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Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

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OMB No 1545-0052





2013

Open to Public Inspection



For calendar year 2013, or tax year beginning 03-01-2013 , and ending 02-28-2014

Name of foundation MARGOES FOUNDATION		A Employer identification number  94-2955164	
Number and street (or P O box number if mail is not delivered to street address) 1660 BUSH STREET NO 300		B Telephone number (see instructions)  (415) 561-6540	
City or town, state or province, country, and ZIP or foreign postal code SAN FRANCISCO, CA 94109		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 5,044,256	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities. . . . .	91,742	91,742		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	-2,769			
	b Gross sales price for all assets on line 6a 1,335,666				
	7 Capital gain net income (from Part IV, line 2) . . .		0		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	88,973	91,742		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .	4,300	2,150		2,150
	c Other professional fees (attach schedule) . . . . .	77,264	33,914		43,350
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions)	70	0		70
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	1,693	0		1,693
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	83,327	36,064		47,263
	25 Contributions, gifts, grants paid . . . . .	205,000			205,000
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	288,327	36,064		252,263
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	-199,354			
	b <b>Net investment income</b> (if negative, enter -0-)		55,678		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	50	50	50
	2	Savings and temporary cash investments . . . . .	804,213	711,255	711,255
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)	108,569	 73,334	73,334
	b	Investments—corporate stock (attach schedule) . . . . .	0	 55,525	55,525
	c	Investments—corporate bonds (attach schedule). . . . .	1,403,620	 101,881	101,881
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	2,387,318	 4,102,211	4,102,211
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	4,703,770	5,044,256	5,044,256	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .	4,703,770	5,044,256	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	0	0	
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	4,703,770	5,044,256	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	4,703,770	5,044,256		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	4,703,770
2	Enter amount from Part I, line 27a . . . . .	2	-199,354
3	Other increases not included in line 2 (itemize) ▶ 	3	690,274
4	Add lines 1, 2, and 3 . . . . .	4	5,194,690
5	Decreases not included in line 2 (itemize) ▶ 	5	150,434
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	5,044,256

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 a	US BANK	P		
b	US BANK - CAPITAL GAIN DISTRIBUTIONS	P		
c	US BANK - CLASS ACTION PROCEEDS	P		
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,325,063		1,338,435	-13,372
b 10,376			10,376
c 227			227
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			-13,372
b			10,376
c			227
d			
e			

2 Capital gain net income or (net capital loss)	<div><div>If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7</div></div>	2	-2,769
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) <div>If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .</div>		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	263,556	4,679,175	0 056325
2011	274,260	5,048,113	0 054329
2010	239,005	5,109,315	0 046778
2009	218,677	4,893,108	0 044691
2008	260,712	4,945,460	0 052717

2 Total of line 1, column (d). . . . .	2	0 254840
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .	3	0 050968
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .	4	4,734,972
5 Multiply line 4 by line 3. . . . .	5	241,332
6 Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	557
7 Add lines 5 and 6. . . . .	7	241,889
8 Enter qualifying distributions from Part XII, line 4. . . . .	8	252,263

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

<b>Part VI    Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)</b>		
<b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	557
<b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	0
<b>3</b> Add lines 1 and 2. . . . .	<b>3</b>	557
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	0
<b>5</b> <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	557
<b>6</b> Credits/Payments		
<b>a</b> 2013 estimated tax payments and 2012 overpayment credited to 2013	<b>6a</b>	2,648
<b>b</b> Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b> Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b> Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b> Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	2,648
<b>8</b> Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	
<b>9</b> <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b> <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	2,091
<b>11</b> Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> <input checked="" type="checkbox"/> 2,091 <b>Refunded</b> <input checked="" type="checkbox"/>	<b>11</b>	0

<b>Part VII-A    Statements Regarding Activities</b>			
<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	<b>Yes</b>	<b>No</b>
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	<b>1b</b>		<b>No</b>
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?. . . . .	<b>1c</b>		<b>No</b>
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation <input checked="" type="checkbox"/> \$ 0 <b>(2)</b> On foundation managers <input checked="" type="checkbox"/> \$ 0			
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ 0			
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	<b>2</b>		<b>No</b>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>		<b>No</b>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?. . . . .	<b>4a</b>		<b>No</b>
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?. . . . .	<b>4b</b>		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>	<b>5</b>		<b>No</b>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	<b>Yes</b>	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	<b>7</b>	<b>Yes</b>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions): <input checked="" type="checkbox"/> CA			
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	<b>8b</b>	<b>Yes</b>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>		<b>No</b>
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	<b>10</b>		<b>No</b>

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶HMELENDEZ@PFS-LLC NET				
14	The books are in care of ▶PACIFIC FOUNDATION SERVICES LLC Telephone no ▶(415) 561-6540 Located at ▶1660 BUSH STREET 300 SAN FRANCISCO CA ZIP +4 ▶94109			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of <b>Form 1041</b> —Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>	1b		No
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?. . . . .	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ). . . . .	2b		
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i> ). . . . .	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1)

Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

Yes

No

(2)

Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

Yes

No

(3)

Provide a grant to an individual for travel, study, or other similar purposes?

Yes

No

(4)

Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1 ), (2), or (3), or section 4940(d)(2)? (see instructions).

Yes

No

(5)

Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

Yes

No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

Yes

No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

Yes

No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

Yes

No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services. . . . .		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3. . . . .	0



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	3,904,189
b	Average of monthly cash balances. . . . .	1b	902,889
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	4,807,078
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	0
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	4,807,078
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	72,106
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,734,972
6	Minimum investment return. Enter 5% of line 5. . . . .	6	236,749

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	236,749
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a	557
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	557
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	236,192
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	0
5	Add lines 3 and 4. . . . .	5	236,192
6	Deduction from distributable amount (see instructions). . . . .	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	236,192

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	252,263
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	
b	Cash distribution test (attach the required schedule). . . . .	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	252,263
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	557
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	251,706
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				236,192
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only. . . . .			2,339	
b Total for prior years 20__, 20__, 20__		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008. . . . .				
b From 2009. . . . .				
c From 2010. . . . .				
d From 2011. . . . .				
e From 2012. . . . .				
f Total of lines 3a through e. . . . .	0			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 252,263				
a Applied to 2012, but not more than line 2a			2,339	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .		0		
c Treated as distributions out of corpus (Election required—see instructions). . . . .	0			
d Applied to 2013 distributable amount. . . . .				236,192
e Remaining amount distributed out of corpus	13,732			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	13,732			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .		0		
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	13,732			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . .	13,732			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

HECTOR MELENDEZ PACIFIC FOUNDATION  
1660 BUSH STREET 300  
SAN FRANCISCO, CA 94109  
(415) 561-6540

b

The form in which applications should be submitted and information and materials they should include

SEE EXHIBIT 1

c

Any submission deadlines

SEE EXHIBIT 1

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE EXHIBIT 1

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	205,000
b Approved for future payment EQUAL OPPORTUNITY SCHOOLS 999 N NORTHLAKE WAY SUITE 268 SEATTLE, WA 98103	N/A	509(A)(1)	TO IDENTIFY MISSING STUDENTS AND HELP RE-SET THEIR TRAJECTORY OF SUCCESS THROUGH ENROLLMENT IN ADVANCED-LEVEL COURSES	50,000
SAN FRANCISCO CONSERVATORY OF MUSIC 50 OAK STREET SAN FRANCISCO, CA 94102	N/A	509(A)(1)	SUPPORT THE SCHOLARSHIP PROGRAM	15,000
Total . . . . .			3b	65,000

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

1

Cash.

1a(1)

No

2

Other assets.

1a(2)

No

b

Other transactions

1

Sales of assets to a noncharitable exempt organization.

1b(1)

No

2

Purchases of assets from a noncharitable exempt organization.

1b(2)

No

3

Rental of facilities, equipment, or other assets.

1b(3)

No

4

Reimbursement arrangements.

1b(4)

No

5

Loans or loan guarantees.

1b(5)

No

6

Performance of services or membership or fundraising solicitations.

1b(6)

No

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

1c

No

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

☐

Yes

☒

No

1

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

2014-07-17

Signature of officer or trustee

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒

Yes

☐

No


Paid Preparer Use Only

Print/Type preparer's name KATHLEEN BURRY	Preparer's Signature	Date	Check if self-employed <input type="checkbox"/>	PTIN P00394887	
Firm's name	CALEGARI & MORRIS ACCOUNTANTS			Firm's EIN	94-2186350
Firm's address	123 MISSION STREET 18TH FLOOR SAN FRANCISCO, CA 94105			Phone no	(415) 981-8766

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DOUGLAS W JOHNSON	CFO/DIRECTOR 1 00	0	0	0
1660 BUSH STREET SUITE 300 SAN FRANCISCO,CA 94109				
NEAL L PETERSEN	SECRETARY/DIRECTOR 1 00	0	0	0
1660 BUSH STREET SUITE 300 SAN FRANCISCO,CA 94109				
CAL C HARLING	CHAIRMAN/DIRECTOR 1 00	0	0	0
1660 BUSH STREET SUITE 300 SAN FRANCISCO,CA 94109				
BARBARA A MCCLUNG	DIRECTOR 1 00	0	0	0
1660 BUSH STREET SUITE 300 SAN FRANCISCO,CA 94109				
MARY ANNE SCHUETT	DIRECTOR 1 00	0	0	0
1660 BUSH STREET SUITE 300 SAN FRANCISCO,CA 94109				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
FOUNDATION FOR A COLLEGE EDUCATION 2160 EUCLID AVE EAST PALO ALTO,CA 94303	N/A	509(A)(1)	GENERAL SUPPORT FOR THE COLLEGE BOUND AND COLLEGE SUCCESS PROGRAMS	25,000
HOLY NAMES UNIVERSITY 3500 MOUNTAIN BLVD OAKLAND,CA 94619	N/A	509(A)(1)	EARLY ADMIT PROGRAM, TARGETING AT RISK STUDENTS TO INCREASE THEIR COLLEGE GOING RATE	25,000
CAMINAR 2600 S EL CAMINO REAL SU 200 SAN MATEO,CA 94403	N/A	509(A)(1)	YAIL PROGRAM (YOUNG ADULT INDEPENDENT LIVING)	20,000
EQUAL OPPORTUNITY SCHOOLS 999 N NORTHLAKE WAY SUITE 268 SEATTLE,WA 98103	N/A	509(A)(1)	TO IDENTIFY MISSING STUDENTS AND HELP RE-SET THEIR TRAJECTORY OF SUCCESS THROUGH ENROLLMENT IN ADVANCED-LEVEL COURSES	50,000
FAMILY SERVICE AGENCY OF SAN FRANCISCO 1010 GOUGH STREET SAN FRANCISCO,CA 94109	N/A	509(A)(1)	BEAM PROGRAM (BIPOLAR DISORDER EARLY ASSESSMENT AND MANAGEMENT PROGRAM)	20,000
METAS 2600 MISSION BELL DRIVE SAN PABLO,CA 94806	N/A	509(A)(1)	GENERAL SUPPORT FOR THE COLLEGE PREPARATION AND SUCCESS PROGRAM	10,000
EDGEWOOD CENTER FOR CHILDREN AND FAMILIES 1801 VICENTE STREET SAN FRANCISCO,CA 94116	N/A	509(A)(1)	TRANSITION AGE YOUTH PROGRAM, PROVIDING INTENSIVE SERVICES TO YOUTH BETWEEN THE AGES OF 17-25	20,000
SAN FRANCISCO CONSERVATORY OF MUSIC 50 OAK STREET SAN FRANCISCO,CA 94102	N/A	509(A)(1)	SCHOLARSHIP PROGRAM	15,000
FRED FINCH YOUTH CENTER 3800 COOLIDGE AVE OAKLAND,CA 94602	N/A	509(A)(1)	COOLIDGE COURT TRANSITION AGE YOUTH PROGRAM, PROVIDING STABILITY FOR YOUNG ADULTS WITH MENTAL HEALTH DISABILITIES	20,000
<b>Total . . . . .</b>  <b>3a</b>				205,000



## TY 2013 Accounting Fees Schedule

**Name:** MARGOES FOUNDATION

**EIN:** 94-2955164

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	4,300	2,150		2,150

**TY 2013 Investments Corporate  
Bonds Schedule**

**Name:** MARGOES FOUNDATION  
**EIN:** 94-2955164

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BONDS-EXHIBIT 2	101,881	101,881

**TY 2013 Investments Corporate  
Stock Schedule**

**Name:** MARGOES FOUNDATION

**EIN:** 94-2955164

Name of Stock	End of Year Book Value	End of Year Fair Market Value
STOCK-EXHIBIT 2	55,525	55,525

**TY 2013 Investments Government  
Obligations Schedule****Name:** MARGOES FOUNDATION**EIN:** 94-2955164**US Government Securities - End of  
Year Book Value:** 73,334**US Government Securities - End of  
Year Fair Market Value:** 73,334**State & Local Government  
Securities - End of Year Book  
Value:** 0**State & Local Government  
Securities - End of Year Fair  
Market Value:** 0

**TY 2013 Investments - Other Schedule**

**Name:** MARGOES FOUNDATION

**EIN:** 94-2955164

Category / Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MUTUAL FUNDS-EXHIBIT 2	FMV	4,102,211	4,102,211

TY 2013 Other Decreases Schedule

**Name:** MARGOES FOUNDATION

**EIN:** 94-2955164

Description	Amount
UNREALIZED APPRECIATION - SECURITIES (PRIOR YEAR)	150,434

# TY 2013 Other Expenses Schedule

**Name:** MARGOES FOUNDATION

**EIN:** 94-2955164

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INSURANCE	1,693	0		1,693

TY 2013 Other Increases Schedule

**Name:** MARGOES FOUNDATION

**EIN:** 94-2955164

Description	Amount
UNREALIZED APPRECIATION - SECURITIES (TOTAL)	690,274



**TY 2013 Other Professional Fees Schedule****Name:** MARGOES FOUNDATION**EIN:** 94-2955164

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
UNION BANK INVESTMENT FEES	1,462	1,462		0
INVESTMENT ADVISORY FEES	32,452	32,452		0
PACIFIC FOUNDATION SERVICES	43,350	0		43,350

TY 2013 Taxes Schedule

**Name:** MARGOES FOUNDATION

**EIN:** 94-2955164

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CA FILING FEES	70	0		70

# **MARGOES FOUNDATION**

## ***ANNUAL REPORT*** ***FOR THE YEAR ENDED FEBRUARY 28, 2014***

### ***GENERAL INFORMATION*** ***CURRENT PROGRAM PRIORITIES*** ***GRANT PROPOSAL & REPORTING GUIDELINES*** ***GRANT AWARDS AND PAYMENTS***

#### **OFFICERS AND DIRECTORS**

Cal C. Harling  
Chairman & Director

Neal L. Petersen  
Secretary & Director

Douglas W. Johnson  
Chief Financial Officer & Director

Barbara A. McClung  
Director

Mary Anne Schuett  
Director

Patrick L. McClung  
Director-Emeritus

#### **ADVISORS**

Pacific Foundation Services, LLC  
Hector Melendez, Managing Director

**1660 BUSH STREET, SUITE 300, SAN FRANCISCO, CA 94109**

**(415) 561-6540 FAX: (415) 561-6477**

**E-MAIL: [hmelendez@pfs-llc.net](mailto:hmelendez@pfs-llc.net)**

## ***GENERAL INFORMATION***

The Margo Foundation was established in 1984 by the will of John A. Margo and operates as a private foundation incorporated under California law.

### ***GRANT PROGRAM OBJECTIVES***

John Margo envisioned that the foundation would be a resource and catalyst for supporting imaginative and creative program opportunities that enabled:

- Minority and other disadvantaged youth to obtain a college education.
- People with mental disabilities to live in non-institutional environments and lead productive lives.
- Students from Asia and Africa to study in the United States.
- Promising biomedical scientists to engage in cardiovascular research.

### ***ELIGIBLE APPLICANTS***

The foundation accepts grant requests only from tax-exempt, charitable organizations that are not defined as private foundations under Section 501(c)(3) of the Internal Revenue Code.

### ***GEOGRAPHICAL FOCUS***

The foundation prefers to fund eligible non-profit organizations located in the San Francisco Bay Area to facilitate interactions with grantees and oversight of the grant.

### ***SEED MONEY AND MATCHING GRANTS***

The foundation prefers to:

- Award grants as seed money to jump start new program initiatives that effect strategic change.
- Provide matching grants and leverage its support with contributions from other donors.

### ***TERM OF GRANT***

Grants are awarded for a one-year period and may be extended based on grantee performance and program effectiveness.

### ***PROGRAM LIMITATIONS***

The foundation does not:

- Accept requests from individuals or award loans, scholarships, or grants to individuals.
- Award grants for annual fund campaigns, fundraising events, operating deficits, endowments, sectarian religious activities or sectarian religious facilities.
- Assume any obligation to provide continuing support to grantees.

## ***CURRENT PROGRAM PRIORITIES***

### **PRIMARY PRIORITIES**

#### **1) FOR LOW-INCOME, MINORITY, AND DISADVANTAGED STUDENTS:**

The foundation places a high priority on funding college access and success programs that prepare low-income, minority, and other disadvantaged students to qualify for college and graduate with a degree.

##### ***COLLEGE ACCESS PROGRAMS***

- After-school and academically focused summer college access programs that help students improve competencies in secondary school subjects and better qualify for college admissions, including: tutoring, mentoring, computer literacy, supplemental math and science, arts, and music.

##### ***COLLEGE SCHOLARSHIP AND FINANCIAL AID PROGRAMS***

- Institutional college scholarship and financial aid programs, and student support programs for promising low-income, minority, and disadvantaged students.

#### **2) FOR PEOPLE WITH MENTAL ILLNESS:**

The foundation places a high priority on programs that help people with mental illness live in non-institutional environments and lead productive lives.

- Support to community-based programs that empower people with mental illness to live independently and lead productive lives.
- Support to programs that improve delivery of mental health services for young people turning 18 and transitioning from residential therapeutic care to independent living.

#### **3) PROGRAMS THAT INTEGRATE THE ABOVE-LISTED PRIMARY FOCUS AREAS:**

- Programs that assist mentally ill youth or young adults to attend or complete college.
- Programs that encourage low-income, first generation, and other underserved students to pursue an education in the fields of mental health or social work.

### **SECONDARY PRIORITIES**

#### **1) FOR FOREIGN STUDENTS FROM AFRICA AND ASIA:**

- To support supplementary scholarship programs for African and Asian nationals to study at a college or university in the United States. Students are expected to make a direct application of their training, to the extent possible, when they return to their home communities.

#### **2) FOR POST-DOCTORAL BIOMEDICAL SCIENTISTS:**

- To support institutions and associations engaged in cardiovascular research.

## ***GRANT PROPOSAL GUIDELINES***

The Margoes Foundation accepts and reviews grant proposals twice a year. Applicants should contact the foundation if they have questions about the current grantmaking priorities and application procedures.

The Foundation has launched a new online grants management portal where applicants can submit requests, file reports, and review their grant history online, in order to streamline the application process. Applicants should visit the foundation's website for full online application instructions.

### **Narrative Questions**

**The proposal narrative should answer the following questions:**

- Concise description of the organization, including relevant history, mission, geography and population(s) served, and type(s) of programs and/or services provided (limit 1,000 words).
- Concise description of the program/project (if applicable) including target population, need, purpose, specific goals/objectives, timeline, evaluation, and lead staff qualifications (limit 1,500 words).

### **Documents to Upload**

**The following documents must be uploaded when submitting a proposal online:**

- Organization budget detailing all proposed expenditures and projected sources of funding (required).
- Program/project budget (if applicable) detailing all proposed expenditures and projected sources of funding.
- A list of other funders (including amounts, whether single or multi-year, and whether pending or committed) for the program/project and for the organization as a whole.
- Financial statement showing actual revenue and expenses for the agency's most recently completed fiscal year (required).
- List of board members and their affiliations (required).

## ***GRANT REPORTING GUIDELINES***

All grantees are required to submit an online report at the completion of the grant period, as specified in the grant agreement.

### **Narrative Questions**

**The grant report narrative should answer the following questions:**

- What were the specific objectives of the funded project/program?
- In what specific ways were these objectives met?
- What objectives were not met and why?
- What future plans do you have for the funded project/program?
- With 20/20 hindsight, is there anything you might have done differently, or is there anything you learned that will change the program in the future?

### **Documents to Upload**

**The following documents must be uploaded when submitting a report online:**

- A financial statement for the project (or if not a project, then for the organization) that shows how the grant funds were spent. (You can annotate the financial statement if that is a convenient way to explain the expenditures to us). Please include any pertinent invoices when applicable.

## ***RENEWAL PROPOSAL GUIDELINES***

Grantees who wish to be considered for subsequent grant funding should submit a renewal request, which will fulfill the grant report requirements and serve as a new application for renewed funding.

### **Narrative Questions**

**The renewal proposal narrative should answer the following questions:**

- Briefly describe the programs offered, demographics, and numbers of participants served by the whole agency and by each program, including the program funds are being requested for.

- Are you requesting funding for the same program? If not, please describe the new program here.
- What are the objectives for this year's request? Are they different from last year's? How and why? If there are changes in the amount of the grant request for this round, please describe them here.

#### **Documents to Upload**

**The following documents must be uploaded when submitting a renewal proposal online:**

- Financial statement showing actual revenue and expenses for the agency's most recently completed fiscal year (required).
- Organization budget detailing all proposed expenditures and projected sources of funding (required).
- Program/project budget (if applicable) detailing all proposed expenditures and projected sources of funding.
- A list of other funders (including amounts, whether single or multi-year, and whether pending or committed) for the program/project and for the organization as a whole (required).



## Taxable Bonds

### US Government Issues

F N M A Partn Cert - 31371KXT3  
Pool #254590  
5 000 01/01/2018

10,595 470	107 1990	11,358 24	10,618 51	739 73	0 2	529 77	4 66
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F N M A Partn Cert - 31403FXJ8  
Pool #747781  
4 500 10/01/2018

16,933 840	106 9210	18,105 83	16,959 01	1,146 82	0 4	762 02	4 21
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MARGOES FOUNDATION  
FEIN 94-2955164



Period from February 1, 2014 to February 28, 2014

**ASSET DETAIL (continued)**

**Security Description**

	Shares/Face Amt	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Percent of Total Portfolio	Estimated Annual Income	Estimated Current Yield
G N M A Pass Thru Cert - 36212CUE9 Pool #529881 6 000 03/15/2031	22,666 340	111 9690	25,379 27	22,739 02	2,640 25	0 5	1,359 98	5 36
G N M A Pass Thru Cert - 36212R6Z6 Pool #541888 6 000 05/15/2031	5,131 210	112 0690	5,750 50	5,147 73	602 77	0 1	307 87	5 35
G N M A Pass Thru Cert - 36213UHY9 Pool #564647 6 000 06/15/2031	1,952 530	115 1140	2,247 64	1,958 83	288 81	0 0	117 15	5 21
G N M A Pass Thru Cert - 36213LNG1 Pool #557591 6 000 07/15/2031	2,823 910	111 9990	3,162 75	2,833 04	329 71	0 1	169 43	5 36
G N M A Pass Thru Cert - 36200RVF6 Pool #570414 6 000 10/15/2031	6,524 490	112 3390	7,329 55	6,545 67	783 88	0 1	391 47	5 34
<b>Total US Government Issues</b>			<b>\$73,333.78</b>	<b>\$66,801.81</b>	<b>\$6,531.97</b>	<b>1.5</b>	<b>\$3,637.69</b>	

**Corporate Issues**

Whirlpool Corp - 96332HCB3 Medium Term Note 8 600 05/01/2014	50,000 000	101 2480	50,624 00	54,957 50	-4,333 50	1 0	4,300 00	8 49
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Period from February 1, 2014 to February 28, 2014

**ASSET DETAIL (continued)**

**Security Description**

Shares/Face Amt	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Percent of Total Portfolio	Estimated Annual Income	Estimated Current Yield
Bellsouth Corp - 079860AG7 5 200 09/15/2014							
50,000 000	102 5130	51,256 50	50,988 00	268 50	1 0	2,600 00	5 07
<b>Total Corporate Issues</b>		<b>\$101,880.50</b>	<b>\$105,945.50</b>	<b>-\$4,065.00</b>	<b>2.0</b>	<b>\$6,900.00</b>	
<b>Total Taxable Bonds</b>		<b>\$175,214.28</b>	<b>\$172,747.31</b>	<b>\$2,466.97</b>	<b>3.5</b>	<b>\$10,537.69</b>	

**Stocks**

**Common Stocks**

Realty Income Corp - O							
1,250 000	44 4200	55,525 00	49,589 00	5,936 00	1 1	2,732 50	4 92
<b>Total Common Stocks</b>		<b>\$55,525.00</b>	<b>\$49,589.00</b>	<b>\$5,936.00</b>	<b>1.1</b>	<b>\$2,732.50</b>	

**Equity Funds**

Ishares Dow Jones Select Dividend - DVY Index Etf							
2,731 000	71 9900	196,604 69	147,450 98	49,153 71	3 9	5,969 97	3 04
Ishares Msci Eafe Growth Index Etf - EFG							
5,750 000	71 3600	410,320 00	378,058 58	32,261 42	8 1	7,641 75	1 86
Spdr S P Dividend Etf - SDY							
1,372 000	73 0600	100,238 32	71,834 47	28,403 85	2 0	2,250 08	2 24
Spdr S&p Biotech Etf - XBI							
513 000	163 7200	83,988 36	32,340 32	51,648 04	1 7	113 37	0 13

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MARGOES FOUNDATION  
FEIN 94-2955164



Period from February 1, 2014 to February 28, 2014

**ASSET DETAIL (continued)**

Security Description	Shares/Face Amt	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Percent of Total Portfolio	Estimated Annual Income	Estimated Current Yield
Vanguard Dividend Growth Fund IV - VDIGX #57	15,319 397	21 4200	328,141 48	232,211 93	95,929 55	6 5	5,882 65	1 79
Vanguard Ftse Emerging Markets Etf - VWO	5,577 000	38 8900	216,889 53	237,430 52	-20,540 99	4 3	6,274 13	2 89
Vanguard Growth Vipers Fund - VUG	3,359 000	95 2700	320,011 93	209,429 37	110,582 56	6 3	3,728 49	1 16
Vanguard International Explore Fund - VINEX #126	20,334 154	19 0800	387,975 66	350,000 00	37,975 66	7 7	8,540 34	2 20
Vanguard Mid Cap Growth Index Viper - VOT	3,020 000	94 1800	284,423 60	199,494 61	84,928 99	5 6	1,633 82	0 57
Vanguard Mid Cap Value Index - VOE	460 000	81 9100	37,678 60	22,221 70	15,456 90	0 7	554 30	1 47
Vanguard Reit Etf - VNQ	750 000	70 7300	53,047 50	49,747 43	3,300 07	1 1	2,093 25	3 95
Vanguard S&p 500 Growth Etf - VOOG	1,450 000	90 2700	130,891 50	100,868 24	30,023 26	2 6	1,873 40	1 43
Vanguard Small Cap Growth Etf - VBK	2,170 000	127 1100	275,828 70	184,841 43	90,987 27	5 5	1,688 26	0 61
Vanguard Small Cap Value Etf - VBR	222 000	99 3600	22,057 92	13,643 79	8,414 13	0 4	398 93	1 81
Vanguard Specialized Portfolios - VGPMX Gold & Precious Metals Portfolio #53	22,106 835	11 4400	252,902 19	391,996 55	-139,094 36	5 0	8,886 95	3 51
Vanguard Value Etf - VTV	400 000	76 4900	30,596 00	19,707 92	10,888 08	0 6	675 20	2 21



Period from February 1, 2014 to February 28, 2014

**ASSET DETAIL (continued)**

Security Description	Shares/Face Amt	Price	Market Value	Tax Cost	Unrealized Gain/Loss	Percent of Total Portfolio	Estimated Annual Income	Estimated Current Yield
Wisdom Tree International Dividend - DOO Ex-Financials Fund	11,394 000	48 9500	557,736 30	481,659 17	76,077 13	11 1	19,893 92	3 57
Wisdomtree Dividend Ex Fin Fund - DTN	2,993 000	68 8500	206,068 05	147,253 18	58,814 87	4 1	5,911 18	2 87
Wisdomtree EUR S/C Dividend Fund - DFE	3,300 000	62 6700	206,811 00	150,150 00	56,661 00	4 1	4,554 00	2 20
<b>Total Equity Funds</b>			<b>\$4,102,211.33</b>	<b>\$3,420,340.19</b>	<b>\$681,871.14</b>	<b>81.3</b>	<b>\$88,563.99</b>	
<b>Total Stocks</b>			<b>\$4,157,736.33</b>	<b>\$3,469,929.19</b>	<b>\$687,807.14</b>	<b>82.4</b>	<b>\$91,296.49</b>	