



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 05-01-2013 , and ending 04-30-2014

Name of foundation ROY J CARVER CHARITABLE TRUST		A Employer identification number 42-1186589	
Number and street (or P O box number if mail is not delivered to street address) 202 IOWA AVENUE		B Telephone number (see instructions) (563) 263-4010	
City or town, state or province, country, and ZIP or foreign postal code MUSCATINE, IA 527613733		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 309,244,888		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	798	798		
	4 Dividends and interest from securities.	5,127,946	6,217,191		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	10,983,465			
	b Gross sales price for all assets on line 6a 65,988,202				
	7 Capital gain net income (from Part IV, line 2)		12,887,355		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	 18,423	66,999		
	12 Total. Add lines 1 through 11	16,130,632	19,172,343		
	13 Compensation of officers, directors, trustees, etc	461,935	27,840		434,095
	14 Other employee salaries and wages	301,382	3,728		297,654
	15 Pension plans, employee benefits	155,779	1,672		154,107
	16a Legal fees (attach schedule).	 4,139	828		3,311
	b Accounting fees (attach schedule).	 28,550	5,710		22,840
	c Other professional fees (attach schedule)	 989,931	976,632		3,840
	17 Interest		7,151		
	18 Taxes (attach schedule) (see instructions)	 101,853	96,467		0
	19 Depreciation (attach schedule) and depletion	 70,423	14,085		
	20 Occupancy				
	21 Travel, conferences, and meetings	4,127	216		3,911
	22 Printing and publications	1,098	0		1,098
	23 Other expenses (attach schedule).	 104,731	1,003,230		86,246
	24 Total operating and administrative expenses. Add lines 13 through 23	2,223,948	2,137,559		1,007,102
	25 Contributions, gifts, grants paid	14,759,593			14,759,593
	26 Total expenses and disbursements. Add lines 24 and 25	16,983,541	2,137,559		15,766,695
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-852,909			
	b Net investment income (if negative, enter -0-)		17,034,784		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	4,000	4,000	4,000
	2	Savings and temporary cash investments	3,482,582	3,565,185	3,565,185
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	11,302,473	 11,307,477	11,232,462
	b	Investments—corporate stock (attach schedule)	48,005,858	 47,946,617	81,945,423
	c	Investments—corporate bonds (attach schedule).	13,888,711	 16,401,555	17,039,915
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans	17,147,449	18,900,120	18,996,029
	13	Investments—other (attach schedule)	140,553,343	 135,473,769	174,357,537
	14	Land, buildings, and equipment basis ▶ _____ 2,924,125 Less accumulated depreciation (attach schedule) ▶ _____ 1,242,867	1,748,476	 1,681,258	1,681,258
15	Other assets (describe ▶ _____)	 377,304	 423,079	 423,079	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	236,510,196	235,703,060	309,244,888	
Liabilities	17	Accounts payable and accrued expenses	70,323	75,929	
	18	Grants payable	18,550,549	10,298,515	
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)	 1,031,300	 1,518,800	
	23	Total liabilities (add lines 17 through 22)	19,652,172	11,893,244	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	216,858,024	223,809,816	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	Total net assets or fund balances (see page 17 of the instructions)	216,858,024	223,809,816	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	236,510,196	235,703,060		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	216,858,024
2	Enter amount from Part I, line 27a	2	-852,909
3	Other increases not included in line 2 (itemize) ▶ _____ 	3	7,804,701
4	Add lines 1, 2, and 3	4	223,809,816
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	223,809,816

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	12,887,355
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	14,151,236	265,053,367	0 053390
2011	13,824,452	260,252,541	0 053119
2010	13,445,141	253,993,695	0 052935
2009	12,224,254	230,935,737	0 052934
2008	13,051,805	245,358,364	0 053195

2	Total of line 1, column (d).	2	0 265573
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 053115
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	293,529,608
5	Multiply line 4 by line 3.	5	15,590,825
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	170,348
7	Add lines 5 and 6.	7	15,761,173
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	15,769,259

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	170,348
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2.		3	170,348
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	170,348
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	129,300	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	45,000	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d.		7	174,300
8 Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	3,952
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 3,952 Refunded		11	0

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		1b	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?.		1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0 (2) On foundation managers \$ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		2	No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.		4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?.		4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5	No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either - By language in the governing instrument, or - By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) IA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶CARVERTRUST ORG				
14	The books are in care of ▶DR TROY ROSS Telephone no ▶(563) 263-4010			
	Located at ▶202 IOWA AVENUE MUSCATINE IA ZIP +4 ▶527613733			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ ☐			
	and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶			

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ ☐	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. ☐ Yes ☒ No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LYNNE M SASMAZER	PROGRAM DIRECTOR	141,394	25,595	642
202 IOWA AVENUE MUSCATINE,IA 52761	40 00			
LINDA K DEAN	EXECUTIVE ASSISTANT	74,689	17,998	227
202 IOWA AVENUE MUSCATINE,IA 52761	40 00			
LINDA BETH MUMEY	SECRETARY	50,936	15,469	0
202 IOWA AVENUE MUSCATINE,IA 52761	40 00			
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
SMITH GRAHAM & CO 600 TRAVIS STREET HOUSTON,TX 77002	ASSET MANAGEMENT	371,352
LSV ASSET MANAGEMENT 155 NORTH WACKER DRIVE SUITE 4600 CHICAGO,IL 60606		
NORTHERN TRUST 50 SOUTH LASALLE STREET CHICAGO,IL 60606	ASSET MANAGEMENT	191,354
GALLIARD CAPITAL MANAGEMENT 800 LASALLE AVENUE SUITE 1100 MINNEAPOLIS,MN 55402		
ACADIAN ASSET MANAGEMENT 1 POST OFFICE SQUARE BOSTON,MA 02109	ASSET MANAGEMENT	136,068
		121,397
		113,960
		0
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	296,519,689
b	Average of monthly cash balances.	1b	736,748
c	Fair market value of all other assets (see instructions).	1c	743,165
d	Total (add lines 1a, b, and c).	1d	297,999,602
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	297,999,602
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	4,469,994
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	293,529,608
6	Minimum investment return. Enter 5% of line 5.	6	14,676,480

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	14,676,480
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	170,348
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	170,348
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	14,506,132
4	Recoveries of amounts treated as qualifying distributions.	4	18,123
5	Add lines 3 and 4.	5	14,524,255
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	14,524,255

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	15,766,695
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	2,564
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	15,769,259
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	170,348
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	15,598,911
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				14,524,255
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.	838,676			
b From 2009.	537,815			
c From 2010.	910,509			
d From 2011.	1,091,397			
e From 2012.	1,073,674			
f Total of lines 3a through e.	4,452,071			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 15,769,259				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				14,524,255
e Remaining amount distributed out of corpus	1,245,004			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,697,075			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	838,676			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	4,858,399			
10 Analysis of line 9				
a Excess from 2009. . . .	537,815			
b Excess from 2010. . . .	910,509			
c Excess from 2011. . . .	1,091,397			
d Excess from 2012. . . .	1,073,674			
e Excess from 2013. . . .	1,245,004			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ROY J CARVER CHARITABLE TRUST
202 IOWA AVENUE
MUSCATINE,IA 527613733
(563) 236-4010

b

The form in which applications should be submitted and information and materials they should include

SUBMIT A WRITTEN OR ELECTRONIC LETTER OF INQUIRY BEFORE SUBMISSION OF A FORMAL REQUEST. INCLUDE THE FOLLOWING INFORMATION: 1. A BRIEF BACKGROUND ON YOUR ORGANIZATION, INCLUDING A HISTORY OF ITS EXISTENCE, THE SIZE OF STAFF AND BOARD AND THE TYPE OF SERVICE PROVIDED. 2. A SUCCINCT DESCRIPTION OF THE PROJECT, WHAT IT IS DESIGNED TO ACHIEVE, AND HOW THIS WILL BE ACCOMPLISHED. 3. A PROPOSED BUDGET FOR THE TOTAL COST OF THE PROJECT AND THE AMOUNT YOU INTEND TO REQUEST FROM THE TRUST. 4. A STATEMENT DESCRIBING WHY PARTICIPATION OF THE CARVER TRUST IS VITAL TO THE SUCCESS OF THE PROJECT. INDICATE PAST HISTORY WITH THE TRUST.

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

RECIPIENT MUST QUALIFY AS AN EXEMPT CHARITABLE, EDUCATIONAL, OR SCIENTIFIC ORGANIZATION UNDER SECTION 501(C)(3) OF THE U.S. INTERNAL REVENUE CODE.

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	14,759,593
b Approved for future payment See Additional Data Table				
Total			3b	10,527,804

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
PUBLICLY TRADED SECURITIES - NORTHERN TRUST - GALLIARD			
PUBLICLY TRADED SECURITIES - NORTHERN TRUST - INV COMM			
PUBLICLY TRADED SECURITIES - NORTHERN TRUST - LSV			
PUBLICLY TRADED SECURITIES - NORTHERN TRUST - SMITH GRAHAM			
PUBLICLY TRADED SECURITIES - NORTHERN TRUST - IWF			
PUBLICLY TRADED SECURITIES - NORTHERN TRUST - IWR			
PUBLICLY TRADED SECURITIES - NORTHERN TRUST - SCZ			
PUBLICLY TRADED SECURITIES - NORTHERN TRUST - VANGUARD REIT			
PUBLICLY TRADED SECURITIES - NORTHERN TRUST - ACADIAN			
PUBLICLY TRADED SECURITIES - NORTHERN TRUST - ADAMS STREET			
PUBLICLY TRADED SECURITIES - NORTHERN TRUST - REEF			
ADAMS STREET PARTNERSHIP FUND - 2003 NON-US FUND LP	P		
ADAMS STREET PARTNERSHIP FUND - 2003 US FUND LP	P		
ADAMS STREET PARTNERSHIP FUND - 2005 NON-US FUND LP	P		
ADAMS STREET PARTNERSHIP FUND - 2005 US FUND LP	P		
ADAMS STREET 2009 DIRECT FUND LP	P		
ADAMS STREET PARTNERSHIP FUND - 2009 NON-US DEVELOPED MARKETS FUND LP	P		
ADAMS STREET PARTNERSHIP FUND - 2009 NON-US EMERGING MARKETS FUND LP	P		
ADAMS STREET PARTNERSHIP FUND - 2009 US FUND LP	P		
ADAMS STREET 2013 GLOBAL FUND LP	P		
ACADIAN INTERNATIONAL ALL-CAP FUND	P		
THE EMERGING WORLD INVESTORS LP	P		
CAPITAL GAINS DIVIDENDS	P		

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
20,316,401		20,349,824	-33,423
1,593			1,593
5,824,968		4,216,977	1,607,991
15,250,807		9,439,662	5,811,145
1,460,082		1,045,894	414,188
2,630,028		1,670,488	959,540
2,150,051		1,664,072	485,979
400,029		371,097	28,932
113,960		113,960	0
3,684,563		3,684,563	0
14,043,190		13,993,984	49,206
			162,824
			635,527
			197,008
			432,139
			16,360
			24,047
			2,721
			59,621
			65
			1,159,134
			760,228
112,530			112,530

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-33,423
			1,593
			1,607,991
			5,811,145
			414,188
			959,540
			485,979
			28,932
			0
			0
			49,206
			162,824
			635,527
			197,008
			432,139
			16,360
			24,047
			2,721
			59,621
			65
			1,159,134
			760,228
			112,530

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN A CARVER	TRUSTEE 2 00	18,000	0	0
202 IOWA AVENUE MUSCATINE,IA 52761				
ROY J CARVER JR	TRUSTEE/CHAIRMAN 4 00	23,000	0	0
202 IOWA AVENUE MUSCATINE,IA 52761				
WILLARD L BOYD	TRUSTEE 1 00	16,200	0	0
202 IOWA AVENUE MUSCATINE,IA 52761				
J LARRY GRIFFITH	TRUSTEE/VICE CHAIRMAN 4 00	22,000	0	0
202 IOWA AVENUE MUSCATINE,IA 52761				
TROY K ROSS	EXECUTIVE ADMINISTRATOR 40 00	322,735	51,074	3,080
202 IOWA AVENUE MUSCATINE,IA 52761				
D SCOTT INGSTAD	TRUSTEE 2 00	20,000	0	0
202 IOWA AVENUE MUSCATINE,IA 52761				
DAVID M UTLEY	TRUSTEE/SECRETARY 2 00	20,000	0	0
202 IOWA AVENUE MUSCATINE,IA 52761				
MARTIN G CARVER	TRUSTEE 2 00	20,000	0	0
202 IOWA AVENUE MUSCATINE,IA 52761				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
ARROWHEAD RANCH 12200 104TH STREET COAL VALLEY,IL 61240	NONE	EXEMPT ORG	RENOVATIONS TO THE BASEBALL FIELD	25,000
ASBURY PUBLIC LIBRARY 5900 SARATOGA ROAD ASBURY,IA 52002	NONE	EXEMPT ORG	NEW LIBRARY CONSTRUCTION	60,000
BRIAR CLIFF UNIVERSITY 3303 REBECCA STREET SIOUX CITY,IA 51104	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	11,400
BUENA VISTA UNIVERSITY 610 WEST 4TH STREET STORM LAKE,IA 50588	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	15,200
CENTER POINT PUBLIC LIBRARY 720 MAIN STREET CENTER POINT,IA 52213	NONE	EXEMPT ORG	LIBRARY ADDITION CONSTRUCTION	60,000
CENTRAL COLLEGE 812 UNIVERSITY PELLA,IA 50219	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	11,400
CLARKE UNIVERSITY 1550 CLARKE DRIVE DUBUQUE,IA 52001	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	15,200
COE COLLEGE 1220 1ST AVENUE NE CEDAR RAPIDS,IA 52402	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	15,200
COLLINS PUBLIC LIBRARY 212 MAIN STREET COLLINS,IA 50055	NONE	EXEMPT ORG	LIBRARY ADDITION RENOVATION	10,092
CORNELL COLLEGE 600 1ST STREET SW MOUNT VERNON,IA 52314	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	19,000
DORDT COLLEGE 498 4TH AVENUE NE SIOUX CENTER,IA 51250	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	15,200
DRAKE UNIVERSITY 2507 UNIVERSITY AVENUE DES MOINES,IA 50311	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	19,000
DRAKE UNIVERSITY 2507 UNIVERSITY AVENUE DES MOINES,IA 50311	NONE	UNIV/ COLLEGE	RENOVATE INSTRUCTIONAL FACILITIES FOR PHYSICS	200,000
EASTER SEALS IOWA 401 NE 66TH AVENUE DES MOINES,IA 50313	NONE	EXEMPT ORG	REPLACE CABINS AT CAMP SUNNYSIDE	75,000
EASTERN IOWA COMMUNITY COLLEGE DISTRICT 306 WEST RIVER DRIVE DAVENPORT,IA 52801	NONE	UNIV/ COLLEGE	EDUCATION & OUTREACH PROGRAM IN ENERGY SCIENCE	78,151
Total  3a				14,759,593

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
EDNA ZYBELL MEMORIAL LIBRARY 309 6TH AVENUE CLARENCE,IA 52216	NONE	EXEMPT ORG	CONSTRUCT NEW FACILITY	60,000
FAMILIES HELPING FAMILIES IN IA 3516 CENTER POINT ROAD NE CEDAR RAPIDS,IA 52402	NONE	EXEMPT ORG	ACQUIRE YOUTH PROGRAMMING FACILITY	25,000
FAMILY RESOURCES INC 2800 EASTERN AVENUE DAVENPORT,IA 52803	NONE	EXEMPT ORG	COMMUNITY BASED SERVICES FOR FAMILIES IN CRISIS	172,699
FRANCES LAUER YOUTH SERVICES INC 50 SOUTH EISENHOWER AVENUE MASON CITY,IA 50401	NONE	EXEMPT ORG	IMPROVE CAMPUS SECURITY	25,000
GIRL SCOUTS OF EASTERN IA & WESTERN IL 2011 2ND AVENUE ROCK ISLAND,IL 61201	NONE	EXEMPT ORG	NEW ANTI-BULLYING PROGRAMMING	5,000
GRACELAND UNIVERSITY UNIVERSITY PLACE LAMONI,IA 50140	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	15,200
GRAND VIEW UNIVERSITY 1200 GRANDVIEW AVENUE DES MOINES,IA 50316	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	11,400
GRINNELL COLLEGE 1115 8TH AVENUE GRINNELL,IA 50112	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	15,200
IOWA STATE UNIVERSITY 2415 UNION DRIVE AMES,IA 50011	NONE	UNIV/ COLLEGE	BBMB - MAJOR INITIATIVE IN THE FIELD OF BIOMOLECULAR STRUCTURE	2,645,000
IOWA STATE UNIVERSITY 2415 UNION DRIVE AMES,IA 50011	NONE	UNIV/ COLLEGE	CARVER STATEWIDE SCHOLARSHIP PROGRAM	213,000
IOWA STATE UNIVERSITY 2415 UNION DRIVE AMES,IA 50011	NONE	UNIV/ COLLEGE	CHEM & BIO ENGINEERING - EXAMINE CELLULAR MIGRATION PATTERNS	102,818
IOWA STATE UNIVERSITY 2415 UNION DRIVE AMES,IA 50011	NONE	UNIV/ COLLEGE	CHEM & BIO ENGINEERING - CANCER CHEMO DELIVERY THAT STIMULATES IMMUNE RESPONSE	114,291
IOWA STATE UNIVERSITY 2415 UNION DRIVE AMES,IA 50011	NONE	UNIV/ COLLEGE	GENETICS - DEVELOP A ZEBRAFISH MODEL TO STUDY BRAIN TUMOR FORMATION	125,005
IOWA STATE UNIVERSITY 2415 UNION DRIVE AMES,IA 50011	NONE	UNIV/ COLLEGE	GENETICS - BIOCHEMICAL SIGNALING PATHWAY USING MARINE WORM	129,676
IOWA STATE UNIVERSITY 2415 UNION DRIVE AMES,IA 50011	NONE	UNIV/ COLLEGE	ENGINEERING - RESEARCH INTO TRANSCRANIAL MAGNETIC STIMULATION	197,640
Total  3a				14,759,593

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
IOWA WESLEYAN COLLEGE 601 NORTH MAIN STREET MT PLEASANT,IA 52641	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	15,200
LIVING HISTORY FARMS 11121 HICKMAN ROAD URBANDALE,IA 50322	NONE	EXEMPT ORG	IMPROVEMENTS TO HISTORICAL FARM SITES	25,000
LORAS COLLEGE 1450 ALTA VISTA STREET DUBUQUE,IA 52001	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	15,200
LUTHER COLLEGE 700 COLLEGE DRIVE DECORAH,IA 52101	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	15,200
LUTHER COLLEGE 700 COLLEGE DRIVE DECORAH,IA 52101	NONE	UNIV/ COLLEGE	SIMULATION EQUIPMENT FOR NURSING INSTRUCTION	170,000
MOLINE COMMUNITY DEVELOPMENT CORP 619 16TH STREET MOLINE,IL 61265	NONE	EXEMPT ORG	RIVERSIDE PARK PLAYGROUND	25,000
MORNINGSIDE COLLEGE 1501 MORNINGSIDE AVENUE SIOUX CITY,IA 51106	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	15,200
MORNINGSIDE COLLEGE 1501 MORNINGSIDE AVENUE SIOUX CITY,IA 51106	NONE	UNIV/ COLLEGE	SIMULATION EQUIPMENT FOR THE NURSING PROGRAM	150,000
MOUNT MERCY UNIVERSITY 1330 ELMHURST DRIVE NE CEDAR RAPIDS,IA 52402	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	15,200
MUSCATINE CENTER FOR SOCIAL ACTION 312 IOWA AVENUE MUSCATINE,IA 52761	NONE	EXEMPT ORG	EDUCATIONAL ASSISTANCE PROGRAM	10,000
MUSCATINE CENTER FOR SOCIAL ACTION 312 IOWA AVENUE MUSCATINE,IA 52761	NONE	EXEMPT ORG	DOMESTIC VIOLENCE SHELTER TRANSITION FUND	20,000
MUSCATINE COMMUNITY SCHOOL DISTRICT 2900 MULBERRY AVENUE MUSCATINE,IA 52761	NONE	EXEMPT ORG	SUMMER WORK PROGRAM - SPECIAL EDUCATION	4,044
MUSCATINE COMMUNITY SCHOOL DISTRICT 2900 MULBERRY AVENUE MUSCATINE,IA 52761	NONE	EXEMPT ORG	EXCELLENCE IN EDUCATION 2014	90,275
MUSCATINE COUNTY SHERIFF'S OFFICE 3600 PARK AVENUE WEST MUSCATINE,IA 52761	NONE	EXEMPT ORG	DRUG TASK FORCE PROTECTIVE EQUIPMENT	13,000
NORTHWESTERN COLLEGE 101 7TH STREET SW ORANGE CITY,IA 51041	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	15,200
Total  3a				14,759,593

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ORCHARD PLACE 2116 GRAND AVENUE DES MOINES,IA 50312	NONE	EXEMPT ORG	IMPROVEMENTS TO THE CAMPUS SCHOOL	160,000
PERRY PUBLIC LIBRARY 1101 WILLIS AVENUE PERRY,IA 50220	NONE	EXEMPT ORG	UPDATE THE LIBRARY INTERIOR	21,296
PROJECT RENEWAL 906 WEST 5TH STREET DAVENPORT,IA 52801	NONE	EXEMPT ORG	SR CONCETTA PARK PLAY AREA RENOVATION	16,955
PUTNAM MUSEUM 1717 WEST 12TH STREET DAVENPORT,IA 52804	NONE	EXEMPT ORG	SCIENCE AND TECHNOLOGY - THEMED EXHIBITS	200,000
RICK'S HOUSE OF HOPE 5022 NORTHWEST BLVD DAVENPORT,IA 52806	NONE	EXEMPT ORG	ASSIST WITH RELOCATION INTO EXPANDED HEADQUARTERS	25,000
RIVER BEND FOOD RESERVOIR 309 12TH STREET MOLINE,IL 61265	NONE	EXEMPT ORG	NEW HEADQUARTERS AND WAREHOUSE BUILDING	100,000
SCRANTON PUBLIC LIBRARY 1102 MAIN STREET SCRANTON,IA 51462	NONE	EXEMPT ORG	NEW LIBRARY CONSTRUCTION	60,000
SIMPSON COLLEGE 701 NORTH C STREET INDIANOLA,IA 50125	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	15,200
SIMPSON COLLEGE 701 NORTH C STREET INDIANOLA,IA 50125	NONE	UNIV/ COLLEGE	EYE TRACKING EQUIPMENT FOR NEUROSCIENCE LABORATORY	50,000
ST AMBROSE UNIVERSITY 518 WEST LOCUST STREET DAVENPORT,IA 52803	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	15,200
TRINITY MUSCATINE FOUNDATION 1518 MULBERRY AVENUE MUSCATINE,IA 52761	NONE	EXEMPT ORG	CAPITAL IMPROVEMENTS TO THE HOSPITAL	300,000
UNIVERSITY OF DUBUQUE 2000 UNIVERSITY AVENUE DUBUQUE,IA 52001	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	7,600
UNIVERSITY OF ILLINOIS FOUNDATION 1305 WEST GREEN STREET URBANA,IL 61801	NONE	UNIV/ COLLEGE	GENERAL FUNDS	55,000
UNIVERSITY OF ILLINOIS 1305 WEST GREEN STREET URBANA,IL 61801	NONE	UNIV/ COLLEGE	ENGINEERING - LIVE ANIMAL IMAGING FACILITY	298,638
UNIVERSITY OF ILLINOIS 1305 WEST GREEN STREET URBANA,IL 61801	NONE	UNIV/ COLLEGE	BIOCHEMISTRY - STUDY LIVER FUNCTION, REPAIR AND REGENERATION	138,698
Total  3a				14,759,593

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
UNIVERSITY OF ILLINOIS 1305 WEST GREEN STREET URBANA,IL 61801	NONE	UNIV/ COLLEGE	FACTORS IN TISSUE REGENERATION USING FRUIT FLY MODEL	147,352
UNIVERSITY OF ILLINOIS 1305 WEST GREEN STREET URBANA,IL 61801	NONE	UNIV/ COLLEGE	GENOMIC BIOLOGY - ESTABLISH CENTER FOR SYNTHETIC BIOLOGY	500,000
UNIVERSITY OF IOWA PO BOX 4550 IOWA CITY,IA 52244	NONE	UNIV/ COLLEGE	EDUCATION - TECHNOLOGY BASED INTERVENTION FOR SPECIAL EDUCATION	81,952
UNIVERSITY OF IOWA PO BOX 4550 IOWA CITY,IA 52244	NONE	UNIV/ COLLEGE	DENTISTRY - RESEARCH LAB EQUIPMENT (MICROCT SYSTEM)	294,600
UNIVERSITY OF IOWA PO BOX 4550 IOWA CITY,IA 52244	NONE	UNIV/ COLLEGE	EDUCATION - MULTICULTURAL LITERACY INITIATIVE - MUSCATINE AREA SCHOOLS	62,222
UNIVERSITY OF IOWA PO BOX 4550 IOWA CITY,IA 52244	NONE	UNIV/ COLLEGE	BIOLOGY - ABERRANT INTESTINAL STEM CELL DIVISION IN COLON CANCER	124,983
UNIVERSITY OF IOWA PO BOX 4550 IOWA CITY,IA 52244	NONE	UNIV/ COLLEGE	CARVER STATEWIDE SCHOLARSHIP PROGRAM	213,000
UNIVERSITY OF IOWA PO BOX 4550 IOWA CITY,IA 52244	NONE	UNIV/ COLLEGE	ENGINEERING - RESEARCH THE MECHANOBIOLOGY OF SKIN CELLS	132,495
UNIVERSITY OF IOWA PO BOX 4550 IOWA CITY,IA 52244	NONE	UNIV/ COLLEGE	VP OF RESEARCH - PURCHASE AN ADVANCED LIGHT MICROSCOPE	450,000
UNIVERSITY OF IOWA PO BOX 4550 IOWA CITY,IA 52244	NONE	UNIV/ COLLEGE	LIBRARIES - MAIN LIBRARY EXHIBIT AND EDUCATION SPACE	500,000
UNIVERSITY OF IOWA PO BOX 4550 IOWA CITY,IA 52244	NONE	UNIV/ COLLEGE	PUBLIC HEALTH - HEALTH & FITNESS AMONG MUSCATINE YOUTH	250,000
UNIVERSITY OF IOWA PO BOX 4550 IOWA CITY,IA 52244	NONE	UNIV/ COLLEGE	ENGINEERING - IIHR - ORGANISM BASED BIOSENSING NETWORK	127,291
UNIVERSITY OF IOWA PO BOX 4550 IOWA CITY,IA 52244	NONE	UNIV/ COLLEGE	VP FOR RESEARCH - MEDICAL TECHNOLOGY DEVELOPMENT AND RESEARCH INITIATIVE	286,893
UNIVERSITY OF IOWA PO BOX 4550 IOWA CITY,IA 52244	NONE	UNIV/ COLLEGE	MEDICINE - BASIS FOR CERTAIN DISORDERS IF CHROMOSOME MUTATIONS ABSENT	149,277
UNIVERSITY OF IOWA PO BOX 4550 IOWA CITY,IA 52244	NONE	UNIV/ COLLEGE	MEDICINE - MAJOR ENDOWMENT, FACILITIES AND PROGRAMMATIC SUPPORT	2,950,000
Total  3a				14,759,593

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
UNIVERSITY OF IOWA PO BOX 4550 IOWA CITY,IA 52244	NONE	UNIV/ COLLEGE	MEDICINE - STEROID HORMONE RESISTANCE AS LEUKEMIA TREATMENT	117,686
UNIVERSITY OF IOWA PO BOX 4550 IOWA CITY,IA 52244	NONE	UNIV/ COLLEGE	MEDICINE - PROTEIN STRUCTURE AND FUNCTION WITHIN THE CELL MEMBRANE	111,486
UNIVERSITY OF NORTHERN IOWA FOUNDATION 1227 WEST 27TH STREET CEDAR FALLS,IA 50614	NONE	UNIV/ COLLEGE	CREATE CENTER FOR EDUCATIONAL RESEARCH & DEVELOPMENT	1,000,000
UNIVERSITY OF NORTHERN IOWA 1227 WEST 27TH STREET CEDAR FALLS,IA 50614	NONE	UNIV/ COLLEGE	UPDATE BIOLOGY LABORATORY AND FIELD EQUIPMENT	149,478
UNIVERSITY OF NORTHERN IOWA 1227 WEST 27TH STREET CEDAR FALLS,IA 50614	NONE	UNIV/ COLLEGE	CARVER STATEWIDE SCHOLARSHIP PROGRAM	210,400
UNIVERSITY OF NORTHERN IOWA 1227 WEST 27TH STREET CEDAR FALLS,IA 50614	NONE	UNIV/ COLLEGE	EQUIPMENT FOR USE IN CHEMISTRY AND BIOCHEMISTRY	150,000
UPPER IOWA UNIVERSITY 605 WASHINGTON STREET FAYETTE,IA 52142	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	11,400
WARTBURG COLLEGE 100 WARTBURG BLVD WAVERLY,IA 50677	NONE	UNIV/ COLLEGE	PRIVATE COLLEGE CARVER SCHOLARSHIP PROGRAM	15,200
WARTBURG COLLEGE 100 WARTBURG BLVD WAVERLY,IA 50677	NONE	UNIV/ COLLEGE	INSTRUMENTATION FOR SCIENTIFIC INSTRUCTION AND RESEARCH	150,000
WVIK AUGUSTANA PUBLIC RADIO 639 38TH STREET ROCK ISLAND,IL 61201	NONE	EXEMPT ORG	SUPPORT THE CAPITAL CAMPAIGN (CHALLENGE)	25,000
Total  3a				14,759,593

Form **4562**

Department of the Treasury
Internal Revenue Service (99)

Depreciation and Amortization
(Including Information on Listed Property)

▶ See separate instructions. ▶ Attach to your tax return.

OMB No 1545-0172

2013

Attachment
Sequence No **179**

Name(s) shown on return
ROY J CARVER CHARITABLE TRUST

Business or activity to which this form relates
FORM 990-PF PAGE 1

Identifying number

42-1186589

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	500,000
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	2,000,000
4	Reduction in limitation Subtract line 3 from line 2 If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	

6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost	
7	Listed property Enter the amount from line 29	7		
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8		
9	Tentative deduction Enter the smaller of line 5 or line 8	9		
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10		
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11		
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12		
13	Carryover of disallowed deduction to 2014 Add lines 9 and 10, less line 12	13		

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property) (See instructions)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	36,030

Part III MACRS Depreciation (Do not include listed property.) (See instructions.)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	34,393
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here		

Section B—Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only—see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
			27 5 yrs	MM	S/L	
i Nonresidential real property			39 yrs	MM	S/L	
				MM	S/L	

Section C—Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (see instructions.)

21	Listed property Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations—see instructions	22	70,423
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V

Listed Property (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)
Note: For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete **only** 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.

Section A—Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles.)

24a Do you have evidence to support the business/investment use claimed? ☐ Yes ☐ No						24b If "Yes," is the evidence written? ☐ Yes ☐ No			
(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/ investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/ Convention	(h) Depreciation/ deduction	(i) Elected section 179 cost	
25Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions)							25		
26 Property used more than 50% in a qualified business use									
		%							
		%							
		%							
27 Property used 50% or less in a qualified business use									
		%				S/L -			
		%				S/L -			
		%				S/L -			
28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1						28			
29 Add amounts in column (i), line 26 Enter here and on line 7, page 1							29		

Section B—Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person
If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

30 Total business/investment miles driven during the year (do not include commuting miles)	(a) Vehicle 1		(b) Vehicle 2		(c) Vehicle 3		(d) Vehicle 4		(e) Vehicle 5		(f) Vehicle 6	
31 Total commuting miles driven during the year												
32 Total other personal(noncommuting) miles driven												
33 Total miles driven during the year Add lines 30 through 32												
34 Was the vehicle available for personal use during off-duty hours?	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No
35 Was the vehicle used primarily by a more than 5% owner or related person?												
36 Is another vehicle available for personal use?												

Section C—Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons (see instructions)

37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?	Yes	No
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners		
39 Do you treat all use of vehicles by employees as personal use?		
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of vehicles, and retain the information received?		
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions)		
Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles		

Part VI Amortization

(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year
42 Amortization of costs that begins during your 2013 tax year (see instructions)					
43 Amortization of costs that began before your 2013 tax year				43	
44 Total. Add amounts in column (f) See the instructions for where to report				44	

TY 2013 Accounting Fees Schedule**Name:** ROY J CARVER CHARITABLE TRUST**EIN:** 42-1186589

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING & AUDITING FEES	28,550	5,710		22,840

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: ROY J CARVER CHARITABLE TRUST

EIN: 42-1186589

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
BUILDING	1995-07-27	1,331,453	607,404	SL	39 0000000000000	33,382	0		
CEILING TILE	1998-08-01	2,381	2,328	150DB	15 0000000000000	53	0		
BUILDING ADDITIONS	2003-04-22	210,035	52,946	SL	40 0000000000000	5,251	0		
LIGHT DIFFUSING SUNSCREENS FOR WINDOWS	2004-06-30	1,091	973	SL	10 0000000000000	109	0		
MECHANICAL PARTS FOR HVAC	2005-02-01	952	785	SL	10 0000000000000	95	0		
OFFICE CARPETING	2005-11-01	15,023	11,267	SL	10 0000000000000	1,502	0		
CIRCUIT BOARD	2006-11-01	676	110	SL	40 0000000000000	17	0		
BOILER (H3-0182)	2011-08-15	8,956	1,567	SL	10 0000000000000	896	0		
CYLINDERS - NORTIC HUMIDIFIER	2012-03-15	754	88	SL	10 0000000000000	75	0		
BEARING ASSEMBLY FOR HEAT PUMP	2012-04-15	501	54	SL	10 0000000000000	50	0		
COPIER, FAX MACHINE - IMAGISTICS INTL	2005-10-01	12,150	9,214	SL	10 0000000000000	1,215	0		
DRAPES & INSTALLATION	2006-09-01	723	482	SL	10 0000000000000	72	0		
LAPTOP - LATITUDE D820	2006-11-01	2,110	1,372	SL	10 0000000000000	211	0		
ARTIFICIAL CHRISTMAS TREE	2006-11-01	1,846	1,200	SL	10 0000000000000	185	0		
FABRIC WALL DIVIDERS & WOOD CAPS	2006-11-01	1,378	896	SL	10 0000000000000	138	0		
DRAPERIES	2007-03-01	5,563	3,430	SL	10 0000000000000	556	0		
DISHWASHER	2007-03-01	525	324	SL	10 0000000000000	53	0		
LIFE PACK 500 W/VOICE	2007-03-01	2,350	1,449	SL	10 0000000000000	235	0		
COMPUTER - DELL OPTIPLEX 755	2008-06-01	808	397	SL	10 0000000000000	81	0		
DRAPERIES	2008-11-01	5,845	2,630	SL	10 0000000000000	584	0		

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
COMPUTER - DELL OPTI 755 SSF	2008-09-01	2,073	967	SL	10 0000000000000	207	0		
WALLPAPER REPLACEMENT	2008-09-01	5,179	2,417	SL	10 0000000000000	518	0		
BACKUP & MGMT PC	2009-02-01	943	401	SL	10 0000000000000	94	0		
NETWORK FILE SERVER - DELL POWEREDGE 2900 III	2009-03-01	4,995	2,081	SL	10 0000000000000	499	0		
WINDOW UNIT	2009-03-01	746	311	SL	10 0000000000000	75	0		
MUIRFIELD HI BACK LUMBAR SUPPORT PNEUMATIC CHAIRS	2009-12-21	1,413	483	SL	10 0000000000000	141	0		
4 DELL COMPUTERS	2010-05-15	2,799	840	SL	10 0000000000000	280	0		
DAMPER ACTUATOR MOTOR	2011-02-15	884	199	SL	10 0000000000000	88	0		
LAPTOP - LATITUDE E6420	2011-07-15	1,276	468	SL	10 0000000000000	255	0		
CONTROL BOARD	2011-08-15	585	102	SL	10 0000000000000	59	0		
3 HP P2035N PRINTERS	2012-03-01	967	226	SL	10 0000000000000	193	0		
LAND IMPROVEMENTS	1995-07-27	10,475	4,779	SL	39 0000000000000	269	0		
LAND IMPROVEMENTS	1995-07-27	26,873	12,259	SL	39 0000000000000	689	0		
SITE IMPROVEMENTS	2003-04-22	240,860	121,433	SL	20 0000000000000	12,043	0		
VALVE AND GASKET FOR WATER FOUNTAIN	2006-08-01	796	537	SL	10 0000000000000	80	0		
BACK GATE POST	2007-02-01	1,562	977	SL	10 0000000000000	156	0		
PUMP REPAIRS	2007-09-01	1,575	1,275	SL	7 0000000000000	225	0		
ROOFING REPAIRS	2007-09-01	670	97	SL	39 0000000000000	17	0		
TREES	2007-12-01	601	465	SL	7 0000000000000	86	0		
HOT WATER PUMP	2007-12-01	2,300	1,780	SL	7 0000000000000	329	0		

Description of Property	Date Acquired	Cost or Other Basis	Prior Years' Depreciation	Computation Method	Rate / Life (# of years)	Current Year's Depreciation Expense	Net Investment Income	Adjusted Net Income	Cost of Goods Sold Not Included
2 STANCOR SUBMERSIBLE PUMPS	2009-08-15	5,085	477	SL	40 0000000000000	127	0		
TRANSFORMERS, HEATERS, BREAKER, MISC FOR PUMPS	2009-09-15	925	85	SL	40 0000000000000	23	0		
BOILER REPAIR	2009-09-29	665	61	SL	40 0000000000000	17	0		
BOILER REPAIR	2010-02-24	712	58	SL	40 0000000000000	18	0		
REPAIR BACKFLOW PREVENTION SYSTEM	2010-06-15	577	42	SL	40 0000000000000	14	0		
FRONT STEPS, LANDING, AND WALKWAY	2010-06-15	14,849	4,331	SL	10 0000000000000	1,485	0		
THOROSEAL COATING ON EXTERIOR WEST WALL, CAULK AT TOP OF BUILDING	2010-07-15	15,889	4,502	SL	10 0000000000000	1,589	0		
FRONT STEPS, LANDING AND WALKWAY	2010-08-15	3,863	1,062	SL	10 0000000000000	386	0		
FRONT STEPS, LANDING AND WALKWAY	2010-08-15	607	167	SL	10 0000000000000	61	0		
EXTERIOR WEST WALL PAINTING	2010-08-15	3,450	949	SL	10 0000000000000	345	0		
FRONT STEPS, LANDING AND WALKWAY	2010-09-15	875	233	SL	10 0000000000000	87	0		
GALVANIZED HANDRAILS ON FRONT STEPS	2010-09-15	575	153	SL	10 0000000000000	58	0		
REPAIR RAY PAK HEATING BOILER AND WATER HEATER	2010-09-15	985	66	SL	40 0000000000000	25	0		
PARKING LOT REPAIR	2010-11-15	2,270	568	SL	10 0000000000000	227	0		
REPAIR B & G PUMP	2011-01-15	673	157	SL	10 0000000000000	67	0		
ROOF REPLACEMENT	2012-10-13	47,874	1,396	SL	20 0000000000000	2,394	0		
AIR HANDLER	2013-01-15	5,218	248	SL	7 0000000000000	745	0		
ALUMINUM COVER FOR FOUNDATION	2012-12-13	1,266	75	SL	7 0000000000000	181	0		
STAINLESS STEE TROOF FOR FOUNDATION	2012-08-24	8,328	892	SL	7 0000000000000	1,190	0		
FAN ASSEMBLY	2013-05-01	3,205		SL	10 0000000000000	321	0		

TY 2013 Investments Corporate Bonds Schedule

Name: ROY J CARVER CHARITABLE TRUST

EIN: 42-1186589

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ABB FIN USA	96,865	100,877
ALLSTATE	0	0
AMAL LTD	167,580	177,142
AMERICAN EXPRESS CR	97,303	102,995
ANHEUSER BUSCH	107,314	106,659
APACHE	79,729	81,544
APPLR	169,582	170,764
ARCHER DANIELS	115,847	112,300
AT&T	39,869	41,269
AVALONBAY CMNTYS	92,590	97,723
BAKER HUGHES	109,655	112,578
BANK OF AMERICA	249,062	251,260
BHP BILLITON FIN USA	49,129	47,645
BHP FINANCE	72,004	84,092
BJ SERVICES	99,543	114,384
BK NY	172,152	171,924
BNP PARIBAS	99,233	111,225
BOEING	102,066	105,025
BP CAP MKTS	208,313	216,695
BSTN PPTYS	149,668	153,076

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BURLINGTON NORTHERN SANTA FE	266,400	262,405
CANADIAN NATIONAL RAILWAY	120,102	126,894
CAROLINA POWER & LIGHT	99,828	101,269
CATERPILLAR FINANCIAL	109,910	113,228
CHEVRON	149,627	151,334
CISCO SYSTEMS	39,972	40,026
CITIGROUP	254,155	280,295
CN LIGHT & POWER	61,395	64,089
COLUMBIA UNIV TR NY	135,058	141,943
COMPASS BK BIRMINGHAM AL	99,938	110,062
CT LIGHT & POWER	49,857	54,819
CVS CAREMARK	42,085	41,878
DEVON ENERGY	158,584	162,201
DIAGEO CAP	54,694	62,960
DIAGEO INVT	99,395	98,538
DISNEY WALT CO	98,829	98,768
DUKE ENERGY	99,831	100,147
DUKE RLTY	152,803	149,114
ECOLAB	149,153	157,811
ENSCO	124,141	135,042

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ERP OPERATING LP	149,428	163,944
FEDEX	89,845	92,862
FISERV	49,970	52,087
FL POWER & LIGHT	63,079	64,289
GENERAL ELECTRIC CAP	139,660	175,900
GENERAL ELECTRIC CO	99,195	113,105
GENERAL MILLS	185,682	176,835
GENZYME	167,440	168,228
GEORGIA PACIFIC	118,428	129,688
GOLDMAN SACHS	176,398	199,772
GULF POWER	119,802	137,881
HALLIBURTON	99,494	102,519
HARTFORD FINANCIAL SERVICES GROUP	99,878	116,127
HELIOS LEASING I	221,384	211,196
HOWARD HUGHES	49,897	50,764
HSBC HOLDINGS	182,543	205,556
ILLINOIS TOOL WORKS	125,000	134,282
INTERNATIONAL BUSINESS MACHINES	249,140	241,144
JOHNS HOPKINS UNIVERSITY	26,981	30,667
JOHNSON & JOHNSON	81,726	85,392

Name of Bond	End of Year Book Value	End of Year Fair Market Value
JPMORGAN CHASE & CO	264,353	284,992
KELLOGG	74,242	66,989
KIMBERLY CLARK	121,442	121,283
KRAFT FOODS GROUP	105,597	101,637
KROGER	53,394	52,185
KANSAS CITY POWER & LIGHT	0	0
KY UTILITIES	104,593	102,769
LIBERTY PROPERTY	99,385	114,960
LOCKHEED MARTIN	49,640	53,968
LOWES COS	49,792	51,650
MA INST TECH	129,073	138,308
MARATHON OIL	124,165	143,141
MCCORMICK & CO	0	0
MCDONALDS	125,774	127,601
MET LIFE GLOBAL	74,962	77,070
MICROSOFT	159,213	166,150
MORGAN STANLEY	183,789	199,074
NORFOLK SOUTHERN	208,082	192,581
NORTHERN STATES POWER	120,382	114,623
NORTHWESTERN UNIV	100,000	98,422

Name of Bond	End of Year Book Value	End of Year Fair Market Value
NOVARTIS CAP	158,859	161,521
PEPPERDINE UNIVERSITY	49,867	57,658
PEPSICO	124,241	126,409
PETROLEOS	524,252	539,972
PNC BANK	193,215	206,167
PRAXAIR	149,391	142,626
PRESIDENT & CO	208,212	243,218
PROCTER & GAMBLE	156,414	152,815
PUB SVC ELEC GAS CO	85,478	85,027
PVTPL ABB TREAS CTR USA	108,247	115,930
PVTPL BAE SYS HOLDINGS	74,849	75,229
PVTPL CARGILL	160,760	170,535
PVTPL HANCOCK JOHN GLOBAL FDG II MTN	147,102	155,387
PVTPL OHANA MILITARY CMNTYS	88,445	95,238
PVTPL PACIFIC BEACON	64,491	67,441
PVTPL PEARSON DLR FIN TWO	113,284	114,074
PVTPL ROCHE HOLDINGS	60,414	62,429
PVTPL TESCO	203,738	206,549
RABOBANK NEDERLAND	49,611	52,309
REALTY INCOME	149,741	177,093

Name of Bond	End of Year Book Value	End of Year Fair Market Value
REGIONS FINANCIAL	74,162	78,925
RIO TINTO FIN USA	127,856	135,597
ROPER INDS	171,482	175,978
SAFEWAY	79,799	82,003
SAN DIEGO GAS	130,098	128,458
SANTA ROSA LEASING	110,583	106,723
SCHWAB CHARLES	149,579	170,865
SHELL INTL	171,736	174,877
SIEMENS	0	0
SIMON PROPERTY GROUP	209,151	223,292
SOUTHERN CAL EDISON	229,705	233,826
SYSCO	226,960	225,847
TAGUA LEASING	226,268	215,951
TARGET	162,500	155,065
TECK RES	99,533	92,263
THOMSON	0	0
TIME WARNER	49,928	50,360
TRAVELERS COMPANIES	99,909	114,382
TRUSTEES OF DARTMOUNT COLLEGE	138,723	164,426
TYCO ELECTRONICS	191,560	201,240

Name of Bond	End of Year Book Value	End of Year Fair Market Value
UBS PREFERRED FUNDING TR V	160,023	159,195
UDR	89,190	96,284
UNION PACIFIC	158,808	161,958
UNION PACIFIC RESOURCE GROUP	199,526	190,245
UNIV NOTRE DAME	110,536	109,310
UNITED TECHNOLOGIES	196,841	199,971
VERIZON COMMUNICATIONS	149,514	165,350
VORNADO REALTY	129,583	136,902
WACHOVIA BANK	51,053	57,436
WAL-MART STORES	170,607	172,507
WEATHERFORD INTERNATIONAL	59,913	63,281
WESTAR ENERGY	99,914	97,956
WI ELECTRIC POWER CO	19,795	20,174

**TY 2013 Investments Corporate
Stock Schedule**

Name: ROY J CARVER CHARITABLE TRUST
EIN: 42-1186589

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABBVIE	88,332	187,488
ACTUANT	283,443	535,394
ADVANCED ENERGY INDS	464,664	734,293
AETNA	142,467	378,685
AFLAC	130,450	169,344
AGCO	190,175	206,090
ALLSTATE	179,437	307,530
AMDOCS	144,598	204,732
AMERICAN ELECTRIC POWER	94,715	166,811
AMERIPRISE FINANCIAL	129,990	401,868
AMERISAFE	322,308	800,540
AMGEN	142,563	335,250
AMSURG	230,162	498,931
ARCHER-DANIELS-MIDLAND	130,436	236,142
ASHLAND	0	0
ASSURANT	120,283	222,453
ASTEC INDS	0	0
ASTORIA FINANCIAL	231,777	365,711
AT&T	573,530	610,470
AUTOLIV	66,441	234,554
AVISTA	334,479	535,940
BANK OF AMERICA	217,787	345,192
BAXTER INTERNATIONAL	476,340	502,251
BELDEN	213,731	994,221
BENCHMARK ELECTRONICS	638,911	753,025
BIG LOTS	141,520	181,700
BIO-REFERENCE LABS	756,300	741,172
BLACKHAWK NETWORK HOLDINGS	13,312	12,482
BROCADE COMMUNICATIONS SYSTEMS	171,320	263,473
CABELAS	123,601	698,090

Name of Stock	End of Year Book Value	End of Year Fair Market Value
CAPITAL ONE FINANCIAL	280,008	369,500
CAPITALSOURCE	0	0
CAPSTEAD MORTGAGE	607,070	646,668
CATERPILLAR	270,384	337,280
CHEVRON	246,201	966,504
CHUBB	95,350	174,952
CIGNA	56,385	168,084
CIRRUS LOGIC	782,730	792,096
CISCO SYSTEMS	403,449	536,152
CITIGROUP	295,621	273,087
COLUMBIA BKG SYS	519,140	754,280
CONOCOPHILLIPS	214,431	661,359
CONSTANT CONTACT	574,324	926,564
CONVERGYS	31,498	165,858
CORNING	206,239	261,375
CSG SYSTEMS INTERNATIONAL	380,342	503,713
CVR ENERGY	0	0
CVS CAREMARK	176,756	421,776
CYTEC	251,613	808,981
DEERE & CO	382,128	438,698
DIRECTV	284,987	442,320
DISCOVER FINANCIAL SERVICES	215,149	480,740
DOMTAR	186,047	205,392
DYCOM INDUSTRIES	484,233	788,140
EASTMAN CHEMICAL	44,729	174,340
ELECTRONICS FOR IMAGING	407,406	1,022,031
EMCOR GROUP	0	0
ENDO PHARMACEUTICALS HOLDINGS	0	0
ENERGIZER HOLDINGS	278,701	346,239
ENERSYS	366,865	1,202,248

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ENGILITY HOLDINGS	10,178	24,002
ENTERGY	289,750	319,000
ENTROPIC COMMUNICATIONS	0	0
EVEREST RE GROUP	130,682	205,439
EXELIS	97,100	127,926
EXELON	253,860	308,264
EXXON MOBIL	463,071	1,034,341
FEI	0	0
5TH 3RD BANCORP	179,453	241,137
FIRST SOLAR	160,706	583,114
FORD MOTOR	390,708	382,755
GANNETT	95,540	165,737
GATX	221,117	817,750
GENESCO	207,339	868,327
GOLDMAN SACHS GROUP	245,792	303,658
GREATBATCH	299,694	711,163
GROUP ONE AUTOMOTIVE	347,718	978,083
HARRIS	127,614	301,432
HARTFORD FINANCIAL SERVICES	142,976	182,937
HCC INSURANCE HOLDINGS	262,078	753,416
HELMERICH & PAYNE	0	0
HENRY JACK & ASSOC	0	0
HESS	178,957	383,388
HEWLETT PACKARD	155,428	294,234
HUMANA	93,094	252,425
HUNTINGTON BANCSHARES	100,232	171,292
HUNTSMAN	201,447	313,125
IBERIABANK	458,948	632,774
INGREDION	133,048	225,440
INTEL	359,374	424,371

Name of Stock	End of Year Book Value	End of Year Fair Market Value
IXIA	695,167	715,640
JACK HENRY & ASSOC	293,370	727,009
JANUS CAPITAL GROUP	491,489	635,006
J2 GLOBAL	371,740	677,320
JPMORGAN CHASE & CO	355,164	912,474
KEYCORP	394,071	226,424
KINDRED HEALTHCARE	572,526	1,108,416
KOHL'S	225,660	246,555
KROGER	188,868	377,528
K12	606,860	649,306
L-3 COMMUNICATIONS HOLDING	204,892	323,036
LA Z BOY	597,983	684,982
LEAR	168,787	307,322
LEIDOS HOLDINGS	116,323	99,617
LIFEPOINT HOSPITALS	377,130	764,762
LINCOLN NATIONAL	336,153	320,166
LITHIA MOTORS	350,790	1,131,284
LOCKHEED MARTIN	71,575	180,554
MAGELLAN HEALTH SERVICES	761,268	746,897
MARATHON OIL	90,585	466,335
MARATHON PETE	51,636	548,405
MCDERMOTT INTERNATIONAL	0	0
MEDTRONIC	101,584	170,578
MENS WEARHOUSE	0	0
MENTOR GRAPHICS	413,865	586,845
MERCK & CO	72,239	310,368
METLIFE	101,239	193,695
MICROSEMI	0	0
MORGAN STANLEY	96,646	129,906
MURPHY OIL	141,808	158,575

Name of Stock	End of Year Book Value	End of Year Fair Market Value
MURPHY USA	22,874	26,563
MYRIAD GENETICS	47,658	71,757
NABORS INDUSTRIES	0	0
NETGEAR	625,887	597,873
NETSCOUT	275,302	505,311
NEWPARK RES	453,781	635,592
NOBLE	209,016	166,374
NORTHROP GRUMMAN	216,026	546,795
OIL STS INTERNATIONAL	207,160	764,686
OLIN	131,149	162,980
OMNIVISION TECHNOLOGIES	714,380	961,462
ORACLE	336,194	416,976
OUTERWALL	604,731	728,175
PACWEST BANCORP	277,345	653,660
PAREXEL INTERNATIONAL	0	0
PARTNERRE HOLDING	129,004	168,640
PFIZER	657,975	1,060,392
PHARMERICA	624,178	1,413,608
PHILLIPS 66	63,758	349,524
PLANTRONICS	0	0
PNC FINANCIAL SERVICES GROUP	215,223	437,008
PNM RESOURCES	218,953	501,285
PROASSURANCE	229,110	400,604
PROSPECT CAP	355,535	355,217
PRUDENTIAL FINANCIAL	173,295	298,516
PUB SERVICE ENTERPRISE GROUP	318,408	413,797
QLOGIC	697,424	745,057
RADIOSHACK	0	0
RAYTHEON	160,921	295,988
RED ROBIN GOURMET BURGERS	356,123	765,455

Name of Stock	End of Year Book Value	End of Year Fair Market Value
REGIONS FINANCIAL	446,335	220,038
RELIANCE STEEL & ALUMINUM	0	0
RLI	156,957	530,499
ROCK-TENN	107,236	181,659
ROVI	630,999	855,044
SAFEWAY	67,368	112,398
SAIC	0	0
SANMINA	201,949	159,975
SCIENCE APPLICATIONS INTERNATIONAL	52,549	59,592
SEAGATE TECHNOLOGY	103,959	331,254
SELECT MED HOLDINGS	526,474	896,651
SELECTIVE INSURANCE GROUP	368,952	488,071
SMITHFIELD FOODS	0	0
STANCORP FINANCIAL GROUP	63,453	171,080
STATE STREET	286,045	406,728
STEEL DYNAMICS	76,858	85,869
SUN TRUST BANKS	0	0
SUPER VALU	353,679	122,325
SUSSER HOLDINGS	302,588	1,063,201
SYKES ENTERPRISES	532,475	506,090
SYNAPTICS	690,880	1,068,669
TAL INTERNATIONAL GROUP	84,216	113,886
TARGET	115,175	142,025
TE CONNECTIVITY	67,896	277,206
TELEDYNE TECHNOLOGIES	341,769	798,596
TETRA TECHNOLOGIES	315,429	704,135
THE JONES GROUP	0	0
TIMKEN	0	0
TRAVELERS COMPANIES	167,838	344,204
TRIUMPH GROUP	225,164	685,042

Name of Stock	End of Year Book Value	End of Year Fair Market Value
TRW AUTOMOTIVE HOLDINGS	160,514	241,050
TYSON FOODS	130,189	310,578
ULTRA CLEAN HOLDINGS	649,103	533,522
UNITED BANKSHARES	380,661	576,518
UNITED THERAPEUTICS	99,324	200,020
VALERO ENERGY	111,277	428,775
VALUECLICK	0	0
VISHAY INTERTECHNOLOGY	173,769	173,484
VISHAY PRECISION GROUP	0	0
WALGREEN	0	0
WASH FED	441,353	629,273
WEBSTER FINANCIAL	457,727	630,227
WELLPOINT	335,448	463,128
WELLS FARGO & CO	325,989	1,022,584
WESTERN DIGITAL	167,644	449,463
WEX	233,211	752,405
WHIRLPOOL	216,466	475,478
WORTHINGTON INDS	419,435	792,304
XEROX	183,374	292,578
ZIMMER HOLDINGS	203,300	309,760

**TY 2013 Investments Government
Obligations Schedule****Name:** ROY J CARVER CHARITABLE TRUST**EIN:** 42-1186589**US Government Securities - End of
Year Book Value:**

5,357,802

**US Government Securities - End of
Year Fair Market Value:**

5,252,852

**State & Local Government
Securities - End of Year Book
Value:**

5,949,675

**State & Local Government
Securities - End of Year Fair
Market Value:**

5,979,610

TY 2013 Investments - Other Schedule

Name: ROY J CARVER CHARITABLE TRUST
EIN: 42-1186589

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
CF ACADIAN INTERNATIONAL ALL CAP FUND	AT COST	12,369,358	18,065,161
ADAMS STREET PSHP FD - 2003 NON-US FUND	AT COST	1,536,395	1,489,380
ADAMS STREET PSHP FD - 2003 US FUND	AT COST	5,178,696	4,988,174
ADAMS STREET PSHP FD - 2005 NON-US FUND	AT COST	1,982,934	2,160,107
ADAMS STREET PSHP FD - 2005 US FUND	AT COST	4,570,584	4,823,155
ADAMS STREET PSHP FD - 2009 DIRECT FUND	AT COST	292,563	434,677
ADAMS STREET PSHP FD - 2009 NON-US DEVELOPED MARKETS FUND	AT COST	472,686	518,995
ADAMS STREET PSHP FD - 2009 NON-US EMERGING MARKETS FUND	AT COST	219,200	210,931
ADAMS STREET PSHP FD - 2009 US FUND	AT COST	926,107	1,149,834
ADAMS STREET PSHP FD - 2013 GLOBAL FUND	AT COST	832,000	829,781
BOLDINI LTD US GOVT GTD EXPT SHIP FING OBLIG	AT COST	250,000	243,105
CENTERPOINT ENERGY TRANSITION	AT COST	0	0
FEDERAL HOME LOAN MORTGAGE CORP	AT COST	0	0
FHLMC PREASSIGN	AT COST	0	0
FNMA	AT COST	0	0
HASHEMITE KINGDOM JORDAN GOVT GTD NT	AT COST	200,000	201,594
ISHARES TR MSCI EAFE SMALL CAP INDEX FUND	AT COST	23,335,441	32,665,959
ISHARES TR RUSSELL 1000 GROWTH INDEX FUND	AT COST	11,406,384	16,795,530
ISHARES TR RUSSELL MIDCAP INDEX FUND	AT COST	19,814,892	32,959,751
MSN 41079 & 41084 LDT SECD NT	AT COST	173,519	167,054
PVTPL CG LN CORP TR I SER 2006-1A	AT COST	0	0
RREEF AMERICA REIT II	AT COST	0	0
SAN CLEMENTE LEASING LLC SECD NT	AT COST	113,580	117,956
SLC STUDENT LN TR 2010-1	AT COST	0	0
SMALL BUSINESS ADMIN GTD DEV PARTN CTF SER 2005-20F	AT COST	56,135	62,816
SOCIETE DE FINANCEMENT DE L ECONOMIE	AT COST	148,431	150,000
TAGUA LEASING LLC GTD SECD NT	AT COST	199,524	192,097
THE EMERGING WORLD INVESTORS LP	AT COST	36,000,000	39,281,023
U S DEPT HSG & URBAN DEV GOVT GTD PARTN SEC 108 HUD 2006-A	AT COST	800,000	877,352
VANGUARD REIT	AT COST	14,460,340	15,840,661

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
VESSEL MGMT SVCS INC BD	AT COST	135,000	132,444

TY 2013 Land, Etc. Schedule**Name:** ROY J CARVER CHARITABLE TRUST**EIN:** 42-1186589

Category / Item	Cost / Other Basis	Accumulated Depreciation	Book Value	End of Year Fair Market Value
BUILDINGS	1,752,369	836,175	916,194	916,194
FURNITURE & FIXTURES	230,275	195,988	34,287	34,287
IMPROVEMENTS	374,443	210,704	163,739	163,739
LAND	567,038	0	567,038	567,038

TY 2013 Legal Fees Schedule

Name: ROY J CARVER CHARITABLE TRUST

EIN: 42-1186589

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
LEGAL FEES	4,139	828		3,311

TY 2013 Other Assets Schedule

Name: ROY J CARVER CHARITABLE TRUST

EIN: 42-1186589

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INVESTMENT INCOME	377,304	423,079	423,079

TY 2013 Other Expenses Schedule**Name:** ROY J CARVER CHARITABLE TRUST**EIN:** 42-1186589

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DUES & MEMBERSHIPS	579	0		579
POSTAGE	1,779	356		1,423
SUPPLIES	16,960	3,392		13,568
COMPUTER EXPENSE	9,339	1,868		7,471
TELEPHONE	6,005	1,201		4,804
UTILITIES	21,398	4,280		17,118
INSURANCE	17,701	3,540		14,161
REPAIRS & MAINTENANCE	19,239	3,848		15,391
PROGRAM ADMINISTRATION	4,510	0		4,510
MISCELLANEOUS EXPENSE	7,221	0		7,221
PORTFOLIO DEDUCTIONS (FROM K-1'S)	0	984,745		0

TY 2013 Other Income Schedule

Name: ROY J CARVER CHARITABLE TRUST

EIN: 42-1186589

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
ADAMS STREET 2009 DIRECT FUND LP		-1,174	
ADAMS STREET 2009 DIRECT FUND LP (UBI)		1,183	
ADAMS STREET PARTNERSHIP FUND - 2003 NON-US FUND LP		814	
ADAMS STREET PARTNERSHIP FUND - 2003 NON-US FUND LP (UBI)		-76	
ADAMS STREET PARTNERSHIP FUND - 2003 US FUND LP		-9,198	
ADAMS STREET PARTNERSHIP FUND - 2003 US FUND LP (UBI)		15,464	
ADAMS STREET PARTNERSHIP FUND - 2005 NON-US FUND LP		2,334	
ADAMS STREET PARTNERSHIP FUND - 2005 NON-US FUND LP (UBI)		-677	
ADAMS STREET PARTNERSHIP FUND - 2005 US FUND LP		5,428	
ADAMS STREET PARTNERSHIP FUND - 2005 US FUND LP (UBI)		432	
ADAMS STREET PARTNERSHIP FUND - 2009 NON-US DEVELOPED MARKETS FUND LP		-101	
ADAMS STREET PARTNERSHIP FUND - 2009 NON-US DEVELOPED MARKETS FUND LP (UBI)		625	
ADAMS STREET PARTNERSHIP FUND - 2009 NON-US EMERGING MARKETS FUND LP		1,015	
ADAMS STREET PARTNERSHIP FUND - 2009 NON-US EMERGING MARKETS FUND LP (UBI)		53	
ADAMS STREET PARTNERSHIP FUND - 2009 US FUND LP		-3,899	
ADAMS STREET PARTNERSHIP FUND - 2009 US FUND LP (UBI)		4,967	
ACADIAN INTERNATIONAL ALL-CAP FUND		48,701	
RECOVERED PRIOR YEAR GRANTS	18,423	0	18,423
ADAMS STREET 2013 GLOBAL FUND LP		-2,975	
ADAMS STREET 2013 GLOBAL FUND LP (UBI)		4,083	

TY 2013 Other Increases Schedule

Name: ROY J CARVER CHARITABLE TRUST

EIN: 42-1186589

Description	Amount
ACCRUAL TO CASH CONVERSION	7,804,701

TY 2013 Other Liabilities Schedule

Name: ROY J CARVER CHARITABLE TRUST

EIN: 42-1186589

Description	Beginning of Year - Book Value	End of Year - Book Value
DEFERRED EXCISE TAX	1,007,300	1,477,800
EXCISE TAX PAYABLE	24,000	41,000

TY 2013 Other Professional Fees Schedule**Name:** ROY J CARVER CHARITABLE TRUST**EIN:** 42-1186589

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	934,131	934,131		0
INVESTMENT CONSULTING FEES	51,000	51,000		0
PROFESSIONAL CONSULTING FEES	4,800	960		3,840
LESS INVESTMENT FEES RELATING TO TAX-EXEMPT INCOME	0	-9,459		0

TY 2013 Taxes Schedule**Name:** ROY J CARVER CHARITABLE TRUST**EIN:** 42-1186589

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL EXCISE TAX	101,853	0		0
FOREIGN TAX PAID (FROM K-1'S)	0	96,467		0