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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning MAR 1, 2013, and ending FEB 28, 2014

Name of foundation

A Employer identification number

FOOTHILLS FOUNDATION

45-4595181

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

662 SUNSET COURT

B Telephone number

651-490-9457

City or town, state or province, country, and ZIP or foreign postal code

SHOREVIEW, MN 55126

C If exemption application is pending, check here ☐

G Check all that apply:

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

D 1. Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒

Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

I Fair market value of all assets at end of year

J Accounting method:

☒

Cash

☐

Accrual

(from Part II, col. (c), line 16)

Other (specify) _____

\$ 1,141,880.

(Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ☒ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	41,929.	41,929.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	25,459.			
	b Gross sales price for all assets on line 6a	337,687.			
	7 Capital gain net income (from Part IV, line 2)		25,459.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	67,388.	67,388.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees				
	c Other professional fees				
	17 Interest				
	18 Taxes	296.			0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	9,212.	9,187.		25.
	24 Total operating and administrative expenses. Add lines 13 through 23	9,508.	9,187.		25.
	25 Contributions, gifts, grants paid	24,780.			24,780.
26 Total expenses and disbursements. Add lines 24 and 25	34,288.	9,187.		24,805.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	33,100.				
b Net investment income (if negative, enter -0-)		58,201.			
c Adjusted net income (if negative, enter -0-)			N/A		

323501
10-10-13

LHA For Paperwork Reduction Act Notice, see instructions.

Form 990-PF (2013)

07440828 706328 52897.1

1
2013.04020 FOOTHILLS FOUNDATION

52897.11

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		38,858.	29,383.	29,383.
	2	Savings and temporary cash investments			965.	965.
	3	Accounts receivable				
		Less: allowance for doubtful accounts				
	4	Pledges receivable				
		Less: allowance for doubtful accounts				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable				
		Less: allowance for doubtful accounts				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
	b	Investments - corporate stock	STMT 4	266,095.	345,766.	406,709.
	c	Investments - corporate bonds	STMT 5	322,671.	263,480.	258,908.
	11	Investments - land, buildings, and equipment basis				
	Less: accumulated depreciation					
12	Investments - mortgage loans					
13	Investments - other	STMT 6	376,072.	396,980.	445,915.	
14	Land, buildings, and equipment: basis					
	Less: accumulated depreciation					
15	Other assets (describe)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		1,003,696.	1,036,574.	1,141,880.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds		1,003,696.	1,036,574.	
	30	Total net assets or fund balances		1,003,696.	1,036,574.	
31	Total liabilities and net assets/fund balances		1,003,696.	1,036,574.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,003,696.
2	Enter amount from Part I, line 27a	2	33,100.
3	Other increases not included in line 2 (itemize) MOSS & BARNETT REFUND	3	287.
4	Add lines 1, 2, and 3	4	1,037,083.
5	Decreases not included in line 2 (itemize) MISCELLANEOUS BASIS ADJUSTMENTS	5	509.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,036,574.

Part IV Capital Gains and Losses for Tax on Investment Income**SEE ATTACHED STATEMENT**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e	337,687.	312,228.	25,459.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			25,459.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	25,459.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	}	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	24,760.	937,376.	.026414
2011	0.	985,000.	.000000
2010			
2009			
2008			

2 Total of line 1, column (d)	2	.026414
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.013207
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,085,084.
5 Multiply line 4 by line 3	5	14,331.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	582.
7 Add lines 5 and 6	7	14,913.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	24,805.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	582.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	582.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	582.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		7.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		589.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>MN</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2013)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► KARA L. JOHNSON Telephone no. ► 651-490-9457 Located at ► 662 SUNSET COURT, SHOREVIEW, MN ZIP+4 ► 55126			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► N/A	1b	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? ☐ Yes ☒ No	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) ☐ Yes ☒ No	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) ☐ Yes ☒ No	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? ☐ Yes ☒ No	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? ☐ Yes ☒ No	4b	X

Form 990-PF (2013)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KARA L. JOHNSON 662 SUNSET COURT SHOREVIEW, MN 55126	PRESIDENT, DIRECTOR 2.00	0.	0.	0.
MICHAEL A. JOHNSON 662 SUNSET COURT SHOREVIEW, MN 55126	VP, SECTY, TREAS, DIRECTOR 1.00	0.	0.	0.
TRESSA L. JOHNSON 662 SUNSET COURT SHOREVIEW, MN 55126	DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2013)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2013)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,058,083.
b	Average of monthly cash balances	1b	43,525.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,101,608.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,101,608.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	16,524.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,085,084.
6	Minimum investment return. Enter 5% of line 5	6	54,254.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	54,254.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	582.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	582.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	53,672.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	53,672.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	53,672.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	24,805.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	24,805.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	582.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	24,223.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form 990-PF (2013)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				53,672.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			22,615.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 24,805.				
a Applied to 2012, but not more than line 2a			22,615.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				2,190.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				51,482.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

N/A

- ☐ 4942(I)(3) or ☐ 4942(I)(5)

- [illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ALS OF MINNESOTA 333 N. WASHINGTON AVE. MINNEAPOLIS, MN 55401		PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	200.
THE SALVATION ARMY 2445 PRIOR AVE N ROSEVILLE, MN 55113		PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	1,000.
INCARNATION LUTHERAN CHURCH 4880 HODGSON ROAD SHOREVIEW, MN 55126		PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	4,080.
MILLS HEALTH CLINIC 13207 MINNETONKA DRIVE MINNETONKA, MN 44305		PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	3,000.
FAITH'S LODGE 4080 WEST BROADWAY AVENUE N MINNEAPOLIS, MN 55422		PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	1,000.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	24,780.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

FOOTHILLS FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SHORT TERM #18035 EXHIBIT A -		VARIOUS	VARIOUS
b	LONG TERM #18035 - EXHIBIT A		VARIOUS	VARIOUS
c	SHORT TERM #07705 - EXHIBIT B		VARIOUS	VARIOUS
d	LONG TERM #07705 - EXHIBIT B		VARIOUS	VARIOUS
e				
f	CAPITAL GAINS DIVIDENDS			
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 48,655.		50,682.	<2,027.>
b 50,829.		54,966.	<4,137.>
c 11,345.		11,073.	272.
d 215,453.		195,507.	19,946.
e			0.
f 11,405.			11,405.
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			<2,027.>
b			<4,137.>
c			272.
d			19,946.
e			0.
f			11,405.
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	25,459.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AMERICAN RED CROSS 1201 WEST RIVER ROAD MINNEAPOLIS, MN 55454		PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	3,000.
MINNESOTA TEEN CHALLENGE 1619 PORTLAND AVENUE SOUTH MINNEAPOLIS, MN 55405		PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	3,000.
ALPHA GAMMA DELTA FOUNDATION 8710 NORTH MERIDIAN STREET INDIANAPOLIS, IN 46260		PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	2,000.
FLAGS FOR FALLEN MILITARY 17070 ROGERS ROAD EDEN PRAIRIE, MN 55347		PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	1,000.
UNION GOSPEL MISSION 435 UNIVERSITY AVENUE E ST PAUL, MN 55130		PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	2,000.
GREATER MINNEAPOLIS COUNCIL OF CHURCHES 1001 E. LAKE STREET MINNEAPOLIS, MN 55407		PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	2,000.
SECOND HARVEST HEARTLAND 6325 SANDBURG ROAD, SUITE 1700 GOLDEN VALLEY, MN 55427		PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	2,000.
ST. JUDE CHILDREN'S RESEARCH HOSPITAL 262 DANNY THOMAS PLACE MEMPHIS, TN 38105		PUBLIC CHARITY	GENERAL CHARITABLE PURPOSES	500.
Total from continuation sheets				15,500.

FORM 990-PF

DIVIDENDS AND INTEREST FROM SECURITIES

STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
KKR FINANCIAL HOLDINGS LLC	23.	0.	23.	23.	
RBC 07705 - 2012	35,352.	11,293.	24,059.	24,059.	
RBC 07705 - 2013	2,325.	112.	2,213.	2,213.	
RBC 18035 - 2012	13,893.	0.	13,893.	13,893.	
RBC 18035 - 2013	1,741.	0.	1,741.	1,741.	
TO PART I, LINE 4	53,334.	11,405.	41,929.	41,929.	

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
2012 EXCISE TAX	296.	0.		0.
TO FORM 990-PF, PG 1, LN 18	296.	0.		0.

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
STATE OF MINNESOTA	25.	0.		25.
RBC FEES	9,187.	9,187.		0.
TO FORM 990-PF, PG 1, LN 23	9,212.	9,187.		25.

FORM 990-PF

CORPORATE STOCK

STATEMENT 4

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
EXHIBIT C U.S. STOCKS	316,317.	374,953.
EXHIBIT C INT'L STOCKS	29,449.	31,756.
TOTAL TO FORM 990-PF, PART II, LINE 10B	345,766.	406,709.

DESCRIPTIONBOOK VALUEFAIR MARKET
VALUE

EXHIBIT C FIXED INCOME

30,516.

29,300.

EXHIBIT D FIXED INCOME

232,964.

229,608.

TOTAL TO FORM 990-PF, PART II, LINE 10C

263,480.

258,908.

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
EXHIBIT C MIXED ASSETS	COST	366,457.	416,881.
EXHIBIT C OTHER ASSETS	COST	30,523.	29,034.
TOTAL TO FORM 990-PF, PART II, LINE 13		396,980.	445,915.

Prepared on 8/28/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Long Term Total	Unknown Term Total	Realized G/L (USD)
Short Term					50,683.50	1/22/2014		-4,137.25 USD	—	-2,094.49
HSBC HOLDINGS PLC ¹	HSEB	50.00	2/19/2013	27.93	1,396.50	1/22/2014	26.97		1,348.47	-2,094.49
PERP SUB CAP SECS EXCH PREF	404280802									-2,094.49
AXIS CAPITAL HOLDINGS LTD ¹	AXS-D	25.00	5/15/2013	25.07	626.75	1/16/2014	19.75		493.82	-132.93
5.5% SERIES D PERPETUAL PFD	G0692U117									
PROTECTIVE LIFE CORPORATION ¹	PLP	25.00	12/18/2013	21.12	528.12	1/3/2014	20.81		520.24	-7.88
8.00% SR NTS DUE 2024 CALLABLE	743674509									
PRUDENTIAL FINANCIAL INC ¹	PJH	5.00	1/24/2013	25.74	128.70	1/3/2014	21.57		107.85	-20.85
5.75% JUNIOR SUBORDINATED NOTE	744320607									
PRUDENTIAL FINANCIAL INC ¹	PJH	50.00	2/4/2013	25.47	1,273.50	1/3/2014	21.57		1,078.47	-195.03
5.75% JUNIOR SUBORDINATED NOTE	744320607									
COUNTRYWIDE CAP V ¹	CFC-B	30.00	9/26/2013	25.20	756.00	12/19/2013	25.23		756.91	0.91
7% GTD CAP SECS DUE 11/01/36	222388209									
ENTERGY MISS INC ¹	EMZ	25.00	12/6/2012	26.51	662.74	10/29/2013	24.05		601.23	-61.51
1ST MTG BD 6% PFD	29364N835									
WEBSTER FINANCIAL CORPORATION ¹	WBS-E	25.00	1/24/2013	25.40	635.00	10/29/2013	22.87		571.74	-63.26
DEP SHS REPSTG 1/1000TH PERP	947890406									
DISCOVER FINANCIAL SERVICES ¹	DFS-B	100.00	10/17/2012	25.47	2,547.00	10/17/2013	23.36		2,335.95	-211.05
6.5% DEP SHS NON CUM	254709207									
PRUDENTIAL FINANCIAL INC ¹	PJH	45.00	1/24/2013	25.74	1,158.30	10/16/2013	21.11		949.93	-208.37
5.75% JUNIOR SUBORDINATED NOTE	744320607									

Prepared on 8/26/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Long Term Total	Unknown Term Total	Realized G/L (USD)
REGIONS FINL CORP 6.3750% ¹	50.00	10/26/2012	24.80	1,240.00	10/16/2013	22.15	-4,137.25 USD	—	-132.52
DEP SHS REPSTG 1/40TH PERP PFD									
7591EP308									
RENAISSANCE HOLDINGS LTD ¹	25.00	5/21/2013	24.90	622.50	10/16/2013	19.34		483.49	-139.01
5 375% SERIES E PFD SHS									
G7498P119									
RENAISSANCE HOLDINGS LTD ¹	30.00	6/12/2013	22.85	685.50	10/16/2013	19.34		580.19	-105.31
5 375% SERIES E PFD SHS									
G7498P119									
TAUBMAN CENTERS INC ¹	78.00	3/13/2013	24.88	1,940.80	9/25/2013	22.53		1,757.30	-183.50
PFD SER K									
876664707									
TAUBMAN CENTERS INC ¹	27.00	3/21/2013	24.85	670.95	9/25/2013	22.53		608.30	-62.65
PFD SER K									
876664707									
PPL CAP FDG INC ¹	50.00	3/13/2013	24.99	1,249.50	9/23/2013	21.85		1,092.48	-157.02
JR SUB NT 2013 SER B									
69352P202									
ZIONS BANCORPORATION ¹	25.00	1/15/2013	26.12	653.00	9/16/2013	25.00		625.00	-28.00
DEPOSITARY SHS 9.50% CPN RATE									
989701503									
ZIONS BANCORPORATION ¹	50.00	3/8/2013	25.83	1,291.50	9/16/2013	25.00		1,250.00	-41.50
DEPOSITARY SHS 9.50% CPN RATE									
989701503									
ZIONS BANCORPORATION ¹	25.00	3/22/2013	25.85	646.25	9/16/2013	25.00		625.00	-21.25
DEPOSITARY SHS 9.50% CPN RATE									
989701503									
SUNTRUST BANKS INC ¹	50.00	1/23/2013	25.05	1,252.50	9/12/2013	21.38		1,068.73	-183.77
5 875% DEP SHS PERP PFD SER E									
867914889									
US BANCORP DEL ¹	10.00	3/12/2013	27.40	274.00	9/9/2013	26.98		269.81	-4.19
6 00% SERIES G NON CUM PERPET									
902973817									

Prepared on 8/26/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Tax Year 3/4/2013 - 2/25/2014	Short Term Total -2,004.19 USD	Long Term Total -4,137.25 USD	Unknown Term Total —	Realized G/L (USD)
JPMORGAN CHASE & CO ¹ 8.625% DEPOSITARY SHS REPSTG	46625HE21	50.00	6/28/2013	25.41	1,270.50					-20.50
DUKE ENERGY CORPORATION ¹ 5.125% JUNIOR SUBORDINATED	DUKH 26441C303	25.00	1/10/2013	25.31	632.75		8/23/2013	20.49	512.24	-120.51
DUKE ENERGY CORPORATION ¹ 5.125% JUNIOR SUBORDINATED	DUKH 26441C303	25.00	1/16/2013	25.14	628.50		8/23/2013	20.49	512.24	-116.26
JPMORGAN CHASE BANK ¹ 5.50% PERP NON CUM SER O PFD	JPM-D 48126E750	30.00	1/24/2013	25.54	766.20		8/22/2013	21.35	640.48	-125.72
PNC FINANCIAL SERVICES GROUP ¹ INC 5.3750% DEP SHS REPSTG	PNC-Q 693475832	105.00	9/19/2012	24.77	2,600.85		8/12/2013	22.55	2,367.70	-233.15
ALLIANZ SE ¹ 8.375% UNDATED SUBORDINATED	018805200	15.00	9/5/2012	26.08	391.20		6/17/2013	25.00	375.00	-16.20
ALLIANZ SE ¹ 8.375% UNDATED SUBORDINATED	018805200	45.00	1/30/2013	25.80	1,161.00		6/17/2013	25.00	1,125.00	-36.00
BB&T CORPORATION ¹ PERPETUAL PREFERRED SERIES E	BBT-E 054937404	2.00	9/6/2012	25.47	50.94		5/22/2013	26.02	52.04	1.10
BB&T CORPORATION ¹ PERPETUAL PREFERRED SERIES E	BBT-E 054937404	3.00	9/7/2012	25.57	76.72		5/22/2013	26.02	78.05	1.33
MORGAN STANLEY ¹ DEP SHS REPSTG 1/1000 PERP PFD	MSPRA 61747S504	20.00	9/5/2012	18.93	378.60		5/22/2013	23.36	467.19	88.59
REINSURANCE GROUP OF AMERICA ¹ INCORPORATED 6.20% FXD TO FLTG	RZA 758351703	10.00	8/29/2012	25.98	259.80		5/22/2013	28.84	288.39	28.59

Prepared on 8/26/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Long Term Total	Net Proceeds (USD)	Realized G/L (USD)
SENIOR HOUSING PROPERTIES ¹	SNHN	5.00	7/18/2012	24.96	124.81	5/22/2013	25.50	-4,137.25 USD	127.49	2.68
TRUST 5.625% SENIOR NOTES	81721M208									
WELLS FARGO & COMPANY ¹	WFC-J	10.00	3/5/2013	28.95	289.50	5/22/2013	31.21		312.09	22.59
DEP SH 1/40TH INT 8% NON-CUMLT	949748879									
VORNADO REALTY TRUST ¹	VNO-K	50.00	7/12/2012	25.09	1,254.54	4/18/2013	25.70		1,284.97	30.43
5.70% SERIES K CUMULATIVE	929042851									
CITIGROUP CAP XV ¹	17310G202	155.00	4/17/2012	24.77	3,839.33	4/16/2013	25.00		3,875.00	35.67
6.50% ENHANCED TR PFD SECS										
KKR FINANCIAL HOLDINGS LLC ¹	KFNPR	50.00	1/14/2013	25.00	1,250.00	4/1/2013	26.00		1,299.97	26.97
7.375% PFD SER A	48248A603									
DEUTSCHE BK CAP FDG TR VIII ¹	DUA	55.00	4/13/2012	23.03	1,266.60	3/20/2013	25.41		1,397.51	130.91
6.375% TR PFD SECS	25153U204									
ENDURANCE SPECIALTY ¹	ENH-B	4.00	4/17/2012	26.10	104.40	3/13/2013	27.14		108.57	4.17
HOLDINGS LTD 7.50% NON	G30397304									
ENDURANCE SPECIALTY ¹	ENH-B	26.00	4/17/2012	26.10	678.60	3/13/2013	27.14		705.68	27.08
HOLDINGS LTD 7.50% NON	G30397304									
BB&T CORPORATION ¹	BBT-E	50.00	7/25/2012	24.90	1,244.92	3/12/2013	25.53		1,276.47	31.55
PERPETUAL PREFERRED SERIES E	054937404									
BB&T CORPORATION ¹	BBT-E	50.00	8/16/2012	25.20	1,259.95	3/12/2013	25.53		1,276.47	16.52
PERPETUAL PREFERRED SERIES E	054937404									
BB&T CORPORATION ¹	BBT-E	50.00	9/6/2012	25.47	1,273.50	3/12/2013	25.53		1,276.47	2.97
PERPETUAL PREFERRED SERIES E	054937404									

Prepared on 8/28/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Long Term Total	Unknown Term Total	Realized G/L (USD)
STT-C	105.00	8/15/2012	24.95	2,619.75	3/8/2013	25.20	-4,137.25 USD		26.19
5.25% NON CUM PERPETUAL PFD									
STT-C	100.00	9/20/2012	25.09	2,509.00	3/8/2013	25.20		2,519.94	10.94
5.25% NON CUM PERPETUAL PFD									
AEGON NV NON CUM ¹	80.00	4/13/2012	23.38	1,870.40	3/5/2013	25.14		2,011.15	140.75
6.50% PERP CAPITAL SECURITIES									
BANK OF NEW YORK MELLON ¹	105.00	9/13/2012	24.94	2,618.70	3/4/2013	25.12		2,637.54	18.84
CORP ON THE DEP SHS 5.2% PFD									
Long Term				54,165.89				50,028.64	-4,137.25
SCE TRUST ¹	60.00	5/14/2012	24.99	1,499.40	2/25/2014	22.45		1,346.97	-152.43
5.625% TRUST CUM PREF SECS									
DTE ENERGY CO ¹	8.00	4/13/2012	27.50	220.00	2/18/2014	24.88		199.03	-20.97
6.50% JR SUB-ORD DEB DUE 2061									
AFLAC INCORPORATED ¹	75.00	9/24/2012	24.83	1,862.31	2/4/2014	22.44		1,682.97	-179.34
5.50% SUBORDINATED DEBENTURES									
PUBLIC STORAGE ¹	20.00	4/13/2012	25.08	501.60	1/15/2014	22.03		440.59	-61.01
DEPOSITARY SH REPSTG 1/1000 OF									
HSBC USA INC NEW ¹	60.00	4/17/2012	20.62	1,237.20	1/8/2014	19.62		1,177.17	-60.03
FLT RT NON-CUM PERP PFD FLTG									
NEXTERA ENERGY CAPITAL ¹	55.00	4/16/2012	25.40	1,397.00	12/19/2013	20.64		1,135.18	-261.82
HOLDINGS INC 5.70% SER G JNR									

Prepared on 2/25/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Long Term Total	Unknown Term Total	Realized G/L (USD)
PUBLIC STORAGE ¹	PSA-S	100.00	4/13/2012	25.51	2,551.00	12/12/2013	21.59	-4,137.25 USD	—	-392.04
DEPOSITARY SH REPSTG 1/1000TH	74460W206									
SCE TRUST I ¹	SCE-F	25.00	5/17/2012	24.38	609.38	12/9/2013	20.35		508.74	-100.63
5.625% TRUST CUM PREF SECS	78406T201									
SCE TRUST I ¹	SCE-F	55.00	5/23/2012	24.27	1,334.73	12/9/2013	20.35		1,119.23	-215.50
5.625% TRUST CUM PREF SECS	78406T201									
SCE TRUST I ¹	SCE-F	25.00	5/25/2012	24.56	614.00	12/9/2013	20.35		508.74	-105.26
5.625% TRUST CUM PREF SECS	78406T201									
GOLDMAN SACHS GROUP INC ¹	GSJ	15.00	4/16/2012	25.99	389.85	11/29/2013	25.71		385.64	-4.21
6.5% NOTES DUE 11/01/61	38144G184									
DISCOVER FINANCIAL SERVICES ¹	DFS-B	25.00	10/17/2012	25.47	636.75	11/20/2013	23.25		581.23	-55.52
6.5% DEP SHS NON CUM	254709207									
CHARLES SCHWAB CORPORATION ¹	SCHWB	100.00	5/31/2012	24.74	2,474.40	11/18/2013	22.90		2,289.96	-184.44
6% DEP SHS REP 1/40TH OF	808513204									
ENTERGY LA LLC ¹	ELJ	50.00	6/27/2012	25.18	1,259.00	11/14/2013	21.00		1,049.98	-209.02
1ST MTG BD 5.25% PFD	29364W504									
STANLEY BLACK & DECKER INC ¹	SWJ	30.00	7/19/2012	25.04	751.27	11/14/2013	22.38		671.38	-79.89
5.75% JR SUB DEB DUE 2052	854502705									
HOSPITALITY PROPERTIES TRUST ¹	HPT-D	29.00	4/16/2012	25.59	742.11	10/25/2013	24.54		711.76	-30.35
7.125% SERIES D CUMULATIVE	44106M607									
CAPITAL ONE FINANCIAL ¹	COF-P	45.00	9/6/2012	24.95	1,122.69	10/23/2013	22.84		1,027.78	-94.91
CORPORATION DEPOSITARY SHARES	14040H402									

Prepared on 8/25/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Long Term Total	Unknown Term Total	Realized G/L (USD)
HOSPITALITY PROPERTIES TRUST ¹	HPT-D	21 00	4/16/2012	25 59	537 39	10/22/2013	24 59	-4,137.25 USD	—	-21 01
7 125% SERIES D CUMULATIVE	44106M607									
ARLAC INCORPORATED ¹	AFSD	30 00	9/24/2012	24 83	744 92	10/18/2013	21 78		653 38	-91.54
5 50% SUBORDINATED DEBENTURES	001055300									
OWEST CORPORATION ¹	CTU	20.00	8/3/2012	26 76	535 26	10/17/2013	23 50		469 99	-65 27
7 00% SR NOTES DUE 2052	74913G501									
PUBLIC STORAGE ¹	PSA-T	30 00	4/13/2012	25 08	752 40	10/7/2013	22 25		667 48	-84 92
DEPOSITARY SH REPSTG 1/1000 OF	74460W404									
NEXTERA ENERGY CAPITAL ¹	NEE-G	25.00	4/16/2012	25 40	635 00	10/3/2013	21 18		529 49	-105 51
HOLDINGS INC 5.70% SER G JNR	65339K605									
NEXTERA ENERGY CAPITAL ¹	NEE-H	100.00	6/14/2012	24 85	2,485 00	9/19/2013	21 57		2,156 96	-328 04
HOLDINGS INC 5.625% \$25	65339K704									
PUBLIC STORAGE ¹	PSA-T	17.00	4/13/2012	25 08	426 36	9/19/2013	22 01		374 16	-52 20
DEPOSITARY SH REPSTG 1/1000 OF	74460W404									
PUBLIC STORAGE ¹	PSA-T	28 00	4/13/2012	25 08	702 24	9/19/2013	22 01		616 27	-85.97
DEPOSITARY SH REPSTG 1/1000 OF	74460W404									
FIRST REPUBLIC BANK ¹	FRC-B	50 00	6/25/2012	25 25	1,262 50	9/16/2013	22 61		1,130 49	-132.01
NON CUM PERP PFD STOCK 6 200%	33616C407									
KKR FINANCIAL HOLDINGS LLC ¹	KFH	10 00	4/17/2012	26 64	266 40	9/12/2013	26 97		269 69	3 29
8 375% SENIOR NOTES DUE 2041	48248A405									
LLOYDS BANKING GROUP PLC ¹	LYG-A	15 00	4/16/2012	26 39	395 85	9/12/2013	26 50		397 49	1 64
PUBLIC INCOME NT PINES	539439802									

Prepared on 8/25/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Long Term Total	Unknown Term Total	Realized G/L (USD)
CAPITAL ONE FINANCIAL ¹ CORPORATION DEPOSITARY SHARES	COF-P 14040H40Z	7.00	9/6/2012	24.95	174.64	9/11/2013	21.71	-4,137.25 USD	151.96	-22.68
MORGAN STANLEY CAP TR III ¹ 6.25% CAP SECS DUE 03/01/2033	MWR 617460Z09	15.00	4/17/2012	24.38	365.63	9/11/2013	24.70		370.49	4.86
AVIVA PLC ¹ 8.25% CAPITAL SECURITIES	AVV 05382A203	10.00	4/13/2012	26.64	266.40	9/10/2013	27.08		270.79	4.39
BB&T CORPORATION ¹ PERPETUAL PREFERRED SERIES E	BBT-E 054937A04	50.00	9/7/2012	25.57	1,278.72	9/10/2013	21.20		1,059.98	-218.74
HEALTH CARE REIT INC ¹ 6.50% SER J CUMULATIVE	HGN-J 4Z217K700	7.00	4/13/2012	25.34	177.38	9/10/2013	23.33		163.31	-14.07
HEALTH CARE REIT INC ¹ 6.50% SER J CUMULATIVE	HGN-J 4Z217K700	88.00	4/13/2012	25.34	2,229.92	9/10/2013	23.33		2,053.00	-176.92
ASPEN INSURANCE HOLDINGS ¹ LTD PERP PEF SHS	AHL-A G05384139	10.00	4/13/2012	25.36	253.60	9/9/2013	26.31		263.09	9.49
CAPITAL ONE FINANCIAL ¹ CORPORATION DEPOSITARY SHARES	COF-P 14040H40Z	18.00	9/6/2012	24.95	449.08	9/9/2013	21.98		395.63	-53.45
SCE TRUST I ¹ 5.625% TRUST CUM PEF SECS	SCE-F 78406T201	15.00	5/14/2012	24.99	374.85	9/9/2013	21.64		324.59	-50.26
KIMCO REALTY CORPORATION ¹ 5.5% DEPOSITARY SH REPSTG	KIM-J 49446R778	50.00	8/3/2012	24.65	1,232.47	9/6/2013	20.15		1,007.48	-224.98
KIMCO REALTY CORPORATION ¹ 5.5% DEPOSITARY SH REPSTG	KIM-J 49446R778	55.00	9/5/2012	24.78	1,362.69	9/6/2013	20.15		1,108.23	-254.46

Prepared on 8/28/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Quantity	Purchase Date	Unit Cost (USD)	Tax Year 3/4/2013 - 2/25/2014	Short Term Total -2,004.19 USD	Long Term Total -4,137.25 USD	Unknown Term Total	Net Proceeds (USD)	Realized G/L (USD)
GOLDMAN SACHS GROUP INC ¹ DEP SHS REPSTG 1/1000 PFD SER	20.00	4/13/2012	20.46	409.20	8/22/2013	20.32	406.39	406.39	-2.81
ENTERGY LA LLC ¹ 1ST MTG BD 5.25% PFD	50.00	6/27/2012	25.18	1,259.00	8/16/2013	21.15	1,057.48	1,057.48	-201.52
KIMCO REALTY CORPORATION ¹ 5.5% DEPOSITARY SH REPSTG	50.00	8/3/2012	24.65	1,232.47	8/16/2013	21.02	1,051.12	1,051.12	-181.35
BARCLAYS BK PLC ¹ 6.625% NON CUM PFD ADR SER 2	3.00	4/13/2012	24.14	72.42	7/24/2013	24.77	74.31	74.31	1.89
BARCLAYS BK PLC ¹ 6.625% NON CUM PFD ADR SER 2	27.00	4/13/2012	24.14	651.78	7/24/2013	24.77	668.77	668.77	16.99
ALLIANZ SE ¹ 8.375% UNDATED SUBORDINATED	240.00	4/16/2012	25.95	6,228.00	6/17/2013	25.00	6,000.00	6,000.00	-228.00
AMERICAN INTL GROUP INC ¹ 6.45% JR SUB DEB SER A-4	80.00	4/13/2012	23.80	1,904.00	6/17/2013	25.00	2,000.00	2,000.00	96.00
AEGION V ¹ PERP CAP SECS FLTG RATE	10.00	4/13/2012	21.93	219.30	5/22/2013	25.11	251.09	251.09	31.79
GOLDMAN SACHS GROUP INC ¹ DEP SHS REPSTG 1/1000 PFD SER	20.00	4/13/2012	20.46	409.20	5/22/2013	23.97	479.39	479.39	70.19
HEALTH CARE REIT INC ¹ 6.50% SER J CUMULATIVE	2.00	4/13/2012	25.34	50.68	5/22/2013	27.61	55.22	55.22	4.54
HEALTH CARE REIT INC ¹ 6.50% SER J CUMULATIVE	3.00	4/13/2012	25.34	76.02	5/22/2013	27.61	82.82	82.82	6.80

Prepared on 8/28/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Net Proceeds (USD)	Realized G/L (USD)
NATIONAL RETAIL PROPERTIES ¹ 6.625% CUM PERPET PFD SERIES D	NNN-D 637417601	5.00	4/13/2012	25.17	125.85	5/22/2013	26.96	134.79	8.94
REGENCY CENTERS CORPORATION ¹ SERIES 6.625% CUMULATIVE	REG-F 758849707	5.00	4/16/2012	25.36	126.80	5/22/2013	27.52	137.59	10.79
SANTANDER FINANCE PREFERRED ¹ S A UNIPERSONAL FLOATING RATE	SAN-B 80281R888	15.00	4/18/2012	16.40	246.00	5/22/2013	22.65	339.74	93.74
AEGON NV ¹ 6.875% PERPETUAL CAPITAL	N00927306	50.00	4/13/2012	24.08	1,204.00	5/16/2013	25.52	1,275.98	71.98
CITIGROUP CAPITAL IX ¹ 6.00% TRUST PFD SECS (TRUPS)	173066200	30.00	4/13/2012	24.03	720.90	5/16/2013	25.27	758.08	37.18
ALLIED CAPITAL CORP ¹ 6.875% NOTES DUE APR 15 2047	AFC 019030207	25.00	4/13/2012	24.21	605.25	5/10/2013	25.44	635.99	30.74
ASSURED GUARANTY MUNICIPAL ¹ HOLDINGS INC 6 25% NOTES DUE	AGO-E 04623A304	25.00	4/13/2012	23.65	591.25	5/10/2013	25.48	636.89	45.64
CITIGROUP CAPITAL IX ¹ 6 00% TRUST PFD SECS (TRUPS)	173066200	20.00	4/13/2012	24.03	480.60	5/7/2013	25.61	512.18	31.58
CITIGROUP CAPITAL IX ¹ 6 00% TRUST PFD SECS (TRUPS)	173066200	60.00	4/13/2012	24.03	1,441.80	4/23/2013	25.42	1,525.17	83.37
Unknown Term									
Securities Held Here Total					104,826.06			98,684.62	-6,141.44

This report does not constitute a replacement for your 1099 Form or your RBC Wealth Management monthly statements. Options on broad-based indexes and other 1256 contracts are reflected within the term that they are held, however for tax purposes any gain or loss may be reportable as 40% short-term and 60% long-term

1 Original Issue Discount bond

Prepared on 8/26/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here Short Term	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Long Term Total	Net Proceeds (USD)	Realized G/L (USD)
UIT FIRST TRUST TARGET ¹ DIVERSIFIED GLOBAL SER 9	30282C737	2,089 00	9/10/2013	0 01	11,073.19 27.57	2/25/2014	0 01	19,945.80 USD	11,345.09 27 57	271.90 0 00
UIT FIRST TRUST TARGET ¹ DIVERSIFIED GLOBAL SER 9	30282C737	2 36	10/25/2013	0 01	0.03	2/25/2014	0 01		0 03	0 00
UIT FIRST TRUST TARGET ¹ DIVERSIFIED GLOBAL SER 9	30282C737	2 82	11/25/2013	0 01	0.04	2/25/2014	0 01		0.04	0 00
UIT FIRST TRUST TARGET ¹ DIVERSIFIED GLOBAL SER 9	30282C737	7.43	12/26/2013	0 01	0 10	2/25/2014	0 01		0 10	0 00
UIT FIRST TRUST TARGET ¹ DIVERSIFIED GLOBAL SER 9	30282C737	4 58	1/8/2014	0 01	0 06	2/25/2014	0 01		0 06	0 00
UIT FIRST TRUSTHOMEBUILDERS RECOVERY PRT SER 2 SEMI ANNUAL	30280D273	2.66	6/25/2013	11 29	30 09	1/24/2014	12 50		33.30	3.21
UIT FIRST TRUSTHOMEBUILDERS RECOVERY PRT SER 2 SEMI ANNUAL	30280D273	234.09	12/26/2013	12 50	2,926 40	1/24/2014	12 50		2,925 56	-0.84
UIT FIRST TRUSTHOMEBUILDERS RECOVERY PRT SER 2 SEMI ANNUAL	30280D273	0.77	1/8/2014	12.96	9 95	1/24/2014	12 50		9.58	-0 37
UIT FIRST TRUSTHOMEBUILDERS RECOVERY PRT SER 2 SEMI ANNUAL	30280D273	19 52	1/8/2014	12 96	253 05	1/24/2014	12 50		243 95	-9 10
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	2 27	1/25/2013	0 13	0 29	1/8/2014	0 13		0 29	0 00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	2 25	2/25/2013	0 13	0 29	1/8/2014	0 13		0 29	0 00

Prepared on 8/25/2014

Realized Gains and Losses

As of February 28, 2014

Tax Year 3/25/2013 - 2/25/2014 Short Term Total 271 90 USD Long Term Total 19,945.80 USD Unknown Term Total

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Net Proceeds (USD)	Realized G/L (USD)
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	2.13	3/25/2013	0.13	0.28	1/8/2014	0.13	0.28	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	2.17	4/25/2013	0.13	0.28	1/8/2014	0.13	0.28	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	2.01	5/28/2013	0.13	0.26	1/8/2014	0.13	0.26	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	1.87	8/26/2013	0.13	0.24	1/8/2014	0.13	0.24	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	1.81	9/25/2013	0.13	0.23	1/8/2014	0.13	0.23	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	1.82	10/25/2013	0.13	0.24	1/8/2014	0.13	0.24	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	1.81	11/25/2013	0.13	0.23	1/8/2014	0.13	0.23	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	90.66	12/26/2013	0.13	11.78	1/8/2014	0.13	11.78	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	2.03	6/25/2013	0.13	0.26	1/8/2014	0.13	0.26	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	1.88	7/25/2013	0.13	0.24	1/8/2014	0.13	0.24	0.00
UIT FIRST TRUSTHOMEBUILDERS ¹ RECOVERY PRT SER 2 SEMI ANNUAL	30280D273	0.32	1/9/2013	0.24	0.08	1/8/2014	0.24	0.08	0.00

Prepared on 4/26/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Long Term Total	Short Term Total	Realized G/L (USD)
UIT FIRST TRUSTHOMEBUILDERS ¹ RECOVERY PRT SER 2 SEMI ANNUAL	30280D273	2.66	6/25/2013	0.24	0.64	1/8/2014	0.24	19,945.80 USD	271.90 USD	0.00
UIT FIRST TRUSTHOMEBUILDERS ¹ RECOVERY PRT SER 2 SEMI ANNUAL	30280D273	234.09	12/26/2013	0.24	56.25	1/8/2014	0.24			0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	5.00	12/26/2012	1.43	7.13	12/25/2013	1.43			0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	2.27	1/25/2013	1.43	3.23	12/25/2013	1.43			0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	2.25	2/25/2013	1.43	3.21	12/25/2013	1.43			0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	2.13	3/25/2013	1.43	3.04	12/25/2013	1.43			0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	2.17	4/25/2013	1.43	3.10	12/25/2013	1.43			0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	2.01	5/28/2013	1.43	2.86	12/25/2013	1.43			0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	2.03	6/25/2013	1.43	2.90	12/25/2013	1.43			0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 5 MONTHLY	30280H282	2.79	12/26/2012	1.26	3.52	12/25/2013	1.26			0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 5 MONTHLY	30280H282	1.12	1/9/2013	1.26	1.41	12/25/2013	1.26			0.00

Prepared on 8/25/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Long Term Total	Net Proceeds (USD)	Realized G/L (USD)
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 5 MONTHLY	30280H282	2.70	1/25/2013	1.26	3.40	12/25/2013	1.26	19,945.80 USD	3.40	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 5 MONTHLY	30280H282	2.72	2/25/2013	1.26	3.42	12/25/2013	1.26		3.42	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 5 MONTHLY	30280H282	2.55	3/25/2013	1.26	3.22	12/25/2013	1.26		3.22	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 5 MONTHLY	30280H282	2.56	4/25/2013	1.26	3.22	12/25/2013	1.26		3.22	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 5 MONTHLY	30280H282	2.33	5/28/2013	1.26	2.94	12/25/2013	1.26		2.94	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 5 MONTHLY	30280H282	2.35	6/25/2013	1.26	2.96	12/25/2013	1.26		2.96	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 5 MONTHLY	30280H282	2.19	7/25/2013	1.26	2.76	12/25/2013	1.26		2.76	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 5 MONTHLY	30280H282	2.20	8/26/2013	1.26	2.77	12/25/2013	1.26		2.77	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 5 MONTHLY	30280H282	2.14	9/25/2013	1.26	2.69	12/25/2013	1.26		2.69	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 5 MONTHLY	30280H282	2.29	10/25/2013	1.26	2.88	12/25/2013	1.26		2.88	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 5 MONTHLY	30280H282	2.24	11/25/2013	1.26	2.82	12/25/2013	1.26		2.82	0.00

Prepared on 8/26/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Long Term Total	Net Proceeds (USD)	Unknown Term Total	Realized GA (USD)
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	1.81	9/25/2013	1.43	2.57	12/25/2013	1.43	19,945.80 USD	2.57	—	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	1.82	10/25/2013	1.43	2.60	12/25/2013	1.43		2.60		0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	1.81	11/25/2013	1.43	2.58	12/25/2013	1.43		2.58		0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	1.88	7/25/2013	1.43	2.69	12/25/2013	1.43		2.69		0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	1.87	8/26/2013	1.43	2.67	12/25/2013	1.43		2.67		0.00
UIT FIRST TRUST DIVID STRENGTH ¹ PORT SER 15 MONTHLY FEE ACCT	30280F674	1.97	12/26/2012	0.29	0.58	12/25/2013	0.29		0.58		0.00
UIT FIRST TRUST DIVID STRENGTH ¹ PORT SER 15 MONTHLY FEE ACCT	30280F674	3.55	1/9/2013	0.29	1.05	12/25/2013	0.29		1.05		0.00
UIT FIRST TRUST DIVID STRENGTH ¹ PORT SER 15 MONTHLY FEE ACCT	30280F674	1.92	1/25/2013	0.29	0.56	12/25/2013	0.29		0.56		0.00
UIT FIRST TRUST DIVID STRENGTH ¹ PORT SER 15 MONTHLY FEE ACCT	30280F674	1.91	2/25/2013	0.29	0.56	12/25/2013	0.29		0.56		0.00
UIT FIRST TRUST DIVID STRENGTH ¹ PORT SER 15 MONTHLY FEE ACCT	30280F674	1.85	3/25/2013	0.29	0.55	12/25/2013	0.29		0.55		0.00
UIT FIRST TRUST DIVID STRENGTH ¹ PORT SER 15 MONTHLY FEE ACCT	30280F674	1.88	4/25/2013	0.29	0.55	12/25/2013	0.29		0.55		0.00

Prepared on 8/26/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Long Term Total	Unknown Term Total
UIT FIRST TRUST DIVID STRENGTH ¹ PORT SER 15 MONTHLY FEE ACCT	30280F674	1.75	5/28/2013	0.29	0.51	12/25/2013	0.29	19,945.80 USD	---
UIT FIRST TRUST DIVID STRENGTH ¹ PORT SER 15 MONTHLY FEE ACCT	30280F674	1.81	6/25/2013	0.29	0.53	12/25/2013	0.29		0.00
UIT FIRST TRUST DIVID STRENGTH ¹ PORT SER 15 MONTHLY FEE ACCT	30280F674	1.70	7/25/2013	0.29	0.50	12/25/2013	0.29		0.00
UIT FIRST TRUST DIVID STRENGTH ¹ PORT SER 15 MONTHLY FEE ACCT	30280F674	1.76	8/26/2013	0.29	0.52	12/25/2013	0.29		0.00
UIT FIRST TRUST DIVID STRENGTH ¹ PORT SER 15 MONTHLY FEE ACCT	30280F674	1.67	9/25/2013	0.29	0.49	12/25/2013	0.29		0.00
UIT FIRST TRUST DIVID STRENGTH ¹ PORT SER 15 MONTHLY FEE ACCT	30280F674	1.85	10/25/2013	0.29	0.55	12/25/2013	0.29		0.00
UIT FIRST TRUST DIVID STRENGTH ¹ PORT SER 15 MONTHLY FEE ACCT	30280F674	1.79	11/25/2013	0.29	0.53	12/25/2013	0.29		0.00
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	6.21	12/26/2012	0.81	5.03	12/25/2013	0.81		0.00
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	8.77	1/9/2013	0.81	7.10	12/25/2013	0.81		0.00
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	6.01	1/25/2013	0.81	4.86	12/25/2013	0.81		0.00
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	5.85	2/25/2013	0.81	4.73	12/25/2013	0.81		0.00

Prepared on 8/26/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/USIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Long Term Total	Unknown Term Total
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	5.68	3/25/2013	0.81	4.60	12/25/2013	0.81	19,945.80 USD	—
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	5.41	4/25/2013	0.81	4.38	12/25/2013	0.81		0.00
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	5.33	5/28/2013	0.81	4.32	12/25/2013	0.81		0.00
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	5.48	6/25/2013	0.81	4.43	12/25/2013	0.81		0.00
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	5.15	7/25/2013	0.81	4.16	12/25/2013	0.81		0.00
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	5.35	8/26/2013	0.81	4.33	12/25/2013	0.81		0.00
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	5.08	9/25/2013	0.81	4.11	12/25/2013	0.81		0.00
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	5.09	10/25/2013	0.81	4.12	12/25/2013	0.81		0.00
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	5.15	11/25/2013	0.81	4.16	12/25/2013	0.81		0.00
UIT FIRST TRUSTHOMEBUILDERS ¹ RECOVERY PRT SER 2 SEMI ANNUAL	30280D273	14.41	12/26/2012	3.43	49.48	12/25/2013	3.43		0.00
UIT FIRST TRUSTHOMEBUILDERS ¹ RECOVERY PRT SER 2 SEMI ANNUAL	30280D273	0.32	1/9/2013	3.43	1.09	12/25/2013	3.43		0.00

Prepared on 8/26/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here		Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Long Term Total	Net Proceeds (USD)	Unknown Term Total
								19,945.80 USD		—
UIT FIRST TRUSTHOMEBUILDERS ¹	302800273	2.66	6/25/2013	3.43	9.15	12/25/2013	3.43		9.15	
RECOVERY PRT SER 2 SEMI ANNUAL										
UIT ADVISORS ASSET MGMT TRUST	00770V471	4.47	10/25/2012	10.00	44.67	10/23/2013	10.35		46.22	1.55
BALANCED PORT 15 MONTH SER2012										
UIT ADVISORS ASSET MGMT TRUST	00770V471	4.64	11/26/2012	9.56	44.34	10/23/2013	10.35		48.00	3.66
BALANCED PORT 15 MONTH SER2012										
UIT ADVISORS ASSET MGMT TRUST	00770V471	4.58	12/26/2012	9.78	44.76	10/23/2013	10.35		47.37	2.61
BALANCED PORT 15 MONTH SER2012										
UIT ADVISORS ASSET MGMT TRUST	00770V471	5.59	12/21/2012	9.78	54.66	10/23/2013	10.35		57.85	3.19
BALANCED PORT 15 MONTH SER2012										
UIT ADVISORS ASSET MGMT TRUST	00770V471	2.39	1/9/2013	9.99	23.86	10/23/2013	10.35		24.71	0.85
BALANCED PORT 15 MONTH SER2012										
UIT ADVISORS ASSET MGMT TRUST	00770V471	4.38	1/25/2013	10.17	44.53	10/23/2013	10.35		45.32	0.79
BALANCED PORT 15 MONTH SER2012										
UIT ADVISORS ASSET MGMT TRUST	00770V471	4.38	2/25/2013	10.17	44.52	10/23/2013	10.35		45.30	0.78
BALANCED PORT 15 MONTH SER2012										
UIT ADVISORS ASSET MGMT TRUST	00770V471	4.32	3/25/2013	10.36	44.75	10/23/2013	10.35		44.70	-0.05
BALANCED PORT 15 MONTH SER2012										
UIT ADVISORS ASSET MGMT TRUST	00770V471	4.27	4/25/2013	10.48	44.73	10/23/2013	10.35		44.17	-0.56
BALANCED PORT 15 MONTH SER2012										
UIT ADVISORS ASSET MGMT TRUST	00770V471	4.11	5/28/2013	10.76	44.28	10/23/2013	10.35		42.57	-1.71
BALANCED PORT 15 MONTH SER2012										
UIT ADVISORS ASSET MGMT TRUST	00770V471	4.49	6/25/2013	9.96	44.75	10/23/2013	10.35		46.51	1.76
BALANCED PORT 15 MONTH SER2012										

Prepared on 02/28/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Tax Year 3/25/2013 - 2/25/2014	Short Term Total 271 90 USD	Long Term Total 19,945 80 USD	Unknown Term Total —
UIT ADVISORS ASSET MGMT TRUST BALANCED PORT 15 MONTH SER2012	00770V471	4.29	7/25/2013	10.44	44.73		10/23/2013	10 35	44 35
									Realized GA (USD) -0 38
UIT ADVISORS ASSET MGMT TRUST BALANCED PORT 15 MONTH SER2012	00770V471	4.49	8/26/2013	10.01	44.95		10/23/2013	10 35	46 46
UIT ADVISORS ASSET MGMT TRUST BALANCED PORT 15 MONTH SER2012	00770V471	4.34	9/25/2013	10.32	44.75		10/23/2013	10 35	44.89
UIT ADVISORS ASSET MANAGEMENT HIGH 50 DIVID SER 2012-20	00770T252	11.68	9/25/2012	10.34	120.82		9/24/2013	11 24	131 25
UIT ADVISORS ASSET MANAGEMENT HIGH 50 DIVID SER 2012-20	00770T252	11.82	10/25/2012	10.18	120.31		9/24/2013	11 24	132 80
UIT ADVISORS ASSET MANAGEMENT HIGH 50 DIVID SER 2012-20	00770T252	12.12	11/26/2012	9.71	117.68		9/24/2013	11 24	136.21
UIT ADVISORS ASSET MANAGEMENT HIGH 50 DIVID SER 2012-20	00770T252	11.78	12/26/2012	10.05	118.43		9/24/2013	11 24	132 41
UIT ADVISORS ASSET MANAGEMENT HIGH 50 DIVID SER 2012-20	00770T252	30.54	12/27/2012	10.05	306.92		9/24/2013	11 24	343 15
UIT ADVISORS ASSET MANAGEMENT HIGH 50 DIVID SER 2012-20	00770T252	4.70	1/9/2013	10.24	48.08		9/24/2013	11 24	52 76
UIT ADVISORS ASSET MANAGEMENT HIGH 50 DIVID SER 2012-20	00770T252	11.34	1/25/2013	10.44	118.39		9/24/2013	11.24	127.43
UIT ADVISORS ASSET MANAGEMENT HIGH 50 DIVID SER 2012-20	00770T252	11.31	2/25/2013	10.52	118.89		9/24/2013	11 24	127 04
UIT ADVISORS ASSET MANAGEMENT HIGH 50 DIVID SER 2012-20	00770T252	11.15	3/25/2013	10.78	120.20		9/24/2013	11 24	125.28
									Realized GA (USD) 5.08

Prepared on 8/25/2014

Realized Gains and Losses

As of February 28, 2014

Tax Year
3/25/2013 - 2/25/2014

Short Term Total
271.90 USD

Long Term Total
19,945.80 USD

Unknown Term Total
—

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Net Proceeds (USD)	Realized G/L (USD)
UIT ADVISORS ASSET MANAGEMENT HIGH 50 DIVID SER 2012-2Q	00770T252	11.02	4/25/2013	10.78	118.79	9/24/2013	11.24	123.83	5.04
UIT ADVISORS ASSET MANAGEMENT HIGH 50 DIVID SER 2012-2Q	00770T252	10.42	5/28/2013	11.13	115.98	9/24/2013	11.24	117.10	1.12
UIT ADVISORS ASSET MANAGEMENT HIGH 50 DIVID SER 2012-2Q	00770T252	11.22	6/25/2013	10.43	116.97	9/24/2013	11.24	126.04	9.07
UIT ADVISORS ASSET MANAGEMENT HIGH 50 DIVID SER 2012-2Q	00770T252	10.53	7/25/2013	11.10	116.90	9/24/2013	11.24	118.31	1.41
UIT ADVISORS ASSET MANAGEMENT HIGH 50 DIVID SER 2012-2Q	00770T252	10.84	8/26/2013	10.80	117.07	9/24/2013	11.24	121.84	4.77
UIT FIRST TRUST TARGET DIVERSI GLOBAL ALLOCATION PORT SER 4	30267S186	4.15	9/25/2012	10.71	44.41	9/12/2013	12.15	50.41	6.00
UIT FIRST TRUST TARGET DIVERSI GLOBAL ALLOCATION PORT SER 4	30267S186	3.72	10/25/2012	10.58	39.35	9/12/2013	12.15	45.21	5.86
UIT FIRST TRUST TARGET DIVERSI GLOBAL ALLOCATION PORT SER 4	30267S186	2.98	11/26/2012	10.34	30.84	9/12/2013	12.15	36.24	5.40
UIT FIRST TRUST TARGET DIVERSI GLOBAL ALLOCATION PORT SER 4	30267S186	9.61	12/26/2012	10.80	103.73	9/12/2013	12.15	116.73	13.00
UIT FIRST TRUST TARGET DIVERSI GLOBAL ALLOCATION PORT SER 4	30267S186	7.09	1/25/2013	11.24	79.65	9/12/2013	12.15	86.16	6.51
UIT FIRST TRUST TARGET DIVERSI GLOBAL ALLOCATION PORT SER 4	30267S186	1.91	2/25/2013	11.33	21.68	9/12/2013	12.15	23.25	1.57
UIT FIRST TRUST TARGET DIVERSI GLOBAL ALLOCATION PORT SER 4	30267S186	4.21	3/25/2013	11.61	48.84	9/12/2013	12.15	51.13	2.29

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Realized Gains and Losses

As of February 28, 2014

Tax Year 3/25/2013 - 2/25/2014
 Short Term Total 271 90 USD
 Long Term Total 19,945.80 USD
 Unknown Term Total —

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Net Proceeds (USD)	Realized G/L (USD)
UIT FIRST TRUST TARGET DIVERSI GLOBAL ALLOCATION PORT SER 4	30267S186	5.90	4/25/2013	11.60	68.42	9/12/2013	12.15	71.66	3.24
UIT FIRST TRUST TARGET DIVERSI GLOBAL ALLOCATION PORT SER 4	30267S186	4.26	5/28/2013	12.19	51.96	9/12/2013	12.15	51.81	-0.15
UIT FIRST TRUST TARGET DIVERSI GLOBAL ALLOCATION PORT SER 4	30267S186	5.16	6/25/2013	11.45	59.06	9/12/2013	12.15	62.67	3.61
UIT FIRST TRUST TARGET DIVERSI GLOBAL ALLOCATION PORT SER 4	30267S186	5.79	7/25/2013	12.33	71.39	9/12/2013	12.15	70.34	-1.05
UIT FIRST TRUST TARGET DIVERSI GLOBAL ALLOCATION PORT SER 4	30267S186	2.88	8/26/2013	12.01	34.57	9/12/2013	12.15	34.99	0.42
UIT ADVISORS ASSET MANAGEMENT STRATEGIC HIGH 80 DIVID PORT	00770P714	3.96	8/27/2012	9.82	38.88	8/16/2013	11.12	44.02	5.14
UIT ADVISORS ASSET MANAGEMENT STRATEGIC HIGH 80 DIVID PORT	00770P714	3.82	9/25/2012	10.14	38.78	8/16/2013	11.12	42.52	3.74
UIT ADVISORS ASSET MANAGEMENT STRATEGIC HIGH 80 DIVID PORT	00770P714	3.86	10/25/2012	10.01	38.68	8/16/2013	11.12	42.99	4.31
UIT ADVISORS ASSET MANAGEMENT STRATEGIC HIGH 80 DIVID PORT	00770P714	4.01	11/26/2012	9.54	38.21	8/16/2013	11.12	44.56	6.35
UIT ADVISORS ASSET MANAGEMENT STRATEGIC HIGH 80 DIVID PORT	00770P714	3.81	12/26/2012	10.13	38.57	8/16/2013	11.12	42.37	3.80
UIT ADVISORS ASSET MANAGEMENT STRATEGIC HIGH 80 DIVID PORT	00770P714	0.42	12/27/2012	9.97	4.17	8/16/2013	11.12	4.65	0.48
UIT ADVISORS ASSET MANAGEMENT STRATEGIC HIGH 80 DIVID PORT	00770P714	3.00	1/9/2013	10.29	30.90	8/16/2013	11.12	33.41	2.51

Prepared on 8/26/2014

Realized Gains and Losses

As of February 28, 2014

Tax Year
3/25/2013 - 2/25/2014

Short Term Total
271 90 USD

Long Term Total
19,945.80 USD

Unknown Term Total

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Net Proceeds (USD)	Realized G/L (USD)
UIT ADVISORS ASSET MANAGEMENT STRATEGIC HIGH 80 DIVID PORT	00770P714	3.68	1/25/2013	10.55	38.78	8/16/2013	11.12	40.89	2.11
UIT ADVISORS ASSET MANAGEMENT STRATEGIC HIGH 80 DIVID PORT	00770P714	3.70	2/25/2013	10.54	39.03	8/16/2013	11.12	41.20	2.17
UIT ADVISORS ASSET MANAGEMENT STRATEGIC HIGH 80 DIVID PORT	00770P714	3.64	3/25/2013	10.85	39.46	8/16/2013	11.12	40.45	0.99
UIT ADVISORS ASSET MANAGEMENT STRATEGIC HIGH 80 DIVID PORT	00770P714	3.68	4/25/2013	10.72	39.43	8/16/2013	11.12	40.92	1.49
UIT ADVISORS ASSET MANAGEMENT STRATEGIC HIGH 80 DIVID PORT	00770P714	3.44	5/28/2013	11.16	38.38	8/16/2013	11.12	38.25	-0.13
UIT ADVISORS ASSET MANAGEMENT STRATEGIC HIGH 80 DIVID PORT	00770P714	3.69	6/25/2013	10.37	38.24	8/16/2013	11.12	41.03	2.79
UIT ADVISORS ASSET MANAGEMENT STRATEGIC HIGH 80 DIVID PORT	00770P714	3.28	7/25/2013	11.09	36.33	8/16/2013	11.12	36.45	0.12
OPPENHEIMER INTL 80 FD ² CLASS Y	OIBYX 68380T509	29.80	8/6/2012	6.49	193.41	8/5/2013	6.14	182.98	-10.43
TEMPLETON GLOBAL BOND FUND ² ADVISOR CL	TGBAX 880208400	111.75	8/6/2012	13.20	1,475.14	8/5/2013	12.95	1,447.20	-27.94
UIT ADVISORS ASSET MANAGEMENT BALANCED PORT 15 MONTH SER	00770N693	9.65	7/25/2012	9.73	93.94	7/24/2013	10.50	101.31	7.37
UIT ADVISORS ASSET MANAGEMENT BALANCED PORT 15 MONTH SER	00770N693	9.37	8/27/2012	9.89	92.76	7/24/2013	10.50	98.42	5.66
UIT ADVISORS ASSET MANAGEMENT BALANCED PORT 15 MONTH SER	00770N693	9.13	9/25/2012	10.18	92.92	7/24/2013	10.50	95.86	2.94

Prepared on 8/26/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Long Term Total	Net Proceeds (USD)	Realized G/L (USD)
UIT ADVISORS ASSET MANAGEMENT BALANCED PORT 15 MONTH SER	00770N693	9.21	10/25/2012	10.09	92.90	7/24/2013	10.50	19,945.80 USD	96.69	3.79
UIT ADVISORS ASSET MANAGEMENT BALANCED PORT 15 MONTH SER	00770N693	9.61	11/26/2012	9.64	92.69	7/24/2013	10.50		100.91	8.22
UIT ADVISORS ASSET MANAGEMENT BALANCED PORT 15 MONTH SER	00770N693	9.44	12/26/2012	9.90	93.38	7/24/2013	10.50		99.07	5.69
UIT ADVISORS ASSET MANAGEMENT BALANCED PORT 15 MONTH SER	00770N693	9.70	12/27/2012	9.90	96.01	7/24/2013	10.50		101.86	5.85
UIT ADVISORS ASSET MANAGEMENT BALANCED PORT 15 MONTH SER	00770N693	5.49	1/9/2013	10.09	55.44	7/24/2013	10.50		57.67	2.23
UIT ADVISORS ASSET MANAGEMENT BALANCED PORT 15 MONTH SER	00770N693	9.22	1/25/2013	10.29	94.87	7/24/2013	10.50		96.81	1.94
UIT ADVISORS ASSET MANAGEMENT BALANCED PORT 15 MONTH SER	00770N693	9.24	2/25/2013	10.32	95.36	7/24/2013	10.50		96.98	1.62
UIT ADVISORS ASSET MANAGEMENT BALANCED PORT 15 MONTH SER	00770N693	9.11	3/25/2013	10.55	96.03	7/24/2013	10.50		95.59	-0.44
UIT ADVISORS ASSET MANAGEMENT BALANCED PORT 15 MONTH SER	00770N693	9.02	4/25/2013	10.66	96.16	7/24/2013	10.50		94.70	-1.46
UIT ADVISORS ASSET MANAGEMENT BALANCED PORT 15 MONTH SER	00770N693	8.71	5/28/2013	10.95	95.37	7/24/2013	10.50		91.47	-3.90
UIT ADVISORS ASSET MANAGEMENT BALANCED PORT 15 MONTH SER	00770N693	9.49	6/25/2013	10.07	95.65	7/24/2013	10.50		99.68	4.03
UIT FIRST TRUST TARGET GLOBAL DVD LEADERS 20 12 TERM 7/9/13	30279M730	9.66	7/25/2012	9.27	89.47	7/12/2013	10.21		98.59	9.12

Prepared on 9/26/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Tax Year 3/25/2013 - 2/25/2014	Short Term Total 271.90 USD	Long Term Total 19,945.80 USD	Unknown Term Total —	Realized G/L (USD)
UIT FIRST TRUST TARGET GLOBAL DVD LEADERS 2Q 12 TERM 7/9/13	30279M730	5.66	8/27/2012	9.35	52.91	7/12/2013	10.21	57.77	4.86
UIT FIRST TRUST TARGET GLOBAL DVD LEADERS 2Q 12 TERM 7/9/13	30279M730	6.21	9/25/2012	9.48	58.84	7/12/2013	10.21	63.41	4.57
UIT FIRST TRUST TARGET GLOBAL DVD LEADERS 2Q 12 TERM 7/9/13	30279M730	6.44	10/25/2012	9.41	60.58	7/12/2013	10.21	65.71	5.13
UIT FIRST TRUST TARGET GLOBAL DVD LEADERS 2Q 12 TERM 7/9/13	30279M730	9.31	11/26/2012	9.00	83.81	7/12/2013	10.21	95.05	11.24
UIT FIRST TRUST TARGET GLOBAL DVD LEADERS 2Q 12 TERM 7/9/13	30279M730	11.03	12/26/2012	9.46	104.31	7/12/2013	10.21	112.58	8.27
UIT FIRST TRUST TARGET GLOBAL DVD LEADERS 2Q 12 TERM 7/9/13	30279M730	1.06	1/9/2013	9.75	10.34	7/12/2013	10.21	10.83	0.49
UIT FIRST TRUST TARGET GLOBAL DVD LEADERS 2Q 12 TERM 7/9/13	30279M730	9.83	1/25/2013	10.00	98.32	7/12/2013	10.21	100.35	2.03
UIT FIRST TRUST TARGET GLOBAL DVD LEADERS 2Q 12 TERM 7/9/13	30279M730	4.31	2/25/2013	10.13	43.71	7/12/2013	10.21	44.04	0.33
UIT FIRST TRUST TARGET GLOBAL DVD LEADERS 2Q 12 TERM 7/9/13	30279M730	5.77	3/25/2013	10.40	60.00	7/12/2013	10.21	58.93	-1.07
UIT FIRST TRUST TARGET GLOBAL DVD LEADERS 2Q 12 TERM 7/9/13	30279M730	10.70	4/25/2013	10.46	111.84	7/12/2013	10.21	109.21	-2.63
UIT FIRST TRUST TARGET GLOBAL DVD LEADERS 2Q 12 TERM 7/9/13	30279M730	7.58	5/28/2013	10.90	82.68	7/12/2013	10.21	77.42	-5.26
UIT ADVISORS ASSET MANAGEMENT ¹ SER 2012-3Q ENHANCED EQUITY	00770T815	1,053.00	9/4/2012	0.10	100.04	6/25/2013	0.10	100.04	0.00

Prepared on 8/26/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Tax Year 3/25/2013 - 2/25/2014	Sale Date	Unit Proceeds (USD)	Long Term Total 19,945.80 USD	Net Proceeds (USD)	Realized G/L (USD)
UIT ADVISORS ASSET MANAGEMENT ¹	00770T815	1,053.00	9/4/2012	0.09	99.82	3/25/2013	0.10	99.82	0.00	0.00
SER 2012-3Q ENHANCED EQUITY										
Long Term					195,507.32				215,453.12	19,945.80
UIT ADVISORS ASSET MANAGEMENT	00770T815	1,053.00	9/4/2012	9.00	9,476.26	1/27/2014	9.51	10,015.29	539.03	539.03
SER 2012-3Q ENHANCED EQUITY										
UIT FIRST TRUSTHOMEBUILDERS RECOVERY PRT SER 2 SEMI ANNUAL	30280D273	843.00	9/4/2012	8.02	6,757.15	1/24/2014	12.50	10,535.65	3,778.49	3,778.49
UIT FIRST TRUSTHOMEBUILDERS RECOVERY PRT SER 2 SEMI ANNUAL	30280D273	14.41	12/26/2012	9.65	139.08	1/24/2014	12.50	180.12	41.04	41.04
UIT FIRST TRUSTHOMEBUILDERS RECOVERY PRT SER 2 SEMI ANNUAL	30280D273	0.32	1/9/2013	10.07	3.20	1/24/2014	12.50	3.97	0.77	0.77
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	838.00	8/6/2012	0.13	108.85	1/8/2014	0.13	108.85	0.00	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	2.55	8/27/2012	0.13	0.33	1/8/2014	0.13	0.33	0.00	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	2.41	9/25/2012	0.13	0.31	1/8/2014	0.13	0.31	0.00	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	2.44	10/25/2012	0.13	0.32	1/8/2014	0.13	0.32	0.00	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	2.48	11/26/2012	0.13	0.32	1/8/2014	0.13	0.32	0.00	0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	5.00	12/26/2012	0.13	0.65	1/8/2014	0.13	0.65	0.00	0.00

Prepared on 8/25/2014

Realized Gains and Losses

As of February 28, 2014

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Net Proceeds (USD)	Long Term Total	Unknown Term Total
UIT FIRST TRUSTHOMEBUILDERS ¹ RECOVERY PRT SER 2 SEMI ANNUAL	30280D273	843.00	9/4/2012	0.24	202.57	1/8/2014	0.24	202.57	19,945.80 USD	—
UIT FIRST TRUSTHOMEBUILDERS ¹ RECOVERY PRT SER 2 SEMI ANNUAL	30280D273	14.41	12/26/2012	0.24	3.46	1/8/2014	0.24	3.46		0.00
UIT ADVISORS ASSET MANAGEMENT ¹ SER 2012-3Q ENHANCED EQUITY	00770T815	1,053.00	9/4/2012	0.11	118.99	12/25/2013	0.11	118.99		0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	838.00	8/6/2012	1.43	1,194.91	12/25/2013	1.43	1,194.91		0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	2.55	8/27/2012	1.43	3.64	12/25/2013	1.43	3.64		0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	2.41	9/25/2012	1.43	3.44	12/25/2013	1.43	3.44		0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	2.44	10/25/2012	1.43	3.48	12/25/2013	1.43	3.48		0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 4 MONTHLY	30279U161	2.48	11/26/2012	1.43	3.53	12/25/2013	1.43	3.53		0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 5 MONTHLY	30280H282	1,034.00	9/4/2012	1.26	1,302.53	12/25/2013	1.26	1,302.53		0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 5 MONTHLY	30280H282	2.50	9/25/2012	1.26	3.15	12/25/2013	1.26	3.15		0.00
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 5 MONTHLY	30280H282	2.93	10/25/2012	1.26	3.69	12/25/2013	1.26	3.69		0.00

Prepared on 8/26/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Long Term Total	Unknown Term Total	Realized G/L (USD)
UIT FIRST TRUST DEEP VALUE SUB ¹ 10 DIVID PORT SER 5 MONTHLY	30280H282	3.01	11/26/2012	1.26	3.79	12/25/2013	1.26	19,945.80 USD	—	0.00
UIT FIRST TRUST DIVID STRENGTH ¹ PORT SER 15 MONTHLY FEE ACCT	30280F674	827.00	8/6/2012	0.29	243.30	12/25/2013	0.29	243.30	243.30	0.00
UIT FIRST TRUST DIVID STRENGTH ¹ PORT SER 15 MONTHLY FEE ACCT	30280F674	0.47	8/27/2012	0.29	0.14	12/25/2013	0.29	0.14	0.14	0.00
UIT FIRST TRUST DIVID STRENGTH ¹ PORT SER 15 MONTHLY FEE ACCT	30280F674	1.89	9/25/2012	0.29	0.56	12/25/2013	0.29	0.56	0.56	0.00
UIT FIRST TRUST DIVID STRENGTH ¹ PORT SER 15 MONTHLY FEE ACCT	30280F674	1.98	10/25/2012	0.29	0.58	12/25/2013	0.29	0.58	0.58	0.00
UIT FIRST TRUST DIVID STRENGTH ¹ PORT SER 15 MONTHLY FEE ACCT	30280F674	2.06	11/26/2012	0.29	0.60	12/25/2013	0.29	0.60	0.60	0.00
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 9 MONTHLY	30279J851	851.00	4/12/2012	0.79	670.42	12/25/2013	0.79	670.42	670.42	0.00
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 9 MONTHLY	30279J851	832.00	5/1/2012	0.79	655.45	12/25/2013	0.79	655.45	655.45	0.00
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	6.06	8/27/2012	0.81	4.90	12/25/2013	0.81	4.90	4.90	0.00
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	5.92	9/25/2012	0.81	4.79	12/25/2013	0.81	4.79	4.79	0.00
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	6.12	10/25/2012	0.81	4.95	12/25/2013	0.81	4.95	4.95	0.00

Prepared on 8/26/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Long Term Total	Unknown Term Total	Realized G/L (USD)
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	6.56	11/26/2012	0.81	5.31	12/25/2013	0.81	19,945.80 USD	—	0.00
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	848.00	6/1/2012	0.81	686.28	12/25/2013	0.81		686.28	0.00
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	1.03	6/25/2012	0.81	0.84	12/25/2013	0.81		0.84	0.00
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	799.00	6/27/2012	0.81	646.63	12/25/2013	0.81		646.63	0.00
UIT FIRST TRUST HIGH DIVID ¹ EQUITY PORT SER 10 MONTHLY FEE	30279V342	6.04	7/25/2012	0.81	4.88	12/25/2013	0.81		4.88	0.00
UIT FIRST TRUSTHOMEBUILDERS ¹ RECOVERY PRT SER 2 SEMI ANNUAL	30280D273	843.00	9/4/2012	3.43	2,894.45	12/25/2013	3.43		2,894.45	0.00
UIT ADVISORS ASSET MGMT TRUST BALANCED PORT 15 MONTH SER2012	00770V471	806.00	8/6/2012	9.92	7,992.78	10/23/2013	10.35		8,339.60	346.82
UIT ADVISORS ASSET MGMT TRUST BALANCED PORT 15 MONTH SER2012	00770V471	3.20	9/25/2012	10.16	32.48	10/23/2013	10.35		33.07	0.59
UIT ADVISORS ASSET MANAGEMENT ¹ SER 2012-3Q ENHANCED EQUITY	00770T815	1,053.00	9/4/2012	0.09	99.93	9/25/2013	0.09		99.93	0.00
UIT ADVISORS ASSET MANAGEMENT HIGH 50 DIVID SER 2012-2Q	00770T252	832.00	6/27/2012	9.58	7,972.31	9/24/2013	11.24		9,349.60	1,377.29
UIT ADVISORS ASSET MANAGEMENT HIGH 50 DIVID SER 2012-2Q	00770T252	788.00	8/6/2012	10.11	7,968.89	9/24/2013	11.24		8,855.15	886.26

Prepared on 8/26/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Tax Year 3/25/2013 - 2/25/2014	Short Term Total 271.90 USD	Long Term Total 19,945.80 USD	Net Proceeds (USD)	Unknown Term Total
UIT ADVISORS ASSET MANAGEMENT HIGH 50 DIVID SER 2012-2Q	00770T252	6.01	8/27/2012	10.07	60.52		9/24/2013	11.24	67.57	7.05
UIT ADVISORS ASSET MANAGEMENT HIGH 50 DIVID SER 2012-2Q	00770T252	982.00	9/4/2012	10.14	9,952.57		9/24/2013	11.24	11,035.23	1,082.66
UIT FIRST TRUST TARGET DIVERSI GLOBAL ALLOCATION PORT SER 4	30267S186	821.00	6/1/2012	9.76	8,008.86		9/12/2013	12.15	9,977.53	1,968.67
UIT FIRST TRUST TARGET DIVERSI GLOBAL ALLOCATION PORT SER 4	30267S186	789.00	6/27/2012	10.15	8,005.12		9/12/2013	12.15	9,588.64	1,583.52
UIT FIRST TRUST TARGET DIVERSI GLOBAL ALLOCATION PORT SER 4	30267S186	3.34	7/25/2012	10.30	34.45		9/12/2013	12.15	40.65	6.20
UIT FIRST TRUST TARGET DIVERSI GLOBAL ALLOCATION PORT SER 4	30267S186	1.73	8/27/2012	10.54	18.23		9/12/2013	12.15	21.03	2.80
UIT ADVISORS ASSET MANAGEMENT STRATEGIC HIGH 80 DIVID PORT	00770P714	885.00	6/1/2012	9.05	8,007.30		8/16/2013	11.12	9,844.83	1,837.53
UIT ADVISORS ASSET MANAGEMENT STRATEGIC HIGH 80 DIVID PORT	00770P714	2.56	6/25/2012	9.55	24.43		8/16/2013	11.12	28.46	4.03
UIT ADVISORS ASSET MANAGEMENT STRATEGIC HIGH 80 DIVID PORT	00770P714	4.20	7/25/2012	9.52	40.03		8/16/2013	11.12	46.76	6.73
ALGER SMIDCAP GROWTH FUND CL A	ALMAX 015565732	183.99	4/12/2012	17.03	3,133.31		8/5/2013	20.11	3,700.00	566.69
BLACKROCK EQUITY DIVIDEND FUND INSTL CL	MADVX 09251M504	162.00	4/12/2012	19.39	3,141.10		8/5/2013	22.84	3,700.00	558.90

Prepared on 8/25/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Long Term Total	Net Proceeds (USD)	Realized G/L (USD)
BLACKROCK GLOBAL ALLOCATION FD INC INSTL CL	46.73	4/12/2012	19.48	910.28	8/5/2013	21.40	19,945.80 USD	1,000.00	89.72
FIDELITY ADVISOR NEW INSIGHTS FD CL I	104.88	4/12/2012	22.84	2,395.51	8/5/2013	27.65		2,900.00	504.49
IWA FIDUCIARY TR IWA WORLDWIDE FD CL I	62.36	4/12/2012	16.04	1,000.22	8/5/2013	17.64		1,100.00	99.78
IWY ASSET STRATEGY I	124.91	4/12/2012	25.72	3,212.77	8/5/2013	28.82		3,600.00	387.23
OPPENHEIMER INTL BD FD ² CLASS Y	947.87	4/12/2012	6.32	5,993.90	8/5/2013	6.14		5,819.90	-174.00
OPPENHEIMER INTL BD FD ² CLASS Y	1.37	5/1/2012	6.38	8.76	8/5/2013	6.14		8.43	-0.33
OPPENHEIMER INTL BD FD ² CLASS Y	940.44	5/1/2012	6.38	6,000.00	8/5/2013	6.14		5,774.29	-225.71
OPPENHEIMER INTL BD FD ² CLASS Y	6.16	6/1/2012	6.19	38.12	8/5/2013	6.14		37.81	-0.31
OPPENHEIMER INTL BD FD ² CLASS Y	969.31	6/1/2012	6.19	6,000.00	8/5/2013	6.14		5,951.53	-48.47
OPPENHEIMER INTL BD FD ² CLASS Y	910.54	6/27/2012	6.26	5,700.00	8/5/2013	6.14		5,590.73	-109.27
OPPENHEIMER INTL BD FD ² CLASS Y	9.58	7/2/2012	6.30	60.37	8/5/2013	6.14		58.84	-1.53

Prepared on 8/26/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Long Term Total	Short Term Total	Net Proceeds (USD)	Unknown Term Total	Realized G/L (USD)
OPPENHEIMER INTL BD FD ² CLASS Y	OIBYX 683801509	12.29	8/1/2012	6.44	79.17	8/5/2013	6.14	19,945.80 USD	271.90 USD	75.48	—	-3.89
TEMPLETON GLOBAL BOND FUND ² ADVISOR CL	TGBAX 880208400	460.12	4/12/2012	13.04	5,998.75	8/5/2013	12.95			5,958.59		-40.16
TEMPLETON GLOBAL BOND FUND ² ADVISOR CL	TGBAX 880208400	1.88	4/18/2012	12.93	24.25	8/5/2013	12.95			24.28		0.03
TEMPLETON GLOBAL BOND FUND ² ADVISOR CL	TGBAX 880208400	458.37	5/1/2012	13.09	6,000.00	8/5/2013	12.95			5,935.83		-64.17
TEMPLETON GLOBAL BOND FUND ² ADVISOR CL	TGBAX 880208400	3.86	5/17/2012	12.59	48.60	8/5/2013	12.95			49.99		1.39
TEMPLETON GLOBAL BOND FUND ² ADVISOR CL	TGBAX 880208400	491.40	6/1/2012	12.21	6,000.00	8/5/2013	12.95			6,363.63		363.63
TEMPLETON GLOBAL BOND FUND ² ADVISOR CL	TGBAX 880208400	5.93	6/19/2012	12.54	74.32	8/5/2013	12.95			76.75		2.43
TEMPLETON GLOBAL BOND FUND ² ADVISOR CL	TGBAX 880208400	451.31	6/27/2012	12.63	5,700.00	8/5/2013	12.95			5,844.41		144.41
TEMPLETON GLOBAL BOND FUND ² ADVISOR CL	TGBAX 880208400	7.67	7/18/2012	12.87	98.70	8/5/2013	12.95			99.31		0.61
TEMPLETON GLOBAL INVT TR BALANCED FUND ADVISOR CL	TZINX 88019R765	844.16	4/12/2012	2.75	2,321.43	8/5/2013	3.08			2,600.00		278.57
UIT ADVISORS ASSET MANAGEMENT BALANCED PORT 15 MONTH SER	00770N693	868.00	6/1/2012	9.23	8,008.52	7/24/2013	10.50			9,112.87		1,104.35

Prepared on 8/26/2014

Realized Gains and Losses

As of February 28, 2014

Securities Held Here	Ticker/CUSIP	Quantity	Purchase Date	Unit Cost (USD)	Net Cost (USD)	Sale Date	Unit Proceeds (USD)	Long Term Total	Short Term Total	Tax Year	3/25/2013 - 2/25/2014	271.90 USD	Long Term Total	19,945.80 USD	Unknown Term Total	Realized G/L (USD)
UIT ADVISORS ASSET MANAGEMENT BALANCED PORT 15 MONTH SER	00770N693	1.98	6/25/2012	9.57	18.92	7/24/2013	10.50									1.83
UIT ADVISORS ASSET MANAGEMENT BALANCED PORT 15 MONTH SER	00770N693	835.00	6/27/2012	9.59	8,007.15	7/24/2013	10.50								8,766.41	759.26
UIT FIRST TRUST TARGET GLOBAL DVD LEADERS 20 12 TERM 7/9/13	30279M730	835.00	4/12/2012	9.58	8,002.06	7/12/2013	10.21								8,526.10	524.04
UIT FIRST TRUST TARGET GLOBAL DVD LEADERS 20 12 TERM 7/9/13	30279M730	823.00	5/1/2012	9.71	7,994.46	7/12/2013	10.21								8,403.57	409.11
UIT FIRST TRUST TARGET GLOBAL DVD LEADERS 20 12 TERM 7/9/13	30279M730	6.74	5/25/2012	8.87	59.85	7/12/2013	10.21								68.86	9.01
UIT FIRST TRUST TARGET GLOBAL DVD LEADERS 20 12 TERM 7/9/13	30279M730	10.76	5/25/2012	9.14	98.39	7/12/2013	10.21								109.90	11.51
UIT ADVISORS ASSET MANAGEMENT SER 2012-1Q UNT 814 STRTGIC HI	00770L580	822.00	4/12/2012	9.74	8,008.99	5/17/2013	10.61								8,723.23	714.24
UIT ADVISORS ASSET MANAGEMENT SER 2012-1Q UNT 814 STRTGIC HI	00770L580	811.00	5/1/2012	9.86	7,993.78	5/17/2013	10.61								8,606.49	612.71
Unknown Term																
Securities Held Here Total											206,560.51		226,798.21		20,217.70	

This report does not constitute a replacement for your 1099 Form or your RBC Wealth Management monthly statements. Options on broad-based indexes and other 1256 contracts are reflected within the term that they are held, however for tax purposes any gain or loss may be reportable as 40% short-term and 60% long-term.
1 Return of Principal, 2 Wash Sale.

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45-459181

EXHIBIT C

Page 4 of 13

ASSET DETAIL

The Estimated Annualized Income ("EAI") for certain securities could include a return of principal or capital gains, in which case EAI depicted on this account statement would be overstated. EAI is only an estimate of income generated by the investment and the actual income may be higher or lower. In the event the investment matures, is sold or called, the full EAI may not be realized.

* The Unrealized Gain/Loss may not reflect your investments' total return. Specifically, the net cost may include dividend and capital gains distributions which have been reinvested. Additionally, the information that appears in these columns may be based on information provided by you or at your direction. RBC has not verified such data. Please see "About Your Statement" on page 2 for further information.

Your Financial Advisor has elected to display Asset Detail with the following options: asset purchases (tax lots) consolidated

RBC BANK DEPOSIT PROGRAM

Deposits in the RBC Bank Deposit Program, with the exception of amounts classified as "Pending Deposits," are held by the Program Banks, not RBC Capital Markets. They are not covered by SIPC. Balances classified as "Pending Deposits" are scheduled to be deposited in the Program Banks on the business day following the statement date, and thus, these deposits are covered by SIPC until such time as they are deposited in the Program Banks. Deposit information is included on this statement solely as a service to our clients. All balance and transaction information is provided by the Program Banks. RBC Capital Markets is not responsible for the accuracy of this information. Deposits are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000 per depositor at each bank subject to certain limitations. This means that your funds in excess of \$250,000 in the same insurable capacity at any single Program Bank are not insured. FDIC insurance coverage amounts are subject to the combined total of all deposits at a specific Program Bank including deposits held by the Program Bank outside of this account. Please refer to the RBC Bank Deposit Program Disclosure Statement and our website at www.rbcconnect.com for more details, including the current aggregate FDIC deposit insurance amount available through the Program. For questions concerning bank balances, please contact your Financial Advisor.

DESCRIPTION	BANK BALANCE	CURRENT BALANCE	PREVIOUS STATEMENT BALANCE	YTD INCOME
RBC BANK DEPOSIT PROGRAM		\$22,029.77	\$21,130.70	\$0.39
NOT SIPC COVERED				

DEPOSITS ARE HELD AT:
NY Community Bank Westbury, NY

TOTAL RBC BANK DEPOSIT PROGRAM		\$22,029.77		\$0.39
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US EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
ALGER SMIDCAP GROWTH FUND CL A	ALMAX	1,655.494	\$19.730	\$32,662.90	\$27,704.07	\$4,958.83	
				Purchase	\$22,316.69	\$4,412.33	
				Reinvest	\$5,387.38	\$546.49	
BLACKROCK EQUITY DIVIDEND FUND INSTL CL	MADVX	2,516.947	\$24.140	\$60,759.10	\$48,620.04	\$12,139.06	\$1,140.18
				Purchase	\$46,508.90	\$11,867.72	
				Reinvest	\$2,111.14	\$271.33	
FIDELITY ADVISOR NEW INSIGHTS FD CL I	FINSX	1,181.604	\$27.910	\$32,978.57	\$26,837.94	\$6,140.63	
				Purchase	\$22,804.49	\$5,786.17	
				Reinvest	\$4,033.45	\$354.44	
MAIRS & PWR FDS TR GROWTH FD	MPGFX	281.011	\$111.550	\$31,346.78	\$29,075.74	\$2,271.04	\$325.97
				Purchase	\$28,500.00	\$2,267.16	
				Reinvest	\$575.74	\$3.87	
OPPENHEIMER STEELPATH MLP ALPHA FUND CLASS Y	MLPOX	1,531.582	\$12.490	\$19,129.46	\$18,260.25	\$869.21	\$1,055.26
				Purchase	\$17,494.06	\$843.62	
				Reinvest	\$766.19	\$25.58	



RBC Wealth Management

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INVESTMENT ACCESS ACCOUNT STATEMENT WITH PORTFOLIO FOCUS

FEBRUARY 1, 2014 - FEBRUARY 28, 2014

Page 5 of 13

US EQUITIES (continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
UIT ADVISORS ASSET MANAGEMENT ADVISRS DSCPLN TR SER 2013-2Q STRIC HIGH 80 DIVD FEE BASD RI	00771H257	1,848.259	\$10.070	\$18,611.97	\$18,014.99 \$17,323.23 \$691.76	\$596.98 \$581.23 \$15.74	\$796.60
UIT ADVISORS ASSET MANAGEMENT SER 2013-3Q STRATEGIC HIGH 80 DIVD PORTFOLIO FEE BASED CASH	00771L605	1,073.000	\$10.200	\$10,944.60	\$10,467.12	\$477.48	\$490.36
UIT ADVISORS ASSET MANAGEMENT 2013-3Q HIGH 50R DIVID STRATEGY PORT FEE BASED RNVST	00771M173	3,264.612	\$10.150	\$33,135.82	\$32,455.69 \$31,510.12 \$945.57	\$680.13 \$665.38 \$14.74	\$1,619.25
UIT ADVISORS ASSET MANAGEMENT DISPLN TR SER 2014-1Q ENHANCED EQUITY INCOME PORT FEE BASD CA	00771Q638	1,090.000	\$9.950	\$10,845.50	\$10,453.32	\$392.18	
UIT FIRST TRUST SER 18 MKT STRENGTH ALLOCATION PLUS PRT SEMI ANNL FEE ACCT RI	30283A797	1,514.000	\$9.830	\$14,882.62	\$14,293.07	\$589.55	\$252.84
UIT FIRST TRUST DEEP VALUE SUB 10 DIVD PORT SER 4 MONTHLY FEE ACCT REINVESTMENT	30279U161	978.166	\$14.210	\$13,899.73	\$8,483.38 \$6,659.26 \$1,824.12	\$5,416.35 \$5,248.72 \$167.63	\$302.25
UIT FIRST TRUST DEEP VALUE SUB 10 DIVD PORT SER 5 MONTHLY FEE ACCT REINVESTMENT	30280H282	1,175.615	\$14.030	\$16,493.88	\$10,554.91 \$8,696.66 \$1,858.25	\$5,938.97 \$5,810.36 \$128.60	\$362.09
UIT FIRST TRUST DIVID STRENGTH PORT SER 15 MONTHLY FEE ACCT REINVESTMENT	30280F674	884.032	\$13.060	\$11,545.46	\$8,407.22 \$7,753.54 \$653.68	\$3,138.24 \$3,047.08 \$91.15	\$259.91
UIT FIRST TRUST HIGH DIVID EQUITY PORT SER 9 MONTHL FEE ACCT CASH	30279J851	1,683.000	\$12.350	\$20,785.05	\$14,656.20	\$6,128.85	\$654.69
UIT FIRST TRUST HIGH DIVID EQUITY PORT SER 10 MONTHLY FEE ACCT REINVESTMENT	30279V342	1,878.130	\$13.060	\$24,528.38	\$17,427.84 \$14,677.46 \$2,750.38	\$7,100.54 \$6,832.36 \$268.18	\$741.86
UIT FIRST TRUST TARGET DIVERSIFIED GLOBAL SER 9 ALCATON PRT MO FEE ACCT REINV	30282C737	2,113.515	\$10.600	\$22,403.26	\$20,604.72 \$20,350.63 \$254.09	\$1,798.54 \$1,792.77 \$5.76	\$674.21
TOTAL US EQUITIES				\$374,953.08	\$316,316.50	\$58,636.58	\$8,675.47

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662 SUNSET COURT

45-459181

Page 6 of 13

INTERNATIONAL EQUITIES

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
DWS GLOBAL/INTL FD INC REEF	TOLSX	2,289.522	\$13.870	\$31,755.67	\$29,449.06	\$2,306.61	\$487.67
GLOBAL INFRASTRUCTURE FD CL S						\$2,238.33	
						\$68.27	
TOTAL INTERNATIONAL EQUITIES				\$31,755.67	\$29,449.06	\$2,306.61	\$487.67

TAXABLE FIXED INCOME

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
OPPENHEIMER INTL BD FD CLASS Y	OIBYX	2,389.807	\$6.080	\$14,530.03	\$15,467.35	-\$937.32	\$580.72
						-\$825.22	
TEMPLETON GLOBAL BOND FUND ADVISOR CL	TGBAX	1,144.984	\$12.900	\$14,770.29	\$15,048.63	-\$278.34	\$587.38
						-\$199.24	
TOTAL TAXABLE FIXED INCOME		3,534.791		\$29,300.32	\$30,515.98	-\$1,215.66	\$1,168.10

MIXED ASSETS

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
BLACKROCK GLOBAL ALLOCATION FD INC INSTL CL	MALOX	2,804.098	\$21.630	\$60,652.64	\$53,619.02	\$7,033.62	\$919.74
						\$6,854.52	
CAPITAL INCOME BUILDER FD CL F-2	CAIFX	770.569	\$59.160	\$45,586.86	\$39,642.77	\$5,944.09	\$1,589.68
						\$5,717.61	
FRANKLIN CUSTODIAN FUNDS INC INCOME SERIES ADVISOR CL	FRIAX	19,184.466	\$2.460	\$47,193.79	\$41,136.93	\$6,056.86	\$2,493.98
						\$5,709.95	
GOLDMAN SACHS SATELLITE STRATEGIES PORT CLASS A	GXSAX	7,208.030	\$8.280	\$59,682.49	\$4,036.93	\$346.90	\$1,982.21
						\$2,823.21	
GOLDMAN SACHS TR DYNAMIC ALLOCATION FD CL A	GDAFX	2,715.600	\$10.820	\$29,382.79	\$28,940.72	\$442.07	
						\$420.29	
IVA FIDUCIARY TR IVA WORLDWIDE FD CL I	IWIX	1,688.997	\$18.170	\$30,689.08	\$1,840.72	\$21.78	\$415.49
						\$4,210.75	
IVY ASSET STRATEGY I	IVAEX	1,490.342	\$32.840	\$48,942.83	\$26,478.33	\$4,034.75	\$289.13
						\$1,755.98	
						\$12,472.07	
						\$12,120.27	



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**INVESTMENT ACCESS
ACCOUNT STATEMENT
WITH PORTFOLIO FOCUS**
FEBRUARY 1, 2014 - FEBRUARY 28, 2014

MIXED ASSETS
(Continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
TEMPLETON GLOBAL INVT TR BALANCED FUND ADVISOR CL	TZINX	14,096.773	\$3.290	\$46,378.38	\$1,483.53	\$351.79	\$1,451.97
					\$37,884.10	\$8,494.28	\$1,451.97
					\$34,928.57	\$8,075.43	
					\$2,955.53	\$418.85	
UIT ADVISOR ASSET MANAGEMENT BALANCED PORT SER 2012-1 FEE BASED CASH	00770N644	1,644,000	\$10.840	\$17,820.96	\$15,998.44	\$1,822.52	\$992.98
UIT ADVISORS ASSET MANAGEMENT SER 2013-4Q BALANCED PORT 15 MONTH FEE BASED REINVEST	00771D694	937.583	\$10.170	\$9,535.22	\$9,359.59	\$175.63	\$574.74
					\$9,064.38	\$169.98	
					\$295.21	\$5.64	
UIT ADVISORS ASSET MANAGEMENT SER 2013 3Q BALANCED PORT 15 MONTH FEE BASED REINVESTMENT	00771K615	2,034.452	\$10.330	\$21,015.89	\$20,144.83	\$871.06	\$1,216.60
					\$19,387.24	\$849.23	
					\$757.59	\$21.83	
TOTAL MIXED ASSETS				\$416,880.93	\$366,456.90	\$50,424.03	\$11,926.52

OTHER ASSETS

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
LOCORR INVT TR MANAGED FUTURES STRATEGY FD I	LFMIX	3,415.731	\$8.500	\$29,033.71	\$30,522.67	-\$1,488.96	
					\$30,500.00	-\$1,489.09	
					\$22.67	\$0.13	
TOTAL OTHER ASSETS				\$29,033.71	\$30,522.67	-\$1,488.96	

FOOTHILLS FOUNDATION
STONEBRIDGE MANAGED

45-459181

EXHIBIT D

Page 4 of 18

ASSET DETAIL

The Estimated Annualized Income ("EAI") for certain securities could include a return of principal or capital gains, in which case EAI depicted on this account statement would be overstated. EAI is only an estimate of income generated by the investment and the actual income may be higher or lower. In the event the investment matures, is sold or called, the full EAI may not be realized.

* The Unrealized Gain/Loss may not reflect your investments' total return. Specifically, the net cost may include dividend and capital gains distributions which have been reinvested. Additionally, the information that appears in these columns may be based on information provided by you or at your direction. RBC has not verified such data. Please see "About Your Statement" on page 2 for further information.

Your Financial Advisor has elected to display Asset Detail with the following options: asset purchases (tax lots) consolidated

RBC BANK DEPOSIT PROGRAM

Deposits in the RBC Bank Deposit Program, with the exception of amounts classified as "Pending Deposits," are held by the Program Banks, not RBC Capital Markets. They are not covered by SIPC. Balances classified as "Pending Deposits" are scheduled to be deposited in the Program Banks on the business day following the statement date, and thus, these deposits are covered by SIPC until such time as they are deposited in the Program Banks. Deposit information is included on this statement solely as a service to our clients. All balance and transaction information is provided by the Program Banks. RBC Capital Markets is not responsible for the accuracy of this information. Deposits are insured by the Federal Deposit Insurance Corporation (FDIC) up to \$250,000 per depositor at each bank subject to certain limitations. This means that your funds in excess of \$250,000 in the same insurable capacity at any single Program Bank are not insured. FDIC insurance coverage amounts are subject to the combined total of all deposits at a specific Program Bank including deposits held by the Program Bank outside of this account. Please refer to the RBC Bank Deposit Program Disclosure Statement and our website at www.rbcumconnect.com for more details, including the current aggregate FDIC deposit insurance amount available through the Program. For questions concerning bank balances, please contact your Financial Advisor.

DESCRIPTION	BANK BALANCE	CURRENT BALANCE	PREVIOUS STATEMENT BALANCE	YTD INCOME
RBC BANK DEPOSIT PROGRAM		\$7,352.88	\$5,789.60	\$0.11
NOT SIPC COVERED				

DEPOSITS ARE HELD AT:

NY Community Bank Westbury, NY

\$7,352.88

TOTAL RBC BANK DEPOSIT PROGRAM

\$0.11

CASH AND MONEY MARKET

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE	PREVIOUS STATEMENT MARKET VALUE	YTD INCOME
CASH				\$965.39		

TOTAL CASH AND MONEY MARKET

\$965.39

TAXABLE FIXED INCOME

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
AEGON N V PERP CAP SECS FLTG RATE	AEB	105,000	\$21.200	\$2,226.00	\$2,302.65	-\$76.65	\$106.16
AEGON N V 6.375% PERP CAPITAL SECURITIES	AEH	75,000	\$24.930	\$1,869.75	\$1,980.12	-\$110.37	\$119.55
AEGON N V 8% NON CUMULATIVE SUBORDINATED NOTES DUE 2042	AEK	70,000	\$28.330	\$1,983.10	\$2,008.20	-\$25.10	\$140.00
ALLIED CAPITAL CORP 6.875% NOTES DUE APR 15 2047	AFC	25,000	\$24.420	\$610.50	\$605.25	\$5.25	\$42.98



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MANAGED ACCOUNT PROGRAM ACCOUNT STATEMENT

FEBRUARY 1, 2014 - FEBRUARY 28, 2014

Page 5 of 18

TAXABLE FIXED INCOME

(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
AMERICAN FINL GROUP INC 7% SR NT	AFQ	45.000	\$26.200	\$1,179.00	\$1,209.65	-\$30.65	\$78.75
AFLAC INCORPORATED 5.50% SUBORDINATED DEBENTURES DUE 2052	AFSD	50.000	\$23.130	\$1,156.50	\$1,241.54	-\$85.04	\$68.75
AMERICAN FINANCIAL GROUP INC SR NT 6.375 DUE 2042	AFW	50.000	\$24.960	\$1,248.00	\$1,242.22	\$5.78	\$79.70
ASSURED GUARANTY MUNICIPAL HOLDINGS INC 6.25% NOTES DUE NOVEMBER 1 2102	AGOPRE	80.000	\$23.570	\$1,885.60	\$1,892.00	-\$6.40	\$125.04
ASPEN INSURANCE HOLDINGS LTD PERP PREF SHS	AHLPR A	90.000	\$25.960	\$2,336.40	\$2,281.05	\$55.35	\$166.50
ASPEN INSURANCE HOLDINGS LTD PERP NON CUMULATIVE PREF SHS VARIABLE RATE	AHLPR B	50.000	\$25.670	\$1,283.50	\$1,242.50	\$41.00	\$90.65
ASPEN INSURANCE HOLDINGS LIMITED FXD FLTG PERP NON CUM PREF SHS	AHLPR C	70.000	\$24.950	\$1,746.50	\$1,779.80	-\$33.30	\$104.09
APOLLO INVESTMENT CORPORATION 6.625% SENIOR NOTES DUE 2042	AIB	30.000	\$23.210	\$696.30	\$739.80	-\$43.50	\$49.68
ARCH CAPITAL GROUP LTD 6.75% NON CUM PFD SHS SER C	ARHPR C	190.000	\$25.000	\$4,750.00	\$4,826.00	-\$76.00	\$320.72
ARES CAPITAL CORPORATION 7% SENIOR NOTES DUE 2022	ARN	20.000	\$25.930	\$518.60	\$518.00	\$0.60	\$35.00
ARES CAP CORP 7.75% SENIOR NOTES DUE 2040	ARY	145.000	\$26.190	\$3,797.55	\$3,709.07	\$88.48	\$281.01
AVIVA PLC 8.25% CAPITAL SECURITIES DUE 2041	AW	130.000	\$27.070	\$3,519.10	\$3,463.20	\$55.90	\$268.19
AXIS CAPITAL HOLDINGS LTD 5.5% SERIES D PERPETUAL PFD SHARES	AXSPRD	30.000	\$20.320	\$609.60	\$742.20	-\$132.60	\$41.25
BARCLAYS BK PLC 6.625% NON CUM PFD ADR SER 2 CALLABLE 9/15/11 @ 25	BCSPR	95.000	\$25.160	\$2,390.20	\$2,295.74	\$94.46	\$157.32
BARCLAYS BK PLC ADR PFD SHS SER 3 DIVD - 7.10% SER 3 CAL DT 12/15/12 @ 25	BCSPR A	50.000	\$25.590	\$1,279.50	\$1,251.95	\$27.55	\$88.75

FOOTHILLS FOUNDATION
STONEBRIDGE MANAGED

45-459181

Page 6 of 18

TAXABLE FIXED INCOME

(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCURED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
BARCLAYS BK PLC 8.125% PERP NON CUM PFD ADR SER 5	BCSPRD	50.000	\$25.900	\$1,295.00	\$1,269.99	\$25.01	\$101.55
COUNTRYWIDE CAPITAL IV 6.75% TRUST PFD SECS DUE 4/1/33 B/E	CFCPRA	70.000	\$25.360	\$1,775.20	\$1,751.96	\$23.24	\$118.16
COUNTRYWIDE CAP V 7% GTD CAP SECS DUE 11/01/36	CFCPRB	40.000	\$25.190	\$1,007.60	\$1,006.40	\$1.20	\$70.00
CAPITAL ONE FINANCIAL CORPORATION DEPOSITARY SHARES EACH REPRESENTING 1/40TH INSTR	COFPRP	60.000	\$23.030	\$1,381.80	\$1,496.93	-\$115.13	\$90.00
CITIGROUP CAP XVII 6.35% ENHANCED TR PFD SECS-TRUPRS	CPRE	50.000	\$25.380	\$1,269.00	\$1,252.80	\$16.20	\$79.35
CITIGROUP INC 7.125% DEP SHS REPSTG 1/1000 INT FXD TO FLTG NON CUM PFD J	CPRJ	45.000	\$26.650	\$1,199.25	\$1,116.90	\$82.35	\$80.15
CITIGROUP INC 6.875% SER K DEP SHS REPSTG 1/1000TH INT FXD TO FLTG 4.13%	CPRK	45.000	\$25.920	\$1,166.40	\$1,121.63	\$44.77	\$77.34
CITIGROUP CAP XIII 7.875% FIXED/FLOATING RATE TRUST PFD	CPRN	80.000	\$27.500	\$2,200.00	\$2,212.65	-\$12.65	\$157.52
CITIGROUP CAPITAL IX 6.00% TRUST PFD SECS (TRUPS) DUE 2/14/2033 B/E	CPRS	50.000	\$25.110	\$1,255.50	\$1,201.50	\$54.00	\$75.00
QWEST CORP 7.375% NOTES DUE 2051	CTQ	195.000	\$25.400	\$4,953.00	\$5,077.63	-\$124.63	\$359.58
QWEST CORPORATION 7.00% SR NOTES DUE 2052	CTU	50.000	\$25.050	\$1,252.50	\$1,338.15	-\$85.65	\$87.50
QWEST CORP PFD SER A 7.500% NTS DUE 2051	CTW	95.000	\$26.030	\$2,472.85	\$2,479.49	-\$6.64	\$178.13
COMMONWEALTH REIT SR NT 7.5%	CWHN	55.000	\$20.760	\$1,141.80	\$1,153.60	-\$11.80	\$82.50
COMMONWEALTH REIT 5.75% SENIOR NOTES DUE 2042	CWHO	120.000	\$20.220	\$2,426.40	\$2,904.20	-\$477.80	\$172.56



RBC Wealth Management

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MANAGED ACCOUNT PROGRAM ACCOUNT STATEMENT

FEBRUARY 1, 2014 - FEBRUARY 28, 2014

Page 7 of 18

TAXABLE FIXED INCOME

(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
CITY NATIONAL CORPORATION 6.75% DEP SHS RPSTG 1/40TH INT FXD TO FLTG NONCUM PFD SER D	CYNPRD	30.000	\$26.660	\$799.80	\$752.40	\$47.40	\$50.64
DISCOVER FINANCIAL SERVICES 6.5% DEP SHS NON CUM PERPETUAL PFD STOCK SERIES B	DFSPRB	25.000	\$24.330	\$608.25	\$636.75	-\$28.50	\$40.63
DIGITAL REALTY TRUST INC 7 % SERIES E CUMULATIVE REDEEMABLE PFD	DLRPRE	125.000	\$24.050	\$3,006.25	\$3,312.34	-\$306.09	\$218.75
DOMINION RES INC VA 2009 SER A 8.375% ENHANCED JR SUBORDINATED NTS 6/14/14 @ 25	DRU	180.000	\$25.920	\$4,665.60	\$4,679.14	-\$13.54	\$376.92
DEUTSCHE BK CAP FDG TR IX GTD TR PFD SECS 6.62 5% SER	DTT	95.000	\$25.190	\$2,393.05	\$2,371.20	\$21.85	\$157.32
DTE ENERGY CO 6.50% JR SUB-ORD DEB DUE 2061	DTZ	172.000	\$25.100	\$4,317.20	\$4,730.00	-\$412.80	\$279.50
DEUTSCHE BK CAP FDG TR VIII 6.375% TR PFD SECS	DUA	95.000	\$25.060	\$2,380.70	\$2,270.60	\$110.10	\$151.43
ENDURANCE SPECIALTY HLDGS LTD 7.75% PFD SER A	ENHPRA	140.000	\$26.780	\$3,749.20	\$3,673.60	\$75.60	\$271.32
ENDURANCE SPECIALTY HOLDINGS LTD 7.50% NON CUMULATIVE PFD SER B US LISTED	ENHPRB	140.000	\$26.320	\$3,684.80	\$3,675.05	\$9.75	\$262.50
EPR PROPERTIES 6.625% SERIES F CUMULATIVE REDEEMABLE PREFERRED SHARES	EPRPRF	30.000	\$22.780	\$683.40	\$745.50	-\$62.10	\$49.68
FIFTH THIRD BANCORP DEPOSITORY SHARE REPSTG 1/1000TH OWNERSHIP INT PERP PFD SER I	FITBI	90.000	\$26.530	\$2,387.70	\$2,252.70	\$135.00	\$149.04
FNB CORPORATION 7.25% DEP SHS REPSTG 1/40 INT FXD TO FLTG NONCUM PFD SER E	FNBPRE	25.000	\$26.900	\$672.50	\$640.00	\$32.50	\$45.33
FIRST NIAGARA FINL GROUP INC 8.625% PFD NON CUM SER B FXD/FLTG	FNFGPRB	120.000	\$28.380	\$3,405.60	\$3,410.60	-\$5.00	\$258.72
FIRST REPUBLIC BANK SHS REPSTG 1/40TH PERP 6.70% SER A PFD NON CUM PERPETUAL	FRCpra	95.000	\$25.030	\$2,377.85	\$2,432.95	-\$55.10	\$159.13

FOOTHILLS FOUNDATION
STONEBRIDGE MANAGED

45-459181

Page 8 of 18

TAXABLE FIXED INCOME (continued)							ESTIMATED ANNUALIZED INCOME
DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCURED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	
GOLDMAN SACHS GROUP INC 6.5% NOTES DUE 11/01/61	GSJ	225.000	\$26.650	\$5,996.25	\$5,847.75	\$148.50	\$365.63
GOLDMAN SACHS GROUP INC DEP SHS REPSTG 1/1000 PFD SER D FLTG	GSPRD	145.000	\$19.670	\$2,852.15	\$2,966.68	-\$114.53	\$145.00
GOLDMAN SACHS GROUP INC 5.5% DEP REP 1/1000TH SHS NON CUM PFD SER J FIXED TO FLTG RATE	GSPRJ	100.000	\$23.690	\$2,369.00	\$2,582.50	-\$213.50	\$137.50
HARTFORD FINL SVCS GROUP INC DEL JR SUB DEB FIXED TO FLTG 7.8750%	HGH	80.000	\$29.550	\$2,364.00	\$2,297.47	\$66.53	\$157.52
INTEGRYS ENERGY GROUP INC 6.00% JR SUBORDINATED NOTE DUE 2073	IEH	145.000	\$24.930	\$3,614.85	\$3,571.64	\$43.21	\$217.50
ING GROEP N V 7.05% PERPETUAL DEBT SECS	IND	80.000	\$25.420	\$2,033.60	\$1,850.40	\$183.20	\$140.96
ING GROEP N V PERPETUAL DEBT SECS 7.20%	INZ	30.000	\$25.440	\$763.20	\$753.84	\$9.36	\$54.00
ING GROEP NV 6.2% PERP DEBT SECS	ISP	85.000	\$24.600	\$2,091.00	\$1,806.20	\$284.80	\$131.75
KKR FINANCIAL HOLDINGS LLC 8.375% SENIOR NOTES DUE 2041	KFH	150.000	\$27.540	\$4,131.00	\$4,006.20	\$124.80	\$314.10
LLOYDS BANKING GROUP PLC PUBLIC INCOME NT PINES 7.75% 07/15/2050 CUM PFD	LYGPRA	255.000	\$27.080	\$6,905.40	\$6,751.61	\$153.79	\$494.19
AFFILIATED MANAGERS GROUP INC 6.3750% SENIOR NOTES DUE 2042	MGR	130.000	\$24.400	\$3,171.99	\$3,239.40	-\$67.41	\$207.22
MONTPELLIER RE HOLDINGS LTD 8.875% PFD NON CUMULATIVE SHS SER A US LISTED	MRHPRA	15.000	\$27.290	\$409.35	\$394.20	\$15.15	\$33.29
MORGAN STANLEY CAP TRUST VI 6.60% CAPITAL SECS	MSJ	50.000	\$25.050	\$1,252.50	\$1,247.00	\$5.50	\$82.50
MORGAN STANLEY DEP SHS REPSTG 1/1000 PERP PFD SER A	MSPRA	115.000	\$19.550	\$2,248.25	\$2,176.95	\$71.30	\$117.53
MORGAN STANLEY 7.125% DEP SHS REP 1/1000TH SHS FIXED FLTG PFD STK SER E	MSPRE	45.000	\$26.671	\$1,200.18	\$1,170.00	\$30.18	\$80.15



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MANAGED ACCOUNT PROGRAM ACCOUNT STATEMENT

FEBRUARY 1, 2014 - FEBRUARY 28, 2014

TAXABLE FIXED INCOME (continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
MORGAN STANLEY CAP TRUST VII 6.60% CAPITAL SECS DUE 10/15/2066	MSZ	90.000	\$25.140	\$2,262.60	\$2,226.60	\$36.00	\$148.50
MORGAN STANLEY CAP TR III 6.25% CAP SECS DUE 03/01/2033	MWR	140.000	\$24.870	\$3,481.80	\$3,412.50	\$69.30	\$218.68
NEXTERA ENERGY CAPITAL HOLDINGS INC 8.75% SERIES F JR SUBORDINATED DEBENTURES	NNEPRFCL	20.000	\$25.530	\$510.60	\$516.21	-\$5.61	\$43.76
NEXTERA ENERGY CAPITAL HOLDINGS INC 5.70% SER G JNR SUBORDINATED DEB DUE 3/1/72	NNEPRG	160.000	\$22.800	\$3,648.00	\$4,080.20	-\$432.20	\$228.00
NATIONAL RETAIL PROPERTIES 6.625% CUM PERPET PFD SERIES D	NNNPRD	145.000	\$23.830	\$3,455.35	\$3,649.65	-\$194.30	\$240.12
PNC FINL SVCS GROUP INC DEPOSITARY SHS REPSTG 1/4000TH PERP PFD SER P 6.125%	PNCPRP	305.000	\$26.100	\$7,960.50	\$7,975.95	-\$15.45	\$466.96
PARTNERRE LTD 7.25% SER E CUMM REDEEMABLE PFD SHS	PREPRE	140.000	\$25.810	\$3,613.40	\$3,728.20	-\$114.80	\$253.82
PUBLIC STORAGE DEPOSITARY SH REPSTG 1/1000 OF 5.750% CUM PFD SH BEN INT SR T	PSAPRT	55.000	\$22.700	\$1,248.50	\$1,379.40	-\$130.90	\$79.09
PS BUSINESS PKS INC DEP SHS REPSTG 1/1000TH 6% CUM PFD SERIES T	PSBPRT	225.000	\$22.440	\$5,049.00	\$5,619.07	-\$570.07	\$337.50
RBS CAPITAL FUNDING TRUST VII 6.08%NON CUMULATIVE GUARANTEED TRUST PREFERRED SECURITIES	RBSPRG	55.000	\$22.500	\$1,237.50	\$1,193.50	\$44.00	\$83.60
ROYAL BK SCOTLAND GROUP PLC ADR REPSTG 7.25% SER T PFD SHS	RBSPT	45.000	\$25.000	\$1,125.00	\$1,104.86	\$20.14	\$81.59
REGENCY CENTERS CORPORATION SERIES 6 6.625% CUMULATIVE PERPETUAL PFD	REGPRF	95.000	\$24.050	\$2,284.76	\$2,409.20	-\$124.44	\$157.32
RAYMOND JAMES FINANCIAL 6.9% SR NT	RJD	235.000	\$26.000	\$6,110.00	\$6,055.00	\$55.00	\$405.38
REINSURANCE GROUP OF AMERICA INCORPORATED 6.20% FXD TO FLTG RATE SUB DEB DUE 2042	RZA	135.000	\$25.840	\$3,488.40	\$3,558.10	-\$69.70	\$209.25

FOOTHILLS FOUNDATION
STONEBRIDGE MANAGED

45-459181

TAXABLE FIXED INCOME (continued)							ESTIMATED ANNUALIZED INCOME
DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	
SANTANDER FINANCE PREFERRED S A UNIPERSONAL FLOATING RATE NON CUMULATIVE SERIES 6	SANPRB	60.000	\$19.320	\$1,159.20	\$984.00	\$175.20	\$60.00
SANTANDER FINANCE PFD SA UNIPERSONAL 10.50% PFD SECS 09 WITHOUT FXD MAT GTD FLTG RT	SANPRE	215.000	\$26.725	\$5,745.88	\$5,637.70	\$108.18	\$564.38
SCE TRUST I 5.625% TRUST CUM PREF SECS	SCEPRF	160.000	\$22.600	\$3,616.00	\$4,111.78	-\$495.78	\$224.96
SELECTIVE INSURANCE GROUP INC 5.875% SENIOR NOTES DUE 2043	SCZA	55.000	\$21.340	\$1,173.70	\$1,354.26	-\$180.56	\$80.78
SOLAR CAPITAL LTD 6.75% SENIOR NOTES DUE 2042	SLRA	25.000	\$22.390	\$559.75	\$610.00	-\$50.25	\$42.18
SENIOR HOUSING PROPERTIES TRUST 5.625% SENIOR NOTES DUE 2042	SNHN	175.000	\$20.730	\$3,627.75	\$4,242.12	-\$614.37	\$246.05
STANLEY BLACK & DECKER INC 5.75% JR SUB DEB DUE 2052	SWJ	75.000	\$23.600	\$1,770.00	\$1,878.18	-\$108.18	\$107.85
TELEPHONE AND DATA SYSTEMS INC 5.875% SENIOR NOTES DUE 2061	TDA	30.000	\$21.090	\$632.70	\$750.00	-\$117.30	\$44.06
TELEPHONE & DATA SYSTEMS INC 6.875% SENIOR NOTES 11/15/59	TDE	140.000	\$24.720	\$3,460.80	\$3,725.40	-\$264.60	\$240.66
UBS PFD FDG TR IV TR PFD SECS FLTG RATE	UBSPRD	80.000	\$16.010	\$1,280.80	\$1,195.57	\$85.23	\$15.92
US BANCORP DEL DEPOSITARY SHARES REPSTG 6.5% 1/1000TH NON CUM PERP PFD SER	USBPRM	195.000	\$27.630	\$5,387.85	\$5,354.45	\$33.40	\$316.88
US BANCORP DEL 6.00% SERIES G NON CUM PERPET PFD STOCK	USBPRN	145.000	\$27.560	\$3,996.20	\$3,953.75	\$42.45	\$217.50
UNITED STATES CELLULAR CORP 6.95% SENIOR NOTES DUE 2060	UZA	70.000	\$24.970	\$1,747.90	\$1,863.39	-\$115.49	\$121.59
VORNADO REALTY L P 7.875% PUBLIC INCOME NOTES (PINES) 10/01/2039	VNOD	130.000	\$26.200	\$3,406.00	\$3,382.14	\$23.86	\$255.97
VERIZON COMMUNICATIONS INC 5.90% SR NOTES DUE 2054	VZA	45.000	\$25.000	\$1,125.00	\$1,122.75	\$2.25	\$66.38



RBC Wealth Management

A Division of RBC Capital Markets, LLC, Member NYSE/FINRA/SIPC

MANAGED ACCOUNT PROGRAM ACCOUNT STATEMENT

FEBRUARY 1, 2014 - FEBRUARY 28, 2014

Page 11 of 18

TAXABLE FIXED INCOME

(continued)

DESCRIPTION	SYMBOL/CUSIP	QUANTITY	MARKET PRICE	MARKET VALUE/ ACCRUED INTEREST	NET COST *	UNREALIZED GAIN/LOSS *	ESTIMATED ANNUALIZED INCOME
WELLS FARGO & COMPANY DEP SH 1/40TH INT 8% NON-CUMLT PERPETUAL CL A PFD SER J	WFCPRJ	145,000	\$28.540	\$4,138.30	\$4,185.15	-\$46.85	\$290.00
WELLS FARGO & COMPANY 5.85% DEP SHS RPTG 1/1000TH INT PRP PFD CL A SER Q FXD TO FLOATING	WFCPRQ	100,000	\$24.790	\$2,479.00	\$2,496.89	-\$17.89	\$146.20
WELLS FARGO & COMPANY 6.625% DEP SHS RPTG 1/1000TH INT PFD CL A SER R FXD TO FLTG	WFCPRR	90,000	\$26.765	\$2,408.85	\$2,248.74	\$160.11	\$149.04
TOTAL TAXABLE FIXED INCOME		9,197,000		\$229,607.71	\$232,964.15	-\$3,356.44	\$15,387.15

ACTIVITY DETAIL

Realized gain/loss column includes fees and commissions. It does not include accrued interest.

Purchases, sales and other activity all represent an exchange of cash and/or money market funds for securities and, as such, do not represent deposits to or withdrawals from your account. Account value changes due to commissions, mark ups, mark downs and accrued interest are shown in the "Change in value of priced securities" line of the Account Value Summary.

* Information that appears in these columns may be based on information provided by you or at your direction. RBC has not verified such data. Please see "About Your Statement" on page 2 for further information.

PURCHASES**Regular Purchases**

DATE	DESCRIPTION	QUANTITY	PRICE	ACCRUED INTEREST	NET COST/ ACCRUED INTEREST	COMMENTS
02/05/14 as of	KKR FINANCIAL HOLDINGS LLC 8.375% SENIOR NOTES DUE 2041	20,000	\$27.150		-\$543.00	
02/04/14	SOLICITED MOODY RATG S&P RATG B8B- CALLABLE 11/15/16 AT 25.00					

FOOTHILLS FOUNDATION
STONEBRIDGE MANAGED

45-459181

PURCHASES
(continued)

Regular Purchases

DATE	DESCRIPTION	QUANTITY	PRICE	NET COST/ ACCRUED INTEREST	COMMENTS
02/14/14	VERIZON COMMUNICATIONS INC as of 5.90% SR NOTES DUE 2054	15.000	\$24.850	-\$372.75	
02/10/14	SOLICITED SOLD PURSUANT TO REGISTRATION STATEMENT/PROSPECTUS AVAILABLE GO TO WWW.SEC.GOV/EDGAR.SHTML				
Total regular purchases				-\$915.75	
TOTAL PURCHASES				-\$915.75	

SALES

DATE	DESCRIPTION	QUANTITY	PRICE	NET PROCEEDS/ ACCRUED INTEREST	NET COST*	REALIZED GAIN/LOSS*	COMMENTS
02/04/14	AFLAC INCORPORATED 5.50% SUBORDINATED DEBENTURES DUE 2052 SOLICITED MOODY RATG BAA1 S&P RATG BBB CALLABLE 09/26/17 AT 25.00	-75.000	\$22.440	\$1,682.97	\$1,862.31	-\$179.34	
02/18/14	DTE ENERGY CO 6.50% JR SUB-ORD DEB DUE 2061 MOODY RATG BAA1 S&P RATG BBB- CALLABLE 12/01/16 AT 25.00	-8.000	\$24.880	\$199.03	\$220.00	-\$20.97	
02/25/14	SCE TRUST I 5.625% TRUST CUM PREF SECS SOLICITED LONG-STOCK IN DELIVERABLE FORM MOODY RATG BAA1 S&P RATG BBB- CALLABLE 06/15/17 AT 25.00	-60.000	\$22.450	\$1,346.97	\$1,499.40	-\$152.43	
TOTAL SALES				\$3,228.97		-\$352.74	

Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
Type or print	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	FOOTHILLS FOUNDATION	45-4595181
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	662 SUNSET COURT	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	SHOREVIEW, MN 55126	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

KARA L. JOHNSON

- The books are in the care of ► 662 SUNSET COURT - SHOREVIEW, MN 55126
Telephone No. ► 651-490-9457 Fax No. ►
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **OCTOBER 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
 ► ☐ calendar year _____ or
 ► ☒ tax year beginning **MAR 1, 2013**, and ending **FEB 28, 2014**

2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	0.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	287.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	0.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.