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Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

- Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013 or tax year beginning 6/1/2013, and ending 5/31/2014

Name of foundation AGNES L. SEYFERT FOUNDATION			A Employer identification number 35-6327067	
Number and street (or P O box number if mail is not delivered to street address) Room/suite Wells Fargo Bank N A Trust Tax Dept - 1 W 4th St 4th Floor MAC D4000-041			B Telephone number (see instructions) (888) 730-4933	
City or town Winston Salem	State NC	ZIP code 27101-3818		
Foreign country name	Foreign province/state/country	Foreign postal code	C If exemption application is pending, check here ☐	
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change			D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,055,719		J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____		
(Part I, column (d) must be on cash basis)			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	26,636	26,099		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	28,449			
	b Gross sales price for all assets on line 6a 416,655				
	7 Capital gain net income (from Part IV, line 2)		28,449		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less: Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	55,085	54,548	0	
	13 Compensation of officers, directors, trustees, etc.	15,784	11,838		3,946
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	600			600
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	915	567		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	2	2		
	24 Total operating and administrative expenses. Add lines 13 through 23	17,301	12,407	0	4,546
	25 Contributions, gifts, grants paid	45,000			45,000
	26 Total expenses and disbursements. Add lines 24 and 25	62,301	12,407	0	49,546
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-7,216			
	b Net investment income (if negative, enter -0-)		42,141		
	c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2013)

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Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash—non-interest-bearing	38,016	55	55
	2 Savings and temporary cash investments		1,134	1,134
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U.S. and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
Liabilities	11 Investments—land, buildings, and equipment basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	885,368	910,643	1,054,530
	14 Land, buildings, and equipment basis ▶			
	Less: accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	923,384	911,832	1,055,719
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	923,384	911,832	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	923,384	911,832	
	31 Total liabilities and net assets/fund balances (see instructions)	923,384	911,832	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	923,384
2	Enter amount from Part I, line 27a	2	-7,216
3	Other increases not included in line 2 (itemize) ▶ COST BASIS ADJUSTMENT	3	2,315
4	Add lines 1, 2, and 3	4	918,483
5	Decreases not included in line 2 (itemize) ▶ See Attached Statement	5	6,651
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	911,832

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement			
b See Attached Statement			
c See Attached Statement			
d See Attached Statement			
e See Attached Statement			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			0
b			0
c			0
d			0
e			0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			0
b			0
c			0
d			0
e			0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	28,449
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	46,228	1,001,909	0.046140
2011	46,465	1,014,448	0.045803
2010	41,989	1,017,144	0.041281
2009	51,945	930,262	0.055839
2008	59,170	911,500	0.064915

2 Total of line 1, column (d)	2	0.253978
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.050796
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,034,454
5 Multiply line 4 by line 3	5	52,546
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	421
7 Add lines 5 and 6	7	52,967
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	49,546

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1		843
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		0
3	Add lines 1 and 2	3		843
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		843
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a		592
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		592
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		251
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		0
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ Refunded ☐	11		0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IN		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► WELLS FARGO BANK N A Telephone no ► 888-730-4933 Located at ► 1 W 4th St 4th Floor MAC D4000-041 Winston Salem NC ZIP+4 ► 27101-3818			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ► 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank N.A. Trust Tax Dept 1 W 4th St 4th Floor MAC D4000-041 Winston Salem, NC	TRUSTEE	4 00 15,784		
CHARLES V. SEYFERT PO BOX 10612 FORT WAYNE, IN 46853	CO-TRUSTEE	00 0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	NONE	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	NONE	
2		
All other program-related investments See instructions		
3	NONE	
Total. Add lines 1 through 3		0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,017,752
b	Average of monthly cash balances	1b	32,455
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	1,050,207
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,050,207
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	15,753
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,034,454
6	Minimum investment return. Enter 5% of line 5	6	51,723

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	51,723
2a	Tax on investment income for 2013 from Part VI, line 5	2a	843
b	Income tax for 2013. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	843
3	Distributable amount before adjustments Subtract line 2c from line 1	3	50,880
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	50,880
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	50,880

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	49,546
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	49,546
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	49,546

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				50,880
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			44,510	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 49,546				
a Applied to 2012, but not more than line 2a			44,510	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				5,036
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013. (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				45,844
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
				0
b 85% of line 2a				0
c Qualifying distributions from Part XII, line 4 for each year listed				0
d Amounts included in line 2c not used directly for active conduct of exempt activities				0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				0
3 Complete 3a, b, or c for the alternative test relied upon				
a "Assets" alternative test—enter:				
(1) Value of all assets				0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
(3) Largest amount of support from an exempt organization				0
(4) Gross investment income				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

WELLS FARGO BANK 111 E WAYNE STREET FORT WAYNE, IN 46801

- b** The form in which applications should be submitted and information and materials they should include:

WRITTEN REQUEST

- c** Any submission deadlines

NONE

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO PROPAGANDA, PRIVATE INDIVIDUAL, NON-POLITICAL

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> See Attached Statement				
Total			▶ 3a	45,000
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0

1		Yes	No
Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of:			
(1) Cash			X
(2) Other assets			X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization			X
(2) Purchases of assets from a noncharitable exempt organization			X
(3) Rental of facilities, equipment, or other assets			X
(4) Reimbursement arrangements			X
(5) Loans or loan guarantees			X
(6) Performance of services or membership or fundraising solicitations			X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees			X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

John A. Salento SVP Wells Fargo Bank N.A.

9/29/2014
Date

SVP Wells Fargo Bank N.A.
Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

JOSEPH J CASTRIANO

Preparer's signature

Allen

Date

9/29/2014

Check ☒ if self-employed

PTIN

P01251603

Firm's name ▶ PricewaterhouseCoopers, LLP

Firm's EIN ▶ 13-4008324

Firm's address ► 600 GRANT STREET, PITTSBURGH, PA 15219-2777

Phone no	412-355-6000
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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

ST. VINCENT DEPAUL SOCIETY

Street

1600 S CALHOUN STREET

City

FORT WAYNE

State

IN

Zip Code

46802

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

5,000

Name

UNIVERSITY OF ST. FRANCIS

Street

2701 SPRING STREET

City

FORT WAYNE

State

IN

Zip Code

46802

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

SCHOLARSHIP FUND

Amount

23,000

Name

SAINT ANNE HOME AND RETIREMENT COMMUNITY

Street

1900 RANDALLIA DRIVE

City

FORT WAYNE

State

IN

Zip Code

46805

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

5,000

Name

GROVE CITY COLLEGE

Street

100 CAMPUS DRIVE

City

GROVE CITY

State

PA

Zip Code

16127

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

SCHOLARSHIP FUND

Amount

5,000

Name

HILLSDALE COLLEGE

Street

33 E COLLEGE STREET

City

HILLSDALE

State

MI

Zip Code

49242

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

SCHOLARSHIP FUND

Amount

5,000

Name

IVY TECH FOUNDATION

Street

50 W FALLCREEK PARKWAY N DRIVE

City

INDIANAPOLIS

State

IN

Zip Code

46208

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,000

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals			Cost, Other Basis and Expenses			Net Gain or Loss	
								Capital Gains/Losses Other sales	Gross Sales Price	Gross Sales Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
	Long Term CG Distributions	Amount	4,113												
	Short Term CG Distributions														
1	X ANHEUSER-BUSCH INBEV SP	03524A108			9/2/2011	7/17/2013	7/17/2013	550	529					0	21
2	X ANHEUSER-BUSCH INBEV SP	03524A108			9/2/2011	7/17/2013	7/17/2013	366	218					0	148
3	X ANHEUSER-BUSCH INBEV SP	03524A108			9/2/2011	7/17/2013	7/17/2013	292	163					0	129
4	X APACHE CORP	037411105			3/13/2010	7/17/2013	7/17/2013	820	1,172					0	-352
5	X APACHE CORP	037411105			4/12/2010	7/17/2013	7/17/2013	456	214					0	-50
6	X APACHE CORP	037411105			4/12/2010	7/17/2013	7/17/2013	456	535					0	-79
7	X APPLE INC	037833100			1/29/2014	7/17/2013	7/17/2013	1,008	919					0	89
8	X APPLE INC	037833100			4/12/2010	7/17/2013	7/17/2013	504	242					0	262
9	X BAXTER INTL INC	071813109			8/19/2013	7/17/2013	7/17/2013	410	429					0	-19
10	X BERKSHIRE HATHAWAY INC	084670702			11/8/2010	7/17/2013	7/17/2013	708	493					0	215
11	X BERKSHIRE HATHAWAY INC	084670702			2/13/2013	7/17/2013	7/17/2013	828	686					0	140
12	X BERKSHIRE HATHAWAY INC	084670702			12/10/2010	7/17/2013	7/17/2013	118	80					0	38
13	X BERKSHIRE HATHAWAY INC	084670702			12/10/2010	7/17/2013	7/17/2013	446	320					0	126
14	X BHP BILLITON LIMITED - ADR	088606108			4/12/2011	7/17/2013	7/17/2013	316	506					0	-190
15	X BHP BILLITON LIMITED - ADR	088606108			2/20/2007	7/17/2013	7/17/2013	63	46					0	17
16	X BOEING CO	097023105			3/18/2011	7/17/2013	7/17/2013	523	347					0	176
17	X BOEING CO	097023105			3/18/2011	7/17/2013	7/17/2013	873	347					0	326
18	X CME GROUP INC	12572Q105			2/13/2013	7/17/2013	7/17/2013	611	455					0	156
19	X CME GROUP INC	12572Q105			6/7/2012	7/17/2013	7/17/2013	687	490					0	197
20	X CME GROUP INC	12572Q105			2/13/2013	7/17/2013	7/17/2013	670	560					0	110
21	X CYSICAREMARK CORPORATI	126550100			12/10/2010	7/17/2013	7/17/2013	366	200					0	166
22	X CYSICAREMARK CORPORATI	126550100			12/10/2010	7/17/2013	7/17/2013	272	133					0	139
23	X CYSICAREMARK CORPORATI	126550100			2/13/2013	7/17/2013	7/17/2013	333	277					0	56
24	X CAPITAL ONE FINANCIAL COR	14040H105			12/10/2010	7/17/2013	7/17/2013	466	295					0	171
25	X CAPITAL ONE FINANCIAL COR	14040H105			12/10/2010	7/17/2013	7/17/2013	280	168					0	112
26	X CAPITAL ONE FINANCIAL COR	14040H105			11/8/2010	7/17/2013	7/17/2013	2194	1,165					0	1,029
27	X CAPITAL ONE FINANCIAL COR	14040H105			12/10/2010	7/17/2013	7/17/2013	151	84					0	67
28	X CAPITAL ONE FINANCIAL COR	14040H105			2/13/2013	7/17/2013	7/17/2013	520	556					0	-36
29	X CELANESE CORP	150870103			6/13/2011	7/17/2013	7/17/2013	142	139					0	3
30	X CELANESE CORP	150870103			11/8/2010	7/17/2013	7/17/2013	205	185					0	20
31	X CHEVRON CORP	166764100			2/4/2011	7/17/2013	7/17/2013	952	671					0	275
32	X CHEVRON CORP	166764100			4/12/2010	7/17/2013	7/17/2013	357	291					0	66
33	X CHEVRON CORP	166764100			4/12/2010	7/17/2013	7/17/2013	119	80					0	39
34	X CHEVRON CORP	166764100			4/12/2010	7/17/2013	7/17/2013	587	402					0	185
35	X CHEVRON CORP	166764100			2/13/2013	7/17/2013	7/17/2013	978	799					0	179
36	X CISCO SYSTEMS INC	17275R102			2/17/2011	7/17/2013	7/17/2013	489	354					0	135
37	X CISCO SYSTEMS INC	17275R102			2/17/2011	7/17/2013	7/17/2013	139	112					0	27
38	X CISCO SYSTEMS INC	17275R102			2/17/2011	7/17/2013	7/17/2013	151	131					0	20
39	X CISCO SYSTEMS INC	17275R102			1/9/2013	7/17/2013	7/17/2013	873	875					0	-2
40	X COACH INC	189754104			1/9/2013	7/17/2013	7/17/2013	195	233					0	-38
41	X COMCAST CORP CLASS A	20030N101			2/13/2013	7/17/2013	7/17/2013	660	619					0	41
42	X COMCAST CORP CLASS A	20030N101			12/10/2010	7/17/2013	7/17/2013	616	296					0	320
43	X COMCAST CORP CLASS A	20030N101			12/10/2010	7/17/2013	7/17/2013	317	127					0	190
44	X CREDIT SUISSE COMM RET S	22544R305			11/10/2010	7/17/2013	7/17/2013	7,904	10,228					0	-2,324
45	X CREDIT SUISSE COMM RET S	22544R305			11/10/2010	7/17/2013	7/17/2013	2,567	3,152					0	-585
46	X CREDIT SUISSE COMM RET S	22544R305			11/10/2010	7/17/2013	7/17/2013	1,900	2,356					0	-456
47	X CUMMINS INC	231021106			11/14/2013	7/17/2013	7/17/2013	887	922					0	36
48	X DIAGEO PLC - ADR	25264S833			9/13/2012	7/17/2013	7/17/2013	3,383	2,961					0	422
49	X DIAGEO PLC - ADR	25264S833			12/10/2010	7/17/2013	7/17/2013	629	370					0	259
50	X DIAMOND HILL LONG-SHORT	25264S833			10/17/2013	7/17/2013	7/17/2013	462	455					0	7
51	X DIAMOND HILL LONG-SHORT	25264S833			12/10/2010	7/17/2013	7/17/2013	315	229					0	86
52	X DIAMOND HILL LONG-SHORT	25264S833			12/10/2010	7/17/2013	7/17/2013	900	628					0	272
53	X DIAMOND HILL LONG-SHORT	25264S833			2/13/2013	7/17/2013	7/17/2013	718	603					0	115
54	X DIAMOND HILL LONG-SHORT	25264S833			4/12/2010	7/17/2013	7/17/2013	587	323					0	264
55	X WALT DISNEY CO	254687106			4/12/2010	7/17/2013	7/17/2013	431	215					0	216
56	X WALT DISNEY CO	254687106			11/10/2010	7/17/2013	7/17/2013	7,738	8,160					0	-422
57	X DREYFUS EMG MKT DEBT LO	261980494			9/13/2012	7/17/2013	7/17/2013	6,310	6,592					0	-282
58	X DREYFUS EMG MKT DEBT LO	261980494			9/13/2012	7/17/2013	7/17/2013	1,400	1,447					0	-47
59	X DREYFUS EMG MKT DEBT LO	261980494			5/13/2012	7/17/2013	7/17/2013	900	902					0	-2
60	X DREYFUS EMG MKT DEBT LO	261980494			2/15/2013	7/17/2013	7/17/2013	4,812	5,109					0	9
61	X DREYFUS EMG MKT DEBT LO	261980494			2/15/2013	7/17/2013	7/17/2013	811	811					0	14
62	X DRIEHAUS ACTIVE INCOME F	262028855			7/19/2013	7/17/2013	7/17/2013	789	787					0	0
63	X DRIEHAUS ACTIVE INCOME F	262028855			7/19/2013	7/17/2013	7/17/2013	127	111					0	2
64	X DRIEHAUS ACTIVE INCOME F	262028855			2/13/2013	7/17/2013	7/17/2013	533	511					0	16
65	X E M C CORP MASS	268648102			11/8/2010	7/17/2013	7/17/2013	51	44					0	22
66	X E M C CORP MASS	268648102			11/8/2010	7/17/2013	7/17/2013	51	44					0	7
67	X E M C CORP MASS	268648102			11/8/2010	7/17/2013	7/17/2013	51	44					0	7
68	X E M C CORP MASS	268648102			11/8/2010	7/17/2013	7/17/2013	51	44					0	7

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals			Expense of Sale and Cost of Improve-ments	Depreciation	Adjustments	Net Gain or Loss
								Capital Gains/Losses		Other sales				
								Gross Sales	Cost, Other Basis					
Long Term CG Distributions								416,655		388,206		28,449		
Short Term CG Distributions								0		0		0		
Amount								4,113						
69	X	EM C CORP MASS	268648102		11/8/2013		1/29/2014	175	153			0	22	
70	X	EATON VANCE GLOBAL MACH	277923728		7/19/2013		5/28/2014	650	659			0	-9	
71	X	FID ADV EMER MKTS INC-CL	315920702		10/17/2013		5/1/2014	194	192			0	2	
72	X	FID ADV EMER MKTS INC-CL	315920702		10/17/2013		5/28/2014	600	578			0	22	
73	X	GATEWAY FUND - Y #1986	367829884		9/13/2012		7/17/2013	25,019	24,610			0	409	
74	X	GATEWAY FUND - Y #1986	367829884		9/13/2012		7/17/2013	10,405	10,287			0	118	
75	X	GILEAD SCIENCES INC	375558103		2/13/2013		7/17/2013	57	41			0	16	
76	X	GILEAD SCIENCES INC	375558103		12/8/2011		7/17/2013	915	312			0	603	
77	X	GILEAD SCIENCES INC	375558103		12/8/2011		12/13/2013	639	176			0	463	
78	X	GILEAD SCIENCES INC	375558103		12/8/2011		1/29/2014	401	98			0	303	
79	X	GOLDMAN SACHS GROUP INC	38141G104		12/10/2010		1/29/2014	655	672			0	-17	
80	X	GOOGLE INC	38259P508		1/25/2012		5/1/2014	1,605	855			0	750	
81	X	GOOGLE INC	38259P508		6/7/2012		5/1/2014	535	294			0	241	
82	X	GOOGLE INC	38259P508		8/30/2012		5/1/2014	535	342			0	193	
83	X	HSBC - ADR	404280406		2/25/2013		7/17/2013	446	437			0	9	
84	X	HSBC - ADR	404280406		2/25/2013		1/29/2014	155	164			8	-1	
85	X	HSBC - ADR	404280406		3/6/2013		5/1/2014	154	164			0	-10	
86	X	HSBC - ADR	404280406		2/25/2013		5/1/2014	103	109			0	-6	
87	X	INTEL CORP	458140100		4/12/2010		6/18/2013	1,322	1,174			0	148	
88	X	INTEL CORP	458140100		11/8/2010		6/18/2013	463	405			0	78	
89	X	INTEL CORP	458140100		12/10/2010		6/18/2013	356	306			0	50	
90	X	INTEL CORP	458140100		4/12/2010		6/18/2013	229	202			0	27	
91	X	INTEL CORP	458140100		2/13/2013		6/18/2013	534	445			0	89	
92	X	INTERNATIONAL BUSINESS M	459200101		6/18/2013		1/29/2014	880	1,027			0	-147	
93	X	ISHARES CORE S & P 500 ETF	464287200		11/8/2010		7/17/2013	19,097	13,892			0	5,205	
94	X	ISHARES CORE S & P 500 ETF	464287200		11/8/2010		1/29/2014	1,250	861			0	389	
95	X	ISHARES CORE S & P 500 ETF	464287200		11/8/2010		5/28/2014	963	615			0	348	
96	X	ISHARES S&P MID-CAP 400 G	464287606		7/17/2013		10/14/2013	1,817	1,763			0	54	
97	X	ISHARES S&P MID-CAP 400 G	464287606		7/17/2013		1/29/2014	2,183	2,034			0	149	
98	X	ISHARES S&P MID-CAP 400 G	464287606		5/16/2012		5/1/2014	1,493	1,079			0	414	
99	X	ISHARES S&P MID-CAP 400 G	464287606		2/13/2013		5/1/2014	3,435	2,852			0	563	
100	X	ISHARES S&P MID-CAP 400 G	464287606		7/17/2013		5/1/2014	1,493	1,356			0	137	
101	X	ISHARES S&P MID-CAP 400 G	464287606		11/4/2011		5/1/2014	747	511			0	236	
102	X	ISHARES S&P MID-CAP 400 G	464287606		11/4/2011		5/28/2014	453	307			0	146	
103	X	ISHARES S&P MID-CAP 400 G	464287606		8/19/2011		5/28/2014	1,058	632			0	426	
104	X	ISHARES S&P MID-CAP 400 V	464287705		4/12/2010		10/14/2013	877	591			0	286	
105	X	ISHARES S&P MID-CAP 400 V	464287705		4/12/2010		1/29/2014	1,483	960			0	523	
106	X	ISHARES S&P MID-CAP 400 V	464287705		5/1/2014		5/28/2014	1,212	1,202			0	10	
107	X	ISHARES S&P MID-CAP 400 V	464287705		7/17/2013		10/14/2013	1,410	1,348			0	62	
108	X	ISHARES CORE S&P SMALL-C	464287804		4/12/2010		10/14/2013	906	555			0	351	
109	X	ISHARES CORE S&P SMALL-C	464287804		4/12/2010		1/29/2014	2,625	1,541			0	1,084	
110	X	ISHARES CORE S&P SMALL-C	464287804		4/12/2010		5/28/2014	1,074	616			0	458	
111	X	JP MORGAN CHASE & CO	46625H100		2/13/2013		7/17/2013	386	343			0	43	
112	X	JP MORGAN CHASE & CO	46625H100		4/12/2010		1/29/2014	496	415			0	81	
113	X	JP MORGAN CHASE & CO	46625H100		4/12/2010		1/29/2014	440	369			0	71	
114	X	JOHNSON CONTROLS INC	478366107		7/28/2011		7/17/2013	335	359			0	-24	
115	X	JOHNSON CONTROLS INC	478366107		3/16/2011		7/17/2013	820	876			0	-56	
116	X	JOHNSON CONTROLS INC	478366107		3/16/2011		11/14/2013	1,227	996			0	231	
117	X	JOHNSON CONTROLS INC	478366107		3/16/2011		11/14/2013	49	32			0	17	
118	X	JOHNSON CONTROLS INC	478366107		3/12/2012		1/29/2014	328	223			0	105	
119	X	JP MORGAN HIGH YIELD FUND	4812C0803		5/6/2014		5/28/2014	800	794			0	6	
120	X	LAUDUS MONDRIAN INTL FLH	51855Q855		12/10/2010		5/1/2014	18,741	19,609			0	-868	
121	X	LAUDUS MONDRIAN INTL FLH	51855Q855		7/19/2013		5/1/2014	1,628	1,584			0	44	
122	X	LAUDUS MONDRIAN INTL FLH	51855Q855		10/17/2013		5/1/2014	735	732			0	3	
123	X	LAUDUS MONDRIAN INTL FLH	51855Q855		1/31/2014		5/1/2014	3,657	3,547			0	110	
124	X	MCKESSON CORP	58155Q103		2/13/2013		7/17/2013	944	841			0	103	
125	X	MCKESSON CORP	58155Q103		2/13/2013		12/13/2013	314	210			0	104	
126	X	MCKESSON CORP	58155Q103		2/13/2013		5/28/2014	183	105			0	78	
127	X	MCKESSON CORP	58155Q103		11/7/2012		5/28/2014	734	371			0	363	
128	X	MERGER FD SH BEN INT #260	59509108		10/17/2013		5/28/2014	1,124	1,121			0	3	
129	X	MERGER FD SH BEN INT #260	59509108		5/5/2014		5/28/2014	76	75			0	1	
130	X	MICROSOFT CORP	594918104		4/12/2010		7/17/2013	870	729			0	141	
131	X	MICROSOFT CORP	594918104		4/12/2010		1/29/2014	180	152			0	28	
132	X	MONSTER BEVERAGE CORP	611740101		12/2/2013		7/17/2013	490	381			0	109	
133	X	MONSTER BEVERAGE CORP	611740101		12/2/2013		1/29/2014	274	191			0	83	
134	X	NATIONAL OILWELL INC COM	637071101		7/5/2013		7/17/2013	729	714			0	15	
135	X	NATIONAL OILWELL INC COM	637071101		7/5/2013		5/28/2014	408	357			0	51	
136	X	ASG GLOBAL ALTERNATIVES	638721885		7/19/2013		1/29/2014	4,059	4,242			0	-183	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals				Net Gain or Loss
								Capital Gains/Losses				
								Gross Sales	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	
Long Term CG Distributions								Amount		Cost, Other Basis and Expenses		Net Gain or Loss
Short Term CG Distributions								4,113		388,206		
								Other sales		0		0
137	X	ASG GLOBAL ALTERNATIVES	638721885		7/19/2013		5/28/2014	1,000	1,020			0
138	X	NESTLE S.A. REGISTERED SH	641069406		2/13/2013		7/17/2013	612	632			0
139	X	NESTLE S.A. REGISTERED SH	641069406		4/12/2010		7/17/2013	272	201			0
140	X	NESTLE S.A. REGISTERED SH	641069406		4/12/2010		5/28/2014	390	251			0
141	X	NUVEEN HIGH YIELD MUNI BD	67065Q772		5/5/2014		5/28/2014	700	687			0
142	X	ORACLE CORPORATION	68389X105		12/10/2010		7/17/2013	353	327			0
143	X	ORACLE CORPORATION	68389X105		2/13/2013		7/17/2013	417	454			0
144	X	ORACLE CORPORATION	68389X105		11/8/2010		7/17/2013	64	58			0
145	X	ORACLE CORPORATION	68389X105		11/8/2010		1/29/2014	148	116			0
146	X	PIMCO FOREIGN BD FD USD	693390882		8/23/2011		5/28/2014	900	886			0
147	X	RIDGEWORTH SEIX HY BD-1 #	76628T645		7/19/2013		1/29/2014	7,443	7,740			0
148	X	RIDGEWORTH SEIX HY BD-1 #	76628T645		10/17/2013		1/29/2014	2,429	2,503			0
149	X	RIDGEWORTH SEIX HY BD-1 #	76628T645		4/13/2010		1/29/2014	14,662	14,242			0
150	X	RIDGEWORTH SEIX HY BD-1 #	76628T645		4/13/2010		5/1/2014	22,252	21,225			0
151	X	RIDGEWORTH SEIX HY BD-1 #	76628T645		8/23/2011		5/1/2014	1,806	1,699			0
152	X	RIDGEWORTH SEIX HY BD-1 #	76628T645		11/8/2010		5/1/2014	2,851	2,719			0
153	X	T ROWE PRICE INST FLOAT F	77958B402		1/31/2014		5/28/2014	600	600			0
154	X	SPDR DJ WILSHIRE INTERNA	78463X863		7/17/2013		10/14/2013	1,970	1,897			0
155	X	SPDR DJ WILSHIRE INTERNA	78463X863		7/17/2013		5/28/2014	1,530	1,443			0
156	X	SCHLUMBERGER LTD	808857108		2/17/2012		7/17/2013	538	555			0
157	X	SCHLUMBERGER LTD	808857108		2/13/2013		7/17/2013	461	471			0
158	X	SCHLUMBERGER LTD	808857108		2/13/2013		1/29/2014	260	236			0
159	X	SCHLUMBERGER LTD	808857108		1/27/2009		1/29/2014	87	42			0
160	X	TXJ COS INC NEW	872540109		2/13/2013		7/17/2013	628	542			0
161	X	TXJ COS INC NEW	872540109		6/30/2011		7/17/2013	367	186			0
162	X	TXJ COS INC NEW	872540109		6/30/2011		1/29/2014	106	124			0
163	X	TARGET CORP	87612E106		2/13/2013		7/17/2013	865	753			0
164	X	TARGET CORP	87612E106		1/27/2009		7/17/2013	288	332			0
165	X	TARGET CORP	87612E106		10/14/2013		1/29/2014	230	253			0
166	X	TEVA PHARMACEUTICAL IND	881624209		4/12/2010		7/17/2013	158	253			0
167	X	TEVA PHARMACEUTICAL IND	881624209		12/10/2010		7/17/2013	473	635			0
168	X	TEVA PHARMACEUTICAL IND	881624209		11/8/2010		8/19/2013	559	711			0
169	X	TEVA PHARMACEUTICAL IND	881624209		12/10/2010		8/19/2013	40	53			0
170	X	TEVA PHARMACEUTICAL IND	881624209		4/12/2011		8/19/2013	758	933			0
171	X	TEVA PHARMACEUTICAL IND	881624209		2/13/2013		7/3/2013	479	466			0
172	X	THERMO FISHER SCIENTIFIC	883556102		2/13/2013		7/3/2013	85	75			0
173	X	THERMO FISHER SCIENTIFIC	883556102		4/12/2011		7/3/2013	595	391			0
174	X	THERMO FISHER SCIENTIFIC	883556102		4/12/2011		7/17/2013	614	381			0
175	X	THERMO FISHER SCIENTIFIC	883556102		4/12/2011		10/14/2013	275	168			0
176	X	THERMO FISHER SCIENTIFIC	883556102		4/12/2010		10/14/2013	458	261			0
177	X	3M CO	88579Y101		12/15/2010		7/17/2013	574	433			0
178	X	3M CO	88579Y101		12/15/2010		2/7/2014	1,040	692			0
179	X	TOTAL S.A. - ADR	89151E109		2/13/2013		7/17/2013	462	467			0
180	X	TOTAL S.A. - ADR	89151E109		7/5/2012		7/17/2013	257	226			0
181	X	TOTAL S.A. - ADR	89151E109		7/5/2012		1/29/2014	226	226			0
182	X	US BANCORP DEL NEW	902973304		2/13/2013		7/17/2013	552	510			0
183	X	US BANCORP DEL NEW	902973304		2/4/2011		7/17/2013	368	275			0
184	X	US BANCORP DEL NEW	902973304		2/4/2011		1/29/2014	362	247			0
185	X	UNION PACIFIC CORP	907818108		2/13/2013		7/3/2013	1,240	1,081			0
186	X	UNION PACIFIC CORP	907818108		11/8/2010		7/3/2013	310	184			0
187	X	UNION PACIFIC CORP	907818108		11/8/2010		7/17/2013	1,127	644			0
188	X	UNION PACIFIC CORP	907818108		12/10/2010		7/17/2013	161	92			0
189	X	UNION PACIFIC CORP	907818108		12/10/2010		5/28/2014	987	459			0
190	X	UNITED PARCEL SERVICE-CL	911312106		8/12/2011		7/17/2013	518	391			0
191	X	UNITED PARCEL SERVICE-CL	911312106		8/12/2011		5/28/2014	519	326			0
192	X	U S TREASURY NOTE 4.250	912828BH2		10/16/2003		8/15/2013	35,000	34,513			0
193	X	UNITEDHEALTH GROUP INC	91324P102		2/13/2013		7/17/2013	403	341			0
194	X	UNITEDHEALTH GROUP INC	91324P102		4/4/2013		7/17/2013	806	747			0
195	X	UNITEDHEALTH GROUP INC	91324P102		11/8/2010		1/29/2014	357	185			0
196	X	VANGUARD INTERMEDIATE T	921937819		2/4/2011		7/17/2013	5,205	5,059			0
197	X	VANGUARD INTERMEDIATE T	921937819		5/1/2014		5/28/2014	3,401	3,359			0
198	X	VANGUARD SHORT TERM BO	921937827		9/11/2012		7/17/2013	321	325			0
199	X	VANGUARD SHORT TERM BO	921937827		8/17/2009		7/17/2013	11,722	11,658			0
200	X	VANGUARD SHORT TERM BO	921937827		10/11/2012		5/1/2014	321	324			0
201	X	VANGUARD SHORT TERM BO	921937827		10/14/2013		5/1/2014	19,793	19,836			0
202	X	VANGUARD SHORT TERM BO	921937827		10/14/2013		5/28/2014	965	964			0
203	X	VANGUARD SHORT TERM BO	921937827		1/29/2014		5/28/2014	3,459	3,452			0
204	X	VANGUARD FTSE DEVELOPE	921943858		2/13/2013		7/17/2013	863	842			0

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Amount										Totals		Net Gain or Loss											
Long Term CG Distributions										Capital Gains/Losses		Cost, Other Basis and Expenses		Net Gain or Loss									
Short Term CG Distributions										Other sales													
										Gross Sales		Cost or Other Basis		Valuation Method		Expense of Sale and Cost of Improvements		Depreciation		Adjustments		Net Gain or Loss	
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss									
205	X VANGUARD FTSE DEVELOPE	921943858			2/13/2013		10/14/2013	7,772	7,105					667									
206	X VANGUARD FTSE DEVELOPE	921943858			2/13/2013		1/29/2014	1,077	989					88									
207	X VANGUARD FTSE DEVELOPE	921943858			5/1/2014		5/28/2014	1,270	1,260					10									
208	X VANGUARD FTSE DEVELOPE	921943858			2/13/2013		5/28/2014	2,540	2,197					343									
209	X VANGUARD FTSE EMERGING	922042858			1/8/2010		10/14/2013	2,239	2,598					-359									
210	X VANGUARD FTSE EMERGING	922042858			12/10/2010		10/14/2013	4,815	5,379					-564									
211	X VANGUARD FTSE EMERGING	922042858			2/24/2011		10/14/2013	1,943	2,084					-141									
212	X VANGUARD FTSE EMERGING	922042858			1/8/2010		10/14/2013	845	948					-103									
213	X VANGUARD FTSE EMERGING	922042858			2/13/2013		10/14/2013	4,604	4,855					-251									
214	X VANGUARD FTSE EMERGING	922042858			2/13/2013		5/1/2014	1,185	1,292					-107									
215	X VANGUARD FTSE EMERGING	922042858			2/13/2013		5/28/2014	2,762	2,895					-133									
216	X VANGUARD REIT VIPER	922908553			7/17/2013		5/1/2014	2,473	2,436					37									
217	X VANGUARD REIT VIPER	922908553			10/14/2013		5/28/2014	1,484	1,352					132									
218	X EATON CORP PLC	G29183103			12/3/2012		10/14/2013	341	257					84									
219	X EATON CORP PLC	G29183103			2/13/2012		5/28/2014	372	260					112									
220	X AFLAC INC	001055102			2/13/2013		7/17/2013	356	295					61									
221	X AFLAC INC	001055102			7/3/2006		7/17/2013	593	466					127									
222	X AQR MANAGED FUTURES ST	002034859			7/3/2008		1/29/2014	185	140					45									
223	X AQR MANAGED FUTURES ST	002034859			7/19/2013		10/14/2013	9,689	9,864					-175									
224	X AFFILIATED MANAGERS GRO	008252108			11/8/2010		1/29/2014	983	454					529									
									28,449		388,206		28,449										
									0		0		0										

Part I, Line 16b (990-PF) - Accounting Fees

		600	0	0	600
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	ACCOUNTING FEES	600			600

Part I, Line 18 (990-PF) - Taxes

		915	567	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAXES PAID	567	567		
2	ESTIMATED EXCISE TAXES PAID	348			

Part I, Line 23 (990-PF) - Other Expenses

		2	2	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	ADR FEES	2	2		

Part II, Line 13 (990-PF) - Investments - Other

Asset Description		Basis of Valuation		Book Value		885,368	910,643	1,054,530
				Beg	End of Year		End of Year	FMV
1	SEE ATTACHED						910,643	1,054,530

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	COST BASIS ADJUSTMENT	1	2,315
2	Total	2	2,315

Line 5 - Decreases not included in Part III, Line 2

1	GAIN ON CY SALES NOT SETTLED AT YEAR END	1	3,589
2	MUTUAL FUND TIMING DIFFERENCE	2	88
3	PY RETURN OF CAPITAL ADJUSTMENT	3	2,974
4	Total	4	6,651

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions										Amount			
Short Term CG Distributions										4,113	0		
Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
1 ANHEUSER-BUSCH INBEV SPN ADR	035244A108		2/13/2011	7/17/2013	550		0	529	21	0	0	0	24,336
2 ANHEUSER-BUSCH INBEV SPN ADR	035244A108		9/2/2011	7/17/2013	366		0	366	148	0	0	0	148
3 ANHEUSER-BUSCH INBEV SPN ADR	035244A108		9/2/2011	12/9/2014	218		0	183	129	0	0	0	129
4 APACHE CORP	037411105		3/13/2010	7/17/2013	292		0	163	132	0	0	0	-352
5 APACHE CORP	037411105		4/12/2010	5/28/2014	164		0	214	-50	0	0	0	-50
6 APACHE CORP	037411105		4/12/2010	5/28/2014	456		0	535	-79	0	0	0	-79
7 APPLE INC	037833100		1/29/2013	12/9/2014	1,008		0	919	89	0	0	0	89
8 APPLE INC	037833100		4/12/2010	12/9/2014	504		0	242	262	0	0	0	262
9 BAXTER INTL INC	071813109		1/18/2013	7/17/2013	410		0	429	-19	0	0	0	-19
10 BERSHIRE HATHAWAY INC	084670702		2/13/2013	7/17/2013	708		0	493	215	0	0	0	215
11 BERSHIRE HATHAWAY INC	084670702		12/10/2010	7/17/2013	826		0	686	140	0	0	0	140
12 BERSHIRE HATHAWAY INC	084670702		17/10/2010	12/9/2014	448		0	320	126	0	0	0	126
13 BERSHIRE HATHAWAY INC	084670702		4/12/2011	12/9/2014	316		0	506	-180	0	0	0	38
14 BHP BILLITON LIMITED - ADR	086608106		2/10/2007	12/9/2014	63		0	46	17	0	0	0	17
15 BHP BILLITON LIMITED - ADR	086608106		7/17/2013	7/17/2013	523		0	347	176	0	0	0	176
16 BOEING CO	097023105		2/13/2011	5/28/2014	673		0	347	326	0	0	0	326
17 BOEING CO	097023105		2/13/2011	7/17/2013	611		0	455	156	0	0	0	156
18 CME GROUP INC	12372Q105		6/7/2012	7/17/2013	687		0	490	197	0	0	0	197
19 CME GROUP INC	12372Q105		6/7/2012	7/17/2013	218		0	560	110	0	0	0	110
20 CME GROUP INC	12372Q105		6/7/2012	7/17/2013	365		0	200	166	0	0	0	166
21 CYSICAREMARK CORPORATION	126850100		12/10/2010	12/9/2014	272		0	133	139	0	0	0	139
22 CYSICAREMARK CORPORATION	126850100		2/13/2013	7/17/2013	333		0	295	39	0	0	0	39
23 CYSICAREMARK CORPORATION	126850100		12/10/2010	12/9/2014	466		0	186	112	0	0	0	112
24 CAPITAL ONE FINANCIAL CORP	14040H105		12/10/2010	12/9/2014	280		0	1,163	1029	0	0	0	1029
25 CAPITAL ONE FINANCIAL CORP	14040H105		12/10/2010	12/9/2014	2,194		0	84	67	0	0	0	67
26 CAPITAL ONE FINANCIAL CORP	14040H105		12/10/2010	12/9/2014	151		0	556	-36	0	0	0	-36
27 CELANESE CORP	150870103		2/13/2013	7/17/2013	520		0	131	20	0	0	0	20
28 CELANESE CORP	150870103		6/13/2011	12/9/2014	142		0	195	3	0	0	0	3
29 CELANESE CORP	150870103		1/18/2010	7/17/2013	205		0	291	66	0	0	0	66
30 CHEVRON CORP	16676A100		2/4/2011	7/17/2013	952		0	80	39	0	0	0	39
31 CHEVRON CORP	16676A100		4/12/2010	10/14/2013	587		0	402	185	0	0	0	185
32 CHEVRON CORP	16676A100		2/13/2013	7/17/2013	978		0	799	179	0	0	0	179
33 CISCO SYSTEMS INC	17275R102		2/13/2013	7/17/2013	489		0	354	135	0	0	0	135
34 CISCO SYSTEMS INC	17275R102		2/17/2011	10/14/2013	139		0	112	27	0	0	0	27
35 CISCO SYSTEMS INC	17275R102		2/17/2011	12/9/2014	151		0	131	20	0	0	0	20
36 COACH INC	189754104		1/16/2013	7/17/2013	873		0	875	-2	0	0	0	-2
37 COMCAST CORP CLASS A	20030N101		1/16/2013	12/9/2014	195		0	233	-38	0	0	0	-38
38 COMCAST CORP CLASS A	20030N101		2/13/2013	7/17/2013	660		0	619	41	0	0	0	41
39 COMCAST CORP CLASS A	20030N101		12/10/2010	7/17/2013	616		0	296	320	0	0	0	320
40 COMCAST CORP CLASS A	20030N101		12/10/2010	12/9/2014	317		0	127	190	0	0	0	190
41 CREDIT SUISSE COMM RET ST1-#2156	22544R305		1/10/2010	10/14/2013	7,904		0	10,228	-2,324	0	0	0	-2,324
42 CREDIT SUISSE COMM RET ST1-#2156	22544R305		1/10/2010	5/1/2014	2,467		0	3,152	-585	0	0	0	-585
43 CREDIT SUISSE COMM RET ST1-#2156	22544R305		1/10/2010	5/28/2014	1,900		0	2,356	-456	0	0	0	-456
44 CUMMINS INC	231021106		11/14/2013	12/9/2014	687		36	922	1	0	0	0	1
45 DIAGEO PLC - ADR	25343Q205		12/10/2010	12/9/2014	629		0	370	259	0	0	0	259
46 DIAMOND HILL LONG-SHORT FD CL 1#	25584SR33		9/13/2012	7/17/2013	3,383		0	2,961	422	0	0	0	422
47 DIAMOND HILL LONG-SHORT FD CL 1#	25584SR33		12/10/2010	7/17/2013	3,074		0	2,346	728	0	0	0	728
48 DIAMOND HILL LONG-SHORT FD CL 1#	25584SR33		10/17/2013	12/9/2014	463		0	455	7	0	0	0	7
49 DIAMOND HILL LONG-SHORT FD CL 1#	25584SR33		12/10/2010	12/9/2014	315		0	229	86	0	0	0	86
50 DIAMOND HILL LONG-SHORT FD CL 1#	25584SR33		12/10/2010	5/28/2014	900		0	628	272	0	0	0	272
51 DIAMOND HILL LONG-SHORT FD CL 1#	25584SR33		2/13/2013	7/17/2013	718		0	603	115	0	0	0	115
52 DIAMOND HILL LONG-SHORT FD CL 1#	25584SR33		2/13/2013	7/17/2013	587		0	323	264	0	0	0	264
53 DIAMOND HILL LONG-SHORT FD CL 1#	25584SR33		4/12/2010	12/9/2014	431		0	215	216	0	0	0	216
54 DIAMOND HILL LONG-SHORT FD CL 1#	25584SR33		11/10/2010	10/14/2013	7,738		0	8,160	-422	0	0	0	-422
55 DIAMOND HILL LONG-SHORT FD CL 1#	25584SR33		9/13/2012	10/14/2013	6,310		0	6,592	-282	0	0	0	-282
56 DIAMOND HILL LONG-SHORT FD CL 1#	25584SR33		9/13/2012	5/28/2014	1,400		0	1,447	-47	0	0	0	-47
57 DIAMOND HILL LONG-SHORT FD CL 1#	25584SR33		5/5/2014	5/28/2014	900		0	902	-2	0	0	0	-2
58 DRIEHAUS ACTIVE INCOME FUND	26202R855		2/15/2013	12/9/2014	5,118		0	5,109	9	0	0	0	9
59 DRIEHAUS ACTIVE INCOME FUND	26202R855		7/19/2013	12/9/2014	4,812		0	4,798	14	0	0	0	14
60 DRIEHAUS ACTIVE INCOME FUND	26202R855		5/6/2014	5/28/2014	811		0	811	0	0	0	0	0
61 DRIEHAUS ACTIVE INCOME FUND	26202R855		12/10/2010	5/28/2014	789		0	787	2	0	0	0	2
62 DRIEHAUS ACTIVE INCOME FUND	26202R855		12/10/2010	7/17/2013	127		0	111	16	0	0	0	16
63 DRIEHAUS ACTIVE INCOME FUND	26202R855		11/8/2010	7/17/2013	533		0	511	22	0	0	0	22
64 DRIEHAUS ACTIVE INCOME FUND	26202R855		11/8/2010	7/17/2013	51		0	44	7	0	0	0	7
65 DRIEHAUS ACTIVE INCOME FUND	26202R855		11/8/2010	12/9/2014	175		0	153	22	0	0	0	22
66 DRIEHAUS ACTIVE INCOME FUND	26202R855		11/8/2010	5/28/2014	650		0	659	-9	0	0	0	-9
67 DRIEHAUS ACTIVE INCOME FUND	26202R855		10/17/2013	5/1/2014	194		0	192	2	0	0	0	2
68 DRIEHAUS ACTIVE INCOME FUND	26202R855		10/17/2013	5/28/2014	600		0	578	22	0	0	0	22
69 DRIEHAUS ACTIVE INCOME FUND	26202R855		9/13/2012	7/17/2013	25,019		0	24,810	409	0	0	0	409
70 DRIEHAUS ACTIVE INCOME FUND	26202R855		2/15/2013	7/17/2013	10,005		0	10,287	-118	0	0	0	-118
71 DRIEHAUS ACTIVE INCOME FUND	26202R855		2/15/2013	7/17/2013	57		0	41	16	0	0	0	16
72 DRIEHAUS ACTIVE INCOME FUND	26202R855		12/8/2011	7/17/2013	915		0	312	603	0	0	0	603
73 DRIEHAUS ACTIVE INCOME FUND	26202R855		12/8/2011	12/9/2014	401		0	176	463	0	0	0	463
74 DRIEHAUS ACTIVE INCOME FUND	26202R855		12/8/2011	12/9/2014	655		0	98	303	0	0	0	303
75 DRIEHAUS ACTIVE INCOME FUND	26202R855		12/8/2011	12/9/2014	1,605		0	855	-17	0	0	0	-17
76 DRIEHAUS ACTIVE INCOME FUND	26202R855		12/8/2011	12/9/2014	535		0	342	193	0	0	0	193
77 DRIEHAUS ACTIVE INCOME FUND	26202R855		8/30/2012	5/1/2014	535		0	535	0	0	0	0	0
78 DRIEHAUS ACTIVE INCOME FUND	26202R855		2/25/2013	12/9/2014	155		8	164	-1	0	0	0	-1
79 DRIEHAUS ACTIVE INCOME FUND	26202R855		2/25/2013	12/9/2014	155		8	164	-1	0	0	0	-1
80 DRIEHAUS ACTIVE INCOME FUND	26202R855		2/25/2013	12/9/2014	155		8	164	-1	0	0	0	-1
81 DRIEHAUS ACTIVE INCOME FUND	26202R855		2/25/2013	12/9/2014	155		8	164	-1	0	0	0	-1
82 DRIEHAUS ACTIVE INCOME FUND	26202R855		2/25/2013	12/9/2014	155		8	164	-1	0	0	0	-1
83 DRIEHAUS ACTIVE INCOME FUND	26202R855		2/25/2013	12/9/2014	155		8	164	-1	0	0	0	-1
84 DRIEHAUS ACTIVE INCOME FUND	26202R855		2/25/2013	12/9/2014	155		8	164	-1	0	0	0	-1

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions										Short Term CG Distributions										Amount	
Description of Property Sold										CUSIP #										4,113	
Description of Property Sold										CUSIP #										4,113	
Description of Property Sold										CUSIP #										4,113	
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Description of Property Sold										CUSIP											

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CO Distributions														Amount	
Short Term CO Distributions														4,113	0
	Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj.Basis	Gain Minus Excess of FMV Over Adjusted Basis or Losses	
169	TEVA PHARMACEUTICAL INDUSTRIES	881624Z06		12/10/2010	8/19/2013	40		0	53	-13	0	0	0	24,336	
170	TEVA PHARMACEUTICAL INDUSTRIES	881624Z09		4/12/2011	8/19/2013	749		0	933	-175	0	0	0	-175	
171	TEVA PHARMACEUTICAL INDUSTRIES	881624Z09		2/13/2013	8/19/2013	436		0	466	13	0	0	0	13	
172	THERMO FISHER SCIENTIFIC INC	963558102		2/13/2013	7/3/2013	65		0	75	10	0	0	0	10	
173	THERMO FISHER SCIENTIFIC INC	883558102		4/12/2011	7/3/2013	593		0	391	204	0	0	0	204	
174	THERMO FISHER SCIENTIFIC INC	883558102		4/12/2011	7/1/2013	214		0	168	107	0	0	0	107	
175	THERMO FISHER SCIENTIFIC INC	883558102		4/12/2010	10/14/2013	253		0	281	197	0	0	0	197	
176	THERMO FISHER SCIENTIFIC INC	883558102		12/15/2010	7/1/2013	456		0	433	141	0	0	0	141	
177	BM CO	885797101		12/15/2010	2/7/2014	574		0	682	348	0	0	0	348	
178	TOTAL S A - ADR	89151E109		7/5/2012	7/1/2013	462		0	461	-5	0	0	0	-5	
180	TOTAL S A - ADR	89151E109		7/5/2012	7/1/2013	257		0	311	31	0	0	0	31	
181	TOTAL S A - ADR	89151E109		7/5/2012	12/9/2014	287		0	228	61	0	0	0	61	
182	US BANCORP DEL NEW	902973304		2/4/2011	7/1/2013	552		0	510	42	0	0	0	42	
183	US BANCORP DEL NEW	902973304		24/2011	7/1/2013	388		0	275	93	0	0	0	93	
184	US BANCORP DEL NEW	902973304		24/2011	12/9/2014	382		0	247	115	0	0	0	115	
185	UNION PACIFIC CORP	907618108		2/13/2013	7/3/2013	1,240		0	1,081	158	0	0	0	158	
186	UNION PACIFIC CORP	907618108		1/18/2010	7/3/2013	310		0	194	126	0	0	0	126	
187	UNION PACIFIC CORP	907618108		1/18/2010	7/1/2013	1,127		0	644	483	0	0	0	483	
188	UNION PACIFIC CORP	907618108		12/10/2010	5/28/2014	161		0	92	69	0	0	0	69	
189	UNION PACIFIC CORP	907618108		8/12/2011	5/28/2014	987		0	459	528	0	0	0	528	
190	UNITED PARCEL SERVICE-CL B	911312106		8/12/2011	7/1/2013	518		0	391	127	0	0	0	127	
191	UNITED PARCEL SERVICE-CL B	911312106		8/12/2011	5/28/2014	519		0	328	193	0	0	0	193	
192	U S TREASURY NOTE 4.250% 8/15/13	912828B42		8/15/2003	8/15/2013	35,000		0	34,513	487	0	0	0	487	
193	UNITEDHEALTH GROUP INC	91324P102		2/13/2013	7/1/2013	403		0	341	62	0	0	0	62	
194	UNITEDHEALTH GROUP INC	91324P102		44/2013	7/1/2013	806		0	747	59	0	0	0	59	
195	UNITEDHEALTH GROUP INC	91324P102		1/18/2010	12/9/2014	357		0	185	172	0	0	0	172	
196	VANGUARD INTERMEDIATE TERM B	921937819		24/2011	7/1/2013	5,205		0	5,059	148	0	0	0	148	
197	VANGUARD INTERMEDIATE TERM B	921937819		5/1/2014	5/28/2014	3,401		0	3,359	42	0	0	0	42	
198	VANGUARD SHORT TERM BOND ETF	921937827		9/11/2012	7/1/2013	321		4	325	0	0	0	0	0	
199	VANGUARD SHORT TERM BOND ETF	921937827		10/17/2009	7/1/2013	11,722		0	11,658	64	0	0	0	64	
200	VANGUARD SHORT TERM BOND ETF	921937827		9/11/2012	5/1/2014	321		0	324	-3	0	0	0	-3	
201	VANGUARD SHORT TERM BOND ETF	921937827		10/14/2013	5/1/2014	-- -- -- 19,793		0	19,836	-43	0	0	0	-43	
202	VANGUARD SHORT TERM BOND ETF	921937827		10/14/2013	5/28/2014	965		0	984	1	0	0	0	1	
203	VANGUARD SHORT TERM BOND ETF	921937827		12/9/2014	5/28/2014	3,459		0	3,452	7	0	0	0	7	
204	VANGUARD FISE DEVELOPED ETF	921943858		2/13/2013	7/1/2013	863		0	842	21	0	0	0	21	
205	VANGUARD FISE DEVELOPED ETF	921943858		2/13/2013	10/14/2013	7,772		0	7,105	667	0	0	0	667	
206	VANGUARD FISE DEVELOPED ETF	921943858		5/1/2014	5/28/2014	1,270		0	989	88	0	0	0	88	
207	VANGUARD FISE DEVELOPED ETF	921943858		2/13/2013	12/9/2014	1,077		0	1,260	10	0	0	0	10	
208	VANGUARD FISE DEVELOPED ETF	921943858		5/1/2014	5/28/2014	2,540		0	2,197	343	0	0	0	343	
209	VANGUARD FISE EMERGING MARKET	922042858		12/10/2010	10/14/2013	2,239		0	2,598	-359	0	0	0	-359	
210	VANGUARD FISE EMERGING MARKET	922042858		12/10/2010	10/14/2013	4,815		0	5,378	-564	0	0	0	-564	
211	VANGUARD FISE EMERGING MARKET	922042858		2/24/2011	10/14/2013	1,943		0	2,084	-141	0	0	0	-141	
212	VANGUARD FISE EMERGING MARKET	922042858		1/18/2010	10/14/2013	845		0	948	-103	0	0	0	-103	
213	VANGUARD FISE EMERGING MARKET	922042858		2/13/2013	10/14/2013	4,604		0	4,855	-251	0	0	0	-251	
214	VANGUARD FISE EMERGING MARKET	922042858		2/13/2013	5/1/2014	1,185		0	1,292	-107	0	0	0	-107	
215	VANGUARD FISE EMERGING MARKET	922042858		2/13/2013	5/28/2014	2,762		0	2,885	-133	0	0	0	-133	
216	VANGUARD FISE EMERGING MARKET	922042858		7/1/2013	5/1/2014	2,470		0	2,436	37	0	0	0	37	
217	VANGUARD REIT WFER	922908553		10/1/2013	5/28/2014	1,464		0	1,352	132	0	0	0	132	
218	EATON CORP PLC	539183103		12/28/2012	10/14/2013	341		0	257	84	0	0	0	84	
219	EATON CORP PLC	539183103		7/3/2012	5/28/2014	371		0	280	112	0	0	0	112	
220	ALAC INC	901095102		7/15/2013	7/1/2013	356		0	295	61	0	0	0	61	
221	ALAC INC	901095102		7/3/2008	7/1/2013	466		0	466	127	0	0	0	127	
222	ALAC INC	901095102		7/3/2008	12/9/2014	185		0	140	45	0	0	0	45	
223	ADR MANAGED FUTURES STR.#15131	902023H859		7/19/2013	10/14/2013	9,684		0	9,664	-20	0	0	0	-20	
						578		0	454	-578	0	0	0	-578	

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

		Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	Wells Fargo Bank N A Trust Tax D	X	1 W 4th St 4th Floor MAC D4000-041	Winston Salem	NC	27101-3818		TRUSTEE	4 00	15,784		
	CHARLES V SEYFERT		PO BOX 10612	FORT WAYNE	IN	46853		CO-TRUSTEE	0 00	0		
2												

15,784 0

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	Amount
1 Credit from prior year return		1 244
2 First quarter estimated tax payment		2 0
3 Second quarter estimated tax payment	11/15/2013	3 52
4 Third quarter estimated tax payment	2/15/2014	4 148
5 Fourth quarter estimated tax payment	5/15/2014	5 148
6 Other payments		6
7 Total		7 592

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2012 that remained undistributed at the beginning of the 2013 tax year	1	44,510
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	44,510

Security Type	EIN	CUSIP	Asset Name	FMV	Fed Cost
Invest - Corp Bonds	35-6327067	742718DA4	PROCTOR & GAMBLE CO 4 950% 8/15/14	\$ 30,286.20	\$ 29,901.30
	Total Corporate Bonds			\$ 30,286.20	\$ 29,901.30
Invest - Corp Stock	35-6327067	001055102	AFLAC INC	\$ 2,265.51	\$ 1,726.05
Invest - Corp Stock	35-6327067	008252108	AFFILIATED MANAGERS GROUP, INC COM	\$ 2,451.80	\$ 1,145.52
Invest - Corp Stock	35-6327067	037411105	APACHE CORP	\$ 2,610.16	\$ 2,707.57
Invest - Corp Stock	35-6327067	037833100	APPLE INC	\$ 6,330.00	\$ 2,421.90
Invest - Corp Stock	35-6327067	071813109	BAXTER INTL INC	\$ 2,604.35	\$ 2,424.28
Invest - Corp Stock	35-6327067	097023105	BOEING CO	\$ 3,246.00	\$ 1,663.36
Invest - Corp Stock	35-6327067	126650100	CVS/CAREMARK CORPORATION	\$ 3,289.44	\$ 1,330.71
Invest - Corp Stock	35-6327067	17275R102	CISCO SYSTEMS INC	\$ 3,397.56	\$ 2,265.73
Invest - Corp Stock	35-6327067	231021106	CUMMINS INC	\$ 3,058.60	\$ 2,685.39
Invest - Corp Stock	35-6327067	25243Q205	DIAGEO PLC - ADR	\$ 2,189.43	\$ 1,258.85
Invest - Corp Stock	35-6327067	254687106	WALT DISNEY CO	\$ 4,368.52	\$ 1,866.15
Invest - Corp Stock	35-6327067	268648102	E M C CORP MASS	\$ 1,646.72	\$ 1,359.50
Invest - Corp Stock	35-6327067	375558103	GILEAD SCIENCES INC	\$ 2,923.56	\$ 702.85
Invest - Corp Stock	35-6327067	459200101	INTERNATIONAL BUSINESS MACHS CORP	\$ 1,659.24	\$ 1,848.18
Invest - Corp Stock	35-6327067	478366107	JOHNSON CONTROLS INC	\$ 2,563.08	\$ 1,672.21
Invest - Corp Stock	35-6327067	594918104	MICROSOFT CORP	\$ 2,251.70	\$ 1,630.65
Invest - Corp Stock	35-6327067	637071101	NATIONAL OILWELL INC COM	\$ 2,619.84	\$ 2,267.27
Invest - Corp Stock	35-6327067	641069406	NESTLE S A REGISTERED SHARES - ADR	\$ 2,592.97	\$ 1,659.57
Invest - Corp Stock	35-6327067	68389X105	ORACLE CORPORATION	\$ 2,521.20	\$ 1,747.04
Invest - Corp Stock	35-6327067	806857108	SCHLUMBERGER LTD	\$ 3,433.32	\$ 1,390.68
Invest - Corp Stock	35-6327067	872540109	TJX COS INC NEW	\$ 2,341.35	\$ 1,142.63
Invest - Corp Stock	35-6327067	883556102	THERMO FISHER SCIENTIFIC INC	\$ 2,338.20	\$ 1,043.60
Invest - Corp Stock	35-6327067	89151E109	TOTAL S A - ADR	\$ 2,500.20	\$ 1,626.23
Invest - Corp Stock	35-6327067	907818108	UNION PACIFIC CORP	\$ 4,383.94	\$ 2,019.16
Invest - Corp Stock	35-6327067	58155Q103	MCKESSON CORP	\$ 3,603.16	\$ 1,774.92
Invest - Corp Stock	35-6327067	38259P706	GOOGLE INC-CL C	\$ 2,799.45	\$ 1,487.18
Invest - Corp Stock	35-6327067	38141G104	GOLDMAN SACHS GROUP INC	\$ 1,757.91	\$ 1,703.77
Invest - Corp Stock	35-6327067	404280406	HSBC - ADR	\$ 3,005.04	\$ 3,029.79
Invest - Corp Stock	35-6327067	911312106	UNITED PARCEL SERVICE-CL B	\$ 2,493.12	\$ 1,565.12
Invest - Corp Stock	35-6327067	87612E106	TARGET CORP	\$ 2,667.72	\$ 1,668.68
Invest - Corp Stock	35-6327067	91324P102	UNITEDHEALTH GROUP INC	\$ 3,742.61	\$ 1,720.45
Invest - Corp Stock	35-6327067	189754104	COACH INC	\$ 1,587.69	\$ 2,068.10
Invest - Corp Stock	35-6327067	088606108	BHP BILLITON LIMITED - ADR	\$ 1,357.60	\$ 915.40
Invest - Corp Stock	35-6327067	46625H100	JPMORGAN CHASE & CO	\$ 3,389.77	\$ 2,349.09
Invest - Corp Stock	35-6327067	902973304	US BANCORP DEL NEW	\$ 2,742.35	\$ 1,748.07
Invest - Corp Stock	35-6327067	03524A108	ANHEUSER-BUSCH INBEV SPN ADR	\$ 2,857.92	\$ 1,414.90
Invest - Corp Stock	35-6327067	166764100	CHEVRON CORP	\$ 2,333.01	\$ 1,528.55
Invest - Corp Stock	35-6327067	88579Y101	3M CO	\$ 2,280.80	\$ 1,384.85
Invest - Corp Stock	35-6327067	084670702	BERKSHIRE HATHAWAY INC	\$ 4,235.22	\$ 2,551.51
Invest - Corp Stock	35-6327067	20030N101	COMCAST CORP CLASS A	\$ 3,549.60	\$ 1,417.62
Invest - Corp Stock	35-6327067	150870103	CELANESE CORP	\$ 2,069.10	\$ 1,488.76
Invest - Corp Stock	35-6327067	172967424	CITIGROUP INC	\$ 2,378.50	\$ 2,366.88
Invest - Corp Stock	35-6327067	611740101	MONSTER BEVERAGE CORP	\$ 1,942.64	\$ 1,243.15
Invest - Corp Stock	35-6327067	G29183103	EATON CORP PLC	\$ 2,579.15	\$ 1,805.05
Invest - Corp Stock	35-6327067	12572Q105	CME GROUP INC	\$ 2,664.00	\$ 2,007.97
	Total Corporate Stocks			\$ 125,623.05	\$ 78,844.89
Invest - Other	35-6327067	589509108	MERGER FD SH BEN INT #260	\$ 31,661.81	\$ 30,883.39
Invest - Other	35-6327067	693390882	PIMCO FOREIGN BD FD USD H-INST #103	\$ 23,539.11	\$ 23,163.33
Invest - Other	35-6327067	315920702	FID ADV EMER MKTS INC- CL I #607	\$ 16,160.13	\$ 15,487.86
Invest - Other	35-6327067	464287804	ISHARES CORE S&P SMALL-CAP E	\$ 39,915.60	\$ 22,660.19
Invest - Other	35-6327067	464287705	ISHARES S&P MID-CAP 400 VALUE	\$ 36,260.73	\$ 24,363.06
Invest - Other	35-6327067	464287200	ISHARES CORE S & P 500 ETF	\$ 20,162.48	\$ 12,785.76
Invest - Other	35-6327067	261980668	DREYFUS INTERNATL BOND FD CL I #6094	\$ 23,608.55	\$ 23,622.41
Invest - Other	35-6327067	277923728	EATON VANCE GLOBAL MACRO - I #0088	\$ 15,571.28	\$ 15,892.04
Invest - Other	35-6327067	464287606	ISHARES S&P MID-CAP 400 GROWTH	\$ 35,751.64	\$ 20,795.32
Invest - Other	35-6327067	261980494	DREYFUS EMG MKT DEBT LOC C-I #6083	\$ 37,712.93	\$ 37,737.55
Invest - Other	35-6327067	262028855	DRIEHAUS ACTIVE INCOME FUND	\$ 41,626.98	\$ 41,083.92
Invest - Other	35-6327067	67065Q772	NUVEEN HIGH YIELD MUNI BD-R #1668	\$ 18,226.93	\$ 17,869.32
Invest - Other	35-6327067	63872T885	ASG GLOBAL ALTERNATIVES-Y #1993	\$ 25,768.79	\$ 26,514.09
Invest - Other	35-6327067	922908553	VANGUARD REIT VIPER	\$ 39,735.08	\$ 21,874.57
Invest - Other	35-6327067	922042858	VANGUARD FTSE EMERGING MARKETS ETF	\$ 73,783.08	\$ 72,168.28
Invest - Other	35-6327067	4812C0803	JPMORGAN HIGH YIELD FUND SS #3580	\$ 18,790.89	\$ 18,499.88
Invest - Other	35-6327067	779588402	T ROWE PRICE INST FLOAT RATE #170	\$ 15,588.24	\$ 15,619.00
Invest - Other	35-6327067	921937827	VANGUARD SHORT TERM BOND ETF	\$ 108,205.25	\$ 107,496.69
Invest - Other	35-6327067	78463X863	SPDR DJ WILSHIRE INTERNATIONAL REAL	\$ 40,397.25	\$ 34,134.68
Invest - Other	35-6327067	921937819	VANGUARD INTERMEDIATE TERM B	\$ 68,522.37	\$ 66,417.03
Invest - Other	35-6327067	921943858	VANGUARD FTSE DEVELOPED ETF	\$ 100,251.00	\$ 86,225.11

Invest - Other	35-6327067	25264S833	DIAMOND HILL LONG-SHORT FD CL I #11	\$ 20,991 25	\$ 14,585 89
Invest - Other	35-6327067	22544R305	CREDIT SUISSE COMM RET ST-I #2156	\$ 46,388 94	\$ 52,017 29
Total Other Investments				<u>\$ 898,620.31</u>	<u>\$ 801,896.66</u>
Savings & Temp Inv	35-6327067	VP0528308	FEDERATED TREAS OBL FD 68	\$ 168 06	\$ 168 06
Savings & Temp Inv	35-6327067	VP0528308	FEDERATED TREAS OBL FD 68	\$ 966 28	\$ 966 28
Total Savings & Temporary Investments				<u>\$ 1,134.34</u>	<u>\$ 1,134.34</u>
Cash	35-6327067			<u>\$ 55.02</u>	<u>\$ 55.02</u>
Total Corporate Stocks, Corporate Bonds, Other Investments, Savings and Temp, and Cash				<u>\$ 1,055,718.92</u>	<u>\$ 911,832.21</u>