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Form 990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter Social Security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

03/01, 2013, and ending

02/28, 2014

Name of foundation DOTY FAMILY FOUNDATION		A Employer identification number 13-2921496												
Number and street (or P O box number if mail is not delivered to street address) C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR		Room/suite												
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10005		C If exemption application is pending, check here ► <input type="checkbox"/>												
G Check all that apply: <table border="0"> <tr> <td><input type="checkbox"/></td> <td>Initial return</td> <td><input type="checkbox"/></td> <td>Initial return of a former public charity</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Final return</td> <td><input type="checkbox"/></td> <td>Amended return</td> </tr> <tr> <td><input type="checkbox"/></td> <td>Address change</td> <td><input type="checkbox"/></td> <td>Name change</td> </tr> </table>		<input type="checkbox"/>	Initial return	<input type="checkbox"/>	Initial return of a former public charity	<input type="checkbox"/>	Final return	<input type="checkbox"/>	Amended return	<input type="checkbox"/>	Address change	<input type="checkbox"/>	Name change	D 1. Foreign organizations, check here ► <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation ► <input type="checkbox"/>
<input type="checkbox"/>	Initial return	<input type="checkbox"/>	Initial return of a former public charity											
<input type="checkbox"/>	Final return	<input type="checkbox"/>	Amended return											
<input type="checkbox"/>	Address change	<input type="checkbox"/>	Name change											
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ► <input type="checkbox"/>												
I Fair market value of all assets at end of year (from Part II, col (c), line 16) ► \$ 8,220,530.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► <input type="checkbox"/>												
Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books NONE												
Revenue 1 Contributions, gifts, grants, etc., received (attach schedule) 2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B 3 Interest on savings and temporary cash investments 4 Dividends and interest from securities 5a Gross rents b Net rental income or (loss) 6a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a 882,391. 7 Capital gain net income (from Part IV, line 2) 8 Net short-term capital gain 9 Income modifications 10a Gross sales less returns and allowances b Less Cost of goods sold c Gross profit or (loss) (attach schedule) 11 Other income (attach schedule) 12 Total. Add lines 1 through 11 466,942.		(b) Net investment income 932.												
		(c) Adjusted net income N/A												
		(d) Disbursements for charitable purposes (cash basis only) 4,500.												
Operating and Administrative Expenses 13 Compensation of officers, directors, trustees, etc 14 Other employee salaries and wages 15 Pension plans, employee benefits 16a Legal fees (attach schedule) b Accounting fees (attach schedule) ATCH. 3. c Other professional fees (attach schedule) 17 Interest 18 Taxes (attach schedule) (see instructions) ATCH. 5. 19 Depreciation (attach schedule) and depletion 20 Occupancy 21 Travel, conferences, and meetings 22 Printing and publications 23 Other expenses (attach schedule) ATCH. 6. 24 Total operating and administrative expenses. Add lines 13 through 23 20,744. 25 Contributions, gifts, grants paid 2,010,826. 26 Total expenses and disbursements Add lines 24 and 25 2,100,128. 27 Subtract line 26 from line 12 a Excess of revenue over expenses and disbursements -1,633,186. b Net investment income (if negative, enter -0-) 407,747. c Adjusted net income (if negative, enter -0-) 0		RECEIVED 908 JUL 14 2014 IRS-OSC OGDEN, UT												

35
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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	563,882.	81,102.	81,102.
	3	Accounts receivable ►			
		Less allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ►			
		Less allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U.S. and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 7	3,411,046.	3,405,253.	5,196,046.
	c	Investments - corporate bonds (attach schedule) ATCH 8	899,362.	795,657.	879,426.
	11	Investments - land, buildings, and equipment basis ►			
		Less accumulated depreciation ►			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ►			
		Less accumulated depreciation ►			
	15	Other assets (describe ► ATCH 9)	3,101,286.	2,060,378.	2,063,956.
	16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	7,975,576.	6,342,390.	8,220,530.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)			
	23	Total liabilities (add lines 17 through 22)	0	0	
	Foundations that follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
Net Assets or Fund Balances	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	7,975,576.	6,342,390.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	7,975,576.	6,342,390.	
	31	Total liabilities and net assets/fund balances (see instructions)	7,975,576.	6,342,390.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,975,576.
2	Enter amount from Part I, line 27a	2	-1,633,186.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	6,342,390.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	6,342,390.

Form 990-PF (2013)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) F M V as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)		{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	273,399.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8				3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	786,524.	7,474,000.	0.105235
2011	559,203.	5,889,119.	0.094955
2010	392,650.	5,981,428.	0.065645
2009	631,440.	5,932,663.	0.106434
2008	480,515.	6,654,688.	0.072207
2 Total of line 1, column (d)			2 0.444476
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.088895
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 8,247,816.
5 Multiply line 4 by line 3			5 733,190.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 4,077.
7 Add lines 5 and 6			7 737,267.
8 Enter qualifying distributions from Part XII, line 4			8 2,039,133.
If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 . . .	(attach copy of letter if necessary - see instructions)	1	4,077.	
Date of ruling or determination letter					
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b				
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).	2	3	4,077.	
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)				
3	Add lines 1 and 2	4	0	5	4,077.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)				
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	6	Credits/Payments	6a	1,904.
6	Credits/Payments				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6b	6c	6d	1,904.
b	Exempt foreign organizations - tax withheld at source				
c	Tax paid with application for extension of time to file (Form 8868)				
d	Backup withholding erroneously withheld				
7	Total credits and payments Add lines 6a through 6d	7	1,904.	8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached				
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	2,173.	10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid				
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ► Refunded ►	11	Refunded	11	Refunded

Part VII-A Statements Regarding Activities

		Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	X	
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	X	
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
1c	Did the foundation file Form 1120-POL for this year?	X	
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year		
(1) On the foundation ► \$ 0	(2) On foundation managers ► \$ 0	0	
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ 0		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	X	
	If "Yes," attach a detailed description of the activities		
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	X	
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b	If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	X	
	If "Yes," attach the statement required by General Instruction T		
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either		
	• By language in the governing instrument, or		
	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV		
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► NY,		
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.		
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		

Part VII-A Statements Regarding Activities (continued)

11		X
12		X
13	X	
Website address ► N/A		
14	Telephone no ► 212-440-0800	
Located at ► 77 WATER STREET, 9TH FLOOR, NEW YORK, NY ZIP+4 ► 10005		
15	► <input type="checkbox"/>	
16	Yes	No X
See the instructions for exceptions and filing requirements for Form TD F 90-221. If "Yes," enter the name of the foreign country ►		

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	X No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	X No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	X No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	X No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		N/A
Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		N/A
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	<input type="checkbox"/> Yes	X No
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)		N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	X No
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)		N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		N/A
	Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d)			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		X
	If "Yes" to 6b, file Form 8870			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 10		18,000.	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		0	0	0

Total number of other employees paid over \$50,000 ►

Form 990-PF (2013)

Form 990-PF (2013)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE

Total number of others receiving over \$50,000 for professional services ►		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc

1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER SECTION 501(C) (3) OF THE INTERNAL REVENUE CODE.	Expenses
2 -----	NONE
3 -----	
4 -----	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

1 N/A	Amount
2 -----	NONE
3 -----	
All other program-related investments. See instructions	
3 N/A	

Total. Add lines 1 through 3 ►	

Form 990-PF (2013)

Form 990-PF (2013)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	6,936,412.
b	Average of monthly cash balances	1b	1,437,005.
c	Fair market value of all other assets (see instructions)	1c	0.
d	Total (add lines 1a, b and c)	1d	8,373,417.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	8,373,417.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	125,601.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,247,816.
6	Minimum investment return. Enter 5% of line 5	6	412,391.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part)

1	Minimum investment return from Part X, line 6	1	412,391.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	4,077.
b	Income tax for 2013. (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	4,077.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	408,314.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	408,314.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	408,314.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	2,039,133.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	2,039,133.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	4,077.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	2,035,056.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				408,314.
2 Undistributed income, if any, as of the end of 2013			NONE	
a Enter amount for 2012 only		NONE		
b Total for prior years 20_____,20_____,20_____.				
3 Excess distributions carryover, if any, to 2013				
a From 2008	151,872.			
b From 2009	335,485.			
c From 2010	95,384.			
d From 2011	268,457.			
e From 2012	416,458.			
f Total of lines 3a through e	1,267,656.			
4 Qualifying distributions for 2013 from Part XII, line 4 ► \$ 2,039,133.				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				408,314.
e Remaining amount distributed out of corpus	1,630,819.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,898,475.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions			NONE	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	151,872.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	2,746,603.			
10 Analysis of line 9				
a Excess from 2009	335,485.			
b Excess from 2010	95,384.			
c Excess from 2011	268,457.			
d Excess from 2012	416,458.			
e Excess from 2013	1,630,819.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or

4942(j)(5)

Tax year	Prior 3 years				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(ii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i> SEE LIST ATTACHED				2,010,826.
Total				2,010,826.
<i>b Approved for future payment</i>				
Total				► 3b

Form 990-PF (2013)

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

1 Program service revenue

- a _____
- b _____
- c _____
- d _____
- e _____
- f _____

g Fees and contracts from government agencies

2 Membership dues and assessments**3 Interest on savings and temporary cash investments****4 Dividends and interest from securities****5 Net rental income or (loss) from real estate**

a Debt-financed property

b Not debt-financed property

6 Net rental income or (loss) from personal property**7 Other investment income****8 Gain or (loss) from sales of assets other than inventory****9 Net income or (loss) from special events****10 Gross profit or (loss) from sales of inventory****11 Other revenue**

- a _____
- b _____
- c _____
- d _____
- e _____

12 Subtotal Add columns (b), (d), and (e)**13 Total Add line 12, columns (b), (d), and (e)**

(See worksheet in line 13 instructions to verify calculations)

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				466,942	
13 Total Add line 12, columns (b), (d), and (e)				13	466,942

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

	Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a Transfers from the reporting foundation to a noncharitable exempt organization of:		
(1) Cash	1a(1)	X
(2) Other assets	1a(2)	X
b Other transactions:		
(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
(3) Rental of facilities, equipment, or other assets	1b(3)	X
(4) Reimbursement arrangements	1b(4)	X
(5) Loans or loan guarantees	1b(5)	X
(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
	N/A	

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

**Sign
Here**

► Assisted Care Page

7/1/14
Date

► TRUSTEE

May the IRS discuss this return with the preparer shown below (see instructions)? Yes No

Paid Preparer Use Only	Print/Type preparer's name WILLIAM RABETZ	Preparer's signature <i>William Rabetz</i>	Date 6/26/14	Check <input type="checkbox"/> if self-employed	PTIN P00963994
	Firm's name ► BCRS ASSOCIATES, LLC	Firm's EIN ► 13-4078147			
	Firm's address ► 77 WATER STREET, 9TH FLOOR NEW YORK, NY	10005	Phone no	212-440-0800	

DOTY FAMILY FOUNDATION

13-2921496

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME						P or D	Date acquired	Date sold
Kind of Property		Description						
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis			
882,156.			TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS SEE ATTACHED STATEMENT PROPERTY TYPE: SECURITIES 608,992.				235.	
TOTAL GAIN(LOSS)						P	VAR 273,164.	VAR
							<u>273,399.</u>	

DOTY FAMILY FOUNDATION

13-2921496

SUPPLEMENTARY STATEMENTS

PART: XV

LINE: 2

SUPPLEMENTAL INFORMATION

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE. THE TRUSTEES CHOOSE THESE ORGANIZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIONS' ACTIVITIES. NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS OR SCHOLARSHIPS ARE MADE TO INDIVIDUALS. THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICATIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES.

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTSATTACHMENT 1

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
INTEREST ON CREDIT BALANCE INTEREST ON CHECKING	918. 14.		918. 14.
TOTAL	<u><u>932.</u></u>	<u><u>932.</u></u>	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIESATTACHMENT 2

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
DIVIDENDS THRU MERRILL LYNCH INTEREST ON BONDS THRU MERRILL LYNCH DIVIDENDS - THORNBURG INT'L FD CL A	146,365. 43,512. 2,734.	146,365. 43,512. 2,734.	
TOTAL	<u>192,611.</u>	<u>192,611.</u>	

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
BCRS ASSOCIATES, LLC	7,400.	3,700.		3,700.
TOTALS	<u><u>7,400.</u></u>	<u><u>3,700.</u></u>		<u><u>3,700.</u></u>

FORM 990PF, PART I - OTHER PROFESSIONAL FEESATTACHMENT 4

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
INVESTMENT MANAGEMENT FEES ANNUAL FEE-MERRILL LYNCH	41,208. 150.	41,208. 150.	41,208. 150.	
TOTALS	<u>41,358.</u>	<u>41,358.</u>	<u>41,358.</u>	

FORM 990PF, PART I - TAXESATTACHMENT 5

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
FEDERAL TAX PAID: - 1ST QTR EST FYE 2/28/2014	1,800.			
TOTALS	<u>1,800.</u>			

FORM 990PF, PART I - OTHER EXPENSES

<u>DESCRIPTION</u>	<u>CHARITABLE EXPENSE</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
CHARITABLE EXPENSE		<u>3,306.</u>			<u>3,306.</u>
PUBLICATION NOTICE FEE		<u>135.</u>			<u>135.</u>
FOREIGN TAX PAID		<u>637.</u>			
BANK CHARGES			<u>429.</u>		<u>429.</u>
FADICA ANNUAL MEMBERSHIP DUES			<u>15,725.</u>		<u>15,725.</u>
OFFICE EXPENSE			<u>12.</u>		<u>12.</u>
NY FILING FEE			<u>500.</u>		<u>500.</u>
TOTALS		<u><u>20,744.</u></u>	<u><u>637.</u></u>		<u><u>20,107.</u></u>

FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE LONG POSITION ATTACHED	3,411,046.	3,405,253.	5,196,046.
TOTALS	<u>3,411,046.</u>	<u>3,405,253.</u>	<u>5,196,046.</u>

FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
SEE LONG POSITION ATTACHED	899,362.	795,657.	879,426.
TOTALS	<u><u>899,362.</u></u>	<u><u>795,657.</u></u>	<u><u>879,426.</u></u>

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
ML BANK DEPOSIT PROGRAM	1,778,055.	734,413.	734,413.
THORNBURG INT'L VALUE FD CL A	312,392.	315,126.	349,247.
TEMPLETON GLOBAL BOND FD CL A	1,010,839.	1,010,839.	980,296.
TOTALS	<u>3,101,286.</u>	<u>2,060,378.</u>	<u>2,063,956.</u>

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

13-2921496

ATTACHMENT 10

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
BARBARA E. DOTY C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	TREASURER / PART-TIME	0	0	0
CHRISTOPHER S. DOTY C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0	0	0
WILLIAM W. DOTY C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0	0	0
ANNE MARIE PAINÉ C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	CHAIR & SECRETARY / PART-TIME	18,000.	0	0
VIRGINIA M. DOTY C/O BCRS ASSOCIATES, LLC 77 WATER STREET, 9TH FLOOR NEW YORK, NY 10005	TRUSTEE / PART-TIME	0	0	0
GRAND TOTALS		18,000.	0	0

**SCHEDULE D
(Form 1041)**Department of the Treasury
Internal Revenue Service**Capital Gains and Losses**

OMB No 1545-0092

► Attach to Form 1041, Form 5227, or Form 990-T.
 ► Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
 ► Information about Schedule D and its separate instructions is at www.irs.gov/form1041.

2013

Name of estate or trust

Employer identification number

DOTY FAMILY FOUNDATION

13-2921496

Note: Form 5227 filers need to complete only Parts I and II**Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less**

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked				
2 Totals for all transactions reported on Form(s) 8949 with Box B checked				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked				
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824				4
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts				5
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet				6 ()
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ►				7

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked	882,156.	608,992.		273,164.
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked				
LONG-TERM CAPITAL GAIN DIVIDENDS				235.
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824				11
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts				12
13 Capital gain distributions				13
14 Gain from Form 4797, Part I				14
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet				15 ()
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ►				16 273,399.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

JSA
3F1210 1 000

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
17	Net short-term gain or (loss)	17		
18	Net long-term gain or (loss):			
a	Total for year	18a		273,399.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht.)	18b		
c	28% rate gain	18c		
19	Total net gain or (loss). Combine lines 17 and 18a. ►	19		273,399.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

20	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of:	20	()
	a The loss on line 19, column (3) or b \$3,000		

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part only if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part only if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34). . .	21		
22	Enter the smaller of line 18a or 19 in column (2) but not less than zero.	22		
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . . .	23		
24	Add lines 22 and 23	24		
25	If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ►	25		
26	Subtract line 25 from line 24. If zero or less, enter -0-	26		
27	Subtract line 26 from line 21. If zero or less, enter -0-	27		
28	Enter the smaller of the amount on line 21 or \$2,450	28		
29	Enter the smaller of the amount on line 27 or line 28	29		
30	Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% . . . ►	30		
31	Enter the smaller of line 21 or line 26	31		
32	Subtract line 30 from line 26.	32		
33	Enter the smaller of line 21 or \$11,950	33		
34	Add lines 27 and 30	34		
35	Subtract line 34 from line 33. If zero or less, enter -0-	35		
36	Enter the smaller of line 32 or line 35	36		
37	Multiply line 36 by 15%.	37		
38	Enter the amount from line 31	38		
39	Add lines 30 and 36	39		
40	Subtract line 39 from line 38. If zero or less, enter -0-	40		
41	Multiply line 40 by 20%	41		
42	Figure the tax on the amount on line 27. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	42		
43	Add lines 37, 41, and 42	43		
44	Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	44		
45	Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ►	45		

Name(s) shown on return (Name and SSN or taxpayer identification no not required if shown on other side)

Social security number or taxpayer identification number

DOTY FAMILY FOUNDATION

13-2921496

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

(D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
 (E) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
 (F) Long-term transactions not reported to you on Form 1099-B

2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►

882,156 | 608,992

273,164.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

THE DOTY FAMILY FOUNDATION
CHARITABLE CONTRIBUTIONS
FYE 2/28/2014
EIN: 13-2921496

DATE	NAME OF ORGANIZATION	CITY	STATE	AMOUNT
3/4/2013	St Lawrence Church	Shelton	CT	\$600 00
3/8/2013	Gettysburg Community Soup Kitchen	Gettysburg	PA	\$375.00
3/8/2013	Church of the Resurrection	Rye	NY	\$150,000.00
3/8/2013	Church of the Resurrection	Rye	NY	\$150,000.00
3/8/2013	Church of the Resurrection	Rye	NY	\$150,000.00
3/11/2013	American Cancer Society	Atlanta	GA	\$150 00
3/11/2013	Society for the Propagation of the Faith	Syracuse	NY	\$11,250.00
3/13/2013	The Dalton School	New York	NY	\$187.50
3/18/2013	Church of the Good Shepherd	Denver	CO	\$150.00
3/21/2013	Horace Mann School	Bronx	NY	\$1,875 00
3/22/2013	Greenwich Council BSA	Greenwich	CT	\$270.00
3/28/2013	St Joseph Church	West Orange	NJ	\$375 00
4/2/2013	College of Mount Saint Vincent	Riverdale	NY	\$2,250.00
4/3/2013	American Heart Association	Dallas	TX	\$375.00
4/3/2013	St. Lawrence Church	Shelton	CT	\$375.00
4/12/2013	Greenwich Council BSA	Greenwich	CT	\$375.00
4/19/2013	Collegiate School	New York	NY	\$5,000.00
4/22/2013	St. Lawrence Church	Shelton	CT	\$600 00
4/23/2013	Greenwich Council BSA	Greenwich	CT	\$750.00
4/25/2013	St Paul Endowment Appeal	Princeton	NJ	\$900.00
4/25/2013	Catholic Big Sisters and Big Brothers	New York	NY	\$3,750.00
4/25/2013	Arthritis Foundation	Denver	CO	\$150.00
4/29/2013	Asian Relief, Inc	Anapolis	MD	\$224,895.00
4/29/2013	Make-A-Wish Georgia	Atlanta	GA	\$750 00
4/30/2013	Church of the Good Shepherd	Denver	CO	\$150 00
4/30/2013	Columbia Business School	New York	NY	\$5,000 00
4/30/2013	Barnard College	New York	NY	\$2,500.00
4/30/2013	Trustees of the University of Pennsylvania	Philadelphia	PA	\$150.00
5/2/2013	St Vincent's Hospital Westchester	Harrison	NY	\$7,786.35
5/2/2013	St Luke's Church	Bronx	NY	\$375.00
5/7/2013	MathCounts	New York	NY	\$1,875 00
5/10/2013	Church of the Resurrection	Rye	NY	\$30,399 60
5/13/2013	St. Lawrence Church	Shelton	CT	\$600.00
5/21/2013	St. Andrew's School	Middleton	DE	\$375.00
5/22/2013	St. Luke's Church	Bronx	NY	\$750 00
5/22/2013	Trustees of the University of Pennsylvania	Philadelphia	PA	\$750 00
5/24/2013	Parkinson's Unity Walk	New York	NY	\$375.00
6/10/2013	St Lawrence Church	Shelton	CT	\$600.00
6/11/2013	Alzheimer's Association	Chicago	IL	\$300.00
6/11/2013	Alzheimer's Association	Chicago	IL	\$150.00
6/11/2013	Alzheimer's Association	Chicago	IL	\$150.00
6/11/2013	Alzheimer's Association	Chicago	IL	\$600.00
6/12/2013	The Public Theater	New York	NY	\$1,500 00
6/14/2013	Trustees of the University of Pennsylvania	Philadelphia	PA	\$3,750.00
6/14/2013	Trustees of the University of Pennsylvania	Philadelphia	PA	\$750.00
6/20/2013	Trustees of the University of Pennsylvania	Philadelphia	PA	\$1,125.00
6/21/2013	Diocese of Syracuse (Peter Daino Ministries)	Syracuse	NY	\$52,277.76

THE DOTY FAMILY FOUNDATION
CHARITABLE CONTRIBUTIONS
FYE 2/28/2014
EIN: 13-2921496

DATE	NAME OF ORGANIZATION	CITY	STATE	AMOUNT
6/21/2013	School of the Holy Child	Rye	NY	\$6,000.00
7/3/2013	Church of the Resurrection	Rye	NY	\$500,000.00
7/8/2013	St Lawrence Church	Shelton	CT	\$600.00
7/8/2013	St Lawrence Church	Shelton	CT	\$3,000.00
7/9/2013	University of Pennsylvania	Philadelphia	PA	\$1,500.00
7/11/2013	Collegiate School	New York	NY	\$750.00
7/11/2013	Anchor House Foundation	Trenton	NJ	\$750.00
7/12/2013	Luca John Foundation	Trenton	NJ	\$450.00
7/17/2013	Abbey of Regina Laudis	Bethlehem	CT	\$750.00
7/17/2013	Greenwich Council BSA	Greenwich	CT	\$750.00
7/22/2013	Breast Cancer Research Foundation	New York	NY	\$3,750.00
7/24/2013	Central New Jersey Council BSA	Dayton	NJ	\$495.00
8/5/2013	Mount Sinai Adolescent Health Center	New York	NY	\$3,750.00
8/5/2013	Trustees of the University of Pennsylvania	Philadelphia	PA	\$84,465.00
8/6/2013	St Lawrence Church	Shelton	CT	\$600.00
8/29/2013	Perlman Music Program	New York	NY	\$750.00
9/3/2013	St Lawrence Church	Shelton	CT	\$600.00
9/9/2013	Hackensack UMC Foundation	Hackensack	NJ	\$3,330.00
9/24/2013	Church of the Resurrection	Rye	NY	\$300,000.00
9/25/2013	Greenwich Council BSA	Greenwich	CT	\$750.00
9/26/2013	Millburn Free Public Library	Millburn	NJ	\$375.00
9/26/2013	Millburn Free Public Library	Millburn	NJ	\$750.00
9/27/2013	Greenwich Council BSA	Greenwich	CT	\$750.00
10/1/2013	Millburn Free Public Library	Millburn	NJ	\$750.00
10/7/2013	God's Love We Deliver	New York	NY	\$600.00
10/7/2013	BSA Troop 28	Lawrenceville	NJ	\$600.00
10/7/2013	The Walters Art Museum	Baltimore	MD	\$375.00
10/7/2013	God's Love We Deliver	New York	NY	\$2,250.00
10/8/2013	MathCounts	New York	NY	\$3,000.00
10/11/2013	STEM Advantage	Coto de Casa	CA	\$3,750.00
10/15/2013	St Joseph Church	West Orange	NJ	\$750.00
10/15/2013	Sharay Tefilo -- Israel	South Orange	NJ	\$750.00
10/15/2013	Mount Carmel Guild	Trenton	NJ	\$150.00
10/22/2013	Greenwich Council BSA	Greenwich	CT	\$3,750.00
10/23/2013	Divine Word Missionaries	Techny	IL	\$150.00
10/23/2013	Cardinal Spellman High School	Bronx	NY	\$1,387.50
10/23/2013	Catholic Charities	New York	NY	\$3,750.00
10/31/2013	Georgetown University	Washington	DC	\$1,500.00
11/1/2013	United American Muslim Association of New York	Brooklyn	NY	\$600.00
11/4/2013	The Public Theater	New York	NY	\$15,000.00
11/4/2013	St. Lawrence Church	Shelton	CT	\$600.00
11/6/2013	Babson College	Babson	MA	\$300.00
11/6/2013	Connecticut Food Bank	Fairfield	CT	\$3,750.00
11/7/2013	Trenton Area Soup Kitchen	Trenton	NJ	\$300.00
11/7/2013	Greenwich Council BSA	Greenwich	CT	\$18,750.00
11/13/2013	Hands Inc.	Arlington	VA	\$375.00
11/13/2013	Amherst College Alumni Fund	Amherst	MA	\$750.00
11/15/2013	The Metropolitan Opera	New York	NY	\$14,265.00

THE DOTY FAMILY FOUNDATION
CHARITABLE CONTRIBUTIONS
FYE 2/28/2014
EIN: 13-2921496

DATE	NAME OF ORGANIZATION	CITY	STATE	AMOUNT
11/18/2013	Mount Sinai Medical Center (SAVI)	New York	NY	\$300.00
11/21/2013	Georgetown University	Washington	DC	\$780.00
11/27/2013	The Wharton School	Philadelphia	PA	\$750.00
12/2/2013	St Lawrence Church	Shelton	CT	\$600.00
12/5/2013	Trustees of the University of Pennsylvania	Philadelphia	PA	\$7,500.00
12/16/2013	International F.O.P. Association	Winter Springs	FL	\$375.00
12/18/2013	Women of Vision	Federal Way	WA	\$750.00
12/19/2013	St Lawrence Church	Shelton	CT	\$750.00
12/19/2013	Cathedral of Mary Our Queen	Baltimore	MD	\$3,750.00
12/20/2013	St. John the Martyr	New York	NY	\$7,500.00
12/23/2013	Trustees of the University of Pennsylvania	Philadelphia	PA	\$1,500.00
12/24/2013	City Harvest	New York	NY	\$750.00
12/24/2013	St Lawrence Church	Shelton	CT	\$12,000.00
12/26/2013	Sag Harbor Community Food Pantry	Sag Harbor	NY	\$375.00
12/27/2013	St Andrew Church	Sag Harbor	NY	\$3,750.00
12/27/2013	The Dalton School	New York	NY	\$300.00
12/27/2013	New York City Ballet	New York	NY	\$750.00
12/30/2013	The Doe Fund	New York	NY	\$750.00
12/30/2013	The Metropolitan Museum of Art	New York	NY	\$900.00
1/6/2014	Cambridge in America	New York	NY	\$3,000.00
1/7/2014	James Wilson Institute	Washington	DC	\$7,500.00
1/7/2014	Rosemont College	Rosemont	PA	\$750.00
1/7/2014	College of Mount Saint Vincent	Riverdale	NY	\$6,000.00
1/7/2014	FISH of Stamford	Stamford	CT	\$750.00
1/7/2014	Carnegie Hall Society	New York	NY	\$750.00
1/7/2014	Collegiate School	New York	NY	\$750.00
1/7/2014	Collegiate School	New York	NY	\$1,875.00
1/8/2014	Church of St Ignatius Loyola	New York	NY	\$750.00
1/8/2014	Yale Law School Fund	New Haven	CT	\$750.00
1/9/2014	Trustees of the University of Pennsylvania	Philadelphia	PA	\$67,962.00
1/9/2014	Trustees of the University of Pennsylvania	Philadelphia	PA	\$750.00
1/9/2014	The Dalton School	New York	NY	\$1,875.00
1/10/2014	Sisters of Mary	Annapolis	MD	\$3,000.00
1/10/2014	School of the Holy Child	Rye	NY	\$750.00
1/10/2014	Amherst College Alumni Fund	Amherst	MA	\$750.00
1/10/2014	Amherst College Sports Information Fund	Amherst	MA	\$750.00
1/13/2014	New York Philharmonic	New York	NY	\$750.00
1/13/2014	Catholic Big Sisters and Big Brothers	New York	NY	\$750.00
1/13/2014	The Mount Sinai Adolescent Health Center	New York	NY	\$1,875.00
1/13/2014	Little Sisters of the Assumption	New York	NY	\$1,875.00
1/13/2014	Catholic Big Sisters and Big Brothers	New York	NY	\$1,875.00
1/13/2014	St. Lawrence Church	Shelton	CT	\$600.00
1/14/2014	Columbia Business School	New York	NY	\$3,750.00
1/31/2014	Church of Saint Anne	Garden City	NY	\$375.00
2/3/2014	The Metropolitan Opera	New York	NY	\$750.00
2/4/2014	Cambridge in America	New York	NY	\$7,500.00
2/7/2014	Building Blocks For Change	New York	NY	\$750.00
2/10/2014	St Lawrence Church	Shelton	CT	\$600.00

THE DOTY FAMILY FOUNDATION
CHARITABLE CONTRIBUTIONS
FYE 2/28/2014
EIN: 13-2921496

DATE	NAME OF ORGANIZATION	CITY	STATE	AMOUNT
2/10/2014	Bridgeport Rescue Mission	Bridgeport	CT	\$750.00
2/11/2014	MathCounts	New York	NY	\$225.00
2/11/2014	Thyroid Cancer Research Fund	Philadelphia	PA	\$375.00
2/12/2014	Greenwich Council BSA	Greenwich	CT	\$1,875.00
2/20/2014	Fordham University	New York	NY	\$10,000.00
2/21/2014	College of Mount Saint Vincent	Riverdale	NY	\$10,000.00
2/21/2014	Columbia Business School	New York	NY	\$5,000.00
2/24/2014	Barnard College	New York	NY	\$2,500.00
2/25/2014	Collegiate School	New York	NY	\$5,000.00

TOTAL CONTRIBUTIONS PAID * **\$2,010,825.71**

** All contributions were made to the general purpose fund of public charitable organizations that were classified under Section 501(c)(3) of the Internal Revenue Code.*

The Doty Family Foundation
From 01-Jan-2013 To 31-Dec-2013

Base Currency :USD

Realized Gains/Losses Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Gain Type
CCCA COLA COM	6/20/2007	5/15/2013	3,000.00	128,061.78	77,614.01	50,447.77	Long-Term
ALSTATE CORP 7.50% 2013	6/5/2008	6/17/2013	50,000.00	50,000.00	50,000.00	0.00	Long-Term
ATT&T INC	9/23/2009	6/24/2013	3,000.00	103,522.85	82,623.85	20,899.00	Long-Term
CHESAPEAKE ENERGY OKLA	3/19/2008	6/24/2013	2,000.00	39,195.74	88,685.15	(49,489.41)	Long-Term
CHESAPEAKE ENERGY OKLA	9/23/2009	6/24/2013	1,000.00	19,597.88	28,843.55	(9,245.67)	Long-Term
INTL BUSINESS MACHINES	8/14/2007	6/24/2013	750.00	144,707.71	84,199.01	60,508.70	Long-Term
PERKINELMER INC COM	6/17/2010	6/24/2013	3,000.00	98,234.43	68,760.55	29,473.88	Long-Term
AMERICAN EXPRESS 4.87% 13	6/5/2008	7/25/2013	50,000.00	50,000.00	50,000.00	0.00	Long-Term
CELEGENE CORP COM	10/26/2011	12/24/2013	600.00	99,937.25	39,500.00	60,437.25	Long-Term
GILEAD SCIENCES INC COM	12/22/2011	12/24/2013	2,000.00	148,898.52	38,766.14	110,132.38	Long-Term
TOTAL LONG-TERM				882,156.16	608,992.26	273,163.90	

DOTY FAMILY FOUNDATION

February 01, 2014 - February 28, 2014

CORPORATE BONDS Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Estimated Current Yield%
CITIGROUP INC SUBORDINATED GLB 05.000% SEP 15 2014 MOODYS: BAA3 S&P: BBB+	06/04/09 CUSIP: 1729673Q2	50,000	43,569.35	102.3430	51,171.50	7,602.15	1,131.94	2,500	4.88
△ HEALTH CARE PPY INV INC 06.000% MAR 01 2015 MOODYS: BAA1 S&P: BBB+	06/23/10 CUSIP: 4221915ECS	50,000	50,818.01	105.2600	52,630.00	1,811.99	1,475.00	3,000	5.70
ORIGINAL UNIT/TOTAL COST	107.0847/53,542.35								
△ BANK OF AMERICA CORP GLB 04.530% APR 01 2015 MOODYS: BAA2 S&P: A CUSIP: 03051GER1	06/23/10	50,000	50,171.12	104.0350	52,017.50	1,846.38	918.75	2,250	4.32
ORIGINAL UNIT/TOTAL COST	131.3967/50,698.35								

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

February 01, 2014 - February 28, 2014						
CORPORATE BONDS (continued)	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)
△ NABISCO INCORPORATED 01/24/03 27.550% JUN 15 2015 MOODY'S: BAA2 S&P BBB ORIGINAL UNIT/TOTAL COST: 123,618.312,361.83	10,000	10,317.67	108.7570	10,875.70	558.03	153.10
△ BANK OF AMERICA CORP 01/24/03 07.750% AUG 15 2015 SUBORDINATED MOODY'S: BAA3 S&P: B6B+ ORIGINAL UNIT/TOTAL COST: 123,331.549,332.62	40,000	41,414.36	109.4030	43,761.20	2,346.84	111.94
△ JP MORGAN CHASE 06/05/09 05 150% OCT C1 2015 SUBORDINATED MOODY'S: BAA1 S&P: A- ORIGINAL UNIT/TOTAL COST: 100,181.750,090.85	50,000	50,025.58	106.5290	53,264.50	3,238.92	1,051.46
△ AMERICAN EXPRESS CREDIT SER MTN 05 300% DEC 02 2015 MOODY'S: A2 S&P: A- ORIGINAL UNIT/TOTAL COST: 101,815.750,907.85	50,000	50,285.08	107.8840	53,942.00	3,656.92	633.06
△ CITIGROUP INC 09/17/09 05 300% JAN 07 2016 MOODY'S: BAA2 S&P: A- ORIGINAL UNIT/TOTAL COST: 104,104.752,052.35	50,000	49,430.35	107.8040	53,902.00	4,471.65	375.42
△ GOLDMAN SACHS GROUP INC 09/17/09 05.350% JAN 15 2016 MOODY'S: BAA1 S&P: A- ORIGINAL UNIT/TOTAL COST: 104,104.752,052.35	50,000	50,670.56	108.0030	54,004.50	3,333.94	319.51
GENERAL ELEC CAP CORP SER MTN GLB 05 375% OCT 20 2016 MOODY'S: A1 S&P: AA+ ORIGINAL UNIT/TOTAL COST: 104,104.752,052.35	75,000	74,615.60	111.3740	83,530.50	8,914.90	1,433.33

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

PRIVATE BANKING &
INVESTMENT GROUP

February 01, 2014 - February 28, 2014

CORPORATE BONDS (continued)						
Description	Acquired	Quantity	Adjusted/Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Current Yield%
MERRILL LYNCH & CO GLB 06.40% FEB 28 2017 MOODY'S: BAA2 S&P: A- CUSIP: 59616YJ69	06/05/09	50,000	46,705.35	115,687.0	57,843.50	11,138.15
△ WALT DISNEY COMPANY SE9 MTNB GLB 05.875% DEC 15 2017 MOODY'S: A2 S&P: A CUSIP: 25468PCB0	06/05/08	50,000	51,063.01	116,1040	58,052.00	6,988.99
ORIGINAL UNIT/TOTAL COST: 104,6437/52,321.85						
GOLDMAN SACHS GROUP INC GLB 05.950% JAN 18 2018 MOODY'S: BAA1 S&P: A- CUSIP: 381413GF34	06/05/09	50,000	47,870.85	114,2270	57,113.50	9,242.65
PEPSICO INC SENIOR NOTES 05.000% JUN 01 2018 MOODY'S: A1 S&P: A- CUSIP: 713448BH0	06/05/08	50,000	49,277.35	113,2560	56,628.00	7,350.65
△ ROYAL BK OF SCOTLAND PLC BANK GUARANTEED GLB 05.125% JAN 11 2021 MOODY'S: A3 S&P: A- CUSIP: 78010XAK7	05/24/11	75,000	78,362.63	117,3890	88,041.75	9,679.12
ORIGINAL UNIT/TOTAL COST: 105,8791/79,409.35						
△ ALCOA INC GLB 05.400% APR 15 2021 MOODY'S: BAA S&P: BBB- CUSIP: 013817AV3	05/24/11	50,000	51,060.13	105,2950	52,647.50	1,587.37
PAR CALL DATE: 01/15/21 PAR CALL PRICE: 100.00 ORIGINAL UNIT/TOTAL COST: 102,7667/51,380.35						
TOTAL		800,000		795,657.00		
					879,425.65	83,768.65
						10,731.14
						45,094
						5.13

PLEASE REFER TO NOTES BELOW FOR INFORMATION REGARDING CREDIT RATINGS.

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

February 01, 2014 - February 28, 2014						
EQUITIES		Symbol	Acquired	Quantity	Unit	Total
Description				Cost Basis	Cost Basis	Estimated Market Price
ACCENTURE PLC SHS	ACN 06/27/11	1,500	57,7801	86,670.25	83,3500	125,025.00
AMERICAN WTR WKS CO INC NEW	AWK 12/22/11	3,000	31,8081	95,424.55	44,8400	134,520.00
<i>Subtotal</i>						39,095.45
AMGEN INC COM PV \$0.0001	AMGN 03/08/96	758	15,2421	11,553.54	124,0200	94,007.16
	06/25/08	992	46,9807	46,604.91	124,0200	123,027.84
<i>Subtotal</i>		1,750		58,158.45		217,035.00
AUTOMATIC DATA PROC	ADP 05/24/11	1,500	53,2888	79,933.30	77,7800	116,670.00
BANK OF AMERICA CORP	BAC 12/18/09	7,000	15,0506	105,354.65	16,5300	115,710.00
	09/24/12	5,000	9,1658	45,829.35	16,5300	82,6500
<i>Subtotal</i>		12,000		151,184.00		198,360.00
BORG WARNER INC COM	BWA 06/17/10	3,000	20,5452	61,635.71	61,4500	184,350.00
CELEGENE CORP COM	CFG 10/26/11	900	65,8333	59,250.03	160,7500	144,675.00
	10/26/11	100	66,3227	6,632.27	160,7500	16,075.00
<i>Subtotal</i>		1,000		65,882.30		160,750.00
CISCO SYSTEMS INC COM	CSCO 06/10/03	1,000	17,3690	17,369.01	21,8000	21,800.00
	06/10/03	1,175	17,3690	20,408.58	21,8000	25,615.00
	06/10/03	825	17,3700	14,330.25	21,8000	17,985.00
	06/25/08	1,000	24,9053	24,905.35	21,8000	21,800.00
	09/24/12	2,000	18,8201	37,640.35	21,8000	43,600.00
<i>Subtotal</i>		6,000		114,653.54		130,800.00
CITIGROUP INC COM NEW	C 03/30/00	187	420,8949	78,707.36	48,6300	9,093.81
	03/19/08	113	205,6756	23,241.35	48,6300	5,495.19
	09/23/09	700	47,6076	33,325.35	48,6300	34,041.00
	06/17/10	1,000	40,0033	40,003.35	48,6300	48,630.00
	09/24/12	1,000	33,5153	33,515.35	48,6300	48,630.00
<i>Subtotal</i>		3,000		208,792.76		145,890.00
EMERSON ELEC CO	EMR 09/27/01	2,000	22,9200	45,840.01	65,2600	130,520.00
						84,679.99
						3,440 2.63

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

February 01, 2014 - February 28, 2014

EQUITIES (continued)		Symbol	Acquired	Quantity	Unit	Total	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Current Income	Estimated Current Yield%
EXXON MOBIL CORP	COM	XOM	06/27/06	1,000	59,723	76	96,270.00	96,270.00	0.00	36,546.24	2,520
FORD MOTOR CO		F	12/24/13	8,250	15,210	4	125,486.60	15,390	0.00	126,967.50	4,126
FREEPORT-MCMURRAY CPR & GLD		FCX	12/24/13	3,500	36,199	3	126,697.81	32,620	0.00	114,170.00	(12,527.81)
GENERAL ELECTRIC		GE	12/16/02	110	26,400	0	2,904.00	25,470	0.00	2,801.70	(102.30)
			06/25/08	890	28,321	8	25,206.48	25,470	0.00	22,668.30	(2,538.18)
			06/22/09	3,500	11,661	5	40,815.35	25,470	0.00	89,145.00	48,329.65
			09/23/09	3,000	17,360	8	52,082.65	25,470	0.00	76,410.00	24,327.35
Subtotal				7,500			121,008.48			191,025.00	70,016.52
GILEAD SCIENCES INC COM		GILD	12/22/11	3,000	19,383	0	58,149.21	82,790	0.00	248,370.00	190,220.79
GLAXOSMITHKLINE PLC ADR		GSK	08/23/05	1,722	47,720	5	82,174.77	55,940	0.00	96,328.68	14,153.91
			06/25/08	278	44,739	1	12,437.47	55,940	0.00	15,551.32	3,113.85
Subtotal				2,000			94,612.24			111,880.00	17,267.76
HALLIBURTON COMPANY		HAL	12/24/13	2,500	50,760	7	126,901.96	57,000	0.00	142,500.00	15,598.04
INTEL CORP		INTC	03/30/00	750	56,407	0	42,305.26	24,760	0.00	18,570.00	(23,735.26)
			12/16/02	3,000	18,190	0	54,570.00	24,760	0.00	74,280.00	19,710.00
			12/16/02	485	18,213	0	8,833.31	24,760	0.00	12,008.60	3,175.29
			12/16/02	15	18,210	0	273.15	24,760	0.00	371.40	98.25
Subtotal				4,250			105,981.72			105,230.00	(751.72)
LOCKHEED MARTIN CORP		LMT	07/17/12	1,250	87,248	1	109,060.23	162,300	0.00	202,875.00	93,814.77
MCDONALDS CORP	COM	MCD	12/28/10	1,000	76,272	7	76,272.75	95,150	0.00	95,150.00	18,877.25
MERCK AND CO INC SHS		MRK	03/28/06	2,000	35,779	8	71,559.67	56,990	0.00	113,980.00	42,420.33
			06/25/08	1,000	36,804	6	36,804.65	56,990	0.00	56,990.00	20,185.35
Subtotal				3,000			108,364.32			170,970.00	62,605.68
MICROSOFT CORP		MSFT	09/29/00	2,277	30,082	4	68,497.85	38,310	0.00	87,231.87	18,734.02
			06/22/09	1,723	23,433	1	40,375.24	38,310	0.00	66,008.13	25,632.89
Subtotal				4,000			108,873.09			153,240.00	44,366.91

DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

EQUITIES (continued)							February 01, 2014 - February 28, 2014			
Description	Symbol	Acquired	Quantity	Cost Basis	Unit	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)/Annual Income	Estimated Current Yield%
MONSANTO CO NEW DEL COM	MON 09/23/09	1,000	78.1148	78.1148	110.0200	110,020.00	110,020.00	31,905.15	1,721	1.56
	06/17/10	500	50.1087	25,054.35	110.0200	55,010.00	55,010.00	29,955.65	861	1.56
<u>Subtotal</u>		1,500		103,169.20		165,030.00		61,860.80	2,582	1.56
NATIONAL GRID PLC SP ADR	NGG 11/14/11	2,000	49.8226	99,645.35	69 8000	139,600.00	139,600.00	39,954.65	6,296	4.51
NATIONAL-OILWELL VARCO INC	NOV 12/28/10	1,250	65.4418	81,802.35	77.0400	96,300.00	96,300.00	14,497.65	1,300	1.34
NOVARTIS ADR	NVS 09/23/09	1,750	49.1821	86,068.78	83.1800	145,565.00	145,565.00	59,496.22	3,093	2.12
OLIN CORP \$1 NEW	OLN 03/26/10	5,000	19.2856	96,428.35	26 1900	130,950.00	130,950.00	34,521.65	4,000	3.05
PEPSICO INC	PEP 05/25/06	1,500	60.4635	90,695.35	80.0700	120,105.00	120,105.00	29,409.65	3,405	2.83
PFIZER INC	PFE 09/24/12	5,000	24.7785	123,892.85	32 1100	160,550.00	160,550.00	36,657.15	5,201	3.23
PRICE T ROWE GROUP INC	TROW 06/17/10	1,600	49.1657	78,665.19	81.1700	129,872.00	129,872.00	51,206.81	2,817	2.16
PROCTER & GAMBLE CO	PG 03/30/00	1,562	29.2379	45,669.66	78.6600	122,866.92	122,866.92	77,197.26	3,759	3.05
SCHLUMBERGER LTD	SLB 06/17/10	1,000	60.6593	60,659.35	93 0000	93,000.00	93,000.00	32,340.65	1,600	1.72
TEXAS INSTRUMENTS	TXN 12/18/09	4,000	25.2032	100,812.95	44.9600	179,840.00	179,840.00	79,027.05	4,800	2.66
UNITED TECHS CORP COM	UTX 06/27/97	1,250	21.2642	26,580.33	117.0200	146,275.00	146,275.00	119,694.67	2,950	2.01
WAL-MART STORES INC	WMT 06/20/07	2,000	48.8716	97,743.35	74 7000	149,400.00	149,400.00	51,656.65	3,841	2.57
WASTE MANAGEMENT INC NEW	WM 12/24/13	2,750	45.1318	124,112.62	41 5000	114,125.00	114,125.00	(9,987.62)	4,125	3.61
TOTAL				3,405,252.72		5,196,046.42		1,790,793.70	120,720	2.32



DOTY FAMILY FOUNDATION

YOUR EMA ASSETS

February 01, 2014 - February 28, 2014

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%
TOTAL	4,200,909.72	6,075,472.07	1,874,562.35	10,731.14	205,446	2.52

Notes