Form **990**

Department of the Treasury
Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990 and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Open to Public Inspection

A For the 2013 calendar year, or tax year beginning 5/1/2013 , and ending 4/30/2014

B Check if applicable
- ☐ Address change
- ☐ Name change
- ☐ Initial return
- ☐ Terminated
- ☐ Amended return
- ☐ Application pending

C Name of organization CU DIABETES

Doing Business As

Number and street (or P O box if mail is not delivered to street address) PO Box 53456 MAC S4101-22G | Room/suite

City or town Phoenix | State AZ | ZIP code 85072-3456

Foreign country name | Foreign province/state/county | Foreign postal code

D Employer identification number 74-6349039

E Telephone number 888-730-4933

G Gross receipts $ 1,194,597

F Name and address of principal officer WELLS FARGO BANK N.A 1 W 4TH ST 4TH FLOOR MAC D4000-041

H(a) Is this a group return for subordinates? ☐ Yes ☒ No

H(b) Are all subordinates included? ☐ Yes ☐ No

If "No," attach a list (see instructions)

I Tax-exempt status ☒ 501(c)(3) ☐ 501(c) ( ) ◀ (insert no) ☐ 4947(a)(1) or ☐ 527

J Website: ▶ N/A

H(c) Group exemption number ▶

K Form of organization ☐ Corporation ☒ Trust ☐ Association ☐ Other ▶

L Year of formation 1986 **M** State of legal domicile CO

Part I Summary

Activities & Governance

1 Briefly describe the organization's mission or most significant activities: TO SUPPORT DIABETES RESEARCH AND TREATMENT ACTIVITIES AT THE BARBARA DAVIS CENTER FOR CHILDHOOD DIABETES.

2 Check this box ▶☐ if the organization discontinued its operations or disposed of more than 25% of its net assets

3	Number of voting members of the governing body (Part VI, line 1a)	3	3
4	Number of independent voting members of the governing body (Part VI, line 1b)	4	3
5	Total number of individuals employed in calendar year 2013 (Part V, line 2a)	5	0
6	Total number of volunteers (estimate if necessary)	6	6
7a	Total unrelated business revenue from Part VIII, column (C), line 12	7a	0
b	Net unrelated business taxable income from Form 990-T, line 34	7b	0

		Prior Year	Current Year
Revenue			
8	Contributions and grants (Part VIII, line 1h)	0	0
9	Program service revenue (Part VIII, line 2g)	0	0
10	Investment income (Part VIII, column (A), lines 3, 4, and 7d)	197,368	358,030
11	Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	0	0
12	Total revenue—add lines 8 through 11 (must equal Part VIII, column (A), line 12)	197,368	358,030
Expenses			
13	Grants and similar amounts paid (Part IX, column (A), lines 1–3)	253,789	265,563
14	Benefits paid to or for members (Part IX, column (A), line 4)	0	0
15	Salaries, other compensation, employee benefits (Part IX, column (A), lines 5–10)	0	0
16a	Professional fundraising fees (Part IX, column (A), line 11e)	0	0
b	Total fundraising expenses (Part IX, column (D), line 25) ▶ 0		
17	Other expenses (Part IX, column (A), lines 11a–11d, 11f–24e)	33,840	35,528
18	Total expenses. Add lines 13–17 (must equal Part IX, column (A), line 25)	287,629	301,091
19	Revenue less expenses Subtract line 18 from line 12	-90,261	56,939

Net Assets or Fund Balances		Beginning of Current Year	End of Year
20	Total assets (Part X, line 16)	4,743,815	4,800,304
21	Total liabilities (Part X, line 26)	0	0
22	Net assets or fund balances. Subtract line 21 from line 20	4,743,815	4,800,304

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge

Sign Here

John N Sulentic SVP Wells Fargo Bank N.A. | 7/24/2014

Signature of officer | Date

JOHN SULENTIC SVP Wells Fargo Bank N A

Type or print name and title

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
	JOSEPH J. CASTRIANO		7/24/2014		P01251603
	Firm's name ▶ PricewaterhouseCoopers, LLP		Firm's EIN ▶ 13-4008324		
	Firm's address ▶ 600 GRANT ST, PITTSBURGH, PA 15219-2777		Phone no 412-355-6000		

May the IRS discuss this return with the preparer shown above? (see instructions) ☒ Yes ☐ No

For Paperwork Reduction Act Notice, see the separate instructions.

HTA

RECEIVED

IRS-OSC

SCANNED SEP 0 4 2015

POSTMARK DATE AUG 1 4 2015

NE 24

Part III **Statement of Program Service Accomplishments**

Check if Schedule O contains a response or note to any line in this Part III . . . . ☐

1 Briefly describe the organization's mission:
TO SUPPORT DIABETES RESEARCH AND TREATMENT ACTIVITIES AT THE BARBARA DAVIS CENTER FOR CHILDHOOD DIABETES

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? . . . ☐ Yes ☒ No
If "Yes," describe these new services on Schedule O

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? . . . ☐ Yes ☒ No
If "Yes," describe these changes on Schedule O

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code:) (Expenses $ 274,064 including grants of $ 265,563) (Revenue $)
TO SUPPORT DIABETES RESEARCH AND TREATMENT ACTIVITIES AT THE BARBARA DAVIS CENTER FOR

4b (Code:) (Expenses $ including grants of $) (Revenue $)

4c (Code:) (Expenses $ including grants of $) (Revenue $)

4d Other program services. (Describe in Schedule O.)
(Expenses $ 0 including grants of $ 0) (Revenue $ 0)

4e Total program service expenses ▶ 274,064

Part IV Checklist of Required Schedules

			Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? *If "Yes," complete Schedule A*	1	X	
2	Is the organization required to complete *Schedule B, Schedule of Contributors* (see instructions)?	2		X
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? *If "Yes," complete Schedule C, Part I*	3		X
4	**Section 501(c)(3) organizations.** Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? *If "Yes," complete Schedule C, Part II*	4		X
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? *If "Yes," complete Schedule C, Part III*	5		X
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? *If "Yes," complete Schedule D, Part I*	6		X
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? *If "Yes," complete Schedule D, Part II*	7		X
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? *If "Yes," complete Schedule D, Part III*	8		X
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? *If "Yes," complete Schedule D, Part IV*	9		X
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? *If "Yes," complete Schedule D, Part V*	10		X
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.			
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? *If "Yes," complete Schedule D, Part VI*	11a		X
b	Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VII*	11b		X
c	Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part VIII*	11c		X
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? *If "Yes," complete Schedule D, Part IX*	11d		X
e	Did the organization report an amount for other liabilities in Part X, line 25? *If "Yes," complete Schedule D, Part X.*	11e		X
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? *If "Yes," complete Schedule D, Part X*	11f		X
12a	Did the organization obtain separate, independent audited financial statements for the tax year? *If "Yes," complete Schedule D, Parts XI and XII*	12a		X
b	Was the organization included in consolidated, independent audited financial statements for the tax year? *If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional*	12b		X
13	Is the organization a school described in section 170(b)(1)(A)(ii)? *If "Yes," complete Schedule E*	13		X
14a	Did the organization maintain an office, employees, or agents outside of the United States?	14a		X
b	Did the organization have aggregate revenues or expenses of more than $10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at $100,000 or more? *If "Yes," complete Schedule F, Parts I and IV*	14b		X
15	Did the organization report on Part IX, column (A), line 3, more than $5,000 of grants or other assistance to or for any foreign organization? *If "Yes," complete Schedule F, Parts II and IV*	15		X
16	Did the organization report on Part IX, column (A), line 3, more than $5,000 of aggregate grants or other assistance to or for foreign individuals? *If "Yes," complete Schedule F, Parts III and IV*	16		X
17	Did the organization report a total of more than $15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? *If "Yes," complete Schedule G, Part I (see instructions)*	17		X
18	Did the organization report more than $15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? *If "Yes," complete Schedule G, Part II*	18		X
19	Did the organization report more than $15,000 of gross income from gaming activities on Part VIII, line 9a? *If "Yes," complete Schedule G, Part III*	19		X
20a	Did the organization operate one or more hospital facilities? *If "Yes," complete Schedule H*	20a		X
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b		

Part IV Checklist of Required Schedules *(continued)*

			Yes	No
21	Did the organization report more than $5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? *If "Yes," complete Schedule I, Parts I and II*	21	X	
22	Did the organization report more than $5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? *If "Yes," complete Schedule I, Parts I and III*	22		X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? *If "Yes," complete Schedule J*	23	X	
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than $100,000 as of the last day of the year, that was issued after December 31, 2002? *If "Yes," answer lines 24b through 24d and complete Schedule K If "No," go to line 25a*	24a		X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		X
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		X
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		X
25a	**Section 501(c)(3) and 501(c)(4) organizations.** Did the organization engage in an excess benefit transaction with a disqualified person during the year? *If "Yes," complete Schedule L, Part I*	25a		X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? *If "Yes," complete Schedule L, Part I*	25b		X
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If so, complete Schedule L, Part II	26		X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? *If "Yes," complete Schedule L, Part III*	27		X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):			
a	A current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28a		X
b	A family member of a current or former officer, director, trustee, or key employee? *If "Yes," complete Schedule L, Part IV*	28b		X
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? *If "Yes," complete Schedule L, Part IV*	28c		X
29	Did the organization receive more than $25,000 in non-cash contributions? *If "Yes," complete Schedule M*	29		X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? *If "Yes," complete Schedule M*	30		X
31	Did the organization liquidate, terminate, or dissolve and cease operations? *If "Yes," complete Schedule N, Part I*	31		X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? *If "Yes," complete Schedule N, Part II*	32		X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301 7701-3? *If "Yes," complete Schedule R, Part I*	33		X
34	Was the organization related to any tax-exempt or taxable entity? *If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1*	34		X
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		X
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? *If "Yes," complete Schedule R, Part V, line 2*	35b		X
36	**Section 501(c)(3) organizations.** Did the organization make any transfers to an exempt non-charitable related organization? *If "Yes," complete Schedule R, Part V, line 2*	36		X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? *If "Yes," complete Schedule R, Part VI*	37		X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? **Note.** All Form 990 filers are required to complete Schedule O.	38	X	

Part V Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V . . . ☐

				Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a 0			
b	Enter the number of Forms W-2G included in line 1a Enter -0- if not applicable	1b 0			
c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?		1c	N/A	
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a 0			
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns?		2b		
	Note. If the sum of lines 1a and 2a is greater than 250, you may be required to *e-file* (see instructions)				
3a	Did the organization have unrelated business gross income of $1,000 or more during the year?		3a		X
b	If "Yes," has it filed a Form 990-T for this year? *If "No" to line 3b, provide an explanation in Schedule O*		3b		
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?		4a		X
b	If "Yes," enter the name of the foreign country: ▶ See instructions for filing requirements for FinCen Form 114, Report of Foreign Bank and Financial Accounts (FBAR)				
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?		5a		X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?		5b		X
c	If "Yes" to line 5a or 5b, did the organization file Form 8886-T?		5c		
6a	Does the organization have annual gross receipts that are normally greater than $100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?		6a		X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?		6b		
7	**Organizations that may receive deductible contributions under section 170(c).**				
a	Did the organization receive a payment in excess of $75 made partly as a contribution and partly for goods and services provided to the payor?		7a		X
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?		7b		
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?		7c		X
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d			
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		7e		X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		7f		X
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?		7g		X
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?		7h		X
8	**Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations.** Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?		8		X
9	**Sponsoring organizations maintaining donor advised funds.**				
a	Did the organization make any taxable distributions under section 4966?		9a		
b	Did the organization make a distribution to a donor, donor advisor, or related person?		9b		
10	**Section 501(c)(7) organizations.** Enter				
a	Initiation fees and capital contributions included on Part VIII, line 12	10a			
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	10b			
11	**Section 501(c)(12) organizations.** Enter:				
a	Gross income from members or shareholders	11a			
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them)	11b			
12a	**Section 4947(a)(1) non-exempt charitable trusts.** Is the organization filing Form 990 in lieu of Form 1041?		12a		
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	12b			
13	**Section 501(c)(29) qualified nonprofit health insurance issuers.**				
a	Is the organization licensed to issue qualified health plans in more than one state?		13a		
	Note. See the instructions for additional information the organization must report on Schedule O				
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	13b			
c	Enter the amount of reserves on hand	13c			
14a	Did the organization receive any payments for indoor tanning services during the tax year?		14a		X
b	If "Yes," has it filed a Form 720 to report these payments? *If "No," provide an explanation in Schedule O*		14b		

Part VI **Governance, Management, and Disclosure** *For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.*
Check if Schedule O contains a response or note to any line in this Part VI . . . [X]

Section A. Governing Body and Management

				Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year. If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	1a	3		
b	Enter the number of voting members included in line 1a, above, who are independent	1b	3		
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		2		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?		3		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		4		X
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		5		X
6	Did the organization have members or stockholders?		6	X	
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		7a		X
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		7b		X
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:				
a	The governing body?		8a	X	
b	Each committee with authority to act on behalf of the governing body?		8b	X	
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? *If "Yes," provide the names and addresses in Schedule O*		9		X

Section B. Policies *(This Section B requests information about policies not required by the Internal Revenue Code.)*

			Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a		X
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b		
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	X	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990			
12a	Did the organization have a written conflict of interest policy? *If "No," go to line 13*	12a		X
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	X	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? *If "Yes," describe in Schedule O how this was done*	12c	X	
13	Did the organization have a written whistleblower policy?	13	X	
14	Did the organization have a written document retention and destruction policy?	14	X	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?			
a	The organization's CEO, Executive Director, or top management official	15a		X
b	Other officers or key employees of the organization	15b		X
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a		X
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b		

Section C. Disclosure

17 List the states with which a copy of this Form 990 is required to be filed ► N/A

18 Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
[ ] Own website [X] Another's website [X] Upon request [ ] Other *(explain in Schedule O)*

19 Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year

20 State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ► Wells Fargo Bank N.A. 888-730-4933
PO Box 53456 MAC S4101-22G, Phoenix, AZ 85072-3456

Part VII **Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors**

Check if Schedule O contains a response or note to any line in this Part VII . . . . ☐

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid
- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee "
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than $100,000 from the organization and any related organizations
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than $100,000 of reportable compensation from the organization and any related organizations
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than $10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees; officers; key employees; highest compensated employees; and former such persons

☐ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee): Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(1) LILLY MARKS CO-TRUSTEE	1 00	X							889,853	162,263
(2) DR RICHARD ABRAMS CO-TRUSTEE	1 00	X								
(3) WELLS FARGO BANK N A. CO-TRUSTEE	4.00		X					34,003		
(4)										
(5)										
(6)										
(7)										
(8)										
(9)										
(10)										
(11)										
(12)										
(13)										
(14)										

Part VII Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees *(continued)*

(A) Name and title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee): Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee	Former	(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
(15)										
(16)										
(17)										
(18)										
(19)										
(20)										
(21)										
(22)										
(23)										
(24)										
(25)										
1b Sub-total ▶								34,003	889,853	162,263
c Total from continuation sheets to Part VII, Section A ▶								0	0	0
d Total (add lines 1b and 1c) ▶								34,003	889,853	162,263

2 Total number of individuals (including but not limited to those listed above) who received more than $100,000 of reportable compensation from the organization ▶ 1

			Yes	No
3	Did the organization list any **former** officer, director, or trustee, key employee, or highest compensated employee on line 1a? *If "Yes," complete Schedule J for such individual*	3		X
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than $150,000? *If "Yes," complete Schedule J for such individual*	4		X
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? *If "Yes," complete Schedule J for such person*	5		X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than $100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year

(A) Name and business address	(B) Description of services	(C) Compensation
NONE		0
		0
		0
		0
		0

2 Total number of independent contractors (including but not limited to those listed above) who received more than $100,000 of compensation from the organization ▶ 0

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII. . . . . ☐

				(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts							
1a	Federated campaigns	1a	0				
b	Membership dues	1b	0				
c	Fundraising events	1c	0				
d	Related organizations	1d	0				
e	Government grants (contributions)	1e	0				
f	All other contributions, gifts, grants, and similar amounts not included above	1f	0				
g	Noncash contributions included in lines 1a-1f:	$	0				
h	**Total.** Add lines 1a–1f ▶			0			
Program Service Revenue			Business Code				
2a				0			
b				0			
c				0			
d				0			
e				0			
f	All other program service revenue			0			
g	**Total.** Add lines 2a–2f ▶			0			
Other Revenue							
3	Investment income (including dividends, interest, and other similar amounts) ▶			124,300			124,300
4	Income from investment of tax-exempt bond proceeds ▶			0			
5	Royalties ▶			0			
		(i) Real	(ii) Personal				
6a	Gross rents						
b	Less rental expenses						
c	Rental income or (loss)	0	0				
d	Net rental income or (loss) ▶			0			
		(i) Securities	(ii) Other				
7a	Gross amount from sales of assets other than inventory	1,070,297	0				
b	Less cost or other basis and sales expenses	836,567	0				
c	Gain or (loss)	233,730	0				
d	Net gain or (loss) ▶			233,730			233,730
8a	Gross income from fundraising events (not including $ 0 of contributions reported on line 1c). See Part IV, line 18	a	0				
b	Less: direct expenses	b	0				
c	Net income or (loss) from fundraising events ▶			0			
9a	Gross income from gaming activities See Part IV, line 19	a	0				
b	Less. direct expenses	b	0				
c	Net income or (loss) from gaming activities ▶			0			
10a	Gross sales of inventory, less returns and allowances	a	0				
b	Less cost of goods sold	b	0				
c	Net income or (loss) from sales of inventory ▶			0			
	Miscellaneous Revenue		Business Code				
11a				0			
b				0			
c				0			
d	All other revenue			0			
e	**Total.** Add lines 11a–11d ▶			0			
12	**Total revenue.** See instructions ▶			358,030	0	0	358,030

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX . . . ☐

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States See Part IV, line 21	265,563	265,563		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22	0			
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16	0			
4 Benefits paid to or for members	0			
5 Compensation of current officers, directors, trustees, and key employees				
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)	0			
7 Other salaries and wages	0			
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	0			
9 Other employee benefits	0			
10 Payroll taxes	0			
11 Fees for services (non-employees)				
a Management	0			
b Legal	0			
c Accounting	0			
d Lobbying	0			
e Professional fundraising services. See Part IV, line 17	0			
f Investment management fees	0			
g Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O)	0			
12 Advertising and promotion	0			
13 Office expenses	0			
14 Information technology	0			
15 Royalties	0			
16 Occupancy	0			
17 Travel	0			
18 Payments of travel or entertainment expenses for any federal, state, or local public officials	0			
19 Conferences, conventions, and meetings	0			
20 Interest	0			
21 Payments to affiliates	0			
22 Depreciation, depletion, and amortization	0	0	0	0
23 Insurance	0			
24 Other expenses Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a TRUSTEE FEES	34,003	8,501	25,502	
b Foreign Tax Withheld	1,525		1,525	
c	0			
d	0			
e All other expenses	0			
25 **Total functional expenses.** Add lines 1 through 24e	301,091	274,064	27,027	0
26 **Joint costs.** Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation Check here ▶ ☐ if following SOP 98-2 (ASC 958-720)				

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X . . . ☐

			(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing	0	1	0
	2	Savings and temporary cash investments	290,684	2	301,403
	3	Pledges and grants receivable, net	0	3	0
	4	Accounts receivable, net	0	4	0
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		5	
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Complete Part II of Schedule L		6	
	7	Notes and loans receivable, net	0	7	0
	8	Inventories for sale or use	0	8	0
	9	Prepaid expenses and deferred charges	0	9	0
	10a	Land, buildings, and equipment: cost or other basis Complete Part VI of Schedule D — 10a: 0			
	b	Less. accumulated depreciation — 10b: 0	0	10c	0
	11	Investments—publicly traded securities	0	11	0
	12	Investments—other securities. See Part IV, line 11	4,453,131	12	4,498,901
	13	Investments—program-related. See Part IV, line 11	0	13	0
	14	Intangible assets	0	14	0
	15	Other assets See Part IV, line 11	0	15	0
	16	**Total assets.** Add lines 1 through 15 (must equal line 34)	4,743,815	16	4,800,304
Liabilities	17	Accounts payable and accrued expenses	0	17	0
	18	Grants payable		18	
	19	Deferred revenue	0	19	0
	20	Tax-exempt bond liabilities		20	
	21	Escrow or custodial account liability Complete Part IV of Schedule D		21	
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23	Secured mortgages and notes payable to unrelated third parties	0	23	0
	24	Unsecured notes and loans payable to unrelated third parties	0	24	0
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D	0	25	0
	26	**Total liabilities.** Add lines 17 through 25	0	26	0
Net Assets or Fund Balances		**Organizations that follow SFAS 117 (ASC 958), check here ▶ ☐ and complete lines 27 through 29, and lines 33 and 34.**			
	27	Unrestricted net assets		27	
	28	Temporarily restricted net assets		28	
	29	Permanently restricted net assets		29	
		Organizations that do not follow SFAS 117 (ASC958), check here ▶ ☒ and complete lines 30 through 34.			
	30	Capital stock or trust principal, or current funds	4,743,815	30	4,800,304
	31	Paid-in or capital surplus, or land, building, or equipment fund		31	
	32	Retained earnings, endowment, accumulated income, or other funds		32	
	33	Total net assets or fund balances	4,743,815	33	4,800,304
	34	Total liabilities and net assets/fund balances	4,743,815	34	4,800,304

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI . . . . [X]

1	Total revenue (must equal Part VIII, column (A), line 12)	1	358,030
2	Total expenses (must equal Part IX, column (A), line 25)	2	301,091
3	Revenue less expenses Subtract line 2 from line 1	3	56,939
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	4,743,815
5	Net unrealized gains (losses) on investments	5	
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	-450
10	Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	4,800,304

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII . . . . [ ]

			Yes	No
1	Accounting method used to prepare the Form 990: [X] Cash [ ] Accrual [ ] Other ______ If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O			
2a	Were the organization's financial statements compiled or reviewed by an independent accountant?	2a		X
	If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both: [ ] Separate basis [ ] Consolidated basis [ ] Both consolidated and separate basis			
b	Were the organization's financial statements audited by an independent accountant?	2b		X
	If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both: [ ] Separate basis [ ] Consolidated basis [ ] Both consolidated and separate basis			
c	If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant?	2c		
	If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O.			
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?	3a		X
b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits	3b		

SCHEDULE A (Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ **Attach to Form 990 or Form 990-EZ.**

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990.*

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
CU DIABETES

Employer identification number
74-6349039

Part I **Reason for Public Charity Status** (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

1 ☐ A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**

2 ☐ A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)

3 ☐ A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**

4 ☐ A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state

5 ☐ An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II.)

6 ☐ A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**

7 ☐ An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

8 ☐ A community trust described in **section 170(b)(1)(A)(vi).** (Complete Part II.)

9 ☐ An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2).** (Complete Part III)

10 ☐ An organization organized and operated exclusively to test for public safety. See **section 509(a)(4).**

11 ☒ An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h.

a ☐ Type I b ☐ Type II c ☐ Type III–Functionally integrated d ☒ Type III–Non-functionally integrated

e ☒ By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2).

f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box . . . ☒

g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

			Yes	No
(i)	A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?	11g(i)		X
(ii)	A family member of a person described in (i) above?	11g(ii)		X
(iii)	A 35% controlled entity of a person described in (i) or (ii) above?	11g(iii)		X

h Provide the following information about the supported organization(s).

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1–9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document? Yes	No	(v) Did you notify the organization in col (i) of your support? Yes	No	(vi) Is the organization in col (i) organized in the US? Yes	No	(vii) Amount of monetary support
(A) UNIVERSITY OF COL(	84-6000555	2	X		X		X		265,563
(B)									
(C)									
(D)									
(E)									
Total 1									265,563

For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.
HTA

Part II **Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)** (Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants") .						0
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
3 The value of services or facilities furnished by a governmental unit to the organization without charge						0
4 **Total.** Add lines 1 through 3	0	0	0	0	0	0
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f) .						
6 **Public support.** Subtract line 5 from line 4.						0

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4	0	0	0	0	0	0
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources . .						0
9 Net income from unrelated business activities, whether or not the business is regularly carried on . .						0
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) . .						0
11 **Total support.** Add lines 7 through 10						0

12 Gross receipts from related activities, etc (see instructions) . . . 12

13 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** . . . ▶ ☐

Section C. Computation of Public Support Percentage

14	Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f)) . .	14	0.00%
15	Public support percentage from 2012 Schedule A, Part II, line 14 . .	15	0.00%

16a **33 1/3% support test—2013.** If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . ▶ ☐

b **33 1/3% support test—2012.** If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and **stop here.** The organization qualifies as a publicly supported organization . . . ▶ ☐

17a **10%-facts-and-circumstances test—2013.** If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization. . . . ▶ ☐

b **10%-facts-and-circumstances test—2012.** If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and **stop here.** Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization . . . ▶ ☐

18 **Private foundation.** If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions . . . ▶ ☐

Part III **Support Schedule for Organizations Described in Section 509(a)(2)**
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants ")						0
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						0
3 Gross receipts from activities that are not an unrelated trade or business under section 513						0
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						0
5 The value of services or facilities furnished by a governmental unit to the organization without charge						0
6 **Total.** Add lines 1 through 5	0	0	0	0	0	0
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						0
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of $5,000 or 1% of the amount on line 13 for the year						0
c Add lines 7a and 7b	0	0	0	0	0	0
8 **Public support** (Subtract line 7c from line 6)						0

Section B. Total Support

Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6	0	0	0	0	0	0
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						0
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						0
c Add lines 10a and 10b	0	0	0	0	0	0
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						0
12 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						0
13 **Total support.** (Add lines 9, 10c, 11, and 12)	0	0	0	0	0	0

14 **First five years.** If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and **stop here** ▶ ☐

Section C. Computation of Public Support Percentage

15	Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	0.00%
16	Public support percentage from 2012 Schedule A, Part III, line 15	16	0.00%

Section D. Computation of Investment Income Percentage

17	Investment income percentage for **2013** (line 10c, column (f) divided by line 13, column (f))	17	0.00%
18	Investment income percentage from **2012** Schedule A, Part III, line 17	18	0.00%

19a **33 1/3% support tests—2013.** If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

b **33 1/3% support tests—2012.** If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and **stop here.** The organization qualifies as a publicly supported organization ▶ ☐

20 **Private foundation.** If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶ ☐

Part IV **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

SCHEDULE I
(Form 990)

Department of the Treasury
Internal Revenue Service

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

▶ Attach to Form 990.

▶ Information about Schedule I (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047
2013
Open to Public Inspection

Name of the organization: CU DIABETES

Employer identification number: 74-6349039

Part I General Information on Grants and Assistance

1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? . . . [X] Yes [ ] No

2 Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States

Part II Grants and Other Assistance to Governments and Organizations in the United States.

Complete if the organization answered "Yes" to Form 990, Part IV, line 21, for any recipient that received more than $5,000. Part II can be duplicated if additional space is needed

1 (a) Name and address of organization or government	(b) EIN	(c) IRC section if applicable	(d) Amount of cash grant	(e) Amount of non-cash assistance	(f) Method of valuation (book, FMV, appraisal, other)	(g) Description of non-cash assistance	(h) Purpose of grant or assistance
(1) UNIVERSITY OF COLORADO 1800 GRANT ST STE 600	84-6000555	501C3	265,563				GENERAL SUPPORT GRANT
(2)							
(3)							
(4)							
(5)							
(6)							
(7)							
(8)							
(9)							
(10)							
(11)							
(12)							

2 Enter total number of section 501(c)(3) and government organizations listed in the line 1 table . . . ▶

3 Enter total number of other organizations listed in the line 1 table . . . ▶ 1

Part III **Grants and Other Assistance to Individuals in the United States.** Complete if the organization answered "Yes" to Form 990, Part IV, line 22. Part III can be duplicated if additional space is needed.

(a) Type of grant or assistance	(b) Number of recipients	(c) Amount of cash grant	(d) Amount of non-cash assistance	(e) Method of valuation (book, FMV, appraisal, other)	(f) Description of non-cash assistance
1					
2					
3					
4					
5					
6					
7					

Part IV **Supplemental Information.** Provide the information required in Part I, line 2, Part III, column (b), and any other additional information

Part I Line 2 ORGANIZATION MAKES CONTRIBUTIONS TO PRESELECTED ORGANIZATIONS

SCHEDULE J (Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 23.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule J (Form 990) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047
2013
Open to Public Inspection

Name of the organization: CU DIABETES

Employer identification number: 74-6349039

Part I Questions Regarding Compensation

			Yes	No
1a	Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a Complete Part III to provide any relevant information regarding these items. ☐ First-class or charter travel ☐ Housing allowance or residence for personal use ☐ Travel for companions ☐ Payments for business use of personal residence ☐ Tax indemnification and gross-up payments ☐ Health or social club dues or initiation fees ☐ Discretionary spending account ☐ Personal services (e g , maid, chauffeur, chef)			
b	If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain	1b		
2	Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?	2		
3	Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III ☐ Compensation committee ☐ Written employment contract ☐ Independent compensation consultant ☐ Compensation survey or study ☐ Form 990 of other organizations ☐ Approval by the board or compensation committee			
4	During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization:			
a	Receive a severance payment or change-of-control payment?	4a		X
b	Participate in, or receive payment from, a supplemental nonqualified retirement plan?	4b		X
c	Participate in, or receive payment from, an equity-based compensation arrangement? If "Yes" to any of lines 4a–c, list the persons and provide the applicable amounts for each item in Part III	4c		X
	Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5–9.			
5	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:			
a	The organization?	5a		X
b	Any related organization? If "Yes" to line 5a or 5b, describe in Part III	5b		X
6	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of			
a	The organization?	6a		X
b	Any related organization? If "Yes" to line 6a or 6b, describe in Part III.	6b		X
7	For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III	7		X
8	Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III	8		X
9	If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?	9		X

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii) Do not list any individuals that are not listed on Form 990, Part VII

Note. The sum of columns (B)(i)–(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual

(A) Name and Title		(B) Breakdown of W-2 and/or 1099-MISC compensation (i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation	(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)–(D)	(F) Compensation reported as deferred in prior Form 990
LILLY MARKS	(i)	889,853		162,263			1,052,116	
1 CO-TRUSTEE	(ii)						0	
	(i)							
2	(ii)							
	(i)							
3	(ii)							
	(i)							
4	(ii)							
	(i)							
5	(ii)							
	(i)							
6	(ii)							
	(i)							
7	(ii)							
	(i)							
8	(ii)							
	(i)							
9	(ii)							
	(i)							
10	(ii)							
	(i)							
11	(ii)							
	(i)							
12	(ii)							
	(i)							
13	(ii)							
	(i)							
14	(ii)							
	(i)							
15	(ii)							
	(i)							
16	(ii)							

Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II Also complete this part for any additional information.

Part II Line 1 LILLY MARKS IS A FORMER EMPLOYEE OF THE UNIVERSITY OF COLORADO AND HER COMPENSATION IS RESULT OF SAID EMPLOYMENT.

LILLLY MARKS WAS NAMED CO-TRUSTEE TO BE A UNIVERSITY REPRESENTATIVE IN ACCORDANCE WITH THE TRUST AGREEMENT SHE DOES NOT RECEIVE ANY COMPENSATION FROM THE ORGANIZATION FILING THIS RETURN.

SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information.

▶ Attach to Form 990 or 990-EZ.

▶ **Information about Schedule O (Form 990 or 990-EZ) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization	Employer identification number
CU DIABETES	74-6349039

Form 990, Part VI, Section A, Line 7A THE CHANCELLOR OF THE UNIVERSITY OF COLORADO APPOINTS A TRUSTEE

Form 990, Part VI, Section B, Line 11B THE TRUSTEES RECEIVE AND REVIEW THE FORM 990

Form 990, Part VI, Section B, Line 12C ORGANIZATION COMPLIES WITH THE SAME POLICY AND ENFORCEMENT AS ITS TRUSTEE WELLS FARGO BANK N.A

Form 990, Part VI, Section C, Line 19 NO DOCUMENTS MADE AVAILABLE TO THE PUBLIC

Form 990, Part XI, Line 5: NET ADJUSTMENT INCLUDES COST BASIS ADJUSTMENT, MUTUAL FUND TIMING DIFFERENCE

Name of the organization	Employer identification number
CU DIABETES	74-6349039

Security Type	EIN	CUSIP	Asset Name	FMV	Units	Fed Cost
Invest - Corp Stock	74-6349039	V7780T103	ROYAL CARRIBEAN CRUISE	36,128.40	680.00	15,733.03
Invest - Corp Stock	74-6349039	001055102	AFLAC INC	17,561.60	280 00	18,883.20
Invest - Corp Stock	74-6349039	00724F101	ADOBE SYS INC	42,566 10	690.00	21,862.87
Invest - Corp Stock	74-6349039	020002101	ALLSTATE CORP	25,912 25	455.00	25,624.20
Invest - Corp Stock	74-6349039	023135106	AMAZON COM INC COM	39,536.90	130.00	9,612.20
Invest - Corp Stock	74-6349039	032511107	ANADARKO PETROLEUM CORP	43,568 80	440.00	22,856.34
Invest - Corp Stock	74-6349039	037833100	APPLE INC	76,711.70	130.00	22,134.83
Invest - Corp Stock	74-6349039	054937107	B B & T CORP COM	42,182 90	1,130.00	32,913.18
Invest - Corp Stock	74-6349039	097023105	BOEING CO	36,125.60	280.00	18,810.56
Invest - Corp Stock	74-6349039	110122108	BRISTOL MYERS SQUIBB CO	42,075.60	840.00	25,499 79
Invest - Corp Stock	74-6349039	149123101	CATERPILLAR INC	50,592.00	480.00	33,861.33
Invest - Corp Stock	74-6349039	156782104	CERNER CORP COM	36,423 00	710.00	19,361.60
Invest - Corp Stock	74-6349039	17275R102	CISCO SYSTEMS INC	22,878.90	990.00	26,164.20
Invest - Corp Stock	74-6349039	172908105	CINTAS CORP	48,322.60	820.00	23,373.29
Invest - Corp Stock	74-6349039	235851102	DANAHER CORP	29,352.00	400 00	18,415.76
Invest - Corp Stock	74-6349039	244199105	DEERE & CO	28,935 40	310.00	22,398.09
Invest - Corp Stock	74-6349039	254687106	WALT DISNEY CO	36,496 40	460 00	16,407.42
Invest - Corp Stock	74-6349039	263534109	DU PONT E I DE NEMOURS & CO	38,372.40	570.00	28,731 83
Invest - Corp Stock	74-6349039	268648102	E M C CORP MASS	27,864.00	1,080.00	29,655.34
Invest - Corp Stock	74-6349039	35671D857	FREEPORT-MCMORAN COPPER & GOLD INC	31,620.40	920 00	33,005 02
Invest - Corp Stock	74-6349039	369604103	GENERAL ELECTRIC CO	50,418.75	1,875.00	5,748.44
Invest - Corp Stock	74-6349039	375558103	GILEAD SCIENCES INC	38,460.10	490.00	24,381.57
Invest - Corp Stock	74-6349039	406216101	HALLIBURTON CO	40,364 80	640 00	26,770 36
Invest - Corp Stock	74-6349039	437076102	HOME DEPOT INC	43,730 50	550.00	17,935.61
Invest - Corp Stock	74-6349039	458140100	INTEL CORP	31,494.20	1,180.00	27,681.00
Invest - Corp Stock	74-6349039	459200101	INTERNATIONAL BUSINESS MACHS CORP	45,188.10	230 00	27,504.89
Invest - Corp Stock	74-6349039	478160104	JOHNSON & JOHNSON	47,099.85	465 00	26,836.71
Invest - Corp Stock	74-6349039	526057104	LENNAR CORPORATION CLASS A COMMON	33,959.20	880 00	18,240.64
Invest - Corp Stock	74-6349039	580135101	MCDONALDS CORP	31,427.80	310.00	16,093.25
Invest - Corp Stock	74-6349039	594918104	MICROSOFT CORP	45,248 00	1,120.00	31,532.26
Invest - Corp Stock	74-6349039	617446448	MORGAN STANLEY	32,167 20	1,040 00	24,667 94
Invest - Corp Stock	74-6349039	674599105	OCCIDENTAL PETE CORP	22,980.00	240.00	13,639 62
Invest - Corp Stock	74-6349039	68389X105	ORACLE CORPORATION	30,660.00	750.00	15,195 00
Invest - Corp Stock	74-6349039	693475105	PNC FINANCIAL SERVICES GROUP	53,785.60	640 00	35,385.26
Invest - Corp Stock	74-6349039	717081103	PFIZER INC	33,719.84	1,078 00	25,490.27
Invest - Corp Stock	74-6349039	73755L107	POTASH CORP SASK	30,012 80	830.00	31,473 63
Invest - Corp Stock	74-6349039	742718109	PROCTER & GAMBLE CO	37,147.50	450.00	29,657 47
Invest - Corp Stock	74-6349039	747525103	QUALCOMM INC	43,290.50	550.00	27,441 16
Invest - Corp Stock	74-6349039	806857108	SCHLUMBERGER LTD	34,527.00	340.00	31,342 46
Invest - Corp Stock	74-6349039	907818108	UNION PACIFIC CORP	53,320 40	280.00	14,032 84
Invest - Corp Stock	74-6349039	913017109	UNITED TECHNOLOGIES CORP	41,415 50	350 00	24,184.95
Invest - Corp Stock	74-6349039	931142103	WAL MART STORES INC	39,057.90	490.00	21,392.66

Invest - Corp Stock	74-6349039	931422109	WALGREEN CO	33,950.00	500 00	18,185.75
Invest - Corp Stock	74-6349039	191216100	COCA COLA CO	26,105.60	640.00	19,244 11
Invest - Corp Stock	74-6349039	278642103	EBAY INC	24,360 10	470.00	14,904.77
Invest - Corp Stock	74-6349039	38259P706	GOOGLE INC-CL C	34,232.90	65.00	14,405.52
Invest - Corp Stock	74-6349039	060505104	BANK OF AMERICA CORP	43,603.20	2,880.00	58,520 28
Invest - Corp Stock	74-6349039	38141G104	GOLDMAN SACHS GROUP INC	27,169.40	170.00	29,915.50
Invest - Corp Stock	74-6349039	22160K105	COSTCO WHOLESALE CORP	27,763.20	240.00	18,292.22
Invest - Corp Stock	74-6349039	30231G102	EXXON MOBIL CORPORATION	65,030 35	635.00	36,894.30
Invest - Corp Stock	74-6349039	31428X106	FEDEX CORPORATION	29,975 00	220.00	16,339.69
Invest - Corp Stock	74-6349039	91324P102	UNITEDHEALTH GROUP INC	37,520.00	500.00	18,300.65
Invest - Corp Stock	74-6349039	92343V104	VERIZON COMMUNICATIONS	25,234 20	540.00	18,123.75
Invest - Corp Stock	74-6349039	61166W101	MONSANTO CO NEW	28,782 00	260.00	18,258.76
Invest - Corp Stock	74-6349039	46625H100	JPMORGAN CHASE & CO	64,377.00	1,150.00	46,341.41
Invest - Corp Stock	74-6349039	74144T108	T ROWE PRICE GROUP INC	30,388.10	370.00	21,518 88
Invest - Corp Stock	74-6349039	902973304	US BANCORP DEL NEW	72,588 40	1,780.00	45,516 86
Invest - Corp Stock	74-6349039	G1151C101	ACCENTURE PLC	32,890.20	410.00	17,374 28
Invest - Corp Stock	74-6349039	166764100	CHEVRON CORP	35,145 60	280.00	23,344.26
Invest - Corp Stock	74-6349039	58933Y105	MERCK & CO INC NEW	28,694 40	490.00	24,197.66
Invest - Corp Stock	74-6349039	084670702	BERKSHIRE HATHAWAY INC.	45,097 50	350 00	29,952 55
Invest - Corp Stock	74-6349039	20825C104	CONOCOPHILLIPS	31,210.20	420.00	22,747.76
Invest - Corp Stock	74-6349039	910047109	UNITED CONTINENTAL HOLDINGS, INC.	24,644.61	603.00	7,791 05
Invest - Corp Stock	74-6349039	79466L302	SALESFORCE COM INC	24,275.50	470.00	28,764.71
Invest - Corp Stock	74-6349039	37045V100	GENERAL MOTORS CO	31,376.80	910 00	30,030.00
Invest - Corp Stock	74-6349039	38259P508	GOOGLE INC	34,767 20	65 00	14,451.69
Invest - Corp Stock	74-6349039	172967424	CITIGROUP INC.	51,215.79	1,069 00	39,974.11
Invest - Corp Stock	74-6349039	00206R102	AT & T INC	34,629.00	970 00	32,850.99
Invest - Corp Stock	74-6349039	26441C204	DUKE ENERGY HOLDING CORP. COM	27,561.30	370 00	24,452.82
Invest - Corp Stock	74-6349039	718546104	PHILLIPS 66	43,274.40	520.00	18,900.66
Invest - Corp Stock	74-6349039	609207105	MONDELEZ INTERNATIONAL INC	34,580.50	970 00	22,618.07
Invest - Corp Stock	74-6349039	98978V103	ZOETIS INC	30,320.52	1,002 00	28,985 26
Invest - Corp Stock	74-6349039	G0083B108	ACTAVIS PLC	59,255.70	290.00	41,760 00
Invest - Other	74-6349039	29476L107	EQUITY RESIDENTIAL PPTYS TR SH BEN	19,615.20	330.00	12,636 65
Invest - Other	74-6349039	94975G488	WF ADV SMALL CAP OPPOR FD-ADMIN #84	691,394.52	18,742.06	538,097.87
Invest - Other	74-6349039	298706409	AMERICAN EUROPAC GROWTH-F #416	486,660.83	9,933 88	436,274.44
Invest - Other	74-6349039	94975J581	WFA CORE BD FD-I #944	987,678.25	78,825.08	992,321 07
Invest - Other	74-6349039	94975P751	WELLS FARGO ADV EMG MK E-INS #4146	194,974.80	8,907.03	195,486 74
Invest - Other	74-6349039	464287176	ISHARES TIPS BOND ETF	152,197.20	1,340.00	135,474.48
Invest - Other	74-6349039	57060U233	MARKET VECTORS SEMICONDUCTOR	64,324.80	1,440.00	34,213.85
Invest - Other	74-6349039	464288588	ISHARES MBS ETF	88,209.00	825.00	83,300 25
Invest - Other	74-6349039	464288646	ISHARES 1-3 YEAR CREDIT BOND ETF	269,603.60	2,555.00	269,866.77
Invest - Other	74-6349039	78464A888	SPDR SERIES TRUST	37,200.00	1,200.00	16,338.36
			TOTAL OTHER INVESTMENTS	**5,744,579.36**		**4,498,900.87**

Savings & Temp Inv	74-6349039	VP4500022	WF ADV CASH INVT MM FD-SVC #250	301,402.91	301,402 91	301,402 91
Savings & Temp Inv	74-6349039			-	-	-
			TOTAL CASH, SAVINGS, AND TEMP INV	**301,402.91**		**301,402.91**
			GRAND TOTAL	**6,045,982.27**		**4,800,303.78**

Part VIII, Line 7 (990) - Gain/Loss from Sale of Assets Other than Inventory

	Gross sales	Cost, other basis and expenses
Total Public Securities	1,070,297	836,567
Total Non-Public Securities	0	0
Total Other Sales	0	0

	Description	CUSIP #	Check if gain/loss is from sale of public securities	Check if gain/loss is from sale of non public securities	Check if purchaser is a business	Purchaser	Date acquired	Acquisition method	Date sold	Gross sales price	Cost or other basis (Enter one field only) Cost	Donated value	Expense of sale and cost of improvements	Depreciation	Description of Basis Method
1	AFLAC INC	001055102	X				4/17/2008		6/26/2013	1,137	1,349				
2	AFLAC INC	001055102	X				4/17/2008		11/18/2013	671	674				
3	AT & T INC	00206R102	X				10/31/2006		6/26/2013	2,457	2,383				
4	AT & T INC	00206R102	X				10/31/2006		11/18/2013	1,419	1,362				
5	AT & T INC	00206R102	X				10/19/2006		3/11/2014	966	1,016				
6	ACTAVIS INC	00507K103	X				8/3/2011		6/26/2013	2,473	1,313				
7	ACTAVIS INC	00507K103	X				8/3/2011		10/1/2013	43,200	19,690				
8	ADOBE SYS INC	00724F101	X				1/15/2013		6/26/2013	2,277	1,923				
9	ADOBE SYS INC	00724F101	X				1/15/2013		11/18/2013	1,709	1,154				
10	ADOBE SYS INC	00724F101	X				1/15/2013		3/11/2014	1,353	769				
11	ALLSTATE CORP	020002101	X				11/11/2005		6/26/2013	1,418	1,699				
12	ALLSTATE CORP	020002101	X				11/11/2005		11/18/2013	1,086	1,133				
13	AMAZON COM INC COM	023135106	X				7/17/2007		6/26/2013	2,775	739				
14	AMAZON COM INC COM	023135106	X				7/17/2007		11/18/2013	3,698	739				
15	ANADARKO PETROLEUM C	032511107	X				6/4/2007		6/26/2013	2,550	1,558				
16	ANADARKO PETROLEUM C	032511107	X				6/4/2007		11/18/2013	1,813	1,039				
17	APPLE INC	037833100	X				10/9/2012		6/26/2013	3,986	6,339				
18	APPLE INC	037833100	X				10/9/2012		3/11/2014	5,368	6,339				
19	AUTODESK INC	052769106	X				12/10/2008		6/26/2013	1,030	544				
20	AUTODESK INC	052769106	X				12/10/2008		8/14/2013	13,163	6,530				
21	AUTODESK INC	052769106	X				4/1/2009		8/14/2013	731	342				
22	B B & T CORP COM	054937107	X				5/17/2007		6/26/2013	2,698	3,406				
23	B B & T CORP COM	054937107	X				5/17/2007		11/18/2013	1,360	1,703				
24	B B & T CORP COM	054937107	X				5/17/2007		3/11/2014	1,558	1,703				
25	BANK OF AMERICA CORP	060505104	X				8/23/2007		6/26/2013	1,395	5,655				
26	BANK OF AMERICA CORP	060505104	X				9/13/2007		6/26/2013	1,522	5,992				
27	BANK OF AMERICA CORP	060505104	X				9/13/2007		11/18/2013	1,811	5,992				
28	BANK OF AMERICA CORP	060505104	X				9/13/2007		3/11/2014	519	1,498				
29	BERKSHIRE HATHAWAY IN(	084670702	X				8/3/2012		6/26/2013	3,368	2,567				
30	BERKSHIRE HATHAWAY IN(	084670702	X				8/3/2012		11/18/2013	1,163	856				
31	BOEING CO	097023105	X				6/4/2007		6/26/2013	2,007	1,998				
32	BOEING CO	097023105	X				6/4/2007		11/18/2013	1,387	999				
33	BRISTOL MYERS SQUIBB C	110122108	X				6/4/2007		3/11/2014	1,675	911				
34	CATERPILLAR INC	149123101	X				8/3/2012		6/26/2013	3,282	3,427				
35	CATERPILLAR INC	149123101	X				8/3/2012		11/18/2013	1,682	1,713				
36	CERNER CORP COM	156782104	X				3/25/2011		6/26/2013	2,867	1,636				
37	CERNER CORP COM	156782104	X				3/25/2011		11/18/2013	1,706	818				
38	CHEVRON CORP	166764100	X				11/13/2007		6/26/2013	2,342	1,678				
39	CHEVRON CORP	166764100	X				11/13/2007		11/18/2013	1,203	839				
40	CISCO SYSTEMS INC	17275R102	X				11/21/2006		6/26/2013	1,696	1,889				
41	CISCO SYSTEMS INC	17275R102	X				11/21/2006		11/18/2013	864	1,079				
42	CISCO SYSTEMS INC	17275R102	X				11/21/2006		3/11/2014	650	810				
43	BRISTOL MYERS SQUIBB C	110122108	X				6/4/2007		6/26/2013	2,731	1,821				
44	BRISTOL MYERS SQUIBB C	110122108	X				6/4/2007		11/18/2013	1,565	911				
45	CINTAS CORP	172908105	X				2/11/2011		6/26/2013	2,718	1,752				
46	CINTAS CORP	172908105	X				2/11/2011		11/18/2013	1,628	876				
47	CINTAS CORP	172908105	X				2/11/2011		3/11/2014	1,210	584				
48	CITIGROUP, INC	172967424	X				12/16/2009		6/26/2013	2,357	1,575				
49	CITIGROUP INC	172967424	X				12/16/2009		11/18/2013	1,538	945				
50	COCA COLA CO	191216100	X				11/13/2007		6/26/2013	2,006	1,510				
51	COCA COLA CO	191216100	X				11/13/2007		11/18/2013	1,204	906				
52	CONOCOPHILLIPS	20825C104	X				11/13/2007		6/26/2013	1,795	1,794				
53	CONOCOPHILLIPS	20825C104	X				11/13/2007		11/18/2013	1,456	1,196				
54	COSTCO WHOLESALE COR	22160K105	X				8/3/2011		6/26/2013	2,204	1,524				
55	COSTCO WHOLESALE COR	22160K105	X				8/3/2011		11/18/2013	1,239	762				
56	DANAHER CORP	235851102	X				8/3/2011		6/26/2013	1,889	1,381				

Part VIII, Line 7 (990) - Gain/Loss from Sale of Assets Other than Inventory

	Gross sales	Cost, other basis and expenses
Total Public Securities	1,070,297	836,567
Total Non-Public Securities	0	0
Total Other Sales	0	0

	Description	CUSIP #	Check if gain/loss is from sale of public securities	Check if gain/loss is from sale of non public securities	Check if purchaser is a business	Purchaser	Date acquired	Acquisition method	Date sold	Gross sales price	Cost or other basis (Enter one field only) Cost	Donated value	Expense of sale and cost of improvements	Depreciation	Description of Basis Method
57	DANAHER CORP	235851102	X				8/3/2011		11/18/2013	1,495	921				
58	DEERE & CO	244199105	X				8/18/2011		6/26/2013	1,642	1,386				
59	DEERE & CO	244199105	X				8/14/2013		11/18/2013	838	824				
60	INTEL CORP	458140100	X				7/18/2007		11/18/2013	983	1,006				
61	INTEL CORP	458140100	X				7/18/2007		3/11/2014	986	1,006				
62	INTERNATIONAL BUSINESS	459200101	X				3/24/2008		6/26/2013	3,900	2,392				
63	INTERNATIONAL BUSINESS	459200101	X				3/24/2008		11/18/2013	1,844	1,196				
64	ISHARES TIPS BOND ETF	464287176	X				12/21/2007		2/21/2014	558	522				
65	ISHARES TIPS BOND ETF	464287176	X				2/15/2006		2/21/2014	69,222	62,790				
66	ISHARES IBOXX $ INVESTM	464287242	X				1/10/2005		2/21/2014	217,993	209,531				
67	ISHARES IBOXX $ INVESTM	464287242	X				3/30/2006		2/21/2014	58,131	52,755				
68	MONDELEZ INTERNATIONA	609207105	X				3/26/2013		6/26/2013	2,015	2,106				
69	MONDELEZ INTERNATIONA	609207105	X				3/26/2013		11/18/2013	1,357	1,203				
70	MONDELEZ INTERNATIONA	609207105	X				3/26/2013		3/11/2014	701	602				
71	MONSANTO CO NEW	61166W101	X				8/3/2011		6/26/2013	2,011	1,405				
72	MONSANTO CO NEW	61166W101	X				8/3/2011		11/18/2013	1,114	702				
73	MORGAN STANLEY	617446448	X				11/3/2009		6/26/2013	1,243	1,605				
74	MORGAN STANLEY	617446448	X				11/2/2009		6/26/2013	746	960				
75	MORGAN STANLEY	617446448	X				11/2/2009		11/18/2013	1,226	1,280				
76	MORGAN STANLEY	617446448	X				11/2/2009		3/11/2014	956	960				
77	OCCIDENTAL PETE CORP	674599105	X				6/12/2007		6/26/2013	1,770	1,137				
78	OCCIDENTAL PETE CORP	674599105	X				6/12/2007		11/18/2013	970	568				
79	JPMORGAN CHASE & CO	46625H100	X				11/13/2007		3/11/2014	1,754	1,333				
80	WALT DISNEY CO	254687106	X				5/9/2007		6/26/2013	1,883	1,070				
81	WALT DISNEY CO	254687106	X				5/9/2007		11/18/2013	1,399	713				
82	WALT DISNEY CO	254687106	X				5/9/2007		3/11/2014	1,627	713				
83	DU PONT E I DE NEMOURS	263534109	X				6/12/2007		6/26/2013	2,118	2,016				
84	DU PONT E I DE NEMOURS	263534109	X				6/12/2007		11/18/2013	1,235	1,008				
85	DU PONT E I DE NEMOURS	263534109	X				6/12/2007		3/11/2014	1,323	1,008				
86	DUKE ENERGY HOLDING C	26441C204	X				8/26/2013		11/18/2013	715	661				
87	E M C CORP MASS	268648102	X				4/20/2011		6/26/2013	1,914	2,252				
88	E M C CORP MASS	268648102	X				4/20/2011		11/18/2013	958	1,126				
89	E M C CORP MASS	268648102	X				4/20/2011		3/11/2014	804	844				
90	EBAY INC	278642103	X				4/20/2011		6/26/2013	1,533	951				
91	EBAY INC	278642103	X				4/20/2011		11/18/2013	1,040	634				
92	EQUITY RESIDENTIAL PPTY	29476L107	X				9/13/2007		6/26/2013	1,710	1,206				
93	EQUITY RESIDENTIAL PPTY	29476L107	X				9/13/2007		11/18/2013	510	402				
94	EXXON MOBIL CORPORATI	30231G102	X				11/29/2005		6/26/2013	4,447	2,934				
95	EXXON MOBIL CORPORATI	30231G102	X				11/29/2005		11/18/2013	1,909	1,174				
96	EXXON MOBIL CORPORATI	30231G102	X				11/29/2005		3/11/2014	1,878	1,174				
97	FEDEX CORPORATION	31428X106	X				8/18/2011		6/26/2013	1,967	1,485				
98	FEDEX CORPORATION	31428X106	X				8/18/2011		11/18/2013	1,384	743				
99	GILEAD SCIENCES INC	375558103	X				6/6/2013		6/26/2013	2,033	1,990				
100	GILEAD SCIENCES INC	375558103	X				6/6/2013		11/18/2013	1,399	995				
101	GOLDMAN SACHS GROUP I	38141G104	X				6/26/2007		6/26/2013	1,510	2,173				
102	GOLDMAN SACHS GROUP I	38141G104	X				6/26/2007		11/18/2013	1,673	2,173				
103	HALLIBURTON CO	406216101	X				8/3/2011		6/26/2013	2,063	2,567				
104	HALLIBURTON CO	406216101	X				8/3/2011		11/18/2013	1,098	1,027				
105	HALLIBURTON CO	406216101	X				8/3/2011		3/11/2014	1,121	1,027				
106	HOME DEPOT INC	437076102	X				8/3/2011		6/26/2013	3,007	1,304				
107	HOME DEPOT INC	437076102	X				8/3/2011		11/18/2013	1,597	652				
108	HOME DEPOT INC	437076102	X				8/3/2011		3/11/2014	1,624	652				
109	INTEL CORP	458140100	X				7/18/2007		6/26/2013	2,148	2,264				
110	FLUOR CORP NEW	343412102	X				3/25/2011		6/26/2013	1,172	1,482				
111	FLUOR CORP NEW	343412102	X				3/25/2011		8/14/2013	18,578	20,741				
112	FREEPORT-MCMORAN COF	35671D857	X				3/8/2012		6/26/2013	1,329	1,969				

Part VIII, Line 7 (990) - Gain/Loss from Sale of Assets Other than Inventory

	Gross sales	Cost, other basis and expenses
Total Public Securities	1,070,297	836,567
Total Non-Public Securities	0	0
Total Other Sales	0	0

	Description	CUSIP #	Check if gain/loss is from sale of public securities	Check if gain/loss is from sale of non public securities	Check if purchaser is a business	Purchaser	Date acquired	Acquisition method	Date sold	Gross sales price	Cost or other basis (Enter one field only) Cost	Donated value	Expense of sale and cost of improvements	Depreciation	Description of Basis Method
113	FREEPORT-MCMORAN COR	35671D857	X				3/8/2012		11/18/2013	1,096	1,181				
114	FREEPORT-MCMORAN COR	35671D857	X				3/8/2012		3/11/2014	1,243	1,575				
115	GENERAL ELECTRIC CO	369604103	X				10/6/2005		6/26/2013	1,960	2,848				
116	GENERAL ELECTRIC CO	369604103	X				10/8/1986		6/26/2013	1,269	169				
117	GENERAL ELECTRIC CO	369604103	X				10/8/1986		11/18/2013	1,915	215				
118	GENERAL ELECTRIC CO	369604103	X				10/8/1986		3/11/2014	1,291	153				
119	GENERAL MOTORS CO	37045V100	X				11/18/2010		6/26/2013	2,244	2,310				
120	GENERAL MOTORS CO	37045V100	X				11/18/2010		11/18/2013	1,166	990				
121	GENERAL MOTORS CO	37045V100	X				11/18/2010		3/11/2014	719	660				
122	JOHNSON & JOHNSON	478160104	X				11/13/2007		6/26/2013	2,593	2,010				
123	ORACLE CORPORATION	68389X105	X				7/5/2007		6/26/2013	1,793	1,216				
124	ORACLE CORPORATION	68389X105	X				7/5/2007		11/18/2013	1,047	608				
125	ORACLE CORPORATION	68389X105	X				7/5/2007		3/11/2014	775	405				
126	PNC FINANCIAL SERVICES	693475105	X				7/5/2007		6/26/2013	3,658	3,668				
127	PNC FINANCIAL SERVICES	693475105	X				7/5/2007		11/18/2013	1,516	1,467				
128	PNC FINANCIAL SERVICES	693475105	X				7/5/2007		3/11/2014	2,498	2,201				
129	PFIZER INC	717081103	X				7/17/2007		6/26/2013	3,628	3,393				
130	PFIZER INC	717081103	X				7/17/2007		7/9/2013	4,053	3,732				
131	PFIZER INC	717081103	X				6/30/2006		7/9/2013	1,615	1,348				
132	PFIZER INC	717081103	X				6/30/2006		11/18/2013	1,282	946				
133	PFIZER INC	717081103	X				6/30/2006		3/11/2014	649	473				
134	PHILLIPS 66	718546104	X				8/3/2012		6/26/2013	2,395	1,588				
135	PHILLIPS 66	718546104	X				8/3/2012		11/18/2013	1,350	794				
136	POTASH CORP SASK	73755L107	X				11/4/2010		6/26/2013	1,552	1,883				
137	POTASH CORP SASK	73755L107	X				11/4/2010		11/18/2013	982	1,412				
138	POTASH CORP SASK	73755L107	X				11/4/2010		3/11/2014	1,365	1,883				
139	T ROWE PRICE GROUP INC	74144T108	X				10/2/2007		6/26/2013	3,651	2,914				
140	T ROWE PRICE GROUP INC	74144T108	X				10/2/2007		8/14/2013	29,616	23,309				
141	T ROWE PRICE GROUP INC	74144T108	X				10/2/2007		11/18/2013	1,609	1,165				
142	PROCTER & GAMBLE CO	742718109	X				11/13/2007		6/26/2013	2,318	2,132				
143	PROCTER & GAMBLE CO	742718109	X				11/13/2007		11/18/2013	1,685	1,421				
144	QUALCOMM INC	747525103	X				4/20/2011		6/26/2013	2,490	2,194				
145	QUALCOMM INC	747525103	X				4/20/2011		11/18/2013	1,439	1,097				
146	QUALCOMM INC	747525103	X				4/20/2011		3/11/2014	1,536	1,097				
147	SCHLUMBERGER LTD	806857108	X				5/27/2008		6/26/2013	2,149	3,038				
148	ISHARES IBOXX $ INVESTM	464287242	X				2/11/2010		2/21/2014	90,104	80,381				
149	ISHARES IBOXX $ INVESTM	464287242	X				4/29/2010		2/21/2014	58,131	53,370				
150	JPMORGAN CHASE & CO	46625H100	X				11/13/2007		6/26/2013	4,691	4,000				
151	JPMORGAN CHASE & CO	46625H100	X				11/13/2007		11/18/2013	2,234	1,778				
152	JOHNSON & JOHNSON	478160104	X				11/13/2007		11/18/2013	1,887	1,340				
153	LENNAR CORPORATION CL	526057104	X				4/26/2010		6/26/2013	2,156	1,244				
154	LENNAR CORPORATION CL	526057104	X				4/26/2010		11/18/2013	1,047	622				
155	LENNAR CORPORATION CL	526057104	X				4/26/2010		3/11/2014	1,237	622				
156	MCDONALDS CORP	580135101	X				6/12/2007		6/26/2013	1,971	1,038				
157	MCDONALDS CORP	580135101	X				6/12/2007		11/18/2013	976	519				
158	MCDONALDS CORP	580135101	X				6/12/2007		3/11/2014	1,964	1,038				
159	MERCK & CO INC NEW	58933Y105	X				11/13/2007		6/26/2013	1,854	2,260				
160	MERCK & CO INC NEW	58933Y105	X				11/13/2007		11/18/2013	958	1,130				
161	MICROSOFT CORP	594918104	X				8/22/2007		6/26/2013	2,731	2,252				
162	MICROSOFT CORP	594918104	X				8/22/2007		11/18/2013	1,495	1,126				
163	MICROSOFT CORP	594918104	X				8/22/2007		3/11/2014	1,518	1,126				
164	UNITEDHEALTH GROUP INC	91324P102	X				12/9/2010		6/26/2013	2,576	1,464				
165	UNITEDHEALTH GROUP INC	91324P102	X				12/9/2010		11/18/2013	1,429	732				
166	VERIZON COMMUNICATION	92343V104	X				12/9/2010		6/26/2013	2,016	1,342				
167	VERIZON COMMUNICATION	92343V104	X				12/9/2010		11/18/2013	1,010	671				
168	VERIZON COMMUNICATION	92343V104	X				12/9/2010		3/11/2014	930	671				

Part VIII, Line 7 (990) - Gain/Loss from Sale of Assets Other than Inventory

	Gross sales	Cost, other basis and expenses
Total Public Securities	1,070,297	836,567
Total Non-Public Securities	0	0
Total Other Sales	0	0

	Description	CUSIP #	Check if gain/loss is from sale of public securities	Check if gain/loss is from sale of non public securities	Check if purchaser is a business	Purchaser	Date acquired	Acquisition method	Date sold	Gross sales price	Cost or other basis (Enter one field only) Cost	Donated value	Expense of sale and cost of improvements	Depreciation	Description of Basis Method
169	WAL MART STORES INC	931142103	X				11/13/2007		6/26/2013	2,988	1,847				
170	WAL MART STORES INC	931142103	X				11/13/2007		11/18/2013	1,584	923				
171	WALGREEN CO	931422109	X				12/9/2010		6/26/2013	1,806	1,455				
172	WALGREEN CO	931422109	X				12/9/2010		11/18/2013	1,202	727				
173	WF ADV SMALL CAP OPPOR	94975G488	X				4/12/2006		9/26/2013	30,000	26,578				
174	ZOETIS INC	98978V103	X				7/17/2007		7/3/2013	7	6				
175	ZOETIS INC	98978V103	X				7/9/2013		11/18/2013	1,268	1,245				
176	ZOETIS INC	98978V103	X				7/9/2013		3/11/2014	607	622				
177	ACTAVIS PLC	G0083B108	X				10/2/2013		11/18/2013	1,645	1,440				
178	ACCENTURE PLC	G1151C101	X				3/23/2010		6/26/2013	2,389	1,271				
179	ACCENTURE PLC	G1151C101	X				3/23/2010		11/18/2013	1,583	848				
180	ROYAL CARRIBEAN CRUISE	V7780T103	X				8/18/2011		6/26/2013	1,656	1,157				
181	ROYAL CARRIBEAN CRUISE	V7780T103	X				8/18/2011		11/18/2013	1,312	694				
182	ROYAL CARRIBEAN CRUISE	V7780T103	X				8/18/2011		3/11/2014	1,021	463				
183	SCHLUMBERGER LTD	806857108	X				11/13/2007		11/18/2013	925	922				
184	US BANCORP DEL NEW	902973304	X				8/22/2007		6/26/2013	3,237	2,939				
185	US BANCORP DEL NEW	902973304	X				8/22/2007		11/18/2013	1,912	1,633				
186	UNION PACIFIC CORP	907818108	X				5/20/2009		6/26/2013	3,113	1,002				
187	UNION PACIFIC CORP	907818108	X				5/20/2009		11/18/2013	1,596	501				
188	UNITED CONTINENTAL HOL	910047109	X				3/26/2012		6/26/2013	2,775	1,867				
189	UNITED CONTINENTAL HOL	910047109	X				3/26/2012		7/17/2013	22,737	14,109				
190	UNITED CONTINENTAL HOL	910047109	X				3/26/2012		11/18/2013	731	415				
191	UNITED CONTINENTAL HOL	910047109	X				11/11/2009		3/11/2014	935	258				
192	UNITED TECHNOLOGIES CO	913017109	X				5/17/2007		6/26/2013	2,775	2,073				
193	UNITED TECHNOLOGIES CO	913017109	X				5/17/2007		11/18/2013	1,095	691				
194	L/T Capital Gain Dividends		X							102,528					
195	Unrecaptured Section 1250 G		X							72					