</b> 100,000		77,150	22,850
<b>d</b> 400,000		287,940	112,060
<b>e</b> 185,226		116,980	68,246

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0- ) or Losses (from col (h))
<b>a</b>			11,317
<b>b</b>			7,468
<b>c</b>			22,850
<b>d</b>			112,060
<b>e</b>			68,246

  

<b>2</b>	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	221,941
<b>3</b>	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . . }	<b>3</b>	576

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	389,436	1,998,489	1949
2011	591,989	2,132,137	2777
2010	1,258,022	3,065,915	4103
2009	576,766	2,860,114	2017
2008	1,177,472	3,328,896	3537

  

<b>2</b>	Total of line 1, column (d)	<b>2</b>	14383
<b>3</b>	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	2877
<b>4</b>	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	<b>4</b>	1,762,235
<b>5</b>	Multiply line 4 by line 3	<b>5</b>	506,995
<b>6</b>	Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	2,409
<b>7</b>	Add lines 5 and 6	<b>7</b>	509,404
<b>8</b>	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	<b>8</b>	768,803

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		2,409
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		
3	Add lines 1 and 2		2,409
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		2,409
6	Credits/Payments.		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,224
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	1,185
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d		2,409
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>		0
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>		
11	Enter the amount of line 10 to be: <b>Credited to 2014 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a		<input checked="" type="checkbox"/>
b		<input checked="" type="checkbox"/>
<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
c		<input checked="" type="checkbox"/>
d		<input checked="" type="checkbox"/>
e		<input checked="" type="checkbox"/>
2		<input checked="" type="checkbox"/>
3		<input checked="" type="checkbox"/>
4a		<input checked="" type="checkbox"/>
4b		<input checked="" type="checkbox"/>
5		<input checked="" type="checkbox"/>
6	<input checked="" type="checkbox"/>	
7	<input checked="" type="checkbox"/>	
8a		<input checked="" type="checkbox"/>
b	<input checked="" type="checkbox"/>	
9	<input checked="" type="checkbox"/>	
10		<input checked="" type="checkbox"/>

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► www.lonestarreport.org	13	✓	
14	The books are in care of ► Shirley Wolfe Located at ► 3345 Bee Cave Rd, Austin TX	Telephone no. ►	(512)339-9771	
		ZIP+4 ►	78746	
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. . . . . and enter the amount of tax-exempt interest received or accrued during the year . . . . .	15		<input type="checkbox"/>
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No ✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
<b>1a</b> During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? sch 3 <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? sch 3 <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . .	1b	✓
Organizations relying on a current notice regarding disaster assistance check here . . . . . <input type="checkbox"/>		
<b>c</b> Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . .	1c	✓
<b>2</b> Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
<b>a</b> At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20 , 20 , 20 , 20		
<b>b</b> Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions) . . . . .	2b	
<b>c</b> If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 , 20 , 20 , 20		
<b>3a</b> Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
<b>b</b> If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) . . . . .	3b	
<b>4a</b> Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	✓
<b>b</b> Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	✓

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes? *sch. 3*  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

**b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No

Organizations relying on a current notice regarding disaster assistance check here

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

*If "Yes," attach the statement required by Regulations section 53.4945–5(d).*

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No

*If "Yes" to 6b, file Form 8870*

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See schedule 3		0	0	0

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
<b>Total number of others receiving over \$50,000 for professional services</b>		▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
<b>1</b> Scholarship Program - see schedule 4	32,666
<b>2</b> Case Western Reserve University - see schedule 4	29,614
<b>3</b>	
<b>4</b>	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount	
<b>1</b>		
<b>2</b>		
All other program-related investments. See instructions		
<b>3</b>		
<b>Total.</b> Add lines 1 through 3		▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	1,414,173
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	371,051
<b>c</b>	Fair market value of all other assets (see instructions) . . . . .	<b>1c</b>	3,847
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	1,789,071
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	1,789,071
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions) . . . . .	<b>4</b>	26,836
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 . . . . .	<b>5</b>	1,762,235
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	88,112

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5 . . . . .	<b>2a</b>	
<b>b</b>	Income tax for 2013. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	
<b>3</b>	Distributable amount before adjustments Subtract line 2c from line 1 . . . . .	<b>3</b>	
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	
<b>6</b>	Deduction from distributable amount (see instructions) . . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	<b>7</b>	

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 . . . . .	<b>1a</b>	768,803
<b>b</b>	Program-related investments—total from Part IX-B . . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	<b>4</b>	768,803
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	768,803

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7 . . . . .				
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only . . . . .				
<b>b</b> Total for prior years 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2013				
<b>a</b> From 2008 . . . . .				
<b>b</b> From 2009 . . . . .				
<b>c</b> From 2010 . . . . .				
<b>d</b> From 2011 . . . . .				
<b>e</b> From 2012 . . . . .				
<b>f</b> <b>Total</b> of lines 3a through e . . . . .				
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4. ► \$ _____				
<b>a</b> Applied to 2012, but not more than line 2a . . . . .				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions) . . . . .				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions) . . . . .				
<b>d</b> Applied to 2013 distributable amount . . . . .				
<b>e</b> Remaining amount distributed out of corpus . . . . .				
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b . . . . .				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .				
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
<b>e</b> Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions . . . . .				
<b>f</b> Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 . . . . .				
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .				
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .				
<b>9</b> <b>Excess distributions carryover to 2014.</b> Subtract lines 7 and 8 from line 6a . . . . .				
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2009 . . . . .				
<b>b</b> Excess from 2010 . . . . .				
<b>c</b> Excess from 2011 . . . . .				
<b>d</b> Excess from 2012 . . . . .				
<b>e</b> Excess from 2013 . . . . .				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	19,522	22,222	18,812	28,898	89,454
<b>b</b> 85% of line 2a	16,594	18,889	15,990	24,563	76,036
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed	768,803	389,436	592,177	1,258,022	3,008,438
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities	706,523	295,940	394,074	840,047	2,236,584
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c	62,280	96,496	198,103	417,975	774,854
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	58,741	66,616	71,072	102,197	298,626
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

**1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2) )

Claudette Hartman and Douglas Hartman

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include.

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors.

**Part XV** Supplementary Information (continued)

**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year See schedule 5				743,576
<b>Total</b> . . . . . ▶ <b>3a</b>				743,576
<b>b</b> Approved for future payment See schedule 6				945,000
<b>Total</b> . . . . . ▶ <b>3b</b>				945,000





**Hartman Foundation, Inc.**  
**Form 990-PF**  
**EIN: 58-2471439**  
**May 31, 2014**  
**Schedule 1**

	Col a Per books	Col b Net inv. Inc	Col c Adj net inc	Col d Charitable
<u>Page 1, Part 1, Line 16b - Accounting</u>				
Coker, Wommack & Company, P.C. - Financial statement and tax return	5,561	-	-	5,561
Hartman & Associates, Inc Bookkeeping & administrative services	12,884	-	-	12,884
	18,445	-	-	18,445
<u>Page 1, Part 1, Line 23 - Other expenses</u>				
Bank charges/ Investment fees	1,793	1,793	1,793	-
Office supplies	44	-	-	44
Postage	17	-	-	17
Totals	1,854	1,793	1,793	61

	Beg. of Year Book Value	End of Year Book Value	End of Year FMV
<u>Page 2, Line 10 - Investments</u>			
Atlantic Whitehall Equity Fund	921,155	569,661	569,661
IVA International Fund	160,749	140,350	140,350
Neuberger Berman Equity Income Fund	216,466	-	-
Mainstay Epoch Global Equity Yield Fund	-	168,383	168,383
	-	-	-
	-	-	-
Llano Estarado Winery	131,000	131,000	131,000
	-	-	-
	1,429,370	1,009,394	1,009,394
Corporate bonds			
Net Lease Private Reit VI	226,136	209,279	209,279
	226,136	209,279	209,279

**Hartman Foundation, Inc.**  
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**Schedule 2**

Page 3, Line 1e - Capital Gains and Losses

Description		Date Acquired	Date Sold	Sales price	Cost	Gain (loss)
Mainstay Epoch Global Equity Yield Fd - 4113.111	P	10/31/13	1/23/14	80,000	79,424	576
Iva International Fund - 2,305.476	P	8/29/2011	1/23/14	40,000	37,556	2,444
Net Lease Private Reit - Liquidating distribution	P	7/11/2006	Various	22,348		22,348
Capital gain distribution - Neuberger Berman Eq Fd	P		6/25/13	639		639
Capital gain distribution - Mainstay Epoch Global	P		12/11/13	303		303
Capital gain distribution - IVA International Fd	P		12/18/13	3,124		3,124
Capital gain distribution - IVA International Fd	P		12/16/13	38,812		38,812
				185,226	116,980	68,246

**Hartman Foundation, Inc.**  
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**Schedule 3**

Page 5, Part VII-B, Line 1(a)(3)

During the tax year, Burnet & Brockton Joint Venture furnished the Hartman Foundation with facilities free of charge. Burnet & Brockton Joint Venture is a partnership of which a substantial contributor is a partner.

Hartman Foundation, Inc. paid Hartman & Associates (a corporation owned indirectly by disqualified persons) reasonable compensation for bookkeeping and administrative services which are reasonable and necessary to perform the exempt purposes of the private foundation.

Page 5, Part VII-B, Line 1(a)(4)

Hartman Foundation, Inc. reimburses the travel expenses of a disqualified person (foundation manager) for the performance of services necessary for carrying out the exempt function of the Foundation. The reimbursement is based on actual receipts for actual costs incurred that are reasonable and necessary for travel related to the exempt function. The Foundation does not pay compensation for the services rendered by the disqualified person.

Page 6, Part VII-B, Line 5(a)(3)

During the tax year, Hartman Foundation, Inc. has made expenditures for scholarships to individuals. These scholarships are awarded on an objective and nondiscriminatory basis and are used at an educational institution. Full disclosure of the Foundation's grant-making procedures were disclosed to the IRS in Form 8718 filed with its exemption application.

Page 6, Part VIII - Officers, Directors, etc.

Name and address	Title	Compensation	Employee plan Contributions	Expense Account
Douglas M. Hartman 6501 Soter Parkway Austin, TX 76735	Chairman/President Director	-	-	-
Claudette L. Hartman 98 San Jacinto FSR 2204 Austin, TX 78701	Vice President Director	-	-	-
Shirley Wolfe 8900 Pepper Rock Dr Austin, TX 78717	Secretary/Treasurer Director	-	-	-
John E. Hartman 13845 Hadley Overland Park, KS 66223	Director	-	-	-
Wayne P. Hartman 12881 Park Dr Austin, TX 78732	Director	-	-	-

**Hartman Foundation, Inc.**  
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**May 31, 2014**  
**Schedule 4**

Page 7, Part IX-A Summary of Direct Charitable Activities

**Scholarship Program**

Scholarship recipients must agree to acquire a Teacher's Certificate and teach at the secondary level in a Texas school (public or private) of their choice for five years upon graduation. The recipients must carry a full-time 15-hour per semester course load and maintain a "B" Grade Point Average, with a minimum acceptable grade of C or better in all courses taken. Qualifying distributions for this project during the tax year ending 5/31/2014 totaled **\$29,287**.

**Case Western Reserve University**

The foundation is an operating partner with the Case School of Engineering Co-op program working with the Co-op's policy makers to achieve a goal of sustained success and growth. The Foundation is involved with approving the Cooperative Education Student Policy. The Foundation meets with the Engineering leadership team, students, faculty and participating companies. The Foundation reviews participating companies and suggests companies for future partnerships and communicates with Co-op students to encourage and support them. Qualifying distributions for this project during the tax year ending 5/31/2014 totaled **\$26,551**.

**Hartman Foundation, Inc.**  
**Form 990-PF**  
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**May 31, 2014**  
**Schedule 5**

Page 11, Part XV, Line 3a - Grants and Contributions paid during the year

<u>Recipient - Name and address</u>	<u>Relationship</u>	<u>Foundation Status</u>	<u>Purpose of Contribution</u>	<u>Amount</u>
Scholarship program (Hartman Foundation exercised expenditure responsibility )	No relationship		To support education	27,576
Case Western Reserve University School of Engineering Co-op Program 10900 Euclid Ave Cleveland, Ohio 44106		501(c)(3) Public	To support education	25,000
Wake Forest University 1834 Wake Forest Rd Winston-Salem, NC 27106		501(c)(3) Public	Contribution to support public education and welfare	125,000
Wake Forest Baptist Medical Center 1 Medical Center Blvd Winston-Salem, NC 27103		501(c)(3) Public	Contribution to support public education and welfare	350,000
The Austin Symphony 1101 Red River Austin, TX 78701		501(c)(3) Public	Contribution to support public education and welfare	100,000
Case Western University 10900 Euclid Ave Cleveland, OH 44106		501(c)(3) Public	Contribution to support public education and welfare	75,000
Waller Creek Conservancy P O Box 12363 Austin, TX 78711		501(c)(3) Public	Contribution to support public education and welfare	10,000
Lost Colony-Roanoke Historical Society 1 Market Sq SE # 3 Roanoke, VA 24011		501(c)(3) Public	Contribution to support public education and welfare	17,500
Holy Cross Catholic Church P O Box 94 Betram, TX 78605		501(c)(3) Public	Contribution to support public education and welfare	4,500
Bucknell University Cooley Hall, Bucknell University Lewisburg, PA 17837		501(c)(3) Public	Contribution to support public education and welfare	2,500
Liberty Hill ISD Scholarships P O Box 68 Liberty Hill, TX 78642		501(c)(3) Public	Contribution to support public education and welfare	2,000
Gold Ribbon Rescue P O Box 956 Austin, TX 78767		501(c)(3) Public	Contribution to support public education and welfare	1,500
Cornell University 410 Thurston Ave Ithaca, New York 14850		501(c)(3) Public	Contribution to support public education and welfare	1,000
Peoples Community Clinic 2909 North IH-35 Austin, TX 78722		501(c)(3) Public	Contribution to support public education and welfare	1,000
Meals on Wheels 3227 East 5th Street Austin, TX 78702		501(c)(3) Public	Contribution to support public education and welfare	1,000

743,576

**Hartman Foundation, Inc.**  
**Form 990-PF**  
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**May 31, 2014**  
**Schedule 6**

Page 11, Part XV, Line 3b - Grants and Contributions approved for future payment

<u>Recipient - Name and address</u>	<u>Relationship</u>	<u>Foundation Status</u>	<u>Purpose of Contribution</u>	<u>Amount</u>
Wake Forest Baptist Medical Center 1 Medical Center Blvd Winston-Salem, NC 27103		501(c)(3) Public	Contribution to support public education and welfare	650,000
Case Western Reserve University School of Engineering Co-op Program 10900 Euclid Ave Cleveland, Oh 44106		501(c)(3) Public	Contribution to support public education and welfare	275,000
Waller Creek Conservancy P O Box 12363 Austin, TX 78711		501(c)(3) Public	Contribution to support public education and welfare	20,000
				945,000