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OMB No 1545-0052

Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Department of the Treasury
Internal Revenue Service

Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning 6/1/2013, and ending 5/31/2014

Name of foundation THE ULLMANN FAMILY FOUNDATION			A Employer identification number 31-1623869	
Number and street (or P.O. box number if mail is not delivered to street address) 236 ROSELAND AVENUE			B Telephone number (see instructions) (973) 993-8100	
City or town ESSEX FELLS	State NJ	ZIP code 07021		
Foreign country name Foreign province/state/county Foreign postal code			C If exemption application is pending, check here ☐	
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change			D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation			E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 1,011,713			F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____				

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	27,255	26,963		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	122,812			
	b Gross sales price for all assets on line 6a 327,933				
	7 Capital gain net income (from Part IV, line 2)		122,812		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	-961				
12 Total. Add lines 1 through 11	149,106	149,775	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	3,500			3,500
	c Other professional fees (attach schedule)	9,103	5,462		3,641
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,962	569		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	392	392		
	24 Total operating and administrative expenses. Add lines 13 through 23	14,957	6,423	0	7,141
	25 Contributions, gifts, grants paid	40,000			40,000
26 Total expenses and disbursements. Add lines 24 and 25	54,957	6,423	0	47,141	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	94,149				
b Net investment income (if negative, enter -0-)		143,352			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2013)

HTA

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Part II Balance Sheets		Beginning of year	End of year	
			(a) Book Value	(b) Book Value
Assets	1 Cash—non-interest-bearing	134	1,451	1,451
	2 Savings and temporary cash investments	24,727	31,681	31,681
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S and state government obligations (attach schedule)			
	b Investments—corporate stock (attach schedule)	455,356	502,204	830,485
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment basis ▶			
Liabilities	Less accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	209,646	209,646	148,096
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	689,863	744,982	1,011,713
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☐			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	27 Capital stock, trust principal, or current funds	689,863	744,982	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	689,863	744,982	
	31 Total liabilities and net assets/fund balances (see instructions)	689,863	744,982	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	689,863
2 Enter amount from Part I, line 27a	2	94,149
3 Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	5,237
4 Add lines 1, 2, and 3	4	789,249
5 Decreases not included in line 2 (itemize) ▶ GAIN ON CY SALES NOT SETTLED AT YEAR END	5	44,267
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	744,982

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement				
b See Attached Statement				
c See Attached Statement				
d See Attached Statement				
e See Attached Statement				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a			0	
b			0	
c			0	
d			0	
e			0	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
a			0	
b			0	
c			0	
d			0	
e			0	
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	122,812
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }			3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.☐ Yes ☒ No

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	49,300	833,496	0.059148
2011	54,169	782,501	0.069225
2010	60,132	783,841	0.076715
2009	64,493	710,698	0.090746
2008	55,617	708,027	0.078552
2 Total of line 1, column (d)			2 0.374386
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.074877
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 935,628
5 Multiply line 4 by line 3			5 70,057
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 1,434
7 Add lines 5 and 6			7 71,491
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions			8 47,141

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	2,867	
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0	
3	Add lines 1 and 2	3	2,867	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	2,867	
6	Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	852	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7	852	
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,015	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	0	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ Refunded ☐	11	0	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NJ		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address N/A	13	X	
14	The books are in care of DONALD ULLMANN Telephone no (973) 993-8100 Located at 236 ROSELAND AVENUE, ESSEX FELLS, NJ ZIP+4 07021			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d) N/A
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b	N/A	
6b		X
7b		X

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DONALD ULLMANN 236 ROSELAND AVENUE ESSEX FELLS, NJ 07021	TRUSTEE 2 00			
SUSAN ULLMANN 236 ROSELAND AVENUE ESSEX FELLS, NJ 07021	TRUSTEE 2 00			

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	NONE	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	NONE	
2		
All other program-related investments. See instructions.		
3	NONE	

Total. Add lines 1 through 3



0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	920,142
b	Average of monthly cash balances	1b	29,734
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	949,876
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	949,876
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	14,248
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	935,628
6	Minimum investment return. Enter 5% of line 5	6	46,781

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	46,781
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,867
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	2,867
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	43,914
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	43,914
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	43,914

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	47,141
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	47,141
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	47,141

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				43,914
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008	20,826			
b From 2009	29,498			
c From 2010	22,554			
d From 2011	15,664			
e From 2012	8,476			
f Total of lines 3a through e	97,018			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 47,141				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				43,914
e Remaining amount distributed out of corpus	3,227			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	100,245			
b Prior years' undistributed income Subtract line 4b from line 2b			0	
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	20,826			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	79,419			
10 Analysis of line 9:				
a Excess from 2009	29,498			
b Excess from 2010	22,554			
c Excess from 2011	15,664			
d Excess from 2012	8,476			
e Excess from 2013	3,227			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter:					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

DONALD ULLMAN

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ If the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

- b** The form in which applications should be submitted and information and materials they should include

- c** Any submission deadlines:

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i> See Attached Statement				
Total			▶ 3a	40,000
b <i>Approved for future payment</i> NONE				
Total			▶ 3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	27,255	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	122,812	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a PARTNERSHIP K-1S				-961	
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)		0		149,106	0
13	Total. Add line 12, columns (b), (d), and (e)				13	149,106

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | |
|----------|--|-----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | |
| | (1) Cash | 1a |
| | (2) Other assets | 1a |
| b | Other transactions | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b |
| | (3) Rental of facilities, equipment, or other assets | 1b |
| | (4) Reimbursement arrangements | 1b |
| | (5) Loans or loan guarantees | 1b |
| | (6) Performance of services or membership or fundraising solicitations | 1b |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1 |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | |

	Yes	No
1a(1)		X
1a(2)		X
1b(1)		X
1b(2)		X
1b(3)		X
1b(4)		X
1b(5)		X
1b(6)		X
1c		X

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

10/10/2019
Date

 Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name

DONALD J ROMAN

Preparer's signature

[Signature]

Date	
------	--

10/9/2014

Check ☒ if self-employed

PTIN	
------	--

P00364310

Firm's name ► PricewaterhouseCoopers, LLP

Firm's EIN ▶ 13-4008324

Firm's address ► 600 GRANT STREET, PITTSBURGH, PA 15219

Phone no	412-355-6000
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THE ULLMANN FAMILY FOUNDATION

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

MICHAEL J FOX FOUNDATION

Street

P O BOX 4777

City

NEW YORK

State

NY

Zip Code

10163

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

12,500

Name

NAMI

Street

3803 FAIRFAX DRIVE

City

ARLINGTON

State

VA

Zip Code

22203

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

5,000

Name

DRESS FOR SUCCESS

Street

25 COOK AVE

City

MADISON

State

NJ

Zip Code

07940

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

5,000

Name

THE NATURE CONSERVANCY OF NJ

Street

2350 ROUTE 47

City

DELMONT

State

NJ

Zip Code

08314

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

5,000

Name

PENN STATE UNIVERSITY

Street

10 SCHREYER HONORS COLLEGE

City

UNIVERSITY PARK

State

PA

Zip Code

16802

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

10,000

Name

ALZHEIMER'S ASSOCIATION

Street

360 LEXINGTON AVE

City

NEW YORK

State

NY

Zip Code

10017

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

2,500

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

		Amount		Totals									
		Long Term CG Distributions		Capital Gains/Losses									
		Short Term CG Distributions		Other sales									
				Gross Sales		Cost, Other Basis and Expenses		Expense of Sale and Cost of Improve-ments		Valuation Method		Adjustments	
				327,933		205,121		0		0		122,812	
1	Check "X" to include in Part IV	Description	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improve-ments	Adjustments	Net Gain or Loss
2	X	CAPITAL GAINS DISTRIBUTION						139					139
3	X	UNRECAPTURED SECTION 12						448					448
4	X	SECTION 1231 GAIN						70,386	57,054				13,332
5	X	SEE ATTACHED - 2BL04018						170,045	89,794				80,251
6	X	SEE ATTACHED - 2BL04030						79,358	58,269				21,089
7	X	SEE ATTACHED - 2BL04032						7,109					7,109
8	X	4797 ORDINARY GAIN						26					26
9	X	NATURAL RESOURCE PARTN						113					113
10	X	ENERGY TRANSFER EQUITY											
11	X	ENBRIDGE ENERGY PARTNE							1				-1
12	X	APOLLO GLOBAL MANAGEME						309					309
		ATLAS PIPELINE PARTNERS							3				-3

Part I, Line 11 (990-PF) - Other Income

		-961	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income
1	FROM PARTNERSHIP K-1	-961		

Part I, Line 16b (990-PF) - Accounting Fees

		3,500	0	0	3,500
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	3,500			3,500

Part I, Line 16c (990-PF) - Other Professional Fees

		9,103	5,462	0	3,641
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	EMA/PIA/CONSULTS FEES	9,103	5,462		3,641

Part I, Line 18 (990-PF) - Taxes

		1,962	569	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	PY FED EXCISE TAX	541			
2	ESTIMATED EXCISE TAX PAID	852			
3	FOREIGN TAX WITHHELD	569	569		

Part I, Line 23 (990-PF) - Other Expenses

		392	392	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	ADR FEES	26	26		
2	INTEREST EXPENSE				
3	PARTNERSHIP DEDUCTIONS	366	366		
4	STATE AG FEES				
5	OTHER MISC FEES				
6					

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	COST BASIS ADJUSTMENT	1	4,808
2	MISCELLANEOUS DEPOSIT	2	429
3	Total	3	5,237

Line 5 - Decreases not included in Part III, Line 2

1	GAIN ON CY SALES NOT SETTLED AT YEAR END	1	44,267
2	Total	2	44,267

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions	0
Short Term CG Distributions	0

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account
1	DONALD ULLMANN		236 ROSELAND AVENUE	ESSEX FELS	NJ	07021		TRUSTEE	2 00			
2	SUSAN ULLMANN		236 ROSELAND AVENUE	ESSEX FELS	NJ	07021		TRUSTEE	2 00			

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1 Credit from prior year return		1	0
2 First quarter estimated tax payment	10/9/2013	2	213
3 Second quarter estimated tax payment	10/9/2013	3	213
4 Third quarter estimated tax payment	3/16/2014	4	213
5 Fourth quarter estimated tax payment	3/16/2014	5	213
6 Other payments		6	
7 Total		7	852



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ULLMANN FAMILY FOUNDATION INC

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
1,0000-Sale	AMERISOURCEBERGEN CORP	CUSIP Number 42065442	03073E105 03/19/13	50.34	42.48	0.00	7.86	
AETNA INC NEW 7,0000-Sale		CUSIP Number 09178113	00817Y108 11/25/13	481.17	466.53	0.00	14.64	
COMCAST CORP-NEW-CL-A 44,0000-Sale		CUSIP Number 08127142	20030N101 04/02/13	1,685.99	1,495.94	0.00	190.05	
1,0000-Sale		08127142	04/02/13	38.43	34.00	0.00	4.43	
17,0000-Sale		08127142	03/07/13	677.96	677.96	0.00	100.12	
Security Subtotal				2,402.52	2,107.92	0.00	294.60	
GVS-GAREMARK CORP- 1,0000-Sale		CUSIP Number 07489442	126650100 04/10/13	50.02	47.22	0.00	2.80	
CHH GROUP INC COM-NEW 2,0000-Sale		CUSIP Number 06443442	172967424 04/10/13	85.77	55.53	0.00	30.24	



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2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
GSF BRANDS INC SHS								
1,0000	Security Sale	12/05/12	05/13/13	32.17	2.60	0.00	0.07	
	Security Subtotal	12/05/12	05/13/13	32.17	2.60	0.00	0.07	
CISCO SYSTEMS INC COM								
55,0000	Security Sale	02/24/12	04/02/13	1,408.49	1,420.87	0.00	(12.38)	
9,0000	Security Sale	06/21/13	11/25/13	192.72	219.07	0.00	(26.35)	
	Security Subtotal	06/21/13	11/25/13	1,301.21	1,339.94	0.00	(38.73)	
DISNEY WALT DIS CO COM STK								
1,0000	Security Sale	11/13/12	04/10/13	50.82	47.97	0.00	2.85	
DOW CHEMICAL CO								
10,0000	Security Sale	10/21/13	11/25/13	393.04	412.98	0.00	(19.94)	
GOLDMAN SACHS GROUP INC								
3,0000	Security Sale	04/30/12	03/18/13	454.00	344.35	0.00	109.65	
HALLIBURTON COMPANY								
9,0000	Security Sale	08/08/13	11/25/13	473.93	416.25	0.00	57.68	
INTL BUSINESS MACHINES CORP IBM								
5,0000	Security Sale	03/01/13	08/05/13	974.07	1,011.71	0.00	(37.64)	
2,0000	Security Sale	03/01/13	08/08/13	374.43	404.69	0.00	(30.26)	
7,0000	Security Sale	03/18/13	08/08/13	1,310.51	1,498.85	0.00	(188.34)	
	Security Subtotal			2,659.01	2,915.25	0.00	(256.24)	
INGERSOLL-RAND PLC								
1,0000	Security Sale	12/03/12	04/10/13	48.28	48.27	0.00	0.01	
10,0000	Security Sale	12/03/12	11/25/13	697.59	482.65	0.00	214.94	
	Security Subtotal			746.87	530.92	0.00	215.95	
UNITED BRANDS INC								
1,0000	Security Sale	12/05/12	02/01/13	47.60	54.35	0.00	(6.75)	
METLIFE INC								
1,0000	Security Sale	06/14/12	04/10/13	36.97	34.27	0.00	2.70	



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2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MACYS INC								
6.0000	Sale	07/08/13	55616P104 11/25/13	313.09	301.38	0.00	11.71	
MERCK AND CO INC SHS								
8.0000	Sale	06/26/12	58933Y105 04/02/13	329.14	318.44	0.00	10.70	
11.0000	Sale	06/26/12	04/02/13	452.59	440.03	0.00	12.56	
1.0000	Sale	06/26/12	04/02/13	43.04	40.00	0.00	3.04	
Security Subtotal								
				824.77	798.44	0.00	26.33	
MARATHON PETROLEUM CORP								
17.0000	Sale	03/26/12	56585A102 04/02/13	1,070.13	763.28	0.00	315.85	
1.0000	Sale	03/26/12	04/02/13	62.80	44.80	0.00	17.99	
13.0000	Sale	03/26/12	03/18/13	1,156.01	583.66	0.00	572.35	
Security Subtotal								
				2,288.94	1,391.72	0.00	906.72	
MEDTRONIC INC COM								
10.0000	Sale	08/05/13	585055106 11/25/13	576.03	553.76	0.00	22.27	
NEWS CORP CL A								
3.0000	Sale	08/06/12	65248E104 01/10/13	80.60	70.95	0.00	9.65	
46.0000	Sale	08/06/12	02/01/13	1,297.02	1,087.87	0.00	209.15	
Security Subtotal								
				1,377.62	1,158.82	0.00	218.80	
NETAPP INC								
14.0000	Sale	08/05/13	64110D104 11/25/13	568.95	581.59	0.00	(12.64)	
NEW NEWSCORP LLC SHS CL A								
24.0000	Cash/Lieu Sale	12/05/12	07/16/13	3.84	2.79	0.00	1.05	
1.0000	Sale	08/06/12	07/18/13	376.10	259.94	0.00	116.16	
		12/05/12	07/18/13	15.68	11.00	0.00	4.68	
Security Subtotal								
				395.62	273.73	0.00	121.89	
NIKE INC CL B								
1.0000	Sale	01/02/13	654106103 01/10/13	52.50	51.72	0.00	0.78	
10.0000	Sale	01/02/13	09/18/13	683.77	517.25	0.00	166.52	
16.0000	Sale	01/02/13	09/19/13	1,117.92	827.60	0.00	290.32	



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2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
NIKE INC CL B								
12.0000	Sale	01/02/13	09/20/13	829.93	620.70	0.00	209.23	
7.0000	Sale	01/02/13	09/23/13	484.17	362.07	0.00	122.10	
	Security Subtotal			3,168.29	2,379.34	0.00	788.95	
REGIONS FINL CORP								
44.0000	Sale	10/10/13	11/25/13	436.76	418.06	0.00	18.70	
58.0000	Sale	10/10/13	12/19/13	554.29	551.07	0.00	3.22	
55.0000	Sale	10/11/13	12/19/13	525.62	523.38	0.00	2.24	
47.0000	Sale	10/11/13	12/20/13	451.71	447.25	0.00	4.46	
16.0000	Sale	10/14/13	12/20/13	153.78	153.34	0.00	0.44	
	Security Subtotal			2,122.16	2,093.10	0.00	29.06	
STATE STREET CORP								
1.0000	Sale	01/02/13	01/10/13	50.19	48.11	0.00	2.08	
7.0000	Sale	01/02/13	11/25/13	507.31	336.77	0.00	170.54	
	Security Subtotal			557.50	384.88	0.00	172.62	
SUNGOR ENERGY INC NEW								
1.0000	Sale	01/02/13	01/10/13	34.00	32.83	0.00	1.17	
SUNTRUST BKS INC COM								
39.0000	Sale	01/02/13	10/10/13	1,280.92	1,132.04	0.00	148.88	
14.0000	Sale	01/02/13	11/25/13	514.67	406.38	0.00	108.29	
	Security Subtotal			1,795.59	1,538.42	0.00	257.17	
SYMANTEC CORP COM								
15.0000	Sale	06/19/13	11/25/13	349.34	343.07	0.00	6.27	
59.0000	Sale	06/19/13	12/19/13	1,359.17	1,349.41	0.00	9.76	
	Security Subtotal			1,708.51	1,692.48	0.00	16.03	
TESORO CORP								
5.0000	Sale	03/01/13	11/25/13	287.92	287.18	0.00	0.74	
TIME WARNER CABLE INC SHS								
1.0000	Sale	12/05/12	08/05/13	116.79	94.61	0.00	22.18	



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ULLMANN FAMILY FOUNDATION INC

2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TE CONNECTIVITY LTD								
REG SHS		CUSIP Number	H84989104					
9,000	Sale	05/23/13	11/25/13	477.71	410.92	0.00	66.79	
UNITEDHEALTH GROUP INC								
1,000	Sale	12/05/12	07/22/13	54.59	53.96	0.00	0.63	
US BANCORP (NEW)								
18,000	Sale	07/23/12	07/08/13	588.78	597.02	0.00	(8.24)	
2,000	Sale	07/23/12	07/08/13	66.73	66.44	0.00	0.29	
3,000	Sale	07/23/12	07/08/13	111.18	99.65	0.00	11.53	
19,000	Sale	07/24/12	07/08/13	704.17	633.34	0.00	70.83	
				Security Subtotal	1,397.35	0.00	73.51	
UNITED CONTL HLDGS INC								
11,000	Sale	03/01/13	11/25/13	433.32	302.74	0.00	130.58	
VERIZON COMMUNICATIONS COM								
1,000	Sale	02/06/12	07/10/13	43.32	38.00	0.00	5.32	
9,000	Sale	05/07/12	05/07/13	481.27	350.20	0.00	131.07	
15,000	Sale	05/08/12	05/08/13	802.06	608.24	0.00	193.82	
1,000	Sale	12/05/12	05/07/13	53.48	44.10	0.00	9.38	
				Security Subtotal	1,050.76	0.00	220.37	
WAL-MART STORES INC								
1,000	Sale	07/09/12	07/10/13	68.21	71.50	3.29 (W)	0.00	
WSTN DIGITAL CORP DEL								
4,000	Sale	08/13/13	11/25/13	301.59	272.85	0.00	28.74	
Covered Short Term Capital Gains and Losses Subtotal				29,601.02	25,958.75	3.29	3,645.56	
NET SHORT TERM CAPITAL GAINS AND LOSSES				29,601.02	25,958.75	3.29	3,645.56	



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2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

AGILENT TECHNOLOGIES INC		CUSIP Number	00846U101						
7 0000	Sale	10/08/12	11/25/13	373.02	270.94	0.00	102.08		
AMERICAN INTERNATIONAL GROUP INC		CUSIP Number	026874784						
18.0000	Sale	09/17/12	11/25/13	900.75	625.55	0.00	275.20		
ADT CORP SHS		CUSIP Number	00101J106						
4.0000	Sale	09/12/11	11/25/13	163.99	100.17	0.00	63.82		
ALLEGION PLC SHS		CUSIP Number	G0176J109						
5 0000	Sale	12/03/12	12/10/13	209.94	148.05	0.00	61.89		
7 0000	Sale	12/03/12	12/11/13	295.51	207.28	0.00	88.23		
	Cash/Lieu	12/04/12	12/13/13	14.07	9.92	0.00	4.15		
Security Subtotal				519.52	365.25	0.00	154.27		
AT&T INC		CUSIP Number	00206R102						
12.0000	Sale	01/03/12	11/25/13	425.68	365.49	0.00	60.19		
COMCAST CORP NEW CL A		CUSIP Number	20030N101						
5 0000	Sale	08/27/12	11/25/13	251.46	169.99	0.00	81.47		
10.0000	Sale	10/08/12	11/25/13	502.94	362.64	0.00	140.30		
Security Subtotal				754.40	532.63	0.00	221.77		
CVS CAREMARK CORP		CUSIP Number	126650100						
12.0000	Sale	07/09/12	11/25/13	802.60	566.58	0.00	236.02		
1.0000	Sale	07/09/12	11/25/13	66.88	48.91	0.00	17.97		
2 0000	Sale	07/09/12	11/25/13	133.77	99.10	0.00	34.67		
2 0000	Sale	07/10/12	11/25/13	133.77	94.37	0.00	39.40		
Security Subtotal				1,137.02	808.96	0.00	328.06		
CITIGROUP INC COM NEW		CUSIP Number	172967424						
17.0000	Sale	06/13/12	07/08/13	837.58	471.99	0.00	365.59		
29.0000	Sale	06/13/12	11/25/13	1,545.96	805.16	0.00	740.80		
26.0000	Sale	06/13/12	12/19/13	1,346.41	721.86	0.00	624.55		
Security Subtotal				3,729.95	1,999.01	0.00	1,730.94		



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2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e Quantity Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CST BRANDS INC SHS	CUSIP Number 0814944	12646R105 06/15/13	90.49	62.38	0.00	34.11	
2.0000 Sale	05/09/11	05/15/13	64.32	40.72	0.00	23.60	
Security Subtotal			760.81	103.10	0.00	57.71	
 CHUBB CORP	 CUSIP Number	 171232101					
1.0000 Sale	08/01/11	11/25/13	17.54	62.21	0.00 (Y)	14.33	
1.0000 Sale	08/01/11	11/25/13	97.19	63.20	0.00 (Y)	33.99	
2.0000 Sale	08/02/11	11/25/13	194.40	124.51	0.00	69.89	
3.0000 Sale	01/30/12	11/25/13	291.60	200.73	0.00	90.87	
Security Subtotal			660.73	451.65	0.00	209.08	
 CISCO SYSTEMS INC COM	 CUSIP Number	 17275R102					
26.0000 Sale	02/21/12	11/25/13	556.73	529.86	0.00	26.87	
1.0000 Sale	02/22/12	11/25/13	21.41	20.58	0.00 (Y)	0.83	
Security Subtotal			578.14	550.44	0.00	27.70	
 DISNEY (WALT) CO COM STK	 CUSIP Number	 254687106					
7.0000 Sale	11/13/12	11/25/13	490.22	335.77	0.00	154.45	
 E M C CORPORATION MASS	 CUSIP Number	 268648102					
19.0000 Sale	10/08/12	11/25/13	449.61	517.41	0.00	(67.80)	
 EXXON MOBIL CORP COM	 CUSIP Number	 30231G102					
22.0000 Sale	01/03/11	08/08/13	2,010.11	1,639.12	0.00	370.99	
6.0000 Sale	01/31/11	11/25/13	570.85	481.23	0.00	89.62	
Security Subtotal			2,580.96	2,120.35	0.00	460.61	
 GOLDMAN SACHS GROUP INC	 CUSIP Number	 38141G104					
5.0000 Sale	04/30/12	10/10/13	781.79	573.92	0.00	207.87	
5.0000 Sale	04/30/12	11/25/13	850.73	573.92	0.00	276.81	
Security Subtotal			1,632.52	1,147.84	0.00	484.68	
 INTL PAPER CO	 CUSIP Number	 460146103					
5.0000 Sale	06/06/11	11/25/13	234.88	148.82	0.00	86.06	



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2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
JPMORGAN CHASE & CO								
10000	Sale	04/25/11	04/25/11	45.99	44.82	0.00	1.17	
7 0000	Sale	04/25/11	08/08/13	384.36	313.72	0.00	70.64	
13 0000	Sale	04/25/11	11/25/13	751.44	582.62	0.00	168.82	
1 0000	Sale	04/25/11	11/25/13	57.80	43.58	0.00	14.22	(Y)
16 0000	Sale	02/13/12	11/25/13	924.87	610.04	0.00	314.83	
Security Subtotal				2,164.46	1,594.78	0.00	569.68	
METLIFE INC								
12 0000	COM Sale	05/14/12	11/25/13	630.63	411.21	0.00	219.42	
MERCK AND CO INC SHS								
4 0000	Sale	06/26/12	11/25/13	197.56	160.01	0.00	37.55	
9 0000	Sale	06/27/12	11/25/13	444.54	365.28	0.00	79.26	
Security Subtotal				642.10	525.29	0.00	116.81	
MARATHON PETROLEUM CORP								
3 0000	Sale	03/26/12	11/25/13	245.43	134.69	0.00	110.74	
4 0000	Sale	04/09/12	11/25/13	327.26	169.81	0.00	157.45	
Security Subtotal				572.69	304.50	0.00	268.19	
MICROSOFT CORP								
31 0000	Sale	07/02/12	11/25/13	1,167.25	943.85	0.00	223.40	
87 0000	Sale	07/02/12	12/19/13	3,156.98	2,648.87	0.00	508.11	
Security Subtotal				4,324.23	3,592.72	0.00	731.51	
TWENTY-FIRST CENTURY FOX INC CLASS A								
20 0000	Sale	08/06/12	11/25/13	667.63	418.83	0.00	248.80	
PARKER HANFIFIN CORP								
10000	Sale	04/03/12	11/25/13	89.18	70.63	0.00	18.55	
7 0000	Sale	01/03/12	11/25/13	838.52	553.20	0.00	285.32	
Security Subtotal				927.70	632.23	0.00	295.47	
PFIZER INC								
24 0000	Sale	10/08/12	11/25/13	777.83	609.17	0.00	168.66	



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1099-B 2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	CUSIP Number	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
RAYTHEON CO DELAWARE NEW									
10 0000	Sale	11/14/11	755111507	10/22/13	756.53	452.35	0.00	304.18	
6 0000	Sale	11/14/11		10/23/13	459.43	271.41	0.00	188.02	
	Security Subtotal				1,215.96	723.76	0.00	492.20	
SUNCOR ENERGY INC NEW									
14.0000	Sale	11/13/12	867224107	11/25/13	495.31	459.67	0.00	35.64	
TYCO INTL LTD NAMEN-AKT									
12.0000	Sale	09/12/11	H89128104	11/25/13	463.60	236.62	0.00	226.98	
3M COMPANY									
2 0000	Sale	06/25/12	88579Y101	11/25/13	262.61	171.61	0.00	91.00	
4 0000	Sale	06/26/12		11/25/13	525.24	344.45	0.00	180.79	
2 0000	Sale	06/26/12		12/19/13	270.72	172.23	0.00	98.49	
4 0000	Sale	06/27/12		12/19/13	541.47	348.52	0.00	192.95	
	Security Subtotal				1,600.04	1,036.81	0.00	563.23	
TRAVELERS COS INC									
7 0000	Sale	05/14/12	89417E109	11/25/13	635.59	452.35	0.00	183.24	
TIME WARNER CABLE INC									
7 0000	Sale	09/06/11	88732J207	09/06/13	616.11	436.74	0.00	179.37	
3 0000	Sale	09/06/11		06/03/13	284.45	187.16	0.00	97.29	
3 0000	Sale	09/06/11		06/04/13	284.49	187.16	0.00	97.33	
3 0000	Sale	09/07/11		06/05/13	284.15	191.30	0.00	92.85	
2 0000	Sale	09/07/11		08/05/13	233.56	127.53	0.00	106.03	
13 0000	Sale	09/12/11		08/05/13	1,518.19	790.52	0.00	727.67	
	Security Subtotal				3,220.95	1,920.38	0.00	1,300.57	
US BANCORP (NEW)									
13.0000	Sale	07/24/12	902973304	11/25/13	510.69	433.33	0.00	77.36	
4.0000	Sale	07/25/12		11/25/13	157.14	133.51	0.00	23.63	
	Security Subtotal				667.83	566.84	0.00	100.99	



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1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
VALERO ENERGY CORP NEW								
1-0000	Sale	03/15/11	09/10/13	34.64	28.01	0.00	6.60	
11.0000	Sale	03/15/11	11/25/13	490.07	282.65	0.00	207.42	
Security Subtotal				524.68	310.66	0.00	214.02	
VERIZON COMMUNICATIONS COM								
19-0000	Sale	02/06/12	09/10/13	926.30	721.98	0.00	204.32	
3-0000	Sale	02/07/12	09/10/13	146.26	113.06	0.00	32.80	
12-0000	Sale	02/07/12	09/10/13	641.01	454.09	0.00	187.01	
26-0000	Sale	02/13/12	09/10/13	1,398.24	991.43	0.00	286.81	
Security Subtotal				3,104.44	2,281.68	0.00	822.76	
WAL-MART STORES INC								
12.0000	Sale	07/09/12	931142103	963.51	858.02	0.00	105.49	
WALGREEN CO								
1-0000	Sale	09/06/11	07/10/13	38.55	34.81	0.00	3.74	
29.0000	Sale	09/06/11	10/10/13	1,607.83	1,009.41	0.00	598.42	
18.0000	Sale	09/19/11	10/10/13	997.97	663.20	0.00	334.77	
Security Subtotal				2,644.35	1,707.42	0.00	936.93	
WELLS FARGO & CO NEW DEL								
1-0000	Sale	01/03/12	07/10/13	35.10	28.38	0.00	6.72	
9.0000	Sale	01/03/12	11/25/13	400.22	255.26	0.00	144.96	
Security Subtotal				435.32	283.62	0.00	151.70	
Covered Long Term Capital Gains and Losses Subtotal				41,471.05	29,369.94	0.00	12,101.11	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

AMERISOURCEBERGEN CORP								
1-0000	Sale	11/10/08	07/10/13	48.79	13.27	0.00	20.52	
38.0000	Sale	11/10/08	09/10/13	1,912.71	580.36	0.00	1,332.36	
Security Subtotal				1,956.50	595.62	0.00	1,360.88	
BERKSHIRE HATHAWAY INC								
DEL CL B NEW								
3.0000	Sale	12/27/10	084670702	347.96	239.83	0.00	108.13	



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2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	CUSIP Number	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
10.0000	BRISTOL-MYERS SQUIBB CO Sale	12/13/10	110122108	11/25/13	532.09	263.01	0.00	269.08	
8.0000	CHEVRON CORP Sale	04/17/08	166764100	11/25/13	989.58	737.44	0.00	252.14	
13.0000	DISCOVER FINL SVCS Sale	11/15/10	254709108	11/25/13	689.67	18.99	0.00	21.54	
	Security Subtotal				730.14	265.01	0.00	465.13	
13.0000	EXXON MOBIL CORP COM Sale	12/27/10	30231G102	08/08/13	1,187.79	73.89	0.00	15.75	
	Security Subtotal				1,276.63	950.13	0.00	237.66	
31.0000	GENERAL ELECTRIC Sale	10/25/10	369604103	11/25/13	834.24	16.28	0.00	4.96	
	Security Subtotal				855.34	502.12	0.00	332.12	
11.0000	INTL PAPER CO Sale	11/09/09	460146103	11/25/13	516.71	24.92	0.00	15.01	
	Security Subtotal				556.64	274.13	0.00	242.58	
8.0000	ELI LILLY & CO Sale	09/27/10	532457108	11/25/13	412.10	299.05	0.00	257.59	
	Security Subtotal				1,845.90	1,298.43	0.00	547.47	
13.0000	LIMITED BRANDS INC Sale	05/04/10	532716107	02/04/13	743.97	445.62	0.00	215.99	
	Security Subtotal				1,475.53	486.06	0.00	387.91	
	Security Subtotal					851.69	0.00	623.84	



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ULLMANN FAMILY FOUNDATION INC

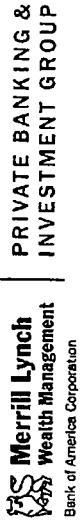
2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MARATHON OIL CORP								
1-0000	Security Sale	02/28/08	04/16/13	32.17	32.31	0.00	(0.14)	
6 0000	Sale	02/28/08	11/25/13	221.35	193.84	0.00	27.51	
5 0000	Sale	05/17/10	11/25/13	184.46	92.96	0.00	91.50	
Security Subtotal				437.98	319.11	0.00	118.87	
PFIZER INC								
18-0000	Security Sale	04/17/08	07/08/13	462.10	665.59	0.00	(203.49)	
9-0000	Sale	06/09/08	07/02/13	297.06	162.61	0.00	134.45	
3-0000	Security Sale	06/09/08	04/16/13	89.15	54.26	0.00	34.89	
15-0000	Sale	06/09/08	08/08/13	435.83	271.02	0.00	164.81	
13-0000	Sale	06/09/08	11/25/13	421.32	234.88	0.00	186.44	
Security Subtotal				1,630.46	1,088.30	0.00	542.16	
RAYTHEON CO DELAWARE NEW								
8-0000	Sale	08/09/10	10/21/13	603.70	371.61	0.00	232.09	
1-0000	Sale	08/09/10	10/22/13	75.65	46.45	0.00	29.20	
3-0000	Sale	08/10/10	10/22/13	226.95	138.35	0.00	88.60	
Security Subtotal				906.30	556.41	0.00	349.89	
UNITEDHEALTH GROUP INC								
24-0000	Security Sale	06/04/10	07/26/13	1,333.13	709.31	0.00	623.82	
13-0000	Sale	06/21/10	04/21/13	719.41	400.75	0.00	318.66	
2-0000	Security Sale	06/21/10	07/22/13	163.75	94.83	0.00	68.92	
Security Subtotal				2,215.29	1,208.39	0.00	1,006.90	
Noncovered Long Term Capital Gains and Losses Subtotal				15,756.34	9,263.83	0.00	6,492.51	
NET LONG TERM CAPITAL GAINS AND LOSSES				57,227.39	38,633.77	0.00	18,593.62	
TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES				86,828.41				
TOTAL REPORTED SALES PROCEEDS				86,828.41				

(W) This transaction has been identified as a "Wash Sale" based on IRS regulations. The Wash Sale Loss Disallowed column reflects the deferred loss amount. The cost basis of the related transaction(s) has been adjusted by the deferred loss amount from either the cost basis on purchases or proceeds from short sales. Please refer to the instructions for Form 8949 for how to report the deferred loss amount and applicable adjustment code

(Y) The gain or loss of this transaction includes an adjustment to basis for the deferred loss amount on one or more previous "Wash Sales"



BLACKROCK LCV

Account Number:

YOUR EMA TRANSACTIONS

January 01, 2014 - January 31, 2014

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
CHEVRON CORP	9.0000	06/07/10	01/08/14	1,114.35	646.34	468.01	
CHEVRON CORP	3.0000	01/03/12	01/08/14	371.46	330.64	40.82	
INTL PAPER CO	26.0000	06/06/11	01/28/14	1,192.09	773.86	418.23	
INTL PAPER CO	3.0000	11/13/12	01/28/14	137.55	103.05	34.50	
<i>Subtotal (Long-Term)</i>						961.56	961.56
TOTAL				2,815.45	1,853.89	961.56	961.56

* . Excludes transactions for which we have insufficient data

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
01/07	Journal Entry		ML CONSULTS FEE 1Q2014	629.55	
	<i>Subtotal (Other Debits/Credits)</i>			629.55	
	NET TOTAL			629.55	

YOUR EMA MONEY ACCOUNT TRANSACTIONS

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
01/02	ML BANK DEPOSIT PROGRAM		14.00	01/16	ML BANK DEPOSIT PROGRAM	369.00	
01/03	ML BANK DEPOSIT PROGRAM		32.00	01/17	ML BANK DEPOSIT PROGRAM		35.00
01/07	ML BANK DEPOSIT PROGRAM	629.00		01/23	ML BANK DEPOSIT PROGRAM		37.00
01/08	ML BANK DEPOSIT PROGRAM		11.00	01/24	ML BANK DEPOSIT PROGRAM		19.00
01/09	ML BANK DEPOSIT PROGRAM		23.00	01/27	ML BANK DEPOSIT PROGRAM		11.00
01/13	ML BANK DEPOSIT PROGRAM		7.00	01/28	ML BANK DEPOSIT PROGRAM		29.00
01/14	ML BANK DEPOSIT PROGRAM		802.00	01/31	ML BANK DEPOSIT PROGRAM		13.00
01/15	ML BANK DEPOSIT PROGRAM	344.00					
	NET TOTAL					309.00	

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BLACKROCK LCV

Account Number:

YOUR EMA TRANSACTIONS

February 01, 2014 - February 28, 2014

SECURITY TRANSACTIONS (continued)
TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
		REQUEST: WE MAKE A MKT IN ISSUE						
		ML ACTED AS AGENT CUS NO 110122108 SEC NO 11011						
		PRINCIPAL 2093.22 TRN FEE 0.04						
		Subtotal (Sales)						3,422.64
		TOTAL						3,422.64

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
BRISTOL-MYERS SQUIBB CO	8.0000	12/13/10	02/20/14	429.37	210.41	218.96	
BRISTOL-MYERS SQUIBB CO	24.0000	12/20/10	02/20/14	1,288.11	636.78	651.33	
BRISTOL-MYERS SQUIBB CO	6.0000	12/21/10	02/20/14	322.02	158.51	163.51	
BRISTOL-MYERS SQUIBB CO	1.0000	12/05/12	02/20/14	53.68	32.83	20.85	
INTL PAPER CO CXL	26.0000	06/06/11	01/28/14	1,192.09	773.86	(418.23)	
INTL PAPER CO	26.0000	06/06/11	02/04/14	1,191.92	773.86	418.06	
INTL PAPER CO	3.0000	11/13/12	01/28/14	137.55	103.05	(34.50)	
INTL PAPER CO	3.0000	11/13/12	02/04/14	137.54	103.05	34.49	
Subtotal (Long-Term)						1,054.47	2,016.03
TOTAL				3,422.64	1,915.44	1,054.47	2,016.03

* - Excludes transactions for which we have insufficient data

CXL - Indicates the cancellation of an error transaction

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BLACKROCK LCV

Account Number:

YOUR EMA TRANSACTIONS

March 01, 2014 - March 31, 2014

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
TWENTY-FIRST CENTURY	21.0000	08/06/12	03/14/14	675.64	439.77	235.87	2,251.90
Subtotal (Long-Term)						235.87	
TOTAL				675.64	439.77	235.87	2,251.90

* - Excludes transactions for which we have insufficient data

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
03/25	Fgn Div Tax		SUNCOR ENERGY INC NEW NON-RECLAIMABLE TAX PAY DATE 03/25/2014	2.04	
	Subtotal (Other Debits/Credits)			2.04	
	NET TOTAL			2.04	

YOUR EMA MONEY ACCOUNT TRANSACTIONS

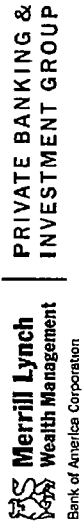
Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
03/03	ML BANK DEPOSIT PROGRAM			03/17	ML BANK DEPOSIT PROGRAM		19.00
03/04	ML BANK DEPOSIT PROGRAM	816.00	11.00	03/18	ML BANK DEPOSIT PROGRAM		18.00
03/05	ML BANK DEPOSIT PROGRAM		38.00	03/19	ML BANK DEPOSIT PROGRAM	34.00	
03/10	ML BANK DEPOSIT PROGRAM		12.00	03/26	ML BANK DEPOSIT PROGRAM		31.00
03/11	ML BANK DEPOSIT PROGRAM		88.00	03/27	ML BANK DEPOSIT PROGRAM		6.00
03/13	ML BANK DEPOSIT PROGRAM		28.00	03/31	ML BANK DEPOSIT PROGRAM		28.00
03/14	ML BANK DEPOSIT PROGRAM		24.00				
	NET TOTAL					547.00	

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PRIVATE BANKING &
INVESTMENT GROUP

BLACKROCK LCV

Account Number:

YOUR EMA TRANSACTIONS

April 01, 2014 - April 30, 2014

SECURITY TRANSACTIONS
 TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
04/28	04/23	TWENTY-FIRST CENTURY FOX INC CLASS A PER ADVISORY AGREEMENT. EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE. DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH MIL ACTED ARE AVAILABLE UPON REQUEST WE MAKE A MKT IN ISSUE MIL ACTED AS AGENT CUS NO 90130A101 SEC NO 53FH1 PRINCIPAL 1955 74 TRN FEE 0.04	Sale	-60	32.5956		1,955.70	
Subtotal (Sales)							1,955.70	
TOTAL							1,955.70	

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
TWENTY-FIRST CENTURY	57.0000	08/06/12	04/23/14	1,857.91	1,193.66	664.25	
TWENTY-FIRST CENTURY	3.0000	12/05/12	04/23/14	97.79	64.76	33.03	
Subtotal (Long-Term)						697.28	2,949.18
TOTAL				1,955.70	1,258.42	697.28	2,949.18

* - Excludes transactions for which we have insufficient data

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
04/08	Journal Entry		ML CONSULTS FEE 2Q2014	641.70	
Subtotal (Other Debits/Credits)				641.70	

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BLACKROCK LCV

Account Number:

YOUR EMA TRANSACTIONS

May 01, 2014 - May 30, 2014

SECURITY TRANSACTIONS (continued)
 TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
		PRINCIPAL 1376 02						
		Subtotal (Purchases)				1,376.02		
05/12	05/07	VALERO ENERGY CORP NEW	Sale	.11	57.4996		632.49	
		PER ADVISORY AGREEMENT EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE DETAILS REGARDING ACTUAL PRICES. REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT CUS NO 91913Y100 SEC NO 79A05 PRINCIPAL 632.50 TRN FEE 0.01						
		Subtotal (Sales)					632.49	
		TOTAL				1,376.02	632.49	

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
VALERO ENERGY CORP NEW	11 0000	03/15/11	05/07/14	632.49	282.65	349.84	
Subtotal (Long-Term)						349.84	3,299.02
TOTAL				632.49	282.65	349.84	3,299.02

* - Excludes transactions for which we have insufficient data

UNSETTLED TRADES

Trade Date	Settlement Date	Description	Symbol/Cusip	Transaction Type	Quantity	Price	Amount
05/29	06/03	AETNA INC NEW	AET	Purchase	12	77.2707	(927.25)
05/29	06/03	AGILENT TECHNOLOGIES INC	A	Sale	6	56.7392	340.43

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BLACKROCK LCV

Account Number:

YOUR EMA TRANSACTIONS

May 01, 2014 - May 30, 2014

UNSETTLED TRADES (continued)							
Trade Date	Settlement Date	Description	Symbol/ Cusip	Transaction Type	Quantity	Price	Amount
05/29	06/03	BROCADE COMMUNICATIONS	BRCD	Purchase	18	9.0537	(162.97)
05/29	06/03	CHEVRON CORP	CVX	Sale	10	121.9890	1,219.86
05/29	06/03	ELI LILLY & CO	LLY	Sale	31	59.5021	1,844.53
05/29	06/03	GENERAL ELECTRIC	GE	Sale	64	26.6387	1,704.84
05/29	06/03	HESS CORP	HES	Purchase	8	90.4914	(723.93)
05/29	06/03	HEWLETT PACKARD CO DEL	HPQ	Purchase	45	33.7289	(1,517.80)
05/29	06/03	MICROSOFT CORP	MSFT	Sale	37	39.9747	1,479.03
05/29	06/03	TOTAL SYS SVCS INC	TSS	Purchase	23	30.5803	(703.35)
05/29	06/03	UNIVERSAL HEALTH SVCS B	UHS	Purchase	8	89.8722	(718.98)
05/30	06/04	AETNA INC NEW	AET	Purchase	4	77.1708	(308.68)
05/30	06/04	AGILENT TECHNOLOGIES INC	A	Sale	6	56.4501	338.69
05/30	06/04	BROCADE COMMUNICATIONS	BRCD	Purchase	17	9.1304	(155.22)
05/30	06/04	HESS CORP	HES	Purchase	8	91.3787	(731.03)
05/30	06/04	UNIVERSAL HEALTH SVCS B	UHS	Purchase	8	90.1943	(721.55)
NET TOTAL							256.62

YOUR EMA MONEY ACCOUNT TRANSACTIONS

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
05/01	ML BANK DEPOSIT PROGRAM		59.00	05/12	ML BANK DEPOSIT PROGRAM	743.00	
05/02	ML BANK DEPOSIT PROGRAM		21.00	05/22	ML BANK DEPOSIT PROGRAM		13.00
05/05	ML BANK DEPOSIT PROGRAM		18.00	05/23	ML BANK DEPOSIT PROGRAM		12.00
05/08	ML BANK DEPOSIT PROGRAM		11.00	05/27	ML BANK DEPOSIT PROGRAM		1.00
NET TOTAL						608.00	

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FOUNDATION MAIN

Account Number.

YOUR EMA TRANSACTIONS

April 01, 2014 - April 30, 2014

DIVIDENDS/INTEREST INCOME TRANSACTIONS (continued)				Income	
Date	Transaction Type	Quantity	Description	Income	Year To Date
	Subtotal (Taxable Dividends)			1,504.33	9,730.25
	NET TOTAL			1,505.14	9,733.21

SECURITY TRANSACTIONS				Accrued Interest	
Settlement Date	Description	Transaction Type	Quantity	Unit Price	Earned/(Paid)
04/17	FACEBOOK INC	Purchase	600	59.5200	36,185.01
	CLASS A COMMON STOCK CUS NO 30303M102				
04/17	WHIRLPOOL CORP	Purchase	250	148.6849	37,629.17
	CUS NO 963320106				
	Subtotal (Purchases)				73,814.18
04/17	QUALCOMM INC	Sale	-900	78.9980	70,386.78
	CUS NO 747525103				
	Subtotal (Sales)				70,386.78
	TOTAL			73,814.18	70,386.78

REALIZED GAINS/(LOSSES)

Description		Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) *	Year to Date
QUALCOMM INC		900.0000	03/07/12	04/14/14	70,386.78	57,054.29	13,332.49	13,332.49	13,332.49
Subtotal (Long-Term)							13,332.49	13,332.49	13,332.49
TOTAL					70,386.78	57,054.29	13,332.49	13,332.49	13,332.49

* - Excludes transactions for which we have insufficient data

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
04/11	Fgn Div Tax		TOTAL S.A. SP ADR	121.55	
			NON-RECLAIMABLE TAX		

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Account No.

Taxpayer No.
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ULLMANN FAMILY FOUNDATION, INC

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3 Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

BRITISH AMN TOBACO SPADR	CUSIP Number	110448107						
75.0000 Sale	05/18/12	11/18/13		8,180.73	7,201.69	0.00	979.04	
Covered Long Term Capital Gains and Losses Subtotal				8,180.73	7,201.69	0.00	979.04	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

ANNALY CAP MGMT INC	CUSIP Number	035710409						
327.0000 Sale	11/08/07	11/12/13		3,414.41	5,726.85	0.00	(2,312.44)	
117.0000 Sale	11/09/07	11/12/13		1,221.67	2,042.00	0.00	(820.33)	
131.0000 Sale	11/09/07	11/13/13		1,387.93	2,286.34	0.00	(898.41)	
Security Subtotal				6,024.01	10,055.19	0.00	(4,031.18)	
AT&T INC	CUSIP Number	00206R102						
210.0000 Sale	10/08/08	06/05/13		7,433.32	5,225.93	0.00	2,207.39	
BAYTEX ENERGY CORP SHS	CUSIP Number	07317Q105						
200.0000 Sale	09/08/08	08/01/13		8,351.51	5,624.32	0.00	2,727.19	



ULLMANN FAMILY FOUNDATION, INC

Account No.

Taxpayer No.
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2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	SOUTHERN COPPER CORP	CUSIP Number	84265V105					
128.0000	Sale	03/05/10	07/31/13	3,375.76	3,967.66	0.00	(591.90)	
85.0000	Sale	03/05/10	08/01/13	2,233.24	2,634.77	0.00	(401.53)	
39.0000	Sale	03/05/10	08/02/13	1,029.76	1,208.90	0.00	(179.14)	
	Security Subtotal			6,638.76	7,811.33	0.00	(1,172.57)	

Noncovered Long Term Capital Gains and Losses Subtotal

(269.17)

NET LONG TERM CAPITAL GAINS AND LOSSES

709.87

OTHER TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

ENBRIDGE ENERGY PARTNERS

L.P.

CUSIP Number 29250R106

166.0000	Sale	01/22/09	09/30/13	5,033.46	2,477.94	0.00	N/C	
244.0000	Sale	01/23/09	09/30/13	7,398.59	3,722.45	0.00	N/C	
	Security Subtotal			12,432.05	6,200.39	0.00		

NATURAL RESOURCE PARTNERS

L.P.

CUSIP Number 63900P103

202.0000	Sale	03/04/05	09/06/13	4,033.98	6,176.15	0.00	N/C	
92.0000	Sale	04/17/06	09/06/13	1,837.26	2,433.86	0.00	N/C	
4.0000	Sale	08/15/06	09/06/13	79.88	110.20	0.00	N/C	
4.0000	Sale	11/15/06	09/06/13	79.88	105.50	0.00	N/C	
4.0000	Sale	02/15/07	09/06/13	79.89	124.48	0.00	N/C	
1.0000	Sale	04/18/07	09/06/13	19.97	N/A	0.00	N/A	
.4804	Sale	02/28/12	09/23/13	9.46	11.22	0.00	N/C	
	Security Subtotal			6,140.32		0.00		

PVR PARTNERS LP

CUSIP Number 693665101

196.0000	Sale	09/30/05	10/31/13	5,112.88	5,310.51	0.00	N/C	
75.0000	Sale	04/17/06	10/31/13	1,956.46	2,178.00	0.00	N/C	
3.0000	Sale	08/15/06	10/31/13	78.25	77.16	0.00	N/C	
5.0000	Sale	11/15/06	10/31/13	130.43	124.15	0.00	N/C	
4.0000	Sale	02/15/07	10/31/13	104.35	105.20	0.00	N/C	
4.0000	Sale	05/16/07	10/31/13	104.35	112.60	0.00	N/C	



Account No.

Taxpayer No.
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ULLMANN FAMILY FOUNDATION, INC

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
PVR PARTNERS LP 5,0000 2756	Sale Sale	08/15/07 09/06/12	693665101 10/31/13 11/04/13	130.44 6.95	.133.85 N/A	0.00 0.00	N/C N/A	
	<i>Security Subtotal</i>			<u>7,624.11</u>		<u>0.00</u>		

Other Transactions Subtotal

0.00

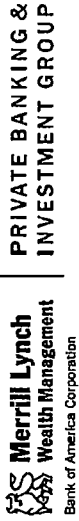
TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES
TOTAL REPORTED SALES PROCEEDS62,824.81
62,824.81

N/C Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interest, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero-coupon issues

N/A Results which cannot be calculated because of insufficient data in the Capital Gain (or Loss) Column and are not included in the Realized Capital Gain and Loss summary.

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
0.00	0.00	979.04	(269.17)



PIA INCOME

Account Number.

 24-Hour Assistance: (800) MERRILL
 Access Code: 67-225-04030

YOUR EMA TRANSACTIONS

February 01, 2014 - February 28, 2014

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
VODAFONE GROF PLC SP ADR	75.0000	11/18/08	02/06/14	2,737.60	1,431.41	1,306.19	
VODAFONE GROF PLC SP ADR	10.0000	11/19/08	02/06/14	365.01	182.38	182.63	
VODAFONE GROF PLC SP ADR	100.0000	11/25/08	02/06/14	3,650.13	1,901.58	1,748.55	
VODAFONE GROF PLC SP ADR	60.0000	03/04/09	02/06/14	2,190.09	1,040.83	1,149.26	
Subtotal (Long-Term)						4,386.63	4,386.63
TOTAL				8,942.83	4,556.20	4,386.63	4,386.63

* - Excludes transactions for which we have insufficient data

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Quantity	Description	Debit	Credit
02/05	CertIF fee		VODAFONE GROF PLC SP ADR	3.68	
	Subtotal (Other Debits/Credits)		DEPOSITORY BANK SVCE FEE	3.68	
	NET TOTAL			3.68	

YOUR EMA MONEY ACCOUNT TRANSACTIONS

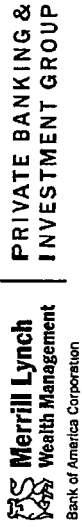
Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
02/06	ML BANK DEPOSIT PROGRAM		140.00	02/18	ML BANK DEPOSIT PROGRAM		1,826.00
02/10	ML BANK DEPOSIT PROGRAM		193.00	02/19	ML BANK DEPOSIT PROGRAM		224.00
02/12	ML BANK DEPOSIT PROGRAM		3,451.00	02/20	ML BANK DEPOSIT PROGRAM		190.00
02/13	ML BANK DEPOSIT PROGRAM			02/26	ML BANK DEPOSIT PROGRAM		136.00
02/14	ML BANK DEPOSIT PROGRAM	636.00	178.00	02/27	ML BANK DEPOSIT PROGRAM		525.00
	NET TOTAL						6,227.00

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PRIVATE BANKING &
INVESTMENT GROUP

PIA INCOME

Account Number:

24-Hour Assistance: (800) MERRILL
Access Code: 67-225-04030

March 01, 2014 - March 31, 2014

YOUR EMA TRANSACTIONS

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) *
EL PASO PIPELINE PARTNER	105 0000	08/03/11	03/26/14	3,069.62	3,672.03	N/C	Year to Date
EL PASO PIPELINE PARTNER	144 0000	10/13/11	03/26/14	4,209.78	5,293.05	N/C	
Subtotal (Long-Term)							4,386.63
TOTAL				7,279.40	8,965.08		4,386.63

* - Excludes transactions for which we have insufficient data

N/C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interests, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero-coupon issues.

UNSETTLED TRADES

Trade Date	Settlement Date	Description	Symbol/Cusip	Transaction Type	Quantity	Price	Amount
03/27	04/01	BLACKSTONE GROUP LP	BX	Purchase	350	32.2748	(11,296.18)
NET TOTAL							(11,296.18)

CASH/OTHER TRANSACTIONS

Date	Transaction Type	Description	Quantity	Debit	Credit
03/28	Certif fee	SSE PLC SPONSORED ADR		7.30	
		DEPOSITORY BANK SVCE FEE		7.30	
	Subtotal (Other Debits/Credits)			7.30	
	NET TOTAL			7.30	

YOUR EMA MONEY ACCOUNT TRANSACTIONS

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
03/05	ML BANK DEPOSIT PROGRAM		120.00	03/21	ML BANK DEPOSIT PROGRAM		289.00
03/11	ML BANK DEPOSIT PROGRAM		147.00	03/25	ML BANK DEPOSIT PROGRAM		67.00

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P/A INCOME

Account Number:

YOUR EMA TRANSACTIONS

May 01, 2014 - May 30, 2014

SECURITY TRANSACTIONS (continued) TRANSACTIONS CONDUCTED PER THE APPLICABLE WRITTEN AGREEMENT

Settlement Date	Trade Date	Description	Transaction Type	Quantity	Unit Price	Debit	Credit	Accrued Interest Earned/(Paid)
		PRICE: DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST WE MAKE A MKT IN ISSUE ML ACTED AS AGENT CUS NO 29273V100 SEC NO 8EPB5 PRINCIPAL 27002.75 TRN FEE 0.60						
05/14	05/09	GENUINE PARTS CO	Sale	-170	84.7296			14,403.71
		PER ADVISORY AGREEMENT EXECUTED 100% AGENCY PRICE SHOWN IS AVERAGE PRICE DETAILS REGARDING ACTUAL PRICES, REMUNERATION AND THE CAPACITY IN WHICH ML ACTED ARE AVAILABLE UPON REQUEST. WE MAKE A MKT IN ISSUE ML ACTED AS AGENT CUS NO 372460105 SEC NO 32133 PRINCIPAL 14404 03 TRN FEE 0.32						
		Subtotal (Sales)				40,952.90		41,405.86
		TOTAL						41,405.86

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) * Year to Date
GENUINE PARTS CO	170.0000	12/09/09	05/09/14	14,403.71	6,393.68	8,010.03	
ENERGY TRANSFER EQUITY L	550.0000	03/07/07	05/08/14	27,002.15	9,586.39	N/C	
Subtotal (Long-Term)						8,010.03	12,396.66
TOTAL				41,405.86	15,980.07	8,010.03	12,396.66

* - Excludes transactions for which we have insufficient data

N/C - Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interests, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero-coupon issues

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PIA INCOME

Account Number

24-Hour Assistance: (800) MERRILL

Access Code: 67-225-04030

May 01, 2014 - May 30, 2014

YOUR EMA TRANSACTIONS

UNSETTLED TRADES

Trade Date	Settlement Date	Description	Symbol/Cusip	Transaction Type	Quantity	Price	Amount
05/28	06/02	SUNOCO LOGISTICS PRIS LP	SXL	Sale	546	90.8301	49,592.13
05/29	06/03	EMERGE ENERGY SERVICES	EMES	Purchase	150	94.5876	(14,188.14)
05/29	06/03	GOLAR LNG LIMITED	GLNG	Purchase	105	45.6081	(4,788.85)
05/29	06/03	KINDER MORGAN INC. DEL	KMI	Purchase	140	33.5964	(4,703.50)
05/29	06/03	OCH-ZIFF CAPTL MANGMT GR	OZM	Purchase	1,090	13.2335	(14,424.52)
05/29	06/03	REYNOLDS AMERICAN INC	RAI	Purchase	80	58.7100	(4,696.80)
05/29	06/03	TARGA RESOURCES PARTNERS	NGLS	Purchase	70	66.5800	(4,660.60)
05/29	06/03	TRANSOCEAN LTD	RIG	Purchase	115	42.1766	(4,850.31)
NET TOTAL							(2,720.59)

YOUR EMA MONEY ACCOUNT TRANSACTIONS

Date	Description	Withdrawals	Deposits	Date	Description	Withdrawals	Deposits
05/01	ML BANK DEPOSIT PROGRAM		84.00	05/14	ML BANK DEPOSIT PROGRAM		14,747.00
05/06	ML BANK DEPOSIT PROGRAM		123.00	05/15	ML BANK DEPOSIT PROGRAM	14,294.00	
05/08	ML BANK DEPOSIT PROGRAM		195.00	05/16	ML BANK DEPOSIT PROGRAM		1,942.00
05/12	ML BANK DEPOSIT PROGRAM		181.00	05/20	ML BANK DEPOSIT PROGRAM		335.00
NET TOTAL							3,313.00

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PRIVATE BANKING &
INVESTMENT GROUP

Bank of America Corporation

FOUNDATION MAIN

24-Hour Assistance: (800) MERRILL
Access Code: 67-225-04018

May 01, 2014 - May 30, 2014

YOUR EMA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N.A.	17,830	18,893	05	0.78	18,930
TOTAL ML Bank Deposit Program	17,830			0.78	18,930

YOUR EMA ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	1,100 28	1,100 28		1,100.28		
+ML BANK DEPOSIT PROGRAM	18,930 00	18,930.00	1 0000	18,930.00	9	05
+FDIC INSURED NOT SIPC COVERED						
TOTAL		20,030.28		20,030.28	9	05

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Estimated Current Yield%
BANK OF AMERICA CORP	BAC	02/01/92	1,278	8.3175	10,629.78	15.1400	19,348.92	8,719.14	52	.26
		01/15/93	498	9.7451	4,853.10	15.1400	7,539.72	2,686.62	20	.26
Subtotal			1,776		15,482.88		26,888.64	11,405.76	72	.26
FACEBOOK INC	FB	04/14/14	600	60.3083	36,185.01	63.3000	37,980.00	1,794.99		
CLASS A COMMON STOCK										
INTL BUSINESS MACHINES CORP IBM	IBM	N/A	300	N/A	N/A	184.3600	55,308.00	N/A	1,320	2.38
SEADRILL LTD	SDRL	12/27/10	800	33.7313	26,985.11	38.0000	30,400.00	3,414.89	3,200	10.52
TOTAL S.A. SP ADR	TOT	12/27/10	500	53.1470	26,573.54	69.4500	34,725.00	8,151.46	1,374	3.95
WHIRLPOOL CORP	WHR	04/14/14	250	150.5166	37,629.17	143.5500	35,887.50	(1,741.67)	751	2.08
TOTAL					142,855.71		221,189.14	23,025.43	6,717	3.04

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FOUNDATION MAIN

YOUR EMA ASSETS

May 01, 2014 - May 30, 2014

RESEARCH RATINGS

Security	Symbol	BofAML Research	Morningstar	S&P
FACEBOOK INC	FB	Buy (C19)	Hold	Buy
INTL BUSINESS MACHINES	IBM	Neutral (B27)	Buy	Hold
SEADRILL LTD	SDRL	Neutral (B28)	Hold	No Coverage
TOTAL S.A SP ADR	TOT	Underperform (C37)	Hold	Buy
WHIRLPOOL CORP	WHR	Buy (B17)	No Coverage	Buy

PLEASE REFER TO THE BACK OF YOUR STATEMENT FOR A GUIDE TO BofAML AND THIRD PARTY RESEARCH RATINGS.

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
CLOUGH GLBL OPPTY'S	11,570	209,646.29	12.8000	148,096.00	(61,550.29)	209,646	(61,550)	13,190 8.90
SYMBOL: GLO Initial Purchase: 01/18/07								
Fixed Income 13% Equity 87%								

Subtotal (Fixed Income)

19,252.48

Subtotal (Equities)

128,843.52

TOTAL

209,646.29

(61,550.29)

(61,550)

13,190 8.91

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment.

Cumulative Investment Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Initial Purchase: Date of your initial investment in this fund.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

PRIVATE BANKING &
INVESTMENT GROUP

FOUNDATION MAIN

24-Hour Assistance: (800) MERRILL

Access Code: 67-225-04018

May 01, 2014 - May 30, 2014

YOUR EMA ASSETS

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	372,532.28	389,315.42	(38,524.86)		19,916	5.12

Notes

Total values exclude N/A items

YOUR EMA TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS				Income	Income	Income
Date	Transaction Type	Quantity	Description		Year To Date	
05/30	* Bank Interest		BANK DEPOSIT INTEREST	.78		
05/30	* Dividend		CLOUGH GLBL OPPTY S HOLDING 11570 0000 PAY DATE 05/30/2014	.78 1,099.15		3.74
Subtotal (Taxable Dividends)				1,099.15	10,829.40	
NET TOTAL				1,099.93	10,833.14	

REALIZED GAINS/(LOSSES)

Description	Quantity	Acquired Date	Liquidation Date	Sale Amount	Cost Basis	This Statement	Gains/(Losses) *	Year to Date
Subtotal (Long-Term)								13,332.49
TOTAL								13,332.49

* - Excludes transactions for which we have insufficient data

YOUR EMA MONEY ACCOUNT TRANSACTIONS

Date	Description	Withdrawals	Deposits	Date	Description
05/01	ML BANK DEPOSIT PROGRAM		1,100.00		

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BLACKROCK LCV

MERRILL LYNCH CONSULTS SERVICE

May 01, 2014 - May 30, 2014

YOUR INVESTMENT MANAGER - BLACKROCK LARGE CAP VALUE

To assist in monitoring and evaluating your portfolio's performance, the Asset Information and Measurement Service (AIM) has been delivered to you on a quarterly basis each year. Should you have any questions regarding this report, please contact your Financial Advisor

YOUR EMA BANK DEPOSIT INTEREST SUMMARY

<i>Money Account Description</i>	<i>Opening Balance</i>	<i>Average Deposit Balance</i>	<i>Current Yield%</i>	<i>Interest on Deposits</i>	<i>Closing Balance</i>
FIA Card Services, N.A.	5,998	5,658	.05	0 24	5,390
TOTAL ML Bank Deposit Program	5,998			0 24	5,390

YOUR EMA ASSETS

<i>CASH/MONEY ACCOUNTS Description</i>	<i>Quantity</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Estimated Annual Income</i>	<i>Est. Annual Yield%</i>
CASH	0 69	0.69		.69		
+ML BANK DEPOSIT PROGRAM	5,390.00	5,390 00	1.0000	5,390.00	3	.05
+FDIC INSURED NOT SIPC COVERED						
TOTAL		5,390 69		5,390.69	3	.05

<i>EQUITIES Description</i>	<i>Symbol</i>	<i>Acquired</i>	<i>Quantity</i>	<i>Unit Cost Basis</i>	<i>Total Cost Basis</i>	<i>Estimated Market Price</i>	<i>Estimated Market Value</i>	<i>Unrealized Gain/(Loss)</i>	<i>Estimated Current Annual Income</i>	<i>Yield%</i>
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BLACKROCK LCV

YOUR EMA ASSETS

May 01, 2014 - May 30, 2014

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
ADT CORP SHS	ADT	09/12/11 11/07/11	8 7	25.0412 29.0614	200.33 203.43	32.2000 32.2000	257.60 225.40	57.27 21.97	7 2.48 6 2.48
		11/08/11	3	29.5600	88.68	32.2000	96.60	7.92	3 2.48
		01/03/12	7	30.4457	213.12	32.2000	225.40	12.28	6 2.48
		12/05/12	1	43.4500	43.45	32.2000	32.20	(11.25)	1 2.48
Subtotal			26		749.01		837.20	88.19	23 2.48
AETNA INC NEW	AET	09/18/13 09/19/13	2 10	66.6500 67.0100	133.30 670.10	77.5500 77.5500	155.10 775.50	21.80 105.40	2 1.16 9 1.16
		09/20/13	15	65.0226	975.34	77.5500	1,163.25	187.91	14 1.16
Subtotal			27		1,778.74		2,093.85	315.11	25 1.16
AGILENT TECHNOLOGIES INC	A	10/08/12	30	38.7053	1,161.16	56.9400	1,708.20	547.04	16 92
AMERICAN INTERNATIONAL GROUP INC	AIG	09/17/12 10/08/12	34 42	34.7523 35.6500	1,181.58 1,497.30	54.0700 54.0700	1,838.38 2,270.94	656.80 773.64	17 92 21 92
Subtotal			76		2,678.88		4,109.32	1,430.44	38 92
AT&T INC	T	01/03/12 12/05/12	44 1	30.4575 34.2800	1,340.13 34.28	35.4700 35.4700	1,560.68 35.47	220.55 1.19	81 5.18 2 5.18
Subtotal			45		1,374.41		1,596.15	221.74	83 5.18
BERKSHIRE HATHAWAY INC DEL CL B NEW	BRKB	12/27/10	12	79.9416	959.30	128.3400	1,540.08	580.78	
BP PLC SPON ADR	BP	01/08/14 01/09/14	7 7	48.7042 49.0171	340.93 343.12	50.4500 50.4500	353.15 353.15	12.22 10.03	17 4.63 17 4.63
		01/10/14	7	49.1442	344.01	50.4500	353.15	9.14	17 4.63
		01/13/14	8	48.6962	389.57	50.4500	403.60	14.03	19 4.63
Subtotal			29		1,417.63		1,463.05	45.42	70 4.63
CHEVRON CORP	CVX	01/03/12 01/04/12	19 2	110.2126 109.8700	2,094.04 219.74	122.7900 122.7900	2,333.01 245.58	238.97 25.84	82 3.48 9 3.48
		12/05/12	1	105.5900	105.59	122.7900	122.79	17.20	5 3.48
Subtotal			22		2,419.37		2,701.38	282.01	96 3.48

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BLACKROCK LCV

YOUR EMA ASSETS

May 01, 2014 - May 30, 2014

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
CHUBB CORP	CB	01/30/12	10	66.9110	669.11	92.6600	926.60	257.49	20 2.15
		01/31/12	3	67.4266	202.28	92.6600	277.98	75.70	6 2.15
		03/19/12	11	69.0554	759.61	92.6600	1,019.26	259.65	22 2.15
		12/05/12	1	77.8200	77.82	92.6600	92.66	14.84	2 2.15
Subtotal			25		1,708.82		2,316.50	607.68	50 2.15
CISCO SYSTEMS INC COM	CSCO	06/21/13	57	24.3414	1,387.46	24.6200	1,403.34	15.88	44 3.08
		08/05/13	43	26.3723	1,134.01	24.6200	1,058.66	(75.35)	33 3.08
		08/08/13	46	26.2550	1,207.73	24.6200	1,132.52	(75.21)	35 3.08
Subtotal			146		3,729.20		3,594.52	(134.68)	112 3.08
CITIGROUP INC COM NEW	C	06/13/12	34	27.7641	943.98	47.5700	1,617.38	673.40	2 .08
		06/25/12	19	26.6905	507.12	47.5700	903.83	396.71	1 .08
		06/26/12	12	26.7383	320.86	47.5700	570.84	249.98	1 .08
		06/27/12	16	27.0718	433.15	47.5700	761.12	327.97	1 .08
Subtotal			81		2,205.11		3,853.17	1,648.06	5 .08
COMCAST CORP NEW CL A	CMCSA	10/08/12	36	36.2644	1,305.52	52.2000	1,879.20	573.68	33 1.72
		10/31/12	18	37.4300	673.74	52.2000	939.60	265.86	17 1.72
		12/05/12	3	37.0366	111.11	52.2000	156.60	45.49	3 1.72
Subtotal			57		2,090.37		2,975.40	885.03	53 1.72
CVS CAREMARK CORP	CVS	07/10/12	9	47.1844	424.66	78.3200	704.88	280.22	10 1.40
		07/10/12	1	47.3400	47.34	78.3200	78.32	30.98	2 1.40
		07/11/12	11	46.8645	515.51	78.3200	861.52	346.01	13 1.40
		07/12/12	10	47.4670	474.67	78.3200	783.20	308.53	11 1.40
		07/13/12	10	47.9770	479.77	78.3200	783.20	303.43	11 1.40
		07/16/12	11	47.9754	527.73	78.3200	861.52	333.79	13 1.40
		10/08/12	13	48.7384	633.60	78.3200	1,018.16	384.56	15 1.40
Subtotal			65		3,103.28		5,090.80	1,987.52	75 1.40

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BLACKROCK LCV

YOUR EMA ASSETS

May 01, 2014 - May 30, 2014

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
DISCOVER FINL SVCS	DFS	11/15/10 11/16/10 12/05/12	18 30 1	18.9294 18.2426 41.0000	340.73 547.28 41.00	59.1300 59.1300 59.1300	1,064.34 1,773.90 59.13	723.61 1,226.62 18.13	18 29 1	1.62 1.62 1.62
Subtotal			49		929.01		2,897.37	1,968.36	48	1.62
DISNEY (WALT) CO COM STK	DIS	11/13/12 12/05/12 01/02/13	18 1 12	47.9666 49.9000 50.8641	863.40 49.90 610.37	84.0100 84.0100 84.0100	1,512.18 84.01 1,008.12	648.78 34.11 397.75	16 1 11	1.02 1.02 1.02
Subtotal			31		1,523.67		2,604.31	1,080.64	28	1.02
DOW CHEMICAL CO	DOW	10/21/13	40	41.2975	1,651.90	52.1200	2,084.80	432.90	60	2.83
E M C CORPORATION MASS	EMC	10/08/12 10/08/12 10/08/12 12/03/12 12/05/12 02/26/14	52 3 2 24 1 31	27.2321 27.2600 26.7700 24.8079 25.0300 26.3303	1,416.07 81.78 53.54 595.39 25.03 816.24	26.5600 26.5600 26.5600 26.5600 26.5600 26.5600	1,381.12 79.68 53.12 637.44 26.56 823.36	(34.95) (2.10) (0.42) 42.05 1.53 7.12	24 2 1 12 1 15	1.73 1.73 1.73 1.73 1.73 1.73
Subtotal			113		2,988.05		3,001.28	13.23	55	1.73
ELI LILLY & CO	LLY	09/27/10 12/05/12	30 1	36.0676 49.5400	1,082.03 49.54	59.8600 59.8600	1,795.80 59.86	713.77 10.32	59 2	3.27 3.27
Subtotal			31		1,131.57		1,855.66	724.09	61	3.27
EXXON MOBIL CORP COM	XOM	01/31/11 02/07/11 07/16/12 12/19/13	3 12 10 23	80.2033 83.8708 85.0140 99.2965	240.61 1,006.45 850.14 2,283.82	100.5300 100.5300 100.5300 100.5300	301.59 1,206.36 1,005.30 2,312.19	60.98 199.91 155.16 28.37	9 34 28 64	2.74 2.74 2.74 2.74
Subtotal			48		4,381.02		4,825.44	444.42	135	2.74

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BLACKROCK LCV

YOUR EMA ASSETS

May 01, 2014 - May 30, 2014

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
GENERAL ELECTRIC	GE	10/25/10 04/04/11 04/05/11 12/05/12 02/04/13	25 15 19 1 72 132	16.1976 20.5580 20.4821 21.2900 22.3822	404.94 308.37 389.16 21.29 1,611.52 2,735.28	26.7900 26.7900 26.7900 26.7900 26.7900	669.75 401.85 509.01 26.79 1,928.88 3,536.28	264.81 93.48 119.85 5.50 317.36 801.00		22 3.28 14 3.28 17 3.28 1 3.28 64 3.28 118 3.28
Subtotal										
GENWORTH FINL INC COM CLA	GNW	12/19/13	89	15.3217	1,363.64	16.9900	1,512.11	148.47		
GOLDMAN SACHS GROUP INC	GS	04/30/12 05/01/12 09/10/12	4 11 6 21	114.7850 115.5954 115.5783	459.14 1,271.55 693.47 2,424.16	159.8100 159.8100 159.8100	639.24 1,757.91 958.86 3,356.01	180.10 486.36 265.39 931.85		9 1.37 25 1.37 14 1.37 48 1.37
Subtotal										
HALLIBURTON COMPANY	HAL	08/08/13	38	46.2505	1,757.52	64.6400	2,456.32	698.80		23 .92
INGERSOLL-RAND PLC	IR	12/03/12 12/04/12	36 1 37	38.3950 39.1500	1,382.22 39.15 1,421.37	59.8200 59.8200	2,153.52 59.82 2,213.34	771.30 20.67 791.97		36 1.67 1 1.67 37 1.67
Subtotal										
INTL PAPER CO	IP	11/13/12 11/13/12 12/03/12 12/05/12	14 3 16 2 35	34.3492 34.3500 37.0475 36.0300	480.89 103.05 592.76 72.06 1,248.76	47.6300 47.6300 47.6300 47.6300	666.82 142.89 762.08 95.26 1,667.05	185.93 39.84 169.32 23.20 418.29		20 2.93 5 2.93 23 2.93 3 2.93 51 2.93
Subtotal										
JPMORGAN CHASE & CO	JPM	02/13/12 04/02/12 06/25/12 06/26/12 06/27/12 06/28/12	43 36 14 9 9 4 115	38.1279 46.0180 35.1521 35.7700 36.4411 35.4175	1,639.50 1,656.65 492.13 321.93 327.97 141.67 4,579.85	55.5700 55.5700 55.5700 55.5700 55.5700 55.5700	2,389.51 2,000.52 777.98 500.13 500.13 222.28 6,390.55	750.01 343.87 285.85 178.20 172.16 80.61 1,810.70		69 2.87 58 2.87 23 2.87 15 2.87 15 2.87 7 2.87 187 2.87
Subtotal										

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BLACKROCK LCV

YOUR EMA ASSETS

May 01, 2014 - May 30, 2014

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
LOWE'S COMPANIES INC	LOW	12/19/13	64	48.4268	3,099.32	47.0800	3,013.12	(86.20)	59	1.95
MACYS INC	M	07/08/13	25	50.2292	1,255.73	59.8900	1,497.25	241.52	32	2.08
MARATHON OIL CORP	MRO	05/17/10 07/11/11	24 27	18.5920 31.9755	446.21 863.34	36.6600 36.6600	879.84 989.82	433.63 126.48	19 21	2.07 2.07
Subtotal			51		1,309.55		1,869.66	560.11	40	2.07
MARATHON PETROLEUM CORP	MPC	04/09/12 12/05/12	24 2	42.4529 58.0600	1,018.87 116.12	89.3900 89.3900	2,145.36 178.78	1,126.49 62.66	41 4	1.87 1.87
Subtotal			26		1,134.99		2,324.14	1,189.15	45	1.87
MEDTRONIC INC COM	MDT	08/05/13 08/06/13 08/07/13 08/08/13	5 14 5 15	55.3760 55.3857 55.1280 55.5453	276.88 775.40 275.64 833.18	61.0300 61.0300 61.0300 61.0300	305.15 854.42 305.15 915.45	28.27 79.02 29.51 82.27	6 16 6 17	1.83 1.83 1.83 1.83
Subtotal			39		2,161.10		2,380.17	219.07	45	1.83
MERCK AND CO INC SHS	MRK	06/27/12 06/28/12 10/08/12 12/05/12	7 11 32 2	40.5871 40.3736 46.2596 44.5750	284.11 444.11 1,480.31 89.15	57.8600 57.8600 57.8600 57.8600	405.02 636.46 1,851.52 115.72	120.91 192.35 371.21 26.57	13 20 57 4	3.04 3.04 3.04 3.04
Subtotal			52		2,297.68		3,008.72	711.04	94	3.04
METLIFE INC COM	MET	05/14/12 05/15/12 05/15/12 05/21/12	10 9 1 30	34.2670 33.5722 34.4500 30.6736	342.67 302.15 34.45 920.21	50.9300 50.9300 50.9300 50.9300	509.30 458.37 50.93 1,527.90	166.63 156.22 16.48 607.69	14 13 2 42	2.74 2.74 2.74 2.74
Subtotal			50		1,599.48		2,546.50	947.02	71	2.74
MICROSOFT CORP	MSFT	07/03/12 07/16/12	1 36	30.7400 29.3536	30.74 1,056.73	40.9400 40.9400	40.94 1,473.84	10.20 417.11	2 41	2.73 2.73
Subtotal			37		1,087.47		1,514.78	427.31	43	2.73

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BLACKROCK LCV

YOUR EMA ASSETS

May 01, 2014 - May 30, 2014

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
NETAPP INC	NTAP	08/05/13	25	41.5420	1,038.55	37.0100	925.25	(113.30)		17 1.78
		08/08/13	18	42.4088	763.36	37.0100	666.18	(97.18)		12 1.78
		08/09/13	10	42.9070	429.07	37.0100	370.10	(58.97)		7 1.78
Subtotal			53		2,230.98		1,961.53	(269.45)		36 1.78
PARKER HANNIFIN CORP	PH	01/03/12	6	79.0300	474.18	125.2300	751.38	277.20		12 1.53
		01/23/12	18	81.2683	1,462.83	125.2300	2,254.14	791.31		35 1.53
		12/05/12	1	83.0300	83.03	125.2300	125.23	42.20		2 1.53
Subtotal			25		2,020.04		3,130.75	1,110.71		49 1.53
PFIZER INC	PFE	10/08/12	146	25.3821	3,705.80	29.6300	4,325.98	620.18		152 3.51
SCHLUMBERGER LTD	SLB	11/29/13	24	88.9116	2,133.88	104.0400	2,496.96	363.08		39 1.53
STATE STREET CORP	STT	01/02/13	31	48.1103	1,491.42	65.2700	2,023.37	531.95		38 1.83
SUNCOR ENERGY INC NEW	SU	11/13/12	48	32.8331	1,575.99	38.5300	1,849.44	273.45		41 2.16
		11/13/12	3	32.8733	98.62	38.5300	115.59	16.97		3 2.16
		12/03/12	15	32.6246	489.37	38.5300	577.95	88.58		13 2.16
Subtotal			66		2,163.98		2,542.98	379.00		57 2.16
SUNTRUST BKS INC COM	STI	01/02/13	6	29.0266	174.16	38.3200	229.92	55.76		5 2.08
		02/04/13	51	28.7364	1,465.56	38.3200	1,954.32	488.76		41 2.08
Subtotal			57		1,639.72		2,184.24	544.52		46 2.08
TE CONNECTIVITY LTD REG.SHS	TEL	05/23/13	7	45.6585	319.61	59.4600	416.22	96.61		9 1.95
		05/24/13	1	45.3100	45.31	59.4600	59.46	14.15		2 1.95
		06/21/13	17	45.3282	770.58	59.4600	1,010.82	240.24		20 1.95
		08/08/13	8	50.7325	405.86	59.4600	475.68	69.82		10 1.95
Subtotal			33		1,541.36		1,962.18	420.82		41 1.95
TESORO CORP	TSO	03/01/13	20	57.4365	1,148.73	56.2000	1,124.00	(24.73)		20 1.77
TRAVELERS COS INC	TRV	05/14/12	19	64.6215	1,227.81	93.4500	1,775.55	547.74		42 2.35
		05/15/12	9	64.3944	579.55	93.4500	841.05	261.50		20 2.35
Subtotal			28		1,807.36		2,616.60	809.24		62 2.35

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BLACKROCK LCV

YOUR EMA ASSETS

May 01, 2014 - May 30, 2014

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income	Yield%
TYCO INTL LTD NAMEN-AKT	TYC	09/12/11	11	19 7181	216.90	43.6400	480.04	263.14	8	1.64
		11/07/11	14	22.7914	319.08	43.6400	610.96	291.88	11	1.64
		11/08/11	7	23 1657	162.16	43.6400	305.48	143.32	6	1.64
		01/03/12	14	23.8500	333.90	43.6400	610.96	277.06	11	1.64
		12/05/12	1	28.6300	28.63	43.6400	43.64	15.01	1	1.64
Subtotal			47		1,060.67		2,051.08	990.41	37	1.64
UNITED CONTL HLDGS INC	UAL	03/01/13	40	27.5217	1,100.87	44.3700	1,774.80	673.93		
UNITEDHEALTH GROUP INC	UNH	12/19/13	36	72 2919	2,602.51	79.6300	2,866.68	264.17	41	1.40
US BANCORP (NEW)	USB	07/25/12	18	33.3772	600.79	42 1900	759.42	158.63	17	2.18
		09/10/12	23	33.9126	779.99	42 1900	970.37	190.38	22	2.18
		10/31/12	24	33.1995	796.79	42 1900	1,012.56	215.77	23	2.18
Subtotal			65		2,177.57		2,742.35	564.78	62	2.18
VALERO ENERGY CORP NEW	VLO	03/15/11	6	25.6950	154.17	56.0500	336.30	182.13	6	1.78
		05/09/11	25	25 1580	628.95	56 0500	1,401.25	772.30	25	1.78
		12/05/12	2	28.8850	57.77	56 0500	112.10	54.33	2	1.78
Subtotal			33		840.89		1,849.65	1,008.76	33	1.78
WAL-MART STORES INC	WMT	07/09/12	4	71 5025	286.01	76.7700	307.08	21.07	8	2.50
		07/09/12	1	71.9400	71.94	76.7700	76.77	4.83	2	2.50
		07/09/12	1	72.2300	72.23	76.7700	76.77	4.54	2	2.50
		07/10/12	5	71.8240	359.12	76.7700	383.85	24.73	10	2.50
		07/11/12	4	72.3100	289.24	76.7700	307.08	17.84	8	2.50
		07/12/12	4	72.1900	288.76	76.7700	307.08	18.32	8	2.50
		01/02/13	25	68 9352	1,723.38	76 7700	1,919.25	195.87	48	2.50
Subtotal			44		3,090.68		3,377.88	287.20	86	2.50
WELLS FARGO & CO NEW DEL	WFC	01/03/12	33	28.3624	935.96	50 7800	1,675.74	739.78	47	2.75
		12/05/12	1	33.0800	33.08	50.7800	50.78	17.70	2	2.75
		05/07/14	28	49 1435	1,376.02	50.7800	1,421.84	45.82	40	2.75
Subtotal			62		2,345.06		3,148.36	803.30	89	2.75

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PRIVATE BANKING &
INVESTMENT GROUP

BLACKROCK LCV

YOUR EMA ASSETS

May 01, 2014 - May 30, 2014

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
WHIRLPOOL CORP	WHR	02/07/14	5	136.4020	682.01	143.5500	717.75	35.74	15 2.08
		02/10/14	5	138.2340	691.17	143.5500	717.75	26.58	15 2.08
		03/14/14	5	141.9540	709.77	143.5500	717.75	7.98	15 2.08
Subtotal			15		2,082.95		2,153.25	70.30	45 2.08
WSTN DIGITAL CORP DEL	WDC	08/13/13	19	68.2121	1,296.03	87.8500	1,669.15	373.12	31 1.82
3M COMPANY	MMM	06/27/12	1	87.1300	87.13	142.5500	142.55	55.42	4 2.39
		06/28/12	3	86.4666	259.40	142.5500	427.65	168.25	11 2.39
		12/03/12	16	90.5118	1,448.19	142.5500	2,280.80	832.61	55 2.39
Subtotal			20		1,794.72		2,851.00	1,056.28	70 2.39
TOTAL					105,731.62		139,287.27	33,555.65	2,960 2.13

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	111,122.31	144,677.96	33,555.65		2,962	2.05

Notes

♦Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale".

YOUR EMA TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS				Income	Income
Date	Transaction Type	Quantity	Description	Year To Date	Income
05/30	* Bank Interest		BANK DEPOSIT INTEREST	.24	
	Subtotal (Taxable Interest)			.24	.86
05/01	* Dividend		AT&T INC	20.70	
			HOLDING 45.0000		
			PAY DATE 05/01/2014		
05/02	* Dividend		CVS CAREMARK CORP	17.88	
			HOLDING 65.0000		

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PIA INCOME

24-Hour Assistance: (800) MERRILL
 Access Code: 67-225-04030
 May 01, 2014 - May 30, 2014

ACCOUNT INVESTMENT OBJECTIVE

TOTAL RETURN: Objective is to strike a balance between current income and growth. Despite the relatively balanced nature of the portfolio, the investor should be willing to assume the risk of price volatility and principal loss

If you have changes to your investment objective, please contact your Private Wealth Advisor(s).

YOUR EMA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N A	4,048	6,215	05	0.25	7,361
TOTAL ML Bank Deposit Program	4,048			0.25	7,361

YOUR EMA ASSETS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	349.62	349.62		349.62		
+ML BANK DEPOSIT PROGRAM	7,361.00	7,361.00	1 0000	7,361.00	4	.05
+FDIC INSURED NOT SIPC COVERED						
TOTAL		7,710.62		7,710.62	4	.05

EQUITIES Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Annual Income	Estimated Current Yield%
ALLIANCE RESRC PTNRS LP	ARLP	03/04/05	154	39.5500	6,090.70	90.9500	14,006.30	7,915.60	754	5.37
		04/07/06	8	37.2400	297.92	90.9500	727.60	429.68	40	5.37
		04/17/06	50	36.1400	1,807.00	90.9500	4,547.50	2,740.50	245	5.37
		07/31/06	20	36.1480	722.96	90.9500	1,819.00	1,096.04	98	5.37
		08/15/06	3	37.7433	113.23	90.9500	272.85	159.62	15	5.37
		11/15/06	3	34.9733	104.92	90.9500	272.85	167.93	15	5.37

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PIA INCOME

YOUR EMA ASSETS

May 01, 2014 - May 30, 2014

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
ALLIANCE RESRC PTNRS LP	ARLP	02/15/07	4	35.5000	142.00	90.9500	363.80	221.80	20 5.37
		05/16/07	3	40.6000	121.80	90.9500	272.85	151.05	15 5.37
		08/15/07	4	36.0000	144.00	90.9500	363.80	219.80	20 5.37
(.0971 FRACTIONAL SHARE)		02/28/12		47.1678	4.58	90.9500	8.83	4.25	1 5.37
Subtotal			249.0971		8,304		22,655.38	13,106.27	1,223 5.37
APOLLO GLOBAL MGMT LLC CL A SHARES	APO	08/15/13	415	28.7052	11,402	24.8300	10,304.45	(1,608.21)	1,764 17.11
ATLAS PIPELINE PRTNRS LP UNITS	APL	11/01/13	250	38.4894	9,313	32.6000	8,150.00	(1,472.35)	620 7.60
BCE INC	BCE	10/07/11	195	37.3381	7,280.93	45.9300	8,956.35	1,675.42	440 4.90
BLACKSTONE GROUP LP COM UNIT REPSGT LP	BX	03/27/14	350	32.2748	11,296.18	31.0800	10,878.00	(418.18)	487 4.47
BUCKEYE PARTNERS LP	BPL	12/09/09	125	52.8272	4,113	78.4600	9,807.50	3,204.10	550 5.60
CME GROUP INC	CME	05/09/14	205	69.4283	14,232.82	72.0000	14,760.00	527.18	386 2.61
DUPONT FABROS TECHNOLOGY	DFT	09/09/13	255	23.6296	6,025.55	25.5700	6,520.35	494.80	357 5.47
ENSCO PLC SHS CL A	ESV	05/09/14	245	50.7220	12,426.89	52.6600	12,901.70	474.81	674 5.22
ENTERPRISE PRDTS PRTN LP	EPD	03/04/05	72	27.1400	1,954.08	74.8200	5,387.04	3,432.96	205 3.79
		04/17/06	91	24.8600	2,262.26	74.8200	6,808.62	4,546.36	259 3.79
		08/15/06	5	25.4280	127.14	74.8200	374.10	246.96	15 3.79
		11/13/06	6	26.2400	157.44	74.8200	448.92	291.48	18 3.79
		02/14/07	5	28.4680	142.34	74.8200	374.10	231.76	15 3.79
		05/17/07	5	30.6920	153.46	74.8200	374.10	220.64	15 3.79
		08/20/07	6	27.8200	166.92	74.8200	448.92	282.00	18 3.79
		01/21/09	31	22.0883	684.74	74.8200	2,319.42	1,634.68	89 3.79
		01/22/09	54	22.1092	1,193.90	74.8200	4,040.28	2,846.38	154 3.79
(.3838 FRACTIONAL SHARE)		07/12/12		35.2266	13.52	74.8200	28.72	15.20	2 3.79
Subtotal			275.3838		325		20,604.22	13,748.42	790 3.79

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PIA INCOME

24-Hour Assistance: (800) MERRILL

Access Code: 67-225-04030

May 01, 2014 - May 30, 2014

YOUR EMA ASSETS

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
EPR PPTVS	EPR	03/04/05	142	40.7813	5,790.95	53.9200	7,656.64	1,865.69	486 6.34
COM SH BEN INT		04/17/06	48	38.1629	1,831.82	53.9200	2,588.16	756.34	165 6.34
		07/17/06	3	40.3100	120.93	53.9200	161.76	40.83	11 6.34
		10/16/06	2	51.3900	102.78	53.9200	107.84	5.06	7 6.34
		01/17/07	2	58.8400	117.68	53.9200	107.84	(9.84)	7 6.34
		04/17/07	3	60.6900	182.07	53.9200	161.76	(20.31)	11 6.34
(.1522 FRACTIONAL SHARE)		N/A		N/A	N/A		8.21	N/A	1 6.34
Subtotal			200.1522		8,146.23		10,792.21	2,637.77	688 6.34
GOLAR LNG LIMITED	GLNG	07/16/12	150	38.6660	5,799.90	46.5500	6,982.50	1,182.60	270 3.86
KINDER MORGAN ENERGY PARTNERS LP	KMP	12/09/09	155	57.6900	703	76.0500	11,787.75	2,845.80	856 7.25
KKR & CO LP DEL	KKR	05/12/14	625	22.8691	14,293.19	22.7300	14,206.25	(86.94)	975 6.86
LEXINGTON REALTY TRUST REIT	LXP	08/20/13	500	11.9213	5,960.66	11.3500	5,675.00	(285.66)	330 5.81
LOCKHEED MARTIN CORP	LMT	01/27/12	50	82.2254	4,111.27	163.6500	8,182.50	4,071.23	266 3.25
LORILLARD INC	LO	02/06/12	240	37.3483	8,963.60	62.1700	14,920.80	5,957.20	591 3.95
MAGELLAN MIDSTREAM PARTNERS LP	MMP	03/04/05	372	15.6250	5,812.51	81.8800	30,459.36	24,646.85	912 2.99
		04/17/06	100	16.5050	1,650.50	81.8800	8,188.00	6,537.50	245 2.99
		08/15/06	6	18.1000	108.60	81.8800	491.28	382.68	15 2.99
		11/15/06	8	19.0250	152.20	81.8800	655.04	502.84	20 2.99
		02/15/07	6	21.7500	130.50	81.8800	491.28	360.78	15 2.99
		05/16/07	6	24.8700	149.22	81.8800	491.28	342.06	15 2.99
		08/15/07	8	21.6000	172.80	81.8800	655.04	482.24	20 2.99
		10/12/12	1	44.1500	44.15	81.8800	81.88	37.73	3 2.99
		02/28/12		45.8722	5.89	81.8800	10.51	4.62	1 2.99
(.1284 FRACTIONAL SHARE) Subtotal			507.1284		4,587		41,523.67	33,297.30	1,246 2.99

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PIA INCOME

YOUR EMA ASSETS

May 01, 2014 - May 30, 2014

Equities (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
NGL ENERGY PARTNERS LP	NGL	10/01/13	200	31.3406	6,131	40.0000	8,000.00	1,731.88	441 5.51
COM UNIT REPSTG LTD PARTNER INT									
OMEGA HEALTHCARE INVS REIT	OHI	06/17/13	340	32.1970	10,947.00	36.8900	12,542.60	1,595.60	680 5.42
PFIZER INC	PFE	09/02/10	460	16.3683	7,529.46	29.6300	13,629.80	6,100.34	479 3.51
PHILIP MORRIS INTL INC	PM	11/04/10	155	60.6032	9,393.51	88.5400	13,723.70	4,330.19	583 4.24
PLAINS ALL AMERN PIPL LP	PAA	04/10/06	168	20.9423	3,518.32	56.4700	9,486.96	5,968.64	424 4.46
		04/17/06	70	22.2350	1,556.45	56.4700	3,952.90	2,396.45	177 4.46
		04/17/06	88	20.9920	1,847.30	56.4700	4,969.36	3,122.06	222 4.46
		08/15/06	4	23.4000	93.60	56.4700	225.88	132.28	11 4.46
		08/15/06	6	17.4500	104.70	56.4700	338.82	234.12	16 4.46
		11/15/06	6	24.1750	145.05	56.4700	338.82	193.77	16 4.46
		11/15/06	6	24.9000	149.40	56.4700	338.82	189.42	16 4.46
		N/A	2	N/A	N/A	56.4700	112.94	N/A	6 4.46
		02/15/07	10	27.1700	271.70	56.4700	564.70	293.00	26 4.46
		05/16/07	10	30.6000	306.00	56.4700	564.70	258.70	26 4.46
		08/15/07	12	28.4750	341.70	56.4700	677.64	335.94	31 4.46
(2631 FRACTIONAL SHARE)		02/28/12		59.0649	15.54	56.4700	14.86	(0.68)	1 4.46
(2631 FRACTIONAL SHARE)		N/A		N/A	N/A	56.4700	14.86	N/A	1 4.46
Subtotal			382.5262		3,119		21,601.26	13,123.70	973 4.46
REYNOLDS AMERICAN INC	RAI	11/21/13	85	50.8756	4,324.43	59.6300	5,068.55	744.12	228 4.49
SEADRILL LTD	SDRL	11/05/10	120	32.9825	3,957.90	38.0000	4,560.00	602.10	480 10.52
		05/11/11	175	33.3094	5,829.16	38.0000	6,650.00	820.84	700 10.52
Subtotal			295		9,787.06		11,210.00	1,422.94	1,180 10.52
SEASPAR CORP	SSW	10/01/13	245	24.6180	6,031.41	22.8000	5,586.00	(445.41)	339 6.05
SSE PLC SPONSORED ADR	SSEZY	12/09/09	365	17.8000	6,497.00	26.3300	9,610.45	3,113.45	489 5.08

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PRIVATE BANKING &
INVESTMENT GROUP

PIA INCOME

24-Hour Assistance: (800) MERRILL
Access Code: 67-225-04030

YOUR EMA ASSETS

May 01, 2014 - May 30, 2014

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss) Annual	Estimated Current Income Yield%
STARWOOD PPTY TR INC COMMON STOCK	STWD	11/04/10 11/05/10 11/19/10	129 86 235	20.5484 20.8350 20.7177	2,650.75 1,791.81 4,868.67	24 3900 24 3900 24 3900	3,146.31 2,097.54 5,731.65	495.56 305.73 862.98	248 7.87 166 7.87 452 7.87
Subtotal			450		9,311.23		10,975.50	1,664.27	866 7.87
STARWOOD WAYPOINT RESIDENTIAL TRUST SHS STARWOOD WAYPOINT	SWAY	02/06/14	90	28.8400	2,595.60	27 2900	2,456.10	(139.50)	
SUNOCO LOGISTICS PRTS LP	SXL	06/16/05 04/17/06 08/15/06 11/15/06 02/15/07 05/16/07 08/15/07 12/11/12	372 129 9 9 9 6 12	12 6474 13 7933 14 6600 16 1566 18 4000 20 2666 17 0500 68 5310	4,704.84 1,779.34 131.94 145.41 165.60 121.60 204.60 11 15	92 0000 92 0000 92 0000 92 0000 92 0000 92 0000 92 0000 92 0000	34,224.00 11,868.00 828.00 828.00 828.00 552.00 1,104.00 14 97	29,519.16 10,088.66 696.06 682.59 662.40 430.40 899.40 3 82	1,035 3.02 359 3.02 26 3.02 26 3.02 26 3.02 17 3.02 34 3.02 1 3.02
(.1627 FRACTIONAL SHARE) (.3064 FRACTIONAL SHARE) Subtotal		N/A	546.4691	N/A	3,986		50,275.16	42,982.49	1,525 3.02
TRANSOCEAN LTD	RIG	02/06/14 02/07/14 02/10/14	31 99 15	42.3161 42 2216 42.4213	1,311.80 4,179.94 636.32	42.4900 42.4900 42.4900	1,317.19 4,206.51 637.35	5.39 26.57 1 03	93 7.06 297 7.06 45 7.06
Subtotal			145		6,128.06		6,161.05	32.99	435 7.06
VENTAS INC REIT	VTR	03/04/05 04/17/06 06/29/06 10/02/06 01/16/07 04/02/07 07/14/11	197 47 2 3 2 3	27.3200 31.7400 32.9900 38.6400 42 0000 42.0700 49.9528	5,382.04 1,491.78 65.98 115.92 84.00 126.21 31.77	66.8000 66.8000 66.8000 66.8000 66.8000 66.8000 66.8000	13,159.60 3,139.60 133.60 200.40 133.60 200.40 42.48	7,777.56 1,647.82 67.62 84.48 49.60 74.19 10.71	572 4.34 137 4.34 6 4.34 9 4.34 6 4.34 9 4.34 2 4.34
(.6360 FRACTIONAL SHARE) Subtotal			254.6360		7,297.70		17,009.68	9,711.98	741 4.34

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PIA INCOME

YOUR EMA ASSETS

May 01, 2014 - May 30, 2014

EQUITIES (continued) Description	Symbol	Acquired	Quantity	Unit Cost Basis	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Annual Income	Estimated Current Yield%
WESTPAC BANKING ADR	WBK	02/06/06	340	17.7277	6,027.45	32.0200	10,886.80	4,859.35	545	5.00
		04/17/06	95	18.1220	1,721.59	32.0200	3,041.90	1,320.31	153	5.00
		07/13/06	10	16.5000	165.00	32.0200	320.20	155.20	17	5.00
		12/22/06	10	19.0400	190.40	32.0200	320.20	129.80	17	5.00
		07/12/07	10	22.5960	225.96	32.0200	320.20	94.24	17	5.00
		08/15/13	4	143.0200	572.08	32.0200	128.08	(444.00)	7	5.00
(.1723 FRACTIONAL SHARE)		01/03/14		102.0893	17.59	32.0200	5.52	(12.07)	1	5.00
Subtotal			469.1723		8,920.07		15,022.90	6,102.83	757	5.00
WILLIAMS COMPANIES DEL	WMB	11/14/13	185	35.0229	6,479.24	46.9600	8,687.60	2,208.36	315	3.62
		11/19/13	175	35.1978	6,159.63	46.9600	8,218.00	2,058.37	298	3.62
Subtotal			360		12,638.87		16,905.60	4,266.73	613	3.62
WILLIAMS PARTNERS L P	WPZ	05/30/08	95	35.9431	3,414.60	53.1100	5,045.45	1,630.85	344	6.81
COM UNIT LTD PARTNERSHIP		06/30/08	41	32.5039	1,332.66	53.1100	2,177.51	844.85	149	6.81
		07/01/08	38	32.5192	1,235.73	53.1100	2,018.18	782.45	138	6.81
		07/02/08	26	32.3761	841.78	53.1100	1,380.86	539.08	95	6.81
Subtotal			200		1,695		10,622.00	3,797.23	726	6.81
TOTAL					253,616.62		470,008.98	179,487.39	23,568	5.01

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	261,327	477,719.60	179,487.39		23,571	4.93

Notes

Total values exclude N/A items

YOUR EMA TRANSACTIONS

DIVIDENDS/INTEREST INCOME TRANSACTIONS	Transaction Type	Quantity	Description	Income	Year To Date
05/30	Bank Interest		BANK DEPOSIT INTEREST	.25	

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