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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

2013

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- Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013, or tax year beginning 3/01, 2013, and ending 2/28, 2014

Humane Society of the Commonwealth of MA
51 Grove Street, Suite A
Chestnut Hill, MA 02467

G Check all that apply: Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16) **► \$ 5,430,466.** J Accounting method: Cash Accrual
 Other (specify) **(Part I, column (d) must be on cash basis)**

A Employer identification number **04-2104291**

B Telephone number (see the instructions) **617-232-6242**

C If exemption application is pending, check here ►

D 1 Foreign organizations, check here ►
 2 Foreign organizations meeting the 85% test, check here and attach computation ►

E If private foundation status was terminated under section 507(b)(1)(A), check here ►

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ►

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc, received (att sch)	3,868.			
	2 Ck ► <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments	1.	1.	N/A	
	4 Dividends and interest from securities	102,284.	102,284.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10	292,616.			
	b Gross sales price for all assets on line 6a 2,356,935.				
	7 Capital gain net income (from Part IV, line 2)		292,616.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit/(loss) (att sch)				
	11 Other income (attach schedule) See Statement 1	16.	16.	16.	16.
	12 Total. Add lines 1 through 11.	398,785.	394,912.	OGDEN, UT	OGDEN, UT
ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc	0.			
	14 Other employee salaries and wages	25,755.			25,755.
	15 Pension plans, employee benefits	11,340.			11,340.
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) See St 2	3,617.	904.		2,713.
	c Other prof fees (attach sch) See St. 3	31,615.	31,615.		
	17 Interest				
	18 Taxes (attach schedule) See Stm 4	3,101.	1,251.		
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings	70.			70.
	22 Printing and publications				
	23 Other expenses (attach schedule) See Statement 5	7,459.	235.		7,224.
	24 Total operating and administrative expenses. Add lines 13 through 23	82,957.	34,005.		47,102.
	25 Contributions, gifts, grants paid Part XV	173,315.			173,315.
	26 Total expenses and disbursements. Add lines 24 and 25	256,272.	34,005.		220,417.
	27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements	142,513.			
	b Net investment income (if negative, enter -0-)		360,912.		
	c Adjusted net income (if negative, enter -0-)				

SCANNED JUL 28 2014

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year		
			(a) Book Value	(b) Book Value	(c) Fair Market Value	
ASSETS	1	15,684.	23,787.	23,787.		
	2	57,325.	291,720.	291,720.		
	3	Accounts receivable Less: allowance for doubtful accounts ►				
	4	Pledges receivable Less: allowance for doubtful accounts ►				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach sch) Less allowance for doubtful accounts ►				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments – U S and state government obligations (attach schedule) Statement 6	142,181.	87,134.	83,311.	
	b	Investments – corporate stock (attach schedule) Statement 7	3,253,451.	3,272,149.	4,646,886.	
	c	Investments – corporate bonds (attach schedule) Statement 8	386,311.	366,197.	384,762.	
	11	Investments – land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ►				
	12	Investments – mortgage loans				
	13	Investments – other (attach schedule)	43,522.			
	14	Land, buildings, and equipment. basis Less: accumulated depreciation (attach schedule) ►				
15	Other assets (describe ►)					
16	Total assets (to be completed by all filers – see the instructions. Also, see page 1, item I)	3,898,474.	4,040,987.	5,430,466.		
LIABILITIES	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, & other disqualified persons				
	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ►)				
	23	Total liabilities (add lines 17 through 22)	0.	0.		
		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ►				
	NET ASSETS	24	Unrestricted			
		25	Temporarily restricted			
		26	Permanently restricted			
			Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ►	<input checked="" type="checkbox"/>		
		27	Capital stock, trust principal, or current funds			
		28	Paid-in or capital surplus, or land, building, and equipment fund			
		29	Retained earnings, accumulated income, endowment, or other funds.	3,898,474.	4,040,987.	
		30	Total net assets or fund balances (see instructions)	3,898,474.	4,040,987.	
31		Total liabilities and net assets/fund balances (see instructions)	3,898,474.	4,040,987.		

Part III: Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,898,474.
2	Enter amount from Part I, line 27a	2	142,513.
3	Other increases not included in line 2 (itemize) ►	3	
4	Add lines 1, 2, and 3	4	4,040,987.
5	Decreases not included in line 2 (itemize) ►	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30	6	4,040,987.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)			(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a Publicly-traded Securities			P	Various	Various
b Capital gain distributions			P	Various	Various
c Passthrough K-1 Capital Loss			P	Various	Various
d					
e					
(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a 2,355,374.			2,064,319.	291,055.	
b 3,440.				3,440.	
c -1,879.				-1,879.	
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) Fair Market Value as of 12/31/69		(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))	
a				291,055.	
b				3,440.	
c				-1,879.	
d					
e					
2 Capital gain net income or (net capital loss)		[If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7]		2	292,616.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		[If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8]		3	0.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	187,275.	4,595,742.	0.040750
2011	135,808.	4,420,629.	0.030721
2010	166,475.	4,254,434.	0.039130
2009	355,238.	4,143,750.	0.085729
2008	252,989.	4,495,308.	0.056278

2 Total of line 1, column (d)

2 0.252608

3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 0.050522

4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5

4 5,104,170.

5 Multiply line 4 by line 3

5 257,873.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 3,609.

7 Add lines 5 and 6

7 261,482.

8 Enter qualifying distributions from Part XII, line 4

8 220,417.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part V: Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter 'N/A' on line 1 Date of ruling or determination letter (attach copy of letter if necessary – see instrs)	1	7,218.
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	7,218.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	7,218.
6	Credits/Payments		
a	2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	2,432.
b	Exempt foreign organizations – tax withheld at source	6 b	
c	Tax paid with application for extension of time to file (Form 8868)	6 c	
d	Backup withholding erroneously withheld	6 d	
7	Total credits and payments Add lines 6a through 6d	7	2,432.
8	Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	4,786.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ► Refunded	11	

Part VI: A: Statements Regarding Activities

1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?

<input type="checkbox"/>	Yes	No
1 a		X

b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?

<input type="checkbox"/>	Yes	No
1 b		X

If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.

c Did the foundation file **Form 1120-POL** for this year?

<input type="checkbox"/>	Yes	No
1 c		X

d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year

(1) On the foundation ► \$ 0. (2) On foundation managers ► \$ 0.

<input type="checkbox"/>	Yes	No
1 d		

e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.

<input type="checkbox"/>	Yes	No
1 e		

2 Has the foundation engaged in any activities that have not previously been reported to the IRS?

If 'Yes,' attach a detailed description of the activities

<input type="checkbox"/>	Yes	No
2		X

3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes

<input type="checkbox"/>	Yes	No
3		X

4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?

<input type="checkbox"/>	Yes	No
4 a		X

b If 'Yes,' has it filed a tax return on **Form 990-T** for this year?

<input type="checkbox"/>	Yes	No
4 b		N/A

5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?

<input type="checkbox"/>	Yes	No
5		X

6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:

• By language in the governing instrument, or

<input type="checkbox"/>	Yes	No
6		X

• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?

<input type="checkbox"/>	Yes	No
7		X

7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV

<input type="checkbox"/>	Yes	No
8 a		

8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ►

<input type="checkbox"/>	Yes	No
8 b		X

MA
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by **General Instruction G**? If 'No,' attach explanation

<input type="checkbox"/>	Yes	No
9		X

9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV

<input type="checkbox"/>	Yes	No
10		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	See St 9	12	X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address		13	X
14	The books are in care of ► <u>Charles E. Clapp III</u> Telephone no ► <u>617-357-9110</u> Located at ► <u>c/o HCM, 75 Federal St., Ste. 1100 Boston MA ZIP + 4 02110</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year		15	N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	Yes	No	
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country ►	16	X	

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.				
1 a	During the year did the foundation (either directly or indirectly):	Yes	No	
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
	(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	
b	If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ►	1 b	N/A	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)).	2 a		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If 'Yes,' list the years ► <u>20 __ , 20 __ , 20 __ , 20 __</u>	2 b	N/A	
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement – see instructions.)	2 c		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► <u>20 __ , 20 __ , 20 __ , 20 __</u>	3 a		
3 a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	3 b	N/A	
b	If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	4 a	X	
4 a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 b	X	
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?			

Part VII-B: Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5 a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

 Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

 Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

 Yes No

(4) Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)

 Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

 Yes Nob If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

Organizations relying on a current notice regarding disaster assistance check here . . .

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A Yes No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

 Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

 Yes No

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

Part VIII: Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

BAA

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Part VIII: Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		

Part IX-A: Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1	<u>N/A</u>	
2		

All other program-related investments See instructions

3 _____
Total Add lines 1 through 3. ► 0

Total. Add lines 1 through 3 0.

BAA Form 990-PF (2013)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes.		
a Average monthly fair market value of securities	1 a	4,778,343.
b Average of monthly cash balances	1 b	403,555.
c Fair market value of all other assets (see instructions)	1 c	
d Total (add lines 1a, b, and c)	1 d	5,181,898.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	5,181,898.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	77,728.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,104,170.
6 Minimum investment return. Enter 5% of line 5	6	255,209.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	255,209.
2 a Tax on investment income for 2013 from Part VI, line 5	2 a	7,218.
b Income tax for 2013 (This does not include the tax from Part VI)	2 b	
c Add lines 2a and 2b	2 c	7,218.
3 Distributable amount before adjustments Subtract line 2c from line 1	3	247,991.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	247,991.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	247,991.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes:		
a Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1 a	220,417.
b Program-related investments – total from Part IX-B	1 b	
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	220,417.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	220,417.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7.				247,991.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only.			220,370.	
b Total for prior years. 20_____, 20_____, 20_____. 3 Excess distributions carryover, if any, to 2013.		0.		
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4 ► \$ 220,417.			220,370.	
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2013 distributable amount				47.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount – see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a Taxable amount – see instructions			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				247,944.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9.				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

BAA

Form 990-PF (2013)

Part XIV **Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling	►			
b Check box to indicate whether the foundation is a private operating foundation described in section	<input type="checkbox"/> 4942(j)(3) or <input type="checkbox"/> 4942(j)(5)			
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed				
b 85% of line 2a.				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3 Complete 3a, b, or c for the alternative test relied upon				
a 'Assets' alternative test – enter.				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).				
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c 'Support' alternative test – enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

Part XV **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year – see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ► if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

See Statement 11

b The form in which applications should be submitted and information and materials they should include

See Statement for Line 2a

c Any submission deadlines:

See Statement for Line 2a

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

See Statement for Line 2a

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<i>a Paid during the year</i> See Statement 12				
Total				► 3a 173,315.
<i>b Approved for future payment</i>				
Total				► 3b

Part XVI-A Analysis of Income-Producing Activities

	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	1.	
4 Dividends and interest from securities			14	102,284.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	292,616.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a <u>K-1 Income</u>			14	16.	
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				394,917.	
13 Total. Add line 12, columns (b), (d), and (e)			13	394,917.	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B: Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of

- (1) Cash
- (2) Other assets

b Other transactions

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitations

c Sharing of facilities, equipment, mailing lists, other assets, or paid employees

	Yes	No
1 a (1)		X
1 a (2)		X
1 b (1)		X
1 b (2)		X
1 b (3)		X
1 b (4)		X
1 b (5)		X
1 b (6)		X
1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
N/A			

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes No

b If 'Yes,' complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign
Here

Van H. Gardiner

17/9/14

Title

May the IRS discuss
this return with the
preparer shown below
(see instructions)?

Signature of filer or trustee		Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
Paid Preparer Use Only	Print/Type preparer's name	<u>Amanda Adams</u>	<u>Amanda Adams</u>	<u>7/7/14</u>	P00748038
	Firm's name	► Blazek & Vetterling		Firm's EIN ► 76-0269860	
	Firm's address	► 2900 Weslayan, Suite 200 Houston, TX 77027-5132		Phone no	(713) 439-5739

BAA

Statement 1
Form 990-PF, Part I, Line 11
Other Income

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
K-1 Income	\$ 16.	\$ 16.	\$ 0.
Total	\$ 16.	\$ 16.	\$ 0.

Statement 2
Form 990-PF, Part I, Line 16b
Accounting Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Return prep and tax compliance	\$ 3,617.	\$ 904.		\$ 2,713.
Total	\$ 3,617.	\$ 904.		\$ 2,713.

Statement 3
Form 990-PF, Part I, Line 16c
Other Professional Fees

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment fees	\$ 31,615.	\$ 31,615.		
Total	\$ 31,615.	\$ 31,615.		\$ 0.

Statement 4
Form 990-PF, Part I, Line 18
Taxes

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise tax	\$ 1,850.			
Foreign Tax	\$ 1,251.	\$ 1,251.		
Total	\$ 3,101.	\$ 1,251.		\$ 0.

Statement 5
Form 990-PF, Part I, Line 23
Other Expenses

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Bank fees	\$ 12.	\$ 12.		
Insurance	693.			\$ 693.
K-1 expenses	223.	223.		
Medals and certificates	1,342.			1,342.
News Clippings	1,323.			1,323.
Office expense	1,616.			1,616.
Payroll processing fees	1,101.			1,101.
State filing fee	85.			85.
Telephone expense	1,064.			1,064.
Total	\$ 7,459.	\$ 235.		\$ 7,224.

Statement 6
Form 990-PF, Part II, Line 10a
Investments - U.S. and State Government Obligations

<u>U.S. Government Obligations</u>	Valuation Method	Book Value	Fair Market Value
U.S. Government Obligations	Cost	\$ 87,134.	\$ 83,311.
		\$ 87,134.	\$ 83,311.
	Total	\$ 87,134.	\$ 83,311.

Statement 7
Form 990-PF, Part II, Line 10b
Investments - Corporate Stocks

<u>Corporate Stocks</u>	Valuation Method	Book Value	Fair Market Value
HCM Equities - See attached pgs 1-3	Cost	\$ 2,610,963.	\$ 3,933,341.
HCM International - See attached pg 4	Cost	463,969.	505,015.
PIMCO Dynamic Credit Income Fund	Cost	197,217.	208,530.
	Total	\$ 3,272,149.	\$ 4,646,886.

Statement 8
Form 990-PF, Part II, Line 10c
Investments - Corporate Bonds

<u>Corporate Bonds</u>	Valuation Method	Book Value	Fair Market Value
Oracle Corp 5.25% 1/15/16	Cost	\$ 24,900.	\$ 27,205.
SBC Communications 5.625% 6/15/16	Cost	25,037.	27,555.
Amerigas Partners 6.25% 8/20/19	Cost	59,809.	64,575.
Frontier Comm. Corp 7.625% 4/15/24	Cost	54,307.	53,000.
Ford Motor Credit Co 5.75% 02/01/21	Cost	50,256.	57,493.

Statement 8 (continued)
Form 990-PF, Part II, Line 10c
Investments - Corporate Bonds

<u>Corporate Bonds</u>	<u>Valuation Method</u>	<u>Book Value</u>	<u>Fair Market Value</u>
Windstream Corp. Cont. 7.75% 10/1/21	Cost	\$ 52,015.	\$ 54,188.
Goldman Sachs Group 4.00% 11/11/28	Cost	50,001.	46,919.
Hershey Company 12/13/10 4.125% 12/01/20	Cost	25,186.	27,577.
JP Morgan Chase & Co 5.25% 5/01/15	Cost	24,686.	26,250.
	Total	\$ 366,197.	\$ 384,762.

Statement 9
Form 990-PF, Part VII-A, Line 12
Explanation of Distribution to Donor Advised Fund

During this tax year, the Foundation treated a distribution to Schwab Charitable Endowment, a donor advised fund over which the Foundation had advisory privileges, as a qualifying distribution. Donor advised funds are exempt under Internal Revenue Code Section 501(c)(3), must be organized and operated exclusively for one or more of the charitable purposes described in Section 170(c)(2)(B), and have sole discretion over the funds distributed to them. The Foundation has made, and continues to regularly make, recommendations for grants to be made by the donor advised fund to public charities for charitable purposes similar to the grants made directly by the Foundation.

Statement 10
Form 990-PF, Part VIII, Line 1
List of Officers, Directors, Trustees, and Key Employees

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compensation</u>	<u>Contri- bution to EBP & DC</u>	<u>Expense Account/ Other</u>
Lawrence T. Perera 51 Grove Street, Ste. A Chestnut Hill, MA 02467	Pres/Trustee 1.00	\$ 0.	\$ 0.	\$ 0.
Ferdinand Colloredo-Mansfeld 51 Grove Street, Ste. A Chestnut Hill, MA 02467	1st VP/Trustee 1.00	0.	0.	0.
Ian H. Gardiner 51 Grove Street, Ste. A Chestnut Hill, MA 02467	Treasurer/Trree 1.00	0.	0.	0.
W. Nicholas Thorndike 51 Grove Street, Ste. A Chestnut Hill, MA 02467	Corr Sec/Trree 1.00	0.	0.	0.
David T. Lawrence 51 Grove Street, Ste. A Chestnut Hill, MA 02467	2nd VP/Trustee 1.00	0.	0.	0.

Statement 10 (continued)**Form 990-PF, Part VIII, Line 1****List of Officers, Directors, Trustees, and Key Employees**

<u>Name and Address</u>	<u>Title and Average Hours Per Week Devoted</u>	<u>Compensation</u>	<u>Contri-bution to EBP & DC</u>	<u>Expense Account/Other</u>
Henry P. Becton, Jr. 51 Grove Street, Ste. A Chestnut Hill, MA 02467	Rec Sec/Trustee 1.00	\$ 0.	\$ 0.	\$ 0.
H. D. S. Greenway 51 Grove Street, Ste. A Chestnut Hill, MA 02467	Trustee 1.00	0.	0.	0.
James R. Houghton 51 Grove Street, Ste. A Chestnut Hill, MA 02467	Trustee 1.00	0.	0.	0.
Edward P. Lawrence 51 Grove Street, Ste. A Chestnut Hill, MA 02467	Trustee 1.00	0.	0.	0.
George Lewis 51 Grove Street, Ste. A Chestnut Hill, MA 02467	Trustee 1.00	0.	0.	0.
Frederick S. Moseley III 51 Grove Street, Ste. A Chestnut Hill, MA 02467	Honorary Trustee 0	0.	0.	0.
G. West Saltonstall 51 Grove Street, Ste. A Chestnut Hill, MA 02467	Trustee 1.00	0.	0.	0.
Ross E. Sherbrooke 51 Grove Street, Ste. A Chestnut Hill, MA 02467	Trustee 1.00	0.	0.	0.
Total		\$ 0.	\$ 0.	\$ 0.

Statement 11**Form 990-PF, Part XV, Line 2a-d****Application Submission Information**

Name of Grant Program: Water Accident, Injury, and Death Prev.
 Name: Beth Nilsson
 Care Of: Humane Soc. of the Commonwealth of MA
 Street Address: 51 Grove Street, Suite A
 City, State, Zip Code: Chestnut Hill, MA 02467
 Telephone: 617-232-6242
 E-Mail Address: None
 Form and Content: See www.masslifesavingawards.com or call for more information.
 Submission Deadlines: None
 Restrictions on Awards: See www.masslifesavingawards.com or call for more information.

Statement 12
Form 990-PF, Part XV, Line 3a
Recipient Paid During the Year

Name and Address	Donee Relationship	Found- ation Status	Purpose of Grant	Amount
Awards to individuals See attachment	None	N/A	To recognize the efforts of individuals who voluntarily attempt to save lives.	\$ 37,000.
Hull Lifesaving Museum PO Box 221 Hull, MA 02045	N/A	PC	East elevation and boat room doors	40,000.
Schwab Charitable Fund 101 Montgomery St San Francisco, CA 94104	N/A	PC	General Support	25,000.
Schwab Charitable Fund 101 Montgomery St San Francisco, CA 94104	N/A	PC	Hull Lifesaving Museum	16,797.
Coast Guard Auxiliary Assoc. Inc 9449 Watson Industrial Park St. Louis, MO 63126	N/A	PC	Public education, member training, public affairs and comm. equipment	34,518.
Samaritans, Inc. 41 West St Fl 4 Boston, MA 02111	N/A	PC	24/7 On-call service	10,000.
One Fund Boston, Inc. 18 Tremont St, Ste 330 Boston, MA 02108	N/A	PC	Boston Marathon bombing victims and their families	10,000.
Total				\$ <u>173,315.</u>

Humane Society of the Commonwealth of MA
Attachment to 2013 Form 990-PF

04-2104291

Investment Holdings On: 02/28/2014

Est. Annual Current

Equity															
Stock - Common															
Basic Materials															
Agnico Eagle Mines	AEM	1,250 000	\$58,534.52	\$32.12	\$40,150.00	0.75%	\$400.00	1.00%							
Du Pont E I De Nemours	DD	1,450 000	\$68,128.25	\$66.62	\$96,599.00	1.79%	\$2,610.00	2.70%							
Praxair Incorporated	PX	850,000	\$66,779.58	\$130.37	\$110,814.50	2.05%	\$2,210.00	1.99%							
	Basic Materials Total	3,550 000	\$193,442.35		\$247,563.50	4.59%	\$5,220.00	2.11%							
Consumer Discretionary															
Carmax Incorporated	KMX	2,150.000	\$47,291.21	\$48.43	\$104,124.50	1.92%	\$0.00	0.00%							
Consumer Staples															
CVS/Caremark Corporation	CVS	2,050 000	\$86,012.00	\$73.14	\$149,937.00	2.77%	\$2,255.00	1.50%							
Procter & Gamble Co	PG	1,150 000	\$82,547.07	\$78.66	\$90,459.00	1.67%	\$2,766.90	3.06%							
Walgreen Company	WAG	2,400 000	\$122,027.00	\$67.95	\$163,080.00	3.02%	\$3,024.00	1.85%							
	Consumer Staples Total	5,600.000	\$290,586.07		\$403,476.00	7.46%	\$8,045.90	1.99%							
Energy															
Core Laboratories NV	CLB	500,000	\$41,562.22	\$188.05	\$94,025.00	1.74%	\$1,000.00	1.06%							
Exxon Mobil Corp	XOM	1,200,000	\$42,816.72	\$96.27	\$115,524.00	2.15%	\$3,024.00	2.62%							
FMC Technologies	FTI	1,350 000	\$51,576.74	\$50.24	\$67,824.00	1.26%	\$0.00	0.00%							
Phillips 66	PSX	900 000	\$55,295.16	\$74.86	\$67,374.00	1.26%	\$1,404.00	2.08%							
Schlumberger Limited	SLB	1,500,000	\$113,088.84	\$93.00	\$139,500.00	2.58%	\$2,400.00	1.72%							
	Energy Total	5,450 000	\$304,339.68		\$484,247.00	8.99%	\$7,828.00	1.62%							
Financials															
Ace Limited	ACE	900,000	\$45,926.99	\$97.87	\$88,083.00	1.62%	\$2,268.00	2.57%							
Bank of Nova Scotia	BNS	1,250 000	\$68,136.73	\$57.23	\$71,537.50	1.33%	\$3,200.00	4.47%							

Humane Society of the Commonwealth of MA
Attachment to 2013 Form 990-PF

04-2104291

Account #:		Investment Holdings On: 02/28/2014							
		Ticker	Shares	Total Cost	Price	Market Value	% MV	Est Annual Income	Current Yield
Equity									
Stock - Common									
Financials									
CBRE Group Inc		CBG	2,500,000	\$55,802.22	\$27.95	\$69,875.00	1.30%	\$0.00	0.00%
Wells Fargo & Company		WFC	2,350,000	\$78,620.36	\$46.42	\$109,087.00	2.02%	\$2,820.00	2.59%
		Financials Total	7,000,000	\$248,486.30		\$338,582.50	6.27%	\$8,288.00	2.45%
Health Care									
Gilead Sciences Inc		GILD	1,800,000	\$39,656.70	\$82.79	\$149,022.00	2.75%	\$0.00	0.00%
Idexx Laboratories Inc		IDXX	850,000	\$69,183.22	\$125.90	\$107,015.00	1.98%	\$0.00	0.00%
Johnson & Johnson		JNJ	720,000	\$20,391.57	\$92.12	\$66,326.40	1.22%	\$1,900.80	2.87%
Medtronic Inc		MDT	1,640,000	\$75,717.37	\$59.26	\$97,186.40	1.80%	\$1,836.80	1.89%
Thermo Fisher Scientific Inc		TMO	1,000,000	\$33,753.51	\$124.54	\$124,540.00	2.30%	\$600.00	0.48%
		Health Care Total	6,010,000	\$238,702.37		\$544,089.80	10.05%	\$4,337.60	0.80%
Industrials									
3M Company		MMM	500,000	\$39,040.04	\$134.73	\$67,365.00	1.25%	\$1,710.00	2.54%
Clean Harbors Inc		CLH	2,400,000	\$106,903.48	\$47.26	\$113,424.00	2.09%	\$0.00	0.00%
Danaher Corporation		DHR	2,200,000	\$87,237.93	\$76.49	\$168,278.00	3.12%	\$880.00	0.52%
Stericycle Inc		SRCL	900,000	\$51,828.60	\$114.00	\$102,600.00	1.90%	\$0.00	0.00%
United Parcel Service Inc Cl B		UPS	850,000	\$55,633.40	\$95.77	\$81,404.50	1.52%	\$2,278.00	2.80%
		Industrials Total	6,850,000	\$340,643.45		\$533,071.50	9.88%	\$4,868.00	0.91%
Information Technology									
Akamai Technologies Inc		AKAM	1,350,000	\$34,723.89	\$61.13	\$82,525.50	1.53%	\$0.00	0.00%
Amphenol Corp Cl A		APH	1,000,000	\$39,616.10	\$88.02	\$88,020.00	1.63%	\$800.00	0.91%
Aspen Technology Inc		AZPN	1,200,000	\$14,959.20	\$46.94	\$56,328.00	1.04%	\$0.00	0.00%
Intel Corporation		INTC	5,000,000	\$123,928.00	\$24.76	\$123,800.00	2.29%	\$4,500.00	3.63%
Microsoft Corp		MSFT	3,200,000	\$89,267.58	\$38.31	\$122,592.00	2.28%	\$3,584.00	2.92%
Nuance Communications Inc		NUAN	4,300,000	\$80,982.32	\$15.29	\$65,747.00	1.21%	\$0.00	0.00%
Paychex Incorporated		PAYX	2,500,000	\$95,549.20	\$41.76	\$104,400.00	1.92%	\$3,500.00	3.35%
Qualcomm Inc		QCOM	1,800,000	\$97,417.92	\$75.29	\$135,522.00	2.50%	\$2,520.00	1.86%
Solera Holdings Inc		SLH	1,150,000	\$46,966.02	\$68.42	\$78,683.00	1.46%	\$782.00	0.99%
Visa Corporation		V	300,000	\$42,730.18	\$225.94	\$67,782.00	1.26%	\$480.00	0.71%
		Information Technology Total	21,800,000	\$666,140.41		\$925,399.50	17.12%	\$16,166.00	1.75%

Humane Society of the Commonwealth of MA
 Attachment to 2013 Form 990-PF

04-2104291

Account #:		Investment Holdings On: 02/28/2014							
		<u>Ticker</u>	<u>Shares</u>	<u>Total Cost</u>	<u>Price</u>	<u>Market Value</u>	<u>% MV</u>	<u>Est. Annual Income</u>	<u>Current Yield</u>
Equity									
Stock - Common									
Utilities									
Portland General Electric Co		POR	2,500.000	\$68,342.24	\$31.80	\$79,500.00	1.47%	\$2,750.00	3.46%
UGI Corporation		UGI	2,800.000	\$80,297.50	\$44.69	\$125,132.00	2.31%	\$3,164.00	2.53%
		Utilities Total	5,300.000	\$148,639.74		\$204,632.00	3.78%	\$5,914.00	2.89%
		Stock - Common Total	63,710.000	\$2,478,271.58		\$3,785,186.30	70.06%	\$60,667.50	1.60%
Stock - REIT									
American Tower Corp		AMT	1,000.000	\$77,415.36	\$81.47	\$81,470.00	1.50%	\$1,160.00	1.42%
HCP Incorporated		HCP	1,720.000	\$55,275.53	\$38.77	\$66,684.40	1.24%	\$3,749.60	5.62%
		Total	2,720.000	\$132,690.89		\$148,154.40	2.74%	\$4,909.60	3.31%
		Equity Total	66,430.000	\$2,610,962.47		\$3,933,340.70	72.80%	\$65,577.10	1.67%

Humane Society of the Commonwealth of MA
Attachment to 2013 Form 990-PF

04-2104291

Account #:		Investment Holdings On: 02/28/2014							
		Ticker	Shares	Total Cost	Price	Market Value	% MV	Est. Annual Income	Current Yield
International									
Mutual Funds (ETF/CEF) - International Equity									
EGShares Emerging Markets Consumer ETF		ECON	3,000.000	\$75,187.42	\$25.58	\$76,740.00	1.42%	\$588.91	0.77%
Ishares MSCI BRIC Index Fund		BKF	1,500.000	\$68,616.84	\$35.00	\$52,500.00	0.97%	\$1,357.65	2.59%
Wisdomtree Intl Midcap Div Fund		DIM	1,150.000	\$55,744.25	\$60.54	\$69,621.00	1.29%	\$2,108.18	3.03%
		Total	5,650.000	\$199,548.51		\$198,861.00	3.68%	\$4,054.74	2.04%
Mutual Funds - International Equity									
Harbor International Fund		HAINX	496.130	\$32,016.05	\$71.41	\$35,428.64	0.65%	\$741.86	2.09%
Harding Loevner Emerging Markets Fund		HLEMX	1,603.292	\$82,613.00	\$47.59	\$76,300.67	1.41%	\$645.45	0.85%
UMB Scout International Fund		UMBWX	4,026.238	\$120,558.00	\$36.81	\$148,205.82	2.74%	\$1,772.35	1.20%
		Total	6,125.660	\$235,187.05		\$259,935.13	4.80%	\$3,159.66	1.22%
Stock - ADR									
Roche Holdings ADR		RHHBY	1,200.000	\$29,233.60	\$38.52	\$46,219.20	0.85%	\$832.32	1.80%
		International Total	12,975.660	\$463,969.16		\$505,015.33	9.33%	\$8,046.72	1.59%

Humane Society of the Commonwealth of Massachusetts
Attachment to 2013 Form 990-PF

04-2104291

Part XV, Line 3a - Grants and Contributions Paid During the Year

<u>Name and Address</u>	<u>Amount</u>
Christopher Brown 205 Worcester Road Westminster, MA 01473	\$ 5,000
Michael McNally 46 Santuit-Newtown Road Marstons Mills, MA 02648	5,000
Ryan Moore 40 Lake Street Acushnet, MA 02743	5,000
Miguel Swenson 6 Monticello Drive Worcester, MA 01603	3,000
Giovante Swenson 6 Monticello Drive Worcester, MA 01603	3,000
Michael Ordyna 38 Pine Street Great Barrington, MA 01230	3,000
Robert J. Cummings, Jr 22 Dean Street South Easton, MA 02375	3,000
Michael Boyd 41 Baldwin Street North Easton, MA 02356	3,000
Laurie Rebello 70 Hannah Drive Somerset, MA 02726	3,000
Dixie Lee Patterson 8 Atwood Street Newburyport, MA 01950	1,000

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Part XV, Line 3a - Grants and Contributions Paid During the Year

<u>Name and Address</u>	<u>Amount</u>
Karen Raposa 98 Sherwood Lane Raynham, MA 02767	1,000
Joanne Rebello 195 Colonial Drive Somerset, MA 02726	1,000
Nicholas Viau 124 Melrose Street Melrose, MA 02176	1,000
Total Awards to Individuals FY 2013-2014	<u><u>\$ 37,000</u></u>