



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 05-01-2013 , and ending 04-30-2014

Name of foundation STANLEY AND CATHERINE MAAS FOUNDATI		A Employer identification number 11-2690167	
Number and street (or P O box number if mail is not delivered to street address) 19 TURKEY HILL CIRCLE		Room/suite	B Telephone number (see instructions) (203) 254-0516
City or town, state or province, country, and ZIP or foreign postal code WESTPORT, CT 06880		C If exemption application is pending, check here ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ☒ \$ 2,940,541		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	7,308	4,693		
	4 Dividends and interest from securities.	72,294	72,294		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	692,456			
	b Gross sales price for all assets on line 6a 2,954,756				
	7 Capital gain net income (from Part IV, line 2) . . .		692,456		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	772,058	769,443		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	7,839			
	c Other professional fees (attach schedule)	6,454	6,090		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	7,502	1,252		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	2,037	2,037		
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	23,832	9,379		0
	25 Contributions, gifts, grants paid	130,000			130,000
	26 Total expenses and disbursements. Add lines 24 and 25	153,832	9,379		130,000
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	618,226			
	b Net investment income (if negative, enter -0-)		760,064		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	14,278	15,533	15,533
	2	Savings and temporary cash investments	90,038	337,245	337,245
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	267,325		
	b	Investments—corporate stock (attach schedule)	1,294,671	☒ 2,448,418	2,517,821
	c	Investments—corporate bonds (attach schedule).	582,079	☒ 15,564	15,470
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)		☒ 51,475	54,472
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	☒ 1,618			
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,250,009	2,868,235	2,940,541	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	2,250,009	2,868,235	
	30	Total net assets or fund balances (see page 17 of the instructions)	2,250,009	2,868,235	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	2,250,009	2,868,235		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	2,250,009
2	Enter amount from Part I, line 27a	2	618,226
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	2,868,235
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	2,868,235

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	See Additional Data Table		
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a	See Additional Data Table		
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	692,456
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	38,373

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	120,000	2,614,658	0 045895
2011	110,000	2,546,166	0 043202
2010	62,300	2,434,848	0 025587
2009	62,300	1,365,546	0 045623
2008	69,122	1,047,944	0 065960

2	Total of line 1, column (d).	2	0 226267
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 045253
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	2,848,306
5	Multiply line 4 by line 3.	5	128,894
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	7,601
7	Add lines 5 and 6.	7	136,495
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	130,000

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b	1	15,201
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2.	3	15,201
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	15,201
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	6,485
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d.	7	6,485
8	Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached.	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	8,716
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ☐ Refunded ☐	11	

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c	Did the foundation file Form 1120-POL for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☐ \$ _____ (2) On foundation managers ☐ \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of CATHERINE NOLAN Telephone no (203) 254-0516 Located at 19 TURKEY HILL CIRCLE WESTPORT CT ZIP +4 06880			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. ☐ Yes ☒ No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. ☐ Yes ☒ No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here. ☒	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. If "Yes," list the years 20____, 20____, 20____, 20____ ☐ Yes ☒ No			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		☐ Yes	☒ No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		☐ Yes	☒ No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		☐ Yes	☒ No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).		☐ Yes	☒ No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		☐ Yes	☒ No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here.		☒		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?		☐ Yes	☐ No	
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		☐ Yes	☒ No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b No		
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		☐ Yes	☒ No	
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CATHERINE NOLAN  19 TURKEY HILL CIRCLE WESTPORT, CT 06880	PRESIDENT 2 00	0	0	0
MATTHEW SPIEGEL  19 TURKEY HILL CIRCLE WESTPORT, CT 06880	TREASURER 1 00	0	0	0
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	2,848,504
b	Average of monthly cash balances.	1b	43,177
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	2,891,681
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	2,891,681
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	43,375
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,848,306
6	Minimum investment return. Enter 5% of line 5.	6	142,415

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	142,415
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	15,201
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	15,201
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	127,214
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	127,214
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	127,214

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	130,000
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	130,000
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	130,000
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				127,214
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			127,237	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 130,000				
a Applied to 2012, but not more than line 2a			127,237	
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				2,763
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				124,451
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .				

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	130,000
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
327 BANK OF AMERICA 8	P	2012-03-05	2013-05-01
5 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-08
4 CORP BACKED TRUST CERTS 6 00	P	2013-04-01	2013-05-09
19 ANGLOGOLD ASHANTI LTD NEW	P	2012-12-14	2013-05-17
697 BANK OF AMER CORP 8 625% PR	P	2011-02-25	2013-05-28
6 EBAY INC	P	2011-03-17	2013-06-10
5 HOME DEPOT INC	P	2008-01-02	2013-06-21
17 ROCKWELL AUTOMATION INC NEW	P	2012-02-27	2013-07-08
5 DEERE AND CO	P	2011-06-29	2013-07-17
30 SIEMENS A G SPON ADR	P	2008-10-24	2013-07-25
14 VIACOM INC NEW CL B	P	2011-06-06	2013-08-08
3 SATURNS TR NO 2007 1 7 00	P	2013-05-08	2013-08-16
1 SATURNS TR NO 2007 1 7 00	P	2013-04-30	2013-08-21
350 ALLIANZ FIXED INCOME SH	P	2010-12-30	2013-08-26
18000 UNITED STATES TREA 1 125% 12/3	P	2013-01-09	2013-11-26
7000 UNITED STATES TREASURY 2% 2/15/	P	2013-03-01	2013-11-26
204 TESCO PLC SPONS ADR (TSCDY)	P	2013-05-16	2013-11-26
60 WELLS FARGO & CO (WFC)	P	2013-07-24	2013-11-26
129 KINROSS GOLD CORP (KGC)	P	2009-10-30	2013-11-26
35 JOHNSON & JOHNSON (JNJ)	P	2010-02-10	2013-11-26
100 COMCAST CORP CL A SPL NEW (CMCSK	P	2011-08-10	2013-11-26
3 APPLE COMPUTER INC (AAPL)	P	2012-10-26	2013-11-26
40 BORG WARNER INC (BWA)	P	2010-06-22	2013-11-26
30 JOHNSON & JOHNSON (JNJ)	P	2009-04-22	2013-11-26
16 MONSANTO CO NEW (MON)	P	2012-08-21	2013-11-26
35 GLOBAL PAYMENTS INC (GPN)	P	2008-01-15	2013-11-26
40 9756 CREDIT SUISSE GROUP (CS)	P	2011-08-17	2013-11-26
40 VERTEX PHARMS INC (VRTX)	P	2008-11-06	2013-11-26
66 KINROSS GOLD CORP (KGC)	P	2011-02-24	2013-11-26
87 TALISMAN ENERGY INC (TLM)	P	2012-10-31	2013-11-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
45 FLOWSERVE CORP (FLS)	P	2009-03-26	2013-11-26
20 CREE INC (CREE)	P	2011-04-20	2013-11-26
1000 FANNIE MAE FN AK 4% 03/01/2042	P	2013-03-13	2013-11-26
20 HARSCO CORP (HSC)	P	2005-10-21	2013-11-26
32 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-04-09	2013-11-26
10 MONSANTO CO NEW (MON)	P	2010-10-04	2013-11-26
5 MONSANTO CO NEW (MON)	P	2010-01-27	2013-11-26
13 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-09	2013-11-26
5 EATON CORPORATION PUBLIC LI (ETN)	P	2012-12-03	2013-11-26
12 COMCAST CORP CL A SPL NEW (CMCSK)	P	2006-01-03	2013-11-26
10 ALUMINA LTD (AWC)	P	2005-10-21	2013-11-26
5 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-01	2013-11-26
8 CALAMOS CVT AND HIGH INCOME (CHY)	P	2013-08-15	2013-11-26
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2013-02-15	2013-11-26
2 SNAP ON INC (SNA)	P	2010-02-16	2013-11-26
3 ABBOTT LABORATORIES (ABT)	P	2010-02-19	2013-11-26
1 ACE LTD (ACE)	P	2011-02-11	2013-11-26
1 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-03-05	2013-11-26
174 XILINX INC (XLNX)	P	2010-02-18	2013-12-05
47 TARGET CORP (TGT)	P	2011-03-03	2013-12-05
15 GOLDMAN SACHS GRP INC (GS)	P	2011-05-17	2013-12-05
81 HSBC USA INC NEW (HUSI-G)	P	2012-06-13	2013-12-05
72 NUVEEN BUILD AMERICAN BOND (NBB)	P	2013-03-28	2013-12-05
50 HSBC USA INC NEW (HUSI-G)	P	2012-06-13	2013-12-05
45 WOLTERS KLUWER N V (WTKWY)	P	2009-06-03	2013-12-05
30 VALSPAR CORP (VAL)	P	2009-08-10	2013-12-05
10 THE SCOTTS MIRACLE-GRO CO (SMG)	P	2006-10-19	2013-12-05
15 JUNIPER NETWORKS INC (JNPR)	P	2011-12-09	2013-12-05
2 WELLS FARGO & CO 8% SRS (WFC-J)	P	2013-04-17	2013-12-05
7 BLACKROCK INC CL A (BLK)	P	2013-01-28	2013-12-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 CITRIX SYSTEMS INC (CTXS)	P	2013-06-26	2013-12-11
226 MITSUBISHI TANABE PHARMA CO (MTZ	P	2013-05-09	2013-12-19
100 RBS CAPITAL FUNDING TRUST V (RBS	P	2013-01-30	2013-12-19
517 SUMITOMO MITSUI TRUST (SUTNY)	P	2011-04-01	2013-12-19
89 SANTANDER FIN PFD SA UNI SR (SAN-	P	2010-06-16	2013-12-19
15 UNITED PARCEL SERVICE INC C (UPS)	P	2011-03-16	2013-12-19
10 UNITED PARCEL SERVICE INC C (UPS)	P	2011-03-30	2013-12-19
16 JP MORGAN CHASE & CO (JPM)	P	2009-01-07	2013-12-19
25 NATIONAL BANK OF GREECE SA (NBG-	P	2013-06-03	2013-12-19
13 ROYAL BANK SCOTLAND 6 35% (RBS-N)	P	2012-07-10	2013-12-19
16 NATIONAL BANK OF GREECE SA (NBG-	P	2013-05-13	2013-12-19
1 NESTLE S A SPONS ADR (NSRGY)	P	2009-08-26	2013-12-19
58 COCA-COLA ENTERPRISES INC (CCE)	P	2012-10-31	2013-12-20
25 RAYMOND JAMES FINL INC (RJF)	P	2007-03-27	2013-12-20
5 YUM BRANDS INC (YUM)	P	2013-02-07	2013-12-20
8 7143 ROYCE GLOBAL VALUE TRUST WI (	P	2013-10-18	2013-12-20
765 FINMECCANICA SPA (FINMY)	P	2010-11-24	2013-12-23
69 AXIS CAPITAL HLDGS LTD (AXS)	P	2010-02-04	2013-12-23
25 TRAVELERS COS INC (TRV)	P	2010-02-11	2013-12-23
40 NASDAQ OMX GROUP (NDAQ)	P	2009-12-02	2013-12-23
60 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-02-13	2013-12-23
33 BLACKROCK MUNI YIELD CALIF (MCA)	P	2013-07-23	2013-12-23
19 FIRST TRUST HIGH INCOME LON (FSD)	P	2013-02-25	2013-12-23
6 DARDEN RESTAURANTS INC (DRI)	P	2010-01-11	2013-12-23
7 OXFORD LANE CAPITAL CORP (OXLC)	P	2013-02-11	2013-12-23
2 FIRST TRUST HIGH INCOME LON (FSD)	P	2013-04-15	2013-12-23
320 PROGRESSIVE CORP (PGR)	P	2010-05-17	2013-12-26
381 TELECOM ITALIA SPA SAVINGS (TI	P	2013-03-13	2013-12-26
84 TELECOM ITALIA SPA SAVINGS (TI A	P	2005-10-21	2013-12-26
25 ROYAL DUTCH SHELL PLC CL B (RDS B	P	2013-02-05	2013-12-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
46 CAMECO CORP (CCJ)	P	2011-03-16	2013-12-26
45 BROADCOM CORPORATION CL A (BRCM)	P	2006-09-07	2013-12-26
44 CAMECO CORP (CCJ)	P	2011-08-04	2013-12-26
74 HOME RETAIL GROUP PLC ADR (HMRTY)	P	2011-06-09	2013-12-26
47 SHISEIDO LTD SPONS ADR (SSDOY)	P	2012-08-02	2013-12-26
11 SLM CORP 6 97% SRS A (SLMAP)	P	2013-04-01	2013-12-26
25 AKAMAI TECH INC (AKAM)	P	2009-08-18	2013-12-26
13 BANK OF AMERICA CORP 0% SRS (BML-	P	2011-06-01	2013-12-26
4 INTUIT (INTU)	P	2010-01-11	2013-12-26
3 BLACKROCK BUILD AMERICA BON (BBN)	P	2013-07-31	2013-12-26
470 ACTIVISION BLIZZARD INC (ATVI)	P	2012-03-26	2013-12-27
14 INTERNATIONAL BUSINESS MACH (IBM)	P	2010-11-03	2013-12-27
61 ROHM CO LTD (ROHCY)	P	2009-02-19	2013-12-27
32 PHILIP MORRIS INTERNATIONAL (PM)	P	2008-11-19	2013-12-27
47 GATX CORP (GMT)	P	2009-02-18	2013-12-27
30 NATIONAL OILWELL VARCO INC (NOV)	P	2008-10-24	2013-12-27
28 JOY GLOBAL INC (JOY)	P	2009-02-18	2013-12-27
38 ACTIVISION BLIZZARD INC (ATVI)	P	2012-04-23	2013-12-27
10 FLUOR CORP (NEW) (FLR)	P	2008-11-19	2013-12-27
4 CAMERON INTERNATIONAL CORP (CAM)	P	2013-04-25	2013-12-27
7 ROYCE VALUE TR INC (RVT)	P	2013-06-27	2013-12-27
47 BANK OF AMERICA 8 2	P	2012-07-23	2013-05-01
5 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-08
2 CORP BACKED TRUST CERTS 6 00	P	2013-04-01	2013-05-09
4 DEERE AND CO	P	2011-06-10	2013-05-17
8 ING GROEP N V CUML 8 50	P	2011-02-09	2013-05-28
24 PNC FINANCIAL SERVICES GRO	P	2011-05-17	2013-06-12
1 DEERE AND CO	P	2011-06-10	2013-06-21
26 BROADCOM CORP CL A	P	2011-10-14	2013-07-08
8 THERMO FISHER SCIENTIFIC INC	P	2010-06-10	2013-07-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
14 SIEMENS A G SPON ADR	P	2008-09-29	2013-07-25
9 VIACOM INC NEW CL B	P	2011-03-18	2013-08-08
2 SATURNS TR NO 2007 1 7 00	P	2013-05-08	2013-08-16
1 SATURNS TR NO 2007 1 7 00	P	2013-04-30	2013-08-21
153 ONEOK INC NEW	P	2009-12-10	2013-08-26
15000 LONG ISLAND PWR AUTH N 5% 12/	P	2006-10-20	2013-11-26
208 AARONS INC (AAN)	P	2012-08-31	2013-11-26
69 FRANKLIN RESOURCES INC (BEN)	P	2013-06-05	2013-11-26
130 HSBC USA INC 0% SRS F (HUSI-F)	P	2012-05-24	2013-11-26
128 CABCO TR JCP 7 625% DEBS TR (PFH	P	2013-03-05	2013-11-26
35 ACE LTD (ACE)	P	2011-02-02	2013-11-26
47 VIACOM INC NEW CL B (VIAB)	P	2011-09-28	2013-11-26
20 EXXON MOBIL CORP (XOM)	P	2013-05-08	2013-11-26
81 EMC CORP (EMC)	P	2010-09-30	2013-11-26
70 ROYAL BK SCOTLAND PFD 'M' A (RBS-	P	2013-04-24	2013-11-26
42 BARRICK GOLD CORP (ABX)	P	2012-12-21	2013-11-26
40 BARRICK GOLD CORP (ABX)	P	2012-07-27	2013-11-26
66 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-03-18	2013-11-26
42 TYCO INTERNATIONAL LTD (TYC)	P	2012-03-07	2013-11-26
16 JOHNSON & JOHNSON (JNJ)	P	2010-02-16	2013-11-26
1000 UNITED STATES TREASURY 2% 11/15	P	2013-08-20	2013-11-26
40 ABBOTT LABORATORIES (ABT)	P	2009-09-02	2013-11-26
20 GLOBAL PAYMENTS INC (GPN)	P	2007-03-27	2013-11-26
31 EMC CORP (EMC)	P	2013-04-30	2013-11-26
28 ABBOTT LABORATORIES (ABT)	P	2009-07-29	2013-11-26
25 INTEGRYS ENERGY GROUP INC (TEG)	P	2009-03-03	2013-11-26
36 WESTERN ASSET/CLAYMORE INFL (WIW)	P	2013-01-03	2013-11-26
15 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-08	2013-11-26
13 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-09	2013-11-26
10 THE ADT CORPORATION (ADT)	P	2008-01-02	2013-11-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 CHECK POINT SOFTWARE TECH L (CHKP)	P	2013-07-05	2013-11-26
11 MORGAN STANLEY EMERGING MAR (EDD)	P	2011-10-14	2013-11-26
5 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-01	2013-11-26
4 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-01	2013-11-26
5 ALUMINA LTD (AWC)	P	2005-10-28	2013-11-26
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2011-08-15	2013-11-26
6 CALAMOS CVT AND HIGH INCOME (CHY)	P	2011-06-15	2013-11-26
5 AUTODESK INC (ADSK)	P	2009-03-03	2013-11-26
1 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-08-21	2013-11-26
218 NABORS INDS LTD (NBR)	P	2011-11-03	2013-12-05
16 GOLDMAN SACHS GRP INC (GS)	P	2011-05-04	2013-12-05
54 JOHNSON CTLS INC (JCI)	P	2010-12-02	2013-12-05
23 LOCKHEED MARTIN CORP (LMT)	P	2009-08-26	2013-12-05
53 HSBC HOLDINGS PLC 8% SRS 2 (HSEB)	P	2013-01-23	2013-12-05
6 GOLDMAN SACHS GRP INC (GS)	P	2010-04-07	2013-12-05
50 SK TELECOM LTD SPONS ADR (SKM)	P	2011-02-25	2013-12-05
37 HSBC USA INC NEW (HUSI-G)	P	2012-06-13	2013-12-05
11 CVS CAREMARK CORP (CVS)	P	2012-01-04	2013-12-05
6 ACCENTURE PLC CL A (ACN)	P	2010-11-12	2013-12-05
2 WELLS FARGO & CO 8% SRS (WFC-J)	P	2013-04-17	2013-12-05
46 KB FINANCIAL GROUP INC ADR (KB)	P	2012-12-20	2013-12-11
482 ING GROEP NV 8 5% SERIES (IGK)	P	2010-05-28	2013-12-15
56 SEVEN & I HOLDINGS CO LTD (SVNDY)	P	2009-06-05	2013-12-19
58 JP MORGAN CHASE & CO (JPM)	P	2012-05-16	2013-12-19
22 CHEVRON CORP (CVX)	P	2010-01-06	2013-12-19
52 TRIMBLE NAV LTD (TRMB)	P	2012-11-29	2013-12-19
36 TRIMBLE NAV LTD (TRMB)	P	2013-05-13	2013-12-19
15 THERMO FISHER SCIENTIFIC IN (TMO)	P	2010-10-13	2013-12-19
16 JP MORGAN CHASE & CO (JPM)	P	2008-12-03	2013-12-19
33 NATIONAL BANK OF GREECE SA (NBG-	P	2013-05-13	2013-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
13 ROYAL BANK SCOTLAND 6 35% (RBS-N)	P	2012-07-10	2013-12-19
14 NATIONAL BANK OF GREECE SA (NBG-	P	2013-05-13	2013-12-19
4 NATIONAL BANK OF GREECE SA (NBG-A	P	2013-05-13	2013-12-19
64 AT&T INC (T)	P	2009-11-11	2013-12-20
25 RAYMOND JAMES FINL INC (RJF)	P	2008-01-15	2013-12-20
5 COVANCE INC (CVD)	P	2006-07-06	2013-12-20
7 ROYCE GLOBAL VALUE TRUST WI (RGT)	P	2013-10-18	2013-12-20
883 FINMECCANICA SPA (FINMY)	P	2011-08-04	2013-12-23
68 DARDEN RESTAURANTS INC (DRI)	P	2009-02-18	2013-12-23
30 NIKE INC CL B (NKE)	P	2011-06-07	2013-12-23
76 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-02-13	2013-12-23
59 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-02-13	2013-12-23
9 WALT DISNEY CO (DIS)	P	2012-08-08	2013-12-23
20 FIRST TRUST HIGH INCOME LON (FSD)	P	2012-12-05	2013-12-23
11 REPUBLIC SVCS INC (RSG)	P	2005-07-26	2013-12-23
2 TRAVELERS COS INC (TRV)	P	2009-11-10	2013-12-23
2 FIRST TRUST HIGH INCOME LON (FSD)	P	2013-01-15	2013-12-23
260 BLACKROCK BUILD AMERICA BON (BBN	P	2013-01-18	2013-12-26
79 FUJI FILM HOLDINGS CORP ADR (FUJI	P	2006-02-08	2013-12-26
57 FUJI FILM HOLDINGS CORP ADR (FUJI	P	2008-03-05	2013-12-26
19 UNITED TECH CORP (UTX)	P	2013-04-03	2013-12-26
83 AKAMAI TECH INC (AKAM)	P	2009-02-18	2013-12-26
48 GOLDMAN SACHS GROUP INC (GS-J)	P	2013-06-04	2013-12-26
54 BANK OF AMERICA CORP 0% SRS (BML-	P	2011-06-01	2013-12-26
15 PROCTER & GAMBLE CO (PG)	P	2006-01-04	2013-12-26
20 ALLEGHENY TECH INC (ATI)	P	2013-03-11	2013-12-26
43 NUVEEN NEWYORK AMT-FREE MU (NRK)	P	2013-07-23	2013-12-26
82 HOME RETAIL GROUP PLC ADR (HMRTY)	P	2011-12-23	2013-12-26
5 DOLBY LABORATORIES INC (DLB)	P	2011-04-06	2013-12-26
4 AKAMAI TECH INC (AKAM)	P	2010-01-11	2013-12-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 BLACKROCK BUILD AMERICA BON (BBN)	P	2013-08-30	2013-12-26
208 DB CONT CAPITAL TRUST V 8 0 (DKT	P	2012-07-27	2013-12-27
46 HONEYWELL INTL INC (HON)	P	2010-08-11	2013-12-27
36 BIOGEN IDEC, INC (BIIB)	P	2005-08-17	2013-12-27
26 FLUOR CORP (NEW) (FLR)	P	2011-10-03	2013-12-27
27 NETAPP INC (NTAP)	P	2013-05-28	2013-12-27
49 ROYCE VALUE TR INC (RVT)	P	2013-02-27	2013-12-27
25 AMC NETWORKS INC (AMCX)	P	2006-01-03	2013-12-27
15 NETAPP INC (NTAP)	P	2012-06-15	2013-12-27
25 BLACKROCK CREDIT ALLOCATION (BTZ)	P	2013-07-10	2013-12-27
4 PRUDENTIAL FINL (PRU)	P	2010-02-25	2013-12-27
7 ROYCE VALUE TR INC (RVT)	P	2013-03-25	2013-12-27
44 BANK OF AMERICA 8 2	P	2012-08-09	2013-05-01
5 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-08
1 CORP BACKED TRUST CERTS 6 00	P	2013-04-01	2013-05-09
12 NEWCREST MINING LTD SPON AUS	P	2008-08-13	2013-05-17
8 ING GROEP N V CUMUL 8 50	P	2011-02-09	2013-05-28
8 PNC FINANCIAL SERVICES GROU	P	2011-05-11	2013-06-12
1 BED BATH & BEYOND INC	P	2008-01-02	2013-06-26
24 BROADCOM CORP CL A	P	2010-06-24	2013-07-08
14 WALT DISNEY CO (HOLDING CO)	P	2001-10-05	2013-07-17
11 SIEMENS A G SPON ADR	P	2011-09-27	2013-07-25
2 VIACOM INC NEW CL B	P	2011-06-01	2013-08-08
2 SATURNS TR NO 2007 1 7 00	P	2013-05-08	2013-08-19
1 SATURNS TR NO 2007 1 7 00	P	2013-04-30	2013-08-21
260 ALLIANZ FIXED INCOME SH	P	2013-08-12	2013-08-26
13000 US TREASURY T 125% 07/15/2022	P	2012-12-07	2013-11-26
6000 UNITED STATES TREASURY 2% 2/15/	P	2013-03-05	2013-11-26
195 PANASONIC CORP (PCRFY)	P	2007-08-17	2013-11-26
49 GENERAL DYNAMICS CORP (GD)	P	2009-02-18	2013-11-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
98 COMCAST CORP CL A SPL NEW (CMCSK)	P	2011-07-27	2013-11-26
30 EXXON MOBIL CORP (XOM)	P	2010-12-08	2013-11-26
2000 UNITED STATES TREAS 0 75% 10/31	P	2013-07-09	2013-11-26
123 COMCAST CORP CL A SPL NEW (CMCSK	P	2010-02-19	2013-11-26
32 UNITED RENTALS INC (URI)	P	2013-07-08	2013-11-26
47 MANPOWERGROUP (MAN)	P	2012-07-24	2013-11-26
35 ANALOG DEVICES INC (ADI)	P	2012-10-01	2013-11-26
25 EATON CORPORATION PUBLIC LI (ETN)	P	2012-12-03	2013-11-26
45 INTEGRYS ENERGY GROUP INC (TEG)	P	2009-03-30	2013-11-26
37 BARRICK GOLD CORP (ABX)	P	2013-03-21	2013-11-26
49 ONEOK INC (OKE)	P	2009-12-10	2013-11-26
25 6097 CREDIT SUISSE GROUP (CS)	P	2011-06-28	2013-11-26
16 WACOAL HOLDINGS CORP (WACLY)	P	2013-03-11	2013-11-26
50 KINROSS GOLD CORP (KGC)	P	2010-08-18	2013-11-26
29 TYCO INTERNATIONAL LTD (TYC)	P	2011-11-16	2013-11-26
46 WESTERN ASSET/CLAYMORE INFL (WIW)	P	2013-01-07	2013-11-26
45 DIRECTV (DTV)	P	2006-01-03	2013-11-26
17 DANAHER CORP (DHR)	P	2009-03-26	2013-11-26
10 GENERAL MILLS INC (GIS)	P	2010-11-12	2013-11-26
6 SNAP ON INC (SNA)	P	2011-11-02	2013-11-26
4 SNAP ON INC (SNA)	P	2011-07-19	2013-11-26
8 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-09	2013-11-26
2 CR BARD INC (BCR)	P	2010-01-11	2013-11-26
5 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-01	2013-11-26
10 EASTMAN CHEM CO (EMN)	P	2009-03-03	2013-11-26
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2012-10-16	2013-11-26
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2011-09-15	2013-11-26
6 CALAMOS CVT AND HIGH INCOME (CHY)	P	2011-07-15	2013-11-26
2 HARSCO CORP (HSC)	P	2010-02-16	2013-11-26
1 WESTERN ASSET/CLAYMORE INFL (WIW)	P	2013-02-12	2013-11-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
514 AEGON NV (AEG)	P	2013-05-01	2013-12-05
82 XILINX INC (XLNX)	P	2011-01-05	2013-12-05
60 THE SCOTTS MIRACLE-GRO CO (SMG)	P	2008-01-15	2013-12-05
96 NABORS INDS LTD (NBR)	P	2012-01-27	2013-12-05
39 TEVA PHARMACEUTICAL INDUSTR (TEVA	P	2013-01-15	2013-12-05
49 HSBC USA INC NEW (HUSI-G)	P	2012-06-13	2013-12-05
22 ACCENTURE PLC CL A (ACN)	P	2010-09-15	2013-12-05
30 VALSPAR CORP (VAL)	P	2009-04-28	2013-12-05
15 ACCENTURE PLC CL A (ACN)	P	2009-02-20	2013-12-05
10 CVS CAREMARK CORP (CVS)	P	2009-02-27	2013-12-05
2 WELLS FARGO & CO 8% SRS (WFC-J)	P	2013-04-18	2013-12-05
24 KB FINANCIAL GROUP INC ADR (KB)	P	2013-01-16	2013-12-11
342 ING GROEP NV 8 5% SERIES (IGK)	P	2011-02-09	2013-12-15
100 SANTANDER FIN PFD SA UNI 10 (SAN	P	2010-06-03	2013-12-19
37 STANLEY BLACK & DECKER INC (SWK)	P	2011-09-14	2013-12-19
34 THERMO FISHER SCIENTIFIC IN (TMO)	P	2011-08-10	2013-12-19
67 RBS CAPITAL FUNDING TRUST V (RBS-	P	2013-02-26	2013-12-19
34 SWISSCOM SPONS ADR (SCMWY)	P	2006-01-31	2013-12-19
40 ROYAL BANK SCOTLAND 6 35% (RBS-N)	P	2012-07-10	2013-12-19
25 ROYAL BANK SCOTLAND 6 35% (RBS-N)	P	2012-07-10	2013-12-19
33 NATIONAL BANK OF GREECE SA (NBG-	P	2013-05-13	2013-12-19
3 CHEVRON CORP (CVX)	P	2009-02-06	2013-12-19
14 NATIONAL BANK OF GREECE SA (NBG-	P	2013-05-14	2013-12-19
1 METLIFE INC (MET)	P	2010-02-19	2013-12-19
34 COVANCE INC (CVD)	P	2005-07-26	2013-12-20
15 COVANCE INC (CVD)	P	2005-11-08	2013-12-20
5 COVANCE INC (CVD)	P	2006-07-13	2013-12-20
5 8571 ROYCE GLOBAL VALUE TRUST WI (	P	2013-10-18	2013-12-20
75 GLAXOSMITHKLINE PLC ADS (GSK)	P	2011-02-08	2013-12-23
28 QUALCOMM INC (QCOM)	P	2012-09-17	2013-12-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
89 BLACKROCK MUNI YIELD CALIF (MCA)	P	2013-07-24	2013-12-23
71 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-02-13	2013-12-23
59 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-02-13	2013-12-23
20 REPUBLIC SVCS INC (RSG)	P	2006-07-13	2013-12-23
19 FIRST TRUST HIGH INCOME LON (FSD)	P	2012-12-05	2013-12-23
12 OXFORD LANE CAPITAL CORP (OXLC)	P	2013-02-12	2013-12-23
6 FIRST TRUST HIGH INCOME LON (FSD)	P	2012-12-05	2013-12-23
10 FINMECCANICA SPA (FINMY)	P	2011-08-05	2013-12-23
463 NUVEEN CREDIT STRATEGIES IN (JQC)	P	2011-02-15	2013-12-26
112 NIPPON TELEG & TEL CORP SPO (NTT)	P	2010-12-15	2013-12-26
32 EXPRESS SCRIPTS HOLDING CO (ESRX)	P	2013-08-14	2013-12-26
156 ALLIANZ SE ADR (AZSEY)	P	2011-08-09	2013-12-26
35 ANADARKO PETE CORP (APC)	P	2006-09-25	2013-12-26
95 NUVEEN NEW YORK AMT-FREE MU (NRK)	P	2013-07-24	2013-12-26
51 SHISEIDO LTD SPONS ADR (SSDOY)	P	2008-12-17	2013-12-26
18 SLM CORP 6 97% SRS A (SLMAP)	P	2013-02-25	2013-12-26
20 DOLBY LABORATORIES INC (DLB)	P	2011-12-07	2013-12-26
15 FOREST LABS INC (FRX)	P	2013-02-15	2013-12-26
45 ERICSSON L M TEL CO AD (ERIC)	P	2012-09-07	2013-12-26
8 INTUIT (INTU)	P	2010-02-16	2013-12-26
5 SATURNS-JCP 2007-1 7% 3/1/9 (HJV)	P	2013-04-30	2013-12-26
3 PFIZER INC (PFE)	P	2011-01-14	2013-12-26
108 PHILIP MORRIS INTERNATIONAL (PM)	P	2008-10-07	2013-12-27
15 INTERNATIONAL BUSINESS MACH (IBM)	P	2010-07-28	2013-12-27
9 PRECISION CASTPARTS CORP (PCP)	P	2012-10-08	2013-12-27
2 GOOGLE INC (GOOG)	P	2011-01-03	2013-12-27
52 DAIICHI SANKYO COMPANY LIM (DSNK)	P	2011-04-12	2013-12-27
12 CAMERON INTERNATIONAL CORP (CAM)	P	2013-07-31	2013-12-27
10 SCHLUMBERGER LTD (SLB)	P	2010-08-24	2013-12-27
24 DAIICHI SANKYO COMPANY LIM (DSNK)	P	2011-04-08	2013-12-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
17 DAIICHI SANKYO COMPANY LIM (DSNK	P	2011-04-11	2013-12-27
7 DB CONT CAPITAL TRUST V 8 0 (DKT)	P	2013-03-27	2013-12-27
6 CSX CORP (CSX)	P	2009-09-18	2013-12-27
38 VODAFONE GROUP PLC NEW SPO	P	2008-07-22	2013-05-02
5 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-08
9 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-10
5 CELGENE CORP	P	2008-12-02	2013-05-17
89 US BANCORP DEL (NEW)	P	2012-07-18	2013-05-29
1075 ALLIANZ FIXED INCOME S	P	2009-04-01	2013-06-13
0 38 MALLINCKRODT PUB LTD CO	P	2006-01-03	2013-07-01
17 BROADCOM CORP CL A	P	2011-02-07	2013-07-08
3 AMAZON COM INC	P	2008-09-29	2013-07-17
8 SIEMENS A G SPON ADR	P	2013-04-11	2013-07-25
12000 US TSY NOTE 01 375 %	P	2012-03-01	2013-08-09
1 SATURNS TR NO 2007 1 7 00	P	2013-05-08	2013-08-19
1 SATURNS TR NO 2007 1 7 00	P	2013-04-30	2013-08-21
215 ALLIANZ FIXED INCOME SH	P	2011-10-04	2013-08-26
13000 UNITED STATES TREASURY 2% 11/1	P	2013-05-07	2013-11-26
5000 US TREASURY T 125% 07/15/2022	P	2012-07-24	2013-11-26
56 WACOAL HOLDINGS CORP (WACLY)	P	2005-07-27	2013-11-26
191 TALISMAN ENERGY INC (TLM)	P	2011-10-20	2013-11-26
82 TYCO INTERNATIONAL LTD (TYC)	P	2012-11-29	2013-11-26
56 GENERAL MILLS INC (GIS)	P	2012-02-22	2013-11-26
233 ING GROEP NV ADR (ING)	P	2013-02-22	2013-11-26
74 EATON VANCE CORP COM NON VT (EV)	P	2005-07-26	2013-11-26
505 CARREFOUR SA (CRRFY)	P	2012-07-25	2013-11-26
46 GENERAL MILLS INC (GIS)	P	2009-10-19	2013-11-26
100 CABLEVISION SYSTEMS CORP CL (CVC	P	2006-01-03	2013-11-26
14 SIEMENS AG ADR (SI)	P	2008-09-29	2013-11-26
25 AMERIPRISE FINANCIAL INC (AMP)	P	2010-04-01	2013-11-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
18 UNITEDHEALTH GRP INC (UNH)	P	2013-04-18	2013-11-26
30 NUCOR CORP (NUE)	P	2009-02-27	2013-11-26
129 ING GROEP NV ADR (ING)	P	2013-03-26	2013-11-26
12 JOHNSON & JOHNSON (JNJ)	P	2012-12-05	2013-11-26
20 SNAP ON INC (SNA)	P	2009-08-13	2013-11-26
1000 FNMA POOL FN AL1 4% 09/01/2041	P	2013-04-26	2013-11-26
6 PIONEER NAT RES CO (PXD)	P	2011-02-16	2013-11-26
10 HARSCO CORP (HSC)	P	2008-01-15	2013-11-26
1 APPLE COMPUTER INC (AAPL)	P	2013-01-30	2013-11-26
10 CREE INC (CREE)	P	2011-06-16	2013-11-26
6 EATON CORPORATION PUBLIC LI (ETN)	P	2012-12-03	2013-11-26
10 EATON VANCE CORP COM NON VT (EV)	P	2006-07-06	2013-11-26
5 SNAP ON INC (SNA)	P	2006-07-13	2013-11-26
50 CARREFOUR SA (CRRFY)	P	2012-07-31	2013-11-26
5 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-01	2013-11-26
3 1193 PENTAIR LTD (PNR)	P	2008-07-18	2013-11-26
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2013-06-14	2013-11-26
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2012-11-16	2013-11-26
6 CALAMOS CVT AND HIGH INCOME (CHY)	P	2012-03-15	2013-11-26
2 EATON VANCE CORP COM NON VT (EV)	P	2010-10-28	2013-11-26
1 WESTERN ASSET/CLAYMORE INFL (WIW)	P	2013-03-28	2013-11-26
92 VALSPAR CORP (VAL)	P	2011-07-11	2013-12-05
27 PPG INDS INC (PPG)	P	2011-02-28	2013-12-05
45 ACCENTURE PLC CL A (ACN)	P	2010-11-10	2013-12-05
39 LORILLARD INC (LO)	P	2012-02-29	2013-12-05
41 SANOFI-AVENTIS (SNY)	P	2008-08-01	2013-12-05
26 TEVA PHARMACEUTICAL INDUSTR (TEVA	P	2012-09-18	2013-12-05
60 JUNIPER NETWORKS INC (JNPR)	P	2009-02-27	2013-12-05
8 CATERPILLAR INC (CAT)	P	2013-05-17	2013-12-05
16 ACCENTURE PLC CL A (ACN)	P	2009-03-27	2013-12-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 VALSPAR CORP (VAL)	P	2007-10-23	2013-12-05
2 XILINX INC (XLNX)	P	2010-10-28	2013-12-05
26 KB FINANCIAL GROUP INC ADR (KB)	P	2013-07-18	2013-12-11
2351 COHEN & STEERS INFRASTRUCTU (UT	P	2009-01-22	2013-12-19
66 JP MORGAN CHASE & CO (JPM)	P	2011-08-03	2013-12-19
24 THERMO FISHER SCIENTIFIC IN (TMO)	P	2013-05-15	2013-12-19
93 4 AGEAS SP ADR (AGESY)	P	2012-05-04	2013-12-19
62 MORGAN STANLEY 0 0000% SERI (MS-A	P	2013-03-28	2013-12-19
346 SUMITOMO MITSUI TRUST (SUTNY)	P	2012-09-12	2013-12-19
28 ORACLE CORP (ORCL)	P	2009-12-02	2013-12-19
25 ROYAL BANK SCOTLAND 6 35% (RBS-N)	P	2012-07-10	2013-12-19
33 NATIONAL BANK OF GREECE SA (NBG-	P	2013-05-13	2013-12-19
12 ROYAL BANK SCOTLAND 6 35% (RBS-N)	P	2012-07-10	2013-12-19
13 NATIONAL BANK OF GREECE SA (NBG-	P	2013-05-15	2013-12-19
1 3 AGEAS SP ADR (AGESY)	P	2012-05-07	2013-12-19
30 COVANCE INC (CVD)	P	2005-10-21	2013-12-20
34 RAYMOND JAMES FINL INC (RJF)	P	2005-10-21	2013-12-20
5 COVANCE INC (CVD)	P	2006-03-07	2013-12-20
2 RAYMOND JAMES FINL INC (RJF)	P	2010-02-16	2013-12-20
93 AXIS CAPITAL HLDGS LTD (AXS)	P	2009-10-22	2013-12-23
156 MS&AD INSURANCE GROUP HOLDI (MSA	P	2010-08-13	2013-12-23
88 BLACKROCK MUNI YIELD CALIF (MCA)	P	2013-07-24	2013-12-23
25 FACEBOOK INC CL A (FB)	P	2013-04-10	2013-12-23
33 FIRST TRUST HIGH INCOME LON (FSD)	P	2013-07-23	2013-12-23
24 FIRST TRUST HIGH INCOME LON (FSD)	P	2013-02-26	2013-12-23
32 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-02-28	2013-12-23
10 FIRST TRUST HIGH INCOME LON (FSD)	P	2012-12-07	2013-12-23
7 REPUBLIC SVCS INC (RSG)	P	2008-01-15	2013-12-23
3 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-02-14	2013-12-23
61 EXPRESS SCRIPTS HOLDING CO (ESRX)	P	2013-08-08	2013-12-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
107 NIPPON TELEG & TEL CORP SPO (NTT	P	2007-09-28	2013-12-26
51 ST JUDE MEDICAL INC (STJ)	P	2012-03-07	2013-12-26
75 BLACKROCK BUILD AMERICA BON (BBN)	P	2013-01-18	2013-12-26
56 BARCLAYS BANK PLC (BCS-A)	P	2013-06-20	2013-12-26
15 UNITED TECH CORP (UTX)	P	2011-01-14	2013-12-26
13 UNITED TECH CORP (UTX)	P	2010-12-15	2013-12-26
25 BROADCOM CORPORATION CL A (BRCM)	P	2011-05-17	2013-12-26
60 ALLIANZ SE ADR (AZSEY)	P	2010-05-21	2013-12-26
11 SLM CORP 6 97% SRS A (SLMAP)	P	2013-02-25	2013-12-26
15 TELECOM ITALIA SPA SAVINGS (TI A	P	2005-09-15	2013-12-26
10 EXPRESS SCRIPTS HOLDING CO (ESRX)	P	2009-03-03	2013-12-26
5 SATURNS-JCP 2007-1 7% 3/1/9 (HJV)	P	2013-02-28	2013-12-26
3 SATURNS-JCP 2007-1 7% 3/1/9 (HJV)	P	2013-03-01	2013-12-26
348 5021 BLACKROCK CREDIT ALLOCATION	P	2010-06-23	2013-12-27
22 AGRIMUM INC (AGU)	P	2013-07-30	2013-12-27
38 BOSTON PPTYS INC (BXP)	P	2009-02-18	2013-12-27
20 CELGENE CORP (CELG)	P	2010-02-19	2013-12-27
19 DOLLAR GENERAL CORP (DG)	P	2012-08-27	2013-12-27
10 SCHLUMBERGER LTD (SLB)	P	2012-11-02	2013-12-27
19 DB CONT CAPITAL TRUST V 8 0 (DKT)	P	2013-03-28	2013-12-27
1 GOOGLE INC (GOOG)	P	2008-09-22	2013-12-27
21 ROYCE VALUE TR INC (RVT)	P	2013-02-26	2013-12-27
4 PHILIP MORRIS INTERNATIONAL (PM)	P	2010-02-16	2013-12-27
3 DB CONT CAPITAL TRUST V 8 0 (DKT)	P	2013-03-27	2013-12-27
51 SK TELECOM CO LTD ADR	P	2009-05-20	2013-05-07
5 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-08
5 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-10
8 NEWCREST MINING LTD SPON AUST	P	2012-04-26	2013-05-17
21 TOYOTA MOTOR CORP NEW JAP	P	2010-09-09	2013-05-29
410 ALLIANZ FIXED INCOME SH	P	2009-04-01	2013-06-13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
55 INTL RECTIFIER CORP	P	2009-02-18	2013-07-02
328 ELECTRICITE DE FRANCE AD	P	2010-03-22	2013-07-09
3 CELGENE CORP	P	2009-02-27	2013-07-17
1 STATE STREET CORP	P	2013-03-06	2013-07-26
1000 US TSY NOTE 01 375 % D	P	2013-07-23	2013-08-09
4000 FNMA PL AL3006 05 0000 D	P	2013-03-26	2013-08-20
1 SATURNS TR NO 2007 1 7 00	P	2013-04-30	2013-08-21
170 ALLIANZ FIXED INCOME SH	P	2012-12-28	2013-08-26
13000 FNMA POOL FN AB7 3% 11/01/2042	P	2012-11-07	2013-11-26
292 MORGAN STANLEY EMERGING MAR (EDD	P	2011-06-16	2013-11-26
457 TNT EXPRESS NV (TNTEY)	P	2013-01-22	2013-11-26
77 BARRICK GOLD CORP (ABX)	P	2009-08-18	2013-11-26
31 INTERCON EXCHANGE (ICE)	P	2009-03-30	2013-11-26
30 JOHNSON & JOHNSON (JNJ)	P	2012-12-19	2013-11-26
221 EDF (ECIFY)	P	2010-11-10	2013-11-26
58 HARSCO CORP (HSC)	P	2011-07-19	2013-11-26
9 VISA INC (V)	P	2013-08-06	2013-11-26
33 FRANKLIN RESOURCES INC (BEN)	P	2013-07-10	2013-11-26
99 PANASONIC CORP (PCRFY)	P	2010-09-27	2013-11-26
81 AUTODESK INC (ADSK)	P	2009-03-30	2013-11-26
67 6098 CREDIT SUISSE GROUP (CS)	P	2012-07-13	2013-11-26
64 5366 CREDIT SUISSE GROUP (CS)	P	2012-07-31	2013-11-26
303 ALUMINA LTD (AWC)	P	2009-03-30	2013-11-26
1000 FNMA POOL FN A 3 5% 12/01/2042	P	2013-07-10	2013-11-26
51 TESCO PLC SPONS ADR (TSCDY)	P	2013-06-05	2013-11-26
57 WESTERN ASSET/CLAYMORE INFL (WIW)	P	2013-02-26	2013-11-26
41 AUTODESK INC (ADSK)	P	2009-02-18	2013-11-26
15 AUTODESK INC (ADSK)	P	2006-05-04	2013-11-26
41 WESTERN ASSET/CLAYMORE INFL (WIW)	P	2013-02-13	2013-11-26
13 MANPOWERGROUP (MAN)	P	2012-07-20	2013-11-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
12 ZOETIS INC (ZTS)	P	2013-08-26	2013-11-26
14 ROYAL BK SCOTLAND PFD 'M' A (RBS-	P	2013-04-24	2013-11-26
10 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-01	2013-11-26
5 SNAP ON INC (SNA)	P	2006-03-07	2013-11-26
9 MORGAN STANLEY EMERGING MAR (EDD)	P	2013-01-04	2013-11-26
5 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-01	2013-11-26
5 ANSYS INC (ANSS)	P	2009-03-03	2013-11-26
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2013-07-15	2013-11-26
5 MORGAN STANLEY EMERGING MAR (EDD)	P	2011-01-07	2013-11-26
6 CALAMOS CVT AND HIGH INCOME (CHY)	P	2011-01-05	2013-11-26
1 6796 PENTAIR LTD (PNR)	P	2006-02-02	2013-11-26
1 WESTERN ASSET/CLAYMORE INFL (WIW)	P	2013-04-30	2013-11-26
61 TARGET CORP (TGT)	P	2011-11-02	2013-12-05
52 CVS CAREMARK CORP (CVS)	P	2012-11-15	2013-12-05
18 GOLDMAN SACHS GRP INC (GS)	P	2012-05-02	2013-12-05
95 WOLTERS KLUWER N V (WTKWY)	P	2009-06-12	2013-12-05
14 RALPH LAUREN CORP (RL)	P	2010-10-29	2013-12-05
47 PHOENIX CO INC 7 45% (PFX)	P	2012-12-18	2013-12-05
18 THE SCOTTS MIRACLE-GRO CO (SMG)	P	2012-01-30	2013-12-05
17 TEVA PHARMACEUTICAL INDUSTR (TEVA	P	2012-10-10	2013-12-05
27 WOLTERS KLUWER N V (WTKWY)	P	2009-02-24	2013-12-05
33 BANCO SANTANDER BRASIL SA (BSBR)	P	2012-11-06	2013-12-05
2 HSBC USA INC NEW (HUSI-G)	P	2012-02-24	2013-12-05
4 BLACKROCK INC CL A (BLK)	P	2010-11-10	2013-12-11
776 SANTANDER FIN PFD SA UNI SR (SAN	P	2010-12-21	2013-12-19
30 CHEVRON CORP (CVX)	P	2008-07-31	2013-12-19
30 NESTLE S A SPONS ADR (NSRGY)	P	2013-08-14	2013-12-19
21 UNITED PARCEL SERVICE INC C (UPS)	P	2012-07-05	2013-12-19
24 UNION PAC CORP (UNP)	P	2009-07-24	2013-12-19
14 TOYOTA MOTOR CORP SPONS ADR (TM)	P	2010-09-10	2013-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
65 NATIONAL BANK OF GREECE SA (NBG-	P	2013-05-15	2013-12-19
46 NATIONAL BANK OF GREECE SA (NBG-	P	2013-05-13	2013-12-19
14 RBS CAPITAL FUNDING TRUST V (RBS-	P	2013-01-30	2013-12-19
12 ROYAL BANK SCOTLAND 6 35% (RBS-N)	P	2012-07-10	2013-12-19
4 METLIFE INC (MET)	P	2009-02-06	2013-12-19
1 CENTRAIS ELETRICAS BRASILEI (EBR B	P	2012-09-26	2013-12-19
55 COUNTRYWIDE CAPITAL IV (CFC-A)	P	2012-07-25	2013-12-20
50 DAI NIPPON PRINTING CO LTD (DNPLY	P	2010-02-05	2013-12-20
10 RAYMOND JAMES FINL INC (RJF)	P	2006-07-13	2013-12-20
4 1428 ROYCE GLOBAL VALUE TRUST WI (	P	2013-10-18	2013-12-20
154 FIRST TRUST HIGH INCOME LON (FSD	P	2013-05-31	2013-12-23
97 FIRST TRUST HIGH INCOME LON (FSD)	P	2012-12-05	2013-12-23
88 BLACKROCK MUNI YIELD CALIF (MCA)	P	2013-07-24	2013-12-23
269 FINMECCANICA SPA (FINMY)	P	2013-02-28	2013-12-23
14 GLAXOSMITHKLINE PLC ADS (GSK)	P	2010-01-14	2013-12-23
42 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-02-13	2013-12-23
31 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-03-14	2013-12-23
10 FIRST TRUST HIGH INCOME LON (FSD)	P	2012-12-07	2013-12-23
2 DARDEN RESTAURANTS INC (DRI)	P	2010-10-28	2013-12-23
3 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-02-14	2013-12-23
201 BANK OF AMERICA CORP 0% SRS (BML	P	2011-05-27	2013-12-26
47 SLM CORP 6 97% SRS A (SLMAP)	P	2013-05-02	2013-12-26
79 PFIZER INC (PFE)	P	2013-01-09	2013-12-26
122 ALLIANZ SE ADR (AZSEY)	P	2013-04-19	2013-12-26
141 ERICSSON L M TEL CO AD (ERIC)	P	2012-02-10	2013-12-26
69 CAMECO CORP (CCJ)	P	2011-12-19	2013-12-26
131 HOME RETAIL GROUP PLC ADR (HMRTY	P	2011-09-06	2013-12-26
48 CAMECO CORP (CCJ)	P	2012-11-09	2013-12-26
48 NUVEEN NEWYORK AMT-FREE MU (NRK)	P	2013-07-23	2013-12-26
15 AKAMAI TECH INC (AKAM)	P	2013-02-07	2013-12-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
21 SATURNS-JCP 2007-1 7% 3/1/9 (HJV)	P	2013-04-26	2013-12-26
7 COVIDIEN PLC (COV)	P	2006-02-02	2013-12-26
5 SATURNS-JCP 2007-1 7% 3/1/9 (HJV)	P	2013-04-09	2013-12-26
2 AKAMAI TECH INC (AKAM)	P	2010-02-16	2013-12-26
17 INTERNATIONAL BUSINESS MACH (IBM)	P	2013-01-17	2013-12-27
94 NEWFIELD EXPL CO (NFX)	P	2009-02-18	2013-12-27
65 JOY GLOBAL INC (JOY)	P	2009-03-25	2013-12-27
26 SCHLUMBERGER LTD (SLB)	P	2009-03-26	2013-12-27
75 6115 BLACKROCK CREDIT ALLOCATION	P	2010-07-12	2013-12-27
75 ISIS PHARMS (ISIS)	P	2010-10-22	2013-12-27
4 INTERNATIONAL BUSINESS MACH (IBM)	P	2010-06-23	2013-12-27
20 ROHM CO LTD (ROHCY)	P	2011-12-16	2013-12-27
21 ROYCE VALUE TR INC (RVT)	P	2013-01-23	2013-12-27
4 JOY GLOBAL INC (JOY)	P	2010-02-16	2013-12-27
1 BIO RAD LABS INC CL A (BIO)	P	2009-02-18	2013-12-27
38 MOODYS CORP	P	2012-10-10	2013-05-08
4 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-08
5 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-10
0 61 CREDIT SUISSE GROUP SPON A	P	2011-06-27	2013-05-20
16 LULULEMON ATHLETICA INC	P	2012-06-08	2013-05-29
99 WEATHERFORD INTL LTD CHF	P	2012-08-23	2013-06-14
9 AKAMAI TECHNOLOGIES INC	P	2009-07-30	2013-07-02
6000 FORD MOTOR CO CONV B/E 0	P	2011-03-29	2013-07-10
39 NINTENDO LTD ADR NEW JAP	P	2010-10-04	2013-07-18
1 JOHNSON & JOHNSON COM	P	2009-04-22	2013-07-26
5 EBAY INC	P	2007-03-14	2013-08-13
7000 FNMA PL 735402 05 0000 D	P	2013-07-17	2013-08-20
23000 FNMA PL 735676 05 0000	P	2012-07-10	2013-08-23
165 ALLIANZ FIXED INCOME SH	P	2012-06-19	2013-08-26
13000 BANK OF AMERICA CORP PERPETUAL	P	2013-05-22	2013-11-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
72 MEDNAX INC (MD)	P	2012-08-13	2013-11-26
210 WEATHERFORD INTERNATIONAL L (WFT	P	2005-07-25	2013-11-26
98 EATON VANCE CORP COM NON VT (EV)	P	2012-01-30	2013-11-26
54 GLOBAL PAYMENTS INC (GPN)	P	2012-07-12	2013-11-26
58 GENERAL MILLS INC (GIS)	P	2010-12-16	2013-11-26
186 KINROSS GOLD CORP (KGC)	P	2012-01-17	2013-11-26
11 V F CORP (VFC)	P	2012-09-18	2013-11-26
20 EXXON MOBIL CORP (XOM)	P	2011-03-14	2013-11-26
22 ACE LTD (ACE)	P	2011-05-17	2013-11-26
100 WEATHERFORD INTERNATIONAL L (WFT	P	2013-05-03	2013-11-26
32 BARRICK GOLD CORP (ABX)	P	2009-12-17	2013-11-26
15 3M COMPANY (MMM)	P	2010-06-09	2013-11-26
45 EMC CORP (EMC)	P	2011-10-18	2013-11-26
11 SIEMENS AG ADR (SI)	P	2011-09-27	2013-11-26
1000 UNITED STATES TREA 1 125% 12/31	P	2013-08-20	2013-11-26
8 SIEMENS AG ADR (SI)	P	2013-04-11	2013-11-26
2 APPLE COMPUTER INC (AAPL)	P	2011-08-05	2013-11-26
30 TYCO INTERNATIONAL LTD (TYC)	P	2006-01-03	2013-11-26
34 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-03-18	2013-11-26
13 GLOBAL PAYMENTS INC (GPN)	P	2006-07-13	2013-11-26
9 BARRICK GOLD CORP (ABX)	P	2011-09-27	2013-11-26
7 DANAHER CORP (DHR)	P	2011-03-03	2013-11-26
12 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-09	2013-11-26
10 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-09	2013-11-26
13 MORGAN STANLEY EMERGING MAR (EDD)	P	2013-07-15	2013-11-26
9 MORGAN STANLEY EMERGING MAR (EDD)	P	2013-04-15	2013-11-26
5 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-01	2013-11-26
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2013-05-15	2013-11-26
5 MORGAN STANLEY EMERGING MAR (EDD)	P	2010-10-15	2013-11-26
1 3M COMPANY (MMM)	P	2010-02-19	2013-11-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6 CALAMOS CVT AND HIGH INCOME (CHY)	P	2012-02-15	2013-11-26
3 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-08-19	2013-11-26
1 WESTERN ASSET/CLAYMORE INFL (WIW)	P	2013-05-31	2013-11-26
36 MCDONALDS CORP (MCD)	P	2013-01-03	2013-12-05
90 MICROSOFT CORP (MSFT)	P	2005-07-25	2013-12-05
23 LOCKHEED MARTIN CORP (LMT)	P	2013-01-30	2013-12-05
40 OCWEN FINANCIAL CORP (OCN)	P	2013-02-11	2013-12-05
25 TARGET CORP (TGT)	P	2011-02-04	2013-12-05
49 NUVEEN BUILD AMERICAN BOND (NBB)	P	2013-03-28	2013-12-05
23 THE SCOTTS MIRACLE-GRO CO (SMG)	P	2005-07-26	2013-12-05
15 THE SCOTTS MIRACLE-GRO CO (SMG)	P	2007-03-27	2013-12-05
20 PHOENIX CO INC 7 45% (PFX)	P	2012-12-18	2013-12-05
10 CUMMINS INC (CMI)	P	2009-02-18	2013-12-05
2 NUVEEN BUILD AMERICAN BOND (NBB)	P	2013-07-01	2013-12-05
10 CITRIX SYSTEMS INC (CTXS)	P	2012-10-26	2013-12-11
424 SANTANDER FIN PFD SA UNI SR (SAN	P	2010-06-16	2013-12-19
38 NESTLE S A SPONS ADR (NSRGY)	P	2013-07-31	2013-12-19
43 SEVEN & I HOLDINGS CO LTD (SVNDY	P	2009-08-20	2013-12-19
82 3 AGEAS SP ADR (AGESY)	P	2012-04-10	2013-12-19
25 THERMO FISHER SCIENTIFIC IN (TMO)	P	2011-09-07	2013-12-19
28 JP MORGAN CHASE & CO (JPM)	P	2009-06-02	2013-12-19
34 MORGAN STANLEY 0 0000% SERI (MS-A	P	2012-08-08	2013-12-19
46 NATIONAL BANK OF GREECE SA (NBG-	P	2013-05-16	2013-12-19
31 NATIONAL BANK OF GREECE SA (NBG-	P	2013-05-17	2013-12-19
12 ROYAL BANK SCOTLAND 6 35% (RBS-N)	P	2012-07-10	2013-12-19
13 NATIONAL BANK OF GREECE SA (NBG-	P	2013-05-15	2013-12-19
1 HELIX ENERGY SOLUTIONS GRP (HLX)	P	2009-02-18	2013-12-19
38 RAYMOND JAMES FINL INC (RJF)	P	2012-02-01	2013-12-20
20 RAYMOND JAMES FINL INC (RJF)	P	2006-05-02	2013-12-20
3 EQUINIX INC (EQIX)	P	2011-08-29	2013-12-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 ROYCE GLOBAL VALUE TRUST WI (RGT)	P	2013-10-18	2013-12-20
115 REPUBLIC SVCS INC (RSG)	P	2009-02-18	2013-12-23
158 TJX COS INC (TJX)	P	2009-02-18	2013-12-23
15 ALLERGAN INC (AGN)	P	2010-04-09	2013-12-23
13 TRAVELERS COS INC (TRV)	P	2010-02-10	2013-12-23
38 OXFORD LANE CAPITAL CORP (OXLC)	P	2013-02-12	2013-12-23
10 NIKE INC CL B (NKE)	P	2011-08-05	2013-12-23
21 OXFORD LANE CAPITAL CORP (OXLC)	P	2013-02-26	2013-12-23
17 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-02-13	2013-12-23
7 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-02-28	2013-12-23
3 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-02-14	2013-12-23
85 FOREST LABS INC (FRX)	P	2005-07-25	2013-12-26
111 PFIZER INC (PFE)	P	2012-02-08	2013-12-26
71 DELPHI AUTOMOTIVE (DLPH)	P	2012-06-06	2013-12-26
76 EXPRESS SCRIPTS HOLDING CO (ESRX)	P	2009-03-30	2013-12-26
30 INTUIT (INTU)	P	2011-08-23	2013-12-26
133 ERICSSON L M TEL CO AD (ERIC)	P	2012-10-26	2013-12-26
56 PFIZER INC (PFE)	P	2009-10-13	2013-12-26
17 SLM CORP 6 97% SRS A (SLMAP)	P	2013-02-25	2013-12-26
26 TELECOM ITALIA SPA SAVINGS (TI A	P	2005-11-22	2013-12-26
46 CERNER CORP (CERN)	P	2009-03-26	2013-12-26
10 FOREST LABS INC (FRX)	P	2007-10-16	2013-12-26
9 TELECOM ITALIA SPA SAVINGS (TI A)	P	2005-10-28	2013-12-26
3 BARCLAYS BANK PLC (BCS-A)	P	2012-10-15	2013-12-26
3 SATURNS-JCP 2007-1 7% 3/1/9 (HJV)	P	2013-04-09	2013-12-26
712 ALSTOM SA (ALSMY)	P	2011-08-18	2013-12-27
2 GOOGLE INC (GOOG)	P	2013-06-10	2013-12-27
158 SKISUE HOUSE LTD ADR (SKHSY)	P	2008-03-07	2013-12-27
12 INTERNATIONAL BUSINESS MACH (IBM)	P	2009-03-26	2013-12-27
61 ROYCE VALUE TR INC (RVT)	P	2013-02-27	2013-12-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
15 CAMERON INTERNATIONAL CORP (CAM)	P	2011-06-23	2013-12-27
163 ALSTOM SA (ALSMY)	P	2011-10-03	2013-12-27
1 GOOGLE INC (GOOG)	P	2008-09-23	2013-12-27
6 NEWFIELD EXPL CO (NFX)	P	2010-01-11	2013-12-27
2 BIO RAD LABS INC CL A (BIO)	P	2010-02-16	2013-12-27
1 CAMERON INTERNATIONAL CORP (CAM)	P	2013-07-25	2013-12-27
10 INTL BUSINESS MACH	P	2009-03-26	2013-05-08
4 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-08
30 WALT DISNEY CO (HOLDING CO)	P	2012-08-08	2013-05-15
52 NEWCREST MINING LTD SPON AUS	P	2013-01-14	2013-05-22
29 JPMORGAN CHASE & CO	P	2008-12-03	2013-05-29
24 LAUDER ESTEE COS CL A	P	2011-05-06	2013-06-18
3 CELGENE CORP	P	2008-12-02	2013-07-02
2000 FORD MOTOR CO CONV B/E 0	P	2012-10-04	2013-07-10
19 NINTENDO LTD ADR NEW JAP	P	2011-05-25	2013-07-18
3 EXPRESS SCRIPTS HLDG CO	P	2012-01-04	2013-07-29
5 SATURNS TR NO 2007 1 7 00	P	2013-05-08	2013-08-13
3000 FNMA PL AL0069 05 0000 D	P	2013-04-12	2013-08-20
6000 FNMA PL AL0069 05 0000 D	P	2013-04-12	2013-08-23
125 ALLIANZ FIXED INCOME SH	P	2013-08-12	2013-08-26
24000 FNMA FN AH3645 4% 02/01/2041 (	P	2012-04-09	2013-11-26
3000 US TREASURY TI 2 5% 01/15/2029	P	2012-12-27	2013-11-26
60 INTEGRYS ENERGY GROUP INC (TEG)	P	2012-01-30	2013-11-26
236 OAO GAZPROM (OGZPY)	P	2011-08-11	2013-11-26
77 HARSCO CORP (HSC)	P	2005-07-26	2013-11-26
125 VODAFONE GROUP PLC (VOD)	P	2008-11-10	2013-11-26
151 WEATHERFORD INTERNATIONAL L (WFT	P	2012-08-23	2013-11-26
50 GENERAL MILLS INC (GIS)	P	2010-04-15	2013-11-26
107 MORGAN STANLEY EMERGING MAR (EDD	P	2013-06-18	2013-11-26
41 URBAN OUTFITTERS INC (URBN)	P	2012-10-23	2013-11-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
27 DANAHER CORP (DHR)	P	2011-03-02	2013-11-26
100 NINTENDO LTD ADR (NTDOY)	P	2013-01-31	2013-11-26
87 NINTENDO LTD ADR (NTDOY)	P	2012-12-28	2013-11-26
176 ING GROEP NV ADR (ING)	P	2012-06-27	2013-11-26
106 KINROSS GOLD CORP (KGC)	P	2012-11-07	2013-11-26
20 UNITEDHEALTH GRP INC (UNH)	P	2006-05-04	2013-11-26
55 FREEPORT-MCMORAN COPPER & G (FCX)	P	2009-02-27	2013-11-26
30 EMC CORP (EMC)	P	2012-11-02	2013-11-26
50 AUTODESK INC (ADSK)	P	2009-03-18	2013-11-26
24 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-02	2013-11-26
28 VODAFONE GROUP PLC (VOD)	P	2008-11-05	2013-11-26
54 KINROSS GOLD CORP (KGC)	P	2012-05-21	2013-11-26
32 TALISMAN ENERGY INC (TLM)	P	2012-11-05	2013-11-26
22 WESTERN ASSET/CLAYMORE INFL (WIW)	P	2013-02-11	2013-11-26
10 EATON VANCE CORP COM NON VT (EV)	P	2005-07-26	2013-11-26
9 VODAFONE GROUP PLC (VOD)	P	2009-02-06	2013-11-26
6 VODAFONE GROUP PLC (VOD)	P	2008-07-22	2013-11-26
5 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-01	2013-11-26
5 COMCAST CORP CL A SPL NEW (CMCSK)	P	2008-03-14	2013-11-26
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2012-01-05	2013-11-26
5 BORG WARNER INC (BWA)	P	2009-03-03	2013-11-26
3 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-01	2013-11-26
3 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-08-20	2013-11-26
1 WESTERN ASSET/CLAYMORE INFL (WIW)	P	2013-06-28	2013-11-26
78 TEVA PHARMACEUTICAL INDUSTR (TEVA	P	2012-09-10	2013-12-05
53 CVS CAREMARK CORP (CVS)	P	2012-02-03	2013-12-05
45 LORILLARD INC (LO)	P	2012-04-11	2013-12-05
25 VALEANT PHARMACEUTICALS INT (VRX)	P	2013-01-28	2013-12-05
25 PPG INDS INC (PPG)	P	2008-10-07	2013-12-05
6 GOLDMAN SACHS GRP INC (GS)	P	2010-03-31	2013-12-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
14 LOCKHEED MARTIN CORP (LMT)	P	2009-03-04	2013-12-05
30 JUNIPER NETWORKS INC (JNPR)	P	2011-09-09	2013-12-05
25 JUNIPER NETWORKS INC (JNPR)	P	2008-11-06	2013-12-05
4 CUMMINS INC (CMI)	P	2010-02-16	2013-12-05
2 NUVEEN BUILD AMERICAN BOND (NBB)	P	2013-08-01	2013-12-05
10 CITRIX SYSTEMS INC (CTXS)	P	2012-12-04	2013-12-11
406 SEALED AIR CORP (SEE)	P	2012-11-08	2013-12-19
77 METLIFE INC (MET)	P	2008-10-22	2013-12-19
27 UNITED PARCEL SERVICE INC C (UPS)	P	2012-07-25	2013-12-19
50 SWISSCOM SPONS ADR (SCMWY)	P	2005-10-21	2013-12-19
92 MITSUBISHI TANABE PHARMA CO (MTZP	P	2013-05-13	2013-12-19
31 SWISSCOM SPONS ADR (SCMWY)	P	2006-01-30	2013-12-19
17 SWISSCOM SPONS ADR (SCMWY)	P	2007-06-08	2013-12-19
137 SUMITOMO MITSUI TRUST (SUTNY)	P	2012-09-24	2013-12-19
30 NATIONAL BANK OF GREECE SA (NBG-	P	2013-05-17	2013-12-19
6 SWISSCOM SPONS ADR (SCMWY)	P	2005-10-28	2013-12-19
11 NATIONAL BANK OF GREECE SA (NBG-	P	2013-05-15	2013-12-19
287 DAI NIPPON PRINTING CO LTD (DNPL	P	2010-02-04	2013-12-20
50 COUNTRYWIDE CAPITAL IV (CFC-A)	P	2013-06-05	2013-12-20
22 COUNTRYWIDE CAPITAL IV (CFC-A)	P	2012-08-09	2013-12-20
11 COUNTRYWIDE CAPITAL IV (CFC-A)	P	2012-07-10	2013-12-20
3 ROYCE GLOBAL VALUE TRUST WI (RGT)	P	2013-10-18	2013-12-20
41 TRAVELERS COS INC (TRV)	P	2011-02-09	2013-12-23
523 CENTRAIS ELETRICAS BRASILEI (EBR	P	2013-04-10	2013-12-23
18 TRAVELERS COS INC (TRV)	P	2010-02-16	2013-12-23
34 FIRST TRUST HIGH INCOME LON (FSD)	P	2013-04-10	2013-12-23
52 MS&AD INSURANCE GROUP HOLDI (MSAD	P	2011-04-14	2013-12-23
41 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-02-13	2013-12-23
17 FIRST TRUST HIGH INCOME LON (FSD)	P	2012-12-06	2013-12-23
6 REPUBLIC SVCS INC (RSG)	P	2006-07-06	2013-12-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 FIRST TRUST HIGH INCOME LON (FSD)	P	2013-06-17	2013-12-23
1 FIRST TRUST HIGH INCOME LON (FSD)	P	2013-02-15	2013-12-23
47 ROYAL DUTCH SHELL PLC CL B (RDS B	P	2013-01-15	2013-12-26
127 PFIZER INC (PFE)	P	2011-01-05	2013-12-26
27 UNITED TECH CORP (UTX)	P	2012-01-10	2013-12-26
27 HAIN CELESTIAL GROUP (HAIN)	P	2013-03-19	2013-12-26
33 MICHAEL KORS HOLDINGS LIMIT (KORS	P	2012-05-16	2013-12-26
20 AMGEN INC (AMGN)	P	2010-02-19	2013-12-26
64 SHISEIDO LTD SPONS ADR (SSDOY)	P	2009-04-01	2013-12-26
84 ERICSSON L M TEL CO AD (ERIC)	P	2012-09-21	2013-12-26
34 SATURNS-JCP 2007-1 7% 3/1/9 (HJV)	P	2013-02-28	2013-12-26
15 BROADCOM CORPORATION CL A (BRCM)	P	2011-06-08	2013-12-26
16 TELECOM ITALIA SPA SAVINGS (TI A	P	2005-11-23	2013-12-26
9 BLACKROCK BUILD AMERICA BON (BBN)	P	2013-05-28	2013-12-26
4 SATURNS-JCP 2007-1 7% 3/1/9 (HJV)	P	2013-02-28	2013-12-26
2 INTERNATIONAL GAME TECHNOLO (IGT)	P	2010-01-11	2013-12-26
208 ROYCE VALUE TR INC (RVT)	P	2013-01-23	2013-12-27
22 AUTOLIV INC (ALV)	P	2013-06-18	2013-12-27
93 ROYCE VALUE TR INC (RVT)	P	2013-02-27	2013-12-27
60 DAIICHI SANKYO COMPANY LIM (DSNK	P	2011-04-20	2013-12-27
26 MURPHY USA INC (MUSA)	P	2012-03-05	2013-12-27
31 GATX CORP (GMT)	P	2009-02-18	2013-12-27
10 CAMERON INTERNATIONAL CORP (CAM)	P	2011-11-08	2013-12-27
6 JOY GLOBAL INC (JOY)	P	2012-04-23	2013-12-27
1 GOOGLE INC (GOOG)	P	2008-12-29	2013-12-27
2 BIO RAD LABS INC CL A (BIO)	P	2009-09-18	2013-12-27
1 PRUDENTIAL FINL (PRU)	P	2010-02-19	2013-12-27
10 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-08
3 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-08
112 ANGLOGOLD ASHANTI LTD NE	P	2008-07-25	2013-05-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
25 MOODYS CORP	P	2012-10-10	2013-05-22
14 EATON CORP PLC	P	2012-12-03	2013-05-31
34 DELPHI AUTOMOTIVE PLC	P	2012-05-23	2013-06-18
72 INTL RECTIFIER CORP	P	2009-02-18	2013-07-03
43 PNC FINANCIAL SERVICES GRO	P	2011-08-31	2013-07-10
5 NINTENDO LTD ADR NEW JAPA	P	2011-05-24	2013-07-18
46 ALLIANZ SE ADR	P	2010-05-21	2013-07-30
2 SATURNS TR NO 2007 1 7 00	P	2013-05-08	2013-08-13
1000 FNMA PL AL3423 05 0000 D	P	2013-06-14	2013-08-20
2000 FNMA PL AL2629 05 0000 D	P	2013-03-18	2013-08-23
105 ALLIANZ FIXED INCOME SH	P	2013-08-08	2013-08-26
959 CALAMOS CVT AND HIGH INCOME (CHY	P	2010-12-21	2013-11-26
3000 US TREASURY TI 2 5% 01/15/2029	P	2012-07-13	2013-11-26
56 SNAP ON INC (SNA)	P	2012-01-25	2013-11-26
51 FRANKLIN RESOURCES INC (BEN)	P	2013-06-12	2013-11-26
39 INTERCON EXCHANGE (ICE)	P	2009-02-18	2013-11-26
104 HSBC USA INC 0% SRS F (HUSI-F)	P	2012-05-24	2013-11-26
32 JOHNSON & JOHNSON (JNJ)	P	2009-10-28	2013-11-26
69 VODAFONE GROUP PLC (VOD)	P	2012-12-20	2013-11-26
18 MONSANTO CO NEW (MON)	P	2012-11-23	2013-11-26
21 JOHNSON & JOHNSON (JNJ)	P	2012-12-05	2013-11-26
105 CHARLES SCHWAB CORP (SCHW)	P	2009-02-27	2013-11-26
50 ANSYS INC (ANSS)	P	2009-03-30	2013-11-26
50 COMCAST CORP CL A SPL NEW (CMCSK)	P	2011-06-17	2013-11-26
58 9 VIVENDI SA (VIVHY)	P	2012-03-08	2013-11-26
30 UNITEDHEALTH GRP INC (UNH)	P	2008-05-01	2013-11-26
74 WEATHERFORD INTERNATIONAL L (WFT)	P	2012-09-25	2013-11-26
40 UNITEDHEALTH GRP INC (UNH)	P	2009-04-01	2013-11-26
12 WACOAL HOLDINGS CORP (WACLY)	P	2007-05-30	2013-11-26
21 BARRICK GOLD CORP (ABX)	P	2012-07-26	2013-11-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
24 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-09	2013-11-26
1000 FNMA FN AL0160 4 5% 05/01/2041	P	2013-03-13	2013-11-26
1 APPLE COMPUTER INC (AAPL)	P	2013-07-02	2013-11-26
27 WESTERN ASSET/CLAYMORE INFL (WIW)	P	2013-02-13	2013-11-26
22 WESTERN ASSET/CLAYMORE INFL (WIW)	P	2013-01-04	2013-11-26
3 DIAGEO PLC SPONS ADR (DEO)	P	2011-05-03	2013-11-26
12 MORGAN STANLEY EMERGING MAR (EDD)	P	2012-01-06	2013-11-26
3 CHECK POINT SOFTWARE TECH L (CHKP)	P	2013-07-08	2013-11-26
5 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-01	2013-11-26
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2012-08-15	2013-11-26
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2012-05-15	2013-11-26
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2011-11-15	2013-11-26
3 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-01	2013-11-26
2 AUTODESK INC (ADSK)	P	2009-09-18	2013-11-26
1 WESTERN ASSET/CLAYMORE INFL (WIW)	P	2013-07-31	2013-11-26
40 L-3 COMMUNICATIONS HOLDINGS (LLL)	P	2005-07-25	2013-12-05
54 CVS CAREMARK CORP (CVS)	P	2012-02-01	2013-12-05
65 CVS CAREMARK CORP (CVS)	P	2009-11-19	2013-12-05
19 LOCKHEED MARTIN CORP (LMT)	P	2008-11-05	2013-12-05
16 CATERPILLAR INC (CAT)	P	2013-04-22	2013-12-05
31 ACCENTURE PLC CL A (ACN)	P	2009-03-26	2013-12-05
22 TEVA PHARMACEUTICAL INDUSTR (TEVA	P	2013-04-23	2013-12-05
36 SK TELECOM LTD SPONS ADR (SKM)	P	2011-02-28	2013-12-05
16 VALSPAR CORP (VAL)	P	2007-10-22	2013-12-05
7 ACCENTURE PLC CL A (ACN)	P	2009-02-18	2013-12-05
2 NUVEEN BUILD AMERICAN BOND (NBB)	P	2013-09-03	2013-12-05
9 CITRIX SYSTEMS INC (CTXS)	P	2013-05-28	2013-12-11
140 WHITTING PETROLEUM CORP (WLL)	P	2012-08-23	2013-12-19
68 ORACLE CORP (ORCL)	P	2011-04-13	2013-12-19
28 TOYOTA MOTOR CORP SPONS ADR (TM)	P	2010-10-20	2013-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
42 WILLIAMS SONOMA INC (WSM)	P	2012-03-02	2013-12-19
266 SUMITOMO MITSUI TRUST (SUTNY)	P	2013-05-24	2013-12-19
36 JP MORGAN CHASE & CO (JPM)	P	2009-02-06	2013-12-19
12 THERMO FISHER SCIENTIFIC IN (TMO)	P	2010-02-04	2013-12-19
43 NATIONAL BANK OF GREECE SA (NBG-	P	2013-05-16	2013-12-19
8 NESTLE S A SPONS ADR (NSRGY)	P	2009-03-27	2013-12-19
10 ROYAL BANK SCOTLAND 6 35% (RBS-N)	P	2012-07-23	2013-12-19
2 METLIFE INC (MET)	P	2011-01-20	2013-12-19
120 AMERICAN TOWER CORPORATION (AMT)	P	2009-02-18	2013-12-20
37 EBAY INC (EBAY)	P	2011-03-17	2013-12-20
22 COUNTRYWIDE CAPITAL IV (CFC-A)	P	2012-07-10	2013-12-20
29 7143 ROYCE GLOBAL VALUE TRUST WI	P	2013-10-18	2013-12-20
3 ROYCE GLOBAL VALUE TRUST WI (RGT)	P	2013-10-18	2013-12-20
46 WALT DISNEY CO (DIS)	P	2012-09-05	2013-12-23
60 HOME DEPOT INC (HD)	P	2008-01-02	2013-12-23
121 MS&AD INSURANCE GROUP HOLDI (MSA	P	2012-06-13	2013-12-23
27 REPUBLIC SVCS INC (RSG)	P	2006-06-13	2013-12-23
25 WALT DISNEY CO (DIS)	P	2005-09-26	2013-12-23
23 FIRST TRUST HIGH INCOME LON (FSD)	P	2012-12-05	2013-12-23
16 FIRST TRUST HIGH INCOME LON (FSD)	P	2013-02-28	2013-12-23
9 FIRST TRUST HIGH INCOME LON (FSD)	P	2012-12-06	2013-12-23
2 REPUBLIC SVCS INC (RSG)	P	2006-05-02	2013-12-23
1 FIRST TRUST HIGH INCOME LON (FSD)	P	2013-02-28	2013-12-23
224 ROYAL DSM NV ADR (RDSMY)	P	2013-04-04	2013-12-26
102 INTUIT (INTU)	P	2009-02-18	2013-12-26
88 CAMECO CORP (CCJ)	P	2011-08-08	2013-12-26
70 BLACKROCK BUILD AMERICA BON (BBN)	P	2013-01-09	2013-12-26
67 BANK OF AMERICA CORP 0% SRS (BML-	P	2011-06-01	2013-12-26
60 GOLDMAN SACHS GROUP INC (GS-D)	P	2010-05-28	2013-12-26
28 COVIDIEN PLC (COV)	P	2006-01-03	2013-12-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
17 EXPRESS SCRIPTS HOLDING CO (ESRX)	P	2012-01-04	2013-12-26
12 SLM CORP 6 97% SRS A (SLMAP)	P	2013-03-01	2013-12-26
10 SLM CORP 6 97% SRS A (SLMAP)	P	2013-02-25	2013-12-26
29 NUVEEN NEWYORK AMT-FREE MU (NRK)	P	2013-07-23	2013-12-26
36 HOME RETAIL GROUP PLC ADR (HMRTY)	P	2012-05-15	2013-12-26
4 SATURNS-JCP 2007-1 7% 3/1/9 (HJV)	P	2013-03-01	2013-12-26
2 SATURNS-JCP 2007-1 7% 3/1/9 (HJV)	P	2013-04-30	2013-12-26
94 ROHM CO LTD (ROHCY)	P	2010-11-08	2013-12-27
195 CSX CORP (CSX)	P	2009-03-30	2013-12-27
18 PHILIP MORRIS INTERNATIONAL (PM)	P	2012-01-25	2013-12-27
15 SCHLUMBERGER LTD (SLB)	P	2013-04-30	2013-12-27
32 DB CONT CAPITAL TRUST V 8 0 (DKT)	P	2013-07-12	2013-12-27
9 FLUOR CORP (NEW) (FLR)	P	2011-03-29	2013-12-27
10 NETAPP INC (NTAP)	P	2011-02-24	2013-12-27
29 ROYCE VALUE TR INC (RVT)	P	2013-02-26	2013-12-27
20 ROYCE VALUE TR INC (RVT)	P	2013-02-27	2013-12-27
2 BOSTON PPTYS INC (BXP)	P	2010-10-28	2013-12-27
9 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-08
3 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-08
71 NEWCREST MINING LTD SPON AUS	P	2011-12-16	2013-05-17
43 NEWCREST MINING LTD SPON AUS	P	2012-06-29	2013-05-22
25 SCHWAB CHARLES CORP NEW	P	2009-02-27	2013-05-31
13 DELPHI AUTOMOTIVE PLC	P	2012-05-16	2013-06-18
38 INTL RECTIFIER CORP	P	2009-03-30	2013-07-03
8 PNC FINANCIAL SERVICES GROU	P	2011-05-17	2013-07-10
5 NINTENDO LTD ADR NEW JAPA	P	2011-05-25	2013-07-19
21 LOCKHEED MARTIN CORP	P	2008-10-07	2013-07-31
1 SATURNS TR NO 2007 1 7 00	P	2013-05-08	2013-08-13
2000 FNMA PL 835158 05 0000 D	P	2013-01-09	2013-08-20
3695 ALLIANZ FIXED INCOME S	P	2009-04-01	2013-08-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
75 ALLIANZ FIXED INCOME SHA	P	2011-01-10	2013-08-26
9000 US TREASURY T 125% 07/15/2022	P	2012-11-28	2013-11-26
150 AGILENT TECHNOLOGIES INC (A)	P	2010-02-23	2013-11-26
64 REINSURANCE GROUP OF AMERIC (RGA)	P	2009-12-11	2013-11-26
28 EXXON MOBIL CORP (XOM)	P	2008-05-09	2013-11-26
65 WELLS FARGO & CO (WFC)	P	2013-01-23	2013-11-26
85 ALUMINA LTD (AWC)	P	2007-11-19	2013-11-26
30 JOHNSON & JOHNSON (JNJ)	P	2010-10-06	2013-11-26
44 SCHEIN HENRY INC (HSIC)	P	2009-03-26	2013-11-26
82 NINTENDO LTD ADR (NTDOY)	P	2011-08-01	2013-11-26
30 SIEMENS AG ADR (SI)	P	2008-10-24	2013-11-26
23 STATE STREET CORP (STT)	P	2013-03-06	2013-11-26
61 BORG WARNER INC (BWA)	P	2009-02-18	2013-11-26
20 UNITEDHEALTH GRP INC (UNH)	P	2012-05-09	2013-11-26
51 HSBC USA INC 0% SRS F (HUSI-F)	P	2012-06-08	2013-11-26
1000 UNITED STATES TREAS 0 75% 10/31	P	2013-08-20	2013-11-26
1000 FNMA FN AL3424 4% 01/01/2043 (3	P	2013-07-10	2013-11-26
29 BED BATH BEYOND INC (BBBY)	P	2008-01-02	2013-11-26
20 EATON VANCE CORP COM NON VT (EV)	P	2008-01-15	2013-11-26
84 EDF (ECIFY)	P	2011-04-07	2013-11-26
45 WESTERN ASSET/CLAYMORE INFL (WIW)	P	2013-02-11	2013-11-26
25 TYCO INTERNATIONAL LTD (TYC)	P	2007-11-08	2013-11-26
9 GLOBAL PAYMENTS INC (GPN)	P	2006-07-06	2013-11-26
10 GENERAL MILLS INC (GIS)	P	2010-04-14	2013-11-26
6 GLOBAL PAYMENTS INC (GPN)	P	2010-01-11	2013-11-26
4 3M COMPANY (MMM)	P	2008-12-17	2013-11-26
11 MORGAN STANLEY EMERGING MAR (EDD)	P	2012-04-13	2013-11-26
9 MORGAN STANLEY EMERGING MAR (EDD)	P	2012-10-15	2013-11-26
5 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-01	2013-11-26
5 HARSCO CORP (HSC)	P	2009-03-03	2013-11-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2012-12-14	2013-11-26
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2011-12-15	2013-11-26
2 GENERAL MILLS INC (GIS)	P	2010-02-19	2013-11-26
1 SNAP ON INC (SNA)	P	2005-10-21	2013-11-26
1 WESTERN ASSET/CLAYMORE INFL (WIW)	P	2013-08-30	2013-11-26
106 HSBC HOLDINGS PLC 8% SRS 2 (HSEB	P	2013-01-23	2013-12-05
57 JOHNSON CTLS INC (JCI)	P	2011-03-23	2013-12-05
15 PPG INDS INC (PPG)	P	2013-04-10	2013-12-05
218 BANCO SANTANDER BRASIL SA (BSBR)	P	2012-10-12	2013-12-05
18 LOCKHEED MARTIN CORP (LMT)	P	2009-11-04	2013-12-05
23 OCWEN FINANCIAL CORP (OCN)	P	2013-05-29	2013-12-05
21 TEVA PHARMACEUTICAL INDUSTR (TEVA	P	2012-12-12	2013-12-05
26 NUVEEN BUILD AMERICAN BOND (NBB)	P	2013-03-11	2013-12-05
15 MICROSOFT CORP (MSFT)	P	2008-08-06	2013-12-05
5 ACCENTURE PLC CL A (ACN)	P	2010-02-19	2013-12-05
1 WELLS FARGO & CO 8% SRS (WFC-J)	P	2013-04-17	2013-12-05
2 BLACKROCK INC CL A (BLK)	P	2013-05-29	2013-12-11
122 URS CORP (URS)	P	2010-05-12	2013-12-19
50 METLIFE INC (MET)	P	2011-01-19	2013-12-19
49 METLIFE INC (MET)	P	2010-09-01	2013-12-19
17 CHEVRON CORP (CVX)	P	2011-08-12	2013-12-19
70 HELIX ENERGY SOLUTIONS GRP (HLX)	P	2012-11-23	2013-12-19
42 RBS CAPITAL FUNDING TRUST V (RBS-	P	2013-01-30	2013-12-19
22 JP MORGAN CHASE & CO (JPM)	P	2009-02-11	2013-12-19
31 NATIONAL BANK OF GREECE SA (NBG-	P	2013-06-03	2013-12-19
5 THERMO FISHER SCIENTIFIC IN (TMO)	P	2011-08-18	2013-12-19
20 NATIONAL BANK OF GREECE SA (NBG-	P	2013-05-13	2013-12-19
1 CHEVRON CORP (CVX)	P	2008-10-07	2013-12-19
193 DAI NIPPON PRINTING CO LTD (DNPL	P	2010-02-03	2013-12-20
44 COUNTRYWIDE CAPITAL IV (CFC-A)	P	2012-07-10	2013-12-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
20 EBAY INC (EBAY)	P	2008-07-18	2013-12-20
4 AMERICAN TOWER CORPORATION (AMT)	P	2010-01-11	2013-12-20
2 8571 ROYCE GLOBAL VALUE TRUST WI (	P	2013-10-18	2013-12-20
59 GLAXOSMITHKLINE PLC ADS (GSK)	P	2010-04-22	2013-12-23
28 STARBUCKS CORP (SBUX)	P	2013-04-05	2013-12-23
546 FINMECCANICA SPA (FINMY)	P	2012-06-04	2013-12-23
56 MS&AD INSURANCE GROUP HOLDI (MSAD	P	2010-08-04	2013-12-23
44 CENTRAIS ELETRICAS BRASILEI (EBR)	P	2007-08-06	2013-12-23
23 FIRST TRUST HIGH INCOME LON (FSD)	P	2012-12-07	2013-12-23
29 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-02-13	2013-12-23
8 FIRST TRUST HIGH INCOME LON (FSD)	P	2012-12-07	2013-12-23
4 FIRST TRUST HIGH INCOME LON (FSD)	P	2013-07-15	2013-12-23
680 BANK OF AMERICA CORP 0% SRS (BML	P	2010-05-13	2013-12-26
60 UNITED TECH CORP (UTX)	P	2008-10-07	2013-12-26
72 DELPHI AUTOMOTIVE (DLPH)	P	2012-09-18	2013-12-26
84 BLACKROCK BUILD AMERICA BON (BBN)	P	2013-01-14	2013-12-26
25 CME GROUP INC (CME)	P	2011-02-25	2013-12-26
20 LULULEMON ATHLETICA INC (LULU)	P	2013-04-05	2013-12-26
47 TELECOM ITALIA SPA SAVINGS (TI A	P	2005-11-25	2013-12-26
9 OCCIDENTAL PETE CORP (OXY)	P	2011-06-08	2013-12-26
35 EXPRESS SCRIPTS HOLDING CO (ESRX)	P	2009-03-26	2013-12-26
12 SLM CORP 6 97% SRS A (SLMAP)	P	2013-02-25	2013-12-26
10 SLM CORP 6 97% SRS A (SLMAP)	P	2013-02-25	2013-12-26
69 HOME RETAIL GROUP PLC ADR (HMRTY)	P	2011-12-22	2013-12-26
4 EXPRESS SCRIPTS HOLDING CO (ESRX)	P	2010-02-16	2013-12-26
4 SATURNS-JCP 2007-1 7% 3/1/9 (HJV)	P	2013-03-01	2013-12-26
1 TELECOM ITALIA SPA SAVINGS (TI A)	P	2005-07-27	2013-12-26
104 DB CONT CAPITAL TRUST V 8 0 (DKT	P	2012-07-27	2013-12-27
22 ASML HOLDING NV (ASML)	P	2013-05-08	2013-12-27
26 PRUDENTIAL FINL (PRU)	P	2009-12-16	2013-12-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 GOOGLE INC (GOOG)	P	2008-10-22	2013-12-27
15 SCHLUMBERGER LTD (SLB)	P	2010-06-10	2013-12-27
42 RIVERBED TECH INC (RVBD)	P	2013-07-31	2013-12-27
56 SKISUE HOUSE LTD ADR (SKHSY)	P	2011-06-24	2013-12-27
28 ROYCE VALUE TR INC (RVT)	P	2013-02-27	2013-12-27
20 ROYCE VALUE TR INC (RVT)	P	2013-02-27	2013-12-27
3 PHILIP MORRIS INTERNATIONAL (PM)	P	2010-02-19	2013-12-27
9 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-08
2 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-08
84 NEWCREST MINING LTD SPON AUS	P	2009-08-10	2013-05-17
24 NEWCREST MINING LTD SPON AUS	P	2012-04-26	2013-05-22
116 ING GROEP N V NL SPON AD	P	2012-05-09	2013-06-03
7 ING GROEP N V CUML 8 50	P	2011-02-09	2013-06-18
3 12 MALLINCKRODT PUB LTD CO	P	2006-01-03	2013-07-03
42 CAMECO CORP CANADA CAD	P	2010-04-07	2013-07-15
3 NINTENDO LTD ADR NEW JAPA	P	2011-08-01	2013-07-19
30 ORACLE CORP	P	2011-11-08	2013-07-31
52 MOODYS CORP	P	2013-02-06	2013-08-14
2 SATURNS TR NO 2007 1 7 00	P	2013-05-08	2013-08-20
2620 ALLIANZ FIXED INCOME S	P	2009-04-01	2013-08-26
0 5 MURPHY USA INC (MUSA)	P	2012-03-05	2013-09-03
9000 US TREASURY T 125% 07/15/2022	P	2012-07-24	2013-11-26
130 WELLS FARGO & CO (WFC)	P	2012-12-12	2013-11-26
48 DIAGEO PLC SPONS ADR (DEO)	P	2008-10-07	2013-11-26
65 ABBOTT LABORATORIES (ABT)	P	2013-06-18	2013-11-26
63 WELLS FARGO & CO (WFC)	P	2013-02-21	2013-11-26
212 VALLOUREC SA (VLOWY)	P	2013-03-27	2013-11-26
109 KINROSS GOLD CORP (KGC)	P	2011-01-21	2013-11-26
39 FIDELITY NATIONAL INFORMATI (FIS)	P	2013-07-10	2013-11-26
378 CARREFOUR SA (CRRFY)	P	2011-12-19	2013-11-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
213 PANASONIC CORP (PCRFY)	P	2012-09-25	2013-11-26
114 TALISMAN ENERGY INC (TLM)	P	2012-01-19	2013-11-26
22 ACE LTD (ACE)	P	2010-09-08	2013-11-26
301 EDF (ECIFY)	P	2013-01-07	2013-11-26
69 TESCO PLC SPONS ADR (TSCDY)	P	2013-06-26	2013-11-26
17 PIONEER NAT RES CO (PXD)	P	2010-05-20	2013-11-26
65 KINROSS GOLD CORP (KGC)	P	2011-10-17	2013-11-26
10 MONSANTO CO NEW (MON)	P	2010-01-21	2013-11-26
30 EMC CORP (EMC)	P	2011-11-08	2013-11-26
61 VALLOUREC SA (VLOWY)	P	2013-01-10	2013-11-26
27 ROYAL BK SCOTLAND PFD 'M' A (RBS-	P	2013-04-24	2013-11-26
33 KINROSS GOLD CORP (KGC)	P	2011-09-27	2013-11-26
19 ROYAL BK SCOTLAND PFD 'M' A (RBS-	P	2013-04-24	2013-11-26
7 CHECK POINT SOFTWARE TECH L (CHKP)	P	2013-06-26	2013-11-26
8 AUTODESK INC (ADSK)	P	2010-10-28	2013-11-26
14 VODAFONE GROUP PLC (VOD)	P	2009-03-27	2013-11-26
5 9986 PENTAIR LTD (PNR)	P	2007-11-08	2013-11-26
7 TYCO INTERNATIONAL LTD (TYC)	P	2006-02-02	2013-11-26
5 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-01	2013-11-26
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2012-07-16	2013-11-26
4 ONEOK INC (OKE)	P	2010-02-16	2013-11-26
6 CALAMOS CVT AND HIGH INCOME (CHY)	P	2011-02-15	2013-11-26
4 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-08-20	2013-11-26
2 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-08-21	2013-11-26
346 BARCLAYS BANK PLC 8 125% (BCS-D)	P	2010-06-02	2013-12-05
141 NUVEEN BUILD AMERICAN BOND (NBB	P	2013-02-25	2013-12-05
14 GOLDMAN SACHS GRP INC (GS)	P	2011-03-03	2013-12-05
77 BARCLAYS BANK PLC 8 125% (BCS-D)	P	2011-02-02	2013-12-05
11 GOLDMAN SACHS GRP INC (GS)	P	2013-01-28	2013-12-05
45 JOHNSON CTLS INC (JCI)	P	2010-05-26	2013-12-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
30 XILINX INC (XLNX)	P	2012-06-05	2013-12-05
5 GOLDMAN SACHS GRP INC (GS)	P	2013-05-29	2013-12-05
77 BANCO SANTANDER BRASIL SA (BSBR)	P	2012-11-05	2013-12-05
23 WOLTERS KLUWER N V (WTKWY)	P	2009-06-15	2013-12-05
2 CUMMINS INC (CMI)	P	2010-10-28	2013-12-05
1 JOHNSON CTLS INC (JCI)	P	2010-06-01	2013-12-05
4 BLACKROCK INC CL A (BLK)	P	2009-04-08	2013-12-11
200 SANTANDER FIN PFD SA UNI 10 (SAN	P	2012-03-07	2013-12-19
27 UNITED PARCEL SERVICE INC C (UPS)	P	2013-03-06	2013-12-19
25 CHEVRON CORP (CVX)	P	2009-10-28	2013-12-19
29 THERMO FISHER SCIENTIFIC IN (TMO)	P	2011-08-17	2013-12-19
41 SANTANDER FIN PFD SA UNI 10 (SAN-	P	2010-11-10	2013-12-19
30 TRIMBLE NAV LTD (TRMB)	P	2013-02-11	2013-12-19
31 ROYAL BANK SCOTLAND 6 35% (RBS-N)	P	2012-07-10	2013-12-19
5 UNITED PARCEL SERVICE INC C (UPS)	P	2011-05-17	2013-12-19
27 NATIONAL BANK OF GREECE SA (NBG-	P	2013-05-13	2013-12-19
60 SUMITOMO MITSUI TRUST (SUTNY)	P	2012-09-24	2013-12-19
15 LIBERTY MEDIA CORP (2013) S (LMCA	P	2009-02-27	2013-12-19
66 AT&T INC (T)	P	2013-05-22	2013-12-20
52 RAYMOND JAMES FINL INC (RJF)	P	2005-07-26	2013-12-20
15 RAYMOND JAMES FINL INC (RJF)	P	2006-03-07	2013-12-20
2 YUM BRANDS INC (YUM)	P	2013-06-21	2013-12-20
2 8572 ROYCE GLOBAL VALUE TRUST WI (	P	2013-10-18	2013-12-20
64 DARDEN RESTAURANTS INC (DRI)	P	2009-03-30	2013-12-23
32 LAUDER ESTEE COS INC CL A (EL)	P	2011-05-06	2013-12-23
66 BLACKROCK MUNI YIELD CALIF (MCA)	P	2013-07-23	2013-12-23
12 DARDEN RESTAURANTS INC (DRI)	P	2011-07-11	2013-12-23
10 QUALCOMM INC (QCOM)	P	2011-07-29	2013-12-23
15 FACEBOOK INC CL A (FB)	P	2013-04-23	2013-12-23
16 FIRST TRUST HIGH INCOME LON (FSD)	P	2012-12-06	2013-12-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 BLACKROCK MUNI YIELD CALIF (MCA)	P	2013-07-23	2013-12-23
4 FIRST TRUST HIGH INCOME LON (FSD)	P	2013-08-15	2013-12-23
1437 NUVEEN CREDIT STRATEGIES IN (JQ	P	2010-12-21	2013-12-26
111 INTUIT (INTU)	P	2009-03-30	2013-12-26
25 OCCIDENTAL PETE CORP (OXY)	P	2011-08-31	2013-12-26
62 AKAMAI TECH INC (AKAM)	P	2011-07-11	2013-12-26
81 AKAMAI TECH INC (AKAM)	P	2009-03-30	2013-12-26
26 SLM CORP 6 97% SRS A (SLMAP)	P	2013-02-25	2013-12-26
75 SHISEIDO LTD SPONS ADR (SSDOY)	P	2009-03-31	2013-12-26
74 NUVEEN NEWYORK AMT-FREE MU (NRK)	P	2013-07-24	2013-12-26
14 ANADARKO PETE CORP (APC)	P	2010-05-20	2013-12-26
12 SLM CORP 6 97% SRS A (SLMAP)	P	2013-02-25	2013-12-26
115 SEAGATE TECHNOLOGY (STX)	P	2009-02-27	2013-12-26
35 SANDISK CORP (SNDK)	P	2009-02-27	2013-12-26
5 SANDISK CORP (SNDK)	P	2008-01-02	2013-12-26
3 BLACKROCK BUILD AMERICA BON (BBN)	P	2013-04-30	2013-12-26
1 SATURNS-JCP 2007-1 7% 3/1/9 (HJV)	P	2013-02-28	2013-12-26
104 DB CONT CAPITAL TRUST V 8 0 (DKT	P	2012-07-27	2013-12-27
32 PRUDENTIAL FINL (PRU)	P	2010-02-24	2013-12-27
65 GATX CORP (GMT)	P	2009-03-30	2013-12-27
3 GOOGLE INC (GOOG)	P	2009-03-26	2013-12-27
232 ALSTOM SA (ALSMY)	P	2013-05-07	2013-12-27
20 FLUOR CORP (NEW) (FLR)	P	2008-11-20	2013-12-27
15 FLUOR CORP (NEW) (FLR)	P	2009-02-27	2013-12-27
16 ROHM CO LTD (ROHCY)	P	2009-01-30	2013-12-27
5 ANHEUSER-BUSCH INBEV NV ADR (BUD)	P	2010-12-02	2013-12-27
4 PHILIP MORRIS INTERNATIONAL (PM)	P	2009-02-06	2013-12-27
6 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-08
2 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-08
37 ANGLOGOLD ASHANTI LTD NEW	P	2011-02-08	2013-05-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
11 MOODYS CORP	P	2012-11-09	2013-05-22
81 ING GROEP N V NL SPON ADR	P	2012-06-27	2013-06-03
7 ING GROEP N V CUML 8 50	P	2011-02-09	2013-06-19
0 88 MALLINCKRODT PUB LTD CO	P	2006-02-02	2013-07-03
12 CAMECO CORP CANADA CAD	P	2010-05-25	2013-07-15
35 STATE STREET CORP	P	2013-03-06	2013-07-24
10 ORACLE CORP	P	2011-08-01	2013-07-31
9 MOODYS CORP	P	2012-11-09	2013-08-14
2 SATURNS TR NO 2007 1 7 00	P	2013-05-08	2013-08-20
2130 ALLIANZ FIXED INCOME S	P	2011-09-19	2013-08-26
1 SPRINT CAPITAL COR 6 875% 11/15/20	P	2013-09-18	2013-09-18
10000 JPMORGAN CHASE & CO (48126HAA8	P	2013-07-29	2013-11-26
10000 FGLMC A97046 F 4 5% 02/01/2041	P	2011-02-09	2013-11-26
77 WELLS FARGO & CO (WFC)	P	2013-04-24	2013-11-26
541 CARREFOUR SA (CRRFY)	P	2011-10-04	2013-11-26
82 VODAFONE GROUP PLC (VOD)	P	2012-01-19	2013-11-26
19 APPLE COMPUTER INC (AAPL)	P	2009-03-26	2013-11-26
2000 UNITED STATES TREASURY 2% 2/15/	P	2013-08-20	2013-11-26
173 D R HORTON INC (DHI)	P	2009-03-30	2013-11-26
96 1 VIVENDI SA (VIVHY)	P	2012-04-10	2013-11-26
59 ANSYS INC (ANSS)	P	2009-02-18	2013-11-26
149 OAO GAZPROM (OGZPY)	P	2012-05-21	2013-11-26
215 ALUMINA LTD (AWC)	P	2011-09-28	2013-11-26
92 EASTMAN CHEM CO (EMN)	P	2009-02-18	2013-11-26
45 COMCAST CORP CL A SPL NEW (CMCSK)	P	2011-05-26	2013-11-26
59 KINROSS GOLD CORP (KGC)	P	2010-02-04	2013-11-26
35 ABBOTT LABORATORIES (ABT)	P	2010-03-11	2013-11-26
20 ANALOG DEVICES INC (ADI)	P	2012-11-30	2013-11-26
9 AMERIPRISE FINANCIAL INC (AMP)	P	2013-05-29	2013-11-26
56 WEATHERFORD INTERNATIONAL L (WFT)	P	2009-04-01	2013-11-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
45 WESTERN ASSET/CLAYMORE INFL (WIW)	P	2013-02-13	2013-11-26
20 COCA COLA CO (KO)	P	2008-07-18	2013-11-26
31 WESTERN ASSET/CLAYMORE INFL (WIW)	P	2013-01-03	2013-11-26
5 CREE INC (CREE)	P	2010-08-05	2013-11-26
38 IMMUNOGEN INC (IMGN)	P	2010-10-14	2013-11-26
2 INTERCON EXCHANGE (ICE)	P	2010-10-28	2013-11-26
11 MORGAN STANLEY EMERGING MAR (EDD)	P	2012-07-13	2013-11-26
4 7988 PENTAIR LTD (PNR)	P	2008-01-02	2013-11-26
5 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-01	2013-11-26
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2012-09-14	2013-11-26
2 ANSYS INC (ANSS)	P	2010-02-16	2013-11-26
6 CALAMOS CVT AND HIGH INCOME (CHY)	P	2011-04-15	2013-11-26
4 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-08-20	2013-11-26
2 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-08-21	2013-11-26
186 ECHOSTAR CORP (SATS)	P	2013-02-20	2013-12-05
178 SK TELECOM LTD SPONS ADR (SKM)	P	2009-05-20	2013-12-05
51 CVS CAREMARK CORP (CVS)	P	2012-03-21	2013-12-05
48 LORILLARD INC (LO)	P	2012-05-30	2013-12-05
38 CVS CAREMARK CORP (CVS)	P	2012-01-11	2013-12-05
16 LOCKHEED MARTIN CORP (LMT)	P	2009-07-22	2013-12-05
141 BANCO SANTANDER BRASIL SA (BSBR)	P	2013-07-23	2013-12-05
107 BANCO SANTANDER BRASIL SA (BSBR)	P	2013-01-15	2013-12-05
25 HSBC USA INC NEW (HUSI-G)	P	2012-06-13	2013-12-05
13 WELLS FARGO & CO 8% SRS (WFC-J)	P	2013-04-18	2013-12-05
2 LOCKHEED MARTIN CORP (LMT)	P	2010-02-19	2013-12-05
1 NUVEEN BUILD AMERICAN BOND (NBB)	P	2013-05-01	2013-12-05
7 CITRIX SYSTEMS INC (CTXS)	P	2013-04-05	2013-12-11
300 MORGAN STANLEY 0 0000% SERI (MS-	P	2012-03-07	2013-12-19
50 JP MORGAN CHASE & CO (JPM)	P	2011-02-02	2013-12-19
73 ORACLE CORP (ORCL)	P	2010-04-09	2013-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
33 JP MORGAN CHASE & CO (JPM)	P	2013-04-17	2013-12-19
20 STANLEY BLACK & DECKER INC (SWK)	P	2011-09-07	2013-12-19
28 JP MORGAN CHASE & CO (JPM)	P	2008-12-17	2013-12-19
30 ROYAL BANK SCOTLAND 6 35% (RBS-N)	P	2012-07-10	2013-12-19
7 THERMO FISHER SCIENTIFIC IN (TMO)	P	2010-06-10	2013-12-19
10 JP MORGAN CHASE & CO (JPM)	P	2009-02-12	2013-12-19
10 ROYAL BANK SCOTLAND 6 35% (RBS-N)	P	2012-07-10	2013-12-19
4 ROYAL BANK SCOTLAND 6 35% (RBS-N)	P	2012-07-10	2013-12-19
96 COUNTRYWIDE CAPITAL IV (CFC-A)	P	2012-07-25	2013-12-20
15 YUM BRANDS INC (YUM)	P	2012-12-21	2013-12-20
10 COVANCE INC (CVD)	P	2009-02-18	2013-12-20
10 RAYMOND JAMES FINL INC (RJF)	P	2009-03-03	2013-12-20
1 AT&T INC (T)	P	2010-02-16	2013-12-20
37 QUALCOMM INC (QCOM)	P	2012-08-03	2013-12-23
90 REPUBLIC SVCS INC (RSG)	P	2009-03-30	2013-12-23
48 FIRST TRUST HIGH INCOME LON (FSD)	P	2013-02-25	2013-12-23
61 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-02-14	2013-12-23
10 QUALCOMM INC (QCOM)	P	2011-08-12	2013-12-23
40 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-06-04	2013-12-23
16 FIRST TRUST HIGH INCOME LON (FSD)	P	2012-12-05	2013-12-23
5 DARDEN RESTAURANTS INC (DRI)	P	2009-03-03	2013-12-23
3 FIRST TRUST HIGH INCOME LON (FSD)	P	2013-05-15	2013-12-23
508 GOLDMAN SACHS GROUP INC (GS-D)	P	2010-05-28	2013-12-26
89 FUJI FILM HOLDINGS CORP ADR (FUJI	P	2006-03-23	2013-12-26
40 PEPSICO INC (PEP)	P	2005-08-24	2013-12-26
107 PFIZER INC (PFE)	P	2009-11-11	2013-12-26
89 PFIZER INC (PFE)	P	2010-09-29	2013-12-26
75 TELECOM ITALIA SPA SAVINGS (TI A	P	2008-05-02	2013-12-26
66 PFIZER INC (PFE)	P	2009-10-07	2013-12-26
72 NUVEEN NEW YORK AMT-FREE MU (NRK)	P	2013-07-23	2013-12-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 UNITED TECH CORP (UTX)	P	2012-05-16	2013-12-26
12 SLM CORP 6 97% SRS A (SLMAP)	P	2013-02-25	2013-12-26
15 BROADCOM CORPORATION CL A (BRCM)	P	2011-10-04	2013-12-26
6 SLM CORP 6 97% SRS A (SLMAP)	P	2013-02-25	2013-12-26
18 NUVEEN CREDIT STRATEGIES IN (JQC)	P	2010-12-21	2013-12-26
3 BLACKROCK BUILD AMERICA BON (BBN)	P	2013-02-28	2013-12-26
1 PFIZER INC (PFE)	P	2010-02-16	2013-12-26
35 ANHEUSER-BUSCH INBEV NV ADR (BUD)	P	2012-07-18	2013-12-27
26 BIO RAD LABS INC CL A (BIO)	P	2009-02-18	2013-12-27
52 CAMERON INTERNATIONAL CORP (CAM)	P	2009-03-26	2013-12-27
309 ALSTOM SA (ALSMY)	P	2012-10-02	2013-12-27
9 SCHLUMBERGER LTD (SLB)	P	2011-02-16	2013-12-27
41 ROYCE VALUE TR INC (RVT)	P	2013-01-23	2013-12-27
9 PHILIP MORRIS INTERNATIONAL (PM)	P	2010-10-01	2013-12-27
14 DB CONT CAPITAL TRUST V 8 0 (DKT)	P	2013-07-12	2013-12-27
13 ROHM CO LTD (ROHCY)	P	2011-12-19	2013-12-27
3 PRUDENTIAL FINL (PRU)	P	2010-02-16	2013-12-27
6 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-08
2 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-08
33 NEWCREST MINING LTD SPON AUS	P	2010-05-28	2013-05-17
5 APACHE CORP	P	2011-06-17	2013-05-23
7 BLACKROCK INC	P	2012-01-11	2013-06-05
5 ING GROEP N V CUML 8 50	P	2011-02-09	2013-06-19
77 INTL RECTIFIER CORP	P	2009-03-30	2013-07-05
58 CAMECO CORP CANADA CAD	P	2010-05-25	2013-07-16
23 JOHNSON & JOHNSON COM	P	2008-10-07	2013-07-24
110 ELECTRICITE DE FRANCE AD	P	2010-11-10	2013-08-05
4 SATURNS TR NO 2007 1 7 00	P	2013-05-08	2013-08-14
98 VALLOUREC SA SPON ADR	P	2013-01-10	2013-08-21
2450 ALLIANZ FIXED INCOME S	P	2011-09-19	2013-08-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
0 8571 ROYCE GLOBAL VALUE TRUST WI (	P	2013-10-18	2013-10-18
9000 UNITED STATES TREASURY 2% 2/15/	P	2013-04-02	2013-11-26
287 MORGAN STANLEY EMERGING MAR (EDD	P	2010-06-02	2013-11-26
45 JOHNSON & JOHNSON (JNJ)	P	2005-08-15	2013-11-26
55 FIDELITY NATIONAL INFORMATI (FIS)	P	2013-05-08	2013-11-26
24 3M COMPANY (MMM)	P	2011-03-24	2013-11-26
71 TYCO INTERNATIONAL LTD (TYC)	P	2012-12-05	2013-11-26
104 BARRICK GOLD CORP (ABX)	P	2013-04-19	2013-11-26
25 STARWOOD HOTELS & RESORTS W (HOT)	P	2013-06-10	2013-11-26
151 VALLOUREC SA (VLOWY)	P	2013-03-01	2013-11-26
162 D R HORTON INC (DHI)	P	2009-02-18	2013-11-26
40 SNAP ON INC (SNA)	P	2009-04-29	2013-11-26
34 WELLS FARGO & CO (WFC)	P	2013-02-15	2013-11-26
55 BORG WARNER INC (BWA)	P	2009-03-30	2013-11-26
13 DIAGEO PLC SPONS ADR (DEO)	P	2011-05-04	2013-11-26
16 3M COMPANY (MMM)	P	2009-07-01	2013-11-26
11 DEERE & CO (DE)	P	2012-11-23	2013-11-26
46 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-03-18	2013-11-26
14 3M COMPANY (MMM)	P	2009-02-06	2013-11-26
50 AUTODESK INC (ADSK)	P	2009-02-27	2013-11-26
44 WESTERN ASSET/CLAYMORE INFL (WIW)	P	2013-01-03	2013-11-26
14 WELLS FARGO & CO (WFC)	P	2013-02-13	2013-11-26
15 EATON VANCE CORP COM NON VT (EV)	P	2006-03-07	2013-11-26
7 CHECK POINT SOFTWARE TECH L (CHKP)	P	2013-06-24	2013-11-26
20 CABLEVISION SYSTEMS CORP CL (CVC)	P	2008-04-09	2013-11-26
30 D R HORTON INC (DHI)	P	2009-03-03	2013-11-26
10 MORGAN STANLEY EMERGING MAR (EDD)	P	2011-07-15	2013-11-26
2 EXXON MOBIL CORP (XOM)	P	2010-02-19	2013-11-26
5 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-01	2013-11-26
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2013-03-15	2013-11-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2012-06-15	2013-11-26
6 CALAMOS CVT AND HIGH INCOME (CHY)	P	2011-05-16	2013-11-26
4 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-08-13	2013-11-26
2 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-08-21	2013-11-26
283 BARCLAYS BANK PLC 8 125% (BCS-D)	P	2011-03-09	2013-12-05
126 HSBC USA INC NEW (HUSI-G)	P	2012-06-13	2013-12-05
28 LOCKHEED MARTIN CORP (LMT)	P	2011-07-06	2013-12-05
282 AEGON NV (AEG)	P	2013-05-08	2013-12-05
16 GOLDMAN SACHS GRP INC (GS)	P	2012-01-11	2013-12-05
40 SANOFI-AVENTIS (SNY)	P	2009-07-01	2013-12-05
95 TE CONNECTIVITY LTD (TEL)	P	2009-02-27	2013-12-05
16 SANOFI-AVENTIS (SNY)	P	2013-08-02	2013-12-05
15 XILINX INC (XLNX)	P	2012-09-14	2013-12-05
20 JUNIPER NETWORKS INC (JNPR)	P	2012-05-09	2013-12-05
14 KEYCORP (KEY)	P	2012-03-01	2013-12-05
1 NUVEEN BUILD AMERICAN BOND (NBB)	P	2013-06-03	2013-12-05
3 BLACKROCK INC CL A (BLK)	P	2009-03-30	2013-12-11
369 NATIONAL BANK OF GREECE SA (NBG	P	2013-06-03	2013-12-19
48 JP MORGAN CHASE & CO (JPM)	P	2011-02-25	2013-12-19
46 NESTLE S A SPONS ADR (NSRGY)	P	2009-08-19	2013-12-19
59 SANTANDER FIN PFD SA UNI 10 (SAN-	P	2010-06-03	2013-12-19
54 RBS CAPITAL FUNDING TRUST V (RBS-	P	2013-01-30	2013-12-19
22 NESTLE S A SPONS ADR (NSRGY)	P	2008-10-08	2013-12-19
205 HELIX ENERGY SOLUTIONS GRP (HLX	P	2009-02-25	2013-12-19
38 NATIONAL BANK OF GREECE SA (NBG-	P	2013-05-13	2013-12-19
6 JP MORGAN CHASE & CO (JPM)	P	2010-02-19	2013-12-19
7 ORACLE CORP (ORCL)	P	2010-02-19	2013-12-19
1 TOYOTA MOTOR CORP SPONS ADR (TM)	P	2010-09-09	2013-12-19
77 COCA-COLA ENTERPRISES INC (CCE)	P	2012-11-09	2013-12-20
29 AMERICAN TOWER CORPORATION (AMT)	P	2009-03-30	2013-12-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 COVANCE INC (CVD)	P	2009-03-30	2013-12-20
5 AT&T INC (T)	P	2010-02-19	2013-12-20
2 4286 ROYCE GLOBAL VALUE TRUST WI (	P	2013-10-18	2013-12-20
44 WALT DISNEY CO (DIS)	P	2012-08-22	2013-12-23
479 CENTRAIS ELETRICAS BRASILEI (EBR	P	2013-04-09	2013-12-23
66 BLACKROCK MUNI YIELD CALIF (MCA)	P	2013-07-24	2013-12-23
31 WALT DISNEY CO (DIS)	P	2001-10-05	2013-12-23
25 REPUBLIC SVCS INC (RSG)	P	2009-03-03	2013-12-23
22 FIRST TRUST HIGH INCOME LON (FSD)	P	2012-12-07	2013-12-23
5 QUALCOMM INC (QCOM)	P	2011-08-05	2013-12-23
12 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-02-28	2013-12-23
3 FIRST TRUST HIGH INCOME LON (FSD)	P	2012-12-05	2013-12-23
1207 NUVEEN CREDIT STRATEGIES IN (JQ	P	2010-05-28	2013-12-26
27 OCCIDENTAL PETE CORP (OXY)	P	2011-06-15	2013-12-26
65 ST JUDE MEDICAL INC (STJ)	P	2011-12-21	2013-12-26
66 EXPRESS SCRIPTS HOLDING CO (ESRX)	P	2009-02-18	2013-12-26
55 ARM HOLDINGS PLC (ARMH)	P	2012-09-25	2013-12-26
32 ARM HOLDINGS PLC (ARMH)	P	2013-07-08	2013-12-26
27 MICHAEL KORS HOLDINGS LIMIT (KORS	P	2012-08-03	2013-12-26
72 NUVEEN NEW YORK AMT-FREE MU (NRK)	P	2013-07-24	2013-12-26
25 AKAMAI TECH INC (AKAM)	P	2012-05-17	2013-12-26
12 SLM CORP 6 97% SRS A (SLMAP)	P	2013-02-25	2013-12-26
37 NUVEEN NEW YORK AMT-FREE MU (NRK)	P	2013-07-23	2013-12-26
10 DELPHI AUTOMOTIVE (DLPH)	P	2012-05-23	2013-12-26
3 UNITED TECH CORP (UTX)	P	2009-02-06	2013-12-26
3 BLACKROCK BUILD AMERICA BON (BBN)	P	2013-03-28	2013-12-26
1 PFIZER INC (PFE)	P	2010-10-01	2013-12-26
57 HONEYWELL INTL INC (HON)	P	2010-05-26	2013-12-27
28 CELGENE CORP (CELG)	P	2010-04-22	2013-12-27
375 ALSTOM SA (ALSMY)	P	2013-06-21	2013-12-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
118 SKISUE HOUSE LTD ADR (SKHSY)	P	2011-06-23	2013-12-27
42 DAIICHI SANKYO COMPANY LIM (DSNK	P	2011-04-21	2013-12-27
10 ANHEUSER-BUSCH INBEV NV ADR (BUD)	P	2010-12-14	2013-12-27
10 CAMERON INTERNATIONAL CORP (CAM)	P	2011-08-05	2013-12-27
19 DAIICHI SANKYO COMPANY LIM (DSNK	P	2011-04-07	2013-12-27
5 ANHEUSER-BUSCH INBEV NV ADR (BUD)	P	2011-08-17	2013-12-27
1 INTERNATIONAL BUSINESS MACH (IBM)	P	2010-11-08	2013-12-27
6 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-08
7 CORP BACKED TRUST CERTS 6 00	P	2013-04-01	2013-05-09
22 ANGLOGOLD ASHANTI LTD NEW	P	2010-01-20	2013-05-17
5 APACHE CORP	P	2010-08-12	2013-05-23
6 BLACKROCK INC	P	2011-06-22	2013-06-05
4 ING GROEP N V CUML 8 50	P	2011-02-09	2013-06-19
12 INTL RECTIFIER CORP	P	2010-01-11	2013-07-05
25 VARIAN MEDICAL SYSTEMS INC	P	2010-02-04	2013-07-16
2 JOHNSON & JOHNSON COM	P	2009-04-22	2013-07-24
40 ELECTRICITE DE FRANCE ADR	P	2010-03-22	2013-08-05
3 SATURNS TR NO 2007 1 7 00	P	2013-05-08	2013-08-14
3 SATURNS TR NO 2007 1 7 00	P	2013-04-30	2013-08-21
1120 ALLIANZ FIXED INCOME S	P	2011-02-18	2013-08-26
28000 UNITED STATES TREAS 0 75% 10/3	P	2012-12-13	2013-11-26
20000 FNMA POOL FN AD8 4% 08/01/2040	P	2012-08-07	2013-11-26
352 D R HORTON INC (DHI)	P	2011-04-04	2013-11-26
60 BARRICK GOLD CORP (ABX)	P	2011-01-20	2013-11-26
26 EXXON MOBIL CORP (XOM)	P	2012-09-26	2013-11-26
129 PANASONIC CORP (PCRFY)	P	2007-09-11	2013-11-26
47 DANAHER CORP (DHR)	P	2011-08-24	2013-11-26
215 CARREFOUR SA (CRRFY)	P	2010-12-02	2013-11-26
175 OAO GAZPROM (OGZPY)	P	2011-09-30	2013-11-26
17 EXXON MOBIL CORP (XOM)	P	2007-09-27	2013-11-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
35 URBAN OUTFITTERS INC (URBN)	P	2013-05-29	2013-11-26
60 COCA COLA CO (KO)	P	2005-07-25	2013-11-26
50 COMCAST CORP CL A SPL NEW (CMCSK)	P	2011-07-14	2013-11-26
3 APPLE COMPUTER INC (AAPL)	P	2011-08-08	2013-11-26
80 EASTMAN CHEM CO (EMN)	P	2009-03-30	2013-11-26
1000 UNITED STATES TREASURY 2% 11/15	P	2013-07-09	2013-11-26
35 TEXAS INSTRS INC (TXN)	P	2008-08-07	2013-11-26
74 TALISMAN ENERGY INC (TLM)	P	2012-11-07	2013-11-26
20 HARSCO CORP (HSC)	P	2006-07-13	2013-11-26
1000 FNMA FN AJ4050 4% 10/01/2041 (3	P	2013-04-18	2013-11-26
44 WESTERN ASSET/CLAYMORE INFL (WIW)	P	2013-01-03	2013-11-26
20 COMCAST CORP CL A SPL NEW (CMCSK)	P	2011-07-14	2013-11-26
10 SNAP ON INC (SNA)	P	2006-07-06	2013-11-26
7 CHECK POINT SOFTWARE TECH L (CHKP)	P	2013-06-21	2013-11-26
5 UNITEDHEALTH GRP INC (UNH)	P	2012-07-10	2013-11-26
8 5 THE ADT CORPORATION (ADT)	P	2007-11-08	2013-11-26
7 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-01	2013-11-26
3 4878 CREDIT SUISSE GROUP (CS)	P	2011-06-27	2013-11-26
2 DIAGEO PLC SPONS ADR (DEO)	P	2009-02-06	2013-11-26
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2013-04-15	2013-11-26
5 MORGAN STANLEY EMERGING MAR (EDD)	P	2011-04-15	2013-11-26
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2011-10-14	2013-11-26
4 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-08-14	2013-11-26
3 OAO GAZPROM (OGZPY)	P	2012-05-22	2013-11-26
770 KEYCORP (KEY)	P	2012-01-25	2013-12-05
59 LORILLARD INC (LO)	P	2013-07-24	2013-12-05
57 LORILLARD INC (LO)	P	2012-09-12	2013-12-05
102 NABORS INDS LTD (NBR)	P	2011-11-02	2013-12-05
74 HSBC USA INC NEW (HUSI-G)	P	2012-06-13	2013-12-05
76 WOLTERS KLUWER N V (WTKWY)	P	2009-03-30	2013-12-05

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
22 ACCENTURE PLC CL A (ACN)	P	2010-09-20	2013-12-05
20 TEVA PHARMACEUTICAL INDUSTR (TEVA	P	2012-09-20	2013-12-05
25 VALSPAR CORP (VAL)	P	2008-01-15	2013-12-05
12 SANOFI-AVENTIS (SNY)	P	2009-03-30	2013-12-05
16 AEGON NV (AEG)	P	2013-06-14	2013-12-05
15 STARZ SERIES A COMMON (STRZA)	P	2009-02-27	2013-12-05
4 CITRIX SYSTEMS INC (CTXS)	P	2013-05-17	2013-12-11
159 SANTANDER FIN PFD SA UNI 10 (SAN	P	2010-06-04	2013-12-19
32 NESTLE S A SPONS ADR (NSRGY)	P	2013-02-21	2013-12-19
87 RBS CAPITAL FUNDING TRUST V (RBS-	P	2013-03-28	2013-12-19
364 SUMITOMO MITSUI TRUST (SUTNY)	P	2013-06-04	2013-12-19
40 PALL CORP (PLL)	P	2005-09-20	2013-12-19
18 URS CORP (URS)	P	2010-05-12	2013-12-19
28 ROYAL BANK SCOTLAND 6 35% (RBS-N)	P	2012-07-25	2013-12-19
10 SWISSCOM SPONS ADR (SCMWY)	P	2005-07-27	2013-12-19
3 CHEVRON CORP (CVX)	P	2010-01-07	2013-12-19
18 NATIONAL BANK OF GREECE SA (NBG-	P	2013-05-16	2013-12-19
7 NATIONAL BANK OF GREECE SA (NBG-A	P	2013-05-13	2013-12-19
59 AT&T INC (T)	P	2012-08-15	2013-12-20
28 EBAY INC (EBAY)	P	2011-06-10	2013-12-20
14 COUNTRYWIDE CAPITAL IV (CFC-A)	P	2012-08-09	2013-12-20
13 2857 ROYCE GLOBAL VALUE TRUST WI	P	2013-10-18	2013-12-20
1 ROYCE GLOBAL VALUE TRUST WI (RGT)	P	2013-10-18	2013-12-20
59 WALGREEN CO (WAG)	P	2012-08-31	2013-12-23
33 WALT DISNEY CO (DIS)	P	2011-02-25	2013-12-23
89 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-02-13	2013-12-23
55 MS&AD INSURANCE GROUP HOLDI (MSAD	P	2011-04-13	2013-12-23
47 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-02-13	2013-12-23
30 MS&AD INSURANCE GROUP HOLDI (MSAD	P	2010-02-25	2013-12-23
19 CENTRAIS ELETRICAS BRASILEI (EBR)	P	2007-06-06	2013-12-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
4 REPUBLIC SVCS INC (RSG)	P	2010-01-11	2013-12-23
4 REPUBLIC SVCS INC (RSG)	P	2008-01-15	2013-12-23
331 TELECOM ITALIA SPA SAVINGS (TI	P	2006-02-08	2013-12-26
137 NIPPON TELEG & TEL CORP SPO (NTT	P	2009-04-14	2013-12-26
55 ST JUDE MEDICAL INC (STJ)	P	2012-04-11	2013-12-26
82 NIPPON TELEG & TEL CORP SPO (NTT)	P	2007-06-18	2013-12-26
61 GOLDMAN SACHS GROUP INC (GS-J)	P	2013-06-05	2013-12-26
49 GOLDMAN SACHS GROUP INC (GS-J)	P	2013-06-05	2013-12-26
115 INTERNATIONAL GAME TECHNOLO (IGT	P	2009-02-18	2013-12-26
30 DOLBY LABORATORIES INC (DLB)	P	2008-10-24	2013-12-26
82 TELECOM ITALIA SPA SAVINGS (TI A	P	2012-04-13	2013-12-26
26 CAMECO CORP (CCJ)	P	2011-08-26	2013-12-26
10 SANDISK CORP (SNDK)	P	2011-03-09	2013-12-26
5 EXPRESS SCRIPTS HOLDING CO (ESRX)	P	2012-07-10	2013-12-26
3 UNITED TECH CORP (UTX)	P	2009-03-27	2013-12-26
3 BLACKROCK BUILD AMERICA BON (BBN)	P	2013-05-31	2013-12-26
720 8864 BLACKROCK CREDIT ALLOCATION	P	2010-12-21	2013-12-27
237 CSX CORP (CSX)	P	2009-02-18	2013-12-27
31 DOLLAR GENERAL CORP (DG)	P	2012-08-10	2013-12-27
7 AMAZON COM INC (AMZN)	P	2011-02-07	2013-12-27
32 BOSTON PPTYS INC (BXP)	P	2009-03-30	2013-12-27
15 NETAPP INC (NTAP)	P	2011-02-25	2013-12-27
10 ANHEUSER-BUSCH INBEV NV ADR (BUD)	P	2011-01-06	2013-12-27
32 RIVERBED TECH INC (RVBD)	P	2013-07-31	2013-12-27
5 NATIONAL OILWELL VARCO INC (NOV)	P	2006-02-28	2013-12-27
5 SCHLUMBERGER LTD (SLB)	P	2010-07-01	2013-12-27
2 NEWFIELD EXPL CO (NFX)	P	2010-10-28	2013-12-27
5 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-08
5 CORP BACKED TRUST CERTS 6 00	P	2013-04-01	2013-05-09
25 ANGLOGOLD ASHANTI LTD NEW	P	2008-03-18	2013-05-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
3 APACHE CORP	P	2011-08-17	2013-05-23
107 ALLIANZ SE ADR	P	2010-05-21	2013-06-05
3 ING GROEP N V CUML 8 50	P	2011-02-09	2013-06-19
6 INTL RECTIFIER CORP	P	2010-10-28	2013-07-05
33 CAMECO CORP CANADA CAD	P	2011-03-16	2013-07-16
5 DEERE AND CO	P	2012-09-14	2013-07-25
8 EQUINIX INC NEW	P	2011-08-29	2013-08-06
1 SATURNS TR NO 2007 1 7 00	P	2013-05-08	2013-08-14
2 SATURNS TR NO 2007 1 7 00	P	2013-05-08	2013-08-21
740 ALLIANZ FIXED INCOME SH	P	2011-02-18	2013-08-26
25000 NEWYORK ST DORM AUTH 5 25% 7/	P	2007-07-11	2013-11-26
13000 FNMA POOL FN A 4 5% 05/01/2041	P	2011-05-10	2013-11-26
64 STATE STREET CORP (STT)	P	2013-03-20	2013-11-26
31 CR BARD INC (BCR)	P	2009-02-18	2013-11-26
48 DANAHER CORP (DHR)	P	2011-07-27	2013-11-26
79 VODAFONE GROUP PLC (VOD)	P	2012-09-12	2013-11-26
25 CR BARD INC (BCR)	P	2009-03-30	2013-11-26
83 VODAFONE GROUP PLC (VOD)	P	2008-09-24	2013-11-26
191 ING GROEP NV ADR (ING)	P	2013-02-14	2013-11-26
38 GENERAL DYNAMICS CORP (GD)	P	2009-03-30	2013-11-26
29 VIACOM INC NEW CL B (VIAB)	P	2011-06-06	2013-11-26
123 OAO GAZPROM (OGZPY)	P	2010-10-15	2013-11-26
30 INTEGRYS ENERGY GROUP INC (TEG)	P	2009-02-18	2013-11-26
45 EATON VANCE CORP COM NON VT (EV)	P	2005-10-21	2013-11-26
11 DIAGEO PLC SPONS ADR (DEO)	P	2012-02-27	2013-11-26
110 CARREFOUR SA (CRRFY)	P	2009-10-28	2013-11-26
25 GENERAL MILLS INC (GIS)	P	2010-11-10	2013-11-26
47 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-03-06	2013-11-26
12 WACOAL HOLDINGS CORP (WACLY)	P	2007-11-05	2013-11-26
47 WESTERN ASSET/CLAYMORE INFL (WIW)	P	2013-02-11	2013-11-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
22 EMC CORP (EMC)	P	2011-02-07	2013-11-26
20 CREE INC (CREE)	P	2005-08-16	2013-11-26
20 TYCO INTERNATIONAL LTD (TYC)	P	2008-01-02	2013-11-26
4 EXXON MOBIL CORP (XOM)	P	2008-07-15	2013-11-26
4 EXXON MOBIL CORP (XOM)	P	2006-10-23	2013-11-26
2 INTERCON EXCHANGE (ICE)	P	2010-01-11	2013-11-26
7 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-01	2013-11-26
4 BORG WARNER INC (BWA)	P	2009-09-18	2013-11-26
5 VODAFONE GROUP PLC (VOD)	P	2010-02-19	2013-11-26
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2012-04-16	2013-11-26
5 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-08-13	2013-11-26
6 CALAMOS CVT AND HIGH INCOME (CHY)	P	2011-03-15	2013-11-26
4 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-08-16	2013-11-26
3 TNT EXPRESS NV (TNTEY)	P	2013-05-14	2013-11-26
106 MURPHY OIL CORP (MUR)	P	2012-03-05	2013-12-05
57 LORILLARD INC (LO)	P	2012-04-04	2013-12-05
89 CUMMINS INC (CMI)	P	2009-03-30	2013-12-05
35 CVS CAREMARK CORP (CVS)	P	2013-02-13	2013-12-05
54 HSBC HOLDINGS PLC 8% SRS 2 (HSEB)	P	2013-01-23	2013-12-05
15 ACCENTURE PLC CL A (ACN)	P	2013-07-16	2013-12-05
121 BANCO SANTANDER BRASIL SA (BSBR)	P	2012-10-18	2013-12-05
20 TEVA PHARMACEUTICAL INDUSTR (TEVA	P	2012-09-24	2013-12-05
6 LOCKHEED MARTIN CORP (LMT)	P	2009-02-06	2013-12-05
9 PPG INDS INC (PPG)	P	2009-03-27	2013-12-05
2 THE SCOTTS MIRACLE-GRO CO (SMG)	P	2010-01-11	2013-12-05
30 CITRIX SYSTEMS INC (CTXS)	P	2013-04-25	2013-12-11
4 CITRIX SYSTEMS INC (CTXS)	P	2013-04-30	2013-12-11
135 SANTANDER FIN PFD SA UNI 10 (SAN	P	2011-01-27	2013-12-19
28 UNITED PARCEL SERVICE INC C (UPS)	P	2012-07-11	2013-12-19
100 MORGAN STANLEY 0 0000% SERI (MS-	P	2012-03-07	2013-12-19

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
70 RBS CAPITAL FUNDING TRUST V (RBS-	P	2013-01-31	2013-12-19
39 SANTANDER FIN PFD SA UNI 10 (SAN-	P	2010-09-22	2013-12-19
47 ROYAL BANK SCOTLAND 6 35% (RBS-N)	P	2012-07-10	2013-12-19
19 JP MORGAN CHASE & CO (JPM)	P	2009-02-11	2013-12-19
26 HELIX ENERGY SOLUTIONS GRP (HLX)	P	2010-10-28	2013-12-19
85 LIBERTY INTERACTIVE CORP SR (LINT	P	2009-02-27	2013-12-19
9 ROYAL BANK SCOTLAND 6 35% (RBS-N)	P	2012-07-10	2013-12-19
5 NATIONAL BANK OF GREECE SA (NBG-A	P	2013-05-15	2013-12-19
70 AMERICAN TOWER CORPORATION (AMT)	P	2009-03-30	2013-12-20
33 COUNTRYWIDE CAPITAL IV (CFC-A)	P	2012-07-10	2013-12-20
14 COUNTRYWIDE CAPITAL IV (CFC-A)	P	2012-07-25	2013-12-20
2 COVANCE INC (CVD)	P	2010-01-11	2013-12-20
0 1429 ROYCE GLOBAL VALUE TRUST WI (	P	2013-10-18	2013-12-20
162 TJX COS INC (TJX)	P	2009-03-30	2013-12-23
17 TRAVELERS COS INC (TRV)	P	2013-05-29	2013-12-23
14 QUALCOMM INC (QCOM)	P	2012-08-27	2013-12-23
32 FIRST TRUST HIGH INCOME LON (FSD)	P	2013-02-28	2013-12-23
26 FIRST TRUST HIGH INCOME LON (FSD)	P	2012-12-07	2013-12-23
37 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-06-04	2013-12-23
5 QUALCOMM INC (QCOM)	P	2011-08-23	2013-12-23
6 FIRST TRUST HIGH INCOME LON (FSD)	P	2013-02-28	2013-12-23
2 REPUBLIC SVCS INC (RSG)	P	2006-01-20	2013-12-23
424 BANK OF AMERICA CORP 0% SRS (BML	P	2010-12-21	2013-12-26
25 OCCIDENTAL PETE CORP (OXY)	P	2012-02-14	2013-12-26
136 INTERNATIONAL GAME TECHNOLO (IGT	P	2012-01-25	2013-12-26
27 ROYAL DUTCH SHELL PLC CL B (RDS B	P	2013-02-20	2013-12-26
131 ERICSSON L M TEL CO AD (ERIC)	P	2013-07-18	2013-12-26
78 PFIZER INC (PFE)	P	2009-08-05	2013-12-26
120 INTERNATIONAL GAME TECHNOLO (IGT	P	2009-03-30	2013-12-26
20 ANADARKO PETE CORP (APC)	P	2005-10-14	2013-12-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
31 BLACKROCK BUILD AMERICA BON (BBN)	P	2013-01-18	2013-12-26
37 SHISEIDO LTD SPONS ADR (SSDOY)	P	2005-10-21	2013-12-26
10 ANADARKO PETE CORP (APC)	P	2005-07-25	2013-12-26
16 AKAMAI TECH INC (AKAM)	P	2009-07-30	2013-12-26
2 LULULEMON ATHLETICA INC (LULU)	P	2012-06-08	2013-12-26
1 UNITED TECH CORP (UTX)	P	2010-02-16	2013-12-26
116 COACH INC (COH)	P	2013-01-11	2013-12-27
24 AGRIMUM INC (AGU)	P	2013-07-01	2013-12-27
28 PHILIP MORRIS INTERNATIONAL (PM)	P	2010-09-29	2013-12-27
19 BIO RAD LABS INC CL A (BIO)	P	2009-03-30	2013-12-27
21 PRUDENTIAL FINL (PRU)	P	2010-01-27	2013-12-27
17 CELGENE CORP (CELG)	P	2009-02-27	2013-12-27
32 ROHM CO LTD (ROHCY)	P	2012-06-08	2013-12-27
11 HONEYWELL INTL INC (HON)	P	2010-05-28	2013-12-27
5 SCHLUMBERGER LTD (SLB)	P	2012-12-14	2013-12-27
5 CAMERON INTERNATIONAL CORP (CAM)	P	2011-07-14	2013-12-27
5 AMC NETWORKS INC (AMCX)	P	2008-04-09	2013-12-27
5 ROYAL BK SCOTLAND GROUP SER	P	2013-04-24	2013-05-08
4 CORP BACKED TRUST CERTS 6 00	P	2013-04-01	2013-05-09
23 ANGLOGOLD ASHANTI LTD NEW	P	2012-11-14	2013-05-17
2 APACHE CORP	P	2011-08-23	2013-05-23
29 COGNIZANT TECH SOLUTIONS CRP	P	2009-03-26	2013-06-10
3 DEERE AND CO	P	2011-06-29	2013-06-21
2 INTL RECTIFIER CORP	P	2009-09-18	2013-07-05
6 VARIAN MEDICAL SYSTEMS INC	P	2009-03-26	2013-07-16
2 DEERE AND CO	P	2011-06-29	2013-07-25
30 MOODYS CORP	P	2012-11-09	2013-08-08
4 SATURNS TR NO 2007 1 7 00	P	2013-05-08	2013-08-16
2 SATURNS TR NO 2007 1 7 00	P	2013-04-30	2013-08-21
540 ALLIANZ FIXED INCOME SH	P	2010-01-06	2013-08-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
20000 FNMA FN AP7488 3 5% 09/01/2042	P	2012-10-05	2013-11-26
150 AMERISOURCEBERGEN CORP (ABC)	P	2013-03-12	2013-11-26
6000 FNMA POOL FN AL2 5% 06/01/2039	P	2013-03-18	2013-11-26
58 REINSURANCE GROUP OF AMERIC (RGA)	P	2009-12-10	2013-11-26
32 ACE LTD (ACE)	P	2012-03-21	2013-11-26
15 VISA INC (V)	P	2012-12-14	2013-11-26
74 7805 CREDIT SUISSE GROUP (CS)	P	2012-02-07	2013-11-26
24 DEERE & CO (DE)	P	2011-12-21	2013-11-26
225 TNT EXPRESS NV (TNTEY)	P	2013-02-12	2013-11-26
19 ROCKWELL AUTOMATION INC (ROK)	P	2012-02-27	2013-11-26
16 EXXON MOBIL CORP (XOM)	P	2008-05-01	2013-11-26
283 EDF (ECIFY)	P	2011-12-16	2013-11-26
100 PANASONIC CORP (PCRFY)	P	2011-03-17	2013-11-26
20 CREE INC (CREE)	P	2011-01-19	2013-11-26
36 BARRICK GOLD CORP (ABX)	P	2009-04-09	2013-11-26
28 DANAHER CORP (DHR)	P	2009-10-30	2013-11-26
20 NUCOR CORP (NUE)	P	2010-02-19	2013-11-26
84 WEATHERFORD INTERNATIONAL L (WFT)	P	2012-11-13	2013-11-26
36 HSBC USA INC 0% SRS F (HUSI-F)	P	2012-05-24	2013-11-26
28 ROYAL BK SCOTLAND PFD 'M' A (RBS-	P	2013-04-24	2013-11-26
20 TEXAS INSTRS INC (TXN)	P	2005-10-31	2013-11-26
62 IMMUNOGEN INC (IMGN)	P	2010-10-15	2013-11-26
5 MONSANTO CO NEW (MON)	P	2010-02-19	2013-11-26
19 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-03-18	2013-11-26
13 TYCO INTERNATIONAL LTD (TYC)	P	2008-07-18	2013-11-26
6 4037 PENTAIR LTD (PNR)	P	2006-01-03	2013-11-26
6 5 THE ADT CORPORATION (ADT)	P	2008-07-18	2013-11-26
5 CORPORATE BACKED TR CTFS (JZC)	P	2013-04-01	2013-11-26
6 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-08-14	2013-11-26
7 CALAMOS CVT AND HIGH INCOME (CHY)	P	2013-01-04	2013-11-26

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 CABCO TR JCP 7 625% DEBS TR (PFH)	P	2013-08-16	2013-11-26
5 MORGAN STANLEY EMERGING MAR (EDD)	P	2010-07-15	2013-11-26
1 DIAGEO PLC SPONS ADR (DEO)	P	2010-02-19	2013-11-26
2 TNT EXPRESS NV (TNTEY)	P	2013-08-29	2013-11-26
258 PHOENIX CO INC 7 45% (PFX)	P	2012-01-06	2013-12-05
42 ACCENTURE PLC CL A (ACN)	P	2012-05-09	2013-12-05
16 PPG INDS INC (PPG)	P	2013-03-28	2013-12-05
47 SANOFI-AVENTIS (SNY)	P	2008-05-22	2013-12-05
72 NUVEEN BUILD AMERICAN BOND (NBB)	P	2013-03-28	2013-12-05
11 LOCKHEED MARTIN CORP (LMT)	P	2008-10-07	2013-12-05
56 SK TELECOM LTD SPONS ADR (SKM)	P	2009-08-20	2013-12-05
48 WOLTERS KLUWER N V (WTKWY)	P	2009-04-29	2013-12-05
24 NUVEEN BUILD AMERICAN BOND (NBB)	P	2013-06-04	2013-12-05
5 LOCKHEED MARTIN CORP (LMT)	P	2009-03-05	2013-12-05
1 PPG INDS INC (PPG)	P	2010-02-19	2013-12-05
46 KB FINANCIAL GROUP INC ADR (KB)	P	2013-01-11	2013-12-11
2 BLACKROCK INC CL A (BLK)	P	2009-03-20	2013-12-11
88 METLIFE INC (MET)	P	2008-10-07	2013-12-19
68 ORACLE CORP (ORCL)	P	2011-06-29	2013-12-19
100 MORGAN STANLEY 0 0000% SERI (MS-	P	2012-03-07	2013-12-19
69 RBS CAPITAL FUNDING TRUST V (RBS-	P	2013-01-30	2013-12-19
212 HELIX ENERGY SOLUTIONS GRP (HLX	P	2009-03-30	2013-12-19
22 THERMO FISHER SCIENTIFIC IN (TMO)	P	2009-03-26	2013-12-19
10 THERMO FISHER SCIENTIFIC IN (TMO)	P	2010-07-01	2013-12-19
18 ROYAL BANK SCOTLAND 6 35% (RBS-N)	P	2012-07-10	2013-12-19
7 NESTLE S A SPONS ADR (NSRGY)	P	2009-02-06	2013-12-19
9 ROYAL BANK SCOTLAND 6 35% (RBS-N)	P	2012-07-10	2013-12-19
1 THERMO FISHER SCIENTIFIC IN (TMO)	P	2012-01-06	2013-12-19
60 EBAY INC (EBAY)	P	2007-03-14	2013-12-20
8 EQUINIX INC (EQIX)	P	2011-10-14	2013-12-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
5 YUM BRANDS INC (YUM)	P	2013-03-13	2013-12-20
2 COVANCE INC (CVD)	P	2010-02-16	2013-12-20
410 CBRE GROUP INC (CBG)	P	2012-03-15	2013-12-23
181 MS&AD INSURANCE GROUP HOLDI (MSA	P	2011-04-15	2013-12-23
239 FINMECCANICA SPA (FINMY)	P	2010-05-19	2013-12-23
24 REPUBLIC SVCS INC (RSG)	P	2006-05-02	2013-12-23
60 NUVEEN PREFERRED INCOME OPP (JPC)	P	2013-02-13	2013-12-23
20 FACEBOOK INC CL A (FB)	P	2013-06-06	2013-12-23
20 REPUBLIC SVCS INC (RSG)	P	2005-10-21	2013-12-23
12 TJX COS INC (TJX)	P	2010-01-11	2013-12-23
6 FIRST TRUST HIGH INCOME LON (FSD)	P	2013-04-09	2013-12-23
2 FIRST TRUST HIGH INCOME LON (FSD)	P	2013-03-15	2013-12-23
218 ALLEGHENY TECH INC (ATI)	P	2013-01-09	2013-12-26
153 SHISEIDO LTD SPONS ADR (SSDOY)	P	2011-06-01	2013-12-26
85 GOLDMAN SACHS GROUP INC (GS-J)	P	2013-06-05	2013-12-26
27 ROYAL DUTCH SHELL PLC CL B (RDS B	P	2013-06-14	2013-12-26
138 TELECOM ITALIA SPA SAVINGS (TI	P	2010-12-13	2013-12-26
97 NUVEEN NEW YORK AMT-FREE MU (NRK)	P	2013-07-24	2013-12-26
14 ANADARKO PETE CORP (APC)	P	2011-02-16	2013-12-26
36 TELECOM ITALIA SPA SAVINGS (TI A	P	2006-10-19	2013-12-26
25 BROADCOM CORPORATION CL A (BRCM)	P	2008-07-18	2013-12-26
15 FOREST LABS INC (FRX)	P	2008-07-18	2013-12-26
15 BROADCOM CORPORATION CL A (BRCM)	P	2011-12-09	2013-12-26
5 EXPRESS SCRIPTS HOLDING CO (ESRX)	P	2012-02-03	2013-12-26
10 NUVEEN NEW YORK AMT-FREE MU (NRK)	P	2013-07-23	2013-12-26
3 BLACKROCK BUILD AMERICA BON (BBN)	P	2013-06-28	2013-12-26
210 SYNOPSYS INC (SNPS)	P	2012-12-28	2013-12-27
89 NEWFIELD EXPL CO (NFX)	P	2009-03-30	2013-12-27
75 JOY GLOBAL INC (JOY)	P	2009-03-30	2013-12-27
14 AGRIUM INC (AGU)	P	2013-07-02	2013-12-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
16 AMAZON COM INC (AMZN)	P	2008-09-29	2013-12-27
85 GENERAL ELECTRIC CO (GE)	P	2009-02-27	2013-12-27
11 PRUDENTIAL FINL (PRU)	P	2010-01-28	2013-12-27
10 NETAPP INC (NTAP)	P	2011-03-16	2013-12-27
4 THE PRICELINE GROUP INC (PCLN)	P	2009-03-26	2013-12-27
17 ROYCE VALUE TR INC (RVT)	P	2013-02-27	2013-12-27
4 GATX CORP (GMT)	P	2010-02-16	2013-12-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8,175		8,348	-173
119		110	9
100		99	1
327		571	-244
17,425		18,150	-725
315		184	131
370		135	235
1,487		1,391	96
418		413	5
90		54	36
1,122		690	432
50		58	-8
17		19	-2
4,477		4,414	63
17,288		17,838	-550
6,639		7,085	-446
3,421		3,517	-96
2,670		2,667	3
598		2,356	-1,758
3,343		2,198	1,145
4,765		1,972	2,793
1,587		1,836	-249
4,243		1,659	2,584
2,865		1,553	1,312
1,813		1,411	402
2,227		1,304	923
1,196		1,168	28
2,697		1,079	1,618
306		1,032	-726
1,032		966	66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,213		875	2,338
1,121		785	336
671		710	-39
523		613	-90
586		557	29
1,133		477	656
567		385	182
288		322	-34
363		257	106
572		205	367
36		171	-135
111		124	-13
103		99	4
90		88	2
213		84	129
114		78	36
103		63	40
18		17	1
7,695		4,447	3,248
2,956		2,425	531
2,502		2,110	392
1,610		1,729	-119
1,300		1,511	-211
994		1,067	-73
1,251		876	375
2,074		794	1,280
603		461	142
318		299	19
56		60	-4
2,064		1,649	415

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
116		120	-4
3,069		3,327	-258
2,107		2,131	-24
2,642		1,810	832
1,583		1,449	134
1,544		1,073	471
1,029		746	283
915		464	451
366		310	56
268		228	40
231		150	81
72		40	32
2,489		1,827	662
1,276		759	517
367		318	49
74		78	-4
2,789		4,485	-1,696
3,193		1,967	1,226
2,227		1,236	991
1,579		775	804
532		604	-72
455		456	-1
328		358	-30
324		204	120
119		108	11
35		38	-3
8,670		6,528	2,142
2,908		2,591	317
641		2,111	-1,470
1,855		1,785	70

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
953		1,496	-543
1,311		1,207	104
912		1,083	-171
919		883	36
738		673	65
492		550	-58
1,177		442	735
257		253	4
305		125	180
59		58	1
8,422		5,991	2,431
2,587		2,003	584
1,482		1,491	-9
2,772		1,228	1,544
2,456		1,027	1,429
2,376		722	1,654
1,619		555	1,064
681		467	214
796		332	464
238		243	-5
113		105	8
1,175		1,207	-32
119		110	9
50		50	
351		326	25
209		207	2
1,706		1,498	208
83		82	1
865		968	-103
700		409	291

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
42		46	-4
721		397	324
34		39	-5
17		19	-2
7,969		3,163	4,806
15,546		15,133	413
6,036		6,203	-167
3,808		3,469	339
2,484		2,626	-142
2,339		2,355	-16
3,594		2,187	1,407
3,703		1,970	1,733
1,886		1,830	56
1,916		1,658	258
1,512		1,539	-27
679		1,409	-730
647		1,292	-645
1,208		1,165	43
1,617		1,078	539
1,528		1,018	510
975		960	15
1,515		867	648
1,273		780	493
733		695	38
1,060		612	448
1,352		555	797
411		474	-63
332		373	-41
288		322	-34
409		251	158

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
241		204	37
147		169	-22
111		124	-13
89		99	-10
18		87	-69
90		83	7
77		77	
226		60	166
18		17	1
3,590		4,033	-443
2,669		2,421	248
2,685		2,107	578
3,148		1,718	1,430
1,421		1,484	-63
1,001		1,064	-63
1,184		875	309
735		790	-55
726		459	267
455		261	194
56		60	-4
1,701		1,620	81
12,050		10,444	1,606
4,321		2,649	1,672
3,319		2,109	1,210
2,708		1,746	962
1,701		1,447	254
1,177		1,046	131
1,614		737	877
915		445	470
483		309	174

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
268		228	40
203		131	72
59		37	22
2,204		1,688	516
1,276		711	565
434		306	128
60		63	-3
3,220		3,088	132
3,672		1,880	1,792
2,297		1,219	1,078
673		765	-92
523		594	-71
655		455	200
346		355	-9
367		192	175
178		107	71
35		37	-2
5,089		5,951	-862
2,187		2,554	-367
1,578		2,096	-518
2,137		1,778	359
3,907		1,467	2,440
1,064		1,207	-143
1,066		1,049	17
1,215		883	332
699		657	42
529		542	-13
1,019		439	580
190		245	-55
188		104	84

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
59		57	2
5,528		5,502	26
4,192		1,943	2,249
10,046		1,479	8,567
2,070		1,225	845
1,096		1,016	80
791		720	71
1,672		553	1,119
609		459	150
326		318	8
369		207	162
113		104	9
1,100		1,132	-32
120		110	10
25		25	
171		254	-83
210		207	3
569		509	60
70		28	42
799		832	-33
910		274	636
33		37	-4
160		102	58
34		39	-5
17		19	-2
2,753		2,792	-39
12,949		14,563	-1,614
5,691		6,053	-362
2,156		3,436	-1,280
4,501		2,596	1,905

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,670		2,349	2,321
2,829		2,152	677
1,983		1,956	27
5,861		1,817	4,044
2,186		1,657	529
3,777		1,526	2,251
1,742		1,382	360
1,814		1,287	527
2,433		1,165	1,268
598		1,077	-479
2,870		1,013	1,857
747		957	-210
875		846	29
232		773	-541
1,117		692	425
525		607	-82
2,934		554	2,380
1,279		473	806
503		363	140
638		318	320
425		249	176
177		198	-21
278		164	114
111		124	-13
754		97	657
90		87	3
90		82	8
77		77	
52		59	-7
11		13	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
4,409		3,441	968
3,627		2,416	1,211
3,616		2,045	1,571
1,581		1,696	-115
1,554		1,483	71
974		1,046	-72
1,667		868	799
2,074		707	1,367
1,137		448	689
660		258	402
56		60	-4
888		878	10
8,550		8,834	-284
2,664		2,633	31
2,991		2,047	944
3,658		1,727	1,931
1,412		1,439	-27
1,770		1,043	727
826		703	123
516		439	77
483		309	174
369		220	149
205		130	75
52		35	17
2,949		1,644	1,305
1,301		708	593
434		300	134
50		53	-3
3,884		2,914	970
2,048		1,810	238

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,226		1,218	8
629		715	-86
523		594	-71
667		454	213
328		337	-9
204		185	19
104		106	-2
36		35	1
4,459		4,148	311
2,960		2,547	413
2,245		2,094	151
2,778		1,724	1,054
2,727		1,456	1,271
1,169		1,190	-21
801		1,047	-246
804		878	-74
759		647	112
884		541	343
540		412	128
610		238	372
78		93	-15
91		55	36
9,357		4,856	4,501
2,772		1,933	839
2,415		1,475	940
2,235		1,205	1,030
957		965	-8
713		704	9
896		552	344
442		444	-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
313		316	-3
186		198	-12
169		91	78
1,141		974	167
120		110	10
216		198	18
618		248	370
3,146		2,978	168
11,804		9,127	2,677
17		10	7
566		778	-212
921		196	725
24		30	-6
11,883		11,944	-61
17		19	-2
17		19	-2
2,750		2,614	136
12,680		13,449	-769
4,981		5,506	-525
3,061		3,419	-358
2,266		2,579	-313
3,158		2,341	817
2,814		2,146	668
2,986		1,956	1,030
3,105		1,813	1,292
3,886		1,656	2,230
2,312		1,519	793
1,543		1,369	174
1,850		1,256	594
2,710		1,149	1,561

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,341		1,069	272
1,537		1,012	525
1,653		948	705
1,146		837	309
2,127		755	1,372
646		686	-40
1,123		604	519
262		543	-281
529		460	69
560		359	201
435		309	126
421		248	173
532		195	337
385		158	227
111		124	-13
220		96	124
90		87	3
90		82	8
77		77	
84		58	26
11		13	-2
6,360		3,286	3,074
4,915		2,374	2,541
3,410		2,043	1,367
1,985		1,691	294
2,075		1,453	622
1,036		1,037	-1
1,271		861	410
673		701	-28
1,213		445	768

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
691		251	440
88		52	36
961		800	161
46,210		34,005	12,205
3,776		2,624	1,152
2,582		2,034	548
3,868		1,698	2,170
1,145		1,419	-274
1,768		1,007	761
1,033		633	400
516		439	77
483		309	174
248		211	37
190		124	66
54		23	31
2,602		1,487	1,115
1,735		700	1,035
434		282	152
102		52	50
4,304		2,839	1,465
2,021		1,796	225
1,212		1,206	6
1,436		685	751
570		587	-17
415		453	-38
284		328	-44
173		177	-4
233		98	135
27		30	-3
4,280		4,054	226

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,828		2,490	338
3,199		2,090	1,109
1,468		1,718	-250
1,392		1,398	-6
1,687		1,183	504
1,462		1,030	432
728		831	-103
1,069		610	459
492		537	-45
114		406	-292
702		231	471
78		89	-11
47		54	-7
4,548		4,186	362
1,997		1,921	76
3,796		1,463	2,333
3,389		1,196	2,193
1,145		929	216
896		696	200
505		543	-38
1,118		442	676
339		305	34
347		197	150
80		85	-5
1,096		814	282
119		110	9
120		110	10
114		216	-102
2,503		1,468	1,035
5,392		4,588	804

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,166		730	436
1,607		3,372	-1,765
408		135	273
69		59	10
990		992	-2
2,897		2,932	-35
17		19	-2
2,174		2,293	-119
11,911		13,159	-1,248
3,912		5,014	-1,102
4,075		3,347	728
1,245		2,578	-1,333
6,647		2,338	4,309
2,867		2,134	733
1,609		1,955	-346
1,517		1,811	-294
1,820		1,653	167
1,821		1,518	303
1,095		1,363	-268
3,660		1,249	2,411
1,973		1,141	832
1,883		1,066	817
1,101		1,006	95
924		945	-21
855		836	19
651		754	-103
1,853		682	1,171
678		600	78
468		540	-72
1,045		445	600

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
371		359	12
302		308	-6
222		248	-26
532		190	342
121		157	-36
111		124	-13
426		95	331
90		87	3
67		82	-15
77		76	1
119		52	67
11		13	-2
3,837		3,276	561
3,433		2,363	1,070
3,003		2,042	961
2,641		1,678	963
2,414		1,361	1,053
1,063		1,034	29
1,085		858	227
677		674	3
751		440	311
199		232	-33
40		41	-1
1,180		664	516
13,882		13,552	330
3,692		2,565	1,127
2,147		2,022	125
2,161		1,683	478
3,917		1,389	2,528
1,656		989	667

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
951		618	333
673		430	243
295		298	-3
248		211	37
209		123	86
		9	-9
1,389		1,366	23
516		677	-161
510		281	229
35		37	-2
2,660		2,816	-156
1,676		1,720	-44
1,212		1,205	7
981		653	328
725		587	138
372		423	-51
275		318	-43
173		177	-4
108		91	17
27		30	-3
3,966		3,905	61
2,101		2,353	-252
2,408		2,088	320
2,173		1,670	503
1,692		1,339	353
1,430		1,179	251
1,628		993	635
994		830	164
591		605	-14
706		527	179

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
328		384	-56
470		217	253
78		82	-4
94		51	43
3,142		3,304	-162
2,291		1,911	380
3,759		1,449	2,310
2,330		1,191	1,139
987		915	72
2,987		692	2,295
739		521	218
486		441	45
339		302	37
231		195	36
114		63	51
2,410		1,662	748
96		88	8
120		110	10
18		22	-4
1,261		1,032	229
1,365		1,261	104
384		150	234
11,648		10,870	778
637		1,237	-600
92		52	40
271		153	118
1,129		1,135	-6
3,634		3,704	-70
1,747		1,799	-52
11,538		13,046	-1,508

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,971		5,004	2,967
3,399		3,331	68
4,122		2,548	1,574
3,436		2,334	1,102
2,915		2,128	787
863		1,954	-1,091
2,573		1,786	787
1,886		1,646	240
2,259		1,497	762
1,619		1,359	260
517		1,232	-715
1,978		1,135	843
1,064		1,065	-1
1,454		1,005	449
960		945	15
1,057		834	223
1,058		752	306
1,155		672	483
622		600	22
827		532	295
146		436	-290
527		358	169
266		298	-32
222		248	-26
174		187	-13
121		155	-34
111		124	-13
90		94	-4
67		87	-20
132		82	50

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
77		76	1
55		51	4
11		13	-2
3,436		3,257	179
3,373		2,330	1,043
3,148		2,041	1,107
2,283		1,639	644
1,573		1,359	214
885		1,029	-144
1,386		854	532
904		661	243
452		437	15
1,298		228	1,070
36		39	-3
582		632	-50
7,585		6,903	682
2,719		2,554	165
3,318		2,014	1,304
3,408		1,646	1,762
2,689		1,339	1,350
1,602		978	624
628		616	12
673		430	243
454		294	160
248		211	37
190		123	67
23		4	19
1,939		1,358	581
1,021		592	429
506		275	231

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
34		36	-2
3,834		2,744	1,090
9,921		1,704	8,217
1,615		951	664
1,158		645	513
647		587	60
766		418	348
358		317	41
151		171	-20
62		72	-10
27		30	-3
5,008		3,424	1,584
3,384		2,338	1,046
4,265		2,075	2,190
5,332		1,663	3,669
2,289		1,333	956
1,596		1,151	445
1,707		942	765
760		830	-70
198		603	-405
2,546		527	2,019
589		383	206
69		215	-146
75		76	-1
47		50	-3
2,538		3,132	-594
2,235		1,771	464
2,226		1,411	815
2,218		1,179	1,039
985		895	90

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
892		680	212
581		519	62
1,118		436	682
146		296	-150
248		189	59
59		60	-1
2,040		983	1,057
96		88	8
2,021		1,515	506
770		1,206	-436
1,573		806	767
1,664		1,173	491
360		149	211
3,883		2,816	1,067
311		536	-225
201		141	60
84		96	-12
1,055		1,067	-12
2,110		2,134	-24
1,599		1,629	-30
12,248		12,615	-367
3,926		4,709	-783
3,244		3,081	163
2,043		2,541	-498
2,014		2,302	-288
4,607		2,104	2,503
2,444		1,923	521
2,513		1,761	752
1,434		1,634	-200
1,584		1,477	107

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,031		1,354	677
1,644		1,223	421
1,431		1,129	302
2,256		1,059	1,197
492		994	-502
1,490		938	552
1,929		832	1,097
709		752	-43
2,259		670	1,589
532		595	-63
1,032		529	503
251		436	-185
380		356	24
251		291	-40
421		245	176
332		184	148
221		154	67
111		124	-13
238		93	145
90		85	5
530		81	449
66		74	-8
55		51	4
11		12	-1
3,108		3,178	-70
3,499		2,316	1,183
2,290		2,036	254
2,679		1,638	1,041
4,550		1,288	3,262
1,001		1,024	-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,916		853	1,063
636		632	4
530		420	110
519		227	292
36		37	-1
582		610	-28
13,181		6,776	6,405
4,024		2,412	1,612
2,779		2,008	771
2,603		1,638	965
1,249		1,255	-6
1,614		957	657
885		575	310
700		421	279
439		284	155
312		196	116
161		104	57
2,962		3,948	-986
1,265		1,264	1
557		548	9
278		272	6
26		27	-1
3,652		2,404	1,248
1,345		1,658	-313
1,603		926	677
587		643	-56
674		578	96
363		413	-50
294		303	-9
200		163	37

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
69		71	-2
17		19	-2
3,487		3,361	126
3,872		2,291	1,581
3,037		2,043	994
2,429		1,631	798
2,666		1,328	1,338
2,272		1,145	1,127
1,005		933	72
1,008		803	205
531		597	-66
437		504	-67
122		383	-261
176		200	-24
62		72	-10
35		40	-5
3,358		2,989	369
2,003		1,729	274
1,501		1,366	135
1,105		1,147	-42
1,082		882	200
1,626		677	949
594		518	76
347		433	-86
1,118		295	823
248		188	60
92		51	41
239		220	19
72		66	6
1,930		2,757	-827

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,706		1,093	613
936		719	217
1,747		943	804
1,540		956	584
3,194		2,180	1,014
82		140	-58
716		467	249
34		39	-5
846		853	-7
1,331		1,344	-13
1,343		1,368	-25
12,324		11,850	474
3,926		4,663	-737
5,955		3,076	2,879
2,815		2,498	317
8,363		2,289	6,074
1,987		2,101	-114
3,056		1,912	1,144
2,543		1,755	788
2,040		1,626	414
2,007		1,464	543
2,593		1,347	1,246
4,261		1,222	3,039
2,383		1,118	1,265
1,480		1,057	423
2,236		989	1,247
1,198		935	263
2,981		828	2,153
656		751	-95
340		662	-322

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
532		595	-63
490		522	-32
529		419	110
308		356	-48
251		290	-39
383		242	141
161		183	-22
181		153	28
111		124	-13
90		90	
90		85	5
90		81	9
66		74	-8
90		47	43
11		12	-1
4,020		3,000	1,020
3,565		2,307	1,258
4,291		2,004	2,287
2,601		1,628	973
1,345		1,285	60
2,349		981	1,368
877		833	44
852		632	220
1,106		404	702
530		218	312
36		37	-1
524		584	-60
8,566		6,248	2,318
2,508		2,304	204
3,312		2,006	1,306

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,456		1,632	824
1,362		1,253	109
2,060		941	1,119
1,291		571	720
629		403	226
573		263	310
206		188	18
105		91	14
9,400		3,290	6,110
2,016		1,132	884
556		544	12
253		267	-14
26		27	-1
3,349		2,342	1,007
4,840		1,618	3,222
1,567		919	648
900		642	258
1,820		575	1,245
397		408	-11
276		302	-26
155		160	-5
67		71	-4
17		19	-2
4,360		3,354	1,006
7,784		2,270	5,514
1,823		1,989	-166
1,370		1,597	-227
1,322		1,302	20
1,098		1,134	-36
1,879		931	948

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,193		802	391
536		590	-54
447		488	-41
357		365	-8
447		187	260
62		72	-10
31		37	-6
2,284		2,895	-611
5,508		1,689	3,819
1,560		1,362	198
1,345		1,111	234
851		878	-27
716		653	63
406		507	-101
468		420	48
323		294	29
200		173	27
216		198	18
72		66	6
1,013		2,213	-1,200
636		986	-350
507		321	186
668		368	300
813		513	300
594		499	95
83		141	-58
2,534		2,107	427
17		19	-2
375		379	-4
39,130		31,371	7,759

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
794		776	18
8,965		9,987	-1,022
8,051		4,612	3,439
4,765		2,997	1,768
2,640		2,482	158
2,893		2,273	620
309		2,077	-1,768
2,865		1,888	977
5,039		1,753	3,286
1,348		1,625	-277
3,964		1,464	2,500
1,670		1,346	324
6,470		1,220	5,250
1,490		1,111	379
975		1,052	-77
991		978	13
910		929	-19
2,262		826	1,436
841		748	93
612		659	-47
514		595	-81
963		510	453
573		419	154
503		353	150
382		286	96
528		234	294
147		183	-36
121		149	-28
111		124	-13
131		90	41

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
90		85	5
90		81	9
101		72	29
106		37	69
11		11	
2,841		2,968	-127
2,834		2,299	535
2,730		1,996	734
1,316		1,596	-280
2,464		1,276	1,188
1,313		952	361
837		824	13
469		543	-74
562		401	161
379		204	175
28		30	-2
590		570	20
6,219		5,880	339
2,613		2,291	322
2,561		1,932	629
2,092		1,624	468
1,619		1,179	440
885		894	-9
1,259		568	691
454		384	70
538		258	280
289		187	102
123		76	47
1,992		2,684	-692
1,112		1,087	25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,090		480	610
314		175	139
24		26	-2
3,056		2,302	754
2,162		1,617	545
1,991		915	1,076
725		637	88
113		561	-448
397		406	-9
257		292	-35
138		141	-3
69		70	-1
13,335		12,367	968
6,748		3,052	3,696
4,325		2,269	2,056
1,644		1,936	-292
2,002		1,553	449
1,183		1,275	-92
359		1,114	-755
845		931	-86
2,456		800	1,656
536		586	-50
447		488	-41
857		361	496
281		174	107
62		72	-10
8		27	-19
2,764		2,751	13
2,066		1,684	382
2,395		1,338	1,057

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,353		1,075	2,278
1,345		868	477
733		626	107
789		504	285
452		411	41
323		294	29
260		150	110
215		198	17
48		44	4
1,199		2,110	-911
355		649	-294
1,078		764	314
178		181	-3
132		83	49
901		1,131	-230
50		59	-9
973		997	-24
3,541		2,488	1,053
35		39	-4
33,510		29,318	4,192
20		17	3
8,965		9,912	-947
5,786		4,389	1,397
6,130		2,944	3,186
2,462		2,428	34
2,804		2,240	564
2,334		2,070	264
506		1,871	-1,365
1,984		1,742	242
2,908		1,615	1,293

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,355		1,461	894
1,353		1,342	11
2,259		1,210	1,049
2,192		1,108	1,084
1,157		1,049	108
3,182		975	2,207
302		928	-626
1,133		818	315
709		745	-36
672		645	27
583		594	-11
153		507	-354
410		418	-8
421		352	69
362		284	78
516		231	285
424		183	241
270		146	124
111		124	-13
90		89	1
234		85	149
77		80	-3
73		70	3
37		35	2
8,776		8,352	424
2,546		2,964	-418
2,335		2,293	42
1,953		1,985	-32
1,835		1,594	241
2,237		1,268	969

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,327		949	378
834		816	18
465		534	-69
639		393	246
260		178	82
50		28	22
1,180		514	666
5,344		5,598	-254
2,779		2,284	495
3,077		1,920	1,157
3,120		1,574	1,546
1,096		1,177	-81
981		891	90
640		543	97
515		368	147
395		252	143
307		184	123
2,140		71	2,069
2,273		2,434	-161
2,654		1,044	1,610
766		425	341
147		139	8
24		26	-2
3,456		2,221	1,235
2,385		1,564	821
909		913	-4
648		625	23
731		553	178
861		392	469
276		285	-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
138		138	
69		68	1
13,840		12,257	1,583
8,470		2,929	5,541
2,347		2,184	163
2,918		1,913	1,005
3,812		1,549	2,263
1,162		1,269	-107
1,178		1,109	69
910		926	-16
1,091		761	330
536		586	-50
6,409		486	5,923
2,465		313	2,152
352		168	184
59		69	-10
16		18	-2
2,764		2,751	13
2,948		1,641	1,307
3,410		1,302	2,108
3,353		1,066	2,287
827		853	-26
1,592		609	983
1,194		501	693
389		405	-16
531		286	245
347		149	198
143		132	11
48		44	4
637		1,688	-1,051

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
750		508	242
753		487	266
179		181	-2
37		22	15
257		282	-25
2,438		2,048	390
324		311	13
613		415	198
35		38	-3
27,243		27,030	213
318			318
9,575		9,862	-287
4,511		4,373	138
3,427		2,865	562
4,163		2,421	1,742
3,022		2,230	792
10,053		2,062	7,991
1,897		1,867	30
3,427		1,735	1,692
2,415		1,598	817
5,028		1,451	3,577
1,290		1,339	-49
781		1,204	-423
6,937		1,106	5,831
2,144		1,047	1,097
274		974	-700
1,326		927	399
996		814	182
976		738	238
906		641	265

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
514		593	-79
804		501	303
354		408	-54
280		349	-69
524		281	243
429		229	200
147		178	-31
339		139	200
111		124	-13
90		89	1
170		84	86
77		80	-3
73		69	4
37		35	2
9,135		7,399	1,736
4,214		2,840	1,374
3,367		2,292	1,075
2,443		1,975	468
2,508		1,593	915
2,190		1,211	979
851		905	-54
646		815	-169
497		534	-37
362		392	-30
274		155	119
18		22	-4
408		478	-70
5,542		5,436	106
2,861		2,282	579
2,693		1,897	796

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,888		1,569	319
1,617		1,177	440
1,602		889	713
619		528	91
753		358	395
572		247	325
206		176	30
83		70	13
2,425		2,384	41
1,100		955	145
867		403	464
510		133	377
34		25	9
2,706		2,210	496
3,001		1,556	1,445
829		905	-76
540		612	-72
731		499	232
354		389	-35
276		284	-8
270		128	142
52		57	-5
9,332		9,601	-269
2,464		2,893	-429
3,281		2,182	1,099
3,262		1,893	1,369
2,713		1,549	1,164
572		1,246	-674
2,012		1,103	909
886		908	-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,125		750	375
536		586	-50
437		476	-39
268		293	-25
173		154	19
59		68	-9
30		18	12
3,717		2,744	973
3,224		1,638	1,586
3,091		1,296	1,795
1,101		1,065	36
807		844	-37
662		589	73
780		501	279
372		384	-12
316		286	30
276		149	127
144		132	12
48		44	4
471		903	-432
405		585	-180
1,876		1,281	595
128		129	-1
1,662		1,039	623
1,217		1,365	-148
2,117		1,451	666
639		973	-334
67		77	-10
1,214		1,036	178
25,946		25,995	-49

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
8		8	
8,536		9,103	-567
3,845		4,173	-328
4,298		2,850	1,448
2,799		2,383	416
3,165		2,218	947
2,734		2,021	713
1,682		1,863	-181
1,854		1,721	133
1,662		1,595	67
3,209		1,447	1,762
4,254		1,335	2,919
1,513		1,194	319
5,834		1,105	4,729
1,660		1,046	614
2,110		974	1,136
921		923	-2
841		812	29
1,846		735	1,111
2,259		639	1,620
503		579	-76
623		491	132
631		404	227
421		345	76
309		279	30
594		226	368
134		176	-42
189		132	57
111		124	-13
90		89	1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
90		84	6
77		80	-3
73		68	5
37		35	2
7,178		7,299	-121
2,505		2,689	-184
3,833		2,278	1,555
2,419		1,871	548
2,669		1,584	1,085
2,025		1,208	817
5,038		903	4,135
810		815	-5
663		534	129
424		378	46
178		115	63
18		21	-3
885		378	507
5,398		4,571	827
2,746		2,246	500
3,292		1,848	1,444
1,576		1,553	23
1,138		1,151	-13
1,574		873	701
4,742		526	4,216
556		355	201
343		240	103
258		170	88
118		70	48
3,304		2,358	946
2,272		900	1,372

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
867		358	509
172		125	47
21		22	-1
3,203		2,202	1,001
1,232		1,509	-277
909		903	6
2,257		607	1,650
834		480	354
380		388	-8
366		255	111
106		123	-17
52		53	-1
11,619		9,497	2,122
2,534		2,785	-251
4,077		2,177	1,900
4,630		1,866	2,764
2,998		1,545	1,453
1,744		1,237	507
2,181		1,100	1,081
886		901	-15
1,177		735	442
536		586	-50
455		466	-11
601		277	324
337		148	189
59		68	-9
30		17	13
5,194		2,426	2,768
4,745		1,623	3,122
1,337		1,250	87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,663		1,057	606
773		819	-46
1,062		579	483
594		486	108
350		351	-1
531		285	246
185		146	39
143		132	11
175		173	2
379		854	-475
405		465	-60
1,608		1,140	468
102		103	-1
259		259	
1,744		1,218	526
184		104	80
232		411	-179
50		58	-8
52		56	-4
11,861		11,491	370
27,755		28,092	-337
8,580		9,025	-445
6,972		4,060	2,912
970		2,816	-1,846
2,452		2,375	77
1,426		2,214	-788
3,536		1,995	1,541
1,654		1,857	-203
1,515		1,699	-184
1,603		1,582	21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,352		1,431	-79
2,413		1,321	1,092
2,383		1,193	1,190
1,587		1,097	490
6,032		1,046	4,986
975		972	3
1,488		901	587
878		803	75
523		732	-209
590		623	-33
503		579	-76
953		481	472
1,063		398	665
421		343	78
373		278	95
347		224	123
155		174	-19
102		128	-26
255		111	144
90		89	1
67		84	-17
90		80	10
73		67	6
26		27	-1
9,779		6,316	3,463
3,003		2,628	375
2,901		2,252	649
1,680		1,818	-138
1,471		1,579	-108
2,113		1,185	928

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,667		902	765
797		802	-5
1,728		484	1,244
607		327	280
137		108	29
410		8	402
233		267	-34
4,248		4,166	82
2,290		2,206	84
1,834		1,832	2
1,864		1,523	341
3,370		1,111	2,259
919		868	51
578		506	72
521		331	190
369		238	131
263		169	94
101		65	36
2,032		2,185	-153
1,525		846	679
354		349	5
113		119	-6
9		9	
3,410		2,107	1,303
2,403		1,416	987
788		896	-108
712		606	106
416		473	-57
389		380	9
49		248	-199

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
133		115	18
133		49	84
2,526		7,888	-5,362
3,621		2,677	944
3,450		2,167	1,283
2,167		1,863	304
1,352		1,526	-174
1,086		1,226	-140
2,020		1,090	930
1,138		899	239
626		733	-107
539		576	-37
704		462	242
351		275	76
337		133	204
59		66	-7
9,409		8,661	748
6,694		2,229	4,465
1,868		1,605	263
2,793		1,242	1,551
3,196		1,056	2,140
609		784	-175
1,062		577	485
558		477	81
396		351	45
448		274	174
49		118	-69
120		110	10
125		124	1
431		850	-419

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
243		319	-76
1,643		1,087	556
77		77	
130		138	-8
692		1,073	-381
412		410	2
1,423		733	690
17		19	-2
35		38	-3
9,465		9,487	-22
26,139		26,132	7
8,413		8,335	78
4,648		3,861	787
4,312		2,697	1,615
3,611		2,372	1,239
2,912		2,214	698
3,478		1,991	1,487
3,059		1,856	1,203
2,448		1,686	762
3,491		1,569	1,922
2,285		1,430	855
1,065		1,320	-255
1,622		1,193	429
1,893		1,094	799
1,405		1,044	361
846		967	-121
1,256		900	356
859		799	60
656		730	-74
537		622	-85

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
520		572	-52
1,121		481	640
770		390	380
377		340	37
377		278	99
429		216	213
155		174	-19
424		124	300
184		111	73
90		88	2
92		84	8
77		79	-2
73		67	6
27		23	4
6,830		5,570	1,260
2,901		2,588	313
11,549		2,186	9,363
2,310		1,785	525
1,447		1,512	-65
1,137		1,135	2
730		893	-163
797		802	-5
821		480	341
1,638		321	1,317
121		80	41
1,747		1,927	-180
233		250	-17
3,607		3,757	-150
2,882		2,205	677
1,847		1,812	35

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,475		1,488	-13
1,042		1,111	-69
970		825	145
1,087		490	597
601		322	279
2,396		234	2,162
186		158	28
73		47	26
5,488		2,173	3,315
834		816	18
354		348	6
173		111	62
1		1	
10,172		2,078	8,094
1,514		1,415	99
1,024		873	151
553		604	-51
449		459	-10
328		360	-32
366		237	129
104		113	-9
67		45	22
8,315		7,229	1,086
2,347		2,602	-255
2,389		2,153	236
2,003		1,833	170
1,572		1,516	56
2,378		1,226	1,152
2,108		1,090	1,018
1,558		890	668

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
607		709	-102
581		564	17
779		446	333
753		267	486
118		129	-11
112		66	46
6,499		6,803	-304
2,179		2,091	88
2,426		1,584	842
2,356		1,237	1,119
1,935		1,051	884
2,881		767	2,114
778		569	209
1,002		473	529
448		345	103
297		251	46
334		113	221
120		110	10
100		99	1
396		731	-335
162		197	-35
1,910		617	1,293
248		248	
43		40	3
419		180	239
165		165	
2,105		1,384	721
67		77	-10
35		37	-2
6,907		6,680	227

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
17,439		18,729	-1,290
10,566		7,315	3,251
3,507		3,744	-237
4,318		2,688	1,630
3,286		2,365	921
3,034		2,201	833
2,182		1,986	196
2,009		1,845	164
2,006		1,672	334
2,148		1,555	593
1,509		1,425	84
2,061		1,318	743
1,106		1,184	-78
1,121		1,090	31
582		1,037	-455
2,107		967	1,140
1,025		880	145
1,360		787	573
688		727	-39
605		615	-10
850		572	278
855		478	377
567		389	178
348		335	13
501		268	233
452		214	238
266		173	93
111		124	-13
110		101	9
90		88	2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
92		84	8
67		79	-12
128		66	62
18		18	
5,836		5,057	779
3,183		2,492	691
2,912		2,137	775
2,379		1,762	617
1,300		1,511	-211
1,506		1,103	403
1,326		884	442
1,334		797	537
433		480	-47
684		300	384
182		63	119
1,701		1,665	36
590		229	361
4,599		3,349	1,250
2,508		2,201	307
1,847		1,812	35
1,454		1,470	-16
4,904		1,107	3,797
2,367		799	1,568
1,076		479	597
372		316	56
501		229	272
186		158	28
108		47	61
3,269		1,838	1,431
1,348		778	570

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
367		346	21
173		110	63
10,597		8,445	2,152
2,345		2,015	330
871		1,342	-471
800		782	18
532		604	-72
1,149		458	691
667		360	307
753		231	522
104		113	-9
35		38	-3
7,617		6,856	761
2,403		2,602	-199
1,884		2,128	-244
2,003		1,831	172
1,053		1,511	-458
1,193		1,213	-20
1,091		1,088	3
275		886	-611
728		696	32
884		551	333
437		445	-8
351		260	91
123		126	-3
59		60	-1
8,434		6,679	1,755
2,169		2,020	149
4,338		1,565	2,773
1,271		1,229	42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
6,383		1,046	5,337
2,360		727	1,633
1,013		559	454
406		470	-64
4,717		333	4,384
274		250	24
210		106	104

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-173
			9
			1
			-244
			-725
			131
			235
			96
			5
			36
			432
			-8
			-2
			63
			-550
			-446
			-96
			3
			-1,758
			1,145
			2,793
			-249
			2,584
			1,312
			402
			923
			28
			1,618
			-726
			66

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,338
			336
			-39
			-90
			29
			656
			182
			-34
			106
			367
			-135
			-13
			4
			2
			129
			36
			40
			1
			3,248
			531
			392
			-119
			-211
			-73
			375
			1,280
			142
			19
			-4
			415

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4
			-258
			-24
			832
			134
			471
			283
			451
			56
			40
			81
			32
			662
			517
			49
			-4
			-1,696
			1,226
			991
			804
			-72
			-1
			-30
			120
			11
			-3
			2,142
			317
			-1,470
			70

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-543
			104
			-171
			36
			65
			-58
			735
			4
			180
			1
			2,431
			584
			-9
			1,544
			1,429
			1,654
			1,064
			214
			464
			-5
			8
			-32
			9
			25
			2
			208
			1
			-103
			291

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-4
			324
			-5
			-2
			4,806
			413
			-167
			339
			-142
			-16
			1,407
			1,733
			56
			258
			-27
			-730
			-645
			43
			539
			510
			15
			648
			493
			38
			448
			797
			-63
			-41
			-34
			158

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			37
			-22
			-13
			-10
			-69
			7
			166
			1
			-443
			248
			578
			1,430
			-63
			-63
			309
			-55
			267
			194
			-4
			81
			1,606
			1,672
			1,210
			962
			254
			131
			877
			470
			174

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			40
			72
			22
			516
			565
			128
			-3
			132
			1,792
			1,078
			-92
			-71
			200
			-9
			175
			71
			-2
			-862
			-367
			-518
			359
			2,440
			-143
			17
			332
			42
			-13
			580
			-55
			84

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2
			26
			2,249
			8,567
			845
			80
			71
			1,119
			150
			8
			162
			9
			-32
			10
			-83
			3
			60
			42
			-33
			636
			-4
			58
			-5
			-2
			-39
			-1,614
			-362
			-1,280
			1,905

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,321
			677
			27
			4,044
			529
			2,251
			360
			527
			1,268
			-479
			1,857
			-210
			29
			-541
			425
			-82
			2,380
			806
			140
			320
			176
			-21
			114
			-13
			657
			3
			8
			-7
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			968
			1,211
			1,571
			-115
			71
			-72
			799
			1,367
			689
			402
			-4
			10
			-284
			31
			944
			1,931
			-27
			727
			123
			77
			174
			149
			75
			17
			1,305
			593
			134
			-3
			970
			238

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8
			-86
			-71
			213
			-9
			19
			-2
			1
			311
			413
			151
			1,054
			1,271
			-21
			-246
			-74
			112
			343
			128
			372
			-15
			36
			4,501
			839
			940
			1,030
			-8
			9
			344
			-2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-3
			-12
			78
			167
			10
			18
			370
			168
			2,677
			7
			-212
			725
			-6
			-61
			-2
			-2
			136
			-769
			-525
			-358
			-313
			817
			668
			1,030
			1,292
			2,230
			793
			174
			594
			1,561

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			272
			525
			705
			309
			1,372
			-40
			519
			-281
			69
			201
			126
			173
			337
			227
			-13
			124
			3
			8
			26
			-2
			3,074
			2,541
			1,367
			294
			622
			-1
			410
			-28
			768

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			440
			36
			161
			12,205
			1,152
			548
			2,170
			-274
			761
			400
			77
			174
			37
			66
			31
			1,115
			1,035
			152
			50
			1,465
			225
			6
			751
			-17
			-38
			-44
			-4
			135
			-3
			226

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			338
			1,109
			-250
			-6
			504
			432
			-103
			459
			-45
			-292
			471
			-11
			-7
			362
			76
			2,333
			2,193
			216
			200
			-38
			676
			34
			150
			-5
			282
			9
			10
			-102
			1,035
			804

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			436
			-1,765
			273
			10
			-2
			-35
			-2
			-119
			-1,248
			-1,102
			728
			-1,333
			4,309
			733
			-346
			-294
			167
			303
			-268
			2,411
			832
			817
			95
			-21
			19
			-103
			1,171
			78
			-72
			600

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			12
			-6
			-26
			342
			-36
			-13
			331
			3
			-15
			1
			67
			-2
			561
			1,070
			961
			963
			1,053
			29
			227
			3
			311
			-33
			-1
			516
			330
			1,127
			125
			478
			2,528
			667

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			333
			243
			-3
			37
			86
			-9
			23
			-161
			229
			-2
			-156
			-44
			7
			328
			138
			-51
			-43
			-4
			17
			-3
			61
			-252
			320
			503
			353
			251
			635
			164
			-14
			179

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-56
			253
			-4
			43
			-162
			380
			2,310
			1,139
			72
			2,295
			218
			45
			37
			36
			51
			748
			8
			10
			-4
			229
			104
			234
			778
			-600
			40
			118
			-6
			-70
			-52
			-1,508

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,967
			68
			1,574
			1,102
			787
			-1,091
			787
			240
			762
			260
			-715
			843
			-1
			449
			15
			223
			306
			483
			22
			295
			-290
			169
			-32
			-26
			-13
			-34
			-13
			-4
			-20
			50

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			4
			-2
			179
			1,043
			1,107
			644
			214
			-144
			532
			243
			15
			1,070
			-3
			-50
			682
			165
			1,304
			1,762
			1,350
			624
			12
			243
			160
			37
			67
			19
			581
			429
			231

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2
			1,090
			8,217
			664
			513
			60
			348
			41
			-20
			-10
			-3
			1,584
			1,046
			2,190
			3,669
			956
			445
			765
			-70
			-405
			2,019
			206
			-146
			-1
			-3
			-594
			464
			815
			1,039
			90

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			212
			62
			682
			-150
			59
			-1
			1,057
			8
			506
			-436
			767
			491
			211
			1,067
			-225
			60
			-12
			-12
			-24
			-30
			-367
			-783
			163
			-498
			-288
			2,503
			521
			752
			-200
			107

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			677
			421
			302
			1,197
			-502
			552
			1,097
			-43
			1,589
			-63
			503
			-185
			24
			-40
			176
			148
			67
			-13
			145
			5
			449
			-8
			4
			-1
			-70
			1,183
			254
			1,041
			3,262
			-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,063
			4
			110
			292
			-1
			-28
			6,405
			1,612
			771
			965
			-6
			657
			310
			279
			155
			116
			57
			-986
			1
			9
			6
			-1
			1,248
			-313
			677
			-56
			96
			-50
			-9
			37

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-2
			-2
			126
			1,581
			994
			798
			1,338
			1,127
			72
			205
			-66
			-67
			-261
			-24
			-10
			-5
			369
			274
			135
			-42
			200
			949
			76
			-86
			823
			60
			41
			19
			6
			-827

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			613
			217
			804
			584
			1,014
			-58
			249
			-5
			-7
			-13
			-25
			474
			-737
			2,879
			317
			6,074
			-114
			1,144
			788
			414
			543
			1,246
			3,039
			1,265
			423
			1,247
			263
			2,153
			-95
			-322

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-63
			-32
			110
			-48
			-39
			141
			-22
			28
			-13
			5
			9
			-8
			43
			-1
			1,020
			1,258
			2,287
			973
			60
			1,368
			44
			220
			702
			312
			-1
			-60
			2,318
			204
			1,306

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			824
			109
			1,119
			720
			226
			310
			18
			14
			6,110
			884
			12
			-14
			-1
			1,007
			3,222
			648
			258
			1,245
			-11
			-26
			-5
			-4
			-2
			1,006
			5,514
			-166
			-227
			20
			-36
			948

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			391
			-54
			-41
			-8
			260
			-10
			-6
			-611
			3,819
			198
			234
			-27
			63
			-101
			48
			29
			27
			18
			6
			-1,200
			-350
			186
			300
			300
			95
			-58
			427
			-2
			-4
			7,759

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			18
			-1,022
			3,439
			1,768
			158
			620
			-1,768
			977
			3,286
			-277
			2,500
			324
			5,250
			379
			-77
			13
			-19
			1,436
			93
			-47
			-81
			453
			154
			150
			96
			294
			-36
			-28
			-13
			41

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5
			9
			29
			69
			-127
			535
			734
			-280
			1,188
			361
			13
			-74
			161
			175
			-2
			20
			339
			322
			629
			468
			440
			-9
			691
			70
			280
			102
			47
			-692
			25

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			610
			139
			-2
			754
			545
			1,076
			88
			-448
			-9
			-35
			-3
			-1
			968
			3,696
			2,056
			-292
			449
			-92
			-755
			-86
			1,656
			-50
			-41
			496
			107
			-10
			-19
			13
			382
			1,057

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,278
			477
			107
			285
			41
			29
			110
			17
			4
			-911
			-294
			314
			-3
			49
			-230
			-9
			-24
			1,053
			-4
			4,192
			3
			-947
			1,397
			3,186
			34
			564
			264
			-1,365
			242
			1,293

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			894
			11
			1,049
			1,084
			108
			2,207
			-626
			315
			-36
			27
			-11
			-354
			-8
			69
			78
			285
			241
			124
			-13
			1
			149
			-3
			3
			2
			424
			-418
			42
			-32
			241
			969

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			378
			18
			-69
			246
			82
			22
			666
			-254
			495
			1,157
			1,546
			-81
			90
			97
			147
			143
			123
			2,069
			-161
			1,610
			341
			8
			-2
			1,235
			821
			-4
			23
			178
			469
			-9

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			1,583
			5,541
			163
			1,005
			2,263
			-107
			69
			-16
			330
			-50
			5,923
			2,152
			184
			-10
			-2
			13
			1,307
			2,108
			2,287
			-26
			983
			693
			-16
			245
			198
			11
			4
			-1,051

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			242
			266
			-2
			15
			-25
			390
			13
			198
			-3
			213
			318
			-287
			138
			562
			1,742
			792
			7,991
			30
			1,692
			817
			3,577
			-49
			-423
			5,831
			1,097
			-700
			399
			182
			238
			265

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-79
			303
			-54
			-69
			243
			200
			-31
			200
			-13
			1
			86
			-3
			4
			2
			1,736
			1,374
			1,075
			468
			915
			979
			-54
			-169
			-37
			-30
			119
			-4
			-70
			106
			579
			796

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			319
			440
			713
			91
			395
			325
			30
			13
			41
			145
			464
			377
			9
			496
			1,445
			-76
			-72
			232
			-35
			-8
			142
			-5
			-269
			-429
			1,099
			1,369
			1,164
			-674
			909
			-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			375
			-50
			-39
			-25
			19
			-9
			12
			973
			1,586
			1,795
			36
			-37
			73
			279
			-12
			30
			127
			12
			4
			-432
			-180
			595
			-1
			623
			-148
			666
			-334
			-10
			178
			-49

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-567
			-328
			1,448
			416
			947
			713
			-181
			133
			67
			1,762
			2,919
			319
			4,729
			614
			1,136
			-2
			29
			1,111
			1,620
			-76
			132
			227
			76
			30
			368
			-42
			57
			-13
			1

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			6
			-3
			5
			2
			-121
			-184
			1,555
			548
			1,085
			817
			4,135
			-5
			129
			46
			63
			-3
			507
			827
			500
			1,444
			23
			-13
			701
			4,216
			201
			103
			88
			48
			946
			1,372

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			509
			47
			-1
			1,001
			-277
			6
			1,650
			354
			-8
			111
			-17
			-1
			2,122
			-251
			1,900
			2,764
			1,453
			507
			1,081
			-15
			442
			-50
			-11
			324
			189
			-9
			13
			2,768
			3,122
			87

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			606
			-46
			483
			108
			-1
			246
			39
			11
			2
			-475
			-60
			468
			-1
			526
			80
			-179
			-8
			-4
			370
			-337
			-445
			2,912
			-1,846
			77
			-788
			1,541
			-203
			-184
			21

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-79
			1,092
			1,190
			490
			4,986
			3
			587
			75
			-209
			-33
			-76
			472
			665
			78
			95
			123
			-19
			-26
			144
			1
			-17
			10
			6
			-1
			3,463
			375
			649
			-138
			-108
			928

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			765
			-5
			1,244
			280
			29
			402
			-34
			82
			84
			2
			341
			2,259
			51
			72
			190
			131
			94
			36
			-153
			679
			5
			-6
			1,303
			987
			-108
			106
			-57
			9
			-199

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			18
			84
			-5,362
			944
			1,283
			304
			-174
			-140
			930
			239
			-107
			-37
			242
			76
			204
			-7
			748
			4,465
			263
			1,551
			2,140
			-175
			485
			81
			45
			174
			-69
			10
			1
			-419

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-76
			556
			-8
			-381
			2
			690
			-2
			-3
			-22
			7
			78
			787
			1,615
			1,239
			698
			1,487
			1,203
			762
			1,922
			855
			-255
			429
			799
			361
			-121
			356
			60
			-74
			-85

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-52
			640
			380
			37
			99
			213
			-19
			300
			73
			2
			8
			-2
			6
			4
			1,260
			313
			9,363
			525
			-65
			2
			-163
			-5
			341
			1,317
			41
			-180
			-17
			-150
			677
			35

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-13
			-69
			145
			597
			279
			2,162
			28
			26
			3,315
			18
			6
			62
			8,094
			99
			151
			-51
			-10
			-32
			129
			-9
			22
			1,086
			-255
			236
			170
			56
			1,152
			1,018
			668

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-102
			17
			333
			486
			-11
			46
			-304
			88
			842
			1,119
			884
			2,114
			209
			529
			103
			46
			221
			10
			1
			-335
			-35
			1,293
			3
			239
			721
			-10
			-2
			227

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-1,290
			3,251
			-237
			1,630
			921
			833
			196
			164
			334
			593
			84
			743
			-78
			31
			-455
			1,140
			145
			573
			-39
			-10
			278
			377
			178
			13
			233
			238
			93
			-13
			9
			2

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8
			-12
			62
			779
			691
			775
			617
			-211
			403
			442
			537
			-47
			384
			119
			36
			361
			1,250
			307
			35
			-16
			3,797
			1,568
			597
			56
			272
			28
			61
			1,431
			570

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			21
			63
			2,152
			330
			-471
			18
			-72
			691
			307
			522
			-9
			-3
			761
			-199
			-244
			172
			-458
			-20
			3
			-611
			32
			333
			-8
			91
			-3
			-1
			1,755
			149
			2,773
			42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			5,337
			1,633
			454
			-64
			4,384
			24
			104

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN RED CROSS PO BOX 4002018 DES MOINES,IA 503402018		PUBLIC CHAR	GENERAL SUPPORT	10,000
CATHOLIC CHARITIES USA PO BOX 17066 BALTIMORE,MD 212971066		PUBLIC CHAR	GENERAL SUPPORT	15,000
THE AMERICAN IRELAND FUND ATTN KIERAN MCLOUGHLIN 345 PARK AVE 17TH FL NEWYORK,NY 10154		PUBLIC CHAR	GENERAL SUPPORT	12,500
CHILDREN'S SCHOLARSHIP FUND 8 WEST 38TH STREET 9TH FL NEWYORK,NY 10018		PUBLIC CHAR	GENERAL SUPPORT	20,000
INNER CITY SCHOLARSHIP FUND 1011 FIRST AVE STE 1400 NEWYORK,NY 10022		PUBLIC CHAR	GENERAL SUPPORT	20,000
A BETTER CHANCE OF WESTPORT PO BOX 2153 WESTPORT,CT 06880		PUBLIC CHAR	GENERAL SUPPORT	10,000
ROBIN HOOD FOUNDATION 826 BROADWAY 9TH FL NEWYORK,NY 10003		PUBLIC CHAR	GENERAL SUPPORT	5,000
WOUNDED WARRIOR PROJECT PO BOX 758517 TOPEKA,KS 66675		PUBLIC CHAR	GENERAL SUPPORT	10,000
SAINT FRANCIS HOSPITAL FOUNDATION 100 PORT WASHINGTON BLVD ROSLYN,NY 11576		PUBLIC CHAR	GENERAL SUPPORT	10,000
MICHAEL J FOX FOUNDATION FOR PARKINSON'S RESEARCH GRAND CENTRAL STATION PO BOX 4777 NEWYORK,NY 101634777		PUBLIC CHAR	GENERAL SUPPORT	7,500
CARSON SCHOLARS FUND INC ATTN AMY WARNER STE 310 305 W CHESAPEAKE AVE TOWSON,MD 21204		PUBLIC CHAR	GENERAL SUPPORT	10,000
Total  3a				130,000

TY 2013 Accounting Fees Schedule

Name: STANLEY AND CATHERINE MAAS FOUNDATI

EIN: 11-2690167

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	7,839			

TY 2013 Compensation Explanation**Name:** STANLEY AND CATHERINE MAAS FOUNDATI**EIN:** 11-2690167

Person Name	Explanation
CATHERINE NOLAN	
MATTHEW SPIEGEL	

TY 2013 Investments Corporate Bonds Schedule

Name: STANLEY AND CATHERINE MAAS FOUNDATI

EIN: 11-2690167

Name of Bond	End of Year Book Value	End of Year Fair Market Value
UBS 63388		
UBS 68328		
ASSURED GTY US HLDGS JR SUB DEB CLBL	15,564	15,470

**TY 2013 Investments Corporate
Stock Schedule****Name:** STANLEY AND CATHERINE MAAS FOUNDATI**EIN:** 11-2690167

Name of Stock	End of Year Book Value	End of Year Fair Market Value
UBS 63389		
UBS 63390		
UBS 63391		
UBS 63392		
UBS 68328		
UBS 68329		
CATLIN GROUP LTD ADR	3,508	4,202
COHEN & STEERS INFRASTRUCTURE	8	8
ISHARES RUSSELL 2000 VALUE ETF	544,370	540,595
ISHARES RUSSELL 3000 ETF	1,896,660	1,969,100
PHOENIX COMPANIES INC	1,722	1,848
SANTANDER FINANCE PREFERRED	2,150	2,068

TY 2013 Investments - Other Schedule**Name:** STANLEY AND CATHERINE MAAS FOUNDATI**EIN:** 11-2690167

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
HARTFORD EMERGING MKTS RES FND	AT COST	5,693	5,419
HARTFORD EQ INC FLOATING RATE A	AT COST	17,560	18,222
LOOMIS SAYLES STRAT INC A	AT COST	11,042	13,064
NATIXIS LOOMIS SAYLES ABS STRAT A	AT COST	4,801	4,757
VIRTUS FDS MULTI SECTOR ST BOND A	AT COST	12,379	13,010

TY 2013 Other Assets Schedule

Name: STANLEY AND CATHERINE MAAS FOUNDATI

EIN: 11-2690167

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
ACCRUED INTEREST RECEIVABLE	1,618		

TY 2013 Other Expenses Schedule**Name:** STANLEY AND CATHERINE MAAS FOUNDATI**EIN:** 11-2690167

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
MARGIN INTEREST	1,737	1,737		
ADR FEES	300	300		

TY 2013 Other Professional Fees Schedule

Name: STANLEY AND CATHERINE MAAS FOUNDATI

EIN: 11-2690167

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
UBS INVESTMENT MANAGEMENT FEES	6,454	6,090		

TY 2013 Taxes Schedule

Name: STANLEY AND CATHERINE MAAS FOUNDATI

EIN: 11-2690167

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS DEPT OF LAW	250			
FOREIGN TAXES PAID	1,252	1,252		
FEDERAL TAXES PAID	6,000			