Form 990-PF | Department of the Treasury, Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052 | **2013** | Open to Public Inspection

For calendar year 2013 or tax year beginning FEB 1, 2013 , and ending JAN 31, 2014

Name of foundation: THE DOROTHY AND MARSHALL M. REISMAN FOUNDATION

A Employer identification number: 16-6353565

Number and street (or P O box number if mail is not delivered to street address): PO BOX 130 | Room/suite:

B Telephone number: (315)251-2900

City or town, state or province, country, and ZIP or foreign postal code: DEWITT, NY 13214

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 33,083,877.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

	Line		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc., received			N/A	
	2	Check ▶ [X] if the foundation is not required to attach Sch B				
	3	Interest on savings and temporary cash investments	5,172.	911.		STATEMENT 1
	4	Dividends and interest from securities	714,890.	714,890.		STATEMENT 2
	5a	Gross rents	51,056.	40,950.		STATEMENT 3
	b	Net rental income or (loss) 51,056.				
	6a	Net gain or (loss) from sale of assets not on line 10	696,941.			STATEMENT 4
	b	Gross sales price for all assets on line 6a 7,085,382.				
	7	Capital gain net income (from Part IV, line 2)		1,950,072.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss)				
	11	Other income	740.	740.		STATEMENT 5
	12	**Total.** Add lines 1 through 11	1,468,799.	2,707,563.		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	149,132.	49,710.		99,422.
	14	Other employee salaries and wages	6,604.	2,201.		4,403.
	15	Pension plans, employee benefits	16,543.	5,514.		11,029.
	16a	Legal fees STMT 6	8,439.	5,972.		2,467.
	b	Accounting fees STMT 7	10,461.	3,487.		6,974.
	c	Other professional fees STMT 8	184,073.	182,703.		1,370.
	17	Interest				
	18	Taxes STMT 9	440,625.	79,150.		79,691.
	19	Depreciation and depletion	10,564.	10,564.		
	20	Occupancy	25,060.	8,353.		16,707.
	21	Travel, conferences, and meetings	13,294.	4,431.		8,863.
	22	Printing and publications				
	23	Other expenses STMT 10	35,575.	23,727.		11,848.
	24	**Total operating and administrative expenses** Add lines 13 through 23	900,370.	375,812.		242,774.
	25	Contributions, gifts, grants paid	642,475.			642,475.
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,542,845.	375,812.		885,249.
	27	Subtract line 26 from line 12:				
	a	Excess of revenue over expenses and disbursements	<74,046.>			
	b	**Net investment income** (if negative, enter -0-)		2,331,751.		
	c	**Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	200.	197,598.	197,598.
	2	Savings and temporary cash investments	1,811,887.	1,542,432.	1,542,468.
	3	Accounts receivable ▶ 2,885.			
		Less: allowance for doubtful accounts ▶		2,885.	2,885.
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges		1,130.	1,130.
	10a	Investments - U.S. and state government obligations STMT 11	187,070.	95,020.	101,077.
	b	Investments - corporate stock STMT 12	27,894,614.	26,836,354.	30,000,478.
	c	Investments - corporate bonds			
	11	Investments - land, buildings, and equipment: basis ▶			
		Less accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other STMT 13	93,935.	93,935.	93,935.
	14	Land, buildings, and equipment: basis ▶ 1,154,870.			
		Less accumulated depreciation ▶ 10,564.	0.	1,144,306.	1,144,306.
	15	Other assets (describe ▶)			
	16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	29,987,706.	29,913,660.	33,083,877.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31			
	27	Capital stock, trust principal, or current funds	0.	0.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	29,987,706.	29,913,660.	
	30	**Total net assets or fund balances**	29,987,706.	29,913,660.	
	31	**Total liabilities and net assets/fund balances**	29,987,706.	29,913,660.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	29,987,706.
2	Enter amount from Part I, line 27a	2	<74,046.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	29,913,660.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	29,913,660.

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	INVESTMENTS - MISC ACCOUNTS - SEE ATTACHMENT	P	VARIOUS	VARIOUS
b	INVESTMENTS - MISC ACCOUNTS - SEE ATTACHMENT	P	VARIOUS	VARIOUS
c	CAPITAL GAIN DISTRIBUTIONS-SEE ATTACHMENT	P	VARIOUS	VARIOUS
d	WASH SALE	P	VARIOUS	VARIOUS
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	2,436,100.		2,341,519.	94,581.
b	2,479,116.		2,091,573.	387,543.
c	1,465,171.			1,465,171.
d	2,777.			2,777.
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				94,581.
b				387,543.
c				1,465,171.
d				2,777.
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 1,950,072.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	541,538.	30,011,273.	.018044
2011	3,811,675.	31,158,889.	.122330
2010	1,750,534.	31,824,512.	.055006
2009	1,257,373.	23,575,646.	.053334
2008	40,582.	773,185.	.052487

2	**Total** of line 1, column (d)	2	.301201
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.060240
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	32,176,061.
5	Multiply line 4 by line 3	5	1,938,286.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	23,318.
7	Add lines 5 and 6	7	1,961,604.
8	Enter qualifying distributions from Part XII, line 4	8	885,249.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	46,635.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3	Add lines 1 and 2		3	46,635.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-		5	46,635.
6	Credits/Payments:			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a 30,000.		
b	Exempt foreign organizations - tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d		7	30,000.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	16,635.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) STMT 14	11	X	
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ WWW.REISMANFOUNDATION.ORG

14 The books are in care of ▶ ROBERT FALTER Telephone no. ▶ (315)251-2900

Located at ▶ PO BOX 130, DEWITT, NY ZIP+4 ▶ 13214

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

		Line	Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		X
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ______ , ______ , ______ , ______			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______ , ______ , ______ , ______			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☒ Yes ☐ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		X
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53 4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT FALTER PO BOX 130 DEWITT, NY 13214	TRUSTEE 40.00	149,132.	0.	11,698.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
HIRTLE, CALLAGHAN & CO - FIVE TOWER BRIDGE, 300 BARR HARBOR DRIVE, STE 500, WEST CONS	INVESTMENT ADVISOR	156,756.
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	29,673,446.
b	Average of monthly cash balances	1b	1,619,476.
c	Fair market value of all other assets	1c	1,373,130.
d	**Total** (add lines 1a, b, and c)	1d	32,666,052.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	32,666,052.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	489,991.
5	**Net value of noncharitable-use assets** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	32,176,061.
6	**Minimum investment return** Enter 5% of line 5	6	1,608,803.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	1,608,803.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	46,635.		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	1,316.		
c	Add lines 2a and 2b			2c	47,951.
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	1,560,852.
4	Recoveries of amounts treated as qualifying distributions			4	0.
5	Add lines 3 and 4			5	1,560,852.
6	Deduction from distributable amount (see instructions)			6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	1,560,852.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	885,249.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	885,249.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	885,249.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				1,560,852.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011 1,897,160.				
e From 2012				
f Total of lines 3a through e	1,897,160.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 885,249.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				885,249.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	675,603.			675,603.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	1,221,557.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	1,221,557.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011 1,221,557.				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon. a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

SEE STATEMENT 15

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient: Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT A			PROGRAM SUPPORT	642,475.
Total			▶ 3a	642,475.
b *Approved for future payment*				
NONE				
Total			▶ 3b	0.

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments	900099	80.	14	5,092.	
4 Dividends and interest from securities			14	714,890.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property	531120	10,106.	16	40,950.	
6 Net rental income or (loss) from personal property					
7 Other investment income	900099		14	740.	
8 Gain or (loss) from sales of assets other than inventory	900099	<1,253,131.>	18	1,950,072.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		<1,242,945.>		2,711,744.	0.

13 Total Add line 12, columns (b), (d), and (e) 13 1,468,799.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
3	INTEREST INCOME EARNED IS USED TO DISTRIBUTE GRANTS AND CONTRIBUTIONS
3	THROUGHOUT THE YEAR AND TO PAY ADMINISTRATIVE EXPENSES.
4	DIVIDEND INCOME EARNED IS USED TO DISTRIBUTE GRANTS AND CONTRIBUTIONS
4	THROUGHOUT THE YEAR AND TO PAY ADMINISTRATIVE EXPENSES.
5B	RENTAL INCOME PASSED THROUGH FROM SMLLC'S, BUCKLEY CLAY, LLC AND
5B	BROADWAY RENSSELAER, LLC AND S CORP INVESTMENT.
7	OTHER INVESTMENT INCOME EARNED IS USED TO DISTRIBUTE GRANTS AND
7	CONTRIBUTIONS THROUGHOUT THE YEAR AND TO PAY ADMINISTRATIVE EXPENSES.
8	OTHER INVESTMENT INCOME EARNED IS USED TO DISTRIBUTE GRANTS AND
8	CONTRIBUTIONS THROUGHOUT THE YEAR AND TO PAY ADMINISTRATIVE EXPENSES.

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 6-3-14 Title: Trustee

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JOSEPH L. CHARLES	JOSEPH L. CHARLES	5/30/14		P00301340

Firm's name ▶ FUST CHARLES CHAMBERS LLP — Firm's EIN ▶ 16-1226221

Firm's address ▶ 5784 WIDEWATERS PARKWAY SYRACUSE, NY 13214 — Phone no 315-446-3600

990PF, PART XV, LINE 3 - GRANTS & CONTRIBUTIONS
PAID DURING THE YEAR OR APPROVED FOR FUTURE PAYMENT

The Dorothy and Marshall M. Reisman Foundation

FY 2/1/2013 - 1/31/2014

EIN: 16-6353565

DONEE and ADDRESS	STATUS OF RECIPIENT	FUND/EVENT PURPOSE	AMOUNT
Council on Foundations PO Box 75661 Baltimore, MD 21275	509(a)(1)	Grant - Operating Support	$880.00
Everson Museum of Art 401 Harrison Street Syracuse, NY 13202	509(a)(1)	Grant - American Moderns Exhibit	$10,000.00
Syracuse Opera Company, Inc. PO Box 1223 Syracuse, NY 13201	509(a)(1)	Grant - Marriage of Figaro	$4,000.00
Catholic Charities 1654 W. Onondaga Street Syracuse, NY 13204	509(a)(1)	Grant- New Americans Opportun. Centr	$6,630.00
Huntington Family Centers, Inc. 405 Gifford Street Syracuse, NY 13204	509(a)(1)	Grant - Website Development	$6,335.00
F.O.C.U.S. Greater Syracuse 201 E. Washington Street City Hall Commons Syracuse, NY 13202	509(a)(1)	Grant- Wisdom Keepers	$1,000.00
Grantmakers Forum of New York 75 College Avenue, Ste 311 Rochester, NY 14607	509(a)(1)	Grant - Nonprofit Finance Fund Workshop	$1,000.00
CNY Community Foundation 431 East Fayette Street, Ste 100 Syracuse, NY 13202	509(a)(1)	Grant - Strategic Partnerships Fund	$25,000.00
The Foundation at Menorah Park Hodes Way 4101 East Genesee Street Syracuse, NY 13214	509(a)(2)	Grant- Shining Star Event	$1,000.00
AIDS Community Resources 627 West Genesee Street Syracuse, NY 13204	509(a)(1)	Grant - The Q Center	$7,500.00
Jewish Federation of CNY 5655 Thompson Road	509(a)(1)	Annual Campaign	$2,500.00

DeWitt, NY 13214

On Point for College 1654 West Onondaga Street Syracuse, NY 13204	509(a)(1)	Support Onon Co residents	$7,500.00
Friends of The Rosamond Gifford Zoo One Conservation Place Syracuse, NY 13204	509(a)(1)	Grant-Future Planning	$10,000.00
The MOST 500 South Franklin Street Syracuse, NY 13202	509(a)(1)	Grant - Robots	$15,000.00
United Way of Central New York 518 James Street Syracuse, NY 13220	509(a)(1)	Grant - Literacy Coalition of Onondaga Co.	$13,000.00
Grantmakers Forum of New York 75 College Avenue Rochester, NY 14607	509(a)(1)	Grant - Operating Support	$4,600.00
Syracuse Stage 820 East Genesee Street Syracuse, NY 13210	509(a)(2)	Grant - Program Page	$450.00
Food Bank of Central New York 7066 Interstate Island Road Syracuse, NY 13209	509(a)(1)	Donation - T. Slater Fund	$1,000.00
Jewish Community Center 5655 Thompson Road Syracuse, NY 13214	509(a)(2)	Grant - 150th Celebration	$2,000.00
St. Joseph's Hospital Foundation 973 James Street, Ste 250 Syracuse, NY 13202	509(a)(1)	Grant - Capital Campaign	$50,000.00
ProLiteracy 1320 Jamesville Road Syracuse, NY 13210	509(a)(1)	Grant - Adult literacy educat.	$25,000.00
Boys and Girls Clubs of Syracuse 677 South Salina Street Syracuse, NY 13202	509(a)(1)	Grant - 2013 Summer Programming	$20,000.00
Everson Museum of Art 401 Harrison Street Syracuse, NY 13202	509(a)(1)	Grant - Operating Expenses	$100,000.00
Crouse Health Foundation 736 Irving Avenue	509(a)(1)	Grant - Beds & audience	$22,500.00

Syracuse, NY 13210		response system	
Le Moyne College 1419 Salt Springs Road Syracuse, NY 13214	509(a)(1)	Grant - Market Wall	$42,500.00
Association of Small Foundations 1720 North Street NW Washington, DC 20036	509(a)(2)	Grant - Operating Support	$750.00
ENABLE 1603 Court Street Syracuse, NY 13208	509(a)(1)	Grant - Flooring Renovation	$6,000.00
American Red Cross of Central NY 220 Herald Place Syracuse, NY 13202	509(a)(1)	Grant - Tech and cost defrayment	$5,000.00
Red House Arts Center 201 S. West Street Syracuse, NY 13202	509(a)(1)	Grant - Theater Experience Program	$13,800.00
Maxwell School of Citizenship Syracuse University 200 Eggers Hall Syracuse, NY 13244-1020	509(a)(1)	Grant - Philanthropy and You	$5,000.00
Syracuse University PAL Project Warehouse 350 W. Fayette Street, Ste 001 Syracuse, NY 13202	509(a)(1)	Grant - Cameras	$2,400.00
Jewish Community Center 5655 Thompson Road Dewitt, NY 13214	509(a)(2)	Grant - Toddler Playground	$6,000.00
Grantmakers Forum of NY 75 College Avenue, Ste 311 Rochester, NY 14607	509(a)(1)	Grant - 2013 NYS Funders Conference	$2,000.00
Syracuse University University College 700 University Avenue Syracuse, NY 13244	509(a)(1)	Grant - Students to Hispanic Youth Institute	$2,000.00
Symphoria PO Box 1161 Syracuse, NY 13201	509(a)(2)	Grant - Music Wellness Program	$5,000.00
Syracuse Children's Chorus The Skyline - Suite 7 753 James Street	509(a)(2)	Grant - SCC City Satellite Initiative support	$5,000.00

Syracuse, NY 13203			
Onondaga Historical Association 321 Montgomery Street Syracuse, NY 13202	509(a)(1)	Grant - Exhibit in Syracuse Stage lobby	$3,000.00
Syracuse City Ballet 415 Breakspear Road Syracuse, NY 13219	509(a)(1)	Grant - Repair and/or replace Nutcracker props	$3,250.00
WRVO 7060 State Route 104 Oswego, NY 13126	509(a)(2)	Grant- Cornerstone Challenge	$3,000.00
Syracuse Stage 820 East Genesee Street Syracuse, NY 13210	509(a)(2)	Grant - Play Sponsorship and Founders Circle	$75,000.00
The Salvation Army 677 South Salina Street Syracuse, NY 13202	509(a)(1)	Grant - General Support	$3,000.00
Central New York Community Foundation 431 East Fayette Street, Ste 100 Syracuse, NY 13202	509(a)(1)	Grant - Final Say Yes Reisman Scholarship Endowment	$75,000.00
ARC Of Onondaga 600 South Wilbur Avenue Syracuse, NY 13204	509(a)(1)	Grant - Ceramic Sculpture Art Surge Project	$2,000.00
Boys and Girls Clubs of Syracuse The Salvation Army 677 South Salina Street Syracuse, NY 13202	509(a)(1)	Grant - General Support	$10,000.00
Literacy Coalition of Onondaga County/United Way PO Box 2129 Syracuse, NY 13220	509(a)(1)	Grant - Stories of the Season	$1,000.00
Onondaga Community College Foundation Mulroy Hall, R 100 4585 West Seneca Turnpike Syracuse, NY 13215	509(a)(1)	Grant - Medical Interpretor Scholarships	$5,000.00
Culinary Institute of America 1946 Campus Drive Hyde Park, NY 12538	509(a)(1)	Grant - Reisman Scholarships	$15,000.00
Food Bank of Central New York 7066 Interstate Island Road Syracuse, NY 13209	509(a)(1)	Grant - General Support	$4,000.00

Vera House 6181 Thompson Road, Ste 100 Syracuse, NY 13206	509(a)(1)	Grant - General Support	$5,000.00
Rescue Mission 155 Gifford Street Syracuse, NY 13202	509(a)(1)	Grant - General Support	$3,000.00
Council on Foundations PO Box 75661 Baltimore, MD 21275	509(a)(1)	Grant - Operating Support	$880.00
Samaritan Center 310 Montgomery Street Syracuse, NY 13202	509(a)(1)	Grant - General Support	$1,000.00
Assumption Food Pantry and Soup Kitchen 812 North Salina Street Syracuse, NY 13208	509(a)(1)	Grant - General Support	$1,000.00
			$642,475.00

A-01

THE DOROTHY AND MARSHALL M. REISMAN FOUNDATION
EIN· 16-6353565
INVESTMENTS & CAPITAL GAIN DISTRIBUTIONS - MISC ACCOUNTS - PART IV - LINE 1A, 1B & 1C

Long Term Gains/Losses

Trade Date	CUSIP	Security Description	Quantity	Proceeds	Cost	Gain/Loss	Purchase Date
03/25/13	40415H842	CapGuardian | Artisan | Causeway | Lazard | SSgA | SSgA INST	4771 835	52728 77	49913 39	$ 2,815 38	03/18/11
03/25/13	40415H826	Frontier | Ironbridge | Pzena | SSgA | SSgA | Cupps INSTL	1651 905	26397 44	18088 36	$ 8,309 08	08/22/11
03/25/13	40415H842	CapGuardian | Artisan | Causeway | Lazard | SSgA | SSgA INST	6132 1689	67760 47	59849 97	$ 7,910 50	03/19/10
03/25/13	40415H842	CapGuardian | Artisan | Causeway | Lazard | SSgA | SSgA INST	2670 657	29510 76	26332 68	$ 3,178 08	08/05/11
03/25/13	40415H792	Inst Cap | AB | SSgA INSTL	4941 5068	68983 44	62460 65	$ 6,522 79	03/18/11
03/25/13	40415H792	Inst Cap | AB | SSgA INSTL	5962 6621	83238 76	75368 05	$ 7,870 71	03/18/11
03/25/13	40415H826	Frontier | Ironbridge | Pzena | SSgA | SSgA | Cupps INSTL	103 216	1649 39	1269 56	$ 379 83	12/29/11
03/25/13	40415H826	Frontier | Ironbridge | Pzena | SSgA | SSgA | Cupps INSTL	66 259	1058 82	880 58	$ 178 24	06/15/11
03/25/13	40415H826	Frontier | Ironbridge | Pzena | SSgA | SSgA | Cupps INSTL	3415 6179	54581 58	45598 5	$ 8,983 08	03/18/11
03/25/13	40415H826	Frontier | Ironbridge | Pzena | SSgA | SSgA | Cupps INSTL	14 935	238 66	175 79	$ 62 87	09/14/11
05/03/13	40415H735	Wellington	749 486	4041	7292 5	$ (3,251 50)	12/29/11
05/03/13	40415H735	Wellington	20998 957	113220 08	199280 1	$ (86,060 02)	12/16/11
05/03/13	40415H735	Wellington	3924 9109	21161 94	37247 41	$ (16,085 47)	12/16/11
05/07/13	40415H842	CapGuardian | Artisan | Causeway | Lazard | SSgA | SSgA INST	663 458	7716 02	6475 35	$ 1,240 67	03/19/10
05/07/13	40415H842	CapGuardian | Artisan | Causeway | Lazard | SSgA | SSgA INST	16533 447	192283 98	158390 42	$ 33,893 56	12/17/09
05/15/13	40415H792	Inst Cap | AB | SSgA INSTL	807 53	12048 35	9496 56	$ 2,551 79	12/29/11
05/15/13	40415H792	Inst Cap | AB | SSgA INSTL	7356 418	109757 76	84966 63	$ 24,791 13	08/15/11
06/11/13	40415H792	Inst Cap | AB | SSgA INSTL	8688 7891	128159 64	98357 09	$ 29,802 55	01/06/10
06/11/13	40415H792	Inst Cap | AB | SSgA INSTL	6504 77	95945 36	75000	$ 20,945 36	08/05/11
06/11/13	40415H792	Inst Cap | AB | SSgA INSTL	580 461	8561 79	6704 32	$ 1,857 47	08/15/11
06/11/13	40415H792	Inst Cap | AB | SSgA INSTL	4902 8882	72317 6	56138 07	$ 16,179 53	12/16/11
06/26/13	40415H792	Inst Cap | AB | SSgA INSTL	1638 712	23826 87	18238 86	$ 5,588 01	09/14/11
06/26/13	40415H792	Inst Cap | AB | SSgA INSTL	16048 945	233351 66	181674 05	$ 51,677 61	01/06/10
06/26/13	40415H826	Frontier | Ironbridge | Pzena | SSgA | SSgA | Cupps INSTL	116 929	1967 92	1235.94	$ 731 98	02/02/10
06/26/13	40415H792	Inst Cap | AB | SSgA INSTL	1032.085	15006 52	11466 46	$ 3,540 06	06/16/10
06/26/13	40415H826	Frontier | Ironbridge | Pzena | SSgA | SSgA | Cupps INSTL	174 579	2938 16	1911 64	$ 1,026 52	08/22/11
06/26/13	40415H826	Frontier | Ironbridge | Pzena | SSgA | SSgA | Cupps INSTL	2677 5471	45063 12	28355 22	$ 16,707 90	01/25/10
06/26/13	40415H792	Inst Cap | AB | SSgA INSTL	902 337	13119 98	10006 92	$ 3,113 06	09/15/10
06/26/13	40415H792	Inst Cap | AB | SSgA INSTL	192 583	2800 16	2137 67	$ 662 49	12/30/09
06/26/13	40415H792	Inst Cap | AB | SSgA INSTL	12945 816	188232 17	142403 98	$ 45,828 19	02/02/10
09/13/13	40415H826	Frontier | Ironbridge | Pzena | SSgA | SSgA | Cupps INSTL	4613 4399	85348 65	48764 06	$ 36,584 59	02/02/10
09/13/13	40415H826	Frontier | Ironbridge | Pzena | SSgA | SSgA | Cupps INSTL	1853 256	34285 24	19459 19	$ 14,826 05	01/26/10
09/13/13	40415H792	Inst Cap | AB | SSgA INSTL	12054 184	184067.39	132596 02	$ 51,471 37	02/02/10
09/13/13	40415H792	Inst Cap | AB | SSgA INSTL	11323 34	172907 37	123537 62	$ 49,369 75	12/17/09
10/01/13	342816UA1	Florida State Muni Power Agency Revnue Bond	100000 00	100000 00	92050 00	$ 7,950 00	01/01/00
12/31/13	922031737	Vanguard - TIPS	162 823	4147 1	4684 41	$ (537 31)	06/29/12
12/31/13	922031737	Vanguard - TIPS	693 813	17671 42	19794 49	$ (2,123 07)	12/20/12
12/31/13	922031737	Vanguard - TIPS	321.586	8190 79	9390 3	$ (1,199 51)	09/28/12
12/31/13	40415H842	CG | Artisan | Causeway | Lazard | Cadence | Mel | Mel INST	13278 344	161464 66	127206 54	$ 34,258 12	12/17/09
12/31/13	922031737	Vanguard - TIPS	127 742	3253 59	3644 47	$ (390 88)	12/20/12
12/31/13	922031737	Vanguard - TIPS	1182 238	30111 6	33729 24	$ (3,617 64)	12/20/12
				$ 2,479,115.98	$ 2,091,573.06	$ 387,542.92	

THE DOROTHY AND MARSHALL M REISMAN FOUNDATION
EIN· 16-6353565
INVESTMENTS & CAPITAL GAIN DISTRIBUTIONS - MISC ACCOUNTS - PART IV - LINE 1A, 1B & 1C

Short Term Gains/Losses

Trade Date	CUSIP	Security Description	Quantity	Proceeds	Cost	Gain/Loss	Purchase Date
03/25/13	40415H792	Inst Cap | AB | SSgA INSTL	1565 9139	21860 15	21781 87	$ 78 28	03/14/13
03/25/13	40415H867	Jennison | SG Advisers | SSgA | PIMCO INSTL	703 122	10279.64	10300 74	$ (21 10)	03/14/13
03/25/13	40415H826	Frontier | Ironbridge | Pzena | SSgA | SSgA | Cupps INSTL	27 401	437 87	437 05	$ 0 82	03/14/13
03/25/13	40415H792	Inst Cap | AB | SSgA INSTL	65 734	917 65	826 93	$ 90 72	12/14/12
03/25/13	40415H867	Jennison | SG Advisers | SSgA | PIMCO INSTL	9556 7959	139720 36	129876 86	$ 9,843 50	12/14/12
03/25/13	40415H826	Frontier | Ironbridge | Pzena | SSgA | SSgA | Cupps INSTL	33 678	538 17	436 46	$ 101 71	06/15/12
03/25/13	40415H826	Frontier | Ironbridge | Pzena | SSgA | SSgA | Cupps INSTL	256 923	4105 63	3630 33	$ 475 30	12/28/12
03/25/13	40415H826	Frontier | Ironbridge | Pzena | SSgA | SSgA | Cupps INSTL	62 105	992 44	898 66	$ 93 78	09/14/12
05/03/13	40415H735	Wellington	299 604	1615 37	2408 82	$ (793 45)	12/14/12
05/03/13	40415H735	Wellington	474.759	2559 76	3869 28	$ (1,309 52)	12/28/12
05/03/13	40415H735	Wellington	4 823	26 01	56 04	$ (30 03)	09/14/12
05/03/13	40415H735	Wellington	9661 4912	52091 86	77678 39	$ (25,586 53)	12/14/12
05/07/13	40415H867	Jennison | SG Advisers | SSgA | PIMCO INSTL	3926 7019	60000	53363 88	$ 6,636 12	12/14/12
05/07/13	40415H792	Inst Cap | AB | SSgA INSTL	5552 7588	81236 87	69853 71	$ 11,383 16	12/14/12
05/07/13	40415H792	Inst Cap | AB | SSgA INSTL	2991 3279	43763 13	37630 91	$ 6,132 22	12/14/12
05/09/13	40415H735	Wellington	1544 113	8322 77	8322 77	$ -	05/02/13
05/09/13	40415H735	Wellington	22113 647	119192 55	119192 55	$ -	05/02/13
05/15/13	40415H792	Inst Cap | AB | SSgA INSTL	2173 1499	32423 4	26599 36	$ 5,824 04	06/15/12
05/15/13	40415H792	Inst Cap | AB | SSgA INSTL	3028 2839	45182	37853 55	$ 7,328 45	12/28/12
05/15/13	40415H792	Inst Cap | AB | SSgA INSTL	6942 9292	103588 49	87342 04	$ 16,246 45	12/14/12
06/26/13	40415H867	Jennison | SG Advisers | SSgA | PIMCO INSTL	13882 657	208239 86	188665 31	$ 19,574 55	12/14/12
06/26/13	40415H826	Frontier | Ironbridge | Pzena | SSgA | SSgA | Cupps INSTL	1 83	30 8	31 33	$ (0 53)	06/14/13
06/26/13	40415H867	Jennison | SG Advisers | SSgA | PIMCO INSTL	1117 343	16760 14	17106 53	$ (346 39)	06/14/13
06/26/13	40415H792	Inst Cap | AB | SSgA INSTL	1627 417	23662 64	24069 5	$ (406 86)	06/14/13
09/13/13	40415H826	Frontier | Ironbridge | Pzena | SSgA | SSgA | Cupps INSTL	19 79	366 11	364 94	$ 1 17	09/13/13
09/13/13	40415H792	Inst Cap | AB | SSgA INSTL	1180 4351	18025 24	17966 22	$ 59 02	09/13/13
09/13/13	40415H842	CapG | Artisan | Causeway | Lazard | SSgA | SSgA | SSgA INST	25295 109	300000	288111 3	$ 11,888.70	06/11/13
12/31/13	922031737	Vanguard - TIPS	16 478	419 69	467 32	$ (47 63)	03/28/13
12/31/13	40415H826	Frontier | Ironbridge | Pzena | Mel | Mel | Cupps INSTL	3254 905	54063 98	51948 28	$ 2,115 70	12/13/13
12/31/13	40415H867	Jennison | SG Advisers | Mellon | PIMCO INSTL	1041 816	16564 88	16564 88	$ -	09/13/13
12/31/13	922031737	Vanguard - TIPS	227 949	5805 86	6464 64	$ (658.78)	03/28/13
12/31/13	40415H826	Frontier | Ironbridge | Pzena | Mel | Mel | Cupps INSTL	56 353	936 02	932 64	$ 3 38	12/30/13
12/31/13	40415H842	CG | Artisan | Causeway | Lazard | Cadence | Mel | Mel INST	1481 395	18013 76	16873 09	$ 1,140 67	06/11/13
12/31/13	40415H842	CG | Artisan | Causeway | Lazard | Cadence | Mel | Mel INST	12502 739	152033 31	139905 65	$ 12,127 66	06/14/13
12/31/13	40415H842	CG | Artisan | Causeway | Lazard | Cadence | Mel | Mel INST	19726 547	239874 81	231786 93	$ 8,087 88	12/13/13
12/31/13	40415H867	Jennison | SG Advisers | Mellon | PIMCO INSTL	994 121	15806 52	15746 88	$ 59 64	12/30/13
12/31/13	40415H867	Jennison | SG Advisers | Mellon | PIMCO INSTL	3926 3491	62428 95	60230 2	$ 2,198 75	12/13/13
12/31/13	40415H842	CG | Artisan | Causeway | Lazard | Cadence | Mel | Mel INST	7327 5562	89103 08	86098 79	$ 3,004 29	12/13/13
12/31/13	40415H792	Inst Cap | AB | Cadence INSTL	1451 302	20231 15	20158 58	$ 72 57	12/30/13
12/31/13	922031737	Vanguard - TIPS	38 449	979 3	1090 42	$ (111 12)	03/28/13
12/31/13	40415H842	CG | Artisan | Causeway | Lazard | Cadence | Mel | Mel INST	7361 0508	89510 38	89068 72	$ 441 66	12/30/13
12/31/13	922031737	Vanguard - TIPS	3707 1321	94420 65	104763 55	$ (10,342 90)	01/30/13
12/31/13	40415H867	Jennison | SG Advisers | Mellon | PIMCO INSTL	9760 9844	155199 65	149733 5	$ 5,466 15	12/13/13
12/31/13	40415H792	Inst Cap | AB | Cadence INSTL	8950 4199	124768 85	121009 68	$ 3,759 17	12/13/13
				$ 2,436,099.75	**$ 2,341,519.08**	**$ 94,580.67**	

CAPITAL GAIN DISTRIBUTIONS **$ 1,465,171.00**

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
INTEREST INCOME - BROADWAY RENSSELAER, LLC	63.	63.	
INTEREST INCOME - BUCKLEY CLAY, LLC	70.	70.	
OTHER INTEREST	778.	778.	
SUPA K-1	80.	0.	
TAX EXEMPT INTEREST	4,181.	0.	
TOTAL TO PART I, LINE 3	5,172.	911.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND INCOME-DEUTSCHE	714,890.	0.	714,890.	714,890.	
TO PART I, LINE 4	714,890.	0.	714,890.	714,890.	

FORM 990-PF RENTAL INCOME STATEMENT 3

KIND AND LOCATION OF PROPERTY	ACTIVITY NUMBER	GROSS RENTAL INCOME
SUPA K-1	1	10,106.
BUCKLEY CLAY, LLC	2	24,000.
BROADWAY RENSSELAER, LLC	3	16,950.
TOTAL TO FORM 990-PF, PART I, LINE 5A		51,056.

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 4

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESTMENTS - MISC ACCOUNTS - SEE ATTACHMENT		PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,436,100.	2,341,519.	0.	0.	94,581.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
INVESTMENTS - MISC ACCOUNTS - SEE ATTACHMENT		PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,479,116.	2,091,573.	0.	0.	387,543.

(A) DESCRIPTION OF PROPERTY		MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
CAPITAL GAIN DISTRIBUTIONS-SEE ATTACHMENT		PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
1,465,171.	0.	0.	0.	1,465,171.

(A) DESCRIPTION OF PROPERTY				MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
WASH SALE				PURCHASED	VARIOUS	VARIOUS

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
2,777.	0.	0.	0.	2,777.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SECTION 1231 GAIN - SUPA INC	PURCHASED	07/01/09	07/31/13

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
702,218.	0.	0.	0.	702,218.

(A) DESCRIPTION OF PROPERTY	MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
DISPOSITION OF INTEREST IN SUPA, INC.	PURCHASED	07/01/09	07/31/13

(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS
0.	1,955,349.	0.	0.	<1,955,349.>

NET GAIN OR LOSS FROM SALE OF ASSETS	696,941.
CAPITAL GAINS DIVIDENDS FROM PART IV	0.
TOTAL TO FORM 990-PF, PART I, LINE 6A	696,941.

FORM 990-PF — OTHER INCOME — STATEMENT 5

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MISCELLANEOUS INCOME	740.	740.	
TOTAL TO FORM 990-PF, PART I, LINE 11	740.	740.	

FORM 990-PF — LEGAL FEES — STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	8,439.	5,972.		2,467.
TO FM 990-PF, PG 1, LN 16A	8,439.	5,972.		2,467.

FORM 990-PF — ACCOUNTING FEES — STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	10,461.	3,487.		6,974.
TO FORM 990-PF, PG 1, LN 16B	10,461.	3,487.		6,974.

FORM 990-PF — OTHER PROFESSIONAL FEES — STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PROFESSIONAL FEES - OTHER	182,108.	182,048.		60.
PAYROLL FEES	1,965.	655.		1,310.
TO FORM 990-PF, PG 1, LN 16C	184,073.	182,703.		1,370.

FORM 990-PF TAXES STATEMENT 9

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAX	17,377.	0.		0.
NYS FILING FEE	750.	0.		0.
PAYROLL TAXES	8,507.	2,836.		5,671.
REAL ESTATE TAXES	39,304.	39,304.		0.
UNRELATED BUSINESS TAXES - FEDERAL	263,657.	0.		0.
UNRELATED BUSINESS TAXES - NEW YORK	111,030.	37,010.		74,020.
TO FORM 990-PF, PG 1, LN 18	440,625.	79,150.		79,691.

FORM 990-PF OTHER EXPENSES STATEMENT 10

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OTHER EXPENSE	10,155.	3,726.		6,429.
INSURANCE	19,906.	16,396.		3,510.
UTILITIES	1,673.	1,673.		0.
DUES & OTHER OUTSIDE SERVICES	2,854.	951.		1,903.
REPAIRS AND MAINTENANCE	978.	978.		0.
ADVERTISING	9.	3.		6.
TO FORM 990-PF, PG 1, LN 23	35,575.	23,727.		11,848.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 11

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
MUNICIPALS		X	95,020.	101,077.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			95,020.	101,077.
TOTAL TO FORM 990-PF, PART II, LINE 10A			95,020.	101,077.

FORM 990-PF CORPORATE STOCK STATEMENT 12

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
COMMON STOCKS	26,836,354.	30,000,478.
TOTAL TO FORM 990-PF, PART II, LINE 10B	26,836,354.	30,000,478.

FORM 990-PF OTHER INVESTMENTS STATEMENT 13

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ARTWORK	FMV	75,600.	75,600.
COIN COLLECTION	FMV	18,335.	18,335.
TOTAL TO FORM 990-PF, PART II, LINE 13		93,935.	93,935.

FORM 990-PF LIST OF CONTROLLED ENTITIES STATEMENT 14
PART VII-A, LINE 11

NAME OF CONTROLLED ENTITY EMPLOYER ID NO

S.U.P.A., INC. 16-0906994

ADDRESS EXCESS BUSINESS HOLDING [ ] YES [X] NO

P.O. BOX 130
DEWITT, NY 13214

NAME OF CONTROLLED ENTITY EMPLOYER ID NO

BUCKLEY CLAY, LLC

ADDRESS EXCESS BUSINESS HOLDING [ ] YES [X] NO

P.O. BOX 130
DEWITT, NY 13214

NAME OF CONTROLLED ENTITY EMPLOYER ID NO

BROADWAY RENSSELAER, LLC

ADDRESS EXCESS BUSINESS HOLDING [ ] YES [X] NO

P.O. BOX 130
DEWITT, NY 13214

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION STATEMENT 15
PART XV, LINES 2A THROUGH 2D

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ROBERT FALTER
PO BOX 130
DEWITT, NY 13214

TELEPHONE NUMBER

315-251-2900

FORM AND CONTENT OF APPLICATIONS

APPLICATIONS SHOULD BE SUBMITTED IN LETTER FORMAT. INFORMATION INCLUDED SHOULD ENCOMPASS BACKGROUND INFORMATION ON REQUESTING ORGANIZATION AND PRINCIPALS INVOLVED, PURPOSE, AMOUNT OF FUNDS REQUESTED, CURRENT FINANCIAL STATEMENTS AND PROOF OF TAX EXEMPT STATUS.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE