Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning APR 1, 2013 , and ending MAR 31, 2014

Name of foundation: THE EMERIL LAGASSE FOUNDATION

A Employer identification number: 42-1536915

Number and street (or P O box number if mail is not delivered to street address): 829 ST. CHARLES AVE. Room/suite:

B Telephone number: (504) 524-4241

City or town, state or province, country, and ZIP or foreign postal code: NEW ORLEANS, LA 70130

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 6,507,449.

J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☒

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	2,429,701.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	123,533.	123,533.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<7,493.>			
	b Gross sales price for all assets on line 6a 1,368,929.				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	775,486.	0.		STATEMENT 2
	12 Total. Add lines 1 through 11	3,321,227.	123,533.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	111,908.	0.		0.
	14 Other employee salaries and wages	158,957.	0.		0.
	15 Pension plans, employee benefits	20,197.	0.		0.
	16a Legal fees STMT 3	31,417.	0.		0.
	b Accounting fees STMT 4	12,600.	0.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 5	21,283.	0.		0.
	19 Depreciation and depletion	531.	0.		
	20 Occupancy				
	21 Travel, conferences, and meetings	157,639.	0.		0.
	22 Printing and publications				
	23 Other expenses STMT 6	1,797,084.	20,270.		0.
	24 Total operating and administrative expenses. Add lines 13 through 23	2,311,616.	20,270.		0.
	25 Contributions, gifts, grants paid	545,604.			545,604.
	26 Total expenses and disbursements. Add lines 24 and 25	2,857,220.	20,270.		545,604.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	464,007.			
	b Net investment income (if negative, enter -0-)		103,263.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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323501 10-10-13 LHA For Paperwork Reduction Act Notice, see instructions. Form **990-PF** (2013)

11250124 757189 NEME600 2013.05020 THE EMERIL LAGASSE FOUNDATI NEME6001

Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only)		Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	275,916.	92,931.	92,931.
	2 Savings and temporary cash investments	449,320.	788,466.	788,466.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ 385,308.			
	Less: allowance for doubtful accounts ▶	240,500.	385,308.	
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	25,511.	22,348.	
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 9	2,515,744.	3,522,961.	3,522,961.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other STMT 10	2,510,640.	2,102,295.	2,102,295.
	14 Land, buildings, and equipment: basis ▶ 13,641.			
	Less accumulated depreciation STMT 8 ▶ 12,845.	1,327.	796.	796.
	15 Other assets (describe ▶)			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	6,018,958.	6,915,105.	6,507,449.
Liabilities	17 Accounts payable and accrued expenses	9,565.	27,129.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 11)	160,344.	207,674.	
	23 Total liabilities (add lines 17 through 22)	169,909.	234,803.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	5,849,049.	6,680,302.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	5,849,049.	6,680,302.	
	31 Total liabilities and net assets/fund balances	6,018,958.	6,915,105.	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,849,049.
2 Enter amount from Part I, line 27a	2	464,007.
3 Other increases not included in line 2 (itemize) ▶ UNREALIZED GAIN ON INVESTMENTS	3	367,246.
4 Add lines 1, 2, and 3	4	6,680,302.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,680,302.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	1,368,929.		1,376,422.	<7,493.>
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				<7,493.>
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 }	2	<7,493.>
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	406,048.	5,289,598.	.076763
2011	358,038.	4,405,951.	.081262
2010	707,286.	1,177,919.	.600454
2009	523,990.	3,151,397.	.166272
2008	1,223,975.	3,424,224.	.357446

2	**Total** of line 1, column (d)	2	1.282197
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.256439
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	6,274,083.
5	Multiply line 4 by line 3	5	1,608,920.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,033.
7	Add lines 5 and 6	7	1,609,953.
8	Enter qualifying distributions from Part XII, line 4	8	545,604.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ **(attach copy of letter if necessary-see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	2,065.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	2,065.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	2,065.
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	46.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	2,111.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ LA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 12	10	X	

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ EMERIL.ORG

14 The books are in care of ▶ CAROL RIPLEY Telephone no. ▶ (504) 524-4241

Located at ▶ 829 ST. CHARLES AVE., NEW ORLEANS, LA ZIP+4 ▶ 70130

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. Yes No

1a During the year did the foundation (either directly or indirectly):

(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No

(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No

(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No

(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No

(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No

(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No

			Yes	No
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):

a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No

If "Yes," list the years ▶ ____, ____, ____, ____

			Yes	No
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		

c If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here.

▶ ____, ____, ____, ____

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No

			Yes	No
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 13		111,908.	13,321.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANTONIA KELLER - 829 ST CHARLES AVENUE, NEW ORLEANS, LA 70130	EVENTS MANAGER 40.00	63,213.	6,592.	0.

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶	0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,292,484.
b	Average of monthly cash balances	1b	1,077,143.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	6,369,627.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	6,369,627.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	95,544.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,274,083.
6	**Minimum investment return.** Enter 5% of line 5	6	313,704.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	313,704.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,065.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	2,065.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	311,639.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	311,639.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	311,639.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	545,604.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	545,604.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	545,604.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				311,639.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008 1,053,954.				
b From 2009 367,386.				
c From 2010 648,950.				
d From 2011 141,487.				
e From 2012 145,911.				
f **Total** of lines 3a through e	2,357,688.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 545,604.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				311,639.
e Remaining amount distributed out of corpus	233,965.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,591,653.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	1,053,954.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	1,537,699.			
10 Analysis of line 9:				
a Excess from 2009 367,386.				
b Excess from 2010 648,950.				
c Excess from 2011 141,487.				
d Excess from 2012 145,911.				
e Excess from 2013 233,965.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

EMERIL J. LAGASSE III

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CAFE RECONCILE 1631 ORETHA CASTLE HALEY BLVD NEW ORLEANS, LA 70113	NONE	PUBLIC CHARITY	LIFE SKILLS DEVELOPMENT	30,000.
CLINTON GLOBAL INITIATIVE 610 PRESIDENT CLINTON AVE. LITTLE ROCK, AR 72201	NONE	PUBLIC CHARITY	YOUTH DEVELOPMENT	3,000.
EMERALD COAST CHILDREN'S ADVOCACY CENTER 401 MCEWEN DRIVE NICEVILLE, FL 32578	NONE	PUBLIC CHARITY	CHILDREN'S EDUCATION	6,000.
EVACUTEER 518 BURGUNDY ST. NEW ORLEANS, LA 70115	NONE	PUBLIC CHARITY	LIFE SKILLS DEVELOPMENT	10,000.
FIRSTLINE SCHOOLS GRANT 2319 VALENCE STREET NEW ORLEANS, LA 70115	NONE	PUBLIC CHARITY	CULINARY AND NUTRITION PROGRAM	92,890.
Total SEE CONTINUATION SHEET(S)			▶ 3a	545,604.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	123,533.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	<7,493.>	
9 Net income or (loss) from special events			01	<1,020,937.>	
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		<904,897.>	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 <904,897.>

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

323621 10-10-13

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Emeril Lagasse — 02-03-15 — CHAIRMAN

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
SHARON CASSIERE	Sharon B. Cassiere	1/26/15		P00543368

Firm's name ▶ POSTLETHWAITE & NETTERVILLE — Firm's EIN ▶ 72-1202445

Firm's address ▶ ONE GALLERIA BLVD., STE 2100 METAIRIE, LA 70001 — Phone no. (504)837-5990

Schedule B (Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.

OMB No 1545-0047

2013

Name of the organization: THE EMERIL LAGASSE FOUNDATION

Employer identification number: 42-1536915

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization	Employer identification number
THE EMERIL LAGASSE FOUNDATION	42-1536915

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	AMERICAN AIRLINES 4333 AMON CARTER BLVD, MD 5675 FORT WORTH, TX 76155	$ 123,000.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
2	ANTHONY & LIZ CRUZ 601 26TH STREET, 9TH FLOOR NEW YORK, NY 10001	$ 11,861.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
83	APARICIO, WALKER AND SEELING, INC 4501 W NAPOLEON AVE #200 METAIRIE, LA 70001	$ 5,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
3	AU BON CLIMAT 813 ANACAPA ST #5B SANTA BARBARA, CA 93003	$ 25,750.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
4	B&G FOODS 4 GATEHALL DRIVE PARSIPPANY, NJ 07054	$ 16,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
5	BELK, INC P.O. BOX 190238 CHARLOTTE, NC 28219	$ 5,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE EMERIL LAGASSE FOUNDATION	42-1536915

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
6	BEVERLY BEDSOLE 3014 HWY 513 MANSFIELD, LA 71052	$ 20,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
7	BILL & REBECCA SANDERS 510 MADISON AVE NEW YORK, NY 10022	$ 5,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
8	BILL & LAURIE SKEFFINGTON 2200 S YALE ST SANTA ANNA, CA 92704	$ 11,690.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
9	BILLY & WINTER BENNETT 127 NORTHHILLS DRIVE MANSFIELD, LA 71052	$ 6,700.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
10	BRYAN CORR P.O. BOX 611310 ROSEMARY BEACH, FL 32461	$ 18,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
11	BROOK & PAM SMITH 2307 RIVER ROAD, SUITE 200 LOUISVILLE, KY 40206	$ 5,108.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE EMERIL LAGASSE FOUNDATION	42-1536915

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
12	BUFFALO TRACE 113 GREAT BUFFALO TRACE FRANKFORT, KY 40601	$ 7,000.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
13	BUTCH & ANDI OUSTALET P.O. DRAWER O GULFPORT, MS 39507	$ 23,757.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
14	CAKEBREAD CELLARS P.O. BOX 216 RUTHERFORD, CA 94573	$ 5,200.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
15	CHAMPAGNE LOUIS ROEDERER 4501 CALIFORNIA 128 PHILO, CA 95466	$ 8,500.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions.)
16	CHRIS DUDLEY 3907 W MILLERS BRIDGE RD TALLAHASSE, FL 32312	$ 8,500.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
17	CHRIS & MARTHA ROBERTSON 610 LOYOLA AVE NEW ORLEANS, LA 70130	$ 22,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE EMERIL LAGASSE FOUNDATION	42-1536915

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
18	CHUCK WAGNER P.O. BOX 268 RUTHERFORD, CA 94573	$ 71,210.	Person [X] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
19	CRAIG & LYNN BOES 2321 PERDIDO STREET NEW ORLEANS, LA 70119	$ 37,880.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
20	CRESCENT BANK & TRUST P.O. BOX 61813 NEW ORLEANS, LA 70161	$ 16,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
21	DANIEL STAUB 403 S. SAPODILLA AVE. #214 W. PALM BEACH, FL 33401	$ 21,209.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
22	DARREN MOSS 10859 US HWY 98 WEST MIRAMAR BEACH, FL 32550	$ 5,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
23	DAVID & PENNY LOANE 47 N. GARY GLEN CIRCLE THE WOODLANDS, TX 77382	$ 9,625.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE EMERIL LAGASSE FOUNDATION	42-1536915

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
24	DAVID & SHELLY KIM 829 ST. CHARLES AVENUE NEW ORLEANS, LA 70130	$ 66,150.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
25	DEMETRIA & RICHARD MCNEESE 36468 EMERALD COAST PKY. DESTIN, FL 32541	$ 5,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
26	DIANE & ALAN FRANCO 524 METAIRIE RD. METAIRIE, LA 70005	$ 5,478.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
27	DR. SCOTT SULLIVAN & DR. MICHELE COOPER PO BOX 8664 METAIRIE, LA 70011	$ 5,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
28	EMERIL J. LAGASSE III 829 ST. CHARLES AVENUE NEW ORLEANS, LA 70130	$ 38,048.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
29	ERNEST N. MORIAL CONVENTION CENTER 900 CONVENTION CENTER BLVD NEW ORLEANS, LA 70130	$ 11,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE EMERIL LAGASSE FOUNDATION	42-1536915

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
30	FIJI WATER COMPANY 11444 W. OLYMPIC BLVD., #210 LOS ANGELES, CA 90064	$ 5,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
31	FLIGHT OPTIONS 26180 CURTISS WRIGHT PKWY CLEVELAND, OH 44143	$ 43,400.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
32	FRED & MARGARET CARL PO BOX 10270 GREENWOOD, MS 38930	$ 22,020.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
33	FRIEND & COMPANY 7713 MAPLE ST NEW ORLEANS, LA 70118	$ 60,000.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
34	GARY N. SOLOMON 1100 POYDRAS ST., SUITE 100 NEW ORLEANS, LA 70163	$ 22,933.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
35	GEORGE RODRIGUE 727 MAGAZINE ST NEW ORLEANS, LA 70130	$ 30,000.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE EMERIL LAGASSE FOUNDATION	42-1536915

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
36	GERRY & BRENDA SCHWARTZ 726 ADELAIDE PLACE SANTA MONICA, CA 90402	$ 5,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
84	GOLDRING FAMILY FOUNDATION 524 METAIRIE RD. METAIRIE, LA 70002	$ 22,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
37	GONZALO CHOCANO 330 E 51ST ST NEW YORK, NY 10022	$ 65,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
38	GROUPE SEB USA 1 BOLAND DRIVE, SUITE 101 WEST ORANGE, NJ 07052	$ 17,100.	Person [X] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
39	HANCOCK BANK/WHITNEY BANK P.O. BOX 4019 GULFPORT, MS 39502	$ 5,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
85	I TECH SERVICES 6245 SHILOH RD ALPHARETTA, GA 30005	$ 34,900.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE EMERIL LAGASSE FOUNDATION	42-1536915

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
40	JAMES & PEGGY ADAMS P.O. BOX 2016 DESTIN, FL 32540	$ 11,600.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
41	JAMES LIAUTAUD 2212 FOX DR. CHAMPAIGN, IL 61820	$ 57,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
42	JIM & ANN BARRI 829 ST. CHARLES AVENUE NEW ORLEANS, LA 70130	$ 21,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
43	JIMMY JOHN'S FRANCHISE 2212 FOX DR. CHAMPAIGN, IL 61820	$ 13,750.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
44	JON & JACKIE GONSOULIN P.O. BOX 9036 HOUMA, LA 70361	$ 5,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
86	KARLITZ & CO 570 7TH AVE NEW YORK, NY 10018	$ 13,750.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE EMERIL LAGASSE FOUNDATION	42-1536915

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
45	KENNETH & STEPHANIE KARL 24 DOCKSIDE LANE, NO. 446 KEY LARGO, FL 33037	$ 10,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
46	KIM & THOMAS WOOD 4012 CENTRAL FLORIDA PARKWAY ORLANDO, FL 32837	$ 13,274.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
47	KRISTIN WOLFSON 4525 TAFT PARK METAIRIE, LA 70002	$ 12,000.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
48	LARRY & CAMILLE RUVO 8400 SOUTH JONES BLVD LAS VEGAS, NV 89139	$ 8,000.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
49	LAS VEGAS SANDS CORP 3355 LAS VEGAS BLVD SOUTH LAS VEGAS, NV 89109	$ 7,100.	Person [X] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
50	LENOX CORPORATION 1414 RADCLIFFE STREET BRISTOL, PA 19007	$ 9,380.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE EMERIL LAGASSE FOUNDATION	42-1536915

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
82	LOWE STEIN 701 POYDRAS STREET NEW ORLEANS, LA 70139	$ 5,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
51	LOWRY & MARLA LOMAX 829 ST. CHARLES AVENUE NEW ORLEANS, LA 70130	$ 12,025.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
52	MARK & STEPHANIE BOURGEOIS 11 WACO AVE HOUMA, LA 70360	$ 27,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
53	MARKS FAMILY FOUNDATION 30 TRAIL LANE WOODSIDE, CA 94062	$ 10,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
54	MARTHA STEWART LIVING OMNIMEDIA 601 WEST 26TH STREET NEW YORK, NY 10001	$ 5,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
55	MAT MEDIA 201 SOUTH MONROE ST TALLAHASSE, FL 32301	$ 9,300.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE EMERIL LAGASSE FOUNDATION	42-1536915

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
56	MICHAEL BABICH 829 ST. CHARLES AVENUE NEW ORLEANS, LA 70130	$ 48,200.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
57	MIKE & LESLIE APPLING 8209 MALLIE COURT HOUSTON, TX 77055	$ 21,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
58	MIKE & BRIDGET BENDER 829 ST. CHARLES AVENUE NEW ORLEANS, LA 70130	$ 191,000.	Person [X] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
59	NOAH & DEBBIE MCMAHON 23091 MILL CREEK DR. LAGUNA HILLS, CA 92653	$ 15,200.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
60	OCEAN REEF CLUB 35 OCEAN REEF DR #200 KEY LARGO, FL 33037	$ 13,600.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
61	PAUL & SUZIE FRANK PO BOX 3688 YOUNTVILLE, CA 94599	$ 19,825.	Person [X] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE EMERIL LAGASSE FOUNDATION	42-1536915

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
62	PAUL & BETTY WOOLLS PO BOX 923 ANGWIN, CA 94508	$ 5,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
63	PRIDE MOUNTAIN VINEYARDS 4026 SPRING MOUNTAIN RD ST HELENA, CA 94574	$ 8,400.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
64	RACE AND RELIGIOUS 510 RACE ST NEW ORLEANS, LA 70130	$ 9,000.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
65	RICH AURILIA 829 ST. CHARLES AVENUE NEW ORLEANS, LA 70130	$ 5,400.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
66	RICHARD & NANCY AKIN 331 W. GALLATIN ST. HAZLEHURST, MS 39083	$ 7,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
67	RITA & MIKE CASTLE 3119 MERION DRIVE MIRAMAR BEACH, FL 32250	$ 16,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE EMERIL LAGASSE FOUNDATION	42-1536915

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
68	RIVER CONSTRUCTION, INC. P.O. BOX 9455 METAIRIE, LA 70055	$ 5,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
69	ROUSES 1301 ST. MARY ST. THIBODAUX, LA 70301	$ 18,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
70	ROYAL STREET GALLERY 205 SOUTH MILL STREET #211 ASPEN, CO 81611	$ 5,000.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
71	SAZERAC 3850 N CAUSEWAY BLVD SUITE 1695 METAIRIE, LA 70002	$ 17,500.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
72	SCRIPPS NETWORKS P.O. BOX 5685 CINCINNATI, OH 45201	$ 5,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
73	STEPHAN & LORI KARIAN 829 ST. CHARLES AVENUE NEW ORLEANS, LA 70130	$ 32,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE EMERIL LAGASSE FOUNDATION	42-1536915

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
74	STEPHEN & LYNN DUGAS 668 WOODLAND BAYOU DR SANTA ROSA BEACH, FL 32459	$ 46,280.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
75	STEPHEN & MONA BRUCE 25364 PRADO DE LA FELICIDAD CALABASAS, CA 91302	$ 5,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
76	TERESA LEVIN P.O. BOX 1231 PENSACOLA, FL 32591	$ 5,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
77	THE STANLEY E. HANSON FOUNDATION 2121 VIA BURTON ANAHEIM, CA 92806	$ 164,500.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
78	VICTORIA DE CRESCENZO BEVAN CELLARS ST HELENA, CA 94574	$ 5,000.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)
79	VILLAGIO INN & SPA 6481 WASHINGTON ST YOUNTVILLE, CA 94599	$ 9,000.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
THE EMERIL LAGASSE FOUNDATION	42-1536915

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
80	WAGNER FAMILY OF WINE 8700 CONN CREEK RD RUTHERFORD, CA 94573	$ 8,880.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
81	WILLIAM SELYEM WINERY 7227 WESTSIDE ROAD HEALDSBURG, CA 95448	$ 33,200.	Person ☐ Payroll ☐ Noncash ☒ (Complete Part II for noncash contributions)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization: THE EMERIL LAGASSE FOUNDATION

Employer identification number: 42-1536915

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	36 FIRST CLASS AMERICAN AIRLINE TICKETS TO VARIOUS LOCATIONS	$ 123,000.	11/09/36
3	40 CASES OF VARIOUS WINES; TRAVEL PACKAGE (SANTA BARBARA)	$ 25,750.	11/09/13
12	TRAVEL PACKAGE	$ 7,000.	11/09/13
14	123 BOTTLES OF WINE	$ 5,200.	11/09/13
15	DOMAINE OTT, LOUIS ROEDERER BRUT PREMIER	$ 8,500.	11/09/13
18	VARIOUS WINES	$ 3,210.	11/09/13

Name of organization	Employer identification number
THE EMERIL LAGASSE FOUNDATION	42-1536915

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
31	10 PRIVATE JET TICKETS	$ 43,400.	11/09/13
33	SAPPHIRE EARRING & NECKLACE COLLECTION	$ 60,000.	11/09/13
35	GEORGE RODRIGUE PAINTING	$ 30,000.	11/09/13
38	EMERILWARE SET	$ 600.	11/09/13
47	PAINTING	$ 12,000.	11/09/13
48	TRAVEL PACKAGE (TAHOE RODEO)	$ 8,000.	11/09/13

Name of organization	Employer identification number
THE EMERIL LAGASSE FOUNDATION	42-1536915

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
49	2 NIGHT LUXURY STAY (PALAZZO OR VENETIAN)	$ 1,600.	11/09/13
50	FLATWARE, VASES, DISHES	$ 9,380.	11/09/13
58	TRAVEL PACKAGE (BALD HEAD ISLAND)	$ 12,000.	11/09/13
60	3 NIGHTS STAY & DINNER AT OCEAN REEF CLUB	$ 13,600.	11/09/13
61	TRAVEL PACKAGE (NYC)	$ 1,800.	11/09/13
63	120 BOTTLES OF WINE	$ 8,400.	11/09/13

Name of organization	Employer identification number
THE EMERIL LAGASSE FOUNDATION	42-1536915

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
64	TRAVEL PACKAGE	$ 9,000.	11/09/13
70	PAINTING	$ 5,000.	11/08/13
71	BUFFALO TRACE BOURBON & OTHER ASSORTED PRODUCTS	$ 17,500.	11/08/13
78	BEVAN CELLARS PACKAGE	$ 5,000.	11/09/13
79	3 NIGHTS STAY	$ 9,000.	11/09/13
80	168 BOTTLES OF WINE	$ 8,880.	11/09/13

Name of organization	Employer identification number
THE EMERIL LAGASSE FOUNDATION	42-1536915

Part II **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
81	2 TRAVEL PACKAGES; 120 BOTTLES OF WINE	$ 33,200.	11/09/13

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	Employer identification number
THE EMERIL LAGASSE FOUNDATION	42-1536915

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns **(a)** through **(e)** and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once.) ▶ $

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
INTEREST & DIVIDENDS	123,533.	0.	123,533.	123,533.	
TO PART I, LINE 4	123,533.	0.	123,533.	123,533.	

FORM 990-PF OTHER INCOME STATEMENT 2

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
GROSS INCOME FROM SPECIAL FUNDRAISING EVENTS	775,486.	0.	
TOTAL TO FORM 990-PF, PART I, LINE 11	775,486.	0.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	31,417.	0.		0.
TO FM 990-PF, PG 1, LN 16A	31,417.	0.		0.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	12,600.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	12,600.	0.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES & LICENSES	472.	0.		0.
PAYROLL TAXES	20,811.	0.		0.
TO FORM 990-PF, PG 1, LN 18	21,283.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSES	28,267.	0.		0.
COMPUTER EXPENSE	2,915.	0.		0.
ENTERTAINMENT & MEALS	14,476.	0.		0.
INSURANCE	10,463.	0.		0.
INVESTMENT FEES	20,270.	20,270.		0.
SERVICE AGREEMENTS	34,466.	0.		0.
CREDIT CARD EXPENSE	39,623.	0.		0.
EVENT COSTS	840,577.	0.		0.
FOOD & BEVERAGE	123,316.	0.		0.
AUCTION REDEMPTION	285,604.	0.		0.
ENTERTAINMENT & PRODUCTION	240,653.	0.		0.
PROMOTION COSTS	153,454.	0.		0.
PROFESSIONAL DEVELOPMENT	3,000.	0.		0.
TO FORM 990-PF, PG 1, LN 23	1,797,084.	20,270.		0.

FOOTNOTES STATEMENT 7

SPECIAL RULES FOR SECTION 507(B)(1)(B) TERMINATION - UNDER THESE RULES, THE ORGANIZATION MAY FILE FORM 990-PF WITHOUT PAYING TAX BASED ON INVESTMENT INCOME IF IT FILED A CONSENT UNDER SECTION 6501(C)(4) WITH ITS NOTIFICATION TO THE IRS. PLEASE SEE THE ATTACHED LETTER FROM THE IRS ON THE 60-MONTH TERMINATION PERIOD ALONG WITH THE FORM 872-B.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 8

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE	FAIR MARKET VALUE
UCI PHONE	2,125.	2,125.	0.	0.
PENTAL COMPUTER	2,290.	2,290.	0.	0.
INTEL CORE COMPUTER	1,964.	1,964.	0.	0.
LATITUDE E6510 NOTEBOOK	1,450.	1,199.	251.	251.
MNMGT SOLUTION SOFTWARE	2,140.	2,140.	0.	0.
DELL LATITUDE E6420 COMPUTER	1,722.	1,309.	413.	413.
ADOBE SUITE	1,399.	1,399.	0.	0.
LASERJET PRINTER	551.	419.	132.	132.
TO 990-PF, PART II, LN 14	13,641.	12,845.	796.	796.

FORM 990-PF CORPORATE STOCK STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CHASE INVESTMENT ACCOUNTS - EQUITY INVESTMENTS	3,522,961.	3,522,961.
TOTAL TO FORM 990-PF, PART II, LINE 10B	3,522,961.	3,522,961.

FORM 990-PF OTHER INVESTMENTS STATEMENT 10

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
CHASE INVESTMENT ACCOUNTS - OTHER INVESTMENTS	FMV	2,102,295.	2,102,295.
TOTAL TO FORM 990-PF, PART II, LINE 13		2,102,295.	2,102,295.

FORM 990-PF OTHER LIABILITIES STATEMENT 11

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
ACCRUED PAYROLL AND RELATED LIABILITIES	11,769.	7,674.
ACCRUED AUCTION	148,575.	200,000.
TOTAL TO FORM 990-PF, PART II, LINE 22	160,344.	207,674.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS STATEMENT 12
PART VII-A, LINE 10

NAME OF CONTRIBUTOR	ADDRESS
CRESCENT BANK & TRUST	P.O. BOX 61813 NEW ORLEANS, LA 70161
DAVID & SHELLY KIM	9591 JAMES CIRCLE VILLA PARK, CA 92861
MIKE & BRIDGET BENDER	1710 DAWSON ST WILMINGTON, NC 28403
THE STANLEY E HANSON FOUNDATION	2121 VIA BURTON ANAHEIM, CA 92806

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 13

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MARIO BATALI 829 ST. CHARLES AVENUE NEW ORLEANS, LA 70130	DIRECTOR 1.00	0.	0.	0.
PAUL FRANK 829 ST. CHARLES AVENUE NEW ORLEANS, LA 70130	DIRECTOR 1.00	0.	0.	0.
ROB GOLDSTEIN 829 ST. CHARLES AVENUE NEW ORLEANS, LA 70130	DIRECTOR 1.00	0.	0.	0.
ERIC LINQUEST 829 ST. CHARLES AVENUE NEW ORLEANS, LA 70130	DIRECTOR 1.00	0.	0.	0.
MARK C. ROMIG, APR 829 ST. CHARLES AVENUE NEW ORLEANS, LA 70130	DIRECTOR 1.00	0.	0.	0.
GARY SOLOMON 829 ST. CHARLES AVENUE NEW ORLEANS, LA 70130	DIRECTOR 1.00	0.	0.	0.
MICHAEL THOMPSON 829 ST. CHARLES AVENUE NEW ORLEANS, LA 70130	DIRECTOR 1.00	0.	0.	0.
MARK STEIN 829 ST. CHARLES AVENUE NEW ORLEANS, LA 70130	DIRECTOR/SECRETARY/TREASUR 2.00	0.	0.	0.
TONY CRUZ 829 ST. CHARLES AVENUE NEW ORLEANS, LA 70130	DIRECTOR/PRESIDENT 2.00	0.	0.	0.
EMERIL J. LAGASSE III 829 ST. CHARLES AVENUE NEW ORLEANS, LA 70130	DIRECTOR/CHAIRMAN 2.00	0.	0.	0.
KRISTIN C. SHANNON (TERMINATED 01/14) 829 ST. CHARLES AVENUE NEW ORLEANS, LA 70130	EXECUTIVE DIRECTOR 40.00	111,908.	13,321.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		111,908.	13,321.	0.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GEORGE RODRIGUE FOUNDATION 747 MAGAZINE STREET NW ORLEANS, LA 70130	NONE	PUBLIC CHARITY	CULTURAL AND ARTS ENRICHMENT	1,000.
HEALDSBURG SCHOOL 33 HEALDSBURG AVE STE H HEALDSBURG, CA 95448	NONE	PUBLIC CHARITY	CHILDREN'S EDUCATION	963.
HOGS FOR THE CAUSE 760 MAGAZINE STREET UNIT 214 NEW ORLEANS, LA 70130	NONE	PUBLIC CHARITY	CHILDREN'S SPECIAL NEEDS AND MEDICAL NEEDS	2,986.
INGRAM LEE FOUNDATION P.O. BOX 22183 HOUSTON, TX 77227	NONE	PUBLIC CHARITY	CHILDREN'S EDUCATION	10,000.
JOHN BESH FOUNDATION 426 GRAVIER STREET NEW ORLEANS, LA 70130	NONE	PUBLIC CHARITY	CULINARY AND NUTRITION PROGRAM	687.
KELLY GIBSON FOUNDATION P.O. BOX 57478 NEW ORLEANS, LA 70157	NONE	PUBLIC CHARITY	EMERGENCY RESPONSE	500.
KILLINGSLY HIGH SCHOOL LEARNING FUND 226 PUTNAM PIKE DAYVILLE, CT 06241	NONE	PUBLIC CHARITY	CHILDREN'S EDUCATION	832.
LIBERTY'S KITCHEN P.O. BOX 19293 NEW ORLEANS, LA 70179	NONE	PUBLIC CHARITY	CULINARY AND NUTRITION PROGRAM	200,000.
LYNN MEADOWS 246 DOLAN AVENUE GULFPORT, MS 39507	NONE	PUBLIC CHARITY	CULTURAL AND ARTS ENRICHMENT	60,654.
MAKING HEADWAY FOUNDATION 115 KING STREET CHAPPAQUA, NY 10514	NONE	PUBLIC CHARITY	CHILDREN'S SPECIAL AND MEDICAL NEEDS	500.
Total from continuation sheets				403,714.

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
MARCH OF DIMES (NOLA RESTAURANT -- AUCTION WINNER J. SMITH MEAL) 3000 WESLAYAN, #100 HOUSTON, TX 77027	NONE	PUBLIC CHARITY	CHILDREN'S SPECIAL AND MEDICAL NEEDS	490.
MARIO BATALI FOUNDATION 45 E 20TH ST NEW YORK , NY 10003	NONE	PUBLIC CHARITY	FEEDING/HUNGER PROGRAMS	20,000.
MIKE THEIS FUND VIA GALATOIRE'S FOUNDATION(AMERICAN EXPRESS) 209 BOURBON ST NEW ORLEANS, LA 70130	NONE	PUBLIC CHARITY	CHILDREN'S EDUCATION	750.
PRESERVATION RESOURCE CENTER 923 TCHOUPITLOUAS STREET NEW ORLEANS, LA 70130	NONE	PUBLIC CHARITY	CULTURAL AND ARTS ENRICHMENT	5,000.
SECOND HARVEST 700 EDWARDS AVENUE NEW ORLEANS, LA 70123	NONE	PUBLIC CHARITY	FEEDING/HUNGER PROGRAMS	25,000.
SOUL REVIVAL (AMERICAN EXPRESS) 2701 KINGMAN STREET, SUITE 101 METAIRIE, LA 70006	NONE	PUBLIC CHARITY	CHILDREN'S SPECIAL AND MEDICAL NEEDS	200.
ST. MICHAEL SPECIAL SCHOOL 1522 CHIPPEWA STREET NEW ORLEANS, LA 70130	NONE	PUBLIC CHARITY	CHILDREN'S EDUCATION	30,000.
STUART HALL SCHOOL 2032 SOUTH CARROLTON AVENUE NEW ORLEANS, LA 70118	NONE	PUBLIC CHARITY	CHILDREN'S EDUCATION	152.
THE WATERING HOLE 712 ROYAL STREET NEW ORLEANS, LA 70116	NONE	PUBLIC CHARITY	CULTURAL AND ARTS ENRICHMENT	2,500.
UNIVERSITY OF MISSISSIPPI FOUNDATION P.O. BOX 249 UNIVERSITY, MS 38677	NONE	PUBLIC CHARITY	CULTURAL ENRICHMENT	9,000.
Total from continuation sheets				

323631
05-01-13

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
WORLD CENTRAL KITCHEN 1250 24TH STREET, NW STE 300 WASHINGTON, DC 20037	NONE	PUBLIC CHARITY	CULINARY AND NUTRITION PROGRAM	2,500.
YOUTH EMPOWERMENT 1604 ORETHA CASTLE HALEY BLVD NEW ORLEANS, LA 70113	NONE	PUBLIC CHARITY	CHILDREN'S EDUCATION	30,000.
Total from continuation sheets				

323631
05-01-13

2013 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1 990-PF

Asset No	Description	Date Acquired	Method	Life	Line No	Unadjusted Cost Or Basis	Bus % Excl	* Reduction In Basis	Basis For Depreciation	Accumulated Depreciation	Current Sec 179	Current Year Deduction
1	UCI PHONE	103103	200DB	7.00	17	2,125.		1,063.	1,062.	1,062.		0.
2	PENTAL COMPUTER	041006	200DB	5.00	17	2,290.			2,290.	2,290.		0.
3	INTEL CORE COMPUTER	041607	200DB	5.00	17	1,964.			1,964.	1,964.		0.
4	LATITUDE E6510 NOTEBOOK	060610	200DB	5.00	17	1,450.			1,450.	1,032.		167.
5	MNMGT SOLUTION SOFTWARE	011511		3M	43	2,140.			2,140.	2,140.		0.
16	DELL LATITUDE E6420 COMPUTER	040412	200DB	5.00	17	1,722.		861.	861.	172.		276.
17	ADOBE SUITE	040112		3M	43	1,399.			1,399.	1,399.		0.
18	LASERJET PRINTER	040112	200DB	5.00	17	551.		276.	275.	55.		88.
	* TOTAL 990-PF PG 1 DEPR & AMORT					13,641.		2,200.	11,441.	10,114.	0.	531.

328102
05-01-13

(D) - Asset disposed

* ITC, Section 179, Salvage, Bonus, Commercial Revitalization Deduction

Form 872-B (Rev. Dec. 2004)	Department of the Treasury - Internal Revenue Service **Consent to Extend the Time to Assess Miscellaneous Excise Taxes**	In reply refer to:
		Taxpayer Identification Number 42-1538915

The Emeril Lagasse Foundation, taxpayer(s)
(Name(s))

of 829 St. Charles Avenue, New Orleans, LA 70130 and the
(Number, Street, City or Town, State, ZIP Code)

Commissioner of Internal Revenue consent and agree to the following:

(1) The amount of liability for Chapter 42 Excise Tax tax, imposed on the taxpayer(s) by
(Kind)

section 4940-4945 of the Internal Revenue Code due for the period April 1, 2010 through March 31, 2015
(Internal Revenue Code, Revenue Act, etc.)

may be assessed at any time on or before August 15, 2019
(Expiration date)

(2) The collection provisions and limitations now in effect will also apply to any tax assessed within the extended period.

(3) The taxpayer(s) may file a claim for credit or refund and the Service may credit or refund the tax within 6 months after this agreement ends.

Your Rights as a Taxpayer

You have the right to refuse to extend the period of limitations or limit this extension to a mutually agreed-upon issue(s) or mutually agreed-upon period of time. **Publication 1035, *Extending the Tax Assessment Period*,** provides a more detailed explanation of your rights and the consequences of the choices you may make. If you have not already received a Publication 1035, the publication can be obtained, free of charge, from the IRS official who requested that you sign this consent or from the IRS' web site at www.irs.gov or by calling toll free at 1-800-829-3676. Signing this consent will not deprive you of any appeal rights to which you would otherwise be entitled.

YOUR SIGNATURE HERE ——— (Date signed)

I am aware that I have the right to refuse to sign this consent or to limit the extension to mutually agreed-upon issues and/or period of time as set forth in I.R.C. § 6501(c)(4)(B).

TAXPAYER'S REPRESENTATIVE SIGN HERE ——— [signature] 7/5/2010 (Date signed)

I am aware that I have the right to refuse to sign this consent or to limit the extension to mutually agreed-upon issues and/or period of time as set forth in I.R.C. § 6501(c)(4)(B). In addition, the taxpayer(s) has been made aware of these rights.

CORPORATE NAME ——— The Emeril Lagasse Foundation

CORPORATE OFFICER(S) SIGN HERE ——— (Title) (Date signed)

——— (Title) (Date signed)

I (we) am aware that I (we) have the right to refuse to sign this consent or to limit the extension to mutually agreed-upon issues and/or period of time as set forth in I.R.C. § 6501(c)(4)(B).

INTERNAL REVENUE SERVICE SIGNATURE AND TITLE

(Division Executive Name - see instructions) (Division Executive Title - see instructions)

BY [signature] AUG 17 2010
(Authorized Official Signature and Title - see instructions) (Date signed)

Form **8868**
(Rev. January 2014)
Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at *www.irs.gov/form8868*.

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box ▶ [X]
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing *(e-file)*. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*.

Part I **Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ▶ [ ]

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number

Type or print	Name of exempt organization or other filer, see instructions. THE EMERIL LAGASSE FOUNDATION	Employer identification number (EIN) or 42-1536915
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions. 829 ST. CHARLES AVE.	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW ORLEANS, LA 70130	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ CAROL RIPLEY 829 ST. CHARLES AVE., NEW ORLEANS, LA - 70130
  Telephone No. ▶ (504) 524-4241 Fax No. ▶
- If the organization does not have an office or place of business in the United States, check this box ▶ [ ]
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ____. If this is for the whole group, check this box ▶ [ ]. If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until NOVEMBER 15, 2014, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
▶ [ ] calendar year ____ or
▶ [X] tax year beginning APR 1, 2013, and ending MAR 31, 2014.

2 If the tax year entered in line 1 is for less than 12 months, check reason: [ ] Initial return [ ] Final return
[ ] Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 0.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 0.
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ 0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

• If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** and check this box ▶ [X]

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.

• If you are filing for an **Automatic 3-Month Extension, complete only Part I** (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the due date for filing your return. See instructions.	Enter filer's identifying number, see instructions	
	Name of exempt organization or other filer, see instructions. THE EMERIL LAGASSE FOUNDATION	Employer identification number (EIN) or 42-1536915
	Number, street, and room or suite no. If a P.O. box, see instructions. 829 ST. CHARLES AVE.	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. NEW ORLEANS, LA 70130	

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

• The books are in the care of ▶ CAROL RIPLEY 829 ST. CHARLES AVE., NEW ORLEANS, LA - 70130

Telephone No. ▶ (504) 524-4241 Fax No. ▶

• If the organization does not have an office or place of business in the United States, check this box ▶ [ ]

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ . If this is for the whole group, check this box ▶ [ ] . If it is for part of the group, check this box ▶ [ ] and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until FEBRUARY 15, 2015.

5 For calendar year ______ , or other tax year beginning APR 1, 2013 , and ending MAR 31, 2014 .

6 If the tax year entered in line 5 is for less than 12 months, check reason: [ ] Initial return [ ] Final return [ ] Change in accounting period

7 State in detail why you need the extension
ADDITIONAL TIME IS NEEDED TO GATHER THE NECESSARY INFORMATION REQUIRED TO FILE A COMPLETE AND ACCURATE RETURN.

8a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	$ 0.
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	$ 0.
c	**Balance due.** Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	$ 0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ▶ Sharon B. Caswell Title ▶ CPA Date ▶ 11/14/14

323842
12-31-13

09591112 757189 NEME600 2013.04030 THE EMERIL LAGASSE FOUNDATI NEME6001

[redacted]

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div.	Yield
US Large Cap Equity							
BBH CORE SELECT FUND-N 05528X-60-4 BBTE X	21 80	6,821 194	148,702 03	117,647 31	31,054.72	702 58	0 47%
HARTFORD CAPITAL APPREC-I 416649-30-9 ITHI X	46 93	2,706 095	126,997 04	97,645 52	29,351.52	592.63	0.47%
JPM LARGE CAP GROWTH FD - SEL FUND 3118 4812C0-53-0 SEEG X	31 35	1,345 120	42,169 51	32,850 99	9,318 52		
PRIMECAP ODYSSEY STOCK FUND 74160Q-30-1 POSK X	21.97	14,961 003	328,693 24	269,974 65	58,718 59	3,620 56	1.10%
SPDR S&P 500 ETF TRUST 78462F-10-3 SPY	187 01	1,716 000	320,909 16	261,034 71	59,874 45	5,975 11	1 86%
Total US Large Cap Equity			**$967,470.98**	**$779,153.18**	**$188,317.80**	**$10,890.88**	**1.12%**
US Mid Cap Equity							
ASTON RIVER RD DIV ALL CAP-I 00080Y-87-6 ARID X	13 48	11,730 340	158,124 98	146,652 37	11,472 61	4,035 23	2.55%
ISHARES CORE S&P MID-CAP ETF 464287-50-7 IJH	137 47	621 000	85,368 87	58,595 58	26,773 29	1,128 97	1 32%
JPM MKT EXP ENH INDEX FD - SEL FUND 3708 4812C1-63-7 PGMI X	13 41	5,652 590	75,801 23	62,130 26	13,670 97	678.31 144 54	0 89%
Total US Mid Cap Equity			**$319,295.08**	**$267,378.21**	**$51,916.87**	**$5,842.51** **$144.54**	**1.83%**

[redacted]

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc Accrued Div	Yield
EAFE Equity							
ARTISAN INTL VALUE FUND-INV 04314H-88-1 ARTK X	36 84	1,710 314	63,007 97	48,360 43	14,647 54	1,578 61	2 51%
DODGE & COX INTL STOCK FUND 256206-10-3 DODF X	44.23	6,374 574	281,947 41	237,742 71	44,204.70	4,430.32	1 57%
ISHARES MSCI EAFE INDEX FUND 464287-46-5 EFA	67 20	4,070 000	273,504 00	252,331 94	21,172 06	6,931 21	2 53%
Total EAFE Equity			**$618,459.38**	**$538,435.08**	**$80,024.30**	**$12,940.14**	**2.09%**
European Large Cap Equity							
VANGUARD FTSE EUROPE ETF 922042-87-4 VGK	58 95	680 000	40,086 00	33,517 92	6,568 08	1,542 92	3.85%
Asia ex-Japan Equity							
ABERDEEN ASIA-PAC XJ-INST EQUITY FUND - INSTITUTIONAL SERVICE SHARES 003021-69-8 AAPI X	11 79	14,174 671	167,119 37	164,304 83	2,814 54	2,239 59	1 34%
ISHARES MSCI ALL COUNTRY ASIA EX JAPAN INDEX FUND 464288-18-2 AAXJ	59 09	1,092 000	64,526.28	60,655 09	3,871 19	1,164.07	1 80%
Total Asia ex-Japan Equity			**$231,645.65**	**$224,959.92**	**$6,685.73**	**$3,403.66**	**1.47%**

[redacted]

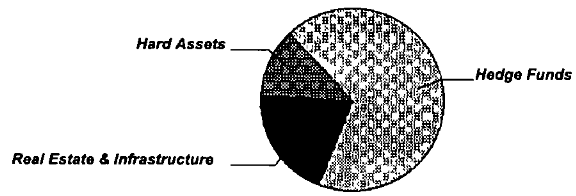
	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc. / Accrued Div.	Yield
Emerging Market Equity							
JPM CHINA REGION FD - SEL FUND 3810 4812A3-52-8 JCHS X	20 47	2,485 479	50,877 76	48,686 32	2,191 44	263 46	0 52%
JPM EMERG MRKT EQUITY FD - INSTL FUND 1389 4812A0-63-1 JMIE X	23 21	4,015 083	93,190 08	91,964 22	1,225 86	706.65	0 76%
VANGUARD FTSE EMERGING MARKETS ETF 922042-85-8 VWO	40 58	1,074 000	43,582 92	45,726 14	(2,143.22)	1,258 72	2.89%
Total Emerging Market Equity			**$187,650.76**	**$186,376.68**	**$1,274.08**	**$2,228.83**	**1.19%**

[redacted]

Alternative Assets Summary

Asset Categories	Beginning Estimated Value	Ending Estimated Value	Change In Value	Current Allocation
Hedge Funds	749,072 04	747,921 13	(1,150 91)	17%
Real Estate & Infrastructure	233,533 97	233,148 60	(385 37)	5%
Hard Assets	130,930 27	132,356.01	1,425.74	3%
Total Value	**$1,113,536.28**	**$1,113,425.74**	**($110.54)**	**25%**

Asset Categories



Alternative Assets as a percentage of your portfolio - 25 %

Alternative Assets Detail

	Price	Quantity	Estimated Value	Cost
Hedge Funds				
EATON VANCE GLOBAL MACRO-I 277923-72-8 EIGM X	9 33	11,769.694	109,811.25	115,221 36
GATEWAY FUND-Y 367829-88-4 GTEY X	29 03	7,048 157	204,608 00	194,503 43
INV BALANCED-RISK ALLOC-Y 00141V-69-7 ABRY X	12 07	10,491 186	126,628 62	136,546 84

[redacted]

Hedge Funds

	Price	Quantity	Estimated Value	Cost
PIMCO UNCONSTRAINED BOND-P 72201M-45-3 PUCP X	11 17	15,179 625	169,556 41	173,996 76
THE ARBITRAGE FUND-I 03875R-20-5 ARBN X	12 79	10,736.267	137,316 85	140,665 05
Total Hedge Funds			**$747,921.13**	**$760,933.44**

Real Estate & Infrastructure

	Quantity/Original Commitment Amount	Cost/Net Capital Called Since Inception	Net Distributions Since Inception	Estimated Value	Est Annual Inc / Accrued Div	Yield
JPM REALTY INCOME FD - INSTL FUND 1372 904504-50-3 URTL X	19,268 48	232,681 86		233,148 60	5,607 12 1,551 11	2.40 %

Amounts shown above under "Estimated Value" for private equity funds are estimates based on the latest fund values received from each underlying fund, which value may be as of a date (underlying fund value date) prior to the period covered by this statement The values provided by the underlying fund have been adjusted for any cash flows between your account and such fund that have occurred subsequent to the underlying fund value date to derive the "Estimated Value" Therefore, such "Estimated Value" may not reflect the value of your interest shown on any fund's actual books and records as of the date of this statement. For additional information, please contact your J.P Morgan representative
For private equity funds, Estimated Values are based on estimates provided by the underlying funds that are generally presented on a US GAAP basis, which records investments at fair value, or "marked-to-market" Most of these underlying funds also present their audited financial statements on a US GAAP basis (i e , "marked-to-market") However, some of these underlying funds present their audited financial statements using the Income Tax Basis of Accounting, which records investments "at cost" based on the accrual basis of accounting for Federal income taxes Where the underlying fund provides periodic estimates on a "marked-to-market" basis but reflects investments "at cost" in its audited financial statements, the marked-to-market Estimated Value shown herein for a private equity fund may be materially different from the value reflected on such fund's audited financial statements (which are also based on the audited financial statements of the underlying fund)

[redacted]

	Price	Quantity	Estimated Value	Cost	Est Annual Income / Accrued Income
Hard Assets					
PIMCO COMMODITYPL STRAT-P 72201P-16-7 PCLP X	11 14	11,881 150	132,356 01	128,513 44	403 95

[redacted]

Note· [1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
Cash							
US DOLLAR	1.00	247,709.11	247,709.11	247,709 11		74 31 8 12	0 03 % [1]
US Fixed Income							
DODGE & COX INCOME FUND 256210-10-5	13 69	11,876 04	162,583 00	162,282 58	300 42	4,904 80	3 02 %
PAYDEN HIGH INCOME FUND 704329-57-2	7.15	10,677 16	76,341.68	77,201.21	(859 53)	4,388.31	5 75 %
EATON VANCE FLOATING RATE-I 277911-49-1	9.16	14,826 22	135,808 13	134,100 87	1,707 26	5,233.65	3 85 %
JPM SHORT DURATION BOND FD - SEL FUND 3133 4812C1-33-0	10 89	21,186 25	230,718 25	232,027 47	(1,309 22)	2,139 81 127 12	0 93 %
BLACKROCK HIGH YIELD BOND 091929-63-8	8.34	6,052.55	50,478.25	46,453.09	4,025 16	3,050.48	6 04 %
Total US Fixed Income			**$655,929.31**	**$652,065.22**	**$3,864.09**	**$19,717.05** **$127.12**	**3.01 %**

[redacted]

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield

Foreign Exchange & Non-USD Fixed Income

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
JPM INTL CURR INCOME FD - SEL FUND 3831 4812A3-29-6	11 07	3,813 22	42,212 33	42,372 77	(160 44)	19 06	0 05%

[redacted]

US Large Cap Equity

	Pnce	Quantity	Value	Adjusted Tax Cost / Onginal Cost	Unrealized Gain/Loss	Est Annual Inc / Accrued Div	Yield
AFLAC INC 001055-10-2 AFL	63 04	310 000	19,542 40	15,700 19	3,842 21	458 80	2 35%
AIR PRODUCTS & CHEMICALS INC 009158-10-6 APD	119.04	175 000	20,832 00	13,357 10	7,474.90	539 00 134 75	2 59%
APACHE CORP 037411-10-5 APA	82 95	100 000	8,295 00	8,412 00	(117 00)	100 00	1 21%
ARCHER DANIELS MIDLAND CO 039483-10-2 ADM	43 39	375 000	16,271 25	10,954 19	5,317 06	360 00	2 21%
AT&T INC 00206R-10-2 T	35 07	440 000	15,430 80	16,982 72	(1,551.92)	809.60	5 25%
BECTON DICKINSON & CO 075887-10-9 BDX	117.08	150 000	17,562 00	11,761 60	5,800 40	327 00	1 86%
BIO-RAD LABORATORIES INC CL A 090572-20-7 BIO	128 12	105 000	13,452 60	9,833.50	3,619 10		
CATERPILLAR INC 149123-10-1 CAT	99 37	140 000	13,911 80	12,383 55	1,528 25	336 00	2 42%
CISCO SYSTEMS INC 17275R-10-2 CSCO	22 42	825 000	18,492 38	17,329 70	1,162 68	627 00	3.39%
COMPUTER SCIENCES CORP 205363-10-4 CSC	60 82	325 000	19,766 50	16,437 00	3,329 50	260 00 65 00	1.32%
CONAGRA FOODS INC 205887-10-2 CAG	31 03	500 000	15,515 00	11,788 76	3,726 24	500 00	3 22%
EXELON CORP 30161N-10-1 EXC	33.56	335.000	11,242.60	14,546.64	(3,304.04)	415.40	3.69%
FEDEX CORP 31428X-10-6 FDX	132 56	150 000	19,884 00	12,010 46	7,873 54	90 00 22 50	0 45%

[redacted]

	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est. Annual Inc. Accrued Div.	Yield
US Large Cap Equity							
HARRIS CORP DEL 413875-10-5 HRS	73 16	345 000	25,240 20	14,178 10	11,062 10	579 60	2 30%
INTERNATIONAL BUSINESS MACHINES CORP 459200-10-1 IBM	192 49	100 000	19,249 00	11,403 00	7,846 00	380 00	1.97%
ISHARES S&P 500/BARRA GROWTH INDEX FUND 464287-30-9 IVW	99 84	750 000	74,880 00	42,991 75	31,888.25	1,071 00	1.43%
ISHARES S&P 500/BARRA VALUE INDEX FUND 464287-40-8 IVE	86 90	750.000	65,175 00	40,300.55	24,874.45	1,375 50	2 11%
JOHNSON & JOHNSON 478160-10-4 JNJ	98 23	200 000	19,646 00	12,528 71	7,117 29	528 00	2 69%
JP MORGAN CHASE & CO 46625H-10-0 JPM	60 71	295 000	17,909 45	11,945 85	5,963 60	472 00	2 64%
KELLOGG CO 487836-10-8 K	62 71	250 000	15,677 50	11,055 05	4,622 45	460 00	2 93%
LINCOLN ELECTRIC HOLDINGS INC 533900-10-6 LECO	72 01	350 000	25,203 50	9,589.50 **	N/A	322 00 80 50	1 28%
MARATHON PETROLEUM CORPORATION 56585A-10-2 MPC	87 04	207 000	18,017 28	5,348 99	12,668.29	347 76	1 93%
MARRIOTT INTERNATIONAL INC CL A 571903-20-2 MAR	56 02	300 000	16,806 00	9,725 45	7,080 55	204 00	1.21%
MERCK AND CO INC 58933Y-10-5 MRK	56.77	450 000	25,546 50	15,721 75	9,824.75	792 00 198 00	3.10%
MICROS SYSTEMS INC 594901-10-0 MCRS	52 93	320 000	16,937 60	15,159 38	1,778 22		

[redacted]

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Inc. / Accrued Div.	Yield
US Large Cap Equity							
MURPHY OIL CORP 626717-10-2 MUR	62 86	300 000	18,858 00	16,517 89	2,340 11	375 00	1 99%
NATIONAL-OILWELL VARCO INC 637071-10-1 NOV	77 87	240.000	18,688 80	11,161 44	7,527 36	249 60	1 34%
OCCIDENTAL PETROLEUM CORP 674599-10-5 OXY	95 29	170 000	16,199 30	14,986 35	1,212 95	489 60 122 40	3 02%
PARKER-HANNIFIN CORP 701094-10-4 PH	119 71	155 000	18,555 05	11,023 40	7,531 65	297 60	1 60%
PEPSICO INC 713448-10-8 PEP	83 50	185 000	15,447 50	12,608.28	2,839 22	419 95	2.72%
PNC FINANCIAL SERVICES GROUP INC 693475-10-5 PNC	87 00	285 000	24,795 00	11,998 43	12,796 57	501 60	2 02%
REINSURANCE GROUP AMERICA 759351-60-4 RGA	79 63	265 000	21,101.95	14,739.89	6,362 06	318 00	1 51%
STATE STREET CORP 857477-10-3 STT	69 55	325 000	22,603 75	11,303 85	11,299 90	338 00 84 50	1 50%
UNITEDHEALTH GROUP INC 91324P-10-2 UNH	81 99	330 000	27,056 70	14,452 88	12,603 82	369 60	1 37%
YUM BRANDS INC 988498-10-1 YUM	75 39	305 000	22,993 95	11,500 35	11,493.60	451.40	1.96%
Total US Large Cap Equity			**$756,786.36**	**$501,738.25**	**$239,434.11**	**$15,165.01** **$707.65**	**2.00%**

[redacted]

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est. Annual Inc / Accrued Div.	Yield
US Small Cap Equity							
ISHARES CORE S&P SMALL-CAP ETF 464287-80-4 IJR	110 14	1,000 000	110,140 00	42,977 03	67,162 97	1,177 00	1 07%
US Small/Mid Cap Equity							
MURPHY USA INC-W/I 626755-10-2 MUSA	40 59	75 000	3,044 25	2,664 41	379 84		
Non-US Equity							
EATON CORP PLC G29183-10-3 ETN	75 12	314.000	23,587 68	16,023 02	7,564 66	615 44	2 61%
EAFE Equity							
ISHARES MSCI EAFE INDEX FUND 464287-46-5 EFA	67 20	2,800 000	188,160.00	196,879 11	(8,719 11)	4,768.40	2.53%
European Large Cap Equity							
VANGUARD FTSE EUROPE ETF 922042-87-4 VGK	58 95	1,300 000	76,635 00	73,849 00	2,786 00	2,949 70	3 85%

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SUMMARY BY MATURITY

Cash & Fixed Income	Market Value	% of Bond Portfolio
0-6 months[1]	616,439 86	75%
6-12 months[1]	51,198 50	6%
1-5 years[1]	95,181 49	11%
5-10 years[1]	26,459 28	3%
10+ years[1]	42,205.81	5%
Total Value	**$831,484.94**	**100%**

[1] The years indicate the number of years until the bond is scheduled to mature based on the statement end date Some bonds may be called, or paid in full, before their stated maturity

SUMMARY BY TYPE

Cash & Fixed Income	Market Value	% of Bond Portfolio
Cash	540,757 11	66%
Corporate Bonds	179,652 75	21%
Municipal Bonds	25,145 25	3%
Mortgage and Asset Backed Bonds	85,929 83	10%
Total Value	**$831,484.94**	**100%**

Note [1] This is the Annual Percentage Yield (APY) which is the rate earned if balances remain on deposit for a full year with compounding, there is no change in the interest rate and all interest is left in the account

Cash & Fixed Income Detail

	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
Cash							
US DOLLAR	1 00	540,757 11	540,757 11	540,757 11		54 07 4 25	0 01 %[1]

J.P.Morgan

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	Price	Quantity	Value	Adjusted Tax Cost / Original Cost	Unrealized Gain/Loss	Est Annual Income / Accrued Interest	Yield
Short Term							
COMMONWEALTH FING AUTH PA REV BUILD AMERICA BONDS 3 733% JUN 01 2014 DTD 11/12/2009 FED TAXABLE HELD BY DTC BOOK ENTRY ONLY 20281P-CV-2 AA- /A1	100 58	25,000 00	25,145 25	26,352 50	(1,207 25)	933 25 311 08	0 24 %
FHLB 5.25% 06/18/14 3133X7-FK-5 AA+ /AAA	101 08	50,000 00	50,537 50	54,970 70	(4,433 20)	2,625.00 751 00	0 22 %
JPMORGAN CHASE & CO SR NOTES 3.7% JAN 20 2015 DTD 09/18/2009 46625H-HP-8 A /A3	102 40	50,000 00	51,198 50	51,606 50	(408 00)	1,850.00 364 85	0 70 %
Total Short Term			**$126,881.25**	**$132,929.70**	**($6,048.45)**	**$5,408.25** **$1,426.93**	**0.42 %**
US Fixed Income							
MS DEV BK SPL OBLIG MS DEPT OF CORRECTIONS 3 622% A AUG 01 2015 DTD 05/21/2010 FED TAXABLE HELD BY DTC BOOK ENTRY ONLY 60534R-VS-5 AA- /NR	104 02	50,000 00	52,007 50	50,892 00	1,115 50	1,811 00 301 80	0 59 %
BALTIMORE MD CITY HSG AUTH LEASE REV BUILD AMERICA BONDS CUSTODIAL RCPTS 4.26% JAN 01 2016 DTD 03/31/2010 FED TAXABLE HELD BY DTC BOOK ENTRY ONLY 059206-AC-6 A+ /NR	103 64	25,000 00	25,909 25	25,000.00	909 25	1,065.00 266 25	2 13 %

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	Price	Quantity	Value	Adjusted Tax Cost Original Cost	Unrealized Gain/Loss	Est Annual Income Accrued Interest	Yield
US Fixed Income							
GNMA 150149 10% 01/15/16 362150-WJ-9	102 56	1,777 24	1,822 72	1,954 96	(132 24)	177 72 14 81	5 45%
GNMA II 5% APR 20 2017 DTD 04/01/2002 POOL NO 3220 36202D-SH-5	106.56	9,964 84	10,618 54	10,581 41	37 13	498 24 41 51	
FHLMC GOLD P10032 4.5% 05/01/18 31284B-A9-8	104 99	4,594 45	4,823 48	4,726 53	96 95	206 75 17.23	1 04%
FNMA MA0071 4.5% 05/01/19 31417Y-CH-8	106 20	7,326 74	7,780 85	7,683 94	96 91	329 70 27 48	1 20%
FHLMC GOLD M30034 4% 12/01/20 31282C-BB-2	105 48	6,923 98	7,303 13	7,114 38	188.75	276 95 23 08	1 00%
FNMA 995517 5.5% 01/01/24 31416B-4A-3	108 45	10,489 46	11,375.30	11,302.41	72 89	576 92 48.07	2 55%
FHLMC GOLD J10557 4% 08/01/24 3128PP-TN-3	106 51	16,323 25	17,386 38	16,828 24	558 14	652 92 54 41	2 00%
GNMA 405478 7% 08/15/25 36206A-M3-4	114 17	21,739 39	24,819 43	24,022 03	797 40	1,521 75 126.81	2 67%
Total US Fixed Income			**$163,846.58**	**$160,105.90**	**$3,740.68**	**$7,116.95** **$921.45**	**1.51%**

J.P.Morgan

TRADE ACTIVITY

Note: L indicates Long Term Realized Gain/Loss

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
4/1 4/1	Redemption Pro Rata	WILLIAMSON CNTY TN BUILD AMERICA BONDS UNLIMITED TAX 2 1/4% APR 01 2013 DTD 12/03/2009 FED TAXABLE HELD BY DTC BOOK ENTRY ONLY TO REDEMPTION (ID. 969871-W4-0)	(25,000.000)	100.00	25,000 00	(25,119 50)	(119.50) L
4/15 4/15	Principal Payment Pro Rata	FHLMC GOLD J10557 4% 08/01/24 PAYMENT A/C PRINCIPAL (ID: 3128PP-TN-3)	(1,107.700)	100.00	1,107.70	(1,141 97)	(34 27) L
4/15 4/15	Principal Payment Pro Rata	FHLMC GOLD M30034 4% 12/01/20 PAYMENT A/C PRINCIPAL (ID: 31282C-BB-2)	(153 190)	100 00	153 19	(157 40)	(4 21) L
4/15 4/15	Principal Payment Pro Rata	FHLMC GOLD P10032 4 5% 05/01/18 PAYMENT A/C PRINCIPAL (ID: 31284B-A9-8)	(275 290)	100 00	275 29	(283 21)	(7.92) L
4/15 4/15	Principal Payment Pro Rata	GNMA 405478 7% 08/15/25 PAYMENT A/C PRINCIPAL (ID. 36206A-M3-4)	(173 950)	100.00	173 95	(192 21)	(18 26) L
4/15 4/15	Principal Payment Pro Rata	GNMA 150149 10% 01/15/16 PAYMENT A/C PRINCIPAL (ID. 362150-WJ-9)	(137 660)	100 00	137 66	(151 43)	(13 77) L
4/15 4/15	Redemption Pro Rata	PRIVATE EXPORT FUNDING 3 55% APR 15 2013 DTD 04/08/2008 TO REDEMPTION (ID· 742651-DF-6)	(50,000 000)	100 00	50,000 00	(52,640 00)	(2,640 00) L
4/22 4/22	Principal Payment Pro Rata	GNMA II 5% APR 20 2017 DTD 04/01/2002 POOL NO 3220 PAYMENT A/C PRINCIPAL (ID: 36202D-SH-5)	(575 170)	100 00	575 17	(610 76)	(35 59) L
4/22 4/22	Principal Payment Pro Rata	GNMA II 4% JAN 20 2024 DTD 01/01/2009 POOL NO 4321 PAYMENT A/C PRINCIPAL (ID: 36202E-YS-2)	(474 290)	100 00	474 29	(483 78)	(9.49) L
4/25 4/25	Principal Payment Pro Rata	FNMA 254920 6.5% 08/01/13 PAYMENT A/C PRINCIPAL (ID: 31371L-DZ-9)	(544.936)	99.999	544.93	(571.16)	(26.23) L
4/25 4/25	Principal Payment Pro Rata	FNMA 995517 5 5% 01/01/24 PAYMENT A/C PRINCIPAL (ID 31416B-4A-3)	(847 130)	100 00	847 13	(912 78)	(65 65) L

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
4/25 4/25	Pnncipal Payment Pro Rata	FNMA MA0071 4 5% 05/01/19 PAYMENT A/C PRINCIPAL (ID: 31417Y-CH-8)	(533 380)	100 00	533 38	(559 38)	(26 00) L
Total Settled Sales/Maturities/Redemptions					**$79,822.69**	**($82,823.58)**	**($3,000.89) L**

TRADE ACTIVITY

Note **L** indicates Long Term Realized Gain/Loss

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
5/15 5/15	Principal Payment Pro Rata	FHLMC GOLD J10557 4% 08/01/24 PAYMENT A/C PRINCIPAL (ID. 3128PP-TN-3)	(836 380)	100.00	836.38	(862.26)	(25 88) L
5/15 5/15	Principal Payment Pro Rata	FHLMC GOLD M30034 4% 12/01/20 PAYMENT A/C PRINCIPAL (ID. 31282C-BB-2)	(469.120)	100 00	469.12	(482 02)	(12.90) L
5/15 5/15	Principal Payment Pro Rata	FHLMC GOLD P10032 4 5% 05/01/18 PAYMENT A/C PRINCIPAL (ID: 31284B-A9-8)	(242 950)	100.00	242 95	(249 94)	(6 99) L
5/15 5/15	Principal Payment Pro Rata	GNMA 405478 7% 08/15/25 PAYMENT A/C PRINCIPAL (ID 36206A-M3-4)	(178 960)	100.00	178 96	(197 75)	(18 79) L
5/15 5/15	Principal Payment Pro Rata	GNMA 150149 10% 01/15/16 PAYMENT A/C PRINCIPAL (ID: 362150-WJ-9)	(138.860)	100 00	138 86	(152 75)	(13 89) L
5/20 5/20	Principal Payment Pro Rata	GNMA II 5% APR 20 2017 DTD 04/01/2002 POOL NO 3220 PAYMENT A/C PRINCIPAL (ID· 36202D-SH-5)	(579 830)	100 00	579 83	(615 71)	(35 88) L
5/20 5/20	Principal Payment Pro Rata	GNMA II 4% JAN 20 2024 DTD 01/01/2009 POOL NO 4321 PAYMENT A/C PRINCIPAL (ID· 36202E-YS-2)	(476 060)	100 00	476 06	(485 58)	(9 52) L
5/28 5/28	Principal Payment Pro Rata	FNMA 995517 5 5% 01/01/24 PAYMENT A/C PRINCIPAL (ID: 31416B-4A-3)	(747 500)	100 00	747 50	(805 43)	(57 93) L
5/28 5/28	Principal Payment Pro Rata	FNMA MA0071 4 5% 05/01/19 PAYMENT A/C PRINCIPAL (ID 31417Y-CH-8)	(477 280)	100 00	477.28	(500 55)	(23 27) L
Total Settled Sales/Maturities/Redemptions					**$4,146.94**	**($4,351.99)**	**($205.05) L**

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
6/17 6/17	Pnncipal Payment Pro Rata	FHLMC GOLD P10032 4 5% 05/01/18 PAYMENT A/C PRINCIPAL (ID: 31284B-A9-8)	(142 170)	100.00	142 17	(146 26)	(4 09) L
6/17 6/17	Pnncipal Payment Pro Rata	GNMA 405478 7% 08/15/25 PAYMENT A/C PRINCIPAL (ID· 36206A-M3-4)	(177 480)	100 00	177 48	(196 12)	(18 64) L
6/17 6/17	Pnncipal Payment Pro Rata	GNMA 150149 10% 01/15/16 PAYMENT A/C PRINCIPAL (ID 362150-WJ-9)	(139.970)	100.00	139.97	(153 97)	(14.00) L
6/20 6/20	Pnncipal Payment Pro Rata	GNMA II 5% APR 20 2017 DTD 04/01/2002 POOL NO 3220 PAYMENT A/C PRINCIPAL (ID 36202D-SH-5)	(596 800)	100 00	596.80	(633.73)	(36 93) L
6/20 6/20	Pnncipal Payment Pro Rata	GNMA II 4% JAN 20 2024 DTD 01/01/2009 POOL NO 4321 PAYMENT A/C PRINCIPAL (ID: 36202E-YS-2)	(477.850)	100 00	477 85	(487 41)	(9 56) L
6/25 6/25	Principal Payment Pro Rata	FNMA 995517 5.5% 01/01/24 PAYMENT A/C PRINCIPAL (ID: 31416B-4A-3)	(652.280)	100.00	652 28	(702 83)	(50 55) L
6/25 6/25	Pnncipal Payment Pro Rata	FNMA MA0071 4.5% 05/01/19 PAYMENT A/C PRINCIPAL (ID 31417Y-CH-8)	(574 830)	100 00	574 83	(602 85)	(28 02) L
Total Settled Sales/Maturities/Redemptions					**$29,619.24**	**($30,297.78)**	**($678.54) L**

TRADE ACTIVITY

Note L indicates Long Term Realized Gain/Loss

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
7/15 7/15	Principal Payment Pro Rata	FHLMC GOLD J10557 4% 08/01/24 PAYMENT A/C PRINCIPAL (ID. 3128PP-TN-3)	(954 610)	100 00	954 61	(984.14)	(29 53) L
7/15 7/15	Principal Payment Pro Rata	FHLMC GOLD M30034 4% 12/01/20 PAYMENT A/C PRINCIPAL (ID: 31282C-BB-2)	(527 150)	100 00	527 15	(541 65)	(14 50) L
7/15 7/15	Principal Payment Pro Rata	FHLMC GOLD P10032 4 5% 05/01/18 PAYMENT A/C PRINCIPAL (ID: 31284B-A9-8)	(177 850)	100 00	177 85	(182 96)	(5 11) L
7/15 7/15	Principal Payment Pro Rata	GNMA 405478 7% 08/15/25 PAYMENT A/C PRINCIPAL (ID· 36206A-M3-4)	(190.260)	100 00	190 26	(210 24)	(19 98) L
7/15 7/15	Principal Payment Pro Rata	GNMA 150149 10% 01/15/16 PAYMENT A/C PRINCIPAL (ID 362150-WJ-9)	(141 190)	100 00	141 19	(155 31)	(14 12) L
7/22 7/22	Principal Payment Pro Rata	GNMA II 5% APR 20 2017 DTD 04/01/2002 POOL NO 3220 PAYMENT A/C PRINCIPAL (ID 36202D-SH-5)	(604 240)	100 00	604 24	(641 63)	(37 39) L
7/22 7/22	Principal Payment Pro Rata	GNMA II 4% JAN 20 2024 DTD 01/01/2009 POOL NO 4321 PAYMENT A/C PRINCIPAL (ID 36202E-YS-2)	(479.640)	100 00	479 64	(489 23)	(9 59) L
7/25 7/25	Principal Payment Pro Rata	FNMA 995517 5 5% 01/01/24 PAYMENT A/C PRINCIPAL (ID 31416B-4A-3)	(701 730)	100.00	701 73	(756 11)	(54 38) L
7/25 7/25	Principal Payment Pro Rata	FNMA MA0071 4.5% 05/01/19 PAYMENT A/C PRINCIPAL (ID. 31417Y-CH-8)	(350 290)	100 00	350 29	(367.37)	(17 08) L
Total Settled Sales/Maturities/Redemptions					**$4,126.96**	**($4,328.64)**	**($201.68) L**

TRADE ACTIVITY

Note· L indicates Long Term Realized Gain/Loss

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
8/8 8/13	Sale High Cost	ISHARES S&P GSSI NATURAL RESOURCES INDEX FUND @ 40 77 77,463.00 BROKERAGE 95 00 TAX &/OR SEC 1.35 J.P MORGAN SECURITIES LLC (ID 464287-37-4)	(1,900 000)	40 719	77,366 65	(84,641 58)	(7,274 93) L
8/15 8/15	Principal Payment Pro Rata	FHLMC GOLD J10557 4% 08/01/24 PAYMENT A/C PRINCIPAL (ID: 3128PP-TN-3)	(617.500)	100.00	617.50	(636.60)	(19.10) L
8/15 8/15	Principal Payment Pro Rata	FHLMC GOLD M30034 4% 12/01/20 PAYMENT A/C PRINCIPAL (ID: 31282C-BB-2)	(981 820)	100.00	981 82	(1,008 82)	(27 00) L
8/15 8/15	Principal Payment Pro Rata	FHLMC GOLD P10032 4.5% 05/01/18 PAYMENT A/C PRINCIPAL (ID: 31284B-A9-8)	(137 250)	100.00	137 25	(141 20)	(3 95) L
8/15 8/15	Principal Payment Pro Rata	GNMA 405478 7% 08/15/25 PAYMENT A/C PRINCIPAL (ID 36206A-M3-4)	(173 290)	100 00	173 29	(191 49)	(18 20) L
8/15 8/15	Principal Payment Pro Rata	GNMA 150149 10% 01/15/16 PAYMENT A/C PRINCIPAL (ID. 362150-WJ-9)	(142 430)	100 00	142 43	(156 67)	(14 24) L
8/20 8/20	Principal Payment Pro Rata	GNMA II 5% APR 20 2017 DTD 04/01/2002 POOL NO 3220 PAYMENT A/C PRINCIPAL (ID. 36202D-SH-5)	(593.820)	100 00	593 82	(630 56)	(36 74) L
8/20 8/20	Principal Payment Pro Rata	GNMA II 4% JAN 20 2024 DTD 01/01/2009 POOL NO 4321 PAYMENT A/C PRINCIPAL (ID· 36202E-YS-2)	(481 440)	100 00	481.44	(491 07)	(9 63) L
8/26 8/26	Principal Payment Pro Rata	FNMA 995517 5.5% 01/01/24 PAYMENT A/C PRINCIPAL (ID 31416B-4A-3)	(579 600)	100.00	579 60	(624.52)	(44 92) L
8/26 8/26	Principal Payment Pro Rata	FNMA MA0071 4.5% 05/01/19 PAYMENT A/C PRINCIPAL (ID. 31417Y-CH-8)	(323 660)	100.00	323 66	(339 44)	(15 78) L
Total Settled Sales/Maturities/Redemptions					**$81,397.46**	**($88,861.95)**	**($7,464.49) L**

TRADE ACTIVITY

Note: L indicates Long Term Realized Gain/Loss

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
9/16 9/16	Principal Payment Pro Rata	FHLMC GOLD J10557 4% 08/01/24 PAYMENT A/C PRINCIPAL (ID. 3128PP-TN-3)	(529.180)	100.00	529.18	(545.55)	(16 37) L
9/16 9/16	Principal Payment Pro Rata	FHLMC GOLD M30034 4% 12/01/20 PAYMENT A/C PRINCIPAL (ID: 31282C-BB-2)	(425 640)	100 00	425 64	(437 35)	(11 71) L
9/16 9/16	Principal Payment Pro Rata	FHLMC GOLD P10032 4 5% 05/01/18 PAYMENT A/C PRINCIPAL (ID: 31284B-A9-8)	(282.440)	100.00	282 44	(290 56)	(8 12) L
9/16 9/16	Principal Payment Pro Rata	GNMA 405478 7% 08/15/25 PAYMENT A/C PRINCIPAL (ID. 36206A-M3-4)	(192 530)	100 00	192 53	(212 75)	(20 22) L
9/16 9/16	Principal Payment Pro Rata	GNMA 150149 10% 01/15/16 PAYMENT A/C PRINCIPAL (ID. 362150-WJ-9)	(1,522 100)	100 00	1,522 10	(1,674 30)	(152 20) L
9/16 9/16	Redemption Pro Rata	FHLB 4.5% 09/16/13 TO REDEMPTION (ID 3133X1-BV-8)	(50,000 000)	100 00	50,000 00	(52,922 70)	(2,922 70) L
9/20 9/20	Principal Payment Pro Rata	GNMA II 5% APR 20 2017 DTD 04/01/2002 POOL NO 3220 PAYMENT A/C PRINCIPAL (ID 36202D-SH-5)	(684 970)	100.00	684 97	(727.35)	(42 38) L
9/20 9/20	Principal Payment Pro Rata	GNMA II 4% JAN 20 2024 DTD 01/01/2009 POOL NO 4321 PAYMENT A/C PRINCIPAL (ID. 36202E-YS-2)	(466.620)	100 00	466 62	(475 95)	(9 33) L
9/25 9/25	Principal Payment Pro Rata	FNMA 995517 5 5% 01/01/24 PAYMENT A/C PRINCIPAL (ID: 31416B-4A-3)	(506 370)	100 00	506.37	(545 61)	(39 24) L
9/25 9/25	Principal Payment Pro Rata	FNMA MA0071 4 5% 05/01/19 PAYMENT A/C PRINCIPAL (ID: 31417Y-CH-8)	(358 380)	100.00	358.38	(375 85)	(17 47) L
Total Settled Sales/Maturities/Redemptions					**$54,968.23**	**($58,207.97)**	**($3,239.74) L**

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	Amount
Income					
10/23	Div Domest	CISCO SYSTEMS INC @ 0 17 PER SHARE (ID· 17275R-10-2)	825 000	0 17	140 25
10/25	Corporate Interest	FNMA 995517 5.5% 01/01/24 (ID. 31416B-4A-3)	12,806.312	0 005	58 70
10/25	Corporate Interest	FNMA MA0071 4 5% 05/01/19 (ID: 31417Y-CH-8)	8,666 501	0 004	32.50
10/31	Div Domest	JP MORGAN CHASE & CO @ 0.38 PER SHARE (ID. 46625H-10-0)	295.000	0.38	112.10
Total Income					**$1,254.95**

TRADE ACTIVITY

Note. **L** indicates Long Term Realized Gain/Loss

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
10/15 10/15	Principal Payment Pro Rata	FHLMC GOLD J10557 4% 08/01/24 PAYMENT A/C PRINCIPAL (ID: 3128PP-TN-3)	(559 250)	100.00	559 25	(576 55)	(17 30) L
10/15 10/15	Principal Payment Pro Rata	FHLMC GOLD M30034 4% 12/01/20 PAYMENT A/C PRINCIPAL (ID: 31282C-BB-2)	(118 580)	100.00	118.58	(121 84)	(3.26) L
10/15 10/15	Principal Payment Pro Rata	FHLMC GOLD P10032 4.5% 05/01/18 PAYMENT A/C PRINCIPAL (ID. 31284B-A9-8)	(309 840)	100 00	309 84	(318 75)	(8 91) L
10/15 10/15	Principal Payment Pro Rata	GNMA 405478 7% 08/15/25 PAYMENT A/C PRINCIPAL (ID 36206A-M3-4)	(175.570)	100.00	175 57	(194 00)	(18 43) L
10/15 10/15	Principal Payment Pro Rata	GNMA 150149 10% 01/15/16 PAYMENT A/C PRINCIPAL (ID: 362150-WJ-9)	(93.040)	100.00	93.04	(102.34)	(9.30) L
10/21 10/21	Principal Payment Pro Rata	GNMA II 5% APR 20 2017 DTD 04/01/2002 POOL NO 3220 PAYMENT A/C PRINCIPAL (ID 36202D-SH-5)	(691 550)	100 00	691 55	(734 34)	(42 79) L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
10/25 10/25	Pnncipal Payment Pro Rata	FNMA 995517 5 5% 01/01/24 PAYMENT A/C PRINCIPAL (ID 31416B-4A-3)	(407 020)	100.00	407 02	(438 56)	(31 54) L
10/25 10/25	Pnncipal Payment Pro Rata	FNMA MA0071 4.5% 05/01/19 PAYMENT A/C PRINCIPAL (ID. 31417Y-CH-8)	(298.130)	100.00	298.13	(312 66)	(14 53) L
Total Settled Sales/Maturities/Redemptions					**$2,652.98**	**($2,799.04)**	**($146.06) L**

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	Amount
Income					
11/22	Foreign Dividend	EATON CORP PLC @ 0 42 PER SHARE (ID G29183-10-3)	314 000	0 42	131 88
11/25	Corporate Interest	FNMA 995517 5.5% 01/01/24 (ID: 31416B-4A-3)	12,399.292	0.005	56.83
11/25	Corporate Interest	FNMA MA0071 4 5% 05/01/19 (ID 31417Y-CH-8)	8,368 371	0 004	31.38
11/29	Div Domest	REINSURANCE GROUP AMERICA @ 0 30 PER SHARE (ID 759351-60-4)	265 000	0.30	79.50
Total Income					**$1,323.54**

TRADE ACTIVITY

Note **L** indicates Long Term Realized Gain/Loss

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
11/15 11/15	Principal Payment Pro Rata	FHLMC GOLD J10557 4% 08/01/24 PAYMENT A/C PRINCIPAL (ID· 3128PP-TN-3)	(289 090)	100 00	289 09	(298 03)	(8 94) L
11/15 11/15	Principal Payment Pro Rata	FHLMC GOLD M30034 4% 12/01/20 PAYMENT A/C PRINCIPAL (ID· 31282C-BB-2)	(402 270)	100 00	402 27	(413 33)	(11 06) L
11/15 11/15	Principal Payment Pro Rata	FHLMC GOLD P10032 4.5% 05/01/18 PAYMENT A/C PRINCIPAL (ID: 31284B-A9-8)	(276 810)	100 00	276 81	(284 77)	(7 96) L
11/15 11/15	Principal Payment Pro Rata	GNMA 405478 7% 08/15/25 PAYMENT A/C PRINCIPAL (ID. 36206A-M3-4)	(183.110)	100 00	183 11	(202 34)	(19 23) L
11/15 11/15	Principal Payment Pro Rata	GNMA 150149 10% 01/15/16 PAYMENT A/C PRINCIPAL (ID· 362150-WJ-9)	(93.850)	100.00	93.85	(103 23)	(9 38) L
11/20 11/20	Principal Payment Pro Rata	GNMA II 5% APR 20 2017 DTD 04/01/2002 POOL NO 3220 PAYMENT A/C PRINCIPAL (ID 36202D-SH-5)	(798.020)	100.00	798.02	(847.40)	(49 38) L

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
11/25 11/25	Principal Payment Pro Rata	FNMA 995517 5 5% 01/01/24 PAYMENT A/C PRINCIPAL (ID 31416B-4A-3)	(469 750)	100 00	469 75	(506 16)	(36 41) L
11/25 11/25	Principal Payment Pro Rata	FNMA MA0071 4.5% 05/01/19 PAYMENT A/C PRINCIPAL (ID· 31417Y-CH-8)	(279 400)	100.00	279 40	(293 02)	(13 62) L
Total Settled Sales/Maturities/Redemptions					**$2,792.30**	**($2,948.28)**	**($155.98) L**

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	Amount
Income					
12/30	Div Domest	ISHARES S&P 500/BARRA VALUE INDEX FUND @ 0 492843 PER SHARE (ID 464287-40-8)	750.000	0 493	369 63
12/30	Div Domest	ISHARES CORE S&P SMALL-CAP ETF @ 0.350186 PER SHARE (ID. 464287-80-4)	1,000.000	0 35	350.19
12/31	Div Domest	BECTON DICKINSON & CO @ 0.545 PER SHARE (ID 075887-10-9)	150 000	0 545	81.75
Total Income					**$6,230.63**

TRADE ACTIVITY

Note: L indicates Long Term Realized Gain/Loss

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
12/16 12/16	Principal Payment Pro Rata	FHLMC GOLD J10557 4% 08/01/24 PAYMENT A/C PRINCIPAL (ID: 3128PP-TN-3)	(300 060)	100.00	300.06	(309 34)	(9 28) L
12/16 12/16	Principal Payment Pro Rata	FHLMC GOLD M30034 4% 12/01/20 PAYMENT A/C PRINCIPAL (ID 31282C-BB-2)	(115 330)	100 00	115 33	(118 50)	(3 17) L
12/16 12/16	Principal Payment Pro Rata	FHLMC GOLD P10032 4.5% 05/01/18 PAYMENT A/C PRINCIPAL (ID: 31284B-A9-8)	(123 660)	100 00	123.66	(127 22)	(3 56) L
12/16 12/16	Principal Payment Pro Rata	GNMA 405478 7% 08/15/25 PAYMENT A/C PRINCIPAL (ID: 36206A-M3-4)	(196 000)	100.00	196.00	(216 58)	(20.58) L
12/16 12/16	Principal Payment Pro Rata	GNMA 150149 10% 01/15/16 PAYMENT A/C PRINCIPAL (ID: 362150-WJ-9)	(94.670)	100.00	94.67	(104.14)	(9.47) L
12/20 12/20	Principal Payment Pro Rata	GNMA II 5% APR 20 2017 DTD 04/01/2002 POOL NO 3220 PAYMENT A/C PRINCIPAL (ID 36202D-SH-5)	(653 760)	100 00	653 76	(694 21)	(40 45) L

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
12/26 12/26	Pnncipal Payment Pro Rata	FNMA 995517 5 5% 01/01/24 PAYMENT A/C PRINCIPAL (ID. 31416B-4A-3)	(457 120)	100 00	457 12	(492 55)	(35 43) L
12/26 12/26	Pnncipal Payment Pro Rata	FNMA MA0071 4.5% 05/01/19 PAYMENT A/C PRINCIPAL (ID· 31417Y-CH-8)	(201.750)	100.00	201.75	(211.59)	(9 84) L
Total Settled Sales/Maturities/Redemptions					**$2,142.35**	**($2,274.13)**	**($131.78) L**

TRADE ACTIVITY

Note: L indicates Long Term Realized Gain/Loss

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
1/15 1/15	Principal Payment Pro Rata	FHLMC GOLD J10557 4% 08/01/24 PAYMENT A/C PRINCIPAL (ID 3128PP-TN-3)	(386 660)	100.00	386.66	(398.62)	(11 96) L
1/15 1/15	Principal Payment Pro Rata	FHLMC GOLD M30034 4% 12/01/20 PAYMENT A/C PRINCIPAL (ID: 31282C-BB-2)	(271.100)	100 00	271 10	(278 56)	(7 46) L
1/15 1/15	Principal Payment Pro Rata	FHLMC GOLD P10032 4 5% 05/01/18 PAYMENT A/C PRINCIPAL (ID. 31284B-A9-8)	(124 630)	100.00	124 63	(128 21)	(3 58) L
1/15 1/15	Principal Payment Pro Rata	GNMA 405478 7% 08/15/25 PAYMENT A/C PRINCIPAL (ID: 36206A-M3-4)	(5,004 450)	100 00	5,004 45	(5,529.92)	(525 47) L
1/15 1/15	Principal Payment Pro Rata	GNMA 150149 10% 01/15/16 PAYMENT A/C PRINCIPAL (ID 362150-WJ-9)	(95 500)	100.00	95 50	(105 05)	(9 55) L
1/21 1/21	Principal Payment Pro Rata	GNMA II 5% APR 20 2017 DTD 04/01/2002 POOL NO 3220 PAYMENT A/C PRINCIPAL (ID. 36202D-SH-5)	(589 550)	100 00	589 55	(626 03)	(36 48) L
1/27 1/27	Principal Payment Pro Rata	FNMA 995517 5 5% 01/01/24 PAYMENT A/C PRINCIPAL (ID 31416B-4A-3)	(384 390)	100 00	384 39	(414 18)	(29 79) L
1/27 1/27	Principal Payment Pro Rata	FNMA MA0071 4 5% 05/01/19 PAYMENT A/C PRINCIPAL (ID: 31417Y-CH-8)	(185 730)	100 00	185 73	(194 78)	(9 05) L
Total Settled Sales/Maturities/Redemptions					**$7,042.01**	**($7,675.35)**	**($633.34) L**

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	Amount
Income					
2/18	Taxable Muni Int	BEXAR CNTY TX HOSP DIST BUILD AMERICA BONDS LIMITED TAX 1 676% FEB 15 2014 DTD 08/15/2010 FED TAXABLE HELD BY DTC BOOK ENTRY ONLY (ID. 088365-ES-6)	50,000 000	0 008	419.00
2/20	Corporate Interest	GNMA II 5% APR 20 2017 DTD 04/01/2002 POOL NO 3220 (ID: 36202D-SH-5)	11,047 753	0 004	46 03
2/20	Div Domest	CATERPILLAR INC @ 0 60 PER SHARE (ID· 149123-10-1)	140 000	0 60	84 00
2/21	Div Domest	APACHE CORP @ 0 20 PER SHARE (ID 037411-10-5)	100 000	0 20	20 00
2/25	Corporate Interest	FNMA 995517 5 5% 01/01/24 (ID· 31416B-4A-3)	11,088 032	0 005	50 82
2/25	Corporate Interest	FNMA MA0071 4.5% 05/01/19 (ID. 31417Y-CH-8)	7,701 491	0.004	28 88
Total Income					**$3,601.06**

TRADE ACTIVITY

Note: **L** indicates Long Term Realized Gain/Loss **O** indicates Ordinary Income Realized Gain
* Settled transaction was initiated in prior statement period and settled in current statement period

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
2/6 2/6	Redemption Pro Rata	METLIFE INC 2 3/8% FEB 06 2014 DTD 08/06/2010 TO REDEMPTION (ID· 59156R-AW-8)	(50,000.000)	100.00	50,000 00	(50,485 00)	(485 00) L
2/18 2/18	Principal Payment Pro Rata	FHLMC GOLD J10557 4% 08/01/24 PAYMENT A/C PRINCIPAL (ID. 3128PP-TN-3)	(403 690)	100.00	403 69	(416.18)	(12 49) L
2/18 2/18	Principal Payment Pro Rata	FHLMC GOLD M30034 4% 12/01/20 PAYMENT A/C PRINCIPAL (ID. 31282C-BB-2)	(159 120)	100 00	159 12	(163 50)	(4 38) L

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
2/18 2/18	Principal Payment Pro Rata	FHLMC GOLD P10032 4 5% 05/01/18 PAYMENT A/C PRINCIPAL (ID 31284B-A9-8)	(214 650)	100 00	214 65	(220 82)	(6 17) L
2/18 2/18	Principal Payment Pro Rata	GNMA 405478 7% 08/15/25 PAYMENT A/C PRINCIPAL (ID: 36206A-M3-4)	(2,323 040)	100 00	2,323.04	(2,566.96)	(243 92) L
2/18 2/18	Principal Payment Pro Rata	GNMA 150149 10% 01/15/16 PAYMENT A/C PRINCIPAL (ID. 362150-WJ-9)	(96 330)	100.00	96.33	(105 96)	(9 63) L
2/18 2/18	Redemption Pro Rata	BEXAR CNTY TX HOSP DIST BUILD AMERICA BONDS LIMITED TAX 1 676% FEB 15 2014 DTD 08/15/2010 FED TAXABLE HELD BY DTC BOOK ENTRY ONLY TO REDEMPTION (ID· 088365-ES-6)	(50,000.000)	100.00	50,000 00	(49,434 50)	565 50 O
2/18 2/18	Redemption Pro Rata	HSBC FINANCE CORP MTN 2 1/2% FEB 15 2014 DTD 02/03/2011 TO REDEMPTION (ID: 40429X-YV-2)	(50,000 000)	100 00	50,000 00	(50,000 00)	
2/20 2/20	Principal Payment Pro Rata	GNMA II 5% APR 20 2017 DTD 04/01/2002 POOL NO 3220 PAYMENT A/C PRINCIPAL (ID: 36202D-SH-5)	(532 880)	100 00	532 88	(565 85)	(32 97) L
2/25 2/25	Principal Payment Pro Rata	FNMA 995517 5 5% 01/01/24 PAYMENT A/C PRINCIPAL (ID· 31416B-4A-3)	(331 480)	100 00	331 48	(357 17)	(25 69) L
2/25 2/25	Principal Payment Pro Rata	FNMA MA0071 4 5% 05/01/19 PAYMENT A/C PRINCIPAL (ID: 31417Y-CH-8)	(191.010)	100.00	191 01	(200 32)	(9 31) L
Total Settled Sales/Maturities/Redemptions					**$154,252.20**	**($154,516.26)**	**($829.56) L** **$565.50 O**

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	Amount
Income					
3/31	Div Domest	ISHARES S&P 500/BARRA GROWTH INDEX FUND @ 0.324461 PER SHARE (ID. 464287-30-9)	750.000	0 324	243 35
3/31	Div Domest	ISHARES S&P 500/BARRA VALUE INDEX FUND @ 0 46883 PER SHARE (ID. 464287-40-8)	750 000	0 469	351 62
3/31	Div Domest	ISHARES CORE S&P SMALL-CAP ETF @ 0 312091 PER SHARE (ID. 464287-80-4)	1,000 000	0 312	312 09
3/31	Div Domest	VANGUARD FTSE EUROPE ETF @ 0 878 PER SHARE (ID 922042-87-4)	1,300 000	0 878	1,141.40
Total Income					**$4,640.27**

TRADE ACTIVITY

Note: **L** indicates Long Term Realized Gain/Loss

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
3/17 3/17	Principal Payment Pro Rata	FHLMC GOLD J10557 4% 08/01/24 PAYMENT A/C PRINCIPAL (ID. 3128PP-TN-3)	(312 740)	100.00	312 74	(322 42)	(9.68) L
3/17 3/17	Principal Payment Pro Rata	FHLMC GOLD M30034 4% 12/01/20 PAYMENT A/C PRINCIPAL (ID· 31282C-BB-2)	(472 020)	100.00	472 02	(485 00)	(12 98) L
3/17 3/17	Principal Payment Pro Rata	FHLMC GOLD P10032 4.5% 05/01/18 PAYMENT A/C PRINCIPAL (ID· 31284B-A9-8)	(133.520)	100.00	133.52	(137.36)	(3.84) L
3/17 3/17	Principal Payment Pro Rata	GNMA 405478 7% 08/15/25 PAYMENT A/C PRINCIPAL (ID· 36206A-M3-4)	(123 320)	100 00	123 32	(136 27)	(12 95) L
3/17 3/17	Principal Payment Pro Rata	GNMA 150149 10% 01/15/16 PAYMENT A/C PRINCIPAL (ID 362150-WJ-9)	(97.180)	100.00	97.18	(106.90)	(9 72) L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
3/20 3/20	Principal Payment Pro Rata	GNMA II 5% APR 20 2017 DTD 04/01/2002 POOL NO 3220 PAYMENT A/C PRINCIPAL (ID 36202D-SH-5)	(550 030)	100 00	550 03	(584 06)	(34 03) L
3/21 3/21	Redemption Pro Rata	TEVA PHARM FIN III 1.7% MAR 21 2014 DTD 03/21/2011 TO REDEMPTION (ID· 88166D-AA-4)	(50,000.000)	100.00	50,000 00	(49,800 50)	199 50 L
3/25 3/25	Principal Payment Pro Rata	FNMA 995517 5.5% 01/01/24 PAYMENT A/C PRINCIPAL (ID 31416B-4A-3)	(267 090)	100.00	267.09	(287.79)	(20.70) L
3/25 3/25	Principal Payment Pro Rata	FNMA MA0071 4 5% 05/01/19 PAYMENT A/C PRINCIPAL (ID: 31417Y-CH-8)	(183 740)	100 00	183 74	(192 70)	(8 96) L
Total Settled Sales/Maturities/Redemptions					**$52,139.64**	**($52,053.00)**	**$86.64 L**

TRADE ACTIVITY

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
4/2 4/2	LT Capital Gain Distribution	VANGUARD FI SECS F INTR TRM CP PT FUND 71 04/01/13 LONG TERM CAPITAL GAINS @ 0.073 PER SHARE AS OF 04/01/13 (ID. 922031-88-5)	11,033.765	0 073	805 46		

INFLOWS & OUTFLOWS

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	Amount
8/30	Div Domest	EATON VANCE GLOBAL MACRO-I 08/29/13 INCOME DIVIDEND @ 0.033 PER SHARE AS OF 08/29/13 (ID 277923-72-8)	11,769 694	0 033	388 40
8/30	Div Domest	PAYDEN HIGH INCOME FUND 08/30/13 INCOME DIVIDEND @ 0 035 PER SHARE (ID. 704329-57-2)	10,677 158	0 035	373 70
Total Inflows & Outflows					**$2,949.43**

TRADE ACTIVITY

Note. **L** indicates Long Term Realized Gain/Loss **S** indicates Short Term Realized Gain/Loss

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
8/12 8/13	Sale High Cost	JPM HIGH YIELD FD - SEL FUND 3580 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 8.12 (ID. 4812C0-80-3)	(13,109.465)	8 12	106,448 86	(104,697 55)	1,751 31 L
8/12 8/13	Sale High Cost	BLACKROCK HIGH YIELD BOND (ID 091929-63-8)	(3,387 659)	8 12	27,507 79	(26,381 73)	1,126 06 L
8/12 8/13	Sale High Cost	VANGUARD INTM TRM INV G-INV (ID 922031-88-5)	(5,077 167)	9.82	49,857.78	(51,435 27)	(1,577 49) L
8/12 8/15	Sale High Cost	VANGUARD FTSE EMERGING MARKETS ETF @ 39.8571 956.57 BROKERAGE 0 48 TAX &/OR SEC .02 CREDIT SUISSE FIRST BOSTON LLC (ID 922042-85-8)	(24.000)	39.836	956.07	(1,169.04)	(212.97) L
8/12 8/15	Sale High Cost	VANGUARD FTSE EMERGING MARKETS ETF @ 39 8989 12,568.15 BROKERAGE 6.30 TAX &/OR SEC .22 CREDIT SUISSE FIRST BOSTON LLC (ID: 922042-85-8)	(315 000)	39 878	12,561 63	(14,162 95)	(1,601 32) L

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
8/14 8/15	Sale High Cost	VANGUARD INTM TRM INV G-INV (ID 922031-88-5)	(5,956.598)	9 77	58,195.96	(59,926 83)	(1,730 87) L
8/20 8/21	Sale High Cost	JPM CORE BOND FD - SEL FUND 3720 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 11 56 (ID· 4812C0-38-1)	(5,016.810)	11.56	57,994.32	(58,195 00)	(200 68) S
Total Settled Sales/Maturities/Redemptions					**$313,522.41**	**($315,968.37)**	**($2,245.28) L** **($200.68) S**

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
8/14 8/15	Purchase	JPM CORE BOND FD - SEL FUND 3720 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 11 60 (ID· 4812C0-38-1)	5,016 810	11.60	(58,195.00)
8/12 8/15	Purchase	SPDR S&P 500 ETF TRUST @ 168.92 50,844.92 BROKERAGE 6.02 J P MORGAN SECURITIES LLC (ID. 78462F-10-3)	301.000	168 94	(50,850.94)
8/12 8/15	Purchase	ISHARES MSCI EAFE INDEX FUND @ 61 6118 66,848.80 BROKERAGE 21.70 ABEL NOSER CORP (ID: 464287-46-5)	1,085.000	61.632	(66,870.50)
8/20 8/21	Purchase	JPM SHORT DURATION BOND FD - SEL FUND 3133 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 10.90 (ID: 4812C1-33-0)	5,303.847	10.90	(57,811.93)
Total Settled Securities Purchased					**($233,728.37)**

TRADE ACTIVITY

Note **L** indicates Long Term Realized Gain/Loss **S** indicates Short Term Realized Gain/Loss

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
9/20	Sale	DREYFUS EMG MKT DEBT LOC C-I (ID. 261980-49-4)	(5,571.101)	14.37	80,056.72	(83,669 20)	(965 95) L
9/23	High Cost						(2,646 53) S

INFLOWS & OUTFLOWS

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	Amount
10/2	Div Domest	PIMCO UNCONSTRAINED BOND-P 09/30/13 INCOME DIVIDEND @ 0.004 PER SHARE AS OF 09/30/13 (ID. 72201M-45-3)	11,727 923	0 004	49 34
10/18	Fees & Commissions	JPMORGAN CHASE INVESTMENT MANAGEMENT FEE FOR THE PERIOD 07-01-2013 TO 09-30-2013			(5,119 94)
10/31	Div Domest	SPDR S&P 500 ETF TRUST @ 0.83795 PER SHARE (ID. 78462F-10-3)	1,556.000	0 838	1,303 85
10/31	Div Domest	EATON VANCE GLOBAL MACRO-I 10/30/13 INCOME DIVIDEND @ 0 033 PER SHARE AS OF 10/30/13 (ID. 277923-72-8)	11,769 694	0 033	388 40
10/31	Div Domest	PAYDEN HIGH INCOME FUND 10/31/13 INCOME DIVIDEND @ 0 035 PER SHARE (ID. 704329-57-2)	10,677 158	0 035	373 70
Total Inflows & Outflows					**($1,042.00)**

TRADE ACTIVITY

Note: L indicates Long Term Realized Gain/Loss S indicates Short Term Realized Gain/Loss

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
10/24 10/29	Sale High Cost	SPDR GOLD TRUST @ 130 0864 53,205.34 BROKERAGE 6.14 TAX &/OR SEC .93 MORGAN STANLEY & CO. LLC (ID: 78463V-10-7)	(409.000)	130 069	53,198.27	(67,039 88)	(12,461.60) L (1,380.01) S
10/25 10/30	Sale High Cost	SPDR GOLD TRUST @ 129 9044 20,135 18 BROKERAGE 2 33 TAX &/OR SEC 35 MORGAN STANLEY & CO. LLC (ID. 78463V-10-7)	(155 000)	129 887	20,132 50	(21,669 94)	(1,537 44) S

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
10/30 10/31	Sale High Cost	JPM TR I INFL MGD BD FD - SEL FUND 2037 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 10 51 (ID. 48121A-56-3)	(6,352.629)	10 51	66,766.13	(68,065 35)	(721 33) L (577 89) S
Total Settled Sales/Maturities/Redemptions					**$140,096.90**	**($156,775.17)**	**($13,182.93) L** **($3,495.34) S**

Trade Date / Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
10/30 10/31	Purchase	PIMCO UNCONSTRAINED BOND-P (ID: 72201M-45-3)	1,763 976	11 26	(19,862 37)
10/30 10/31	Purchase	PIMCO COMMODITYPL STRAT-P (ID: 72201P-16-7)	3,709.127	10.71	(39,724 75)
Total Settled Securities Purchased					**($59,587.12)**

Trade Date / Est. Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Pending Securities Purchased					
10/30 11/4	Purchase	ISHARES MSCI EAFE INDEX FUND (ID 464287-46-5)	1,553.000	66.245	(102,902 41)

INFLOWS & OUTFLOWS

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	Amount
11/25	STCapitalGain Dist	ARTISAN INTL VALUE FUND-INV 11/21/13 SHORT TERM CAPITAL GAINS @ 0 305 PER SHARE AS OF 11/21/13 (ID 04314H-88-1)	1,710 314	0 305	522 16
11/25	Div Domest	ARTISAN INTL VALUE FUND-INV 11/21/13 INCOME DIVIDEND @ 0.629 PER SHARE AS OF 11/21/13 (ID: 04314H-88-1)	1,710 314	0 629	1,076 47
11/29	Div Domest	EATON VANCE GLOBAL MACRO-I 11/27/13 INCOME DIVIDEND @ 0.032 PER SHARE AS OF 11/27/13 (ID 277923-72-8)	11,769.694	0 032	375.45
11/29	Div Domest	PAYDEN HIGH INCOME FUND 11/29/13 INCOME DIVIDEND @ 0.032 PER SHARE (ID. 704329-57-2)	10,677 158	0 033	347.01
Total Inflows & Outflows					**$3,587.72**

TRADE ACTIVITY

Note: * Settled transaction was initiated in prior statement period and settled in current statement period

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
11/25 11/25	LT Capital Gain Distribution	ARTISAN INTL VALUE FUND-INV 11/21/13 LONG TERM CAPITAL GAINS @ 1.846 PER SHARE AS OF 11/21/13 (ID· 04314H-88-1)	1,710.314	1 846	3,157 58		
11/25 11/25	LT Capital Gain Distribution	PARNASSUS EQTY INCOME FD-INV INCOME FUND 11/22/13 LONG TERM CAPITAL GAINS @ 1 873 PER SHARE AS OF 11/22/13 (ID 701769-10-1)	1,464 805	1.873	2,742.99		
Total Settled Sales/Maturities/Redemptions					**$5,900.57**	**$0.00**	**$0.00**

TRADE ACTIVITY

Note· L indicates Long Term Realized Gain/Loss

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
12/3 12/4	Sale High Cost	DOUBLELINE TOTAL RET BD-I (ID: 258620-10-3)	(7,399 150)	10.89	80,576 74	(83,166 45)	(2,589.71) L
12/12 12/12	LT Capital Gain Distribution	PRIMECAP ODYSSEY STOCK FUND 12/10/13 LONG TERM CAPITAL GAINS @ 0.066 PER SHARE AS OF 12/10/13 (ID. 74160Q-30-1)	7,466 925	0 066	495 73		
12/10 12/13	Sale High Cost	POWERSHARES DB COMMODITY INDEX TRACKING FUND @ 25 68 28,222.32 BROKERAGE 16 48 TAX &/OR SEC .50 SANFORD C. BERNSTEIN & CO.INC.(SCB (ID 73935S-10-5)	(1,099 000)	25 665	28,205 34	(32,555 88)	(4,350 54) L
12/13 12/13	LT Capital Gain Distribution	JPM SHORT DURATION BOND FD - SEL FUND 3133 LONG TERM CAPITAL GAINS @ 0 00774 (ID. 4812C1-33-0)	15,440 167	0 008	119 51		
12/13 12/13	LT Capital Gain Distribution	JPM MKT EXP ENH INDEX FD - SEL FUND 3708 LONG TERM CAPITAL GAINS @ 1 05867 (ID 4812C1-63-7)	5,652.590	1 059	5,984 23		
12/13 12/13	LT Capital Gain Distribution	JPM REALTY INCOME FD - INSTL FUND 1372 LONG TERM CAPITAL GAINS @ 0.15571 (ID· 904504-50-3)	14,524.597	0.156	2,261.62		
12/13 12/13	LT Capital Gain Distribution	PIMCO UNCONSTRAINED BOND-P 12/11/13 LONG TERM CAPITAL GAINS @ 0.009 PER SHARE AS OF 12/11/13 (ID. 72201M-45-3)	13,491 899	0.009	119.67		
12/13 12/13	LT Capital Gain Distribution	PIMCO COMMODITYPL STRAT-P 12/11/13 LONG TERM CAPITAL GAINS @ 0.003 PER SHARE AS OF 12/11/13 (ID. 72201P-16-7)	15,686 120	0 003	43 61		
12/17 12/17	LT Capital Gain Distribution	INV BALANCED-RISK ALLOC-Y 12/13/13 LONG TERM CAPITAL GAINS @ 0 399 PER SHARE AS OF 12/13/13 (ID: 00141V-69-7)	10,491.186	0 399	4,181 79		

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
12/17 12/17	LT Capital Gain Distribution	BBH CORE SELECT FUND-N 12/13/13 LONG TERM CAPITAL GAINS @ 0.329 PER SHARE AS OF 12/13/13 (ID 05528X-60-4)	6,821.194	0.329	2,244 85		
12/17 12/17	LT Capital Gain Distribution	HARTFORD CAPITAL APPREC-I 12/13/13 LONG TERM CAPITAL GAINS @ 1 879 PER SHARE AS OF 12/13/13 (ID. 416649-30-9)	1,906 053	1 879	3,580 77		
12/17 12/17	LT Capital Gain Distribution	MANNING & NAPIER EQUITY SERI 12/16/13 LONG TERM CAPITAL GAINS @ 2 201 PER SHARE AS OF 12/16/13 (ID. 563821-60-2)	2,182 422	2 201	4,803 08		
12/20 12/20	LT Capital Gain Distribution	THE ARBITRAGE FUND-I 12/19/13 LONG TERM CAPITAL GAINS @ 0 057 PER SHARE AS OF 12/19/13 (ID 03875R-20-5)	10,736.267	0 057	613 90		
12/23 12/23	LT Capital Gain Distribution	BLACKROCK HIGH YIELD BOND 12/20/13 LONG TERM CAPITAL GAINS @ 0 102 PER SHARE AS OF 12/20/13 (ID. 091929-63-8)	4,723 170	0.102	480.77		
12/24 12/24	LT Capital Gain Distribution	ABERDEEN ASIA-PAC XJ-INST EQUITY FUND - INSTITUTIONAL SERVICE SHARES 12/23/13 LONG TERM CAPITAL GAINS @ 0 065 PER SHARE AS OF 12/23/13 (ID: 003021-69-8)	10,142 448	0 065	662 30		
12/26 12/26	LT Capital Gain Distribution	PAYDEN HIGH INCOME FUND 12/26/13 LONG TERM CAPITAL GAINS @ 0 198 PER SHARE (ID· 704329-57-2)	10,677 158	0 198	2,108 74		
12/31 12/31	LT Capital Gain Distribution	ASTON RIVER RD DIV ALL CAP-I 12/30/13 LONG TERM CAPITAL GAINS @ 0.737 PER SHARE AS OF 12/30/13 (ID 00080Y-87-6)	9,491 297	0 737	6,996.98		
Total Settled Sales/Maturities/Redemptions					**$143,479.63**	**($115,722.33)**	**($6,940.25) L**

INFLOWS & OUTFLOWS

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	Amount
1/31	Div Domest	SPDR S&P 500 ETF TRUST @ 0.98025 PER SHARE (ID 78462F-10-3)	1,556 000	0 98	1,525 27
1/31	Div Domest	EATON VANCE GLOBAL MACRO-I 01/30/14 INCOME DIVIDEND @ 0 033 PER SHARE AS OF 01/30/14 (ID. 277923-72-8)	11,769.694	0.033	388.40
1/31	Div Domest	PAYDEN HIGH INCOME FUND 01/31/14 INCOME DIVIDEND @ 0.030 PER SHARE (ID. 704329-57-2)	10,677.158	0.03	320.31
Total Inflows & Outflows					**$448,467.74**

TRADE ACTIVITY

Note: L indicates Long Term Realized Gain/Loss S indicates Short Term Realized Gain/Loss

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
1/24 1/27	Sale High Cost	JPM EMERG MRKT EQUITY FD - INSTL FUND 1389 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 21 32 (ID· 4812A0-63-1)	(3,222 273)	21 32	68,698 85	(78,205 05)	(9,506 20) S
1/24 1/27	Sale High Cost	JPM INTL CURR INCOME FD - SEL FUND 3831 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 10.94 (ID: 4812A3-29-6)	(3,842.242)	10.94	42,034.13	(44,001.40)	(1,808 77) L (158 50) S
1/24 1/27	Sale High Cost	PARNASSUS EQTY INCOME FD-INV INCOME FUND (ID: 701769-10-1)	(1,464.805)	35 62	52,176.35	(41,556.52)	10,619 83 L
1/24 1/27	Sale High Cost	PIMCO COMMODITYPL STRAT-P (ID· 72201P-16-7)	(3,804 970)	10 50	39,952 19	(42,419 67)	(2,467 48) L
1/24 1/27	Sale High Cost	MANNING & NAPIER EQUITY SERI (ID 563821-60-2)	(2,182 422)	19.41	42,360 81	(40,137 11)	2,223 70 L

Trade Date Settle Date	Type Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
1/24 1/29	Sale High Cost	VANGUARD FTSE EMERGING MARKETS ETF @ 38.17 14,237 41 BROKERAGE 5 60 TAX &/OR SEC 25 INVESTMENT TECHNOLOGY GROUP (ITG) (ID. 922042-85-8)	(373 000)	38.154	14,231 56	(16,263 34)	(2,031 78) L
Total Settled Sales/Maturities/Redemptions					**$259,453.89**	**($262,583.09)**	**$6,535.50 L** **($9,664.70) S**

Trade Date Settle Date	Type	Description	Quantity	Per Unit Amount	Market Cost
Settled Securities Purchased					
1/24 1/27	Purchase	JPM REALTY INCOME FD - INSTL FUND 1372 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 11 35 (ID 904504-50-3)	3,681 739	11.35	(41,787.74)
1/24 1/27	Purchase	JPM SHORT DURATION BOND FD - SEL FUND 3133 JP MORGAN CHASE BANK AS SHAREHOLDER SERVICING AGENT @ 10 91 (ID· 4812C1-33-0)	5,746 082	10 91	(62,689 75)
1/24 1/27	Purchase	ABERDEEN ASIA-PAC XJ-INST EQUITY FUND - INSTITUTIONAL SERVICE SHARES (ID 003021-69-8)	3,031 237	11.03	(33,434.54)
1/24 1/27	Purchase	HARTFORD CAPITAL APPREC-I (ID. 416649-30-9)	800 042	45.48	(36,385.90)
1/24 1/27	Purchase	PRIMECAP ODYSSEY STOCK FUND (ID: 74160Q-30-1)	5,045.047	20 71	(104,482 92)
1/24 1/27	Purchase	GATEWAY FUND-Y (ID: 367829-88-4)	1,634.370	28.64	(46,808 35)
1/24 1/27	Purchase	EATON VANCE FLOATING RATE-I (ID 277911-49-1)	2,268.901	9.21	(20,896 58)

INFLOWS & OUTFLOWS

Settle Date	Type / Selection Method	Description	Quantity / Cost	Per Unit Amount	Amount
3/31	Div Domest	VANGUARD FTSE EMERGING MARKETS ETF @ 0 105 PER SHARE (ID 922042-85-8)	1,074 000	0 105	112 77
3/31	Div Domest	VANGUARD FTSE EUROPE ETF @ 0 878 PER SHARE (ID 922042-87-4)	680.000	0 878	597 04
3/31	Div Domest	EATON VANCE GLOBAL MACRO-I 03/28/14 INCOME DIVIDEND @ 0.033 PER SHARE AS OF 03/28/14 (ID. 277923-72-8)	11,769 694	0 033	388 40
3/31	Div Domest	PAYDEN HIGH INCOME FUND 03/28/14 INCOME DIVIDEND @ 0.033 PER SHARE AS OF 03/28/14 (ID 704329-57-2)	10,677 158	0 033	352 35
Total Inflows & Outflows					**($94,463.51)**

TRADE ACTIVITY

Trade Date / Settle Date	Type / Selection Method	Description	Quantity	Per Unit Amount	Proceeds	Tax Cost	Realized Gain/Loss
Settled Sales/Maturities/Redemptions							
3/28 3/28	LT Capital Gain Distribution	DODGE & COX INCOME FUND 03/27/14 LONG TERM CAPITAL GAINS @ 0 043 PER SHARE AS OF 03/27/14 (ID· 256210-10-5)	11,876 041	0 043	510 67		

J.P.Morgan