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**EXTENSION GRANTED TO 1/15/2015**  
**Return of Private Foundation**

Form **990-PF**

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation  
 ▶ Do not enter Social Security numbers on this form as it may be made public.  
 ▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

**2013**

Open to Public Inspection

For calendar year 2013 or tax year beginning **JUN 1, 2013**, and ending **MAY 31, 2014**

Name of foundation <b>THOMAS V. GIDDENS, JR. CHARITABLE FOUNDATION</b>		A Employer identification number <b>41-2047741</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>600 N 36TH AVENUE</b>	Room/suite <b>326</b>	B Telephone number <b>206-905-1450</b>
City or town, state or province, country, and ZIP or foreign postal code <b>SEATTLE, WA 98103</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/>  2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ <b>12,559,167.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	226,329.	226,329.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	491,047.			
	b Gross sales price for all assets on line 6a 2,329,133.				
	7 Capital gain net income (from Part IV, line 2)		491,047.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total. Add lines 1 through 11	717,376.	717,376.		
	13 Compensation of officers, directors, trustees, etc.	408,254.	55,025.		353,229.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits	29,091.	3,921.		25,170.
	16a Legal fees				
	b Accounting fees STMT 2	2,696.	363.		2,333.
	c Other professional fees STMT 3	38,398.	5,176.		33,222.
	17 Interest				
	18 Taxes STMT 4	8,500.	0.		0.
	19 Depreciation and depletion				
	20 Occupancy	11,030.	1,487.		9,543.
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 5	6,415.	866.		5,549.
	24 Total operating and administrative expenses. Add lines 13 through 23	504,384.	66,838.		429,046.
25 Contributions, gifts, grants paid	1,000,500.			1,000,500.	
26 Total expenses and disbursements. Add lines 24 and 25	1,504,884.	66,838.		1,429,546.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-787,508.				
b Net investment income (if negative, enter -0-)		650,538.			
c Adjusted net income (if negative, enter -0-)			N/A		

323501 10-10-13 LHA For Paperwork Reduction Act Notice, see instructions.

SCANNED JAN 20 2015

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**9**

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	574,792.	323,050.	323,050.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other	STMT 6 10,680.	10,524,207.	9,988,441.	12,233,057.
14 Land, buildings, and equipment: basis ▶	10,680.	10,680.	2,200.	
Less: accumulated depreciation ▶				
15 Other assets (describe ▶ DEPOSITS)	860.	860.	860.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	11,110,539.	10,323,031.	12,559,167.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	11,110,539.	10,323,031.	
	30 Total net assets or fund balances	11,110,539.	10,323,031.	
31 Total liabilities and net assets/fund balances	11,110,539.	10,323,031.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,110,539.
2 Enter amount from Part I, line 27a	2	-787,508.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	10,323,031.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,323,031.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 2,329,133.		1,838,086.	491,047.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			491,047.

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	491,047.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,276,763.	12,733,022.	.100272
2011	1,157,987.	12,957,542.	.089368
2010	1,183,094.	13,686,704.	.086441
2009	1,062,580.	12,648,988.	.084005
2008	911,842.	10,501,469.	.086830

2 Total of line 1, column (d)	2	.446916
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.089383
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	12,729,135.
5 Multiply line 4 by line 3	5	1,137,768.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	6,505.
7 Add lines 5 and 6	7	1,144,273.
8 Enter qualifying distributions from Part XII, line 4	8	1,429,546.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		1	6,505.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		2	0.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		3	6,505.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
3 Add lines 1 and 2		5	6,505.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 4,541.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 3,800.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	8,341.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8	71.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	1,765.
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input checked="" type="checkbox"/> 1,765. Refunded <input type="checkbox"/>		11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> WA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <b>N/A</b>	13	X	
14	The books are in care of <b>THE FOUNDATION</b> Located at <b>600 N 36TH AVENUE SUITE 326, SEATTLE, WA</b> Telephone no. <b>206-905-1450</b> ZIP+4 <b>98103</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <b>15</b> <b>N/A</b>			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country <b>N/A</b>	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <b>N/A</b>	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years <b>N/A</b>	2a	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <b>N/A</b>	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) <b>N/A</b>	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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FOUNDATION

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD WATKINS 600 N 36TH STREET, SUITE 326 SEATTLE, WA 98103	PRESIDENT AND	DIRECTOR		
	40.00	142,000.	0.	0.
PAUL CAVANAUGH 600 N 36TH STREET, SUITE 326 SEATTLE, WA 98103	VICE PRESIDENT,	SECRETARY/TREASURER AN		
	40.00	142,000.	0.	0.
MARK KOTNOUR 600 N 36TH STREET, SUITE 326 SEATTLE, WA 98103	DIRECTOR			
	32.66	124,254.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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FOUNDATION

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	11,902,101.
b Average of monthly cash balances	1b	1,017,819.
c Fair market value of all other assets	1c	3,060.
d Total (add lines 1a, b, and c)	1d	12,922,980.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	12,922,980.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	193,845.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	12,729,135.
6 Minimum investment return. Enter 5% of line 5	6	636,457.

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	636,457.
2a Tax on investment income for 2013 from Part VI, line 5	2a	6,505.
b Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	6,505.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	629,952.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	629,952.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	629,952.

**Part XII**

**Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,429,546.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,429,546.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	6,505.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,423,041.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

THOMAS V. GIDDENS, JR. CHARITABLE  
FOUNDATION

Form 990-PF (2013)

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				629,952.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	74,425.			
b From 2009	436,507.			
c From 2010	509,867.			
d From 2011	517,952.			
e From 2012	654,824.			
f Total of lines 3a through e	2,193,575.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$	1,429,546.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				629,952.
e Remaining amount distributed out of corpus	799,594.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	2,993,169.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	74,425.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	2,918,744.			
10 Analysis of line 9:				
a Excess from 2009	436,507.			
b Excess from 2010	509,867.			
c Excess from 2011	517,952.			
d Excess from 2012	654,824.			
e Excess from 2013	799,594.			

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N/A

☐ 4942(j)(3) or ☐ 4942(j)(5)

**(4) Gross investment income**

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THOMAS V. GIDDENS, JR. CHARITABLE  
FOUNDATION

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**Part XV** Supplementary Information (continued)

<b>3 Grants and Contributions Paid During the Year or Approved for Future Payment</b>				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution **	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
AMARA PARENTING & ADOPTION SERVICES 3300 E. UNION STREET SEATTLE, WA 98122	COMMON DIRECTORS	PC	FOSTER PARENT TRAINING AND SUPPORT, SUPPORT ADOPTION SERVICES OF FOSTER CARE CHILDREN	55,000.
THE ARC OF KING COUNTY 233 6TH AVENUE N. SEATTLE, WA 98109	NONE	PC	PARENT TRAINING AND SUPPORT SERVICES FOR FAMILIES WITH DEVELOPMENTALLY DISABLED CHILDREN	20,000.
AUBURN YOUTH RESOURCES 816 F STREET SE AUBURN, WA 98002	NONE	PC	REGIONAL PROVIDER OF MENTAL HEALTH, CHILD RESIDENTIAL, YOUTH OUTREACH AND SUBSTANCE ABUSE SERVICES	20,000.
BUILDING CHANGES 2014 E. MADISON STREET SEATTLE, WA 98122	NONE	PC	GENERAL SUPPORT	9,000.
CAMP FIRE FIRST TEXAS 2700 MEACHAM BOULEVARD FORT WORTH, TX 76137	NONE	PC	SUPPORT FOR YOUTH PROGRAMS FOCUSED ON DEVELOPING LEARNING SKILLS, LEADERSHIP AND CARE FOR THE	15,000.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			<b>1,000,500.</b>
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				<b>0.</b>

323611  
10-10-13

\*\* SEE PURPOSE OF GRANT CONTINUATIONS

Form 990-PF (2013)

Enter gross amounts unless otherwise indicated.

(See worksheet in line 13 instructions to verify calculations.)

Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).



THOMAS V. GIDDENS, JR., CHARITABLE  
FOUNDATION

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**Part XV** Supplementary Information

**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CARRIE LYNN CHILDREN'S CENTER 826 N. MAIN STREET ROCKFORD, IL 91103	NONE	PC	SUPPORT AND EDUCATION OF INVESTIGATIVE PROCESSES FOR CHILD ABUSE CASES	40,000.
CATHOLIC COMMUNITY SERVICES OF WESTERN WASHINGTON 100 23RD STREET SEATTLE, WA 98144	NONE	PC	SUPPORT FOR THE GROUNDWORK PROJECT WHICH ENGAGES HOMELESS YOUTH TO ACHIEVE SAFE HOUSING, EDUCATION	20,000.
CHILDAHVEN 316 BROADWAY SEATTLE, WA 98122	NONE	PC	PROTECT AND TREAT ABUSED CHILDREN AGES 1 MO. TO 5 YEARS OF AGE	25,000.
CHILDHOOD RESILIENCE FUND/INLAND NORTHWEST COMMUNITY FOUNDATION 421 WEST RIVERSIDE AVENUE SPOKANE, WA 99201	NONE	PC	PUBLIC/PRIVATE COLLABORATIVE SUPPORTING COMMUNITIES TO REDUCE AND ADDRESS ADVERSE CHILDHOOD	50,000.
COCOON HOUSE 2929 PINE STREET EVERETT, WA 98201	NONE	PC	SUPPORT PROGRAMS TO REDUCE/PREVENT TEEN HOMELESSNESS	41,000.
COLUMBIA LEGAL SERVICES 101 YESLER WAY SEATTLE, WA 98104	NONE	PC	LEGAL SERVICES AND ADVOCACY FOR HOMELESS AND FOSTER YOUTH	30,000.
CROSSCUT 105 S. MAIN STREET SEATTLE, WA 98104	NONE	PC	GENERAL SUPPORT	20,000.
FRIENDS OF YOUTH 13116 NE 132ND STREET KIRKLAND, WA 98034	NONE	PC	OVERNIGHT YOUTH SHELTERS, THERAPEUTIC FOSTER CARE, SUBSTANCE ABUSE COUNSELING, PARENT EDUCATION AND	10,000.
KINDERING CENTER 16120 NE EIGHTH STREET BELLEVUE, WA 98008	NONE	PC	ASSISTS FOSTER AND KINSHIP PARENTS WITH CHILD ATTACHMENT CYCLE, DEVELOPMENTAL THERAPIES AND EARLY	40,000.
MISSION GRANBURY 1310 WEATHERFORD HIGHWAY STE. 120 GRANBURY, TX 76048	NONE	PC	PROGRAMS TO REDUCE DOMESTIC VIOLENCE, HOMELESSNESS AND POVERTY	150,000.
Total from continuation sheets				881,500.

THOMAS V. GIDDENS, JR.. CHARITABLE  
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**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE MOCKINGBIRD SOCIETY 2100 24TH AVENUE S. STE. 240 SEATTLE, WA 98144	NONE	PC	SUPPORT STRUCTURES FOR FOSTER FAMILIES, FOSTER CHILD LEADERSHIP TRAINING AND ADVOCACY	30,000.
OLIVE CREST 515 116TH AVENUE NE STE. 174 BELLEVUE, WA 98004	NONE	PC	SAFE FAMILIES FOR CHILDREN: A COLLABORATION AMONG CHURCHES, VOLUNTEERES AND CHILD CARE	30,000.
PALUXY RIVER CHILDREN'S ADVOCACY CENTER 1540 SOUTHTOWN DRIVE STE. 102 GRANBURY, TX 76048	NONE	PC	SUPPORT AND EDUCATION OF INVESTIGATIVE PROCESSES FOR CHILD ABUSE CASES	30,000.
PARENT TRUST FOR WASHINGTON CHILDREN 2200 RAINIER AVENUE S. SEATTLE, WA 98144	NONE	PC	SUPPORT FOR PARENT TRAINING PROGRAMS	25,000.
PARTNERS FOR OUR CHILDREN/UNIVERSITY OF WASHINGTON SCHOOL OF SOCIAL WORK BOX 359476 SEATTLE, WA 98195	NONE	PC	SUPPORT DEVELOPMENT OF A PUBLIC WEB DATA PORTAL FOR CHILD WELFARE ACTIVITIES IN WA STATE	70,000.
PHILANTHROPY NORTHWEST 2101 FOURTH AVENUE STE. 650 SEATTLE, WA 98121	NONE	PC	RESEARCH EFFORTS TO IDENTIFY SYSTEMS CHANGE PROJECTS IN WA STATE FOCUSED ON IMPROVING CHILD	15,000.
RYTHER CHILD CENTER 2400 NE 95TH STREET SEATTLE, WA 98115	NONE	PC	EARLY MENTAL HEALTH ASSESSMENT OF CHILDREN	50,000.
SANCTUARY ARTS CENTER 1604 NE 50TH STREET SEATTLE, WA 98105	NONE	PC	WORKFORCE TRAINING FOR HOMELESS AND AT RISK YOUTH	5,000.
SECRET HARBOR 225 N. WALNUT STREET BURLINGTON, WA 98233	NONE	PC	FOSTER CARE AND RELATED THERAPEUTIC SERVICES	20,000.
SHALOM ZONE NONPROFIT ASSOCIATION DBA ROOTS YOUNG ADULT SHELTER 1415 NE 43RD STREET SEATTLE, WA 98105	NONE	PC	PROVIDES SHELTER AND OTHER ESSENTIAL SERVICES TO HOMELESS YOUNG ADULTS	15,000.
Total from continuation sheets				



THOMAS V. GIDDENS, JR.. CHARITABLE  
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**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SOCIAL VENTURE PARTNERS 1601 SECOND AVENUE SEATTLE, WA 98101	NONE	PC	SUPPORTS EARLY CHILDHOOD DEVELOPMENT IMPROVED CARE-GIVING SKILLS	6,500.
TREEHOUSE FOR KIDS 2100 24TH AVENUE S. STE. 200 SEATTLE, WA 98144	NONE	PC	SUPPORT FOR FOSTER CHILDREN THROUGH EDUCATION ADVOCACY, SPONSORSHIP OF EXTRA-CURRICULAR	30,000.
UNIVERSITY OF ILLINOIS COLLEGE OF MEDICINE AT ROCKFORD 1601 PARKVIEW AVENUE ROCKFORD, IL 61107	NONE	PC	SUPPORT AND EDUCATION OF MEDICAL INVESTIGATIVE PROCESSES FOR CHILD ABUSE CASES	25,000.
WELLSPRING FAMILY SERVICES 1900 RAINIER AVENUE S SEATTLE, WA 98144	NONE	PC	CHILDCARE FOR HOMELESS FAMILIES	20,000.
YMCA OF GREATER SEATTLE 909 FOURTH AVENUE SEATTLE, WA 98104	NONE	PC	SUPPORT OF AFTER SCHOOL PROGRAMS FOR FOSTER AND AT RISK CHILDREN	25,000.
YOUTHCARE 2400 NE 54TH STREET SEATTLE, WA 98105	NONE	PC	SUPPORT FOR ADOLESCENT EMERGENCY SHELTER AND INDEPENDENT LIVING PROGRAM	55,000.
CORNERSTONE ACADAMY 16910 161ST AVENUE SE SNOHOMISH, WA 98290	NONE	PC	SUPPORT FOR EDUCATION OF 2 YEAR OLDS THROUGH 6TH GRADE, WITH AN EMPHASIS ON FOREIGN LANGUAGE, COMMUNITY	500.
OPERATION MOBILIZATION USA 285 LYNNWOOD AVENUE TYRONE, GA 30290	NONE	PC	SUPPORT FOR INTERNATIONAL RELIEF AND DEVELOPMENT WORK	2,500.
SEATTLE WALDORF SCHOOL 2728 NE 100TH STREET SEATTLE, WA 98125	NONE	PC	SUPPORT FOR EDUCATION OF PRE-K THROUGH 12TH GRADE, WITH AN EMPHASIS ON COLLABORATIVE	1,000.
Total from continuation sheets				

**Part XV** Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

NAME OF RECIPIENT - CAMP FIRE FIRST TEXAS

SUPPORT FOR YOUTH PROGRAMS FOCUSED ON DEVELOPING LEARNING SKILLS,  
LEADERSHIP AND CARE FOR THE ENVIRONMENT

NAME OF RECIPIENT - CATHOLIC COMMUNITY SERVICES OF WESTERN WASHINGTON

SUPPORT FOR THE GROUNDWORK PROJECT WHICH ENGAGES HOMELESS YOUTH TO  
ACHIEVE SAFE HOUSING, EDUCATION SUCCESS AND REUNIFICATION WITH FAMILIES

NAME OF RECIPIENT - CHILDHOOD RESILIENCE FUND/INLAND NORTHWEST COMMUNITY  
FOUNDATION

PUBLIC/PRIVATE COLLABORATIVE SUPPORTING COMMUNITIES TO REDUCE AND  
ADDRESS ADVERSE CHILDHOOD EXPERIENCES (ACES)

NAME OF RECIPIENT - FRIENDS OF YOUTH

OVERNIGHT YOUTH SHELTERS, THERAPEUTIC FOSTER CARE, SUBSTANCE ABUSE  
COUNSELING, PARENT EDUCATION AND IN-HOME SUPPORT FOR YOUNG PARENTS

NAME OF RECIPIENT - KINDERING CENTER

ASSISTS FOSTER AND KINSHIP PARENTS WITH CHILD ATTACHMENT CYCLE,  
DEVELOPMENTAL THERAPIES AND EARLY EDUCATION

NAME OF RECIPIENT - OLIVE CREST

SAFE FAMILIES FOR CHILDREN: A COLLABORATION AMONG CHURCHES, VOLUNTEERES  
AND CHILD CARE PROFESSIONALS DESIGNED TO SUPPORT AT-RISK CHILDREN AND  
PARENTS

NAME OF RECIPIENT - PHILANTHROPY NORTHWEST

RESEARCH EFFORTS TO IDENTIFY SYSTEMS CHANGE PROJECTS IN WA STATE

**Part XV** | **Supplementary Information**

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

FOCUSED ON IMPROVING CHILD WELFARE OUTCOMES

NAME OF RECIPIENT - TREEHOUSE FOR KIDS

SUPPORT FOR FOSTER CHILDREN THROUGH EDUCATION ADVOCACY, SPONSORSHIP OF  
EXTRA-CURRICULAR ACTIVITIES AND CLOTHING/SCHOOL SUPPLIES

NAME OF RECIPIENT - CORNERSTONE ACADEMY

SUPPORT FOR EDUCATION OF 2 YEAR OLDS THROUGH 6TH GRADE, WITH AN  
EMPHASIS ON FOREIGN LANGUAGE, COMMUNITY SERVICE AND HANDS-ON LEARNING

NAME OF RECIPIENT - SEATTLE WALDORF SCHOOL

SUPPORT FOR EDUCATION OF PRE-K THROUGH 12TH GRADE, WITH AN EMPHASIS ON  
COLLABORATIVE PROBLEM-SOLVING AND GROUP PARTICIPATION

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FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	1
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SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
SCHWAB #2317	357,248.	130,919.	226,329.	226,329.	
TO PART I, LINE 4	357,248.	130,919.	226,329.	226,329.	

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FORM 990-PF	ACCOUNTING FEES	STATEMENT	2
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	2,696.	363.		2,333.
TO FORM 990-PF, PG 1, LN 16B	2,696.	363.		2,333.

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FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	38,398.	5,176.		33,222.
TO FORM 990-PF, PG 1, LN 16C	38,398.	5,176.		33,222.

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FORM 990-PF	TAXES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
EXCISE TAX	8,500.	0.		0.
TO FORM 990-PF, PG 1, LN 18	8,500.	0.		0.

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FORM 990-PF	OTHER EXPENSES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BUSINESS REGISTRATION FEES	125.	17.		108.
OPERATION EXPENSE	4,923.	664.		4,259.
MEMBERSHIP DUES	725.	98.		627.
INSURANCE	642.	87.		555.
TO FORM 990-PF, PG 1, LN 23	6,415.	866.		5,549.

FORM 990-PF	OTHER INVESTMENTS		STATEMENT 6
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
DFA FIVE YEAR GLOBAL	COST	572,008.	562,288.
PIMCO TOTAL RETURN	COST	1,344,577.	1,341,292.
AQR MGD FUTURES STRAT FD CL I	COST	921,603.	890,458.
DFA EMERGING MARKETS-SMALL CAP.	COST	427,776.	485,083.
DFA EMERGING MARKETS-VALUE	COST	463,824.	426,367.
DFA INTERNATIONAL-SMALL CAP. VALUE	COST	572,738.	813,804.
DFA INTERNATIONAL-SMALL CO PORT	COST	563,110.	782,425.
DFA INTERNATIONAL-VALUE PORT	COST	477,322.	572,700.
DFA REAL ESTATE	COST	432,188.	763,648.
DFA U.S. LARGE CAP. VALUE	COST	349,913.	683,045.
DFA U.S. MICRO CAP PORT	COST	313,831.	605,654.
DFA U.S. SMALL CAP. VALUE	COST	356,161.	666,435.
IQ HEDGE MULTI STRAT ETF MULTI STRATEGY TRACKER	COST	855,837.	930,598.
ISHARES CORE S&P ETF	COST	340,736.	595,982.
ISHARES CORE TOTAL ETF	COST	302,265.	314,031.
ISHARES CORE MSCI EMERGING MARKETS	COST	121,855.	121,554.
ISHARES BOND FUND	COST	450,994.	454,674.
ISHARES IBOX INVEST. GRADE CORP.	COST	217,528.	245,453.
ISHARES S&P DEV. U.S. PROPERTY INDEX	COST	287,069.	353,250.
ISHARES TR BOND	COST	569,717.	576,986.
VANGUARD BOND INDEX FUND	COST	47,389.	47,330.
TOTAL TO FORM 990-PF, PART II, LINE 13		9,988,441.	12,233,057.

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	9024.541 SHS DFA INTL SMALL CAP VALUE PORT INSTL	P	12/04/09	10/28/13
b	12589.376 SHS DFA US MICRO CAP PORT INSTL	P	12/04/09	10/28/13
c	6681.655 SHS DFA INTL SMALL CO PORT INSTL	P	12/04/09	10/28/13
d	16889.713 SHS DFA INTL VALUE PORT INSTL	P	12/04/09	10/28/13
e	6216.7 SHS DFA US SMALL CAP VALUE PORT INSTL	P	12/04/09	10/28/13
f	200 SHS ISHARES TR TIPS BOND ETF	P	12/04/09	08/07/13
g	122 SHS ISHARES TR TIPS BOND ETF	P	12/04/09	08/07/13
h	678 SHS ISHARES TR TIPS BOND ETF	P	12/04/09	08/07/13
i	60 SHS ISHARES TR TIPS BOND ETF	P	12/04/09	08/07/13
j	300 SHS ISHARES TR TIPS BOND ETF	P	12/04/09	08/07/13
k	41 SHS ISHARES TR TIPS BOND ETF	P	12/04/09	08/07/13
l	300 SHS ISHARES TR TIPS BOND ETF	P	12/04/09	08/07/13
m	700 SHS ISHARES IBOXX INVESTMENT GRADE CORPORATE	P	12/04/09	08/07/13
n	100 SHS ISHARES IBOXX INVESTMENT GRADE CORPORATE	P	12/04/09	08/07/13
o	281 SHS ISHARES CORE TOTAL ETF US BOND MARKET	P	12/04/09	08/07/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 182,000.		137,632.	44,368.
b 250,000.		127,533.	122,467.
c 130,000.		96,945.	33,055.
d 330,000.		285,479.	44,521.
e 217,000.		117,745.	99,255.
f 22,632.		20,982.	1,650.
g 13,805.		12,799.	1,006.
h 76,722.		71,127.	5,595.
i 6,790.		6,294.	496.
j 33,948.		31,472.	2,476.
k 4,640.		4,301.	339.
l 33,948.		31,472.	2,476.
m 79,635.		74,207.	5,428.
n 11,376.		10,601.	775.
o 30,054.		29,466.	588.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			44,368.
b			122,467.
c			33,055.
d			44,521.
e			99,255.
f			1,650.
g			1,006.
h			5,595.
i			496.
j			2,476.
k			339.
l			2,476.
m			5,428.
n			775.
o			588.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8

2

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	42. SHS ISHARES IBOXX INVESTMENT GRADE CORPORATE B	P	12/04/09	08/07/13
b	826 SHS ISHARES IBOXX INVESTMENT GRADE CORPORATE	P	12/04/09	08/07/13
c	362 SHS ISHARES IBOXX INVESTMENT GRADE CORPORATE	P	12/04/09	08/07/13
d	240 SHS ISHARES TR TIPS BOND ETF	P	12/04/09	08/07/13
e	0.6476 SHS ISHARES MSCI EMRG MKT FD EMERGING MARK	P	01/07/10	08/07/13
f	299 SHS ISHARES CORE TOTAL ETF U S BOND MARKET	P	12/04/09	08/07/13
g	71.9821 SHS ISHARES MSCI EMRG MKT FD EMERGING MAR	P	01/04/10	08/07/13
h	2.0179 SHS ISHARES MSCI EMRG MKT FD EMERGING MARK	P	01/07/10	08/07/13
i	200 SHS ISHARES CORE TOTAL ETF U S BOND MARKET	P	12/04/09	08/07/13
j	300 SHS ISHARES CORE TOTAL ETF U S BOND MARKET	P	12/04/09	08/07/13
k	100 SHS ISHARES CORE TOTAL ETF U S BOND MARKET	P	12/04/09	08/07/13
l	100 SHS ISHARES CORE TOTAL ETF U S BOND MARKET	P	12/04/09	08/07/13
m	2126 SHS ISHARES MSCI EMRG MKT FD EMERGING MARKET	P	12/04/09	08/07/13
n	8.7522 SHS ISHARES TR TIPS BOND ETF	P	02/08/10	08/07/13
o	10.2478 SHS ISHARES TR TIPS BOND ETF	P	01/06/10	08/07/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,778.		4,452.	326.
b 93,973.		87,564.	6,409.
c 41,184.		38,376.	2,808.
d 27,158.		25,178.	1,980.
e 25.		28.	-3.
f 31,980.		31,353.	627.
g 2,780.		3,055.	-275.
h 78.		86.	-8.
i 21,391.		20,972.	419.
j 32,087.		31,458.	629.
k 10,695.		10,486.	209.
l 10,695.		10,486.	209.
m 82,107.		88,271.	-6,164.
n 990.		919.	71.
o 1,160.		1,070.	90.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			326.
b			6,409.
c			2,808.
d			1,980.
e			-3.
f			627.
g			-275.
h			-8.
i			419.
j			629.
k			209.
l			209.
m			-6,164.
n			71.
o			90.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}		3	

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	100 SHS ISHARES TR TIPS BOND ETF	P	12/04/09	08/07/13
b	479 SHS ISHARES TR TIPS BOND ETF	P	12/04/09	08/07/13
c	0.1421 SHS ISHARES TR TIPS BOND ETF	P	02/08/10	08/07/13
d	7335 SHS ISHARES MSCI EMRG MKT FD EMERGING MARKET	P	12/04/09	08/07/13
e	581 SHS ISHARES TR TIPS BOND ETF	P	12/04/09	08/07/13
f	0.1929 SHS ISHARES TR TIPS BOND ETF	P	03/08/10	08/07/13
g	CAPITAL GAINS DIVIDENDS			
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 11,316.		10,491.	825.
b 54,203.		50,251.	3,952.
c 16.		15.	1.
d 283,281.		304,549.	-21,268.
e 65,745.		60,951.	4,794.
f 22.		20.	2.
g 130,919.			130,919.
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			825.
b			3,952.
c			1.
d			-21,268.
e			4,794.
f			2.
g			130,919.
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	491,047.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8	}	3	N/A



# Application for Extension of Time To File an Exempt Organization Return

► **File a separate application for each return.**  
► **Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).**

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

*Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.*

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

## **Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

*All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.*

		Enter filer's identifying number
<b>Type or print</b>	Name of exempt organization or other filer, see instructions. <b>THOMAS V. GIDDENS, JR. CHARITABLE FOUNDATION</b>	Employer identification number (EIN) or <b>41-2047741</b>
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. <b>600 N 36TH AVENUE, NO. 326</b>	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. <b>SEATTLE, WA 98103</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

**04**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

### THE FOUNDATION

- The books are in the care of ► **600 N 36TH AVENUE SUITE 326 - SEATTLE, WA 98103**

Telephone No. ► **206-905-1450**

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **JANUARY 15, 2015**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:

► ☐ calendar year \_\_\_\_\_ or

► ☒ tax year beginning **JUN 1, 2013**, and ending **MAY 31, 2014**.

**2** If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return  
☐ Change in accounting period

<b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$	<b>8,341.</b>
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	<b>3b</b>	\$	<b>4,541.</b>
<b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	<b>3c</b>	\$	<b>3,800.</b>

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.