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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 05-01-2013 , and ending 04-30-2014

Name of foundation
JIM AND JONNIE SWANN CORP

A Employer identification number
65-0118651

Number and street (or P O box number if mail is not delivered to street address)
516 DELANNOY AVE

Room/suite

B Telephone number (see instructions)
(321) 632-4141

City or town, state or province, country, and ZIP or foreign postal code
COCOA, FL 32922

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☑ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 3,214,035

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	167,585			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	240	240		
	4	Dividends and interest from securities	122,160	122,160		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	41,822			
	b	Gross sales price for all assets on line 6a 8,836,409				
	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	331,807	122,400		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)	2,040			
	c	Other professional fees (attach schedule)	24,155	24,155		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	1,043			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule)	38			
	24	**Total operating and administrative expenses.** Add lines 13 through 23	27,276	24,155		0
	25	Contributions, gifts, grants paid	175,412			175,412
	26	**Total expenses and disbursements.** Add lines 24 and 25	202,688	24,155		175,412
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	129,119			
	b	**Net investment income** (if negative, enter -0-)		98,245		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	110,178	118,360	118,360
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)			
b	Investments—corporate stock (attach schedule)	2,510,647	2,320,286	2,442,581
c	Investments—corporate bonds (attach schedule)	40,346	310,604	308,464
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)	304,668	345,403	344,630
14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)	157		
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	2,965,996	3,094,653	3,214,035
Liabilities 17	Accounts payable and accrued expenses		266	
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		266	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here** ▶ ☑ **and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted	2,965,996	3,094,387	
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ **and complete lines 27 through 31.**			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	**Total net assets or fund balances** (see page 17 of the instructions)	2,965,996	3,094,387	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	2,965,996	3,094,653	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,965,996
2	Enter amount from Part I, line 27a	2	129,119
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,095,115
5	Decreases not included in line 2 (itemize) ▶	5	728
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	3,094,387

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2**

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . } **3**

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	155,324	2,845,090	0 054594
2011	90,363	1,717,455	0 052614
2010	100,327	1,824,733	0 054982
2009	85,000	1,800,138	0 047219
2008	87,468	1,668,449	0 052425

2	**Total** of line 1, column (d).	2	0 261834
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 052367
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	3,162,496
5	Multiply line 4 by line 3.	5	165,610
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	982
7	Add lines 5 and 6.	7	166,592
8	Enter qualifying distributions from Part XII, line 4.	8	175,412

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b			1	982
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	982
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-			5	982
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	716		
b	Exempt foreign organizations—tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	716
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	266
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ **Refunded** ▶			11	

Part VII-A **Statements Regarding Activities**

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	If the answer is "Yes" to ***1a*** *or* ***1b,*** *attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶FL			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	

Website address ▶NA

14 The books are in care of ▶JIM SWANN Telephone no ▶(321) 632-4141

Located at ▶516 DELANNOY AVE COCOA FL ZIP+4 ▶32922

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | 15 |

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		No
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

		Line	Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20___, 20___, 20___, 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20___, 20___, 20___, 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No

b If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No

b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
JIM SWANN 516 DELANNOY AVE COCOA, FL 32922	PRES/DIRECT 3 00	0	0	0
JONNIE SWANN 516 DELANNOY AVE COCOA, FL 32922	VP/DIRECTOR 3 00	0	0	0
M R KIRSCHENBAUM 516 DELANNOY AVE COCOA, FL 32922	DIRECTOR 1 00	0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** ***(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services. . . . . ▶

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	3,189,493
b	Average of monthly cash balances.	1b	21,163
c	Fair market value of all other assets (see instructions).	1c	0
d	**Total** (add lines 1a, b, and c).	1d	3,210,656
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). 1e		
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	3,210,656
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	48,160
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,162,496
6	**Minimum investment return.** Enter 5% of line 5.	6	158,125

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.			1	158,125
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	982		
b	Income tax for 2013 (This does not include the tax from Part VI).	2b			
c	Add lines 2a and 2b.			2c	982
3	Distributable amount before adjustments Subtract line 2c from line 1.			3	157,143
4	Recoveries of amounts treated as qualifying distributions.			4	
5	Add lines 3 and 4.			5	157,143
6	Deduction from distributable amount (see instructions).			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.			7	157,143

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	175,412
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	175,412
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	982
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4.	6	174,430

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				157,143
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			12,369	
b Total for prior years 20___ , 20___ , 20___				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 175,412				
a Applied to 2012, but not more than line 2a			12,369	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				157,143
e Remaining amount distributed out of corpus	5,900			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,900			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount —see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	5,900			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . . 5,900				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	**(e) Total**
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon **a** "Assets" alternative test—enter **(1)** Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c "Support" alternative test—enter **(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
See Additional Data Table				
Total . . . . ▶ **3a**				175,412
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	240	
4 Dividends and interest from securities			14	122,160	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	41,822	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				164,222	

13 **Total.** Add line 12, columns (b), (d), and (e) 13 164,222

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	**1a(1)**		No
(2) Other assets	**1a(2)**		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	**1b(1)**		No
(2) Purchases of assets from a noncharitable exempt organization	**1b(2)**		No
(3) Rental of facilities, equipment, or other assets	**1b(3)**		No
(4) Reimbursement arrangements	**1b(4)**		No
(5) Loans or loan guarantees	**1b(5)**		No
(6) Performance of services or membership or fundraising solicitations	**1b(6)**		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	**1c**		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

****** | 2014-07-15 | ******

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☐ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
LORAINE C TAYLOR		2014-07-15		P00590831
Firm's name ▶ TAYLOR & LOCKARD PA			Firm's EIN ▶ 59-2519864	
Firm's address ▶ 3960 SOUTH BANANA RIVER BLVD COCOA BEACH, FL 32931			Phone no (321)784-4515	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AMERICAN RED CROSS 1700 CEDAR STREET ROCKLEDGE, FL 32955	NA	PUBLIC	UNRESTRICTED	500
BERKELEY PREPARATORY SCHOOL 4811 KELLY ROAD TAMPA, FL 33615	NA	PUBLIC	UNRESTRICTED	500
BREVARD ACHIEVEMENT CENTE 1845 COGSWELL ST ROCKLEDGE, FL 32955	NA	PUBLIC	UNRESTRICTED	1,000
BREVARD COUNTY LIBRARY SYS FNDTN 308 FORREST AVE COCOA, FL 32922	NA	PUBLIC	UNRESTRICTED	500
BREVARD COUNTY POLICE ATHLETIC LEAG 700 PARK AVE TITUSVILLE, FL 32780	NA	PUBLIC	UNRESTRICTED	1,000
BREVARD NATURE ALLIANCE I PO BOX 571 TITUSVILLE, FL 32781	NA	PUBLIC	UNRESTRICTED	500
BREVARD REACHING OUT INC PO BOX 410488 MELBOURNE, FL 32941	NA	PUBLIC	UNRESTRICTED	1,000
BREVARD RESCUE MISSION INC 527 ROCKLEDGE DR ROCKLEDGE, FL 32955	NA	PUBLIC	UNRESTRICTED	500
CANINE COMPANIONS FOR INDEPENDENCE 2965 DUTTON AVE SANTA ROSA, CA 95407	NA	PUBLIC	UNRESTRICTED	500
CENTRAL FL YMCA 2400 HARRISON ST TITUSVILLE, FL 32780	NA	PUBLIC	UNRESTRICTED	1,000
COCOA VILLAGE PLAYHOUSE INC 300 BREVARD AVE COCOA, FL 32922	NA	PUBLIC	UNRESTRICTED	1,000
EAST COAST ZOOLOGICAL SOC 8225 N WICKHAM RD MELBOURNE, FL 32940	NA	PUBLIC	UNRESTRICTED	5,500
EAU GALLIE ART DISTRICT MAIN STREET 587 W EAU GALLIE BLVD MELBOURNE, FL 32936	NA	PUBLIC	UNRESTRICTED	500
ECKERD YOUTH ALTERNATIVES 100 N STARCREST DR CLEARWATER, FL 33765	NA	PUBLIC	UNRESTRICTED	27,612
FL AUDUBON SOCIETY APPLIED FOR WINTER PARK, FL 32789	NA	PUBLIC	UNRESTRICTED	10,000
Total . . . . ▶ **3a**				175,412

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FL INSTITUTE OF TECH 150 W UNIVERSITY BLVD MELBOURNE, FL 32901	NA	PUBLIC	UNRESTRICTED	10,300
FRIENDS OF THE ENVIRONMEN PO BOX AB20755 MARSH HARBOR, ABACO, BAHAMAS BF	NA	PUBLIC	UNRESTRICTED	1,000
GEORGIA AQUARIUM 225 BAKE STREET NW ATLANTA, GA 30313	NA	PUBLIC	UNRESTRICTED	2,500
HAPPY LANDINGS HOMES INC 11650 POINT DRIVE MERRITT ISLAND, FL 32952	NA	PUBLIC	UNRESTRICTED	1,000
HARMONY FARMS INC 2650 GRAND ROAD PALM BAY, FL 32901	NA	PUBLIC	UNRESTRICTED	500
HOLY TRINITY EPISCOPAL SCHOOL 50 W STRAWBRIDGE AVE MELBOURNE, FL 32901	NA	PUBLIC	UNRESTRICTED	7,500
KEEP BREVARD BEAUTIFUL INC PO BOX 23614 COCOA, FL 32923	NA	PUBLIC	UNRESTRICTED	500
MARINE RESOURCES COUNCIL OF EAST FL 3275 DIXIE HWY PALM BAY, FL 32905	NA	PUBLIC	UNRESTRICTED	30,000
MERRIE-WOODS FOUNDATION I 100 MERRIE-WOODS RD SAPPHIRE, NC 28774	NA	PUBLIC	UNRESTRICTED	1,000
NATIONAL PARK FOUNDATION 1101 17TH ST NW STE 1102 WASHINGTON, DC 20036	NA	PUBLIC	UNRESTRICTED	1,000
REBIRTH AND DEVELOPMENT INC 3145 23RD STREET SAN FRANCISCO, CA 94110	NA	PUBLIC	UNRESTRICTED	1,000
STRAWBRIDGE ART LEAGUE 625 E NEW HAVEN AVENUE MELBOURNE, FL 32901	NA	PUBLIC	UNRESTRICTED	500
THE BREVARD NEIGHBORHOOD DEVELOPMEN 1151 MASTERSON ST MELBOURNE, FL 32935	NA	PUBLIC	UNRESTRICTED	15,000
THE NATURE CONSERVANCY 222 S WESTMONTE DR SUITE 300 ALTAMONTE SPRINGS, FL 32714	NA	PUBLIC	UNRESTRICTED	10,000
THE SALVATION ARMY PO BOX 1540 COCOA, FL 32923	NA	PUBLIC	UNRESTRICTED	1,000
Total . . . . ▶ **3a**				175,412

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
TITUSVILLE ROTARY CLUB 1209 S WASHINGTON AVE TITUSVILLE, FL 32780	NA	PUBLIC	UNRESTRICTED	500
TNC CARIBBEAN PROGRAM 15 E RIDGE PIKE STE 100 CONSHOHOCKEN, PA 19428	NA	PUBLIC	UNRESTRICTED	25,000
UNITED WAY OF BREVARD 937 DIXON BLVD COCOA, FL 32922	NA	PUBLIC	UNRESTRICTED	12,000
WASHINGTON & LEE UNIVERSI 204 W WASHINGTON ST LEXINGTON, VA 24450	NA	PUBLIC	UNRESTRICTED	2,500
WILD EARTH SOCIETY INC PO BOX 5284 TITUSVILLE, FL 32783	NA	PUBLIC	UNRESTRICTED	1,000
Total . . . . ▶ **3a**				175,412

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Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, 990-EZ, or 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Name of the organization	Employer identification number
JIM AND JONNIE SWANN CORP	65-0118651

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization
JIM AND JONNIE SWANN CORP

Employer identification number
65-0118651

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ECKERD FAMILY FOUNDATION 100 STARCREST DR CLEARWATER, FL 33765	$ 167,585	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization
JIM AND JONNIE SWANN CORP

Employer identification number
65-0118651

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization
JIM AND JONNIE SWANN CORP

Employer identification number
65-0118651

Part III *Exclusively* **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e) and** the following line entry
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc ,
contributions of **$1,000 or less** for the year (Enter this information once See instructions) ▶ $
Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP 4	Relationship of transferor to transferee

TY 2013 Accounting Fees Schedule

Name: JIM AND JONNIE SWANN CORP

EIN: 65-0118651

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT ACCOUNTING FEES	2,040			

TY 2013 Compensation Explanation

Name: JIM AND JONNIE SWANN CORP

EIN: 65-0118651

Person Name	Explanation
JIM SWANN	
JONNIE SWANN	
M R KIRSCHENBAUM	

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TY 2013 Gain/Loss from Sale of Other Assets Schedule

Name: JIM AND JONNIE SWANN CORP

EIN: 65-0118651

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
2587 73 SHRS AKRE FOCUS RETAIL	2012-04	PURCHASE	2013-06		46,398	39,449			6,949	
2042 97 SHRS AKRE FOCUS RETAIL	2012-12	PURCHASE	2013-06		36,987	31,145			5,842	
2326 SHRS DIAMONDS TR SERIES I	2013-03	PURCHASE	2013-06		350,801	349,292			1,509	
34311 91 SHRS DOUBLELINE TOTAL RETUR	2013-06	PURCHASE	2013-11		375,365	378,906			-3,541	
19765 17 SHRS DOUBLELINE FLOATING RA	2013-07	PURCHASE	2013-10		199,924	200,261			-337	
2465 84 SHRS FIDELITY ADVISOR CHINA	2013-10	PURCHASE	2014-01		81,841	87,355			-5,514	
1789 SHRS FIDELITY ADVISOR INTL SMAL	2013-10	PURCHASE	2014-01		46,800	46,914			-114	
1195 SHRS GUGGENHEIM CHINA SMALL CAP	2013-11	PURCHASE	2013-12		31,559	31,947			-388	
619 SHRS GUGGENHEIM RUSSELL TOP 50 M	2013-11	PURCHASE	2013-12		78,049	78,837			-788	
1697 SHRS GUGGENHEIM S & P 500 EQUAL	2013-07	PURCHASE	2013-08		110,437	109,406			1,031	
789 SHRS GUGGENHEIM S & P 500 A EQ W	2014-03	PURCHASE	2014-04		30,955	32,104			-1,149	
658 SHRS ISHARES MSCI KOREA IDX KORE	2013-10	PURCHASE	2014-01		38,220	42,928			-4,708	
552 21 SHRS JAMES BALANCED GOLDEN RA	2012-02	PURCHASE	2013-08		12,530	12,491			39	
4362 24 SHRS JAMES BALANCED GOLDEN R	2012-09	PURCHASE	2013-08		98,979	98,672			307	
4378 12 SHRS JANUS CONTRARIAN FUND	2014-01	PURCHASE	2014-04		93,491	92,883			608	
2755 2 SHRS JANUS GLOBAL LIFE SCIENC	2013-09	PURCHASE	2014-04		122,578	118,537			4,041	
8188 8 SHRS LAUDUS INTL MAR KETMA	2013-01	PURCHASE	2013-06		183,237	181,020			2,217	
2428 46 SHRS LAUDUS INTL MAR KETMA	2013-01	PURCHASE	2014-01		52,850	52,179			671	
9686 18 SHRS MATTHEWS ASIAN GROWTH &	2012-12	PURCHASE	2013-06		181,945	178,250			3,695	
10010 05 SHRS OAKMARK GLOBAL FUND C1	2013-02	PURCHASE	2013-02		282,183	279,999			2,184	
1638 69 SHRS PAX WORLD BALANCED FD I	2013-09	PURCHASE	2014-01		39,083	42,299			-3,216	
2356 SHRS POWERSHARES INDIA	2013-12	PURCHASE	2014-01		39,927	41,415			-1,488	
955 SHRS POWERSHARES S & P 500 LOW V	2013-04	PURCHASE	2013-05		30,492	30,417			75	
689 53 SHRS RIVERNORTH DOUBLELINE ST	2012-06	PURCHASE	2013-07		7,399	7,359			40	
84 54 SHRS RIVERNORTH DOUBLELINE STR	2012-07	PURCHASE	2013-07		907	902			5	
2239 35 SHRS RIVERNORTH DOUBLELINE S	2012-05	PURCHASE	2013-07		23,962	24,274			-312	
301 57 SHRS RIVERNORTH DOUBLELINE ST	2012-07	PURCHASE	2013-07		3,227	3,269			-42	
1866 28 SHRS RYDEX BIOTECHNOLOGY	2013-05	PURCHASE	2013-08		103,444	106,144			-2,700	
3863 66 SHRS RYDEX HEALTH CARE	2013-07	PURCHASE	2013-10		96,773	96,560			213	
35120 2 SHRS RYDEX NASDAQ-100	2013-08	PURCHASE	2014-04		721,156	731,411			-10,255	

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
21288 47 SHRS RYDEX S & P 500 H	2013-03	PURCHASE	2013-03		739,010	724,439			14,571	
1846 62 SHRS RYDEX TRANSPORT	2013-05	PURCHASE	2013-08		65,799	65,826			-27	
680 SHRS SPDR TRUST UNIT SR 1 EXP	2013-06	PURCHASE	2013-06		106,253	112,053			-5,800	
862 SHRS SPDR RUSSELL/NOMURA PRIME J	2013-11	PURCHASE	2013-12		38,662	39,846			-1,184	
424 SHRS SPDR S & P EMERGING ASIA PA	2013-07	PURCHASE	2013-08		30,084	31,800			-1,716	
4428 SHRS SPDR STOXX EUROPE 50	2013-07	PURCHASE	2014-03		163,403	167,787			-4,384	
2462 SHRS SCHW EMG MKT EQ ETF	2014-02	PURCHASE	2014-03		55,817	58,051			-2,234	
982 SHRS SCHW INTL SMALL-CAP EQ ETF	2014-02	PURCHASE	2014-03		31,759	31,997			-238	
2826 SHRS SCHW INTL EQ ETF	2013-09	PURCHASE	2014-03		86,458	86,147			311	
1323 SHRS SCHW US DIVIDEND EQ ETF	2013-12	PURCHASE	2014-01		46,596	48,170			-1,574	
8576 SHRS SCHW US LRGE-CAP ETF	2013-06	PURCHASE	2014-04		357,073	361,542			-4,469	
9527 SHRS SCHW US LRGE-CP VALUE	2013-06	PURCHASE	2014-04		372,310	369,528			2,782	
7969 SHRS SCHW US MID-CAP ETF	2013-07	PURCHASE	2014-04		283,166	285,622			-2,456	
2137 SHRS SCHW US SMALL-CAP ETF	2013-10	PURCHASE	2014-01		108,824	108,212			612	
20593 SHRS SCHW US BRD MKT ETF	2013-04	PURCHASE	2014-03		858,226	844,508			13,718	
22504 56 SHRS SCHWAB BALANCED FUND	2013-05	PURCHASE	2014-04		309,358	306,409			2,949	
798 52 SHRS SCHWAB HEALTH CARE	2013-04	PURCHASE	2014-04		18,869	18,276			593	
4600 39 SHRS SCHWAB HEALTH CARE	2012-10	PURCHASE	2013-06		102,706	98,406			4,300	
10173 71 SHRS SCHWAB MARKETTRACK CON	2012-11	PURCHASE	2013-06		149,149	144,964			4,185	
10622 SHRS SCHWAB US LARGE-CAP GROWT	2013-07	PURCHASE	2014-03		463,017	455,309			7,708	
3578 SHRS SCHWAB US REIT ETF	2013-04	PURCHASE	2013-09		115,582	113,660			1,922	
5030 62 SHRS VANGUARD WELLESLEY INCO	2011-11	PURCHASE	2013-06		122,748	115,133			7,615	
6564 5 SHRS VANGUARD WELLESLEY INCOM	2012-05	PURCHASE	2013-06		388,197	383,156			5,041	
5090 5 SHRS VANGUARD WELLESLEY INCOM	2012-06	PURCHASE	2013-06		301,030	297,121			3,909	
ADJ	2012-06	PURCHASE	2013-06		814				814	

TY 2013 Investments Corporate Bonds Schedule

Name: JIM AND JONNIE SWANN CORP

EIN: 65-0118651

Name of Bond	End of Year Book Value	End of Year Fair Market Value
RIVERNORTH DOUBLELINE	3,990	4,022
RIVERNORTH DOUBLELINE	1,195	1,225
DOUBLELINE FLOATING RATE	170,148	169,288
DOUBLELINE TOTAL RETURN	135,271	133,929

TY 2013 Investments Corporate Stock Schedule

Name: JIM AND JONNIE SWANN CORP

EIN: 65-0118651

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AKRE FOCUS FD RETAIL		
AMERICAN CENTURY BALA	189,898	195,646
BUFFALO FLEXIBLE INCOME	163,720	182,457
JAMES BALANCED GOLDEN	60,931	66,426
VANGUARD WELLESLEY	40,918	45,526
LAUDUS INTL	77,937	79,853
MATTHEW ASIAN GROWTH	488	513
OAKMARK GLOBAL FUND	81,327	82,621
RYDEX S&P	213,393	215,363
SCHWAB BALANCED FD	45,756	47,096
SCHWAB HEALTH CARE FD		
SCHWAB MARKETTRACK	190,942	201,497
SCHWAB S&P 500 INDEX	221,531	260,572
STADION MANAGED PORT	72,004	68,683
VANGUARD WELLESLEY	593,933	618,599
FIDELITY ADV INTL SMALL	34,660	34,853
JANUS BALANCED FD CL T	288,461	299,076
RYDEX TRANSPORTATION	44,387	43,800

TY 2013 Investments - Other Schedule

Name: JIM AND JONNIE SWANN CORP

EIN: 65-0118651

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
SCH US DIV EQUITY	AT COST	53,340	54,104
SCH US REIT ETF	AT COST		
SCHW US BRD MKT ETF	AT COST		
POWERSHARES S&P	AT COST		
SPDR DOW JONES	AT COST		
GUGGENHEIM EXCH TRD FD	AT COST	44,712	42,397
SCH US AGG BND ETF	AT COST	206,310	206,811
SCHW INTL EQ ETF	AT COST	41,041	41,318

TY 2013 Other Assets Schedule

Name: JIM AND JONNIE SWANN CORP

EIN: 65-0118651

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
PREPAID TAXES	157		

TY 2013 Other Decreases Schedule

Name: JIM AND JONNIE SWANN CORP

EIN: 65-0118651

Description	Amount
PY TAX ON INVESTMENT INCOME	713
CHANGE IN CASH AFTER CLOSE	15

TY 2013 Other Expenses Schedule

Name: JIM AND JONNIE SWANN CORP

EIN: 65-0118651

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
OFFICE & POSTAGE	38			

TY 2013 Other Professional Fees Schedule

Name: JIM AND JONNIE SWANN CORP

EIN: 65-0118651

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEE	24,155	24,155		

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TY 2013 Taxes Schedule

Name: JIM AND JONNIE SWANN CORP

EIN: 65-0118651

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CORPORATE ANNUAL FEE	61			
INCOME TAXES	982			