Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 06-01-2013 , and ending 05-31-2014

Name of foundation
THE GOLDSTONE FUND INC

% JANET L MULLIGAN

Number and street (or P O box number if mail is not delivered to street address)
C/O TANTON COMPANY LLP
37 WEST 57TH STREET 5TH FLOOR Suit

Room/suite

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10019

A Employer identification number
13-6028782

B Telephone number (see instructions)
(212) 583-1100

C If exemption application is pending, check here ▶ ☐

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 7,381,438

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ________ *(Part I, column (d) must be on cash basis.)*

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments				
	4	Dividends and interest from securities	164,905	164,905		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	1,692,365			
	b	Gross sales price for all assets on line 6a 5,028,389				
	7	Capital gain net income (from Part IV, line 2)		1,692,365		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	1,857,270	1,857,270		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	5,500	0	0	5,500
	b	Accounting fees (attach schedule)	5,250	1,313	0	3,937
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	15,671	3,321		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	45,756	45,756		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	72,177	50,390	0	9,437
	25	Contributions, gifts, grants paid	291,175			291,175
	26	**Total expenses and disbursements.** Add lines 24 and 25	363,352	50,390	0	300,612
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	1,493,918			
	b	**Net investment income** (if negative, enter -0-)		1,806,880		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

			Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	190,977	1,211,124	1,211,124
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	3,483,990	3,892,592	6,170,314
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)	1,505	1,505	
	16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	3,676,472	5,105,221	7,381,438
Liabilities	17	Accounts payable and accrued expenses	112,144	46,975	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	112,144	46,975	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	3,564,328	5,058,246	
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see page 17 of the instructions)	3,564,328	5,058,246	
	31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	3,676,472	5,105,221	

Part III **Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,564,328
2	Enter amount from Part I, line 27a	2	1,493,918
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	5,058,246
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	5,058,246

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	SEE ATTACHMENT	P		
b	LITIG STTLMNT - ENERGY SOLUTIONS			2014-05-31
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	5,027,660		3,336,024	1,691,636
b	729			729
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				1,691,636
b				729
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	1,692,365
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	273,632	6,177,597	0 044294
2011	309,961	6,006,745	0 051602
2010	279,941	6,062,034	0 046179
2009	290,234	5,593,689	0 051886
2008	374,320	5,722,689	0 06541

2	**Total** of line 1, column (d)	2	0 259371
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 051874
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	6,847,252
5	Multiply line 4 by line 3	5	355,194
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	18,069
7	Add lines 5 and 6	7	373,263
8	Enter qualifying distributions from Part XII, line 4	8	300,612

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ____________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	36,138
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	36,138
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	36,138
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,500		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	35,000		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	37,500
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached			8	124
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	1,238
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 1,238 **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ____________ **(2)** On foundation managers ▶ $ ____________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ____________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶

14 The books are in care of ▶JANET L MULLIGAN Telephone no ▶(212) 583-1100

Located at ▶37 W 57TH ST 5TH FL NEW YORK NEW YORK NY ZIP +4 ▶10019

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		**Yes**	**No**
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☑ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☑			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☑

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ARTHUR H GOLDSTONE C/O TANTON CO LLP NEW YORK, NY 10019	PRESIDENT 0 5	0		
JANET L MULLIGAN C/O TANTON CO LLP NEW YORK, NY 10019	TREASURER/SECRETARY 0 5	0		
JANE G RITTMASTER 911 PARK AVENUE NEW YORK, NY 10021	VICE PRESIDENT 0 5	0		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	6,401,942
b	Average of monthly cash balances	1b	549,583
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	6,951,525
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	6,951,525
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	104,273
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,847,252
6	**Minimum investment return.** Enter 5% of line 5	6	342,363

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	342,363
2a	Tax on investment income for 2013 from Part VI, line 5	2a	36,138		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	36,138
3	Distributable amount before adjustments Subtract line 2c from line 1			3	306,225
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	306,225
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	306,225

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	300,612
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	300,612
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	300,612

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				306,225
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			291,149	
b Total for prior years 2011 , 2010 , 2009				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012. 0				
f **Total** of lines 3a through e.	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 300,612				
a Applied to 2012, but not more than line 2a			291,149	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				9,463
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0			0
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				296,762
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .				
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . . 0				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				291,175
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	164,905	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	1,692,365	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				1,857,270	

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 1,857,270

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		No
	(2) Other assets	1a(2)		No
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		No
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		No
	(3) Rental of facilities, equipment, or other assets	1b(3)		No
	(4) Reimbursement arrangements	1b(4)		No
	(5) Loans or loan guarantees	1b(5)		No
	(6) Performance of services or membership or fundraising solicitations	1b(6)		No
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2014-10-13 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
JANET MULLIGAN CPA				P00850378
Firm's name ▶ TANTON AND COMPANY LLP			Firm's EIN ▶	
Firm's address ▶ 37 WEST 57TH STREET 5TH FLOOR NEW YORK, NY 100193411			Phone no (212) 583-1100	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FSH SOCIETY 450 BEDFORD STREET LEXINGTON, MA 02420			GENERAL PURPOSE	10,000
CARNEGIE HALL SOCIETY 881 SEVENTH AVENUE NEW YORK, NY 10019			GENERAL PURPOSE	10,000
LINCOLN CENTER FOR THE PERFORMING ARTS 10 LINCOLN CENTER PLAZA NEW YORK, NY 10023			GENERAL PURPOSE	3,000
MUSEUM OF MODERN ART 11 WEST 53RD STREET NEW YORK, NY 10019			GENERAL PURPOSE	1,200
THE JED FOUNDATION 1140 BROADWAY SUITE 803 NEW YORK, NY 10001			GENERAL PURPOSE	500
ULLMAN FAMILY FUNDPHILLIPS ACADEMY 236 ROSELAND AVENUE ESSEX FALLS, NJ 07021			GENERAL PURPOSE	1,000
RICHARD TUCKER MUSIC FOUNDATION 1790 BROADWAY NEW YORK, NY 10019			GENERAL PURPOSE	1,000
MONTEFIORE MEDICAL CENTER 111 EAST 210TH STREET BRONX, NY 10467			GENERAL PURPOSE	1,000
THE JEWISH MUSEUM 1109 FIFTH AVENUE NEW YORK, NY 10128			GENERAL PURPOSE	1,000
MT SINAI ADOLESCENT CENTER ONE GUSTAVE L LEVY PLACE NEW YORK, NY 10029			GENERAL PURPOSE	1,000
MT SINAI MEDICAL CENTER - WOMENS HEALTH INITIATIVE ONE GUSTAVE L LEVY PLACE NEW YORK, NY 10029			GENERAL PURPOSE	15,000
CONGREGATION EMANU-EL 1 EAST 65TH STREET NEW YORK, NY 10065			GENERAL PURPOSE	5,000
RICHARD TUCKER MUSIC FOUNDATION 1790 BROADWAY NEW YORK, NY 10019			GENERAL PURPOSE	4,000
WESTCHESTER REFORM TEMPLE 255 MAMARONECK RD SCARSDALE, NY 10583			GENERAL PURPOSE	2,000
SCARSDALE ADULT SCHOOL PO BOX 205 SCARSDALE, NY 10583			GENERAL PURPOSE	1,000
Total . . . . ▶ **3a**				291,175

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LYMPHOMA RESEARCH FOUNDATION 115 BROADWAY SUITE 1301 NEW YORK, NY 10006			GENERAL PURPOSE	1,000
WOMEN TO WOMEN 3 MARINA ROAD YARMUTH, ME 04096			GENERAL PURPOSE	20,000
GREENWICH HOSPITAL 5 PERRYRIDGE ROAD GREENWICH, CT 06830			GENEAL PURPOSE	3,000
MT SINAI BREAST HEALTH RESOURCES PROGRAM ONE GUSTAVE L LEVY PLACE NEW YORK, NY 10029			GENERAL PURPOSE	1,000
CENTRAL PARK CONSERVANCY 14 EAST 60TH STREET NEW YORK, NY 10022			GENERAL PURPOSE	1,000
BLYTHEDALE CHILDRENS HOSPITAL 95 BRADHURST AVENUE VALHALLA, NY 10595			GENERAL PURPOSE	5,000
HORACE MANN SCHOOL 231 WEST 246TH STREET BRONX, NY 10471			GENERAL PURPOSE	20,000
BLYTHEDALE CHILDRENS HOSPITAL 95 BRADHURST AVENUE VALHALLA, NY 10595			GENERAL PURPOSE	25,000
JEWISH GUILD HEALTHCARE 15 WEST 65TH STREET NEW YORK, NY 10023			GENERAL PURPOSE	10,000
MT SINAI AUXILLIARY BOARD ONE GUSTAVE L LEVY PLACE NEW YORK, NY 10029			GENERAL PURPOSE	5,000
ICAHN SCHOOL OF MEDICINE AT MT SINAI ONE GUSTAVE L LEVY PLACE NEW YORK, NY 10029			GENERAL PURPOSE	1,000
NATIONAL ALOPECIA AREATA FOUNDATION 14 MITCHELL BOULEVARD SAN RAFAEL, CA 94903			GENERAL PURPOSE	1,000
THE DOE FUND 232 EAST 84TH STREET NEW YORK, NY 10028			GENERAL PURPOSE	1,000
THE CHAPPAQUA ORCHESTRA PO BOX 461 CHAPPAQUA, NY 10514			GENERAL PURPOSE	2,500
NEW ALTERNATIIVES FOR CHILDREN INC 37 WEST 26TH STREET 6 NEW YORK, NY 10010			GENERAL PURPOSE	1,000
Total . . . . ▶ 3a				291,175

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
BACHMANN-STRAUSS DYSTONIA & PARKINSON FOUNDATION 551 FIFTH AVENUE 520 NEW YORK, NY 10176			GENERAL PURPOSE	1,000
BLYTEHDALE CHILDRENS HOSPITAL 95 BRADHURST AVENUE VALHALLA, NY 10595			GENERAL PURPOSE	4,000
UNITED CONGREGATIONAL CHURCH OF LITTLE COMPTON 4 COMMONS LANE LITTLE COMPTON, RI 02837			GENERAL PURPOSE	2,500
AUDUBON SOCIETY OF RHODE ISLAND 12 SANDERSON ROAD SMITHFIELD, RI 02917			GENERAL PURPOSE	2,500
THINK NEW MEXICO 1227 PASEO DE PERALTA SANTA FE, NM 87051			GENERAL PURPOSE	500
FRIENDS OF THE BROWNELL LIBRARY 44 COMMONS LANE LITTLE COMPTON, RI 02837			GENERAL PURPOSE	1,500
THE NATURE CONSERVANCY OF RHODE ISLAND 159 WATERMAN STREET PROVIDENCE, RI 02906			GENERAL PURPOSE	25,000
LAND TRUST COUNCILTSNE 89 SOUTH STREET SUITE 700 BOSTON, MA 02111			GENERAL PURPOSE	3,000
CORNELL UNIVERSITY ITHACA ITHACA, NY 14850			GENERAL PURPOSE	30,000
CORNELL UNIVERSITY ITHACA ITHACA, NY 14859			GENERAL PURPOSE	5,000
THE OVARIAN TRANSLATION GENE FUND ONE GUSTAVE L LEVY PLACE NEW YORK, NY 10029			GENERAL PURPOSE	15,000
ST BERNARDS SCHOOL 4 EAST 98th STREET NEW YORK, NY 10029			GENERAL PURPOSE	5,000
ST BERNARDS SCHOOL 4 EAST 98TH STREET NEW YORK, NY 10029			GENEAL PURPOSE	5,000
LYMPHOMA RESEARCH FOUNDATION 115 BROADWAY SUITE 1301 NW YORK, NY 10006			GENERAL PURPOSE	15,000
MT SINAI HOSPITAL ONE GUSTAVE L LEVY PLACE NEW YORK, NY 10029			GENERAL PURPOSE	8,075
Total . . . . ▶ **3a**				291,175

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
LITTLE COMPTON AGRICULTURAL CONSERVANCY TRUST PO BOX 226 LITTLE COMPTON, RI 02837			GENERAL PURPOSE	6,500
SAKONNET PRESERVATION ASSOCIATION 7 S OF COMMONS ROAD LITTLE COMPTON, RI 02837			GENERAL PURPOSE	5,000
METROPOLITAN MUSEUM 1000 FIFTH AVENUE NEW YORK, NY 10028			GENERAL PURPOSE	1,200
MUSEUM OF MODERN ART 11 WEST 53RD STREET NEW YORK, NY 10019			GENERAL PURPOSE	1,200
Total . . . . ▶ **3a**				291,175

TY 2013 Accounting Fees Schedule

Name: THE GOLDSTONE FUND INC

EIN: 13-6028782

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TANTON & CO	5,250	1,313		3,937

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: THE GOLDSTONE FUND INC

EIN: 13-6028782

TY 2013 Investments Corporate Stock Schedule

Name: THE GOLDSTONE FUND INC

EIN: 13-6028782

Name of Stock	End of Year Book Value	End of Year Fair Market Value
VARIOUS THRU SCHWAB	3,892,592	6,170,314

TY 2013 Investments Government Obligations Schedule

Name: THE GOLDSTONE FUND INC

EIN: 13-6028782

US Government Securities - End of Year Book Value:

US Government Securities - End of Year Fair Market Value:

State & Local Government Securities - End of Year Book Value:

State & Local Government Securities - End of Year Fair Market Value:

TY 2013 Investments - Land Schedule

Name: THE GOLDSTONE FUND INC

EIN: 13-6028782

TY 2013 Land, Etc. Schedule

Name: THE GOLDSTONE FUND INC

EIN: 13-6028782

TY 2013 Legal Fees Schedule

Name: THE GOLDSTONE FUND INC

EIN: 13-6028782

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FULLBRIGHT & JAWORSKI LLP	5,500			5,500

TY 2013 Other Assets Schedule

Name: THE GOLDSTONE FUND INC

EIN: 13-6028782

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DUE FROM OFFICERS	1,505	1,505	

TY 2013 Other Expenses Schedule

Name: THE GOLDSTONE FUND INC

EIN: 13-6028782

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT ADVISORY FEES	45,101	45,101		
FILING FEES	250	250		
MISCELLANEOUS FEES	405	405		

TY 2013 Other Income Schedule

Name: THE GOLDSTONE FUND INC

EIN: 13-6028782

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
OTHER INCOME			

TY 2013 Taxes Schedule

Name: THE GOLDSTONE FUND INC

EIN: 13-6028782

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAX WITHHELD	3,321	3,321		
FEDERAL TAX	12,350			

The Goldstone Fund, Inc
FEIN : 13-6028782
Capital Gains Schedule
FYE 5/31/2014

Shs	Description	Purchase Date	Sales Date	Proceeds	Cost	Capital Gain
Charles Schwab Acct #4166-0513						
500	Digital Realty Trust	4/24/2013	5/29/2013	30,707 97	36,787 00	(6,079 03)
1,000	Digital Realty Trust	5/20/2010	5/29/2013	61,415 93	54,215 00	7,200 93
400	Siemens A G ADR	4/24/2013	7/25/2013	41,587 58	40,923 80	663 78
1,000	Northern Trust Corp	7/23/1991	7/1/2013	59,380 97	1,025 70	58,355 27
500	Siemens A G ADR	3/13/2007	7/25/2013	51,984 47	52,283 00	(298 53)
100	Siemens A G ADR	5/15/2008	7/25/2013	10,396 89	11,552 89	(1,156 00)
250,000	US T-Bill due 7/25/13		7/25/2013	250,000 00	250,000 00	
2,000	Exxon Mobil Corp	7/23/1991	8/5/2013	182,280 02	4,417 27	177,862 75
200,000	American Express 7 3%	8/19/2008	8/20/2013	200,000 00	200,976 00	(976 00)
800	SPDR S&P China ETF	1/7/2010	9/11/2013	59,964 39	59,905 92	58 47
1,000	Exxon Mobil Corp	7/23/1991	10/10/2013	84,851 42	2,208 64	82,642 78
500	Northern Trust Corp	7/23/1991	10/10/2013	27,110 03	512 85	26,597 18
1,000	Exxon Mobil Corp	7/23/1991	10/23/2013	87,218 48	2,208 63	85,009 85
250,000	US T-Bill due 1/23/14		1/23/2014	250,000 00	250,000 00	
				1,396,898 15	967,016 70	429,881 45
First Manhattan Acct #54Z 010768						
4,000	Standard Charterd PLC	10/10/2013	2/5/2014	81,293 00	94,738 73	(13,445 73)
5,000	ABB Ltd Spon ADR	various	2/5/2014	123,672 84	81,856 40	41,816 44
2,000	Abbvie Inc	10/14/2013	2/5/2014	99,273 27	91,419 60	7,853 67
2,400	American Express	various	2/5/2014	205,021 43	22,556 43	182,465 00
4,528	BNY Mellon Corp	7/23/1991	2/5/2014	144,264 90	6,176 54	138,088 36
1,000	Chevron Corp	various	2/5/2014	112,013 05	73,568 30	38,444 75

5,000	Cisco Systems	various	2/5/2014	109,073.10	91,265.75	17,807.35
1,200	Deere & Co	various	2/5/2014	103,821.19	99,480.64	4,340.55
1,800	Emerson Electric Co	various	2/5/2014	118,679.87	79,288.00	39,391.87
1,000	Fedex Corp	various	2/5/2014	133,098.18	86,545.76	46,552.42
3,000	Freeport McMoran Copper	various	2/5/2014	97,563.30	95,981.25	1,582.05
6,000	General Electric	7/23/1991	2/5/2014	151,292.36	6,294.74	144,997.62
1,500	HSBC Holdings	10/10/2013	2/5/2014	77,087.60	81,511.70	(4,424.10)
3,000	Hartford Financial Services	10/10/2013	2/5/2014	99,892.16	97,548.80	2,343.36
1,200	Hess Corp	7/3/2013	2/5/2014	90,289.38	80,485.16	9,804.22
500	IBM Corp	5/21/2009	2/5/2014	87,820.47	51,244.30	36,576.17
6,000	Ishares Inc MSCI Japan	5/11/2004	2/5/2014	67,863.81	56,153.80	11,710.01
2,400	Ishares Tr China Large Cap ETF	8/22/2005	2/5/2014	82,365.56	50,716.40	31,649.16
1,500	Ishares Tr Emrg Mkts ETF	11/6/2009	2/5/2014	56,666.51	59,504.60	(2,838.09)
3,500	Ishares TR S&P US Stk Index	various	2/5/2014	132,622.69	141,418.00	(8,795.31)
2,500	Metlife Inc	various	2/5/2014	122,273.87	98,080.50	24,193.37
2,500	Newmont Mining Corp	various	2/5/2014	57,598.99	63,035.42	(5,436.43)
3,000	Norfolk Southern Corp	7/23/1991	2/5/2014	276,270.19	11,428.47	264,841.72
2,500	Northern Trust Corp	7/23/1991	2/5/2014	149,601.39	2,598.23	147,003.16
1,500	Pepsico Inc	various	2/5/2014	120,174.95	49,222.99	70,951.96
8,000	Powershares ETF Fin PFD	various	2/5/2014	138,292.59	148,982.60	(10,690.01)
6,000	Powershares ETF Senior LN Port	various	2/5/2014	149,312.40	150,874.60	(1,562.20)
10,000	Powershares Global ETF	various	2/5/2014	138,072.59	149,294.80	(11,222.21)
1,500	Qualcomm Corp	various	2/5/2014	109,905.08	93,458.21	16,446.87
1,500	Rockwell Collins Inc	various	2/5/2014	112,572.04	100,657.70	11,914.34
1,200	Royal Dutch Shell	various	2/5/2014	83,013.55	53,952.20	29,061.35
				3,630,762.31	2,369,340.62	1,261,421.69
				5,027,660.46	3,336,357.32	1,691,303.14