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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

MAR 1, 2013

, and ending

FEB 28, 2014

Name of foundation

THE RONALD AND MAXINE LINDE FOUNDATION

A Employer identification number

36-3635349

Number and street (or P O box number if mail is not delivered to street address)

3300 E. STANFORD DRIVE

Room/suite

B Telephone number

602-954-5253

City or town, state or province, country, and ZIP or foreign postal code

PARADISE VALLEY, AZ 85253

C If exemption application is pending, check here ☐

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1 Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization

☒ Section 501(c)(3) exempt private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationF If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 24,945,584.

J Accounting method

☒ Cash☐ Accrual☐ Other (specify)

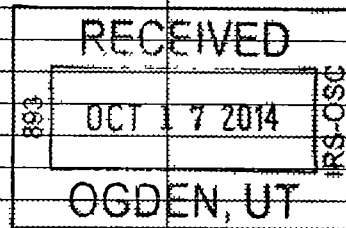
(Part I, column (d) must be on cash basis)

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received	104,400.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				STMT 1 NOT USED
3 Interest on savings and temporary cash investments	58.	58.		STATEMENT 2
4 Dividends and interest from securities	1,391,892.	1,390,314.		STATEMENT 3
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	391,225.			
b Gross sales price for all assets on line 6a	3,630,704.			
7 Capital gain net income (from Part IV, line 2)		391,225.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	22,127.	20,281.		STATEMENT 4
12 Total Add lines 1 through 11	1,909,702.	1,801,878.		
13 Compensation of officers, directors, trustees, etc.	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees STMT 5	9,000.	0.		5,850.
c Other professional fees				
17 Interest	8,353.	4,531.		0.
18 Taxes STMT 6	87,623.	19,248.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses STMT 7	126,104.	122,583.		542.
24 Total operating and administrative expenses. Add lines 13 through 23	231,080.	146,362.		6,392.
25 Contributions, gifts, grants paid	917,500.			917,500.
26 Total expenses and disbursements Add lines 24 and 25	1,148,580.	146,362.		923,892.
27 Subtract line 26 from line 12	761,122.			
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-)		1,655,516.		
c Adjusted net income (if negative, enter -0-)			N/A	

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Part II Balance Sheets		Beginning of year		End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments	3,213,271.	2,633,119.	2,633,119.	
	3 Accounts receivable ▶				
	Less allowance for doubtful accounts ▶				
	4 Pledges receivable ▶				
	Less allowance for doubtful accounts ▶				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ▶				
	Less allowance for doubtful accounts ▶				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U S and state government obligations	25,000.	25,000.	25,000.	
	b Investments - corporate stock				
	c Investments - corporate bonds				
	Liabilities	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶					
12 Investments - mortgage loans					
13 Investments - other		17,030,044.	22,287,447.	22,287,447.	
14 Land, buildings, and equipment basis ▶					
Less accumulated depreciation ▶					
15 Other assets (describe ▶)					
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item 1)		20,268,315.	24,945,566.	24,945,566.	
17 Accounts payable and accrued expenses					
18 Grants payable					
Net Assets or Fund Balances	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ▶)				
23 Total liabilities (add lines 17 through 22)	0.	0.			
Foundations that follow SFAS 117, check here <input type="checkbox"/>	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/>				
	27 Capital stock, trust principal, or current funds	0.	0.		
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.		
	29 Retained earnings, accumulated income, endowment, or other funds	20,268,315.	24,945,566.		
	30 Total net assets or fund balances	20,268,315.	24,945,566.		
31 Total liabilities and net assets/fund balances	20,268,315.	24,945,566.			

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	20,268,315.
2 Enter amount from Part I, line 27a	2	761,122.
3 Other increases not included in line 2 (itemize) ▶ SEE STMT B	3	3,916,129.
4 Add lines 1, 2, and 3	4	24,945,566.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	24,945,566.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 3,630,704.		3,239,479.	391,225.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			391,225.

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	391,225.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	<div> <div>If gain, also enter in Part I, line 8, column (c)</div> <div>If (loss), enter -0- in Part I, line 8</div> </div>	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	873,971.	19,458,870.	.044914
2011	848,121.	18,989,610.	.044662
2010	904,483.	18,054,776.	.050097
2009	915,275.	17,212,947.	.053174
2008	982,798.	18,426,145.	.053337

2 Total of line 1, column (d)	2	.246184
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049237
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	21,587,979.
5 Multiply line 4 by line 3	5	1,062,927.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	16,555.
7 Add lines 5 and 6	7	1,079,482.
8 Enter qualifying distributions from Part XII, line 4	8	923,892.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	33,110.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	33,110.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	33,110.
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	17,593.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	24,700.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	42,293.
8	Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	288.
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	8,895.
11	Enter the amount of line 10 to be credited to 2014 estimated tax <input type="checkbox"/> 8,895. Refunded <input type="checkbox"/>	11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> ILLINOIS		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► RONALD K. LINDE Telephone no ► 602-954-5253 Located at ► 3300 E. STANFORD DRIVE, PARADISE VALLEY, AZ ZIP+4 ► 85253-7527			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? \$78 Exp <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Reimbursement, See Stmt 7 <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) N/A	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

X

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RONALD K. LINDE 3300 E. STANFORD DRIVE PARADISE VALLEY, AZ 85253	CHAIRMAN/TREASURER/DIRECTOR 1.5	0.	0.	0.
MAXINE H. LINDE 3300 E. STANFORD DRIVE PARADISE VALLEY, AZ 85253	PRESIDENT/DIRECTOR 0.5	0.	0.	0.
JENNINGS J. NEWCOM 329 W 102ND AVE WESTMINSTER, CO 80031	DIRECTOR 0.1	0.	0.	0.
LESTER G. TRAUB 1475 CARLA RIDGE BEVERLY HILLS, CA 90210	DIRECTOR 0.1	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NOT APPLICABLE	
	0.
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NOT APPLICABLE	
	0.
2	
All other program-related investments See instructions	
3 NONE	
	0.
	0.

Total. Add lines 1 through 3

Form 990-PF (2013)



**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	18,531,994.
b	Average of monthly cash balances	1b	3,384,736.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	21,916,730.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	21,916,730.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	328,751.
5	Net value of noncharitable-use assets Subtract line 4 from line 3 Enter here and on Part V, line 4	5	21,587,979.
6	Minimum investment return Enter 5% of line 5	6	1,079,399.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	1,079,399.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	33,110.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	403.
c	Add lines 2a and 2b	2c	33,513.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	1,045,886.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	1,045,886.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	1,045,886.

**Part XII****Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	923,892.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	923,892.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	923,892.

**Note** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,045,886.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			923,875.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 923,892.				
a Applied to 2012, but not more than line 2a			923,875.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				17.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				1,045,869.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

c Qualifying distributions from Part XII, line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities

3 Subtract line 2d from line 2c  
Complete 3a, b, or c for the alternative test relied upon

a "Assets" alternative test - enter

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Tax year

(a) 2013

(b) 2012

Prior 3 years

(c) 2011

(d) 2010

(e) Total

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

SEE STATEMENT 11

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 EAST CALIFORNIA BLVD PASADENA, CA 91125	N/A	PUBLIC CHARITY 501(C)3	DISCRETIONARY FUND	20,000.
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 EAST CALIFORNIA BLVD PASADENA, CA 91125	N/A	PUBLIC CHARITY 501(C)3	ENDOWMENT	797,000.
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 EAST CALIFORNIA BLVD PASADENA, CA 91125	N/A	PUBLIC CHARITY 501(C)3	ENDOWED PROFESSORSHIP	100,000.
TEXTILE SOCIETY OF AMERICA 2710 BENVENUE AVE. #C BERKELEY, CA 94705	N/A	PUBLIC CHARITY 501(C)3	SCHOLARSHIPS	500.
<b>Total</b>			<b>3a</b>	917,500.
<b>b Approved for future payment</b>				
CALIFORNIA INSTITUTE OF TECHNOLOGY 1200 EAST CALIFORNIA BLVD PASADENA, CA 91125	N/A	PUBLIC CHARITY 501(C)3	ENDOWMENT	55,000.
<b>Total</b>			<b>3b</b>	55,000.

## Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations )

323621  
10-10-13



**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

OMB No 1545-0047

**2013**

Name of the organization

Employer identification number

THE RONALD AND MAXINE LINDE FOUNDATION

36-3635349

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

**Special Rules**

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

THE RONALD AND MAXINE LINDE FOUNDATION

36-3635349

**Part I Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RONALD AND MAXINE LINDE 3300 E. STANFORD DRIVE PARADISE VALLEY, AZ 85253-7527	\$ 104,400.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)



Employer identification number

36-3635349

**Part II Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

[illegible]

Name of organization

Employer identification number

THE RONALD AND MAXINE LINDE FOUNDATION

36-3635349

**Part III** Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	GS ST COVERED	P	01/01/14	01/02/14
b	GS ST NONCOVERED	P	01/01/14	01/02/14
c	GS LT COVERED	P	01/01/12	01/02/14
d	GS GLOBAL ALPHA FUND	P	VARIOUS	08/31/13
e	GS HEDGE FUND MANAGERS SUB-FUND	P	VARIOUS	04/01/13
f	PASS THROUGH ST GAIN FROM GS CAPITAL PARTNERS VI	P	VARIOUS	VARIOUS
g	PASS THROUGH LT GAIN FROM GS CAPITAL PARTNERS VI	P	VARIOUS	VARIOUS
h	PASS THROUGH ST GAIN FROM HONG KONG PARTNERS	P	VARIOUS	VARIOUS
i	PASS THROUGH LT LOSS FROM HONG KONG PARTNERS	P	VARIOUS	VARIOUS
j	PASS THROUGH ST GAIN FROM GS PRIVATE EQUITY PARTN	P	VARIOUS	VARIOUS
k	PASS THROUGH LT LOSS FROM GS PRIVATE EQUITY PARTN	P	VARIOUS	VARIOUS
l	PASS THROUGH LT GAIN FROM GS PRIVATE EQUITY PARTN	P	VARIOUS	VARIOUS
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,113,482.		2,019,140.	94,342.
b 1,106,093.		1,105,199.	894.
c 105,838.		120.	105,718.
d 17,048.		101,644.	<84,596.>
e 12,653.		13,376.	<723.>
f 4,517.			4,517.
g 102,704.			102,704.
h 59,714.			59,714.
i <155,345.>			<155,345.>
j <4.>			<4.>
k 80,957.			80,957.
l 183,047.			183,047.
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			94,342.
b			894.
c			105,718.
d			<84,596.>
e			<723.>
f			4,517.
g			102,704.
h			59,714.
i			<155,345.>
j			<4.>
k			80,957.
l			183,047.
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	391,225.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
BLACKROCK INVESTMENTS-FFI			
INSTITUTIONAL FUND	10.	10.	
GOLDMAN SACHS	9.	9.	
PASS THROUGH FROM HONG KONG			
PARTNERS, LP	26.	26.	
UNITED STATES TREASURY	13.	13.	
TOTAL TO PART I, LINE 3	58.	58.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
GOLDMAN SACHS	2,456.	0.	2,456.	2,456.	
GOLDMAN SACHS TAX EXEMPT	134.	0.	134.	0.	
PASS THROUGH FROM GS CAP VI OFFSHORE	37,654.	0.	37,654.	36,210.	
PASS THROUGH FROM GS DISTRESSED OPPS III OFFSHORE	12,515.	0.	12,515.	12,515.	
PASS THROUGH FROM GS HEDGE FUND SEEDING STRATEGY	81,100.	0.	81,100.	81,100.	
PASS THROUGH FROM GS MEZZANINE PARTNERS III	45,853.	0.	45,853.	45,853.	
PASS THROUGH FROM GS PRIVATE EQUITY CONCENTRATED	103,907.	0.	103,907.	103,907.	
PASS THROUGH FROM GS PRIVATE EQUITY PTNRS (TECH)	40,005.	0.	40,005.	40,005.	
PASS THROUGH FROM GS PRIVATE EQUITY PTNRS 1999	30,428.	0.	30,428.	30,428.	
PASS THROUGH FROM GS PRIVATE EQUITY PTNRS 2002	141,003.	0.	141,003.	141,003.	
PASS THROUGH FROM GS PRIVATE EQUITY PTNRS II	1,352.	0.	1,352.	1,352.	

PASS THROUGH FROM GS VINTAGE FUND IV	219,053.	0.	219,053.	219,053.
PASS THROUGH FROM GS VINTAGE FUND V	103,130.	0.	103,130.	103,130.
PASS THROUGH FROM HONG KONG PARTNERS, LP	54,135.	0.	54,135.	54,135.
PASS THROUGH FROM MOUNT KELLETT CAPITAL PARTNERS	372,421.	0.	372,421.	372,421.
PASS THROUGH FROM THL EQUITY FUND VI	73,165.	0.	73,165.	73,165.
PASS THROUGH FROM WHITEHALL STREET INT'L REAL ESTATE	73,581.	0.	73,581.	73,581.
TO PART I, LINE 4	1,391,892.	0.	1,391,892.	1,390,314.

FORM 990-PF

OTHER INCOME

STATEMENT

4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
HONG KONG PARTNERS, LP	<146.>	<146.>	
GS CAP VI OFFSHORE	81.	75.	
GS PRIVATE EQUITY PARTNERS II	17,548.	17,588.	
GS PRIVATE EQUITY PARTNERS 1999	4,644.	2,764.	
TOTAL TO FORM 990-PF, PART I, LINE 11	22,127.	20,281.	

FORM 990-PF

ACCOUNTING FEES

STATEMENT

5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
KUHLER & CO. - RESEARCH, CONSULTATION AND PREP OF FED & STATE RETURNS	9,000.	0.		5,850.
TO FORM 990-PF, PG 1, LN 16B	9,000.	0.		5,850.

FORM 990-PF

TAXES

STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES WITHHELD	19,248.	19,248.		0.
FEDERAL ESTIMATED PAYMENTS	49,554.	0.		0.
STATE TAX PAYMENTS	18,821.	0.		0.
TO FORM 990-PF, PG 1, LN 18	87,623.	19,248.		0.

FORM 990-PF

OTHER EXPENSES

STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
GOLDMAN SACHS FINANCIAL ACCOUNT MAINTENANCE & CUSTODY FEES	2,000.	1,750.		250.
MISCELLANEOUS EXPENSES: INCL SUPPLIES, REGISTERED AGENT & FILING FEES (INCL \$78 EXPENSE REIMBURSEMENT - PART VII-B LINE 1 (A)(4))	292.	0.		292.
HONG KONG PARTNERS, LP PORTFOLIO DEDUCTIONS	39,391.	39,391.		0.
GS CAP VI OFFSHORE PORTFOLIO DEDUCTIONS	17,571.	14,592.		0.
HEDGE FUND SEEDING STRATEGY OFFSHORE: 2011 PORTFOLIO DEDUCTIONS	24,763.	24,763.		0.
GS PRIVATE EQUITY PARTNERS II OFFSHORE PORTFOLIO DEDUCTIONS	11,416.	11,416.		0.
GS PRIVATE EQUITY PARTNERS 1999 DEDUCTIONS	30,671.	30,671.		0.
TO FORM 990-PF, PG 1, LN 23	126,104.	122,583.		542.

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FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 8

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DESCRIPTION	AMOUNT
NET CHANGE IN MARKET VALUE OF INVESTMENTS	3,916,147.
TOTAL TO FORM 990-PF, PART III, LINE 3	3,916,147.

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FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 9

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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
PARS NYC TRANSL FIN AUTH REV 6/21/07		X	25,000.	25,000.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			25,000.	25,000.
TOTAL TO FORM 990-PF, PART II, LINE 10A			25,000.	25,000.

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FORM 990-PF OTHER INVESTMENTS STATEMENT 10

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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
GS GLOBAL ALPHA FUND	FMV	0.	0.
GS HEDGE FUND PARTNERS	FMV	2,312,770.	2,312,770.
GS GLOBAL OPPORTUNITIES FUND	FMV	2,001,346.	2,001,346.
GS MEZZANINE 2006 OFSH	FMV	1,051,336.	1,051,336.
HONG KONG PARTNERS	FMV	2,024,111.	2,024,111.
GS CAPITAL PARTNERS VI	FMV	779,877.	779,877.
GS MEZZANINE PARTNERS III	FMV	1,373.	1,373.
GS PEP TECHNOLOGY FUND 2000	FMV	475,797.	475,797.
GS PRIVATE EQUITY PARTNERS 1999	FMV	934,789.	934,789.
GS PRIVATE EQUITY PARTNERS 2002	FMV	675,753.	675,753.
GS PRIVATE EQUITY PARTNERS II	FMV	108,120.	108,120.
MOUNT KELLETT CAPITAL PARTNERS II	FMV	751,631.	751,631.
THL EQUITY FUND VI	FMV	1,228,560.	1,228,560.
WHITEHALL STREET INTERNATIONAL REAL ESTATE 2008	FMV	105,503.	105,503.
HEDGE FUND SEEDING STRAT OFFSH 2011	FMV	1,747,686.	1,747,686.
GS DISTRESSED OPPS FUND III	FMV	239,874.	239,874.
GS PRIVATE EQ CONC OPPORT FUND	FMV	732,673.	732,673.
GS VINTAGE FUND IV	FMV	1,897,177.	1,897,177.

## THE RONALD AND MAXINE LINDE FOUNDATION

36-3635349

GS VINTAGE FUND V	FMV	611,889.	611,889.
BROAD STREET REAL ESTATE CREDIT II	FMV	40,000.	40,000.
GS DEVELOPING MARKETS REAL ESTATE	FMV		
PARTNERS		149,771.	149,771.
GS VINTAGE FUND VI	FMV	84,430.	84,430.
JRVR INVESTORS	FMV	4,332,999.	4,332,999.
TOTAL TO FORM 990-PF, PART II, LINE 13		22,287,465.	22,287,465.

FORM 990-PF

PART XV - LINE 1A  
LIST OF FOUNDATION MANAGERS

STATEMENT 11

## NAME OF MANAGER

RONALD K. LINDE  
MAXINE H. LINDE



# Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury  
Internal Revenue Service

► File a separate application for each return.

► Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868)

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (e-file)** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*

## Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
<b>Type or print</b>	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	THE RONALD AND MAXINE LINDE FOUNDATION	36-3635349
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	3300 E. STANFORD DRIVE	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	PARADISE VALLEY, AZ 85253	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

RONALD K. LINDE

- The books are in the care of ► 3300 E. STANFORD DRIVE - PARADISE VALLEY, AZ 85253-7527  
Telephone No. ► 602-954-5253 Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **OCTOBER 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
- ☐ calendar year or
- ☒ tax year beginning **MAR 1, 2013**, and ending **FEB 28, 2014**

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

<b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	<b>3a</b>	\$	42,293.
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	<b>3b</b>	\$	17,593.
<b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	<b>3c</b>	\$	24,700.

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

Tax Year Account No Legal Name  
2013 020-48145-3 THE RONALD AND MAXINE LINDE

## REALIZED GAINS AND LOSSES

Supplemental Information - Consult your Form 1099 for tax reporting purposes

### REALIZED GAIN AND LOSS SUMMARY

SHORT-TERM GAINS (LOSSES)		LONG-TERM GAINS (LOSSES)		ORDINARY GAINS (LOSSES)	
Net Covered Short-Term Gains (Losses)	96,392.13	Net Covered Long-Term Gains (Losses)		Net Ordinary Gains (Losses)	0.00
Net NonCovered Short-Term Gains (Losses)	1,305.33	Net NonCovered Long-Term Gains (Losses)			
Net 1099B Non Reportable Short-Term Gains (Losses)	0.00	Net 1099B Non Reportable Long-Term Gains (Losses)			
Net Miscellaneous Short-Term Gains (Losses)	0.00	Net Miscellaneous Long-Term Gains (Losses)		Net Miscellaneous Ordinary Gains (Losses)	0.00
Net Regulated Futures Contract Short-Term Gains (Losses)	0.00	Net Regulated Futures Contract Long-Term Gains (Losses)			
<b>Total Short-Term Gains (Losses)</b>	<b>97,697.46</b>	<b>Total Long-Term Gains (Losses)</b>		<b>Total Ordinary Gains (Losses)</b>	<b>0.00</b>

### SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total accretion (Amortization)	Cost Basis *	Total Gain (Loss)
<b>Covered Short-Term Gains (Losses)</b>							
INTERMUNE, INC CMN (45884X103)	01/16/2013	01/16/2013	2,000.00	20,499.53	0.00	19,800.00	699.53
BRIGHT HORIZONS FAMILY SOL INC CMN (109194100)	01/25/2013	01/25/2013	100.00	2,771.93	0.00	2,200.00	571.93
PERFORMANT FINANCIAL CORP CMN (71377E105)	01/31/2013	01/31/2013	200.00	2,273.94	0.00	2,130.00	143.94
ZOETIS INC CMN CLASS A (98978V103)	01/31/2013	02/01/2013	100.00	3,146.92	0.00	2,600.00	546.92
BOISE CASCADE, LLC CMN (09739D100)	02/05/2013	02/06/2013	300.00	7,622.82	0.00	6,300.00	1,322.82
NIELSEN HOLDINGS N V CMN (N63218106)	02/15/2013	02/15/2013	1,225.00	39,836.10	0.00	39,873.75	(37.65)
MICHAEL KORS HOLDINGS LIMITED CMN (G60754101)	02/21/2013	02/21/2013	2,000.00	120,937.28	0.00	123,000.00	(2,062.72)
RADIAN GROUP INC CMN (750236101)	02/27/2013	02/27/2013	950.00	7,894.32	0.00	7,600.00	294.32
ARTISAN PARTNERS ASSET MGMT IN CMN (04316A108)	03/07/2013	03/07/2013	375.00	13,188.45	0.00	11,250.00	1,938.45
KAR AUCTION SERVICES, INC CMN (48238T109)	03/07/2013	03/07/2013	1,250.00	24,374.45	0.00	24,062.50	311.95
MGC INVESTMENT CORP COMMON STOCK (552848103)	03/07/2013	03/07/2013	4,000.00	20,879.52	0.00	20,600.00	279.52
EMERITUS CORPORATION CMN (291005106)	03/13/2013	03/13/2013	4,000.00	108,877.55	0.00	108,000.00	877.55
SILVER SPRING NETWORKS, INC CMN (82817Q103)	03/13/2013	03/13/2013	325.00	7,140.08	0.00	5,525.00	1,615.08
YANDEX N V CMN (N97284108)	03/12/2013	03/13/2013	4,000.00	94,237.88	0.00	91,000.00	3,237.88
VIPSHOP HLDGS LTD SPONSORED ADR CMN (92763W103)	03/14/2013	03/14/2013	2,250.00	55,393.75	0.00	54,000.00	1,393.75
GRAPHIC PACKAGING HLDG CO CMN (388689101)	03/22/2013	03/22/2013	850.00	6,009.36	0.00	5,950.00	59.36

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5 Sale Proceeds may have been adjusted by an option premium due to an option assignment.



Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such.

Tax Year Account No Legal Name  
2013 020-48145-3 THE RONALD AND MAXINE LINDE

## REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

### SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds *	Total accretion (Amortization)	Cost Basis *	Total Gain (Loss)
<b>Covered Short-Term Gains (Losses)</b>							
MARIN SOFTWARE INC CMN (56804T106)	03/22/2013	03/22/2013	445 00	8,441 46	0 00	6,230 00	2,211 46
WEST CORPORATION CMN (952355204)	03/22/2013	03/22/2013	5,600 00	106,229 61	0 00	112,000 00	(5,770 39)
PINNACLE FOODS INC CMN (72348P104)	03/28/2013	03/28/2013	375 00	8,336 06	0 00	7,500 00	836 06
TUMI HOLDINGS, INC CMN (89969Q104)	03/28/2013	03/28/2013	2,850 00	60,190 64	0 00	60,135 00	55 64
LAYLOR MORRISON HOME CORP CMN (87724P106)	04/10/2013	04/10/2013	100 00	2,331 94	0 00	2,200 00	131 94
MEDLEY CAPITAL CORPORATION CMN (58503F106)	04/09/2013	04/11/2013	517 00	7,713 46	0 00	7,599 90	113 56
EVERTEC INC CMN (30040P103)	04/12/2013	04/12/2013	850 00	18,546 58	0 00	17,000 00	1,546 58
MEDLEY CAPITAL CORPORATION CMN (58503F106)	04/09/2013	04/12/2013	1,983 00	29,165 90	0 00	29,150 10	15 80
SEAWORLD ENTERTAINMENT, INC CMN (81282V100)	04/19/2013	04/19/2013	350 00	10,685 26	0 00	9,450 00	1,235 26
ING AMERICA INSURANCE HOLDINGS CMN (45685E106)	05/02/2013	05/02/2013	10,000 00	193,330 66	0 00	195,000 00	(1,669 34)
CYAN, INC CMN (23247W104)	05/09/2013	05/09/2013	275 00	3,016 68	0 00	3,025 00	(8 32)
PENNYMAC FINL SVCS INC CMN (70932B101)	05/09/2013	05/09/2013	1,200 00	21,863 50	0 00	21,600 00	263 50
QUINTILES TRANSNATIONAL HOLDINGS INC CMN (74876Y101)	05/09/2013	05/09/2013	265 00	11,572 29	0 00	10,600 00	972 29
ISIS PHARMACEUTICALS INC CMN (464330109)	05/09/2013	05/13/2013	1,500 00	28,649 35	0 00	28,500 00	149 35
MARKETO INC CMN (57063L107)	05/17/2013	05/17/2013	325 00	6,490 10	0 00	4,225 00	2,265 10
TABLEAU SOFTWARE, INC CMN CLASS A (87336U105)	05/17/2013	05/17/2013	190 00	8,924 09	0 00	5,890 00	3,034 09
CHANNELADVISOR CORPORATION CMN (159179100)	05/23/2013	05/23/2013	225 00	3,953 18	0 00	3,150 00	803 18
GLOBAL BRASS AND COPPER HOLDIN CMN (37953G103)	05/23/2013	05/23/2013	500 00	5,859 89	0 00	5,500 00	359 89
PLY GEM HOLDINGS, INC CMN (72941W100)	05/23/2013	05/23/2013	400 00	8,927 84	0 00	8,400 00	527 84
KAR AUCTION SERVICES, INC CMN (48238T109)	06/06/2013	06/06/2013	3,275 00	69,711 74	0 00	69,593 75	117 99
GIGAMON INC CMN (37518B102)	06/12/2013	06/12/2013	150 00	3,457 43	0 00	2,850 00	607 43
BRIGHT HORIZONS FAMILY SOL INC CMN (109194100)	06/13/2013	06/13/2013	750 00	25,102 06	0 00	24,787 50	314 56
FIRST SOLAR, INC CMN (336433107)	06/13/2013	06/26/2013	500 00	22,674 60	0 00	23,000 00	(325 40)
FIRST SOLAR, INC CMN (336433107)	06/14/2013	06/26/2013	500 00	22,674 61	0 00	22,365 00	309 61
NEW MOUNTAIN FINANCE CORP CMN (647551100)	06/18/2013	07/16/2013	2,421 00	35,249 14	0 00	35,225 55	23 59

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Tax Year Account No Legal Name  
**2013 020-48145-3 THE RONALD AND MAXINE LINDE**

# REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

## SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds <sup>5</sup>	Total accretion (Amortization)	Cost Basis <sup>4</sup>	Total Gain (Loss)
<b>Covered Short-Term Gains (Losses)</b>							
NEW MOUNTAIN FINANCE CORP CMN (647551100)	06/18/2013	07/17/2013	1,579.00	22,989.83	0.00	22,974.45	15.38
NRG YIELD, INC CMN (62942X108)	07/16/2013	07/17/2013	200.00	5,343.90	0.00	4,400.00	943.90
RETAILMENOT, INC CMN (76132B106)	07/18/2013	07/19/2013	400.00	10,587.81	0.00	8,400.00	2,187.81
AGIOS PHARMACEUTICALS, INC CMN (00847X104)	07/24/2013	07/24/2013	100.00	2,896.94	0.00	1,800.00	1,096.94
AMERICAN HOMES 4 RENT LLC CMN (02665T306)	08/01/2013	08/01/2013	8,500.00	135,742.63	0.00	136,000.00	(257.37)
SPROUTS FMRS MKT INC CMN (85208M102)	08/01/2013	08/01/2013	500.00	17,484.69	0.00	9,000.00	8,484.69
ATHLON ENERGY INC CMN (047477104)	08/02/2013	08/02/2013	575.00	14,932.48	0.00	11,500.00	3,432.48
ALLISON TRANSMISSION HLDG INC CMN (01973R101)	08/07/2013	08/07/2013	75.00	1,685.22	0.00	1,650.00	35.22
CVENT, INC CMN (23247G109)	08/08/2013	08/09/2013	145.00	5,505.55	0.00	3,045.00	2,460.55
FRANKS INTL N V CMN (N33462107)	08/09/2013	08/09/2013	70.00	1,905.36	0.00	1,540.00	365.36
ENVISION HEALTHCARE HOLDINGS, CMN (29413U103)	08/14/2013	08/14/2013	500.00	12,609.78	0.00	11,500.00	1,109.78
LAREDO PETROLEUM INC CMN (516806106)	08/13/2013	08/20/2013	1,500.00	35,354.38	0.00	35,625.00	(270.62)
LAREDO PETROLEUM INC CMN (516806106)	08/13/2013	08/20/2013	1,500.00	35,354.38	0.00	34,950.00	404.38
ZILLOW INC CMN CLASS A (98954A107)	08/20/2013	08/21/2013	1,000.00	82,634.06	0.00	80,026.50	2,607.56
ZILLOW INC CMN CLASS A (98954A107)	08/20/2013	08/21/2013	1,000.00	82,634.06	0.00	82,000.00	634.06
ZILLOW INC CMN CLASS A (98954A107)	08/22/2013	08/22/2013	1,000.00	82,018.57	0.00	81,540.00	478.57
VIRTUS INVESTMENT PARTNERS, IN CMN (92828Q109)	09/12/2013	09/12/2013	150.00	24,745.06	0.00	23,250.00	1,495.06
SUNEDISON, INC CMN (86732Y109)	09/13/2013	09/13/2013	2,500.00	18,674.67	0.00	18,125.00	549.67
MARKETO INC CMN (57063L107)	09/13/2013	09/16/2013	1,000.00	35,369.38	0.00	34,530.00	839.38
BENEFITFOCUS, INC CMN (08180D106)	09/18/2013	09/18/2013	250.00	10,617.31	0.00	6,625.00	3,992.31
CLUBCORP HOLDINGS, INC CMN (18948M108)	09/20/2013	09/20/2013	400.00	6,087.89	0.00	5,600.00	487.89
FIREEYE, INC CMN (31816Q101)	09/19/2013	09/20/2013	225.00	9,060.59	0.00	4,500.00	4,560.59
FOUNDATION MEDICINE INC CMN (350465100)	09/25/2013	09/25/2013	300.00	9,440.83	0.00	5,400.00	4,040.83
RINGCENTRAL, INC CMN (76680R206)	09/27/2013	09/27/2013	875.00	15,067.23	0.00	11,375.00	3,692.23
BURLINGTON STORES INC CMN (122017106)	10/01/2013	10/02/2013	25.00	575.48	0.00	425.00	150.48

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<sup>5</sup> Sale Proceeds may have been adjusted by an option premium due to an option assignment.

**Goldman Sachs**

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## REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

### SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds <sup>5</sup>	Total accretion (Amortization)	Cost Basis <sup>4</sup>	Total Gain (Loss)
<b>Covered Short-Term Gains (Losses)</b>							
EMPIRE STATE REALTY TRUST, INC CMN (292104106)	10/02/2013	10/02/2013	500.00	6,509.88	0.00	6,500.00	9.88
POTBELLY CORP CMN (73754Y100)	10/03/2013	10/04/2013	125.00	3,578.68	0.00	1,750.00	1,828.68
QTS REALTY TRUST, INC CMN (74736A103)	10/09/2013	10/09/2013	400.00	8,947.84	0.00	8,400.00	547.84
MOLYCORP, INC CMN (608753109)	10/15/2013	10/16/2013	700.00	3,653.93	0.00	3,500.00	153.93
SURGICAL CARE AFFILIATES, INC CMN (86881L106)	10/30/2013	10/30/2013	25.00	699.23	0.00	600.00	99.23
ESSENT GROUP LTD CMN (G3198U102)	10/31/2013	10/31/2013	200.00	4,393.92	0.00	3,400.00	993.92
MARCUS & MILLICAP, INC CMN (566324109)	10/31/2013	10/31/2013	500.00	6,684.88	0.00	6,000.00	684.88
QUINAR CAYMAN ISLANDS LIMITED SPONSORED ADR CMN (74906P104)	11/01/2013	11/01/2013	160.00	4,531.12	0.00	2,400.00	2,131.12
INTERMUNE, INC CMN (45884X103)	11/06/2013	11/06/2013	1,500.00	19,529.65	0.00	19,500.00	29.65
GULFPORT ENERGY CORP (NEW) CMN (402635304)	11/07/2013	11/07/2013	150.00	8,605.35	0.00	8,512.50	92.85
TWITTER, INC CMN (90184L102)	11/07/2013	11/07/2013	750.00	33,801.91	0.00	19,500.00	14,301.91
BOISE CASCADE, LLC CMN (09739D100)	11/07/2013	11/08/2013	500.00	11,754.79	0.00	11,250.00	504.79
EXTENDED STAY AMERICA, INC CMN (30224P200)	11/13/2013	11/13/2013	500.00	11,359.80	0.00	10,000.00	1,359.80
CDW CORP CMN (12514G108)	11/14/2013	11/14/2013	400.00	8,395.85	0.00	8,200.00	195.85
HOUGHTON MIFFLIN HARCOURT CO CMN (44157R109)	11/13/2013	11/14/2013	1,500.00	20,954.63	0.00	18,000.00	2,954.63
ZULILY INC CMN CLASS A (989774104)	11/15/2013	11/15/2013	75.00	2,922.69	0.00	1,650.00	1,272.69
VINCE HLDG CORP CMN (92719W108)	11/22/2013	11/22/2013	200.00	5,893.89	0.00	4,000.00	1,893.89
DEMANDWARE INC CMN (24802Y105)	11/20/2013	11/25/2013	250.00	14,004.75	0.00	14,250.00	(245.25)
DEMANDWARE INC CMN (24802Y105)	11/20/2013	11/25/2013	500.00	28,009.51	0.00	27,010.00	999.51
SPROUTS FMRS MKT INC CMN (85208M102)	11/26/2013	11/26/2013	500.00	18,509.67	0.00	18,500.00	9.67
AUTOHOME INC ADR CMN (05278C107)	12/11/2013	12/11/2013	50.00	1,506.47	0.00	850.00	656.47
AFAMARK HOLDINGS CORPORATION CMN (03852U106)	12/12/2013	12/12/2013	1,250.00	25,274.55	0.00	25,000.00	274.55
HILTON WORLDWIDE HOLDINGS INC CMN (43300A104)	12/12/2013	12/12/2013	325.00	6,912.62	0.00	6,500.00	412.62
HOMEWAY INC CMN (43739D100)	12/12/2013	12/12/2013	250.00	9,254.83	0.00	9,250.00	4.83
PINNACLE FOODS INC CMN (72348P104)	12/12/2013	12/12/2013	250.00	6,692.38	0.00	6,687.50	4.88

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## REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

### SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds <sup>5</sup>	Total accretion (Amortization)	Cost Basis <sup>4</sup>	Total Gain (Loss)
<b>Covered Short-Term Gains (Losses)</b>							
RETAILMENOT, INC CMN (76132B106)	12/12/2013	12/12/2013	250.00	6,619.88	0.00	6,500.00	119.88
DARLING INTERNATIONAL INC CMN (237266101)	12/13/2013	12/13/2013	100.00	1,947.96	0.00	1,900.00	47.96
NIMBLE STORAGE, INC CMN (65440R101)	12/13/2013	12/13/2013	225.00	6,990.62	0.00	4,725.00	2,265.62
TAMINCO CORP CMN (87509U106)	12/12/2013	12/20/2013	450.00	8,941.34	0.00	9,000.00	(58.66)
TAMINCO CORP CMN (87509U106)	12/12/2013	12/23/2013	50.00	993.48	0.00	1,000.00	(6.52)
<b>Net Covered Short-Term Gains (Losses)</b>							
				2,235,376.13	0.00	2,138,984.00	96,392.13
<b>NonCovered Short-Term Gains (Losses)</b>							
'PARS' N Y C TRANSL FIN AUTH REV 06/21/07 11/01/27 ARS-FUT TAX NOT INCLUDED	11/07/2012	01/02/2013	25,000.00	25,000.00	0.00	25,000.00	0.00
SECD-SUB-C2 FSA CPN 02/06/14 02/13/14 0 4250% (64971MLS9)							
'PARS' N Y C TRANSL FIN AUTH REV 06/21/07 11/01/27 ARS-FUT TAX IN	12/26/2012	01/02/2013	375,000.00	375,000.00	0.00	375,000.00	0.00
SECD-SUB-C2 FSA CPN 02/06/14 02/13/14 0 4250% (64971MLS9)							
'PARS' N Y C TRANSL FIN AUTH REV 06/21/07 11/01/27 ARS-FUT TAX CURRENT	12/19/2012	01/02/2013	3,000,000.00	3,000,000.00	0.00	3,000,000.00	0.00
SECD-SUB-C2 FSA CPN 02/06/14 02/13/14 0 4250% (64971MLS9)							
'PARS' N Y C TRANSL FIN AUTH REV 06/21/07 11/01/27 ARS-FUT TAX YEAR	12/26/2012	01/16/2013	25,000.00	25,000.00	0.00	25,000.00	0.00
SECD-SUB-C2 FSA CPN 02/06/14 02/13/14 0 4250% (64971MLS9)							
'PARS' N Y C TRANSL FIN AUTH REV 06/21/07 11/01/27 ARS-FUT TAX	01/09/2013	01/16/2013	100,000.00	100,000.00	0.00	100,000.00	0.00
SECD-SUB-C2 FSA CPN 02/06/14 02/13/14 0 4250% (64971MLS9)							
TERRENO REALTY CORPORATION CMN (88146M101)	02/20/2013	02/20/2013	500.00	8,634.80	0.00	8,300.00	334.80
AMERICAN CAPITAL AGENCY CORP CMN (02503X105)	02/28/2013	02/28/2013	4,000.00	126,597.16	0.00	126,520.00	77.16
'PARS' N Y C TRANSL FIN AUTH REV 06/21/07 11/01/27 ARS-FUT TAX	01/09/2013	03/20/2013	25,000.00	25,000.00	0.00	25,000.00	0.00
SECD-SUB-C2 FSA CPN 02/06/14 02/13/14 0 4250% (64971MLS9)							
'PARS' N Y C TRANSL FIN AUTH REV 06/21/07 11/01/27 ARS-FUT TAX	03/13/2013	03/20/2013	975,000.00	975,000.00	0.00	975,000.00	0.00
SECD-SUB-C2 FSA CPN 02/06/14 02/13/14 0 4250% (64971MLS9)							
AVIV REIT INC MD CMN (05381L101)	03/20/2013	03/21/2013	550.00	12,193.22	0.00	11,000.00	1,193.22
LIBERTY PROPERTY TRUST SBI CMN (531172104)	08/02/2013	08/02/2013	1,000.00	35,969.37	0.00	36,000.00	(30.63)
OCI RESOURCES LP CMN (67081B106)	09/13/2013	09/19/2013	925.00	16,945.70	0.00	17,575.00	(629.30)

<sup>4</sup> Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.

<sup>5</sup> Sale Proceeds may have been adjusted by an option premium due to an option assignment.

Goldman Sachs

Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such.

Tax Year Account No Legal Name  
**2013 020-48145-3 THE RONALD AND MAXINE LINDE**

## REALIZED GAINS AND LOSSES (Continued)

Supplemental Information - Consult your Form 1099 for tax reporting purposes

### SHORT-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds <sup>5</sup>	Total accretion (Amortization)	Cost Basis <sup>4</sup>	Total Gain (Loss)
<b>NonCovered Short-Term Gains (Losses)</b>							
OCI RESOURCES LP CMN (67081B106)	09/16/2013	09/19/2013	1,000 00	18,319 68	0 00	18,109 20	210 48
PLUM CREEK TIMBER COMPANY INC CMN (729251108)	10/30/2013	10/31/2013	500 00	22,664 60	0 00	22,515 00	149 60
<b>Net NonCovered Short-Term Gains (Losses)</b>				<b>4,766,324.53</b>	<b>0.00</b>	<b>4,765,019.20</b>	<b>1,305.33</b>

TY 2012  
 GS ST NONCOVERED  
23,660,231.26  
1,105,199.20  
**24,765,430.46**

### LONG-TERM GAINS (LOSSES)

Description (Product Identifier)	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds <sup>5</sup>	Total accretion (Amortization)	Cost Basis <sup>4</sup>	Total Gain (Loss)
<b>NonCovered Long-Term Gains (Losses)</b>							
IRIDEX CORP CMN (462684101)	04/03/2009	12/20/2013	2,000 00 <sup>9</sup>	17,539 69	0 00	20 00	17,519 69
IRIDEX CORP CMN (462684101)	04/03/2009	12/20/2013	2,000 00 <sup>9</sup>	17,579 69	0 00	20 00	17,559 69
IRIDEX CORP CMN (462684101)	04/03/2009	12/20/2013	2,000 00 <sup>9</sup>	17,619 69	0 00	20 00	17,599 69
IRIDEX CORP CMN (462684101)	04/03/2009	12/20/2013	2,000 00 <sup>9</sup>	17,659 69	0 00	20 00	17,639 69
IRIDEX CORP CMN (462684101)	04/03/2009	12/20/2013	2,000 00 <sup>9</sup>	17,699 69	0 00	20 00	17,679 69
IRIDEX CORP CMN (462684101)	04/03/2009	12/20/2013	2,000 00 <sup>9</sup>	17,739 69	0 00	20 00	17,719 69
<b>Net NonCovered Long-Term Gains (Losses)</b>				<b>105,838.14</b>	<b>0.00</b>	<b>120.00</b>	<b>105,718.14</b>

GS LT COVERED

<sup>4</sup> Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization. This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently. You should consult with your own tax advisor to determine your correct basis.  
<sup>5</sup> Sale Proceeds may have been adjusted by an option premium due to an option assignment.  
<sup>9</sup> This position is a gifted security.



Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such.



Statement Detail  
**RONALD & MAXINE LINDE FDN**  
Realized Gains and Losses

Period Ended February 28, 2014

**YEAR TO DATE GAINS AND LOSSES**

This information is for general guidance and should not be used for tax purposes. You should use only your official form 1099's or other tax records for tax reporting. This information is not and should not be construed as tax accounting or legal advice. Please consult with your tax advisor regarding the tax consequences of your investment decisions. The cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account were provided by you or a third party and Goldman Sachs makes no representation as to the accuracy of such information.

ST - COVERED

	Date Acquired or Sold Short	Date Sold or Covered	Quantity	Sale Proceeds	Cost Basis	FX Gain (Loss)	Market Gain (Loss)	Total Gain (Loss)	Holding Period
CHENIERE ENERGY PTNRS LP HLDGC CMN	Jan 02 2014	Jan 21 2014	2,000.00	37,919.33	37,660.00	0.00	259.33	259.33	ST
SANTANDER CONSUMER USA HOLDING CMN	Jan 23 2014	Jan 23 2014	250.00	6,429.88	6,000.00	0.00	429.88	429.88	ST
CHENIERE ENERGY PTNRS LP HLDGC CMN	Dec 12 2013	Feb 13 2014	151.00	2,924.81	3,020.00	0.00	(95.19)	(95.19)	ST
	Dec 12 2013	Feb 14 2014	1,849.00	35,814.50	36,980.00	0.00	(1,165.50)	(1,165.50)	ST
SHORT TERM GAINS				44,349.21	43,660.00	0.00	689.21	689.21	
SHORT TERM LOSSES				38,739.31	40,000.00	0.00	(1,260.69)	(1,260.69)	
NET SHORT TERM GAINS (LOSSES)				83,088.52	83,660.00	0.00	(571.48)	(571.48)	

JAN/FEB 2014  
COVERED ST GAIN/LOSS