Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning 06/01 , 2013, and ending 05/31, 20 14

Name of foundation: THE BALLMANN FAMILY PRIVATE FOUNDATION

A Employer identification number: 43-6466750

Number and street (or P O box number if mail is not delivered to street address): P.O. BOX 387

Room/suite:

B Telephone number (see instructions): 314-418-2643

City or town, state or province, country, and ZIP or foreign postal code: ST. LOUIS, MO 63166

C If exemption application is pending, check here . . . . ▶ ☐

D 1 Foreign organizations, check here . ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation . . . . ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here . ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 30,635,828.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) ____________ *(Part I, column (d) must be on cash basis.)*

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue					
1	Contributions, gifts, grants, etc , received (attach schedule) .				
2	Check ▶ ☒ if the foundation is **not** required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	646,201.	631,362.	14,839.	
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	-358,334.			
b	Gross sales price for all assets on line 6a 6,465,020.				
7	Capital gain net income (from Part IV, line 2) .				
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	**Total.** Add lines 1 through 11	287,867.	631,362.	14,839.	
Operating and Administrative Expenses					
13	Compensation of officers, directors, trustees, etc . .	245,867.	122,933.		122,933.
14	Other employee salaries and wages		NONE	NONE	
15	Pension plans, employee benefits		NONE	NONE	
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule) STMT 1 .	16,140.	NONE	NONE	16,140.
c	Other professional fees (attach schedule) . . .				
17	Interest				
18	Taxes (attach schedule) (see instructions) STMT 2 .	28,952.	12,425.		
19	Depreciation (attach schedule) and depletion .				
20	Occupancy				
21	Travel, conferences, and meetings		NONE	NONE	
22	Printing and publications		NONE	NONE	
23	Other expenses (attach schedule)				
24	**Total operating and administrative expenses.** Add lines 13 through 23	290,959.	135,358.	NONE	139,073.
25	Contributions, gifts, grants paid	1,395,599.			1,395,599.
26	**Total expenses and disbursements.** Add lines 24 and 25	1,686,558.	135,358.	NONE	1,534,672.
27	Subtract line 26 from line 12:				
a	**Excess of revenue over expenses and disbursements** . .	-1,398,691.			
b	**Net investment income** (if negative, enter -0-)		496,004.		
c	**Adjusted net income** (if negative, enter -0-) . .			14,839.	

For Paperwork Reduction Act Notice, see instructions.

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		Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	114,590.	136,473.	136,473.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶ NONE			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) STMT 3	22,939,219.	21,791,168.	30,499,355.
	c	Investments - corporate bonds (attach schedule) STMT 12	300,000.		
	11	Investments - land, buildings, and equipment. basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	23,353,809.	21,927,641.	30,635,828.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)		NONE	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, ▶ ☒ check here and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	23,353,809.	21,927,641.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	23,353,809.	21,927,641.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	23,353,809.	21,927,641.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	23,353,809.
2	Enter amount from Part I, line 27a	2	-1,398,691.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	21,955,118.
5	Decreases not included in line 2 (itemize) ▶COST ADJ	5	27,477.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	21,927,641.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr.)	(d) Date sold (mo , day, yr.)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	6,465,020.		6,823,354.	-358,334.
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col (j), if any	(l) Gains (Col (h) gain minus col. (k), but not less than -0-) or Losses (from col (h))
a				-358,334.
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	-358,334.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col (c))
2012	1,444,590.	28,124,378.	0.051364
2011	1,335,395.	27,271,538.	0.048967
2010	1,295,344.	27,007,831.	0.047962
2009	1,049,866.	24,764,179.	0.042395
2008	1,061,950.	22,870,314.	0.046434

2	**Total** of line 1, column (d)	2	0.237122
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.047424
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	29,492,720.
5	Multiply line 4 by line 3	5	1,398,663.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	4,960.
7	Add lines 5 and 6	7	1,403,623.
8	Enter qualifying distributions from Part XII, line 4	8	1,534,672.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. . . . Date of ruling or determination letter: ________________ (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	4,960.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .			2	
3	Add lines 1 and 2			3	4,960.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . .			4	NONE
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	4,960.
6	Credits/Payments:				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	24,259.		
b	Exempt foreign organizations - tax withheld at source	6b	NONE		
c	Tax paid with application for extension of time to file (Form 8868)	6c	NONE		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	24,259.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . . ▶			10	19,299.
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 4,960. **Refunded** ▶			11	14,339.

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ ______________ (2) On foundation managers. ▶ $ ______________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MO			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation.*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV.*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ US BANK NA Telephone no. ▶ (314)418-2643

Located at ▶ PO BOX 387, ST LOUIS, MO ZIP+4 ▶ 63166

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
US BANK NA PO BOX 387, ST LOUIS, MO 63166	TRUSTEE 0	245,867.	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over $50,000 ▶ NONE

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions. 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:				
a	Average monthly fair market value of securities			1a	29,941,848.
b	Average of monthly cash balances			1b	NONE
c	Fair market value of all other assets (see instructions)			1c	NONE
d	**Total** (add lines 1a, b, and c)			1d	29,941,848.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e			
2	Acquisition indebtedness applicable to line 1 assets			2	NONE
3	Subtract line 2 from line 1d			3	29,941,848.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)			4	449,128.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4			5	29,492,720.
6	**Minimum investment return.** Enter 5% of line 5			6	1,474,636.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	1,474,636.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	4,960.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	4,960.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	1,469,676.
4	Recoveries of amounts treated as qualifying distributions			4	NONE
5	Add lines 3 and 4			5	1,469,676.
6	Deduction from distributable amount (see instructions)			6	NONE
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	1,469,676.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,534,672.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,534,672.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	4,960.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,529,712.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					1,469,676.
2 Undistributed income, if any, as of the end of 2013:					
a Enter amount for 2012 only				NONE	
b Total for prior years: 20 11 ,20____,20____			NONE		
3 Excess distributions carryover, if any, to 2013:					
a From 2008	NONE				
b From 2009	NONE				
c From 2010	NONE				
d From 2011	NONE				
e From 2012	29,278.				
f **Total** of lines 3a through e		29,278.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 1,534,672.					
a Applied to 2012, but not more than line 2a				NONE	
b Applied to undistributed income of prior years (Election required - see instructions)			NONE		
c Treated as distributions out of corpus (Election required - see instructions)		NONE			
d Applied to 2013 distributable amount					1,469,676.
e Remaining amount distributed out of corpus		64,996.			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*		NONE			NONE
6 **Enter the net total of each column as indicated below:**					
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5		94,274.			
b Prior years' undistributed income. Subtract line 4b from line 2b			NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions			NONE		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instructions				NONE	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014					NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)		NONE			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)		NONE			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		94,274.			
10 Analysis of line 9:					
a Excess from 2009	NONE				
b Excess from 2010	NONE				
c Excess from 2011	NONE				
d Excess from 2012	29,278.				
e Excess from 2013	64,996.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 27				1,395,599.
Total ▶ 3a				1,395,599.
b *Approved for future payment*				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	646,201.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property .					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	-358,334.	
9 Net income or (loss) from special events . . .					
10 Gross profit or (loss) from sales of inventory . .					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)				287,867.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . 13 287,867.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no.	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ John F. Loose | 07/07/2014 | ▶ TRUSTEE

Signature of officer or trustee | Date | Title

U.S. BANK NA

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Firm's name ▶			Firm's EIN ▶	
Firm's address ▶			Phone no	

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

2013

Name of estate or trust	Employer identification number
THE BALLMANN FAMILY PRIVATE FOUNDATION	43-6466750

Note: *Form 5227 filers need to complete only Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked				
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked				
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked	1,769,935.	2,164,623.		-394,688.

4	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	4	
5	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	5	
6	Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet	6	( )
7	**Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back . . . . ▶	7	-394,688.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked				
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked				
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked	4,562,088.	4,658,731.		-96,643.

11	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	11	
12	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.	12	
13	Capital gain distributions.	13	132,997.
14	Gain from Form 4797, Part I.	14	
15	Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet	15	( )
16	**Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back . . . . ▶	16	36,354.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Part III **Summary of Parts I and II** **Caution:** *Read the instructions before completing this part.*		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
17 Net short-term gain or (loss)	17			-394,688.
18 Net long-term gain or (loss):				
a Total for year	18a			36,354.
b Unrecaptured section 1250 gain (see line 18 of the wrksht.)	18b			
c 28% rate gain	18c			
19 Total net gain or (loss). Combine lines 17 and 18a ▶	19			-358,334.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and* **do not** *complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the* **Capital Loss Carryover Worksheet,** *as necessary.*

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of: a The loss on line 19, column (3) **or** b $3,000	20	(3,000)

Note: *If the loss on line 19, column (3), is more than $3,000,* **or** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* **Capital Loss Carryover Worksheet** *in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero.

Caution: *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if:*

- *Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			21		
22	Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22				
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23				
24	Add lines 22 and 23	24				
25	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- ▶	25				
26	Subtract line 25 from line 24. If zero or less, enter -0-			26		
27	Subtract line 26 from line 21. If zero or less, enter -0-			27		
28	Enter the **smaller** of the amount on line 21 or $2,450			28		
29	Enter the **smaller** of the amount on line 27 or line 28			29		
30	Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶					30
31	Enter the smaller of line 21 or line 26			31		
32	Subtract line 30 from line 26			32		
33	Enter the **smaller** of line 21 or $11,950			33		
34	Add lines 27 and 30			34		
35	Subtract line 34 from line 33. If zero or less, enter -0-			35		
36	Enter the **smaller** of line 32 or line 35			36		
37	Multiply line 36 by 15% ▶					37
38	Enter the amount from line 31			38		
39	Add lines 30 and 36			39		
40	Subtract line 39 from line 38. If zero or less, enter -0-			40		
41	Multiply line 40 by 20% ▶					41
42	Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			42		
43	Add lines 37, 41, and 42			43		
44	Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			44		
45	**Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶					45

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
TAX PREPARATION FEE (NON-ALLOC	16,140.			16,140.
TOTALS	16,140.	NONE	NONE	16,140.

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FEDERAL EXCISE TAXES	16,527.	
FOREIGN TAXES PAID	12,425.	12,425.
TOTALS	28,952.	12,425.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
SCHLUMBERGER LTD	75,563.	134,212.
ABBOTT LABS	28,603.	40,010.
JP MORGAN CHASE & CO	161,343.	217,723.
ECOLAB INC	47,874.	115,741.
APACHE CORP	119,102.	139,830.
CHEVRON CORP	101,519.	193,026.
PROCTER & GAMBLE CO	59,618.	89,596.
ATT INC	111,238.	141,171.
APPLE INC	59,761.	562,737.
MICROS SYS INC	17,880.	25,321.
ICU MED INC		
J2 GLOBAL COMMUNICATIONS INC	16,201.	29,553.
TUPPERWARE BRANDS CORP		
BRANDYWINE REALTY TRUST	19,622.	28,565.
CRANE CO	19,592.	30,978.
DISNEY WALT CO	90,550.	314,533.
ENERSYS	10,304.	37,972.
IBM CORP	147,063.	138,270.
MEDNAX INC		
ORACLE CORPORATION	52,056.	118,076.
WELLS FARGO CO	75,769.	136,700.
ACCENTURE LTD	58,126.	122,664.
ACE LTD	98,683.	185,537.
MIDCAP SPDR TRUST SER 1	728,804.	1,881,600.
PIMCO TOTAL RETURN FUND	566,534.	593,074.
ALLERGAN INC	106,943.	251,190.
AMTRUST FINANCIAL SERVICES	15,568.	31,000.
CINEMARK HLDGS INC	18,304.	31,520.
EXPRESS SCRIPTS HLDGS	62,275.	79,189.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE STOCK
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DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
EXXON MOBIL CORP	121,293.	167,081.
GENERAL MILLS INC	66,893.	139,632.
JOHNSON JOHNSON	95,674.	172,685.
LAKELAND FINANCIAL CORP	12,047.	20,804.
MASTEC INC	12,127.	39,636.
MCDONALDS CORP	73,226.	101,430.
PERICOM SEMICONDUCTOR CORP		
PFIZER INC	98,175.	166,935.
TEXAS ROADHOUSE INC	16,819.	29,097.
SPDR GOLD SHARES ETF	549,911.	603,956.
AMAZON COM INC	118,411.	265,042.
AMERICAN EQUITY INVT LIFE	16,342.	32,181.
AMERICAN EXPRESS CO	152,755.	320,250.
AMERICAN TOWER CORP	66,117.	120,283.
AMERIPRISE FINL INC	70,792.	169,365.
AMERISOURCEBERGEN CORP	55,001.	142,628.
AMPHENOL CORP	66,312.	13,940.
ARTIC CAT INC	19,887.	21,000.
ASHFORD HOSPITALITY TR INC	14,997.	22,866.
BUFFALO WILD WINGS INC	9,111.	26,301.
CAMERON INTL CORP	48,019.	78,083.
CARDTRONICS INC	16,526.	25,618.
CENTENE CORP	17,816.	38,676.
CERNER CORPORATION	32,264.	88,858.
CONCHO RES INC	60,706.	113,875.
CSX CORP	43,360.	58,800.
CUMMINS INC	67,843.	126,167.
CYBERONICS INC	17,746.	28,880.
DICKS SPORTING GOODS INC	44,891.	77,432.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
DIRECTV	69,296.	143,033.
DOLLAR TREE INC	37,211.	83,893.
DOW CHEM CO	49,559.	99,862.
DR PEPPER SNAPPLE GROUP	44,995.	67,278.
EATON CORP	81,392.	116,872.
EDWARDS LIFESCIENCES CORP	43,528.	58,789.
ENTEGRIS INC	17,530.	25,945.
FED EX CORP	50,268.	85,775.
FINISAR CORPORATION	18,433.	26,885.
GENERAL ELECTRIC CO	113,179.	192,084.
GOOGLE INC CL A	93,107.	171,495.
GRAINGER W W INC	50,883.	118,333.
GULFPORT ENERGY CORP		
INTEL CORP	77,188.	117,940.
KAPSTONE PAPER & PACKAGING	5,470.	18,302.
KRAFT FOODS GROUP INC	14,539.	26,698.
LAUDER ESTEE COS INC	60,255.	157,837.
LITTELFUSE INC	21,360.	32,960.
LSB INDS INC		
MASTERCARD INC	62,486.	243,876.
MATTEL INC	51,521.	98,085.
MAXIMUS INC	15,827.	36,101.
MEASUREMENT SPECIALTIES INC	8,701.	23,316.
MULTI FINELINE ELECTRONIX INC		
NETGEAR INC	16,670.	20,711.
NIKE INC	61,380.	127,363.
OCCIDENTAL PETROLEUM CORP	97,685.	126,108.
OIL STATES INTERATIONAL INC		
PERRIGO CO	152,205.	138,200.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
PHILIP MORRIS INTL	119,728.	197,090.
PRAXAIR INC	61,959.	94,287.
PRECISION CASTPARTS CORP	66,780.	126,490.
PRICELINE COM INC	137,152.	539,582.
PROSPERITY BANCSHARES INC		
QUALCOMM INC	69,016.	134,995.
ROCKWELL COLLINS INC	64,642.	88,130.
SALESFORCE COM INC	101,668.	178,100.
TAL INTL GROUP INC	18,539.	26,380.
TARGET CORPORATION	78,379.	84,005.
THERMO FISHER SCIENTIFIC INC	55,214.	144,735.
TRIUMPH GROUP INC	31,031.	42,966.
UNITED TECHNOLOGIES CORP	145,555.	246,270.
VERIZON COMMUNICATIONS INC	70,340.	115,408.
VISA INC	59,383.	185,183.
VOLTERRA SEMICONDUCTOR CORP		
WESBANCO INC	13,432.	17,752.
WYNN RESORTS LTD	95,806.	214,970.
3M CO	74,278.	127,155.
INGERSOLL RAND PLC	39,264.	87,457.
ISHARES MSCI EMERGING MKTS ETF	896,018.	1,025,242.
GAM ABSOLUTE RETURN BOND FUND		
GCA CREDIT OPPORTUN OFFSHORE		
IDR CORE EQUITY RE STRATEGY	226,481.	303,365.
ALERE INC	19,044.	29,403.
AMERICAN AXLE & MFG HLDGS INC	13,085.	28,459.
AMERICAN STATES WATER CO	13,637.	13,959.
ATWOOD OCEANICS INC	31,532.	32,867.
AZZ INCORPORATED	9,628.	17,003.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
BERKSHIRE HILLS BANCORP INC		
CHEMICAL FINANCIAL CORP	12,380.	15,635.
CHESAPEAKE LODGING TRUST	21,906.	32,175.
COCA COLA COMPANY	69,129.	81,820.
COMMUNITY TR BANCORP INC	10,293.	10,468.
DSW INC	12,087.	14,529.
MOOG INC	18,811.	32,571.
MYRIAD GENETICS INC	13,622.	18,702.
NU SKIN ENTERPRISES INC		
PRIMORIS SERVICES CORP	16,063.	30,476.
PROTECTIVE LIFE CORP	13,326.	41,003.
RALPH LAUREN CORP	64,372.	121,096.
RAMCO GERSHENSON PPTYS TR		
RUE21 INC		
SABRA HEALTH CARE REIT INC	18,993.	33,204.
SONIC AUTOMOTIVE INC		
SPIRIT AIRLINES INC	9,894.	34,736.
STONE ENERGY CORP	14,431.	36,444.
TENNECO AUTOMOTIVE INC	26,797.	44,051.
I SHARES MSCI EAFE INDEX FUND		
COLUMBIA INCOME FD	3,500,000.	3,424,629.
OPPENHEIMER SR FLOAT RATE	923,865.	922,943.
ABBVIE INC	12,947.	27,165.
BELO CORPORATION		
BIO REFERENCE LABS INC		
BLUCORA INC	14,502.	17,225.
CARMIKE CINEMAS INC	16,824.	30,822.
CELADON GROUP INC	15,463.	19,272.
CME GROUP INC	164,969.	216,000.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
CNO FINANCIAL GROUP INC	26,232.	34,292.
CONOCOPHILLIPS	93,825.	119,910.
ELECTRONICS FOR IMAGING INC	18,178.	31,698.
EPL OIL GAS INC		
FIRSTMERIT CORP	24,150.	27,632.
GRAPHIC PACKAGING HLDG CO	24,997.	38,047.
HANGER INC	14,859.	26,036.
HILLTOP HOLDINGS INC	29,710.	37,993.
HOME BANCSHARES INC		
LITHIA MOTORS INC	9,652.	28,235.
MACK CALI REALTY CORP		
MATRIX SERVICE CO	12,451.	27,948.
MEDASSETS INC	36,440.	43,725.
MICROSEMI CORP	20,572.	25,741.
MONDELEZ INTERNATIONAL W I	26,935.	50,749.
MYR GROUP INC	11,487.	12,785.
NEW RESIDENTIAL INVESTMENT		
NEWCASTLE INVT CORP		
ORBITAL SCIENCES CORP	18,284.	35,029.
PACWEST BANCORP		
PENNYMAC MTG INV TR	22,780.	21,057.
PIONEER NAT RES CO	149,213.	262,700.
ROSETTA RESOURCES INC	32,821.	33,368.
RPX CORP	17,356.	18,623.
RUDOLPH TECHNOLOGIES INC		
SAPIENT CORP	16,666.	24,050.
SINCLAIR BROADCAST GROUP INC	15,692.	26,474.
STERLING FINL CORP SPOKANE		
SWIFT TRANSPORTATION CO	13,801.	31,817.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
TEREX CORP NEW	18,672.	27,537.
TETRA TECH INC		
THOR INDUSTRIES INC	24,200.	38,400.
UNISYS CORPORATION	15,856.	19,480.
WABASH NATL CORP	22,885.	29,297.
WAL MART STORES INC		
RIO TINTO PLC ADR		
ABERDEEN FDS EMRGN MKT INSTL	535,000.	527,647.
FORWARD INTL REAL ESTATE	500,000.	439,728.
ISHARES CORE S&P SMALL CAP ETF	562,587.	1,073,000.
NUVEEN GLOBAL INFRASTR FD	500,000.	558,738.
NUVEEN REAL ESTATE SECS	818,840.	852,055.
SCOUT INTERNATIONAL FUND	975,000.	1,125,149.
UTILITIES SELECT SECTOR SPDR	91,159.	106,850.
CALL ON EEM 10/10/13 @ 46.70		
CALL ON EEM 10/10/13 @ 57.74		
CALL ON EFA 10/10/13 @ 58.28		
CALL ON EFA 10/01/13 @ 69.10		
CALL ON SPY 10/10/13 @ 157.88		
CALL ON SPY 10/10/13 @ 185.74		
PUT ON EEM 10/10/13 @ 32.99		
PUT ON EEM 10/10/13 @ 39.18		
PUT ON EFA 10/10/13 @ 42.52		
PUT ON EFA 10/10/13 @ 50.49		
PUT ON SPY 10/10/13 @ 114.30		
PUT ON SPY 10/10/13 @ 135.74		
INV BALANCE RISK COMM STR Y	200,000.	188,530.
AMN HELATHCARE SVCS INC	16,431.	12,656.
ARRIS GROUP INC	20,093.	20,065.

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
AUXILIUM PHARMACEUTICALS INC	16,500.	20,836.
BBCN BANCORP	22,855.	21,076.
BOISE CASCASDE CO	30,864.	26,788.
EMERGENT BIOSOLUTIONS INC	20,456.	18,567.
ENANTA PHARMACEUTICALS INC	12,230.	12,989.
GOOGLE INC CL C	92,810.	167,967.
GRAND CANYON EDUCATION INC	30,405.	28,368.
IGATE CAPITAL CORPORATION	16,181.	23,258.
INTEGRATED SILICON SOLUTION IN	11,892.	15,612.
JETBLUE AIRWAYS CORP	27,772.	29,907.
KORN FERRY INTL	27,973.	32,982.
LA Z BOY INC	29,982.	26,691.
MADDEN STEVEN LTD	25,552.	25,361.
MENTOR GRAPHICS CORP	26,994.	25,322.
SANCHEZ ENERGY CORP	19,612.	22,207.
SILICON IMAGE INC	16,725.	12,955.
SUMMIT HOTEL PROPERTIES INC	17,470.	19,166.
SYNAPTICS INC	24,819.	27,232.
THORATEC CORP	30,113.	23,913.
TRIMAS CORP	26,922.	27,596.
UBIQUITI NETWORKS INC	32,973.	22,130.
UNION BANKSHARES CORP	8,344.	9,464.
VCA ANTECH INC	28,536.	34,155.
WESTERN ALLIANCE BANCORP	28,757.	37,844.
WILSHIRE BANCORP INC	25,841.	25,477.
WINTRUST FINL CORP	30,365.	31,639.
ALLEGION PLC	10,087.	25,514.
ISHARES MSCI EAFE ETF	1,013,151.	1,555,825.
CALL ON EEM 10/28/14 @ 42.990	-19,790.	-29,885.

THE BALLMANN FAMILY PRIVATE FOUNDATION 43-6466750

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
CALL ON EEM 10/28/14 @ 54.450	2,320.	216.
CALL ON EFA 10/28/14 @ 70.780	-21,768.	-35,165.
CALL ON AFA 10/28/14 @ 89.660	3,092.	216.
CALL ON SPX 10/28/14 @ 1952.63	-87,566.	-143,043.
CALL ON SPX 10/28/14 @ 2473.33	1,544.	1.
GAM UNCONSTRAINED BOND FUND	500,000.	563,248.
GCA CREDIT OPPORTUNITIES OFFSH	1,000,000.	1,224,128.
PUT ON EEM 10/28/14 @ 30.300	-4,445.	-886.
PUT ONE EEM 10/28/14 @ 36.440	19,315.	6,336.
PUT ON EFA 10/28/14 @ 49.880	-5,048.	-134.
PUT ON EFA 10/28/14 @ 59.990	28,832.	5,856.
PUT ON SPX 10/28/14 @ 1376.140	-19,106.	-7,978.
PUT ON SPX 10/28/14 @ 1655.090	99,894.	43,314.
TOTALS	21,791,168.	30,499,355.

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION
RBC 4YR GSCI COMMOD 8/30/13
BARC 5YR CMDY D1 MTN 3/17/14
TOTALS

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
YOUTHBRIDGE ASSOCIATION
ADDRESS:
12685 OLIVE STREET ROAD STE 100
ST LOUIS, MO 63141
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 70,000.

RECIPIENT NAME:
SALVATION ARMY
ADDRESS:
1130 HAMPTON AVE
ST LOUIS, MO 63139-3147
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 70,000.

RECIPIENT NAME:
SHRINERS CHILDRENS HOSPITAL
ADDRESS:
PO BOX 863770
ORLANDO, FL 32886-3770
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 70,000.

RECIPIENT NAME:
ST LOUIS COLLEGE OF PHARMACY
ADDRESS:
4588 PARKVIEW PLACE
ST LOUIS, MO 63110-1029
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 70,000.

RECIPIENT NAME:
RANKEN TECHNICAL COLLEGE
ADDRESS:
4431 FINNEY AVE
ST LOUIS, MO 63113-2811
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 70,000.

RECIPIENT NAME:
BARNES JEWISH HOSPITAL FOUNDATION
ADDRESS:
1001 HIGHLANDS PLAZA DR WEST
ST LOUIS, MO 63110-1337
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 42,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
ST LOUIS UNIVERSITY SCHOOL OF NURSING
ADDRESS:
3437 CAROLINE ST
ST LOUIS, MO 63104-1111
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 42,000.

RECIPIENT NAME:
ST ANDREWS AT HOME SERVICES
ADDRESS:
6633 DELMAR BLVD
ST LOUIS, MO 63130-4505
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 70,000.

RECIPIENT NAME:
ST LOUIS ALTENHEIM
ADDRESS:
5408 S BROADWAY
ST LOUIS, MO 63111-2023
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 28,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
HUMANE SOCIETY OF MISSOURI
ADDRESS:
1201 MACKLIND AVE
ST LOUIS, MO 63110-1431
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 70,000.

RECIPIENT NAME:
PEREGRINE SOCIETY OF STL
ADDRESS:
2343 HAMPTON AVE
ST LOUIS, MO 63139-2908
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 28,000.

RECIPIENT NAME:
MEALS ON WHEELS
ADDRESS:
25 BEAVER DRIVE
ST LOUIS, MO 63141-7901
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 70,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
VISITING NURSE ASSOCIATION
ADDRESS:
11440 OLIVE BLVD SUITE 200
CREVE COEUR, MO 63141
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 70,000.

RECIPIENT NAME:
ANNIE MALONE CHILDRENS HOME
ADDRESS:
2612 ANNIE MALONE DRIVE
ST LOUIS, MO 63113-2929
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 28,000.

RECIPIENT NAME:
GOOD SHEPARD CILDRENS AND
FAMILY SERVICES
ADDRESS:
1340 PARTRIDGE AVE
ST LOUIS, MO 63140
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 28,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
EDGEWOOD CHILDRENS CENTER
ADDRESS:
330 N GORE AVE
ST LOUIS, MO 63119-1600
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 28,000.

RECIPIENT NAME:
INDEPENDENCE CENTER
ADDRESS:
4245 FOREST PARK AVE
ST LOUIS, MO 63108-2810
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 28,000.

RECIPIENT NAME:
CHILDRENS HOME SOCIETY OF MISSOURI
ADDRESS:
9445 LITZSINGER RD
ST LOUIS, MO 63144-2113
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 70,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
UNITY SCHOOL OF CHRISTIANITY OF MO
ADDRESS:
1901 NW BLUE PKWY
UNITY VILLAGE, MO 64065-0001
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 28,000.

RECIPIENT NAME:
BEREA COLLEGE
ADDRESS:
CPO 2214
BEREA, KY 40404
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 28,000.

RECIPIENT NAME:
MRIAM FOUNDATION
ADDRESS:
501 BACON AVE
ST LOUIS, MO 63119-1512
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 28,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
RANKEN JORDAN HOME FOR CHILDREN
ADDRESS:
11365 DORSETT ROAD
MARYLAND HEIGHTS, MO 63043-3411
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 28,000.

RECIPIENT NAME:
GOOD SAMARITAN HOME
ADDRESS:
6633 DELMAR BLVD STE 300
ST LOUIS, MO 63130-4505
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 105,000.

RECIPIENT NAME:
MISSOURI COUNCIL FOR THE BLIND
ADDRESS:
5453 CHIPPEWA ST
ST LOUIS, MO 63109-1635
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 70,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID
===

RECIPIENT NAME:
DEACONESS FOUNDATION
ADDRESS:
211 N BROADWAY STE 1260
ST LOUIS, MO 63102-2733
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 28,000.

RECIPIENT NAME:
EPWORTH CHILDRENS HOME
ADDRESS:
110 N ELM AVE
ST LOUIS, MO 63119-2418
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
EXEMPT PURPOSE
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 28,000.

RECIPIENT NAME:
UNIVERSITY OF OKLAHOMA
ADDRESS:
660 PARRINGTON OVAL
NORMAL, OK 73019-0390
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 6,000.

RECIPIENT NAME:
ROOSEVELT UNIVERSITY
ADDRESS:
430 S MICHIGAN AVE
CHICAGO, IL 60605
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 6,000.

RECIPIENT NAME:
HOWARD UNIVERSITY
ADDRESS:
2400 SIXTH STREET NW
WASHINGTON, DC 20059
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 6,000.

RECIPIENT NAME:
UNIVERSITY OF DALLAS
ADDRESS:
1845 EAST NORTHGATE DRVIE
IRVING, TX 75062-4736
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 6,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
PRINCETON UNIVERSITY
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 6,000.

RECIPIENT NAME:
COLUMBIA COLLEGE
ADDRESS:
1001 ROGERS STREET
COLUMBIA, MO 65216
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 6,000.

RECIPIENT NAME:
UNIVERSITY OF MO - ST LOUIS
ADDRESS:
ONE UNIVERSITY BLVD
ST LOUIS, MO 63121
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 6,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
ST LOUIS COMMUNITY COLLEGE - WILDWOOD
ADDRESS:
2645 GENERATIONS DRIVE
WILDWOOD, MO 63040
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... -67.

RECIPIENT NAME:
DEPAUL UNIVERSITY
ADDRESS:
1 E JACKSON BLVD STE 9000
CHICAGO, IL 60604-2287
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 6,000.

RECIPIENT NAME:
MISSOURI STATE UNIVERSITY
ADDRESS:
901 NATIONAL AVENUE
SPRINGFIELD, MO 65897
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 6,000.

STATEMENT 24

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
MISSOURI UNIV OF SCIENCE & TECH
ADDRESS:
300 W 13TH STREET
ROLLA, MO 65409-0250
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 4,666.

RECIPIENT NAME:
UNIVERSITY OF MISSOURI AT COLUMBIA
ADDRESS:
11 JESSE HALL
COLUMBIA, MO 65211
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 6,000.

RECIPIENT NAME:
UNIVERSITY OF IOWA
ADDRESS:
208 CALVIN HALL
IOWA CITY, IA 52246-1315
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 6,000.

RECIPIENT NAME:
TRUMAN STATE UNIVERSITY
ADDRESS:
100 EAST NORMAL
KIRKSVILLE, MO 63501
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 12,000.

RECIPIENT NAME:
RHODES COLLEGE
ADDRESS:
2000 N PARKWAY
MEMPHIS, TN 38112
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 6,000.

RECIPIENT NAME:
MARYVILLE UNIVERSITY
ADDRESS:
650 MARYVILLE UNIVERSITY DR
ST LOUIS, MO 63141
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 6,000.

FORM 990PF, PART XV, LINE 3a - CONTRIBUTIONS, GIFTS, GRANTS PAID

RECIPIENT NAME:
ST LOUIS COLLEGE OF PHARMACY
ADDRESS:
4588 PARKVIEW PLACE
ST LOUIS, MO 63110-1088
RELATIONSHIP:
NONE
PURPOSE OF GRANT:
SCHOLARSHIP AWARDS PROGRAM
FOUNDATION STATUS OF RECIPIENT:
PUBLIC
AMOUNT OF GRANT PAID .... 6,000.

TOTAL GRANTS PAID: 1,395,599.

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949.*

▶File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
THE BALLMANN FAMILY PRIVATE FOUNDATION	43-6466750

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	**(h) Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
2154. BELO CORPORATION A	03/12/2013	06/14/2013	29,714.00	20,739.00			8,975.00
96. ARCTIC CAT INC	02/22/2013	07/11/2013	4,810.00	3,528.00			1,282.00
42. OIL STATES INTERNATION	09/10/2012	07/11/2013	4,030.00	3,471.00			559.00
693. MACK CALI REALTY CORP	01/24/2013	07/18/2013	17,046.00	18,783.00			-1,737.00
41816.01 OPPENHEIMER SENIO RATE Y	04/10/2013	07/19/2013	350,418.00	350,836.00			-418.00
8960.574 OPPENHEIMER SENIO RATE Y	04/10/2013	07/31/2013	75,000.00	75,179.00			-179.00
43. QUESTCOR PHARMACEUTICA	06/20/2013	08/01/2013	2,943.00	2,034.00			909.00
307. FIRSTMERIT CORP	03/18/2013	08/05/2013	7,003.00	4,993.00			2,010.00
55. QUESTCOR PHARMACEUTICA	06/20/2013	08/05/2013	3,619.00	2,585.00			1,034.00
374. PACWEST BANCORP	03/18/2013	08/06/2013	13,213.00	10,250.00			2,963.00
513. PACWEST BANCORP	01/10/2013	08/08/2013	17,890.00	13,618.00			4,272.00
753. NEW RESIDENTIAL INVES	05/15/2013	08/21/2013	4,581.00	5,071.00			-490.00
1309. NEW RESIDENTIAL INVE	05/15/2013	08/26/2013	8,417.00	8,816.00			-399.00
399. VOLTERRA SEMICONDUCTO	07/18/2013	08/30/2013	9,118.00	5,841.00			3,277.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if Box A above is checked), **line 2** (if Box B above is checked), or **line 3** (if Box C above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

JSA
3X2615 2 000

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶Information about Form 8949 and its separate instructions is at *www.irs gov/form8949.*

▶File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No 12A

Name(s) shown on return	Social security number or taxpayer identification number
THE BALLMANN FAMILY PRIVATE FOUNDATION	43-6466750

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example· 100 sh. XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo , day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	**(h) Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
811. TETRA TECH INC	01/09/2013	09/04/2013	18,521.00	22,052.00			-3,531.00
339. STERLING FINL CORP SP	05/14/2013	09/13/2013	9,648.00	7,703.00			1,945.00
251. MULTI FINELINE ELECTR	12/11/2012	09/17/2013	4,022.00	4,732.00			-710.00
2. MULTI FINELINE ELECTRON	12/11/2012	09/27/2013	32.00	38.00			-6.00
213. HOME BANCSHARES INC	08/07/2013	10/08/2013	6,124.00	5,815.00			309.00
12100. CALL ON EEM 10/10/1	10/12/2012	10/10/2013	23,712.00	128.00			23,584.00
11200. CALL ON EFA 10/10/1	10/12/2012	10/10/2013	20,269.00	64,631.00			-44,362.00
48900. CALL ON SPY 10/10/1	10/12/2012	10/10/2013	132,024.00	512,981.00			-380,957.00
128. LIBBEY INC	08/02/2013	10/23/2013	2,781.00	3,200.00			-419.00
101. ROSETTA RESOURCES INC	05/24/2013	10/24/2013	5,851.00	4,811.00			1,040.00
.5 MADDEN STEVEN LTD	06/13/2013	10/25/2013	18.00	16.00			2.00
185. LIBBEY INC	08/02/2013	10/29/2013	3,981.00	4,565.00			-584.00
286. BLUCORA INC	01/09/2013	10/30/2013	6,925.00	4,696.00			2,229.00
173. LIBBEY INC	07/25/2013	11/04/2013	3,672.00	4,231.00			-559.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶Information about Form 8949 and its separate instructions is at *www irs gov/form8949*

▶File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return: THE BALLMANN FAMILY PRIVATE FOUNDATION

Social security number or taxpayer identification number: 43-6466750

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f). **See the separate instructions.** (f) Code(s) from instructions	 (g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
2378. MERITOR INC	07/16/2013	11/04/2013	16,217.00	17,273.00			-1,056.00
343. STERLING FINL CORP SP	02/22/2013	11/14/2013	10,528.00	7,624.00			2,904.00
183. HILLTOP HOLDINGS INC	11/13/2013	11/18/2013	4,032.00	3,616.00			416.00
376. HOME BANCSHARES INC	08/07/2013	11/21/2013	13,031.00	7,374.00			5,657.00
451. STERLING FINL CORP SP	03/08/2013	11/21/2013	13,968.00	9,866.00			4,102.00
111. QUESTCOR PHARMACEUTIC	09/23/2013	11/27/2013	6,286.00	5,918.00			368.00
2500. RIO TINTO PLC A D R	05/29/2013	11/27/2013	127,823.00	122,728.00			5,095.00
15045.135 TEMPLETON INSTL SER ADV	07/19/2013	11/27/2013	152,257.00	150,000.00			2,257.00
230. MYRIAD GENETICS INC	08/07/2013	12/04/2013	5,765.00	6,750.00			-985.00
261. AMERICAN AIRLINES GRO	10/25/2013	12/12/2013	6,812.00	6,030.00			782.00
379. UNS ENERGY CORP	11/04/2013	12/13/2013	22,176.00	17,494.00			4,682.00
430. PERRIGO CO	03/15/2013	12/19/2013	65,452.00	50,221.00			15,231.00
881. AMERICAN AIRLINES GRO	10/25/2013	12/27/2013	22,421.00	16,584.00			5,837.00
349. ASHFORD HOSPITALITY P	11/19/2013	01/09/2014	6,223.00	7,233.00			-1,010.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶**Information about Form 8949 and its separate instructions is at** ***www.irs.gov/form8949***

▶**File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.**

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
THE BALLMANN FAMILY PRIVATE FOUNDATION	43-6466750

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	 (g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
360. HOME BANCSHARES INC	03/15/2013	01/10/2014	12,349.00	6,655.00			5,694.00
131. AZZ INCORPORATED	06/13/2013	01/16/2014	5,343.00	5,560.00			-217.00
180. SONIC AUTOMOTIVE INC	03/19/2013	01/21/2014	4,153.00	4,202.00			-49.00
1058. CIRRUS LOGIC INC	10/04/2013	01/31/2014	18,396.00	24,000.00			-5,604.00
120. LSB INDS INC	04/12/2013	02/03/2014	3,872.00	3,927.00			-55.00
220.3251 NEW MEDIA INVESTM	02/13/2014	02/21/2014	2,674.00	2,710.00			-36.00
60. QUESTCOR PHARMACEUTICA	06/11/2013	02/26/2014	4,542.00	2,747.00			1,795.00
282. AUXILIUM PHARMACEUTIC	07/24/2013	03/03/2014	8,693.00	5,073.00			3,620.00
1. BIO-REFERENCE LABS INC	04/12/2013	03/04/2014	25.00	24.00			1.00
211. BIO-REFERENCE LABS IN	04/12/2013	03/07/2014	5,241.00	5,022.00			219.00
173. CARMIKE CINEMAS INC	11/08/2013	03/19/2014	5,476.00	4,026.00			1,450.00
990. NEWCASTLE INVT CORP	06/17/2013	03/19/2014	4,605.00	5,429.00			-824.00
274. RAMCO GERSHENSON PROP	07/18/2013	03/24/2014	4,344.00	4,389.00			-45.00
141. EPL OIL GAS INC	07/11/2013	03/27/2014	5,440.00	4,510.00			930.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶							

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

JSA
3X2615 2 000

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949*

▶File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
THE BALLMANN FAMILY PRIVATE FOUNDATION	43-6466750

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo , day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
248. QUESTCOR PHARMACEUTIC	06/13/2013	04/08/2014	20,029.00	11,281.00			8,748.00
11200. CALL ON EFA 04/10/1 FLEX	10/10/2013	04/10/2014	17,577.00	791.00			16,786.00
4900. CALL ON SPX 04/10/14 FLEX	10/10/2013	04/10/2014	125,043.00	274,075.00			-149,032.00
11918.951 OPPENHEIMER SENI RATE Y	03/11/2014	04/10/2014	99,881.00	100,119.00			-238.00
12100. CALL ON EEM 04/10/1 FLEX	10/10/2013	04/18/2014	14,512.00				14,512.00
12100. PUT ON EEM 04/10/14 FLEX	10/10/2013	04/18/2014	4,833.00				4,833.00
11200. PUT ON EFA 04/10/14 FLEX	10/10/2013	04/18/2014	4,921.00				4,921.00
4900. PUT ON SPX 04/10/14 FLEX	10/10/2013	04/18/2014	34,099.00				34,099.00
193. UNION BANKSHARES CORP	08/12/2013	05/20/2014	4,723.00	4,314.00			409.00
4395.604 NUVEEN REAL ESTAT	07/19/2013	05/27/2014	100,791.00	101,715.00			-924.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			1,769,935.	2,164,623.			-394,688.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions. Form **8949** (2013)

JSA
3X2615 2 000

Form 8949 (2013) Attachment Sequence No 12A Page 2

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)
THE BALLMANN FAMILY PRIVATE FOUNDATION

Social security number or taxpayer identification number
43-6466750

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example· 100 sh. XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
170. AMERICAN AXLE & MFG H	04/19/2012	06/03/2013	2,997.00	1,986.00			1,011.00
135501.355 PIMCO TOTAL RET INST	06/03/2009	06/04/2013	1,497,290.00	1,417,344.00			79,946.00
333. AMERICAN AXLE & MFG H	04/19/2012	06/13/2013	6,037.00	3,556.00			2,481.00
129. GULFPORT ENERGY CORP	07/13/2010	06/13/2013	6,311.00	1,671.00			4,640.00
136. KAPSTONE PAPER & PACK	01/26/2012	06/13/2013	4,874.00	2,393.00			2,481.00
237. RUE21 INC	04/19/2012	06/13/2013	9,901.00	6,202.00			3,699.00
159. SPIRIT AIRLINES INC	02/21/2012	06/13/2013	5,030.00	3,083.00			1,947.00
130. GULFPORT ENERGY CORP	07/13/2010	06/14/2013	6,470.00	1,683.00			4,787.00
224. KAPSTONE PAPER & PACK	01/26/2012	06/20/2013	8,759.00	3,941.00			4,818.00
75. OIL STATES INTERNATION	08/09/2010	06/20/2013	6,859.00	3,426.00			3,433.00
351. RUE21 INC	12/13/2011	06/20/2013	14,554.00	7,977.00			6,577.00
166. KAPSTONE PAPER & PACK	01/26/2012	07/11/2013	7,149.00	2,920.00			4,229.00
120. OIL STATES INTERNATIO	08/16/2010	07/11/2013	11,514.00	5,236.00			6,278.00
50. DSW INC CL A	12/05/2011	07/16/2013	3,905.00	2,351.00			1,554.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	Social security number or taxpayer identification number
THE BALLMANN FAMILY PRIVATE FOUNDATION	43-6466750

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☒ (F) Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr)	(c) Date sold or disposed (Mo., day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.**		(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
100. KAPSTONE PAPER & PACK	01/30/2012	07/16/2013	4,287.00	1,750.00			2,537.00
49. MEDNAX INC	06/29/2012	07/16/2013	4,653.00	3,322.00			1,331.00
82. MICROS SYS INC	09/26/2011	07/16/2013	3,774.00	3,742.00			32.00
207. TEXAS ROADHOUSE INC	06/07/2012	07/16/2013	5,120.00	3,696.00			1,424.00
51. TUPPERWARE BRANDS CORP	12/06/2010	07/16/2013	3,990.00	2,446.00			1,544.00
107. TUPPERWARE BRANDS COR	12/06/2010	07/22/2013	8,411.00	4,751.00			3,660.00
114. PROSPERITY BANCSHARES	04/07/2011	07/24/2013	6,880.00	5,032.00			1,848.00
285. SWIFT TRANSPORTATION	06/21/2012	07/24/2013	4,668.00	2,981.00			1,687.00
173. TUPPERWARE BRANDS COR	01/16/2009	07/24/2013	14,320.00	5,054.00			9,266.00
85. TENNECO AUTOMOTIVE INC	06/01/2011	07/31/2013	4,138.00	3,495.00			643.00
70. PROSPERITY BANCSHARES	04/07/2011	08/05/2013	4,204.00	3,090.00			1,114.00
4625.347 PIMCO TOTAL RETUR	06/03/2009	08/08/2013	50,046.00	48,381.00			1,665.00
247. VOLTERRA SEMICONDUCTO	09/27/2010	08/28/2013	5,629.00	5,535.00			94.00
200000. RBC 4YR GSCI COMMO 8/30/13	08/28/2009	08/30/2013	238,038.00	200,000.00			38,038.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return. (Name and SSN or taxpayer identification no. not required if shown on other side)	Social security number or taxpayer identification number
THE BALLMANN FAMILY PRIVATE FOUNDATION	43-6466750

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Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

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☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

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☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions. (f) Code(s) from instructions	(g) Amount of adjustment	(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
408. VOLTERRA SEMICONDUCTO	10/20/2011	08/30/2013	9,324.00	8,681.00			643.00
222. AMERICAN STATES WATER	01/11/2012	09/04/2013	5,778.00	3,824.00			1,954.00
171. CARDTRONICS INC	11/09/2010	09/04/2013	6,089.00	3,012.00			3,077.00
222. AMERICAN STATES WATER	01/11/2012	09/05/2013	5,681.00	3,824.00			1,857.00
329. NU SKIN ENTERPRISES I	05/07/2012	09/11/2013	31,061.00	15,002.00			16,059.00
.7 AMTRUST FINANCIAL SERVI	08/12/2009	09/19/2013	26.00	8.00			18.00
259. ORBITAL SCIENCES CORP	07/30/2012	09/23/2013	5,371.00	3,544.00			1,827.00
90. MULTI FINELINE ELECTRO	10/15/2010	09/27/2013	1,455.00	2,072.00			-617.00
196. AMERICAN EQUITY INVT	01/19/2011	10/04/2013	4,150.00	2,544.00			1,606.00
122. MAXIMUS INC	11/18/2011	10/04/2013	5,636.00	2,444.00			3,192.00
159. MULTI FINELINE ELECTR	10/15/2010	10/04/2013	2,396.00	3,661.00			-1,265.00
11200. CALL ON EFA 10/10/1	10/12/2012	10/10/2013		5,043.00			-5,043.00
12100. CALL ON EEM 10/10/1	10/12/2012	10/10/2013		8,473.00			-8,473.00
12100. PUT ON EEM 10/10/13	10/12/2012	10/10/2013		48,887.00			-48,887.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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THE BALLMANN FAMILY PRIVATE FOUNDATION	43-6466750

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☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh. XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f). ***See the separate instructions.***		**(h) Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
11200. PUT ON EFA 10/10/13	10/12/2012	10/10/2013		47,491.00			-47,491.00
69316.081 PIMCO TOTAL RETU	06/03/2009	10/10/2013	749,307.00	725,046.00			24,261.00
48900. PUT ON SPY 10/10/13	10/12/2012	10/10/2013		435,213.00			-435,213.00
48900. CALL ON SPY 10/10/1	10/12/2012	10/10/2013		8,316.00			-8,316.00
12100. PUT ON EEM 10/10/13	10/12/2012	10/16/2013	23,712.00				23,712.00
11200. PUT ON EFA 10/10/13	10/12/2012	10/16/2013	20,381.00				20,381.00
227. MULTI FINELINE ELECTR	05/11/2011	10/16/2013	3,325.00	5,174.00			-1,849.00
48900. PUT ON SPY 10/10/13	10/12/2012	10/16/2013	171,632.00				171,632.00
87. BERKSHIRE HILLS BANCOR	04/19/2012	10/17/2013	2,200.00	2,001.00			199.00
141. STONE ENERGY CORP	09/11/2012	10/17/2013	5,179.00	3,663.00			1,516.00
136. BERKSHIRE HILLS BANCO	04/19/2012	10/22/2013	3,489.00	3,113.00			376.00
82. MEDNAX INC	04/19/2007	10/22/2013	8,847.00	4,739.00			4,108.00
146. EPL OIL GAS INC	10/16/2012	10/24/2013	5,382.00	3,222.00			2,160.00
236. MULTI FINELINE ELECTR	06/06/2011	10/24/2013	3,192.00	5,074.00			-1,882.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Form 8949 (2013) Attachment Sequence No **12A** Page **2**

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)	**Social security number or taxpayer identification number**
THE BALLMANN FAMILY PRIVATE FOUNDATION	43-6466750

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Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

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You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

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☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.** (f) Code(s) from instructions	 (g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
128. MAXIMUS INC	11/18/2011	10/25/2013	6,238.00	2,270.00			3,968.00
233. SPIRIT AIRLINES INC	02/21/2012	10/28/2013	9,863.00	3,776.00			6,087.00
147. BERKSHIRE HILLS BANCO	04/05/2012	10/29/2013	3,797.00	3,339.00			458.00
102. ICU MED INC	10/13/2009	10/29/2013	6,381.00	3,679.00			2,702.00
32. BUFFALO WILD WINGS INC	06/11/2012	10/31/2013	4,555.00	2,648.00			1,907.00
200. ICU MED INC	10/13/2009	11/05/2013	12,183.00	5,246.00			6,937.00
176. MEDNAX INC	04/19/2007	11/08/2013	18,952.00	10,171.00			8,781.00
218. SWIFT TRANSPORTATION	06/21/2012	11/08/2013	4,605.00	2,280.00			2,325.00
158. TEREX CORP NEW	11/02/2012	11/08/2013	5,413.00	3,702.00			1,711.00
95. BIO-REFERENCE LABS INC	11/01/2012	11/13/2013	3,392.00	2,648.00			744.00
229. PERICOM SEMICONDUCTOR	10/08/2009	11/13/2013	1,936.00	2,466.00			-530.00
91827.365 PIMCO TOTAL RETU	06/03/2009	11/27/2013	1,000,000.00	960,514.00			39,486.00
151. BIO-REFERENCE LABS IN	11/01/2012	11/29/2013	4,405.00	4,209.00			196.00
. BANCORPSOUTH INC SECURIT LITIGATION		12/02/2013	119.00				119.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Form **8949** (2013)

Form 8949 (2013) Attachment Sequence No **12A** Page **2**

Name(s) shown on return. (Name and SSN or taxpayer identification no not required if shown on other side)	Social security number or taxpayer identification number
THE BALLMANN FAMILY PRIVATE FOUNDATION	43-6466750

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☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example: 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr.)	(c) Date sold or disposed (Mo., day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f). **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
52. MYRIAD GENETICS INC	11/01/2012	12/04/2013	1,303.00	1,400.00			-97.00
162. AMERICAN EQUITY INVT	01/19/2011	12/13/2013	3,940.00	2,103.00			1,837.00
570. PERRIGO CO	09/08/2010	12/19/2013	86,763.00	33,679.00			53,084.00
. MOTOROLA INC SECURIY LIT		12/24/2013	2,260.00				2,260.00
176. UNISYS CORPORATION	08/28/2012	01/06/2014	5,919.00	3,725.00			2,194.00
.3333 ALLEGION PLC	09/08/2010	01/10/2014	15.00	7.00			8.00
42. AZZ INCORPORATED	02/06/2012	01/16/2014	1,713.00	1,091.00			622.00
230. SONIC AUTOMOTIVE INC	06/22/2011	01/21/2014	5,306.00	3,099.00			2,207.00
192. PROSPERITY BANCSHARES	07/03/2012	01/22/2014	12,088.00	7,861.00			4,227.00
191. PROSPERITY BANCSHARES	07/25/2012	01/24/2014	12,619.00	7,649.00			4,970.00
391. SONIC AUTOMOTIVE INC	03/16/2011	01/27/2014	8,818.00	5,140.00			3,678.00
91. LSB INDS INC	07/13/2012	02/03/2014	2,936.00	2,920.00			16.00
230. LSB INDS INC	07/13/2012	02/06/2014	7,245.00	7,274.00			-29.00
263. LSB INDS INC	07/03/2012	02/14/2014	8,530.00	6,456.00			2,074.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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Form **8949** (2013)

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THE BALLMANN FAMILY PRIVATE FOUNDATION	43-6466750

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1 (a) Description of property (Example: 100 sh. XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo., day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.**		(h) **Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
					(f) Code(s) from instructions	(g) Amount of adjustment	
372. PERICOM SEMICONDUCTOR	11/06/2009	02/24/2014	3,218.00	3,960.00			-742.00
433. BIO-REFERENCE LABS IN	11/20/2012	03/04/2014	10,967.00	11,789.00			-822.00
1000. WAL MART STORES INC	01/08/2013	03/07/2014	74,409.00	68,350.00			6,059.00
146. ELECTRONICS FOR IMAGI	02/25/2013	03/11/2014	6,308.00	3,414.00			2,894.00
463. EPL OIL GAS INC	11/01/2012	03/13/2014	17,502.00	10,312.00			7,190.00
100000. BARC 5YR CMDY D1 M 3/17/14	09/12/2012	03/17/2014	100,000.00	100,000.00			
489. RUDOLPH TECHNOLOGIES	12/14/2012	03/17/2014	5,448.00	6,015.00			-567.00
2062. NEWCASTLE INVT CORP	12/28/2012	03/19/2014	9,592.00	6,626.00			2,966.00
352. RUDOLPH TECHNOLOGIES	12/14/2012	03/19/2014	4,007.00	4,315.00			-308.00
161. CHESAPEAKE LODGING TR	06/06/2012	03/20/2014	4,153.00	2,901.00			1,252.00
352. PERICOM SEMICONDUCTOR	10/01/2010	03/24/2014	2,890.00	3,258.00			-368.00
328. RAMCO GERSHENSON PROP	01/26/2012	03/24/2014	5,200.00	3,653.00			1,547.00
241. EPL OIL GAS INC	10/16/2012	03/27/2014	9,298.00	5,319.00			3,979.00
359. RUDOLPH TECHNOLOGIES	12/11/2012	03/27/2014	3,933.00	4,168.00			-235.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶							

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THE BALLMANN FAMILY PRIVATE FOUNDATION	43-6466750

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415. RAMCO GERSHENSON PROP	01/26/2012	04/07/2014	6,731.00	4,320.00			2,411.00
384. PERICOM SEMICONDUCTOR	10/04/2011	04/09/2014	3,014.00	3,389.00			-375.00
11200. CALL ON EFA 04/10/1 FLEX	10/10/2013	04/10/2014		791.00			-791.00
12100. CALL ON EEM 04/10/1 FLEX	10/10/2013	04/10/2014		1,701.00			-1,701.00
12100. PUT ON EEM 04/10/14 FLEX	10/10/2013	04/10/2014		17,552.00			-17,552.00
11200. PUT ON EFA 04/10/14 FLEX	10/10/2013	04/10/2014		21,735.00			-21,735.00
4900. CALL ON SPX 04/10/14 FLEX	10/10/2013	04/10/2014		2,161.00			-2,161.00
4900. PUT ON SPX 04/10/14 FLEX	10/10/2013	04/10/2014		157,001.00			-157,001.00
398. PERICOM SEMICONDUCTOR	10/04/2011	04/23/2014	3,148.00	2,925.00			223.00
407. RAMCO GERSHENSON PROP	01/11/2012	04/23/2014	6,546.00	3,941.00			2,605.00
140. STONE ENERGY CORP	09/11/2012	04/25/2014	6,354.00	3,561.00			2,793.00
116. STONE ENERGY CORP	08/29/2011	04/30/2014	5,554.00	2,823.00			2,731.00
212. AMTRUST FINANCIAL SER	08/12/2009	05/06/2014	9,101.00	2,284.00			6,817.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			4,562,088.	4,658,731.			-96,643.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.