Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning 03-01 , 2013, and ending 02-28 , 2014

Name of foundation: CLARENCE H & ANNA E LUTZ FOUNDATION

A Employer Identification number: 57-0940342

Number and street (or P O box number if mail is not delivered to street address): P O BOX 147 | Room/suite

B Telephone number (see instructions): (803)329-4920

City or town, state or province, country, and ZIP or foreign postal code: CHESTER, SC 29706

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☒ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 6,243,912

J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received (attach schedule)				
	2	Check ▶ ☒ if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	65	65		
	4	Dividends and interest from securities	273,724	273,724		
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	57,292			
	b	Gross sales price for all assets on line 6a 405,686				
	7	Capital gain net income (from Part IV, line 2)		57,292		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	331,081	331,081		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	27,000	2,000		25,000
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule) STM107	17,500			17,500
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) STM110	5,537	5,537		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	1,077			1,077
	22	Printing and publications				
	23	Other expenses (attach schedule) STM103	4,146			4,146
	24	**Total operating and administrative expenses.** Add lines 13 through 23	55,260	7,537		47,723
	25	Contributions, gifts, grants paid	285,000			285,000
	26	**Total expenses and disbursements.** Add lines 24 and 25	340,260	7,537		332,723
	27	Subtract line 26 from line 12.				
	a	**Excess of revenue over expenses and disbursements**	(9,179)			
	b	**Net investment income** (if negative, enter -0-)		323,544		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Part II	Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	40,942	100,464	100,464
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less. allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S and state government obligations (attach schedule) STM136	30,199	199	199
	b Investments - corporate stock (attach schedule) STM137	3,318,245	3,389,901	4,676,090
	c Investments - corporate bonds (attach schedule) STM138	515,290	467,290	481,906
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) STM118	930,224	967,590	981,323
	14 Land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶ STM120)	3,930	3,930	3,930
	16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I)	4,838,830	4,929,374	6,243,912
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds	4,838,830	4,929,374	
	30 **Total net assets or fund balances** (see instructions)	4,838,830	4,929,374	
	31 **Total liabilities and net assets/fund balances** (see instructions)	4,838,830	4,929,374	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,838,830
2	Enter amount from Part I, line 27a	2	(9,179)
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	4,829,651
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	4,829,651

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P-Purchase D-Donation	(c) Date acquired (yr , mo , day)	(d) Date sold (yr , mo , day)
1a	See STM-134			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	405,686		348,394	57,292
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a				57,292
b				
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	57,292
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	270,000	5,774,994	0.046753
2011	240,000	5,572,362	0.04307
2010	193,700	5,156,517	0.037564
2009	286,784	4,402,658	0.065139
2008	315,000	4,986,116	0.063175

2 Total of line 1, column (d)	2	0.255701
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.05114
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	6,126,708
5 Multiply line 4 by line 3	5	313,320
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,235
7 Add lines 5 and 6	7	316,555
8 Enter qualifying distributions from Part XII, line 4	8	332,723

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	3,235
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	3,235
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	3,235
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 5,000		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	5,000
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	1,765
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 1,765 **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation. ▶ $ ______ **(2)** On foundation managers. ▶ $ ______			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ______			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ SC			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities (continued)

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ HTTP://WWW.LUTZFOUNDATION.ORG

14 The books are in care of ▶ DEWEY GUYTON Telephone no ▶ 803-329-4920

Located at ▶ P O BOX 147, CHESTER, SC ZIP+4 ▶ 29706

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**-Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		X
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		X
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address See 990 OFOV	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
DEWEY GUYTON P O BOX 147, CHESTER, SC 29706	PRESIDENT AND B 12	0	0	0
JOAN L GUYTON P O BOX 147, CHESTER, SC 29706	VP TREASURER 24	12,000	0	0
MARY S JOLLY P O BOX 147, CHESTER, SC 29706	VP TECHNOLOGY 10	5,000	0	0
SUSAN STEPHENSON P O BOX 147, CHESTER, SC 29706	VP PUBLIC RELAT 10	5,000	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions 3	
Total. Add lines 1 through 3 ▶	

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes:		
a	Average monthly fair market value of securities	1a	6,093,019
b	Average of monthly cash balances	1b	126,989
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	6,220,008
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	6,220,008
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	93,300
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,126,708
6	**Minimum investment return.** Enter 5% of line 5	6	306,335

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	306,335
2a	Tax on investment income for 2013 from Part VI, line 5	2a	3,235		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	3,235
3	Distributable amount before adjustments Subtract line 2c from line 1			3	303,100
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	303,100
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	303,100

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	332,723
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	332,723
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	3,235
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	329,488

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				303,100
2 Undistributed income, if any, as of the end of 2013.				
a Enter amount for 2012 only			279,830	
b Total for prior years: ______, ______, ______				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f **Total** of lines 3a through e				
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 332,723				
a Applied to 2012, but not more than line 2a			279,830	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				52,893
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				250,207
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter. (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter. (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2))

NONE,

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE,

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

990APP

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AMERICAN NATIONAL RED CROSS 200 PIEDMONT BLVD ROCK HILL, SC 29732			FAMILY EMERGENCY ASSISTANCE PROGRAM	15,000
BEAUTIFUL PLACES ALLIANCE 1205 PENDLETON ST ROOM 246 COLUMBIA, SC 29201			FISHING PIER/BOARDWALK AT CHESTER ST PARK	50,000
CATAWBA RIVERKEEPER FOUNDATION INC 421 MINUET LN SUITE 205 CHARLOTTE, NC 28217			CREEK - ENVIRONMENTAL EDUCATION PROG	5,000
CHESTER CO COMM ALCHOL DRUG ABUSE 130 HUDSON ST CHESTER, SC 29706			OUTPATIENT PROGRAM	6,000
CHESTER COUNTY ANIMAL SHELTER 2714 DAWSON DR CHESTER, SC 29706			ANIMAL FOOD SUPPLIES AND VET EXPENSES	15,000
CHESTER COUNTY HISTORICAL SOCIETY P O BOX 811 CHESTER, SC 29706			REPLACING DISPLAY CASES PROJECT	5,000
CHESTER CO BROOKLYN CENTER PROJECT 2422 POWELL RD SHARON, SC 29742			RESTORE AND UPGRADE BROOKLYN ELEMENTARY SCHOOL	10,000
CHESTER LIONS CLUB 1817 KNOLL DR EDGEMOOR, SC 29712			SIGHT PRESERVATION FOR UNDERPRIVILEDGED	8,000
Total . . . . ▶ 3a				
b Approved for future payment				
Total . . . . ▶ 3b				

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CHESTER FREEDOM MINISTRIES 729 VILLAGE DR CHESTER, SC 29706			SUMMER COMMUNITY CELEBRATION AND FALL MINISTRY	15,000
CHILDRENS ATTENTION HOME INC P O BOX 2912 ROCK HILL, SC 29732			SHELTER PROGRAM - FOOD AND CLOTHING	15,000
CHRIST CENTRAL MINISTRIES INC 2014 MAIN ST COLUMBIA, SC 29201			MULTIPUR FACILITY EDUCATION AND JOB SKILLS	11,500
CLEMSON UNIVERSITY 300 BRACKETT HALL BOX 345702 CLEMSON, SC 29634-5702			SCIENCE ON THE MOVE II PROGRAM	25,000
CUMBERLAND COLLEGE MOUTAIN OUTREACH 6191 COLLEGE STATION DR WILLIAMSBURG, KY 40769			MOUNTAIN OUTREACH PROGRAM - NEEDY FAMILIES	15,000
EDUCATIONAL FOUND USC LANCASTER INC P O BOX 889 LANCASTER, SC 29721			USC LANCASTER NURSING SIMULATION LAB	25,000
HOSPICE OF ROCK HILL CARO COMM CARE P O BOX 993 ROCK HILL, SC 29731			COSTS FOR CARING FOR HOSPICE PATIENTS	30,000
MARINE TOYS FOR TOTS FOUNDATIONS 18251 QUANTICO GATEWAY DR TRIANGLE, VA 22172			CHESTER CO TOYS FOR TOTS 2013 CAMPAIGN	4,000
Total . . . . . ▶ 3a				
b Approved for future payment				
Total . . . . . ▶ 3b				

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
CHESTER COUNTY YMCA UPPER PALMETTO 323 OAKLAND AVE ROCK HILL, SC 29730			FITNESS ON REQUEST PROGRAM EXERCISE SYSTEM	25,000
WESTMINSTER CATAWBA CHRISTIAN SCHOO 2650 INDIA HOOK RD ROCK HILL, SC 29732			WESTMINSTER CAMPUS SIDEWALK EXPANSION	5,500
Total ▶ 3a				285,000
b Approved for future payment				
Total ▶ 3b				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income (See instructions)
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					65
4 Dividends and interest from securities					273,274
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					57,292
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)					330,631

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 330,631

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
3	INTEREST USED TO FUND GRANTS TO PUBLIC CHARITIES
4	DIVIDENDS USED TO FUND GRANTS TO PUBLIC CHARITIES
8	GAINS USED TO FUND GRANTS TO PUBLIC CHARITIES

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of.			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions.			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Bruce G. Dutton | 7/11/2014 | President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see inst)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
Robert H Breakfield		07-10-2014		

Firm's name ▶ Robert H Breakfield — Firm's EIN ▶

Firm's address ▶ PO Box 36061 ROCK HILL SC 29732 — Phone no 803-329-4920

List of Officers, Directors, Trustees, and Key Employees

1 List all officers, directors, trustees, and key employees for the year even if they were not compensated.

(a) Name and title	(b) Average hours per week devoted to position	(c) Reportable compensation (Form W-2/1099-MISC) (If not paid, enter -0-)	(d) Health benefits, contributions to employee benefit plans, and deferred compensation	(e) Estimated amount of other compensation
SHEILA B BISHOP ASST VP RECORDI P O BOX 147, CHESTER, SC 29706	20	5,000	0	0

Name(s) as shown on return: CLARENCE H & ANNA E LUTZ FOUNDATION

Your Social Security Number: 57-0940342

FORM 990PF, PART XV, LINE 2
APPLICATION SUBMISSION INFORMATION

GRANT PROGRAM
CLARENCE E & ANNA LU

APPLICANT NAME
JOAN GUYTON

ADDRESS
P O BOX 147
CHESTER, SC 29706

TELEPHONE
803-581-3743

EMAIL ADDRESS

FORM & CONTENT
SEE ATTACHED GRANT GUIDELINES AND APPLICATIONS

SUBMISSION DEADLINE
SEP 30TH 5 PM

RESTRICTIONS ON AWARD
NO

Name(s) as shown on return: CLARENCE H & ANNA E LUTZ FOUNDATION

FEIN: 57-0940342

FORM 990PF, PART II, LINE 13
INVESTMENTS: OTHER SCHEDULE

STATEMENT #118

CATEGORY	BOOK VALUE (BOY)	BOOK VALUE (EOY)	FMV (EOY)
MUTUAL FUNDS	930,224	967,590	981,323
TOTAL	930,224	967,590	981,323

PG 01

FORM 990PF, PART II, LINE 15
OTHER ASSETS SCHEDULE

STATEMENT #120

DESCRIPTION	BOY BOOK	EOY BOOK	FMV
COMPUTERS	3,930	3,930	3,930
TOTAL	3,930	3,930	3,930

PG 01

FORM 990PF, PART II, LINE 10(A)
INVESTMENTS: U.S. GOVERNMENT OBLIGATION SCHEDULE

STATEMENT #136

CATEGORY	BOOK VALUE (BOY)	BOOK VALUE (EOY)	FMV (EOY)
US GOVERNMENT OBLIGATIONS	30,199	199	199
TOTALS	30,199	199	199

Name(s) as shown on return: CLARENCE H & ANNA E LUTZ FOUNDATION

FEIN: 57-0940342

FORM 990PF, PART II, LINE 10(B) STATEMENT #137

INVESTMENTS: CORPORATE STOCK SCHEDULE

CATEGORY	BOY	BOOK VALUE	EOY FMV
2500 AT&T INC COM	65,407	65,407	79,825
3000 RBS CAP (WAS ABN AMRO)	75,763	75,763	67,500
4800 AEGON NV PERP CAP SECS	118,437	118,437	120,024
1500 ABBOTT LABS	26,455	43,520	59,670
600 BANK OF AMERICA	29,310	29,310	9,918
1500 ABBVIE INC	26,455	47,768	76,365
2800 GENERAL ELECTRIC	78,006	78,006	71,316
3840 FRONTIER COMM CORP	39,226	39,226	18,739
500 REALTY INCOME CORP	12,500	12,500	12,505
2000 CSX	46,863	46,863	55,420
1000 BB&T	21,964	21,964	37,800
1000 BRISTOL MYERS SQUIBB CO	26,249	26,249	53,770
500 PHILLIPS 66			37,430
5584 DUKE ENERGY	97,509	92,559	395,794
1800 DUPONT EI DE NEMOURS	71,551	71,551	119,916
1500 FEDERAL INVEST TRUST	34,878	34,878	166,965
1800 FEDERAL NATL MORTGAGE	62,153	62,153	36,000
2300 GALLAGHER ARTHUR	82,643	70,400	106,260
4000 JP MORGAN CHASE & CO	45,851		
142 MOTOROLA	2,868	25,868	9,400
2000 OLIN CORP	44,063	44,063	52,380
2500 JP MORGAN CHASE	97,257	59,517	54,125
1000 CONOCO PHILLIPS	17,266	17,266	66,500
1000 PIEDMONT NATURAL GAS	33,188	33,188	33,820
400 PNC FINL SVCS GROUP INC	16,244	16,244	32,712
3000 PFIZER	96,585	109,050	96,330
2298 SAULS CENTERS	58,069	46,014	98,545
3500 SCANA CORP NEW	95,947	95,947	173,250
5000 SOUTHERN CO	113,269	113,269	211,750
2500 TECO	67,829	67,829	41,950
2500 SPECTRA	66,724	66,724	93,200
2000 US BANCORP		51,189	43,940
650 ARES		10,309	11,720
4594 REGIONS FINL CORP NEW COM	150,533	150,533	48,880
300 THORNBURG MTGE	8,867	8,867	
12837 WASHINGTON REAL ESTATE	252,452	252,452	322,851
1500 ALLSTATE		37,059	34,725
3242 UDR INC COMM	51,970	51,970	83,676
3500 VERIZON COMMUNICATIONS	114,125	114,125	166,530
3000 XCEL ENERGY	68,147	68,147	90,870
774 WASH GAS LT	51,708	51,708	75,392
550 VIRGINIA ELEC & PWR $4.20	31,200	31,200	53,745
60 VIRGINIA ELEC & PWR $4.80	3,264	3,264	5,400
200 VIRGINIA ELEC & PWR $5	12,894	12,894	21,294
157 VIRGINIA ELEC & PWR $4.14	9,070	9,070	14,586

Federal Supporting Statements

2013 PG 02

Name(s) as shown on return: CLARENCE H & ANNA E LUTZ FOUNDATION

FEIN: 57-0940342

FORM 990PF, PART II, LINE 10(B) STATEMENT #137

INVESTMENTS: CORPORATE STOCK SCHEDULE

CATEGORY	BOY	BOOK VALUE	EOY FMV
1000 WGL HOLDINGS INC COM	25,063	25,063	40,190
1449 WASH GAS LT PFD $4.25	87,346	87,346	133,091
1432 WASH GAS LT CO PFD $4.80	94,434	94,434	144,632
2500 AMERICAN ELECT POWER	109,290	109,290	125,500
1500 HOSPITALITY PP TRUST	70,483	70,483	39,750
2000 BANK OF AMERICA	13,800		
2000 SYSCO CORP	59,111	59,111	72,040
5000 WINDSTREAM	68,925	68,925	40,100
1400 COCA COLA	31,890	31,890	53,480
1500 ROYAL BANK SCOTLAND	36,475	36,475	33,390
600 CONAGRA FOODS		19,243	17,040
3000 MONDELEZ (KRAFT)	104,679	104,679	102,090
1300 KRAFT		15,587	71,851
3600 GENERAL MILLS	94,464	94,464	180,108
1000 PACKAGING CORP	26,805	17,870	72,890
2100 WASTE MANAGEMENT	70,721	70,721	87,150
TOTALS	3,318,245	3,389,901	4,676,090

Name(s) as shown on return: CLARENCE H & ANNA E LUTZ FOUNDATION

FEIN: 57-0940342

FORM 990PF, PART II, LINE 10(C)

STATEMENT #138

INVESTMENTS: CORPORATE BOND SCHEDULE

CATEGORY	BOY	BOOK VALUE	EOY FMV
$50000 BORDEN INC 7.85%	50,000	50,000	44,500
$65000 CBS INC 7.125%	65,000	65,000	75,147
$30000 GOLDMAN SACHS 5.15%		30,000	29,973
$30000 GOLDMAN SACHS 4.25%		30,000	29,434
$25000 GOLDMAN SACHS 4.0%		25,000	23,905
$30000 DOW CHEMICAL		30,000	28,587
$30000 GENERAL ELECT CAP CORP	30,290	30,290	36,494
$20000 FORD MTR DEL DEB 6.5%	20,000	20,000	23,307
$20000 FORD MTR DEL GLOBAL LAN	20,000	20,000	25,705
$20000 GENL MTRS ACCEP 6.0%	20,000		
$38000 GENL MTRS ACCEP 7.0%	38,000		
$25000 GENL MTRS ACCEP 5.9%	25,000		
$30000 PRUDENTIAL FINL INC	30,000		
$25000 AVON PRODS INC	25,000	25,000	27,704
$30000 LEHMAN BROS HLDGS INC	30,000	30,000	6,900
$25000 BELLSOUTH CORP GLOBAL	25,000	25,000	28,886
$30000 WALMART STORES 7.25%	30,000		
$20000 PHILLIPS ELECTR 7.25%	20,000		
$47000 WESTINGHOUSE 7.875%	47,000	47,000	57,070
$40000 SBC COMMUNICATIONS INC	40,000	40,000	44,294
TOTALS	515,290	467,290	481,906

Federal Supporting Statements

Name(s) as shown on return: CLARENCE H & ANNA E LUTZ FOUNDATION

Your Social Security Number: 57-0940342

FORM 990PF, PART I, LINE 23 - OTHER EXPENSES SCHEDULE

STATEMENT #103

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
SAFETY DEPOSIT BOX RENTAL	90	0	0	90
INVESTMENT FEES	40	0	0	40
OFFICE SUPPLY AND EXPENSE	392	0	0	392
DUES AND MEMBERSHIPS	1,070	0	0	1,070
DIRECTORS INSURANCE	750	0	0	750
PHONE AND INTERNET EXPENSE	1,305	0	0	1,305
POSTAGE AND COPIES	499	0	0	499
TOTALS	4,146	0	0	4,146

FORM 990PF, PART I, LINE 16(A) - LEGAL FEES SCHEDULE

STATEMENT #107

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
ROBERT H BREAKFIELD	17,500	0	0	17,500
TOTALS	17,500	0	0	17,500

Federal Supporting Statements

Name(s) as shown on return: CLARENCE H & ANNA E LUTZ FOUNDATION

Your Social Security Number: 57-0940342

FORM 990PF, PART I, LINE 18 - TAXES SCHEDULE

STATEMENT #110

DESCRIPTION	REVENUE AND EXPENSES	NET INVESTMENT	ADJUSTED NET INCOME	CHARITABLE PURPOSE
FEDERAL INCOME TAX	5,537	5,537	0	0
TOTALS	5,537	5,537	0	0

STATEMENT #134

FORM 990-PF, PART IV, CAPITAL GAINS AND LOSSES INFORMATION (OVERFLOW)

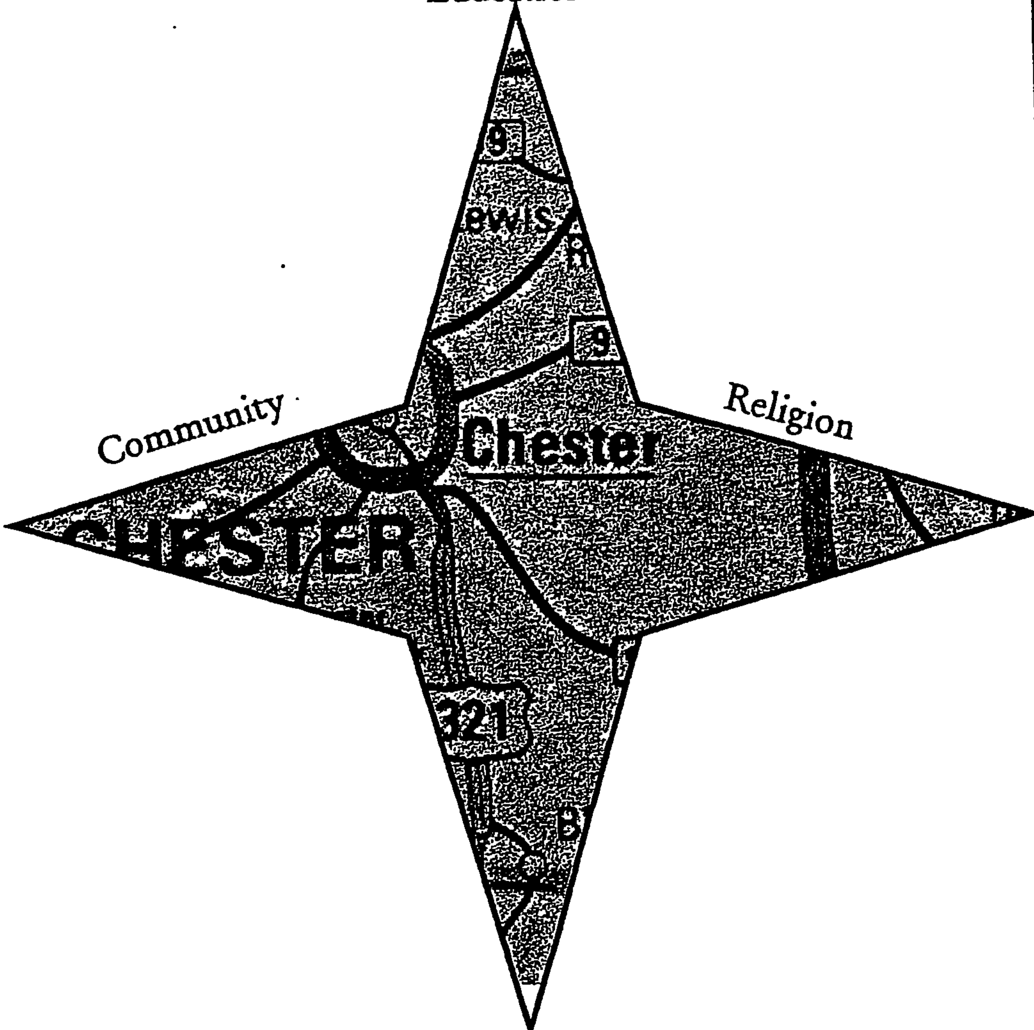
DESCRIPTION	P-PURCHASE D-DONATION	DATE ACQUIRED	DATE SOLD	SALES PRICE	DEPRECIATION	COST OR OTHER BASIS	GAIN OR LOSS	GAINS MINUS EXCESS OR LOSSES
PHILLIPS ELECTRIC	P	1995-06-27	2013-08-15	20,000		20,000		
WALMART STORES	P	1994-08-11	2013-06-03	30,000		30,000		
GENERAL MOTORS	P	2004-01-22	2013-07-15	25,000		25,000		
GENERAL MOTORS	P	2004-03-11	2013-09-16	20,000		20,000		
GENERAL MOTORS	P	2003-05-08	2013-11-15	38,000		38,000		
PRUDENTIAL FINANCIAL	P	2008-04-08	2013-04-15	30,000		30,000		
602SH SAUL CENTERS	P	VARIOUS	2013-03-04	15,050		12,054	2,996	2,996
FEDERAL HOME LOAN MTG	P	2009-05-11	2013-05-15	30,000		30,000		
500SH PACKAGING CORP AMER	P	VARIOUS	2013-10-18	30,095		8,935	21,160	21,160
400 SH GALLAGER ARTHUR	P	VARIOUS	2013-08-06	17,541		12,243	5,298	5,298
4000SH JP MORGAN	P	VARIOUS	2013-05-08	100,000		108,362	(8,362)	(8,362)
2000SH BANK OF AMERICA	P	2009-01-02	2013-06-24	50,000		13,800	36,200	36,200
TOTAL				405,686		348,394	57,292	57,292

The Clarence H. and Anna Elizabeth Lutz Foundation

Education

Community

Religion



Health & Welfare

Assisting Chester County and the Surrounding Counties with Funding for Education, Community, Health & Welfare and Religion

The Herbert and Anna Lutz Foundation

Chester, South Carolina

Grant Application

1. ***Name and Federal Tax I. D. of Organization*** ***(as it appears on 501 c (3) letter):***
   ____
   ____
2. ***Name and title of Chief Executive Officer:*** ____
   ____
   Address: ____
   Phone: ____________________________ ***Email:*** ____________________________________
3. ***Name of program for which grant is requested:*** _____________________________________
   ____
   ____
4. ***Contact person for this program or person who will be responsible for executing the grant award:*** ____________________ ____
   ____
   Address (if different from above): ____
   Phone: ____________________ ***Email:*** ____
5. ***Project Summary:(Please provide a summary of project using no more than fifty words):***
   ____
   ____
   ____
   ____
   ____
6. ***How will this project benefit people in the community, county, area?*** ____________________
   ____
   ____
   When is the project scheduled to begin? ____
   To end? ____
7. ***Amount of grant requested: $***____
   THE FOUNDATION RESERVES THE RIGHT TO TERMINATE FUNDING OR REQUEST GRANT FUNDS TO BE RETURNED IF SATISFACTORY PROGRESS HAS NOT BEEN MADE OR APPLICATION GUIDELINES HAVE NOT BEEN FOLLOWED.

   THE COMPLETION DEADLINE FOR ALL GRANT EXPENDTURES IS SEPTEMBER 30TH WITHOUT EXCEPTION.

***THE ORGANIZATION NAMED IN ITEM 1 ABOVE WILL ACT AS THE RESPONSIBLE FISCAL AGENT FOR ANY FUNDS WHICH MIGHT BE RECEIVED.

The Herbert and Anna Lutz Foundation

Chester, South Carolina

Grant Application

Continued

Legal and Tax Exempt Status

1. ***Date and State of Incorporation:***____
____

2. ***Are you a tax-exempt public charity? YES_______ NO ______***

3. ***Are you a government entity or subdivision? YES __________ NO ________***

Please attach (IF YOU ARE A CHARITY) copies of latest IRS ruling letters showing that the organization is tax-exempt, indicating specific classification, and that the organization is not classified as a private foundation within the meaning of Section 509 of the Internal Revenue Code. If the organization is exempt as a local unit of a national organization, please attach certification of membership.
In signing this grant application, the applicant pledges that any change or potential change in tax status will immediately be communicated to the Lutz Foundation.

Financial Information

1. ***Your Fiscal Year beginning and ending dates:***______________:_________________________
____

2. ***Total fixed assets(market value)of your organization: $***_____________________________

3. ***TOTAL savings and investments-market value: $***_______________________________

4. ***Audit information for your current fiscal year must be included.***

5. ***Total budget for the proposed program to be funded(Please attach full budget):***_______________
____

****************Note: THIS SECTION MUST BE COMPLETED**********************************

6. ***The Lutz Foundation WILL NOT be the sole source of funding for your project. You must list sources or other funds which have been received for this grant project.***

Funds on Hand as of the date of your application!!!

____
____
____
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The Herbert and Anna Lutz Foundation

Chester, South Carolina

Grant Application

Continued

7. ***Explain any required regulator approval needed to carry out this program:*** ________________

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Approval of Board Chairman or Executive Officer

- *By applying for grant consideration and as part of our internal audit procedure, we will visit your organization on or before completion of your project or program. The deadline to complete projects or programs is September 30th of each year. Any delays in the program or project must be reported to the Foundation **immediately** in writing only.*
- *All grant recipients must submit in writing an accounting of how grant money is expended on or before September 30th of the year the award is received. This accounting must be available before or during the final site visit. All financial information must be signed by the Chief Executive Officer of your organization.*
- ***Applications not submitted in duplicate, without supporting documentation and/or received (not postmarked) AFTER the November 30, 5:00 P.M. deadline will not be considered and will be notified accordingly.***

I approve submission of this grant application to the Clarence H. and Anna Elizabeth Lutz Foundation. I hereby certify that the Applicant/Organization does not discriminate on the basis of race, color, sex or national origin.

_______________________________ ______________________

Signature and Title ***Date***

Lutz Foundation Information

Mailing Address: P. O. Box 147 Chester, SC 29706
Contact: Foundation Phone 803-385-5357
Dewey or Joan Guyton: 803-581-3743
Fax: 803-385-6666
Website: www.lutzfoundation.org
MJolley@Lutzfoundation.org or JGuyton@Lutzfoundation.org

Fiscal Year: __________ - __________

Revised June 2014

DISC GOLF

Turn And Fade

By Bill Henson

Chester State Park

One of the problems in disc golf course design is that it favors skilled players and tends to largely ignore the average player. It's difficult to design a course that meets the needs of all skill levels, and it increases installation expense. But the new course at Chester State Park (CSP) proves that the task can be accomplished, and it can be done well.

Russell Schwarz at Innova has designed an excellent course that is a model for parks of all levels. This course can handle every player from child-aged beginner to top pro. And to that end, it does it far better than many course installations in this region.

The state park is located just to the southwest outside of Chester, South Carolina, on Highways 72 & 121 (West End Road). It takes about 30–45 minutes from most areas of York County, depending upon where you start from, your choice of roads, and how you drive. It's an easy drive, nonetheless.

The park opens at 9:00am and closes at 6:00pm (during Daylight Savings, it stays open until 9:00pm). On the main road into the park is a self-pay station in the middle of the road, near the park office. You pay there and obtain a permit to hang from your rearview mirror. It's an inexpensive per person fee — $2 for adults, $1.25 for seniors, and free for ages 15 or younger. That is the only cost for playing disc golf or for using most park amenities without camping or reserving facilities.

To reach the disc golf course, follow the main road through the entire park until you come to the large loop at the end, facing the lake. The disc golf parking lot is on your right about three-quarters of the way around the loop. Picnic shelters, restrooms, and additional parking are abundant in the loop as well, and there is a large open area to practice longer throws for warm-up. The disc golf course was constructed with a gift from the Clarence H. and Anna E. Lutz Foundation in Chester, SC.

This is an excellent state park in first-rate condition. It offers trailer camping sites with electricity and water, primitive camping, picnic shelters, numerous bathrooms, a playground, and a great lake for fishing. You can check park facilities at *wwww.southcarolinaparks.com/chester* and find information on park activities and additional usage fees. It's perfect for a disc golf weekend.

As you come into the small disc golf parking lot, you'll see a kiosk behind the parking. This has a large, detailed course map, and there are two courses shown — Burgundy (9 holes) and Green (18 holes). You'll also see the baskets for holes 9 and 18 on the Green course coming out of the woods. The tee for hole 1 of the Green course is to your right, and the tee for #10 is to the left of the #9 basket. To reach the Burgundy course, take the path in the woods to the left of the parking lot beside the loop road.

At the kiosk is where you make an important decision — how much trouble do you want to encounter today? The design and the layout of the CSP courses allow a disc golfer to determine the level of difficulty and dial it in fairly accurately. Each set of tees appropriately increases the difficulty levels. The total between both courses is 27 full holes that are all tournament-qualified.

LUTZ FOUNDATION 2013-2014 (GRANTS)

American National Red Cross & Its Constituent Chapters & Branches Stephanie White – 803/329-6575 or 803/581-4820	**$15,000.00**
Beautiful Places Alliances/SC Parks and Recreation Lou Fontana – 803-734-1368 and John Wells – 803-385-2680	**$50,000.00**
Catawba Riverkeeper Foundation, Inc. Richard Gaskins, Jr. – 704-679-9494 (C) 704-408-3487 Diane -704-942-4010	**$ 5,000.00**
Chester County Commission on Alcohol/Drug Abuse Maria Bates – 803-377-8111	**$ 6,000.00**
Chester County Animal Shelter Mary Anne Tolbert – 803-385-6341 (C) 803-444-0541	**$15,000.00**
Chester Historical Society Bill Marion – 803-385-2332/803-385-2110 Elizabeth Anderson – 803-519-7972	**$ 5,000.00**
Chester County Brooklyn Center Project Billy Powell -864-545-6370 Donnie Wade – 803-209-6234	**$10,000.00**
Chester Lions Club Jim Bennett – 803-385-5102	**$ 8,000.00**
Chester Freedom Ministeries Steve Bishop – 803-377-7149	**$15,000.00**
Children's Attention Home, Inc. Philip Hynes – 803-328-8871 (C) 803-819-7580	**$15,000.00**
Christ Central Ministries Kathi Gaddy – 803-519-7809	**$11,500.00**
Clemson University Robin Currence-803 -209-0538	**$25,000.00**
Cumberland College Mountain Outreach Program Marc Hensley – 606-539-4346	**$15,000.00**
Educational Foundation of USC Lancaster, Inc. Sherri C. Gregory – 803-313-7080 (C) 803-804-9428	**$25,000.00**
Hospice of Rock Hill Carroll C. Spires – 803/329-1500	**$30,000.00**
Marine Toys for Tots Foundation Nicholas Bobersky – 803-581-1272	**$ 4,000.00**
Upper Palmetto YMCA Chris Huskey – 803-581-9622	**$25,000.00**
Westminster Catawba Christian School Sandi Jolly – 803-366-1810/803-984-6286/ 803-222-2103 (c)	**$5,500.00**
Total	**$285,000.00**

LUTZ FOUNDATION
2013-2014 Grants

1.

AMERICAN NATIONAL RED CROSS & ITS CONSTIUENT CHAPTERS & BRANCHES ***$15,000.00***

EIN # (53-0196605)
CEO: Stephanie W. White, Executive Director **Ph.#** - 803/329-6575
Address: Upper Palmetto Chapter, 200 Piedmont Blvd., Rock Hill, SC 29732
Email: stephanie.white2@redcross.org
Contact Person: Same **Address:** Same
Ph.# - 803/581-4820 **Email:**
PROJECT: Family Emergency Assistance Program. This program assists Chester County residents with temporary lodging, food, clothing, life sustaining medications; comfort kits, and provide referrals to partner agencies.
Amount Requested: $20,000.00 **Total Budget: $57,300.00**
Funds Received: Omnova - $10,000.00 2014 Heroes - $17,000.00
Beginning Date: Immediately **End Date:** September 30, 2014

2.

BEAUTIFUL PLACES ALLIANCE ***$50,000.00***

EIN # (57-1089180)
CEO: Amy Duffy, President **Ph.#** - 803/734-0166
Address: 1205 Pendleton Street, Room 246, Columbia, SC 29201
Email: aduffy@scprt.com
Contact Person: Lou Fontana/John Wells **Address:** Same
Ph.# - Lou Fontana – 803-734-1368; John Wells – 803-385-2680 **Email:** Lou Fontana - LFONTANA@SCPRT.C0M John Wells – JWELLS@SCPRT.COM
PROJECT: Fishing Pier/Boardwalk at Chester State Park. Build a Pier/Boardwalk to connect the main part of the park to the other side of the lake. This would allow a new trail system on the other side of the lake.
Amount Requested: $50,000.00 **Total Budget: $116,198.00**
Funds Received: SC Park Service - $16,000.00 upon completion of project.
Beginning Date: Spring 2014 **End Date:** December 2014

3.

CATAWBA RIVERKEEPER FOUNDATION INC. ***$5,000.00***

EIN # – (56-2034780)
CEO: Richard C. Gaskins, Jr. Executive Director **Ph.#** - 704/679-9494
Address: 421 Minuet Lane, Suite 205, Charlotte, NC 28217
Email: rick@catawbariverkeeper.org
Contact Person: Same as above **Address:** Same as above

PROJECT: CREEK (Catawba Riverkeeper Environmental Education and Kayaking) Program. The program will teach basic water safety skills, how to kayak in flat water, to provide opportunity for youth to practice hands-on water sampling, and provide extra curricular opportunity for youth to learn about the Catawba River, it importance, its threats, and how to protect it.
Amount Requested: $5000.00 **Total Budget:** $7,375.00
Funds Received:
Beginning Date: March 1, 2014 **End Date:** July 31, 2014

4.

CHESTER COUNTY COMMISSION ON ALCOHOL AND DRUG ABUSE

EIN # (57-0610928) ***$6,000.00***
CEO: Maria Bates, Executive Director **Address:** 130 Hudson Street, Chester, SC 29706
Ph.# - 803/377-8111 **Email:** maria@hazelpittman.org
Contact Person: Same **Address: Same**
PROJECT: Enchantments for Hazel Pittman Center Outpatient Programs. Purchase new desks, flooring for kitchen and bathroom, pay half the cost of a website, print organizational materials for example: new business cards, letterhead brochures, and identification badges for all staff.
Amount Requested: $5850.00 **Total Budget:** $7200.00
Funds Received: $1,250.00 from agency's FY13 general operating budget.
Beginning Date: November 2013 **End Date:** April 2014

5.

CHESTER COUNTY ANIMAL SHELTER ***$15,000.00***

EIN # (57-600331)
CEO: Major Mary Anne Tolbert – Shelter Director
Address: 2714 Dawson Drive, Chester SC 29706
Ph.# - 803-385-6341
Email: mtolbert@chesterso.com
Contact Person: Same
Address: same
Ph.# - **Cell:** 803-444-0541 **Email:** same
PROJECT: Spay & Neutering, medicines, food, and supplies for animals and adoptive materials.
Amount Requested: $120,000.00 **Total Budget:** $145,106.00
Funds Received: $12,756.87
Beginning Date: ASAP **End Date:** 1 year

6.

CHESTER COUNTY HISTORICAL SOCIETY ***$5,000.00***

EIN # (57-0626537)
CEO: Bill Marion **Address:** P. O. Box 811, Chester, SC 29706
Ph.# - 803-385-2332/803-385-2110 **Email:** ccmuseum@truvista.net or wldm@truvista.net
Contact Person: Elizabeth S. Anderson **Address**: same
Ph.# 803-519-7972 **Email:** same
PROJECT: Replacing display cases program.
Amount Requested: $3800.00 **Total Budget:** $8,000.00
Funds Received: $26,854.76 total of all accounts. Operating Account: $8,001.84 which includes Omnova, Duke, and Membership & Donations. Payroll Account: $18,852.76- includes ATAX, book sales, and video sales.
Beginning Date: January 2014 **End Date:** Spring 2014

7.

CHESTER COUNTY BROOKLYN CENTER PROJECT *$10,000.00*

EIN #
CEO: Billy J. Powell, President
Address: 2422 Powell Road, Sharon, SC 29742
Ph.# - 864-545-6370
Email: allmifaith@yahoo.com
Contact Person: Billy J. Powell **Address:** same
Ph.# same **Email:** same
PROJECT: Brooklyn Center Project. Restoring and upgrading of the Old Brooklyn Elementary School and grounds.
Amount Requested: $15,000.00 **Total Budget:**
Funds Received: Savings Account - $400.00 ($300.000 is earmarked for the project.)
Beginning Date: Spring 2014 **End Date:** Fall 2014

8.

CHESTER LIONS CLUB *$ 8,000.00*

EIN # (36-1263962)
CEO: W. Penn Colvin, President Ph.# - 803/789-5247 Address: 1817 Knoll Drive, Edgemoor, SC 29712 **Email:**
Contact Person: Jim Bennett, Treasurer **Address:** P. O. Box 579, Chester, SC 29706
PH.# - 803/385-5102 **Email:** jim.bennett@sprattsavingsand loan.com
PROJECT: Sight Preservation For Underprivileged In Chester County – applications received from clients and reviewed. Upon approval, the person is referred to Dr. Marion or Dr. Jacobs, based on their choice. Payment is made directly to doctors.
Amount Requested: $10,000.00 **Total Budget:**
Funds Received:
Beginning Date: Immediately **End Date:** When funds are exhausted

9.

CHESTER FREEDOM MINISTERIES *$15,000.00*

EIN (57-0479313)
CEO: Steve Bishop, Senior Pastor **Ph. #** - 803/377-7149
Address: 729 Village Drive, Chester, SC 29706 **Email:** chesterscond@truvista.net
Contact Person: Steve Bishop **Address:** Same **PH.#** Same
Email: stevebishop@truvista.net
PROJECT: Summer Community Celebration and Fall Ministry Festival.
Amount Requested: $11,480.00 **Total Budget:** $11,480.00
Funds Received: No funds on hand but will solicit gifts and donations to help underwrite the cost of these events.
Beginning Date: June 2014 **End Date:** December 24, 2014

10.

CHILDREN'S ATTENTION HOME INC. ***$15,000.00***

EIN# (57-0527092)
CEO: Phillip D. Hynes, Executive Director
Address: P. O. Box 2912, 1149 Edgemont Avenue, Rock Hill, SC 29732
Ph. # - 803/328-8871 Cell #: 803-819-7580 **Email:** phynes@attentionhome.org
Contact Person: Same
PROJECT: Shelter Program (Food & clothing) The grant would provide food, clothing and shelter to children who are abused, abandoned and neglected from South Carolina.
Amount Requested: $5,000.00 **Total Budget:** $92,124.09
Funds Received: Chester Healthcare Foundation - $15,000.00 York Electric - $4,000.00
Beginning Date: December 2013 **End Date:** June 30, 2014

11.

CHRIST CENTRAL MINISTRIES INC. ***$11,500.00***

EIN # (58-2313533)
CEO: Pastor Jimmy Jones, CEO/President
Address: 2014 Main Street, Columbia, SC 29201
Ph.# - 803-600-5803 **Email:** jimmychristcentral@gmail.com
Contact Person: Kathi Gaddy **Address**: 125 Hudson Street, Chester, SC 29706
Ph.# - 803-519-7809 **Email:** christcentralch@truvista.net
PROJECT: Multipurpose facility for education, job skill improvement, counseling and etc.
Amount Requested: $8045.23 **Total Budget:** $8045.23
Funds Received: Westminster Foundation Grant - $2,000.00
Beginning Date: Upon receipt **End Date:** Ongoing

12.

CLEMSON UNIVERSITY ***$25,000.00***

EIN # 57-6000254
CEO: R. Larry Dooley, Ph.D. Interim VP for Research
Address: 300 Brackett Hall, Box 345702, Clemson, SC 29634-5702
Email: cuosp@clemson.edu **Ph.#** - 864/656-2424
Contact Person: Robin Currence
Address: Chester County Extension Office, 109 Ella Street, Chester, SC 29706
PH#: 803/209-0538 **Email:** rcrrcn@clemson.edu
PROJECT: Science On the Move II Program.
Amount Requested: $20,000.00 **Total Budget:** $20,000.00
Funds Received: State EFNEP - $1,600.00 Local 4-H - $1,000.00
Chester Foundation $5,000.00 Chester HealthCare - $10,000.00 Comporium- $5000.00
SC Energy Office $2,500.00 Springs Close Foundations $2,500.00 Spratt S &L $1,000.00
Miscellaneous fundraisers - $312.00
Beginning Date: March 1, 2014 **End Date:** September 30, 2014

13.

CUMBERLAND COLLEGE MOUNTAIN OUTREACH PROGRAM

$15,000.00

EIN # (61-1278580) University of the Cumberlands dba Cumberland College 61-0470593
CEO: Dr. Jim Taylor, President **Ph.#** - 606/539-4201 **Address:** 6191 College Station Drive, Williamsburg, Kentucky 40769 **Email:** presoff@ucumberlands.edu
Contact Person: Mr. Marc Hensley
Address: 7521 College Station Drive, Williamsburg, Kentucky 40769
PH.# - 606/539-4346 **Email:** marc.hensley@ucumberlands.edu

PROJECT: Mountain Outreach Program. Help needy families with house repairs, plumbing, wiring, winterization of existing homes, and etc. Help with clothing, food, and toiletries from their warehouse that is open several days a week.

Amount Requested: $20,000.00 **Total Budget:** $490,175.00
Funds Received:
Beginning Date: Ongoing Program **End Date:**

14.

EDUCATIONAL FOUNDATION OF USC LANCASTER, INC.

$25,000.00

EIN # (57-0428046)
CEO: Timothy B. Thornton, President
Address: PO Box 889, Lancaster, SC 29721
Ph.# 803-313-7080 **Email:** scgregor@mailbox.sc.edu
Contact Person: Sherri C. Gregory
Address: Same
PH.# - 803/635-5494 ext. 206 **Email:** scgregor@mailbox.sc.edu
PROJECT: University of South Carolina Lancaster Nursing Simulation Lab. The project will provide a local nursing simulation lab. The students are traveling to USC Columbia every week for two years. The creation of a lab will involve the purchase of high-fidelity mannequins, medical equipment to replicate current hospital environments, classroom furniture, and building renovations.
Amount Requested: $25,000.00 **Total Budget:** $548,971.00
Funds Received: USC Lancaster - $218,500.00
Beginning Date: 7/1/2014 **End Date:** 9/30/2014

15.

HOSPICE OF ROCK HILL (Carolina Community Care Inc.) $30,000.00

EIN # 57-0761549
CEO: Jane M. Armstrong, Executive Director
Address: P. O. Box 993, Rock Hill, SC 29731
Ph.# 803/329-1500
Email:jane@hospicecommunitycare.org)
Contact Person: Carroll C. Spires, Clinical Director
Address: Same
PH.# - Same
Email: carroll@hospicecommnitycare.org
PROJECT: Costs associated with caring for indigent and underinsured hospice patients.
Amount Requested: $200,000.00 **Total Budget:** $
Funds Received:
Beginning Date: January 1, 2014 **End Date:** December 31, 2014

16.

Marine Toys for Tots Foundations ***$4,000.00***

EIN# (20-3021444)
CEO: President- Robert Misheg, Lt. Gen. USMC (Ret)
Ph.# - 703-640-9433 **Fax:** 703-649-2054
Address: 18251 Quantico Gateway Drive, Triangle, VA 22172
Email:
Contact Person: Nicholas E. Bobersky
Address: 1320 Liberty Road, Chester, SC 29706 **Ph.#** 803-581-1272
Email: nbobersky@yahoo.com
PROJECT: Chester County Toys for Tots 2013 Campaign. Helping families who are economically challenged to provide Christmas gifts for children.
Amount Requested: $7,500 Total Budget: Based on donations.
Funds Received: $2345.48 on hand.
Beginning Date: October 2013 **End Date:** December 2013

17.

CHESTER COUNTY YMCA (Upper Palmetto) ***$25,000.00***

EIN# (57-0335422)
CEO: Frank "Moe" Bell
Address: 323 Oakland Avenue, Rock Hill, SC 29730
Ph. # - 803-324-9622 ext. 222 **Email:** moebell@upymca.org
Contact Person: Chris Huskey, Branch Director Chester County YMCA
Address: 157 Columbia Street, Chester, SC 29706
Ph. # - 803-581-9622 **Email:** chrishuskey@upymca.org
PROJECT: Fitness on Request. Creating a system where members may exercise by themselves or in a small group without the need of an instructor. Program budget includes: Wall mount Kiosk, screen, HD, speakers, projector lamp, 12 month content licensing, installation, pre-wire, and additional spin bikes.
Amount Requested: $29,765.00 Total Budget: $29,756.00
Funds Received:
Beginning Date: 1st Quarter of 2014 **End Date:** Ongoing

18.

WESTMINSTER CATAWBA CHRISTIAN SCHOOL ***$5,500.00***

EIN # (57-0727690)
CEO: Maren Halvorson, Interim Head of School
Address: 2650 India Hook Road, Rock Hill, SC 29732
Ph.# 803-366-4119
Email: mhalvorson@wccs.org
Contact Person: Sandi Jolly, Westminster Campus Principal
Address: 1310 India Hook Road, Rock Hill, SC 29732
PH.# - 803-366-1810 **Email:** sjolly@wccs.org
PROJECT: Westminster Catawba Christian School- Westminster Campus (Prekindergarten-5th) The project would add to the existing sidewalk. This would be used for riding toys, jump rope, ball bouncing and walking. The adult residents living at the Westminster Towers will have a safe place for walking.
Amount Requested: $5,500.00 Total Budget: $8,755.00
Funds Received:
Beginning Date: April 14 or June 2014 **End Date:** April 18 or July 30, 2014

CONFLICT OF INTEREST POLICY
THE HERBERT AND ANN LUTZ FOUNDATION

Article I
Purpose

The purpose of the Conflict of Interest Policy is to protect this tax-exempt organization's (Organization) interest when it is contemplating entering into a transaction or arrangement that might benefit the private interest of an officer or director of the Organization or might result in a possible excess benefit transaction. This policy is intended to supplement but not replace any applicable state and federal laws governing conflict of interest applicable to nonprofit and charitable organizations.

Article II
Definitions

1. Interested Person

   Any director, principal officer, or member of a committee with governing board delegated powers, who has a direct or indirect financial interest, as defined below, is an interest person.

2. Financial Interest

   A person has a financial interest if the person has, directly or indirectly, through business, investment, or family:

   a. An ownership or investment interest in any entity with which the Organization has a transaction or arrangement,

   b. A compensation arrangement with the Organization or with any entity or individual with which the Organization has a transaction or arrangement, or

   c. A potential ownership or investment interest in, or compensation arrangement with, any entity or individual with which the Organization is negotiating a transaction or arrangement.

Compensation includes direct and indirect remuneration as well as gifts or favors that are not insubstantial.

A financial interest is not necessarily a conflict of interest. Under Article III, Section 2, a person who has a financial interest may have a conflict of interest only if the board decides that a conflict of interest exists.

Article III
Procedures

1. Duty to Disclose

In connection with any actual or possible conflict of interest, an interested person must disclose the existence of the financial interest and be given the opportunity to disclose all material facts to the directors considering the proposed transaction or arrangement.

2. Determining Whether a Conflict of Interest Exists

After disclosure of the financial interest and all material facts, and after any discussion with the interested person, he/she shall leave the board meeting while the determination of a conflict of interest is discussed and voted upon. The remaining board members shall decide if a conflict of interest exists.

3. Procedures for Addressing the Conflict of Interest

a. An interested person may make a presentation at the board meeting, but after the presentation, he/she shall leave the meeting during the discussion of, and the vote on, the transaction or arrangement involving the possible conflict of interest.

b. The chairperson of the board shall, if appropriate, appoint a disinterested person or committee to investigate alternatives to the proposed transaction or arrangement.

c. After exercising due diligence, the board shall determine whether the Organization can obtain with reasonable efforts a more advantageous transaction or arrangement from a person or entity that would not give rise to a conflict of interest.

d. If a more advantageous transaction or arrangement is not reasonably possible under circumstances not producing a conflict of interest, the board shall determine by a majority vote of the disinterested directors whether the transaction or arrangement is in the Organization's best interest, for its own benefit, and whether it is fair and reasonable. In conformity with the above determination it shall make its decision as to whether to enter into the transaction or arrangement.

4. Violations of the Conflicts of Interest Policy

a. If the board has reasonable cause to believe a member has failed to disclose actual or possible conflicts of interest, it shall inform the member of the basis for such belief and afford the member an opportunity to explain the alleged failure to disclose.

b. If, after hearing the member's response and after making further investigation as warranted by the circumstances, the board determines the member has failed to disclose an actual or possible conflict of interest, it shall take appropriate corrective action.

Article IV
Records of Proceedings

The Minutes of the board shall contain:

a. The names of the persons who disclosed or otherwise were found to have a financial interest in connection with an actual or possible conflict of interest, the nature of the financial interest, any action taken to determine whether a conflict of interest was present, and the board's decision as to whether a conflict of interest in fact existed.

b. The names of the persons who were present for discussions and votes relating to the transaction or arrangement, the content of the discussion, including any alternatives to the proposed transaction or arrangement, and a record of any votes taken in connection with the proceedings.

Article V
Compensation

a. A voting member of the board who receives compensation, directly or indirectly, from the Organization for services is precluded from voting on matters pertaining to that member's compensation. However, the voting member may vote for the compensation of the other officers and directors.

b. No voting member of the board whose jurisdiction includes compensation matters and who receives compensation, directly or indirectly, from the Organization, either individually or collectively, is permitted to provide information to the Board regarding compensation.

Article VI
Annual Statements

Each Director and principal officer shall annually sign a statement which affirms such person:

a. Has received a copy of the conflicts of interest policy,

b. Has read and understands the policy,

c. Has agreed to comply with the policy, and

d. Understands the Organization is charitable and in order to maintain its federal tax exemption it must engage primarily in activities which accomplish one or more of its tax-exempt purposes.

Article VII
Periodic Reviews

To ensure the Organization operates in a manner consistent with charitable purposes and does not engage in activities that could jeopardize its tax-exempt status, periodic reviews shall be conducted. The periodic reviews shall, at a minimum, include the following subjects:

a. Whether compensation arrangements and benefits are reasonable, based on competent survey information and the result of arm's length bargaining.

Article VIII
Use of Outside Experts

When conducting the periodic reviews as provided for in Article VII, the Organization may, but need not, use outside advisors. If outside experts are used, their use shall not relieve the board of its responsibility for ensuring periodic reviews are conducted.

Adopted this ____ day of ________________, 2014 by the Board.

Dewey G. Guyton
Dewey Guyton

Mary J. Jolly
Mary Jo Jolly

Joan L. Guyton
Joan Guyton

Susan L. Stephenson
Susan Stephenson