Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

**2013**

Open to Public Inspection

For calendar year 2013 or tax year beginning APR 1, 2013 , and ending MAR 31, 2014

Name of foundation: THE RICHARDSON FAMILY FOUNDATION

**A** Employer identification number: 83-0310875

Number and street (or P O box number if mail is not delivered to street address): P.O. BOX 4007

Room/suite:

**B** Telephone number: 307-775-8050

City or town, state or province, country, and ZIP or foreign postal code: CHEYENNE, WY 82003

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**D** 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**H** Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**I** Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 5,778,507.

**J** Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis )*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

| **Part I** | **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) ) | **(a)** Revenue and expenses per books | **(b)** Net investment income | **(c)** Adjusted net income | **(d)** Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| **Revenue** | | | | | |
| 1 | Contributions, gifts, grants, etc., received | 15,117. | | N/A | |
| 2 | Check ▶ ☐ if the foundation is not required to attach Sch B | | | | |
| 3 | Interest on savings and temporary cash investments | 355. | 355. | | STATEMENT 1 |
| 4 | Dividends and interest from securities | 137,810. | 137,810. | | STATEMENT 2 |
| 5a | Gross rents | | | | |
| b | Net rental income or (loss) | | | | |
| 6a | Net gain or (loss) from sale of assets not on line 10 | 635. | | | |
| b | Gross sales price for all assets on line 6a 2,363,998. | | | | |
| 7 | Capital gain net income (from Part IV, line 2) | | 635. | | |
| 8 | Net short-term capital gain | | | | |
| 9 | Income modifications | | | | |
| 10a | Gross sales less returns and allowances | | | | |
| b | Less Cost of goods sold | | | | |
| c | Gross profit or (loss) | | | | |
| 11 | Other income | 92. | 92. | | STATEMENT 3 |
| 12 | **Total.** Add lines 1 through 11 | 154,009. | 138,892. | | |
| **Operating and Administrative Expenses** | | | | | |
| 13 | Compensation of officers, directors, trustees, etc | 0. | 0. | | 0. |
| 14 | Other employee salaries and wages | | | | |
| 15 | Pension plans, employee benefits | | | | |
| 16a | Legal fees | | | | |
| b | Accounting fees STMT 4 | 831. | 0. | | 0. |
| c | Other professional fees STMT 5 | 26,642. | 26,642. | | 0. |
| 17 | Interest | 243. | 243. | | 0. |
| 18 | Taxes STMT 6 | 3,475. | 2,228. | | 0. |
| 19 | Depreciation and depletion | | | | |
| 20 | Occupancy | | | | |
| 21 | Travel, conferences, and meetings | | | | |
| 22 | Printing and publications | | | | |
| 23 | Other expenses STMT 7 | 8,696. | 0. | | 0. |
| 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 39,887. | 29,113. | | 0. |
| 25 | Contributions, gifts, grants paid | 246,300. | | | 246,300. |
| 26 | **Total expenses and disbursements.** Add lines 24 and 25 | 286,187. | 29,113. | | 246,300. |
| 27 | Subtract line 26 from line 12: | | | | |
| a | Excess of revenue over expenses and disbursements | <132,178.> | | | |
| b | **Net investment income** (if negative, enter -0-) | | 109,779. | | |
| c | **Adjusted net income** (if negative, enter -0-) | | | N/A | |

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10-10-13 LHA For Paperwork Reduction Act Notice, see instructions.

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Form **990-PF** (2013)

3

| | **Part II** | **Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only | Beginning of year | End of year | |
|---|---|---|---|---|---|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 | Cash - non-interest-bearing | 1,408,844. | 172,246. | 172,246. |
| | 2 | Savings and temporary cash investments | | | |
| | 3 | Accounts receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 4 | Pledges receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 5 | Grants receivable | | | |
| | 6 | Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 | Other notes and loans receivable ▶ | | | |
| | | Less: allowance for doubtful accounts ▶ | | | |
| | 8 | Inventories for sale or use | | | |
| | 9 | Prepaid expenses and deferred charges | | | |
| | 10a | Investments - U.S. and state government obligations | | | |
| | b | Investments - corporate stock STMT 8 | 2,419,037. | 2,929,609. | 3,301,758. |
| | c | Investments - corporate bonds | | | |
| | 11 | Investments - land, buildings, and equipment basis ▶ | | | |
| | | Less accumulated depreciation ▶ | | | |
| | 12 | Investments - mortgage loans | | | |
| | 13 | Investments - other STMT 9 | 1,605,329. | 2,199,177. | 2,304,503. |
| | 14 | Land, buildings, and equipment: basis ▶ | | | |
| | | Less accumulated depreciation ▶ | | | |
| | 15 | Other assets (describe ▶ ) | | | |
| | 16 | **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item 1) | 5,433,210. | 5,301,032. | 5,778,507. |
| Liabilities | 17 | Accounts payable and accrued expenses | | | |
| | 18 | Grants payable | | | |
| | 19 | Deferred revenue | | | |
| | 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 | Mortgages and other notes payable | | | |
| | 22 | Other liabilities (describe ▶ ) | | | |
| | 23 | **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| Net Assets or Fund Balances | | **Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 | Unrestricted | 5,433,210. | 5,301,032. | |
| | 25 | Temporarily restricted | | | |
| | 26 | Permanently restricted | | | |
| | | **Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.** | | | |
| | 27 | Capital stock, trust principal, or current funds | | | |
| | 28 | Paid-in or capital surplus, or land, bldg., and equipment fund | | | |
| | 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| | 30 | **Total net assets or fund balances** | 5,433,210. | 5,301,032. | |
| | 31 | **Total liabilities and net assets/fund balances** | 5,433,210. | 5,301,032. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 5,433,210. |
| 2 | Enter amount from Part I, line 27a | 2 | <132,178.> |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 | Add lines 1, 2, and 3 | 4 | 5,301,032. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 5,301,032. |

## Part IV Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | | | | |
| b | SEE ATTACHED STATEMENT | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | 2,363,998. | | 2,363,363. | 635. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | 635. |

| | | |
|---|---|---|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 } | 2 | 635. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 | 3 | N/A |

## Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2012 | 352,006. | 1,861,360. | .189112 |
| 2011 | 628,100. | 182,925. | 3.433648 |
| 2010 | 577,150. | 30,124. | 19.159142 |
| 2009 | 462,325. | 608. | 760.402961 |
| 2008 | 403,250. | 695. | 580.215827 |

| | | |
|---|---|---|
| 2 **Total** of line 1, column (d) | 2 | 1,363.400690 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 272.680138 |
| 4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | 4 | 5,489,917. |
| 5 Multiply line 4 by line 3 | 5 | 1,496,991,325. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 1,098. |
| 7 Add lines 5 and 6 | 7 | 1,496,992,423. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 246,300. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | | |
|---|---|---|---|---|
| **1a** Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1.<br>Date of ruling or determination letter: ______ ***(attach copy of letter if necessary-see instructions)*** | | | | |
| **b** Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | | | 1 | 2,196. |
| **c** All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | | |
| **2** Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 2 | 0. |
| **3** Add lines 1 and 2 | | | 3 | 2,196. |
| **4** Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 4 | 0. |
| **5** **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | | 5 | 2,196. |
| **6** Credits/Payments: | | | | |
| **a** 2013 estimated tax payments and 2012 overpayment credited to 2013 | 6a | 519. | | |
| **b** Exempt foreign organizations - tax withheld at source | 6b | | | |
| **c** Tax paid with application for extension of time to file (Form 8868) | 6c | 4,800. | | |
| **d** Backup withholding erroneously withheld | 6d | | | |
| **7** Total credits and payments. Add lines 6a through 6d | | | 7 | 5,319. |
| **8** Enter any **penalty** for underpayment of estimated tax. Check here ☒ if Form 2220 is attached | | | 8 | |
| **9** **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | | 9 | |
| **10** **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | 3,123. |
| **11** Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ | 3,123. | **Refunded** ▶ | 11 | 0. |

## Part VII-A Statements Regarding Activities

| | | Yes | No |
|---|---|---|---|
| **1a** *During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?* | 1a | | X |
| **b** Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? | 1b | | X |
| *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| **c** Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| **d** Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0. | | | |
| **e** Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| **2** Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>*If "Yes," attach a detailed description of the activities* | 2 | | X |
| **3** Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| **4a** Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| **b** If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| **5** Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>*If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| **6** Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>● *By language in the governing instrument, or*<br>● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| **7** Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV* | 7 | X | |
| **8a** Enter the states to which the foundation reports or with which it is registered (see instructions) ▶<br>WY | | | |
| **b** If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| **9** Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| **10** Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | X | |

Form **990-PF** (2013)

## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ KEITH W. RICHARDSON Telephone no. ▶ 307-775-8050

Located at ▶ P.O. BOX 4007, CHEYENNE, WY ZIP+4 ▶ 82003

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶ | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | | Yes | No |
|---|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly): | | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | N/A | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here | ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? | ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ______, ______, ______, ______ | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) | N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______ | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* | N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | | 4b | | X |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53.4945-5(d).*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

*If "Yes" to 6b, file Form 8870.*

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| KEITH RICHARDSON<br>P.O. BOX 5690<br>CHEYENNE, WY 82003 | PRESIDENT<br>0.00 | 0. | 0. | 0. |
| ROBERTA RICHARDSON<br>P.O. BOX 5690<br>CHEYENNE, WY 82003 | VICE-PRES.<br>0.00 | 0. | 0. | 0. |
| NORMAN J. WYMAN<br>P.O. BOX 5690<br>CHEYENNE, WY 82003 | SEC./TREAS.<br>0.00 | 0. | 0. | 0. |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

Form **990-PF** (2013)

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| **(a)** Name and address of each person paid more than $50,000 | **(b)** Type of service | **(c)** Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |

**Total** number of others receiving over $50,000 for professional services ▶ 0

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions.<br>3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | | | |
| a | Average monthly fair market value of securities | | | 1a | 5,168,337. |
| b | Average of monthly cash balances | | | 1b | 405,183. |
| c | Fair market value of all other assets | | | 1c | |
| d | **Total** (add lines 1a, b, and c) | | | 1d | 5,573,520. |
| e | *Reduction claimed for blockage or other factors reported on lines 1a and* 1c (attach detailed explanation) | 1e | 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | | | 2 | 0. |
| 3 | Subtract line 2 from line 1d | | | 3 | 5,573,520. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | | | 4 | 83,603. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | | | 5 | 5,489,917. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | | | 6 | 274,496. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 274,496. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | 2a | 2,196. | | |
| b | Income tax for 2013. (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 2,196. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 272,300. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | 0. |
| 5 | Add lines 3 and 4 | | | 5 | 272,300. |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | 0. |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 272,300. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 246,300. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 246,300. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 246,300. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

## Part XIII Undistributed Income (see instructions)

| | | (a)<br>Corpus | (b)<br>Years prior to 2012 | (c)<br>2012 | (d)<br>2013 |
|---|---|---|---|---|---|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | | 272,300. |
| 2 Undistributed income, if any, as of the end of 2013 | | | | | |
| a Enter amount for 2012 only | | | | 0. | |
| b Total for prior years: ______ , ______ , ______ | | | 0. | | |
| 3 Excess distributions carryover, if any, to 2013: | | | | | |
| a From 2008 | 403,215. | | | | |
| b From 2009 | 462,295. | | | | |
| c From 2010 | 575,644. | | | | |
| d From 2011 | 618,963. | | | | |
| e From 2012 | 259,319. | | | | |
| f **Total** of lines 3a through e | | 2,319,436. | | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ | 246,300. | | | | |
| a Applied to 2012, but not more than line 2a | | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | | 0. | | | |
| d Applied to 2013 distributable amount | | | | | 246,300. |
| e Remaining amount distributed out of corpus | | 0. | | | |
| 5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).) | | 26,000. | | | 26,000. |
| 6 **Enter the net total of each column as indicated below:** | | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | 2,293,436. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | | 0. | | |
| e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr. | | | | 0. | |
| f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 | | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) | | 0. | | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 | | 377,215. | | | |
| 9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a | | 1,916,221. | | | |
| 10 Analysis of line 9: | | | | | |
| a Excess from 2009 | 462,295. | | | | |
| b Excess from 2010 | 575,644. | | | | |
| c Excess from 2011 | 618,963. | | | | |
| d Excess from 2012 | 259,319. | | | | |
| e Excess from 2013 | | | | | |

**Part XIV** **Private Operating Foundations** *(see instructions and Part VII-A, question 9)* N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon:<br>a "Assets" alternative test - enter:<br>(1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter:<br>(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV** **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

SEE STATEMENT 10

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** **Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| *a Paid during the year* | | | | |
| ALLIANCE DEFENDING FREEDOM - BLACKSTONE<br>P.O BOX 53007<br>PHOENIX , AZ 85072-3007 | | 501(C)(3) | GENERAL | 12,000. |
| ALLIANCE DEFENDING FREEDOM - GENERAL FUND<br>P.O BOX 53007<br>PHOENIX , AZ 85072-3007 | | 501(C)(3) | GENERAL | 9,900. |
| ALLIANCE DEFENDING FREEDOM - GLOBAL INITIATIVE<br>P.O BOX 53007<br>PHOENIX , AZ 85072-3007 | | 501(C)(3) | GENERAL | 6,000. |
| CHILD EVANGELISM FELLOWSHIP - BECKER<br>P.O. BOX 162<br>CHEYENNE , WY 82003 | | 501(C)(3) | GENERAL | 3,300. |
| FATHERS IN THE FIELD<br>645 MAIN STREET<br>LANDER , WY 82525 | | 501(C)(3) | GENERAL | 9,600. |
| **Total** SEE CONTINUATION SHEET(S) | | | ▶ **3a** | 246,300. |
| *b Approved for future payment* | | | | |
| NONE | | | | |
| | | | | |
| | | | | |
| **Total** | | | ▶ **3b** | 0. |

Form **990-PF** (2013)

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## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 355. | |
| 4 Dividends and interest from securities | | | 14 | 137,810. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a *Debt-financed property* | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | 14 | 92. | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 635. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 *Other revenue:* | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 138,892. | 0. |

13 **Total.** Add line 12, columns (b), (d), and (e) 13 138,892.

(See worksheet in line 13 instructions to verify calculations.)

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | |

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Signature of officer or trustee — Date 12/6/15 — Title Director

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| ANDERSEN TAX, LLC | | 02/02/15 | | P01330322 |

Firm's name ▶ ANDERSEN TAX, LLC — Firm's EIN ▶ 33-1197384

Firm's address ▶ 125 HIGH STREET, 16TH FLOOR
BOSTON, MA 02110

Phone no. (617) 292-8400

Form **990-PF** (2013)

**Part XV** **Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| FEFLOWSHIP OF CHRISTIAN ATHLETES - CHEY -SWEEN<br>P.O. BOX 5037<br>CHEYENNE , WY 82003 | | 501(C)(3) | GENERAL | 9,000. |
| FELLOWSHIP OF CHRISTIAN ATHLETES- UW- FRUDE<br>P.O. BOX 5037<br>CHEYENNE , WY 82003 | | 501(C)(3) | GENERAL | 4,500. |
| FOCUS ON THE FAMILY - GENERAL FUND<br>P.O. BOX 35500<br>COLORADO SPRINGS , CO 80935-9959 | | 501(C)(3) | GENERAL | 9,000. |
| FOCUS ON THE FAMILY- ULTRASOUND<br>P.O. BOX 35500<br>COLORADO SPRINGS , CO 80935-9959 | | 501(C)(3) | GENERAL | 6,000. |
| FOCUS ON THE FAMILY - ADOPTION/ORPHAN CARE<br>P.O. BOX 35500<br>COLORADO SPRINGS , CO 80935-9959 | | 501(C)(3) | GENERAL | 4,500. |
| LIFECHOICE PCC OF CHEYENNE<br>853 HASKELL ST<br>RENO , NV 89509 | | 501(C)(3) | GENERAL | 7,200. |
| SERENITY PRC<br>P.O. BOX 873<br>CHEYENNE , WY 80901 | | 501(C)(3) | GENERAL | 1,200. |
| THE NAVIGATORS<br>P.O. BOX 6000<br>COLORADO SPRINGS , CO 80934 | | 501(C)(3) | GENERAL | 103,575. |
| YOUNG LIFE- CODY- WY22 PRYOR<br>P.O. BOX 2920<br>COLORADO SPRINGS , CO 80901 | | 501(C)(3) | GENERAL | 6,600. |
| YOUNG LIFE- CHEYENNE - WY32 HOUSMAN<br>P.O. BOX 2920<br>COLORADO SPRINGS , CO 80901 | | 501(C)(3) | GENERAL | 6,000. |
| **Total from continuation sheets** | | | | 205,500. |

**Part XV** **Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| SIM USA - ACCT NO:<br>064560-001-ABDOUSSALAMES<br>P.O. BOX 7900<br>CHARLOTTE , NC 28241 | | 501(C)(3) | GENERAL | 10,800. |
| WYCLIFFE - ACCT NO:<br>221333-GRANDOUILLER<br>P.O. BOX 620368<br>ORLANDO , FL 32862 | | 501(C)(3) | GENERAL | 6,000. |
| WYCLIFFE - ACCT NO: 43400-FNT 253<br>#4390-MAXMUDUN<br>P.O. BOX 620368<br>ORLANDO , FL 32862 | | 501(C)(3) | GENERAL | 6,000. |
| NEW HORIZONS FOUNDATION - PROJECT<br>UPGRADE - MCCULLEN<br>4570 HILTON PKWY<br>COLORADO SPRINGS , CO 80907 | | 501(C)(3) | GENERAL | 3,675. |
| THE NAVIGATORS - INTERNATIONAL<br>P.O. BOX 6000<br>COLORADO SPRINGS , CO 80934 | | 501(C)(3) | GENERAL | 21,450. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| **Total from continuation sheets** | | | | |

323631
05-01-13

**Schedule B**
**(Form 990, 990-EZ, or 990-PF)**
Department of the Treasury
Internal Revenue Service

# Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990* .

OMB No 1545-0047

**2013**

| **Name of the organization** | **Employer identification number** |
|---|---|
| THE RICHARDSON FAMILY FOUNDATION | 83-0310875 |

**Organization type** (check one):

| **Filers of:** | **Section:** |
|---|---|
| Form 990 or 990-EZ | ☐ 501(c)( ) (enter number) organization |
| | ☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation |
| | ☐ 527 political organization |
| Form 990-PF | ☒ 501(c)(3) exempt private foundation |
| | ☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation |
| | ☐ 501(c)(3) taxable private foundation |

Check if your organization is covered by the **General Rule** or a **Special Rule.**
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

**General Rule**

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of $5,000 or more during the year ▶ $ ____________

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA **For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.** **Schedule B (Form 990, 990-EZ, or 990-PF) (2013)**

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10-24-13

| Name of organization | Employer identification number |
|---|---|
| THE RICHARDSON FAMILY FOUNDATION | 83-0310875 |

**Part I** **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution |
|---|---|---|---|
| 1 | SIERRA TRADING POST<br>5025 CAMPSTOOL ROAD<br>CHEYENNE, WY 82007 | $ 15,117. | Person ☒<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions.) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions.) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions.) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions.) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions.) |
| | | $ | Person ☐<br>Payroll ☐<br>Noncash ☐<br>(Complete Part II for noncash contributions.) |

| Name of organization | Employer identification number |
|---|---|
| THE RICHARDSON FAMILY FOUNDATION | 83-0310875 |

**Part II** **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

| (a) No. from Part I | (b) Description of noncash property given | (c) FMV (or estimate) (see instructions) | (d) Date received |
|---|---|---|---|
| | | $ | |

**Name of organization**

THE RICHARDSON FAMILY FOUNDATION

**Employer identification number**

83-0310875

**Part III** *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once) ▶ $____________

Use duplicate copies of Part III if additional space is needed.

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

| (a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
|---|---|---|---|
| | | | |

(e) Transfer of gift

| Transferee's name, address, and ZIP + 4 | Relationship of transferor to transferee |
|---|---|
| | |

CONTINUATION FOR 990-PF, PART IV

THE RICHARDSON FAMILY FOUNDATION 83-0310875 PAGE 1 OF 1

**Part IV** Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co. | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | FROM K-1 SHORT TERM | P | VARIOUS | |
| b | FROM K-1 LONG TERM | P | VARIOUS | |
| c | GS 8133 - SEE ATTACHED | P | VARIOUS | |
| d | GS 4351 - SEE ATTACHED | P | VARIOUS | |
| e | GS 4260 - SEE ATTACHED | P | VARIOUS | |
| f | GS 4278 - 1300 SHS MSCI EMERGING MARKETS | P | 07/11/12 | 10/07/13 |
| g | GS 4278 - 23148 SHS VIRTUS EMERGING MARKETS | P | 05/02/13 | 10/07/13 |
| h | GS 4278 - 23764 SHS VIRTUS EMERGING MARKETS | P | 02/01/13 | 10/07/13 |
| i | GS 4286 - SEE ATTACHED | P | VARIOUS | |
| j | GS 4245 - SEE ATTACHED | P | VARIOUS | |
| k | GS 4245 - SEE ATTACHED | P | VARIOUS | |
| l | GS 4245 - 38 SHS THE TRAVELERS COMAPANIES | P | 03/01/13 | 03/24/14 |
| m | GS 4286 - SEE ATTACHED | P | VARIOUS | |
| n | GS 4351 - SEE ATTACHED | P | VARIOUS | |
| o | GS 4260 - C/G DIST | P | VARIOUS | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | 2,253. | <2,253.> |
| b | 22,652. | | | 22,652. |
| c | 112,142. | | 105,686. | 6,456. |
| d | 273,429. | | 266,535. | 6,894. |
| e | 735,089. | | 788,628. | <53,539.> |
| f | 54,196. | | 49,792. | 4,404. |
| g | 224,537. | | 250,000. | <25,463.> |
| h | 230,513. | | 250,000. | <19,487.> |
| i | 231,739. | | 198,371. | 33,368. |
| j | 322,973. | | 315,415. | 7,558. |
| k | 44,914. | | 40,724. | 4,190. |
| l | 3,190. | | 3,046. | 144. |
| m | 61,591. | | 45,782. | 15,809. |
| n | 45,754. | | 47,131. | <1,377.> |
| o | 1,279. | | | 1,279. |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-") |
|---|---|---|---|---|
| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| a | | | | <2,253.> |
| b | | | | 22,652. |
| c | | | | 6,456. |
| d | | | | 6,894. |
| e | | | | <53,539.> |
| f | | | | 4,404. |
| g | | | | <25,463.> |
| h | | | | <19,487.> |
| i | | | | 33,368. |
| j | | | | 7,558. |
| k | | | | 4,190. |
| l | | | | 144. |
| m | | | | 15,809. |
| n | | | | <1,377.> |
| o | | | | 1,279. |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 } | 2 | 635. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 | 3 | N/A |

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|
| GS 4245 | 13. | 13. | |
| GS 4245 - JAN - MAR 2013 | <6.> | <6.> | |
| GS 4245 - JAN - MAR 2013 | 0. | 0. | |
| GS 4245 - JAN - MAR 2014 | 1. | 1. | |
| GS 4260 | 29. | 29. | |
| GS 4260 - JAN - MAR 2014 | 2. | 2. | |
| GS 4278 | 40. | 40. | |
| GS 4278 - JAN - MAR 2013 | <18.> | <18.> | |
| GS 4278 - JAN - MAR 2014 | 3. | 3. | |
| GS 4286 | 27. | 27. | |
| GS 4286 - JAN - MAR 2013 | <7.> | <7.> | |
| GS 4286 - JAN - MAR 2014 | 5. | 5. | |
| GS 4336 | 5. | 5. | |
| GS 4336 - JAN - MAR 2013 | <5.> | <5.> | |
| GS 4336 - JAN - MAR 2013 | <15.> | <15.> | |
| GS 4336 - JAN - MAR 2014 | 0. | 0. | |
| GS 4351 | 11. | 11. | |
| GS 4351 - JAN - MAR 2013 | <6.> | <6.> | |
| GS 4351 - JAN - MAR 2014 | 2. | 2. | |
| GS 4435 | 16. | 16. | |
| GS 4435 - JAN - MAR 2013 | <10.> | <10.> | |
| GS 4435 - JAN - MAR 2014 | 2. | 2. | |
| GS 8133 | 1,264. | 1,264. | |
| GS 8133 - JAN - MAR 2013 | <1,022.> | <1,022.> | |
| GS 8133 - JAN - MAR 2014 | 2. | 2. | |
| GS 8141 | 6. | 6. | |
| GS 8141 - JAN - MAR 2014 | 1. | 1. | |
| FROM K-1: CORSAIR CAPITAL | 15. | 15. | |
| TOTAL TO PART I, LINE 3 | 355. | 355. | |

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|---|---|
| GS 4245 | 9,562. | 0. | 9,562. | 9,562. | |
| GS 4245 - JAN - MAR 2013 | <51.> | 0. | <51.> | <51.> | |
| GS 4245 - JAN - MAR 2014 | 9,310. | 0. | 9,310. | 9,310. | |
| GS 4260 | 42,568. | 0. | 42,568. | 42,568. | |

| | | | | | |
|---|---|---|---|---|---|
| GS 4260 - JAN - MAR 2013 | <7,571.> | 0. | <7,571.> | <7,571.> | |
| GS 4260 - JAN - MAR 2014 | 11,362. | 0. | 11,362. | 11,362. | |
| GS 4278 | 33,591. | 0. | 33,591. | 33,591. | |
| GS 4278 - JAN - MAR 2013 | <2,179.> | 0. | <2,179.> | <2,179.> | |
| GS 4278 - JAN - MAR 2014 | 5,957. | 0. | 5,957. | 5,957. | |
| GS 4286 | 4,136. | 0. | 4,136. | 4,136. | |
| GS 4286 - JAN - MAR 2013 | 0. | 0. | 0. | 0. | |
| GS 4286 - JAN - MAR 2014 | 1,099. | 0. | 1,099. | 1,099. | |
| GS 4351 | 10,234. | 0. | 10,234. | 10,234. | |
| GS 4351 - JAN - MAR 2014 | 4,953. | 0. | 4,953. | 4,953. | |
| GS 8133 | 2,436. | 0. | 2,436. | 2,436. | |
| GS 8133 - JAN - MAR 2013 | <1,499.> | 0. | <1,499.> | <1,499.> | |
| FROM K-1: CORSAIR CAPITAL | 1,210. | 0. | 1,210. | 1,210. | |
| FROM K-1: GS TACS | 12,692. | 0. | 12,692. | 12,692. | |
| TO PART I, LINE 4 | 137,810. | 0. | 137,810. | 137,810. | |

FORM 990-PF OTHER INCOME STATEMENT 3

| DESCRIPTION | (A) REVENUE PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|
| NONDIVIDEND DISTRIBUTIONS | 114. | 114. | |
| OTHER PORTFOLIO INCOME K-1: CORSAIR | <22.> | <22.> | |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 92. | 92. | |

FORM 990-PF ACCOUNTING FEES STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| ACCOUNTING FEES | 831. | 0. | | 0. |
| TO FORM 990-PF, PG 1, LN 16B | 831. | 0. | | 0. |

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 5

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| INVESTMENT FEES | 19,881. | 19,881. | | 0. |
| INVESTMENT FEES K-1 | 6,761. | 6,761. | | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 26,642. | 26,642. | | 0. |

FORM 990-PF TAXES STATEMENT 6

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| 2012 TAXES PAID IN 2013 | 900. | 0. | | 0. |
| FOREIGN TAX WITHHELD | 2,228. | 2,228. | | 0. |
| RECLAIMABLE FOREIGN TAX | 347. | 0. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 3,475. | 2,228. | | 0. |

FORM 990-PF OTHER EXPENSES STATEMENT 7

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| NONDEDUCTIBLE EXPENSES K-1: | | | | |
| GS TAC | 4. | 0. | | 0. |
| SERVICE CHARGE | 208. | 0. | | 0. |
| MEETING EXPENSE | 99. | 0. | | 0. |
| WAGES | 3,563. | 0. | | 0. |
| MEALS AND ENTERTAINMEN | 220. | 0. | | 0. |
| SHIPPING & POSTAGE | 156. | 0. | | 0. |
| TRAVEL | 1,604. | 0. | | 0. |
| VEHICLE EXPENSE - FUEL | 111. | 0. | | 0. |
| FEES & LICENSES | 27. | 0. | | 0. |
| OFFICE SUPPLIES | 283. | 0. | | 0. |
| MISC EXPENSES | 21. | 0. | | 0. |
| CONSULTING FEES | 2,400. | 0. | | 0. |
| TO FORM 990-PF, PG 1, LN 23 | 8,696. | 0. | | 0. |

FORM 990-PF CORPORATE STOCK STATEMENT 8

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| INVESTMENTS - CORPORATE STOCK | 2,929,609. | 3,301,758. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 2,929,609. | 3,301,758. |

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| INVESTMENTS - FIXED INCOME | COST | 1,189,372. | 1,182,331. |
| INVESTMENTS - OTHER | COST | 1,009,805. | 1,122,172. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 2,199,177. | 2,304,503. |

FORM 990-PF PART XV - LINE 1A STATEMENT 10
LIST OF FOUNDATION MANAGERS

NAME OF MANAGER

KEITH RICHARDSON
ROBERTA RICHARDSON

# REALIZED GAINS AND LOSSES

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## REALIZED GAIN AND LOSS SUMMARY

| SHORT-TERM GAINS (LOSSES) | | LONG-TERM GAINS (LOSSES) | | ORDINARY GAINS (LOSSES) | |
|---|---|---|---|---|---|
| Net Covered Short-Term Gains (Losses) | 6,455 19 | Net Covered Long-Term Gains (Losses) | 0 00 | Net Ordinary Gains (Losses) | 0 00 |
| Net NonCovered Short-Term Gains (Losses) | 0 00 | Net NonCovered Long-Term Gains (Losses) | 0 00 | | |
| Net 1099B Non Reportable Short-Term Gains (Losses) | 0 00 | Net 1099B Non Reportable Long-Term Gains (Losses) | 0 00 | | |
| Net Miscellaneous Short-Term Gains (Losses) | 0 00 | Net Miscellaneous Long-Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| Net Regulated Futures Contract Short-Term Gains (Losses) | 0 00 | Net Regulated Futures Contract Long-Term Gains (Losses) | 0 00 | | |
| **Total Short-Term Gains (Losses)** | **6,455.19** | **Total Long-Term Gains (Losses)** | **0 00** | **Total Ordinary Gains (Losses)** | **0.00** |

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| GS EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38143H886) | 10/31/2012 | 05/02/2013 | 0 34 | 4 59 | 0 00 | 4 68 | (0 09) |
| GS EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38143H886) | 01/31/2013 | 05/02/2013 | 1 75 | 23 85 | 0 00 | 23 65 | 0 20 |
| GS EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38143H886) | 07/31/2012 | 05/02/2013 | 3 32 | 45 29 | 0 00 | 45 00 | 0 29 |
| GS EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38143H886) | 10/31/2012 | 05/02/2013 | 5 03 | 68 63 | 0 00 | 70 14 | (1 51) |
| GS EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38143H886) | 09/28/2012 | 05/02/2013 | 5 71 | 77 89 | 0 00 | 79 31 | (1 42) |
| GS EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38143H886) | 08/31/2012 | 05/02/2013 | 5 73 | 78 20 | 0 00 | 78 42 | (0 22) |
| GS EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38143H886) | 11/30/2012 | 05/02/2013 | 6 24 | 85 19 | 0 00 | 88 07 | (2 88) |
| GS EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38143H886) | 04/30/2013 | 05/02/2013 | 7 15 | 97 64 | 0 00 | 97 06 | 0 58 |
| GS EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38143H886) | 03/28/2013 | 05/02/2013 | 7 58 | 103 48 | 0 00 | 100 75 | 2 73 |

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| GS EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38143H886) | 02/28/2013 | 05/02/2013 | 7 87 | 107 37 | 0 00 | 105 72 | 1 65 |
| GS EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38143H886) | 12/31/2012 | 05/02/2013 | 21 92 | 299 15 | 0 00 | 300 69 | (1 54) |
| GS EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38143H886) | 12/12/2012 | 05/02/2013 | 25 96 | 354 31 | 0 00 | 357 43 | (3 12) |
| GS EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38143H886) | 12/12/2012 | 05/02/2013 | 28 82 | 393 32 | 0 00 | 396 78 | (3 46) |
| GS EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES (38143H886) | 07/11/2012 | 05/02/2013 | 1,881 21 | 25,678 51 | 0 00 | 25,000 00 | 678 51 |
| GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679) | 12/12/2012 | 05/02/2013 | 6 15 | 46 39 | 0 00 | 45 04 | 1 35 |
| GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679) | 07/31/2012 | 05/02/2013 | 9 75 | 73 55 | 0 00 | 70 52 | 3 03 |
| GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679) | 09/28/2012 | 05/02/2013 | 19 21 | 144 86 | 0 00 | 140 63 | 4 23 |
| GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679) | 10/31/2012 | 05/02/2013 | 19 34 | 145 79 | 0 00 | 142 12 | 3 67 |
| GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679) | 08/31/2012 | 05/02/2013 | 19 41 | 146 32 | 0 00 | 140 89 | 5 43 |
| GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679) | 03/28/2013 | 05/02/2013 | 19 67 | 148 31 | 0 00 | 145 95 | 2 36 |
| GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679) | 04/30/2013 | 05/02/2013 | 19 69 | 148 46 | 0 00 | 147 87 | 0 59 |
| GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679) | 11/30/2012 | 05/02/2013 | 19 98 | 150 63 | 0 00 | 147 24 | 3 39 |
| GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679) | 01/31/2013 | 05/02/2013 | 20 21 | 152 38 | 0 00 | 148 95 | 3 43 |
| GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679) | 12/31/2012 | 05/02/2013 | 20 43 | 154 06 | 0 00 | 149 36 | 4 70 |
| GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679) | 02/28/2013 | 05/02/2013 | 20 56 | 155 04 | 0 00 | 151 54 | 3 50 |
| GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679) | 12/12/2012 | 05/02/2013 | 58 74 | 442 92 | 0 00 | 430 00 | 12 92 |
| GS HIGH YIELD FUND INSTITUTIONAL SHARES (38141W679) | 07/11/2012 | 05/02/2013 | 3,486 75 | 26,290 10 | 0 00 | 25,000 00 | 1,290 10 |
| GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646) | 07/31/2012 | 05/02/2013 | 8 27 | 88 53 | 0 00 | 82 24 | 6 29 |
| GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646) | 04/30/2013 | 05/02/2013 | 10 54 | 112 77 | 0 00 | 112 35 | 0 42 |
| GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646) | 10/31/2012 | 05/02/2013 | 15 91 | 170 24 | 0 00 | 162 76 | 7 48 |

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Goldman Sachs

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646) | 11/30/2012 | 05/02/2013 | 17 19 | 183 90 | 0 00 | 176 51 | 7 39 |
| GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646) | 02/28/2013 | 05/02/2013 | 17 20 | 184 05 | 0 00 | 179 92 | 4 13 |
| GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646) | 08/31/2012 | 05/02/2013 | 17 62 | 188 57 | 0 00 | 176 93 | 11 64 |
| GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646) | 09/28/2012 | 05/02/2013 | 18 11 | 193 80 | 0 00 | 184 38 | 9 42 |
| GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646) | 01/31/2013 | 05/02/2013 | 18 11 | 193 81 | 0 00 | 189 10 | 4 71 |
| GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646) | 03/28/2013 | 05/02/2013 | 24 02 | 256 96 | 0 00 | 251 20 | 5 76 |
| GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646) | 12/31/2012 | 05/02/2013 | 54 47 | 582 85 | 0 00 | 563 24 | 19 61 |
| GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES (38145C646) | 07/11/2012 | 05/02/2013 | 5,081 30 | 54,369 92 | 0 00 | 50,000 00 | 4,369 92 |
| **Net Covered Short-Term Gains (Losses)** | | | | **112,141.63** | **0.00** | **105,686.44** | **6,455.19** |

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

| Tax Year | Account No | Legal Name |
|---|---|---|
| 2013 | 010-70435-1 | THE RICHARDSON FAMILY |

# REALIZED GAINS AND LOSSES

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## REALIZED GAIN AND LOSS SUMMARY

| SHORT-TERM GAINS (LOSSES) | | LONG-TERM GAINS (LOSSES) | | ORDINARY GAINS (LOSSES) | |
|---|---|---|---|---|---|
| Net Covered Short-Term Gains (Losses) | 6 893 37 | Net Covered Long-Term Gains (Losses) | 0 00 | Net Ordinary Gains (Losses) | 0 00 |
| Net NonCovered Short-Term Gains (Losses) | 0 00 | Net NonCovered Long-Term Gains (Losses) | 0 00 | | |
| Net 1099B Non Reportable Short-Term Gains (Losses) | 0 00 | Net 1099B Non Reportable Long-Term Gains (Losses) | 0 00 | | |
| Net Miscellaneous Short-Term Gains (Losses) | 0 00 | Net Miscellaneous Long-Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| Net Regulated Futures Contract Short-Term Gains (Losses) | 0 00 | Net Regulated Futures Contract Long Term Gains (Losses) | 0 00 | | |
| **Total Short-Term Gains (Losses)** | **6,893.37** | **Total Long-Term Gains (Losses)** | **0 00** | **Total Ordinary Gains (Losses)** | **0.00** |

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| BERKSHIRE HATHAWAY INC CLASS B (084670702) | 03/04/2013 | 04/24/2013 | 34 00 | 3,626 42 | 0 00 | 3,426 15 | 200 27 |
| BERKSHIRE HATHAWAY INC CLASS B (084670702) | 03/27/2013 | 04/24/2013 | 45 00 | 4,799 68 | 0 00 | 4,644 45 | 155 23 |
| KINDER MORGAN MANAGEMENT, LLC CMN (49455U100) | 03/04/2013 | 04/25/2013 | 0 36 | 28 71 | 0 00 | 0 00 | 28 71 |
| LOWES COMPANIES INC CMN (548661107) | 03/04/2013 | 06/05/2013 | 30 00 | 1,203 01 | 0 00 | 1,142 51 | 60 50 |
| THE HERSHEY COMPANY CMN (427866108) | 03/04/2013 | 06/05/2013 | 12 00 | 1,059 45 | 0 00 | 1,008 12 | 51 33 |
| THE HERSHEY COMPANY CMN (427866108) | 03/27/2013 | 06/05/2013 | 18 00 | 1,589 17 | 0 00 | 1,561 68 | 27 49 |
| VERIZON COMMUNICATIONS INC CMN (92343V104) | 03/04/2013 | 06/05/2013 | 11 00 | 532 85 | 0 00 | 516 49 | 16 36 |
| WAL MART STORES INC CMN (931142103) | 03/04/2013 | 06/05/2013 | 14 00 | 1,056 20 | 0 00 | 1,014 76 | 41 44 |
| WAL MART STORES INC CMN (931142103) | 03/27/2013 | 06/05/2013 | 21 00 | 1,584 30 | 0 00 | 1,571 85 | 12 45 |
| SPDR S&P 500 ETF TRUST (78462F103) | 06/25/2013 | 07/01/2013 | 1,578 00 | 256,210 19 | 0 00 | 250,129 26 | 6,080 93 |
| NEWMARKET CORP CMN (651587107) | 03/04/2013 | 07/19/2013 | 6 00 | 1,651 79 | 0 00 | 1,519 87 | 131 92 |
| KINDER MORGAN MANAGEMENT, LLC CMN (49455U100) | 03/04/2013 | 07/29/2013 | 0 87 | 67 22 | 0 00 | 0 00 | 67 22 |
| KINDER MORGAN MANAGEMENT, LLC CMN (49455U100) | 03/04/2013 | 10/29/2013 | 0 26 | 19 52 | 0 00 | 0 00 | 19 52 |
| **Net Covered Short-Term Gains (Losses)** | | | | **273,428.51** | **0.00** | **266,535.14** | **6,893.37** |

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

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Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such

| Tax Year | Account No | Legal Name |
|---|---|---|
| **2013** | **010-70426-0** | **THE RICHARDSON FAMILY** |

# REALIZED GAINS AND LOSSES

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## REALIZED GAIN AND LOSS SUMMARY

| **SHORT-TERM GAINS (LOSSES)** | | **LONG-TERM GAINS (LOSSES)** | | **ORDINARY GAINS (LOSSES)** | |
|---|---|---|---|---|---|
| Net Covered Short-Term Gains (Losses) | (53,538 81) | Net Covered Long-Term Gains (Losses) | 0 00 | Net Ordinary Gains (Losses) | 0 00 |
| Net NonCovered Short-Term Gains (Losses) | 0 00 | Net NonCovered Long-Term Gains (Losses) | 0 00 | | |
| Net 1099B Non Reportable Short-Term Gains (Losses) | 0 00 | Net 1099B Non Reportable Long-Term Gains (Losses) | 0 00 | | |
| Net Miscellaneous Short-Term Gains (Losses) | 0 00 | Net Miscellaneous Long-Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| Net Regulated Futures Contract Short-Term Gains (Losses) | 0 00 | Net Regulated Futures Contract Long-Term Gains (Losses) | 0 00 | | |
| **Total Short-Term Gains (Losses)** | **(53,538 81)** | **Total Long-Term Gains (Losses)** | **0.00** | **Total Ordinary Gains (Losses)** | **0.00** |

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| HARTFORD EMERGING MARKETS LOCA MUTUAL FUND (41664M268) | 02/01/2013 | 04/10/2013 | 24,061 60 | 251,924 93 | 0 00 | 250,000 00 | 1,924 93 |
| PIMCO FUNDS PIMCO TOTAL RETURN II INSTL MUTUAL FUND CLASS INST SHARES (693390551) | 02/01/2013 | 09/05/2013 | 27,417 75 | 275,000 00 | 0 00 | 290,628 12 | (15,628 12) |
| STONE HARBOR LOCAL MARKETS I MUTUAL FUND (861647501) | 04/10/2013 | 09/05/2013 | 10,683 76 | 100,000 00 | 0 00 | 121,474 37 | (21,474 37) |
| STONE HARBOR LOCAL MARKETS I MUTUAL FUND (861647501) | 04/10/2013 | 12/16/2013 | 11,128 02 | 108,164 39 | 0 00 | 126,525 64 | (18,361 25) |
| **Net Covered Short-Term Gains (Losses)** | | | | **735,089.32** | **0.00** | **788,628.13** | **(53,538.81)** |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## REALIZED GAIN AND LOSS SUMMARY

| SHORT-TERM GAINS (LOSSES) | | *LONG-TERM GAINS (LOSSES)* | | *ORDINARY GAINS (LOSSES)* | |
|---|---|---|---|---|---|
| Net Covered Short-Term Gains (Losses) | 33 367 78 | Net Covered Long Term Gains (Losses) | 0 00 | Net Ordinary Gains (Losses) | 0 00 |
| Net NonCovered Short-Term Gains (Losses) | 0 00 | Net NonCovered Long-Term Gains (Losses) | 0 00 | | |
| Net 1099B Non Reportable Short-Term Gains (Losses) | 0 00 | Net 1099B Non Reportable Long-Term Gains (Losses) | 0 00 | | |
| Net Miscellaneous Short-Term Gains (Losses) | 0 00 | Net Miscellaneous Long-Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| *Net Regulated Futures Contract Short-Term Gains (Losses)* | 0 00 | *Net Regulated Futures Contract Long-Term Gains (Losses)* | 0 00 | | |
| **Total Short-Term Gains (Losses)** | **33,367 78** | **Total Long-Term Gains (Losses)** | **0 00** | **Total Ordinary Gains (Losses)** | **0.00** |

## SHORT-TERM GAINS (LOSSES)

| *Description (Product Identifier)* | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| BERKSHIRE HATHAWAY INC CLASS B (084670702) | 03/04/2013 | 04/04/2013 | 15 00 | 1,568 29 | 0 00 | 1,512 47 | 55 82 |
| HALLIBURTON COMPANY CMN (406216101) | 03/04/2013 | 04/08/2013 | 41 00 | 1,572 65 | 0 00 | 1,656 20 | (83 55) |
| HALLIBURTON COMPANY CMN (406216101) Disallowed Loss | | | | | | | 83 55 |
| NOVARTIS AG-ADR SPONSORED ADR CMN (66987V109) | 03/04/2013 | 04/08/2013 | 51 00 | 3,634 61 | 0 00 | 3,498 71 | 135 90 |
| BARRICK GOLD CORPORATION CMN (067901108) | 03/04/2013 | 04/12/2013 | 217 00 | 4,914 21 | 0 00 | 6,298 77 | (1,384 56) |
| THERMO FISHER SCIENTIFIC INC CMN (883556102) | 03/04/2013 | 04/16/2013 | 16 00 | 1,298 81 | 0 00 | 1,196 61 | 102 20 |
| SANOFI SPONSORED ADR CMN (80105N105) | 03/04/2013 | 04/17/2013 | 22 00 | 1,154 95 | 0 00 | 1,048 19 | 106 76 |
| CANADIAN PACIFIC RAILWAY LTD CMN (13645T100) | 03/04/2013 | 04/18/2013 | 20 00 | 2,401 30 | 0 00 | 2,505 72 | (104 42) |
| CANADIAN PACIFIC RAILWAY LTD CMN (13645T100) Disallowed Loss | | | | | | | 104.42 |
| SPDR S&P 500 ETF TRUST (78462F103) | 07/11/2012 | 05/03/2013 | 725 00 | 117,222 62 | 0 00 | 96,979 26 | 20,243 36 |
| INTL BUSINESS MACHINES CORP CMN (459200101) | 03/04/2013 | 05/13/2013 | 9 00 | 1,820 93 | 0 00 | 1,832 46 | (11 53) |
| INTL BUSINESS MACHINES CORP CMN (459200101) Disallowed Loss | | | | | | | 11 53 |
| INTL BUSINESS MACHINES CORP CMN (459200101) | 03/04/2013 | 05/23/2013 | 9 00 | 1,861 57 | 0 00 | 1,852 84 | 8 73 |
| INTL BUSINESS MACHINES CORP CMN (459200101) | 05/03/2013 | 05/23/2013 | 11 00 | 2,275 25 | 0 00 | 2,250 49 | 24 76 |
| INTL BUSINESS MACHINES CORP CMN (459200101) | 03/04/2013 | 05/23/2013 | 37 00 | 7,653 10 | 0 00 | 7,533 43 | 119 67 |
| MC DONALDS CORP CMN (580135101) | 05/03/2013 | 05/23/2013 | 23 00 | 2,327 81 | 0 00 | 2,371 99 | (44 18) |

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[5] *Sale Proceeds may have been adjusted by an option premium due to an option assignment*

Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, *and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein* All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| MC DONALDS CORP CMN (580135101) | 03/04/2013 | 05/23/2013 | 53 00 | 5,364 10 | 0 00 | 5,038 71 | 325 39 |
| MCKESSON CORPORATION CMN (58155Q103) | 03/04/2013 | 06/11/2013 | 28 00 | 3,184 21 | 0 00 | 3,002 40 | 181 81 |
| CVS CAREMARK CORPORATION CMN (126650100) | 03/04/2013 | 06/21/2013 | 66 00 | 3,823 15 | 0 00 | 3,390 22 | 432 93 |
| NCR CORPORATION CMN (62886E108) | 03/04/2013 | 06/27/2013 | 112 00 | 3,698 16 | 0 00 | 3,063 08 | 635 08 |
| MARKET VECTORS ETF TRUST GOLD MINERS INDEX FD ETF FUND (57060U100) | 03/04/2013 | 07/02/2013 | 81 00 | 1,910 94 | 0 00 | 2,969 46 | (1,058 52) |
| COACH INC CMN (189754104) | 05/03/2013 | 07/30/2013 | 45 00 | 2,395 16 | 0 00 | 2,630 70 | (235 54) |
| COACH INC CMN (189754104) | 04/04/2013 | 07/30/2013 | 100 00 | 5,322 59 | 0 00 | 5,068 52 | 254 07 |
| BAIDU, INC SPONSORED ADR CMN (056752108) | 05/13/2013 | 08/06/2013 | 22 00 | 2,967 45 | 0 00 | 2,059 03 | 908 42 |
| LOCKHEED MARTIN CORPORATION CMN (539830109) | 03/04/2013 | 09/18/2013 | 30 00 | 3,868 38 | 0 00 | 2,604 93 | 1,263 45 |
| LOCKHEED MARTIN CORPORATION CMN (539830109) | 05/03/2013 | 10/07/2013 | 49 00 | 6,060 69 | 0 00 | 4,985 75 | 1,074 94 |
| LOCKHEED MARTIN CORPORATION CMN (539830109) | 03/04/2013 | 10/07/2013 | 80 00 | 9,895 01 | 0 00 | 6,946 47 | 2,948 54 |
| MCKESSON CORPORATION CMN (58155Q103) | 03/04/2013 | 10/08/2013 | 20 00 | 2,694 20 | 0 00 | 2,144 57 | 549 63 |
| DOLLAR GENERAL CORPORATION CMN (256677105) | 03/04/2013 | 10/09/2013 | 50 00 | 2,813 03 | 0 00 | 2,401 03 | 412 00 |
| BERKSHIRE HATHAWAY INC CLASS B (084670702) | 03/04/2013 | 10/10/2013 | 67 00 | 7,643 96 | 0 00 | 6,755 68 | 888 28 |
| VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209) | 05/03/2013 | 11/11/2013 | 146 00 | 5,293 49 | 0 00 | 4,415 04 | 878 45 |
| VODAFONE GROUP PLC SPONSORED ADR CMN (92857W209) | 03/04/2013 | 11/11/2013 | 335 00 | 12,146 01 | 0 00 | 8,482 37 | 3,663 64 |
| ADVANCE AUTO PARTS, INC CMN (00751Y106) | 03/04/2013 | 12/20/2013 | 27 00 | 2,952 14 | 0 00 | 2,075 39 | 876 75 |
| **Net Covered Short-Term Disallowed Losses** | | | | | | | **199.50** |
| **Net Covered Short-Term Gains (Losses)** | | | | **231,738.77** | **0.00** | **198,570.49** | **33,367.78** |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

# REALIZED GAINS AND LOSSES

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## REALIZED GAIN AND LOSS SUMMARY

| SHORT-TERM GAINS (LOSSES) | | LONG-TERM GAINS (LOSSES) | | ORDINARY GAINS (LOSSES) | |
|---|---|---|---|---|---|
| Net Covered Short-Term Gains (Losses) | 7,636 09 | Net Covered Long-Term Gains (Losses) | 0 00 | Net Ordinary Gains (Losses) | 0 00 |
| *Net NonCovered Short-Term Gains (Losses)* | 0 00 | Net NonCovered Long-Term Gains (Losses) | 0 00 | | |
| Net 1099B Non Reportable Short-Term Gains (Losses) | 0 00 | Net 1099B Non Reportable Long-Term Gains (Losses) | 0 00 | | |
| Net Miscellaneous Short-Term Gains (Losses) | 0 00 | Net Miscellaneous Long-Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| Net Regulated Futures Contract Short-Term Gains (Losses) | 0 00 | Net Regulated Futures Contract Long-Term Gains (Losses) | 0 00 | | |
| **Total Short-Term Gains (Losses)** | **7,636.09** | **Total Long-Term Gains (Losses)** | **0 00** | **Total Ordinary Gains (Losses)** | **0 00** |

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|

### Covered Short-Term Gains (Losses)

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

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# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| ENI S P A SPON ADR SPONSORED ADR CMN (26874R108) | 04/05/2013 | 06/13/2013 | 56 00 | 2,480 25 | 0 00 | 2,555 68 | (75 43) |
| ENI S P A SPON ADR SPONSORED ADR CMN (26874R108) | 03/07/2013 | 06/13/2013 | 93 00 | 4,118 98 | 0 00 | 4,411 05 | (292 07) |
| ENI S P A SPON ADR SPONSORED ADR CMN (26874R108) | 04/05/2013 | 06/14/2013 | 79 00 | 3,535 22 | 0 00 | 3,605 34 | (70 12) |
| SPDR S&P 500 ETF TRUST (78462F103) | 06/25/2013 | 07/01/2013 | 1,578 00 | 254,985 57 | 0 00 | 250,129 26 | 4,856 31 |
| JOHNSON & JOHNSON CMN (478160104) | 03/27/2013 | 08/14/2013 | 19 00 | 1,722 45 | 0 00 | 1,535 58 | 186 87 |
| JOHNSON & JOHNSON CMN (478160104) | 03/04/2013 | 08/14/2013 | 67 00 | 6,073 90 | 0 00 | 5,154 31 | 919 59 |
| PPL CORPORATION CMN (69351T106) | 03/24/2013 | 10/18/2013 | 7 00 | 212 54 | 0 00 | 215 24 | (2 70) |
| PPL CORPORATION CMN (69351T106) | 03/04/2013 | 10/18/2013 | 156 00 | 4,736 48 | 0 00 | 4,816 96 | (80 48) |
| PPL CORPORATION CMN (69351T106) | 03/27/2013 | 10/18/2013 | 221 00 | 6,710 01 | 0 00 | 6,760 39 | (50 38) |
| PPL CORPORATION CMN (69351T106) | 07/01/2013 | 10/18/2013 | 371 00 | 11,264 32 | 0 00 | 11,057 13 | 207 19 |
| JOHNSON & JOHNSON CMN (478160104) | 03/27/2013 | 11/04/2013 | 79 00 | 7,311 58 | 0 00 | 6,384 78 | 926 80 |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds[5] | Total accretion (Amortization) | Cost Basis[4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| JOHNSON & JOHNSON CMN (478160104) | 07/01/2013 | 11/04/2013 | 159 00 | 14,715 71 | 0 00 | 13,853 67 | 862 04 |
| **Net Covered Short-Term Disallowed Losses** | | | | | | | **2.69** |
| **Net Covered Short-Term Gains (Losses)** | | | | **327,508.34** | **0.00** | **319,874.94** | **7,636.09** |

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[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

Select an Account or Group:
Richardson Family Foundation Wrap C88537... ⌄ go
› See what accounts are in this statement group

2. Choose An Existing View:
Realized Gains/Losses ⌄ go
› Customize this view or
› Create One

Choose a Date Range:
From Date: 01-Jan-2014 ▾
To Date: 31-Mar-2014 ▾ go

4 Search By Symbol/CUSIP:
go
Include Options: ☐

| Gain Type | Gains | Losses | Disallowed | Total |
|---|---|---|---|---|
| Short-Term | 24,973 55 | -6,354.37 | 0.00 | 18,619.18 |
| Long-Term | 143.74 | 0.00 | 0.00 | 143.74 |
| Total Realized Gains/Losses: | 25,117.29 | -6,354.37 | 0.00 | 18,762.92 |

**Realized Gains/Losses**

| Acct Name | Acct Number | Symbol | Description | Trade Date | Date Sold / Covered | Qty | Proceeds (Base) | Cost (Base) | Gain/Loss (Base) | Days Held | Gain Type |
|---|---|---|---|---|---|---|---|---|---|---|---|
| Richardson Fam Fdn US Equity 3 | 010-70424-5 | BA | BOEING COMPANY CMN | 04-Mar-2013 | 14 Jan-2014 | 27 | 3,771.22 | 2.065 790 | 1.705 43 | 316 | Short-Term |
| Richardson Fam Fdn US Equity 3 | 010-70424-5 | PEP | PEPSICO INC CMN | 04-Mar-2013 | 16-Jan-2014 | 62 | 5.116 10 | 4,730 600 | 385.50 | 318 | Short-Term |
| Richardson Fam Fdn US Equity 3 | 010-70424-5 | LOW | LOWES COMPANIES INC CMN | 01-Jul-2013 | 16-Jan-2014 | 127 | 6,104 97 | 5.253 990 | 850.98 | 199 | Short-Term |
| Richardson Fam Fdn US Equity 3 | 010-70424-5 | LOW | LOWES COMPANIES INC CMN | 27-Mar-2013 | 16 Jan-2014 | 78 | 3,749 51 | 2.975.700 | 773.81 | 295 | Short-Term |
| Richardson Fam Fdn US Equity 3 | 010-70424-5 | LOW | LOWES COMPANIES INC CMN | 04-Mar-2013 | 16 Jan 2014 | 53 | 2 547.74 | 2,020.320 | 527 42 | 318 | Short-Term |
| Richardson Fam Fdn US Equity 3 | 010-70424-5 | PEP | PEPSICO INC CMN | 27-Mar-2013 | 16-Jan-2014 | 90 | 7,426.60 | 7.013.700 | 412.90 | 295 | Short-Term |
| Richardson Fam Fdn US Equity 3 | 010-70424-5 | PEP | PEPSICO INC CMN | 01-Jul-2013 | 16-Jan-2014 | 148 | 12.212 63 | 12,149 610 | 63.02 | 199 | Short-Term |
| Richardson Fam Fdn US Equity 3 | 010-70424-5 | VZ | VERIZON COMMUNICATIONS INC. CMN | 24-Feb-2014 | 24-Feb-2014 | 0.95 | 45.82 | 0.000 | 45 82 | 0 | Short-Term |
| Richardson Fam Fdn US Equity 3 | 010-70424-5 | VOD | VODAFONE GROUP PLC ADR CMN | 04-Mar-2013 | 27-Feb-2014 | 0.55 | 20.50 | 0 000 | 20.50 | 360 | Short-Term |
| Richardson Fam Fdn US Equity 3 | 010-70424-5 | VOD | VODAFONE GROUP PLC ADR CMN | 04-Mar-2013 | 03-Mar-2014 | 97 | 3,916.95 | 4.513.060 | -596 11 | 364 | Short-Term |
| Richardson Fam Fdn US Equity 3 | 010-70424-5 | TRV | THE TRAVELERS COMPANIES, INC CMN | 04-Mar-2013 | 24-Mar-2014 | 38 | 3.189.74 | 3,046 000 | 143 74 | 385 | Long Term |
| Richardson Fam Fdn US Equity 1 | 010-70428-6 | MCK | MCKESSON CORPORATION CMN | 04-Mar-2013 | 27-Jan-2014 | 67 | 11.664 62 | 7,184.320 | 4,480.30 | 329 | Short-Term |
| Richardson Fam Fdn US Equity 1 | 010-70428-6 | CHKP | CHECK POINT SOFTWARE TECH. LTD ORDINARY SHARES | 04-Mar-2013 | 06-Feb-2014 | 176 | 11.255.97 | 9.120.570 | 2.135 40 | 339 | Short-Term |
| Richardson Fam Fdn US Equity 1 | 010-70428-6 | TMO | THERMO FISHER SCIENTIFIC INC CMN | 04-Mar-2013 | 07-Jan-2014 | 64 | 7,164.20 | 4.786 420 | 2.377.78 | 309 | Short-Term |
| Richardson Fam Fdn US Equity 1 | 010-70428-6 | TMO | THERMO FISHER SCIENTIFIC INC CMN | 03-May-2013 | 07-Jan-2014 | 29 | 3,246 28 | 2,390 760 | 855.52 | 249 | Short-Term |
| Richardson Fam Fdn US Equity 1 | 010-70428-6 | MCK | MCKESSON CORPORATION CMN | 03-May-2013 | 27-Jan-2014 | 50 | 8,704.94 | 5,400.000 | 3.304 94 | 269 | Short-Term |
| Richardson Fam Fdn US Equity 1 | 010-70428-6 | CHKP | CHECK POINT SOFTWARE TECH. LTD ORDINARY SHARES | 04-Mar-2013 | 31-Jan-2014 | 28 | 1,841.06 | 1,451.000 | 390.06 | 333 | Short-Term |
| Richardson Fam Fdn US Equity 1 | 010-70428-6 | CHKP | CHECK POINT SOFTWARE TECH. LTD ORDINARY SHARES | 03-May-2013 | 06-Feb-2014 | 89 | 5.691 94 | 4,220 380 | 1,471.56 | 279 | Short-Term |
| Richardson Fam Fdn US Equity 1 | 010-70428-6 | AGN | ALLERGAN INC CMN | 04-Sep-2013 | 10-Feb-2014 | 33 | 4,012.60 | 2,934.250 | 1.078.35 | 159 | Short-Term |
| Richardson Fam Fdn US Equity 1 | 010-70428-6 | OIS | OIL STS INTL INC CMN | 16-Sep-2013 | 21-Mar-2014 | 82 | 8.008.79 | 8,294.850 | -286.06 | 186 | Short-Term |
| Richardson Fam Fdn US Equity 2 | 010-70435-1 | BMY | BRISTOL-MYERS SQUIBB COMPANY CMN | 27-Mar-2013 | 10-Jan-2014 | 116 | 6,451.50 | 4,713.760 | 1,737.74 | 289 | Short-Term |
| Richardson Fam Fdn US Equity 2 | 010-70435-1 | BMY | BRISTOL-MYERS SQUIBB COMPANY CMN | 04-Mar-2013 | 10-Jan-2014 | 106 | 5,895.34 | 3,895.070 | 2,000 27 | 312 | Short-Term |
| Richardson Fam Fdn US Equity 2 | 010-70435-1 | KMR | KINDER MORGAN MANAGEMENT, LLC CMN | 04-Mar-2013 | 29-Jan-2014 | 0.478 | 33.56 | 0 000 | 33.56 | 331 | Short-Term |
| Richardson Fam Fdn US Equity 2 | 010-70435-1 | MWV | MEADWESTVACO CORP CMN | 04-Mar-2013 | 11-Feb-2014 | 114 | 4,077 49 | 4,039.540 | 37.95 | 344 | Short-Term |
| Richardson Fam Fdn US Equity 2 | 010-70435-1 | MWV | MEADWESTVACO CORP CMN | 27-Mar-2013 | 11-Feb-2014 | 164 | 5 865 86 | 5,914.480 | -48.62 | 321 | Short-Term |
| Richardson Fam Fdn US Equity 2 | 010-70435-1 | MWV | MEADWESTVACO CORP CMN | 01-Jul 2013 | 11-Feb-2014 | 268 | 9,585.68 | 9,300.940 | 284 74 | 225 | Short-Term |
| Richardson Fam Fdn US Equity 2 | 010-70435-1 | HTS | HATTERAS FINANCIAL CORP CMN | 04-Mar-2013 | 11-Feb-2014 | 154 | 2,881.03 | 4,113 250 | -1.232 22 | 344 | Short-Term |
| Richardson Fam Fdn US Equity 2 | 010-70435-1 | HTS | HATTERAS FINANCIAL CORP. CMN | 27-Mar-2013 | 11-Feb-2014 | 222 | 4,153 17 | 6,074 360 | -1,921.19 | 321 | Short-Term |
| Richardson Fam Fdn US Equity 2 | 010-70435-1 | HTS | HATTERAS FINANCIAL CORP. CMN | 01-Jul-2013 | 11-Feb-2014 | 364 | 6 809.70 | 9,079.870 | -2,270 17 | 225 | Short-Term |
| TOTAL | | | | | | | 155,445.51 | | 18,762.92 | | |

- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ ☒

**Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

## Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

**Enter filer's identifying number, see instructions**

**Type or print**

File by the due date for filing your return See instructions

Name of exempt organization or other filer, see instructions.
THE RICHARDSON FAMILY FOUNDATION

Employer identification number (EIN) or
83-0310875

Number, street, and room or suite no. If a P.O. box, see instructions.
P.O. BOX 4007

Social security number (SSN)

City, town or post office, state, and ZIP code. For a foreign address, see instructions.
CHEYENNE, WY 82003

Enter the Return code for the return that this application is for (file a separate application for each return) 04

| Application Is For | Return Code | Application Is For | Return Code |
|---|---|---|---|
| Form 990 or Form 990-EZ | 01 | | |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

**STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.**

- The books are in the care of ▶ KEITH W. RICHARDSON
  P.O. BOX 4007 - CHEYENNE, WY 82003
  Telephone No. ▶ 307-775-8050 Fax No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______. If this is for the whole group, check this box ▶ ☐. If it is for part of the group, check this box ▶ ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until FEBRUARY 15, 2015.

5 For calendar year ______, or other tax year beginning APR 1, 2013, and ending MAR 31, 2014.

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

7 State in detail why you need the extension
WE HAVE NOT RECEIVED ALL NECESSARY INFORMATION TO FILE A COMPLETE AND ACCURATE RETURN.

| | | | |
|---|---|---|---|
| 8a | If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions. | 8a | $ 5,319. |
| b | If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868. | 8b | $ 5,319. |
| c | **Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions. | 8c | $ 0. |

**Signature and Verification must be completed for Part II only.**

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Title ▶ Date ▶

Form **8868** (Rev. 1-2014)

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12-31-13