Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning 04/01 **, 2013, and ending** 03/31 **, 20**14

Name of foundation
JACQUELINE HARRIS HOCHBERG FOUNDATION INC.

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
C/O BCRS ASSOCIATES, LLC
77 WATER STREET - 9TH FLOOR

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10005

A Employer identification number
26-0294769

B Telephone number (see instructions)
(212) 440-0800

C If exemption application is pending, check here ▶ ☐

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 960,065.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) ____________________
(Part I, column (d) must be on cash basis)

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income N/A	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	101,875.			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	56.	56.		ATCH 1
	4	Dividends and interest from securities	17,870.	17,870.		ATCH 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	14,989.			
	b	Gross sales price for all assets on line 6a 226,674.				
	7	Capital gain net income (from Part IV, line 2)		14,989.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	134,790.	32,915.	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) ATCH 3	3,500.	1,750.		1,750.
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions)				
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) ATCH 4	9,975.	2,849.		7,126.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	13,475.	4,599.		8,876.
	25	Contributions, gifts, grants paid	87,245.			87,245.
	26	**Total expenses and disbursements** Add lines 24 and 25	100,720.	4,599.	0	96,121.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	34,070.			
	b	**Net investment income** (if negative, enter -0-)		28,316.		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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JSA For Paperwork Reduction Act Notice, see instructions
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Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	4,880.	870.	870.
	2	Savings and temporary cash investments	388,650.	402,866.	402,866.
	3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 5	451,865.	475,730.	556,329.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	845,395.	879,466.	960,065.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, ▶ ☒ check here and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	845,395.	879,466.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	845,395.	879,466.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	845,395.	879,466.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	845,395.
2	Enter amount from Part I, line 27a	2	34,070.
3	Other increases not included in line 2 (itemize) ▶ ATCH 6	3	1.
4	Add lines 1, 2, and 3	4	879,466.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	879,466.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
a				
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	14,989.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	112,042.	818,816.	0.136834
2011	97,094.	795,931.	0.121988
2010	107,026.	802,339.	0.133392
2009	154,289.	820,452.	0.188054
2008	99,408.	1,018,925.	0.097562

2 **Total** of line 1, column (d)	2	0.677830
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.135566
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	890,446.
5 Multiply line 4 by line 3	5	120,714.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	283.
7 Add lines 5 and 6	7	120,997.
8 Enter qualifying distributions from Part XII, line 4	8	96,121.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter _______________ (attach copy of letter if necessary - see instructions)				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b			1	566.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			2	
3	Add lines 1 and 2			3	566.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)			4	0
5	**Tax based on investment income** Subtract line 4 from line 3 If zero or less, enter -0-			5	566.
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	306.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c	900.		
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments Add lines 6a through 6d			7	1,206.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	640.
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ 640. **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0 (2) On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		N/A
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ FL,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ BCRS ASSOCIATES, LLC Telephone no ▶ 212-440-0800

Located at ▶ 77 WATER STREET - 9TH FLOOR NEW YORK, NY ZIP+4 ▶ 10005

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		N/A
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		N/A
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ▶ ________ , ________ , ________ , ________			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		N/A
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ________ , ________ , ________ , ________			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)*	3b		N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 7		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		0	0	0

Total number of other employees paid over $50,000 ▶

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER SECTION	NONE
2 501(C)(3) OF THE INTERNAL REVENUE CODE.	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	NONE
2 N/A	
All other program-related investments See instructions 3 N/A	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	565,210.
b	Average of monthly cash balances	1b	338,796.
c	Fair market value of all other assets (see instructions)	1c	NONE
d	**Total** (add lines 1a, b, and c)	1d	904,006.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	904,006.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	13,560.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	890,446.
6	**Minimum investment return.** Enter 5% of line 5	6	44,522.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	44,522.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	566.		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	566.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	43,956.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	43,956.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	43,956.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	96,121.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	96,121.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	96,121.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1	Distributable amount for 2013 from Part XI, line 7				43,956.
2	Undistributed income, if any, as of the end of 2013				
a	Enter amount for 2012 only			NONE	
b	Total for prior years 20____,20____,20____				
3	Excess distributions carryover, if any, to 2013				
a	From 2008 ...... 48,462.				
b	From 2009 ...... 113,266.				
c	From 2010 ...... 66,909.				
d	From 2011 ...... 57,301.				
e	From 2012 ...... 71,489.				
f	**Total** of lines 3a through e	357,427.			
4	Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 96,121.				
a	Applied to 2012, but not more than line 2a				
b	Applied to undistributed income of prior years (Election required - see instructions)				
c	Treated as distributions out of corpus (Election required - see instructions)				
d	Applied to 2013 distributable amount				43,956.
e	Remaining amount distributed out of corpus	52,165.			
5	Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6	**Enter the net total of each column as indicated below:**				
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5	409,592.			
b	Prior years' undistributed income Subtract line 4b from line 2b				
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d	Subtract line 6c from line 6b Taxable amount - see instructions				
e	Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f	Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				NONE
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8	Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	48,462.			
9	**Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	361,130.			
10	Analysis of line 9				
a	Excess from 2009 ... 113,266.				
b	Excess from 2010 ... 66,909.				
c	Excess from 2011 ... 57,301.				
d	Excess from 2012 ... 71,489.				
e	Excess from 2013 ... 52,165.				

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income .					

Part XV **Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE LIST ATTACHED				87,245.
Total ▶ **3a**				87,245.
b *Approved for future payment*				
Total ▶ **3b**				

Form **990-PF** (2013)

JSA
3E1491 1 000

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	56.	
4 Dividends and interest from securities			14	17,870.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property .					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	14,989.	
9 Net income or (loss) from special events . . .					
10 Gross profit or (loss) from sales of inventory. .					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				32,915.	

13 **Total** Add line 12, columns (b), (d), and (e) . . . . 13 32,915.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Jackie Harris Hochberg 11/7/14 ▶ DIRECTOR

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JOHN D COOK		10/5/14		P01450182

Firm's name ▶ BCRS ASSOCIATES, LLC | Firm's EIN ▶ 13-4078147

Firm's address ▶ 77 WATER STREET, 9TH FLOOR
NEW YORK, NY 10005 | Phone no 212-440-0800

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www irs.gov/form990***

OMB No 1545-0047
2013

Name of the organization
JACQUELINE HARRIS HOCHBERG FOUNDATION INC.

Employer identification number
26-0294769

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc , purposes, but these contributions did not total to more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions of $5,000 or more during the year . . . . ▶ $ ______________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. **Schedule B (Form 990, 990-EZ, or 990-PF) (2013)**

Name of organization JACQUELINE HARRIS HOCHBERG FOUNDATION INC.

Employer identification number 26-0294769

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	MAIZE AND BLUE CHARITABLE TRUST 220 SUNRISE AVENUE, SUITE 210 PALM BEACH, FL 33480	$ 101,875.	**Person** ☒ **Payroll** ☐ **Noncash** ☐ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	**Person** ☐ **Payroll** ☐ **Noncash** ☐ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	**Person** ☐ **Payroll** ☐ **Noncash** ☐ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	**Person** ☐ **Payroll** ☐ **Noncash** ☐ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	**Person** ☐ **Payroll** ☐ **Noncash** ☐ (Complete Part II for noncash contributions.)

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
		$	**Person** ☐ **Payroll** ☐ **Noncash** ☐ (Complete Part II for noncash contributions.)

Name of organization JACQUELINE HARRIS HOCHBERG FOUNDATION INC.	**Employer identification number** 26-0294769

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
----		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
----		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
----		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
----		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
----		$	
(a) No. from Part I	**(b) Description of noncash property given**	**(c) FMV (or estimate) (see instructions)**	**(d) Date received**
----		$	

Name of organization JACQUELINE HARRIS HOCHBERG FOUNDATION INC.

Employer identification number 26-0294769

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry.

For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $ ____________

Use duplicate copies of Part III if additional space is needed.

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
----			

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

JACQUELINE HARRIS HOCHBERG FOUNDATION INC. 26-0294769

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					5,818.	
		SEE REALIZED GAIN LOSS SCHEDULE I PROPERTY TYPE: SECURITIES				P	VAR	VAR
146,505.		150,075.					-3,570.	
		SEE REALIZED GAIN LOSS SCHEDULE II PROPERTY TYPE: SECURITIES				P	VAR	VAR
20,157.		18,483.					1,674.	
		SEE REALIZED GAIN LOSS SCHEDULE II PROPERTY TYPE: SECURITIES				P	VAR	VAR
54,194.		43,127.					11,067.	
TOTAL GAIN(LOSS)							14,989.	

JACQUELINE HARRIS HOCHBERG FOUNDATION INC. **EIN: 26-0294769**

SUPPLEMENTARY STATEMENTS

PART: XV **LINE: 2** **SUPPLEMENTAL INFORMATION**

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE THE TRUSTEES CHOOSE THESE ORGANIZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIONS' ACTIVITIES NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS OR SCHOLARSHIPS ARE MADE TO INDIVIDUALS THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICATIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
INTEREST ON CHECKING	47.	47.	
INTEREST ON CREDIT BALANCE	9.	9.	
TOTAL	56.	56.	

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
DIVIDENDS		17,870.	17,870.	
	TOTAL	17,870.	17,870.	

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
BCRS ASSOCIATES, LLC	3,500.	1,750.		1,750.
TOTALS	3,500.	1,750.		1,750.

ATTACHMENT 4

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
FLORIDA FILING FEE	61.			61.
CHARITABLE EXPENSE	7,065.			7,065.
UBS MANAGEMENT FEES	2,605.	2,605.		
FOREIGN TAX WITHHELD	244.	244.		
TOTALS	9,975.	2,849.		7,126.

ATTACHMENT 5

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SEE LONG POSITION I ATTACHED	151,715.	179,650.	225,359.
SEE LONG POSITION II ATTACHED	300,150.	296,080.	330,970.
TOTALS	451,865.	475,730.	556,329.

ATTACHMENT 6

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
ROUNDING	1.
TOTAL	1.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 7

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JACQUELINE HARRIS HOCHBERG C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	DIRECTOR/PRES/SEC - AS NEEDED	0	0	0
ROBERT HOCHBERG C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	DIRECTOR - AS NEEDED	0	0	0
NICKI HARRIS C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	DIRECTOR/VP/TREAS - AS NEEDED	0	0	0
	GRAND TOTALS	0	0	0

SCHEDULE D
(Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at *www.irs.gov/form1041*

OMB No 1545-0092

2013

Name of estate or trust	Employer identification number
JACQUELINE HARRIS HOCHBERG FOUNDATION INC.	26-0294769

Note: *Form 5227 filers need to complete only Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked	20,157.	18,483.		1,674.
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked				
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked				

4	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	4	
5	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	5	
6	Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet	6	( )
7	**Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back . . . . ▶	7	1,674.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked	200,699.	193,202.		7,497.
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked				
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked				

	LONG-TERM CAPITAL GAIN DIVIDENDS		5,818.
11	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	11	
12	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.	12	
13	Capital gain distributions.	13	
14	Gain from Form 4797, Part I.	14	
15	Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet	15	( )
16	**Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back . . . . ▶	16	13,315.

Part III	**Summary of Parts I and II** **Caution:** *Read the instructions* ***before*** *completing this part*		**(1)** Beneficiaries' (see instr)	**(2)** Estate's or trust's	**(3)** Total
17	**Net short-term gain or (loss)**	17			1,674.
18	**Net long-term gain or (loss):**				
a	Total for year	18a			13,315.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	18b			
c	28% rate gain	18c			
19	**Total net gain or (loss).** Combine lines 17 and 18a ▶	19			14,989.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV If line 19, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary*

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of
a The loss on line 19, column (3) **or** b $3,000 . . . 20 ( )

Note: *If the loss on line 19, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if:*

- *Either line 18b, col (2) or line 18c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			21			
22	Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22					
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23					
24	Add lines 22 and 23	24					
25	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	25					
26	Subtract line 25 from line 24 If zero or less, enter -0-			26			
27	Subtract line 26 from line 21 If zero or less, enter -0-			27			
28	Enter the **smaller** of the amount on line 21 or $2,450			28			
29	Enter the **smaller** of the amount on line 27 or line 28			29			
30	Subtract line 29 from line 28 If zero or less, enter -0- This amount is taxed at 0% ▶					30	
31	Enter the smaller of line 21 or line 26			31			
32	Subtract line 30 from line 26			32			
33	Enter the **smaller** of line 21 or $11,950			33			
34	Add lines 27 and 30			34			
35	Subtract line 34 from line 33 If zero or less, enter -0-			35			
36	Enter the **smaller** of line 32 or line 35			36			
37	Multiply line 36 by 15% ▶					37	
38	Enter the amount from line 31			38			
39	Add lines 30 and 36			39			
40	Subtract line 39 from line 38 If zero or less, enter -0-			40			
41	Multiply line 40 by 20% ▶					41	
42	Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			42			
43	Add lines 37, 41, and 42			43			
44	Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			44			
45	**Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶					45	

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ **Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949*.**

▶ **File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.**

OMB No 1545-0074

2013

Attachment Sequence No **12A**

Name(s) shown on return	**Social security number or taxpayer identification number**
JACQUELINE HARRIS HOCHBERG FOUNDATION INC.	26-0294769

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
SEE REALIZED GAIN LOS SCHEDULE II	VAR	VAR	20,157.	18,483.			1,674.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			20,157.	18,483.			1,674.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions.

JSA
3X2615 2 000

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)	Social security number or taxpayer identification number
JACQUELINE HARRIS HOCHBERG FOUNDATION INC.	26-0294769

Most brokers issue their own substitute statement instead of using Form 1099-B They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS Brokers are required to report basis to the IRS for most stock you bought in 2011 or later

Part II **Long-Term.** Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	 (g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
SEE REALIZED GAIN LOSS SCHEDULE I	VAR	VAR	146,505.	150,075.			-3,570.
SEE REALIZED GAIN LOSS SCHEDULE II	VAR	VAR	54,194.	43,127.			11,067.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			200,699	193,202.			7,497.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.
CHARITABLE CONTRIBUTIONS
FYE 3/31/2014
EIN: 26-0294769

DATE	PAYEE	CITY, STATE	AMOUNT
4/12/2013	WIZO	NEW YORK, NY	$ 1,000.00
4/17/2013	THE JEWISH MUSEUM	NEW YORK, NY	$ 1,000.00
4/29/2013	SOUTHAMPTON FRESH AIR HOME	SOUTHAMPTON, NY	$ 2,800 00
4/30/2013	ALBERT EINSTEIN COLLEGE OF MEDICINE	BRONX, NY	$ 850.00
4/30/2013	THE HEBREW CHARTER SCHOOL CENTER	NEW YORK, NY	$ 1,180.00
5/1/2013	COLUMBIA GRAMMAR AND PREPARATORY SCHOOL	NEW YORK, NY	$ 1,000.00
5/7/2013	TURNAROUND FOR CHILDREN	NEW YORK, NY	$ 2,000.00
5/14/2013	COLUMBIA GRAMMAR AND PREPARATORY SCHOOL	NEW YORK, NY	$ 2,000 00
5/14/2013	NYU LANGONE MEDICAL CENTER	NEW YORK, NY	$ 4,500.00
5/14/2013	COLUMBIA GRAMMAR AND PREPARATORY SCHOOL	NEW YORK, NY	$ 1,000.00
5/24/2013	THE CHRISTOPHER RICARDO CYSTIC FIBROSIS FOUNDATION INC	AVENTURA, FL	$ 250.00
5/29/2013	HEALTH & HUMANITARIAN AID FOUNDATION	GARNERVILLE, NY	$ 2,000.00
6/24/2013	THE JEWISH CENTER OF THE HAMPTONS	EAST HAMPTON, NY	$ 1,000.00
6/24/2013	GOD'S LOVE WE DELIVER	NEW YORK, NY	$ 1,000 00
7/2/2013	PEACEPLAYERS INTERNATIONAL	WASHINGTON, D C	$ 1,800.00
7/9/2013	THE PHOENIX HOUSE FOUNDATION	NEW YORK, NY	$ 1,000 00
7/10/2013	THE AMERICAN HEART ASSOCIATION	PLAINVIEW, NY	$ 750 00
8/5/2013	LINKED/HELP USA	NEW YORK, NY	$ 2,000 00
8/23/2013	THE LUNG CANCER RESEARCH FOUNDATION	NEW YORK, NY	$ 1,000.00
8/27/2013	THE CANTOR FITZGERALD RELIEF FUND	NEW YORK, NY	$ 1,000 00
8/28/2013	THE MAX CURE FOUNDATION	NEW YORK, NY	$ 1,000 00
8/30/2013	ST JUDE CHILDREN'S RESEARCH HOSPITAL	MEMPHIS, TN	$ 1,000 00
9/12/2013	THE JEWISH CENTER OF THE HAMPTONS	EAST HAMPTON, NY	$ 1,000 00
9/17/2013	CENTRAL SYNAGOGUE	NEW YORK, NY	$ 2,500 00
9/19/2013	JEWISH MUSEUM	NEW YORK, NY	$ 2,360 00

JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.
CHARITABLE CONTRIBUTIONS
FYE 3/31/2014
EIN: 26-0294769

DATE	PAYEE	CITY, STATE	AMOUNT
9/25/2013	92ND STREET Y	NEW YORK, NY	$ 1,600 00
10/8/2013	92ND STREET Y	NEW YORK, NY	$ 1,000 00
10/22/2013	ALBERT EINSTEIN COLLEGE OF MEDICINE	BRONX, NY	$ 410 00
11/5/2013	SHRINERS HOSPITALS FOR CHILDREN	TAMPA, FL	$ 250 00
11/18/2013	COLUMBIA GRAMMAR AND PREPARATORY SCHOOL	NEW YORK, NY	$ 25,000 00
11/25/2013	LATIN SCHOOL OF CHICAGO	CHICAGO, IL	$ 500 00
12/20/2013	HEALTH & HUMANITARIAN AID FOUNDATION	GARNERVILLE, NY	$ 1,000.00
12/23/2013	COLUMBIA GRAMMAR AND PREPARATORY SCHOOL	NEW YORK, NY	$ 2,100 00
12/24/2013	THE CANTOR FITZGERALD RELIEF FUND	NEW YORK, NY	$ 1,000 00
12/24/2013	UNIVERSITY OF MICHIGAN	ANN ARBOR, MI	$ 5,000 00
1/21/2014	CHARITY WATER	NEW YORK, NY	$ 150 00
1/30/2014	92ND STREET Y	NEW YORK, NY	$ 1,000 00
2/3/2014	FIELDING DREAMS/ RANDALL'S ISLAND PARK ALLIANCE	NEW YORK, NY	$ 2,075 00
2/8/2014	HEBREW CHARTER SCHOOL CENTER	NEW YORK, NY	$ 2,240.00
3/3/2014	THE JEWISH COMMUNAL FUND	NEW YORK, NY	$ 250.00
3/5/2014	WIZO	NEW YORK, NY	$ 1,000.00
3/13/2014	FOOD ALLERGY RESEARCH & EDUCATION	MCLEAN, VA	$ 850.00
3/26/2014	ALBERT EINSTEIN COLLEGE OF MEDICINE	BRONX, NY	$ 4,830 00
	TOTAL CONTRIBUTIONS PAID *		**$ 87,245.00**

**ALL CONTRIBUTIONS WERE MADE TO THE GENERAL PURPOSE FUND OF PUBLIC CHARITABLE ORGANIZATIONS EXEMPT UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE.*

JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.
UBS - REALIZED GAINS (LOSSES) REPORT
APRIL 1, 2013 TO MARCH 31, 2014

SECURITY	DATE ACQUIRED	DATE SOLD	QTY	SALES PROCEEDS	COST OR OTHER BASIS	TOTAL GAIN/LOSS	GAIN/LOSS TYPE
DOUBLE LINE TOTAL RETURN FUND N	3/30/2012	12/11/2013	13,440 86	146,505.37	150,075 00	(3,569 63)	LONG TERM
		TOTAL LONG-TERM		$146,505.37	$150,075.00	($3,569.63)	

JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.
UBS - REALIZED GAINS (LOSSES) REPORT
APRIL 1, 2013 TO MARCH 31, 2014

SECURITY	DATE ACQUIRED	DATE SOLD	QTY	SALES PROCEEDS	COST OR OTHER BASIS	TOTAL GAIN/LOSS	GAIN/LOSS TYPE
BANK OF NOVA SCOTIA CANADA	8/6/2012	5/29/2013	12 00	684 96	625 08	59 88	SHORT TERM
BANK OF NOVA SCOTIA CANADA	11/12/2012	5/29/2013	4 00	228 32	216 36	11 96	SHORT TERM
TORONTO DOMINION BK NEW CANADA	8/6/2012	5/29/2013	8 00	646 47	635 08	11.39	SHORT TERM
TORONTO DOMINION BK NEW CANADA	12/12/2012	5/29/2013	4.00	323 24	322 20	1 04	SHORT TERM
AIR PROD & CHEMICAL INC	11/12/2012	8/12/2013	6 00	622 22	478 96	143 26	SHORT TERM
VF CORP	11/12/2012	8/12/2013	3 00	596 09	470 55	125 54	SHORT TERM
ASTRAZENECA PLC SPON ADR	11/12/2012	11/11/2013	12.00	628 81	545 52	83 29	SHORT TERM
ASTRAZENECA PLC SPON ADR	2/11/2013	11/11/2013	4.00	209 61	189 71	19 90	SHORT TERM
ASTRAZENECA PLC SPON ADR	8/12/2013	11/11/2013	20 00	1,048 01	1,014 93	33 08	SHORT TERM
VF CORP	11/12/2012	11/11/2013	1 00	219 16	156 84	62 32	SHORT TERM
TEVA PHARMACEUTICALS INC LTD ISRAEL ADR	2/11/2013	12/9/2013	9 00	356.76	346 65	10 11	SHORT TERM
TOTAL S A FRANCE SPON ADR	8/12/2013	12/9/2013	21 00	1,233 20	1,128 80	104 40	SHORT TERM
YUMI BRANDS INC	11/11/2013	12/9/2013	4.00	298 43	290 02	8 41	SHORT TERM
MICROSOFT CORP	12/9/2013	2/10/2014	2.00	72 69	77 42	(4 73)	SHORT TERM
NORDSTROM INC	8/12/2013	2/10/2014	2.00	116 34	120 14	(3 80)	SHORT TERM
SANOFI SPON ADR	8/12/2013	2/10/2014	15 00	737 84	776 80	(38 96)	SHORT TERM
SANOFI SPON ADR	11/11/2013	2/10/2014	4 00	196 76	211 80	(15 04)	SHORT TERM
SANOFI SPON ADR	12/9/2013	2/10/2014	7 00	344 32	355 68	(11 36)	SHORT TERM
STANLEY BLACK & DECKER INC COM	8/12/2013	2/10/2014	3 00	237 67	263 98	(26 31)	SHORT TERM
TEVA PHARMACEUTICALS INC LTD ISRAEL ADR	2/11/2013	2/10/2014	149.00	6,536 80	5,738 97	797.83	SHORT TERM
TEVA PHARMACEUTICALS INC LTD ISRAEL ADR	8/12/2013	2/10/2014	32 00	1,403 88	1,267 13	136 75	SHORT TERM
TEVA PHARMACEUTICALS INC LTD ISRAEL ADR	11/11/2013	2/10/2014	20 00	877 42	742 54	134 88	SHORT TERM
YUMI BRANDS INC	11/11/2013	2/10/2014	4 00	287 77	290.01	(2 24)	SHORT TERM
NORDSTROM INC	8/12/2013	3/10/2014	4 00	249 87	240 27	9 60	SHORT TERM
UNILEVER PLC AMER SHS NEW SPON ADR	8/12/2013	3/10/2014	33 00	1,328 29	1,322 85	5.44	SHORT TERM
UNILEVER PLC AMER SHS NEW SPON ADR	11/11/2013	3/10/2014	11 00	442 77	437 33	5 44	SHORT TERM
YUMI BRANDS INC	11/11/2013	3/10/2014	3 00	229 85	217 51	12 34	SHORT TERM
		TOTAL STCG(L)		$20,157.55	$18,483.13	$1,674.42	

BANK OF NOVA SCOTIA CANADA	3/12/2012	5/29/2013	87.00	4,965 96	4,692 16	273 80	LONG TERM
TORONTO DOMINION BK NEW CANADA	3/12/2012	5/29/2013	58.00	4,686 94	4,785 88	(98 94)	LONG TERM
AIR PROD & CHEMICAL INC	9/30/2011	8/12/2013	54 00	5,600 02	4,204 98	1,395 04	LONG TERM
AIR PROD & CHEMICAL INC	8/6/2012	8/12/2013	9.00	933 34	743 98	189 36	LONG TERM
BOEING COMPANY	9/30/2011	8/12/2013	8 00	839 71	491 04	348 67	LONG TERM
AFLAC INC	9/30/2011	11/11/2013	3 00	197 20	105 33	91 87	LONG TERM
ASTRAZENECA PLC SPON ADR	9/30/2011	11/11/2013	99 00	5,187.67	4,401 54	786 13	LONG TERM
ASTRAZENECA PLC SPON ADR	2/6/2012	11/11/2013	7 00	366 81	331 52	35.29	LONG TERM
ASTRAZENECA PLC SPON ADR	3/12/2012	11/11/2013	4 00	209 60	180 04	29 56	LONG TERM
ASTRAZENECA PLC SPON ADR	8/6/2012	11/11/2013	2 00	104 80	96 34	8 46	LONG TERM
BOEING COMPANY	9/30/2011	11/11/2013	12 00	1,593 15	736 56	856 59	LONG TERM
COLGATE PALMOLIVE CO	9/30/2011	11/11/2013	2.00	129 59	89 09	40 50	LONG TERM
EMERSON ELECTRIC CO	9/30/2011	11/11/2013	2 00	133 59	86 20	47 39	LONG TERM
ILLINOIS TOOL WORKS INC	9/30/2011	11/11/2013	2 00	158 50	85 46	73 04	LONG TERM
INTEL CORP	9/30/2011	11/11/2013	4 00	96 53	87 72	8 81	LONG TERM
NOVARTIS AG SPON ADR	9/30/2011	11/11/2013	1 00	77 79	56 05	21 74	LONG TERM
OCCIDENTAL PETROLEUM CRP	4/10/2012	11/11/2013	4 00	386 98	365 38	21 60	LONG TERM
TOTAL S A FRNACE SPON ADR	7/9/2012	11/11/2013	6 00	353.81	264 84	88 97	LONG TERM
UNITED PARCEL SERVICE INC CL B	9/30/2011	11/11/2013	6.00	595 67	384 00	211 67	LONG TERM
BOEING COMPANY	9/30/2011	12/9/2013	1 00	135 16	61 38	73 78	LONG TERM
CLOROX CO	10/8/2012	12/9/2013	5 00	481 29	370 58	110 71	LONG TERM
CSX CORPORATION	6/12/2012	12/9/2013	10 00	279 83	210 60	69 23	LONG TERM
INTEL CORP	9/30/2011	12/9/2013	6 00	149 53	131 58	17 95	LONG TERM
PEARSON PLC SPON ADR	9/30/2011	12/9/2013	2 00	42 32	35 40	6 92	LONG TERM
TOTAL S A FRNACE SPON ADR	7/9/2012	12/9/2013	104 00	6,107 28	4,590 55	1,516 73	LONG TERM
TOTAL S A FRNACE SPON ADR	12/12/2012	12/9/2013	8 00	469 79	385 04	84 75	LONG TERM
UNILEVER PLC AMER SHS NEW SPON ADR	10/8/2012	12/9/2013	2 00	81 16	74 61	6 55	LONG TERM
UNITED PARCEL SERVICE INC CL B	9/30/2011	12/9/2013	2 00	204 61	128 00	76 61	LONG TERM
VF CORP	12/12/2012	12/9/2013	2 00	467 19	313 70	153 49	LONG TERM
COCA COLA CO COM	9/30/2011	2/10/2014	1 00	38 03	34 27	3 76	LONG TERM
CSX CORPORATION	6/12/2012	2/10/2014	8 00	216 57	168 48	48 09	LONG TERM
DIAGEO PLC NEW GB SPON ADR	9/30/2011	2/10/2014	1 00	120 49	76 29	44 20	LONG TERM
EMERSON ELECTRIC CO	9/30/2011	2/10/2014	2 00	129 57	86 20	43 37	LONG TERM
ILLINOIS TOOL WORKS INC	9/30/2011	2/10/2014	5 00	393 99	213 65	180 34	LONG TERM

INTEL CORP	9/30/2011	2/10/2014	14 00	339 94	307 02	32 92	LONG TERM
MEDTRONIC INC	9/30/2011	2/10/2014	1 00	55 69	33 75	21 94	LONG TERM
NEXTERA ENERGY INC COM	9/30/2011	2/10/2014	12 00	1,096 22	650 28	445 94	LONG TERM
NORTHEAST UTILITIES	9/30/2011	2/10/2014	20 00	872.61	677 80	194 81	LONG TERM
NOVARTIS AG SPON ADR	9/30/2011	2/10/2014	9 00	722 57	504 45	218 12	LONG TERM
SANOFI SPON ADR	9/30/2011	2/10/2014	110 00	5,410 81	3,646 50	1,764 31	LONG TERM
SANOFI SPON ADR	10/10/2011	2/10/2014	5 00	245.94	171 55	74 39	LONG TERM
SANOFI SPON ADR	2/6/2012	2/10/2014	9 00	442 71	328 02	114.69	LONG TERM
SANOFI SPON ADR	11/12/2012	2/10/2014	6 00	295 13	260 46	34 67	LONG TERM
UNILEVER PLC AMER SHS NEW SPON ADR	10/8/2012	2/10/2014	6 00	234.92	223 82	11 10	LONG TERM
UNTD TECHNOLOGIES CORP	9/30/2011	2/10/2014	4 00	442 99	282 08	160 91	LONG TERM
VF CORP	11/12/2012	2/10/2014	10 00	586 98	392 12	194 86	LONG TERM
AFLAC INC	9/30/2011	3/10/2014	1 00	65.27	35 11	30 16	LONG TERM
BRITISH AMER TOBACCO PLC GB SPON ADR	9/30/2011	3/10/2014	6 00	663 17	510 30	152 87	LONG TERM
CSX CORPORATION	7/12/2012	3/10/2014	9 00	257 87	189 54	68 33	LONG TERM
MEDTRONIC INC	9/30/2012	3/10/2014	5.00	297 94	168 75	129 19	LONG TERM
OCCIDENTAL PETROLEUM CRP	4/10/2012	3/10/2014	1 00	96.77	91 35	5 42	LONG TERM
UNILEVER PLC AMER SHS NEW SPON ADR	10/8/2012	3/10/2014	138.00	5,554 69	5,147 81	406 88	LONG TERM
UNILEVER PLC AMER SHS NEW SPON ADR	11/12/2012	3/10/2014	7 00	281 76	257 79	23 97	LONG TERM
UNTD TECHNOLOGIES CORP	9/30/2011	3/10/2014	2 00	234.67	141.04	93 63	LONG TERM
VF CORP	11/12/2012	3/10/2014	1 00	61.56	39 22	22 34	LONG TERM
		TOTAL LTCG(L)		**$54,194.68**	**$43,127.20**	**$11,067.48**	
		TOTAL		**$74,352.23**	**$61,610.33**	**$12,741.90**	

Your assets

Equities

Common stock

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
AFLAC INC								
Symbol AFL Exchange NYSE								
EAI $175 Current yield 2 35%	Sep 30, 11	90 000	35 110	3,159 90	63 040	5,673 60	2,513 70	LT
	Mar 12, 12	11 000	44 870	493 57	63 040	693 44	199 87	LT
	Aug 6, 12	8 000	45 146	361 17	63 040	504 32	143 15	LT
	Nov 12, 12	1 000	49 630	49 63	63 040	63 04	13 41	LT
	Feb 11, 13	7 000	50 207	351 45	63 040	441 28	89 83	LT
	Aug 12, 13	1 000	61 520	61 52	63 040	63 04	1 52	ST
Security total		118 000	37 943	4,477 24		7,438 72	2,961 48	
BOEING COMPANY								
Symbol BA Exchange NYSE								
EAI $169 Current yield 2 32%	Sep 30, 11	42 000	61 380	2,577 96	125 490	5,270 58	2,692 62	LT
	Oct 10, 11	2 000	63 950	127 90	125 490	250 98	123 08	LT

continued next page

Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
	Mar 12, 12	2 000	73 700	147 40	125 490	250 98	103 58	LT
	Aug 6, 12	7 000	73 098	511 69	125 490	878 43	366 74	LT
	Nov 12, 12	2 000	73 840	147 68	125 490	250 98	103 30	LT
	Feb 11, 13	3 000	76 170	228 51	125 490	376 47	147 96	LT
Security total		58 000	64 502	3,741 14		7,278 42	3,537 28	
BRITISH AMER TOBACCO PLC GB SPON ADR Symbol BTI Exchange AMEX EAI $329 Current yield 4 22%	Sep 30, 11	41 000	85 050	3,487 05	111 430	4,568 63	1,081 58	LT
	Feb 6, 12	2 000	96 810	193 62	111 430	222 86	29 24	LT
	Nov 12, 12	6 000	102 205	613 23	111 430	668 58	55 35	LT
	Feb 11, 13	3 000	103 440	310 32	111 430	334 29	23 97	LT
	Aug 12, 13	12 000	107 158	1,285 90	111 430	1,337 16	51 26	ST
	Dec 9, 13	6 000	104 303	625 82	111 430	668 58	42 76	ST
Security total		70 000	93 085	6,515 94		7,800 10	1,284 16	
CLOROX CO Symbol CLX Exchange NYSE EAI $253 Current yield 3 23%	Oct 8, 12	67 000	74 116	4,965 79	88 010	5,896 67	930 88	LT
	Nov 12, 12	3 000	73 010	219 03	88 010	264 03	45 00	LT
	Aug 12, 13	12 000	85 926	1,031 12	88 010	1,056 12	25 00	ST
	Mar 10, 14	7 000	86 620	606 34	88 010	616 07	9 73	ST
Security total		89 000	76 655	6,822 28		7,832 89	1,010 61	
COCA COLA CO COM Symbol KO Exchange NYSE EAI $244 Current yield 3 16%	Sep 30, 11	107 000	34 270	3,666 89	38 660	4,136 62	469 73	LT
	Oct 10, 11	10 000	33 245	332 45	38 660	386 60	54 15	LT
	Feb 6, 12	14 000	33 955	475 37	38 660	541 24	65 87	LT
	Oct 8, 12	5 000	38 656	193 28	38 660	193 30	0 02	LT
	Nov 12, 12	19 000	36 118	686 26	38 660	734 54	48 28	LT
	Aug 12, 13	31 000	39 947	1,238 38	38 660	1,198 46	-39 92	ST
	Nov 11, 13	9 000	39 856	358 71	38 660	347 94	-10 77	ST
	Mar 10, 14	5 000	38 508	192 54	38 660	193 30	0 76	ST
Security total		200 000	35 719	7,143 88		7,732 00	588 12	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
COLGATE PALMOLIVE CO								
Symbol CL Exchange NYSE								
EAI $174 Current yield 2 22%	Sep 30, 11	88 000	44 545	3,919 96	64 870	5,708 56	1,788 60	LT
	Oct 10, 11	2 000	45 680	91 36	64 870	129 74	38 38	LT
	Feb 6, 12	8 000	45 555	364 44	64 870	518 96	154 52	LT
	Nov 12, 12	8 000	52 205	417 64	64 870	518 96	101 32	LT
	Feb 11, 13	4 000	54 250	217 00	64 870	259 48	42 48	LT
	Aug 12, 13	11 000	60 638	667 02	64 870	713 57	46 55	ST
Security total		121 000	46 921	5,677 42		7,849 27	2,171 85	
CSX CORPORATION								
Symbol CSX Exchange NYSE								
EAI $160 Current yield 2 07%	Jun 12, 12	199 000	21 060	4,190 94	28 970	5,765 03	1,574 09	LT
	Oct 8, 12	10 000	21 636	216 36	28 970	289 70	73 34	LT
	Nov 12, 12	40 000	20 000	800 00	28 970	1,158 80	358 80	LT
	Aug 12, 13	18 000	25 506	459 11	28 970	521 46	62 35	ST
Security total		267 000	21 223	5,666 41		7,734 99	2,068 58	
DIAGEO PLC NEW GB SPON ADR								
Symbol DEO Exchange NYSE								
EAI $198 Current yield 2 56%	Sep 30, 11	47 000	76 290	3,585 63	124 590	5,855 73	2,270 10	LT
	Nov 12, 12	1 000	114 780	114 78	124 590	124 59	9 81	LT
	Feb 11, 13	2 000	117 560	235 12	124 590	249 18	14 06	LT
	Aug 12, 13	7 000	129 160	904 12	124 590	872 13	-31 99	ST
	Dec 9, 13	5 000	126 310	631 55	124 590	622 95	-8 60	ST
Security total		62 000	88 245	5,471 20		7,724 58	2,253 38	
DOMINION RESOURCES INC VA (NEW)								
Symbol D Exchange NYSE								
EAI $264 Current yield 3 38%	Feb 10, 14	110 000	67 999	7,479 99	70 990	7,808 90	328 91	ST
EMERSON ELECTRIC CO								
Symbol EMR Exchange NYSE								
EAI $198 Current yield 2 58%	Sep 30, 11	88 000	43 100	3,792 80	66 800	5,878 40	2,085 60	LT
	Oct 10, 11	2 000	44 915	89 83	66 800	133 60	43 77	LT
	Aug 6, 12	10 000	48 997	489 97	66 800	668 00	178 03	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
	Nov 12, 12	2 000	50 270	100 54	66 800	133 60	33 06	LT
	Aug 12, 13	13 000	62 596	813 76	66 800	868 40	54 64	ST
Security total		115 000	45 973	5,286 90		7,682 00	2,395 10	
ILLINOIS TOOL WORKS INC								
Symbol ITW Exchange NYSE								
EAI $158 Current yield 2 07%	Sep 30, 11	75 000	42 730	3,204 75	81 330	6,099 75	2,895 00	LT
	Oct 10, 11	2 000	44 605	89 21	81 330	162 66	73 45	LT
	Aug 6, 12	7 000	56 174	393 22	81 330	569 31	176 09	LT
	Nov 12, 12	2 000	60 590	121 18	81 330	162 66	41 48	LT
	Feb 11, 13	4 000	62 710	250 84	81 330	325 32	74 48	LT
	Aug 12, 13	4 000	73 715	294 86	81 330	325 32	30 46	ST
Security total		94 000	46 320	4,354 06		7,645 02	3,290 96	
INTEL CORP								
Symbol INTC Exchange OTC								
EAI $280 Current yield 3 49%	Sep 30, 11	157 000	21 930	3,443 01	25 814	4,052 80	609 79	LT
	Oct 10, 11	3 000	22 850	68 55	25 814	77 44	8 89	LT
	Aug 6, 12	21 000	26 396	554 33	25 814	542 09	-12 24	LT
	Oct 8, 12	39 000	22 486	876 98	25 814	1,006 75	129 77	LT
	Nov 12, 12	28 000	20 756	581 19	25 814	722 79	141 60	LT
	Feb 11, 13	17 000	21 010	357 17	25 814	438 84	81 67	LT
	Aug 12, 13	41 000	22 606	926 87	25 814	1,058 37	131 50	ST
	Mar 10, 14	5 000	24 700	123 50	25 814	129 07	5 57	ST
Security total		311 000	22 288	6,931 60		8,028 15	1,096 55	
INVESCO LTD								
Symbol IVZ Exchange NYSE								
EAI $201 Current yield 2 44%	Feb 10, 14	219 000	34 272	7,505 59	37 000	8,103 00	597 41	ST
	Mar 10, 14	4 000	34 217	136 87	37 000	148 00	11 13	ST
Security total		223 000	34 271	7,642 46		8,251 00	608 54	
JOHNSON & JOHNSON COM								
Symbol JNJ Exchange NYSE								
EAI $214 Current yield 2 69%	Sep 30, 11	66 000	63 300	4,177 80	98 230	6,483 18	2,305 38	LT
	Oct 10, 11	3 000	64 086	192 26	98 230	294 69	102 43	LT
	Feb 6, 12	7 000	65 410	457 87	98 230	687 61	229 74	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
	Aug 6, 12	1 000	69 110	69 11	98 230	98 23	29 12	LT
	Nov 12, 12	3 000	69 700	209 10	98 230	294 69	85 59	LT
	Aug 12, 13	1 000	92 680	92 68	98 230	98 23	5 55	ST
Security total		81 000	64 183	5,198 82		7,956 63	2,757 81	
MEDTRONIC INC								
Symbol MDT Exchange NYSE								
EAI $144 Current yield 1 81%	Sep 30, 11	94 000	33 750	3,172 50	61 540	5,784 76	2,612 26	LT
	Oct 10, 11	9 000	33 414	300 73	61 540	553 86	253 13	LT
	Mar 12, 12	3 000	37 600	112 80	61 540	184 62	71 82	LT
	Aug 6, 12	2 000	39 975	79 95	61 540	123 08	43 13	LT
	Nov 12, 12	14 000	41 217	577 04	61 540	861 56	284 52	LT
	Aug 12, 13	7 000	55 237	386 66	61 540	430 78	44 12	ST
Security total		129 000	35 889	4,629 68		7,938 66	3,308 98	
MICROSOFT CORP								
Symbol MSFT Exchange OTC								
EAI $228 Current yield 2 73%	Dec 9, 13	204 000	38 709	7,896 76	40 990	8,361 96	465 20	ST
NEXTERA ENERGY INC COM								
Symbol NEE Exchange NYSE								
EAI $238 Current yield 3 04%	Sep 30, 11	58 000	54 190	3,143 02	95 620	5,545 96	2,402 94	LT
	Oct 10, 11	3 000	54 700	164 10	95 620	286 86	122 76	LT
	Feb 6, 12	2 000	60 150	120 30	95 620	191 24	70 94	LT
	Nov 12, 12	9 000	66 970	602 73	95 620	860 58	257 85	LT
	Aug 12, 13	3 000	85 706	257 12	95 620	286 86	29 74	ST
	Dec 9, 13	7 000	83 980	587 86	95 620	669 34	81 48	ST
Security total		82 000	59 453	4,875 13		7,840 84	2,965 71	
NORDSTROM INC								
Symbol JWN Exchange NYSE								
EAI $162 Current yield 2 11%	Aug 12, 13	119 000	60 068	7,148 21	62 450	7,431 55	283 34	ST
	Nov 11, 13	4 000	61 577	246 31	62 450	249 80	3 49	ST
Security total		123 000	60 118	7,394 52		7,681 35	286 83	

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
NORTHEAST UTILITIES								
Symbol NU Exchange NYSE								
EAI $276 Current yield 3 45%	Sep 30, 11	96 000	33 890	3,253 44	45 500	4,368 00	1,114 56	LT
	Oct 10, 11	12 000	32 537	390 45	45 500	546 00	155 55	LT
	Feb 6, 12	5 000	35 230	176 15	45 500	227 50	51 35	LT
	Aug 6, 12	1 000	39 690	39 69	45 500	45 50	5 81	LT
	Nov 12, 12	13 000	37 940	493 22	45 500	591 50	98 28	LT
	Aug 12, 13	25 000	43 465	1,086 63	45 500	1,137 50	50 87	ST
	Nov 11, 13	13 000	42 346	550 50	45 500	591 50	41 00	ST
	Dec 9, 13	6 000	41 256	247 54	45 500	273 00	25 46	ST
	Mar 10, 14	5 000	43 528	217 64	45 500	227 50	9 86	ST
Security total		176 000	36 678	6,455 26		8,008 00	1,552 74	
NOVARTIS AG SPON ADR								
Symbol NVS Exchange NYSE								
EAI $163 Current yield 2 08%	Sep 30, 11	58 000	56 050	3,250 90	85 020	4,931 16	1,680 26	LT
	Oct 10, 11	2 000	57 735	115 47	85 020	170 04	54 57	LT
	Feb 6, 12	13 000	55 626	723 15	85 020	1,105 26	382 11	LT
	Nov 12, 12	6 000	60 260	361 56	85 020	510 12	148 56	LT
	Aug 12, 13	13 000	73 385	954 01	85 020	1,105 26	151 25	ST
Security total		92 000	58 751	5,405 09		7,821 84	2,416 75	
OCCIDENTAL PETROLEUM CRP								
Symbol OXY Exchange NYSE								
EAI $230 Current yield 3 02%	Apr 10, 12	43 000	91 344	3,927 83	95 290	4,097 47	169 64	LT
	Aug 6, 12	6 000	89 300	535 80	95 290	571 74	35 94	LT
	Nov 12, 12	14 000	76 710	1,073 94	95 290	1,334 06	260 12	LT
	Aug 12, 13	17 000	87 654	1,490 12	95 290	1,619 93	129 81	ST
Security total		80 000	87 846	7,027 69		7,623 20	595 51	
PEARSON PLC SPON ADR								
Symbol PSO Exchange NYSE								
EAI $343 Current yield 4 42%	Sep 30, 11	227 000	17 700	4,017 90	17 810	4,042 87	24 97	LT
	Oct 10, 11	7 000	18 260	127 82	17 810	124 67	-3 15	LT
	Feb 6, 12	20 000	19 090	381 80	17 810	356 20	-25 60	LT
	Aug 6, 12	17 000	19 410	329 98	17 810	302 77	-27 21	LT

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Your assets ▸ **Equities** ▸ **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
	Nov 12, 12	17 000	19 530	332 01	17 810	302 77	-29 24	LT
	Feb 11, 13	28 000	19 007	532 22	17 810	498 68	-33 54	LT
	Aug 12, 13	43 000	20 746	892 10	17 810	765 83	-126 27	ST
	Nov 11, 13	16 000	20 877	334 04	17 810	284 96	-49 08	ST
	Feb 10, 14	33 000	18 342	605 29	17 810	587 73	-17 56	ST
	Mar 10, 14	28 000	17 443	488 42	17 810	498 68	10 26	ST
Security total		436 000	18 444	8,041 58		7,765 16	-276 42	
ROCHE HLDG LTD SPONS ADR SWITZ ADR Symbol RHHBY Exchange OTC EAI $144 Current yield 1 84%	Mar 10, 14	207 000	36 850	7,628 02	37 720	7,808 04	180 02	ST
STANLEY BLACK & DECKER INC COM Symbol SWK Exchange NYSE EAI $188 Current yield 2 46%	Aug 12, 13	83 000	87 992	7,303 35	81 240	6,742 92	-560 43	ST
	Nov 11, 13	11 000	82 039	902 43	81 240	893 64	-8 79	ST
Security total		94 000	87 296	8,205 78		7,636 56	-569 22	
TRAVELERS COS INC/THE Symbol TRV Exchange NYSE EAI $182 Current yield 2 35%	Feb 10, 14	91 000	81 342	7,402 20	85 100	7,744 10	341 90	ST
UNITED PARCEL SERVICE INC CL B Symbol UPS Exchange NYSE EAI $206 Current yield 2 75%	Sep 30, 11	55 000	64 000	3,520 00	97 380	5,355 90	1,835 90	LT
	Aug 6, 12	3 000	76 160	228 48	97 380	292 14	63 66	LT
	Nov 12, 12	7 000	72 390	506 73	97 380	681 66	174 93	LT
	Aug 12, 13	12 000	87 825	1,053 91	97 380	1,168 56	114 65	ST
Security total		77 000	68 950	5,309 12		7,498 26	2,189 14	
UNTD TECHNOLOGIES CORP Symbol UTX Exchange NYSE EAI $153 Current yield 2 01%	Sep 30, 11	43 000	70 520	3,032 36	116 840	5,024 12	1,991 76	LT
	Oct 10, 11	2 000	73 250	146 50	116 840	233 68	87 18	LT
	Feb 6, 12	1 000	80 530	80 53	116 840	116 84	36 31	LT
	Aug 6, 12	11 000	77 480	852 28	116 840	1,285 24	432 96	LT
	Nov 12, 12	4 000	76 350	305 40	116 840	467 36	161 96	LT

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Your assets › **Equities** › **Common stock** (continued)

Holding	Trade date	Number of shares	Purchase price/ Average price per share ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized gain or loss ($)	Holding period
	Aug 12, 13	4 000	105 612	422 45	116 840	467 36	44 91	ST
Security total		65 000	74 454	4,839 52		7,594 60	2,755 08	
VF CORP Symbol VFC Exchange NYSE EAI $131 Current yield 1 69%	Nov 12, 12	109 000	39 212	4,274 12	61 880	6,744 92	2,470 80	LT
	Feb 11, 13	16 000	37 842	605 48	61 880	990 08	384 60	LT
Security total		125 000	39 037	4,879 60		7,735 00	2,855 40	
YUM! BRANDS INC Symbol YUM Exchange NYSE EAI $148 Current yield 1 96%	Nov 11, 13	100 000	72 503	7,250 38	75 390	7,539 00	288 62	ST
Total				**$179,649.67**		**$225,359.24**	**$45,709.57**	

Total estimated annual income· $5,953

Your total assets

		Value on Mar 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Equities	**Common stock**	**225,359.24**	**99.10%**	**179,649.67**	**5,953.00**	**45,709.57**
Total		**225,359.24**		**179,649.67**	**$5.953.00**	**$45.709.57**

Equities

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
BARON OPPORTUNITY FUND									
Symbol BIOPX									
Trade date Mar 30, 12	9,463 722	15 857	150,075 00	150,075 00	19 430	183,880 11	33,805 11	33,805 11	LT

Fixed income

Mutual funds

Total reinvested is the total of all reinvested dividends It does not include any cash dividends It is not a tax lot for the purposes of determining holding periods or cost basis The shares you receive each time you reinvest dividends become a separate tax lot

Cost basis is the total purchase cost of the security, including reinvested dividends The cost basis may need to be adjusted for return of capital payments in order to determine the adjusted cost basis for tax reporting purposes

Unrealized (tax) gain or loss is the difference between the current value and the cost basis and would generally be your taxable gain or loss if the security was sold on this date The unrealized (tax) gain or loss may need to be adjusted for return of capital payments in order to determine the realized gain or loss for tax reporting purposes

Investment return is the current value minus the amount you invested It does not include shares that are not reflected on your statement, including shares that have been realized as either a gain or a loss It also does not include cash dividends that were not reinvested

Holding	Number of shares	Purchase price/ Average price per share ($)	Client investment ($)	Cost basis ($)	Price per share on Mar 31 ($)	Value on Mar 31 ($)	Unrealized (tax) gain or loss ($)	Investment return ($)	Holding period
ANGEL OAK MULTI STRATEGY									
INCOME FD CLASS A									
Symbol ANGLX									
Trade date Dec 10, 13	12,106 136	12 060	146,005 25	146,005 25	12 150	147,089 55	1,084 30	1,084 30	ST
EAI $6,670 Current yield 4 53%									

Your total assets

		Value on Mar 31 ($)	Percentage of your account	Cost basis ($)	Estimated annual income ($)	Unrealized gain or loss ($)
Equities	**Mutual funds**	**183,880.11**	**26.50%**	**150,075.00**		**33,805.11**
Fixed income	**Mutual funds**	**147,089.55**	**21.20%**	**146,005.25**	**6,670.00**	**1,084.30**
Total		330,969.66		296,080.25		**$34,889.41**

Form **8868**

(Rev January 2014)

Department of the Treasury
Internal Revenue Service

Application for Extension of Time To File an Exempt Organization Return

▶ **File a separate application for each return.**
▶ **Information about Form 8868 and its instructions is at *www.irs.gov/form8868*.**

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension, complete only Part I** and check this box . . . . ▶ ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension, complete only Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (*e-file*). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit *www.irs.gov/efile* and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only . . . . ▶ ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return See instructions	Name of exempt organization or other filer, see instructions. JACQUELINE HARRIS HOCHBERG FOUNDATION, INC.	Employer identification number (EIN) or 26-0294769
	Number, street, and room or suite no. If a P.O box, see instructions. C/O BCRS ASSOCIATES, LLC; 77 WATER ST - 9TH FL	Social security number (SSN)
	City, town or post office, state, and ZIP code For a foreign address, see instructions NEW YORK, NY 10005	

Enter the Return code for the return that this application is for (file a separate application for each return) 04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ BCRS ASSOCIATES, LLC

Telephone No. ▶ 212-440-0811 Fax No. ▶ N/A

- If the organization does not have an office or place of business in the United States, check this box . . . . . ▶ ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ______ If this is for the whole group, check this box . . ▶ ☐ . If it is for part of the group, check this box . ▶ ☐ and attach a list with the names and EINs of all members the extension is for.

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until NOVEMBER 17 , 20 14 , to file the exempt organization return for the organization named above. The extension is for the organization's return for:

▶ ☐ calendar year 20 ____ or

▶ ☒ tax year beginning APRIL 1 , 20 13 , and ending MARCH 31 , 20 14

2 If the tax year entered in line 1 is for less than 12 months, check reason. ☐ Initial return ☐ Final return ☐ Change in accounting period

3a	If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	$ 1,206.00
b	If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	$ 306.00
c	**Balance due.** Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	$ 900.00

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see instructions.