



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



**Return of Private Foundation**  
or Section 4947(a)(1) Trust Treated as Private Foundation

**2013**

Department of the Treasury  
Internal Revenue Service

▶ Do not enter Social Security numbers on this form as it may be made public.  
▶ Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2013 or tax year beginning JUNE 1, 2013, and ending MAY 31, 2014

Name of foundation: The ROTHKOPF FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address): 210 STUART DR Room/suite: \_\_\_\_\_

City, town, state or province, country, and ZIP or foreign postal code: New Rochelle, NY 10804

**A** Employer identification number: 13-6096781

**B** Telephone number (see instructions): 914-636-5602

**C** If exemption application is pending, check here

**D** 1. Foreign organizations, check here   
2. Foreign organizations meeting the 85% test, check here and attach computation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

**G** Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name change

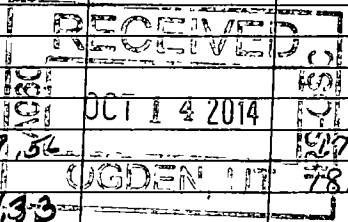
**H** Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ \_\_\_\_\_

**J** Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_  
(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	Contributions, gifts, grants, etc., received (attach schedule)				
	Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	Interest on savings and temporary cash investments	1.82	1.82		
	Dividends and interest from securities	9431.22	9431.22		
	a Gross rents				
	b Net rental income or (loss)				
	a Net gain or (loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	Capital gain net income (from Part IV, line 2)				
	Net short-term capital gain				
	Income modifications				
10 Gross sales less returns and allowances					
Less: Cost of goods sold					
Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)	25.00	25.00			
12 Total. Add lines 1 through 11	9458.04	9458.04			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	179.56	179.56		179.56
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	181.33	181.33		181.33
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	360.89	360.89		360.89
	25 Contributions, gifts, grants paid	6717.00			6717.00
26 Total expenses and disbursements. Add lines 24 and 25	7077.89	360.89		7077.89	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	2380.15				
b Net investment income (if negative, enter -0-)		9097.15			
c Adjusted net income (if negative, enter -0-)					

SCANNED NOV 04 2014



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)	Beginning of year		End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing				
	2	Savings and temporary cash investments	17,535.80	19,915.13	19,915.13	
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments—U.S. and state government obligations (attach schedule)				
	b	Investments—corporate stock (attach schedule)	311,374	<del>311,356.00</del>	311,356.00	
	c	Investments—corporate bonds (attach schedule)				
	11	Investments—land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation (attach schedule) ▶					
12	Investments—mortgage loans					
13	Investments—other (attach schedule)					
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation (attach schedule) ▶					
15	Other assets (describe ▶ )					
16	<b>Total assets</b> (to be completed by all filers—see the instructions. Also, see page 1, item I)	328,909.80	<del>330,497.37</del>	331,271.13		
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶ )				
23	<b>Total liabilities</b> (add lines 17 through 22)					
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
	30	<b>Total net assets or fund balances</b> (see instructions)	328,909.80	330,497.37		
	31	<b>Total liabilities and net assets/fund balances</b> (see instructions)	328,909.80	<del>330,497.37</del>		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	328,909.80
2	Enter amount from Part I, line 27a	2	2,380.15
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	331,289.95
5	Decreases not included in line 2 (itemize) ▶	5	792.00
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	330,497.95

792

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(j) F.M.V. as of 12/31/69	(i) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?  Yes  No  
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	7849.79	263345.23	.0298
2011	5705.18	228944.66	.0249
2010	4317.28	205231.46	.0210
2009	4170.67	177452.23	.0235
2008	4962.44	145295.84	.0255

2	Total of line 1, column (d)	2	.1247
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.0249
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	304554
5	Multiply line 4 by line 3	5	7583
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	90.97
7	Add lines 5 and 6	7	7674
8	Enter qualifying distributions from Part XII, line 4	8	7078

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)**

<b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		181	94
<b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
<b>1</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			
<b>2</b> Add lines 1 and 2			
<b>3</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		181	94
<b>4</b> Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
<b>5</b> Credits/Payments:			
<b>a</b> 2013 estimated tax payments and 2012 overpayment credited to 2013	<b>6a</b>		
<b>b</b> Exempt foreign organizations—tax withheld at source	<b>6b</b>		
<b>c</b> Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>		
<b>d</b> Backup withholding erroneously withheld	<b>6d</b>		
<b>7</b> Total credits and payments. Add lines 6a through 6d		0	
<b>8</b> Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached			
<b>9</b> Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		181	94
<b>10</b> Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
<b>11</b> Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>			

**Part VII-A Statements Regarding Activities**

	Yes	No
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<input checked="" type="checkbox"/>
<b>1b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		<input checked="" type="checkbox"/>
<b>1c</b> Did the foundation file Form 1120-POL for this year?		
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers. ▶ \$ _____		
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		<input checked="" type="checkbox"/>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>		<input checked="" type="checkbox"/>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?		<input checked="" type="checkbox"/>
<b>4b</b> If "Yes," has it filed a tax return on Form 990-T for this year?		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		<input checked="" type="checkbox"/>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	<input checked="" type="checkbox"/>	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	<input checked="" type="checkbox"/>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ <u>Delaware + New York</u>		
<b>8b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	<input checked="" type="checkbox"/>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 1942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV.</i>		
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		<input checked="" type="checkbox"/>

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) . . . . . 11  No

12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) . . . . . 12  No

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? . . . . . 13  No

Website address ▶ \_\_\_\_\_

14 The books are in care of ▶ HARRIET ROTHKOPF Telephone no. ▶ \_\_\_\_\_  
 Located at ▶ 210 STUART DR; New Rochelle, N.Y. ZIP+4 ▶ 10804

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. . . . .   
 and enter the amount of tax-exempt interest received or accrued during the year . . . . . 15 \_\_\_\_\_

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? . . . . . 16  Yes  No  
 See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ \_\_\_\_\_

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? . . .  Yes  No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? . . . . .  Yes  No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? . . .  Yes  No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? . . .  Yes  No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? . . . . .  Yes  No

(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) . . . . .  Yes  No

b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? . . . . . 1b  No  
 Organizations relying on a current notice regarding disaster assistance check here . . . . .

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? . . . . . 1c  No

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and e, Part XIII) for tax year(s) beginning before 2013? . . . . .  Yes  No  
 If "Yes," list the years ▶ 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_

b Were there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.) . . . . . 2b  No

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.  
 ▶ 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_, 20\_\_\_\_

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? . . . . .  Yes  No

b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) . . . . . 3b  No

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? . . . . . 4a  No

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? . . . . . 4b  No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

- 5a During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
  - (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No
  - (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
  - (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)  Yes  No
  - (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No
- b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here
- c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945–5(d).
- 6 Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No
- 7 Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870.
- 7i At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No
- 7j If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No

5b  
6b  
7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
HARRIET ROTHKOPF 212 STUART DR New Rochelle, NY	Pres / 1	—	—	—
RAMA ROTHKOPF 63 E 9 ST; New York, NY 10804	Treas 1			
Melvin Rothkopf 21 EAST 53 ST. New York, NY	VP			

**2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

**Total** number of other employees paid over \$50,000

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation

**Total** number of others receiving over \$50,000 for professional services . . . . . ▶

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 <u>WESTCHESTER REFORM</u>	2362
2 <u>Jewish Museum</u>	2500
3 <u>OFF THE RECORD</u>	250
4 <u>NYU</u>	395

**Part K-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1	
2	
3 All other program-related investments. See instructions.	

**Total.** Add lines 1 through 3 . . . . . ▶

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	288991
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	20201
<b>c</b>	Fair market value of all other assets (see instructions) . . . . .	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	309192
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	309192
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions) . . . . .	<b>4</b>	4638
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 . . . . .	<b>5</b>	304554
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	15227

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	15227
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5 . . . . .	<b>2a</b>	
<b>2b</b>	Income tax for 2013. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>2c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	
<b>6</b>	Deduction from distributable amount (see instructions) . . . . .	<b>6</b>	
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	<b>7</b>	

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26 . . . . .	<b>1a</b>	7078
<b>b</b>	Program-related investments—total from Part IX-B . . . . .	<b>1b</b>	—
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	—
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 . . . . .	<b>4</b>	7078
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	90.97
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	6987.03

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7				
<b>2</b> Undistributed income, if any, as of the end of 2013:				
<b>a</b> Enter amount for 2012 only				
<b>b</b> Total for prior years: 20____, 20____, 20____				
<b>3</b> Excess distributions carryover, if any, to 2013:				
<b>a</b> From 2008				
<b>b</b> From 2009				
<b>c</b> From 2010				
<b>d</b> From 2011				
<b>e</b> From 2012				
<b>f</b> Total of lines 3a through e				
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ _____				
<b>a</b> Applied to 2012, but not more than line 2a				
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions)				
<b>c</b> Treated as distributions out of corpus (Election required—see instructions)				
<b>d</b> Applied to 2013 distributable amount				
<b>e</b> Remaining amount distributed out of corpus				
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b				
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
<b>d</b> Subtract line 6c from line 6b. Taxable amount—see instructions				
<b>e</b> Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions				
<b>f</b> Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2009				
<b>b</b> Excess from 2010				
<b>c</b> Excess from 2011				
<b>d</b> Excess from 2012				
<b>e</b> Excess from 2013				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . . ▶
- b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Tax year		Prior 3 years		(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
3 Complete 3a, b, or c for the alternative test relied upon:					
i "Assets" alternative test—enter:					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i) . . . . .					
j "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
k "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) . . . . .					
(3) Largest amount of support from an exempt organization . . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

- 1 **Information Regarding Foundation Managers:**
  - a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)
  - b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.
- 2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

  - a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
  - b The form in which applications should be submitted and information and materials they should include:
  - c Any submission deadlines:
  - d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)

**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
<b>Total</b>				<b>3a</b>
b Approved for future payment				
<b>Total</b>				<b>3b</b>





THE ROITKOPF FOUNDATION  
 THE ROYAL EYE 5/13/14

ORGANIZATION	DATE	AMOUNT
CAMERA CLUBS	6/18/13	36
PIANOSONORA	6/19/13	25
FWA	6/19/13	47
Young Jewish Probes	6/19/13	37
ACRYLITE GALLERY	6/20/13	25
WESTCHESTER REFUGEE	8/2/13	1500
USA	8/20/13	18
DEF. THE RECORD	8/25/13	250
FWA	9/11/13	50
Lincoln Center	9/25/13	53.50
Lincoln Center	9/25/13	53.50
Performing Arts	10/15/13	35
West. Reform Temple	10/18/13	60
NYU	10/24/13	19
Hadassah	10/27/13	26
LeRoyette	1/24/13	100
PENTACOSTAL H.S. MEMORIAL	10/31/13	50
CANTERA	11/2/14	85
TDF	1/22/14	30
NYU - GREY	1/22/14	70
Conservative Synagogue	2/22/14	100
Westchester Reform Temple	3/2/14	299
Performing Arts Center	3/16/14	50
NYU - STERN	2/28/14	150
LeRoyette College	3/6/14	40
TDF	3/21/14	38
Young Jewish Professional	4/20/14	19
RAH Zion	4/19/14	53
BVAI Zion	4/19/14	53
NYU Development	4/18/14	25
Competition Shearith	5/12/14	75
ADA	5/19/14	67
FWA Education	5/19/14	25
Jewish Museum	5/19/14	35
Hunter College FAWB	5/18/14	2500
	5/18/14	100

TOTAL 7677.00

Expenses

US Treasury	10/19/13	81.33
NYs Dept of Law	3/25/14	100.00
ADR FEES	6/18/13	28.59
Debiture	4-1-14	25.00
CSC	5/12/14	125.97
TOTAL		\$ 360.89

# ROTHKOPF FOUNDATION

5/31/14  
end of year

stock name	purchase date	share	cost	purchase price	profit/(loss)	
innovative		1080	8.40	9073.00	-9073.00	
espire	1/19/00	1000	7.75	7964.90	-7964.90	
pac-west	1/24/00	500	25.59	12794.07	-12794.07	
american water works	3/23/10	500	21.21	10733.45	13571.55	
amsouth/regions	478	31.84	15222.00			
amsouth /regions	3/16/05	500	33.11	16555.00	-21811.00	
at&t	2/18/00	500	38.61	19303.62	41216.00	
at&t	2/18/00	662	32.00	21181.11	731.27	
bristol myers	1/14/10	500	25.08	12623.00	12247.00	
capitol one	2/11/04	116	80.12	9294.40	1599.84	
capitol one	2/11/04	646	76.19	49219.76		
century link	6/13/96	287	111.94	32162.74	10811.00	
dt	5/21/99	953	26.50	25251.25	-21351.74	
home depot	3/23/10	500	32.41	16285.00	-9241.25	
intel	1/14/10	500	21.07	10588.60	23830.00	
kraft	11/09/09	333	28.23	9402.00	3071.40	
mondelez	10/2/12	1000	17.39	17392.00	10398.00	
piper jaffray	5/6/05	17	27.30	464.00	20228.00	
tenneco		40	15.22	609.00	285.00	
windstream	2/21/07	1000	14.46	14463.34	1941.00	
					-4893.34	
Total				310582.24	311356.00	773.76

Mondelez is a spin off from Kraft on 10/2/12. KRA-333 shares and MDLZ-1000 Windstream Corp exchanged for Windstream Holdings 9/3/13 --1000 shares.

CLEARED THROUGH ITS  
WHOLLY OWNED SUBSIDIARY

J.P. Morgan Clearing Corp.  
120 Wall Street  
Brooklyn, New York 11245-0001

THE ROTHKOPF FOUNDATION

PRIVILEGED ACCESS PLATINUM ACCOUNT

3 of 9

STATEMENT PERIOD  
May 1 - May 30, 2014

ACCOUNT NUMBER  
036-00707 018

LAST STATEMENT  
April 30, 2014

Portfolio Value

	THIS PERIOD	LAST PERIOD
Assets		
Sweep Program/Money Mkt Fds	19,915.13	21,821.80
Equities	311,356.00	301,399.00
<b>Total Assets</b>	<b>\$331,271.13</b>	<b>\$323,220.80</b>
<b>NET PORTFOLIO VALUE</b>	<b>\$331,271.13</b>	<b>\$323,220.80</b>

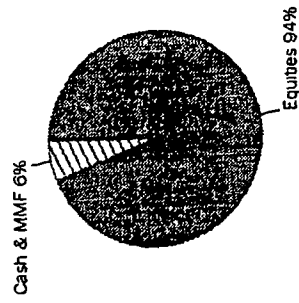
Cash Flow Analysis

	THIS PERIOD	THIS YEAR
Opening Cash/Sweep Prog.	\$21,821.80	\$20,751.15
Div/Inv/Other Inc (Credit)	943.30	3,717.95
<b>Amount Credited</b>	<b>\$943.30</b>	<b>\$3,717.95</b>
Checks Written	0.00	-1,119.00
Visa Debit Card Activity	-2,849.97	-3,434.97
<b>Amount Debited</b>	<b>\$-2,849.97</b>	<b>\$-4,553.97</b>
Net Cash/Sweep Prog. Act.	-1,906.67	-836.02
<b>Closing Cash/Sweep Prog.</b>	<b>\$19,915.13</b>	<b>\$19,915.13</b>

Income Summary

	THIS PERIOD	YEAR TO DATE
Dividends	943.12	3,717.06
Interest Income	0.18	0.71
<b>Total</b>	<b>\$943.30</b>	<b>\$3,717.77</b>

Your Portfolio  
Allocation



Unaudited portions denote debit balances and/or short market values. The allocation percentage is derived from the absolute market value of your portfolio.

CLEARED THROUGH ITS  
WHOLLY OWNED SUBSIDIARY

J.P. Morgan Cleared Corp.  
the one source for all  
Brooklyn, New York 11245-0001

**PRIVILEGED ACCESS PLATINUM ACCOUNT**

THE ROTHKOPF FOUNDATION

STATEMENT PERIOD  
May 1 - May 30, 2014  
ACCOUNT NUMBER  
086-00707 018  
LAST STATEMENT  
April 30, 2014

**Your Portfolio Holdings**

**CASH & MONEY MARKET FUNDS**

DESCRIPTION	TYPE	SYMBOL/CLISIP	QUANTITY	PRICE	MARKET VALUE
JPMORGAN DEPOSIT ACCOUNT B	CASH	200AV4004	19,915.13	1.0000	19,915
CURRENT YIELD 0.01%					
FDIC-INSURED					
SUBJECT TO APPLICABLE LIMITS					
NOT COVERED BY SIPC					

**TOTAL CASH & MONEY MARKET FUNDS** **\$19,915**

**EQUITIES**

**Equities & Options**

DESCRIPTION	SYMBOL/CLISIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
AT&T INC	T	CASH	1,162	35.4700	41,216	2,138	5.1873
AMERICAN WTR WKS CO INC	AWK	CASH	500	48.6100	24,305	620	2.5509
BRISTOL MYERS SQUIBB CO	BMY	CASH	500	49.7400	24,870	720	2.8951
CENTURYLINK INC	CTL	CASH	287	37.6700	10,811	620	5.7349
CAPITAL ONE FINANCIAL CORP	COF	CASH	762	78.8900	60,114	914	1.5204
DEUTSCHE TELEKOM AG SPONSORED ADR	DTEGY	CASH	953	16.8000	16,010	634	3.9600
E SPIRE COMMUNICATIONS INC	269153102	CASH	1,000	Unpriced			
INNOVATIVE CLINICAL SOLUTIONS	45767E305	CASH	1,080	Unpriced			
HOME DEPOT INC	HD	CASH	500	80.2300	40,115	940	2.3433
INTEL CORP	INTC	CASH	500	27.3200	13,660	450	3.2943
KRAFT FOODS GROUP INC COM	KRFT	CASH	333	59.4600	19,800	699	3.5303

CLEARED THROUGH ITS WHOLLY OWNED SUBSIDIARY

J.P. Morgan Chase  
Three Chase Metrotech Center  
Brooklyn, New York 11245-0001

THE ROTHKOPF FOUNDATION

STATEMENT PERIOD  
May 1 - May 30, 2014

ACCOUNT NUMBER  
036-00707 018

LAST STATEMENT  
April 30, 2014

5 of 9 PRIVILEGED ACCESS PLATINUM ACCOUNT

Your Portfolio Holdings (continued)

Equities & Options (Continued)

DESCRIPTION	SYMBOL/CUSIP	ACCT TYPE	QUANTITY	PRICE	MARKET VALUE	ESTIMATED ANNUAL INCOME	ESTIMATED YIELD (%)
MONDELEZ INTERNATIONAL INC COM	MDLZ	CASH	1,000	37.6200	37,620	560	1.4886
PAC-WEST TELECOMM INC	69371Y101	CASH	500	Unpriced			
PIPER JAFFRAY COS	PJC	CASH	17	44.0300	749		
REGIONS FINANCIAL CORP	RF	CASH	978	10.1900	9,966	196	1.9667
TENNECO INC	TEN	CASH	40	63.7500	2,550		
WINDSTREAM HLDGS INC COM	WIN	CASH	1,000	9.5700	9,570	1,000	10.4493
<b>Total Equities &amp; Options</b>					<b>\$311,356</b>	<b>\$9,491</b>	
<b>TOTAL EQUITIES</b>					<b>\$311,356</b>	<b>\$9,491</b>	

YOUR PORTFOLIO HOLDINGS ESTIMATED ANNUAL INCOME

YOUR PRICED PORTFOLIO HOLDINGS \$331,271

Transaction Detail

DIVIDENDS / INTEREST / OTHER INCOME

DIVIDENDS

DATE	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	RATE(S)	DEBIT AMOUNT	CREDIT AMOUNT
05/01/14	AT&T INC REC 04/10/14 PAY 05/01/14		1,162	0.4600		534.52
05/01/14	BRISTOL MYERS SQUIBB CO REC 04/04/14 PAY 05/01/14	BMY	500	0.3600		180.00

CLEARED THROUGH ITS  
WHOLLY OWNED SUBSIDIARY  
J.P. Morgan Clearing Corp.  
Brooklyn, New York 11245-0001

THE ROTHKOPF FOUNDATION

PRIVILEGED ACCESS PLATINUM ACCOUNT

6 of 9

STATEMENT PERIOD  
May 15, 2014  
ACCOUNT NUMBER  
1035-00707 018  
LAST STATEMENT DATE  
April 30, 2014

Transaction Detail (continued)

DIVIDENDS / INTEREST / OTHER INCOME (Continued)

DIVIDENDS (Continued)

DATE	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	RATE(S)	DEBIT AMOUNT	CREDIT AMOUNT
05/22/14	CAPITAL ONE FINANCIAL CORP REC 05/12/14 PAY 05/22/14	COF	762	0.3000		228.60
<b>TOTAL DIVIDENDS (CREDIT)</b>						<b>\$943.12</b>

INTEREST

DATE	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	RATE(S)	DEBIT AMOUNT	CREDIT AMOUNT
05/01/14	JPMORGAN DEPOSIT ACCOUNT JPMORGAN CHASE BANK, N.A. MONTHLY INTEREST 04/01-04/30	2110185				0.18
<b>TOTAL INTEREST (CREDIT)</b>						<b>\$0.18</b>
<b>TOTAL DIVIDENDS / INTEREST / OTHER INCOME (CREDIT)</b>						<b>\$943.30</b>

SWEEP PROGRAM ACTIVITY

DATE	TRANSACTION	DESCRIPTION	SYMBOL/CUSIP	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
05/01/14	REINVEST	OPENING BALANCE		21,821.80			
05/01/14	REINVEST	JPMORGAN DEPOSIT ACCOUNT B JPMORGAN CHASE BANK NA MONTHLY INTEREST REINVESTED	200AV4004	0.18		0.18	
05/01/14	DEPOSIT	JPMORGAN DEPOSIT ACCOUNT B JPMORGAN CHASE BANK NA INTRA-DAY DEPOSIT	200AV4004	714.52			714.52
05/15/14	WITHDRAWAL	JPMORGAN DEPOSIT ACCOUNT B JPMORGAN CHASE BANK NA INTRA-DAY WITHDRAWAL	200AV4004	-89			89.00

C

CLEARED THROUGH ITS WHOLLY OWNED SUBSIDIARY

J.P. Morgan Chase Bank  
 110 Wall Street  
 Brooklyn, New York 11248-0001

THE ROTHKOPF FOUNDATION

STATEMENT PERIOD  
 May 1 - May 30, 2014

ACCOUNT NUMBER  
 036-00707 018

LAST STATEMENT April 30, 2014

7 of 9 **PRIVILEGED ACCESS PLATINUM ACCOUNT**

**Transaction Detail (continued)**

**SWEEP PROGRAM ACTIVITY (Continued)**

DATE	DESCRIPTION	SYMBOL/CLISIP	QUANTITY	PRICE	DEBIT AMOUNT	CREDIT AMOUNT
05/22/14	JPMORGAN DEPOSIT ACCOUNT B JPMORGAN CHASE BANK NA INTRA-DAY DEPOSIT	200AV4004	193.60		193.60	
05/30/14	JPMORGAN DEPOSIT ACCOUNT B JPMORGAN CHASE BANK NA INTRA-DAY WITHDRAWAL	200AV4004	2,725.97			2,725.97
05/30/14	CLOSING BALANCE		19,915.13			
<b>NET SWEEPING ACTIVITY</b>					<b>-\$908.30</b>	<b>\$2,814.97</b>

**VISA DEBIT CARD ACTIVITY**

**Visa Purchases**

DATE CLEARED	TRANSACTION DATE	MERCHANT	LOCATION	REFERENCE NUMBER	DEBIT AMOUNT
05/15/14	05/12/14	WPY*CONGREGATION SHEARITH	855-469-3729	2444500GM2XJ5VWQ2	64.00
05/15/14	05/13/14	ADA-ONLINE(CONWIO)	703-549-1500 VA	2443106GN60E0VS5J	25.00
05/22/14	05/19/14	FWA OF NEW YORK EDUCATION	212-5332141, NY	2455930GWBLH667WV	35.00
05/30/14	05/27/14	THE JEWISH MUSEUM	845-256-0191, NY	2420298H4013KW5FW	2,500.00
05/30/14	05/28/14	BLK*HUNTER COLLEGE FND	212-650-3607, CA	2405523H42D9W5VJF	100.00
05/30/14	05/29/14	CORPORATION SERVICE CO	866-403-5272, DE	2469216H500SLYXGJ	125.97
<b>VISA PURCHASES</b>					<b>\$2,849.97</b>

CLEARED THROUGH ITS  
WHOLLY OWNED SUBSIDIARY

J.P. Morgan Clearing Corp.  
120 Wall Street, 15th Floor  
Brooklyn, New York 11245-0001

THE ROTHKOPF FOUNDATION

## PRIVILEGED ACCESS PLATINUM ACCOUNT

8 of 8

### Your messages



**Important Information For Clients Holding Restricted Securities:**  
Restricted Securities (typically noted as "Restricted" or "RSTD" in the security description) have not been registered under the Securities Act of 1933 and may not be "freely traded." Since restricted securities are subject to certain restrictions which may render them illiquid or less liquid than freely-tradeable shares, there can be no assurance a secondary market exists. While we typically use the value of the registered/unrestricted security of the same issuer and same class for statement (and other) reporting purposes, the price realizable in a sale of the securities may be less than the "Market Value" indicated and could be zero. No attempt has been made to independently value the specific security subject to its restriction. Additionally, inclusion of pricing of these holdings will result in the aggregated value of your portfolio as reflected on this report being overstated by an amount equal to the difference (if any) between the value of the freely-traded underlying security and the actual value of your restricted shares. For additional information on pricing, please see "Market Prices" on the back of your account statement.



You are advised to promptly report any inaccuracy or discrepancy in your account to your Financial Advisor and J.P. Morgan Clearing Corp. ("JPMCC") at the telephone numbers listed on this statement. In order to protect your rights, including any rights under the Securities Investor Protection Act ("SIPA"), any such communications should be reconfirmed in writing to your Financial Advisor and JPMCC at the addresses which appear on the front of this statement.

STATEMENT PERIOD

May 1, 2014 - May 30, 2014

ACCOUNT NUMBER

036-00707 018

LAST STATEMENT

April 30, 2014