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Form 990-PF

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

- Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.
- Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2013

Open to Public  
Inspection

For calendar year 2013, or tax year beginning 05-01-2013, and ending 04-30-2014

Name of foundation KATHARINE A HEBERTON CHARITABLE TRUST TD BANK		A Employer identification number 33-6325735
Number and street (or P O box number if mail is not delivered to street address) PO BOX 1330		B Telephone number (see instructions) (508) 862-0875
City or town, state or province, country, and ZIP or foreign postal code HYANNIS, MA 02601		
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>C</b> If exemption application is pending, check here ► <input type="checkbox"/> <b>D</b> 1. Foreign organizations, check here ► <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> <b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> <b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ► \$ 2,113,001	J Accounting method <input type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ <i>(Part I, column (d) must be on cash basis.)</i>	

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc, received (attach schedule)				
	2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities. . . . .	53,953	53,953		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	271,072			
	b Gross sales price for all assets on line 6a 1,062,133				
	7 Capital gain net income (from Part IV, line 2) . . . . .		271,072		
	8 Net short-term capital gain . . . . .			0	
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	<b>12 Total.</b> Add lines 1 through 11 . . . . .	325,025	325,025		
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc	33,340	33,340		
	14 Other employee salaries and wages . . . . .		0	0	0
	15 Pension plans, employee benefits . . . . .			0	0
	16a Legal fees (attach schedule) . . . . .				0
	b Accounting fees (attach schedule) . . . . .	575	575	0	0
	c Other professional fees (attach schedule) . . . . .				0
	17 Interest . . . . .				0
	18 Taxes (attach schedule) (see instructions)	2,212	131		0
	19 Depreciation (attach schedule) and depletion . . . . .	0	0		
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .		0	0	
	22 Printing and publications . . . . .		0	0	
	23 Other expenses (attach schedule) . . . . .	38	38		
	<b>24 Total operating and administrative expenses.</b>				
	Add lines 13 through 23 . . . . .	36,165	34,084	0	0
	25 Contributions, gifts, grants paid. . . . .	88,700			88,700
	<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	124,865	34,084	0	88,700
	<b>27 Subtract line 26 from line 12</b>				
	<b>a Excess of revenue over expenses and disbursements</b>	200,160			
	<b>b Net investment income</b> (if negative, enter -0-) . . . . .		290,941		
	<b>c Adjusted net income</b> (if negative, enter -0-) . . . . .			0	

<b>Part III</b> <b>Balance Sheets</b>	Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>				
1	Cash—non-interest-bearing . . . . .			
2	Savings and temporary cash investments . . . . .	17,829	48,324	48,324
3	Accounts receivable ►			
	Less allowance for doubtful accounts ►		0	0
4	Pledges receivable ►			
	Less allowance for doubtful accounts ►			
5	Grants receivable . . . . .			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
7	Other notes and loans receivable (attach schedule) ►			
	Less allowance for doubtful accounts ► 0			
8	Inventories for sale or use . . . . .			
9	Prepaid expenses and deferred charges . . . . .			
10a	Investments—U S and state government obligations (attach schedule)	387,074	348,556	348,893
b	Investments—corporate stock (attach schedule) . . . . .	826,620	1,048,774	1,214,317
c	Investments—corporate bonds (attach schedule) . . . . .	514,173	500,201	501,467
11	Investments—land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
12	Investments—mortgage loans . . . . .			
13	Investments—other (attach schedule) . . . . .			0
14	Land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
15	Other assets (describe ► )			
16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	1,745,696	1,945,855	2,113,001
<b>Liabilities</b>				
17	Accounts payable and accrued expenses . . . . .			
18	Grants payable . . . . .			
19	Deferred revenue . . . . .			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule) . . . . .			
22	Other liabilities (describe ► )			
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		0	
<b>Net Assets or Fund Balances</b>				
24	Unrestricted . . . . .			
25	Temporarily restricted . . . . .			
26	Permanently restricted . . . . .			
27	<b>Foundations that follow SFAS 117, check here ►</b> <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
28	Capital stock, trust principal, or current funds . . . . .	1,745,696	1,945,855	
29	Paid-in or capital surplus, or land, bldg , and equipment fund			
30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	1,745,696	1,945,855	
31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	1,745,696	1,945,855	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	1,745,696
2	Enter amount from Part I, line 27a . . . . .	2	200,160
3	Other increases not included in line 2 (itemize) ►	3	0
4	Add lines 1, 2, and 3 . . . . .	4	1,945,856
5	Decreases not included in line 2 (itemize) ►	5	1
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,945,855

**Part IV Capital Gains and Losses for Tax on Investment Income**

<b>(a)</b> List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo., day, yr.)	<b>(d)</b> Date sold (mo., day, yr.)
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<b>1a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>(e)</b> Gross sales price	<b>(f)</b> Depreciation allowed (or allowable)	<b>(g)</b> Cost or other basis plus expense of sale	<b>(h)</b> Gain or (loss) (e) plus (f) minus (g)
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<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

**(l)** Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))

<b>(i)</b> FMV as of 12/31/69	<b>(j)</b> Adjusted basis as of 12/31/69	<b>(k)</b> Excess of col (i) over col (j), if any	
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<b>a</b> See Additional Data Table			
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	<b>(If gain, also enter in Part I, line 7)</b> <b>(If (loss), enter -0- in Part I, line 7)</b>	<b>2</b>	271,072
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		<b>3</b>	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

<b>(a)</b> Base period years Calendar year (or tax year beginning in)	<b>(b)</b> Adjusted qualifying distributions	<b>(c)</b> Net value of noncharitable-use assets	<b>(d)</b> Distribution ratio (col (b) divided by col (c))
2012	91,461	1,961,127	0 046637
2011	100,856	1,902,373	0 053016
2010	100,805	1,974,580	0 051051
2009	125,603	1,958,748	0 064124
2008	77,089	1,983,236	0 03887

<b>2</b> <b>Total</b> of line 1, column (d).	<b>2</b>	0 253698
<b>3</b> Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	0 05074
<b>4</b> Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	<b>4</b>	2,029,968
<b>5</b> Multiply line 4 by line 3.	<b>5</b>	103,001
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b).	<b>6</b>	2,909
<b>7</b> Add lines 5 and 6.	<b>7</b>	105,910
<b>8</b> Enter qualifying distributions from Part XII, line 4.	<b>8</b>	88,700

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
b	Date of ruling or determination letter _____ <b>(attach copy of letter if necessary—see instructions)</b>	1	5,819
c	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	2	0
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)	3	5,819
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .	4	0
3	Add lines 1 and 2. . . . .	5	5,819
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . . . .		
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3 If zero or less, enter -0- . . . . .		
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,224
b	Exempt foreign organizations—tax withheld at source . . . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	0
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments Add lines 6a through 6d. . . . .	7	1,224
8	Enter any <b>penalty</b> for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	0
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9	4,595
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10	
11	Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> <input type="checkbox"/> 0 <b>Refunded</b> <input type="checkbox"/>	11	0

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<input type="checkbox"/> Yes	<input type="checkbox"/> No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . .	<input type="checkbox"/> Yes	<input type="checkbox"/> No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		
1c	Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<input type="checkbox"/> Yes	<input type="checkbox"/> No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year <b>(1) On the foundation</b> <input type="checkbox"/> \$ _____ <b>(2) On foundation managers</b> <input type="checkbox"/> \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<input type="checkbox"/> Yes	<input type="checkbox"/> No
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<input type="checkbox"/> Yes	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<input type="checkbox"/> Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	<input type="checkbox"/> Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> MA		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	<input type="checkbox"/> Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	<input type="checkbox"/> Yes	<input type="checkbox"/> No

**Part VII-A Statements Regarding Activities (continued)**

11		No
12		No
13	Yes	

Website address ►

14 The books are in care of ► TD Bank NA Telephone no ► (508) 862-0875  
 Located at ► PO BOX 1330 HYANNIS MA ZIP +4 ► 02601

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ► and enter the amount of tax-exempt interest received or accrued during the year. ► 15

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ►

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ► Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ► Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ► Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ► Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ► Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ► Yes <input checked="" type="checkbox"/> No		
1b If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)? Organizations relying on a current notice regarding disaster assistance check here. ►	1b	
1c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? ►	1c	No
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ► Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20____, 20____, 20____, 20____	2a	
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions) ►	2b	No
c If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ► 20____, 20____, 20____, 20____	2c	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ► Yes <input checked="" type="checkbox"/> No	3a	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) ►	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ►	4a	No
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? ►	4b	No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

<b>5a</b>	During the year did the foundation pay or incur any amount to	
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions). . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>b</b>	If any answer is "Yes" to 5a(1)-(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? . . . . .	
	Organizations relying on a current notice regarding disaster assistance check here. . . . . <input type="checkbox"/>	
<b>c</b>	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? . . . . .	<input type="checkbox"/> Yes <input type="checkbox"/> No
	<i>If "Yes," attach the statement required by Regulations section 53.4945-5(d).</i>	
<b>6a</b>	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? . . . . .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>b</b>	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? . . . . .	
	<i>If "Yes" to 6b, file Form 8870.</i>	
<b>7a</b>	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No
<b>b</b>	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction? . . . . .	

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees and Contractors

**1. List all officers, directors, trustees, foundation managers and their compensation (see instructions)**

<b>1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).</b>				
<b>(a) Name and address</b>	<b>(b) Title, and average hours per week devoted to position</b>	<b>(c) Compensation (If not paid, enter -0-)</b>	<b>(d) Contributions to employee benefit plans and deferred compensation</b>	<b>(e) Expense account other allowances</b>
TD Bank NA PO Box 1330 Hyannis, MA 02601	Corp CoTrustee 1	22,427		
Glenn Rowley PO Box 2402 Brewster, MA 02631	CoTrustee 1	10,913		

2. Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE".

**Total number of other employees paid over \$50,000.** . . . . .

0

**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".**

<b>(a) Name and address of each person paid more than \$50,000</b>	<b>(b) Type of service</b>	<b>(c) Compensation</b>
NONE		

## **Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments (see instructions)**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 _____ _____	
2 _____ _____	

All other program-related investments See page 24 of the instructions

**3** \_\_\_\_\_  
\_\_\_\_\_  
**Total.** Add lines 1 through 3 ►

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	2,014,881
b	Average of monthly cash balances. . . . .	1b	46,000
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	<b>Total</b> (add lines 1a, b, and c). . . . .	1d	2,060,881
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	2,060,881
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	30,913
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,029,968
6	<b>Minimum investment return.</b> Enter 5% of line 5. . . . .	6	101,498

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	101,498
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a	5,819
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	5,819
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	95,679
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	0
5	Add lines 3 and 4. . . . .	5	95,679
6	Deduction from distributable amount (see instructions). . . . .	6	0
7	<b>Distributable amount</b> as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	95,679

**Part XII Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	88,700
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	0
b	Cash distribution test (attach the required schedule). . . . .	3b	0
4	<b>Qualifying distributions.</b> Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	88,700
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	0
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4. . . . .	6	88,700

**Note:** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income (see instructions)**

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7				95,679
<b>2</b> Undistributed income, if any, as of the end of 2013			0	
<b>a</b> Enter amount for 2012 only. . . . .			0	
<b>b</b> Total for prior years 2011, 2010, 2009		0		
<b>3</b> Excess distributions carryover, if any, to 2013				
<b>a</b> From 2008. . . . .	0			
<b>b</b> From 2009. . . . .	2,558			
<b>c</b> From 2010. . . . .	3,268			
<b>d</b> From 2011. . . . .	6,459			
<b>e</b> From 2012. . . . .	0			
<b>f Total of lines 3a through e. . . . .</b>	12,285			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4 ► \$ 88,700			0	
<b>a</b> Applied to 2012, but not more than line 2a			0	
<b>b</b> Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
<b>c</b> Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
<b>d</b> Applied to 2013 distributable amount. . . . .			88,700	
<b>e</b> Remaining amount distributed out of corpus	0			
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	6,979			6,979
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,306			
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b . . . . .		0		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
<b>d</b> Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
<b>e</b> Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
<b>f</b> Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				0
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) . . . . .	0			
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . . . .	0			
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	5,306			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2009. . . . .	0			
<b>b</b> Excess from 2010. . . . .	0			
<b>c</b> Excess from 2011. . . . .	5,306			
<b>d</b> Excess from 2012. . . . .	0			
<b>e</b> Excess from 2013. . . . .	0			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a	If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. <input type="text"/>			
b	Check box to indicate whether the organization is a private operating foundation described in section <input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.			
b	85% of line 2a.			
c	Qualifying distributions from Part XII, line 4 for each year listed.			
d	Amounts included in line 2c not used directly for active conduct of exempt activities.			
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c.			
3	Complete 3a, b, or c for the alternative test relied upon			
a	"Assets" alternative test—enter			
(1)	Value of all assets.			
(2)	Value of assets qualifying under section 4942(j)(3)(B)(i).			
b	"Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.			
c	"Support" alternative test—enter			
(1)	Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).			
(2)	Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(III).			
(3)	Largest amount of support from an exempt organization			
(4)	Gross investment income			

**Part XV Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)****1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
CHESHIRE HEALTH FOUNDATION ATTN ACCOUNTING DEPARTMENT KEENE, NH 03431	NONE	HOSPITAL	GENERAL OPERATIONS	44,350
FRIENDS OF KEENE PUBLIC LIBRARY C/O HOPE THERRIEN TREASURER 60 WINTER STREET KEENE, NH 03431	NONE	LIBRARY	GENERAL OPERATIONS	44,350
<b>Total . . . . .</b>			► 3a	88,700
<b>b Approved for future payment</b>				
<b>Total . . . . .</b>			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions )
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
<b>1</b> Program service revenue						
a						
b						
c						
d						
e						
f						
<b>g</b> Fees and contracts from government agencies						
<b>2</b> Membership dues and assessments.						
<b>3</b> Interest on savings and temporary cash investments.						
<b>4</b> Dividends and interest from securities.					14	53,955
<b>5</b> Net rental income or (loss) from real estate						
a Debt-financed property.						
b Not debt-financed property.						
<b>6</b> Net rental income or (loss) from personal property.						
<b>7</b> Other investment income.						
<b>8</b> Gain or (loss) from sales of assets other than inventory.					18	271,070
<b>9</b> Net income or (loss) from special events						
<b>10</b> Gross profit or (loss) from sales of inventory.						
<b>11</b> Other revenue a						
b						
c						
d						
e						
<b>12</b> Subtotal Add columns (b), (d), and (e).						325,025
<b>13</b> Total. Add line 12, columns (b), (d), and (e).					13	325,025

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**



**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
350 HOME DEPOT INC		2011-09-02	2013-06-06
290 MARATHON PETROLEUM CORP COM		2011-09-02	2013-06-06
150 PHILLIPS 66		2011-09-02	2013-06-06
80 PROCTOR & GAMBLE		2011-09-02	2013-06-06
60 AT&T INC		2002-05-27	2013-08-06
10 APPLE COMPUTER		2013-06-06	2013-08-06
10 BAXTER INTL INC		2011-03-15	2013-08-06
20 BRISTOL-MEYERS SQUIBB		2011-09-02	2013-08-06
30 CHEVRONTEXACO CORP		2011-09-02	2013-08-06
40 CISCO SYSTEMS INC		2013-06-06	2013-08-06
40 COCA COLA CO		2011-09-02	2013-08-06
20 COLGATE PALMOLIVE		2011-09-02	2013-08-06
10 CONOCOPHILLIPS COM		2011-09-02	2013-08-06
10 E I DUPONT DE NEMOURS		2011-03-30	2013-08-06
10 DUKE ENERGY CORP		2011-09-02	2013-08-06
10 EMERSON ELECTRIC CO		2011-09-02	2013-08-06
30 EXXON MOBIL CORP		2002-05-27	2013-08-06
20 FREEPORT-MCMORAN COPPER & GOCL B		2012-09-10	2013-08-06
10 HOME DEPOT INC		2011-09-02	2013-08-06
10 HONEYWELL INTL INC		2011-09-02	2013-08-06
60 INTEL CORP		2011-03-30	2013-08-06
10 INTERNATIONAL BUSINESS MACHINES		2011-09-02	2013-08-06
30 J P MORGAN CHASE & CO		2011-09-02	2013-08-06
30 JOHNSON & JOHNSON		2002-07-02	2013-08-06
10 KRAFT FOODS GROUP INC		2011-09-02	2013-08-06
30 MARATHON OIL		2011-09-02	2013-08-06
10 MCDONALDS CORP		2011-09-02	2013-08-06
40 MERCK & CO INC NEW COM		2011-09-02	2013-08-06
60 MICROSOFT CORP		2012-06-05	2013-08-06
30 MONDELEZ INTERNATIONAL INC		2011-09-02	2013-08-06

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
20 NEXTERA ENERGY INC COM		2011-09-02	2013-08-06
10 NIKE INC		2011-09-02	2013-08-06
10 NORFOLK SOUTHERN CORP		2011-09-02	2013-08-06
20 PEPSICO, INC		2011-09-02	2013-08-06
90 PFIZER, INC		2011-09-02	2013-08-06
20 PHILIP MORRIS INTL INC COM		2011-09-02	2013-08-06
20 PROCTOR & GAMBLE		2011-09-02	2013-08-06
30 SOUTHERN COMPANY		2011-09-02	2013-08-06
20 SPECTRA ENERGY CORP		2011-11-18	2013-08-06
10 UNITED TECHNOLOGIES		2011-09-02	2013-08-06
10 VERIZON COMMUNICATION		2002-05-27	2013-08-06
10 WAL MART STORES INC		2013-03-13	2013-08-06
30 WELLS FARGO & COMPANY NEW		2012-11-13	2013-08-06
190 AT&T INC		2002-05-27	2013-12-05
20 APPLE COMPUTER		2013-06-06	2013-12-05
320 BAXTER INTL INC		2011-03-15	2013-12-05
380 BRISTOL-MEYERS SQUIBB		2011-09-02	2013-12-05
110 CATERPILLAR INC		2011-09-02	2013-12-05
230 CHEVRONTEXACO CORP		2011-09-02	2013-12-05
280 COCA COLA CO		2011-09-02	2013-12-05
280 EXXON MOBIL CORP		2002-05-27	2013-12-05
240 FREEPORT-MCMORAN COPPER & GOCL B		2012-09-10	2013-12-05
110 INTERNATIONAL BUSINESS MACHINES		2011-09-02	2013-12-05
570 JP MORGAN CHASE & CO		2011-09-02	2013-12-05
300 JOHNSON & JOHNSON		2002-07-02	2013-12-05
560 MARATHON OIL		2011-09-02	2013-12-05
10 MCDONALDS CORP		2011-09-02	2013-12-05
180 MICROSOFT CORP		2012-06-05	2013-12-05
490 MONDELEZ INTERNATIONAL INC		2011-09-02	2013-12-05
210 NIKE INC		2011-09-02	2013-12-05

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
130 NORFOLK SOUTHERN CORP		2011-09-02	2013-12-05
370 PEPSICO, INC		2011-09-02	2013-12-05
1660 PFIZER, INC		2012-11-13	2013-12-05
230 PROCTOR & GAMBLE		2011-09-02	2013-12-05
370 SPECTRA ENERGY CORP		2011-11-18	2013-12-05
170 WELLS FARGO & COMPANY NEW		2012-11-13	2013-12-05
10 HONEYWELL INTL INC		2013-12-05	2013-12-13
150 HONEYWELL INTL INC		2011-09-02	2013-12-13
20000 BNK OF NOVA SCOTIA 2 375% 12/17/2013 DTD 06/17/10		2011-09-06	2013-12-17
210 ALTRIA GROUP INC		2013-12-05	2014-01-24
10 APPLE COMPUTER		2013-06-06	2014-01-24
1020 CISCO SYSTEMS INC		2013-12-05	2014-01-24
210 DOW CHEMICAL CO		2013-12-05	2014-01-24
190 E I DUPONT DE NEMOURS		2011-03-30	2014-01-24
300 EXXON MOBIL CORP		2002-05-27	2014-01-24
10 HOME DEPOT INC		2013-12-05	2014-01-24
170 HOME DEPOT INC		2011-09-02	2014-01-24
10 KRAFT FOODS GROUP INC		2013-12-05	2014-01-24
160 KRAFT FOODS GROUP INC		2011-09-02	2014-01-24
190 LOCKHEED MARTIN CORP		2011-09-02	2014-01-24
60 MERCK & CO INC NEW COM		2013-12-05	2014-01-24
700 MERCK & CO INC NEW COM		2012-11-13	2014-01-24
10 NEXTERA ENERGY INC COM		2013-12-05	2014-01-24
280 NEXTERA ENERGY INC COM		2011-09-02	2014-01-24
30 PHILIP MORRIS INTL INC COM		2013-12-05	2014-01-24
480 PHILIP MORRIS INTL INC COM		2011-09-02	2014-01-24
610 SOUTHERN COMPANY		2011-09-02	2014-01-24
30 SOUTHERN COMPANY		2013-12-05	2014-01-24
140 UNITED PARCEL SVC CL B		2011-03-30	2014-01-24
10 UNITED TECHNOLOGIES		2013-12-05	2014-01-24

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d**

<b>(a)</b> List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	<b>(b)</b> How acquired P—Purchase D—Donation	<b>(c)</b> Date acquired (mo, day, yr)	<b>(d)</b> Date sold (mo, day, yr)
200 UNITED TECHNOLOGIES		2011-09-02	2014-01-24
10000 FED HOME LN MTG 5 00% 01/30/2014 DTD 01/30/2004		2008-03-18	2014-01-30
800 COMCAST CORP SPECIAL CL A		2013-12-05	2014-02-13
150 HONEYWELL INTL INC		2014-01-24	2014-03-04
20000 FED HOME LN MTG 4 75% 11/17/2015 DTD 10/21/2005		2008-03-18	2014-03-17
65000 U S TREAS BONDS 4 25% 11/15/2014 DTD 11/15/2004		2012-08-08	2014-03-17
220 CHEVRONTEXACO CORP		2011-09-02	2014-04-10
120 LORILLARD INC COM		2013-12-05	2014-04-10

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
26,529		11,274	15,255
23,555		10,276	13,279
9,569		4,556	5,013
6,138		5,020	1,118
2,128		1,489	639
4,649		4,391	258
720		512	208
884		583	301
3,699		2,887	812
1,046		985	61
1,613		1,396	217
1,219		889	330
670		511	159
592		555	37
710		565	145
623		439	184
2,740		1,206	1,534
584		803	-219
802		322	480
841		454	387
1,363		1,220	143
1,909		1,665	244
1,666		1,040	626
2,814		1,536	1,278
566		361	205
1,108		772	336
986		893	93
1,935		1,296	639
1,887		1,712	175
955		669	286

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,735		1,116	619
664		420	244
739		651	88
1,704		1,268	436
2,642		1,665	977
1,773		1,367	406
1,633		1,255	378
1,312		1,236	76
709		577	132
1,052		710	342
501		396	105
780		739	41
1,321		972	349
6,551		4,716	1,835
11,447		8,782	2,665
21,243		16,379	4,864
19,403		11,085	8,318
9,281		9,357	-76
27,994		22,135	5,859
11,261		9,771	1,490
26,408		11,253	15,155
8,229		9,640	-1,411
19,332		18,309	1,023
31,975		19,768	12,207
27,849		15,361	12,488
20,293		14,417	5,876
956		893	63
6,767		5,136	1,631
16,717		10,919	5,798
16,568		8,813	7,755

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,483		8,464	3,019
30,461		23,453	7,008
51,778		31,889	19,889
18,962		14,431	4,531
12,248		10,683	1,565
7,377		5,507	1,870
870		871	-1
13,042		6,813	6,229
20,000		20,000	
7,827		7,793	34
5,520		4,391	1,129
22,733		25,014	-2,281
9,190		8,097	1,093
11,542		10,552	990
28,732		12,057	16,675
795		791	4
13,508		5,476	8,032
527		527	
8,440		5,782	2,658
28,127		13,612	14,515
3,080		2,953	127
35,932		25,491	10,441
878		842	36
24,592		15,629	8,963
2,461		2,577	-116
39,372		32,805	6,567
25,363		23,388	1,975
1,247		1,231	16
13,540		10,467	3,073
1,130		1,092	38

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h**

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
22,607		14,202	8,405
10,000		10,000	
40,776		37,532	3,244
14,203		13,094	1,109
21,486		20,225	1,261
66,772		66,663	109
25,818		21,173	4,645
6,375		6,111	264

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			15,255
			13,279
			5,013
			1,118
			639
			258
			208
			301
			812
			61
			217
			330
			159
			37
			145
			184
			1,534
			-219
			480
			387
			143
			244
			626
			1,278
			205
			336
			93
			639
			175
			286

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - I**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			619
			244
			88
			436
			977
			406
			378
			76
			132
			342
			105
			41
			349
			1,835
			2,665
			4,864
			8,318
			-76
			5,859
			1,490
			15,155
			-1,411
			1,023
			12,207
			12,488
			5,876
			63
			1,631
			5,798
			7,755

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			3,019
			7,008
			19,889
			4,531
			1,565
			1,870
			-1
			6,229
			34
			1,129
			-2,281
			1,093
			990
			16,675
			4
			8,032
			2,658
			14,515
			127
			10,441
			36
			8,963
			-116
			6,567
			1,975
			16
			3,073
			38

**Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l**

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			<b>(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))</b>
<b>(i) F M V as of 12/31/69</b>	<b>(j) Adjusted basis as of 12/31/69</b>	<b>(k) Excess of col (i) over col (j), if any</b>	
			8,405
			3,244
			1,109
			1,261
			109
			4,645
			264

## TY 2013 Accounting Fees Schedule

**Name:** KATHARINE A HEBERTON CHARITABLE TRUST TD BANK

**EIN:** 33-6325735

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TAX PREPARATION FEE (NON-ALLOC)	575	575		

**TY 2013 Investments Corporate Bonds Schedule****Name:** KATHARINE A HEBERTON CHARITABLE TRUST TD BANK**EIN:** 33-6325735

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
10000 AT&T INC 4.45%	10,594	10,835
15000 ANHEUSER-BUSCH 1.375%	15,116	15,118
20000 BERKSHIRE HATHAWAY 5.40%	22,215	22,843
10000 CATERPILLAR FINL SE 2.05	10,177	10,293
10000 CISCO SYSTEMS INC 4.950%	11,025	11,289
15000 COCA COLA CO 1.500%	15,132	15,224
10000 COMCAST CORP 2.85%	9,979	9,745
20000 GEN ELEC CAP CORP 5.625%	21,342	22,691
5000 GEN ELEC CAP CORP 1.625%	5,044	5,067
20000 JPMORGAN CHASE 6.00%	21,687	22,926
20000 ONTARIO PROVINCE 1.65%	19,937	19,515
20000 ONTARIO PROVINCE 2.95%	20,379	20,407
10000 PFIZER INC NOTES 5.35%	10,469	10,408
15000 PROVINCE OF QUEBEC 2.750	14,982	14,962
10000 SBC COMMUNICATIONS 5.10%	10,175	10,168
10000 TOYOTA MOTOR CREDIT 2.00	10,208	10,283
20000 WACHOVIA CORP 5.75%	21,661	22,961
15000 WAL-MART STORES INC 1.50	15,219	15,233
535 ISHARES TIPS BOND ETF	64,442	60,765
930 ISHARES IBOXX USD INVESTME	109,581	109,880
720 ISHARES 1-3YR TREASURY	60,837	60,854

**TY 2013 Investments Corporate  
Stock Schedule**

**Name:** KATHARINE A HEBERTON CHARITABLE TRUST TD BANK  
**EIN:** 33-6325735

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
720 MATTEL INC	30,879	28,235
350 MCDONALDS CORP	31,840	35,483
430 TIME WARNER INC	27,346	28,578
740 ALATRIA GROUP INC	27,460	29,681
610 COCA COLA CO	21,328	24,882
530 COLGATE PALMOLIVE CO	27,786	35,669
240 KIMBERLY CLARK CORP	19,765	26,940
510 LORILLARD INC	25,808	30,304
420 PHILIP MORRIS INTL INC	35,606	35,881
420 PROCTER & GAMBLE CO	28,045	34,671
330 WAL MART STORES INC	24,640	26,304
550 CONOCOPHILLIPS	33,485	40,871
160 ROYAL DUTCH SHELL PLC	11,458	12,598
520 CME GROUP INC	40,799	36,603
130 COMMONWEALTH BANK OF AUST	8,455	9,504
540 WELLS FARGO & CO NEW	19,934	26,806
310 JOHNSON & JOHNSON CO	15,873	31,400
560 MEDTRONIC INC	32,404	32,939
460 EATON CORP PLC	32,935	33,414
300 BOEING CO	32,407	38,706
370 DEERE & CO	31,521	34,536
600 EMERSON ELECTRIC CO	33,324	40,908
120 HONEYWELL INTL INC	10,451	11,148
530 RAYTHEON CO	47,504	50,604
250 3M CO	25,582	34,773
750 SEAGATE TECHNOLOGY PLC	37,640	39,435
60 APPLE COMPUTER INC	27,364	35,405
1150 INTEL CORP	23,119	30,694
1040 MICROSOFT CORPORATION	28,116	42,016
960 ORACLE CORPORATION	35,965	39,245

<b>Name of Stock</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
250 BHP BILLITON LTD SPONSORED	16,225	17,635
620 DOW CHEMICAL CO	23,905	30,938
1161 AT&T INC	30,104	41,448
718 VERIZON COMMUNICATIONS	32,482	33,552
580 DOMINION RES INC VA NEW	38,646	42,073
486 DUKE ENERGY CORP	30,611	36,202
370 ENTERGY CORP COMMON NEW	23,031	26,825
580 NORTHEAST UTILITIES CORP	24,931	27,411

## TY 2013 Investments Government Obligations Schedule

**Name:** KATHARINE A HEBERTON CHARITABLE TRUST TD BANK  
**EIN:** 33-6325735

**US Government Securities - End of  
Year Book Value:**

348,556

**US Government Securities - End of  
Year Fair Market Value:**

348,893

**State & Local Government  
Securities - End of Year Book  
Value:**

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

## TY 2013 Other Decreases Schedule

**Name:** KATHARINE A HEBERTON CHARITABLE TRUST TD BANK**EIN:** 33-6325735

Description	Amount
ROUNDING ADJUSTMENT	1

## TY 2013 Other Expenses Schedule

**Name:** KATHARINE A HEBERTON CHARITABLE TRUST TD BANK

**EIN:** 33-6325735

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
STATE/PROBATE FEES	35	35		0
OTHER NON-ALLOCABLE EXPENSE -	3	3		0

## TY 2013 Taxes Schedule

**Name:** KATHARINE A HEBERTON CHARITABLE TRUST TD BANK**EIN:** 33-6325735

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES	131	131		0
FEDERAL TAX PAYMENT - PRIOR YE	857	0		0
FEDERAL ESTIMATES - PRINCIPAL	1,224	0		0