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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

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- Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

For calendar year 2013, or tax year beginning 4/01, 2013, and ending 3/31, 2014

THE GOEL FOUNDATION
98 RIDGEVIEW DRIVE
ATHERTON, CA 94027

G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) J Accounting method: Cash Accrual
 Other (specify) ► \$ 7,913,188. (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| R E V E N U E | 1 Contributions, gifts, grants, etc, received (att sch) | | | | |
| | 2 Ck ► <input checked="" type="checkbox"/> if the foundn is not req to att Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 152,205. | 152,205. | N/A | |
| | 4 Dividends and interest from securities | 67,229. | 67,229. | | |
| | 5 a Gross rents | -15,498. | -15,498. | | |
| | b Net rental income or (loss) | -15,498. | | | |
| | 6 a Net gain/(loss) from sale of assets not on line 10 | 31,115. | | | |
| | b Gross sales price for all assets on line 6a. 3,065,673. | | 31,115. | | |
| | 7 Capital gain net income (from Part IV, line 2) | | | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10 a Gross sales less returns and allowances | | | | |
| b Less Cost of goods sold | | | | | |
| c Gross profit/(loss) (att sch) | | | | | |
| 11 Other income (attach schedule) | | | | | |
| SEE STATEMENT 1 | | 32. | | | |
| 12 Total. Add lines 1 through 11 | 235,083. | 235,051. | | | |
| A D M I N I S T R A T U R E O P E R A T I V E A N D E X P E N S E S | 13 Compensation of officers, directors, trustees, etc. | 0. | | | |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16 a Legal fees (attach schedule) | | | | |
| | b Accounting fees (attach sch) SEE ST 2 | 4,715. | 2,358. | 2,357. | |
| | c Other prof fees (attach sch) SEE ST 3 | 30,585. | 30,585. | | |
| | 17 Interest | | | | |
| | 18 Taxes (attach schedule)(see instrs) SEE STM 4 | 14,766. | | | |
| | 19 Depreciation (attach sch) and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses (attach schedule) SEE STATEMENT 5 | 26,439. | 26,427. | | |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 76,505. | 59,370. | 2,357. | |
| 25 Contributions, gifts, grants paid PART XV | 68,722. | | 68,722. | | |
| 26 Total expenses and disbursements. Add lines 24 and 25 | 145,227. | 59,370. | 71,079. | | |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | 89,856. | | | | |
| b Net investment income (if negative, enter -0-) | | 175,681. | | | |
| c Adjusted net income (if negative, enter -0-) | | | | | |

| Part II | Balance Sheets | Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions) | Beginning of year | End of year | |
|-----------------|---------------------------------------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------|-------------------|----------------|-----------------------|
| | | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| ASSETS | 1 Cash – non-interest-bearing | | 9,865. | 90,777. | 90,777. |
| | 2 Savings and temporary cash investments | | | | |
| | 3 Accounts receivable | 1,956,750. | | | |
| | Less: allowance for doubtful accounts | | 1,956,750. | 1,956,750. | 1,956,750. |
| | 4 Pledges receivable | | | | |
| | Less: allowance for doubtful accounts | | | | |
| | 5 Grants receivable | | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | | |
| | 7 Other notes and loans receivable (attach sch) | | | | |
| | Less: allowance for doubtful accounts | | | | |
| | 8 Inventories for sale or use | | | | |
| | 9 Prepaid expenses and deferred charges | | | | |
| | 10a Investments – U.S. and state government obligations (attach schedule) | | | | |
| | b Investments – corporate stock (attach schedule) STATEMENT 6 | 4,987,957. | 3,463,192. | 3,850,019. | |
| | c Investments – corporate bonds (attach schedule) | | | | |
| LIABILITIES | 11 Investments – land, buildings, and equipment: basis | | | | |
| | Less: accumulated depreciation (attach schedule) | | | | |
| | 12 Investments – mortgage loans | | | | |
| | 13 Investments – other (attach schedule) STATEMENT 7 | 170,500. | 1,704,209. | 2,015,642. | |
| | 14 Land, buildings, and equipment: basis | | | | |
| | Less: accumulated depreciation (attach schedule) | | | | |
| | 15 Other assets (describe) | | | | |
| | 16 Total assets (to be completed by all filers – see the instructions Also, see page 1, item I) | 7,125,072. | 7,214,928. | 7,913,188. | |
| | 17 Accounts payable and accrued expenses | | | | |
| | 18 Grants payable | | | | |
| | 19 Deferred revenue | | | | |
| | 20 Loans from officers, directors, trustees, & other disqualified persons | | | | |
| | 21 Mortgages and other notes payable (attach schedule) | | | | |
| | 22 Other liabilities (describe) | | | | |
| | 23 Total liabilities (add lines 17 through 22) | 0. | 0. | | |
| NET FUND ASSETS | Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. | <input type="checkbox"/> | | | |
| | 24 Unrestricted | | | | |
| | 25 Temporarily restricted | | | | |
| | 26 Permanently restricted | | | | |
| | Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. | <input checked="" type="checkbox"/> | | | |
| | 27 Capital stock, trust principal, or current funds | | | | |
| | 28 Paid-in or capital surplus, or land, building, and equipment fund | | | | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 7,125,072. | 7,214,928. | | |
| | 30 Total net assets or fund balances (see instructions) | 7,125,072. | 7,214,928. | | |
| | 31 Total liabilities and net assets/fund balances (see instructions) | 7,125,072. | 7,214,928. | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 7,125,072. |
| 2 Enter amount from Part I, line 27a | 2 | 89,856. |
| 3 Other increases not included in line 2 (itemize) | 3 | |
| 4 Add lines 1, 2, and 3 | 4 | 7,214,928. |
| 5 Decreases not included in line 2 (itemize) | 5 | |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30 | 6 | 7,214,928. |

Part IV Capital Gains and Losses for Tax on Investment Income

| | | | | | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------|-----------------------------------------------------|-------------------------------------------------------------------------------------------------------|-----------------------------------------|-------------------------------------|
| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company) | | | (b) How acquired P — Purchase D — Donation | (c) Date acquired (month, day, year) | (d) Date sold (month, day, year) |
| 1a SEE STATEMENT 8 | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | | | |
| (i) Fair Market Value as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of column (i) over column (j), if any | (l) Gains (Column (h)) gain minus column (k), but not less than -0- or Losses (from column (h)) | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 2 Capital gain net income or (net capital loss). If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 | | | 2 | 31,115. | |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 | | | 3 | 29,701. | |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (column (b) divided by column (c)) |
|-------------------------------------------------------------------------|------------------------------------------|-------------------------------------------------|-----------------------------------------------------------------|
| 2012 | 379,725. | 5,376,811. | 0.070623 |
| 2011 | 374,743. | 5,883,712. | 0.063692 |
| 2010 | 376,289. | 5,883,712. | 0.063954 |
| 2009 | 340,520. | 7,159,403. | 0.047563 |
| 2008 | 395,329. | 7,230,161. | 0.054678 |

| | | |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 2 Total of line 1, column (d) | 2 | 0.300510 |
| 3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.060102 |
| 4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | 4 | 5,760,174. |
| 5 Multiply line 4 by line 3 | 5 | 346,198. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 1,757. |
| 7 Add lines 5 and 6 | 7 | 347,955. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 71,079. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)1 a Exempt operating foundations described in section 4940(d)(2), check here and enter 'N/A' on line 1.

Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)

b Domestic foundations that meet the section 4940(e) requirements in Part V, check here and enter 1% of Part I, line 27b.

c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)

2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

3 Add lines 1 and 2

4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)

5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-

6 Credits/Payments:

a 2013 estimated tax pmts and 2012 overpayment credited to 2013

6a 5,745.

b Exempt foreign organizations – tax withheld at source

6b

c Tax paid with application for extension of time to file (Form 8868)

6c

d Backup withholding erroneously withheld

6d

7 Total credits and payments. Add lines 6a through 6d

7 5,745.

8 Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached

8

9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed

9 0.

10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid

10 2,231.

11 Enter the amount of line 10 to be Credited to 2014 estimated tax

2,231. Refunded

11 0.

Part VIIA Statements Regarding Activities

1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

1a Yes X

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?

1b Yes X

If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities

c Did the foundation file Form 1120-POL for this year?

1c Yes X

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year.

(1) On the foundation ► \$ 0. (2) On foundation managers ► \$ 0.

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ 0.

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

2 Yes X

If 'Yes,' attach a detailed description of the activities.

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes

3 Yes X

4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

4a Yes X

b If 'Yes,' has it filed a tax return on Form 990-T for this year?

4b N/A

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

5 Yes X

If 'Yes,' attach the statement required by General Instruction T.

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either

• By language in the governing instrument, or

6 Yes X

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV

7 Yes X

8 a Enter the states to which the foundation reports or with which it is registered (see instructions)

CA

8b Yes X

b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV

9 Yes X

10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses

10 Yes X

Part VIIF **Statements Regarding Activities (continued)**

| | | |
|--------------------------------------------------------------------------------------------------------------------------------------|-------------------------------------|----------------------------|
| 11 | | X |
| 12 | | X |
| 13 | | X |
| Website address ► N/A | | |
| 14 | Telephone no. ► 650-823-2884 | |
| Located at ► 98 RIDGEVIEW DRIVE AERTHERTON CA ZIP + 4 ► 94027 | | |
| 15 | N/A | ► <input type="checkbox"/> |
| 16 | 15 | N/A |
| 16 | Yes | No |
| See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If 'Yes,' enter the name of the foreign country ► | | |

Part VIIF3 **Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

| | Yes | No |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------|----------------------------------------|
| 1a During the year did the foundation (either directly or indirectly): | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| (6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1 b | N/A |
| Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/> | | |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1 c | X |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)). | | |
| a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| If 'Yes,' list the years ► 20 __ , 20 __ , 20 __ , 20 __ | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement – see instructions.) | 2 b | N/A |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 __ , 20 __ , 20 __ , 20 __ | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | <input type="checkbox"/> Yes | <input checked="" type="checkbox"/> No |
| b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) | 3 b | N/A |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4 a | X |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4 b | X |

Part VIIB Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e)) Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here.

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A Yes No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

 Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870.

 X7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

 7b**Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| PRABHU GOEL 98 RIDGEVIEW DRIVE ATHERTON, CA 94027 | SECRETARY 1.00 | 0. | 0. | 0. |
| POONAM GOEL 98 RIDGEVIEW DRIVE ATHERTON, CA 94027 | PRESIDENT & 1.00 | 0. | 0. | 0. |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |
| ----- | ----- | ----- | ----- | ----- |

Total number of other employees paid over \$50,000

0

BAA

TEEA0306L 07/10/13

Form 990-PF (2013)

Part VIII. Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------|---------------------|------------------|
| NONE | | |
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Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

| Organizations and other Beneficiaries served, conferences convened, Research papers produced, etc | |
|---------------------------------------------------------------------------------------------------|-----|
| 1 | N/A |
| 2 | |
| 3 | |
| 4 | |

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

| Describe the largest program-related investments made by the foundation during the tax year on lines 1 and 2. | | Amount |
|---------------------------------------------------------------------------------------------------------------|------------|--------|
| 1 | <u>N/A</u> | |
| 2 | | |

All other program-related investments. See instructions.

3

Total. Add lines 1 through 3

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | |
|----------------------------------------------------------------------------------------------------------------|-----|------------|
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc. purposes: | | |
| a Average monthly fair market value of securities | 1 a | 3,698,577. |
| b Average of monthly cash balances | 1 b | 127,139. |
| c Fair market value of all other assets (see instructions) | 1 c | 2,022,176. |
| d Total (add lines 1a, b, and c) | 1 d | 5,847,892. |
| e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1 e | 0. |
| 2 Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 Subtract line 2 from line 1d | 3 | 5,847,892. |
| 4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions) | 4 | 87,718. |
| 5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 5,760,174. |
| 6 Minimum investment return. Enter 5% of line 5 | 6 | 288,009. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here □ and do not complete this part.)

| | | |
|------------------------------------------------------------------------------------------------------|-----|----------|
| 1 Minimum investment return from Part X, line 6 | 1 | 288,009. |
| 2a Tax on investment income for 2013 from Part VI, line 5 | 2 a | 3,514. |
| b Income tax for 2013 (This does not include the tax from Part VI.) | 2 b | |
| c Add lines 2a and 2b | 2 c | 3,514. |
| 3 Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 284,495. |
| 4 Recoveries of amounts treated as qualifying distributions | 4 | |
| 5 Add lines 3 and 4 | 5 | 284,495. |
| 6 Deduction from distributable amount (see instructions) | 6 | |
| 7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 284,495. |

Part XII Qualifying Distributions (see instructions)

| | | |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------|-----|---------|
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc. purposes: | | |
| a Expenses, contributions, gifts, etc – total from Part I, column (d), line 26 | 1 a | 71,079. |
| b Program-related investments – total from Part IX-B | 1 b | |
| 2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc. purposes | 2 | |
| 3 Amounts set aside for specific charitable projects that satisfy the: | | |
| a Suitability test (prior IRS approval required) | 3 a | |
| b Cash distribution test (attach the required schedule) | 3 b | |
| 4 Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 71,079. |
| 5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) | 5 | |
| 6 Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 71,079. |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2013 from Part XI, line 7 . . . | | | | 284,495. |
| 2 Undistributed income, if any, as of the end of 2013 | | | | |
| a Enter amount for 2012 only | | | 37,895. | |
| b Total for prior years 20 ___, 20 ___, 20 ___ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2013 | | | | |
| a From 2008 | | | | |
| b From 2009 | | | | |
| c From 2010 | | | | |
| d From 2011 | | | | |
| e From 2012 . . . | | | | |
| f Total of lines 3a through e . . . | | 0. | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 71,079. | | | | |
| a Applied to 2012, but not more than line 2a . . . | | | 37,895. | |
| b Applied to undistributed income of prior years (Election required – see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required – see instructions) . . . | | 0. | | |
| d Applied to 2013 distributable amount . . . | | | | 33,184. |
| e Remaining amount distributed out of corpus. | | 0. | | |
| 5 Excess distributions carryover applied to 2013 . . . (If an amount appears in column (d), the same amount must be shown in column (a).) | | 0. | | 0. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . | | 0. | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | | 0. | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | 0. | |
| d Subtract line 6c from line 6b. Taxable amount – see instructions . . . | | | 0. | |
| e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount – see instructions . . . | | | | 0. |
| f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 | | | | 251,311. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | | 0. | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) | | 0. | | |
| 9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a | | 0. | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2009 . | | | | |
| b Excess from 2010 | | | | |
| c Excess from 2011 | | | | |
| d Excess from 2012 | | | | |
| e Excess from 2013 | | | | |

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Part XV/Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

| Recipient | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|-----------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------------|
| Name and address (home or business) | | | | |
| <i>a Paid during the year</i> | | | | |
| INDIA COMMUNITY CENTER 525 LOS COCHES STREET MILPITAS, CA 95035 | N/A | PC | CONTRIBUTE TO THE EFFORTS OF THE INDIA COMMUNITY CENTER TO PROVIDE ESSENTIAL RESOURCES FOR THE COMMUNITY BY OFFERING SUPPORT IN AREAS SUCH AS HEALTH, SENIORS, WOMEN AND YOUTH | 58,722. |
| SIEPR 366 GALVEZ STREET STANFORD, CA 94305 | N/A | PC | CONTRIBUTE TO SUPPORT SIEPR'S GOAL TO IMPROVE LONG-TERM ECONOMIC POLICY. | 10,000. |
| Total | | | ... ► 3a | 68,722. |
| <i>b Approved for future payment</i> | | | | |
| Total | | | ... ► 3b | |

Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions.) |
|-----------------------------------------------------------------|---------------------------|---------------|--------------------------------------|---------------|--------------------------------------------------------------------|
| | (a) Business code | (b) Amount | (c) Exclu- sion code | (d) Amount | |
| 1 Program service revenue. | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 152,205. | |
| 4 Dividends and interest from securities | | | 14 | 67,229. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | 531390 | -15,498. | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 31,115. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a K-1: IREO FUND II , LTD. | | | | | 32. |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | -15,498. | | 250,549. | 32. |
| 13 Total. Add line 12, columns (b), (d), and (e) | | | | 13 | 235,083. |
| (See worksheet in line 13 instructions to verify calculations.) | | | | | |

Part XVII-B Relationship of Activities to the Accomplishment of Exempt Purposes

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THE GOEL FOUNDATION

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STATEMENT 1
FORM 990-PF, PART I, LINE 11
OTHER INCOME

| | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
|--------------------------|-----------------------------|---------------------------------|-------------------------------|
| K-1: IREO FUND II , LTD. | \$ 32. | \$ 0. | \$ 0. |
| TOTAL | <u>\$ 32.</u> | <u>\$ 0.</u> | <u>\$ 0.</u> |

STATEMENT 2
FORM 990-PF, PART I, LINE 16B
ACCOUNTING FEES

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|-----------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| ACCOUNTING FEES | \$ 4,715. | \$ 2,358. | | \$ 2,357. |
| TOTAL | <u>\$ 4,715.</u> | <u>\$ 2,358.</u> | | <u>\$ 2,357.</u> |

STATEMENT 3
FORM 990-PF, PART I, LINE 16C
OTHER PROFESSIONAL FEES

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| GS 6220 | \$ 4,942. | \$ 4,942. | | |
| GS 7335 | 6,243. | 6,243. | | |
| GS 7418 | 6,298. | 6,298. | | |
| GS 7475 | 7,615. | 7,615. | | |
| GS 7541 | 5,487. | 5,487. | | |
| TOTAL | <u>\$ 30,585.</u> | <u>\$ 30,585.</u> | | <u>\$ 0.</u> |

STATEMENT 4
FORM 990-PF, PART I, LINE 18
TAXES

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|-------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| TAXES | \$ 14,766. | \$ 0. | | \$ 0. |
| TOTAL | <u>\$ 14,766.</u> | <u>\$ 0.</u> | | <u>\$ 0.</u> |

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FEDERAL STATEMENTS

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STATEMENT 5
FORM 990-PF, PART I, LINE 23
OTHER EXPENSES

| | (A) EXPENSES PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|------------------------------|------------------------------|---------------------------------|-------------------------------|-------------------------------|
| K-1: INVESTMENT INTEREST EXP | \$ 1. | \$ 1. | | |
| K-1: PORTFOLIO DEDUCTIONS | 26,036. | 26,036. | | |
| MISC INVESTMENT EXPENSES | 390. | 390. | | |
| SERVICE FEES | 12. | | | |
| TOTAL | \$ 26,439. | \$ 26,427. | | \$ 0. |

STATEMENT 6
FORM 990-PF, PART II, LINE 10B
INVESTMENTS - CORPORATE STOCKS

| <u>CORPORATE STOCKS</u> | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|-------------------------|----------------------|---------------|----------------------|
| GOLDMAN SACHS #6220 | COST | \$ 1,095,541. | \$ 1,053,136. |
| GOLDMAN SACHS #7335 | COST | 465,220. | 601,854. |
| GOLDMAN SACHS #7418 | COST | 473,299. | 615,969. |
| GOLDMAN SACHS #7475 | COST | 503,027. | 580,931. |
| GOLDMAN SACHS #7541 | COST | 926,105. | 998,129. |
| TOTAL | \$ 3,463,192. | | \$ 3,850,019. |

STATEMENT 7
FORM 990-PF, PART II, LINE 13
INVESTMENTS - OTHER

| <u>OTHER INVESTMENTS</u> | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|--------------------------|----------------------|---------------|----------------------|
| GREEN LEAF FUND II | COST | \$ 287,855. | \$ 294,984. |
| IREO FUND III | COST | 1,416,354. | 1,720,658. |
| TOTAL | \$ 1,704,209. | | \$ 2,015,642. |

STATEMENT 8
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| ITEM | (A) DESCRIPTION | (B) HOW ACQUIRED | (C) DATE ACQUIRED | (D) DATE SOLD |
|------|---------------------------|---------------------|----------------------|------------------|
| 1 | GS 6220 SEE STMT ATTACHED | PURCHASED | | |
| 2 | GS 7541 SEE STMT ATTACHED | PURCHASED | | |
| 3 | GS 7475 SEE STMT ATTACHED | PURCHASED | | |
| 4 | GS 7418 SEE STMT ATTACHED | PURCHASED | | |
| 5 | GS 7335 SEE STMT ATTACHED | PURCHASED | | |
| 6 | K-1: IREO FUND II, LTD | PURCHASED | | |
| 7 | CAPITAL GAIN DIVIDENDS | | | |

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FEDERAL STATEMENTS

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STATEMENT 8 (CONTINUED)
FORM 990-PF, PART IV, LINE 1
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| ITEM | (E) GROSS SALES | (F) DEPREC. ALLOWED | (G) COST BASIS | (H) GAIN (LOSS) | (I) FMV 12/31/69 | (J) ADJ. BAS. 12/31/69 | (K) EXCESS (I) - (J) | (L) GAIN (LOSS) |
|------|-----------------------|---------------------------|----------------------|-----------------------|------------------------|------------------------------|----------------------------|-----------------------|
| 1 | 1332000. | 1331485. | 515. | | | | | \$ 515. |
| 2 | 598,860. | 624,806. | -25,946. | | | | | -25,946. |
| 3 | 360,486. | 351,025. | 9,461. | | | | | 9,461. |
| 4 | 503,326. | 418,874. | 84,452. | | | | | 84,452. |
| 5 | 269,587. | 239,022. | 30,565. | | | | | 30,565. |
| 6 | 0. | 69,346. | -69,346. | | | | | -69,346. |
| 7 | | | | | | | | 1,414. |
| | | | | | | | TOTAL \$ 31,115. | |

STATEMENT 9
FORM 990-PF, PART XV, LINE 2A-D
APPLICATION SUBMISSION INFORMATION

NAME OF GRANT PROGRAM:

NAME: PRABHU GOEL

CARE OF:

STREET ADDRESS: 98 RIDGEVIEW DRIVE

CITY, STATE, ZIP CODE: AHTHERTON, CA 94027

TELEPHONE: 650-823-2884

E-MAIL ADDRESS:

FORM AND CONTENT: APPLICATIONS SHOULD BE SUBMITTED BY MAIL.

SUBMISSION DEADLINES: NONE

RESTRICTIONS ON AWARDS: NONE

Base Currency USD

Realized Gains/Losses

| Symbol | Description | Trade Date | Date Sold / Covered | Qty | Proceeds (Base) | Cost (Base) | Gain/Loss (Base) |
|----------|------------------------------------------------------------------------------|------------|---------------------|---------|-----------------|--------------|------------------|
| TN16222 | U S TREASURY NOTE 1 625000% 11/15/2022 MN OFF THE RUN | 16-Jan-13 | 15-Apr-13 | 150,000 | 149,208.98 | 147,322.27 | 1,886.71 |
| TN12514L | U S. TREASURY NOTE 0 125000% 12/31/2014 JD ON THE RUN | 31-Dec-12 | 23-Apr-13 | 50,000 | 49,929.69 | 49,878.91 | 50.78 |
| FE2TKIT9 | FFCB 4.875% 01/17/2017 JJ | 24-Jan-13 | 23-Apr-13 | 50,000 | 57,949.50 | 57,812.53 | 136.97 |
| 3V5E38 | STARWOOD HTLS & RSRTS WRDWD 3 125% 02/15/2023 | 10-Jan-13 | 23-Apr-13 | 50,000 | 49,835.50 | 49,470.50 | 365 |
| TN20021 | U S. TREASURY NOTE 2 000000% 11/15/2021 MN OFF THE RUN | 11-Feb-13 | 23-Apr-13 | 50,000 | 52,216.80 | 51,040.81 | 1,175.99 |
| 62RTA7 | WELLS FARGO & COMPANY 5 0% 11/15/2014 USD | 11-Jan-13 | 23-Apr-13 | 50,000 | 53,111.00 | 53,091.28 | 19.72 |
| USCOPR | OMNICOM GROUP INC. 5.9% 04/15/2016 SR LIEN | 11-Jan-13 | 23-Apr-13 | 100,000 | 113,309.00 | 112,860.39 | 448.61 |
| 3V0VMB | GENERAL ELECTRIC CAPITAL CORP MTN 1 0% 01/08/2016 SR LIEN | 3-Jan-13 | 23-Apr-13 | 50,000 | 50,184.00 | 49,839.50 | 344.5 |
| 5M0NV2 | CIGNA CORPORATION 4.375% 12/15/2020 SR LIEN M-W+25 00BP | 4-Jan-13 | 23-Apr-13 | 100,000 | 112,564.00 | 110,247.07 | 2,316.93 |
| 4S2DU8 | CME GROUP INC. 3.0% 09/15/2022 SR LIEN M-W+25 00BP | 10-Jan-13 | 23-Apr-13 | 50,000 | 50,981.50 | 50,449.22 | 532.28 |
| TN12519 | U S. TREASURY NOTE 1 250000% 01/31/2019 JJ ON THE RUN | 18-Jan-13 | 23-Apr-13 | 50,000 | 51,121.09 | 50,642.53 | 478.56 |
| TN12514L | U S. TREASURY NOTE 0 125000% 12/31/2014 JD ON THE RUN | 31-Dec-12 | 4-Jun-13 | 50,000 | 49,921.88 | 49,878.91 | 42.97 |
| 3V1UD7 | HEALTH CARE REIT, INC. 2.25% 03/15/2018 SR LIEN M-W+25 00BP | 9-Jan-13 | 4-Jun-13 | 50,000 | 50,187.00 | 50,125.04 | 61.96 |
| 3VTXN5 | DIRECTV HOLDINGS LLC 1.75% 01/15/2018 SR LIEN M-W+20 00BP DIRECTV FIN CO INC | 10-Jan-13 | 4-Jun-13 | 75,000 | 74,082.75 | 74,494.50 | -411.75 |
| 5MAK68 | THERMO FISHER SCIENTIFIC INC. 3.2% 03/01/2016 SR LIEN M-W+15 00BP | 28-Jan-13 | 4-Jun-13 | 50,000 | 52,524.00 | 52,681.64 | -157.64 |
| 5MSD18 | VIACOM INC. 3.5% 04/01/2017 SR LIEN M-W+25 00BP | 9-Jan-13 | 4-Jun-13 | 50,000 | 53,220.50 | 53,829.76 | -609.26 |
| 3J7HS0 | LANDWIRTSCHAFTLICHE RENTENBANK 4.875% 01/10/2014 | 10-Jan-13 | 20-Jun-13 | 50,000 | 51,235.00 | 51,256.19 | -21.19 |
| 1H0DF3 | MANITOBA (PROVINCE OF) 1.75% 05/30/2019 USD SR LIEN | 9-Jan-13 | 12-Dec-13 | 50,000 | 49,313.50 | 50,883.96 | -1,570.46 |
| 5MAKN1 | UNITEDHEALTH GROUP INC. 4.7% 02/15/2021 M-W+20 00BP | 8-Jan-13 | 12-Dec-13 | 50,000 | 54,255.50 | 56,903.78 | -2,648.28 |
| 3J7WNK5 | ORACLE CORPORATION 5.0% 07/08/2019 SR LIEN M-W+25 00BP | 2-Jan-13 | 12-Dec-13 | 50,000 | 56,849.00 | 58,775.95 | -1,926.95 |
| 3J7HS0 | LANDWIRTSCHAFTLICHE RENTENBANK 4.875% 01/10/2014 | 10-Jan-13 | 10-Jan-14 | 50,000 | 50,000.00 | 50,000.00 | 0 |
| TOTAL | | | | | 1,332,000.19 | 1,331,484.74 | 515.45 |

Goel Foundation - Advisory 026-19754-1
 From 01-Apr-2013 To 31-Mar-2014

Base Currency USD

Realized Gains/Losses

| Symbol | Description | Trade Date | Date Sold / Covered | Qty | Proceeds (Base) | Cost (Base) | Gain/Loss (Base) |
|-----------------------------------------------------------------------------------------------------|-------------|------------|---------------------|-------------------|-------------------|-------------------|------------------|
| GIMDX GS LOCAL I 21-Dec-12 22-Apr-13 7,444.67 74,000.01 72,808.86 1,191.15 | | | | | | | |
| IWM | ISHARES RI | 21-Dec-12 | 22-Apr-13 | 342 | 31,028.96 | 28,586.07 | 2,442.89 |
| JNK | SPDR BARC | 21-Dec-12 | 22-Apr-13 | 1,650 | 67,945.47 | 67,410.75 | 534.72 |
| FEZ | SPDR EURC | 21-Dec-12 | 22-Apr-13 | 420 | 13,952.08 | 14,366.23 | -414.15 |
| KBE | SPDR S&P I | 21-Dec-12 | 22-Apr-13 | 625 | 16,074.64 | 14,953.13 | 1,121.51 |
| GIMDX | GS LOCAL I | 21-Dec-12 | 18-Jul-13 | 7,892.76 | 70,955.87 | 77,191.15 | -6,235.28 |
| GIMDX | GS LOCAL I | 15-Apr-13 | 18-Jul-13 | 1,364.16 | 12,263.78 | 13,477.88 | -1,214.10 |
| GIMDX | GS LOCAL I | 31-Dec-12 | 18-Jul-13 | 10.068 | 90.51 | 99.27 | -8.76 |
| GIMDX | GS LOCAL I | 31-Jan-13 | 18-Jul-13 | 70.101 | 630.21 | 696.1 | -65.89 |
| GIMDX | GS LOCAL I | 28-Mar-13 | 18-Jul-13 | 59.127 | 531.55 | 574.12 | -42.57 |
| GIMDX | GS LOCAL I | 28-Feb-13 | 18-Jul-13 | 58.741 | 528.08 | 577.42 | -49.34 |
| GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES - Disallowed Losses: -123.46 123.46 | | | | | | | |
| GIMDX | GS LOCAL I | 4-Jun-13 | 20-Aug-13 | 3,940.36 | 33,808.30 | 37,000.00 | -3,191.70 |
| GIMDX | GS LOCAL I | 21-Dec-12 | 20-Aug-13 | 82.825 | 710.64 | 799.26 | -88.62 |
| GIMDX | GS LOCAL I | 15-Apr-13 | 20-Aug-13 | 8,757.30 | 75,137.62 | 86,522.12 | -11,384.50 |
| GIMDX | GS LOCAL I | 30-Apr-13 | 20-Aug-13 | 71.069 | 609.77 | 712.82 | -103.05 |
| GIMDX | GS LOCAL I | 31-May-13 | 20-Aug-13 | 72.357 | 620.82 | 673.64 | -52.82 |
| GIMDX | GS LOCAL I | 3-Jan-13 | 20-Aug-13 | 73.451 | 630.21 | 705.87 | -75.66 |
| VWO | VANGUARI | 15-Apr-13 | 8-Oct-13 | 267 | 10,900.43 | 11,160.60 | -260.17 |
| VWO | VANGUARI | 8-Feb-13 | 8-Oct-13 | 1,100 | 44,908.15 | 48,719.00 | -3,810.85 |
| TOTAL | | | | 455,327.10 | 476,910.83 | -21,583.73 | |
| 598,860.06 624,806.06 -25,946.00 | | | | | | | |

Goel Foundation - Cap Grp PCS. Non US Eq 026-19747-5
 From 01-Apr-2013 To 31-Mar-2014
 Separate Account Strategy Capital Group PCS: Non-US Equity

Base Currency USD

Realized Gains/Losses

| Symbol | Description | Trade Date | Date Sold / Covered | Qty | Proceeds (Base) | Cost (Base) | Gain/Loss (Base) |
|--------|--------------|------------|---------------------|-------|-----------------|-------------|------------------|
| CSUAY | CHINA SHE | 21-Dec-12 | 22-Apr-13 | 238 | 3,156.25 | 4,148.34 | -992.09 |
| NCMGY | NEWCREST | 21-Dec-12 | 22-Apr-13 | 247 | 4,276.58 | 5,784.74 | -1,508.16 |
| FQVLF | FIRST QUA | 21-Dec-12 | 18-Apr-13 | 0.43 | 6.74 | 0 | 6.74 |
| RIO | RIO TINTO | 21-Dec-12 | 22-Apr-13 | 41 | 1,819.12 | 2,309.62 | -490.5 |
| DBSDY | DBS GROU | 21-Dec-12 | 22-Apr-13 | 86 | 4,328.28 | 4,195.94 | 132.34 |
| BAYRY | BAYER AG- | 21-Dec-12 | 22-Apr-13 | 38 | 3,861.85 | 3,648.00 | 213.85 |
| NVO | NOVO-NOF | 21-Dec-12 | 22-Apr-13 | 19 | 3,151.26 | 3,068.12 | 83.14 |
| KYCCF | KEYENCE C | 21-Dec-12 | 22-Apr-13 | 19 | 5,687.52 | 5,295.30 | 392.22 |
| SBGSY | SCHNEIDER | 21-Dec-12 | 22-Apr-13 | 324 | 4,513.21 | 4,726.88 | -213.67 |
| HNNMY | HENNES & | 21-Dec-12 | 22-Apr-13 | 392 | 2,729.43 | 2,696.96 | 32.47 |
| BRGYY | BG GROUP | 21-Dec-12 | 22-Apr-13 | 229 | 3,608.95 | 3,709.80 | -100.85 |
| BACHF | BANK OF C | 21-Dec-12 | 22-Apr-13 | 4,909 | 2,209.00 | 2,226.23 | -17.23 |
| LNEYG | LINDE AG S | 21-Dec-12 | 22-Apr-13 | 116 | 2,114.63 | 2,034.64 | 79.99 |
| CAE | CAE INC CA | 21-Dec-12 | 22-Apr-13 | 285 | 2,924.03 | 2,927.78 | -3.75 |
| HTHIY | HITACHI LT | 21-Dec-12 | 22-Apr-13 | 76 | 4,691.37 | 4,392.80 | 298.57 |
| DEO | DIAGEO PL | 21-Dec-12 | 22-Apr-13 | 34 | 4,092.48 | 3,966.27 | 126.21 |
| CCL | CARNIVAL | 21-Dec-12 | 22-Apr-13 | 28 | 924.53 | 1,040.48 | -115.95 |
| GTOMY | GEMALTO | 21-Dec-12 | 22-Apr-13 | 54 | 2,124.31 | 2,499.66 | -375.35 |
| UL | UNILEVER I | 22-Feb-13 | 22-Apr-13 | 78 | 3,302.44 | 3,135.71 | 166.73 |
| SYT | SYNGENTA | 21-Dec-12 | 22-Apr-13 | 54 | 4,445.72 | 4,341.60 | 104.12 |
| WTKWY | WOLTERS I | 21-Dec-12 | 22-Apr-13 | 66 | 1,459.88 | 1,347.72 | 112.16 |
| MSBHY | mitsubishi | 21-Dec-12 | 22-Apr-13 | 21 | 733.93 | 825.3 | -91.37 |
| SAXPY | SAMPO OY | 21-Dec-12 | 22-Apr-13 | 308 | 5,760.09 | 4,983.44 | 776.65 |
| NVS | NOVARTIS | 21-Dec-12 | 22-Apr-13 | 73 | 5,291.65 | 4,600.46 | 691.19 |
| BNPQY | BNP PARIB. | 21-Dec-12 | 22-Apr-13 | 94 | 2,397.88 | 2,717.32 | -319.44 |
| SDRL | SEADRILL L | 21-Dec-12 | 22-Apr-13 | 114 | 4,166.60 | 4,233.96 | -67.36 |
| SSMXF | SYSMEX CC | 21-Dec-12 | 22-Apr-13 | 42 | 2,767.73 | 1,953.00 | 814.73 |
| CCLAY | COCA-COL | 10-Jan-13 | 22-Apr-13 | 100 | 3,029.23 | 2,930.89 | 98.34 |
| RHHBY | ROCHE HO | 21-Dec-12 | 22-Apr-13 | 79 | 4,778.60 | 4,013.20 | 765.4 |
| FQVLF | FIRST QUA | 21-Dec-12 | 22-Apr-13 | 136 | 2,175.95 | 3,024.97 | -849.02 |
| CVE | CENOVUS I | 21-Dec-12 | 22-Apr-13 | 131 | 3,683.63 | 4,368.85 | -685.22 |
| AIQUY | AIR LIQUID | 21-Dec-12 | 22-Apr-13 | 96 | 2,325.06 | 2,389.41 | -64.35 |
| LVMUY | LVMH MOI | 21-Dec-12 | 22-Apr-13 | 75 | 2,390.94 | 2,768.53 | -377.59 |
| PDRDY | PERNOD RI | 21-Dec-12 | 22-Apr-13 | 212 | 5,285.68 | 4,913.37 | 372.31 |
| FANUY | FANUC COI | 21-Dec-12 | 22-Apr-13 | 151 | 3,836.82 | 4,474.13 | -637.31 |
| CFRUY | COMPAGN | 21-Dec-12 | 22-Apr-13 | 552 | 3,996.89 | 4,290.03 | -293.14 |
| ASML | ASML HOLI | 21-Dec-12 | 22-Apr-13 | 52 | 3,706.99 | 3,289.00 | 417.99 |
| ANZBY | AUSTRALIA | 21-Dec-12 | 22-Apr-13 | 50 | 1,472.46 | 1,296.00 | 176.46 |
| HPHTF | HAMAMAT | 21-Dec-12 | 22-Apr-13 | 91 | 3,595.32 | 3,257.80 | 337.52 |
| RDSA | ROYAL DUJ | 21-Dec-12 | 22-Apr-13 | 29 | 1,873.35 | 2,001.58 | -128.23 |
| ESLOY | ESSILOR IN | 21-Dec-12 | 22-Apr-13 | 23 | 1,227.94 | 1,153.45 | 74.49 |
| RDSMY | ROYAL DSM | 21-Dec-12 | 22-Apr-13 | 157 | 2,460.76 | 2,370.70 | 90.06 |
| BBD | BANCO BR | 21-Dec-12 | 22-Apr-13 | 72 | 1,157.73 | 1,155.86 | 1.87 |
| SCBFF | STANDARD | 21-Dec-12 | 22-Apr-13 | 161 | 3,962.12 | 4,131.26 | -169.14 |
| MRAAF | MURATA M | 21-Dec-12 | 22-Apr-13 | 62 | 4,896.03 | 3,524.70 | 1,371.33 |
| ASAZY | ASSA ABLO | 21-Dec-12 | 22-Apr-13 | 282 | 5,322.35 | 5,185.98 | 136.37 |
| SFTBF | SOFTBANK | 21-Dec-12 | 22-Apr-13 | 71 | 3,151.11 | 2,576.59 | 574.52 |
| HSBC | HSBC HOL | 21-Dec-12 | 22-Apr-13 | 136 | 7,114.00 | 7,135.92 | -21.92 |
| ITYBY | IMPERIAL I | 21-Dec-12 | 22-Apr-13 | 22 | 1,516.86 | 1,703.68 | -186.82 |
| RBGLY | RECKITT BE | 24-Dec-12 | 22-Apr-13 | 81 | 1,153.41 | 1,035.18 | 118.23 |
| HOKCY | HONG KON | 21-Dec-12 | 22-Apr-13 | 516 | 1,563.44 | 1,372.56 | 190.88 |
| IBN | ICICI BANK | 21-Dec-12 | 22-Apr-13 | 59 | 2,643.14 | 2,603.96 | 39.18 |
| AAIGF | AIA GROU | 21-Dec-12 | 22-Apr-13 | 1,533 | 6,545.76 | 6,101.34 | 444.42 |
| SMECF | SMC CORP | 21-Dec-12 | 22-Apr-13 | 17 | 3,296.44 | 3,123.75 | 172.69 |
| BAMXY | BAYERISCH | 21-Dec-12 | 22-Apr-13 | 99 | 2,811.53 | 3,167.01 | -355.48 |
| DANOY | DANONE S | 21-Dec-12 | 22-Apr-13 | 142 | 2,178.23 | 1,868.19 | 310.04 |
| IDEXY | INDUSTRIA | 21-Dec-12 | 22-Apr-13 | 75 | 1,898.95 | 2,094.75 | -195.8 |
| SVNLY | SVENSKA I | 21-Dec-12 | 22-Apr-13 | 66 | 1,349.66 | 1,180.08 | 169.58 |
| SMFG | SUMITOM | 24-Jan-13 | 22-Apr-13 | 209 | 1,895.58 | 1,538.80 | 356.78 |
| AJINY | AJINOMOT | 21-Dec-12 | 22-Apr-13 | 149 | 1,987.61 | 2,054.71 | -67.1 |
| BCS | BARCLAYS | 21-Dec-12 | 22-Apr-13 | 76 | 1,361.12 | 1,284.40 | 76.72 |
| SAP | SAP SE (SPX) | 21-Dec-12 | 22-Apr-13 | 16 | 1,190.37 | 1,272.16 | -81.79 |

| | | | | | | | |
|-----------|------------|-----------|-----------|-------|----------|----------|-----------|
| LRLCY | L'OREAL CO | 21-Dec-12 | 22-Apr-13 | 75 | 2,456 19 | 2,092 18 | 364 01 |
| MARUY | MARUBENI | 25-Feb-13 | 22-Apr-13 | 16 | 1,118 53 | 1,180 12 | -61 59 |
| DNZOY | DÉNSO COI | 21-Dec-12 | 22-Apr-13 | 63 | 1,406.12 | 1,067 85 | 338.27 |
| SCMWY | SWISSCON | 21-Dec-12 | 22-Apr-13 | 30 | 1,363.16 | 1,304 40 | 58.76 |
| SGAPY | SINGAPORI | 10-Jan-13 | 22-Apr-13 | 53 | 1,582 01 | 1,467.47 | 114.54 |
| BHP | BHP BILLIT | 21-Dec-12 | 22-Apr-13 | 30 | 1,928 05 | 2,299.20 | -371 15 |
| TMICY | TREND MIC | 21-Dec-12 | 22-Apr-13 | 44 | 1,214 19 | 1,285 68 | -71 49 |
| NGG | NATIONAL | 21-Dec-12 | 22-Apr-13 | 41 | 2,476 75 | 2,336.18 | 140 57 |
| HNNMY | HENNES & | 21-Dec-12 | 2-May-13 | 527 | 3,626 88 | 3,625.76 | 1 12 |
| MSBHY | MITSUBISHI | 21-Dec-12 | 2-May-13 | 55 | 1,964 44 | 2,161.50 | -197.06 |
| SAXPY | SAMPO OY | 21-Dec-12 | 13-May-13 | 116 | 2,379 98 | 1,876.88 | 503 1 |
| SSMXF | SYSMEX CO | 21-Dec-12 | 13-May-13 | 46 | 3,045 13 | 2,139 00 | 906 13 |
| ITYBY | IMPERIAL 1 | 21-Dec-12 | 13-May-13 | 54 | 3,834.45 | 4,181.76 | -347 31 |
| SAXPY | SAMPO OY | 21-Dec-12 | 14-May-13 | 128 | 2,629 61 | 2,071.04 | 558 57 |
| HOKCY | HONG KON | 21-Dec-12 | 5-Jun-13 | 0.399 | 1.05 | 0 | 1 05 |
| BRGYY | BG GROUP | 21-Dec-12 | 12-Jun-13 | 93 | 1,695.65 | 1,506 60 | 189 05 |
| BRGYY | BG GROUP | 21-Dec-12 | 13-Jun-13 | 143 | 2,625 26 | 2,316 60 | 308 66 |
| RIO | RIO TINTO | 21-Dec-12 | 28-Jun-13 | 101 | 4,160 82 | 5,689 54 | -1,528 72 |
| RIO | RIO TINTO | 7-Jun-13 | 28-Jun-13 | 8 | 329.57 | 348.23 | -18.66 |
| CCL | CARNIVAL | 21-Dec-12 | 11-Jul-13 | 68 | 2,445 60 | 2,526 88 | -81 28 |
| DBSDY | DBS GROU | 21-Dec-12 | 31-Jul-13 | 15 | 790 48 | 731.85 | 58 63 |
| NVO | NOVO-NOF | 21-Dec-12 | 31-Jul-13 | 6 | 1,018 24 | 968.88 | 49 36 |
| KYCCF | KEYENCE C | 21-Dec-12 | 31-Jul-13 | 3 | 977.38 | 836 1 | 141.28 |
| SBGSY | SCHNEIDEF | 21-Dec-12 | 31-Jul-13 | 85 | 1,351.48 | 1,240 08 | 111 4 |
| CAE | CAE INC CN | 21-Dec-12 | 31-Jul-13 | 49 | 558.59 | 503 37 | 55.22 |
| GTOMY | GEMALTO | 21-Dec-12 | 31-Jul-13 | 23 | 1,205.40 | 1,064 67 | 140.73 |
| DEO | DIAGEO PL | 21-Dec-12 | 31-Jul-13 | 8 | 1,003.42 | 933 24 | 70 18 |
| SYT | SYNGENTA | 21-Dec-12 | 31-Jul-13 | 8 | 636 46 | 643 2 | -6 74 |
| SDRL | SEADRILL L | 21-Dec-12 | 31-Jul-13 | 23 | 984 15 | 854 22 | 129 93 |
| RHHBY | ROCHE HO | 21-Dec-12 | 31-Jul-13 | 9 | 556 19 | 457 2 | 98 99 |
| LVMUY | LVMH MOI | 21-Dec-12 | 31-Jul-13 | 12 | 437 63 | 442 96 | -5 33 |
| MRAAF | MURATA M | 21-Dec-12 | 31-Jul-13 | 10 | 688 88 | 568.5 | 120 38 |
| HTHIY | HITACHI LT | 21-Dec-12 | 31-Jul-13 | 21 | 1,408.86 | 1,213 80 | 195 06 |
| CVE | CENOVUS I | 21-Dec-12 | 31-Jul-13 | 24 | 713 26 | 800.4 | -87 14 |
| CFRUY | COMPAGN | 21-Dec-12 | 31-Jul-13 | 82 | 797.84 | 637 29 | 160.55 |
| HOKCY | HONG KON | 21-Dec-12 | 31-Jul-13 | 372 | 967.18 | 899 81 | 67 37 |
| ASAZY | ASSA ABLO | 21-Dec-12 | 31-Jul-13 | 39 | 863 44 | 717 21 | 146.23 |
| SFTBF | SOFTBANK | 21-Dec-12 | 31-Jul-13 | 9 | 575.08 | 326.61 | 248 47 |
| PDRDY | PERNOD RI | 21-Dec-12 | 31-Jul-13 | 38 | 906 66 | 880 7 | 25 96 |
| ASML | ASML HOLI | 21-Dec-12 | 31-Jul-13 | 9 | 814 3 | 569 25 | 245 05 |
| HSBC | HSBC HOL | 21-Dec-12 | 31-Jul-13 | 29 | 1,651 23 | 1,521 63 | 129 6 |
| AAIGF | AIA GROU | 21-Dec-12 | 31-Jul-13 | 230 | 1,085 58 | 915 4 | 170 18 |
| SAXPY | SAMPO OY | 21-Dec-12 | 31-Jul-13 | 27 | 592 63 | 436 86 | 155 77 |
| HPHTF | HAMAMA1 | 21-Dec-12 | 31-Jul-13 | 19 | 653 39 | 680 2 | -26 81 |
| NVS | NOVARTIS | 21-Dec-12 | 31-Jul-13 | 15 | 1,077.73 | 945 3 | 132 43 |
| UL | UNILEVER I | 22-Feb-13 | 31-Jul-13 | 18 | 734 56 | 723 63 | 10 93 |
| BAYRY | BAYER AG- | 21-Dec-12 | 31-Jul-13 | 8 | 932 06 | 768 | 164 06 |
| BNPQY | BNP PARIB | 21-Dec-12 | 31-Jul-13 | 20 | 651 18 | 578 15 | 73 03 |
| SCBFF | STANDARC | 21-Dec-12 | 31-Jul-13 | 34 | 781 98 | 872 44 | -90 46 |
| RDSMY | ROYAL DSN | 21-Dec-12 | 31-Jul-13 | 25 | 439 74 | 377 5 | 62 24 |
| IBN | ICICI BANK | 7-Jun-13 | 5-Aug-13 | 11 | 352.06 | 486 21 | -134 15 |
| HTHIY | HITACHI LT | 21-Dec-12 | 5-Aug-13 | 19 | 1,269 46 | 1,098 20 | 171 26 |
| ANZBY | AUSTRALIA | 21-Dec-12 | 5-Aug-13 | 31 | 822 6 | 803 52 | 19 08 |
| IBN | ICICI BANK | 21-Dec-12 | 5-Aug-13 | 138 | 4,416 82 | 6,090 62 | -1,673 80 |
| HTHIY | HITACHI LT | 21-Dec-12 | 6-Aug-13 | 18 | 1,193 53 | 1,040 40 | 153 13 |
| ANZBY | AUSTRALIA | 21-Dec-12 | 6-Aug-13 | 83 | 2,191 22 | 2,151.36 | 39 86 |
| BRGYY | BG GROUP | 21-Dec-12 | 19-Aug-13 | 169 | 3,058 43 | 2,737 80 | 320 63 |
| RDSA | ROYAL DUT | 21-Dec-12 | 19-Aug-13 | 66 | 4,208 52 | 4,555 32 | -346.8 |
| RDSA | ROYAL DUT | 7-Jun-13 | 19-Aug-13 | 5 | 318 83 | 326 9 | -8 07 |
| LNEYG | LINDE AG S | 7-Jun-13 | 20-Aug-13 | 18 | 351 79 | 346 24 | 5.55 |
| LNEYG | LINDE AG S | 21-Dec-12 | 20-Aug-13 | 272 | 5,315 99 | 4,770 88 | 545 11 |
| WTKWY | WOLTERS I | 21-Dec-12 | 21-Aug-13 | 151 | 3,694 49 | 3,083 42 | 611 07 |
| HSBC | HSBC HOL | 21-Dec-12 | 5-Sep-13 | 68 | 3,754 62 | 3,567.96 | 186 66 |
| BBD | BANCO BR | 21-Dec-12 | 5-Sep-13 | 167 | 2,002 89 | 2,680 94 | -678 05 |
| GTOMY | GEMALTO | 21-Dec-12 | 5-Sep-13 | 63 | 3,549 31 | 2,916 27 | 633 04 |
| SBGSY | SCHNEIDEF | 21-Dec-12 | 20-Sep-13 | 230 | 4,011.75 | 3,355 50 | 656.25 |
| HOKCY | HONG KON | 21-Dec-12 | 20-Sep-13 | 60 | 144 15 | 145 13 | -0 98 |
| BRGYY | BG GROUP | 21-Dec-12 | 20-Sep-13 | 133 | 2,560 80 | 2,154 60 | 406 2 |
| BRGYY | BG GROUP | 7-Jun-13 | 20-Sep-13 | 31 | 596 88 | 561 09 | 35 79 |
| HOKCY | HONG KON | 21-Dec-12 | 23-Sep-13 | 1,035 | 2,422 89 | 2,503 50 | -80 61 |
| HOKCY | HONG KON | 7-Jun-13 | 23-Sep-13 | 365 | 854.45 | 922 98 | -68.53 |
| RTS/BARCL | RTS/BARCL | 21-Dec-12 | 24-Sep-13 | 45 | 250 1 | 0 | 250 1 |
| RTS/BARCL | RTS/BARCL | 7-Jun-13 | 24-Sep-13 | 4 | 22 23 | 0 | 22 23 |

| | | | | | | | |
|--------------|------------|-----------|-----------|-------|-------------------|-------------------|-----------------|
| | RTS/BARCL | 21-Dec-12 | 24-Sep-13 | 0.75 | 4.16 | 0 | 4.16 |
| CCLAY | COCA-COL | 10-Jan-13 | 7-Oct-13 | 24 | 558.07 | 703.41 | -145.34 |
| CCLAY | COCA-COL | 10-Jan-13 | 7-Oct-13 | 26 | 604.57 | 762.03 | -157.46 |
| CVB | CENOVUS I | 21-Dec-12 | 7-Oct-13 | 92 | 2,693.07 | 3,068.20 | -375.13 |
| CCLAY | COCA-COL | 10-Jan-13 | 8-Oct-13 | 67 | 1,551.49 | 1,963.70 | -412.21 |
| CCLAY | COCA-COL | 12-Mar-13 | 8-Oct-13 | 26 | 602.07 | 805.1 | -203.03 |
| CCLAY | COCA-COL | 12-Mar-13 | 8-Oct-13 | 98 | 2,269.34 | 3,034.60 | -765.26 |
| HTHIY | HITACHI LT | 21-Dec-12 | 11-Oct-13 | 54 | 3,410.91 | 3,121.20 | 289.71 |
| HSBC | HSBC HOLI | 21-Dec-12 | 29-Oct-13 | 43 | 2,377.17 | 2,256.21 | 120.96 |
| CAE | CAE INC CN | 21-Dec-12 | 30-Oct-13 | 597 | 6,805.08 | 6,132.92 | 672.16 |
| BACHF | BANK OF C | 21-Dec-12 | 30-Oct-13 | 6,869 | 3,142.51 | 3,115.09 | 27.42 |
| CAE | CAE INC CN | 7-Jun-13 | 30-Oct-13 | 49 | 558.54 | 516.63 | 41.91 |
| CVE | CENOVUS I | 15-Apr-13 | 26-Dec-13 | 73 | 2,046.07 | 2,071.95 | -25.88 |
| CVE | CENOVUS I | 7-Jun-13 | 26-Dec-13 | 21 | 588.59 | 633.56 | -44.97 |
| WYNMY | WYNN MA | 5-Aug-13 | 3-Feb-14 | 26 | 1,076.59 | 734.92 | 341.67 |
| WYNMY | WYNN MA | 6-Aug-13 | 3-Feb-14 | 38 | 1,573.48 | 1,057.93 | 515.55 |
| TOTAL | | | | | 334,744.48 | 328,324.47 | 6,420.01 |

| | | | | | | | |
|-------|------------|-----------|-----------|-----|------------------|------------------|-----------------|
| NSRGY | NESTLE SA | 3-Aug-11 | 22-Apr-13 | 73 | 5,099.66 | 4,668.35 | 431.31 |
| NSRGY | NESTLE SA | 3-Aug-11 | 31-Jul-13 | 15 | 1,022.23 | 959.25 | 62.98 |
| KYCCF | KEYENCE C | 21-Dec-12 | 26-Dec-13 | 8 | 3,343.53 | 2,229.60 | 1,113.93 |
| CVE | CENOVUS I | 21-Dec-12 | 26-Dec-13 | 131 | 3,671.71 | 4,403.38 | -731.67 |
| HNNMY | HENNES & | 21-Dec-12 | 13-Jan-14 | 416 | 3,595.38 | 2,862.08 | 733.3 |
| RBLGY | RECKITT BE | 24-Dec-12 | 3-Feb-14 | 199 | 3,025.06 | 2,543.22 | 481.84 |
| MRAAF | MURATA M | 21-Dec-12 | 18-Mar-14 | 27 | 2,389.83 | 1,534.95 | 854.88 |
| DEO | DIAGEO PL | 21-Dec-12 | 18-Mar-14 | 30 | 3,593.80 | 3,499.65 | 94.15 |
| | | | | | 25,741.20 | 22,700.48 | 3,040.72 |

360,485.68 351,024.95 9,460.73

Base Currency USD

Realized Gains/Losses

| Symbol | Description | Trade Date | Date Sold / Covered | Qty | Proceeds (Base) | Cost (Base) | Gain/Loss (Base) |
|--------|-------------------------------------------|------------|---------------------|-----|-----------------|-------------|------------------|
| CMA | COMERICA INCORPORATED CMN | 21-Dec-12 | 5-Apr-13 | 93 | 3,156.65 | 2,809.34 | 347.31 |
| MMM | 3M COMPANY CMN | 21-Dec-12 | 8-Apr-13 | 19 | 2,005.52 | 1,771.37 | 234.15 |
| IAC | IAC/INTERACTIVECORP CMN | 21-Dec-12 | 8-Apr-13 | 34 | 1,496.94 | 1,583.72 | -86.78 |
| ENR | ENERGIZER HOLDINGS, INC. CMN | 21-Dec-12 | 8-Apr-13 | 17 | 1,634.23 | 1,372.75 | 261.48 |
| CMCSA | COMCAST CORPORATION CMN CLASS A VOTING | 21-Dec-12 | 9-Apr-13 | 35 | 1,444.45 | 1,304.10 | 140.35 |
| CELG | CELGENE CORPORATION CMN | 21-Dec-12 | 11-Apr-13 | 13 | 1,595.06 | 1,032.46 | 562.6 |
| INTC | INTEL CORPORATION CMN | 21-Dec-12 | 17-Apr-13 | 150 | 3,285.63 | 3,105.75 | 179.88 |
| TWX | TIME WARNER INC. CMN | 21-Dec-12 | 22-Apr-13 | 78 | 4,676.77 | 3,723.42 | 953.35 |
| EMN | EASTMAN CHEM CO CMN | 21-Dec-12 | 22-Apr-13 | 19 | 1,320.47 | 1,250.20 | 70.27 |
| CAG | CONAGRA INC CMN | 21-Dec-12 | 22-Apr-13 | 100 | 3,606.91 | 2,987.00 | 619.91 |
| ED | CONSOLIDATED EDISON INC CMN | 21-Dec-12 | 22-Apr-13 | 42 | 2,622.84 | 2,356.62 | 266.22 |
| MET | METLIFE, INC. CMN | 21-Dec-12 | 22-Apr-13 | 82 | 2,922.41 | 2,715.84 | 206.57 |
| KR | KROGER COMPANY CMN | 21-Dec-12 | 22-Apr-13 | 81 | 2,779.04 | 2,143.82 | 635.22 |
| AFG | AMERICAN FINANCIAL GROUP INC CMN | 21-Dec-12 | 22-Apr-13 | 33 | 1,551.95 | 1,304.82 | 247.13 |
| FLS | FLOWSERVE CORPORATION CMN | 21-Dec-12 | 22-Apr-13 | 14 | 2,140.55 | 2,020.20 | 120.35 |
| TRW | TRW AUTOMOTIVE HOLDINGS CORP CMN | 21-Dec-12 | 22-Apr-13 | 34 | 1,878.11 | 1,779.90 | 98.21 |
| ALK | ALASKA AIR GROUP INC(DEL HLDG) CMN | 21-Dec-12 | 22-Apr-13 | 48 | 2,899.61 | 2,123.39 | 776.22 |
| GLW | CORNING INCORPORATED CMN | 21-Dec-12 | 22-Apr-13 | 128 | 1,638.36 | 1,608.32 | 30.04 |
| SPG | SIMON PROPERTY GROUP INC CMN | 21-Dec-12 | 22-Apr-13 | 12 | 2,107.51 | 1,897.56 | 209.95 |
| HP | HELMERICH & PAYNE INC. CMN | 21-Dec-12 | 22-Apr-13 | 24 | 1,408.76 | 1,355.52 | 53.24 |
| BWA | BORGWARNER INC. CMN | 19-Mar-13 | 22-Apr-13 | 20 | 1,454.96 | 1,557.27 | -102.31 |
| MRO | MARATHON OIL CORPORATION CMN | 21-Dec-12 | 22-Apr-13 | 98 | 2,985.01 | 3,046.82 | -61.81 |
| BEN | FRANKLIN RESOURCES INC CMN | 21-Dec-12 | 22-Apr-13 | 17 | 2,507.78 | 2,184.84 | 322.94 |
| | ACTAVIS INC CMN | 10-Jul-12 | 22-Apr-13 | 25 | 2,462.44 | 1,868.65 | 593.79 |
| AMP | AMERIPRISE FINANCIAL, INC. CMN | 21-Dec-12 | 22-Apr-13 | 35 | 2,521.34 | 2,195.20 | 326.14 |
| DFS | DISCOVER FINANCIAL SERVICES CMN | 21-Dec-12 | 22-Apr-13 | 70 | 3,032.33 | 2,684.50 | 347.83 |
| ORCL | ORACLE CORPORATION CMN | 21-Dec-12 | 22-Apr-13 | 72 | 2,345.70 | 2,432.88 | -87.18 |
| | SMITHFIELD FOODS INC. CMN | 10-Apr-13 | 22-Apr-13 | 65 | 1,643.81 | 1,567.00 | -23.19 |
| MMM | 3M COMPANY CMN | 21-Dec-12 | 22-Apr-13 | 19 | 2,008.82 | 1,771.37 | 237.45 |
| WHR | WHIRLPOOL CORP CMN | 18-Jan-13 | 22-Apr-13 | 23 | 2,718.77 | 2,356.28 | 362.49 |
| UFS | DOMTAR CORPORATION CMN CLASS | 21-Dec-12 | 22-Apr-13 | 25 | 1,853.20 | 2,089.75 | -236.55 |
| PG | PROCTER & GAMBLE COMPANY (THE) CMN | 21-Dec-12 | 22-Apr-13 | 35 | 2,848.58 | 2,412.20 | 436.38 |
| BMY | BRISTOL-MYERS SQUIBB COMPANY CMN | 21-Dec-12 | 22-Apr-13 | 52 | 2,166.27 | 1,692.97 | 473.3 |
| CMCSA | COMCAST CORPORATION CMN CLASS A VOTING | 21-Dec-12 | 22-Apr-13 | 81 | 3,282.85 | 3,018.06 | 264.79 |
| T | AT&T INC CMN | 21-Dec-12 | 22-Apr-13 | 96 | 3,711.27 | 3,243.84 | 467.43 |
| JNJ | JOHNSON & JOHNSON CMN | 21-Dec-12 | 22-Apr-13 | 41 | 3,474.67 | 2,887.22 | 587.45 |
| LYB | LYONDELLBASELL INDUSTRIES N V CMN CLASS A | 21-Dec-12 | 22-Apr-13 | 45 | 2,654.04 | 2,475.00 | 179.04 |
| NOC | NORTHROP GRUMMAN CORP CMN | 21-Dec-12 | 22-Apr-13 | 24 | 1,702.28 | 1,649.28 | 53 |
| KEY | KEYCORP CMN | 21-Dec-12 | 22-Apr-13 | 163 | 1,550.92 | 1,376.54 | 174.38 |
| DTE | DTE ENERGY COMPANY CMN | 21-Dec-12 | 22-Apr-13 | 47 | 3,422.93 | 2,878.75 | 544.18 |
| AIG | AMERICAN INTL GROUP, INC. CMN | 11-Oct-12 | 22-Apr-13 | 68 | 2,623.38 | 2,436.00 | 187.38 |
| FCX | FREEPORT-MCMORAN INC CMN | 21-Dec-12 | 22-Apr-13 | 64 | 1,813.39 | 1,144.64 | -331.25 |
| CELG | CELGENE CORPORATION CMN | 21-Dec-12 | 22-Apr-13 | 18 | 2,283.96 | 1,429.56 | 854.4 |
| AWH | ALLIED WORLD ASSURANCE COMPANY CMN | 21-Dec-12 | 22-Apr-13 | 15 | 1,392.86 | 1,183.95 | 208.91 |
| VZ | VERIZON COMMUNICATIONS INC CMN | 21-Dec-12 | 22-Apr-13 | 54 | 2,806.85 | 2,358.45 | 448.4 |
| IACI | IAC/INTERACTIVECORP CMN | 21-Dec-12 | 22-Apr-13 | 33 | 1,461.53 | 1,537.14 | -75.61 |
| GE | GENERAL ELECTRIC CO CMN | 21-Dec-12 | 22-Apr-13 | 111 | 2,358.70 | 2,326.01 | 32.69 |
| STT | STATE STREET CORPORATION (NEW) CMN | 21-Dec-12 | 22-Apr-13 | 61 | 3,486.07 | 2,813.93 | 672.14 |
| CMS | CMS ENERGY CORPORATION CMN | 21-Dec-12 | 22-Apr-13 | 89 | 2,587.17 | 2,188.51 | 398.66 |
| WFC | WELLS FARGO & CO (NEW) CMN | 21-Dec-12 | 22-Apr-13 | 167 | 6,140.45 | 5,779.87 | 360.58 |
| HAL | HALLIBURTON COMPANY CMN | 25-Mar-13 | 22-Apr-13 | 57 | 2,238.90 | 2,256.06 | -17.16 |
| EOG | EOG RESOURCES INC CMN | 21-Dec-12 | 22-Apr-13 | 20 | 2,322.54 | 2,476.00 | -153.46 |
| DTV | DIRECTV CMN | 21-Dec-12 | 22-Apr-13 | 56 | 3,135.36 | 2,806.44 | 328.92 |
| MSFT | MICROSOFT CORPORATION CMN | 21-Dec-12 | 22-Apr-13 | 60 | 1,857.55 | 1,636.80 | 220.75 |
| M | MACY'S INC. CMN | 8-Feb-13 | 22-Apr-13 | 69 | 3,021.44 | 2,749.66 | 271.78 |
| ABC | AMERISOURCEBERGEN CORPORATION CMN | 21-Dec-12 | 22-Apr-13 | 47 | 2,640.40 | 2,057.07 | 583.33 |
| ENR | ENERGIZER HOLDINGS, INC. CMN | 21-Dec-12 | 22-Apr-13 | 15 | 1,423.91 | 1,211.25 | 212.66 |
| GCI | GANNETT CO INC. CMN | 15-Feb-13 | 22-Apr-13 | 90 | 1,876.45 | 1,809.16 | 67.29 |
| SNDK | SANDISK CORP CMN | 18-Apr-13 | 22-Apr-13 | 26 | 1,355.08 | 1,373.42 | -18.34 |
| C | CITIGROUP INC CMN | 21-Dec-12 | 22-Apr-13 | 114 | 5,134.44 | 4,516.11 | 618.33 |
| BTU | PEABODY ENERGY CORPORATION CMN | 28-Mar-13 | 22-Apr-13 | 67 | 1,262.25 | 1,411.36 | -149.11 |
| PNC | PNC FINANCIAL SERVICES GROUP CMN | 21-Dec-12 | 22-Apr-13 | 38 | 2,489.70 | 2,221.55 | 268.15 |
| IBM | INTL BUSINESS MACHINES CORP CMN | 30-Jan-13 | 22-Apr-13 | 2 | 377.43 | 408.57 | -31.14 |
| LEA | LEAR CORPORATION CMN | 21-Dec-12 | 22-Apr-13 | 57 | 3,012.38 | 2,600.34 | 412.04 |
| HD | THE HOME DEPOT, INC. CMN | 21-Dec-12 | 22-Apr-13 | 32 | 2,358.66 | 1,964.80 | 393.86 |
| TRV | THE TRAVELERS COMPANIES, INC CMN | 21-Dec-12 | 22-Apr-13 | 39 | 3,292.69 | 2,851.68 | 441.01 |
| PCL | PLUM CREEK TIMBER COMPANY INC CMN | 21-Dec-12 | 22-Apr-13 | 20 | 1,050.97 | 885.8 | 165.17 |
| MRK | MERCK & CO., INC. CMN | 21-Dec-12 | 22-Apr-13 | 62 | 2,964.77 | 2,580.28 | 384.49 |
| CSCO | CISCO SYSTEMS, INC. CMN | 21-Dec-12 | 22-Apr-13 | 244 | 5,016.52 | 4,881.22 | 135.3 |
| MCK | MCKESSON CORPORATION CMN | 21-Dec-12 | 22-Apr-13 | 29 | 3,082.05 | 2,848.38 | 233.67 |
| ALL | ALLSTATE CORPORATION COMMON STOCK | 21-Dec-12 | 22-Apr-13 | 70 | 3,434.12 | 2,830.10 | 604.02 |
| RTN | RAYTHEON CO CMN | 21-Dec-12 | 22-Apr-13 | 24 | 1,367.24 | 1,420.08 | -52.84 |
| IBM | INTL BUSINESS MACHINES CORP CMN | 30-Jan-13 | 22-Apr-13 | 11 | 2,075.87 | 2,251.09 | -175.22 |
| TWX | TIME WARNER INC. CMN | 21-Dec-12 | 23-Apr-13 | 19 | 1,145.09 | 906.99 | 238.1 |
| MMM | 3M COMPANY CMN | 21-Dec-12 | 23-Apr-13 | 11 | 1,168.18 | 1,025.53 | 142.65 |
| CELG | CELGENE CORPORATION CMN | 21-Dec-12 | 25-Apr-13 | 8 | 946.49 | 635.36 | 311.13 |

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|-------|----------------------------------------|-----------|-----------|-----|----------|----------|----------|
| DTE | DTE ENERGY COMPANY CMN | 21-Dec-12 | 29-Apr-13 | 41 | 2,975 62 | 2,511 25 | 464 37 |
| MMM | 3M COMPANY CMN | 21-Dec-12 | 29-Apr-13 | 35 | 3,603 91 | 3,263 05 | 340 86 |
| GCI | GANNETT CO INC. CMN | 15-Feb-13 | 8-May-13 | 199 | 4,056.59 | 4,000 26 | 56 33 |
| | ACTAVIS INC CMN | 10-Jul-12 | 10-May-13 | 17 | 2,023 03 | 1,270 68 | 752 35 |
| HD | THE HOME DEPOT, INC. CMN | 21-Dec-12 | 13-May-13 | 15 | 1,147 63 | 921 | 226.63 |
| PCL | PLUM CREEK TIMBER COMPANY INC CMN | 21-Dec-12 | 20-May-13 | 46 | 2,496 98 | 2,037 34 | 459.64 |
| | SMITHFIELD FOODS INC. CMN | 10-Apr-13 | 29-May-13 | 157 | 5,102 96 | 4,026 44 | 1,076.52 |
| ORCL | ORACLE CORPORATION CMN | 14-Apr-13 | 29-May-13 | 43 | 1,397 63 | 1,127 80 | 269 83 |
| | ACTAVIS INC CMN | 21-Dec-12 | 30-May-13 | 32 | 1,107 20 | 1,081 28 | 25 92 |
| LEA | LEAR CORPORATION CMN | 10-Jul-12 | 19-Jun-13 | 9 | 1,114 76 | 672.71 | 442 05 |
| FLS | FLOWSERVE CORPORATION CMN | 21-Dec-12 | 20-Jun-13 | 9 | 1,444 27 | 1,298 70 | 145 57 |
| AWH | ALLIED WORLD ASSURANCE COMPANY CMN | 21-Dec-12 | 20-Jun-13 | 34 | 3,116 33 | 2,683 62 | 432.71 |
| FCX | FREEPORT-MCMORAN INC CMN | 21-Dec-12 | 25-Jun-13 | 149 | 4,040 46 | 4,992.99 | -952.53 |
| DTV | DIRECTV CMN | 21-Dec-12 | 27-Jun-13 | 32 | 1,937.16 | 1,603 68 | 333 48 |
| ABC | AMERISOURCEBERGEN CORPORATION CMN | 21-Dec-12 | 10-Jul-13 | 11 | 619 46 | 481 44 | 138 02 |
| HAL | HALLIBURTON COMPANY CMN | 25-Mar-13 | 10-Jul-13 | 26 | 1,144 83 | 1,029 08 | 115 75 |
| ENR | ENERGIZER HOLDINGS, INC. CMN | 21-Dec-12 | 11-Jul-13 | 12 | 1,245 43 | 969 | 276 43 |
| WY | WEYERHAEUSER COMPANY CMN | 20-May-13 | 11-Jul-13 | 46 | 1,342 13 | 1,497 86 | -155 73 |
| WY | WEYERHAEUSER COMPANY CMN | 10-May-13 | 11-Jul-13 | 123 | 3,588 74 | 3,875 58 | -286 84 |
| WY | WEYERHAEUSER COMPANY CMN | 7-Jun-13 | 11-Jul-13 | 10 | 291 77 | 289 | 2.77 |
| IBM | INTL BUSINESS MACHINES CORP CMN | 30-Jan-13 | 17-Jul-13 | 29 | 5,628 41 | 5,924 20 | -295 79 |
| CELG | CELENE CORPORATION CMN | 21-Dec-12 | 19-Jul-13 | 29 | 3,924 11 | 2,303 18 | 1,620 93 |
| UFS | DOMTAR CORPORATION CMN CLASS | 21-Dec-12 | 22-Jul-13 | 24 | 1,688 90 | 2,006 16 | -317 26 |
| BTU | PEABODY ENERGY CORPORATION CMN | 28-Mar-13 | 23-Jul-13 | 170 | 2,960 95 | 3,581 07 | -620 12 |
| ABC | AMERISOURCEBERGEN CORPORATION CMN | 21-Dec-12 | 1-Aug-13 | 26 | 1,530 15 | 1,137 95 | 392 2 |
| DTV | DIRECTV CMN | 9-Jan-13 | 1-Aug-13 | 11 | 683 67 | 562 78 | 120 89 |
| DTV | DIRECTV CMN | 21-Dec-12 | 1-Aug-13 | 12 | 745 82 | 601 38 | 144 44 |
| HAL | HALLIBURTON COMPANY CMN | 25-Mar-13 | 5-Aug-13 | 60 | 2,763 49 | 2,374 80 | 388 69 |
| HAL | HALLIBURTON COMPANY CMN | 7-Jun-13 | 5-Aug-13 | 9 | 414 53 | 387 77 | 26 76 |
| HAL | HALLIBURTON COMPANY CMN | 25-Mar-13 | 5-Aug-13 | 23 | 2,341 86 | 1,857.25 | 484 61 |
| FLS | FLOWSERVE CORPORATION CMN | 21-Dec-12 | 7-Aug-13 | 75 | 4,194 19 | 3,607 50 | 586 69 |
| AFG | AMERICAN FINANCIAL GROUP INC CMN | 21-Dec-12 | 7-Aug-13 | 76 | 3,946.88 | 3,005 04 | 941 84 |
| KR | KROGER COMPANY CMN | 21-Dec-12 | 13-Aug-13 | 16 | 625 77 | 423 47 | 202 3 |
| GPS | GAP INC CMN | 3-Jun-13 | 14-Aug-13 | 44 | 1,944 65 | 1,768 00 | 176.65 |
| ABC | AMERISOURCEBERGEN CORPORATION CMN | 21-Dec-12 | 14-Aug-13 | 74 | 4,305 86 | 3,238 79 | 1,067 07 |
| CMCSA | COMCAST CORPORATION CMN CLASS A VOTING | 21-Dec-12 | 16-Aug-13 | 16 | 684 15 | 596 16 | 87 99 |
| HP | HELMERICH & PAYNE INC. CMN | 21-Dec-12 | 16-Aug-13 | 52 | 3,371 59 | 2,936 96 | 434 63 |
| BWA | BORGWARNER INC. CMN | 19-Mar-13 | 19-Aug-13 | 10 | 962 7 | 778 63 | 184 07 |
| GLW | CORNING INCORPORATED CMN | 21-Dec-12 | 20-Aug-13 | 191 | 2,769.80 | 2,399 92 | 369 88 |
| C | CITIGROUP INC CMN | 21-Dec-12 | 20-Aug-13 | 30 | 1,497.51 | 1,188 45 | 309 06 |
| GLW | CORNING INCORPORATED CMN | 21-Dec-12 | 21-Aug-13 | 107 | 1,569 14 | 1,344 46 | 224 68 |
| MSFT | MICROSOFT CORPORATION CMN | 21-Dec-12 | 26-Aug-13 | 32 | 1,101.10 | 872 96 | 228 14 |
| MRO | MARATHON OIL CORPORATION CMN | 21-Dec-12 | 30-Aug-13 | 43 | 1,482 87 | 1,336 87 | 146 |
| CPB | CAMPBELL SOUP CO CMN | 20-Jun-13 | 4-Sep-13 | 95 | 4,081 49 | 4,122 29 | -40 8 |
| UFS | DOMTAR CORPORATION CMN CLASS | 21-Dec-12 | 18-Sep-13 | 31 | 2,450 67 | 2,591 29 | -140 62 |
| BEN | FRANKLIN RESOURCES INC CMN | 7-Jun-13 | 20-Sep-13 | 6 | 309 79 | 299 2 | 10 59 |
| BEN | FRANKLIN RESOURCES INC CMN | 21-Dec-12 | 20-Sep-13 | 117 | 6,040 89 | 5,012 28 | 1,028 61 |
| NOC | NORTHROP GRUMMAN CORP CMN | 21-Dec-12 | 23-Sep-13 | 17 | 1,632 39 | 1,168 24 | 464 15 |
| VZ | VERIZON COMMUNICATIONS INC. CMN | 21-Dec-12 | 24-Sep-13 | 30 | 1,421 36 | 1,310 25 | 111 11 |
| C | CITIGROUP INC CMN | 21-Dec-12 | 24-Sep-13 | 31 | 1,529 95 | 1,228 07 | 301 88 |
| PG | PROCTER & GAMBLE COMPANY (THE) CMN | 21-Dec-12 | 24-Sep-13 | 15 | 1,184 41 | 1,033 80 | 150 61 |
| C | CITIGROUP INC. CMN | 21-Dec-12 | 25-Sep-13 | 39 | 1,928 21 | 1,544 99 | 383 22 |
| MRK | MERCK & CO , INC. CMN | 21-Dec-12 | 25-Sep-13 | 76 | 3,638 75 | 3,162 93 | 475 82 |
| CAG | CONAGRA INC CMN | 21-Dec-12 | 25-Sep-13 | 91 | 2,782.12 | 2,718 17 | 63 95 |
| CAG | CONAGRA INC CMN | 21-Dec-12 | 1-Oct-13 | 141 | 4,261 09 | 4,211 67 | 49 42 |
| WHR | WHIRLPOOL CORP CMN | 7-Jun-13 | 1-Oct-13 | 8 | 241 76 | 269 04 | -27 28 |
| LEA | LEAR CORPORATION CMN | 18-Jan-13 | 3-Oct-13 | 14 | 1,982 37 | 1,434 25 | 548 12 |
| CSCO | CISCO SYSTEMS, INC. CMN | 21-Dec-12 | 8-Oct-13 | 22 | 1,573 76 | 1,003 64 | 570 12 |
| CSCO | CISCO SYSTEMS, INC. CMN | 31-Dec-12 | 14-Oct-13 | 154 | 3,575.38 | 3,080 77 | 494 61 |
| NSC | NORFOLK SOUTHERN CORPORATION CMN | 23-Apr-13 | 16-Oct-13 | 32 | 735 25 | 625 86 | 109 39 |
| CSCO | CISCO SYSTEMS, INC. CMN | 21-Dec-12 | 16-Oct-13 | 46 | 3,646.50 | 3,487 89 | 158 61 |
| EOG | EOG RESOURCES INC CMN | 21-Dec-12 | 17-Oct-13 | 13 | 2,351.51 | 1,609 40 | 742 11 |
| GPS | GAP INC CMN | 3-Jun-13 | 17-Oct-13 | 48 | 1,773 12 | 1,928 73 | -155 61 |
| GPS | GAP INC CMN | 10-Jun-13 | 17-Oct-13 | 43 | 1,588 41 | 1,800 67 | -212 26 |
| MRO | MARATHON OIL CORPORATION CMN | 21-Dec-12 | 23-Oct-13 | 69 | 2,392 21 | 2,145 21 | 247 |
| CSCO | CISCO SYSTEMS, INC. CMN | 31-Dec-12 | 23-Oct-13 | 61 | 1,371 04 | 1,193 05 | 177 99 |
| MCK | MCKESSON CORPORATION CMN | 21-Dec-12 | 24-Oct-13 | 4 | 595 79 | 392 88 | 202 91 |
| MRK | MERCK & CO , INC. CMN | 7-Jun-13 | 28-Oct-13 | 8 | 362 44 | 386 48 | -24 04 |
| MRK | MERCK & CO , INC. CMN | 21-Dec-12 | 28-Oct-13 | 65 | 2,944.85 | 2,705 14 | 239 71 |
| EMN | EASTMAN CHEM CO CMN | 21-Dec-12 | 28-Oct-13 | 46 | 3,496 01 | 3,026 80 | 469 21 |
| EOG | EOG RESOURCES INC CMN | 21-Dec-12 | 31-Oct-13 | 8 | 1,425 87 | 990 4 | 435.47 |
| M | MACY'S INC. CMN | 8-Feb-13 | 1-Nov-13 | 41 | 1,884 92 | 1,633 85 | 251 07 |
| KR | KROGER COMPANY CMN | 21-Dec-12 | 1-Nov-13 | 20 | 852 91 | 529 34 | 323 57 |
| ALK | ALASKA AIR GROUP INC(DEL HLDG) CMN | 21-Dec-12 | 6-Nov-13 | 43 | 3,090.12 | 1,902 20 | 1,187 92 |
| STT | STATE STREET CORPORATION (NEW) CMN | 21-Dec-12 | 19-Nov-13 | 16 | 1,136 82 | 738 08 | 398 74 |
| MYL | MYLAN INC CMN | 19-Jun-13 | 19-Nov-13 | 46 | 1,926 64 | 1,448 91 | 477 73 |

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| BMY | BRISTOL-MYERS SQUIBB COMPANY CMN | 21-Dec-12 | 26-Nov-13 | 33 | 1,729 11 | 1,074 38 | 654 73 |
| ED | CONSOLIDATED EDISON INC CMN | 7-Jun-13 | 26-Nov-13 | 5 | 276 | 286 05 | -10 05 |
| ED | CONSOLIDATED EDISON INC CMN | 21-Dec-12 | 26-Nov-13 | 98 | 5,409.56 | 5,498 78 | -89 22 |
| KR | KROGER COMPANY CMN | 21-Dec-12 | 27-Nov-13 | 48 | 2,010 62 | 1,270 41 | 740 21 |
| BWA | BORGWARNER INC. CMN | 19-Mar-13 | 3-Dec-13 | 12 | 1,263 72 | 934 36 | 329 36 |
| ALK | ALASKA AIR GROUP INC(DEL HLDG) CMN | 21-Dec-12 | 3-Dec-13 | 22 | 1,650.53 | 973 22 | 677 31 |
| IAC | IAC/INTERACTIVECORP CMN | 21-Dec-12 | 3-Dec-13 | 23 | 1,308 31 | 1,071 34 | 236 97 |
| TRV | THE TRAVELERS COMPANIES, INC CMN | 21-Dec-12 | 9-Dec-13 | 16 | 1,414 93 | 1,169 92 | 245 01 |
| BWA | BORGWARNER INC. CMN | 19-Mar-13 | 11-Dec-13 | 26 | 2,755 64 | 2,024 45 | 731 19 |
| AGCO | AGCO CORP CMN | 14-Aug-13 | 18-Dec-13 | 37 | 2,112.00 | 2,112.48 | -0 48 |
| IAC | IAC/INTERACTIVECORP CMN | 23-Jan-13 | 18-Dec-13 | 41 | 2,424 82 | 1,659 41 | 765 41 |
| TRV | THE TRAVELERS COMPANIES, INC CMN | 22-Jan-13 | 2-Jan-14 | 6 | 535 11 | 468 11 | 67 |
| MU | MICRON TECHNOLOGY, INC. CMN | 27-Jun-13 | 2-Jan-14 | 86 | 1,847.59 | 1,237 23 | 610 36 |
| IAC | IAC/INTERACTIVECORP CMN | 23-Jan-13 | 2-Jan-14 | 12 | 817 44 | 485 68 | 331 76 |
| IAC | IAC/INTERACTIVECORP CMN | 22-Jul-13 | 2-Jan-14 | 36 | 2,452.32 | 1,865 57 | 586 75 |
| MU | MICRON TECHNOLOGY, INC. CMN | 27-Jun-13 | 6-Jan-14 | 49 | 1,024 13 | 704 93 | 319 2 |
| TRV | THE TRAVELERS COMPANIES, INC CMN | 22-Jan-13 | 6-Jan-14 | 36 | 3,206 50 | 2,808 63 | 397 87 |
| AGCO | AGCO CORP CMN | 3-Oct-13 | 10-Jan-14 | 22 | 1,257 71 | 1,338 21 | -80.5 |
| AGCO | AGCO CORP CMN | 14-Aug-13 | 10-Jan-14 | 24 | 1,372 05 | 1,370 26 | 1.79 |
| AGCO | AGCO CORP CMN | 1-Nov-13 | 10-Jan-14 | 19 | 1,086 21 | 1,108 85 | -22.64 |
| TRW | TRW AUTOMOTIVE HOLDINGS CORP CMN | 14-May-13 | 7-Feb-14 | 21 | 1,541 40 | 1,309 95 | 231 45 |
| ITW | ILLINOIS TOOL WORKS CMN | 24-Sep-13 | 12-Feb-14 | 12 | 947 23 | 941.55 | 5 68 |
| DEI | DOUGLAS EMMETT INC. CMN | 29-Aug-13 | 12-Feb-14 | 43 | 1,130 02 | 993 97 | 136 05 |
| ACT | ACTAVIS PLC CMN | 1-Oct-13 | 21-Feb-14 | 7 | 1,523 06 | 1,008 00 | 515 06 |
| OCR | OMNICARE INC. CMN | 22-Jul-13 | 21-Feb-14 | 47 | 2,873 86 | 2,364 21 | 509 65 |
| OCR | OMNICARE INC. CMN | 26-Jul-13 | 27-Feb-14 | 27 | 1,608.57 | 1,431 09 | 177 48 |
| AAPL | APPLE, INC. CMN | 20-Sep-13 | 27-Feb-14 | 5 | 2,588.54 | 2,352 93 | 235 61 |
| OCR | OMNICARE INC. CMN | 22-Jul-13 | 27-Feb-14 | 38 | 2,263 92 | 1,911 49 | 352 43 |
| OCR | OMNICARE INC. CMN | 1-Aug-13 | 27-Feb-14 | 27 | 1,608 57 | 1,445 93 | 162 64 |
| DAL | DELTA AIR LINES, INC. CMN | 14-Aug-13 | 3-Mar-14 | 23 | 747 39 | 438 15 | 309 24 |
| DAL | DELTA AIR LINES, INC. CMN | 14-Aug-13 | 3-Mar-14 | 45 | 1,462 28 | 862 14 | 600 14 |
| SCCO | SOUTHERN COPPER CORPORATION CMN | 11-Dec-13 | 5-Mar-14 | 41 | 1,250 18 | 1,040 19 | 209 99 |
| ACT | ACTAVIS PLC CMN | 1-Oct-13 | 6-Mar-14 | 3 | 646 3 | 432 | 214 3 |
| MU | MICRON TECHNOLOGY, INC. CMN | 27-Jun-13 | 7-Mar-14 | 46 | 1,120 77 | 661 77 | 459 |
| KN | KNOWLES CORPORATION CMN | 13-Aug-13 | 10-Mar-14 | 23 | 661.44 | 668 59 | -7 15 |
| KN | KNOWLES CORPORATION CMN | 16-Aug-13 | 10-Mar-14 | 4 | 115 03 | 115 05 | -0 02 |
| KN | KNOWLES CORPORATION CMN | 2-Jan-14 | 10-Mar-14 | 7 | 201.3 | 220 96 | -19 66 |
| SCCO | SOUTHERN COPPER CORPORATION CMN | 11-Dec-13 | 12-Mar-14 | 53 | 1,473 52 | 1,344 63 | 128 89 |
| AAP | ADVANCE AUTO PARTS, INC. CMN | 26-Nov-13 | 12-Mar-14 | 13 | 1,642.50 | 1,326 92 | 315.58 |
| SNDK | SANDISK CORP CMN | 18-Apr-13 | 12-Mar-14 | 19 | 1,417 15 | 1,003 65 | 413 5 |
| SCHW | CHARLES SCHWAB CORPORATION CMN | 20-Sep-13 | 12-Mar-14 | 55 | 1,455 90 | 1,174 54 | 281 36 |
| BMY | BRISTOL-MYERS SQUIBB COMPANY CMN | 7-Jun-13 | 21-Mar-14 | 7 | 365 57 | 329 35 | 36 22 |
| BMY | BRISTOL-MYERS SQUIBB COMPANY CMN | 22-Jul-13 | 21-Mar-14 | 23 | 1,201 16 | 1,029 93 | 171 23 |
| FLR | FLUOR CORPORATION CMN | 16-Dec-13 | 26-Mar-14 | 26 | 1,992.71 | 1,975 70 | 17 01 |
| SCHW | CHARLES SCHWAB CORPORATION CMN | 20-Sep-13 | 27-Mar-14 | 51 | 1,380 32 | 1,089 12 | 291 2 |
| Disallowed wash sale | | | | | | | |
| | | | | 24 37 | | | 24 37 |
| | | | | | 425,621.78 | 372,494.27 | 53,127.51 |

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|-------|-------------------------------------------|-----------|-----------|-----------|-----------|-----------|----------|
| PM | PHILIP MORRIS INTL INC CMN | 12-May-99 | 18-Apr-13 | 28 | 2,563 97 | 549 73 | 2,014 24 |
| XOM | EXXON MOBIL CORPORATION CMN | 14-Nov-02 | 22-Apr-13 | 80 | 7,055 04 | 2,782 96 | 4,272 08 |
| CVX | CHEVRON CORPORATION CMN | 30-Dec-91 | 22-Apr-13 | 63 | 7,360 75 | 1,217 05 | 6,143 70 |
| JPM | JPMORGAN CHASE & CO CMN | 11-May-10 | 22-Apr-13 | 146 | 6,902 72 | 6,112 13 | 790 59 |
| COP | CONOCOPHILLIPS CMN | 11-May-10 | 22-Apr-13 | 53 | 3,046 37 | 2,357 28 | 689 09 |
| BRKB | BERKSHIRE HATHAWAY INC. CLASS B | 5-Jan-12 | 22-Apr-13 | 15 | 1,580 66 | 1,143 29 | 437 37 |
| PFE | PFIZER INC CMN | 5-Jan-12 | 22-Apr-13 | 210 | 6,491 45 | 4,504 25 | 1,987 20 |
| XOM | EXXON MOBIL CORPORATION CMN | 14-Nov-02 | 11-Jun-13 | 13 | 1,178 13 | 452 23 | 725 9 |
| ACT | ACTAVIS INC CMN | 10-Jul-12 | 1-Oct-13 | 33 | 4,752 00 | 2,466 62 | 2,285 38 |
| TRV | THE TRAVELERS COMPANIES, INC CMN | 21-Dec-12 | 2-Jan-14 | 36 | 3,210 63 | 2,632 32 | 578 31 |
| T | AT&T INC CMN | 21-Dec-12 | 9-Jan-14 | 38 | 1,275 56 | 1,284 02 | -8 46 |
| C | CITIGROUP INC. CMN | 21-Dec-12 | 9-Jan-14 | 24 | 1,324 42 | 950 76 | 373 66 |
| BMY | BRISTOL-MYERS SQUIBB COMPANY CMN | 21-Dec-12 | 14-Jan-14 | 32 | 1,762 88 | 1,041 83 | 721 05 |
| DTV | DIRECTV CMN | 9-Jan-13 | 7-Feb-14 | 15 | 1,050 02 | 767 42 | 282 6 |
| TRW | TRW AUTOMOTIVE HOLDINGS CORP CMN | 21-Dec-12 | 7-Feb-14 | 4 | 293 6 | 209 4 | 84 2 |
| TRW | TRW AUTOMOTIVE HOLDINGS CORP CMN | 21-Dec-12 | 7-Feb-14 | 71 | 5,161 03 | 3,716 85 | 1,444 18 |
| JPM | JPMORGAN CHASE & CO CMN | 11-May-10 | 12-Feb-14 | 30 | 1,726 80 | 1,255 92 | 470 88 |
| C | CITIGROUP INC. CMN | 21-Dec-12 | 12-Feb-14 | 25 | 1,247 97 | 990 38 | 257.59 |
| MRO | MARATHON OIL CORPORATION CMN | 21-Dec-12 | 18-Feb-14 | 30 | 1,004 78 | 932 7 | 72 08 |
| T | AT&T INC CMN | 21-Dec-12 | 18-Feb-14 | 67 | 2,196 70 | 2,263 93 | -67 23 |
| BRKB | BERKSHIRE HATHAWAY INC. CLASS B | 5-Jan-12 | 18-Feb-14 | 9 | 1,032 12 | 685 98 | 346 14 |
| XOM | EXXON MOBIL CORPORATION CMN | 14-Nov-02 | 19-Feb-14 | 45 | 4,274 26 | 1,565 42 | 2,708 84 |
| MCK | MCKESSON CORPORATION CMN | 21-Dec-12 | 6-Mar-14 | 19 | 3,478 42 | 1,866 18 | 1,612 24 |
| MCK | MCKESSON CORPORATION CMN | 21-Dec-12 | 14-Mar-14 | 11 | 1,991.97 | 1,080 42 | 911 55 |
| BMY | BRISTOL-MYERS SQUIBB COMPANY CMN | 21-Dec-12 | 21-Mar-14 | 55 | 2,872 34 | 1,790.64 | 1,081.70 |
| LYB | LYONDELLBASELL INDUSTRIES N V CMN CLASS A | 21-Dec-12 | 24-Mar-14 | 32 | 2,869 70 | 1,760 00 | 1,109 70 |
| TOTAL | | | | 77,704.29 | 46,379.71 | 31,324.58 | |

503,326.07 418,873.98 84,452.09

Base Currency USD

| Realized Gains/Losses | | Trade Date | Date Sold / Covered | Qty | Proceeds (Base) | Cost (Base) | Gain/Loss (Base) |
|-----------------------|--------------------------------------------------|------------|---------------------|-----|-----------------|-------------|------------------|
| Symbol | Description | | | | | | |
| AAPL | INTERCONTINENTALEXCHANGE INC CMN | 21-Dec-12 | 22-Apr-13 | 37 | 5,707.49 | 4,689.62 | 1,017.87 |
| AAPL | APPLE, INC. CMN | 21-Dec-12 | 22-Apr-13 | 14 | 5,556.89 | 7,196.86 | -1,639.97 |
| LNTA | LIBERTY INTERACTIVE CORP INTERACTIVE CMN CLASS A | 21-Dec-12 | 22-Apr-13 | 270 | 5,432.28 | 5,297.00 | 135.28 |
| SBH | SALLY BEAUTY HOLDINGS, INC. CMN | 21-Dec-12 | 22-Apr-13 | 108 | 3,178.36 | 2,606.04 | 572.32 |
| PGR | PROGRESSIVE CORPORATION (THE) CMN | 21-Dec-12 | 22-Apr-13 | 329 | 8,195.20 | 6,934.43 | 1,260.77 |
| KMI | KINDER MORGAN INC CMN CLASS P | 21-Dec-12 | 22-Apr-13 | 235 | 9,164.79 | 8,265.11 | 899.68 |
| CELG | CELGENE CORPORATION CMN | 21-Dec-12 | 22-Apr-13 | 89 | 11,271.59 | 7,008.75 | 4,262.84 |
| PCLN | PRICELINE GROUP INC/THE CMN | 21-Dec-12 | 22-Apr-13 | 6 | 4,118.00 | 3,688.62 | 429.38 |
| V | VISA INC. CMN CLASS A | 21-Dec-12 | 22-Apr-13 | 60 | 9,779.78 | 9,039.60 | 740.18 |
| SYT | SYNGENTA AG SPONSORED ADR CMN | 21-Dec-12 | 22-Apr-13 | 45 | 3,702.51 | 3,618.03 | 84.48 |
| INTU | INTUIT INC CMN | 21-Dec-12 | 22-Apr-13 | 88 | 5,528.91 | 5,425.68 | 103.23 |
| ADBE | ADBE SYSTEMS INC CMN | 21-Dec-12 | 22-Apr-13 | 223 | 9,912.12 | 8,434.28 | 1,477.84 |
| | BMC SOFTWARE, INC. CMN | 21-Dec-12 | 22-Apr-13 | 152 | 6,677.21 | 6,194.15 | 483.06 |
| KMI WS | WTS/KINDER MORGAN, INC. EXP 05/25/2017 | 21-Dec-12 | 22-Apr-13 | 108 | 586.42 | 401.25 | 185.17 |
| VRSN | VERISIGN INC CMN | 21-Dec-12 | 22-Apr-13 | 75 | 3,329.17 | 2,822.60 | 506.57 |
| GOOGL | GOOGLE INC. CMN CLASS A | 21-Dec-12 | 22-Apr-13 | 7 | 5,580.55 | 4,990.86 | 589.69 |
| NVO | NOVO-NORDISK A/S ADR ADR CMN | 21-Dec-12 | 22-Apr-13 | 23 | 3,814.00 | 3,724.16 | 89.84 |
| AGN | ALLERGAN INC CMN | 21-Dec-12 | 22-Apr-13 | 96 | 10,915.91 | 8,846.76 | 2,069.15 |
| WAG | WALGREEN CO. CMN | 21-Dec-12 | 22-Apr-13 | 182 | 9,067.03 | 6,536.67 | 2,530.36 |
| PAY | VERIFONE SYSTEMS INC CMN | 21-Dec-12 | 22-Apr-13 | 74 | 1,373.40 | 2,110.63 | -737.23 |
| MA | MASTERCARD INCORPORATED CMN CLASS A | 21-Dec-12 | 22-Apr-13 | 16 | 8,338.21 | 7,835.26 | 502.95 |
| EOG | EOG RESOURCES INC CMN | 21-Dec-12 | 22-Apr-13 | 49 | 5,658.88 | 6,033.37 | -374.49 |
| | PERRIGO COMPANY CMN | 21-Dec-12 | 22-Apr-13 | 39 | 4,680.28 | 3,997.37 | 682.91 |
| NKE | NIKE CLASS-B CMN CLASS B | 21-Dec-12 | 22-Apr-13 | 81 | 4,949.79 | 4,227.39 | 722.4 |
| CCI | CROWN CASTLE INTL CORP COMMON STOCK | 21-Dec-12 | 22-Apr-13 | 137 | 10,234.44 | 9,808.32 | 426.12 |
| CME | CME GROUP INC. CMN CLASS A | 21-Dec-12 | 22-Apr-13 | 73 | 4,370.41 | 3,698.16 | 672.25 |
| AAPL | APPLE, INC. CMN | 21-Dec-12 | 6-May-13 | 10 | 4,605.77 | 5,140.62 | -534.85 |
| | BMC SOFTWARE, INC. CMN | 21-Dec-12 | 6-May-13 | 53 | 2,411.06 | 2,159.80 | 251.26 |
| | BMC SOFTWARE, INC. CMN | 21-Dec-12 | 7-May-13 | 184 | 8,341.54 | 7,498.18 | 843.36 |
| AGN | ALLERGAN INC CMN | 21-Dec-12 | 20-May-13 | 13 | 1,292.25 | 1,198.00 | 94.25 |
| | BMC SOFTWARE, INC. CMN | 21-Dec-12 | 6-Jun-13 | 106 | 4,794.05 | 4,319.61 | 474.44 |
| CAT | CATERPILLAR INC (DELAWARE) CMN | 21-Dec-12 | 6-Jun-13 | 102 | 8,536.94 | 8,910.72 | -373.78 |
| AAPL | APPLE, INC. CMN | 21-Dec-12 | 16-Jul-13 | 6 | 2,560.61 | 3,084.37 | -523.76 |
| PCLN | PRICELINE GROUP INC/THE CMN | 21-Dec-12 | 16-Jul-13 | 2 | 1,809.88 | 1,229.54 | 580.34 |
| PGR | PROGRESSIVE CORPORATION (THE) CMN | 21-Dec-12 | 18-Jul-13 | 43 | 1,112.10 | 906.32 | 205.78 |
| INTU | INTUIT INC CMN | 21-Dec-12 | 5-Aug-13 | 51 | 3,263.78 | 3,144.43 | 119.35 |
| SBH | SALLY BEAUTY HOLDINGS, INC. CMN | 21-Dec-12 | 5-Aug-13 | 26 | 709.35 | 627.38 | 81.97 |
| AAPL | APPLE, INC. CMN | 21-Dec-12 | 26-Aug-13 | 6 | 3,029.11 | 3,084.37 | -55.26 |
| KMI WS | WTS/KINDER MORGAN, INC. EXP 05/25/2017 | 21-Dec-12 | 27-Aug-13 | 128 | 647.19 | 475.56 | 171.63 |
| INTU | INTUIT INC CMN | 21-Dec-12 | 27-Aug-13 | 42 | 2,665.01 | 2,589.53 | 75.48 |
| WAG | WALGREEN CO. CMN | 21-Dec-12 | 29-Aug-13 | 27 | 1,286.40 | 969.73 | 316.67 |
| ADBE | ADBE SYSTEMS INC CMN | 21-Dec-12 | 2-Oct-13 | 51 | 2,621.67 | 1,928.92 | 692.75 |
| AAPL | APPLE, INC. CMN | 21-Dec-12 | 28-Oct-13 | 4 | 2,122.95 | 2,056.25 | 66.7 |
| ADBE | ADBE SYSTEMS INC CMN | 21-Dec-12 | 29-Oct-13 | 27 | 1,461.92 | 1,021.19 | 440.73 |
| PCLN | PRICELINE GROUP INC/THE CMN | 21-Dec-12 | 30-Oct-13 | 2 | 2,123.71 | 1,229.54 | 894.17 |
| V | VISA INC. CMN CLASS A | 21-Dec-12 | 30-Oct-13 | 4 | 809.93 | 602.64 | 207.29 |
| ADBE | ADBE SYSTEMS INC CMN | 21-Dec-12 | 31-Oct-13 | 27 | 1,464.48 | 1,021.19 | 443.29 |
| EOG | EOG RESOURCES INC CMN | 21-Dec-12 | 1-Nov-13 | 38 | 6,781.20 | 4,678.94 | 2,102.26 |
| | PERRIGO COMPANY CMN | 21-Dec-12 | 19-Dec-13 | 77 | 11,961.92 | 7,892.25 | 4,069.67 |
| | | | | | 237,536.44 | 209,200.05 | 28,336.39 |

| | | | | | | | |
|-------|--------------------------------------------------|-----------|-----------|-----|-----------|-----------|-----------|
| QCOM | QUALCOMM INC CMN | 11-Jan-11 | 22-Apr-13 | 128 | 8,222.53 | 6,662.69 | 1,559.84 |
| AGN | ALLERGAN INC CMN | 21-Dec-12 | 16-Jan-14 | 20 | 2,389.46 | 1,843.08 | 546.38 |
| LNTA | LIBERTY INTERACTIVE CORP INTERACTIVE CMN CLASS A | 21-Dec-12 | 10-Feb-14 | 106 | 2,893.97 | 2,079.56 | 814.41 |
| AAPL | APPLE, INC. CMN | 21-Dec-12 | 10-Feb-14 | 21 | 11,106.96 | 10,795.29 | 311.67 |
| KMI | KINDER MORGAN INC CMN CLASS P | 21-Dec-12 | 13-Mar-14 | 240 | 7,437.29 | 8,440.97 | -1,003.68 |
| TOTAL | | | | | 32,050.21 | 29,821.59 | 2,228.62 |

269,586.65 239,021.64 30,565.01

Application for Extension of Time To File an
Exempt Organization Return

► File a separate application for each return.

OMB No 1545 1709

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extention on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

| | | |
|---------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|
| Type or print | Name of exempt organization or other filer, see instructions THE GOEL FOUNDATION | Employer identification number (EIN) or 77-0269072 |
| File by the due date for filing your return. See instructions | Number, street, and room or suite number. If a P O box, see instructions 98 RIDGEVIEW DRIVE | Social security number (SSN) |
| | City, town or post office, state, and ZIP code. For a foreign address, see instructions ATHERTON, CA 94027 | |

Enter the Return code for the return that this application is for (file a separate application for each return)

04

| Application Is For | Return Code | Application Is For | Return Code |
|---------------------------------------------|-------------|-----------------------------------|-------------|
| Form 990 or Form 990-EZ | 01 | Form 990-T (corporation) | 07 |
| Form 990-BL | 02 | Form 1041-A | 08 |
| Form 4720 (individual) | 03 | Form 4720 (other than individual) | 09 |
| Form 990-PF | 04 | Form 5227 | 10 |
| Form 990-T (section 401(a) or 408(a) trust) | 05 | Form 6069 | 11 |
| Form 990-T (trust other than above) | 06 | Form 8870 | 12 |

- The books are in the care of ► **PRABHU GOEL**

Telephone No. ► **650-823-2884**

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ► If it is for part of the group, check this box ► and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time

until **11/15 20 14**, to file the exempt organization return for the organization named above.

The extension is for the organization's return for:

- calendar year 20 . . . or
- tax year beginning **4/01 20 13**, and ending **3/31 20 14**.

2 If the tax year entered in line 1 is for less than 12 months, check reason: Initial return Final return
 Change in accounting period

| | |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|
| 3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions | 3a \$ 5,745. |
| b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit | 3b \$ 5,745. |
| c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions | 3c \$ 0. |

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions