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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013 or tax year beginning **APR 1, 2013** and ending **MAR 31, 2014**

Name of foundation
J. E. AND MILDRED WAGGONER FAMILY FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address)
2341 ROBINWOOD ROAD

City or town, state or province, country, and ZIP or foreign postal code
GASTONIA, NC 28054

A Employer identification number
56-1847923

B Telephone number
704-864-7633

C If exemption application is pending, check here

D 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

G Check all that apply
 Initial return
 Final return
 Address change
 Initial return of a former public charity
 Amended return
 Name change

H Check type of organization
 Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust
 Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col (c), line 16)
\$ **1,186,606**

J Accounting method
 Cash
 Accrual
 Other (specify) _____

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		32,827.	32,827.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10					
b Gross sales price for all assets on line 6a		603,197.			
7 Capital gain net income (from Part IV, line 2)			49,296.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		82,123.	82,123.		
13 Compensation of officers, directors, trustees, etc		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees		183.	183.		0.
b Accounting fees		1,595.	1,595.		0.
c Other professional fees		12,599.	12,599.		0.
17 Interest					
18 Taxes		716.	716.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses					
24 Total operating and administrative expenses. Add lines 13 through 23		15,093.	15,093.		0.
25 Contributions, gifts, grants paid		60,000.			60,000.
26 Total expenses and disbursements. Add lines 24 and 25		75,093.	15,093.		60,000.
27 Subtract line 26 from line 12		7,030.			
a Excess of revenue over expenses and disbursements					
b Net investment income (if negative, enter -0-)			67,030.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II - Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	118,918.	92,430.	92,430.
	3 Accounts receivable			
	Less allowance for doubtful accounts			
	4 Pledges receivable			
	Less allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable			
	Less allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations STMT 6	50,364.	50,364.	50,554.
	b Investments - corporate stock STMT 7	367,120.	388,020.	457,687.
	c Investments - corporate bonds STMT 8	51,491.	51,491.	55,042.
	11 Investments - land buildings and equipment basis			
Less accumulated depreciation				
12 Investments - mortgage loans				
13 Investments - other STMT 9	507,966.	520,584.	530,893.	
14 Land, buildings, and equipment basis				
Less accumulated depreciation				
15 Other assets (describe)				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item 1)	1,095,859.	1,102,889.	1,186,606.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	1,095,859.	1,102,889.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input type="checkbox"/> and complete lines 27 through 31			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
29 Retained earnings, accumulated income, endowment, or other funds				
30 Total net assets or fund balances	1,095,859.	1,102,889.		
31 Total liabilities and net assets/fund balances	1,095,859.	1,102,889.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,095,859.
2 Enter amount from Part I, line 27a	2	7,030.
3 Other increases not included in line 2 (itemize)	3	0.
4 Add lines 1, 2, and 3	4	1,102,889.
5 Decreases not included in line 2 (itemize)	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,102,889.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED SCHEDULE		P		
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 603,197.		553,901.	49,296.	
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a			49,296.	
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } 2		49,296.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		{ If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 } 3		N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	58,475.	1,154,089.	.050668
2011	58,800.	1,170,280.	.050244
2010	56,000.	1,168,289.	.047933
2009	55,000.	1,104,901.	.049778
2008	64,675.	1,162,806.	.055620
2 Total of line 1, column (d)			2 .254243
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .050849
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 1,184,653.
5 Multiply line 4 by line 3			5 60,238.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 670.
7 Add lines 5 and 6			7 60,908.
8 Enter qualifying distributions from Part XII, line 4			8 60,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	1,341.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	1,341.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0-	5	1,341.
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	663.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	663.
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	2.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	680.
10	Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
d		
e		
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ <u>N/A</u>	X	
14	The books are in care of ▶ <u>RUTH WAGGONER</u> Telephone no ▶ <u>704-864-7633</u> Located at ▶ <u>2341 ROBINWOOD ROAD, GASTONIA, NC</u> ZIP+4 ▶ <u>28054</u>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ <u>15</u> N/A		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶	Yes	No
			X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) <input type="checkbox"/> N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) <input type="checkbox"/> N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A Yes No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
		0

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0.
2	
All other program-related investments See instructions	
3	0.
Total. Add lines 1 through 3 ▶	0.

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	1,202,693.
b	Average of monthly cash balances	1b	
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,202,693.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,202,693.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	18,040.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,184,653.
6	Minimum investment return. Enter 5% of line 5	6	59,233.

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	59,233.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,341.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,341.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	57,892.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	57,892.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	57,892.

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	60,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	60,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	60,000.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				57,892.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008	7,185.			
b From 2009	329.			
c From 2010				
d From 2011	1,772.			
e From 2012	1,628.			
f Total of lines 3a through e	10,914.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$	60,000.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				57,892.
e Remaining amount distributed out of corpus	2,108.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	13,022.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	7,185.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	5,837.			
10 Analysis of line 9				
a Excess from 2009	329.			
b Excess from 2010				
c Excess from 2011	1,772.			
d Excess from 2012	1,628.			
e Excess from 2013	2,108.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities					
3 Subtract line 2d from line 2c					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
GASTON CHRISTIAN SCHOOL GASTONIA, NC	NONE	NONE	RECIPIENT'S GENERAL USE	5,000.
HEBRON COLONY MINISTRIES BOONE, NC	NONE	NONE	RECIPIENT'S GENERAL USE	4,000.
JUVENILE DIABETES RESEARCH FOUNDATION	NONE	NONE	RECIPIENT'S GENERAL USE	17,000.
MYERS MEMORIAL METHODIST CHURCH GASTONIA, NC	NONE	NONE	RECIPIENT'S GENERAL USE	10,000.
GASTON COUNTY FELLOWSHIP OF CHRISTIAN ATHLETES GASTONIA, NC	NONE	NONE	RECIPIENT'S GENERAL USE	4,000.
Total SEE CONTINUATION SHEET(S) ▶ 3a				60,000.
<i>b Approved for future payment</i>				
NONE				
Total ▶ 3b				0.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
BB&T TRUST DIVISION	32,827.	0.	32,827.	32,827.	
TO PART I, LINE 4	32,827.	0.	32,827.	32,827.	

FORM 990-PF LEGAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	183.	183.		0.
TO FM 990-PF, PG 1, LN 16A	183.	183.		0.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	1,595.	1,595.		0.
TO FORM 990-PF, PG 1, LN 16B	1,595.	1,595.		0.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKERAGE FEES	12,599.	12,599.		0.
TO FORM 990-PF, PG 1, LN 16C	12,599.	12,599.		0.

FORM 990-PF	TAXES			STATEMENT 5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD	716.	716.		0.
ESTIMATED TAXES FORM 990-PF	0.	0.		0.
2012 FORM 990-PF TAX	0.	0.		0.
TO FORM 990-PF, PG 1, LN 18	716.	716.		0.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS		STATEMENT 6	
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US GOVT OBLIGATIONS SEE SCHEDULE	X		50,364.	50,554.
TOTAL U.S. GOVERNMENT OBLIGATIONS			50,364.	50,554.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			50,364.	50,554.

FORM 990-PF	CORPORATE STOCK		STATEMENT 7
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
COMMON STOCK SEE SCHEDULE	388,020.	457,687.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	388,020.	457,687.	

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
BONDS SEE SCHEDULE	51,491.	55,042.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	51,491.	55,042.	

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
MUTUAL FUNDS SEE SCHEDULE	COST	520,584.	530,893.
TOTAL TO FORM 990-PF, PART II, LINE 13		520,584.	530,893.

FORM 990-PF	INTEREST AND PENALTIES	STATEMENT	10
TAX DUE FROM FORM 990-PF, PART VI			678.
UNDERPAYMENT PENALTY			2.
LATE PAYMENT INTEREST			9.
LATE PAYMENT PENALTY			17.
TOTAL AMOUNT DUE			706.

FORM 990-PF	LATE PAYMENT PENALTY	STATEMENT	11		
DESCRIPTION	DATE	AMOUNT	BALANCE	MONTHS	PENALTY
TAX DUE	08/15/14	678.	678.	5	17.
DATE FILED	01/15/15		678.		
TOTAL LATE PAYMENT PENALTY					17.

FORM 990-PF		LATE PAYMENT INTEREST				STATEMENT 12	
DESCRIPTION	DATE	AMOUNT	BALANCE	RATE	DAYS	INTEREST	
TAX DUE	08/15/14	678.	678.	.0300	153	9.	
DATE FILED	01/15/15		687.				
TOTAL LATE PAYMENT INTEREST						9.	

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE		
			BEN PLAN CONTRIB	EXPENSE ACCOUNT	
MILDRED W. WAGGONER 1005 SPRING VALLEY LANE STATESVILLE, NC 28677	PRESIDENT & DIRECTOR 1.00		0.	0.	0.
JOYCE W FORMAN 205 DUNLAP LOOP STATESVILLE, NC 28625	VICE-PRESIDENT 1.00		0.	0.	0.
DOROTHY W. HAGER 3417 COUNTRY CLUB DRIVE GASTONIA, NC 28056	SECRETARY 1.00		0.	0.	0.
RUTH W. WAGGONER 2341 ROBINWOOD ROAD GASTONIA, NC 28054	TREASURER 1.00		0.	0.	0.
JACQUELINE W. DARKEN 116 MUIR LANE CHAPEL HILL, NC 27514	VICE-PRESIDENT 1.00		0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII			0.	0.	0.

THE J E & MILDRED WAGGONER
FAMILY FOUNDATION, INC.
SCHEDULE OF CAPITAL GAINS

4/1/13 - 3/31/14

NAME	DATE SOLD	SALES PRICE	COST	GAIN (LOSS)
ACTUANT CORP CL A NEW	7/16/2013	2,126.96	1,846.20	280.76
L'AIR LIQUIDE-UNSPONS ADR COMMON	7/16/2013	1,956.53	1,881.67	74.86
ALIGN TECHNOLOGY INC COMMON	11/1/2013	1,127.18	580.40	546.78
ALLEGHENY TECHNOLOGIES INC	11/1/2013	1,328.37	1,143.43	184.94
ALLERGAN INC COMMON	11/1/2013	1,713.20	1,789.09	(75.89)
ALLIANCE DATA SYSTEMS COMMON	8/22/2013	1,607.65	1,189.68	417.97
AMAZON.COM INC COMMON	11/18/2013	1,474.01	772.11	701.90
AMERCO COMMON	7/16/2013	1,373.57	877.42	496.15
AMERICAN CENTURY DIVERSIFIED BOND FD	11/1/2013	41,408.30	42,185.62	(777.32)
ANN INC COMMON	12/9/2013	1,070.98	1,012.80	58.18
APPLE INC COMMON	8/22/2013	2,511.11	1,258.30	1,252.81
ARM HOLDING PLC-SPONS ADR	7/16/2013	1,654.17	1,120.79	533.38
ARRIS GROUP INC COMMON	12/9/2013	1,267.77	1,044.00	223.77
ARUBA NETWORKS INC COMMON	12/2/2013	1,043.38	1,008.00	35.38
AVERY DENNISON CORP COMMON	8/22/2013	1,331.97	1,294.80	37.17
AVNET INC COMMON	8/22/2013	1,973.24	1,518.90	454.34
AVIVA PLC	11/1/2013	1,708.77	1,083.19	625.58
AXA SA SPONSORED ADR	7/16/2013	1,252.17	1,089.07	163.10
B&G FOODS INC COMMON	12/9/2013	1,349.57	1,210.80	138.77
BAUIDU INC	2/4/2014	1,539.37	1,058.00	481.37
BANCO BILBAO VIZCAYA	3/18/2014	1,731.76	1,293.62	438.14
THE BANK OF YOKOHAMA LTD	11/1/2013	1,081.23	1,144.50	(63.27)
BARCLAYS PLC - EXPIRED RIGHTS	12/24/2013	132.23	-	132.23
BAXTER INTERNATIONAL	8/22/2013	2,497.19	2,056.94	440.25
BE AEROSPACE INC COMMON	11/6/2013	1,624.97	1,182.00	442.97
BE AEROSPACE INC COMMON	41,617.00	1,303.17	1,314.60	(11.43)
BERRY PLASTICS GROUP IN COMMON	41,508.00	1,141.48	1,060.00	81.48
BERRY PLASTICS GROUP IN COMMON	41,674.00	1,121.48	1,100.50	20.98
BLACKROCK INC COMMON	41,579.00	1,207.58	808.80	398.78
BLACKROCK INC COMMON	41,674.00	1,165.82	808.79	357.03
BROADCOM CORPORATION	41,508.00	1,519.79	2,198.32	(678.53)
CIT GROUP INC COMMON	41,596.00	2,965.74	2,581.50	384.24
CIT GROUP INC COMMON	41,716.00	2,438.44	2,409.40	29.04
CABOT CORP COMMON	41,471.00	1,968.46	1,654.50	313.96
CADENCE DESIGN SYSTEMS INC	11/06/13	1,025.58	1,078.40	(52.82)
CAMERON INTL CORP CORP COMMON	11/1/13	1,360.72	1,643.75	(283.03)
CASEY'S GENERAL STORES INC COMMON	7/19/13	1,304.77	784.60	520.17

THE J E & MILDRED WAGGONER
FAMILY FOUNDATION, INC.

SCHEDULE OF CAPITAL GAINS

4/1/13 - 3/31/14

NAME	DATE SOLD	SALES PRICE	COST	GAIN (LOSS)
CASEY'S GENERAL STORES INC COMMON	3/18/14	1,400.56	1,199.20	201.36
CATAMARAN CORP	7/16/13	993.98	1,005.40	(11.42)
CENTENE CORP	11/1/13	1,108.58	1,135.08	(26.50)
CERNER CORP COMMON	7/16/13	2,453.95	1,875.11	578.84
CHEMTURA CORP COMMON	2/4/14	1,214.47	1,146.00	68.47
COACH INC COMMON	7/16/13	1,175.40	971.40	204.00
COACH INC COMMON	8/22/13	1,579.14	1,638.63	(59.49)
COCHLEAR LIMITED UNSPONS ADR	7/16/13	1,158.37	1,287.20	(128.83)
COGNIZANT TECHNOLOGY SOLUTIONS COMMON	7/16/13	2,134.02	1,715.93	418.09
COMCAST CORP CLASS A	2/4/14	1,604.97	1,324.72	280.25
COMVAULT SYSTEMS INC COMMON	7/16/13	1,201.92	1,021.95	179.97
COSTCO WHOLESALE CORP COMMON	12/2/13	1,492.41	1,029.12	463.29
CRIMSON WINE GROUP LTD COMMON	7/19/13	77.57	72.40	5.17
CROWN HOLDINGS INC COMMON	7/16/13	1,272.27	1,222.20	50.07
CYTEC INDUSTRIES INC COMMON	7/16/13	1,160.22	852.60	307.62
DAIMLER AG	12/9/13	2,456.05	1,470.30	985.75
DANAHER CORP COMMON	7/16/13	2,391.90	1,916.23	475.67
DEUTSCHE BOERSE AG	11/1/13	1,622.47	1,080.95	541.52
DEUTSCHE POST AG SPON ADR	7/16/13	2,118.36	1,444.80	673.56
DISCOVER FINANCIAL SERVICES COMMON	11/1/13	1,042.38	483.95	558.43
DISCOVER FINANCIAL SERVICES COMMON	12/2/13	1,071.38	483.94	587.44
DOUBLELINE TOTAL RETURN BOND FUND	2/4/14	33,553.61	34,011.58	(457.97)
EAGLE MATERIALS INC COMMON	11/18/13	1,167.28	1,128.30	38.98
EAGLE MATERIALS INC COMMON	2/7/14	1,128.13	1,132.80	(4.67)
EDWARDS LIFESCIENCES CORP COMMON	7/16/13	1,014.52	1,220.90	(206.38)
EMERSON ELECTRIC COMPANY COMMON	8/22/13	3,729.01	3,020.51	708.50
ENCORE WIRE CORP COMMON	12/2/13	984.18	535.83	448.35
EQUIFAX INC COMMON	8/22/13	1,247.37	1,232.60	14.77
EXPEDITORS INTL WASH INC COMMON	7/16/13	1,226.97	1,377.04	(150.07)
FIFTH & PACIFIC COMPANIES INC COMMON	7/16/13	2,139.26	1,125.00	1,014.26
FOOT LOCKERS INC COMMON	2/4/14	1,498.37	1,293.60	204.77
FOSSIL GROUP INC COMMON	2/4/14	915.91	1,012.56	(96.65)
FORWARD INTERNATIONAL SMALL COMPANIES	7/16/13	9,684.22	7,437.49	2,246.73
FRESENIUS USA INC	12/1/13	1,738.42	1,751.91	(13.49)
GDF SUEZ SPONS ADR	11/1/13	1,233.73	1,119.73	114.00
GNF SUEZ SPONS ADR	7/16/13	1,408.77	590.10	818.67
GAZPROM	11/1/13	920.98	1,028.00	(107.02)

THE J E & MILDRED WAGGONER
FAMILY FOUNDATION, INC.
SCHEDULE OF CAPITAL GAINS

4/1/13 - 3/31/14

NAME	DATE SOLD	SALES PRICE	COST	GAIN (LOSS)
GAZPROM	3/18/14	911.28	1,053.65	(142.37)
GENERAL ELECTRIC COMPANY COMMON	12/9/13	1,631.97	1,092.90	539.07
GENERAL ELECTRIC COMPANY COMMON	3/18/14	2,559.34	2,145.75	413.59
GENESSEE & WYO INC CL A	8/22/13	1,787.76	1,077.00	710.76
GILEAD SCIENCES INC	8/22/13	2,690.49	1,104.58	1,585.91
GOOGLE INC COMMON	11/18/13	2,083.00	1,371.54	711.46
GULFPORT ENERGY CORPORATON COMMON	11/1/13	1,152.57	879.60	272.97
HD SUPPLY HOLDINGS INC COMMON	3/18/14	1,136.97	1,073.50	63.47
HSBC HOLDINGS PLC	7/16/13	2,205.96	1,965.50	240.46
HAIN CELESTIAL GROUP INC COMMON	8/27/13	2,031.35	1,336.00	695.35
HEXCEL CORPORATION	12/2/13	1,310.37	1,094.70	215.67
HYATT HOTELS CORP CL A COMMON	11/18/13	1,961.16	1,699.60	261.56
INSULET CORPORATION COMMON	2/4/14	1,266.57	1,007.70	258.87
INTEL COMMON	11/1/13	2,313.20	2,058.74	254.46
INTEL COMMON	3/18/14	1,489.77	1,356.50	133.27
INTERNATIONAL CONSOLIDATED AIRLINES GROUP	2/4/14	2,017.46	1,272.20	745.26
INTUIT INC COMMON	7/16/13	3,248.51	3,027.08	221.43
INTREPID POTASH INC COMMON	11/1/13	1,129.58	1,362.45	(232.87)
JDS UNIPHASE CORP COMMON	8/27/13	1,477.27	1,133.55	343.72
JGC CORPORATION	11/1/13	2,264.06	1,757.82	506.24
KAISER ALUMINUM CORPORATION COMMON	7/16/13	1,611.97	1,585.75	26.22
KDDI CORPORATION	7/16/13	1,686.07	1,076.40	609.67
KEYCORP NEW COMMON	8/22/13	1,226.97	898.00	328.97
KINDER MORGAN MGMT LLC	5/24/13	57.74	45.59	12.15
KINDER MORGAN MGMT LLC	7/16/13	3,882.05	3,085.30	796.75
L'OREAL SA ADR	7/16/13	1,347.17	949.20	397.97
LSB INDUSTRIES INC COMMON	3/18/14	1,385.80	1,471.95	(86.15)
LAMAR ADVERTISING CO COMMON	8/22/13	1,290.35	1,340.40	(50.05)
LAZARD EMERGING MKTS PORTFOLIO FD #638	2/4/14	6,804.70	8,845.30	(2,040.60)
LEUCADIA NATIONAL CORP COMMON	7/16/13	1,337.84	1,529.67	(191.83)
LEUCADIA NATIONAL CORP COMMON	8/22/2013	1,024.27	912.55	111.72
LEUCADIA NATIONAL CORP COMMON	3/18/14	1,053.97	1,061.74	(7.77)
LIFE TIME FITNESS COMMON	8/22/13	1,032.58	1,087.00	(54.42)
LIFE TIME FITNESS COMMON	3/18/14	1,463.96	1,405.50	58.46
LINCOLN ELECTRIC HOLDINGS COMMON	3/18/14	1,086.27	1,021.50	64.77
LINDE AG SPON ADR	8/22/13	2,004.46	1,551.00	453.46
LONZA GROUP AG ADR	11/1/13	2,186.21	1,090.00	1,096.21

THE J E & MILDRED WAGGONER
FAMILY FOUNDATION, INC.
SCHEDULE OF CAPITAL GAINS

4/1/13 - 3/31/14

<u>NAME</u>	DATE SOLD	SALES PRICE	COST	GAIN (LOSS)
LOWES COMPANIES INC	3/18/14	1,489.16	1,480.80	8.36
MGIC INV CORP	12/2/13	1,048.78	1,009.45	39.33
MGIC INV CORP	2/4/14	1,067.28	1,097.20	(29.92)
METLIFE INC COMMON	3/18/14	2,086.35	1,378.37	707.98
METTLER-TOLEDO INTL COMMON	8/22/13	1,377.39	1,305.84	71.55
MICROSOFT CORP COMMON	7/16/13	2,527.76	1,609.66	918.10
MICROSOFT CORP COMMON	11/18/13	2,797.08	2,042.28	754.80
MICROSOFT CORP COMMON	2/4/14	4,020.43	3,400.65	619.78
MOLEX CLASS A	11/1/13	1,923.96	946.50	977.46
MONSANTO CO COMMON	11/1/13	2,094.29	1,542.51	551.78
MUENCHER RUECK-GESELL AG	12/9/13	1,724.77	1,154.40	570.37
NETSUITTE INC COMMON	12/9/13	1,472.97	1,032.75	440.22
NEW RESIDENTIAL INVESTMENT CORP	8/22/13	685.28	740.85	(55.57)
NEWCASTLE INVESTMENT CORP COMMON	7/16/13	610.71	1,273.20	(662.49)
NEWS CORP COMMON NEW CL A	12/2/13	1,246.67	1,028.65	218.02
NOKIAN RENKAAT OYJ	3/18/14	1,229.37	1,051.80	177.57
NORTHERN OIL AND GAS INC COMMON	12/9/13	1,125.58	1,283.20	(157.62)
NORTHWEST BANCSHARES INC COMMON	7/16/13	1,145.58	1,016.80	128.78
NORTHWEST BANCSHARES INC COMMON	11/1/13	1,099.18	1,106.40	(7.22)
OASIS PETROLEUM INC COMMON	8/22/13	1,173.27	1,256.72	(83.45)
OASIS PETROLEUM INC COMMON	3/18/14	1,251.57	1,301.40	(49.83)
OCCIDENTAL PETROLEUM INC COMMON	3/18/14	2,585.94	2,600.40	(14.46)
OCEANEERING INTERNATIONAL INC	11/18/13	1,225.77	1,279.80	(54.03)
ONYX PHARMACEUTICALS INC COMMON	10/7/13	1,000.00	1,053.36	(53.36)
OPPENHEIMER DEVELOPING MARKETS FUND #788	2/4/14	8,896.25	9,446.59	(550.34)
OWENS ILLINOIS COMMON	8/22/13	1,176.37	1,153.20	23.17
OWENS ILLINOIS COMMON	3/18/14	1,307.57	1,258.40	49.17
PIMCO LOW DURATION FUND CL I #36	2/4/14	43,189.49	43,272.79	(83.30)
PIMCO TOTAL RETURN FUND CLASS I #35	11/1/13	42,065.12	43,036.85	(971.73)
PEARSON PLC SPONSORED ADR	8/22/13	2,472.71	2,159.86	312.85
PERRIGO COMPANY	12/23/13	1,217.72	1,115.84	101.88
PETROFAC LIMITED	11/1/13	2,278.96	2,625.23	(346.27)
PETROLEO BRASILEIRO SA PERTROBRAS COMMON	2/4/14	551.65	1,058.00	(506.35)
PFIZER INC COMMON	11/1/13	1,551.97	1,032.00	519.97
PIONEER NAT RES CO COMMON	12/2/13	1,937.06	1,102.06	835.00
PLUM CREEK TIMBER CO COMMON	7/16/13	1,942.36	1,745.60	196.76
PLUM CREEK TIMBER CO COMMON	12/9/13	1,341.87	1,309.20	32.67

THE J E & MILDRED WAGGONER
FAMILY FOUNDATION, INC.
SCHEDULE OF CAPITAL GAINS
4/1/13 - 3/31/14

NAME	DATE SOLD	SALES PRICE	COST	GAIN (LOSS)
POTASH CORP OF SASKATCHEWAN	11/1/13	2,817.85	3,733.04	(915.19)
PREMIER INC CLASS A COMMON	12/2/13	1,340.37	1,239.60	100.77
PRICELINE.COM INC COMMON	11/18/13	1,136.02	608.37	527.65
QUALCOMM INC COMMON	11/1/13	2,096.36	1,536.56	559.80
QUANTA SERVICES INC COMMON	11/18/13	1,190.37	1,220.40	(30.03)
RAYMOND JAMES FINANCIAL INC COMMON	3/18/14	2,108.75	1,484.00	624.75
REED ELSEVIER NV	7/16/13	2,845.64	1,876.62	969.02
RIO TINTO PLC ADR	12/2/13	1,587.27	1,487.70	99.57
ROBERT HALF INTL INC COMMON	12/2/13	769.98	423.60	346.38
ROCKWOOD HOLDINGS INC COMMON	7/16/13	1,006.18	752.55	253.63
ROCKWOOD HOLDINGS INC COMMON	8/22/2013	970.63	752.55	218.08
ROGERS COMMUNICATIONS INC	12/2/2013	1,343.07	1,163.14	179.93
ROGERS COMMUNICATIONS INC	3/18/2014	2,348.22	2,589.90	(241.68)
ROLLS ROYCE PLC ADR	3/18/2014	1,761.26	1,397.40	363.86
ROYAL GOLD INC	7/16/2013	645.28	1,009.80	(364.52)
RYANAIR HOLDINGS PLC COMMON	7/19/2013	1,032.18	607.07	425.11
RYANAIR HOLDINGS PLC COMMON	11/1/2013	1,500.57	1,110.36	390.21
RYANAIR HOLDINGS PLC COMMON	3/18/2014	1,685.66	1,444.90	240.76
SBA COMMUNICATIONS CORP COMMON	7/16/2013	1,179.42	681.75	497.67
SNAM S P.A	11/1/2013	2,297.96	2,161.93	136.03
SANOFI SYNTHELABO SA-ADR	7/16/2013	2,077.96	1,593.64	484.32
SASOL LTD SPONSORED ADR	11/18/2013	1,024.78	996.30	28.48
SINGAPORE AIRLINES LIMITED	7/16/2013	1,287.18	1,341.60	(54.42)
SIRONA DENTAL SYSEMS INC COMMON	11/1/2013	1,074.13	997.80	76.33
SKYWORKS SOLUTIONS, INC COMMON	8/22/2013	1,003.18	1,079.60	(76.42)
A O SMITH CORPORATION CLASS B	2/4/2014	918.78	1,038.00	(119.22)
SONY FINANCIAL HOLDINGS INC ADR	7/16/2013	1,738.96	1,674.00	64.96
SOTHEBY'S HOLDINGS CL A	7/16/2013	409.79	530.60	(120.81)
SOUTHERN COMPANY COMMON	7/16/2013	1,366.31	1,293.60	72.71
STARBUCKS CORPORATIONS COMMON	8/22/2013	1,804.21	1,256.03	548.18
STERLING CAPITAL TOTAL RETURN BOND FUND	12/2/2013	83,108.30	86,407.93	(3,299.63)
STERLING CAPITAL INTERMEDIATE US GOVT FUND	2/4/2014	999.03	955.38	43.65
SUNCOR ENERGY INC	7/16/2013	2,316.62	2,298.54	18.08
SYNAGEVA BIOPHARMA CORP COMMON	8/22/2013	1,402.17	1,487.15	(84.98)
SYNOVUS FINANCIAL CORP COMMON	2/4/2014	985.51	1,023.99	(38.48)
TABLEAU SOFTWARE INC CL A COMMON	12/2/2013	1,280.97	1,278.80	2.17
TARGET CORP COMMON	3/18/2014	2,691.43	2,422.90	268.53

THE J E & MILDRED WAGGONER
FAMILY FOUNDATION, INC.
SCHEDULE OF CAPITAL GAINS
4/1/13 - 3/31/14

NAME	DATE SOLD	SALES PRICE	COST	GAIN (LOSS)
TESCO PLC SPONS ADR	12/9/2013	2,129.36	2,176.28	(46.92)
TEXAS INSTRUMENTS INC COMMON	7/16/2013	1,135.48	807.00	328.48
THERAVANCE INC COMMON	12/9/2013	1,095.58	1,089.30	6.28
TIME WARNER CABLE	11/1/2013	1,700.09	1,279.56	420.53
TOTAL S A SPONSORED	3/18/2014	1,316.97	952.80	364.17
TOYOTA MOTOR CORP ADR	7/16/2013	1,544.01	889.94	654.07
TRANSDIGM GROUP INC COMMON	12/2/2013	1,245.33	1,199.52	45.81
TRIMBLE NAV LTE	8/22/2013	1,026.78	1,164.06	(137.28)
TRIMITY INDUSTRIES COMMON	11/18/2013	1,668.57	1,137.00	531.57
TUPPERWARE BRANDS CORP COMMON	12/2/2013	1,823.76	1,289.80	533.96
TWO HARBORS INVESTMENT CORPORATION	8/22/2014	946.98	1,018.00	(71.02)
UNILEVER PLC-SONS ADR	7/16/2013	1,693.57	1,330.86	362.71
UNION PACIFIC CORP COMMON	11/1/2013	2,594.97	1,573.55	1,021.42
UNION PACIFIC CORP COMMON	2/4/2014	1,727.46	1,247.84	479.62
UNITED NATURAL FOODS INC	2/4/2014	1,003.18	1,066.05	(62.87)
UNITED PARCEL SERVICES B	8/22/2013	1,735.36	1,443.10	292.26
UNITED RENTALS INC	8/22/2013	1,701.87	1,069.80	632.07
UNIVERSAL AMERICAN FINANCIAL CORP	2/4/2014	1,303.86	1,831.60	(527.74)
UNIVERSAL HEALTH SERVICES INC CL B	3/18/2014	1,145.52	1,177.62	(32.10)
VAIL RESORTS COMMON	8/22/2013	1,056.13	664.20	391.93
VALSPAR CORP COMMON	2/4/2014	1,375.17	1,212.40	162.77
VERIZON COMMUNICATIONS INC	3/27/2014	1.86	1.87	(0.01)
VISTEON CORP COMMON	2/4/2014	1,192.32	679.50	512.82
VMWARE INC CLASS A COMMON	3/18/2014	2,209.55	1,512.80	696.75
VODAFONE GROUP PLC	3/27/2014	23.36	35.92	(12.56)
WABCO HOLDINGS INC COMMON	7/16/2014	1,182.27	1,052.55	129.72
WABCO HOLDINGS INC COMMON	11/1/2013	1,271.82	1,195.65	76.17
WABCO HOLDINGS INC COMMON	12/9/2013	1,363.62	1,342.80	20.82
WASTE CONNECTIONS COMMON	12/2/2013	1,328.07	1,321.50	6.57
WEX INC COMMON	8/22/2013	1,698.97	1,202.20	496.77
WEX INC COMMON	2/4/2014	1,221.72	1,490.70	(268.98)
WHITING PETROLEUM CORP COMMON	7/16/2013	985.58	1,029.60	(44.02)
WHITING PETROLEUM CORP COMMON	3/18/2014	1,384.77	1,135.80	248.97
WPX ENERGY INC COMMON	7/16/2013	1,376.17	1,030.40	345.77
XINYI GLASS HOLDINGS LTD	2/4/2014	963.28	1,127.10	(163.82)
YUE YUEN INDUSTRIAL UNSPONS ADR	12/2/2013	1,426.92	1,435.50	(8.58)

ACCOUNT STATEMENT

APRIL 01, 2013 TO MARCH 31, 2014

ACCOUNT NAME: WAGGONER FAM FDN
 ACCOUNT NUMBER: 1150000654



SCOTT HAYNES, CPA MOOSE, MARTIN,
 HAYNES AND LUNDY, PA
 PO BOX 1308
 STATESVILLE, NC 28687

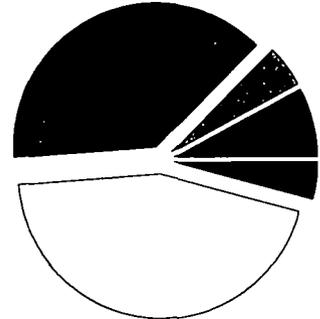
ACCOUNT NAME	BRANCH BANKING AND TRUST COMPANY MANAGING AGENT FOR: J.E. & MILDRED WAGGONER FAMILY FDN
RELATIONSHIP MANAGER	SANDRA D THOMAS 704-954-1069 sdthomas@BBandT.com
INVESTMENT OFFICER	CHRIS FURNER 864-527-0691 CFURNER@BBANDT.COM

FOR YOUR INFORMATION

THIS IS YOUR REPORT OF INVESTMENTS AND TRANSACTIONS FOR THE PERIOD THE FOLLOWING ARE INCLUDED IN THE REPORT
 ACCOUNT SUMMARY, ACTIVITY SUMMARY, AND ACCOUNT ACTIVITY PLEASE CALL YOUR ADMINISTRATOR WITH QUESTIONS

INVESTMENT PORTFOLIO SUMMARY

MARKET VALUE AS OF	04/01/2013	03/31/2014	% OF ACCOUNT
CASH AND CASH EQUIVALENTS	118,917.83	92,429.93	7.8%
CORPORATE BONDS	57,087.00	55,041.50	4.6%
EQUITIES	423,361.95	457,686.72	38.6%
MUTUAL FUNDS	518,713.82	530,892.88	44.7%
US TREASURY OBLIGATIONS	53,085.50	50,553.50	4.3%
Total	1,171,166.10	1,186,604.53	100.0%



ACCOUNT ACTIVITY SUMMARY

	THIS PERIOD	YEAR TO DATE	REALIZED CAPITAL GAINS / LOSSES	
			THIS PERIOD	YEAR TO DATE
BEGINNING MARKET VALUE	1,171,166.10	1,171,166.10		
INCOME	36,241.04	36,241.04		
DISBURSEMENTS	62,619.88-	62,619.88-	LONG TERM	39,770.99
CASH RECEIPTS	1,128.12	1,128.12	SHORT TERM	9,033.00
FEES	12,599.26-	12,599.26-	TOTAL GAINS / LOSSES	48,803.99
CHANGE IN MARKET VALUE	53,288.41	53,288.41		48,803.99
ENDING MARKET VALUE	1,186,604.53	1,186,604.53		

ACCOUNT STATEMENT

APRIL 01, 2013 TO MARCH 31, 2014

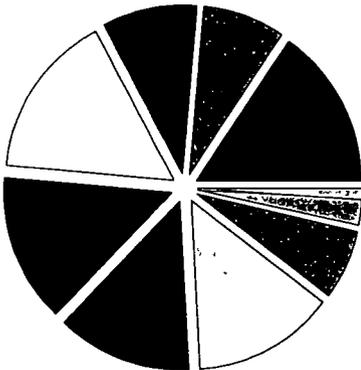
ACCOUNT NAME: WAGGONER FAM FDN
ACCOUNT NUMBER: 1150000654

PRINCIPAL PORTFOLIO STATEMENT

DESCRIPTION	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
CASH AND CASH EQUIVALENTS				
IDP000030 INSURED DEPOSIT PROGRAM MGDI	29,448 76		23 56	0 08
	29,448 76	1 00		
PRINCIPAL CASH	0 00			
* TOTAL CASH AND CASH EQUIVALENTS	29,448 76		23.56	0 08
	29,448 76			

EQUITY DIVERSIFICATION SUMMARY

INDUSTRY SECTOR	MARKET VALUE	PERCENT
CONSUMER DISCRETIONARY	71,357 39	15 6%
CONSUMER STAPLES	36,234 90	7 9%
ENERGY	42,328 70	9.2%
FINANCIALS	72,665.29	15.9%
HEALTH CARE	64,539 24	14 1%
INDUSTRIALS	59,647 91	13 0%
INFORMATION TECHNOLOGY	64,140 73	14 1%
MATERIALS	30,880 96	6 7%
TELECOMMUNICATIONS SERVICES	11,412.43	2 5%
UTILITIES	4,479.17	1 0%
Total	457,686 72	100 0%



DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
EQUITIES						
CONSUMER DISCRETIONARY						
023135106 AMAZON COM INC COMMON	AMZN	8 000	2,690 92	336 37		
			1,544 22	193 03		
072743206 BAYERISCHE MOTOREN WERKE (BMW) UNSPONS ADR	BAMXY	80 000	3,367 36	42 09	59 12	1 76
			2,550 00	31 88		
093671105 H & R BLOCK INC COMMON	HRB	40 000	1,207 60	30 19	32 00	2 65
			1,188 80	29 72		
117043109 BRUNSWICK CORPORATION COMMON	BC	30 000	1,358 70	45 29	12 00	0 88
			1,200 30	40 01		

ACCOUNT STATEMENT

APRIL 01, 2013 TO MARCH 31, 2014

ACCOUNT NAME: WAGGONER FAM FDN
 ACCOUNT NUMBER: 1150000654

PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
CONSUMER DISCRETIONARY						
14365C103 CARNIVAL PLC	CUK	60 000	2,301 60 2,188 73	38 36 36 48	60 00	2 61
23334L102 DSW INC	DSW	30 000	1,075 80 1,290 90	35 86 43 03	22 50	2 09
233825108 DAIMLER AG	DDAIF	30 000	2,836 02 2,450 12	94 53 81 67	67 83	2 39
254687106 THE WALT DISNEY COMPANY COMMON	DIS	35 000	2,802 45 2,348 52	80 07 67 10	30 10	1 07
36191G107 GNC ACQUISITION HOLDINGS INC COMMON	GNC	30 000	1,320 60 1,517 40	44 02 50 58	19 20	1 45
448579102 HYATT HOTELS CORP CL A COMMON	H	30 000	1,614 30 1,394 70	53 81 46 49		
471109108 JARDEN CORP COMMON	JAH	20 000	1,196 60 1,103 80	59 83 55 19		
502441306 LVMH MOET-HENNESSEY UNSPON ADR	LVMUY	40 000	1,454 88 1,186 00	36 37 29 65	38 72	2 66
548661107 LOWE'S COMPANIES INC LOWE'S COMPANIES INC COMMON	LOW	30 000	1,467 00 1,351 80	48 90 45 06	21 60	1 47
552676108 MDC HOLDINGS INC	MDC	60 000	1,696 80 1,972 80	28 28 32 88	60 00	3 54
577081102 MATTEL INC COMMON	MAT	80 000	3,208 80 2,949 19	40 11 36 86	121 60	3 79
580135101 MCDONALDS CORP COMMON	MCD	35 000	3,431 05 3,310 18	98 03 94 58	113 40	3 31
65249B109 NEWS CORP COMMON NEW CL A W/I	NWSA	60 000	1,033 20 1,052 40	17 22 17 54		
654111202 NIKON CORPORATION PLC UNSPON ADR	NINYO	170 000	2,743 46 3,091 65	16 14 18 19	27 37	1 00
681919106 OMNICOM GROUP COMMON	OMC	35 000	2,541 00 1,999 90	72 60 57 14	56 00	2 20

ACCOUNT STATEMENT

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APRIL 01, 2013 TO MARCH 31, 2014

ACCOUNT NAME: WAGGONER FAM FDN
 ACCOUNT NUMBER: 1150000654

PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
CONSUMER DISCRETIONARY						
705015105 PEARSON PLC SPONSORED ADR	PSO	110 000	1,959 10 1,973 63	17 81 17 94	86 46	4 41
716825500 PEUGEOT CITROEN SA SPONS ADR		70 000	1,301 06 1,132 41	18 59 16 18		
741503403 PRICELINE COM INC COMMON	PCLN	1 000	1,191 89 608 37	1,191 89 608 37		
758204200 REED ELSEVIER NV	ENL	120 000	5,200 80 3,881 54	43 34 32 35	140 28	2 70
829226109 SINCLAIR BROADCAST GROUP A	SBGI	50 000	1,354 50 1,569 00	27 09 31 38	30 00	2 21
83001A102 SIX FLAGS ENTERTAINMENT CORP COMMON	SIX	30 000	1,204 50 1,108 80	40 15 36 96	56 40	4 68
855244109 STARBUCKS CORPORATIONS COMMON	SBUX	40 000	2,935 20 2,585 61	73 38 64 64	41 60	1 42
870123106 SWATCH GROUP AG	SWGAY	40 000	1,254 32 1,134 80	31 36 28 37	18 16	1 45
88732J207 TIME WARNER CABLE INC COMMON	TWC	11 000	1,508 98 1,005 36	137 18 91 40	33 00	2 19
892331307 TOYOTA MOTOR CORPORATION ADR	TM	28 000	3,161 20 2,688 04	112 90 96 00	65 69	2 08
896047503 TRIBUNE COMPANY COMMON	TRBAA	40 000	3,186 00 2,448 00	79 65 61 20		
899896104 TUPPERWARE BRANDS CORP COMMON	TUP	15 000	1,256 40 1,230 15	83 76 82 01	40 80	3 25
91879Q109 VAIL RESORTS COMMON	MTN	15 000	1,045 50 664 20	69 70 44 28	24 90	2 38
92839U206 VISTEON CORP COMMON	VC	15 000	1,326 60 679 50	88 44 45 30		
92937A102 WPP PLC	WPPGY	40 000	4,123 20 3,154 93	103 08 78 87	112 32	2 72
** TOTAL CONSUMER DISCRETIONARY		SUB- TOTAL	71,357.39 61,555 75		1,391.05	1 95

ACCOUNT STATEMENT

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APRIL 01, 2013 TO MARCH 31, 2014

ACCOUNT NAME: WAGGONER FAM FDN

ACCOUNT NUMBER: 1150000654

PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
CONSUMER STAPLES						
03524A108 ANHEUSER-BUSCH INBEV NV SPN ADR	BUD	15 000	1,579 50 1,291 65	105 30 86 11	34 95	2 21
05508R106 B&G FOODS INC COMMON	BGS	40 000	1,204 40 1,200 00	30 11 30 00	54 40	4 52
191216100 COCA-COLA CO/THE COCA COLA COMPANY COMMON	KO	30 000	1,159 80 1,152 46	38 66 38 42	36 60	3.16
22160K105 COSTCO WHOLESALE CORP COMMON	COST	33 000	3,685 44 3,769 58	111 68 114 23	40 92	1 11
370334104 GENERAL MILLS INC COMMON	GIS	50 000	2,591 00 1,917 83	51 82 38 36	82 00	3 16
405217100 HAIN CELESTIAL GROUP INC COMMON	HAIN	15 000	1,371 45 1,351 50	91 43 90 10		
502117203 L'OREAL SA ADR	LRLCY	90 000	2,969 55 2,363 09	33 00 26 26	90 54	3 05
641069406 NESTLE SA ADR	NSRGY	50 000	3,766 90 3,033 80	75 34 60 68	101 70	2 70
713448108 PEPSICO INC PEPSICO INC COMMON	PEP	30 000	2,505 00 2,090 78	83 50 69 69	68 10	2 72
869233106 SUSSER HOLDINGS CORP COMMON	SUSS	30 000	1,874 10 1,386 60	62 47 46 22		
881575302 TESCO PLC SPONS ADR	TSCDY	200 000	2,954 80 3,320 75	14 77 16 60	129 00	4 37
90460M204 UNICHARM CORP	UNICY	210 000	2,248 26 2,353 70	10 71 11 21	11 55	0 51
904767704 UNILEVER PLC-SPONSORED ADR	UL	110 000	4,705 80 3,831 04	42 78 34 83	158 07	3 36
904784709 UNILEVER N V NY SHS NEW F	UN	30 000	1,233 60 1,174 20	41 12 39 14	36 63	2.97
G16962105 BUNGE LIMITED	BG	30 000	2,385 30 2,146 35	79 51 71 55	36 00	1 51
** TOTAL CONSUMER STAPLES			SUB- TOTAL		880 46	2.43
			36,234 90			
			32,383.33			

ACCOUNT STATEMENT

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APRIL 01, 2013 TO MARCH 31, 2014

ACCOUNT NAME: WAGGONER FAM FDN

ACCOUNT NUMBER:1150000654

PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
ENERGY						
055434203 BG GROUP PLC SPON ADR	BRGY	230 000	4,284 90 4,460 00	18 63 19 39	66 01	1 54
126132109 CNOOC LTD - ADR	CEO	18 000	2,732 58 3,511 08	151 81 195 06	119 07	4 36
166764100 CHEVRON CORP COMMON	CVX	30 000	3,567 30 2,543 90	118 91 84 80	120 00	3 36
402635304 GULFPORT ENERGY CORPORATION COMMON	GPOR	40 000	2,847 20 1,918 80	71 18 47 97		
49456B101 KINDER MORGAN INC COMMON	KMI	70 000	2,274 30 2,814 45	32 49 40 21	114 80	5 05
674599105 OCCIDENTAL PETROLEUM CO COMMON	OXY	30 000	2,858 70 2,514 75	95 29 83 83	86 40	3 02
723787107 PIONEER NAT RES CO COMMON	PXD	8 000	1,497 12 1,372 96	187 14 171 62	0 64	0 04
75281A109 RANGE RESOURCES CORP COMMON	RRC	20 000	1,659 40 1,075 40	82 97 53 77	3 20	0 19
780259206 ROYAL DUTCH SHELL PLC ADR CL A	RDS A	20 000	1,461 20 1,316 60	73 06 65 83	61 20	4 19
803866300 SASOL LTD SPONSORED ADR	SSL	20 000	1,117 60 837 80	55 88 41 89	34 82	3 12
806857108 SCHLUMBERGER LTD COMMON	SLB	60 000	5,850 00 3,228 65	97 50 53 81	96 00	1 64
811904101 SEACOR SMIT INC COMMON	CKH	15 000	1,296 30 1,099 44	86 42 73 30		
847560109 SPECTRA ENERGY CORP COMMON	SE	100 000	3,694 00 2,850 71	36 94 28 51	134 00	3 63
878546209 TECHNIP-COFLEXIP SA ADR	TKPPY	100 000	2,581 10 2,640 05	25 81 26 40	38 40	1 49
89151E109 TOTAL S A SPONSORED	TOT	30 000	1,968 00 1,487 40	65 60 49 58	82 47	4 19

ACCOUNT STATEMENT

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APRIL 01, 2013 TO MARCH 31, 2014

ACCOUNT NAME: WAGGONER FAM FDN
ACCOUNT NUMBER: 1150000654

PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
ENERGY						
G3157S106 ENSCO PLC	ESV	50 000	2,639 00 2,237 83	52 78 44 76	125 00	4 74
** TOTAL ENERGY		SUB- TOTAL	42,328 70 35,909 82		1,082 01	2 56
FINANCIALS						
001317205 AIA GROUP LTD	AAGIY	200 000	3,795 20 3,362 57	18 98 16 81	35 00	0 92
014491104 ALEXANDER & BALDWIN INC COMMON	ALEX	50 000	2,128 00 1,257 15	42 56 25 14	8 00	0 38
018805101 ALLIANZ COMMON	AZSEY	260 000	4,396 86 3,213 60	16 91 12 36	140 14	3 19
02503Y103 AMERICAN CAPITAL LTD COMMON	ACAS	160 000	2,527 20 2,198 40	15 80 13 74		
05382A104 AVIVA PLC	AV	70 000	1,121 40 631 86	16 02 9 03	34 58	3 08
054536107 AXA SA SPONSORED ADR	AXAHY	40 000	1,040 04 1,033 06	26 00 25 83	37 40	3 60
05565A202 BNP PARIBAS	BNPQY	90 000	3,472 56 2,280 24	38 58 25 34	65 70	1 89
05946K101 BANCO BILBAO VIZCAYA - SP ADR COMMON	BBVA	200 000	2,402 00 1,848 03	12 01 9 24	104 00	4 33
06738E204 BARCLAYS PLC - SPONS ADR COMMON	BCS	160 000	2,512 00 1,982 59	15 70 12 39	66 08	2 63
12621E103 CNO FINANCIAL GROUP, INC COMMON	CNO	80 000	1,448 00 1,133 20	18 10 14 17	19 20	1 33
200340107 COMERICA INC COMMON	CMA	40 000	2,072 00 1,298 00	51 80 32 45	30 40	1 47
23304Y100 DBS GROUP HLDGS LTD SPONSORED ADR F/K/A DEVELOPMENT BK SINGAPORE LTD	DBSDY	30 000	1,542 99 1,406 40	51 43 46 88	52 02	3 37

ACCOUNT STATEMENT

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APRIL 01, 2013 TO MARCH 31, 2014

ACCOUNT NAME: WAGGONER FAM FDN

ACCOUNT NUMBER: 1150000654

PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
FINANCIALS						
254709108 DISCOVER FINANCIAL SERVICES COMMON	DFS	20 000	1,163 80 1,068 60	58 19 53 43	16 00	1 37
404280406 HSBC HOLDINGS PLC HLDGS PLC SPONSORED ADR NEW	HSBC	60 000	3,049 80 2,896 20	50 83 48 27	147 00	4 82
43858F109 HONG KONG EXCHANGES & CLEARING LIMITED	HKXCY	140 000	2,122 40 2,142 70	15 16 15 31	57 82	2 72
45031U101 ISTAR FINANCIAL INC COMMON	STAR	70 000	1,033 20 1,059 10	14 76 15 13		
45104G104 ICICI BANK LIMITED SPONS ADR	IBN	80 000	3,504 00 3,059 50	43 80 38 24	53 36	1 52
45866F104 INTERCONTINENTALEXCHANGE COMMON GROUP	ICE	10 000	1,978 30 1,601 50	197 83 160 15	26 00	1 31
46146P102 INVESTORS BANCORP COMMON	ISBC	50 000	1,382 00 1,114 94	27 64 22 30	10 00	0 72
465562106 ITAU UNIBANCO BANCO MULTIPLO SA	ITUB	224 000	3,328 64 3,189 40	14 86 14 24	17 25	0 52
493267108 KEYCORP NEW COMMON	KEY	90 000	1,281 60 808 20	14 24 8 98	19 80	1 54
539439109 LLOYDS TSB GROUP	LYG	470 000	2,392 30 2,082 20	5 09 4 43		
59156R108 METLIFE INC COMMON	MET	20 000	1,056 00 689 18	52 80 34 46	22 00	2 08
606783207 MITSUBISHI ESTATE COMPANY LTD	MITEY	50 000	1,187 55 1,414 25	23 75 28 29	4 10	0 35
729251108 PLUM CREEK TIMBER CO COMMON	PCL	30 000	1,261 20 1,341 00	42 04 44 70	52 80	4 19
74144T108 T ROWE PRICE GROUP INC	TROW	20 000	1,647 00 1,097 80	82 35 54 89	35 20	2 14
78377T107 RYMAN HOSPITALITY PROPERTIES INC COMMON	RHP	30 000	1,275 60 1,113 90	42 52 37 13	66 00	5 17

ACCOUNT STATEMENT

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APRIL 01, 2013 TO MARCH 31, 2014

ACCOUNT NAME: WAGGONER FAM FDN

ACCOUNT NUMBER: 1150000654

PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
FINANCIALS						
86562M209 SUMITOMO MITSUI SPONS ADR	SMFG	230 000	1,989 50 2,154 68	8 65 9 37	52 44	2 64
87161C105 SYNOVUS FINANCIAL CORP COMMON	SNV	300 000	1,017 00 1,011 00	3 39 3 37	12 00	1 18
89417E109 THE TRAVELERS COMPANIES INC COMMON	TRV	35 000	2,978 50 1,894 85	85 10 54 14	70 00	2 35
900148701 TURKIYE GARANTI BANKASI A.S	TKGBY	510 000	1,745 22 2,120 40	3 42 4 16	30 09	1 72
989825104 ZURICH INSURANCE GROUP AG	ZURVY	70 000	2,150 68 1,614 90	30 72 23 07		
G9618E107 WHITE MOUNTAINS INSURANCE GROUP LTD COMMON	WTM	3 000	1,799 70 1,097 46	599 90 365 82	3 00	0 17
H01531104 ALLIED WORLD ASSURANCE COMPANY HOLDINGS LTD	AWH	15 000	1,547 85 1,329 60	103 19 88 64	30 00	1 94
H89231338 UBS AG	UBS	160 000	3,315 20 2,200 29	20 72 13 75	40 00	1 21
** TOTAL FINANCIALS			SUB- TOTAL		1,357.38	1 87
HEALTH CARE						
002824100 ABBOTT LABORATORIES COMMON	ABT	45 000	1,732 95 1,331 55	38 51 29 59	39 60	2 29
00287Y109 ABBVIE INC COMMON	ABBV	45 000	2,313 00 1,443 95	51 40 32 09	75 60	3 27
016255101 ALIGN TECHNOLOGY INC COMMON	ALGN	20 000	1,035 80 580 40	51 79 29 02		
05334D107 AUXILIUM PHARMACEUTICALS INC COMMON	AUXL	50 000	1,359 00 1,019 00	27 18 20 38		
071813109 BAXTER INTERNATIONAL INC INTERNATIONAL INC COMMON	BAX	50 000	3,679 00 3,211 12	73 58 64 22	98 00	2 66
072730302 BAYER A G SPONSORED ADR	BAYRY	15 000	2,029 74 1,102 95	135 32 73 53	31 56	1 55

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ACCOUNT NAME: WAGGONER FAM FDN

ACCOUNT NUMBER:115000654

PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
HEALTH CARE						
110122108 BRISTOL-MYERS SQUIBB CO BRISTOL MYERS SQUIBB CO COMMON	BMY	30 000	1,558 50 1,477 50	51 95 49 25	43 20	2 77
12637N105 CSL LIMITED ADR	CMXHY	60 000	1,934 16 1,195 80	32 24 19 93	28 86	1 49
15670R107 CEPHEID INC COMMON	CPHD	30 000	1,547 40 1,151 10	51 58 38 37		
29266S106 ENDOLOGIX, INC COMMON	ELGX	70 000	900 90 1,076 60	12 87 15 38		
30219G108 EXPRESS SCRIPTS HOLDING CO COMMON	ESRX	40 000	3,003 60 2,594 81	75 09 64 87		
358029106 FRESENIUS USA INC ADR	FMS	80 000	2,788 00 2,762 31	34 85 34 53	26 72	0 96
375558103 GILEAD SCIENCES, INC	GILD	55 000	3,897 30 2,819 61	70 86 51 27		
421924309 HEALTHSOUTH CORP COMMON	HLS	30 000	1,077 90 1,061 40	35 93 35 38	21 60	2 00
45845P108 INTERCEPT PHARMACEUTICALS INC COMMON	ICPT	4 000	1,319 16 1,211 64	329 79 302 91		
66987V109 NOVARTIS A G ADR	NVS	85 000	7,226 70 4,999 48	85 02 58 82	150 20	2 08
670100205 NOVO-NORDISK A S ADR	NVO	60 000	2,739 00 2,043 36	45 65 34 06	36 36	1 33
716933106 PHARMACYCLICS INC COMMON	PCYC	10 000	1,002 20 1,052 60	100 22 105 26		
717081103 PFIZER INC COMMON	PFE	110 000	3,533 20 2,836 50	32 12 25 79	114 40	3 24
74051N102 PREMIER INC CLASS A COMMON	PINC	30 000	988 50 1,040 40	32 95 34 68		
771195104 ROCHE HOLDING LTD ADR	RHHBY	190 000	7,124 81 4,792 43	37 50 25 22	131 86	1 85

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APRIL 01, 2013 TO MARCH 31, 2014

ACCOUNT NAME: WAGGONER FAM FDN

ACCOUNT NUMBER: 115000654

PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
HEALTH CARE						
80105N105 SANOFI SYNTHELABO SA-ADR	SNY	80 000	4,182 40 3,771 44	52 28 47 14	105 36	2 52
83569C102 SONOVA HOLDING AG	SONVY	50 000	1,462 60 1,139 50	29 25 22 79		
87184P109 SYSMEX CORP	SSMX	90 000	2,875 14 2,758 00	31 95 30 64	3 69	0 13
94973V107 WELLPOINT INC COMMON	WLP	20 000	1,991 00 1,295 13	99 55 64 76	35 00	1 76
G97822103 PERRIGO CO PLC	PRGO	8 000	1,237 28 1,217 64	154 66 152 21	3 36	0 27
** TOTAL HEALTH CARE		SUB- TOTAL	64,539 24 50,986 22		945 37	1 46
INDUSTRIALS						
000375204 ABB LTD SPONS ADR	ABB	90 000	2,321 10 2,241 12	25 79 24 90	68 67	2 96
023586100 AMERCO COMMON	UHAL	7 000	1,624 84 767 75	232 12 109 68		
049255706 ATLAS COPCO AB SPONS	ATLKY	90 000	2,591 64 2,307 60	28 80 25 64	53 91	2 08
05845R306 BALFOUR BEATTY PLC	BAFY	110 000	1,098 90 1,021 35	9 99 9 29	46 75	4 25
073302101 BE AEROSPACE INC COMMON	BEAV	15 000	1,301 85 1,313 25	86 79 87 55		
136375102 CANADIAN NATL RY CO COMMON	CNI	50 000	2,811 00 2,444 85	56 22 48 90	44 80	1 59
235851102 DANAHER CORP COMMON	DHR	45 000	3,375 00 2,738 65	75 00 60 86	18 00	0 53
257651109 DONALDSON CO, INC COMMON	DCI	30 000	1,272 00 1,266 00	42 40 42 20	16 80	1 32
292562105 ENCORE WIRE CORPORATION COMMON	WIRE	30 000	1,455 30 874 12	48 51 29 14	2 40	0 16

ACCOUNT STATEMENT

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APRIL 01, 2013 TO MARCH 31, 2014

ACCOUNT NAME: WAGGONER FAM FDN

ACCOUNT NUMBER:115000654

PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
INDUSTRIALS						
307305102 FANUC LTD ADR	FANUY	110 000	3,240 82 2,750 70	29 46 25 01	22 66	0 70
371559105 GENESSE & WYO INC CL A	GWR	15 000	1,459 80 1,456 35	97 32 97 09		
443510201 HUBBELL INCORPORATED CL B	HUB B	10 000	1,198 70 1,071 90	119 87 107 19	20 00	1 67
459348108 INTERNATIONAL CONSOLIDATED AIRLINES GROUP	ICAGY	70 000	2,434 39 2,055 40	34 78 29 36		
466140100 JGC CORPORATION	JGCCY	60 000	4,183 14 4,482 73	69 72 74 71	47 94	1 15
500458401 KAMATSU LTD	KMTUY	50 000	1,038 00 1,021 50	20 76 20 43	22 20	2 14
655663102 NORDSON CORP	NDSN	15 000	1,057 35 1,029 30	70 49 68 62	10 80	1 02
688239201 OSHKOSH TRUCK B CL B	OSK	60 000	3,532 20 2,398 50	58 87 39 98	36 00	1 02
690742101 OWENS CORNING COMMON	OC	60 000	2,590 20 2,249 10	43 17 37 49	38 40	1 48
73753A103 POSTNL NV ADR	PNLYY	430 000	1,962 95 2,080 50	4 57 4 84		
773903109 ROCKWELL AUTOMATION INC	ROK	10 000	1,245 50 1,091 80	124 55 109 18	23 20	1 86
783513104 RYANAIR HOLDINGS PLC COMMON	RYAAY	20 000	1,176 20 876 60	58 81 43 83		
80687P106 SCHNEIDER ELECTRIC SA UNSPON ADR	SBGSY	180 000	3,192 84 2,934 31	17 74 16 30	67 32	2 11
826197501 SIEMENS AG SPONSORED ADR	SI	31 000	4,189 65 3,100 42	135 15 100 01	93 40	2 23
893641100 TRANSDIGM GROUP INC COMMON	TDG	6 000	1,111 20 1,092 66	185 20 182 11		

APRIL 01, 2013 TO MARCH 31, 2014

ACCOUNT NAME: WAGGONER FAM FDN

ACCOUNT NUMBER: 1150000654


PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
INDUSTRIALS						
907818108 UNION PACIFIC CORP UNION PACIFIC CORP COMMON	UNP	12 000	2,251 92 2,116 32	187 66 176 36	43 68	1 94
911312106 UNITED PARCEL SERVICES B	UPS	15 000	1,460 70 1,082 33	97 38 72 16	40 20	2.75
92927K102 WABCO HOLDINGS INC COMMON	WBC	10 000	1,055 60 1,045 70	105 56 104 57		
G29183103 EATON CORP PLC	ETN	30 000	2,253 60 2,116 67	75 12 70 56	58 80	2 61
P31076105 COPA HOLDINGS SA	CPA	8 000	1,161 52 1,026 88	145 19 128 36	30 72	2 64
** TOTAL INDUSTRIALS			SUB- TOTAL		806.65	1.35
			59,647.91 52,054.36			
INFORMATION TECHNOLOGY						
037833100 APPLE INC COMMON	AAPL	8 000	4,293 92 2,613 64	536 74 326 71	97 60	2 27
042068106 ARM HOLDINGS PLC -SPONS ADR	ARMH	60 000	3,058 20 2,568 55	50 97 42 81	14 82	0 48
045327103 ASPEN TECH COMMON	AZPN	30 000	1,270 80 1,035 60	42 36 34 52		
056752108 BAIDU INC	BIDU	8 000	1,218 16 1,115 76	152 27 139 47		
192422103 COGNEX COMMON	CGNX	30 000	1,015 80 1,136 70	33 86 37 89		
237545108 DASSAULT SYSTEMS COMMON	DASTY	40 000	4,688 24 3,922 75	117 21 98 07	29 08	0 62
24802Y105 DEMANDWARE INC COMMON	DWRE	20 000	1,281 20 1,209 20	64 06 60 46		
278642103 EBAY INC COMMON	EBAY	40 000	2,209 60 2,040 90	55 24 51 02		
366651107 GARTNER,INC COMMON	IT	20 000	1,388 80 1,334 60	69 44 66 73		

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ACCOUNT NAME: WAGGONER FAM FDN

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PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
INFORMATION TECHNOLOGY						
38259P508 GOOGLE INC COMMON	GOOG	4 000	4,458 04 3,359 18	1,114 51 839 80		
433578507 HITACHI LTD - ADR-10 COMMON	HTHY	20 000	1,479 82 1,240 70	73 99 62 04	17 24	1 17
45666Q102 INFORMATICA CORP COM	INFA	30 000	1,133 40 1,483 75	37 78 49 46		
458140100 INTEL COMMON	INTC	50 000	1,290 70 1,235 50	25 81 24 71	45 00	3 49
48203R104 JUNIPER NETWORKS INC COMMON	JNPR	80 000	2,060 80 1,676 80	25 76 20 96		
57772K101 MAXIM INTEGRATED PRODUCT COMMON	MXIM	70 000	2,318 40 2,055 23	33 12 29 36	72 80	3 14
577933104 MAXIMUS INC	MMS	30 000	1,345 80 1,233 90	44 86 41 13	5 40	0 40
594918104 MICROSOFT CORP CORP COMMON	MSFT	30 000	1,229 70 1,161 15	40 99 38 71	33 60	2 73
671044105 OSI SYSTEMS INC	OSIS	15 000	897 90 1,125 60	59 86 75 04		
747525103 QUALCOMM INC COMMON	QCOM	85 000	6,703 10 5,104 50	78 86 60 05	119 00	1 78
803054204 SAP AKTIENGESELLSCHAFT ADR	SAP	75 000	6,098 25 5,027 10	81 31 67 03	59 85	0 98
874039100 TAIWAN SEMICONDUCTOR MANUFACTURING CO LTD SP ADR	TSM	230 000	4,604 60 3,675 41	20 02 15 98	92 23	2 00
878237106 TECH DATA CORPORATION COMMON	TECD	30 000	1,828 80 1,507 80	60 96 50 26		
882508104 TEXAS INSTRUMENTS INC INSTRUMENTS COMMON	TXN	50 000	2,358 00 1,418 80	47 16 28 38	60 00	2 54
891906109 TOTAL SYSTEM SERVICES, INC COMMON	TSS	40 000	1,216 40 1,031 20	30 41 25 78	16 00	1 32

ACCOUNT STATEMENT

APRIL 01, 2013 TO MARCH 31, 2014

ACCOUNT NAME: WAGGONER FAM FDN
 ACCOUNT NUMBER: 1150000654



PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
INFORMATION TECHNOLOGY						
928563402 VMWARE INC CLASS A COMMON	VMW	10 000	1,080 20 880 90	108 02 88 09		
G1151C101 ACCENTURE PLC CL A	ACN	30 000	2,391 60 2,225 33	79 72 74 18	55 80	2 33
G3727Q101 FREESCALE SEMICONDUCTOR LTD	FSL	50 000	1,220 50 1,148 50	24 41 22 97		
** TOTAL INFORMATION TECHNOLOGY		SUB-TOTAL	64,140 73 53,569 05		718 42	1 12
MATERIALS						
009126202 L'AIR LIQUIDE-UNSPONS ADR COMMON	AIQY	164 000	4,444 73 4,176 12	27 10 25 46	88 72	2 00
010199305 AKZO N V SPONSORED ADR COMMON	AKZOY	190 000	5,170 09 3,317 54	27 21 17 46	103 36	2 00
01741R102 ALLEGHENY TECHNOLOGIES INC	ATI	50 000	1,884 00 1,369 86	37 68 27 40	36 00	1 91
129603106 CALGON CAROBN CORP COMMON	CCC	50 000	1,091 50 1,036 78	21 83 20 74		
46121Y102 INTREPID POTASH INC COMMON	IPI	70 000	1,082 20 866 21	15 46 12 37		
502160104 LSB INDUSTRIES INC COMMON	LXU	30 000	1,122 60 974 25	37 42 32 48		
535223200 LINDE AG SPON ADR	LNEGY	60 000	1,200 72 930 60	20 01 15 51	14 28	1 19
61166W101 MONSANTO CO COMMON	MON	45 000	5,119 65 4,066 13	113 77 90 36	77 40	1 51
695156109 PACKAGING CORP OF AMERICA	PKG	15 000	1,055 55 1,073 10	70 37 71 54	24 00	2 27
73179P106 POLYONE CORP COM	POL	30 000	1,099 80 1,133 40	36 66 37 78	9 60	0 87
74005P104 PRAXAIR INC COMMON	PX	8 000	1,047 76 1,058 64	130 97 132 33	20 80	1 99

ACCOUNT STATEMENT

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APRIL 01, 2013 TO MARCH 31, 2014

ACCOUNT NAME: WAGGONER FAM FDN

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PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
MATERIALS						
761655505 REXAM PLC	REXMY	36 000	1,460 81 1,377 60	40 58 38 27	50 87	3 48
767204100 RIO TINTO PLC ADR	RIO	20 000	1,116 60 1,080 60	55 83 54 03	38 84	3 48
774415103 ROCKWOOD HOLDINGS INC COMMON	ROC	15 000	1,116 00 1,019 85	74 40 67 99	27 00	2 42
806882106 SCHNITZER STEEL INDS INC CL A	SCHN	25 000	721 25 1,637 25	28 85 65 49	18 75	2 60
824551105 SHIN-ETSU CHEMICAL CO LTD ADR	SHECY	150 000	2,147 70 2,143 35	14 32 14 29	30 75	1 43
** TOTAL MATERIALS			SUB- TOTAL		540 37	1 75
TELECOMMUNICATIONS SERVICES						
48667L106 KDDI CORPORATION UNSPON ADR	KDDIY	270 000	3,916 89 2,735 20	14 51 10 13	86 94	2 22
62474M108 MTN GROUP LTD ADR	MTNOY	60 000	1,229 64 1,111 80	20 49 18 53	48 36	3 93
78440P108 SK TELECOM CO LTD-ADR	SKM	100 000	2,257 00 2,108 97	22 57 21 09	5 80	0 26
92343V104 VERIZON COMMUNICATIONS INC	VZ	51 000	2,426 07 2,377 81	47 57 46 62	108 12	4 46
92857W308 VODAFONE GROUP PLC -SP ADR	VOD	43 000	1,582 83 2,294 98	36 81 53 37	127 15	8 03
** TOTAL TELECOMMUNICATIONS SERVICES			SUB- TOTAL		376 37	3 30
UTILITIES						
26876F102 ENN ENERGY HOLDINGS -UNSP ADR	XNGSY	8 000	1,393 57 1,288 96	174 20 161 12	10 49	0 75
36160B105 GDF SUEZ SPONS ADR	GDFZY	50 000	1,368 60 1,112 22	27 37 22 24	67 80	4 95

ACCOUNT STATEMENT

APRIL 01, 2013 TO MARCH 31, 2014

ACCOUNT NAME: WAGGONER FAM FDN
 ACCOUNT NUMBER: 1150000654



PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	TICKER	SHARES	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
UTILITIES						
500631106 KOREA ELECTRIC POWER CORP COMMON	KEP	100 000	1,717 00 1,523 06	17 17 15 23		
** TOTAL UTILITIES		SUB-TOTAL	4,479.17 3,924 24		78 29	1 75
* TOTAL EQUITIES			457,686 72 388,019 56		8,176 37	1.79

BOND QUALITY SUMMARY

S & P QUALITY RATING

MARKET VALUE PERCENT

AA+	50,553 50	100 0%
Total	50,553 50	100 0%

DESCRIPTION	RATING	PAR VALUE	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	YIELD CURRENT/ MATURITY
US TREASURY OBLIGATIONS						
3133X7FKS FED HOME LOAN BANK DTD 5/27/04 5 25% 06/18/2014	AA+	50,000 000	50,553 50 50,363 75	101 11 100 73	2,625 00	5 19 0 07
* TOTAL US TREASURY OBLIGATIONS			50,553 50 50,363.75		2,625 00	5 19

BOND QUALITY SUMMARY

S & P QUALITY RATING

MARKET VALUE PERCENT

A-	55,041.50	100 0%
Total	55,041 50	100 0%

ACCOUNT STATEMENT

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APRIL 01, 2013 TO MARCH 31, 2014

ACCOUNT NAME: WAGGONER FAM FDN
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PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	RATING	PAR VALUE	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	YIELD CURRENT/ MATURITY
CORPORATE BONDS						
78387GAL7 SBC COMMUNICATIONS DTD 08/18/04 5 625% 06/15/2016	A-	50,000 000	55,041 50 51,491 50	110 08 102 98	2,812 50	5 11 0 99
* TOTAL CORPORATE BONDS			55,041 50 51,491 50		2,812.50	5.11

BOND QUALITY SUMMARY

S & P QUALITY RATING

	MARKET VALUE	PERCENT
NOT RATED	530,892.88	100 0%
Total	530,892.88	100 0%

DESCRIPTION	RATING	PAR VALUE	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	YIELD CURRENT/ MATURITY
MUTUAL FUNDS						
256210105 DODGE & COX INCOME FUND #147		2,146 703	29,388 36 29,481 46	13 69 13 73	886 59	3 02
258620103 DOUBLELINE TOTAL RETURN BOND FUND		4,639 083	50,566 00 51,711 84	10 90 11 15	2,593 25	5 13
349913822 FORWARD INTERNATIONAL SMALL COMPANIES FD INST CL FUND # 111		2,081 761	36,680 63 30,910 46	17 62 14 85	807 72	2 20
40168W715 GUGGENHEIM FLOAT RATE STRA-I		2,266 048	60,911 37 60,707 43	26 88 26 79	2,952 66	4 85
52106N889 LAZARD EMERGING MKTS PORTFOLIO INST CLASS FUND # 638 CLOSED TO NEW INVESTORS		1,705 391	31,703 22 33,281 68	18 59 19 52	610 53	1 93
592905509 METROPOLITAN WEST TOTAL RETURN BOND FUND INST CL FUND # 512		7,605 534	81,227 10 80,453 80	10 68 10 58	2,388 14	2 94
683974505 OPPENHEIMER DEVELOPING MARKETS FUND CL Y FUND # 788		848 844	31,330 83 27,981 19	36 91 32 96	138 36	0 44

ACCOUNT STATEMENT

APRIL 01, 2013 TO MARCH 31, 2014

ACCOUNT NAME: WAGGONER FAM FDN
ACCOUNT NUMBER: 1150000654

PRINCIPAL PORTFOLIO STATEMENT (CONTINUED)

DESCRIPTION	RATING	PAR VALUE	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	YIELD CURRENT/ MATURITY
MUTUAL FUNDS						
693390304 PIMCO LOW DURATION FUND CLASS I #36		3,939 601	40,735 47 40,932 46	10 34 10 39	661 85	1 62
74441R508 PRUDENTIAL SHORT TERM CORPORATE BOND FUND CL Z FUND # 434		3,901 778	44,324 20 44,480 27	11 36 11 40	1,400 74	3 16
85917K710 STERLING CAPITAL CORPORATE FUND-I		5,971 622	61,029 98 60,555 98	10 22 10 14	2,107 98	3 45
85917L619 STERLING CAPITAL INTERMEDIATE U S GOVERNMENT FUND INST CL FUND # 341		4,230 913	43,324 55 43,366 75	10 24 10 25	1,370 82	3 16
85917L742 STERLING CAPITAL SPECIAL OPPORTUNITIES FUND INST CL FUND # 321		878 962	19,671 17 16,720 52	22 38 19 02	37 80	0 19
* TOTAL MUTUAL FUNDS			530,892.88 520,583.84		15,956 44	3 01
TOTAL PRINCIPAL ASSETS			1,123,623 36 1,039,907 41		29,593 87	2.63

INCOME PORTFOLIO STATEMENT

DESCRIPTION	TOTAL MARKET/ TOTAL COST	MARKET PRICE/ COST PRICE	ESTIMATED ANNUAL INCOME	CURRENT YIELD
CASH AND CASH EQUIVALENTS				
IDP000030 INSURED DEPOSIT PROGRAM MGDI	62,930 54 62,930 54	1 00	50 34	0 08
INCOME CASH	50 63 50 63			
* TOTAL CASH AND CASH EQUIVALENTS	62,981.17 62,981 17		50.34	0 08
TOTAL INCOME ASSETS	62,981 17 62,981 17		50.34	0 08

ACCOUNT STATEMENT

APRIL 01, 2013 TO MARCH 31, 2014

ACCOUNT NAME: WAGGONER FAM FDN

ACCOUNT NUMBER: 1150000654

TRANSACTION SUMMARY

	CURRENT PERIOD			YEAR TO DATE		
	PRINCIPAL CASH	INCOME CASH	COST	PRINCIPAL CASH	INCOME CASH	COST
BEGINNING BALANCE	0.00	0.00	1,095,859.21	0.00	0.00	1,095,859.21
INCOME						
INTEREST		5,547.14			5,547.14	
DIVIDENDS	4,284.16	26,409.74		4,284.16	26,409.74	
TOTAL INCOME	4,284.16	31,956.88	0.00	4,284.16	31,956.88	0.00
SALES/MATURITIES						
SALES	598,780.94		554,393.34	598,780.94		554,393.34
NET CASH MGT SALES	2,396.77	28,935.30	26,538.53	2,396.77	28,935.30	26,538.53
TOTAL SALES/MATURITIES	596,384.17	28,935.30	580,931.87	596,384.17	28,935.30	580,931.87
PURCHASES						
PURCHASES	587,418.86		587,418.86	587,418.86		587,418.86
TOTAL PURCHASES	587,418.86	0.00	587,418.86	587,418.86	0.00	587,418.86
DISBURSEMENTS						
DISBURSEMENTS	1,778.33	60,841.55		1,778.33	60,841.55	
TOTAL DISBURSEMENTS	1,778.33	60,841.55	0.00	1,778.33	60,841.55	0.00
CASH RECEIPTS						
CASH RECEIVED	1,128.12			1,128.12		
TOTAL CASH RECEIPTS	1,128.12	0.00	0.00	1,128.12	0.00	0.00
FEES						
FEES	12,599.26			12,599.26		
TOTAL FEES	12,599.26	0.00	0.00	12,599.26	0.00	0.00
MISCELLANEOUS						
MISCELLANEOUS	0.00	0.00	491.75	0.00	0.00	491.75
ENDING BALANCE	0.00	50.63	1,102,837.95	0.00	50.63	1,102,837.95

TRANSACTION STATEMENT

DATE	DESCRIPTION	PRINCIPAL CASH	INCOME CASH	COST	GAIN / LOSS
04/01/13	BEGINNING BALANCE	0.00	0.00	1,095,859.21	
	NET FOR ALL CASH MANAGEMENT FUNDS	2,396.77	28,935.30	26,538.53	
	000375204				
	ABB LTD SPONS ADR				
12/12/13	PURCHASED 40 SHS ABB LTD SPONS ADR ON 12/09/2013 AT 25.35 THRU GOLDMAN SACHS and CO COMMISSIONS PAID 1.20	1,015.20		1,015.20	
02/07/14	PURCHASED 50 SHS ABB LTD SPONS ADR ON 02/04/2014 AT 24.5083 THRU BARCLAY'S COMMISSIONS PAID 0.50	1,225.92		1,225.92	