Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

**2013**

Open to Public Inspection

For calendar year 2013 or tax year beginning 05-01 , 2013, and ending 04-30 , 2014

Name of foundation: Field Foundation of Illinois Inc.

A Employer identification number: 36-6059408

Number and street (or P O box number if mail is not delivered to street address): 200 South Wacker Dr.

Room/suite: 3860

B Telephone number (see instructions): (312)831-0910

City or town, state or province, country, and ZIP or foreign postal code: Chicago, IL 60606

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 64,092,192

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis )

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )

| | | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc , received (attach schedule) | | | | |
| | 2 | Check ▶ ☒ if the foundation is not required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | | | | |
| | 4 | Dividends and interest from securities | 1,686,278 | 1,668,086 | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 3,137,411 | | | |
| | b | Gross sales price for all assets on line 6a | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 3,303,017 | | |
| | 8 | Net short-term capital gain | | | 155,037 | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) | | | | |
| | 12 | **Total.** Add lines 1 through 11 | 4,823,689 | 4,971,103 | 155,037 | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | 179,635 | 17,963 | 17,963 | 161,672 |
| | 14 | Other employee salaries and wages | 310,031 | 31,003 | 31,003 | 279,028 |
| | 15 | Pension plans, employee benefits | 30,884 | 3,088 | 3,088 | 27,796 |
| | 16a | Legal fees (attach schedule) STM107 | 13,565 | 9,496 | 9,496 | 4,069 |
| | b | Accounting fees (attach schedule) STM108 | 66,000 | 52,800 | 52,800 | 13,200 |
| | c | Other professional fees (attach schedule) STM109 | 417,075 | 417,075 | 417,075 | |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see instructions) STM110 | 87,600 | | | 82,600 |
| | 19 | Depreciation (attach schedule) and depletion | | | | |
| | 20 | Occupancy | 116,273 | 11,627 | 11,627 | 104,646 |
| | 21 | Travel, conferences, and meetings | 5,508 | | | 5,508 |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses (attach schedule) STM103 | 170,225 | 9,800 | 9,800 | 160,425 |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 1,396,796 | 552,852 | 552,852 | 838,944 |
| | 25 | Contributions, gifts, grants paid | 2,639,086 | | | 2,639,086 |
| | 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 4,035,882 | 552,852 | 552,852 | 3,478,030 |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | **Excess of revenue over expenses and disbursements** | 787,807 | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 4,418,251 | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | 0 | |

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| Part II Balance Sheets | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions ) | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | 3,411 | 49,517 | 49,517 |
| | 2 Savings and temporary cash investments | 1,404,028 | 4,590,246 | 4,590,246 |
| | 3 Accounts receivable ▶ 1,605 | | | |
| | Less allowance for doubtful accounts ▶ | 1,605 | 1,605 | 1,605 |
| | 4 Pledges receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| | 7 Other notes and loans receivable (attach schedule) ▶ | | | |
| | Less· allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U S and state government obligations (attach schedule) | | | |
| | b Investments - corporate stock (attach schedule) | | | |
| | c Investments - corporate bonds (attach schedule) STM138 | 47,184,582 | 44,766,844 | 59,450,824 |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other (attach schedule) | | | |
| | 14 Land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I) | 48,593,626 | 49,408,212 | 64,092,192 |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | |
| | 22 Other liabilities (describe ▶ STM121 ) | 75,087 | 101,866 | |
| | 23 **Total liabilities** (add lines 17 through 22) | 75,087 | 101,866 | |
| Net Assets or Fund Balances | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.** | | | |
| | 27 Capital stock, trust principal, or current funds | 48,518,539 | 48,518,539 | |
| | 28 Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | | 787,807 | |
| | 30 **Total net assets or fund balances** (see instructions) | 48,518,539 | 49,306,346 | |
| | 31 **Total liabilities and net assets/fund balances** (see instructions) | 48,593,626 | 49,408,212 | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 48,518,539 |
| 2 | Enter amount from Part I, line 27a | 2 | 787,807 |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 49,306,346 |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 49,306,346 |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P-Purchase D-Donation | (c) Date acquired (yr , mo , day) | (d) Date sold (yr , mo , day) |
|---|---|---|---|---|
| 1a | Schedule attached | P | 2013-05-01 | 2014-04-30 |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | | | |
|---|---|---|---|
| 2 Capital gain net income or (net capital loss) | If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 | 2 | 3,303,017 |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 | | 3 | 155,037 |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2012 | 3,536,704 | 57,280,127 | 0.061744 |
| 2011 | 3,361,186 | 59,928,108 | 0.056087 |
| 2010 | 3,359,410 | 59,872,879 | 0.056109 |
| 2009 | 3,227,661 | 55,477,749 | 0.058179 |
| 2008 | 3,835,176 | 55,849,621 | 0.06867 |

| | | |
|---|---|---|
| 2 **Total** of line 1, column (d) | 2 | 0.300789 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.060158 |
| 4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | 4 | 61,149,716 |
| 5 Multiply line 4 by line 3 | 5 | 3,678,645 |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 44,183 |
| 7 Add lines 5 and 6 | 7 | 3,722,828 |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 3,478,030 |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

**Part VI** **Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | 1 | 88,365 |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | 0 |
| 3 | Add lines 1 and 2 | 3 | 88,365 |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | 0 |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 88,365 |
| 6 | Credits/Payments. | | |
| a | 2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 44,436 | | |
| b | Exempt foreign organizations - tax withheld at source — 6b: | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c: 44,000 | | |
| d | Backup withholding erroneously withheld — 6d: | | |
| 7 | Total credits and payments Add lines 6a through 6d | 7 | 88,436 |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | 71 |
| 11 | Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 71 **Refunded** ▶ | 11 | |

**Part VII-A** **Statements Regarding Activities**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? | 1b | | X |
| | If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ ______ (2) On foundation managers ▶ $ ______ | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ______ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | X | |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | X | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T. | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II col (c), and Part XV | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

**Part VII-A Statements Regarding Activities** (continued)

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ FieldFoundation.org

14 The books are in care of ▶ Aurie A. Pennick Telephone no ▶ 312-831-0910
Located at ▶ 200 South Wacker Dr., Chicago, IL ZIP+4 ▶ 60606

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**-Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | X |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☒ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ____, ____, ____, ____ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions ) | 2b | | X |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ____, ____, ____, ____ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013 ) | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

| | | | |
|---|---|---|---|
| 5a | During the year did the foundation pay or incur any amount to | | |
| | (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? | ☐ Yes ☒ No | |
| | (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? | ☐ Yes ☒ No | |
| | (3) Provide a grant to an individual for travel, study, or other similar purposes? | ☐ Yes ☒ No | |
| | (4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) | ☐ Yes ☒ No | |
| | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? | ☐ Yes ☒ No | |
| b | If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? | | 5b |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | |
| c | If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? | ☐ Yes ☐ No | |
| | If "Yes," attach the statement required by Regulations section 53 4945-5(d) | | |
| 6a | Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | ☐ Yes ☒ No | |
| b | Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | | 6b |
| | If "Yes" to 6b, file Form 8870 | | |
| 7a | At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? | ☐ Yes ☒ No | |
| b | If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | | 7b |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address<br>See 990 OFOV | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| Aurie A. Pennick<br>200 South Wacker Dr., IL 60606 | Executive Direc<br>40 | 179,635 | 15,000 | 0 |
| Judith S. Block<br>200 South Wacker Dr., IL 60606 | Director<br>0 | 0 | 0 | 0 |
| Gloria Castillo<br>200 South Wacker Dr., IL 60606 | Director<br>0 | 0 | 0 | 0 |
| Marshall Field<br>200 South Wacker Dr., IL 60606 | Director<br>0 | 0 | 0 | 0 |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| Mark C. Murray<br>200 South Wacker Dr., Chicago, IL 60606 | Program Associa<br>40 | 93,014 | 0 | 0 |
| Cheryl A. Heads<br>200 South Wacker Dr., Chicago, IL 60606 | Program Associa<br>40 | 59,187 | 0 | 0 |
| Beatrice Young<br>200 South Wacker Dr., Chicago, IL 60606 | Admin Asst.<br>40 | 59,028 | 0 | 0 |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 4

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| Thomas J. Czubak Ltd<br>2268 Woodview Lane, Park Ridge, IL 60068 | Accounting | 66,000 |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | |

**Part IX-A** **Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 N/A | 0 |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B** **Summary of Program-Related Investments** (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 | |
| 2 | |
| All other program-related investments See instructions<br>3 | |
| **Total.** Add lines 1 through 3 ▶ | |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities | 1a | 62,045,370 |
| b | Average of monthly cash balances | 1b | 33,955 |
| c | Fair market value of all other assets (see instructions) | 1c | 1,605 |
| d | **Total** (add lines 1a, b, and c) | 1d | 62,080,930 |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0 |
| 3 | Subtract line 2 from line 1d | 3 | 62,080,930 |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 931,214 |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 61,149,716 |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 3,057,486 |

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part )

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 3,057,486 |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | 2a | 88,365 | | |
| b | Income tax for 2013. (This does not include the tax from Part VI ) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 88,365 |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | | 3 | 2,969,121 |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 2,969,121 |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | | 7 | 2,969,121 |

**Part XII** **Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 3,478,030 |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 3,478,030 |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5 | |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 3,478,030 |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

## Part XIII Undistributed Income (see instructions)

| | | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|---|---|---|---|---|---|
| 1 | Distributable amount for 2013 from Part XI, line 7 | | | | 2,969,121 |
| 2 | Undistributed income, if any, as of the end of 2013. | | | | |
| a | Enter amount for 2012 only | | | | |
| b | Total for prior years ____ , ____ , ____ | | | | |
| 3 | Excess distributions carryover, if any, to 2013 | | | | |
| a | From 2008 . . . 299,899 | | | | |
| b | From 2009 . . . 481,208 | | | | |
| c | From 2010 . . . | | | | |
| d | From 2011 . . . 389,646 | | | | |
| e | From 2012 . . . 708,583 | | | | |
| f | **Total** of lines 3a through e | 1,879,336 | | | |
| 4 | Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 3,478,030 | | | | |
| a | Applied to 2012, but not more than line 2a | | | | |
| b | Applied to undistributed income of prior years (Election required - see instructions) | | | | |
| c | Treated as distributions out of corpus (Election required - see instructions) | | | | |
| d | Applied to 2013 distributable amount | | | | 2,969,121 |
| e | Remaining amount distributed out of corpus | 508,909 | | | |
| 5 | Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a) ) | | | | |
| 6 | **Enter the net total of each column as indicated below:** | | | | |
| a | Corpus Add lines 3f, 4c, and 4e. Subtract line 5 | 2,388,245 | | | |
| b | Prior years' undistributed income. Subtract line 4b from line 2b | | | | |
| c | Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d | Subtract line 6c from line 6b Taxable amount - see instructions | | | | |
| e | Undistributed income for 2012 Subtract line 4a from line 2a. Taxable amount - see instructions | | | | |
| f | Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 | | | | 0 |
| 7 | Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | | | | |
| 8 | Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) | 299,899 | | | |
| 9 | **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a | 2,088,346 | | | |
| 10 | Analysis of line 9 | | | | |
| a | Excess from 2009 . . . 481,208 | | | | |
| b | Excess from 2010 . . . | | | | |
| c | Excess from 2011 . . . 389,646 | | | | |
| d | Excess from 2012 . . . 708,583 | | | | |
| e | Excess from 2013 . . . 508,909 | | | | |

## Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | (e) Total |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon. a "Assets" alternative test - enter. (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

## Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

990APP

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information** (continued)

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| a Paid during the year<br>Schedule attached<br>Various | NA | PC | General | 2,639,086 |
| Total ▶ 3a | | | | 2,639,086 |
| b Approved for future payment<br>Schedule attached | Na | PC | General | 40,000 |
| Total ▶ 3b | | | | 40,000 |

**Part XVI-A Analysis of Income-Producing Activities**

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions ) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 1,686,278 | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 3,137,411 | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | | | 4,823,689 | |

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 4,823,689

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions ) |
|---|---|
| | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

[signature] 12/8/14 Executive Director

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see inst)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| Thomas J Czubak | Thomas J Czubak | 11-23-2014 | | P00180043 |
| Firm's name ▶ Thomas J Czubak Ltd | | | Firm's EIN ▶ | |
| Firm's address ▶ 2268 Woodview Lane<br>Park Ridge IL 60068 | | | Phone no<br>847-722-2658 | |

651113

☐ Final K-1 ☐ Amended K-1 OMB No 1545-0099

**Schedule K-1**
**(Form 1065)**
Department of the Treasury
Internal Revenue Service

# 2013

For calendar year 2013, or tax year beginning __________, 2013
ending __________, 20 ____

## Partner's Share of Income, Deductions, Credits, etc.

▶ See back of form and separate Instructions

651113

Final K-1 | Amended K-1 | OMB No 1545-0099

# Schedule K-1 (Form 1065)

# 2013

Department of the Treasury
Internal Revenue Service

For calendar year 2013, or tax year beginning ____________, 2013
ending ____________, 20 __

## Partner's Share of Income, Deductions, Credits, etc.

▶ See back of form and separate instructions

651113

Schedule K-1 (Form 1065)

Department of the Treasury
Internal Revenue Service

**2013**

For calendar year 2013, or tax year beginning ______ ending ______

☐ Final K-1 ☐ Amended K-1 OMB No. 1545-0099

**Partner's Share of Income, Deductions, Credits, etc.**

▶ See separate instructions.

651113

[X] Final K-1 [ ] Amended K-1 OMB No 1545-0099

**Schedule K-1 (Form 1065)**

**2013**

Department of the Treasury
Internal Revenue Service

For calendar year 2013, or tax year beginning ____________, 2013
ending ____________, 20____

## Partner's Share of Income, Deductions, Credits, etc.

▶ **See back of form and separate instructions.**



Partner # 59

651113

☐ Final K-1 ☐ Amended K-1 OMB No 1545-0099

**Schedule K-1**
**(Form 1065)**

**2013**

Department of the Treasury
Internal Revenue Service

For calendar year 2013, or tax year beginning December 2, 2013 ending December 31, 20 13

# Partner's Share of Income, Deductions, Credits, etc.

► **See back of form and separate instructions.**



Partner # 24

651113

☐ Final K-1 ☐ Amended K-1 OMB No 1545-0099

**Schedule K-1 (Form 1065)**

Department of the Treasury
Internal Revenue Service

**2013**

For calendar year 2013, or tax year beginning ____________ , 2013
ending ____________ , 20

## Partner's Share of Income, Deductions, Credits, etc.

▶See back of form and separate instructions.

651113

☐ Final K-1 ☐ Amended K-1

OMB No 1545-0099

**Schedule K-1**
**(Form 1065)**

Department of the Treasury
Internal Revenue Service

# 2013

For calendar year 2013, or tax year beginning ____________, 2013
ending ____________, 20 ____

## Partner's Share of Income, Deductions, Credits, etc.

▶ See back of form and separate instructions.



04/02/2014 00 32 20

| | Federal Supporting Statements | 2013 PG 01 |
|---|---|---|
| Name(s) as shown on return<br>Field Foundation of Illinois Inc. | | Your Social Security Number<br>36-6059408 |

## Form 990PF, Part XV, Line 2
## Application Submission Information

**Grant Program**
See Guidelines Attac

**Applicant Name**
Aurie A. Pennick

**Address**
200 S. Wacker Dr. Ste 3860
Chicago, IL 60606

**Telephone**
312-831-0910

**Email Address**

**Form & Content**
See Guidelines attached

**Submission Deadline**
See guidelines attached

**Restrictions on Award**
See guidelines attached

## List of Officers, Directors, Trustees, and Key Employees

1 List all officers, directors, trustees, and key employees for the year even if they were not compensated.

| (a) Name and title | (b) Average hours per week devoted to position | (c) Reportable compensation (Form W-2/1099-MISC) (if not paid, enter -0-) | (d) Health benefits contributions to employee benefit plans, and deferred compensation | (e) Estimated amount of other compensation |
|---|---|---|---|---|
| Philip Hummer Director<br>200 South Wacker Dr., Chicago, IL 60606 | 0 | 0 | 0 | 0 |
| Sarah Linsley Secretary<br>200 South Wacker Dr., Chicago, IL 60606 | 0 | 0 | 0 | 0 |
| Lyle logan Chair<br>200 South Wacker Dr., Chicago, IL 60606 | 0 | 0 | 0 | 0 |
| F. Oliver Nicklin Director<br>200 South Wacker Dr., Chicago, IL 60606 | 0 | 0 | 0 | 0 |
| George Ranney, Jr. Director<br>200 South Wacker Dr., Chicago, IL 60606 | 0 | 0 | 0 | 0 |
| Rita A. Fry Director<br>200 South Wacker Dr., Chicago, IL 60606 | 0 | 0 | 0 | 0 |

Name(s) as shown on return: Field Foundation of Illinois Inc.
FEIN: 36-6059408

## 990-T, Part II, Line 18
## Interest

Statement #8

| Description | Amount |
|---|---|
| Other | $11,850 |
| Total | $11,850 |

PG 01

## Form 990PF, Part II, Line 22
## Other Liabilities Schedule

Statement #121

| Description | BOY Amount | EOY Amount |
|---|---|---|
| Other | 75,087 | 101,866 |
| Total | 75,087 | 101,866 |

PG 01

## Form 990PF, Part II, Line 10(c)
## Investments: Corporate Bond Schedule

Statement #138

| Category | BOY | Book Value | EOY FMV |
|---|---|---|---|
| Corporate stock | 38,389,576 | 38,297,005 | 52,899,179 |
| Corporate bonds | 8,795,006 | 6,469,839 | 6,551,645 |
| Totals | 47,184,582 | 44,766,844 | 59,450,824 |

# Federal Supporting Statements

2013 PG 01

Name(s) as shown on return: Field Foundation of Illinois Inc

Your Social Security Number: 36-6059408

Form 990PF, Part I, Line 23 - Other Expenses Schedule

Statement #103

| Description | Revenue and expenses | Net investment | Adjusted net income | Charitable purpose |
|---|---|---|---|---|
| Audit fee | 19,600 | 9,800 | 9,800 | 9,800 |
| Dues | 12,888 | 0 | 0 | 12,888 |
| Employee benefits | 44,866 | 0 | 0 | 44,866 |
| Equipment | 21,458 | 0 | 0 | 21,458 |
| General insurance | 8,339 | 0 | 0 | 8,339 |
| Local transportation | 3,857 | 0 | 0 | 3,857 |
| Luncheons | 11,315 | 0 | 0 | 11,315 |
| Office supplies | 18,389 | 0 | 0 | 18,389 |
| Postage | 1,261 | 0 | 0 | 1,261 |
| Program expenses | 1,657 | 0 | 0 | 1,657 |
| Telephone | 8,941 | 0 | 0 | 8,941 |
| Printing | 500 | 0 | 0 | 500 |
| Consultants fees | 15,983 | 0 | 0 | 15,983 |
| Directors meeting expenses | 1,171 | 0 | 0 | 1,171 |
| Totals | 170,225 | 9,800 | 9,800 | 160,425 |

PG 01

Statement #107

Form 990PF, Part I, Line 16(a) - Legal Fees Schedule

| Description | Revenue and expenses | Net investment | Adjusted net income | Charitable purpose |
|---|---|---|---|---|
| Quarles & Brady | 13,565 | 9,496 | 9,496 | 4,069 |
| Totals | 13,565 | 9,496 | 9,496 | 4,069 |

# Federal Supporting Statements

2013 PG 01

Name(s) as shown on return: Field Foundation of Illinois Inc

Your Social Security Number: 36-6059408

Form 990PF, Part I, Line 16(b) - Accounting Fees Schedule

Statement #108

| Description | Revenue and expenses | Net investment | Adjusted net income | Charitable purpose |
|---|---|---|---|---|
| Thomas J Czubak Ltd | 66,000 | 52,800 | 52,800 | 13,200 |
| Totals | 66,000 | 52,800 | 52,800 | 13,200 |

PG 01

Form 990PF, Part I, Line 16(c) - Other Professional Fees Schedule

Statement #109

| Description | Revenue and expenses | Net investment | Adjusted net income | Charitable purpose |
|---|---|---|---|---|
| Canbridge Associates | 57,423 | 57,423 | 57,423 | 0 |
| Eagle Capital Mgmt | 93,765 | 93,765 | 93,765 | 0 |
| Kensico | 129,220 | 129,220 | 129,220 | 0 |
| Dolan | 3,933 | 3,933 | 3,933 | 0 |
| Silchester | 101,867 | 101,867 | 101,867 | 0 |
| Ariel | 30,867 | 30,867 | 30,867 | 0 |
| Totals | 417,075 | 417,075 | 417,075 | 0 |

# Federal Supporting Statements

2013 PG 01

Name(s) as shown on return: Field Foundation of Illinois Inc

Your Social Security Number: 36-6059408

Form 990PF, Part I, Line 18 - Taxes Schedule

Statement #110

| Description | Revenue and expenses | Net investment | Adjusted net income | Charitable purpose |
|---|---|---|---|---|
| Payroll taxes | 31,600 | 0 | 0 | 31,600 |
| Excise taxes | 51,000 | 0 | 0 | 51,000 |
| UBIT taxes | 5,000 | 0 | 0 | 0 |
| Totals | 87,600 | 0 | 0 | 82,600 |

# Field Foundation of Illinois

## General Guidelines

**The Directors of the Field Foundation of Illinois have adopted the following guidelines for the making of grants:**

The Foundation awards grants only to institutions and agencies operating in the fields of urban and community affairs, culture, education, community welfare, health, and environment, primarily serving the people of the Chicago and suburban Cook County with a particular focus on socioeconomically disadvantaged populations.

To assist the Directors in making grant decisions, certain criteria are followed: the need for the program, the institution's or agency's ability to meet this need effectively, including proper control of expenses, and the use of volunteers when applicable.

The Foundation is interested in supporting both new as well as established organizations. Preference will be given to funding innovative approaches for addressing problem areas. Established organizations (more than five years old) may receive funding, but only for new projects/programs that demonstrate innovative approaches to problems. As a general rule, general operating funds will not be provided for established organizations. For the most part, project or operating support beyond a period of three years will not be considered.

To assist organizations in preparing for a full proposal, the Field Foundation strongly encourages applicants to use its Self-Certification Checklist. For examples of the Foundation's funding, please review the 2009-2010 Biennial Report or the Recent Grants section of the website.

### Guidelines

No grants will be made to support:

- Endowments;
- Organizations with Interim Executive Directors;
- Individuals (requests for stipends should be discussed with Field Foundation staff);
- Medical research or national health agency appeals;
- Propaganda organizations or committees whose efforts are aimed at influencing legislation;
- Printed materials, video or computer equipment;
- Fund-raising events or advertising;

- Appeals for religious purposes;
- Other granting agencies or foundations for ultimate distribution to agencies or programs of its own choosing;
- Custodian afterschool programs or tutoring;
- Organizations with a limited Chicago presence;
- Most disease specific programs, research or activities.

As the guidelines clearly indicate, the Field Foundation pursues a mission that is quite broad, and as might be expected, the Foundation is intensely solicited by a broad range and increasing volume of institutions and agencies in the Chicago area. Because the Foundation's resources are limited, it is compelled to apply the following further restrictions on its grantmaking:

**As a general rule:**

- Grant requests from separate entities of the same institution/organization/agency or affiliated entities will be treated as having been submitted by a single entity, except that a university's medical unit will be treated as an entity separate from the university;
- An entity will be eligible to receive no more than one (1) grant from the Foundation during a fiscal year of the Foundation; and
- Support for conferences, seminars, or meetings are very limited and should be discussed with Field Foundation staff before submitting a proposal.

Guidelines for Consideration of Capital Requests

- The Field Foundation of Illinois will consider requests for support of capital needs. Projects may include the purchase or renovation of facilities, repairs and maintenance of physical infrastructure, or (in rare cases) the purchase of major equipment. Preference will be given to organizations that consider environmentally friendly features. Certain further restrictions and criteria apply, as described below.
- As a general rule, the Field Foundation prefers to consider capital requests after at least 50 percent of the total project costs have already been committed from other sources. [Exceptions to this are rare and should be discussed with the Foundation's Executive Director prior to submitting a proposal.]
- The Foundation will give preference to the capital needs of smaller, community-based agencies primarily in cases where a grant from the Field Foundation will have a significant impact on the agency's ability to secure additional resources to complete the project. Such projects will be given priority over multi-year, multi-million dollar capital campaigns.
- Capital grants will seldom exceed $50,000.
- Requests for computer equipment will not be considered.
- The Foundation asks that requests for support of large capital campaigns of major cultural institutions, institutions of higher education and hospitals be preceded by a formal conversation with Foundation staff so as to determine the project's fit with the Foundations priorities. Capital requests from these organizations for the repair, maintenance or replacement of physical infrastructure that is critical to efficient program operations are a higher priority.

**Grant Application Procedures**

Grant applications are not provided; however, a formal proposal is required. Typically, the proposal narrative should not exceed six pages. The proposal narrative should include a brief history and background on the organization and description of the program for which support is requested, including an explanation of what the grant is expected to accomplish, how the program will be carried out and by whom, the methods and procedures which will be used to evaluate its effectiveness, the program budget and how it will be financed in total, during, and when applicable after, the proposed grant period. The proposal should be accompanied by the following:

- A cover letter summarizing why financial aid is requested and the amount of money sought;
-
- The program and total agency budgets for the applicant's current year, year-to-date financial statements along with the most recent audited financial statement, and total agency budget for the previous fiscal year;
- A list of current project and agency funders with amounts;
- A list of the membership of the board of directors their affiliations;
- A racial and gender breakdown of board and staff; and,
- A copy of the ruling or determination letter from the Internal Revenue Service showing that the applicant is exempt from Federal Income Tax, and establishing its status as a publicly supported organization.

In order to facilitate staff review, prospective grantees are encouraged to include the Self-Certification Checklist. (PDF file).

The deadlines for submission of proposals are **January 15, May 15** and **September 15.** If the deadline falls on a weekend the next Monday becomes the deadline. In the rare case where the deadline falls on the weekend and the next Monday is a holiday, Tuesday becomes the deadline e.g., January 15, 2012 (Sunday) Dr. Martin Luther King Jr. holiday January 16, 2012 (Monday), the deadline is then Tuesday, January 17, 2012. Regardless of day and date all proposals must be received by 5pm CST. **The Foundation does not accept grant requests via email or fax.** The Board meets three times per year. The grant evaluation process begins approximately four months in advance of each meeting.

It should be pointed out, however, that because of the Foundation's limited resources, it must regretfully decline support at times to worthwhile organizations. A response to all inquiries is provided as quickly as possible. If the response is negative, the Foundation staff will convey the reasons for the decline. In the event of a negative review of a complete proposal, the applicant will be notified in writing and is encouraged to discuss the reasons for the decline with the proposal's reviewer or the Foundation's Executive Director.

The Field Foundation of Illinois

# Self-Certification Checklist for Prospective Grantees

| | | | |
|---|---|---|---|
| Name of Organization | Name of CEO | | Contact Name |
| Address | City | State | Zip Code |
| Phone | Fax | Email | Website |
| Title of Project | | Grant Amount Requested | Date Submitted |

**The following proposal materials are enclosed:**

- ☐ Cover letter
- ☐ Proposal narrative
- ☐ Audited financial statements
- ☐ Board of Directors list
- ☐ IRS 501(c)(3) nonprofit designation letter
- ☐ Project and agency budgets for current and previous fiscal years
- ☐ Agency year-to-date financial statements
- ☐ A list of current project and agency funders (with amounts)
- ☐ Race and gender breakdown of staff and board

**My organization can be included in one of the following areas:**

☐ Community Welfare ☐ Culture ☐ Environment ☐ Health

☐ Urban & Community Affairs ☐ Education *specify* High School (9-12)

*If your organization is not included in one of the above areas, your organization may not be eligible for consideration.*

**A majority of the people served by my organization reside in Chicago:** Yes ◉ No ○

*If you answered no, your organization may be ineligible for consideration*

**We are seeking a grant for the following:**

☐ Capital ☐ Operating ☐ Program/Project ☐ Technical Assistance ☐ Renewal of a prior grant

**My organization has an Interim Executive Diretor or CEO:** Yes ◉ No ○

*If you answered yes, your organization is ineligible for consideration.*

**My organization is more than five years old and is seeking operating funds:** Yes ◉ No ○

*If you answered yes, your organization may be ineligible for consideration.*

**My organization is working with a fiscal agent on this proposal:** Yes ◉ No ○

*If you answered yes, the fiscal agent information should be included on the second sheet of this form*

**In completing this form, I certify that funds are not being sought for the following reasons:** Medical research or health agency appeals, propaganda or influencing legislation, conferences, seminars or meetings, fundraising events or advertising, religious appeals, other grantmaking agencies or foundations for distribution to agencies or programs, operating support for neighborhood health centers or clinics, day care centers for children, or small cultural groups, endowments, individuals, profit-making organizations, cost of printed materials, video or computer equipment, elimination of accumulated operating deficit, disease-specific voluntary associations, an arts program other than outreach to disadvantaged populations, centralized parent training, assistance to overall administration of the Board of Education, scholarships, operating needs to local school councils, repairs/improvements to public schools, or degree-granting programs for teachers.

**Please return this form with your proposal to the Field Foundation of Illinois,**
200 S. Wacker Drive, Suite 3860,Chicago, Illinois 60606.

The Field Foundation of Illinois

# Supplemental Fiscal Agent Information

| Name of Fiscal Agent | Name of CEO | | Contact Name |
|---|---|---|---|
| Address | City | State | Zip Code |
| Phone | Fax | Email | Website |

Is the fiscal agent currently recieving Field Foundation support? Yes ◉ No ○

Has the Fiscal Agent recieved Field Foundation support in the past? Yes ◉ No ○

If yes, what was the date of the latest grant?________________________________

Additional information:

**Please return this form with your proposal to the Field Foundation of Illinois,**
200 S. Wacker Drive, Suite 3860,Chicago, Illinois 60606

# Paid Date is any Date After 4/30/13 and Before 5/1/14

Fiscal Year Report 2014

*21 May 2014*

| Payee Name | Request Project Title | Status/Date | Amount | Check Number |
|---|---|---|---|---|
| Adler Planetarium & Astronomy Museum | Adler Field Trip Experiences | Paid<br>Scheduled· 6/7/2013<br>Paid 6/7/2013 | $15,000 00 | 19258 |
| Alliance for the Great Lakes | 2nd Yr. Renewal - Great Lakes in My World School Integration | Paid<br>Scheduled· 6/7/2013<br>Paid 6/7/2013 | $10,000 00 | 19259 |
| Arab American Action Network | Renewal - Arab Community Organizing School | Paid<br>Scheduled 6/7/2013<br>Paid 6/7/2013 | $20,000.00 | 19260 |
| Asian Americans Advancing Justice - Chicago | Language Access Program | Paid<br>Scheduled· 6/7/2013<br>Paid 6/7/2013 | $20,000 00 | 19261 |
| Asian Health Coalition of Illinois | 3rd Yr Renewal - Your Health is Golden | Paid<br>Scheduled: 6/7/2013<br>Paid 6/7/2013 | $15,000.00 | 19262 |
| Bethel New Life, Inc. | Transitional Operating Support | Paid<br>Scheduled. 6/7/2013<br>Paid 6/7/2013 | $15,000.00 | 19263 |
| Center for Economic Progress | Financial Capability Program | Paid<br>Scheduled. 6/7/2013<br>Paid 6/7/2013 | $20,000.00 | 19264 |
| Manufacturing Renaissance | 3rd Yr Renewal - Austin Community Manufacturing Center | Paid<br>Scheduled 6/7/2013<br>Paid 6/7/2013 | $20,000.00 | 19265 |

| Payee Name | Request Project Title | Status/Date | Amount Check Number |
|---|---|---|---|
| Chicago Public Media | 2nd Yr Renewal - Community Storytelling Workshops | Paid<br>Scheduled: 6/7/2013<br>Paid 6/7/2013 | $15,000 00 19266 |
| Chicago Rehab Network | New Resource Development Position | Paid<br>Scheduled 6/7/2013<br>Paid 6/7/2013 | $20,000 00 19267 |
| Chicago Shakespeare Theater | Chicago Shakespeare in the Parks | Paid<br>Scheduled 6/7/2013<br>Paid 6/7/2013 | $15,000 00 19268 |
| Chicago Volunteer Legal Services Foundation | 3rd Yr Renewal - Chancery Court Access to Justice Program | Paid<br>Scheduled: 6/7/2013<br>Paid 6/7/2013 | $25,000 00 19269 |
| Chicago Workers' Collaborative | 2nd Yr Renewal - Bringing Down Barriers | Paid<br>Scheduled 6/7/2013<br>Paid 6/7/2013 | $15,000.00 19270 |
| ChildServ | Illinois Military Families Initiative | Paid<br>Scheduled: 6/7/2013<br>Paid 6/7/2013 | $20,000.00 19271 |
| Chinese American Service League, Inc. | 2nd Yr. Renewal - Adult Employment Program | Paid<br>Scheduled. 6/7/2013<br>Paid 6/7/2013 | $20,000 00 19272 |
| Community Media Workshop | 3rd Yr Renewal - We Are Not Alone | Paid<br>Scheduled. 6/7/2013<br>Paid. 6/7/2013 | $20,000 00 19273 |
| CommunityHealth | EXCELS Initiative | Paid<br>Scheduled 6/7/2013<br>Paid 6/7/2013 | $15,000 00 19274 |

| Payee Name | Request Project Title | Status/Date | Amount Check Number |
|---|---|---|---|
| Cook County Justice for Children | Cook County Justice for Children Strategy Teams | Paid<br>Scheduled. 6/7/2013<br>Paid 6/7/2013 | $15,000 00 19275 |
| Donors Forum of Chicago | Building Support for Public/Nonprofit Partnerships | Paid<br>Scheduled 6/7/2013<br>Paid 6/7/2013 | $15,000 00 19276 |
| Galapagos Charter School, NFP | 2nd Yr. Renewal - G-Corps Program | Paid<br>Scheduled: 6/7/2013<br>Paid 6/7/2013 | $15,000 00 19277 |
| Goodman Theatre | 2nd Yr Renewal - 2012/2013 GeNarrations Program | Paid<br>Scheduled 6/7/2013<br>Paid 6/7/2013 | $15,000 00 19278 |
| Grant Park Music Festival in Millennium Park | Project Inclusion | Paid<br>Scheduled· 6/7/2013<br>Paid 6/7/2013 | $10,000 00 19279 |
| Health & Disability Advocates | SSI Homeless Outreach Project | Paid<br>Scheduled. 6/7/2013<br>Paid 6/7/2013 | $20,000 00 19280 |
| Health and Medicine Policy Research Group | 2nd Yr. Renewal - Bridge Model | Paid<br>Scheduled· 6/7/2013<br>Paid 6/7/2013 | $25,000 00 19281 |
| Illinois Council Against Handgun Violence | Student Voices Activist Institute | Paid<br>Scheduled 6/7/2013<br>Paid 6/7/2013 | $15,000 00 19282 |
| Illinois Humanities Council | 2nd Yr Renewal - The Odyssey Project | Paid<br>Scheduled: 6/7/2013<br>Paid. 6/7/2013 | $15,000 00 19283 |

| Payee Name | Request Project Title | Status/Date | Amount Check Number |
|---|---|---|---|
| Illinois Partners for Human Service | General Operating Support | Paid<br>Scheduled· 6/7/2013<br>Paid 6/7/2013 | $15,000 00 19284 |
| Saint Anthony Hospital Foundation | 2nd Yr. Renewal - Mental Health Services Program | Paid<br>Scheduled: 6/7/2013<br>Paid 6/7/2013 | $15,000.00 19285 |
| Jane Addams Senior Caucus | Aging Justice Program | Paid<br>Scheduled. 6/7/2013<br>Paid 6/7/2013 | $15,000 00 19286 |
| Jazz Institute of Chicago | 3rd Yr Renewal - Straight Ahead and Other Directions Jazz Camp | Paid<br>Scheduled. 6/7/2013<br>Paid 6/7/2013 | $15,000 00 19287 |
| Juvenile Protective Association | 3rd Yr Renewal - Building bridges to North Lawndale Project | Paid<br>Scheduled. 6/7/2013<br>Paid 6/7/2013 | $20,000 00 19288 |
| Little Village Environmental Justice Organization | Teens and Transit | Paid<br>Scheduled· 6/7/2013<br>Paid· 6/7/2013 | $20,000.00 19289 |
| Latino Policy Forum | Housing Access and Government Accountability | Paid<br>Scheduled 6/7/2013<br>Paid 6/7/2013 | $20,000 00 19290 |
| Latinos Progresando | 3rd Yr Renewal - Legal Immigration Services | Paid<br>Scheduled. 6/7/2013<br>Paid 6/7/2013 | $20,000 00 19291 |
| Lawrence Hall Youth Services | Volunteer Coordinator | Paid<br>Scheduled 6/7/2013<br>Paid 6/7/2013 | $15,000.00 19292 |

| Payee Name | Request Project Title | Status/Date | Amount | Check Number |
|---|---|---|---|---|
| LEARN Charter School | 3rd Yr Renewal - Measure of Academic Progress (MAP) | Paid<br>Scheduled 6/7/2013<br>Paid. 6/7/2013 | $15,000 00 | 19293 |
| Merit School of Music | 3rd Yr Renewal - Comunidad de Alegra Pilsen Program | Paid<br>Scheduled 6/7/2013<br>Paid 6/7/2013 | $15,000.00 | 19294 |
| Mikva Challenge | 2nd Yr Renewal - Peace & Leadership Councils | Paid<br>Scheduled. 6/7/2013<br>Paid 6/7/2013 | $20,000 00 | 19295 |
| Mobile C.A R.E. Foundation | Asthma Education Program | Paid<br>Scheduled. 6/7/2013<br>Paid 6/7/2013 | $15,000.00 | 19296 |
| Night Ministry, The | The Crib Youth Shelter Program | Paid<br>Scheduled: 6/7/2013<br>Paid 6/7/2013 | $15,000 00 | 19297 |
| Old Irving Park Community Clinic | Behavioral Health Support | Paid<br>Scheduled· 6/7/2013<br>Paid. 6/7/2013 | $20,000 00 | 19298 |
| Rehabilitation Institute of Chicago | 3rd Yr Renewal - LIFE Center - Education Sessions in Brain Injury | Paid<br>Scheduled· 6/7/2013<br>Paid 6/7/2013 | $20,000 00 | 19299 |
| Roger Baldwin Foundation of ACLU, Inc | 2nd Yr Renewal - Juvenile Justice Initiative | Paid<br>Scheduled· 6/7/2013<br>Paid 6/7/2013 | $20,000 00 | 19300 |
| Silk Road Rising | Expanding Audience through Online Video Plays | Paid<br>Scheduled 6/7/2013<br>Paid: 6/7/2013 | $20,000 00 | 19301 |

| Payee Name | Request Project Title | Status/Date | Amount Check Number |
|---|---|---|---|
| SYESA, NFP (Struggling Youth Equals Successful Adults) | General Operating Support | Paid<br>Scheduled· 6/7/2013<br>Paid 6/7/2013 | $15,000.00 19302 |
| Teen Living Programs | Stable Housing | Paid<br>Scheduled 6/7/2013<br>Paid 6/7/2013 | $25,000 00 19303 |
| OneGoal | 2nd Yr Renewal - US Empowered -Year Two Project | Paid<br>Scheduled: 6/7/2013<br>Paid 6/7/2013 | $20,000 00 19304 |
| Woodstock Institute | General Operating | Paid<br>Scheduled· 6/7/2013<br>Paid 6/7/2013 | $25,000.00 19305 |
| Youth Guidance | 2nd Yr Renewal - GirlLıfe/W.O W Program | Paid<br>Scheduled· 6/7/2013<br>Paid 6/7/2013 | $15,000.00 19306 |
| YWCA Evanston North Shore | Community-Based Domestic Violence Counseling | Paid<br>Scheduled 6/7/2013<br>Paid 6/7/2013 | $10,000 00 19307 |
| Openlands Project | Matching Gift - Philip Hummer | Paid<br>Scheduled· 6/3/2013<br>Paid 6/3/2013 | $750 00 19308 |
| Independence Blvd SDA Church | Matching Gift - Beatrice Young | Paid<br>Scheduled 6/5/2013<br>Paid. 6/5/2013 | $1,000 00 19312 |
| Shattered Globe Theatre | ETA - Consultant to help in Board Development & Strategic Planning | Paid<br>Scheduled 5/17/2013<br>Paid 6/10/2013 | $5,000 00 19313 |

| Payee Name | Request Project Title | Status/Date | Amount Check Number |
|---|---|---|---|
| Chicago Council on Global Affairs | Matching Gift - Philip Hummer | Paid<br>Scheduled: 6/12/2013<br>Paid 6/12/2013 | $1,200 00 19320 |
| Women Make Movies | Matching Gift - Aurie A. Pennick | Paid<br>Scheduled· 6/17/2013<br>Paid 6/17/2013 | $10,000 00 19321 |
| Intuit. Center for Intuitive and Outsider Art | Remodeling the Facade | Paid<br>Scheduled: 7/12/2013<br>Paid 7/12/2013 | $20,000 00 19345 |
| The Salvation Army Metropolitan Division | Sponsorship of 25 event scholarships @ $59 each | Paid<br>Scheduled: 7/1/2013<br>Paid 7/1/2013 | $1,475 00 19347 |
| Chicago Crime Commission | Matching Gift - Philip Hummer | Paid<br>Scheduled. 7/8/2013<br>Paid 7/8/2013 | $750 00 19349 |
| Monroe Foundation | ETA - Mobile Banking and Online Financial Education Training | Paid<br>Scheduled. 8/7/2013<br>Paid 8/14/2013 | $5,000 00 19390 |
| Boys and Girls Club of Chicago | Matching Gift - Gloria Castillo | Paid<br>Scheduled· 9/9/2013<br>Paid 9/9/2013 | $1,875 00 19414 |
| Bethesda International Academy | Matching Gift - Beatrice Young | Paid<br>Scheduled· 9/16/2013<br>Paid 9/16/2013 | $3,000 00 19416 |
| Free Spirit Media, NFP | Matching Gift - Judith S. Block | Paid<br>Scheduled 9/16/2013<br>Paid 9/16/2013 | $500 00 19417 |

| Payee Name | Request Project Title | Status/Date | Amount | Check Number |
|---|---|---|---|---|
| The Resurrection Project | ETA - Conference/Event Support - Breakin' It Down Conference | Paid<br>Scheduled: 9/18/2013<br>Paid 9/18/2013 | $1,000 00 | 19421 |
| Rehab Institute of Chicago | Matching Gift - Philip Hummer | Paid<br>Scheduled 9/26/2013<br>Paid 9/26/2013 | $3,000 00 | 19443 |
| Girl Scouts of Greater Chicago and Northwest Indiana | Matching Gift - Gloria Castillo | Paid<br>Scheduled· 9/26/2013<br>Paid 9/26/2013 | $375 00 | 19446 |
| Chicago Lawyers' Committee for Civil Rights Under Law, Inc. | ETA - Support to Hire AmeriCorps member for Community Empowerment Project | Paid<br>Scheduled: 10/3/2013<br>Paid 10/16/2013 | $7,500.00 | 19470 |
| The Salvation Army Metropolitan Division | Matching Gift - Judith S Block | Paid<br>Scheduled 10/21/2013<br>Paid 10/21/2013 | $305 00 | 19474 |
| A Just Harvest | Renewal - Program Expansion | Paid<br>Scheduled. 10/21/2013<br>Paid 10/21/2013 | $15,000.00 | 19477 |
| Active Transportation Alliance | Renewal - Better Blocks, Better Communities | Paid<br>Scheduled 10/21/2013<br>Paid 10/21/2013 | $15,000 00 | 19478 |
| Allied Health Care Career Network | Transitions Coordinator for Career Pathway Support<br>Fiscal Agent/Erie Neighborhood House | Paid<br>Scheduled. 10/21/2013<br>Paid 10/21/2013 | $15,000 00 | 19479 |
| American Indian Health Service of Chicago | Organizational & Program Expansion Project | Paid<br>Scheduled 10/21/2013<br>Paid 10/21/2013 | $20,000 00 | 19480 |

| Payee Name | Request Project Title | Status/Date | Amount Check Number |
|---|---|---|---|
| Better Government Association (BGA) | 3rd Yr Renewal - Citizens Watchdogs of Education (Project) | Paid<br>Scheduled 10/21/2013<br>Paid. 10/21/2013 | $20,000 00 19481 |
| Casa Central | Violence Prevention and Intervention Program | Paid<br>Scheduled. 10/21/2013<br>Paid 10/21/2013 | $20,000 00 19482 |
| Center for Changing Lives | 3rd Yr Renewal - Center for Working Families - Employment Counselor | Paid<br>Scheduled 10/21/2013<br>Paid 10/21/2013 | $20,000.00 19483 |
| Centro de Trabajadores Unidos Immigrant Workers | Community Alliance for Economic Growth | Paid<br>Scheduled· 10/21/2013<br>Paid 10/21/2013 | $15,000 00 19484 |
| Chicago Horticultural Society/The Chicago Botanic Garden | Accessing Science Education for Chicago Public Schools | Paid<br>Scheduled. 10/21/2013<br>Paid 10/21/2013 | $10,000 00 19485 |
| Chicago Children's Advocacy Center | PATHH Collaboration (Providing Access Toward Hope and Healing) | Paid<br>Scheduled: 10/21/2013<br>Paid 10/21/2013 | $25,000 00 19486 |
| Chicago Foundation for Education | Renewal - Action Research Leadership Institute (ARLI) | Paid<br>Scheduled: 10/21/2013<br>Paid 10/21/2013 | $15,000 00 19487 |
| Chicago Run | "Running Mates" Program | Paid<br>Scheduled· 10/21/2013<br>Paid 10/21/2013 | $10,000 00 19488 |
| EPIC Academy | 3rd Yr Renewal - Intensives Program | Paid<br>Scheduled· 10/21/2013<br>Paid 10/21/2013 | $20,000 00 19489 |

| Payee Name | Request Project Title | Status/Date | Amount Check Number |
| --- | --- | --- | --- |
| Brushwood Center At Ryerson Woods | Leave No Child Inside | Paid<br>Scheduled 10/21/2013<br>Paid 10/21/2013 | $15,000 00 19490 |
| Friends of the Forest Preserves | Forest Preserves Advocates' Network Project | Paid<br>Scheduled· 10/21/2013<br>Paid 10/21/2013 | $15,000.00 19491 |
| Gads Hill Center | Teen Connection STEM Expansion | Paid<br>Scheduled 10/21/2013<br>Paid 10/21/2013 | $20,000 00 19492 |
| Goldie's Place | Employment Assistance & Supportive Services | Paid<br>Scheduled: 10/21/2013<br>Paid 10/21/2013 | $20,000 00 19493 |
| Healthy Schools Campaign | 2nd Yr Renewal - Fit to Learn for Principals | Paid<br>Scheduled. 10/21/2013<br>Paid 10/21/2013 | $15,000 00 19494 |
| Holy Family Ministries | Little Learners Academy | Paid<br>Scheduled: 10/21/2013<br>Paid. 10/21/2013 | $15,000 00 19495 |
| Housing Opportunities for Women | Mental Health Services | Paid<br>Scheduled 10/21/2013<br>Paid 10/21/2013 | $20,000 00 19496 |
| Inspiration Corporation | Renewal - Inspiration Kitchens - Garfield Park | Paid<br>Scheduled 10/21/2013<br>Paid 10/21/2013 | $15,000 00 19497 |
| Jane Addams Resource Corporation | 2nd Yr Renewal - CNC Fast Track Program | Paid<br>Scheduled 10/21/2013<br>Paid 10/21/2013 | $15,000 00 19498 |

| Payee Name | Request Project Title | Status/Date | Amount Check Number |
|---|---|---|---|
| John Howard Association of Illinois | Healthcare Continuum Initiative (HCI) | Paid<br>Scheduled· 10/21/2013<br>Paid 10/21/2013 | $25,000 00 19499 |
| Korean American Community Services | Renewal - Resource and Opportunity Center for Korean Seniors | Paid<br>Scheduled 10/21/2013<br>Paid 10/21/2013 | $15,000 00 19500 |
| La Rabida Children's Hospital | Capital - Outpatient Expansion & Renovation | Paid<br>Scheduled 10/21/2013<br>Paid 10/21/2013 | $35,000 00 19501 |
| Lincoln Park Zoological Society | Capital - Regenstein Japanese Macaque Forest Exhibit Feeders | Paid<br>Scheduled 10/21/2013<br>Paid 10/21/2013 | $33,000 00 19502 |
| Metropolis Strategies | Justice Violence Initiative | Paid<br>Scheduled 10/21/2013<br>Paid. 10/21/2013 | $25,000 00 19503 |
| Metropolitan Planning Council | Optimizing Stormwater Management Planning and Investment | Paid<br>Scheduled 10/21/2013<br>Paid 10/21/2013 | $15,000 00 19504 |
| Mujeres Latinas en Accion | New Court Advocate Position | Paid<br>Scheduled. 10/21/2013<br>Paid· 10/21/2013 | $25,000 00 19505 |
| P A.S O /West Suburban Action Project | Immigrant Rights Community Organizing. Fiscal Agent/Illinois Coalition for Immigrants | Void<br>Scheduled 12/12/2013<br>Paid 12/12/2013<br>Reissued as Payment [6592] | -$15,000 00 19506 |

| Payee Name | Request Project Title | Status/Date | Amount Check Number |
|---|---|---|---|
| P A S O /West Suburban Action Project | Immigrant Rights Community Organizing Fiscal Agent/Illinois Coalition for Immigrants | Paid<br>Scheduled: 10/21/2013<br>Paid. 10/21/2013<br>Voided by Payment [6591] | $15,000 00 19506 |
| Puerto Rican High School | Two-Year Grant - Literature for All of Us | Paid<br>Scheduled 10/21/2013<br>Paid 10/21/2013 | $15,000 00 19507 |
| Rush University Medical Center | Pilot Program for Miltiary Sexual Trauma Survivors | Paid<br>Scheduled 10/21/2013<br>Paid 10/21/2013 | $20,000 00 19508 |
| Snow City Arts Foundation | Academic Programs | Paid<br>Scheduled 10/21/2013<br>Paid 10/21/2013 | $20,000 00 19509 |
| South Chicago Art Center NFP | School SmARTS (artist residency program) | Paid<br>Scheduled. 10/21/2013<br>Paid 10/21/2013 | $15,000 00 19510 |
| Strategic Learning Initiatives | Renewal - Early Childhood Family Engagement Program | Paid<br>Scheduled. 10/21/2013<br>Paid 10/21/2013 | $20,000 00 19511 |
| Streetwise, Inc | Renewal - StreetWise Worker's Empowerment Enterprise Team | Paid<br>Scheduled 10/21/2013<br>Paid 10/21/2013 | $20,000 00 19512 |
| United African Organization | 2nd Yr Renewal - Immigrant & Refugee Leadership & Civic Engagement | Paid<br>Scheduled: 10/21/2013<br>Paid· 10/21/2013 | $15,000 00 19513 |
| Urban Gateways | Curriculum Specialist Support | Paid<br>Scheduled· 10/21/2013<br>Paid 10/21/2013 | $20,000 00 19514 |

| Payee Name | Request Project Title | Status/Date | Amount | Check Number |
|---|---|---|---|---|
| Youth Job Center of Evanston Inc | 2nd Yr Renewal - WILL (Women investing in learning and livelihoods) | Paid<br>Scheduled: 10/21/2013<br>Paid· 10/21/2013 | $15,000 00 | 19515 |
| Domestic Violence Legal Clinic | ETA Hire consultant to support a Strategic Planning Process | Paid<br>Scheduled· 9/19/2013<br>Paid· 10/23/2013 | $5,000 00 | 19516 |
| Greater Chicago Food Depository | Matching Gift - Judith S Block | Paid<br>Scheduled: 10/28/2013<br>Paid. 10/28/2013 | $500 00 | 19525 |
| Horizon Hospice & Palliative Care | Matching Gift - Judith S Block | Paid<br>Scheduled 11/7/2013<br>Paid 11/7/2013 | $1,000.00 | 19536 |
| Seventh Circuit Bar Association Foundation | ETA - Rethinking the War on Drugs | Paid<br>Scheduled: 10/29/2013<br>Paid 11/18/2013 | $10,000 00 | 19549 |
| Rehab Institute of Chicago | Matching Gift - Philip Hummer | Paid<br>Scheduled: 11/18/2013<br>Paid 11/18/2013 | $750 00 | 19550 |
| Pathways Foundation | Matching Gift - Judith S Block | Paid<br>Scheduled 11/18/2013<br>Paid 11/18/2013 | $500 00 | 19551 |
| Donors Forum of Chicago | FY2014 Grant Support - $5,306 00<br>FY2014 Membership Dues - $770 00 | Paid<br>Scheduled. 11/25/2013<br>Paid 11/25/2013 | $5,306 00 | 19559 |
| Chicago Youth Centers | Matching Gift - Judith S Block | Paid<br>Scheduled 11/25/2013<br>Paid 11/25/2013 | $500 00 | 19560 |

| Payee Name | Request Project Title | Status/Date | Amount Check Number |
|---|---|---|---|
| Hyde Park Art Center | Matching Gift - Philip Hummer | Paid<br>Scheduled 11/27/2013<br>Paid 11/27/2013 | $1,500 00 19564 |
| World Sport Chicago | Matching Gift - Gloria Castillo | Paid<br>Scheduled 11/27/2013<br>Paid 11/27/2013 | $750 00 19565 |
| Chicago History Museum | Matching Gift - Philip Hummer | Paid<br>Scheduled: 11/27/2013<br>Paid 11/27/2013 | $750 00 19566 |
| Independence Blvd SDA Church | Matching Gift - Beatrice Young | Paid<br>Scheduled· 11/27/2013<br>Paid 11/27/2013 | $500 00 19567 |
| P A.S O /West Suburban Action Project | Immigrant Rights Community Organizing Fiscal Agent/Illinois Coalition for Immigrants | Paid<br>Scheduled. 12/12/2013<br>Paid· 12/4/2013 | $15,000 00 19578 |
| Chicago Humanities Festival | Matching Gift - Philip Hummer | Paid<br>Scheduled: 12/9/2013<br>Paid 12/9/2013 | $750 00 19582 |
| Howard Area Community Center | Matching Gift - Judith S Block | Paid<br>Scheduled. 12/9/2013<br>Paid 12/9/2013 | $400.00 19583 |
| Chicago Shakespeare Theater | Matching Gift - Judith S. Block | Paid<br>Scheduled. 12/9/2013<br>Paid 12/9/2013 | $500 00 19584 |
| American Red Cross of Greater Chicago | Matching Gift - Judith S. Block | Paid<br>Scheduled 12/12/2013<br>Paid 12/12/2013 | $700.00 19590 |

| Payee Name | Request Project Title | Status/Date | Amount Check Number |
|---|---|---|---|
| Chicago Foundation for Education | Matching Gift - Judith S Block | Paid<br>Scheduled· 12/12/2013<br>Paid 12/12/2013 | $1,000 00 19591 |
| Youth Organizations Umbrella (Y O.U ) | ETA - Parental Empowerment Program | Paid<br>Scheduled 11/27/2013<br>Paid 12/12/2013 | $5,000 00 19592 |
| Adlai Stevenson Center on Democracy | Matching Gift - Philip Hummer | Paid<br>Scheduled: 12/20/2013<br>Paid 12/20/2013 | $600 00 19606 |
| The Field Museum of Natural History | Matching Gift - Oliver Nicklin | Paid<br>Scheduled 12/20/2013<br>Paid 12/20/2013 | $5,000.00 19607 |
| University of Chicago Divinity School | Matching Gift - Oliver Nicklin | Paid<br>Scheduled. 12/20/2013<br>Paid 12/20/2013 | $5,000.00 19608 |
| Midwest Palliative & Hospice Care Center | Matching Gift - Oliver Nicklin | Paid<br>Scheduled. 12/20/2013<br>Paid 12/20/2013 | $5,000.00 19609 |
| Night Ministry, The | Matching Gift - Heather Smith | Paid<br>Scheduled 12/20/2013<br>Paid 12/20/2013 | $300 00 19616 |
| First Presbyterian Church of Chicago | Matching Gift - Heather Smith | Paid<br>Scheduled 1/8/2014<br>Paid 1/8/2014 | $1,800 00 19626 |
| Advocate Charitable Foundation | Matching Gift- Tom Czubak | Paid<br>Scheduled 1/8/2014<br>Paid· 1/8/2014 | $1,000.00 19628 |

| Payee Name | Request Project Title | Status/Date | Amount Check Number |
|---|---|---|---|
| Chicago Zoological Society | Matching Gift - Tom Czubak | Paid<br>Scheduled 1/13/2014<br>Paid 1/13/2014 | $1,500 00 19632 |
| Chicago Foundation for Education | Matching Gift - Philip Hummer | Paid<br>Scheduled 1/13/2014<br>Paid 1/13/2014 | $600 00 19633 |
| CASA of Cook County | Matching Gift - Judith S. Block | Paid<br>Scheduled· 1/15/2014<br>Paid· 1/15/2014 | $1,000 00 19642 |
| National Association for Olmsted Parks | Matching Gift - George Ranney | Paid<br>Scheduled· 1/29/2014<br>Paid 1/29/2014 | $4,000 00 19651 |
| TimeLine Theatre | Matching Gift - Judith S. Block | Paid<br>Scheduled· 1/29/2014<br>Paid 1/29/2014 | $1,000 00 19652 |
| Window To The World Communications Inc | Matching Gift - George Ranney | Paid<br>Scheduled 1/29/2014<br>Paid 1/29/2014 | $1,000 00 19652 |
| Metropolitan Planning Council | Matching Gift - George Ranney | Paid<br>Scheduled· 1/29/2014<br>Paid 1/29/2014 | $500 00 19653 |
| Chicago Public Media | Matching Gift - George Ranney | Paid<br>Scheduled 1/29/2014<br>Paid· 1/29/2014 | $500 00 19654 |
| University of Chicago Divinity School | Matching Gift - George Ranney | Paid<br>Scheduled: 1/29/2014<br>Paid· 1/29/2014 | $5,000 00 19655 |

| Payee Name | Request Project Title | Status/Date | Amount Check Number |
|---|---|---|---|
| The Field Museum of Natural History | Matching Gift - George Ranney | Paid<br>Scheduled: 1/29/2014<br>Paid 1/29/2014 | $500 00 19656 |
| Openlands Project | Matching Gift - George Ranney | Paid<br>Scheduled 1/29/2014<br>Paid 1/29/2014 | $500 00 19657 |
| Brushwood Center At Ryerson Woods | Matching Gift - George Ranney | Paid<br>Scheduled. 1/29/2014<br>Paid 1/29/2014 | $3,000 00 19658 |
| Rehab Institute of Chicago | Matching Gift - Judith S Block | Paid<br>Scheduled 1/29/2014<br>Paid 1/29/2014 | $1,000 00 19660 |
| Ounce of Prevention Fund | Matching Gift - Judith S. Block | Paid<br>Scheduled. 1/29/2014<br>Paid 1/29/2014 | $500 00 19661 |
| Steppenwolf Theatre Company | Matching Gift - Judith S. Block | Paid<br>Scheduled 1/29/2014<br>Paid 1/29/2014 | $500 00 19662 |
| Window To The World Communications Inc | Matching Gift - Tom Czubak | Paid<br>Scheduled 1/29/2014<br>Paid 1/29/2014 | $250 00 19663 |
| Chicago Youth Symphony Orchestra | ETA - Consultant for CYSO's data loss | Paid<br>Scheduled· 1/21/2014<br>Paid 1/29/2014 | $5,000.00 19664 |
| Access Community Health Network | Centering Pregnancy at ACCESS Booker & Doctors | Paid<br>Scheduled· 2/21/2014<br>Paid 2/21/2014 | $20,000.00 19676 |

| Payee Name | Request Project Title | Status/Date | Amount Check Number |
|---|---|---|---|
| AIDS Foundation of Chicago | 2nd Yr Renewal - Engagement in HIV Care | Paid<br>Scheduled· 2/21/2014<br>Paid. 2/21/2014 | $25,000 00 19677 |
| Almost Home Kids | Physical Therapy Program | Paid<br>Scheduled· 2/21/2014<br>Paid 2/21/2014 | $15,000.00 19678 |
| Association House of Chicago | 3rd Yr Renewal - Family Literacy Program | Paid<br>Scheduled 2/21/2014<br>Paid· 2/21/2014 | $25,000.00 19679 |
| Bickerdike Redevelopment Corporation | Capital Request - To Construct Training, Office & Storage space | Paid<br>Scheduled· 2/21/2014<br>Paid. 2/21/2014 | $20,000.00 19680 |
| Brighton Park Neighborhood Council | Renewal - School Based Mental Health Services | Paid<br>Scheduled 2/21/2014<br>Paid 2/21/2014 | $20,000.00 19681 |
| Center for Neighborhood Technology | Terminal Grant - Sustaining Regional Prosperity | Paid<br>Scheduled 2/21/2014<br>Paid 2/21/2014 | $20,000 00 19682 |
| Chicago Chamber Musicians | Neighborhood Tours | Paid<br>Scheduled. 2/21/2014<br>Paid· 2/21/2014 | $10,000 00 19683 |
| Chicago Children's Choir | Urban Music Education Masterclass Series | Paid<br>Scheduled 2/21/2014<br>Paid 2/21/2014 | $20,000 00 19684 |
| Chicago Children's Theatre | Access for Special Needs and low-income students | Paid<br>Scheduled: 2/21/2014<br>Paid 2/21/2014 | $15,000 00 19685 |

| Payee Name | Request Project Title | Status/Date | Amount | Check Number |
|---|---|---|---|---|
| Chicago Housing Initiative | Public Housing and lease up Full Occupancy Project<br>(Fiscal Agent/Metropolitan Tenants Organization) | Paid<br>Scheduled. 2/21/2014<br>Paid 2/21/2014 | $20,000.00 | 19686 |
| Chicago Lights | Chicago Lights Urban Farm - CHAMP | Paid<br>Scheduled 2/21/2014<br>Paid· 2/21/2014 | $15,000 00 | 19687 |
| Chicago Zoological Society | Conservation Science Scholars Program | Paid<br>Scheduled 2/21/2014<br>Paid. 2/21/2014 | $20,000 00 | 19688 |
| Children's Place Association | 2nd Yr Renewal - Home Based Early Head Start | Paid<br>Scheduled· 2/21/2014<br>Paid 2/21/2014 | $15,000.00 | 19689 |
| Children's Research Triangle | 3rd Yr. Renewal - Trauma Treatment Program Johnson College Prep | Paid<br>Scheduled 2/21/2014<br>Paid 2/21/2014 | $15,000 00 | 19690 |
| Christian Community Health Center | Capital - Halsted Clinic Sustainable Renovations | Paid<br>Scheduled. 2/21/2014<br>Paid 2/21/2014 | $20,000 00 | 19691 |
| Community Counseling Centers of Chicago | Parenting Fundamentals--Curriculum | Paid<br>Scheduled. 2/21/2014<br>Paid 2/21/2014 | $20,000.00 | 19692 |
| Community Justice for Youth Institute | Restorative Justice Hubs Initiative | Paid<br>Scheduled: 2/21/2014<br>Paid. 2/21/2014 | $25,000 00 | 19693 |
| Environmental Law and Policy Center | 2nd Year Renewal Request - Community Environmental Partnerships in Lake County | Paid<br>Scheduled: 2/21/2014<br>Paid 2/21/2014 | $20,000.00 | 19694 |

| Payee Name | Request Project Title | Status/Date | Amount Check Number |
|---|---|---|---|
| Family Defense Center | Policy Advocacy Project | Paid<br>Scheduled· 2/21/2014<br>Paid 2/21/2014 | $20,000 00 19695 |
| Family Focus, Inc | Trauma-Informed Service and Training | Paid<br>Scheduled 2/21/2014<br>Paid 2/21/2014 | $15,000 00 19696 |
| First Defense Legal Aid | Public Safety for ALL Know Your Rights | Paid<br>Scheduled. 2/21/2014<br>Paid 2/21/2014 | $15,000 00 19697 |
| Free Spirit Media, NFP | Digital Media Programs at Power House High & Homan Square | Paid<br>Scheduled 2/21/2014<br>Paid 2/21/2014 | $25,000 00 19698 |
| Girls in the Game | Training and Evaluation Manager staff position for After School Program | Paid<br>Scheduled· 2/21/2014<br>Paid· 2/21/2014 | $20,000 00 19699 |
| HCP of Illinois, Inc. | Assistant Director Position | Paid<br>Scheduled 2/21/2014<br>Paid 2/21/2014 | $25,000 00 19700 |
| Housing Opportunities and Maintenance for the Elderly | Moving Program | Paid<br>Scheduled. 2/21/2014<br>Paid 2/21/2014 | $20,000 00 19701 |
| Hubbard Street Dance Chicago | 3rd Yr Renewal - Education & Community Programs | Paid<br>Scheduled 2/21/2014<br>Paid. 2/21/2014 | $10,000 00 19702 |
| Illinois Coalition for Immigrant and Refugee Rights | 2nd Yr Renewal Request - Family Support Network and Hotline | Paid<br>Scheduled 2/21/2014<br>Paid 2/21/2014 | $20,000 00 19703 |

| Payee Name | Request Project Title | Status/Date | Amount Check Number |
|---|---|---|---|
| Ingenuity | Ingenuity Curriculum Institutes | Paid<br>Scheduled· 2/21/2014<br>Paid· 2/21/2014 | $18,000 00 19704 |
| Intonation Music Workshop (The) | Staff Position at (MW's Studio 47) | Paid<br>Scheduled· 2/21/2014<br>Paid 2/21/2014 | $15,000.00 19705 |
| Korean American Women In Need | Case Management | Paid<br>Scheduled· 2/21/2014<br>Paid 2/21/2014 | $25,000 00 19706 |
| Korean American & Cultural Center | 3rd Yr Request - Immigrant Organizing and Empowerment Project | Paid<br>Scheduled 2/21/2014<br>Paid 2/21/2014 | $20,000 00 19707 |
| Latino Union of Chicago | Chicago Coalition of Household Workers | Paid<br>Scheduled: 2/21/2014<br>Paid 2/21/2014 | $25,000.00 19708 |
| Lawyers' Committee for Better Housing | Support of a Formal Policy Program | Paid<br>Scheduled 2/21/2014<br>Paid· 2/21/2014 | $25,000 00 19709 |
| Lyric Opera of Chicago | Latino Community Partnership | Paid<br>Scheduled 2/21/2014<br>Paid 2/21/2014 | $15,000 00 19710 |
| The Montessori School of Englewood | Response to Intervention | Paid<br>Scheduled 2/21/2014<br>Paid. 2/21/2014 | $25,000 00 19711 |
| Northwest Side Housing Center | Terminal Greant - Home Preservation - Community Organizing | Paid<br>Scheduled· 2/21/2014<br>Paid 2/21/2014 | $20,000 00 19712 |

| Payee Name | Request Project Title | Status/Date | Amount Check Number |
|---|---|---|---|
| Onward Neighborhood House | Adult Education Coordinator | Paid<br>Scheduled· 2/21/2014<br>Paid 2/21/2014 | $20,000 00 19713 |
| Openlands Project | Space to Grow Greening Chicago Schoolyards | Paid<br>Scheduled: 2/21/2014<br>Paid 2/21/2014 | $20,000 00 19714 |
| Polaris Charter Academy | Polaris Players After School Program | Paid<br>Scheduled. 2/21/2014<br>Paid: 2/21/2014 | $15,000 00 19715 |
| RefugeeOne | Renewal - 2nd Yr Request - Clinical Social Work & Wellness Services | Paid<br>Scheduled. 2/21/2014<br>Paid 2/21/2014 | $20,000 00 19716 |
| Sarah's Circle | 3rd Yr Renewal - Health Access for Formerly Homeless Women | Paid<br>Scheduled· 2/21/2014<br>Paid 2/21/2014 | $20,000 00 19717 |
| Shattered Globe Theatre | Organizational Improvement | Paid<br>Scheduled 2/21/2014<br>Paid· 2/21/2014 | $15,000.00 19718 |
| Southwest Chicago PADS | Getting and Staying Housed | Paid<br>Scheduled. 2/21/2014<br>Paid· 2/21/2014 | $15,000.00 19719 |
| Tuesday's Child | Familas Felices | Paid<br>Scheduled 2/21/2014<br>Paid. 2/21/2014 | $20,000 00 19720 |
| Young Women's Leadership Charter School | 2nd Yr Renewal - AVID Program | Paid<br>Scheduled 2/21/2014<br>Paid 2/21/2014 | $10,000 00 19721 |

| Payee Name | Request Project Title | Status/Date | Amount | Check Number |
|---|---|---|---|---|
| The Field Museum of Natural History | Matching Gift - Aurie A Pennick | Paid<br>Scheduled 2/10/2014<br>Paid 2/10/2014 | $5,000 00 | 19726 |
| Gordon Tech High School | Matching Gift - Tom Czubak | Paid<br>Scheduled· 2/10/2014<br>Paid 2/10/2014 | $1,500 00 | 19727 |
| Juvenile Justice Initiative | ETA - Two JJI Summits in 2014 | Paid<br>Scheduled· 2/14/2014<br>Paid 2/14/2014 | $5,000 00 | 19728 |
| James Tyree Foundation | Matching Gift - Gloria Castillo | Paid<br>Scheduled· 3/3/2014<br>Paid 3/3/2014 | $500 00 | 19759 |
| Open Communities | ETA - To hire a consultant to train new Communicatoins staff person. | Paid<br>Scheduled. 3/3/2014<br>Paid 3/6/2014 | $5,000.00 | 19762 |
| Gorton Community Center | Matching Gifts - Marshall Field | Paid<br>Scheduled 3/7/2014<br>Paid 3/7/2014<br>Voided by Payment [6677] | $15,000.00 | 19765 |
| Gorton Community Center | Matching Gifts - Marshall Field | Void<br>Scheduled: 3/24/2014<br>Paid 3/24/2014<br>Reissued as Payment [6678] | -$15,000 00 | 19765 |
| Usher III Initiative | Matcing Gift - Judith S Block | Paid<br>Scheduled. 3/19/2014<br>Paid 3/19/2014 | $350 00 | 19776 |

| Payee Name | Request Project Title | Status/Date | Amount Check Number |
|---|---|---|---|
| Gorton Community Center | Matching Gifts - Marshall Field | Paid<br>Scheduled· 3/24/2014<br>Paid· 3/24/2014 | $15,000 00 19784 |
| Metropolis Strategies | Support Symposium entitled, "The $2 Billion Question Can Illinois Improve Public Safety and Spend Less on Incarceration? | Paid<br>Scheduled 3/25/2014<br>Paid 3/24/2014 | $5,000 00 19788 |
| Fractured Atlas Productions | Matching Gift - Heather Smith | Paid<br>Scheduled· 4/2/2014<br>Paid 4/2/2014 | $1,500 00 19794 |
| METROsquash | Matching Gift - Judith S Block | Paid<br>Scheduled 4/7/2014<br>Paid. 4/7/2014 | $250 00 19801 |
| First Presbyterian Church of Chicago | Matching Gift - Heather Smith | Paid<br>Scheduled 4/16/2014<br>Paid 4/16/2014 | $900 00 19812 |
| Community Counseling Centers of Chicago | Matching Gift - Judith S Block | Paid<br>Scheduled· 4/23/2014<br>Paid: 4/23/2014 | $1,000 00 19823 |
| Mexican American Legal Defense and Educational Fund (MALDEF) | Matching Gift - Gloria Castillo | Paid<br>Scheduled· 4/30/2014<br>Paid 4/30/2014 | $3,750.00 19827 |
| World Sport Chicago | Matching Gift - Gloris Castillo | Paid<br>Scheduled: 4/30/2014<br>Paid 4/30/2014 | $1,500.00 19828 |
| Allendale Association | Matching Gift - Judy S Block | Paid<br>Scheduled 5/1/2014<br>Paid: 4/30/2014 | $1,000 00 19829 |

| Payee Name | Request Project Title | Status/Date | Amount Check Number |
|---|---|---|---|
| American Red Cross of Greater Chicago | Matching Gift - Judy S Block | Paid<br>Scheduled: 4/30/2014<br>Paid 4/30/2014 | $1,400 00 19830 |
| Chinese American Service League, Inc. | Matching Gift - Gloria Castillo | Paid<br>Scheduled. 4/30/2014<br>Paid 4/30/2014 | $750.00 19832 |
| The Antiquarian Society | Matching Gift - Judith S Block | Paid<br>Scheduled: 4/30/2014<br>Paid 4/30/2014 | $500 00 19833 |
| Angelic Organics Learning Center | Eat to Live Urban Farm Project | Refund<br>Scheduled 7/3/2013<br>Paid 6/30/2013 | -$15,000 00 6318 |
| **Grand Totals (217 items)** | | | $2,639,086.00 |

# Payments Scheduled After 4/30/2014 and Before Meeting Date 5/1/2014

(Payments.Schedule_Date > #04/30/2014# And Requests.Meeting_Date < #05/01/2014#)

*19 May 2014*

| Payee Name | Request Project Title | Status/Date | Amount | Check Number |
|---|---|---|---|---|
| Bickerdike Redevelopment Corporation | Capital Request - To Construct Training, Office & Storage space | Scheduled<br>Scheduled: 7/18/2014 | $20,000.00 | |
| Christian Community Health Center | Capital - Halsted Clinic Sustainable Renovations | Scheduled<br>Scheduled: 7/18/2014 | $20,000.00 | |
| **Grand Totals (2 items)** | | | $40,000.00 | |

FIELD FOUNDATION OF IL (MICRO)

# Purchases and sales, continued

For the period 05/01/13 - 04/30/14

| Trade date | Set date | Units | Security description | Cost/Share | Total cost | Proceeds/Share | Proceeds | Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Sales** | | | | | | | | |
| 05/06/13 | 05/09/13 | 245 | Rosetta Stone Inc. | 7.22 | 1,767 72 | 17 37 | 4,255.23 | 2,487 51 |
| 05/08/13 | 05/13/13 | 280 | Erickson Air-Crane, Inc | 7 98 | 2,234 40 | 21 26 | 5,953.53 | 3,719 13 |
| 05/08/13 | 05/13/13 | 1,830 | **Market Leader, Inc | 2 27 | 4,148.66 | 11 06 | 20,239 34 | 16,090 68 |
| 05/08/13 | 05/13/13 | 455 | XO Group Inc. | 9 85 | 4,482 03 | 11 61 | 5,282 43 | 800 40 |
| 05/14/13 | 05/17/13 | 195 | Erickson Air-Crane, Inc | 7 98 | 1,556 10 | 27 04 | 5,273.23 | 3,717 13 |
| 05/16/13 | 05/21/13 | 215 | Erickson Air-Crane, Inc | 7.98 | 1,715 70 | 29 16 | 6,270 02 | 4,554 32 |
| 05/20/13 | 05/23/13 | 1,355 | Gaiam, Inc | 4 56 | 6,178.69 | 4 76 | 6,454.66 | 275 97 |
| 06/03/13 | 06/06/13 | 130 | Landec Corp | 5 88 | 764 47 | 14 08 | 1,830 23 | 1,065 76 |
| 06/04/13 | 06/07/13 | 380 | Landec Corp | 5.88 | 2,234 59 | 14 17 | 5,384 04 | 3,149 45 |
| 06/06/13 | 06/11/13 | 635 | **CSP Inc | 4 37 | 2,774.51 | 8 27 | 5,253 76 | 2,479 25 |
| 06/06/13 | 06/11/13 | 1,120 | Versar Inc | 2.97 | 3,329 71 | 4 33 | 4,849 51 | 1,519 80 |
| 06/07/13 | 06/12/13 | 1,390 | Edgewater Technology Inc | 2 99 | 4,156 10 | 4 22 | 5,865 83 | 1,709.73 |
| 06/18/13 | 06/21/13 | 1,135 | **Multi-Fineline Electronix, Inc. | 17 67 | 20,059 04 | 15 22 | 17,274 39 | -2,784 65 |
| 06/27/13 | 07/02/13 | 375 | **Market Leader, Inc | 2 26 | 848 18 | 10 61 | 3,980 60 | 3,132 42 |
| 06/27/13 | 07/02/13 | 120 | **Team, Inc | 22 30 | 2,676 00 | 38 43 | 4,611 40 | 1,935 40 |
| 06/28/13 | 07/03/13 | 1,880 | **Market Leader, Inc | 7 56 | 14,212 84 | 10 63 | 19,989 50 | 5,776.66 |
| 07/01/13 | 07/05/13 | 550 | American Electric Technologies, Inc | 3 29 | 1,811.86 | 7 07 | 3,888 60 | 2,076 74 |

FIELD FOUNDATION OF IL (MICRO)

# Purchases and sales, continued

For the period 05/01/13 - 04/30/14

| Trade date | Set date | Units | Security description | Cost/Share | Total cost | Proceeds/Share | Proceeds | Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| 07/01/13 | 07/05/13 | 585 | **JAKKS Pacific, Inc | 18.19 | 10,641 35 | 11 22 | 6,563 58 | -4,077 77 |
| 07/02/13 | 07/08/13 | 485 | AV Homes, Inc | 16 48 | 7,990 52 | 17 61 | 8,540 70 | 550 18 |
| 07/02/13 | 07/08/13 | 250 | **Market Leader, Inc | 9 10 | 2,275 00 | 10 85 | 2,713 05 | 438 05 |
| 07/02/13 | 07/08/13 | 330 | Mitcham Industries, Inc | 13 10 | 4,322.25 | 16 89 | 5,573 83 | 1,251 58 |
| 07/02/13 | 07/08/13 | 575 | PCTEL, Inc | 6 73 | 3,871 30 | 8 44 | 4,852 91 | 981 61 |
| 07/02/13 | 07/08/13 | 1,045 | Rubicon Technology, Inc | 5.61 | 5,863 70 | 8 75 | 9,146 93 | 3,283 23 |
| 07/03/13 | 07/09/13 | 985 | **Market Leader, Inc. | 9 10 | 8,963 50 | 10 77 | 10,608 06 | 1,644 56 |
| 07/03/13 | 07/09/13 | 850 | Perceptron, Inc | 7 16 | 6,082 54 | 7 82 | 6,646 88 | 564 34 |
| 07/09/13 | 07/12/13 | 1,485 | Vical Inc | 3 86 | 5,728.24 | 3 66 | 5,433.96 | -294 28 |
| 07/11/13 | 07/16/13 | 410 | Mitcham Industries, Inc. | 12 44 | 5,099.83 | 18.06 | 7,404 47 | 2,304 64 |
| 07/11/13 | 07/16/13 | 835 | PCTEL, Inc. | 6.73 | 5,621.81 | 9.87 | 8,241 72 | 2,619.91 |
| 07/11/13 | 07/16/13 | 540 | Pendrell Corp | 1 14 | 615 60 | 2 68 | 1,447.17 | 831 57 |
| 07/12/13 | 07/17/13 | 2,975 | Pendrell Corp. | 1 14 | 3,391 50 | 2 68 | 7,972 86 | 4,581 36 |
| 07/15/13 | 07/18/13 | 75 | Orion Energy Systems, Inc | 4.02 | 301.48 | 2 48 | 185 99 | -115 49 |
| 07/15/13 | 07/18/13 | 400 | XO Group Inc. | 9 85 | 3,940.24 | 12 51 | 5,003 91 | 1,063 67 |
| 07/16/13 | 07/19/13 | 110 | Orion Energy Systems, Inc | 4 02 | 442 17 | 2 48 | 272 79 | -169 38 |
| 07/17/13 | 07/22/13 | 435 | Hardinge Inc | 9 03 | 3,927 95 | 16 66 | 7,246 97 | 3,319 02 |
| 07/17/13 | 07/22/13 | 325 | XO Group Inc | 8.57 | 2,786 15 | 12 42 | 4,034 96 | 1,248 81 |

FIELD FOUNDATION OF IL (MICRO)

# Purchases and sales, continued

For the period 05/01/13 - 04/30/14

| Trade date | Set date | Units | Security description | Cost/Share | Total cost | Proceeds/Share | Proceeds | Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| 07/19/13 | 07/24/13 | 835 | **JAKKS Pacific, Inc. | 12.17 | 10,158.89 | 6 76 | 5,648.01 | -4,510 88 |
| 07/22/13 | 07/25/13 | 95 | **JAKKS Pacific, Inc | 10 10 | 959 35 | 6.69 | 635 56 | -323 79 |
| 07/22/13 | 07/25/13 | 615 | Landec Corp | 6 38 | 3,923 60 | 15 27 | 9,392 97 | 5,469 37 |
| 07/22/13 | 07/25/13 | 1,315 | Orion Energy Systems, Inc. | 4 02 | 5,285 90 | 2 52 | 3,313 08 | -1,972 82 |
| 07/23/13 | 07/26/13 | 65 | **JAKKS Pacific, Inc | 10 05 | 653 25 | 6 62 | 430 29 | -222 96 |
| 07/24/13 | 07/29/13 | 1,345 | **JAKKS Pacific, Inc | 9 84 | 13,231.74 | 6 25 | 8,407 04 | -4,824 70 |
| 07/31/13 | 08/05/13 | 1,375 | Dover Motorsports, Inc | 1 78 | 2,445.27 | 2 53 | 3,478 82 | 1,033 55 |
| 07/31/13 | 08/05/13 | 1,900 | Vical Inc | 3 61 | 6,853 59 | 4 07 | 7,733 24 | 879 65 |
| 08/05/13 | 08/08/13 | 1,805 | Gaiam, Inc | 2 81 | 5,074 77 | 5 73 | 10,342 46 | 5,267 69 |
| 08/06/13 | 08/09/13 | 1,180 | Rubicon Technology, Inc | 5.33 | 6,288 99 | 9 37 | 11,058 52 | 4,769 53 |
| 08/08/13 | 08/13/13 | 365 | Astro-Med, Inc | 8.12 | 2,962 44 | 11 92 | 4,350.72 | 1,388 28 |
| 08/12/13 | 08/15/13 | 1,385 | ARC Document Solutions, Inc. | 6 99 | 9,681 97 | 5 13 | 7,100.22 | -2,581.75 |
| 08/23/13 | 08/28/13 | 1,310 | Rubicon Technology, Inc | 6 27 | 8,213 46 | 11 62 | 15,224 51 | 7,011 05 |
| 09/05/13 | 09/10/13 | 50 | Pozen Inc | 5.47 | 273 60 | 5 98 | 298 75 | 25 15 |
| 09/18/13 | 09/23/13 | 195 | **CSP Inc | 4.37 | 852 01 | 7.13 | 1,390 32 | 538 31 |
| 09/19/13 | 09/24/13 | 50 | **CSP Inc | 4 37 | 218 47 | 7 12 | 355 99 | 137.52 |
| 09/20/13 | 09/25/13 | 605 | Rubicon Technology, Inc | 6 13 | 3,709 76 | 13 44 | 8,130 81 | 4,421 05 |
| 09/23/13 | 09/26/13 | 100 | **CSP Inc | 4.37 | 436 93 | 7 12 | 711 98 | 275 05 |

FIELD FOUNDATION OF IL (MICRO)

# Purchases and sales, continued

For the period 05/01/13 - 04/30/14

| Trade date | Set date | Units | Security description | Cost/Share | Total cost | Proceeds/Share | Proceeds | Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| 09/27/13 | 10/02/13 | 445 | **CSP Inc. | 5 15 | 2,292 62 | 7.12 | 3,168 34 | 875 72 |
| 10/01/13 | 10/04/13 | 110 | **CSP Inc. | 5 66 | 622 51 | 7 12 | 783 18 | 160.67 |
| 10/02/13 | 10/07/13 | 635 | **CSP Inc | 5 89 | 3,742.53 | 7.12 | 4,521 12 | 778.59 |
| 10/03/13 | 10/08/13 | 510 | Perceptron, Inc | 6 77 | 3,454 44 | 12 66 | 6,456.53 | 3,002 09 |
| 10/04/13 | 10/09/13 | 515 | **Contango Oil & Gas Co | 38 50 | 19,827 05 | 38 21 | 19,677 80 | -149 25 |
| 10/08/13 | 10/11/13 | 1,330 | ORBCOMM Inc | 3 26 | 4,335.80 | 5 78 | 7,687 53 | 3,351 73 |
| 10/11/13 | 10/17/13 | 280 | Pozen Inc. | 5 47 | 1,532 16 | 5 82 | 1,629.57 | 97 41 |
| 10/11/13 | 10/17/13 | 405 | **Team, Inc | 33 58 | 13,601.79 | 35 25 | 14,276 00 | 674 21 |
| 10/14/13 | 10/17/13 | 335 | Pozen Inc | 5.47 | 1,833 12 | 5 82 | 1,949 66 | 116 54 |
| 10/16/13 | 10/21/13 | 660 | Furmanite Corp. | 5.22 | 3,443 09 | 10 44 | 6,890 47 | 3,447 38 |
| 10/16/13 | 10/21/13 | 785 | Pozen Inc | 5 47 | 4,295 52 | 5 82 | 4,568.62 | 273 10 |
| 10/17/13 | 10/22/13 | 495 | ARC Document Solutions, Inc | 3 78 | 1,869 22 | 5 67 | 2,806.60 | 937 38 |
| 10/17/13 | 10/22/13 | 560 | Pozen Inc. | 5.90 | 3,301 30 | 5 82 | 3,259 14 | -42 16 |
| 10/18/13 | 10/23/13 | 240 | ARC Document Solutions, Inc | 4 61 | 1,106.77 | 5 77 | 1,384 77 | 278 00 |
| 10/22/13 | 10/25/13 | 955 | ORBCOMM Inc. | 2 83 | 2,705 88 | 6 07 | 5,796 74 | 3,090 86 |
| 10/23/13 | 10/28/13 | 970 | **Callaway Golf Co | 5 42 | 5,254 77 | 7.37 | 7,148 87 | 1,894 10 |
| 10/23/13 | 10/28/13 | 1,275 | Gaiam, Inc | 3.21 | 4,094 69 | 6 30 | 8,032 35 | 3,937 66 |
| 10/24/13 | 10/29/13 | 1,025 | Pozen Inc | 5 62 | 5,764 08 | 6 28 | 6,433 50 | 669 42 |

FIELD FOUNDATION OF IL (MICRO)

# Purchases and sales, continued

For the period 05/01/13 - 04/30/14

| Trade date | Set date | Units | Security description | Cost/Share | Total cost | Proceeds/Share | Proceeds | Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| 10/25/13 | 10/30/13 | 1,235 | Ballantyne Strong, Inc | 5.23 | 6,459 83 | 4 98 | 6,150 19 | -309 64 |
| 10/25/13 | 10/30/13 | 2,075 | **Callaway Golf Co | 6 50 | 13,486 89 | 8 00 | 16,597 43 | 3,110 54 |
| 10/28/13 | 10/31/13 | 875 | Furmanite Corp | 5 09 | 4,455 47 | 11.65 | 10,197 51 | 5,742 04 |
| 10/29/13 | 11/01/13 | 155 | Furmanite Corp. | 4.44 | 688.10 | 11 46 | 1,776.31 | 1,088 21 |
| 11/05/13 | 11/08/13 | 270 | Erickson Air-Crane, Inc | 9 18 | 2,479 11 | 19 71 | 5,321 63 | 2,842 52 |
| 11/18/13 | 11/21/13 | 670 | XO Group Inc | 8 26 | 5,534 15 | 14 21 | 9,518 12 | 3,983 97 |
| 11/19/13 | 11/22/13 | 1,080 | Pozen Inc | 5.02 | 5,418 76 | 6 77 | 7,314 39 | 1,895 63 |
| 11/25/13 | 11/29/13 | 180 | Pozen Inc | 5 02 | 903 60 | 7.87 | 1,416 57 | 512.97 |
| 11/26/13 | 12/02/13 | 1,375 | Pozen Inc | 5 08 | 6,991.01 | 7 88 | 10,834 81 | 3,843 80 |
| 11/27/13 | 12/03/13 | 495 | XO Group Inc. | 8.11 | 4,014.29 | 14.90 | 7,377 94 | 3,363 65 |
| 12/06/13 | 12/11/13 | 700 | ARC Document Solutions, Inc | 4 52 | 3,165 47 | 8 63 | 6,040 96 | 2,875 49 |
| 12/10/13 | 12/13/13 | 560 | Crown Crafts, Inc. | 4.97 | 2,782 86 | 7.89 | 4,418 32 | 1,635.46 |
| 01/02/14 | 01/07/14 | 1,365 | Orion Energy Systems, Inc. | 4.02 | 5,486 89 | 6 94 | 9,474 30 | 3,987 41 |
| 01/16/14 | 01/22/14 | 345 | Vical Inc. | 3 12 | 1,077 24 | 1 64 | 565 28 | -511 96 |
| 01/17/14 | 01/23/14 | 2,860 | Vical Inc. | 3 22 | 9,201 69 | 1 65 | 4,726 06 | -4,475 63 |
| 01/21/14 | 01/24/14 | 310 | Perceptron, Inc. | 7.06 | 2,188 60 | 17 61 | 5,459.09 | 3,270.49 |
| 01/22/14 | 01/27/14 | 290 | Perceptron, Inc. | 7 06 | 2,047.40 | 17.61 | 5,106.81 | 3,059.41 |
| 02/10/14 | 02/13/14 | 715 | Rubicon Technology, Inc. | 6 95 | 4,966 29 | 11.03 | 7,887 45 | 2,921.16 |

FIELD FOUNDATION OF IL (MICRO)

# Purchases and sales, continued

For the period 05/01/13 - 04/30/14

| Trade date | Set date | Units | Security description | Cost/Share | Total cost | Proceeds/Share | Proceeds | Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| 02/20/14 | 02/25/14 | 610 | Rubicon Technology, Inc | 8.90 | 5,428 58 | 12.03 | 7,335 79 | 1,907 21 |
| 02/21/14 | 02/26/14 | 720 | Rubicon Technology, Inc | 10 58 | 7,617 18 | 12 36 | 8,897.60 | 1,280 42 |
| 03/04/14 | 03/07/14 | 505 | Furmanite Corp | 4 02 | 2,030 10 | 12 15 | 6,136 55 | 4,106 45 |
| 03/04/14 | 03/07/14 | 505 | Rubicon Technology, Inc | 9 82 | 4,958 64 | 13.76 | 6,948 67 | 1,990 03 |
| 03/06/14 | 03/11/14 | 760 | Qumu Corp. | 10.78 | 8,192.57 | 16 38 | 12,450 86 | 4,258 29 |
| 03/07/14 | 03/12/14 | 1,675 | Rentech, Inc | 1 81 | 3,033.03 | 2.01 | 3,366 69 | 333 66 |
| 03/12/14 | 03/17/14 | 1,260 | Pozen Inc | 4.81 | 6,059.61 | 8 26 | 10,409 68 | 4,350 07 |
| 04/01/14 | 04/04/14 | 1,295 | Gaiam, Inc | 3 66 | 4,741 05 | 7.22 | 9,349 69 | 4,608 64 |
| 04/11/14 | 04/16/14 | 3,365 | Rentech, Inc | 2 25 | 7,561.05 | 2 09 | 7,045 48 | -515 57 |
| 04/16/14 | 04/22/14 | 115 | Pozen Inc | 4 59 | 527 46 | 8 72 | 1,002 77 | 475 31 |
| 04/17/14 | 04/23/14 | 140 | Edgewater Technology Inc | 2 99 | 418.60 | 8 67 | 1,213 77 | 795 17 |
| 04/17/14 | 04/23/14 | 600 | Pozen Inc. | 4 66 | 2,796.49 | 8 72 | 5,232 60 | 2,436 11 |
| 04/21/14 | 04/24/14 | 595 | Edgewater Technology Inc | 3.00 | 1,785.00 | 8 67 | 5,158 53 | 3,373 53 |
| 04/21/14 | 04/24/14 | 1,430 | Pozen Inc. | 4 72 | 6,754 75 | 9 21 | 13,167 71 | 6,412 96 |
| **Total** | | | | | **$464,368.82** | | **$650,920.68** | **$186,551.86** |

** Closed Position

FIELD FOUNDATION OF IL (SMALL)

# Purchases and sales, continued

For the period 05/01/13 - 04/30/14

| Trade date | Set date | Units | Security description | Cost/Share | Total cost | Proceeds/Share | Proceeds | Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| **Sales** | | | | | | | | |
| 05/28/13 | 05/31/13 | 35 | JLL | 94 31 | 3,300 98 | 93.04 | 3,256 56 | -44 42 |
| 05/28/13 | 05/31/13 | 75 | Littelfuse, Inc. | 58 93 | 4,419.45 | 73 83 | 5,537 29 | 1,117.84 |
| 05/28/13 | 05/31/13 | 120 | Madison Square Garden Co | 22 81 | 2,736 91 | 59 51 | 7,141 15 | 4,404 24 |
| 06/12/13 | 06/17/13 | 250 | Gannett Co., Inc. | 13 86 | 3,465 00 | 19.88 | 4,969 91 | 1,504 91 |
| 06/12/13 | 06/17/13 | 50 | IDEX Corp | 43 61 | 2,180.50 | 53 70 | 2,684 95 | 504.45 |
| 06/12/13 | 06/17/13 | 40 | Madison Square Garden Co | 22 81 | 912 31 | 58 98 | 2,359 30 | 1,446 99 |
| 07/12/13 | 07/17/13 | 60 | Madison Square Garden Co | 23 01 | 1,380.55 | 62.49 | 3,749 13 | 2,368 58 |
| 07/19/13 | 07/24/13 | 75 | DeVry Education Group Inc | 60 27 | 4,520.42 | 31.21 | 2,340 70 | -2,179 72 |
| 07/19/13 | 07/24/13 | 140 | Interface, Inc | 18.50 | 2,589 38 | 18 51 | 2,591 35 | 1 97 |
| 07/19/13 | 07/24/13 | 45 | Littelfuse, Inc | 58 93 | 2,651 67 | 82 64 | 3,718.73 | 1,067 06 |
| 08/16/13 | 08/21/13 | 120 | IDEX Corp. | 43 61 | 5,233 20 | 60 34 | 7,241 33 | 2,008 13 |
| 08/16/13 | 08/21/13 | 165 | Interpublic Group of Cos , Inc | 8.60 | 1,419.41 | 15.83 | 2,611 90 | 1,192 49 |
| 08/16/13 | 08/21/13 | 65 | Sotheby's | 40 40 | 2,626 00 | 44.91 | 2,919 00 | 293 00 |
| 09/06/13 | 09/11/13 | 11 | **Markel Corp | 408 84 | 4,497 19 | 516 13 | 5,677.42 | 1,180 23 |
| 09/13/13 | 09/18/13 | 190 | DeVry Education Group Inc | 50.94 | 9,678 37 | 31 56 | 5,996 29 | -3,682 08 |
| 09/20/13 | 09/25/13 | 305 | Interpublic Group of Cos , Inc | 8 60 | 2,623 77 | 17.25 | 5,261.24 | 2,637.47 |
| 10/01/13 | 10/04/13 | 100 | Gannett Co , Inc | 13 86 | 1,386.00 | 26 79 | 2,679 48 | 1,293 48 |

FIELD FOUNDATION OF IL (SMALL)

# Purchases and sales, continued

For the period 05/01/13 - 04/30/14

| Trade date | Set date | Units | Security description | Cost/Share | Total cost | Proceeds/Share | Proceeds | Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| 10/01/13 | 10/04/13 | 45 | IDEX Corp | 43 61 | 1,962 45 | 65.87 | 2,964 09 | 1,001 64 |
| 10/01/13 | 10/04/13 | 195 | Interpublic Group of Cos , Inc. | 8.60 | 1,677 49 | 17 16 | 3,345.48 | 1,667.99 |
| 10/28/13 | 10/31/13 | 35 | IDEX Corp | 43 61 | 1,526 35 | 68 30 | 2,390 45 | 864 10 |
| 10/28/13 | 10/31/13 | 605 | Interface, Inc. | 18.50 | 11,189 84 | 20 88 | 12,632 96 | 1,443 12 |
| 10/28/13 | 10/31/13 | 45 | Sotheby's | 40 40 | 1,818.00 | 52.95 | 2,382.76 | 564 76 |
| 12/19/13 | 12/24/13 | 55 | IDEX Corp. | 43.61 | 2,398 55 | 71 27 | 3,919.68 | 1,521 13 |
| 12/19/13 | 12/24/13 | 80 | Interface, Inc. | 18 50 | 1,479 65 | 20 29 | 1,622 92 | 143 27 |
| 12/19/13 | 12/24/13 | 85 | Sotheby's | 28 33 | 2,408 41 | 50.53 | 4,294 81 | 1,886 40 |
| 01/27/14 | 01/30/14 | 65 | DeVry Education Group Inc | 35 08 | 2,279 91 | 37 41 | 2,431.79 | 151 88 |
| 01/27/14 | 01/30/14 | 100 | Lazard Ltd | 37 49 | 3,749 00 | 43 47 | 4,346 92 | 597 92 |
| 01/27/14 | 01/30/14 | 90 | PrivateBancorp, Inc | 15 30 | 1,377 38 | 29 01 | 2,610 85 | 1,233 47 |
| 01/27/14 | 01/30/14 | 45 | Sotheby's | 28.38 | 1,276 96 | 48 13 | 2,166 00 | 889.04 |
| 02/10/14 | 02/13/14 | 50 | Charles River Laboratories Intl Inc. | 33.11 | 1,655.41 | 57.93 | 2,896 44 | 1,241.03 |
| 02/10/14 | 02/13/14 | 80 | IDEX Corp | 44 14 | 3,531.55 | 69 99 | 5,599 29 | 2,067 74 |
| 02/21/14 | 02/26/14 | 65 | DeVry Education Group Inc | 32.69 | 2,124 72 | 40 09 | 2,605 68 | 480.96 |
| 03/12/14 | 03/17/14 | 50 | Charles River Laboratories Intl Inc. | 33.11 | 1,655 41 | 58.68 | 2,934 23 | 1,278.82 |
| 03/12/14 | 03/17/14 | 60 | DeVry Education Group Inc | 20 08 | 1,204 80 | 40 00 | 2,399 97 | 1,195 17 |
| 03/12/14 | 03/17/14 | 160 | First American Financial Corp. | 15.41 | 2,465 60 | 27.42 | 4,387 76 | 1,922 16 |

FIELD FOUNDATION OF IL (SMALL)

# Purchases and sales, continued

For the period 05/01/13 - 04/30/14

| Trade date | Set date | Units | Security description | Cost/Share | Total cost | Proceeds/Share | Proceeds | Gain/Loss |
|---|---|---|---|---|---|---|---|---|
| 03/12/14 | 03/17/14 | 35 | JLL | 94 31 | 3,300 98 | 122 91 | 4,301 68 | 1,000 70 |
| 04/17/14 | 04/23/14 | 70 | DeVry Education Group Inc | 30 46 | 2,132 20 | 39 30 | 2,750.93 | 618 73 |
| 04/17/14 | 04/23/14 | 405 | Janus Capital Group Inc | 9 74 | 3,944.70 | 11 34 | 4,591 86 | 647 16 |
| 04/17/14 | 04/23/14 | 40 | Littelfuse, Inc. | 58.93 | 2,357.04 | 98.08 | 3,923.26 | 1,566 22 |
| 04/17/14 | 04/23/14 | 70 | U S. Silica Holdings Inc | 23 70 | 1,659 31 | 41 75 | 2,922 43 | 1,263 12 |
| **Total** | | | | | **$114,796.82** | | **$155,157.97** | **$40,361.15** |

** Closed Position

*Dolan McEnıry Capıtal Management*

# REALIZED GAINS AND LOSSES

FIELD FOUNDATION OF ILLINOIS

960-00006

*From 04-30-13 Through 04-30-14*

| Description | Cusip | Date Acquired | Trade Date | Settle Date | Quantity | Net Proceeds | Cost | Gain Or Loss Short Term | Gain Or Loss Long Term |
|---|---|---|---|---|---|---|---|---|---|
| **ALTRIA GROUP INC** | | | | | | | | | |
| ALTRIA GROUP INC 9 700% Due 11-10-18 | 02209SAD5 | 12-02-11 | 11-26-13 | 11-26-13 | 8,000 | 10,733 92 | 10,499 33 | | 234 59 |
| **BEST BUY CO INC** | | | | | | | | | |
| BEST BUY CO INC 5 500% Due 03-15-21 | 086516AL5 | 04-04-12 | 02-11-14 | 02-14-14 | 5,000 | 4,843 40 | 4,710 00 | | 133 40 |
| BEST BUY CO INC 5 500% Due 03-15-21 | 086516AL5 | 04-09-12 | 02-11-14 | 02-14-14 | 5,000 | 4,843 40 | 4,660.00 | | 183 40 |
| BEST BUY CO INC 5 500% Due 03-15-21 | 086516AL5 | 04-17-12 | 02-11-14 | 02-14-14 | 5,000 | 4,843 41 | 4,710 00 | | 133 41 |
| BEST BUY CO INC 5 500% Due 03-15-21 | 086516AL5 | 05-04-12 | 02-11-14 | 02-14-14 | 3,000 | 2,906 04 | 2,848 50 | | 57 54 |
| BEST BUY CO INC 5 500% Due 03-15-21 | 086516AL5 | 05-04-12 | 03-18-14 | 03-21-14 | 2,000 | 1,983 38 | 1,899 00 | | 84 38 |
| BEST BUY CO INC 5.500% Due 03-15-21 | 086516AL5 | 05-15-12 | 03-18-14 | 03-21-14 | 5,000 | 4,958 46 | 4,747 50 | | 210 96 |
| BEST BUY CO INC 5 500% Due 03-15-21 | 086516AL5 | 05-31-12 | 03-18-14 | 03-21-14 | 5,000 | 4,958 46 | 4,663 75 | | 294 71 |
| BEST BUY CO INC 5 500% Due 03-15-21 | 086516AL5 | 06-07-12 | 03-18-14 | 03-21-14 | 5,000 | 4,958 45 | 4,685 00 | | 273 45 |
| | | | | | 35,000 | 34,295 00 | 32,923 75 | | 1,371 25 |
| **BMC SOFTWARE INC** | | | | | | | | | |
| BMC SOFTWARE INC 4 500% Due 12-01-22 | 055921AC4 | 11-21-12 | 09-10-13 | 09-10-13 | 15,000 | 15,225 00 | 14,973 50 | 251 50 | |
| BMC SOFTWARE INC 4 500% Due 12-01-22 | 055921AC4 | 11-28-12 | 09-10-13 | 09-10-13 | 10,000 | 10,150 00 | 10,143 00 | 7 00 | |
| BMC SOFTWARE INC 4 500% Due 12-01-22 | 055921AC4 | 12-04-12 | 09-10-13 | 09-10-13 | 10,000 | 10,150 00 | 10,192 20 | (42 20) | |
| | | | | | 35,000 | 35,525 00 | 35,308 70 | 216 30 | |
| **BOAMS 2004-8 1A19** | | | | | | | | | |
| BOAMS 2004-8 1A19 5 500% Due 10-25-34 | 05949ATB6 | 12-05-11 | 05-01-13 | 05-06-13 | 22,319 44 | 22,730 83 | 22,843 95 | | (113 12) |
| BOAMS 2004-8 1A19 5 500% Due 10-25-34 | 05949ATB6 | 12-05-11 | 05-01-13 | 05-25-13 | 257 48 | 257 47 | 263 53 | | (6 06) |
| | | | | | 22,576 92 | 22,988 30 | 23,107 48 | | (119 18) |
| **BRINKER INTERNATIONAL** | | | | | | | | | |
| BRINKER INTERNATIONAL 5 750% Due 06-01-14 | 109641AE0 | 12-02-11 | 06-07-13 | 06-07-13 | 30,000 | 31,587 90 | 31,713 75 | | (125 85) |
| BRINKER INTERNATIONAL 2 600% Due 05-15-18 | 109641AF7 | 06-19-13 | 03-12-14 | 03-17-14 | 15,000 | 14,906 55 | 14,973 95 | (67 40) | |
| BRINKER INTERNATIONAL 2 600% Due 05-15-18 | 109641AF7 | 06-19-13 | 03-12-14 | 03-17-14 | 5,000 | 4,968 85 | 5,015 10 | (46 25) | |

*Dolan McEnury Capital Management*

# REALIZED GAINS AND LOSSES

FIELD FOUNDATION OF ILLINOIS

960-00006

*From 04-30-13 Through 04-30-14*

| Description | Cusip | Date Acquired | Trade Date | Settle Date | Quantity | Net Proceeds | Cost | Gain Or Loss Short Term | Gain Or Loss Long Term |
|---|---|---|---|---|---|---|---|---|---|
| BRINKER INTERNATIONAL 3 875% Due 05-15-23 | 109641AG5 | 06-19-13 | 01-31-14 | 02-05-14 | 15,000 | 13,889 20 | 14,562 80 | (673 60) | |
| | | | | | 65,000 | 65,352 50 | 66,265 60 | (787 25) | (125 85) |
| **CONSTELLATION BRANDS INC** | | | | | | | | | |
| CONSTELLATION BRANDS INC 7 250% Due 05-15-17 | 21036PAF5 | 12-08-11 | 08-15-13 | 08-20-13 | 10,000 | 11,402 50 | 11,010 00 | | 392 50 |
| CONSTELLATION BRANDS INC 7 250% Due 05-15-17 | 21036PAF5 | 12-08-11 | 08-16-13 | 08-21-13 | 5,000 | 5,683 75 | 5,505 00 | | 178 75 |
| CONSTELLATION BRANDS INC 7 250% Due 05-15-17 | 21036PAF5 | 12-08-11 | 08-19-13 | 08-22-13 | 20,000 | 22,815 00 | 22,020 00 | | 795 00 |
| | | | | | 35,000 | 39,901 25 | 38,535 00 | | 1,366.25 |
| **FG C03595** | | | | | | | | | |
| FG C03595 4 000% Due 10-01-40 | 31292K7G6 | 07-23-13 | 08-01-13 | 08-15-13 | 759 44 | 759 44 | 798 31 | (38 87) | |
| FG C03595 4 000% Due 10-01-40 | 31292K7G6 | 07-23-13 | 09-01-13 | 09-15-13 | 513 13 | 513 13 | 539 39 | (26 26) | |
| FG C03595 4.000% Due 10-01-40 | 31292K7G6 | 07-23-13 | 09-23-13 | 09-26-13 | 39,211 72 | 40,598 15 | 41,218 46 | (620 31) | |
| | | | | | 40,484 29 | 41,870 72 | 42,556 16 | (685 44) | |
| **FG C91377** | | | | | | | | | |
| FG C91377 4 500% Due 06-01-31 | 3128P7Q63 | 09-27-13 | 10-01-13 | 10-15-13 | 447 87 | 447 87 | 482 16 | (34 29) | |
| FG C91377 4 500% Due 06-01-31 | 3128P7Q63 | 09-27-13 | 10-16-13 | 10-21-13 | 22,015 26 | 23,541 97 | 23,700 71 | (158 74) | |
| | | | | | 22,463 13 | 23,989 84 | 24,182 87 | (193 03) | |
| **GAP INC/THE** | | | | | | | | | |
| GAP INC/THE 5 950% Due 04-12-21 | 364760AK4 | 12-13-11 | 08-23-13 | 08-28-13 | 5,000 | 5,477 90 | 4,743 33 | | 734 57 |
| **HARLEY-DAVIDSON FUNDING** | | | | | | | | | |
| HARLEY-DAVIDSON FUNDING 6 800% Due 06-15-18 | 41283DAB9 | 12-06-11 | 06-06-13 | 06-11-13 | 30,000 | 36,423 10 | 34,752 60 | | 1,670 50 |
| **HCA INC** | | | | | | | | | |
| HCA INC 6 500% Due 02-15-20 | 404121AC9 | 08-19-13 | 02-20-14 | 02-25-14 | 10,000 | 11,200 83 | 10,785 00 | 415 83 | |
| HCA INC 6 500% Due 02-15-20 | 404121AC9 | 10-23-13 | 02-20-14 | 02-25-14 | 20,000 | 22,401 67 | 22,460 00 | (58 33) | |
| | | | | | 30,000 | 33,602 50 | 33,245 00 | 357 50 | |

*Dolan McEniry Capital Management*

# REALIZED GAINS AND LOSSES

FIELD FOUNDATION OF ILLINOIS

960-00006

*From 04-30-13 Through 04-30-14*

| Description | Cusip | Date Acquired | Trade Date | Settle Date | Quantity | Net Proceeds | Cost | Gain Or Loss Short Term | Gain Or Loss Long Term |
|---|---|---|---|---|---|---|---|---|---|
| **INTERPUBLIC GROUP COS** | | | | | | | | | |
| INTERPUBLIC GROUP COS 10 000% Due 07-15-17 | 460690BF6 | 12-02-11 | 07-15-13 | 07-15-13 | 30,000 | 31,500 00 | 34,492 50 | | (2,992 50) |
| **MASCO CORP** | | | | | | | | | |
| MASCO CORP 6 125% Due 10-03-16 | 574599BD7 | 12-02-11 | 05-13-13 | 05-16-13 | 30,000 | 33,583 75 | 30,476 25 | | 3,107 50 |
| **MASTR 2003-5 2A1** | | | | | | | | | |
| MASTR 2003-5 2A1 5 000% Due 06-25-18 | 55265KXJ3 | 12-05-11 | 05-01-13 | 05-25-13 | 505 73 | 505 73 | 525 20 | | (19 47) |
| MASTR 2003-5 2A1 5 000% Due 06-25-18 | 55265KXJ3 | 12-05-11 | 06-01-13 | 06-25-13 | 708 81 | 708 81 | 736 10 | | (27 29) |
| MASTR 2003-5 2A1 5 000% Due 06-25-18 | 55265KXJ3 | 12-05-11 | 07-01-13 | 07-25-13 | 636 04 | 636 04 | 660 53 | | (24 49) |
| MASTR 2003-5 2A1 5 000% Due 06-25-18 | 55265KXJ3 | 12-05-11 | 08-01-13 | 08-25-13 | 345 70 | 345 70 | 359 01 | | (13 31) |
| MASTR 2003-5 2A1 5 000% Due 06-25-18 | 55265KXJ3 | 12-05-11 | 09-01-13 | 09-25-13 | 571 54 | 571 54 | 593 55 | | (22 01) |
| MASTR 2003-5 2A1 5 000% Due 06-25-18 | 55265KXJ3 | 12-05-11 | 10-01-13 | 10-25-13 | 326 06 | 326 06 | 338 61 | | (12 55) |
| MASTR 2003-5 2A1 5 000% Due 06-25-18 | 55265KXJ3 | 12-05-11 | 11-01-13 | 11-25-13 | 700 64 | 700 64 | 727 62 | | (26 98) |
| MASTR 2003-5 2A1 5 000% Due 06-25-18 | 55265KXJ3 | 12-05-11 | 12-01-13 | 12-25-13 | 440 50 | 440 50 | 457 46 | | (16 96) |
| MASTR 2003-5 2A1 5 000% Due 06-25-18 | 55265KXJ3 | 12-05-11 | 01-01-14 | 01-25-14 | 801 07 | 801 07 | 831.91 | | (30 84) |
| MASTR 2003-5 2A1 5 000% Due 06-25-18 | 55265KXJ3 | 12-05-11 | 01-28-14 | 01-31-14 | 14,888 20 | 14,890 43 | 15,461 42 | | (570 99) |
| | | | | | 19,924 29 | 19,926 52 | 20,691 41 | | (764 89) |
| **SASC 2003-29 5A4** | | | | | | | | | |
| SASC 2003-29 5A4 5 250% Due 09-25-33 | 86359AX99 | 12-05-11 | 05-01-13 | 05-25-13 | 250 40 | 250 40 | 255 66 | | (5 26) |
| SASC 2003-29 5A4 5 250% Due 09-25-33 | 86359AX99 | 12-05-11 | 06-01-13 | 06-25-13 | 866 86 | 866 86 | 885 05 | | (18 19) |
| SASC 2003-29 5A4 5 250% Due 09-25-33 | 86359AX99 | 12-05-11 | 07-01-13 | 07-25-13 | 894 12 | 894 12 | 912 89 | | (18 77) |
| SASC 2003-29 5A4 5 250% Due 09-25-33 | 86359AX99 | 12-05-11 | 07-18-13 | 07-23-13 | 21,862 94 | 22,483 83 | 22,321 80 | | 162 03 |
| | | | | | 23,874.32 | 24,495 21 | 24,375 40 | | 119 81 |
| **SCOTTS MIRACLE-GRO CO/TH** | | | | | | | | | |
| SCOTTS MIRACLE-GRO CO/TH 7 250% Due 01-15-18 | 810186AH9 | 07-10-12 | 01-15-14 | 01-15-14 | 10,000 | 10,362.50 | 10,897 50 | | (535 00) |

*Dolan McEnıry Capıtal Management*

# REALIZED GAINS AND LOSSES

FIELD FOUNDATION OF ILLINOIS

960-00006

*From 04-30-13 Through 04-30-14*

| Description | Cusip | Date Acquired | Trade Date | Settle Date | Quantity | Net Proceeds | Cost | Gain Or Loss Short Term | Gain Or Loss Long Term |
|---|---|---|---|---|---|---|---|---|---|
| SCOTTS MIRACLE-GRO CO/TH 6 625% Due 12-15-20 | 810186AK2 | 03-20-13 | 01-14-14 | 01-17-14 | 20,000 | 21,515 00 | 21,997 50 | (482 50) | |
| | | | | | 30,000 | 31,877 50 | 32,895 00 | (482 50) | (535 00) |
| **SEAGATE TECHNOLOGY HDD** | | | | | | | | | |
| SEAGATE TECHNOLOGY HDD 6 800% Due 10-01-16 | 81180RAE2 | 08-02-12 | 06-14-13 | 06-14-13 | 15,000 | 17,437 50 | 16,675 63 | 761 87 | |
| SEAGATE TECHNOLOGY HDD 6 800% Due 10-01-16 | 81180RAE2 | 08-27-12 | 06-14-13 | 06-14-13 | 5,000 | 5,812 50 | 5,561 25 | 251 25 | |
| SEAGATE TECHNOLOGY HDD 6 800% Due 10-01-16 | 81180RAE2 | 09-07-12 | 06-14-13 | 06-14-13 | 1,000 | 1,162 50 | 1,114 50 | 48 00 | |
| | | | | | 21,000 | 24,412 50 | 23,351 38 | 1,061 12 | |
| **TYCO ELECTRONICS GROUP** | | | | | | | | | |
| TYCO ELECTRONICS GROUP 6 550% Due 10-01-17 | 902133AF4 | 03-20-12 | 06-18-13 | 06-21-13 | 25,000 | 29,356 00 | 29,489 75 | | (133 75) |
| | | TOTAL GAINS | | | | | | 1,735 45 | 8,646 68 |
| | | TOTAL LOSSES | | | | | | (2,248 75) | (4,713 38) |
| | | **TOTALS** | | | | **545,311.51** | **541,891.51** | **(513.30)** | **3,933.30** |
| | | **TOTAL REALIZED GAIN/LOSS** | | | | | | **(513.30)** | **3,933.30** |

EAGLE CAPITAL MANAGEMENT

| Quantity Sold | Name | Date Purchased | Date Sold | Sales Price | Cost | Long Term Gain ( Loss ) | Short Term Gain ( Loss ) |
|---|---|---|---|---|---|---|---|
| 300 | 21st Cent Fox | 2/9/09 | 1/31/14 | 9,338 | 1,508 | 7,830 | |
| 7,300 | 21st Cent Fox | 2/20/09 | 1/31/14 | 227,227 | 33,968 | 193,259 | |
| 5,800 | 21st Cent Fox | 03/12/09 | 1/31/14 | 180,536 | 22,262 | 158,275 | |
| 1,000 | 21st Cent Fox | 09/24/10 | 1/31/14 | 31,127 | 10,422 | 20,705 | |
| 1,800 | Apache Corp | 09/24/12 | 02/18/2014 | 146,376 | 160,557 82 | (14,182) | |
| 1,200 | Berkley W R | 12/14/10 | 06/11/13 | 49,084 | 32,172 | 16,912 | |
| 1,600 | Berkley W R | 12/14/10 | 09/25/13 | 69,214 | 42,896 | 26,318 | |
| 800 | Ecolab Inc | 11/18/08 | 02/12/2014 | 80,094 | 24,405 | 55,689 | |
| 400 | Fidelity Natl Info | 05/27/2009 | 4/22/14 | 20,983 | 7,415 | 13,568 | |
| 700 | Mc Donalds Corp | 10/22/09 | 11/21/13 | 68,190 | 41,594.92 | 26,595 | |
| 300 | Mc Donalds Corp | 10/26/09 | 11/21/13 | 29,224 | 17,597 65 | 11,627 | |
| 3,600 | News Corp Cl A | 2/9/09 | 02/14/2014 | 58,315 | 22,719 73 | 35,595 | |
| | | | | 521,480 | 349,358 | 552,191 | 0 |

Field Foundation of Illinois, Inc
Form 990 PF
Part IV- Capital Gains and Losses for Tax on Investment Income
April 30, 2014

36-6059408

| | Long Term | Short Term | Total |
|---|---|---|---|
| Ariel Micro Cap | 186,552 | | 186,552 |
| Ariel Small Cap | 40,361 | | 40,361 |
| Dolan McEniry | 3,933 | (513) | 3,420 |
| Eagle Capital Mgmt - schedule attached | 552191 | | 552,191 |
| Mutual Funds | | | |
| Columbia Greater China | 111,679 | | 111,679 |
| PIMCO. | | | |
| Real Return Fund | (240) | | (240) |
| Total Return Fund | 35,313 | | 35,313 |
| KS International | 1,782,573 | | 1,782,573 |
| Tocqueville Gold | (308,890) | | (308,890) |
| Stark | 2,312 | | 2,312 |
| Form K-1 attached: | | | |
| AT MLP | 48,612 | 105,791 | 154,403 |
| Investable Emerging Markets | 69,723 | 6,025 | 75,748 |
| Emerging Markets Investable Fund | 3,872 | 1,130 | 5,002 |
| Permit | 120,978 | | 120,978 |
| Private Equity Investment Fund | 196 | 73 | 269 |
| Silchester International | 498,815 | 42,531 | 541,346 |
| | 3,147,980 | 155,037 | 3,303,017 |

The Field Foundation of Illinois, Inc
Form 990 PF
Part II - Balance Sheets - Lines 10, 11 and 15 - Investments

36-6059408

| Investments: | Cost | Market Value |
|---|---|---|
| | $ | $ |
| Ariel - Micro | 1,355,372 | 1,453,654 |
| Ariel - Small Cap | 1,123,332 | 1,389,248 |
| Atlantic Trust MLP | 1,000,000 | 1,557,307 |
| Blackstone Distressed Securities | 3,101 | 1,676 |
| City of London | 1,295,737 | 1,513,250 |
| Dolan McEniry | 1,138,893 | 1,159,352 |
| Eagle Capital Mgmt | 6,466,708 | 11,656,767 |
| Kensico | 8,042,720 | 8,042,720 |
| Mason Capital Mgmt | 3,300,000 | 5,652,697 |
| Nyes Ledge Capital | 1,000,000 | 1,619,432 |
| O S S | 87,033 | 162,508 |
| Permit | 773,543 | 1,610,308 |
| PIMCO | 5,330,946 | 5,395,293 |
| Private Equity Investment | 910,385 | 941,937 |
| SPDR Gold | 1,706,345 | 1,382,569 |
| Stark Investments | 113,616 | 151,023 |
| Silchester International Investors | 6,718,587 | 10,970,934 |
| Three Bridges | 1,500,000 | 1,678,500 |
| Tocqueville Offshore Gold Fund | 900,526 | 323,448 |
| Wishbone | 2,000,000 | 2,788,201 |
| | $ 44,766,844 | $ 59,450,824 |

| As Shown In Return | | |
|---|---|---|
| Corporate Stock | 38,297,005 | 52,899,179 |
| Corporate Bonds | 6,469,839 | 6,551,645 |
| | $ 44,766,844 | $ 59,450,824 |

Note - corporate stock classification above includes various hedge positions with respect to various types of assets

Form **8868**
(Rev January 2014)
Department of the Treasury
Internal Revenue Service

# Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**
▶ **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit www irs gov/efile and click on e-file for Charities & Nonprofits

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

SEP 09 2014

**Enter filer's identifying number, see instructions**

| Type or print<br>File by the due date for filing your return See instructions | | |
|---|---|---|
| | Name of exempt organization or other filer, see instructions<br>Field Foundation of Illinois Inc. | Employer identification number (EIN) or<br>36-6059408 |
| | Number, street, and room or suite no If a P O box, see instructions.<br>200 South Wacker Dr. STE 3860 | Social security number (SSN) |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions<br>Chicago, IL 60606 | |

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

| Application Is For | Return Code | Application Is For | Return Code |
|---|---|---|---|
| Form 990 or Form 990-EZ | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

- The books are in the care of ▶ Aurie A. Pennick, 200 South Wacker Dr., IL 60606

Telephone No ▶ 312-831-0910 FAX No ▶

- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ____ . If this is for the whole group, check this box ▶ ☐ If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 12-15 , 20 14 , to file the exempt organization return for the organization named above The extension is for the organization's return for

▶ ☐ calendar year 20 __ or

▶ ☒ tax year beginning 05-01 , 2013 , and ending 04-30 , 2014

**2** If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

| | | | |
|---|---|---|---|
| **3a** | If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions | 3a | $ 88,436 |
| **b** | If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit. | 3b | $ 44,436 |
| **c** | **Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions | 3c | $ 44,000 |

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions