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Form **990**Department of the Treasury
Internal Revenue Service**Return of Organization Exempt From Income Tax**

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

- ▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990 and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013**Open to Public Inspection**

A For the 2013 calendar year, or tax year beginning **6/1/2013**, and ending **5/31/2014**

B Check if applicable:
☐ Address change
☐ Name change
☐ Initial return
☐ Terminated
☐ Amended return
☐ Application pending

C Name of organization **HOUCK/JOHNS HOPKINS 0101574000**
 Doing Business As
 Number and street (or P O box if mail is not delivered to street address) Room/suite
1 W 4TH ST 4TH FLOOR MAC D4000-041
 City or town State ZIP code
Winston Salem NC 27101-3818
 Foreign country name Foreign province/state/county Foreign postal code

D Employer identification number
23-7455346

E Telephone number
888-730-4933

G Gross receipts \$ **4,977,898**

H(a) Is this a group return for subordinates? ☐ Yes ☒ No
H(b) Are all subordinates included? ☐ Yes ☐ No
 If "No," attach a list (see instructions)

I Tax-exempt status ☒ 501(c)(3) ☐ 501(c) () ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ **N/A**

K Form of organization ☐ Corporation ☒ Trust ☐ Association ☐ Other ▶

L Year of formation **1977** **M** State of legal domicile **CO**

Part I Summary

1 Briefly describe the organization's mission or most significant activities **THE ORGANIZATION'S MISSION IS TO SUPPORT JOHN HOPKINS HOSPITAL**

2 Check this box ☐ if the organization discontinued its operations or disposed of more than 25% of its net assets.

3 Number of voting members of the governing body (Part VI, line 1a) **3** **6**

4 Number of independent voting members of the governing body (Part VI, line 1b) **4** **6**

5 Total number of individuals employed in calendar year 2013 (Part V, line 2a) **5** **0**

6 Total number of volunteers (estimate if necessary) **6** **0**

7a Total unrelated business revenue from Part VIII, column (C), line 12 **7a** **0**

b Net unrelated business taxable income from Form 990-T, line 34 **7b** **0**

	Prior Year	Current Year
8 Contributions and grants (Part VIII, line 1h)	0	0
9 Program service revenue (Part VIII, line 2g)	0	0
10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	576,564	824,876
11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	10,049	54,251
12 Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	586,613	879,127
13 Grants and similar amounts paid (Part IX, column (A), lines 1–3)	0	971,083
14 Benefits paid to or for members (Part IX, column (A), line 4)	0	0
15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	0	0
16a Professional fundraising fees (Part IX, column (A), line 11e)	0	0
b Total fundraising expenses (Part IX, column (D), line 25) ▶ 0		
17 Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	158,371	164,669
18 Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)	158,371	1,135,752
19 Revenue less expenses. Subtract line 18 from line 12	428,242	-256,625

	Beginning of Current Year	End of Year
20 Total assets (Part X, line 16)	18,886,910	18,585,268
21 Total liabilities (Part X, line 26)	0	0
22 Net assets or fund balances. Subtract line 21 from line 20	18,886,910	18,585,268

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here **JOHN SULENTIC** SVP Wells Fargo Bank N A Date **10/6/2014**
 Type or print name and title

Paid Preparer Use Only
 Print/Type preparer's name Preparer's signature Date Check ☒ if self-employed PTIN
JOSEPH J CASTRIANO **10/6/2014** **P01251603**
 Firm's name ▶ **PricewaterhouseCoopers, LLP** Firm's EIN ▶ **13-4008324**
 Firm's address ▶ **600 GRANT ST, PITTSBURGH, PA 15219-2777** Phone no **412-355-6000**

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

Form **990** (2013)

HTA

SCANNED JAN 1 2 2015

SCANNED JAN 2 8 2014

24

Part III**Statement of Program Service Accomplishments**Check if Schedule O contains a response or note to any line in this Part III. ☐

- 1** Briefly describe the organization's mission:
 THE ORGANIZATION'S MISSION IS TO SUPPORT JOHN HOPKINS HOSPITAL
- 2** Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? ☐ Yes ☒ No
 If "Yes," describe these new services on Schedule O.
- 3** Did the organization cease conducting, or make significant changes in how it conducts, any program services? ☐ Yes ☒ No
 If "Yes," describe these changes on Schedule O.
- 4** Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses \$ 1,010,227 including grants of \$ 971,083) (Revenue \$)

4b (Code:) (Expenses \$ including grants of \$) (Revenue \$)

4c (Code:) (Expenses \$ including grants of \$) (Revenue \$)

4d Other program services. (Describe in Schedule O)

(Expenses \$ 0 including grants of \$ 0) (Revenue \$ 0)

4e Total program service expenses ▶ 1,010,227

Part IV Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	1 X	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	2	X
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	X
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4	X
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	X
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	6	X
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	7	X
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	8	X
9 Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	9	X
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	10	X
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	11a	X
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	11b	X
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	11c	X
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	11d	X
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	11e	X
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X	11f	X
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	12a	X
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	12b	X
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	X
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a	X
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b	X
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15	X
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16	X
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions)	17	X
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	18	X
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	19	X
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	X
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	

Part IV Checklist of Required Schedules (continued)

	Yes	No
21 Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	X	
22 Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>		X
23 Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>		X
24a Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>		X
24b Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?		
24c Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?		
24d Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?		
25a Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>		X
25b Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>		X
26 Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If so, complete Schedule L, Part II</i>		X
27 Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>		X
28 Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
28a a A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
28b b A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>		X
28c c An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>		X
29 Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>		X
30 Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>		X
31 Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>		X
32 Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>		X
33 Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i>		X
34 Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i>		X
35a Did the organization have a controlled entity within the meaning of section 512(b)(13)?		X
35b b If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
36 Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i>		X
37 Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i>		X
38 Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O.	X	

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V. ☐

		Yes	No
1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.	1a 0		
b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.	1b 0		
c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	X	
2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.	2a 0		
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).	2b		
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a		X
b If "Yes," has it filed a Form 990-T for this year? If "No" to line 3b, provide an explanation in Schedule O.	3b		
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a		X
b If "Yes," enter the name of the foreign country: See instructions for filing requirements for FinCen Form 114, Report of Foreign Bank and Financial Accounts (FBAR)			
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a		X
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b		X
c If "Yes" to line 5a or 5b, did the organization file Form 8886-T?	5c		
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a		X
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b		
7 Organizations that may receive deductible contributions under section 170(c).			
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7a		X
b If "Yes," did the organization notify the donor of the value of the goods or services provided?	7b		
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7c		X
d If "Yes," indicate the number of Forms 8282 filed during the year.	7d		
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7e		X
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7f		X
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7g		
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	7h		
8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	8		X
9 Sponsoring organizations maintaining donor advised funds.			
a Did the organization make any taxable distributions under section 4966?	9a		
b Did the organization make a distribution to a donor, donor advisor, or related person?	9b		
10 Section 501(c)(7) organizations. Enter			
a Initiation fees and capital contributions included on Part VIII, line 12.	10a		
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.	10b		
11 Section 501(c)(12) organizations. Enter			
a Gross income from members or shareholders.	11a		
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	11b		
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	12a		
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year.	12b		
13 Section 501(c)(29) qualified nonprofit health insurance issuers.			
a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.	13a		
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.	13b		
c Enter the amount of reserves on hand.	13c		
14a Did the organization receive any payments for indoor tanning services during the tax year?	14a		X
b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O.	14b		

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions. Check if Schedule O contains a response or note to any line in this Part VI. ☒

Section A. Governing Body and Management

	Yes	No
1a Enter the number of voting members of the governing body at the end of the tax year. 1a 6		
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.		
b Enter the number of voting members included in line 1a, above, who are independent. 1b 6		
2 Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee? 2		X
3 Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person? 3		X
4 Did the organization make any significant changes to its governing documents since the prior Form 990 was filed? 4		X
5 Did the organization become aware during the year of a significant diversion of the organization's assets? 5		X
6 Did the organization have members or stockholders? 6		X
7a Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body? 7a		X
b Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body? 7b		X
8 Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following.		
a The governing body? 8a	X	
b Each committee with authority to act on behalf of the governing body? 8b	X	
9 Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O. 9		X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

	Yes	No
10a Did the organization have local chapters, branches, or affiliates? 10a		X
b If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? 10b		
11a Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form? 11a	X	
b Describe in Schedule O the process, if any, used by the organization to review this Form 990.		
12a Did the organization have a written conflict of interest policy? If "No," go to line 13. 12a	X	
b Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts? 12b	X	
c Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done. 12c	X	
13 Did the organization have a written whistleblower policy? 13	X	
14 Did the organization have a written document retention and destruction policy? 14	X	
15 Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a The organization's CEO, Executive Director, or top management official. 15a		X
b Other officers or key employees of the organization. 15b		X
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).		
16a Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year? 16a		X
b If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements? 16b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed. **CO**

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.

☐ Own website ☒ Another's website ☒ Upon request ☐ Other (explain in Schedule O)

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: **Wells Fargo Bank N.A.** **888-730-4933**
1 W 4TH ST 4TH FLOOR MAC D4000-041, WINSTON SALEM, NC 27101-3818

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

 Check if Schedule O contains a response or note to any line in this Part VII ☐
Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees
1a Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
 - List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."
 - List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
 - List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
 - List all of the organization's **former** directors or trustees that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.
- List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(1) WELLS FARGO BANK N.A. TRUSTEE	4.00		X					151,129		
(2) RONALD R PETERSON ADMINISTRATIVE COMMITTEE MEMBER	0.00	X								
(3) RONALD J WERTHMAN ADMINISTRATIVE COMMITTEE MEMBER	0.00	X								
(4) TOM TRZCINSKI ADMINISTRATIVE COMMITTEE MEMBER	0.00	X								
(5) KATE JOHNSON ADMINISTRATIVE COMMITTEE MEMBER	0.00	X								
(6) BARBARA COLE ADMINISTRATIVE COMMITTEE MEMBER	0.00	X								
(7)										
(8)										
(9)										
(10)										
(11)										
(12)										
(13)										
(14)										

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former			
(15)										
(16)										
(17)										
(18)										
(19)										
(20)										
(21)										
(22)										
(23)										
(24)										
(25)										
1b Sub-total							151,129	0	0	
c Total from continuation sheets to Part VII, Section A							0	0	0	
d Total (add lines 1b and 1c)							151,129	0	0	

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization **0**

- 3** Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*
- 4** For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? *If "Yes," complete Schedule J for such individual*
- 5** Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*

	Yes	No
3		X
4		X
5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
NONE		0
		0
		0
		0
		0
2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization 0		

Part VIII Statement of RevenueCheck if Schedule O contains a response or note to any line in this Part VIII ☐

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a Federated campaigns	1a 0			
	b Membership dues	1b 0			
	c Fundraising events	1c 0			
	d Related organizations	1d 0			
	e Government grants (contributions)	1e 0			
	f All other contributions, gifts, grants, and similar amounts not included above	1f 0			
	g Noncash contributions included in lines 1a-1f: \$	0			
	h Total. Add lines 1a-1f	0			
Program Service Revenue	Business Code				
	2a	0			
	b	0			
	c	0			
	d	0			
	e	0			
	f All other program service revenue	0			
g Total. Add lines 2a-2f	0				
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)	523,167			523,167
	4 Income from investment of tax-exempt bond proceeds	0			
	5 Royalties	0			
	6a Gross rents				
	b Less: rental expenses				
	c Rental income or (loss)	0			
	d Net rental income or (loss)	0			
	7a Gross amount from sales of assets other than inventory	4,400,480			
	b Less: cost or other basis and sales expenses	4,098,771			
	c Gain or (loss)	301,709			
	d Net gain or (loss)	301,709			301,709
	8a Gross income from fundraising events (not including \$ 0 of contributions reported on line 1c). See Part IV, line 18	0			
	b Less: direct expenses	0			
	c Net income or (loss) from fundraising events	0			
	9a Gross income from gaming activities. See Part IV, line 19	0			
	b Less: direct expenses	0			
	c Net income or (loss) from gaming activities	0			
10a Gross sales of inventory, less returns and allowances	0				
b Less: cost of goods sold	0				
c Net income or (loss) from sales of inventory	0				
Miscellaneous Revenue		Business Code			
11a FEE REBATE	900001	6,267			6,267
b PARTNERSHIP K-1 INCOME	900001	47,984			47,984
c		0			
d All other revenue		0			
e Total. Add lines 11a-11d		54,251			
12 Total revenue. See instructions.		879,127	0	0	879,127

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX. ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	971,083	971,083		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22	0			
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16.	0			
4 Benefits paid to or for members	0			
5 Compensation of current officers, directors, trustees, and key employees				
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).	0			
7 Other salaries and wages	0			
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	0			
9 Other employee benefits	0			
10 Payroll taxes	0			
11 Fees for services (non-employees):				
a Management	0			
b Legal	125	125		
c Accounting	0			
d Lobbying	0			
e Professional fundraising services. See Part IV, line 17.	0			
f Investment management fees	0			
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O.)	0			
12 Advertising and promotion	0			
13 Office expenses	0			
14 Information technology	0			
15 Royalties	0			
16 Occupancy	0			
17 Travel	0			
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19 Conferences, conventions, and meetings	0			
20 Interest	0			
21 Payments to affiliates	0			
22 Depreciation, depletion, and amortization	0	0	0	0
23 Insurance	0			
24 Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a TRUSTEE FEES	151,129	37,783	113,346	
b ACCOUNTING FEES	1,287	1,287		
c FOREIGN TAX WITHHELD	6,604		6,604	
d ADR FEES	190		190	
e All other expenses PARTNERSHIP EXPENSES	5,334		5,334	
25 Total functional expenses. Add lines 1 through 24e.	1,135,752	1,010,278	125,474	0
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here <input type="checkbox"/> if following SOP 98-2 (ASC 958-720).				

Part X Balance SheetCheck if Schedule O contains a response or note to any line in this Part X. ☐

		(A) Beginning of year		(B) End of year
Assets	1 Cash—non-interest-bearing	0	1	0
	2 Savings and temporary cash investments	1,154,416	2	1,406,552
	3 Pledges and grants receivable, net	0	3	0
	4 Accounts receivable, net	0	4	0
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L		6	
	7 Notes and loans receivable, net	0	7	0
	8 Inventories for sale or use	0	8	0
	9 Prepaid expenses and deferred charges	0	9	0
	10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D	10a 0		
	b Less: accumulated depreciation	10b 0	10c	0
	11 Investments—publicly traded securities	17,732,494	11	17,178,716
	12 Investments—other securities. See Part IV, line 11	0	12	0
	13 Investments—program-related. See Part IV, line 11	0	13	0
	14 Intangible assets	0	14	0
	15 Other assets. See Part IV, line 11	0	15	0
16 Total assets. Add lines 1 through 15 (must equal line 34)	18,886,910	16	18,585,268	
Liabilities	17 Accounts payable and accrued expenses	0	17	0
	18 Grants payable		18	
	19 Deferred revenue	0	19	0
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties	0	23	0
	24 Unsecured notes and loans payable to unrelated third parties	0	24	0
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	0	25	0
	26 Total liabilities. Add lines 17 through 25	0	26	0
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here <input type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets		27	
	28 Temporarily restricted net assets		28	
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117 (ASC 958), check here <input checked="" type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds	18,886,910	30	18,585,268
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
33 Total net assets or fund balances	18,886,910	33	18,585,268	
34 Total liabilities and net assets/fund balances	18,886,910	34	18,585,268	

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI. ☒

1	Total revenue (must equal Part VIII, column (A), line 12)	1	879,127
2	Total expenses (must equal Part IX, column (A), line 25)	2	1,135,752
3	Revenue less expenses. Subtract line 2 from line 1	3	-256,625
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	18,886,910
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-45,016
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	18,585,269

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII. ☐

	Yes	No
1 Accounting method used to prepare the Form 990: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other _____ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
b Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: <input type="checkbox"/> Separate basis <input type="checkbox"/> Consolidated basis <input type="checkbox"/> Both consolidated and separate basis		X
c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.		
3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		X
b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits.		

SCHEDULE A
(Form 990 or 990-EZ)

Public Charity Status and Public Support

OMB No 1545-0047

2013

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Name of the organization

HOUCK/JOHNS HOPKINS 0101574000

Employer identification number

23-7455346

Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box.)

- 1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E.)
- 3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state: _____
- 5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)
- 6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)
- 9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III.)
- 10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**
- 11 ☒ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).** See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 - a ☒ Type I b ☐ Type II c ☐ Type III—Functionally integrated d ☐ Type III—Non-functionally integrated
 - e ☒ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2).**
 - f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box. ☐
 - g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
 - (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? ☐
 - (ii) A family member of a person described in (i) above? ☐
 - (iii) A 35% controlled entity of a person described in (i) or (ii) above? ☐
 - h Provide the following information about the supported organization(s).

	Yes	No
11g(i)		X
11g(ii)		X
11g(iii)		X

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1–9 above or IRC section (see instructions))	(iv) Is the organization in col. (i) listed in your governing document?		(v) Did you notify the organization in col. (i) of your support?		(vi) Is the organization in col. (i) organized in the U.S.?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
(A) JOHN HOPKINS HOSPITAL	52-0591656	3	X		X		X		971,083
(B)									
(C)									
(D)									
(E)									
Total	1								971,083

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2013

HTA

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						0
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
3 The value of services or facilities furnished by a governmental unit to the organization without charge						0
4 Total. Add lines 1 through 3	0	0	0	0	0	0
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4.						0

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4	0	0	0	0	0	0
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						0
9 Net income from unrelated business activities, whether or not the business is regularly carried on						0
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						0
11 Total support. Add lines 7 through 10						0
12 Gross receipts from related activities, etc. (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here ► <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f))	14	0.00%
15 Public support percentage from 2012 Schedule A, Part II, line 14	15	0.00%
16a 33 1/3% support test—2013. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization. ► <input type="checkbox"/>		
b 33 1/3% support test—2012. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization. ► <input type="checkbox"/>		
17a 10%-facts-and-circumstances test—2013. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization. ► <input type="checkbox"/>		
b 10%-facts-and-circumstances test—2012. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization. ► <input type="checkbox"/>		
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions. ► <input type="checkbox"/>		

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II.
If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						0
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						0
3 Gross receipts from activities that are not an unrelated trade or business under section 513						0
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
5 The value of services or facilities furnished by a governmental unit to the organization without charge						0
6 Total. Add lines 1 through 5	0	0	0	0	0	0
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						0
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						0
c Add lines 7a and 7b	0	0	0	0	0	0
8 Public support (Subtract line 7c from line 6)						0

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6	0	0	0	0	0	0
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						0
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						0
c Add lines 10a and 10b	0	0	0	0	0	0
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						0
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						0
13 Total support. (Add lines 9, 10c, 11, and 12.)	0	0	0	0	0	0
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here <input type="checkbox"/>						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	0.00%
16 Public support percentage from 2012 Schedule A, Part III, line 15	16	0.00%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f))	17	0.00%
18 Investment income percentage from 2012 Schedule A, Part III, line 17	18	0.00%

19a 33 1/3% support tests—2013. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐

b 33 1/3% support tests—2012. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ☐

20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ☐

Part IV

Supplemental Information. Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Area with horizontal dashed lines for supplemental information.

SCHEDULE I
(Form 990)

Grants and Other Assistance to Organizations,
Governments, and Individuals in the United States
Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

OMB No 1545-0047

2013

Department of the Treasury
Internal Revenue Service

► Attach to Form 990.

► Information about Schedule I (Form 990) and its instructions is at www.irs.gov/form990.

Open to Public
Inspection

Name of the organization

Employer identification number

HOUCK/JOHNS HOPKINS 0101574000

23-7455346

Part I General Information on Grants and Assistance

- 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? ☒ Yes ☐ No
- 2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States.

Part II Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Part II can be duplicated if additional space is needed.

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) JOHN HOPKINS HOSPITAL 1800 ORLEANS STREET BALTIMORE	52-0591656	501C3	971,083				GENERAL SUPPORT GRANT
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							

- 2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table 1
- 3 Enter total number of other organizations listed in the line 1 table 0

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule I (Form 990) (2013)

HTA

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public
Inspection

Name of the organization

HOUCK/JOHNS HOPKINS 0101574000

Employer identification number

23-7455346

Form 990, Part VI, Section B, Line 11B THE TRUSTEE RECEIVES AND REVIEWS THE FORM 990

Form 990, Part VI, Section B, Line 12C: ORGANIZATIONS COMPLIES WITH THE SAME POLICY AND

ENFORCEMENT AS ITS TRUSTEE

Form 990, Part VI, Section C, Line 19 NO DOCUMENTS AVAILABLE TO THE PUBLIC

Form 990, Part XI, Line 5 NET ADJUSTMENTS INCLUDE: COST BASIS ADJUSTMENT, CTF INCOME TIMING

DIFFERENCE, CTF BOO TO TAX DIFFERENCE, UNDISTRIBUTED CTF CAPITAL LOSS, SALES PARTNERSHIP

ADJUSTMENT, PARTNERSHIP INCOME ADJUSTMENT, PY RETURN OF CAPITAL ADJUSTMENT

Name of the organization

Employer identification number

HOUCK/JOHNS HOPKINS 0101574000

23-7455346

Area with horizontal dashed lines for supplemental information.

Security Type	EIN	CUSIP	Asset Name	FMV	Units	Fed Cost
Cash	23-7455346			(429.76)		(429.76)
Cash	23-7455346			165,184.87	165,184.87	165,184.87
Savings & Temp Inv	23-7455346	VP0528308	FEDERATED TREAS OBL FD 68	863,404.26	863,404.26	863,404.26
Savings & Temp Inv	23-7455346	VP0528308	FEDERATED TREAS OBL FD 68	378,393.09	378,393.09	378,393.09
Savings & Temp Inv	23-7455346	VP0528308	FEDERATED TREAS OBL FD 68	1,406,552.46		1,406,552.46
			TOTAL CASH, SAVINGS, AND TEMP INV			
Invest - Corp Bonds	23-7455346	341081FK8	FLORIDA POWER & LIGH 3.250% 6/01/24	152,082.00	150,000.00	151,641.00
Invest - Corp Bonds	23-7455346	931142CP6	WAL-MART STORES INC 4.125% 2/01/19	110,922.00	100,000.00	102,995.00
Invest - Corp Bonds	23-7455346	428236AV5	HEWLETT-PACKARD CO 4.750% 6/02/14	100,000.00	100,000.00	101,475.00
Invest - Corp Bonds	23-7455346	532457AX6	ELI LILLY & CO 4.500% 3/15/18	110,878.00	100,000.00	105,389.00
Invest - Corp Bonds	23-7455346	74005PAU8	PRAXAIR INC 4.500% 8/15/19	111,665.00	100,000.00	104,498.00
Invest - Corp Bonds	23-7455346	097014AL8	BOEING CAPITAL CORP 4.700% 10/27/19	113,707.00	100,000.00	106,250.00
Invest - Corp Bonds	23-7455346	36962G4Y7	GENERAL ELEC CAP COR 4.625% 1/07/21	111,632.00	100,000.00	98,681.00
Invest - Corp Bonds	23-7455346	674599CB9	OCCIDENTAL PETROLEUM 1.750% 2/15/17	101,863.00	100,000.00	102,779.00
Invest - Corp Bonds	23-7455346	58013MEM2	MCDONALD'S CORP 2.625% 1/15/22	98,825.00	100,000.00	101,822.00
Invest - Corp Bonds	23-7455346	87612EAZ9	TARGET CORP 2.900% 1/15/22	100,904.00	100,000.00	104,502.00
Invest - Corp Bonds	23-7455346	001055AJ1	AFLAC INC 4.000% 2/15/22	107,413.00	100,000.00	108,247.00
Invest - Corp Bonds	23-7455346	871829AQ0	SYSCO CORPORATION 2.600% 6/12/22	96,838.00	100,000.00	101,490.00
Invest - Corp Bonds	23-7455346	46625HJE1	JPMORGAN CHASE & CO 3.250% 9/23/22	250,222.50	250,000.00	240,765.00
Invest - Corp Bonds	23-7455346	911312AQ9	UNITED PARCEL SERVIC 2.450% 10/01/22	97,072.00	100,000.00	100,748.00
Invest - Corp Bonds	23-7455346	22160KAE5	COSTCO WHOLESALE COR 1.125% 12/15/17	99,577.00	100,000.00	100,590.00
Invest - Corp Bonds	23-7455346	009158AT3	AIR PRODUCTS & CHEMI 2.750% 2/03/23	96,563.00	100,000.00	96,201.00
Invest - Corp Bonds	23-7455346	61746BDJ2	MORGAN STANLEY 3.750% 2/25/23	101,581.00	100,000.00	96,368.00
Invest - Corp Bonds	23-7455346	717081DH3	PFIZER INC 3.000% 6/15/23	99,395.00	100,000.00	98,325.70
Invest - Corp Bonds	23-7455346	06051GDX4	BANK OF AMERICA NA 5.650% 5/01/18	113,746.00	100,000.00	105,294.60
Invest - Corp Bonds	23-7455346	06406HCQ0	BANK OF NEW YORK MEL 3.950% 11/18/25	157,768.50	150,000.00	157,176.00
Invest - Corp Stock	23-7455346	001055I02	AFLAC INC	177,567.00	2,900.00	75,902.16
Invest - Corp Stock	23-7455346	008252I08	AFFILIATED MANAGERS GROUP, INC COM	188,600.00	1,000.00	88,020.00
Invest - Corp Stock	23-7455346	037833I00	APPLE INC	189,900.00	300.00	24,632.97
Invest - Corp Stock	23-7455346	071813I09	BAXTER INTL INC	80,734.85	1,085.00	76,676.95
Invest - Corp Stock	23-7455346	097023I05	BOEING CO	135,250.00	1,000.00	58,467.30
Invest - Corp Stock	23-7455346	126650I00	CVS/CAREMARK CORPORATION	137,060.00	1,750.00	77,715.75
Invest - Corp Stock	23-7455346	17275R102	CISCO SYSTEMS INC	133,563.50	5,425.00	91,807.35
Invest - Corp Stock	23-7455346	194162I03	COLGATE PALMOLIVE CO	205,200.00	3,000.00	78,744.00
Invest - Corp Stock	23-7455346	231021I06	CUMMINS INC.	126,626.04	828.00	114,851.80
Invest - Corp Stock	23-7455346	25243Q205	DIAGEO PLC - ADR	128,790.00	1,000.00	96,767.70
Invest - Corp Stock	23-7455346	254687I06	WALT DISNEY CO	270,932.25	3,225.00	110,271.73
Invest - Corp Stock	23-7455346	278865I00	ECOLAB INC	196,542.00	1,800.00	87,624.00
Invest - Corp Stock	23-7455346	369604I03	GENERAL ELECTRIC CO	187,530.00	7,000.00	58,537.50
Invest - Corp Stock	23-7455346	437076I02	HOME DEPOT INC	152,437.00	1,900.00	51,718.00
Invest - Corp Stock	23-7455346	459200I01	INTERNATIONAL BUSINESS MACHS CORP	129,052.00	700.00	60,844.84

Invest - Corp Stock	23-7455346	478160104	JOHNSON & JOHNSON	202,920.00	2,000.00	87,560.00
Invest - Corp Stock	23-7455346	478366107	JOHNSON CONTROLS INC	65,286.00	1,350.00	53,986.50
Invest - Corp Stock	23-7455346	580135101	MCDONALDS CORP	131,859.00	1,300.00	31,106.20
Invest - Corp Stock	23-7455346	594918104	MICROSOFT CORP	196,512.00	4,800.00	78,687.50
Invest - Corp Stock	23-7455346	637071101	NATIONAL OILWELL INC COM	49,122.00	600.00	44,331.18
Invest - Corp Stock	23-7455346	641069406	NESTLE S.A. REGISTERED SHARES - ADR	200,366.25	2,550.00	126,932.21
Invest - Corp Stock	23-7455346	66987V109	NOVARTIS AG - ADR	180,120.00	2,000.00	107,444.75
Invest - Corp Stock	23-7455346	674599105	OCCIDENTAL PETE CORP	64,798.50	650.00	53,474.50
Invest - Corp Stock	23-7455346	713448108	PEPSICO INC	198,742.50	2,250.00	82,681.87
Invest - Corp Stock	23-7455346	74005P104	PRAXAIR INC COM	92,568.00	700.00	57,799.00
Invest - Corp Stock	23-7455346	742718109	PROCTER & GAMBLE CO	185,817.00	2,300.00	72,878.92
Invest - Corp Stock	23-7455346	778296103	ROSS STORES INC	136,900.00	2,000.00	46,586.00
Invest - Corp Stock	23-7455346	806857108	SCHLUMBERGER LTD	223,686.00	2,150.00	119,569.92
Invest - Corp Stock	23-7455346	872540109	TJX COS INC NEW	108,900.00	2,000.00	52,720.10
Invest - Corp Stock	23-7455346	883556102	THERMO FISHER SCIENTIFIC INC	131,523.75	1,125.00	61,222.50
Invest - Corp Stock	23-7455346	89151E109	TOTAL S.A. - ADR	69,450.00	1,000.00	49,363.40
Invest - Corp Stock	23-7455346	907818108	UNION PACIFIC CORP	149,452.50	750.00	58,606.20
Invest - Corp Stock	23-7455346	913017109	UNITED TECHNOLOGIES CORP	180,141.00	1,550.00	41,258.50
Invest - Corp Stock	23-7455346	58155Q103	MCKESSON CORP	221,878.80	1,170.00	79,704.94
Invest - Corp Stock	23-7455346	38259P706	GOOGLE INC-CL C	123,175.80	220.00	91,606.39
Invest - Corp Stock	23-7455346	404280406	HSBC - ADR	32,159.20	610.00	33,827.49
Invest - Corp Stock	23-7455346	911312106	UNITED PARCEL SERVICE-CL B	93,492.00	900.00	57,880.44
Invest - Corp Stock	23-7455346	30231G102	EXXON MOBIL CORPORATION	150,795.00	1,500.00	21,436.52
Invest - Corp Stock	23-7455346	87612E106	TARGET CORP	147,576.00	2,600.00	51,996.75
Invest - Corp Stock	23-7455346	91324P102	UNITEDHEALTH GROUP INC	159,260.00	2,000.00	72,620.00
Invest - Corp Stock	23-7455346	46625H100	JPMORGAN CHASE & CO	166,710.00	3,000.00	109,051.20
Invest - Corp Stock	23-7455346	03524A108	ANHEUSER-BUSCH INBEV SPN ADR	219,840.00	2,000.00	110,225.21
Invest - Corp Stock	23-7455346	166764100	CHEVRON CORP	128,929.50	1,050.00	33,952.50
Invest - Corp Stock	23-7455346	88579Y101	3M CO	142,550.00	1,000.00	80,714.00
Invest - Corp Stock	23-7455346	084670702	BERKSHIRE HATHAWAY INC.	224,595.00	1,750.00	74,387.38
Invest - Corp Stock	23-7455346	20825C104	CONOCOPHILLIPS	159,880.00	2,000.00	53,169.36
Invest - Corp Stock	23-7455346	65339F101	NEXTERA ENERGY INC	170,380.00	1,750.00	90,002.50
Invest - Corp Stock	23-7455346	89417E109	TRAVELERS COMPANIES, INC	186,900.00	2,000.00	76,246.80
Invest - Corp Stock	23-7455346	G29183103	EATON CORP PLC	57,036.06	774.00	40,174.93
Invest - Corp Stock	23-7455346	12572Q105	CME GROUP INC	9,000.00	125.00	6,723.75
Invest - Other	23-7455346	04314H204	ARTISAN INTERNATIONAL FUND #661	936,581.13	30,448.02	700,000.00
Invest - Other	23-7455346	464287465	ISHARES MSCI EAFE ETF	651,551.67	9,387.00	531,810.18
Invest - Other	23-7455346	262028855	DRIEHAUS ACTIVE INCOME FUND	50,093.03	4,651.16	50,000.00
Invest - Other	23-7455346	78467Y107	SPDR S&P MIDCAP 400 ETF TRUST	1,188,920.32	4,739.00	628,139.59
Invest - Other	23-7455346	GRA994205	GRAHAM ALT INV FD II BLEND CL O (RF)	277,016.91	2,436.27	300,000.00
Invest - Other	23-7455346	70299RF16	PARTNERS GROUP PRIV EQ TEI LLC (RF)	489,389.57	31,861.30	400,000.00
Invest - Other	23-7455346	88019R641	TEMPLETON FRONTIER MARKET-AD #674	573,724.72	29,788.41	426,272.10
Invest - Other	23-7455346	06738C778	IPATH DOW JONES-UBS COMMODITY INDEX	226,126.30	5,770.00	228,691.52

Invest - Other	23-7455346	683974505	OPPENHEIMER DEVELOPING MKT-Y #788	698,702.22	18,143.40	651,993.44
Invest - Other	23-7455346	961995008	ASGI MESIROW INSIGHT FUND, LLC	425,907.38	405.94	400,000.00
Invest - Other	23-7455346	78464A607	SPDR DOW JONES REIT ETF	1,077,830.00	13,000.00	755,933.10
Invest - Other	23-7455346	38143H381	GOLDMAN SACHS COMM STRATEGY #2653	264,599.09	45,385.78	300,000.00
Invest - Other	23-7455346	74925K581	ROBOCO BP LNG/SHRT RES-INS	694,380.93	46,665.39	650,000.00
Invest - Other	23-7455346	487300808	KEELEY SMALL CAP VAL FD CL I #2251	956,273.50	25,184.98	486,070.01
Invest - Other	23-7455346	693390882	PIMCO FOREIGN BD FD USD H-INST #103	289,703.45	26,799.58	297,775.71
Invest - Other	23-7455346	999708902	TAXABLE INTERMEDIATE TERM BD FD	198,286.29	19,497.18	195,116.18
Invest - Other	23-7455346	991283912	TAXABLE TOTAL RETURN BOND FUND	197,098.12	25,597.16	190,320.80
Invest - Other	23-7455346	315920702	FID ADV EMER MKTS INC- CL I #607	545,291.48	38,266.07	512,000.00
Invest - Other	23-7455346	922031794	VANGUARD GNMA FUND-ADM #0536	604,758.03	56,414.00	624,094.70
Invest - Other	23-7455346	261980668	DREYFUS INTERNATL BOND FD CL I #6094	270,531.34	15,885.58	261,000.00
Invest - Other	23-7455346	261980494	DREYFUS EMG MKT DEBT LOC C-I #6083	563,211.80	39,057.68	554,478.87
Invest - Other	23-7455346	277911491	EATON VANCE FLOATING RATE FD-I #924	607,308.96	66,445.18	591,625.12
Invest - Other	23-7455346	67065Q772	NUVEEN HIGH YIELD MUNI BD-R #1668	225,243.90	13,391.43	220,121.95
Invest - Other	23-7455346	949917744	WF ADV ULTR SHORT-TRMINCOME-I#3104	190,000.00	22,248.24	190,076.42
Invest - Other	23-7455346	4812C0803	JPMORGAN HIGH YIELD FUND SS #3580	398,069.34	48,902.87	380,808.59
Invest - Other	23-7455346	464288588	ISHARES MBS ETF	500,966.29	4,633.00	503,068.65
Invest - US & State	23-7455346	3136AFR30	FED NATL MTG ASSN 2.750% 12/25/42	162,552.87	159,052.13	162,282.88
Invest - US & State	23-7455346	3133XEX79	FED HOME LN BK 5.770% 3/23/18	110,019.21	99,361.68	109,018.40
Invest - US & State	23-7455346	22545MAD9	CREDIT SUISSE MORTG 5.467% 9/15/39	129,878.46	120,323.57	130,269.07
			TOTAL OTHER INVESTMENTS	23,238,776.81		17,178,716.04
			GRAND TOTAL	24,645,329.27		18,585,268.50

Part VIII, Line 7 (990) - Gain/Loss from Sale of Assets Other than Inventory

Total Public Securities													
Total Non-Public Securities													
Total Other Sales													
Description	CUSIP #	Check if gain/loss is from sale of public securities	Check if gain/loss is from sale of non public securities	Check if purchaser is a business	Purchaser	Date acquired	Acquisition method	Date sold	Gross sales price	Cost or other basis (Enter one field only)		Expense of sale and cost of improve- ments	Description of Basis Method
										Cost	Donated value		
1 JAQR MANAGED FUTURES S	00203HB59	X				8/2/2013		4/17/2014	384,078	400,000			
2 AFFILIATED MANAGERS GR	008522108	X				11/24/2010		4/16/2014	46,186	22,005			
3 APPLE INC	037833100	X				10/13/2008		4/16/2014	17,638	3,529			
4 APPLE INC	037833100	X				11/21/2008		4/16/2014	34,239	5,419			
5 BRISTOL MYERS SQUIBB C	110122108	X				11/11/2009		4/16/2014	49,029	23,300			
6 CHAMBERS STREET PROP	157842105	X				11/25/2009		7/8/2013	7	7			
7 CHAMBERS STREET PROP	157842105	X				11/25/2009		4/16/2014	417,289	451,345			
8 CHEVRON CORP	166764100	X				2/21/2003		8/15/2013	42,079	11,322			
9 CITRIX SYS INC COM	177376100	X				10/16/2007		1/17/2014	114,475	77,792			
10 DEERE & CO	244199105	X				4/7/2011		8/2/2013	27,137	31,499			
11 DIAMOND HILL LONG-SHOR	25284S833	X				11/4/2010		8/2/2013	456,464	342,930			
12 WALT DISNEY CO	254687106	X				3/8/2007		8/2/2013	13,238	6,839			
13 ECOLAB INC	278865100	X				11/24/2010		4/16/2014	21,392	9,736			
14 THE ENDOWMENT TEI FUN	29299D444	X				11/30/2009		6/30/2013	11,683	13,106			
15 THE ENDOWMENT TEI FUN	29299D444	X				11/30/2009		9/30/2013	10,372	11,406			
16 THE ENDOWMENT TEI FUN	29299D444	X				11/30/2009		12/31/2013	40,707	42,991			
17 THE ENDOWMENT TEI FUN	29299D444	X				11/30/2009		4/1/2014	79,966	107,327			
18 THE ENDOWMENT TEI FUN	29299D444	X				11/30/2009		4/1/2014	514	690			
19 EXXON MOBIL CORPORATI	30231G102	X				12/22/1993		8/2/2013	18,284	2,952			
20 FED HOME LN BK	3133XEX79	X				1/25/2013		6/25/2013	8,415	9,233			
21 FED HOME LN BK	3133XEX79	X				1/25/2013		7/25/2013	7,566	8,302			
22 FED HOME LN BK	3133XEX79	X				1/25/2013		8/25/2013	7,903	8,672			
23 FED HOME LN BK	3133XEX79	X				1/25/2013		9/25/2013	5,909	6,483			
24 FED HOME LN BK	3133XEX79	X				1/25/2013		10/25/2013	4,282	4,698			
25 FED HOME LN BK	3133XEX79	X				1/25/2013		11/25/2013	5,181	5,665			
26 FED HOME LN BK	3133XEX79	X				1/25/2013		12/25/2013	4,004	4,393			
27 FED HOME LN BK	3133XEX79	X				1/25/2013		1/25/2014	3,134	3,438			
28 FED HOME LN BK	3133XEX79	X				1/25/2013		2/25/2014	3,135	3,439			
29 FED HOME LN BK	3133XEX79	X				1/25/2013		3/25/2014	2,533	2,780			
30 FED HOME LN BK	3133XEX79	X				1/25/2013		4/25/2014	2,171	2,382			
31 FED HOME LN BK	3133XEX79	X				1/25/2013		5/25/2014	2,329	2,555			
32 VR GS MORTGAGE SEC 5	36246LAC5	X				9/19/2012		11/13/2013	4,373	4,727			
33 VR GS MORTGAGE SEC 5	36246LAC5	X				9/19/2012		2/12/2014	245,627	265,469			
34 GATEWAY FUND - Y #1986	367829884	X				11/27/2009		8/2/2013	369,897	327,086			
35 GATEWAY FUND - Y #1986	367829884	X				8/2/2012		8/2/2013	26,029	25,000			
36 GATEWAY FUND - Y #1986	367829884	X				10/16/2012		8/2/2013	204,917	200,000			
37 GOLDMAN SACHS GROUP	38141GDK7	X				3/25/2011		7/15/2013	100,000	106,292			
38 GOOGLE INC	38259P508	X				6/7/2012		4/25/2014	5,315	2,905			
39 JOHNSON & JOHNSON	478160104	X				12/14/1999		4/16/2014	24,654	11,813			
40 MORGAN STANLEY	61747YCL7	X				3/25/2011		7/18/2013	103,854	102,883			
41 PIMCO EMERG MKTS BD-IN	693391559	X				12/10/2010		12/23/2013	144,484	150,000			
42 PIMCO EMERG MKTS BD-IN	693391559	X				10/18/2011		12/23/2013	48,032	49,865			
43 PIMCO EMERG MKTS BD-IN	693391559	X				8/8/2012		12/23/2013	238,882	271,134			
44 PIMCO EMERG MKTS BD-IN	693391559	X				9/12/2012		12/23/2013	27,411	31,342			
45 PIMCO EMERG MKTS BD-IN	693391559	X				1/7/2013		12/23/2013	53,194	62,159			
46 PIMCO FOREIGN BOND (UN	722005220	X				2/16/2011		12/23/2013	135,160	141,089			
47 PIMCO FOREIGN BOND (UN	722005220	X				8/24/2012		12/23/2013	74,301	83,265			
48 PIMCO FOREIGN BOND (UN	722005220	X				9/12/2012		12/23/2013	51,465	59,213			
49 TARGET CORP	87612E106	X				9/22/1998		8/2/2013	14,282	4,000			
50 TEMPLETON FRONTIER MA	88019R641	X				10/16/2012		4/17/2014	119,142	100,000			
51 TEMPLETON FRONTIER MA	88019R641	X				8/2/2012		4/17/2014	30,858	23,728			
52 TEVA PHARMACEUTICAL IN	881624209	X				2/24/2009		8/28/2013	46,112	54,376			
53 TEVA PHARMACEUTICAL IN	881624209	X				3/24/2009		8/28/2013	30,233	36,215			
54 THERMO FISHER SCIENTIF	883556102	X				4/21/2010		8/16/2013	33,944	20,408			
55 UNION PACIFIC CORP	907818108	X				11/24/2010		8/16/2013	39,524	22,447			
56 WF ADV ULTR SHORT-TRM	949917744	X				2/14/2013		7/19/2013	29,626	29,695			

Part VIII, Line 7 (990) - Gain/Loss from Sale of Assets Other than Inventory

Total Public Securities										Gross sales		Cost or other basis and expenses		
Total Non-Public Securities										4,400,480		4,098,771		
Total Other Sales										0		0		
	Description	CUSIP #	Check if gain/loss is from sale of public securities	Check if gain/loss is from sale of non public securities	Check if purchaser is a business	Purchaser	Date acquired	Acquisition method	Date sold	Gross sales price	Cost or other basis (Enter one field only)	Expense of sale and cost of improvements	Depreciation	Description of Basis Method
											Cost	Donated value		
57	GOOGLE INC	36259P508	X				8/2/2012		4/25/2014	53,145	31,371			
58	JPMORGAN CHASE & CO 3	46825HHP8	X				5/24/2010		7/18/2013	260,000	253,600			
59	LT CAPITAL GAIN		X							38,861				
60	FINAL YEAR SALE OF ENDC		X							1,879				
61	Unrecaptured Section 1250 G		X							216				
62	ST CTF LOSS		X								2,434			
63	LT CTF GAIN		X							3,724				

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► **File a separate application for each return.**

► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	HOUCK/JOHNS HOPKINS 0101574000	23-7455346
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
	1 W 4TH ST 4TH FLOOR MAC D4000-041	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	Winston Salem, NC 27101-3818	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► WELLS FARGO BANK N.A.

Telephone No. ► _____ Fax No. ► _____

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 1/15/2015, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☐ calendar year _____ or

► ☒ tax year beginning 6/1/2013, and ending 5/31/2014

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2014)