



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Department of the Treasury
Internal Revenue Service

- ▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013, or tax year beginning 5/01, 2013, and ending 4/30, 2014

WINKELMANN CHARITABLE FOUNDATION
23922 CINCO VILLAGE CENTER BLVD #250
KATY, TX 77494A Employer identification number
76-0450020B Telephone number (see the instructions)
281/574-1774

G Check all that apply:

☐ Initial return	☐ Initial return of a former public charity
☐ Final return	☐ Amended return
☐ Address change	☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
 ▶ \$ 950,352.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
 (Part I, column (d) must be on cash basis.)

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc. received (att sch)	50,000.			
	2 Ck ☐ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	20.	20.	20.	
	4 Dividends and interest from securities	13,761.	13,761.	13,761.	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	49,238.			
	b Gross sales price for all assets on line 6a	169,522.			
	7 Capital gain net income (from Part IV, line 2)		49,238.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	113,019.	63,019.	13,781.		
ADMINISTRATIVE AND OPERATING EXPENSES	13 Compensation of officers, directors, trustees, etc.	0.			
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) See St 1	1,200.			1,200.
	c Other prof fees (attach sch)				
	17 Interest				
	18 Taxes (attach schedule)(see instrs) See Stm 2	1,791.			1,791.
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) See Statement 3	6,340.	6,340.		
	24 Total operating and administrative expenses. Add lines 13 through 23	9,331.	6,340.		2,991.
	25 Contributions, gifts, grants paid Part XV	43,060.			43,060.
26 Total expenses and disbursements. Add lines 24 and 25	52,391.	6,340.	0.	46,051.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	60,628.				
b Net investment income (if negative, enter -0-)		56,679.			
c Adjusted net income (if negative, enter -0-)			13,781.		

618 14

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	197,541.	202,948.	202,948.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	501,648.	556,869.	747,404.
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment: basis			
Less: accumulated depreciation (attach schedule)				
12 Investments — mortgage loans				
13 Investments — other (attach schedule)				
14 Land, buildings, and equipment: basis				
Less: accumulated depreciation (attach schedule)				
15 Other assets (describe)				
16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item i)	699,189.	759,817.	950,352.	
LIABILITIES	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
NET FUND ASSETS	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	X		
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	699,189.	759,817.	
	30 Total net assets or fund balances (see instructions)	699,189.	759,817.	
	31 Total liabilities and net assets/fund balances (see instructions)	699,189.	759,817.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	699,189.
2 Enter amount from Part I, line 27a	2	60,628.
3 Other increases not included in line 2 (itemize)	3	
4 Add lines 1, 2, and 3	4	759,817.
5 Decreases not included in line 2 (itemize)	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	759,817.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a PUB TRADED SECURITIES		P	Various	Various
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 169,522.		120,284.	49,238.
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			49,238.
b			
c			
d			
e			

2 Capital gain net income or (net capital loss).	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	49,238.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	20,501.	664,829.	0.030837
2011	14,682.	583,856.	0.025147
2010	16,203.	584,647.	0.027714
2009	14,475.	470,718.	0.030751
2008	39,387.	432,115.	0.091149

2 Total of line 1, column (d)	2	0.205598
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.041120
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	793,862.
5 Multiply line 4 by line 3	5	32,644.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	567.
7 Add lines 5 and 6	7	33,211.
8 Enter qualifying distributions from Part XII, line 4	8	46,051.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. ☒ and enter 1% of Part I, line 27b		1	567.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	567.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	567.
6 Credits/Payments.			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a		
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments Add lines 6a through 6d.	7		0.
8 Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached	8		10.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed See Statement 4	9		597.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2014 estimated tax Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) TX		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

BAA

Form 990-PF (2013)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>DONALD L. LACKEY, JR., C.P.A.</u> Telephone no. <u>713/664-5522</u> Located at <u>1080 W SAM HOUSTON PKWY N HOUSTON TX</u> ZIP + 4 <u>77043</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>N/A</u>	15		N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country <u></u>	16		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ☐	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

BAA

Form 990-PF (2013)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?N/A ☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If 'Yes' to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SAMUEL A WINKELMANN, JR 19814 TIMBERWIND LN HOUSTON, TX 77094	PRES 1.00	0.	0.	0.
TERRY L DIGGERS 505 DANCE WEST COLUMBIA, TX 77486	V PRES 0	0.	0.	0.
DONALD BOGY 609 MARION WEST COLUMBIA, TX 77486	SEC TREAS 0	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
	0	0.	0.	0.

Total number of other employees paid over \$50,000

0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1 a	582,633.
b Average of monthly cash balances	1 b	223,318.
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	805,951.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	805,951.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	12,089.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	793,862.
6 Minimum investment return. Enter 5% of line 5	6	39,693.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	39,693.
2a Tax on investment income for 2013 from Part VI, line 5	2 a	567.
b Income tax for 2013. (This does not include the tax from Part VI)	2 b	
c Add lines 2a and 2b	2 c	567.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	39,126.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	39,126.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	39,126.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	46,051.
b Program-related investments — total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	46,051.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	567.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	45,484.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				39,126.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			9,062.	
b Total for prior years: 20 , 20 , 20		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: \$ 46,051.				
a Applied to 2012, but not more than line 2a			9,062.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2013 distributable amount				36,989.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount — see instructions.		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount — see instructions.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				2,137.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part VII Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Statement 5				
Total			3 a	43,060.
b Approved for future payment				
Total			3 b	

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**
► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

WINKELMANN CHARITABLE FOUNDATION

Employer identification number

76-0450020

Organization type (check one)

Filers of:

Form 990 or 990-EZ

Section:

- ☐ 501(c)() (enter number) organization
☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
☐ 527 political organization

Form 990-PF

- ☒ 501(c)(3) exempt private foundation
☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. (Complete Parts I and II.)

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF) but it **must** answer 'No' on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

BAA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

WINKELMANN CHARITABLE FOUNDATION

76-0450020

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	SAMUEL A WINKELMANN, JR 23922 CINCO VILLAGE CENTR #250 KATY, TX 77494	\$ 50,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

WINKELMANN CHARITABLE FOUNDATION

Employer identification number

76-0450020

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8) or (10)**organizations that total more than \$1,000 for the year.** Complete columns (a) through (e) and the following line entry.For organizations completing Part III, enter total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year (Enter this information once See instructions.)

► \$ N/A

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	N/A		
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

BAA

WINKELMANN CHARITABLE FOUNDATION

76-0450020

Statement 1
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
RETURN PREPARATION .	\$ 1,200.			\$ 1,200.
Total	<u>\$ 1,200.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 1,200.</u>

Statement 2
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
EXCISE TAX	\$ 448.			\$ 448.
TAX PENALTY	1,343.			1,343.
Total	<u>\$ 1,791.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>	<u>\$ 1,791.</u>

Statement 3
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
MONEY MANAGEMENT FEE	\$ 6,340.	\$ 6,340.		
Total	<u>\$ 6,340.</u>	<u>\$ 6,340.</u>	<u>\$ 0.</u>	<u>\$ 0.</u>

Statement 4
Form 990-PF, Part VI, Line 9
Tax Due

Tax Due	\$ 577.
Late Payment Penalty	14.
Late Interest	6.
Total	<u>\$ 597.</u>

WINKELMANN CHARITABLE FOUNDATION

76-0450020

Statement 5
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
ACT FOR 8 PUBLIC TV 4513 CULLEN BLVD HOUSTON, TX 77004,	N/A	PUBLIC	GENERAL	\$ 60.
KINGSLAND BAPTIST CHURCH 20555 KINGSLAND BLVD KATY, TX 77450,	N/A	CHURCH	GENERAL	11,100.
W COLUMBIA VOL FIRE DEPT P O BOX 306 WEST COLUMBIA, TX 77486,	N/A	PUBLIC	GENERAL	500.
CENTRAL EMERGENCY MEDICAL SER 400 NORTH 13TH WEST COLUMBIA, TX 77486,	N/A	PUBLIC	GENERAL	500.
HOUSTON LIVESTOCK SHOW & RODEO P O BOX 20070 HOUSON, TX 77225,	N/A	PUBLIC	GENERAL	500.
THE ORTHODONTIC FOUNDATION 830 S MASON RD. STE A-7 KATY, TX 77450,	N/A	EDUCAT	GENERAL	5,000.
CHRISTIAN SENIOR CITIZENS CNTR 629 E BERNARD WEST COLUMBIA, TX 77486,	N/A	PUBLIC	GENERAL	3,500.
GRACE BIBLE BAPTIST CHURCH 2905 COUNTY ROAD 450 WEST COLUMBIA, TX 77486	N/A	CHURCH	GENERAL	500.
EASTER SEALS 233 S WACKER DR #2400 CHICAGO, IL 60606	N/A	PUBLIC	GENERAL	50.
GULF PRAIRE PRESBYTERIAN CHURCH 231 GULF PRAIRE RD LAKE JACKSON, TX 77566	N/A	CHURCH	GENERAL	500.
KLEIN BOOSTER CLUB 16715 STUEBNER AIRLINE KLEIN, TX 77379	N/A	PUBLIC	GENERAL	50.
BRAEBURN VALLEY BAPTIST CHURCH 7711 S BRAESWOOD BLVD HOUSTON, TX 77071	N/A	CHURCH	GENERAL	500.

WINKELMANN CHARITABLE FOUNDATION

76-0450020

Statement 5 (continued)
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

<u>Name and Address</u>	<u>Donee Relationship</u>	<u>Found- ation Status</u>	<u>Purpose of Grant</u>	<u>Amount</u>
PROSTRATE CANCER FUND 1250 FOURTH ST SANTA MONICA, CA 90401	N/A	PUBLIC	GENERAL	\$ 100.
MAKE A WISH 4742 N 24TH ST #400 PHOENIZ, AZ 85016	N/A	PUBLIC	GENERAL	100.
UNIVERSITY OF TEXAS SCIENCE CENTER P O BOX 1321 HOUSTON, TX 77251	N/A	EDUCATION	RESEARCH	20,000.
NEW SHORES BAPTIST CHURCH 6303 FM 1459 SWEENEY, TX 77480	N/A	CHURCH	GENERAL	100.
Total				\$ <u>43,060.</u>

Morgan Stanley

CLIENT STATEMENT | For the Period April 1-30, 2014

Page 6 of 44

Account Detail

Select UMA Basic Securities Account
060-894414-334

WINKELMANN CHARITABLE
FOUNDATION ATTN: S WINKELMANN/ MDAO

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
AKAMAI TECHNOLOGIES INC (AKAM)								
	3/18/11	20,000	\$35.973	\$719.46	\$1,061.40	\$341.94 LT		
	11/10/11	1,000	28.920	28.92	53.07	24.15 LT		
	5/17/12	15,000	29.419	441.29	796.05	354.76 LT		
	2/7/13	22,000	35.024	770.53	1,167.54	397.01 LT		
	12/2/13	14,000	44.115	617.61	742.98	125.37 ST		
Total		72,000		2,577.81	3,821.04	1,117.86 LT 125.37 ST		
Share Price: \$53.070								
ALASKA AIR GROUP INCORPORATED (ALK) 7/11/13								
		8,000	57.500	460.00	752.64	292.64 ST	8.00	1.06
Share Price \$94.080; Next Dividend Payable 06/2014								
ALLIED WORLD ASSUR CO HLDG LTD (AWH) 4/10/07								
		6,000	42.808	256.85	646.14	389.29 LT		
	4/20/09	10,000	37.834	378.34	1,076.90	698.56 LT		
	3/16/11	15,000	62.329	934.93	1,615.35	680.42 LT		
Total		31,000		1,570.12	3,338.39	1,768.27 LT	62.00	1.85
Share Price \$107.690; Next Dividend Payable 07/2014								
ALLISON TRANSMIN HLDGS INC (ALSN) 2/25/14								
		39,000	29.499	1,150.45	1,163.76	13.31 ST		
	4/22/14	38,000	30.109	1,144.16	1,133.92	(10.24) ST		
Total		77,000		2,294.61	2,297.68	3.07 ST	37.00	1.61
Share Price: \$29.840; Next Dividend Payable 06/2014								
AMAZON COM INC (AMZN)								
	6/9/06	8,000	33.839	270.71	2,433.04	2,162.33 LT		
	11/10/11	5,000	210.010	1,050.05	1,520.65	470.60 LT		
	4/25/14	2,000	307.995	615.99	608.26	(7.73) ST		
Total		15,000		1,936.75	4,561.95	2,632.93 LT (7.73) ST		
Share Price: \$304.130								
AMC NETWORKS INC CL A (AMCX)								
	8/22/03	0.602	9.213	5.55	39.53	33.98 LT		
	2/24/04	3.653	12.748	46.57	239.89	193.32 LT		
	7/8/04	3.653	8.125	29.68	239.89	210.21 LT		
	11/30/05	6.089	12.524	76.26	399.86	323.60 LT		
	11/10/11	1.003	33.898	34.00	65.86	31.86 LT		
Total		15,000		192.06	985.05	792.97 LT		
Share Price \$65.670								
AMGEN INC (AMGN)								
	5/4/10	11,000	56.692	623.61	1,229.25	605.64 LT	27.00	2.19
Share Price \$111.750; Next Dividend Payable 06/2014								

7-1

CLIENT STATEMENT | For the Period April 1-30, 2014

Select UMA Basic Securities Account
060-894414-334

WINKELMANN CHARITABLE

FOUNDATION ATTN: S WINKELMANN/ MDAO

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ANADARKO PETE (APC)								
	12/28/06	30,000	43.927	1,317.80	2,970.60	1,652.80 LT		
	4/11/12	6,000	74.597	447.58	594.12	146.54 LT		
	6/1/12	9,000	58.780	529.02	891.18	362.16 LT		
	12/2/13	7,000	88.364	618.55	693.14	74.59 ST		
Total		52,000		2,912.95	5,149.04	2,161.50 LT 74.59 ST	37.00	0.71
ANHEUSER BUSCH INBEV SA SPON (BUD)								
	1/6/11	8,000	57.630	461.04	846.56	385.52 LT		
	2/2/11	11,000	56.326	619.59	1,164.02	544.43 LT		
	11/10/11	6,000	57.310	343.86	634.92	291.06 LT		
Total		25,000		1,424.49	2,645.50	1,221.01 LT	58.00	2.19
APPLE INC (AAPL)								
	8/8/11	1,000	362.950	362.95	590.09	227.14 LT		
	8/23/11	1,000	365.900	365.90	590.09	224.19 LT		
	11/10/11	1,000	384.030	384.03	590.09	206.06 LT		
	1/30/13	1,000	459.530	459.53	590.09	130.56 LT		
	3/19/13	4,000	459.622	1,838.49	2,360.36	521.87 LT		
	3/19/13	1,000	459.622	459.62	590.09	130.47 LT		
	4/23/13	1,000	405.950	405.95	590.09	184.14 LT		
	5/7/13	1,000	456.690	456.69	590.09	133.40 ST		
Total		11,000		4,733.16	6,490.99	1,624.43 LT 133.40 ST	145.00	2.23
APPLIED MATERIALS INC (AMAT)								
	4/29/08	37,000	19.026	703.94	705.22	1.28 LT		
	8/5/09	55,000	13.816	759.89	1,048.30	288.41 LT		
	11/4/10	115,000	12.744	1,465.54	2,191.90	726.36 LT		
	11/10/11	12,000	12.198	146.38	228.72	82.34 LT		
	5/8/12	71,000	11.100	788.09	1,353.26	565.17 LT		
	12/2/13	34,000	17.167	583.67	648.04	64.37 ST		
Total		324,000		4,447.51	6,175.44	1,663.56 LT 64.37 ST	130.00	2.10
AT&T INC (T)								
	6/12/09	11,000	24.886	273.75	392.70	118.95 LT		
	6/15/09	40,000	24.442	977.69	1,428.00	450.31 LT		
	10/21/10	10,000	28.333	283.33	357.00	73.67 LT		
	7/29/11	10,000	29.209	292.09	357.00	64.91 LT		
	11/10/11	11,000	29.108	320.19	392.70	72.51 LT		

A.2

Morgan Stanley

CLIENT STATEMENT | For the Period April 1-30, 2014

Page 8 of 44

WINKELMANN CHARITABLE
FOUNDATION ATTN: S WINKELMANN/ MDAO

Select UMA Basic Securities Account
060-894414-334

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	12/2/13	7,000	34.906	244.34	249.90	5.56 ST		
Total		89,000		2,391.39	3,177.30	780 35 LT 5.56 ST	164.00	5.16
Share Price: \$35.700; Next Dividend Payable 05/01/14								
AUTODESK INC DELAWARE (ADSK)								
	11/18/05	25,000	40.478	1,011.95	1,200.50	188.55 LT		
	2/10/06	20,000	37.385	747.70	960.40	212.70 LT		
	8/10/11	6,000	28.782	172.69	288.12	115.43 LT		
	11/10/11	2,000	32.590	65.18	96.04	30.86 LT		
	8/28/12	12,000	31.455	377.46	576.24	198.78 LT		
Total		65,000		2,374.98	3,121.30	746.32 LT	—	—
Share Price: \$48.020								
AXIS CAPITAL HOLDINGS LTD (AXS)								
	3/22/12	16,000	32.220	515.52	732.00	216.48 LT		
	4/9/12	12,000	33.438	401.25	549.00	147.75 LT		
	4/16/12	15,000	33.533	503.00	686.25	183.25 LT		
	4/18/12	14,000	33.695	471.73	640.50	168.77 LT		
	5/25/12	9,000	33.970	305.73	411.75	106.02 LT		
	7/6/12	18,000	33.239	598.31	823.50	225.19 LT		
	12/2/13	7,000	48.550	339.85	320.25	(19.60) ST		
Total		91,000		3,135.39	4,163.25	1,047.46 LT (19.60) ST	98.00	2.35
Share Price: \$45.750; Next Dividend Payable 07/2014								
BAKER HUGHES INC (BHI)								
	12/28/12	20,000	39.992	799.84	1,398.00	598.16 LT	12.00	0.85
Share Price: \$69.900; Next Dividend Payable 05/2014								
BHP BILLITON LTD (BHP)								
	3/25/09	5,000	45.614	228.07	352.70	124.63 LT		
	12/23/09	15,000	75.387	1,130.80	1,058.10	(72.70) LT		
	11/10/11	2,000	76.070	152.14	141.08	(11.06) LT		
	5/8/12	4,000	69.380	277.52	282.16	4.64 LT		
	9/24/13	3,000	67.027	201.08	211.62	10.54 ST		
	12/2/13	4,000	67.138	268.55	282.16	13.61 ST		
Total		33,000		2,258.16	2,327.82	45.51 LT 24.15 ST	78.00	3.35
Share Price: \$70.540; Next Dividend Payable 09/2014								
BIOGEN IDEC INC (BIIB)								
	10/27/05	15,000	38.509	577.63	4,306.80	3,729.17 LT		
	10/27/05	7,000	38.509	269.56	2,009.84	1,740.28 LT		
	11/10/11	2,000	111.880	223.76	574.24	350.48 LT		
	4/25/14	1,000	292.530	292.53	287.12	(5.41) ST		

4-3

CLIENT STATEMENT | For the Period April 1-30, 2014

Select UMA Basic Securities Account
060-894414-334

WINKELMANN CHARITABLE
FOUNDATION ATTN. S WINKELMANN/ MDAO

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		25,000		1,363.48	7,178.00	5,819.93 LT (5.41) ST		
Share Price: \$287.120								
BLACKROCK INC (BLK)								
	4/8/09	1,000	128.525	128.53	301.00	172.47 LT		
	5/21/10	1,000	164.260	164.26	301.00	136.74 LT		
	5/21/10	2,000	164.265	328.53	602.00	273.47 LT		
	11/9/10	6,000	166.277	997.66	1,806.00	808.34 LT		
	11/9/10	7,000	166.276	1,163.92	2,107.00	943.08 LT		
	11/9/10	1,000	166.276	166.28	301.00	134.72 LT		
	11/9/10	1,000	166.276	166.28	301.00	134.72 LT		
	11/9/10	1,000	166.276	166.28	301.00	134.72 LT		
	7/22/11	1,000	189.110	189.11	301.00	111.89 LT		
	11/10/11	1,000	159.040	159.04	301.00	141.96 LT		
	11/10/11	3,000	159.040	477.12	903.00	425.88 LT		
Total		25,000		4,107.01	7,525.00	3,417.99 LT	193.00	2.56
Share Price: \$301.000; Next Dividend Payable 06/2014								
BOEING CO (BA)								
	8/19/08	3,000	62.954	188.86	387.06	198.20 LT		
	9/21/10	13,000	64.228	834.97	1,677.26	842.29 LT		
	3/16/11	11,000	67.987	747.86	1,419.22	671.36 LT		
	11/10/11	7,000	64.716	453.01	903.14	450.13 LT		
Total		34,000		2,224.70	4,386.68	2,161.98 LT	99.00	2.25
Share Price: \$129.020; Next Dividend Payable 06/2014								
BRISTOL MYERS SQUIBB CO (BMY)								
	9/18/13	19,000	46.158	876.99	951.71	74.72 ST		
	1/13/14	4,000	56.550	226.20	200.36	(25.84) ST		
	1/28/14	9,000	50.879	457.91	450.81	(7.10) ST		
	3/24/14	4,000	51.078	204.31	200.36	(3.95) ST		
	4/16/14	4,000	48.940	195.76	200.36	4.60 ST		
Total		40,000		1,961.17	2,003.60	42.43 ST	58.00	2.89
Share Price: \$50.090; Next Dividend Payable 05/01/14								
BROADCOM CORP CL A (BRCM)								
	7/13/06	21,000	26.534	557.21	646.80	89.59 LT R		
	7/13/06	1,000	26.530	26.53	30.80	4.27 LT R		
	10/28/09	2,000	25.915	51.83	61.60	9.77 LT R		
	10/28/09	3,000	25.913	77.74	92.40	14.66 LT R		
	5/10/11	4,000	32.790	131.16	123.20	(7.96) LT R		
	5/10/11	5,000	32.788	163.94	154.00	(9.94) LT R		
	5/17/11	13,000	32.661	424.59	400.40	(24.19) LT R		

A-4

Select UMA Basic Securities Account
060-894414-334

WINKELMANN CHARITABLE
FOUNDATION ATTN. S WINKELMANN/ MDAO

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	5/17/11	13.000	32.661	424.59	400.40	(24.19) LT R		
	10/4/11	7.000	31.696	221.87	215.60	(6.27) LT R		
	10/4/11	8.000	31.696	253.57	246.40	(7.17) LT R		
	11/10/11	12.000	33.376	400.51	369.60	(30.91) LT R		
	11/10/11	12.000	33.376	400.51	369.60	(30.91) LT R		
	12/9/11	8.000	29.400	235.20	246.40	11.20 LT R		
	12/9/11	9.000	29.400	264.60	277.20	12.60 LT R		
	7/23/13	5.000	31.652	158.26	154.00	(4.26) ST R		
	7/23/13	5.000	31.652	158.26	154.00	(4.26) ST R		
	8/12/13	16.000	25.980	415.68	492.80	77.12 ST R		
	8/12/13	16.000	25.980	415.68	492.80	77.12 ST R		
	12/2/13	3.000	26.980	80.94	92.40	11.46 ST		
	12/2/13	12.000	26.978	323.73	369.60	45.87 ST		
Total		175.000		5,186.40	5,390.01	0.55 LT	84.00	1.56
						203.05 ST		

Share Price: \$30.800; Next Dividend Payable 06/2014

CABLEVISION SYSTEMS CORP (CVC)

	7/8/04	12.000	5.364	64.37	200.40	136.03 LT		
	11/30/05	25.000	8.271	206.78	417.50	210.72 LT		
	11/8/11	31.000	15.199	471.18	517.70	46.52 LT		
	11/10/11	29.000	14.909	432.36	484.30	51.94 LT		
	5/8/12	37.000	12.836	474.93	617.90	142.97 LT		
	10/2/13	15.000	17.018	255.27	250.50	(4.77) ST		
	12/2/13	19.000	16.815	319.49	317.30	(2.19) ST		
Total		168.000		2,224.38	2,805.60	588.18 LT	101.00	3.59
						(6.96) ST		

Share Price: \$16.700; Next Dividend Payable 07/2014

CAMERON INTNL CORP (CAM)

	6/23/11	2.000	45.816	91.63	129.92	38.29 LT		
	6/24/11	6.000	45.978	275.87	389.76	113.89 LT		
	7/14/11	4.000	49.668	198.67	259.84	61.17 LT		
	8/5/11	9.000	47.836	430.52	584.64	154.12 LT		
	11/8/11	2.000	52.345	104.69	129.92	25.23 LT		
	11/10/11	10.000	50.080	500.80	649.60	148.80 LT		
	5/8/12	7.000	46.670	326.69	454.72	128.03 LT		
	7/31/13	5.000	58.994	294.97	324.80	29.83 ST		
	10/24/13	4.000	54.905	219.62	259.84	40.22 ST		
	12/2/13	3.000	55.327	165.98	194.88	28.90 ST		

WINKELMANN CHARITABLE
FOUNDATION ATTN: S WINKELMANN/ MDAOSelect UMA Basic Securities Account
060-894414-334

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		52,000		2,609.44	3,377.92	669.53 LT 98.95 ST		
Share Price: \$64.960								
CARNIVAL CP NEW PAIRED COM (CCL)								
	4/17/09	10,000	27.190	271.90	393.10	121.20 LT		
	4/20/09	13,000	25.518	331.74	511.03	179.29 LT		
	6/25/10	10,000	32.398	323.98	393.10	69.12 LT		
	3/9/11	7,000	41.301	289.11	275.17	(13.94) LT		
	11/2/11	7,000	33.900	237.30	275.17	37.87 LT		
	11/10/11	14,000	32.786	459.01	550.34	91.33 LT		
	5/8/12	10,000	31.676	316.76	393.10	76.34 LT		
	12/2/13	5,000	36.076	180.38	196.55	16.17 ST		
Total		76,000		2,410.18	2,987.56	561.21 LT 16.17 ST	76.00	2.54
Share Price: \$39.310; Next Dividend Payable 06/20/14								
CATERPILLAR INC (CAT)								
	4/22/13	9,000	80.962	728.66	948.60	219.94 LT		
	5/17/13	8,000	87.646	701.17	843.20	142.03 ST		
Total		17,000		1,429.83	1,791.80	219.94 LT 142.03 ST	41.00	2.28
Share Price: \$105.400; Next Dividend Payable 05/20/14								
CELGENE CORP (CELG)								
	1/28/11	18,000	52.386	942.94	2,646.18	1,703.24 LT		
	11/10/11	5,000	64.278	321.39	735.05	413.66 LT		
	4/16/14	5,000	142.810	714.05	735.05	21.00 ST		
Total		28,000		1,978.38	4,116.28	2,116.90 LT 21.00 ST		
Share Price: \$147.010								
CHARLES SCHWAB NEW (SCHW)								
	2/19/09	21,000	13.000	272.99	557.55	284.56 LT		
	5/21/10	10,000	16.574	165.74	265.50	99.76 LT		
	11/5/10	35,000	16.028	560.99	929.25	368.26 LT		
	11/10/11	20,000	12.067	241.33	531.00	289.67 LT		
Total		86,000		1,241.05	2,283.30	1,042.25 LT	21.00	0.91
Share Price: \$26.550; Next Dividend Payable 05/20/14								
CHECK POINT SOFTWARE TECH LTD (CHKP)								
	6/21/13	5,000	49.232	246.16	320.30	74.14 ST		
	6/24/13	5,000	49.434	247.17	320.30	73.13 ST		
	6/26/13	6,000	50.712	304.27	384.36	80.09 ST		
	7/5/13	5,000	51.624	258.12	320.30	62.18 ST		
	7/8/13	1,000	51.100	51.10	64.06	12.96 ST		

Select UMA Basic Securities Account
060-894414-334

WINKELMANN CHARITABLE
FOUNDATION ATTN: S WINKELMANN/ MDAO

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		22,000		1,106.82	1,409.32	302.50 ST		
Share Price: \$64.060								
CHEVRON CORP (CVX)								
	3/2/12	15,000	109.617	1,644.25	1,882.80	238.55 LT		
	4/11/12	10,000	100.856	1,008.56	1,255.20	246.64 LT		
	7/23/12	22,000	107.811	2,371.85	2,761.44	389.59 LT		
	3/26/13	8,000	121.000	968.00	1,004.16	36.16 LT		
	12/2/13	8,000	122.413	979.30	1,004.16	24.86 ST		
Total		63,000		6,971.96	7,907.76	910.94 LT 24.86 ST	252.00	3.18
Share Price: \$125.520, Next Dividend Payable 06/2014								
CHUBB CORP (CB)								
	12/18/03	8,000	32.900	263.20	736.64	473.44 LT		
	6/2/06	25,000	50.132	1,253.29	2,302.00	1,048.71 LT		
	11/10/11	7,000	66.806	467.64	644.56	176.92 LT		
Total		40,000		1,984.13	3,683.20	1,699.07 LT	80.00	2.17
Share Price: \$92.080, Next Dividend Payable 07/2014								
CISCO SYS INC (CSCO)								
	5/26/11	95,000	16.277	1,546.33	2,195.45	649.12 LT		
	11/10/11	4,000	18.698	74.79	92.44	17.65 LT		
	11/10/11	6,000	18.698	112.19	138.66	26.47 LT		
	12/28/12	15,000	19.548	293.22	346.65	53.43 LT		
	12/2/13	39,000	21.120	823.68	901.29	77.61 ST		
Total		159,000		2,850.21	3,674.49	746.67 LT 77.61 ST	121.00	3.29
Share Price: \$23.110, Next Dividend Payable 07/2014								
CITIGROUP INC NEW (C)								
	3/13/13	12,000	46.843	562.12	574.92	12.80 LT		
	3/27/13	13,000	44.376	576.89	622.83	45.94 LT		
	3/27/13	11,000	44.418	488.60	527.01	38.41 LT		
	5/7/13	9,000	48.034	432.31	431.19	(1.12) ST		
	5/8/13	9,000	48.973	440.76	431.19	(9.57) ST		
	5/8/13	11,000	49.315	542.47	527.01	(15.46) ST		
	10/16/13	23,000	50.094	1,152.17	1,101.93	(50.24) ST		
	12/2/13	5,000	52.900	264.50	239.55	(24.95) ST		
	12/20/13	15,000	52.363	785.45	718.65	(66.80) ST		
	1/7/14	18,000	53.997	971.94	862.38	(109.56) ST		
	2/28/14	18,000	48.687	876.36	862.38	(13.98) ST		

A-7

Account Detail

Select UMA Basic Securities Account
060-894414-334

WINKELMANN CHARITABLE

FOUNDATION ATTN. S. WINKELMANN/ MDAO

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		144,000		7,093.57	6,899.04	97.15 LT (291.68) ST	6.00	0.08
Share Price: \$47.910; Next Dividend Payable 05/2014								
CITRIX SYSTEMS INC (CTXS)								
	10/15/10	5,000	59.036	295.18	296.55	1.37 LT		
	10/15/10	1,000	59.036	59.04	59.31	0.27 LT		
	10/15/10	1,000	59.036	59.04	59.31	0.27 LT		
	10/15/10	1,000	59.036	59.04	59.31	0.27 LT		
	10/15/10	1,000	59.036	59.04	59.31	0.27 LT		
	11/4/10	1,000	65.370	65.37	59.31	(6.06) LT		
	11/16/10	1,000	63.190	63.19	59.31	(3.88) LT		
	2/2/11	1,000	65.670	65.67	59.31	(6.36) LT		
	11/6/12	3,000	64.878	194.63	177.93	(16.70) LT		
	11/6/12	1,000	64.880	64.88	59.31	(5.57) LT		
	11/6/12	1,000	64.878	64.88	59.31	(5.57) LT		
	12/4/12	2,000	61.110	122.22	118.62	(3.60) LT		
	12/4/12	10,000	61.108	611.08	593.10	(17.98) LT		
	4/5/13	1,000	68.750	68.75	59.31	(9.44) LT		
	4/5/13	7,000	68.749	481.24	415.17	(66.07) LT		
	4/25/13	2,000	64.255	128.51	118.62	(9.89) LT		
	4/25/13	2,000	64.255	128.51	118.62	(9.89) LT		
	5/3/13	1,000	63.580	63.58	59.31	(4.27) ST		
	5/3/13	1,000	63.580	63.58	59.31	(4.27) ST		
	5/17/13	5,000	67.278	336.39	296.55	(39.84) ST		
	5/28/13	7,000	64.986	454.90	415.17	(39.73) ST		
	10/9/13	2,000	67.335	134.67	118.62	(16.05) ST		
	10/9/13	5,000	67.332	336.66	296.55	(40.11) ST		
	12/2/13	12,000	58.435	701.22	711.72	10.50 ST		
	12/2/13	8,000	58.441	467.53	474.48	6.95 ST		
Total		82,000		5,148.80	4,863.42	(158.56) LT (126.82) ST		

Share Price: \$59.370

CME GROUP INC (CME)

	3/1/11	10,000	61.285	612.85	703.90	91.05 LT		
	3/10/11	10,000	59.548	595.48	703.90	108.42 LT		
Total		20,000		1,208.33	1,407.80	199.47 LT	38.00	2.69

Share Price: \$70.390; Next Dividend Payable 06/2014

A-8

Account Detail

Select UMA Basic Securities Account
060-894414-334

WINKELMANN CHARITABLE
FOUNDATION ATTN. S WINKELMANN/ MDAO

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
COACH INC (COH)								
	4/11/13	10,000	52.443	524.43	446.50	(77.93) LT		
	4/12/13	9,000	52.327	470.94	401.85	(69.09) LT		
	4/15/13	1,000	51.940	51.94	44.65	(7.29) LT		
	4/24/13	16,000	56.250	900.00	714.40	(185.60) LT		
	12/2/13	3,000	56.577	169.73	133.95	(35.78) ST		
Total		39,000		2,117.04	1,741.35	(339.91) LT (35.78) ST	53.00	3.04
COCA COLA CO (KO)								
Share Price: \$44.650; Next Dividend Payable 06/2014								
	11/30/04	24,000	19.670	472.08	978.96	506.88 LT		
	1/12/06	50,000	20.690	1,034.48	2,039.50	1,005.02 LT		
	11/10/11	2,000	33.620	67.24	81.58	14.34 LT		
	12/2/13	4,000	40.078	160.31	163.16	2.85 ST		
Total		80,000		1,734.11	3,263.20	1,526.24 LT 2.85 ST	98.00	3.00
COMCAST CORP CL A SPECIAL NEW (CMCSK)								
Share Price: \$40.790; Next Dividend Payable 07/2014								
	10/27/05	20,000	17.988	359.76	1,020.80	661.04 LT		
	10/27/05	30,999	17.988	557.63	1,582.19	1,024.56 LT		
	10/27/05	3,000	17.988	53.97	153.12	99.15 LT		
	3/14/08	20,000	18.614	372.27	1,020.80	648.53 LT		
	3/14/08	38,000	18.613	707.30	1,939.52	1,232.22 LT		
	10/5/09	6,000	14.563	87.38	306.24	218.86 LT		
	10/5/09	12,000	14.563	174.75	612.48	437.73 LT		
	5/26/11	9,000	23.503	211.53	459.36	247.83 LT		
	5/26/11	18,000	23.503	423.05	918.72	495.67 LT		
	6/17/11	5,000	22.370	111.85	255.20	143.35 LT		
	6/17/11	10,000	22.369	223.69	510.40	286.71 LT		
	7/14/11	3,000	24.000	72.00	153.12	81.12 LT		
	7/14/11	7,000	24.000	168.00	357.28	189.28 LT		
	11/10/11	13,000	21.900	284.70	663.52	378.82 LT		
	11/10/11	25,001	21.899	547.50	1,276.05	728.55 LT		
Total		220,000		4,355.38	11,228.80	6,873.42 LT	198.00	1.76
COVIDIEN PLC (COV)								
Share Price: \$51.040; Next Dividend Payable 07/2014								
	4/27/05	5,620	36.286	203.93	400.42	196.49 LT		
	5/3/05	3,724	32.991	122.86	265.33	142.47 LT		
	6/22/05	3,724	35.908	133.72	265.33	131.61 LT		
	1/18/06	9,931	30.521	303.10	707.58	404.48 LT		

A-9

Account Detail

Select UMA Basic Securities Account
060-894414-334
WINKELMANN CHARITABLE
FOUNDATION ATTN: S WINKELMANN/ MDAO

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	11/10/11	6,001	42.436	254.66	427.57	172.91 LT		
Total		29,000		1,018.27	2,066.25	1,047.96 LT	37.00	1.79
Share Price: \$71.250; Next Dividend Payable 05/05/14								
CREE RESEARCH INC (CREE)								
	6/26/03	6,000	17.431	104.58	283.02	178.44 LT		
	3/23/11	2,000	45.245	90.49	94.34	3.85 LT		
	4/20/11	12,000	39.143	469.72	566.04	96.32 LT		
	11/10/11	15,000	28.092	421.38	707.55	286.17 LT		
	4/18/12	5,000	29.692	148.46	235.85	87.39 LT		
	10/30/13	2,000	61.425	122.85	94.34	(28.51) ST		
	12/2/13	6,000	57.597	345.58	283.02	(62.56) ST		
Total		48,000		1,703.06	2,264.16	652.17 LT (91.07) ST		

Share Price: \$47.170

CVS CAREMARK CORP (CVS)

	1/9/09	9,000	26.193	235.73	654.48	418.75 LT		
	11/19/09	13,000	30.943	402.26	945.36	543.10 LT		
	11/20/09	17,000	31.441	534.50	1,236.24	701.74 LT		
	11/2/10	19,000	30.668	582.69	1,381.68	798.99 LT		
	11/10/11	2,000	38.380	76.76	145.44	68.68 LT		
Total		60,000		1,831.94	4,363.20	2,531.26 LT	66.00	1.51

Share Price: \$72.720; Next Dividend Payable 05/02/14

DEVON ENERGY CORP NEW (DEVN)

	7/17/09	5,000	55.650	278.25	350.00	71.75 LT		
	8/11/09	34,000	64.249	2,184.47	2,380.00	195.53 LT		
	4/25/11	5,000	88.000	440.00	350.00	(90.00) LT		
	11/2/11	3,000	65.277	195.83	210.00	14.17 LT		
	11/10/11	6,000	67.000	402.00	420.00	18.00 LT		
	5/8/12	8,000	63.765	510.12	560.00	49.88 LT		
	9/26/12	7,000	58.996	412.97	490.00	77.03 LT		
	10/2/13	7,000	58.326	408.28	490.00	81.72 ST		
	12/2/13	7,000	60.656	424.59	490.00	65.41 ST		
Total		82,000		5,256.51	5,740.00	336.36 LT 147.13 ST	79.00	1.37

Share Price: \$70.000; Next Dividend Payable 06/20/14

DIRECTV COM (DTV)

	1/11/06	8,000	12.748	101.98	620.80	518.82 LT		
	3/31/08	20,000	19.472	389.43	1,552.00	1,162.57 LT		
	11/10/11	2,000	45.330	90.66	155.20	64.54 LT		

A-10

Account Detail

Select UMA Basic Securities Account
060-894414-334WINKELMANN CHARITABLE
FOUNDATION ATTN: S WINKELMANN/ MDAO

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		30,000		582.07	2,328.00	1,745.93 LT		
Share Price: \$77.600								
DOLBY CLA A COM STK (DLB)	10/16/08	2,000	28.470	56.94	79.70	22.76 LT		
	10/23/08	4,000	29.405	117.62	159.40	41.78 LT		
	10/27/08	4,000	30.458	121.83	159.40	37.57 LT		
	10/28/08	5,000	29.222	146.11	199.25	53.14 LT		
	5/27/09	10,000	36.811	368.11	398.50	30.39 LT		
	2/4/11	3,000	56.320	168.96	119.55	(49.41) LT		
	4/6/11	1,000	49.010	49.01	39.85	(9.16) LT		
	4/12/11	2,000	50.060	100.12	79.70	(20.42) LT		
	4/15/11	2,000	47.090	94.18	79.70	(14.48) LT		
	8/5/11	7,000	31.054	217.38	278.95	61.57 LT		
	11/10/11	7,000	28.919	202.43	278.95	76.52 LT		
	9/24/13	24,000	35.015	840.36	956.40	116.04 ST		
Total		71,000		2,483.05	2,829.35	230.26 LT		
Share Price: \$39.850								
DU PONT EI DE NEMOURS & CO (DD)	12/27/12	16,000	44.977	719.62	1,077.12	357.50 LT		
	12/28/12	19,000	44.996	854.92	1,279.08	424.16 LT		
Total		35,000		1,574.54	2,356.20	781.66 LT	63.00	2.67
Share Price: \$67.320, Next Dividend Payable 06/2014								
EATON CORP PLC SHS (ETN)	12/3/12	31,000	51.029	1,581.89	2,251.84	669.95 LT R		
	3/27/14	1,000	73.110	73.11	72.64	(0.47) ST		
Total		32,000		1,655.00	2,324.48	669.95 LT	63.00	2.71
Share Price: \$72.640; Next Dividend Payable 06/2014								
EBAY INC (EBAY)	7/25/08	11,000	25.215	277.37	570.13	292.76 LT		
	12/21/09	2,000	22.800	45.60	103.66	58.06 LT		
	12/21/09	7,000	22.799	159.59	362.81	203.22 LT		
	12/21/09	4,000	22.800	91.20	207.32	116.12 LT		
	12/22/09	5,000	22.844	114.22	259.15	144.93 LT		
	12/22/09	6,000	22.843	137.06	310.98	173.92 LT		
	2/5/10	10,000	22.536	225.36	518.30	292.94 LT		
	2/5/10	11,000	22.536	247.90	570.13	322.23 LT		
	11/10/11	22,000	30.508	671.18	1,140.26	469.08 LT		
	11/10/11	24,000	30.508	732.20	1,243.92	511.72 LT		

A-11

Account Detail

Select UMA Basic Securities Account
060-894414-334WINKELMANN CHARITABLE
FOUNDATION ATTN: S WINKELMANN/ MDAO

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
EMC CORP MASS (EMC)								
Share Price \$51.830								
	12/2/13	10,000	51.387	513.87	518.30	4.43 ST		
	12/2/13	5,000	51.410	257.05	259.15	2.10 ST		
Total		117,000		3,472.60	6,064.11	2,584.98 LT 6.53 ST		
EMC CORP MASS (EMC)								
Share Price \$51.830								
	10/18/11	20,000	23.737	474.74	516.00	41.26 LT		
	11/8/11	22,000	24.964	549.21	567.60	18.39 LT		
	11/10/11	17,000	23.988	407.80	438.60	30.80 LT		
	1/29/13	12,000	23.845	286.14	309.60	23.46 LT		
	4/30/13	10,000	22.414	224.14	258.00	33.86 ST		
	12/2/13	14,000	23.697	331.76	361.20	29.44 ST		
Total		95,000		2,273.79	2,451.00	113.91 LT 63.30 ST	44.00	1.79
EMCOR GROUP INC (EME)								
Share Price: \$25.800, Next Dividend Payable 07/2014								
	8/30/10	5,000	22.600	113.00	229.95	116.95 LT		
	9/16/10	5,000	23.750	118.75	229.95	111.20 LT		
	11/10/11	3,000	24.017	72.05	137.97	65.92 LT		
Total		13,000		303.80	597.87	294.07 LT	4.00	0.66
ERICSSON LM TEL ADR CL B NEW (ERIC)								
Share Price: \$45.990; Next Dividend Payable 07/2014								
	1/26/10	6,000	9.725	58.35	71.88	13.53 LT		
	7/22/11	22,000	13.134	288.95	263.56	(25.39) LT		
	11/10/11	87,000	9.927	863.67	1,042.26	178.59 LT		
	5/8/12	63,000	9.117	574.39	754.74	180.35 LT		
	12/2/13	44,000	12.406	545.88	527.12	(18.76) ST		
Total		222,000		2,331.24	2,659.56	347.08 LT (18.76) ST	67.00	2.51
EXXON MOBIL CORP (XOM)								
Share Price: \$11.980								
	7/11/12	10,000	84.159	841.59	1,024.10	182.51 LT		
	7/11/12	11,000	84.076	924.84	1,126.51	201.67 LT		
	7/12/12	10,000	84.148	841.48	1,024.10	182.62 LT		
	7/12/12	11,000	84.147	925.62	1,126.51	200.89 LT		
	12/2/13	5,000	93.680	468.40	512.05	43.65 ST		
Total		47,000		4,001.93	4,813.27	767.69 LT 43.65 ST	118.00	2.45
Share Price \$102.410, Next Dividend Payable 06/2014								

4-12

Morgan Stanley

CLIENT STATEMENT | For the Period April 1-30, 2014

Page 18 of 44

Account Detail

Select UMA Basic Securities Account
060-894414-334

WINKELMANN CHARITABLE
FOUNDATION ATTN. S WINKELMANN/ MDAO

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
FACEBOOK INC CL-A (FB)								
	4/10/13	6,000	27.560	165.36	358.68	193.32 LT		
	4/23/13	13,000	26.249	341.24	777.14	435.90 LT		
	6/6/13	13,000	22.928	298.07	777.14	479.07 ST		
	12/2/13	4,000	47.070	188.28	239.12	50.84 ST		
Total		36,000		992.95	2,152.08	629.22 LT 529.91 ST		
FIRST REPUBLIC BANK (FRC)								
	7/5/11	16,000	31.108	497.73	812.16	314.43 LT		
	11/10/11	2,000	28.150	56.30	101.52	45.22 LT		
	7/27/12	14,000	32.249	451.49	710.64	259.15 LT		
Total		32,000		1,005.52	1,624.32	618.80 LT	18.00	1.10
FLUOR CORP NEW (FLR)								
	11/7/09	5,000	48.078	240.39	378.50	138.11 LT		
	1/7/09	7,000	48.078	336.55	529.90	193.35 LT		
	1/7/09	4,000	48.078	192.31	302.80	110.49 LT		
	2/9/09	2,000	41.640	83.28	151.40	68.12 LT		
	2/9/09	3,000	41.637	124.91	227.10	102.19 LT		
	2/10/09	2,000	42.680	85.36	151.40	66.04 LT		
	2/10/09	3,000	42.680	128.04	227.10	99.06 LT		
	4/7/09	9,000	37.437	336.93	681.30	344.37 LT		
	4/7/09	11,000	37.436	411.80	832.70	420.90 LT		
	10/4/11	2,000	46.160	92.32	151.40	59.08 LT		
	11/10/11	3,000	53.730	161.19	227.10	65.91 LT		
	11/10/11	5,000	53.730	268.65	378.50	109.85 LT		
Total		56,000		2,461.73	4,239.20	1,777.47 LT	47.00	1.13
FOREST LABORATORIES INC (FRX)								
	5/31/06	1,000	37.415	37.41	91.91	54.50 LT		
	9/6/07	25,000	41.013	1,025.33	2,297.75	1,272.42 LT		
	12/27/07	30,000	36.977	1,109.30	2,757.30	1,648.00 LT		
	4/4/11	9,000	32.861	295.75	827.19	531.44 LT		
	4/5/11	4,000	32.968	131.87	367.64	235.77 LT		
	4/6/11	2,000	32.995	65.99	183.82	117.83 LT		
	4/7/11	1,000	32.990	32.99	91.91	58.92 LT		
	11/10/11	23,000	29.908	687.88	2,113.93	1,426.05 LT		
Total		95,000		3,386.52	8,731.45	5,344.93 LT		

Share Price: \$91.910

Account Detail

Select UMA Basic Securities Account
060-894414-334WINKELMANN CHARITABLE
FOUNDATION ATTN: S WINKELMANN/ MDAO

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
FRANKLIN RESOURCES INC (BEN)	10/17/08	3,000	22.072	66.22	157.05	90.83 LT		
	5/20/09	30,000	20.980	629.40	1,570.50	941.10 LT		
	11/10/11	12,000	34.800	417.60	628.20	210.60 LT		
	Total	45,000		1,113.22	2,355.75	1,242.53 LT	22.00	0.93
Share Price: \$52.350; Next Dividend Payable 07/2014								
FREEMPORT MCMORAN CP&GLD (FCX)	2/20/09	21,000	14.159	297.34	721.77	424.43 LT		
	9/28/11	4,000	33.235	132.94	137.48	4.54 LT		
	11/10/11	1,000	39.100	39.10	34.37	(4.73) LT		
	5/8/12	5,000	35.266	176.33	171.85	(4.48) LT		
	9/24/13	5,000	33.888	169.44	171.85	2.41 ST		
	12/2/13	5,000	34.386	171.93	171.85	(0.08) ST		
Total		41,000		987.08	1,409.17	419.76 LT	51.00	3.61

Share Price: \$34.370; Next Dividend Payable 05/01/14

GENERAL ELECTRIC CO (GE)

GENERAL ELECTRIC CO (GE)	1/8/07	9,000	37.470	337.23	242.01	(95.22) LT		
	1/8/07	1,000	37.470	37.47	26.89	(10.58) LT		
	1/8/07	1,000	37.470	37.47	26.89	(10.58) LT		
	11/2/10	40,000	15.911	636.43	1,075.60	439.17 LT		
	11/10/11	23,000	16.020	368.46	618.47	250.01 LT		
	11/13/13	36,000	27.078	974.82	968.04	(6.78) ST		
	11/15/13	18,000	27.218	489.92	484.02	(5.90) ST		
	12/2/13	1,000	26.680	26.68	26.89	0.21 ST		
	12/2/13	6,000	26.678	160.07	161.34	1.27 ST		
	1/10/14	1,000	26.990	26.99	26.89	(0.10) ST		
	1/10/14	22,000	26.994	593.87	591.58	(2.29) ST		
	1/30/14	25,000	25.546	638.65	672.25	33.60 ST		
	1/30/14	1,000	25.550	25.55	26.89	1.34 ST		
	2/5/14	2,000	24.440	48.88	53.78	4.90 ST		
	2/5/14	2,000	24.440	48.88	53.78	4.90 ST		
	2/10/14	24,000	25.074	601.78	645.36	43.58 ST		
Total		212,000		5,053.15	5,700.68	572.80 LT	187.00	3.28
GLAXOSMITHKLINE PLC ADS (GSK)	1/20/10	35,000	42.021	1,470.72	1,937.95	467.23 LT		
	8/3/11	1,000	43.430	43.43	55.37	11.94 LT		
	11/10/11	3,000	44.150	132.45	166.11	33.66 LT		

Share Price: \$26.890; Next Dividend Payable 07/2014

GLAXOSMITHKLINE PLC ADS (GSK)

Morgan Stanley

Page 20 of 44

CLIENT STATEMENT | For the Period April 1-30, 2014

Select UMA Basic Securities Account
060-894414-334

WINKELMANN CHARITABLE
FOUNDATION ATTN. S WINKELMANN/ MDAO

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	12/2/13	2,000	53.070	106.14	110.74	4.60 ST	101.00	4.44
Total		41,000		1,752.74	2,270.17	512.83 LT 4.60 ST		
Share Price: \$55.370, Next Dividend Payable 07/2014								
GOLDMAN SACHS GRP INC (GS)								
	12/13/12	9,000	118.500	1,066.50	1,438.38	371.88 LT		
	3/1/13	1,000	150.810	150.81	159.82	9.01 LT		
	3/7/13	3,000	156.620	469.86	479.46	9.60 LT		
	3/27/13	8,000	147.509	1,180.07	1,278.56	98.49 LT		
	5/7/13	4,000	149.413	597.65	639.28	41.63 ST		
Total		25,000		3,464.89	3,995.50	488.98 LT 41.63 ST	55.00	1.37
Share Price: \$159.820, Next Dividend Payable 06/2014								
GOOGLE INC CL C (GOOG)								
	9/23/08	1,000	217.720	217.72	526.66	308.94 LT		
	10/23/08	2,000	174.355	348.71	1,053.32	704.61 LT		
	3/7/11	2,000	296.170	592.34	1,053.32	460.98 LT		
Total		5,000		1,158.77	2,633.30	1,474.53 LT	—	—
Share Price: \$526.660								
GOOGLE INC-CL A (GOOGL)								
	9/23/08	1,000	218.410	218.41	534.88	316.47 LT		
	10/23/08	2,000	174.910	349.82	1,069.76	719.94 LT		
	3/7/11	2,000	297.120	594.24	1,069.76	475.52 LT		
Total		5,000		1,162.47	2,674.40	1,511.93 LT	—	—
Share Price: \$534.880								
GREENHILL & CO INC (GHL)								
	7/25/12	10,000	37.300	373.00	501.50	128.50 LT	18.00	3.58
Share Price: \$50.150, Next Dividend Payable 06/2014								
HALLIBURTON CO (HAL)								
	10/17/11	4,000	34.775	139.10	252.28	113.18 LT		
	10/17/11	12,000	34.775	417.30	756.84	339.54 LT		
	10/18/11	7,000	35.173	246.21	441.49	195.28 LT		
	2/3/12	7,000	36.629	256.40	441.49	185.09 LT		
	5/8/12	17,000	32.214	547.64	1,072.19	524.55 LT		
Total		47,000		1,606.65	2,964.29	1,357.64 LT	28.00	0.94
Share Price: \$63.070, Next Dividend Payable 06/2014								
HESS CORPORATION (HES)								
	8/7/09	2,000	55.189	110.38	178.32	67.94 LT		
	8/17/09	6,000	49.163	294.98	534.96	239.98 LT		
	6/24/10	5,000	54.680	273.40	445.80	172.40 LT		
	8/18/11	7,000	55.099	385.69	624.12	238.43 LT		
	5/8/12	24,000	48.930	1,174.32	2,139.84	965.52 ST D		

51-11

Select UMA Basic Securities Account
060-894414-334

WINKELMANN CHARITABLE
FOUNDATION ATTN: S WINKELMANN/ MDAO

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	12/2/13	3,000	81.120	243.36	267.48	24.12 ST D		
Total		47,000		2,482.13	4,190.52	718.75 LT 989.64 ST	47.00	1.12

Share Price \$89.160; Next Dividend Payable 06/2014

HOME DEPOT INC (HD)

	4/25/08	12,000	29.196	350.35	954.12	603.77 LT		
	4/25/08	3,000	29.196	87.59	238.53	150.94 LT		
	5/27/08	17,000	26.924	457.71	1,351.67	893.96 LT		
	5/27/08	20,000	26.925	538.49	1,590.20	1,051.71 LT		
	6/24/08	18,000	24.957	449.22	1,431.18	981.96 LT		
	6/24/08	22,000	24.957	549.05	1,749.22	1,200.17 LT		
	11/10/11	2,000	37.105	74.21	159.02	84.81 LT		
	11/10/11	4,000	37.108	148.43	318.04	169.61 LT		
	12/2/13	3,000	79.960	239.88	238.53	(1.35) ST		
	12/2/13	7,000	79.960	559.72	556.57	(3.15) ST		
Total		108,000		3,454.65	8,587.08	5,136.93 LT (4.50) ST	203.00	2.37

Share Price: \$79.510; Next Dividend Payable 06/2014

HONEYWELL INTERNATIONAL INC (HON)

	11/3/05	32,000	35.449	1,134.37	2,972.80	1,838.43 LT		
	11/10/11	9,000	53.459	481.13	836.10	354.97 LT		
	12/2/13	4,000	88.410	353.64	371.60	17.96 ST		
Total		45,000		1,969.14	4,180.50	2,193.40 LT 17.96 ST	81.00	1.93

Share Price: \$92.900; Next Dividend Payable 06/2014

IMMUNOGEN INC (IMGN)

	10/26/10	22,000	8.007	176.14	284.68	108.54 LT		
	10/27/10	21,000	7.947	166.88	271.74	104.86 LT		
	11/8/10	2,000	7.920	15.84	25.88	10.04 LT		
	2/2/11	2,000	8.500	17.00	25.88	8.88 LT		
	11/11/13	16,000	14.782	236.51	207.04	(29.47) ST		
Total		63,000		612.37	815.22	232.32 LT (29.47) ST	—	—

Share Price: \$12.940

ISIS PHARM INC (ISIS)

	10/25/10	18,000	9.182	165.27	478.98	313.71 LT		
	11/4/10	7,000	9.390	65.73	186.27	120.54 LT		
	11/8/11	1,000	7.550	7.55	26.61	19.06 LT		
	11/10/11	26,000	6.867	178.55	691.86	513.31 LT		

WINKELMANN CHARITABLE
FOUNDATION ATTN: S WINKELMANN/ MDAO

Select UMA Basic Securities Account
060-894414-334

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
JACOBS ENGINEERING GROUP INC (JEC)								
Share Price: \$26.610								
	8/17/09	10,000	42.526	425.26	577.00	151.74 LT		
	6/29/11	5,000	42.348	211.74	288.50	76.76 LT		
	11/10/11	2,000	37.800	75.60	115.40	39.80 LT		
	12/2/13	2,000	59.715	119.43	115.40	(4.03) ST		
Total		19,000		832.03	1,096.30	268.30 LT (4.03) ST		
JOHNSON & JOHNSON (JNJ)								
Share Price: \$57.700								
	4/16/04	4,000	54.318	217.27	405.16	187.89 LT		
	4/16/04	10,000	54.317	543.17	1,012.90	469.73 LT		
	4/16/04	1,000	54.318	54.32	101.29	46.97 LT		
	10/27/05	14,000	61.851	865.92	1,418.06	552.14 LT		
	10/27/05	21,000	61.851	1,298.88	2,127.09	828.21 LT		
	5/17/06	6,000	60.257	361.54	607.74	246.20 LT		
	5/17/06	9,000	60.258	542.32	911.61	369.29 LT		
	11/8/11	1,000	64.850	64.85	101.29	36.44 LT		
	11/10/11	10,000	64.098	640.98	1,012.90	371.92 LT		
	11/10/11	14,000	64.098	897.37	1,418.06	520.69 LT		
Total		90,000		5,486.62	9,116.10	3,629.48 LT	252.00	2.76
JONES LANG LASALLE INC (JLL)								
Share Price: \$101.290; Next Dividend Payable 06/2014								
	6/19/09	3,000	32.430	97.29	347.67	250.38 LT		
	7/2/09	12,000	33.053	396.63	1,390.68	994.05 LT		
	11/10/11	11,000	59.927	659.20	1,274.79	615.59 LT		
Total		26,000		1,153.12	3,013.14	1,860.02 LT	12.00	0.39
JOY GLOBAL INC (JOY)								
Share Price: \$115.890; Next Dividend Payable 06/2014								
	9/27/12	14,000	56.987	797.82	845.32	47.50 LT		
	9/28/12	1,000	56.790	56.79	60.38	3.59 LT		
	9/10/13	21,000	54.500	1,144.50	1,267.98	123.48 ST		
	12/2/13	3,000	55.420	166.26	181.14	14.88 ST		
Total		39,000		2,165.37	2,354.82	51.09 LT 138.36 ST	27.00	1.14
JPMORGAN CHASE & CO (JPM)								
Share Price: \$60.380; Next Dividend Payable 06/2014								
	12/16/10	20,000	39.873	797.46	1,119.60	322.14 LT		
	11/10/11	44,000	32.560	1,432.64	2,463.12	1,030.48 LT		
	12/13/12	26,000	42.745	1,111.38	1,455.48	344.10 LT		

17-1

Account Detail

Select UMA Basic Securities Account
060-894414-334WINKELMANN CHARITABLE
FOUNDATION ATTN. S WINKELMANN/ MDAO

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	12/2/13	7,000	57.270	400.89	391.86	(9.03) ST		
Total		97,000		3,742.37	5,430.06	1,696.72 LT (9.03) ST	155.00	2.85
Share Price: \$55.980; Next Dividend Payable 07/2014								
JUNIPER NETWORKS (JNPR)								
	2/19/09	1,000	14.917	14.92	24.69	9.77 LT		
	9/9/11	19,000	21.392	406.45	469.11	62.66 LT		
	11/10/11	9,000	23.649	212.84	222.21	9.37 LT		
	12/9/11	19,000	19.985	379.71	469.11	89.40 LT		
	5/8/12	20,000	18.854	377.08	493.80	116.72 LT		
	12/2/13	6,000	20.318	121.91	148.14	26.23 ST		
Total		74,000		1,512.91	1,827.06	287.92 LT 26.23 ST		
Share Price: \$24.690								
KEYCORP NEW (KEY)								
	10/28/09	95,000	5.580	530.06	1,295.80	765.74 LT		
	11/10/11	3,000	7.210	21.63	40.92	19.29 LT		
	3/12/13	78,000	9.850	768.29	1,063.92	295.63 LT		
Total		176,000		1,319.98	2,400.64	1,080.66 LT	39.00	1.62
Share Price: \$13.640; Next Dividend Payable 06/2014								
KLA TENCOR CORP (KLAC)								
	9/26/11	9,000	39.443	354.99	575.91	220.92 LT		
	9/27/11	8,000	40.421	323.37	511.92	188.55 LT		
	9/29/11	9,000	39.099	351.89	575.91	224.02 LT		
	11/10/11	2,000	46.290	92.58	127.98	35.40 LT		
	9/24/13	4,000	61.218	244.87	255.96	11.09 ST		
	12/2/13	2,000	63.640	127.28	127.98	0.70 ST		
Total		34,000		1,494.98	2,175.66	668.89 LT 11.79 ST	61.00	2.80
Share Price: \$63.990; Next Dividend Payable 06/2014								
L-3 COMMUNICATIONS HOLDING INC (LLL)								
	5/15/03	25,000	43.032	1,075.80	2,884.25	1,808.45 LT		
	12/10/03	10,000	45.751	457.51	1,153.70	696.19 LT		
	11/10/11	5,000	65.362	326.81	576.85	250.04 LT		
Total		40,000		1,860.12	4,614.80	2,754.68 LT	96.00	2.08
Share Price: \$115.370; Next Dividend Payable 06/2014								
LIBERTY INTERACTIVE CO INTER A (LINTA)								
	6/24/04	8,596	15.249	131.08	249.80	118.72 LT		
	1/1/06	27,403	15.557	426.30	796.33	370.03 LT		
	3/17/08	15,000	14.021	210.32	435.90	225.88 LT		
	11/10/11	14,001	13.231	185.25	406.87	221.62 LT		

81.69

Account Detail

Select UMA Basic Securities Account
060-894414-334

**WINKELMANN CHARITABLE
FOUNDATION ATTN. S WINKELMANN/ MDAO**

STOCKS

COMMON STOCKS (CONTINUED)

COMMON STOCKS (CONTINUED)							
Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income
							Dividend Yield %
LIBERTY MEDIA CORP SER A (LMCA)	Total	65,000		952.95	1,888.90	935.95 LT	—
Share Price: \$29.060							
	6/4/08	5,000	13.426	67.13	648.55	581.42 LT	
	6/11/08	4,000	13.453	53.81	518.84	465.03 LT	
	11/10/11	1,000	68.750	68.75	129.71	60.96 LT	
Total		10,000		189.69	1,297.10	1,107.41 LT	—
Share Price: \$129.710							
LINKEDIN CORP-A (LNKD)	3/27/14	3,000	184.973	554.92	460.41	(94.51) ST	
	4/10/14	1,000	169.390	169.39	153.47	(15.92) ST	
	4/28/14	1,000	153.760	153.76	153.47	(0.29) ST	
Total		5,000		878.07	767.35	(110.72) ST	—
Share Price: \$153.470							
MARATHON OIL CO (MRO)	3/7/14	20,000	34.168	683.36	723.00	39.64 ST	
	3/10/14	15,000	34.096	511.44	542.25	30.81 ST	
Total		35,000		1,194.80	1,265.25	70.45 ST	2.13
Share Price: \$36.150; Next Dividend Payable 06/2014							
MATTEL INC (MAT)	10/28/10	10,000	21.710	217.10	392.15	175.05 LT R	
	11/10/11	13,000	26.988	350.84	509.79	158.95 LT R	
	12/2/13	5,000	45.860	229.30	196.07	(33.23) ST	
Total		28,000		797.24	1,098.02	334.00 LT (33.23) ST	3.91
Share Price: \$39.215; Next Dividend Payable 06/2014							
MCDERMOTT INTERNATIONAL INC (MDR)	4/1/14	162,000	7.354	1,191.32	1,171.26	(20.06) ST	—
Share Price: \$7.230							
MERCK & CO INC NEW COM (MRK)	4/29/09	69,000	23.967	1,653.72	4,040.64	2,386.92 LT	
	5/13/09	15,000	25.749	386.24	878.40	492.16 LT	
	11/10/11	18,000	34.898	628.16	1,054.08	425.92 LT	
	12/2/13	9,000	50.267	452.40	527.04	74.64 ST	
Total		111,000		3,120.52	6,500.16	3,305.00 LT 74.64 ST	2.99
Share Price: \$58.560; Next Dividend Payable 07/2014							
MICROSOFT CORP (MSFT)	10/6/10	11,000	24.399	268.39	444.40	176.01 LT	
	11/10/11	24,000	26.230	629.52	969.60	340.08 LT	
	11/10/11	27,000	26.230	708.21	1,090.80	382.59 LT	
Total		62,000		1,606.12	2,504.80	898.68 LT	2.75
Share Price: \$40.400; Next Dividend Payable 06/2014							

Morgan Stanley

Select UMA Basic Securities Account
060-894414-334

**WINKELMANN CHARITABLE'
FOUNDATION ATTN. S'WINKELMANN/ MDAO**

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
MONSANTO CO/NEW (MON)	1/28/10	4,000	77.253	309.01	442.80	133.79 LT		
	2/5/10	5,000	76.540	382.70	553.50	170.80 LT		
	3/9/10	5,000	71.668	358.34	553.50	195.16 LT		
	10/4/10	5,000	47.682	238.41	553.50	315.09 LT		
	11/10/11	2,000	73.505	147.01	221.40	74.39 LT		
	5/8/12	2,000	73.510	147.02	221.40	74.38 LT		
	Total	23,000		1,582.49	2,546.10	963.61 LT	40.00	1.57
Share Price: \$110.700; Next Dividend Payable 07/2014								
NASDAQ OMX GROUP INC (THE) (NDAQ)	7/9/08	9,000	24.861	223.75	332.10	108.35 LT		
	12/2/09	15,000	19.473	292.10	553.50	261.40 LT		
	11/10/11	5,000	25.646	128.23	184.50	56.27 LT		
	5/8/12	8,000	23.329	186.63	295.20	108.57 LT		
	4/1/14	10,000	36.202	362.02	369.00	6.98 ST		
	Total	47,000		1,192.73	1,734.30	534.59 LT 6.98 ST	28.00	1.61
	Share Price: \$36.900; Next Dividend Payable 06/2014							
NATIONAL OILWELL VARCO INC (NOV)	2/27/06	4,000	70.833	283.33	314.12	30.79 LT		
	8/12/08	5,000	69.092	345.46	392.65	47.19 LT		
	10/24/08	8,000	24.056	192.45	628.24	435.79 LT		
	10/27/08	2,000	25.450	50.90	157.06	106.16 LT		
	11/10/11	1,000	68.280	68.28	78.53	10.25 LT		
	12/2/13	2,000	81.785	163.57	157.06	(6.51) ST		
	Total	22,000		1,103.99	1,727.66	630.18 LT (6.51) ST	23.00	1.33
Share Price: \$78.530; Next Dividend Payable 06/2014								
NETAPP INC COM (NTAP)	2/25/11	5,000	52.413	262.06	178.05	(84.01) LT		
	3/16/11	13,000	47.006	611.08	462.93	(148.15) LT		
	9/9/11	1,000	35.350	35.35	35.61	0.26 LT		
	11/10/11	1,000	41.650	41.65	35.61	(6.04) LT		
	5/8/12	8,000	37.749	301.99	284.88	(17.11) LT		
	6/15/12	8,000	30.558	244.46	284.88	40.42 LT		
	5/28/13	16,000	37.763	604.20	569.76	(34.44) ST		
12/2/13	6,000	41.188	247.13	213.66	(33.47) ST			
Total	58,000		2,347.92	2,065.38	(214.63) LT (67.91) ST	35.00	1.69	
Share Price: \$35.610; Next Dividend Payable 07/2014								

Select UMA Basic Securities Account
060-894414-334
WINKELMANN CHARITABLE
FOUNDATION ATTN: S WINKELMANN/ MDAO

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
NEWFIELD EXPL CO (NFX)								
	4/12/12	15,000	33.569	503.53	507.75	4.22 LT		
	5/1/12	12,000	36.493	437.91	406.20	(31.71) LT		
	9/24/13	9,000	28.098	252.88	304.65	51.77 ST		
	12/2/13	4,000	28.065	112.26	135.40	23.14 ST		
Total		40,000		1,306.58	1,354.00	(27.49) LT 74.91 ST		
NIKE INC B (NKE)								
Share Price: \$33.850								
	6/7/11	9,000	40.859	367.73	656.55	288.82 LT		
	8/5/11	8,000	41.938	335.50	583.60	248.10 LT		
Total		17,000		703.23	1,240.15	536.92 LT	16.00	1.29
NOVARTIS AG ADR (NVS)								
Share Price: \$72.950; Next Dividend Payable 07/20/14								
	12/15/05	2,000	52.318	104.64	173.88	69.24 LT		
	2/20/08	10,000	49.567	495.67	869.40	373.73 LT		
	4/7/08	25,000	50.500	1,262.50	2,173.50	911.00 LT		
	11/10/11	16,000	54.660	874.56	1,391.04	516.48 LT		
	5/8/12	7,000	53.811	376.68	608.58	231.90 LT		
Total		60,000		3,114.05	5,216.40	2,102.35 LT	108.00	2.07
NUANCE COMMUNICATIONS INC (NUAN)								
Share Price: \$86.940								
	3/14/14	8,000	15.540	124.32	128.72	4.40 ST		
	3/18/14	7,000	16.100	112.70	112.63	(0.07) ST		
	3/20/14	15,000	16.464	246.96	241.35	(5.61) ST		
	3/24/14	28,000	16.656	466.36	450.52	(15.84) ST		
Total		58,000		950.34	933.22	(17.12) ST		
NUCOR CORPORATION (NUE)								
Share Price: \$16.090								
	2/20/09	8,000	38.949	311.59	414.00	102.41 LT		
	2/20/09	1,000	38.949	38.95	51.75	12.80 LT		
	2/20/09	6,000	38.949	233.69	310.50	76.81 LT		
	5/19/09	2,000	41.890	83.78	103.50	19.72 LT		
	5/19/09	3,000	41.887	125.66	155.25	29.59 LT		
	9/10/09	2,000	46.785	93.57	103.50	9.93 LT		
	9/10/09	3,000	46.783	140.35	155.25	14.90 LT		
	9/11/09	2,000	47.100	94.20	103.50	9.30 LT		
	9/11/09	3,000	47.097	141.29	155.25	13.96 LT		
	12/23/09	9,000	46.097	414.87	465.75	50.88 LT		
	12/23/09	11,000	46.097	507.07	569.25	62.18 LT		
	11/2/10	13,000	38.678	502.81	672.75	169.94 LT		

9-21

Account Detail

Select UMA Basic Securities Account
060-894414-334WINKELMANN CHARITABLE
FOUNDATION ATTN: S WINKELMANN/ MDAO

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	11/2/10	17,000	38.678	657.53	879.75	222.22 LT		
	11/10/11	1,000	38.220	38.22	51.75	13.53 LT		
	11/10/11	2,000	38.215	76.43	103.50	27.07 LT		
	5/8/12	7,000	37.073	259.51	362.25	102.74 LT		
	5/8/12	5,000	37.076	185.38	258.75	73.37 LT		
	9/20/13	1,000	50.190	50.19	51.75	1.56 ST		
	9/24/13	11,000	50.337	553.71	569.25	15.54 ST		
	12/2/13	7,000	50.700	354.90	362.25	7.35 ST		
	12/2/13	7,000	50.667	354.67	362.25	7.58 ST		
Total		121,000		5,218.37	6,261.75	1,011.35 LT 32.03 ST	179.00	2.86

Share Price: \$51.750; Next Dividend Payable 05/12/14

OCCIDENTAL PETROLEUM CORP DE (OXY)

	12/14/12	4,000	76.096	304.38	383.00	78.62 LT		
	12/18/12	11,000	78.112	859.23	1,053.25	194.02 LT		
	3/27/13	10,000	78.229	782.29	957.50	175.21 LT		
	12/2/13	4,000	95.170	380.68	383.00	2.32 ST		
Total		29,000		2,326.58	2,776.75	447.85 LT 2.32 ST	84.00	3.02

Share Price: \$95.750; Next Dividend Payable 07/20/14

PALL CORPORATION (PLL)

	12/6/05	32,000	27.995	895.83	2,692.80	1,796.97 LT		
	11/10/11	3,000	50.197	150.59	252.45	101.86 LT		
Total		35,000		1,046.42	2,945.25	1,898.83 LT	39.00	1.32

Share Price: \$84.150; Next Dividend Payable 06/20/14

PEABODY ENERGY CORP (BTU)

	5/1/12	16,000	31.664	506.63	304.16	(202.47) LT		
	7/24/12	20,000	20.500	410.00	380.20	(29.80) LT		
	9/26/12	24,000	22.356	536.55	456.24	(80.31) LT		
	9/24/13	21,000	18.038	378.79	399.21	20.42 ST		
	12/2/13	10,000	18.187	181.87	190.10	8.23 ST		
Total		91,000		2,013.84	1,729.91	(312.58) LT 28.65 ST	31.00	1.79

Share Price: \$19.010; Next Dividend Payable 05/20/14

PEBBLEBROOK HOTEL TR COM (PEB)

	7/14/11	59,000	20.000	1,180.03	2,031.96	851.93 LT R		
	11/10/11	9,000	17.968	161.71	309.96	148.25 LT R		
	12/2/13	6,000	29.425	176.55	206.64	30.09 ST		

CLIENT STATEMENT | For the Period April 1-30, 2014

Select UMA Basic Securities Account
060-894414-334

WINKELMANN CHARITABLE

FOUNDATION ATTN. S WINKELMANN/ MDAO

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
Total		74,000		1,518.29	2,548.56	1,000.18 LT 30.09 ST	68.00	2.66
Share Price: \$34.440; Next Dividend Payable 07/2014								
PENTAIR LTD (PNR)								
	11/18/06	2,000	32.885	65.77	148.58	82.81 LT		
	11/4/10	11,000	29.565	325.21	817.19	491.98 LT		
	11/10/11	2,000	27.690	55.38	148.58	93.20 LT		
	9/24/13	3,000	65.367	196.10	222.87	26.77 ST		
	12/2/13	2,000	70.855	141.71	148.58	6.87 ST		
Total		20,000		784.17	1,485.80	667.99 LT 33.64 ST	20.00	1.34
Share Price: \$74.290; Next Dividend Payable 05/09/14								
PEPSICO INC NC (PEP)								
	3/7/11	11,000	63.441	697.85	944.79	246.94 LT		
	11/10/11	6,000	63.017	378.10	515.34	137.24 LT		
	12/2/13	3,000	83.997	251.99	257.67	5.68 ST		
Total		20,000		1,327.94	1,717.80	384.18 LT 5.68 ST	45.00	2.61
Share Price: \$85.890; Next Dividend Payable 06/2014								
PLANTRONICS INC (PLT)								
	8/17/11	8,000	33.860	270.88	348.56	77.68 LT		
	11/10/11	1,000	33.320	33.32	43.57	10.25 LT		
	5/8/12	2,000	31.510	63.02	87.14	24.12 LT		
	12/2/13	1,000	44.690	44.69	43.57	(1.12) ST		
Total		12,000		411.91	522.84	112.05 LT (1.12) ST	7.00	1.33
Share Price: \$43.570; Next Dividend Payable 06/2014								
QUALCOMM INC (QCOM)								
	7/29/11	9,000	55.096	495.86	708.39	212.53 LT		
	8/5/11	5,000	51.050	255.25	393.55	138.30 LT		
	8/12/11	8,000	50.375	403.00	629.68	226.68 LT		
	8/23/11	3,000	47.190	141.57	236.13	94.56 LT		
	11/10/11	3,000	55.330	165.99	236.13	70.14 LT		
Total		28,000		1,461.67	2,203.88	742.21 LT	47.00	2.13
Share Price: \$78.710; Next Dividend Payable 06/2014								
RAYTHEON CO (NEW) (RTN)								
	3/6/13	6,000	55.232	331.39	572.88	241.49 LT		
	3/26/13	8,000	57.774	462.19	763.84	301.65 LT		
	3/27/13	9,000	58.332	524.99	859.32	334.33 LT		
	3/28/13	8,000	58.746	469.97	763.84	293.87 LT		
	4/1/13	1,000	58.330	58.33	95.48	37.15 LT		

4-23

CLIENT STATEMENT | For the Period April 1-30, 2014

Select UMA Basic Securities Account
060-894414-334
WINKELMANN CHARITABLE
FOUNDATION ATTN: S WINKELMANN/ MDAO

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		32.000		1,846.87	3,055.36	1,208.49 LT	77.00	2.52
<i>Share Price: \$95.480; Next Dividend Payable 05/01/14</i>								
RED HAT INC (RHT)								
	11/19/13	13.000	46.470	604.11	632.45	28.34 ST		
	11/21/13	6.000	47.000	282.00	291.90	9.90 ST		
	11/22/13	1.000	47.000	47.00	48.65	1.65 ST		
	12/2/13	1.000	46.340	46.34	48.65	2.31 ST		
	12/6/13	6.000	46.697	280.18	291.90	11.72 ST		
	3/13/14	3.000	57.390	172.17	145.95	(26.22) ST		
	4/7/14	7.000	50.484	353.39	340.55	(12.84) ST		
	4/10/14	2.000	50.085	100.17	97.30	(2.87) ST		
Total		39.000		1,885.36	1,897.35	11.99 ST		
<i>Share Price: \$48.650</i>								
REGIONS FINANCIAL CORP NEW (RF)								
	4/9/12	4.000	6.227	24.91	40.56	15.65 LT		
	4/13/12	80.000	6.195	495.62	811.20	315.58 LT		
	4/16/12	71.000	6.202	440.32	719.94	279.62 LT		
	11/20/12	63.000	6.600	415.79	638.82	223.03 LT		
	11/20/12	47.000	6.593	309.87	476.58	166.71 LT		
	12/2/13	15.000	9.807	147.10	152.10	5.00 ST		
Total		280.000		1,833.61	2,839.20	1,000.59 LT	56.00	1.97
<i>Share Price: \$10.140; Next Dividend Payable 07/2014</i>								
ROYAL DUTCH SHELL PLC CL B (RDS'B)								
	9/19/13	14.000	69.836	977.70	1,185.10	207.40 ST		
	12/2/13	2.000	69.640	139.28	169.30	30.02 ST		
Total		16.000		1,116.98	1,354.40	237.42 ST	58.00	4.28
<i>Share Price: \$84.650</i>								
SAFEMAY INC COM NEW (SWY)								
	11/10/11	8.000	19.646	157.17	272.48	115.31 LT		
	5/8/12	27.000	19.123	516.33	919.62	403.29 LT		
Total		35.000		673.50	1,192.10	518.60 LT	28.00	2.34
<i>Share Price: \$34.060; Next Dividend Payable 07/2014</i>								
SANDISK CORP (SNDK)								
	6/7/05	3.000	24.817	74.45	254.91	180.46 LT		
	3/14/08	20.000	22.065	441.29	1,699.40	1,258.11 LT		
	1/26/12	1.000	47.270	47.27	84.97	37.70 LT		
	4/13/12	7.000	41.859	293.01	594.79	301.78 LT		
	5/8/12	9.000	35.112	316.01	764.73	448.72 LT		
	7/30/13	3.000	54.010	162.03	254.91	92.88 ST		

42.4

Select UMA Basic Securities Account
060-894414-334
WINKELMANN CHARITABLE
FOUNDATION ATTN. S WINKELMANN/ MDAO

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total								
Share Price: \$84.970; Next Dividend Payable 05/2014								
SCHLUMBERGER LTD (SLB)								
	11/8/11	4,000	76.465	305.86	406.20	100.34 LT		
	11/10/11	8,000	73.806	590.45	812.40	221.95 LT		
	11/10/11	7,000	73.806	516.64	710.85	194.21 LT		
	11/10/11	4,000	73.806	295.23	406.20	110.97 LT		
	5/8/12	7,000	69.646	487.52	710.85	223.33 LT		
	5/8/12	7,000	69.646	487.52	710.85	223.33 LT		
	4/30/13	1,000	74.330	74.33	101.55	27.22 ST		
	12/2/13	2,000	88.030	176.06	203.10	27.04 ST		
Total		40,000		2,933.61	4,062.00	1,074.13 LT 54.26 ST	64.00	1.57
Share Price: \$101.550; Next Dividend Payable 07/2014								
SEAGATE TECHNOLOGY PLC (STX)								
	8/18/10	20,000	11.172	223.44	1,051.60	828.16 LT		
	8/23/10	42,000	10.960	460.34	2,208.36	1,748.02 LT		
	10/12/12	8,000	28.103	224.82	420.84	195.82 LT		
	9/24/13	9,000	41.746	375.71	473.22	97.51 ST		
Total		79,000		1,284.31	4,153.82	2,772.00 LT 97.51 ST	136.00	3.27
Share Price: \$52.580; Next Dividend Payable 05/2014								
SOTHEBY'S CL A (BID)								
	10/19/11	3,000	31.218	93.65	126.18	32.53 LT		
	10/21/11	4,000	32.038	128.15	168.24	40.09 LT		
	11/10/11	1,000	31.990	31.99	42.06	10.07 LT		
Total		8,000		253.79	336.48	82.69 LT	3.00	0.89
Share Price: \$42.060; Next Dividend Payable 06/2014								
STANLEY BLACK & DECKER INC (SWK)								
	11/13/13	12,000	82.576	990.91	1,030.68	39.77 ST		
	12/2/13	2,000	79.780	159.56	171.78	12.22 ST		
	1/16/14	7,000	82.493	577.45	601.23	23.78 ST		
	4/1/14	8,000	81.780	654.24	687.12	32.88 ST		
Total		29,000		2,382.16	2,490.81	108.65 ST	58.00	2.32
Share Price: \$85.890; Next Dividend Payable 06/2014								
STARZ SERIES A (STRZA)								
	6/4/08	12,000	1.544	18.53	387.24	368.71 LT		
	6/11/08	4,000	1.548	6.19	129.08	122.89 LT		
	11/10/11	1,000	7.910	7.91	32.27	24.36 LT		

CLIENT STATEMENT | For the Period April 1-30, 2014

Select UMA Basic Securities Account
060-894414-334

Account Detail

WINKELMANN CHARITABLE
FOUNDATION ATTN: S WINKELMANN/ MDAO

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		17,000		32.63	548.59	515.96 LT		
Share Price: \$32.270								
STATE STREET CORP (STT)								
	1/25/13	1,000	56.050	56.05	64.56	8.51 LT		
	3/7/13	8,000	58.605	468.84	516.48	47.64 LT		
	3/13/13	9,000	59.800	538.20	581.04	42.84 LT		
	3/15/13	8,000	59.800	478.40	516.48	38.08 LT		
	3/19/13	9,000	59.249	533.24	581.04	47.80 LT		
	3/20/13	7,000	60.589	424.12	451.92	27.80 LT		
	3/22/13	7,000	59.599	417.19	451.92	34.73 LT		
	3/22/13	10,000	59.496	594.96	645.60	50.64 LT		
	5/20/13	16,000	65.186	1,042.97	1,032.96	(10.01) ST		
Total		75,000		4,553.97	4,842.00	298.04 LT	78.00	1.61
Share Price: \$64.560; Next Dividend Payable 07/2014								
SYMANTEC CORP (SYMC)								
	3/11/11	16,000	18.063	289.01	324.48	35.47 LT		
	5/16/11	21,000	19.980	419.57	425.88	6.31 LT		
	5/18/11	23,000	19.623	451.34	466.44	15.10 LT		
	11/10/11	7,000	16.667	116.67	141.96	25.29 LT		
	5/8/12	15,000	15.750	236.25	304.20	67.95 LT		
	12/2/13	16,000	22.569	361.10	324.48	(36.62) ST		
Total		98,000		1,873.94	1,987.44	150.12 LT	59.00	2.96
Share Price: \$20.280; Next Dividend Payable 06/2014								
TARGET CORPORATION (TGT)								
	1/22/14	11,000	58.999	648.99	679.25	30.26 ST		
	1/23/14	6,000	58.597	351.58	370.50	18.92 ST		
	1/28/14	5,000	58.116	290.58	308.75	18.17 ST		
	2/24/14	8,000	56.288	450.30	494.00	43.70 ST		
	2/25/14	5,000	56.516	282.58	308.75	26.17 ST		
Total		35,000		2,024.03	2,161.25	137.22 ST	60.00	2.77
Share Price: \$61.750; Next Dividend Payable 06/2014								
TE CONNECTIVITY LTD NEW (TEL)								
	4/27/05	3,620	36.604	132.51	213.51	81.00 LT		
	5/3/05	3,724	33.281	123.94	219.64	95.70 LT		
	6/22/05	3,724	36.224	134.90	219.64	84.74 LT		
	1/18/06	9,931	30.789	305.77	585.73	279.96 LT		
	11/7/07	13,001	32.986	428.85	766.80	337.95 LT		
	11/8/07	18,000	33.037	594.67	1,061.64	466.97 LT		

7-26

Select UMA Basic Securities Account
060-894414-334

WINKELMANN CHARITABLE

FOUNDATION ATTN: S WINKELMANN/ MDAO

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	11/9/07	18,000	32.869	591.64	1,061.64	470.00 LT		
Total		70,000		2,312.28	4,128.60	1,816.32 LT	70.00	1.69
Share Price: \$58.980; Next Dividend Payable 06/2014								
TERADYNE INC (TER)	11/8/12	30,000	15.400	461.99	530.10	68.11 LT		
	7/26/13	59,000	16.599	979.32	1,042.53	63.21 ST		
	7/29/13	31,000	16.400	508.39	547.77	39.38 ST		
	12/2/13	10,000	16.970	169.70	176.70	7.00 ST		
Total		130,000		2,119.40	2,297.10	68.11 LT 109.59 ST	31.00	1.34
Share Price: \$17.670								
TEVA PHARMACEUTICALS ADR (TEVA)	12/11/12	11,000	41.778	459.56	537.46	77.90 LT		
	2/26/13	14,000	37.624	526.73	684.04	157.31 LT		
	3/7/13	13,000	39.374	511.86	635.18	123.32 LT		
	12/2/13	3,000	40.697	122.09	146.58	24.49 ST		
Total		41,000		1,620.24	2,003.26	358.53 LT 24.49 ST	46.00	2.29
Share Price: \$48.860; Next Dividend Payable 06/2014								
TEXAS INSTRUMENTS (TXN)	4/9/08	14,000	29.369	411.17	636.30	225.13 LT		
	4/9/08	4,000	29.369	117.48	181.80	64.32 LT		
	7/23/08	24,000	24.987	599.69	1,090.80	491.11 LT		
	7/23/08	34,000	24.987	849.56	1,545.30	695.74 LT		
	7/24/08	2,000	24.235	48.47	90.90	42.43 LT		
	7/24/08	5,000	24.232	121.16	227.25	106.09 LT		
	11/10/11	4,000	30.568	122.27	181.80	59.53 LT		
	11/10/11	7,000	30.566	213.96	318.15	104.19 LT		
Total		94,000		2,483.76	4,272.30	1,788.54 LT	113.00	2.65
Share Price: \$45.450; Next Dividend Payable 05/19/14								
THE ADT CORPORATION COM (ADT)	1/18/06	4,000	29.685	118.74	120.96	2.22 LT C		
	1/14/10	25,000	24.223	605.57	756.00	150.43 LT C		
	11/10/11	3,000	33.377	100.13	90.72	(9.41) LT C		
	12/2/13	3,000	40.430	121.29	90.72	(30.57) ST		
Total		35,000		945.73	1,058.40	143.24 LT (30.57) ST	28.00	2.64
Share Price: \$30.240; Next Dividend Payable 05/21/14								

A 27

CLIENT STATEMENT | For the Period April 1-30, 2014

Select UMA Basic Securities Account
060-894414-334

WINKELMANN CHARITABLE
FOUNDATION ATTN. S WINKELMANN/ MDAO

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
THERMO FISHER SCIENTIFIC (TMO)								
	11/5/10	12,000	52.672	632.06	1,368.00	735.94 LT		
	11/8/11	1,000	50.060	50.06	114.00	63.94 LT		
	11/10/11	10,000	47.578	475.78	1,140.00	664.22 LT		
Total		23,000		1,157.90	2,622.00	1,464.10 LT	14.00	0.53
TYCO INTERNATIONAL LTD NEW (TYC)								
	11/18/06	8,999	20.431	183.86	368.06	184.20 LT		
	11/4/10	49,000	19.576	959.20	2,004.10	1,044.90 LT		
	11/10/11	7,001	22.117	154.84	286.34	131.50 LT		
	10/2/13	7,000	34.840	243.88	286.30	42.42 ST		
Total		72,000		1,541.78	2,944.80	1,360.60 LT	52.00	1.76
U S BANCORP COM NEW (USB)								
	12/16/10	10,000	26.033	260.33	407.80	147.47 LT		
	12/20/10	16,000	26.144	418.31	652.48	234.17 LT		
	12/31/10	18,000	26.948	485.06	734.04	248.98 LT		
	1/3/11	15,000	26.997	404.96	611.70	206.74 LT		
	1/4/11	19,000	26.826	509.70	774.82	265.12 LT		
	1/21/11	18,000	26.891	484.04	734.04	250.00 LT		
	5/19/11	14,000	25.716	360.03	570.92	210.89 LT		
Total		110,000		2,922.43	4,485.80	1,563.37 LT	101.00	2.25
UNILEVER PLC (NEW) ADS (UL)								
	11/4/05	21,000	21.926	460.45	939.54	479.09 LT		
	3/17/06	9,000	23.260	209.34	402.66	193.32 LT		
	3/15/11	33,000	29.098	960.22	1,476.42	516.20 LT		
	11/8/11	2,000	33.880	67.76	89.48	21.72 LT		
	11/10/11	25,000	32.518	812.95	1,118.50	305.55 LT		
	10/2/13	12,000	37.877	454.52	536.88	82.36 ST		
	12/2/13	8,000	40.098	320.78	357.92	37.14 ST		
Total		110,000		3,286.02	4,921.40	1,515.88 LT	162.00	3.29
UNITED PARCEL SERVICE INC CL-B (UPS)								
	3/16/11	10,000	71.001	710.01	985.00	274.99 LT		
	3/30/11	8,000	74.703	597.62	788.00	190.38 LT		
	5/17/11	8,000	73.348	586.78	788.00	201.22 LT		
Total		26,000		1,894.41	2,561.00	666.59 LT	70.00	2.73
Share Price: \$98.500; Next Dividend Payable 06/2014								

728

CLIENT STATEMENT | For the Period April 1-30, 2014

Account Detail

Select UMA Basic Securities Account
060-894414-334WINKELMANN CHARITABLE
FOUNDATION ATTN. S WINKELMANN/ MDAO

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
UNITEDHEALTH GP INC (UNH)								
	4/1/09	26,000	20.692	537.99	1,951.04	1,413.05 LT		
	4/1/09	2,000	20.692	41.38	150.08	108.70 LT		
	10/16/09	25,000	24.510	612.75	1,876.00	1,263.25 LT		
	11/10/11	16,000	46.038	736.61	1,200.64	464.03 LT		
	5/9/12	19,000	55.623	1,056.84	1,425.76	368.92 LT		
	4/18/13	12,000	59.782	717.38	900.48	183.10 LT		
	4/18/13	2,000	59.780	119.56	150.08	30.52 LT		
	10/21/13	6,000	68.362	410.17	450.24	40.07 ST		
	10/21/13	8,000	68.363	546.90	600.32	53.42 ST		
Total		116,000		4,779.58	8,704.64	3,831.57 LT	130.00	1.50
						93.49 ST		
VEECO INSTRUMENTS INC DEL (NECO)								
	12/27/12	40,000	28.981	1,159.23	1,478.80	319.57 LT		
Total		40,000						
VERTEX PHARMACEUTICALS (VRTX)								
	11/4/10	2,000	35.250	70.50	135.40	64.90 LT		
	2/11/11	5,000	38.162	190.81	338.50	147.69 LT		
	8/10/11	2,000	42.545	85.09	135.40	50.31 LT		
	11/8/11	8,000	30.579	244.63	541.60	296.97 LT		
	11/10/11	8,000	31.818	254.54	541.60	287.06 LT		
	11/6/13	2,000	63.620	127.24	135.40	8.16 ST		
Total		27,000		972.81	1,827.90	846.93 LT		
						8.16 ST		
VISA INC CL A (V)								
	11/15/10	1,000	77.597	77.60	202.61	125.01 LT		
	12/1/10	7,000	75.156	526.09	1,418.27	892.18 LT		
	2/2/11	6,000	71.495	428.97	1,215.66	786.69 LT		
	2/10/11	6,000	74.890	449.34	1,215.66	766.32 LT		
Total		20,000		1,482.00	4,052.20	2,570.20 LT	32.00	0.78
VODAFONE GROUP PLC (VOD)								
	11/18/05	22,000	47,000	1,034.01	835.12	(198.89) LT		
	1/20/06	4,000	46.605	186.42	151.84	(34.58) LT		
	2/9/06	7,000	47,560	332.92	265.72	(67.20) LT		
	3/2/06	7,000	41,749	292.24	265.72	(26.52) LT		
	11/10/11	11,000	54,100	595.10	417.56	(177.54) LT		
	5/8/12	6,000	55,660	333.96	227.76	(106.20) LT		
	12/2/13	8,000	46,238	369.90	303.68	(66.22) ST		

Share Price: \$75.040; Next Dividend Payable 06/2014

VEECO INSTRUMENTS INC DEL (NECO)

Share Price: \$36.970

VERTEX PHARMACEUTICALS (VRTX)

Share Price: \$67.700

VISA INC CL A (V)

Share Price: \$202.610; Next Dividend Payable 06/2014

VODAFONE GROUP PLC (VOD)

Account Detail

Select UMA Basic Securities Account
060-894414-334

WINKELMANN CHARITABLE
FOUNDATION ATTN: S WINKELMANN/ MDAO

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
Total		65,000		3,144.55	2,467.40	(610.93) LT (66.22) ST	192.00	7.78
Share Price: \$37.960								
W W GRAINGER INC (GWW)								
12/12/13		4,000	251.995	1,007.98	1,017.60	9.62 ST		
12/13/13		1,000	251.380	251.38	254.40	3.02 ST		
12/18/13		1,000	251.880	251.88	254.40	2.52 ST		
1/10/14		1,000	264.970	264.97	254.40	(10.57) ST		
1/24/14		2,000	249.410	498.82	508.80	9.98 ST		
Total		9,000		2,275.03	2,289.60	14.57 ST	39.00	1.70
Share Price: \$254.400; Next Dividend Payable 06/2014								
WALT DISNEY CO HLDG CO (DIS)								
1/5/06		42,000	24.164	1,014.87	3,332.28	2,317.41 LT		
1/5/06		41,000	24.164	990.72	3,252.94	2,262.22 LT		
1/5/06		1,000	24.164	24.16	79.34	55.18 LT		
11/10/11		2,000	34.500	69.00	158.68	89.68 LT		
11/10/11		4,000	34.500	138.00	317.36	179.36 LT		
Total		90,000		2,236.75	7,140.60	4,903.85 LT	78.00	1.09
Share Price: \$79.340; Next Dividend Payable 01/2015								
WEATHERFORD INTERNATIONAL LTD (WFT)								
12/11/03		3,000	8.720	26.16	63.00	36.84 LT		
10/17/08		40,000	15.091	603.64	840.00	236.36 LT		
4/1/09		52,000	11.454	595.62	1,092.00	496.38 LT		
1/28/10		33,000	16.048	529.57	693.00	163.43 LT		
4/21/11		9,000	20.462	184.16	189.00	4.84 LT		
11/10/11		37,000	15.556	575.59	777.00	201.41 LT		
5/8/12		64,000	13.229	846.68	1,344.00	497.32 LT		
5/3/13		32,000	13.683	437.85	672.00	234.15 ST		
12/2/13		29,000	15.657	454.04	609.00	154.96 ST		
Total		299,000		4,253.31	6,279.00	1,636.58 LT 389.11 ST	—	—
Share Price: \$21.000								
WEYERHAEUSER CO (WY)								
7/22/10		31,000	15.540	481.73	925.35	443.62 LT		
11/10/11		34,000	16.438	558.89	1,014.90	456.01 LT		
8/28/13		3,000	27.750	83.25	89.55	6.30 ST		
12/2/13		6,000	29.680	178.08	179.10	1.02 ST		
Total		74,000		1,301.95	2,208.90	899.63 LT 7.32 ST	65.00	2.94
Share Price: \$29.850; Next Dividend Payable 06/2014								

9-30

CLIENT STATEMENT | For the Period April 1-30, 2014

Select UMA Basic Securities Account
060-894414-334

WINKELMANN CHARITABLE
FOUNDATION ATTN: S WINKELMANN/ MDAO

Account Detail

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
XILINX INC (XLNX)								
	6/6/12	22,000	32.393	712.64	1,038.18	325.54 LT		
	9/14/12	11,000	35.531	390.84	519.09	128.25 LT		
	12/2/13	3,000	44.170	132.51	141.57	9.06 ST		
Total		36,000		1,235.99	1,698.84	453.79 LT 9.06 ST	42.00	2.47
Share Price: \$47.190; Next Dividend Payable 05/01/14								
YUM BRANDS INC (YUM)								
	12/21/12	13,000	63.774	829.06	1,000.87	171.81 LT		
	2/7/13	5,000	63.738	318.69	384.95	66.26 LT		
	3/13/13	3,000	69.240	207.72	230.97	23.25 LT		
	6/21/13	1,000	69.660	69.66	76.99	7.33 ST		
	10/9/13	2,000	65.565	131.13	153.98	22.85 ST		
	2/25/14	3,000	73.740	221.22	230.97	9.75 ST		
	2/27/14	5,000	73.822	369.11	384.95	15.84 ST		
Total		32,000		2,146.59	2,463.68	261.32 LT 55.77 ST	47.00	1.90

A-31

Share Price: \$76.990; Next Dividend Payable 05/02/14

ZOETIS INC CLASS-A (ZTS)

	8/26/13	10,000	29.887	298.87	302.60	3.73 ST		
	8/27/13	9,000	29.444	265.00	272.34	7.34 ST		
	8/28/13	10,000	29.499	294.99	302.60	7.61 ST		
	9/6/13	9,000	30.641	275.77	272.34	(3.43) ST		
	10/30/13	8,000	32.361	258.89	242.08	(16.81) ST		
	12/2/13	6,000	31.190	187.14	181.56	(5.58) ST		
	2/11/14	3,000	29.080	87.24	90.78	3.54 ST		
	2/25/14	12,000	30.215	362.58	363.12	0.54 ST		
Total		67,000		2,030.48	2,027.42	(3.06) ST	19.00	0.93

Share Price: \$30.260; Next Dividend Payable 06/02/14

Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
85.3%	\$286,620.85	\$438,864.39	\$147,451.71 LT \$4,791.77 ST	\$7,567.00	1.72%

STOCKS

Account Detail

Select UMA Basic Securities Account
060-894414-334

WINKELMANN CHARITABLE
FOUNDATION ATTN: S WINKELMANN/ MDAO

MUTUAL FUNDS

OTHER MUTUAL FUNDS

"Total Purchases vs Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash; due to but not limited to the following: investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account.

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes.

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
HARDING LOEVNER INTL EQTY INST (HLMIX)	9/24/13	697.075	\$17.440	\$12,156.99	\$12,631.00	\$474.01 ST		
	10/2/13	740.916	17.450	12,928.98	13,425.40	496.42 ST		
	12/2/13	1,302.306	17.770	23,141.98	23,597.78	455.80 ST		
Total		2,740.297		48,227.95	49,654.18	1,426.23 ST	384.00	0.77
Total Purchases vs Market Value								
Cumulative Cash Distributions								
Net Value Increase/(Decrease)								
Share Price: \$18.120; Dividend Cash; Capital Gains Cash								

				Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
				9.7%	\$48,227.95	\$49,654.18	\$1,426.23 ST	\$384.00	0.77%
								\$0.00	

Transactions in mutual fund positions held directly at the Fund Company are not included in the total above and are not reflected on the Summary Page.
For more information about the pricing of Money Market Funds, please see the Expanded Disclosures

				Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Yield %
					334,848.80	488,518.57	153,669.77		

TOTAL SECURITIES

Morgan Stanley

CLIENT STATEMENT | For the Period January 1- March 31, 2014

Page 5 of 10

Account Detail

Basic Securities Account
060-902701-334
WINKELMANN CHARITABLE
FOUNDATION ATTN: SAM WINKELMANN

MUTUAL FUNDS

OTHER MUTUAL FUNDS

"Total Purchases vs Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash; due to but not limited to the following: investments made prior to addition of this information on statements, securities transfers, timing of recent distributions, and certain adjustments made in your account

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes.

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
AMERICAN EUROPACIFIC GRW A (AEPGX)								
	1/28/11	120.656	\$41.440	\$5,000.00	\$5,956.78	\$956.78 LT		
	2/28/11	117.316	42.620	5,000.00	5,791.89	791.89 LT		
	3/28/11	118.821	42.080	5,000.00	5,866.19	866.19 LT		
	4/21/11	189.620	44.220	8,385.00	9,361.54	976.54 LT		
	4/28/11	33.408	44.880	1,499.35	1,649.35	150.00 LT		
	5/27/11	115.902	43.140	5,000.00	5,722.08	722.08 LT		
	11/27/13	259.023	48.230	12,492.67	12,787.96	295.29 ST		
Purchases		954.746		42,377.02	47,135.79	4,463.48 LT		
						295.29 ST		
Long Term Reinvestments		24.946		946.24	1,231.58	285.34 LT		
Short Term Reinvestments		9.109		440.86	449.71	8.85 ST		
Total		988.801		43,764.12	48,817.11	4,748.82 LT	445.00	0.91
						304.14 ST		
AMERICAN INTL GRW & INC A (IGAAAX)								
Share Price: \$49.370; Enrolled in MS Dividend Reinvestment, Capital Gains Reinvest								
	1/28/11	160.514	31.150	5,000.00	5,648.49	648.49 LT		
	2/28/11	154.369	32.390	5,000.00	5,432.25	432.25 LT		
	3/28/11	156.299	31.990	5,000.00	5,500.16	500.16 LT		
	4/21/11	249.851	33.560	8,385.00	8,792.26	407.26 LT		
	4/28/11	37.628	34.100	1,283.11	1,324.13	41.02 LT		
	5/27/11	151.469	33.010	5,000.00	5,330.19	330.19 LT		
	11/27/13	350.918	35.600	12,492.67	12,348.80	(143.87) ST		
Purchases		1,261.048		42,160.78	44,376.28	2,359.37 LT		
						(143.87) ST		
Long Term Reinvestments		85.021		2,471.19	2,991.89	520.70 LT		
Short Term Reinvestments		97.405		3,313.59	3,427.68	114.09 ST		
Total		1,443.474		47,945.56	50,795.85	2,880.07 LT	1,731.00	3.40
						(29.78) ST		

1-31

Basic Securities Account
060-902701-334
WINKELMANN CHARITABLE
FOUNDATION ATTN: SAM WINKELMANN

Account Detail

MUTUAL FUNDS

OTHER MUTUAL FUNDS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/Loss	Estimated Annual Income	Dividend Yield %
Total Purchases vs Market Value								
Net Value Increase/(Decrease)								
Share Price: \$35.190; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest								
AMERICAN NEW WORLD A (NEWFX)								
	1/28/11	94.215	53.070	5,000.00	5,562.45	562.45 LT		
	2/28/11	93.510	53.470	5,000.00	5,520.83	520.83 LT		
	3/28/11	92.558	54.020	5,000.00	5,464.62	464.62 LT		
	4/21/11	147.493	56.850	8,385.00	8,707.98	322.98 LT		
	4/28/11	26.428	57.190	1,511.42	1,560.30	48.88 LT		
	5/27/11	89.977	55.570	5,000.00	5,312.24	312.24 LT		
	11/27/13	211.025	59.200	12,492.67	12,458.91	(33.76) ST		
Purchases		755.206		42,389.09	44,587.33	2,232.00 LT		
						(33.76) ST		
Long Term Reinvestments		17.256		853.18	1,018.79	165.61 LT		
Short Term Reinvestments		15.955		927.85	941.98	14.13 ST		
Total		788.417		44,170.12	46,548.14	2,397.61 LT	446.00	0.95
						(19.63) ST		
Total Purchases vs Market Value								
Net Value Increase/(Decrease)								
Share Price: \$59.040; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest								
AMERICAN SMALLCAP WORLD A (SMCWX)								
	1/28/11	130.276	38.380	5,000.00	6,481.23	1,481.23 LT		
	2/28/11	128.667	38.860	5,000.00	6,401.18	1,401.18 LT		
	3/28/11	127.714	39.150	5,000.00	6,353.77	1,353.77 LT		
	4/21/11	203.371	41.230	8,385.00	10,117.71	1,732.71 LT		
	4/28/11	37.395	41.530	1,553.01	1,860.40	307.39 LT		
	5/27/11	124.657	40.110	5,000.00	6,201.69	1,201.69 LT		
	11/27/13	247.037	50.570	12,492.67	12,290.09	(202.58) ST		
Purchases		999.117		42,430.68	49,706.07	7,477.97 LT		
						(202.58) ST		
Long Term Reinvestments		13.040		496.84	648.74	151.90 LT		
Short Term Reinvestments		50.483		2,461.57	2,511.53	49.96 ST		
Total		1,062.640		45,389.09	52,866.34	7,629.87 LT		
						(152.62) ST		
Total Purchases vs Market Value								
Net Value Increase/(Decrease)								
Share Price: \$49.750; Enrolled In MS Dividend Reinvestment; Capital Gains Reinvest								
Total				42,430.68	52,866.34	10,435.66		

15-34

Morgan Stanley

CLIENT STATEMENT | For the Period January 1- March 31, 2014

Page 7 of 10

Account Detail

Basic Securities Account
060-902701-334
WINKELMANN CHARITABLE
FOUNDATION ATTN: SAM WINKELMANN

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Annual Income Accrued Interest	Yield %
MUTUAL FUNDS	53.1%	\$181,268.89	\$199,027.44	\$17,656.37 LT	\$2,622.00	1.32%

TOTAL MORGAN STANLEY

687,546.01

516,117.69

UNREALIZED

GAIN

AMEPRISE FINANCIAL

VALUE COST

Equities						
AT&T INC	\$35,070.00	1,000.00000	\$35.7000	\$26,931.00	\$8,769.00	\$1,840 5.15%
FEN	\$23,547.25	719.00000	\$33.6000	\$13,820.20*	\$10,338.20	\$1,539 6.37%
Total Equities				\$59,858.40	\$40,751.20*	\$19,107.20 \$3,379

UNREALIZED

GAIN

VALUE COST

	747,404.41			\$56,868.89		
					190,335.52	

TOTAL ALL ACCOUNTS

A-35

**Application for Extension of Time To File an
Exempt Organization Return**▶ **File a separate application for each return.**▶ **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box. ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension — check this box and complete Part I only. ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	WINKELMANN CHARITABLE FOUNDATION	76-0450020
	Number, street, and room or suite number. If a P.O. box, see instructions	Social security number (SSN)
	23922 CINCO VILLAGE CENTER BLVD #250	
File by the due date for filing your return. See instructions	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	KATY, TX 77494	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ DONALD L. LACKEY, JR., C.P.A.

Telephone No. ▶ 713/664-5522 Fax No. ▶ _____

- If the organization does not have an office or place of business in the United States, check this box. ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box. ☐. If it is for part of the group, check this box. ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 12/15, 20 14, to file the exempt organization return for the organization named above.
The extension is for the organization's return for:

- ▶ ☐ calendar year 20 ____ or
- ▶ ☒ tax year beginning 5/01, 20 13, and ending 4/30, 20 14

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	567.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	0.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	567.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☒

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the extended due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions		Employer identification number (EIN) or
	WINKELMANN CHARITABLE FOUNDATION		76-0450020
	Number, street, and room or suite number. If a P.O. box, see instructions		Social security number (SSN)
	DONALD L. LACKEY, JR., CPA 1080 W SAM HOUSTON PKWY N STE 125		
	City, town or post office, state, and ZIP code. For a foreign address, see instructions		
	HOUSTON, TX 77043-5021		

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (section 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in care of ▶ DONALD L. LACKEY, JR., C.P.A.
Telephone No ▶ 713/664-5522 Fax No. ▶ _____
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until 3/15, 20 15.
- 5 For calendar year _____, or other tax year beginning 5/01, 20 13, and ending 4/30, 20 14.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason. ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension ALL RECORDS HAVE NOT BEEN RECEIVED AND COMPILED YET TO PROPERLY COMPLETE THE RETURN.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$ 567.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$ 0.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$ 567.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ [Signature] Title ▶ CPA Date ▶ 12-12-14

BAA

FIFZ0502L 12/31/13

Form 8868 (Rev 1-2014)