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Return of Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning MAR 1, 2013, and ending FEB 28, 2014

Name of foundation OTTO H. YORK FOUNDATION INC.		A Employer identification number 22-5154083
Number and street (or P.O. box number if mail is not delivered to street address) C/O MYRTH YORK, 44 HAZARD AVENUE		B Telephone number 401-421-0738
City or town, state or province, country, and ZIP or foreign postal code PROVIDENCE, RI 02906		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 6,323,826.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments		31,673.	31,673.		STATEMENT 2
4 Dividends and interest from securities		191,512.	191,512.		STATEMENT 3
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		93,174.			STATEMENT 1
b Gross sales price for all assets on line 6a 1,210,927.					
7 Capital gain net income (from Part IV, line 2)			84,842.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less: Cost of goods sold					
c Gross profit or (loss)					
11 Other income		4,138.	4,138.		STATEMENT 4
12 Total. Add lines 1 through 11		320,497.	312,165.		
13 Compensation of officers, directors, trustees, etc.		62,500.	0.		62,500.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 5		6,900.	0.		6,120.
c Other professional fees STMT 6		1,000.	0.		1,000.
17 Interest					
18 Taxes STMT 7		5,652.	871.		4,781.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 8		46,265.	29,553.		16,703.
24 Total operating and administrative expenses. Add lines 13 through 23		122,317.	30,424.		91,104.
25 Contributions, gifts, grants paid		213,375.			213,375.
26 Total expenses and disbursements. Add lines 24 and 25		335,692.	30,424.		304,479.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		-15,195.			
b Net investment income (if negative, enter -0-)			281,741.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing			253,222.	220,744.	220,734.
	2 Savings and temporary cash investments					
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U S and state government obligations					
	b Investments - corporate stock	STMT 9	5,037,457.	5,054,740.	6,103,092.	
	c Investments - corporate bonds					
	11 Investments - land, buildings, and equipment basis ▶					
Less: accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other						
14 Land, buildings, and equipment basis ▶	1,958.					
Less: accumulated depreciation	STMT 10 ▶	1,958.				
15 Other assets (describe ▶)						
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		5,290,679.	5,275,484.	6,323,826.		
Liabilities	17 Accounts payable and accrued expenses					
	18 Grants payable					
	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶)					
23 Total liabilities (add lines 17 through 22)		0.	0.			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐					
	24 Unrestricted					
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☒					
	27 Capital stock, trust principal, or current funds		5,290,679.	5,275,484.		
	28 Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.		
	29 Retained earnings, accumulated income, endowment, or other funds		0.	0.		
30 Total net assets or fund balances		5,290,679.	5,275,484.			
31 Total liabilities and net assets/fund balances		5,290,679.	5,275,484.			

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,290,679.
2 Enter amount from Part I, line 27a	2	-15,195.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	5,275,484.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	5,275,484.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 1,210,927.		1,126,085.	84,842.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			
b			
c			
d			
e			84,842.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	84,842.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	302,917.	5,897,536.	.051363
2011	186,085.	5,176,701.	.035947
2010	129,473.	3,204,813.	.040400
2009	139,114.	2,661,586.	.052267
2008	157,438.	2,815,543.	.055917

2 Total of line 1, column (d)	2	.235894
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.047179
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	6,113,325.
5 Multiply line 4 by line 3	5	288,421.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,817.
7 Add lines 5 and 6	7	291,238.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	304,479.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	2,817.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,817.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3 If zero or less, enter -0-		5	2,817.
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	5,575.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7	5,575.	
8 Enter any penalty for underpayment of estimated tax Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	2,758.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☒ 2,758. Refunded ☒	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ 0. (2) On foundation managers ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XIV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ RI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► MYRTH YORK Telephone no ► 401-421-0738 Located at ► 44 HAZARD AVENUE, PROVIDENCE, RI ZIP+4 ► 02906			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MYRTH YORK	PRESIDENT			
44 HAZARD AVENUE				
PROVIDENCE, RI 02906	2.00	0.	0.	0.
AMY GABARRA	TRUSTEE			
19 BOYLSTON STREET				
PROVIDENCE, RI 02906	1.00	0.	0.	0.
DEBORAH M. BRAYTON	TRUSTEE			
127 TENTH STREET				
PROVIDENCE, RI 02906	1.00	62,500.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 NONE	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
	0.
2	
All other program-related investments See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	5,886,315.
b	Average of monthly cash balances	1b	320,106.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	6,206,421.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	6,206,421.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	93,096.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	6,113,325.
6	Minimum investment return. Enter 5% of line 5	6	305,666.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	305,666.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,817.
b	Income tax for 2013 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,817.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	302,849.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	302,849.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	302,849.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	304,479.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	304,479.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,817.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	301,662.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				302,849.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			207,846.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 304,479.				
a Applied to 2012, but not more than line 2a			207,846.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				96,633.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below.				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				206,216.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

N/A

- (4) Gross investment income**

[illegible]

EDUCATIONAL INSTITUTIONS AND NATIONAL CHARITIES

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ASZZO/PROVIDENCE STUDENT UNION 407 SMITH STREET PROVIDENCE, RI 02908	NONE	PUBLIC	GENERAL UNRESTRICTED	10,000.
BREAKTHROUGH PROVIDENCE 216 HOPE STREET PROVIDENCE, RI 02908	NONE	PUBLIC	GENERAL UNRESTRICTED	10,000.
COLLEGE VISIONS 131 WASHINGTON STREET PROVIDENCE, RI 02903	NONE	PUBLIC	GENERAL UNRESTRICTED	15,000.
INSPIRING MINDS 763 WESTMINSTER STREET PROVIDENCE, RI 02903	NONE	PUBLIC	GENERAL UNRESTRICTED	15,000.
NEW URBAN ARTS 705 WESTMINSTER STREET PROVIDENCE, RI 02903	NONE	PUBLIC	GENERAL UNRESTRICTED	15,000.
Total			SEE CONTINUATION SHEET(S) ▶ 3a	213,375.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

- b If "Yes," complete the following schedule**

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

► **PRESIDENT**

Title

May the IRS discuss this return with the preparer shown below (see Instr.)?

☒ Yes ☐ No**Paid
Preparer
Use Only**

Print/Type preparer's name

Preparer's signature

Date

Check ☐ self-employed

PTIN

ELIZABETH M. GELSON ELIZABETH M. GELS

06/24/14

P00071920

Firm's name ► UNTRACHT EARLY LLC

Firm's EIN ► 22-3754856

Firm's address ► 325 COLUMBIA TURNPIKE, SUITE 202
FLORHAM PARK, NJ 07932

Phone no 973-408-6700

Form 990-PF (2013)



For Account Number: 7880-0016

OTTO H YORK FOUNDATION
44 HAZARD AVENUE
PROVIDENCE RI 02906-3308

Your Financial Advisor:

LISA P KOELLE
4014596826
100 WESTMINSTER ST
STE 1600
PROVIDENCE RI 02903

Realized Gain and Loss Summary

Quantity	Description	Acquisition Date	Liquidation Date	Proceeds	Cost	Gain or (Loss)	Term
11.0000	BLACKBAUD INC	5/1/2012	3/20/2013	\$325 53	\$340 67	(\$15 14)	Short
10.0000	LANDSTAR SYSTEMS INC	5/1/2012	3/20/2013	\$566 16	\$540 99	\$25 17	Short
35.0000	BLACKBAUD INC	5/1/2012	3/21/2013	\$1,031 93	\$1,083.95	(\$52 02)	Short
10.0000	LANDSTAR SYSTEMS INC	5/1/2012	3/21/2013	\$563 39	\$540.99	\$22 40	Short
38.0000	BLACKBAUD INC	5/1/2012	3/22/2013	\$1,116 75	\$1,176.86	(\$60.11)	Short
13 0000	BLACKBAUD INC	5/1/2012	3/25/2013	\$379 46	\$402.61	(\$23 15)	Short
2.0000	EXPEDITORS INTL WASH INC	8/16/2012	7/24/2013	\$80 52	\$76 48	\$4.04	Short
1.0000	HITTITE MICROWAVE CORP	6/17/2013	7/24/2013	\$63 04	\$53.68	\$9 36	Short
6.0000	MICROS SYS INC	1/11/2013	7/24/2013	\$281 25	\$252.31	\$28 94	Short
7.0000	MSCI INC	9/28/2012	7/24/2013	\$245 93	\$251 34	(\$5.41)	Short
3 0000	PRICESMART INC	7/24/2013	10/9/2013	\$286 83	\$268.41	\$18.42	Short
5000	HEICO CORP NEW	10/22/2013	10/22/2013	\$19 89	\$0 01	\$19.88	Short
22.0000	ADVANCE AUTO PARTS	7/5/2013	1/27/2014	\$2,529 88	\$1,813.73	\$716.15	Short
4.9600	HEICO CORP NEW	7/24/2013	2/11/2014	\$196.41	\$159 68	\$36.73	Short
3.0000	WORLD FUEL SERVICE CORP	7/24/2013	2/24/2014	\$135 89	\$121.65	\$14 24	Short
5.0000	ADVANCE AUTO PARTS	7/5/2013	2/26/2014	\$625.86	\$412.21	\$213 65	Short
12.0000	ADVANCE AUTO PARTS	7/30/2013	2/26/2014	\$1,502 07	\$990.53	\$511 54	Short
Totals				\$9,950.79	\$8,486.10	\$1,464.69	
20.0000	CHURCH & DWIGHT INC	5/1/2012	6/17/2013	\$1,242 82	\$1,021.92	\$220 90	Long
18 0000	WOLVERINE WORLD WIDE INC	5/1/2012	6/17/2013	\$936 14	\$726.49	\$209 65	Long
2 0000	WOLVERINE WORLD WIDE INC	5/1/2012	6/18/2013	\$104 45	\$80 73	\$23.72	Long
107 0000	HILLENBRAND INC	5/1/2012	7/11/2013	\$2,643 32	\$2,239 22	\$404.10	Long
5.0000	ANSYS INC	5/1/2012	7/24/2013	\$399 77	\$343.08	\$56.69	Long
1.0000	CLARCOR INC	5/1/2012	7/24/2013	\$54 97	\$49.00	\$5.97	Long
2.0000	DRESSER-RAND GROUP INC	5/1/2012	7/24/2013	\$126 88	\$98.53	\$28 35	Long



For Account Number: 7880-0016
OTTO H YORK FOUNDATION
44 HAZARD AVENUE
PROVIDENCE RI 02906-3308

Your Financial Advisor:

LISA P. KOELLE
4014596826
100 WESTMINSTER ST
STE 1600
PROVIDENCE RI 02903

Realized Gain and Loss Summary

Quantity	Description	Acquisition Date	Liquidation Date	Proceeds	Cost	Gain or (Loss)	Term
17 0000	JOHN WILEY & SONS INC CL	5/1/2012	7/24/2013	\$742 86	\$778.63	(\$35.77)	Long
3 0000	NATL INSTRUMENTS CORP	5/1/2012	7/24/2013	\$89 37	\$82.70	\$6.67	Long
2 0000	RBC BEARINGS INC	5/1/2012	7/24/2013	\$109 40	\$94 46	\$14 94	Long
2.0000	SILGAN HOLDINGS INC	5/1/2012	7/24/2013	\$96.88	\$88 51	\$8.37	Long
1 0000	TECHNE CORP	5/1/2012	7/24/2013	\$75 03	\$68.03	\$7.00	Long
1 0000	WOLVERINE WORLD WIDE INC	5/1/2012	7/24/2013	\$57 82	\$40 37	\$17 45	Long
14 0000	SILGAN HOLDINGS INC	5/1/2012	8/16/2013	\$675 50	\$619 56	\$55 94	Long
15.0000	SILGAN HOLDINGS INC	5/1/2012	8/19/2013	\$722 12	\$663 81	\$58.31	Long
93 0000	JOHN WILEY & SONS INC CL	5/1/2012	8/20/2013	\$4,127 16	\$4,259.56	(\$132.40)	Long
9.0000	SILGAN HOLDINGS INC	5/1/2012	8/20/2013	\$434 34	\$398.29	\$36.05	Long
12.0000	SILGAN HOLDINGS INC	5/1/2012	8/21/2013	\$578 00	\$531.04	\$46.96	Long
13.0000	NATL INSTRUMENTS CORP	5/1/2012	10/8/2013	\$394 35	\$358.34	\$36.01	Long
6 0000	PRICESMART INC	5/1/2012	10/8/2013	\$581 45	\$492 96	\$88 49	Long
57 0000	NATL INSTRUMENTS CORP	5/1/2012	10/9/2013	\$1,698 84	\$1,571.17	\$127.67	Long
6.0000	PRICESMART INC	5/1/2012	10/9/2013	\$573 65	\$492 96	\$80.69	Long
30.0000	ROSS STORES INC	5/1/2012	10/31/2013	\$2,315 46	\$1,886 23	\$429 23	Long
27 0000	COPART INC	5/1/2012	1/27/2014	\$936 45	\$716.21	\$220.24	Long
13 0000	TECHNE CORP	5/1/2012	1/27/2014	\$1,170 63	\$884 35	\$286 28	Long
57 0400	HEICO CORP NEW	5/1/2012	2/11/2014	\$2,258 62	\$1,485 80	\$772 82	Long
32.0000	JACK HENRY & ASSOC INC	5/1/2012	2/12/2014	\$1,830 47	\$1,109.46	\$721.01	Long
50 0000	WORLD FUEL SERVICE CORP	5/1/2012	2/21/2014	\$2,234 66	\$2,229.15	\$5.51	Long
20 0000	WORLD FUEL SERVICE CORP	5/1/2012	2/24/2014	\$905 87	\$891.66	\$14.21	Long
Totals				\$28,117.28	\$24,302.22	\$3,815.06	
Grand Totals				\$38,068.07	\$32,788.32	\$5,279.75	



For Account Number: 6525-6981
OTTO H YORK FOUNDATION
44 HAZARD AVENUE
PROVIDENCE RI 02906

Your Financial Advisor:
LISA P. KOELLE
4014596826
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STE 1600
PROVIDENCE RI 02903

Realized Gain and Loss Summary

Quantity	Description	Acquisition Date	Liquidation Date	Proceeds	Cost	Gain or (Loss)	Term
1,651.0000	GABELLI GLOBAL UTIL RTS	5/16/2013	6/3/2013	\$941.05		\$941.05	Short
3,251.0000	GABELLI GLOBAL UTIL RTS	5/16/2013	6/3/2013	\$1,877.92		\$1,877.92	Short
	Totals			\$2,818.97		\$2,818.97	
800.0000	ISHARES TIP BOND ETF	4/1/2011	7/15/2013	\$89,111.60	\$87,639.64	\$1,471.96	Long
100.0000	3M CO	12/14/2004	9/25/2013	\$11,999.29	\$7,917.24	\$4,082.05	Long
300.0000	3M CO	12/14/2004	9/25/2013	\$36,016.77	\$23,751.70	\$12,265.07	Long
425.0000	BOEING CO	5/22/1997	9/25/2013	\$50,063.57	\$21,329.71	\$28,733.86	Long
1,000.0000	PROCTER & GAMBLE CO	5/22/1997	9/25/2013	\$77,946.64	\$33,876.96	\$44,069.68	Long
	Totals			\$265,137.87	\$174,515.25	\$90,622.62	
	Grand Totals			\$267,956.84	\$174,515.25	\$93,441.59	



For Account Number: 5250-6998
OTTO H YORK FOUNDATION
44 HAZARD AVENUE
PROVIDENCE RI 02906-3308

Your Financial Advisor:

LISA P. KOELLE
4014596826
100 WESTMINSTER ST
STE 1600
PROVIDENCE RI 02903

Realized Gain and Loss Summary

Quantity	Description	Acquisition Date	Liquidation Date	Proceeds	Cost	Gain or (Loss)	Term
93.0000	INTL RECTIFIER CORP	2/19/2013	6/7/2013	\$2,027.94	\$2,021.82	\$6.12	Short
35.0000	ONEOK INC NEW	2/19/2013	9/19/2013	\$1,897.46	\$1,663.20	\$234.26	Short
84.0000	HELIX ENERGY SOLUTIONS	2/19/2013	10/23/2013	\$1,943.20	\$2,068.92	(\$125.72)	Short
54.0000	HARSCO CORPORATION	2/19/2013	10/29/2013	\$1,525.48	\$1,342.98	\$182.50	Short
8.0000	EXPRESS SCRIPTS HLDG CO	2/19/2013	11/18/2013	\$518.55	\$454.64	\$63.91	Short
1.0000	AMERICAN TOWER CORP REIT	2/19/2013	11/22/2013	\$76.63	\$74.52	\$2.11	Short
3.0000	AUTODESK INC	2/19/2013	11/22/2013	\$137.43	\$116.73	\$20.70	Short
1.0000	BARD C R INC	2/19/2013	11/22/2013	\$139.26	\$100.33	\$38.93	Short
1.0000	BORG WARNER INC	2/19/2013	11/22/2013	\$105.99	\$76.44	\$29.55	Short
3.0000	CBRE GROUP INC CLASS A	2/19/2013	11/22/2013	\$69.36	\$72.63	(\$3.27)	Short
1.0000	CF INDUSTRIES HOLDINGS	11/5/2013	11/22/2013	\$213.50	\$210.95	\$2.55	Short
1.0000	COACH INC	2/19/2013	11/22/2013	\$53.98	\$48.29	\$5.69	Short
3.0000	D R HORTON INC	2/19/2013	11/22/2013	\$57.81	\$70.73	(\$12.92)	Short
1.0000	DARDEN RESTAURANTS	2/19/2013	11/22/2013	\$53.84	\$45.19	\$8.65	Short
1.0000	EASTMAN CHEMICAL COMPANY	2/19/2013	11/22/2013	\$76.41	\$73.67	\$2.74	Short
1.0000	ECHOSTAR HLDNG CORP-A	3/27/2013	11/22/2013	\$49.72	\$38.36	\$11.36	Short
1.0000	EXPRESS SCRIPTS HLDG CO	2/19/2013	11/22/2013	\$65.89	\$56.83	\$9.06	Short
2.0000	HUMANA INC	2/19/2013	11/22/2013	\$200.40	\$143.90	\$56.50	Short
1.0000	INTEGRYS ENERGY GROUP	2/19/2013	11/22/2013	\$56.32	\$56.35	(\$0.03)	Short
1.0000	REINSURANCE GROUP OF AMR	2/19/2013	11/22/2013	\$74.36	\$59.33	\$15.03	Short
1.0000	TJX COS INC NEW	2/19/2013	11/22/2013	\$63.13	\$44.70	\$18.43	Short
2.0000	VALSPAR CORPORATION	2/19/2013	11/22/2013	\$143.40	\$125.10	\$18.30	Short
3.0000	GLOBAL PAYMENTS INC	2/19/2013	12/23/2013	\$190.88	\$152.37	\$38.51	Short
7.0000	MURPHY USA INC	2/19/2013	12/23/2013	\$298.37	\$240.93	\$57.44	Short
32.0000	COACH INC	2/19/2013	2/10/2014	\$1,504.03	\$1,545.28	(\$41.25)	Short
7.0000	ONE GAS INC	2/19/2013	2/19/2014	\$231.77	\$168.71	\$63.06	Short



For Account Number: 5250-6998
OTTO H YORK FOUNDATION
44 HAZARD AVENUE
PROVIDENCE RI 02906-3308

Your Financial Advisor:
LISA P KOELLE
4014596826
100 WESTMINSTER ST
STE 1600
PROVIDENCE RI 02903

Realized Gain and Loss Summary

Quantity Description	Acquisition Date	Liquidation Date	Proceeds	Cost	Gain or (Loss) Term
Totals			\$11,775.11	\$11,072.90	\$702.21
Grand Totals			\$11,775.11	\$11,072.90	\$702.21



For Account Number: 1514-8011
OTTO H YORK FOUNDATION
44 HAZARD AVENUE
PROVIDENCE RI 02906-3308

Your Financial Advisor:

LISA P. KOELLE
4014596826
100 WESTMINSTER ST
STE 1600
PROVIDENCE RI 02903

Realized Gain and Loss Summary

Quantity	Description	Acquisition Date	Liquidation Date	Proceeds	Cost	Gain or (Loss)	Term
28.0000	BANK OF AMERICA 8.20%	2/19/2013	3/6/2013	\$714 17	\$713.44	\$0.73	Short
2 0000	EVEREST RE 6.2%CAP TRUST	2/19/2013	3/7/2013	\$50 88	\$50 80	\$0.08	Short
1 0000	EVEREST RE 6 2%CAP TRUST	2/19/2013	3/8/2013	\$25 44	\$25.40	\$0 04	Short
17.0000	EVEREST RE 6.2%CAP TRUST	2/19/2013	3/11/2013	\$431 50	\$431.80	(\$0 30)	Short
37.0000	ING GROEP NV 7.375% PFD	2/19/2013	3/14/2013	\$949 37	\$939.06	\$10 31	Short
4.0000	JP MORGAN CHASE 5 875%	2/19/2013	3/18/2013	\$100 57	\$101.56	(\$0.99)	Short
27 0000	JPM TRUST PFD XXIX 6 70%	2/19/2013	3/18/2013	\$707 12	\$719 47	(\$12.35)	Short
46.0000	JP MORGAN CHASE 5 875%	2/19/2013	3/19/2013	\$1,155 40	\$1,167.94	(\$12 54)	Short
1.0000	JPM TRUST PFD XXIX 6 70%	2/19/2013	3/19/2013	\$26 16	\$26 65	(\$0 49)	Short
25.0000	AEGON N.V. 6 5% PFD	2/19/2013	3/22/2013	\$628 85	\$633.75	(\$4.90)	Short
21 0000	AEGON N.V. 6.5% PFD	2/19/2013	3/25/2013	\$527 59	\$532 35	(\$4.76)	Short
56 0000	ING GROEP NV 7 375% PFD	2/19/2013	3/25/2013	\$1,443.67	\$1,421 28	\$22.39	Short
35.0000	AEGON N.V. 7.25% PFD	2/19/2013	4/3/2013	\$890 59	\$898.80	(\$8.21)	Short
45.0000	AEGON N.V. 6.5% PFD	2/19/2013	4/3/2013	\$1,130 55	\$1,140 75	(\$10.20)	Short
33.0000	DEUTSCHE BANK 7 35% PFD	2/19/2013	4/4/2013	\$840 36	\$845 79	(\$5 43)	Short
35 0000	ING GROUP NV 7 20%	2/19/2013	4/4/2013	\$885 59	\$889 00	(\$3.41)	Short
33 0000	CITIGROUP CAP 6 95% PFD	2/19/2013	4/16/2013	\$825 00	\$843 15	(\$18.15)	Short
37 0000	CITIGROUP CAPITAL XI 6%	2/19/2013	4/16/2013	\$934 06	\$934 06	\$0 00	Short
1 0000	AEGON N.V. FLTNG RT PFD	2/19/2013	4/24/2013	\$24 77	\$24 77	\$0.00	Short
26 0000	AEGON N.V. FLTNG RT PFD	2/19/2013	4/25/2013	\$639 00	\$643 82	(\$4 82)	Short
49 0000	CITIGROUP CAP XVI 6 45%	2/19/2013	4/25/2013	\$1,240 46	\$1,236 27	\$4 19	Short
11 0000	AEGON N.V. FLTNG RT PFD	2/19/2013	4/26/2013	\$269 49	\$272 39	(\$2.90)	Short
38.0000	HSBC HOLDINGS 6.2% PFD	2/19/2013	4/26/2013	\$962 73	\$965 20	(\$2.47)	Short
31.0000	ING GROUP 7.05% PERP	2/19/2013	4/26/2013	\$786 35	\$787 40	(\$1.05)	Short
29.0000	ING GROUP NV 7.20%	2/19/2013	4/26/2013	\$737 88	\$736 60	\$1 28	Short
26 0000	BANK OF AMERICA 8 20%	2/19/2013	5/1/2013	\$650 00	\$662.48	(\$12.48)	Short



For Account Number: 1514-8011

OTTO H YORK FOUNDATION
44 HAZARD AVENUE
PROVIDENCE RI 02906-3308

Your Financial Advisor:

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4014596826
100 WESTMINSTER ST
STE 1600
PROVIDENCE RI 02903

Realized Gain and Loss Summary

Quantity	Description	Acquisition Date	Liquidation Date	Proceeds	Cost	Gain or (Loss)	Term
67 0000	DEUTSCHE BK 6.375% PFD	2/19/2013	5/6/2013	\$1,696.04	\$1,706.51	(\$10.47)	Short
19 0000	PRUDENTIAL FINL 5 75%PFD	2/19/2013	5/8/2013	\$496 35	\$488.11	\$8.24	Short
40 0000	MORGAN STAN CP 6.6% PFD	2/19/2013	5/9/2013	\$1,017.48	\$1,010.00	\$7.48	Short
27.0000	AEGON N V 7 25% PFD	2/19/2013	5/15/2013	\$691 48	\$693 36	(\$1 88)	Short
28 0000	ING GROUP 7.05% PERP	2/19/2013	5/15/2013	\$718 63	\$711 20	\$7 43	Short
38 0000	CITIGROUP CAP IX 6% PFD	2/19/2013	5/21/2013	\$959 90	\$956.39	\$3 51	Short
36.0000	BARCLAY BANK PLC 7 75%	2/19/2013	5/22/2013	\$931 54	\$926 28	\$5.26	Short
221 0000	EVEREST RE 6 2%CAP TRUST	2/19/2013	5/24/2013	\$5,525 00	\$5,613 40	(\$88.40)	Short
214 0000	WR BERKLEY CORP 6.75%	2/19/2013	5/28/2013	\$5,350 00	\$5,406 15	(\$56 15)	Short
43 0000	ING GROUP 7.05% PERP	2/19/2013	5/29/2013	\$1,085 19	\$1,092.20	(\$7.01)	Short
104 0000	XCEL ENERGY INC 7 6% PFD	2/19/2013	5/31/2013	\$2,600 00	\$2,652 00	(\$52.00)	Short
55 0000	8WEINGARTEN RLTY 6.50%PF	2/19/2013	6/5/2013	\$1,375 00	\$1,400 75	(\$25 75)	Short
138.0000	PRUDENTIAL FNCL 9 % PFD	2/19/2013	6/17/2013	\$3,450 00	\$3,604 56	(\$154 56)	Short
29.0000	CITIGROUP CAP IX 6% PFD	2/19/2013	6/26/2013	\$719 97	\$729 88	(\$9.91)	Short
35.0000	CITIGROUP CAP XVII 6 35%	2/19/2013	6/26/2013	\$870 88	\$885.44	(\$14.56)	Short
16 0000	8RENAISSANCE RE HDG 6 08	2/19/2013	6/27/2013	\$400 00	\$407 20	(\$7.20)	Short
98 0000	RENAISSANCE RE 6 6% PFD	2/19/2013	6/27/2013	\$2,450 00	\$2,501 94	(\$51.94)	Short
35.0000	BARCLAYS BANK PLC 8 125%	2/19/2013	7/15/2013	\$889 25	\$909.30	(\$20.05)	Short
60 0000	CITIGROUP CAPITAL X 6 1%	2/19/2013	10/1/2013	\$1,500 00	\$1,516.20	(\$16.20)	Short
30.0000	VORNADO RLTY LP7.875%PFD	2/19/2013	10/4/2013	\$783 54	\$837 92	(\$54 38)	Short
14 0000	WELLS FARGO&CO5.25%A PFD	6/12/2013	10/15/2013	\$298 00	\$322 19	(\$24.19)	Short
9 0000	WELLS FARGO&CO5 25%A PFD	6/12/2013	10/16/2013	\$190 48	\$207 11	(\$16.63)	Short
13 0000	WELLS FARGO&CO5 25%A PFD	6/13/2013	10/16/2013	\$275 16	\$304 66	(\$29 50)	Short
33 0000	BARCLAYS BANK PLC 8.125%	2/19/2013	10/22/2013	\$842.02	\$857 34	(\$15 32)	Short
38 0000	GOLDMAN SACHS 5 95%I PFD	2/19/2013	10/25/2013	\$850 69	\$946.58	(\$95 89)	Short
55.0000	WELLS FARGO&CO5.25%A PFD	6/13/2013	10/25/2013	\$1,189.68	\$1,288.94	(\$99 26)	Short



For Account Number: 1514-8011
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PROVIDENCE RI 02906-3308

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PROVIDENCE RI 02903

Realized Gain and Loss Summary

Quantity	Description	Acquisition Date	Liquidation Date	Proceeds	Cost	Gain or (Loss)	Term
28.0000	DEUTSCHE BANK 8.05% PFD	2/19/2013	11/19/2013	\$761.95	\$803.60	(\$41.65)	Short
41.0000	BARCLAYS BANK PLC 8.125%	2/19/2013	11/22/2013	\$1,055.60	\$1,065.18	(\$9.58)	Short
37.0000	DEUTSCHE BANK 7.60% PFD	2/19/2013	12/10/2013	\$962.64	\$1,022.68	(\$60.04)	Short
18.0000	CITIGROUP CAP XIII 7.87%	2/19/2013	12/12/2013	\$491.03	\$503.46	(\$12.43)	Short
26.0000	JPM TRUST PFD XXIX 6.70%	2/19/2013	12/12/2013	\$670.81	\$692.81	(\$22.00)	Short
28.0000	DEUTSCHE BANK 8.05% PFD	2/19/2013	12/16/2013	\$763.40	\$803.60	(\$40.20)	Short
24.0000	DEUTSCHE BANK 7.60% PFD	2/19/2013	12/18/2013	\$624.92	\$663.36	(\$38.44)	Short
40.0000	DEUTSCHE BANK 7.60% PFD	2/19/2013	12/26/2013	\$1,033.41	\$1,105.60	(\$72.19)	Short
8.0000	PARTNER RE 6.50% SER D	8/21/2013	1/10/2014	\$192.84	\$188.57	\$4.27	Short
50.0000	PARTNER RE 6.50% SER D	8/20/2013	1/10/2014	\$1,205.18	\$1,184.78	\$20.40	Short
32.0000	DEUTSCHE BANK 7.60% PFD	2/19/2013	2/7/2014	\$841.62	\$884.48	(\$42.86)	Short
33.0000	DEUTSCHE BANK 7.60% PFD	2/19/2013	2/18/2014	\$864.44	\$912.12	(\$47.68)	Short
40.0000	ROYAL BK SCOTLAND 6.40%	2/19/2013	2/19/2014	\$903.03	\$918.00	(\$14.97)	Short
Totals				\$65,104.70	\$66,367.83	(\$1,263.13)	
49.0000	BARCLAYS BANK PLC 8.125%	2/19/2013	2/20/2014	\$1,265.66	\$1,273.02	(\$7.36)	Long
40.0000	DB CONT CP TRII 6.55%PFD	2/19/2013	2/21/2014	\$1,001.07	\$1,079.20	(\$78.13)	Long
33.0000	AEGON N.V. FLTNG RT PFD	2/19/2013	2/26/2014	\$694.17	\$817.14	(\$122.97)	Long
Totals				\$2,960.90	\$3,169.36	(\$208.46)	
Grand Totals				\$68,065.60	\$69,537.19	(\$1,471.59)	



For Account Number: 4692-8817

OTTO H YORK FOUNDATION
44 HAZARD AVENUE
PROVIDENCE RI 02906-3308

Your Financial Advisor:

LISA P. KOELLE
4014596826
100 WESTMINSTER ST
STE 1600
PROVIDENCE RI 02903

Realized Gain and Loss Summary

Quantity	Description	Acquisition Date	Liquidation Date	Proceeds	Cost	Gain or (Loss)	Term
10.0000	ADIDAS AG ADR	2/19/2013	3/7/2013	\$500.29	\$481.10	\$19.19	Short
7 0000	ANHEUSER BUSCH INBEV ADR	2/19/2013	3/7/2013	\$667 65	\$647 50	\$20.15	Short
10 0000	HOLCIM LTD- UNSPON ADR	2/19/2013	3/7/2013	\$161.01	\$157.49	\$3 52	Short
4.0000	NOVOZYMES A/S ADR	2/19/2013	3/7/2013	\$142 44	\$135 48	\$6.96	Short
1 0000	ROCHE HOLDINGS LTD ADR	2/19/2013	3/7/2013	\$56.87	\$56.86	\$0.01	Short
5.0000	TELENOR ASA-ADS	2/19/2013	3/7/2013	\$329.29	\$336 50	(\$7.21)	Short
4 0000	TOYOTA MTR CORP ADR F	2/19/2013	3/7/2013	\$415 00	\$408 92	\$6.08	Short
1.0000	ADIDAS AG ADR	2/19/2013	3/27/2013	\$52 11	\$48 11	\$4.00	Short
112 0000	NEWCREST MINING LTD F	2/19/2013	4/30/2013	\$1,924 32	\$2,534 45	(\$610 13)	Short
4 0000	AXA-ADR	2/19/2013	5/1/2013	\$73.68	\$74.60	(\$0 92)	Short
5.0000	BELLE INTL HOLDINGSLTD	2/19/2013	5/1/2013	\$81 00	\$116.75	(\$35 75)	Short
11 0000	COMPAGNIE FINANCIERE	2/19/2013	5/1/2013	\$88 12	\$90 85	(\$2.73)	Short
2 0000	GRIFOLS S.A NEW	2/19/2013	5/1/2013	\$62 69	\$55 26	\$7.43	Short
10 0000	IND & COMM BK OF UNS ADR	2/19/2013	5/1/2013	\$140.30	\$144 50	(\$4.20)	Short
3.0000	KOMATSU LTD F	2/19/2013	5/1/2013	\$80 13	\$76 98	\$3 15	Short
5 0000	SOFTBANK CORP ADR	2/19/2013	5/1/2013	\$121 30	\$91 35	\$29 95	Short
1 0000	TOYOTA MTR CORP ADR F	2/19/2013	5/1/2013	\$114.62	\$102 23	\$12 39	Short
8536	CREDIT SUISSE GROUP	5/20/2013	5/20/2013	\$25.35	\$0 00	\$25.35	Short
20 0000	ABB LTD -SPONS ADR F	2/19/2013	5/24/2013	\$445.26	\$459 70	(\$14.44)	Short
20 0000	AIA GROUP LTD SPONS ADR	2/19/2013	5/24/2013	\$360 19	\$329 99	\$30 20	Short
38 0000	ANGLO AMERICAN PLC ADR	2/21/2013	5/24/2013	\$447 64	\$556 70	(\$109 06)	Short
148 0000	ANGLO AMERICAN PLC ADR	2/19/2013	5/24/2013	\$1,743.40	\$2,270 17	(\$526.77)	Short
9 0000	ARM HOLDINGS PLC	2/19/2013	5/24/2013	\$404 51	\$394.56	\$9 95	Short
35.0000	AXA-ADR	2/19/2013	5/24/2013	\$668.15	\$652 75	\$15 40	Short
3 0000	BAYER AG SPONSORED ADR	2/19/2013	5/24/2013	\$327 55	\$286.62	\$40.93	Short
38 0000	CAMECO CORP	2/19/2013	5/24/2013	\$834 21	\$826.32	\$7.89	Short



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Realized Gain and Loss Summary

Quantity	Description	Acquisition Date	Liquidation Date	Proceeds	Cost	Gain or (Loss)	Term
11.0000	CANON INC ADR F	2/19/2013	5/24/2013	\$397 59	\$394.68	\$2.91	Short
1.0000	HSBC HOLDINGS PLC-SPONS	2/19/2013	5/24/2013	\$55 16	\$56 61	(\$1.45)	Short
8000	ITAU UNIBENCO HLDG SA ADR	5/24/2013	5/24/2013	\$12 78	\$0 01	\$12 77	Short
45 0000	MANULIFE FINL CORP	2/19/2013	5/24/2013	\$692 26	\$689.63	\$2.63	Short
12 0000	NICE SYSTEMS LTD	2/19/2013	5/24/2013	\$440 82	\$438 96	\$1.86	Short
1 0000	NOVO NORDISK A S ADR	2/19/2013	5/24/2013	\$167 53	\$173 06	(\$5 53)	Short
12 0000	PING AN INSURANCE-ADR	2/19/2013	5/24/2013	\$179 28	\$205.20	(\$25.92)	Short
16 0000	PRUDENTIAL PLC	2/19/2013	5/24/2013	\$557 22	\$480 75	\$76 47	Short
8.0000	ROCHE HOLDINGS LTD ADR	2/19/2013	5/24/2013	\$525 59	\$454.88	\$70.71	Short
8.0000	ROLLS ROYCE HOLDING PLC	2/19/2013	5/24/2013	\$722 04	\$642.16	\$79.88	Short
18 0000	SOFTBANK CORP ADR	2/19/2013	5/24/2013	\$465.29	\$328.86	\$136.43	Short
15 0000	TELENOR ASA-ADS	2/19/2013	5/24/2013	\$979.93	\$1,009 49	(\$29.56)	Short
10.0000	WOODSIDE PETE LTD ADR	2/19/2013	5/24/2013	\$353 69	\$394 49	(\$40 80)	Short
18.0000	WORLEYPARSN LTD UNSP ADR	2/19/2013	5/24/2013	\$343 61	\$503 81	(\$160.20)	Short
15.0000	WORLEYPARSN LTD UNSP ADR	2/21/2013	7/1/2013	\$264 82	\$403 24	(\$138.42)	Short
56.0000	WORLEYPARSN LTD UNSP ADR	2/19/2013	7/1/2013	\$988 65	\$1,567 38	(\$578.73)	Short
24 0000	AIXTRON SE-SPONSORED ADR	2/19/2013	8/27/2013	\$390 76	\$293 25	\$97.51	Short
5 0000	BAYER AG SPONSORED ADR	2/19/2013	8/27/2013	\$579 04	\$477 70	\$101.34	Short
8 0000	BG GROUP PLC F	2/19/2013	8/27/2013	\$150 24	\$142 96	\$7.28	Short
16 0000	CANON INC ADR F	4/30/2013	8/27/2013	\$486 86	\$573 60	(\$86 74)	Short
49.0000	CANON INC ADR F	2/19/2013	8/27/2013	\$1,491 00	\$1,758 12	(\$267 12)	Short
5 0000	CHINA UNICOM LTD ADR	2/19/2013	8/27/2013	\$75 01	\$74 13	\$0 88	Short
10 0000	GEMALTO NV SPONSORED ADR	2/19/2013	8/27/2013	\$553 69	\$470.00	\$83 69	Short
15 0000	GRIFOLS S A NEW	2/19/2013	8/27/2013	\$455.08	\$414 45	\$40.63	Short
5.0000	ROLLS ROYCE HOLDING PLC	2/19/2013	8/27/2013	\$433.91	\$401 35	\$32.56	Short
29 0000	SOFTBANK CORP ADR	2/19/2013	8/27/2013	\$914.35	\$529 83	\$384.52	Short



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Realized Gain and Loss Summary

Quantity	Description	Acquisition Date	Liquidation Date	Proceeds	Cost	Gain or (Loss)	Term
5 0000	TOYOTA MTR CORP ADR F	2/19/2013	8/27/2013	\$621 40	\$511.15	\$110 25	Short
302 0000	IND & COMM BK OF UNS ADR	2/19/2013	8/28/2013	\$3,919 41	\$4,363 90	(\$444.49)	Short
97 0000	PERNOD-RICARD SA-UNSPON	2/19/2013	8/28/2013	\$2,356 65	\$2,540.43	(\$183 78)	Short
127.0000	RECKITT BENCKSR SPON ADR	2/19/2013	8/28/2013	\$1,732 54	\$1,795 78	(\$63.24)	Short
0548	SESA GOA LTD SPONS ADR	5/24/2013	9/9/2013	\$0 57	\$0.62	(\$0 05)	Short
1083	SESA GOA LTD SPONS ADR	2/25/2013	9/9/2013	\$1 14	\$1 29	(\$0 15)	Short
2357	SESA GOA LTD SPONS ADR	8/28/2013	9/9/2013	\$2 47	\$2 16	\$0 31	Short
4013	SESA GOA LTD SPONS ADR	2/19/2013	9/9/2013	\$4 21	\$5 10	(\$0.89)	Short
39.0000	SOFTBANK CORP ADR	2/19/2013	9/24/2013	\$1,308 43	\$712 53	\$595 90	Short
7 0000	TENCENT HOLDINGS LTD-	2/19/2013	9/24/2013	\$364.41	\$249 55	\$114 86	Short
3 0000	NOVO NORDISK A S ADR	2/19/2013	10/30/2013	\$543.65	\$519 18	\$24.47	Short
13.0000	PRUDENTIAL PLC	2/19/2013	10/30/2013	\$531 17	\$390 61	\$140 56	Short
8 0000	TENCENT HOLDINGS LTD-	2/19/2013	10/30/2013	\$438 79	\$285 20	\$153.59	Short
21 0000	ABB LTD -SPONS ADR F	2/19/2013	11/21/2013	\$524.78	\$482 69	\$42 09	Short
5.0000	ANHEUSER BUSCH INBEV ADR	2/19/2013	11/21/2013	\$516 49	\$462 50	\$53 99	Short
42 0000	AXA-ADR	2/19/2013	11/21/2013	\$1,063.00	\$783 30	\$279 70	Short
14 0000	BELLE INTL HOLDINGSLTD	3/7/2013	11/21/2013	\$170 15	\$275 10	(\$104 95)	Short
16.0000	BELLE INTL HOLDINGSLTD	2/26/2013	11/21/2013	\$194.46	\$296 96	(\$102 50)	Short
30.0000	BELLE INTL HOLDINGSLTD	5/24/2013	11/21/2013	\$364 61	\$460 19	(\$95.58)	Short
72 0000	BELLE INTL HOLDINGSLTD	10/1/2013	11/21/2013	\$875 09	\$1,054 80	(\$179.71)	Short
171 0000	BELLE INTL HOLDINGSLTD	2/19/2013	11/21/2013	\$2,078.29	\$3,992 85	(\$1,914.56)	Short
43 0000	COMPAGNIE FINANCIERE	2/19/2013	11/21/2013	\$427.84	\$355 14	\$72 70	Short
31 0000	ITAU UNIBNCO HLDG SA ADR	2/19/2013	11/21/2013	\$440 50	\$502 45	(\$61 95)	Short
10.0000	ROCHE HOLDINGS LTD ADR	2/19/2013	11/21/2013	\$694.09	\$568 60	\$125 49	Short
6.0000	ROLLS ROYCE HOLDING PLC	2/19/2013	11/21/2013	\$600.29	\$481 62	\$118 67	Short
34 0000	SOFTBANK CORP ADR	2/19/2013	11/21/2013	\$1,383.05	\$621 18	\$761 87	Short

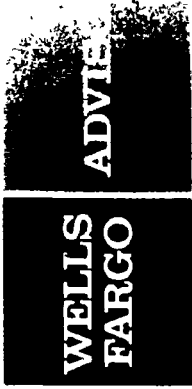


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OTTO H YORK FOUNDATION
44 HAZARD AVENUE
PROVIDENCE RI 02906-3308

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Realized Gain and Loss Summary

Quantity	Description	Acquisition Date	Liquidation Date	Proceeds	Cost	Gain or (Loss)	Term
10 0000	TELENOR ASA-ADS	2/19/2013	11/21/2013	\$737.39	\$672.99	\$64.40	Short
8 0000	ANHEUSER BUSCH INBEV ADR	8/27/2013	12/9/2013	\$820 28	\$764 92	\$55.36	Short
8 0000	ANHEUSER BUSCH INBEV ADR	10/1/2013	12/9/2013	\$820 29	\$786 64	\$33.65	Short
14 0000	ANHEUSER BUSCH INBEV ADR	2/19/2013	12/9/2013	\$1,435 49	\$1,295 00	\$140.49	Short
4.0000	BAYER AG SPONSORED ADR	2/19/2013	12/31/2013	\$564.23	\$382.16	\$182.07	Short
25.0000	FRESENIUS SE & CO ADR	2/19/2013	12/31/2013	\$478 74	\$375 73	\$103.01	Short
13 0000	GRIFOLS S.A. NEW	2/19/2013	12/31/2013	\$466 17	\$359 19	\$106.98	Short
42 0000	PING AN INSURANCE-ADR	2/19/2013	12/31/2013	\$750 95	\$718 20	\$32 75	Short
7 0000	TENCENT HOLDINGS LTD-	4/30/2013	1/28/2014	\$453 23	\$240 59	\$212.64	Short
14.0000	TENCENT HOLDINGS LTD-	2/21/2013	1/28/2014	\$906 44	\$488 18	\$418.26	Short
72.0000	TENCENT HOLDINGS LTD-	2/19/2013	1/28/2014	\$4,661.71	\$2,566 80	\$2,094.91	Short
18.0000	ABB LTD -SPONS ADR F	2/19/2013	2/13/2014	\$450.17	\$413 73	\$36.44	Short
62.0000	COMPAGNIE FINANCIERE	2/19/2013	2/13/2014	\$613.17	\$512 06	\$101.11	Short
26.0000	FRESENIUS SE & CO ADR	2/19/2013	2/13/2014	\$521 81	\$390 76	\$131.05	Short
33 0000	MANULIFE FINL CORP	2/19/2013	2/13/2014	\$621 71	\$505 73	\$115.98	Short
10 0000	NESTLE S A REG ADR	2/19/2013	2/13/2014	\$739 99	\$692 49	\$47.50	Short
11 0000	NOVOZYMES A/S ADR	2/19/2013	2/13/2014	\$483 44	\$372 57	\$110.87	Short
22 0000	PING AN INSURANCE-ADR	2/19/2013	2/13/2014	\$361 23	\$376 20	(\$14 97)	Short
Totals				\$59,987.20	\$57,843.16	\$2,144.04	
8.0000	WOODSIDE PETE LTD ADR	2/19/2013	2/26/2014	\$279.76	\$315 60	(\$35 84)	Long
Totals				\$279.76	\$315.60	(\$35.84)	
Grand Totals				\$60,266.96	\$58,158.76	\$2,108.20	



For Account Number: 4947-9442
OTTO H YORK FOUNDATION
44 HAZARD AVENUE
PROVIDENCE RI 02906-3308

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Realized Gain and Loss Summary

Quantity	Description	Acquisition Date	Liquidation Date	Proceeds	Cost	Gain or (Loss)	Term
28 0000	SOFTBANK CORP ADR	5/2/2012	3/11/2013	\$548.09	\$413 00	\$135.09	Short
12 0000	TESCO PLC	2/11/2013	3/14/2013	\$206.87	\$207 83	(\$0.96)	Short
38.0000	TESCO PLC	5/2/2012	3/14/2013	\$655.08	\$594 70	\$60.38	Short
31 0000	CSL LTD- UNSPON ADR	5/2/2012	3/15/2013	\$987 12	\$604 50	\$382 62	Short
7 0000	ECOPETROL SA-SPON ADR	2/11/2013	3/19/2013	\$379 49	\$426 44	(\$46 95)	Short
19 0000	ECOPETROL SA-SPON ADR	6/22/2012	3/19/2013	\$1,030.04	\$1,067 30	(\$37 26)	Short
15 0000	EXPERIAN PLC	5/2/2012	3/20/2013	\$263.25	\$243 00	\$20 25	Short
15 0000	INFORMA PLC UNSP ADR	5/2/2012	3/20/2013	\$229.64	\$202 05	\$27.59	Short
26.0000	EXPERIAN PLC	5/2/2012	3/21/2013	\$449.33	\$421 20	\$28 13	Short
33 0000	INFORMA PLC UNSP ADR	5/2/2012	3/21/2013	\$504.11	\$444 51	\$59 60	Short
5.0000	NOVO NORDISK A S ADR	2/11/2013	3/21/2013	\$806 60	\$823 00	(\$16.40)	Short
7.0000	NOVO NORDISK A S ADR	5/2/2012	3/21/2013	\$1,129.22	\$1,029 49	\$99 73	Short
26 0000	CAIRN ENRGY PLC UNSP ADR	2/11/2013	3/27/2013	\$214 93	\$237 90	(\$22.97)	Short
77.0000	CAIRN ENRGY PLC UNSP ADR	8/1/2012	3/27/2013	\$636.52	\$711 46	(\$74 94)	Short
1.0000	JUPITER TELECOM-UNSP ADR	1/28/2013	4/3/2013	\$13.05	\$12 01	\$1 04	Short
26 6667	JUPITER TELECOM-UNSP ADR	8/20/2012	4/3/2013	\$348 06	\$263 84	\$84 22	Short
91.0000	JUPITER TELECOM-UNSP ADR	2/11/2013	4/3/2013	\$1,187 78	\$1,080 08	\$107 70	Short
233.3333	JUPITER TELECOM-UNSP ADR	5/2/2012	4/3/2013	\$3,045.56	\$2,472 75	\$572 81	Short
14 0000	UTD OVERSEAS BK SPON ADR	5/2/2012	4/18/2013	\$466.83	\$439 60	\$27.23	Short
2.0000	INFORMA PLC UNSP ADR	1/28/2013	4/29/2013	\$29 50	\$30 20	(\$0 70)	Short
13 0000	INFORMA PLC UNSP ADR	2/11/2013	4/29/2013	\$191.82	\$196 69	(\$4 87)	Short
56.0000	INFORMA PLC UNSP ADR	5/2/2012	4/29/2013	\$826.22	\$754 32	\$71 90	Short
24 0000	INFORMA PLC UNSP ADR	2/11/2013	4/30/2013	\$353.76	\$363 12	(\$9.36)	Short
11 0000	SHINHAN FINL GRP ADR	2/11/2013	4/30/2013	\$375.40	\$402 60	(\$27 20)	Short
29 0000	SHINHAN FINL GRP ADR	5/2/2012	4/30/2013	\$989 68	\$1,019 20	(\$29 52)	Short
1.0000	SOCIEDAD QUIMICA ADR B	1/28/2013	5/2/2013	\$47 66	\$56 70	(\$9 04)	Short



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Realized Gain and Loss Summary

Quantity	Description	Acquisition Date	Liquidation Date	Proceeds	Cost	Gain or (Loss)	Term
7 0000	SOCIEDAD QUIMICA ADR B	2/11/2013	5/2/2013	\$333 66	\$404 32	(\$70.66)	Short
19 0000	SOCIEDAD QUIMICA ADR B	5/2/2012	5/2/2013	\$905 63	\$1,116.06	(\$210 43)	Short
.4000	CIELO SA	5/9/2013	5/9/2013	\$11.25	\$0 00	\$11 25	Short
6 0000	COGNIZANT TECHNOLOGY	2/11/2013	5/13/2013	\$377 77	\$463.02	(\$85 25)	Short
7 0000	COGNIZANT TECHNOLOGY	10/18/2012	5/13/2013	\$440 72	\$485 17	(\$44 45)	Short
8049	CREDIT SUISSE GROUP F	5/20/2013	5/20/2013	\$23 90		\$23 90	Short
7.0000	RIO TINTO PLC	2/11/2013	6/4/2013	\$309 98	\$400 40	(\$90 42)	Short
18 0000	RIO TINTO PLC	12/18/2012	6/4/2013	\$797 08	\$1,048 17	(\$251 09)	Short
22 0000	WILLIS GROUP HOLDINGS	2/11/2013	6/7/2013	\$868 09	\$796 40	\$71 69	Short
6 0000	ADR FRESENIUS MED CRE AG	2/11/2013	6/13/2013	\$209 99	\$209 04	\$0 95	Short
3.0000	BHP BILLITON PLC-ADR	7/19/2012	6/19/2013	\$168 91	\$172 79	(\$3.88)	Short
8 0000	BHP BILLITON PLC-ADR	2/11/2013	6/19/2013	\$450 43	\$540 56	(\$90.13)	Short
20.0000	CSL LTD- UNSPON ADR	2/11/2013	6/19/2013	\$538 74	\$588 58	(\$49 84)	Short
4 0000	AUTOLIV INC	2/11/2013	6/24/2013	\$288.05	\$264 88	\$23.17	Short
13 0000	AUTOLIV INC	7/31/2012	6/24/2013	\$936 15	\$740.12	\$196 03	Short
19.0000	BG GROUP PLC F	2/11/2013	7/5/2013	\$319.75	\$332 88	(\$13 13)	Short
19.0000	SUBSEA 7 INC	2/11/2013	7/12/2013	\$346 45	\$457 14	(\$110 69)	Short
32 0000	PETROFAC LTD UNSP ADR	9/24/2012	7/15/2013	\$305 86	\$419 10	(\$113 24)	Short
39.0000	PETROFAC LTD UNSP ADR	2/11/2013	7/15/2013	\$372.77	\$499 98	(\$127 21)	Short
71 0000	PETROFAC LTD UNSP ADR	3/15/2013	7/15/2013	\$678 64	\$822 02	(\$143 38)	Short
27 0000	PUBLICIS GROUP - S A F	4/5/2013	7/30/2013	\$515.43	\$479 95	\$35.48	Short
9 0000	CREDIT SUISSE GROUP F	2/11/2013	8/6/2013	\$269 27	\$261 63	\$7 64	Short
9.0000	NESTLE S A REG ADR	2/11/2013	9/19/2013	\$628 60	\$631 25	(\$2 65)	Short
45 0000	IND & COMM BK OF UNS ADR	12/10/2012	10/23/2013	\$624.54	\$623 46	\$1.08	Short
14 0000	MINDRAY MEDICAL INTL LTD	10/1/2013	11/20/2013	\$553.02	\$546 28	\$6.74	Short
38.0000	MINDRAY MEDICAL INTL LTD	3/19/2013	11/20/2013	\$1,501 05	\$1,474.77	\$26.28	Short



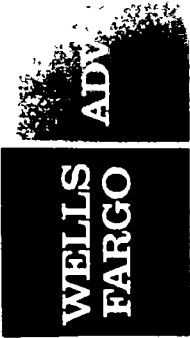
For Account Number: 4947-9442
OTTO H YORK FOUNDATION
44 HAZARD AVENUE
PROVIDENCE RI 02906-3308

Your Financial Advisor:

LISA P KOELLE
4014596826
100 WESTMINSTER ST
STE 1600
PROVIDENCE RI 02903

Realized Gain and Loss Summary

Quantity	Description	Acquisition Date	Liquidation Date	Proceeds	Cost	Gain or (Loss)	Term
2 0000	EXPERIAN PLC	1/28/2013	12/5/2013	\$34.58	\$34.10	\$0 48	Short
31 0000	EXPERIAN PLC	10/1/2013	12/5/2013	\$536.05	\$594 27	(\$58.22)	Short
39.0000	EXPERIAN PLC	2/11/2013	12/5/2013	\$674.38	\$661 83	\$12.55	Short
78 0000	TURKIYE GARA BANKASI-ADR	10/1/2013	1/15/2014	\$235 44	\$323 70	(\$88 26)	Short
272 0000	TURKIYE GARA BANKASI-ADR	4/12/2013	1/15/2014	\$820.98	\$1,460.86	(\$639 88)	Short
1 0000	CANON INC ADR F	1/28/2013	1/17/2014	\$31.34	\$36.37	(\$5 03)	Short
9.0000	CANON INC ADR F	2/11/2013	1/17/2014	\$282.06	\$317 07	(\$35.01)	Short
11 0000	CANON INC ADR F	10/1/2013	1/17/2014	\$344.74	\$349 25	(\$4 51)	Short
141.0000	BRAMBL LTD-UNSPN ADR RTS	1/24/2014	1/28/2014	\$195.98	\$561 67	\$195 98	Short
14 0000	DAIHATSU MOTOR CO LTD	4/30/2013	1/31/2014	\$434.70	\$561 67	(\$126 97)	Short
10.0000	DAIHATSU MOTOR CO LTD	10/1/2013	2/3/2014	\$309.05	\$390 80	(\$81 75)	Short
23.0000	DAIHATSU MOTOR CO LTD	4/30/2013	2/3/2014	\$710.80	\$922 73	(\$211 93)	Short
Totals				\$33,301.44	\$33,948.11	(\$646.67)	
9.0000	CSL LTD- UNSPON ADR	5/2/2012	5/9/2013	\$276.31	\$175 50	\$100 81	Long
8.0000	COGNIZANT TECHNOLOGY	5/7/2012	5/13/2013	\$503.68	\$457 51	\$46 17	Long
34 0000	WILLIS GROUP HOLDINGS	5/2/2012	6/3/2013	\$1,327.93	\$1,237 94	\$89 99	Long
24.0000	WILLIS GROUP HOLDINGS	5/2/2012	6/7/2013	\$947.00	\$873 83	\$73 17	Long
15.0000	ADR FRESENIUS MED CRE AG	5/2/2012	6/13/2013	\$524 94	\$527 61	(\$2 67)	Long
17 0000	BHP BILLITON PLC-ADR	5/2/2012	6/19/2013	\$957.15	\$1,114.35	(\$157 20)	Long
17 0000	CSL LTD- UNSPON ADR	5/2/2012	6/19/2013	\$457.92	\$331 50	\$126 42	Long
82.0000	ICAP PLC SPONSORED ADR	5/2/2012	6/25/2013	\$961.12	\$1,016.80	(\$55 68)	Long
7 0000	ACCENTURE PLC IRELAND	5/2/2012	6/27/2013	\$561.77	\$456 19	\$105 58	Long
57 0000	BG GROUP PLC F	5/2/2012	7/5/2013	\$959.23	\$1,346.91	(\$387 68)	Long
3.0000	PETROFAC LTD UNSP ADR	5/2/2012	7/12/2013	\$29 11	\$42 93	(\$13 82)	Long
55 0000	SUBSEA 7 INC	5/2/2012	7/12/2013	\$1,002.85	\$1,425 60	(\$422 75)	Long

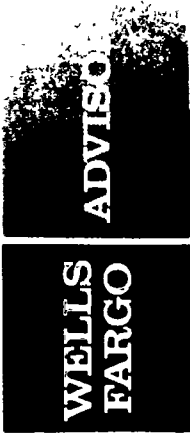


For Account Number: 4947-9442
OTTO H YORK FOUNDATION
44 HAZARD AVENUE
PROVIDENCE RI 02906-3308

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LISA P KOELLE
4014596826
100 WESTMINSTER ST
STE 1600
PROVIDENCE RI 02903

Realized Gain and Loss Summary

Quantity	Description	Acquisition Date	Liquidation Date	Proceeds	Cost	Gain or (Loss)	Term
80.0000	PETROFAC LTD UNSP ADR	5/2/2012	7/15/2013	\$764.65	\$1,144.80	(\$380.15)	Long
24.0000	CREDIT SUISSE GROUP F	5/2/2012	8/6/2013	\$718.02	\$542.64	\$175.38	Long
24.0000	NESTLE S A REG ADR	5/2/2012	9/19/2013	\$1,676.24	\$1,461.36	\$214.88	Long
33.0000	L OREAL CO ADR	5/2/2012	10/11/2013	\$1,119.21	\$807.51	\$311.70	Long
63.0000	EXPERIAN PLC	5/2/2012	12/5/2013	\$1,089.37	\$1,020.60	\$68.77	Long
27.0000	CANON INC ADR F	5/2/2012	1/17/2014	\$846.16	\$1,211.49	(\$365.33)	Long
16.0000	UNILEVER N V F	5/2/2012	1/21/2014	\$636.77	\$553.28	\$83.49	Long
Totals				\$15,359.43	\$15,748.35	(\$388.92)	
Grand Totals				\$48,660.87	\$49,696.46	(\$1,255.47)	



For Account Number: 6135-9999
OTTO H YORK FOUNDATION
44 HAZARD AVENUE
PROVIDENCE RI 02906-3308

Your Financial Advisor:

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4014596826
100 WESTMINSTER ST
STE 1600
PROVIDENCE RI 02903

Realized Gain and Loss Summary

Quantity	Description	Acquisition Date	Liquidation Date	Proceeds	Cost	Gain or (Loss)	Term
58,000.0000	UST NTS 0.75% 103117	11/21/2012	3/1/2013	\$58,181.25	\$58,192.58	(\$11.33)	Short
59,000.0000	UST NTS 0.75% 103117	11/21/2012	3/4/2013	\$59,170.55	\$59,195.90	(\$25.35)	Short
26,000.0000	UST BD INX 3 875%4/15/29	1/22/2013	5/2/2013	\$60,630.69	\$60,309.38	\$321.31	Short
9,000.0000	FN 255813 5% 08/01/35	3/26/2013	8/20/2013	\$1,357.03	\$1,760.62	(\$403.59)	Short
38,000.0000	FN 735402 5% 040135	7/17/2013	8/20/2013	\$6,128.16	\$6,445.51	(\$317.35)	Short
20,000.0000	FN 745275 5% 02/01/36	2/20/2013	8/20/2013	\$3,271.90	\$4,453.81	(\$1,181.91)	Short
2,000.0000	FN 835158 5% 080135	1/9/2013	8/20/2013	\$375.18	\$559.47	(\$184.29)	Short
35,000.0000	FN AL0069 5% 110140	4/12/2013	8/20/2013	\$12,304.46	\$15,242.48	(\$2,938.02)	Short
4,000.0000	FN AL3006 5% 070137	3/26/2013	8/20/2013	\$2,896.95	\$3,565.48	(\$668.53)	Short
5,000.0000	FN AL3423 5% 050140	6/14/2013	8/20/2013	\$4,232.19	\$4,606.72	(\$374.53)	Short
15,000.0000	FN AL2629 5% 060139	3/18/2013	8/23/2013	\$9,980.68	\$13,321.24	(\$3,340.56)	Short
15,000.0000	FN AL3006 5% 070137	3/26/2013	8/23/2013	\$10,868.32	\$13,370.53	(\$2,502.21)	Short
3,000.0000	UST NTS 2% 021523	3/4/2013	8/26/2013	\$2,805.93	\$3,035.16	(\$229.23)	Short
14,000.0000	UST NTS 2% 021523	3/5/2013	8/26/2013	\$13,094.39	\$14,132.35	(\$1,037.96)	Short
31,000.0000	UST NTS 2% 021523	3/1/2013	8/26/2013	\$28,994.68	\$31,402.03	(\$2,407.35)	Short
3,000.0000	FG Q17639 3% 040143	9/16/2013	2/7/2014	\$2,787.29	\$2,774.84	\$12.45	Short
1,000.0000	FN AS0203 3% 080143	8/12/2013	2/7/2014	\$949.62	\$970.12	(\$20.50)	Short
2,000.0000	FN AS0203 3% 080143	12/16/2013	2/7/2014	\$1,899.24	\$1,880.24	\$19.00	Short
3,000.0000	FN AT0181 3% 030143	12/16/2013	2/7/2014	\$2,783.91	\$2,784.27	(\$0.36)	Short
4,000.0000	UST NTS 2% 021523	8/20/2013	2/10/2014	\$3,813.44	\$3,734.53	\$78.91	Short
5,000.0000	UST NTS 2% 021523	7/9/2013	2/10/2014	\$4,766.80	\$4,752.54	\$14.26	Short
6,000.0000	UST NTS 2% 021523	11/12/2013	2/10/2014	\$5,720.16	\$5,655.94	\$64.22	Short
14,000.0000	UST NTS 2% 021523	3/5/2013	2/10/2014	\$13,348.12	\$14,132.34	(\$784.22)	Short
20,000.0000	UST NTS 2% 021523	4/2/2013	2/10/2014	\$19,067.18	\$20,243.75	(\$1,176.57)	Short
21,000.0000	UST NTS 2% 021523	4/2/2013	2/10/2014	\$20,022.19	\$21,255.94	(\$1,233.75)	Short
4,000.0000	UST BOND 2 375% 1/15/25	12/30/2013	2/19/2014	\$5,837.31	\$5,731.62	\$105.69	Short



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Realized Gain and Loss Summary

Quantity	Description	Acquisition Date	Liquidation Date	Proceeds	Cost	Gain or (Loss)	Term
4,000.0000	UST BOND 2.375% 1/15/25	12/10/2013	2/19/2014	\$5,837.31	\$5,765.19	\$72.12	Short
5,000.0000	UST BOND 2.375% 1/15/25	11/12/2013	2/19/2014	\$7,296.63	\$7,288.94	\$7.69	Short
6,000.0000	UST BOND 2.375% 1/15/25	10/23/2013	2/19/2014	\$8,755.96	\$8,981.76	(\$225.80)	Short
9,000.0000	UST BOND 2.375% 1/15/25	10/23/2013	2/19/2014	\$13,133.93	\$13,465.66	(\$331.73)	Short
Totals				\$390,311.45	\$409,010.94	(\$18,699.49)	
2,455.0000	ALLIANZ GBL FIXED INC	6/17/2011	6/13/2013	\$32,283.25	\$32,307.80	(\$24.55)	Long
5,535.0000	ALLIANZ GBL FIXED INC M	6/17/2011	6/13/2013	\$60,774.30	\$58,671.00	\$2,103.30	Long
61,000.0000	UST NTS 1 375% 022819	3/1/2012	8/9/2013	\$60,404.30	\$60,714.06	(\$309.76)	Long
1,000.0000	FN 735893 5% 100135	7/10/2012	8/20/2013	\$167.65	\$322.49	(\$154.84)	Long
83,000.0000	FN 735893 5% 100135	7/10/2012	8/23/2013	\$13,920.91	\$26,766.02	(\$12,845.11)	Long
62,000.0000	FN AB7079 3% 110142	11/7/2012	2/7/2014	\$56,788.01	\$65,347.03	(\$8,559.02)	Long
Totals				\$224,338.42	\$244,128.40	(\$19,789.98)	
Grand Totals				\$614,649.87	\$653,139.34	(\$38,489.47)	

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD	
WELLS FARGO #0016 - SEE ATTACHED	PURCHASED	03/01/13	02/28/14	
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
9,951.	8,486.	0.	0.	1,465.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
WELLS FARGO #0016 - SEE ATTACHED	PURCHASED	03/01/13	02/28/14

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
28,117.	24,302.	0.	0.	3,815.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
WELLS FARGO #6981 - SEE ATTACHED	PURCHASED	03/01/13	02/28/14

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,819.	0.	0.	0.	2,819.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
WELLS FARGO #6981 - SEE ATTACHED	265,138.	174,515.	0.	0.	90,623.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) VALUE AT TIME OF ACQ.	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
EXXON MOBIL CORP - 850 SHS	73,596.	68,846.	0.	0.	4,750.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
WELLS FARGO #6998 - SEE ATTACHED	11,775.	11,073.	0.	0.	702.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
WELLS FARGO #8011 - SEE ATTACHED	65,105.	66,368.	0.	0.	-1,263.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
WELLS FARGO #8011 - SEE ATTACHED	2,961.	3,169.	0.	0.	-208.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
WELLS FARGO #9442 - SEE ATTACHED	33,301.	33,948.	0.	0.	-647.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
WELLS FARGO #9442 - SEE ATTACHED	15,359.	15,748.	0.	0.	-389.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
WELLS FARGO #8817 - SEE ATTACHED	59,987.	57,843.	0.	0.	2,144.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
WOODSIDE PETE LTD ADR - 8 SHS	280.	316.	0.	0.	-36.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
WELLS FARGO #9999 - SEE ATTACHED	390,311.	409,011.	0.	0.	-18,700.

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
WELLS FARGO #9999 - SEE ATTACHED	224,338.	244,128.	0.	0.	-19,790.

CAPITAL GAINS DIVIDENDS FROM PART IV	27,889.
TOTAL TO FORM 990-PF, PART I, LINE 6A	93,174.

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 2

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
THROUGH WELLS FARGO	31,673.	31,673.	
TOTAL TO PART I, LINE 3	31,673.	31,673.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 3

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
THROUGH WELLS FARGO	219,401.	27,889.	191,512.	191,512.	
TO PART I, LINE 4	219,401.	27,889.	191,512.	191,512.	

FORM 990-PF OTHER INCOME STATEMENT 4

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
ORDINARY LOSS FROM K-1 BUCKEYE PARTNERS LP	-340.	-340.	
ORDINARY INCOME FROM K-1 KKR & CO LP	4,478.	4,478.	
TOTAL TO FORM 990-PF, PART I, LINE 11	4,138.	4,138.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	6,900.	0.		6,120.	
TO FORM 990-PF, PG 1, LN 16B	6,900.	0.		6,120.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
TRUSTEE FEE	1,000.	0.		1,000.	
TO FORM 990-PF, PG 1, LN 16C	1,000.	0.		1,000.	

FORM 990-PF	TAXES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES	871.	871.		0.	
PAYROLL TAXES	4,781.	0.		4,781.	
TO FORM 990-PF, PG 1, LN 18	5,652.	871.		4,781.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
INVESTMENT FEES	29,543.	29,543.		0.	
RI FILING FEE	20.	10.		10.	
PROFESSIONAL DUES	725.	0.		725.	
OFFICE EXPENSES	4,101.	0.		4,101.	
NON-DEDUCTIBLE EXPENSES FROM K-1S	9.	0.		0.	

PAYROLL FEES	1,306.	0.	1,306.
WORKERS COMPENSATION INSURANCE	374.	0.	374.
HEALTH INSURANCE	10,187.	0.	10,187.
TO FORM 990-PF, PG 1, LN 23	46,265.	29,553.	16,703.

FORM 990-PF	CORPORATE STOCK	STATEMENT	9
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
THROUGH WELLS FARGO #6981	2,110,390.	2,988,945.
TRHOUGH WELLS FARGO #9999	1,890,743.	1,998,287.
THROUGH WELLS FARGO #0016	93,326.	113,634.
THROUGH WELLS FARGO #6998	131,990.	163,088.
THROUGH WELLS FARGO #8011	447,044.	420,097.
THROUGH WELLS FARGO #8817	199,974.	213,498.
THROUGH WELLS FARGO #9442	181,273.	205,543.
TOTAL TO FORM 990-PF, PART II, LINE 10B	5,054,740.	6,103,092.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	10
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
LAPTOP	1,958.	1,958.	0.
TOTAL TO FM 990-PF, PART II, LN 14	1,958.	1,958.	0.

990-PF

316261
05-01-13

27

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	WELLS FARGO #0016 - SEE ATTACHED	P	03/01/13	02/28/14
b	WELLS FARGO #0016 - SEE ATTACHED	P	03/01/13	02/28/14
c	WELLS FARGO #6981 - SEE ATTACHED	P	03/01/13	02/28/14
d	WELLS FARGO #6981 - SEE ATTACHED	P	03/01/13	02/28/14
e	EXXON MOBIL CORP - 850 SHS	D	01/22/08	09/25/13
f	WELLS FARGO #6998 - SEE ATTACHED	P	03/01/13	02/28/14
g	WELLS FARGO #8011 - SEE ATTACHED	P	03/01/13	02/28/14
h	WELLS FARGO #8011 - SEE ATTACHED	P	03/01/13	02/28/14
i	WELLS FARGO #9442 - SEE ATTACHED	P	03/01/13	02/28/14
j	WELLS FARGO #9442 - SEE ATTACHED	P	03/01/13	02/28/14
k	WELLS FARGO #8817 - SEE ATTACHED	P	03/01/13	02/28/14
l	WOODSIDE PETE LTD ADR - 8 SHS	P	02/19/13	02/26/14
m	WELLS FARGO #9999 - SEE ATTACHED	P	03/01/13	02/28/14
n	WELLS FARGO #9999 - SEE ATTACHED	P	03/01/13	02/28/14
o	CAPITAL GAINS DIVIDENDS			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	9,951.	8,486.	1,465.
b	28,117.	24,302.	3,815.
c	2,819.		2,819.
d	265,138.	174,515.	90,623.
e	73,596.	77,178.	-3,582.
f	11,775.	11,073.	702.
g	65,105.	66,368.	-1,263.
h	2,961.	3,169.	-208.
i	33,301.	33,948.	-647.
j	15,359.	15,748.	-389.
k	59,987.	57,843.	2,144.
l	280.	316.	-36.
m	390,311.	409,011.	-18,700.
n	224,338.	244,128.	-19,790.
o	27,889.		27,889.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			1,465.
b			3,815.
c			2,819.
d			90,623.
e			-3,582.
f			702.
g			-1,263.
h			-208.
i			-647.
j			-389.
k			2,144.
l			-36.
m			-18,700.
n			-19,790.
o			27,889.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	84,842.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PLANNED PARENTHOOD OF SE NE 345 WHITNEY AVENUE NEW HAVEN, CT 06511	NONE	PUBLIC	GENERAL UNRESTRICTED	18,000.
PROVIDENCE COMMUNITY LIBRARY PO BOX 9267 PROVIDENCE, RI 02940	NONE	PUBLIC	GENERAL UNRESTRICTED	15,000.
PRYSM 669 ELMWOOD AVE #19 PROVIDENCE, RI 02907	NONE	PUBLIC	GENERAL UNRESTRICTED	7,500.
SOPHIA ACADEMY 979 BRANCH AVENUE PROVIDENCE, RI 02904	NONE	PUBLIC	GENERAL UNRESTRICTED	8,000.
TRINITY REPERTORY COMPANY 201 WASHINGTON STREET PROVIDENCE, RI 02903	NONE	PUBLIC	GENERAL UNRESTRICTED	15,000.
YOUTH IN ACTION 672 BROAD STREET PROVIDENCE, RI 02907	NONE	PUBLIC	GENERAL UNRESTRICTED	10,000.
CITY YEAR 77 EDDY STREET PROVIDENCE, RI 02903	NONE	PUBLIC	GENERAL UNRESTRICTED	8,000.
COMMUNITY MUSIC WORKS 1392 WESTMINSTER STREET PROVIDENCE, RI 02909	NONE	PUBLIC	GENERAL UNRESTRICTED	15,000.
EVERETT COMPANY, STAGE & DAN 9 DUNCAN AVENUE PROVIDENCE, RI 02906	NONE	PUBLIC	GENERAL UNRESTRICTED	20,000.
GAMM THEATRE 172 EXCHANGE STREET PAWTUCKET, RI 02860	NONE	PUBLIC	GENERAL UNRESTRICTED	10,000.
Total from continuation sheets				148,375.

3 Grants and Contributions Paid During the Year (Continuation)

Total from continuation sheets