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Form **990-PF**

Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year **2013** or tax year beginning **2/1/2013**, and ending **1/31/2014**

Name of foundation
THE ANTZ FOUNDATION

Number and street (or P O box number if mail is not delivered to street address) Room/suite
P O BOX 1501, NJ2-130-03-31

City or town State ZIP code
PENNINGTON NJ 08534-1501

Foreign country name Foreign province/state/county Foreign postal code

A Employer identification number
13-3536523

B Telephone number (see instructions)
609-274-6834

G Check all that apply Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

C If exemption application is pending, check here

D 1. Foreign organizations, check here
2. Foreign organizations meeting the 85% test, check here and attach computation

H Check type of organization Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here

I Fair market value of all assets at end of year (from Part II, col (c), line 16) **\$ 522,923**

J Accounting method Cash Accrual
 Other (specify) _____
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	40,843	40,843		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	272,373			
	b Gross sales price for all assets on line 6a	5,076,002			
	7 Capital gain net income (from Part IV, line 2)		272,373		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	313,216	313,216	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	16,937	10,162		6,775
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	5,156	349		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	771	521		250
	24 Total operating and administrative expenses. Add lines 13 through 23	22,864	11,032	0	7,025
	25 Contributions, gifts, grants paid	7,255,993			7,255,993
26 Total expenses and disbursements. Add lines 24 and 25	7,278,857	11,032	0	7,263,018	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	-6,965,641				
b Net investment income (if negative, enter -0-)		302,184			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2013)

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)			
		Beginning of year	End of year		
		(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash—non-interest-bearing	3	10,451	10,451
	2	Savings and temporary cash investments	431,314	88,598	88,598
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments—mortgage loans				
13	Investments—other (attach schedule)	6,364,070	1,732,115	423,874	
14	Land, buildings, and equipment basis ▶				
	Less accumulated depreciation (attach schedule) ▶				
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	6,795,387	1,831,164	522,923	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons		2,000,000	
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)	0	2,000,000		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>				
	and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>				
	and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	6,795,387	1,831,164	
28	Paid-in or capital surplus, or land, bldg, and equipment fund				
29	Retained earnings, accumulated income, endowment, or other funds		-2,000,000		
30	Total net assets or fund balances (see instructions)	6,795,387	-168,836		
31	Total liabilities and net assets/fund balances (see instructions)	6,795,387	1,831,164		

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,795,387
2	Enter amount from Part I, line 27a	2	-6,965,641
3	Other increases not included in line 2 (itemize) ▶ See Attached Statement	3	2,003,318
4	Add lines 1, 2, and 3	4	1,833,064
5	Decreases not included in line 2 (itemize) ▶ PY GRANT CHECK CLEARED IN CURRENT YEAR	5	1,900
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	1,831,164

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Attached Statement			
b	See Attached Statement			
c	See Attached Statement			
d	See Attached Statement			
e	See Attached Statement			
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a			0	
b			0	
c			0	
d			0	
e			0	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				0
b				0
c				0
d				0
e				0
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	272,373	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	0	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,298,629	5,695,235	0.228020
2011	1,314,803	7,019,669	0.187303
2010	1,255,518	8,084,363	0.155302
2009	5,502,182	11,467,610	0.479802
2008	3,626,162	20,122,904	0.180201
2	Total of line 1, column (d)	2	1.230628
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.246126
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	3,306,769
5	Multiply line 4 by line 3	5	813,882
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	3,022
7	Add lines 5 and 6	7	816,904
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	7,263,018

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	3,022	
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0	
3	Add lines 1 and 2	3	3,022	
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	3,022	
6	Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	3,317	
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d	7	3,317	
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	0	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	295	
11	Enter the amount of line 10 to be Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11	295	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
1c Did the foundation file Form 1120-POL for this year?		X
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation <input type="checkbox"/> \$ _____ (2) On foundation managers <input type="checkbox"/> \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
4b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY		
8b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ MLTC, A DIVISION OF BANK OF AMERICA, N A Telephone no ▶ 609-274-6834 Located at ▶ 1300 MERRILL LYNCH DRIVE PENNINGTON NJ ZIP+4 ▶ 08534-1501			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	N/A
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **5b** N/A

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** Yes No

If "Yes," attach the statement required by Regulations section 53.4945–5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **7b** N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MR JOHN THAIN 350 PURCHASE STREET RYE, NY 10580	CO-TRUSTEE 1 00			
MRS CARMEN M THAIN 350 PURCHASE STREET RYE, NY 10580	CO-TRUSTEE 1 00			

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 **0**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
.....		
.....		
.....		
.....		
.....		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES THE PRIMARY PURPOSE IS TO SUPPORT BY CONTRIBUTORS, OTHER ORGANIZATIONS EXEMPT UNDER INTERNAL REVENUE CODE SECTION 501(C)(3).	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments. See instructions	
3 NONE	

Total. Add lines 1 through 3 ▶ 0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	2,943,764
b	Average of monthly cash balances	1b	413,362
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	3,357,126
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,357,126
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	50,357
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	3,306,769
6	Minimum investment return. Enter 5% of line 5	6	165,338

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	165,338
2a	Tax on investment income for 2013 from Part VI, line 5	2a	3,022
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	3,022
3	Distributable amount before adjustments Subtract line 2c from line 1	3	162,316
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	162,316
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	162,316

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	7,263,018
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	7,263,018
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	3,022
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	7,259,996

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				162,316
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0	
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008	1,733,451			
b From 2009	4,930,577			
c From 2010	854,946			
d From 2011	967,459			
e From 2012	1,020,501			
f Total of lines 3a through e	9,506,934			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 7,263,018				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				162,316
e Remaining amount distributed out of corpus	7,100,702			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	16,607,636			
b Prior years' undistributed income Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	1,733,451			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	14,874,185			
10 Analysis of line 9				
a Excess from 2009	4,930,577			
b Excess from 2010	854,946			
c Excess from 2011	967,459			
d Excess from 2012	1,020,501			
e Excess from 2013	7,100,702			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					0
b 85% of line 2a					0
c Qualifying distributions from Part XII, line 4 for each year listed					0
d Amounts included in line 2c not used directly for active conduct of exempt activities					0
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					0
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					0
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					0
(3) Largest amount of support from an exempt organization					0
(4) Gross investment income					0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<p>a <i>Paid during the year</i> See Attached Statement</p>				
<p>Total</p>			▶ 3a	7,255,993
<p>b <i>Approved for future payment</i> NONE</p>				
<p>Total</p>			▶ 3b	0

THE ANTZ FOUNDATION

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

LET'S GET READY

Street

50 BROADWAY, 25TH FLOOR

City

NEW YORK

State

NY

Zip Code

10004

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

30,000

Name

FRENCH AMERICAN FOUNDATION

Street

28 WEST 44TH STREET, SUITE 1420

City

NEW YORK

State

NY

Zip Code

10036

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

10,000

Name

MASSACHUSETTS INSTITUTE OF TECHNOLOGY

Street

77 MASSACHUSETTS AVENUE

City

CAMBRIDGE

State

MA

Zip Code

02139

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

2,100,000

Name

HENRY STREET SETTLEMENT

Street

265 HENRY STREET

City

NEW YORK

State

NY

Zip Code

10002

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

3,750

Name

NEW YORK PRESBYTERIAN FUND INC

Street

525 E 68TH ST BOX 156

City

NEW YORK

State

NY

Zip Code

10065

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

1,600

Name

NEW YORK BOTANICAL GARDEN

Street

2900 SOUTHERN BOULEVARD

City

BRONX

State

NY

Zip Code

10458

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

4,957,143

THE ANTZ FOUNDATION

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Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

HARRISON EMERGENCY MEDICAL SERVICES

Street

2520 CHERRY AVENUE

City

BREMERTON

State

WA

Zip Code

98310

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPREATING

Amount

500

Name

RYE VOLUNTEER FIREFIGHTERS, INC

Street

15 LOCUST AVE

City

RYE

State

NY

Zip Code

10580

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

1,000

Name

ST REGIS FOUNDATION

Street

11 FLOWER AVE

City

MADISON

State

CT

Zip Code

06443

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

1,000

Name

LINCOLN CENTER FOR THE PERFORMING ARTS

Street

10 LINCOLN CENTER PLZ

City

NEW YORK

State

NY

Zip Code

10023

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

50,000

Name

BRIGHTON ALLSTON CONGREGATIONAL CHURCH

Street

404 WASHINGTON STREET

City

BRIGHTON

State

MA

Zip Code

02135

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

1,000

Name

UNITED STATES HOLOCAUST MEMORIAL COUNCIL

Street

100 RAOUL WALLENBERG PLACE, SW

City

WASHINGTON

State

DC

Zip Code

20024

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL OPERATING

Amount

100,000

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Totals			Net Gain or Loss
											Capital Gains/Losses	Cost Other Basis and Expenses	Net Gain or Loss	
								9,239				272,373		
								Amount				0		
								Long Term CG Distributions				5,076,002		
								Short Term CG Distributions				0		
1	X	VANGUARD FTSE ALL WORLD	922042775		12/28/2012		6/17/2013	46	44				2	
2	X	VANGUARD FTSE ALL WORLD	922042775		6/17/2012		6/17/2013	93	75				18	
3	X	VANGUARD FTSE ALL WORLD	922042775		5/8/2012		6/17/2013	6,765	6,099				666	
4	X	VANGUARD FTSE ALL WORLD	922042775		3/12/2012		6/17/2013	22,152	21,075				1,077	
5	X	VANGUARD FTSE ALL WORLD	922042775		7/30/2012		6/17/2013	9,871	8,811				1,060	
6	X	VANGUARD FTSE ALL WORLD	922042775		3/6/2012		6/17/2013	46	43				3	
7	X	VANGUARD FTSE ALL WORLD	922042775		12/28/2012		6/17/2013	1,112	1,082				30	
8	X	VANGUARD FTSE ALL WORLD	922042775		4/4/2012		6/17/2013	5,839	5,449				390	
9	X	VANGUARD FTSE ALL WORLD	922042775		4/12/2013		6/17/2013	556	554				2	
10	X	VANGUARD FTSE ALL WORLD	922042775		3/6/2012		6/17/2013	37,678	34,817				2,861	
11	X	UAM FPA CRESCENT PORT	302547759		7/31/2013		10/23/2013	5,390	5,352				38	
12	X	UAM FPA CRESCENT PORT	302547759		7/31/2013		10/23/2013	1	1				0	
13	X	FIDELITY ADVISOR NEW	316071604		12/28/2012		6/17/2013	3	3				0	
14	X	FIDELITY ADVISOR NEW	316071604		12/28/2012		6/17/2013	133,345	115,565				17,780	
15	X	FIDELITY ADVISOR NEW	316071604		12/28/2012		6/25/2013	71,785	64,103				7,682	
16	X	FIDELITY ADVISOR NEW	316071604		12/28/2012		6/25/2013	72,550	64,103				8,445	
17	X	FIDELITY ADVISOR NEW	316071604		12/28/2012		6/25/2013	7	6				1	
18	X	FIDELITY ADVISOR NEW	316071604		7/31/2013		10/23/2013	5,501	5,087				414	
19	X	FIDELITY ADVISOR NEW	316071604		7/31/2013		10/23/2013	12	11				1	
20	X	FIRST EAGLE	32008F606		3/12/2012		6/17/2013	4	4				0	
21	X	FIRST EAGLE	32008F606		3/12/2012		6/17/2013	12,225	11,470				755	
22	X	FIRST EAGLE	32008F606		3/6/2012		6/17/2013	104,768	97,974				6,794	
23	X	FIRST EAGLE	32008F606		3/6/2012		6/17/2013	16,450	14,747				1,703	
24	X	FIRST EAGLE	32008F606		3/6/2012		6/17/2013	19	18				1	
25	X	FIRST EAGLE	32008F606		3/6/2012		6/17/2013	52	49				3	
26	X	FIRST EAGLE	32008F606		3/12/2012		6/25/2013	61,210	59,645				1,565	
27	X	FIRST EAGLE	32008F606		4/4/2012		6/25/2013	16,032	15,505				527	
28	X	FIRST EAGLE	32008F606		3/28/2012		6/25/2013	13,176	12,950				226	
29	X	FIRST EAGLE	32008F606		7/30/2012		6/25/2013	10,471	9,994				477	
30	X	FIRST EAGLE	32008F606		3/12/2012		6/25/2013	46	45				1	
31	X	FIRST EAGLE	32008F606		3/12/2012		6/25/2013	1,202	1,171				31	
32	X	NEUBERGER BERMAN	64122Q705		7/30/2012		6/25/2013	10,850	8,685				2,165	
33	X	NEUBERGER BERMAN	64122Q705		7/31/2013		8/29/2013	6	7				-1	
34	X	NEUBERGER BERMAN	64122Q705		7/31/2013		8/29/2013	9,314	9,450				-136	
35	X	NUVEEN SANTA BARBARA	67065W639		4/1/2013		6/17/2013	1,184	1,172				12	
36	X	NUVEEN SANTA BARBARA	67065W639		12/28/2012		6/17/2013	131,736	116,357				15,379	
37	X	NUVEEN SANTA BARBARA	67065W639		4/1/2013		6/17/2013	19	18				1	
38	X	VANGUARD FTSE ALL WORLD	922042775		10/1/2012		6/17/2013	1,968	1,563				105	
39	X	VANGUARD FTSE ALL WORLD	922042775		3/6/2012		6/17/2013	46	38				8	
40	X	FIRST EAGLE	32008F606		3/28/2012		6/25/2013	50	49				1	
41	X	FIRST EAGLE	32008F606		5/8/2012		6/25/2013	20,291	19,148				1,143	
42	X	FIRST EAGLE	32008F606		7/31/2013		10/23/2013	17	15				2	
43	X	FIRST EAGLE	32008F606		7/31/2013		10/23/2013	37	35				2	
44	X	FIRST EAGLE	32008F606		7/31/2013		10/23/2013	5,453	5,228				225	
45	X	IVY ASSET STRATEGY	466001864		3/6/2012		6/17/2013	12,737	11,419				1,318	
46	X	IVY ASSET STRATEGY	466001864		3/6/2012		6/17/2013	1	1				0	
47	X	IVY ASSET STRATEGY	466001864		3/6/2012		6/17/2013	117,688	105,472				12,216	
48	X	IVY ASSET STRATEGY	466001864		3/12/2012		6/17/2013	2,331	2,140				191	
49	X	METROPOLITAN WEST TOTAL	592905509		5/21/2013		6/17/2013	539	542				-3	
50	X	METROPOLITAN WEST TOTAL	592905509		5/29/2013		6/17/2013	485	491				-6	
51	X	METROPOLITAN WEST TOTAL	592905509		4/30/2013		6/17/2013	646	661				-15	
52	X	METROPOLITAN WEST TOTAL	592905509		2/28/2013		6/17/2013	485	491				-6	
53	X	METROPOLITAN WEST TOTAL	592905509		1/31/2013		6/17/2013	11	11				0	
54	X	METROPOLITAN WEST TOTAL	592905509		3/12/2012		6/17/2013	51,103	50,059				1,044	
55	X	VANGUARD FTSE ALL WORLD	922042775		3/28/2012		6/25/2013	15,481	15,539				-58	
56	X	VANGUARD FTSE ALL WORLD	922042775		3/28/2012		6/17/2013	5,098	4,840				258	
57	X	VANGUARD FTSE ALL WORLD	922042775		12/28/2012		6/17/2013	9,779	9,508				271	
58	X	VANGUARD FTSE ALL WORLD	922042775		3/6/2012		6/17/2013	5,098	4,550				548	
59	X	VANGUARD FTSE ALL WORLD	922042775		12/28/2012		6/25/2013	90,345	93,770				-3,425	
60	X	VANGUARD FTSE ALL WORLD	922042775		4/17/2013		6/25/2013	43	46				-3	
61	X	VANGUARD MSCI EMERGING	922042858		7/31/2013		10/1/2013	9,770	9,457				313	
62	X	VANGUARD MSCI EMERGING	922042858		9/30/2013		10/1/2013	81	80				1	
63	X	VANGUARD MSCI EMERGING	922042858		9/30/2013		10/1/2013	3	3				0	
64	X	NUVEEN SANTA BARBARA	67065W639		12/28/2012		6/17/2013	2	2				0	
65	X	NUVEEN SANTA BARBARA	67065W639		12/28/2012		6/25/2013	69,652	63,709				5,943	
66	X	NUVEEN SANTA BARBARA	67065W639		12/28/2012		6/25/2013	70,365	63,683				6,682	
67	X	NUVEEN SANTA BARBARA	67065W639		12/28/2012		6/25/2013	28	25				3	
68	X	VANGUARD MSCI EMERGING	922042858		3/12/2012		6/17/2013	23,462	25,757				-2,295	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	CJISIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Capital Gains/Losses		Net Gain or Loss
											Gross Sales	Other sales	
	Long Term CG Distributions	9 239									5 076 002	4 803 629	272 373
	Short Term CG Distributions										0	0	0
69	X VANGUARD MSCI EMERGING	922042858			3/28/2012		6/25/2013	8,397	9,760				-1,363
70	X VANGUARD MSCI EMERGING	922042858			6/1/2012		6/25/2013	1,517	1,523				-6
71	X VANGUARD MSCI EMERGING	922042858			3/12/2012		6/25/2013	8,138	9,637				-1,499
72	X VANGUARD MSCI EMERGING	922042858			5/8/2012		6/25/2013	10,061	11,180				-1,119
73	X VANGUARD MSCI EMERGING	922042858			4/4/2012		6/25/2013	6,288	7,296				-1,008
74	X VANGUARD MSCI EMERGING	922042858			4/1/2013		6/25/2013	9	10				-1
75	X NUVEEN SANTA BARBARA	67065W639			7/31/2013		10/23/2013	4	4				0
76	X NUVEEN SANTA BARBARA	67065W639			10/1/2013		10/23/2013	76	91				-15
77	X NUVEEN SANTA BARBARA	67065W639			7/31/2013		10/23/2013	5,415	5,255				160
78	X NUVEEN SANTA BARBARA	67065W639			10/1/2013		10/23/2013	14	17				-3
79	X NUVEEN SANTA BARBARA	67065W639			7/31/2013		11/7/2014	19,638	18,331				1,307
80	X NUVEEN SANTA BARBARA	67065W639			10/1/2013		11/7/2014	33	31				2
81	X NUVEEN SANTA BARBARA	67065W639			12/31/2013		6/17/2013	66	66				0
82	X PIMCO ALL ASSET ALL	72201M438			12/28/2012		6/25/2013	775	819			45	0
83	X PIMCO ALL ASSET ALL	72201M438			12/31/2012		6/25/2013	47,322	52,622				-5,300
84	X PIMCO ALL ASSET ALL	72201M438			12/31/2012		6/25/2013	737	791				-54
85	X PIMCO ALL ASSET ALL	72201M438			3/21/2013		6/26/2013	10	11				-1
86	X PIMCO ALL ASSET ALL	72201M438			6/20/2013		6/26/2013	5	5				0
87	X PIMCO ALL ASSET ALL	72201M438			12/31/2012		6/26/2013	12	12				-2
88	X PIMCO ALL ASSET ALL	72201M438			12/28/2012		6/26/2013	48,181	53,418				-5,237
89	X PIMCO ALL ASSET ALL	72201M438			9/19/2013		10/1/2013	182	186				-4
90	X VANGUARD FTSE ALL WORLD	922042775			4/1/2013		6/26/2013	17	18				-1
91	X VANGUARD FTSE ALL WORLD	922042775			9/30/2013		10/23/2013	50	49				1
92	X VANGUARD FTSE ALL WORLD	922042775			7/31/2013		10/23/2013	4,381	4,050				331
93	X VANGUARD FTSE ALL WORLD	922042775			12/30/2013		11/7/2014	100	101				-1
94	X VANGUARD FTSE ALL WORLD	922042775			7/31/2013		11/7/2014	50	47				3
95	X VANGUARD MSCI EMERGING	922042858			3/6/2012		6/17/2014	5,587	6,018				-431
96	X VANGUARD MSCI EMERGING	922042858			4/1/2013		6/17/2013	29,327	31,376				-2,049
97	X IVY ASSET STRATEGY	466001864			3/12/2012		6/25/2013	62,004	59,572				2,432
98	X IVY ASSET STRATEGY	466001864			3/28/2012		6/26/2013	12,869	12,382				487
99	X IVY ASSET STRATEGY	466001864			4/4/2012		6/26/2013	15,539	14,610				929
100	X IVY ASSET STRATEGY	466001864			3/12/2012		6/26/2013	4,112	3,568				544
101	X IVY ASSET STRATEGY	466001864			5/8/2012		6/26/2013	9,122	9,558				-437
102	X IVY ASSET STRATEGY	466001864			3/28/2012		6/26/2013	27	25				2
103	X IVY ASSET STRATEGY	466001864			3/6/2012		6/26/2013	17	16				1
104	X IVY ASSET STRATEGY	466001864			5/8/2012		6/26/2013	20,292	18,863				1,429
105	X IVY ASSET STRATEGY	466001864			7/31/2013		10/23/2013	17	15				2
106	X IVY ASSET STRATEGY	466001864			1/31/2013		6/26/2013	6	6				0
107	X IVY ASSET STRATEGY	466001864			5/8/2012		6/26/2013	6	6				0
108	X MAINSTAY HIGH YIELD	56062X708			9/27/2013		10/23/2013	1	1				0
109	X MAINSTAY HIGH YIELD	56062X708			7/31/2013		10/23/2013	12	11				1
110	X MAINSTAY HIGH YIELD	56062X708			9/27/2013		6/26/2013	6	6				0
111	X MAINSTAY HIGH YIELD	56062X708			7/31/2013		10/23/2013	4,191	4,149				42
112	X MAINSTAY HIGH YIELD	56062X708			8/30/2013		10/23/2013	116	114				2
113	X MAINSTAY HIGH YIELD	56062X708			10/31/2013		11/7/2014	84	85				-1
114	X MAINSTAY HIGH YIELD	56062X708			10/31/2013		11/7/2014	14,911	14,762				149
115	X MAINSTAY HIGH YIELD	56062X708			7/31/2013		10/1/2013	23,683	23,634				49
116	X PIMCO ALL ASSET ALL	72201M438			9/19/2013		10/17/2013	4	4				0
117	X PIMCO ALL ASSET ALL	72201M438			9/19/2013		10/17/2013	10	10				0
118	X PIMCO ALL ASSET ALL	72201M438			10/1/2013		10/17/2013	10	10				0
119	X POWERSHARES BUYBACK ACH	73937B779			10/1/2013		6/17/2013	2,751	2,687				64
120	X POWERSHARES BUYBACK ACH	73937B779			3/1/2013		6/17/2013	438	415				23
121	X POWERSHARES EXCHANGE	73937B779			3/6/2012		6/17/2013	31	26				5
122	X POWERSHARES EXCHANGE	73937B779			9/10/2012		6/17/2013	407	372				35
123	X POWERSHARES EXCHANGE	73937B779			2/1/2013		6/17/2013	469	437				32
124	X POWERSHARES EXCHANGE	73937B779			12/16/2013		11/7/2014	6	6				0
125	X MAINSTAY HIGH YIELD	56062X708			12/16/2013		11/7/2014	3	3				0
126	X MAINSTAY HIGH YIELD	56062X708			12/16/2013		11/7/2014	84	85				-1
127	X MAINSTAY HIGH YIELD	56062X708			11/29/2013		11/7/2014	84	85				-1
128	X MAINSTAY HIGH YIELD	56062X708			3/12/2012		6/17/2013	17	14				3
129	X MAINSTAY HIGH YIELD	56062X708			3/12/2012		6/17/2013	19,061	16,398				2,723
130	X MAINSTAY MARKETFIELD	56064B852			3/12/2012		6/17/2013	5	4				1
131	X MAINSTAY MARKETFIELD	56064B852			3/6/2012		6/17/2013	113,736	95,223				18,513
132	X MAINSTAY MARKETFIELD	56064B852			3/28/2012		6/25/2013	1,701	1,507				194
133	X MAINSTAY MARKETFIELD	56064B852			3/28/2012		6/25/2013	17	15				2

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "x" to include in Part IV	Description	CUSIP #	Purchaser	Check "x" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals		Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
								Gross Sales	Cost, Other Basis and Expenses					
Capital Gains/Losses														
Other sales														
Amount 9,239														
Long Term CG Distributions														
Short Term CG Distributions														
137	X	MAINSTAY MARKETFIELD	56064B852		3/12/2012		6/25/2013	63,719	34,841					8,876
138	X	MAINSTAY MARKETFIELD	56064B852		6/1/2012		6/26/2013	16,385	14,203					2,182
139	X	OPPENHEIMER DEVELOPING	683974505		7/31/2013		10/23/2013	14	13					1
140	X	OPPENHEIMER DEVELOPING	683974505		7/31/2013		10/23/2013	5	4					1
141	X	OPPENHEIMER DEVELOPING	683974505		7/31/2013		10/23/2013	2,172	1,979					193
142	X	PIMCO ALL ASSET ALL	72201M438		12/28/2012		6/17/2013	11	11					0
143	X	PIMCO ALL ASSET ALL	72201M438		3/21/2013		6/17/2013	1	1					0
144	X	PIMCO ALL ASSET ALL	72201M438		3/21/2013		6/17/2013	1,908	1,967					-59
145	X	PIMCO ALL ASSET ALL	72201M438		12/28/2012		6/17/2013	2	2					0
146	X	PIMCO ALL ASSET ALL	72201M438		12/28/2012		6/17/2013	129,454	136,895					-7,441
147	X	MAINSTAY MARKETFIELD	56064B852		4/4/2012		6/26/2013	18,192	15,844					2,348
148	X	MAINSTAY MARKETFIELD	56064B852		7/30/2012		6/26/2013	8,747	7,730					1,017
149	X	MAINSTAY MARKETFIELD	56064B852		5/8/2012		6/26/2013	17	14					3
150	X	MAINSTAY MARKETFIELD	56064B852		3/6/2012		6/26/2013	5	5					0
151	X	MAINSTAY MARKETFIELD	56064B852		7/30/2012		6/26/2013	11,287	9,989					1,298
152	X	MAINSTAY MARKETFIELD	56064B852		7/30/2012		6/26/2013	10,929	9,678					1,251
153	X	MAINSTAY MARKETFIELD	56064B852		7/31/2013		10/23/2013	5,487	5,268					219
154	X	MAINSTAY MARKETFIELD	56064B852		7/31/2013		10/23/2013	9	8					1
155	X	METROPOLITAN WEST TOTAL	592905509		1/31/2013		6/17/2013	463	468					-5
156	X	METROPOLITAN WEST TOTAL	592905509		5/31/2013		6/17/2013	4	4					0
157	X	METROPOLITAN WEST TOTAL	592905509		3/6/2012		6/17/2013	51,986	50,973					1,013
158	X	METROPOLITAN WEST TOTAL	592905509		12/31/2013		1/17/2014	9	9					0
159	X	NUVEEN SANTA BARBARA	67065W639		12/16/2013		1/17/2014	33	31					2
160	X	NUVEEN SANTA BARBARA	67065W639		12/16/2013		1/17/2014	65	64					1
161	X	NUVEEN SANTA BARBARA	67065W639		12/16/2013		1/17/2014	33	32					1
162	X	NUVEEN SANTA BARBARA	67065W639		12/31/2013		1/17/2014	33	32					1
163	X	OPPENHEIMER DEVELOPING	683974505		12/28/2012		6/17/2013	29	30					-1
164	X	OPPENHEIMER DEVELOPING	683974505		12/28/2012		6/17/2013	3	3					0
165	X	OPPENHEIMER DEVELOPING	683974505		12/28/2012		6/17/2013	53,115	53,963					-448
166	X	OPPENHEIMER DEVELOPING	683974505		12/28/2012		6/25/2013	20,460	21,958					-1,498
167	X	OPPENHEIMER DEVELOPING	683974505		12/28/2012		6/26/2013	20,803	21,958					-1,155
168	X	OPPENHEIMER DEVELOPING	683974505		12/28/2012		6/26/2013	3	3					0
169	X	POWERSHARES EXCHANGE	73937B779		4/1/2013		6/17/2013	438	434					4
170	X	POWERSHARES EXCHANGE	73937B779		3/6/2012		6/17/2013	31	26					5
171	X	POWERSHARES EXCHANGE	73937B779		5/1/2013		6/17/2013	407	417					1
172	X	POWERSHARES EXCHANGE	73937B779		3/6/2012		6/17/2013	59,110	49,222					9,888
173	X	POWERSHARES EXCHANGE	73937B779		5/8/2012		6/25/2013	11,471	10,011					1,460
174	X	POWERSHARES EXCHANGE	73937B779		8/7/2012		6/25/2013	31	29					2
175	X	POWERSHARES EXCHANGE	73937B779		5/1/2013		6/25/2013	31	32					-1
176	X	POWERSHARES EXCHANGE	73937B779		6/1/2012		6/25/2013	551	485					66
177	X	POWERSHARES EXCHANGE	73937B779		7/30/2012		6/25/2013	16,060	14,813					1,247
178	X	POWERSHARES EXCHANGE	73937B779		6/1/2012		6/25/2013	12,266	10,494					1,772
179	X	POWERSHARES EXCHANGE	73937B779		8/1/2012		6/25/2013	31	27					4
180	X	POWERSHARES EXCHANGE	73937B779		11/1/2012		6/25/2013	31	28					3
181	X	POWERSHARES EXCHANGE	73937B779		8/7/2012		6/25/2013	31	27					4
182	X	POWERSHARES EXCHANGE	73937B779		8/7/2012		6/17/2013	469	436					33
183	X	POWERSHARES EXCHANGE	73937B779		3/12/2012		6/17/2013	30,322	25,756					4,566
184	X	POWERSHARES EXCHANGE	73937B779		5/1/2013		6/17/2013	31	0					31
185	X	POWERSHARES EXCHANGE	73937B779		5/1/2013		6/17/2013	421	417					4
186	X	POWERSHARES EXCHANGE	73937B779		7/31/2013		10/23/2013	3,240	3,257					-17
187	X	POWERSHARES EXCHANGE	73937B779		7/31/2013		10/23/2013	32	33					-1
188	X	POWERSHARES EXCHANGE	73937B779		7/31/2013		10/23/2013	32	33					-1
189	X	POWERSHARES EXCHANGE	73937B779		5/7/2013		10/23/2013	32	32					0
190	X	POWERSHARES EXCHANGE	73937B779		7/31/2013		10/23/2013	32	33					-1
191	X	POWERSHARES EXCHANGE	73937B779		10/1/2013		10/23/2013	32	31					1
192	X	POWERSHARES EXCHANGE	73937B779		9/3/2013		10/23/2013	32	31					1
193	X	POWERSHARES EXCHANGE	73937B779		5/1/2013		11/7/2014	33	32					1
194	X	POWERSHARES EXCHANGE	73937B779		12/2/2013		11/7/2014	33	33					0
195	X	POWERSHARES EXCHANGE	73937B779		1/3/2014		11/7/2014	33	33					0
196	X	SPDR GOLD TRUST	78463V107		3/12/2012		3/12/2013	17	18					-1
197	X	SPDR GOLD TRUST	78463V107		7/30/2012		3/12/2013	4	4					0
198	X	POWERSHARES EXCHANGE	73937B779		4/4/2012		6/25/2013	10,798	9,455					1,343
199	X	POWERSHARES EXCHANGE	73937B779		3/28/2012		6/25/2013	31	28					3
200	X	POWERSHARES EXCHANGE	73937B779		3/28/2012		6/25/2013	14,408	12,515					1,893
201	X	POWERSHARES EXCHANGE	73937B779		5/1/2012		6/25/2013	394	394					0
202	X	POWERSHARES EXCHANGE	73937B779		3/12/2012		6/25/2013	36,310	31,950					4,360
203	X	POWERSHARES EXCHANGE	73937B779		3/1/2013		6/25/2013	31	29					2
204	X	POWERSHARES EXCHANGE	73937B779		6/3/2013		6/26/2013	22	22					0

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Gross Sales	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
205	X	SPDR GOLD TRUST	78463V107		3/6/2012		3/12/2013	5	5					0
206	X	SPDR GOLD TRUST	78463V107		3/12/2012		3/12/2013	6	6					0
207	X	SPDR GOLD TRUST	78463V107		3/12/2012		4/9/2013	31	33					0
208	X	SPDR GOLD TRUST	78463V107		3/12/2012		4/9/2013	6	7					-1
209	X	SPDR GOLD TRUST	78463V107		3/28/2012		4/9/2013	7	7					0
210	X	SPDR GOLD TRUST	78463V107		5/8/2012		4/9/2013	5	5					0
211	X	SPDR GOLD TRUST	78463V107		3/12/2012		4/9/2013	17	19					-2
212	X	SPDR GOLD TRUST	78463V107		4/4/2012		4/9/2013	7	7					0
213	X	SPDR GOLD TRUST	78463V107		3/6/2012		4/9/2013	5	5					0
214	X	SPDR GOLD TRUST	78463V107		7/30/2012		4/9/2013	4	4					0
215	X	SPDR GOLD TRUST	78463V107		3/12/2012		5/10/2013	18	21					-3
216	X	SPDR GOLD TRUST	78463V107		7/30/2012		5/10/2013	4	5					-1
217	X	SPDR GOLD TRUST	78463V107		3/12/2012		5/10/2013	6	8					-2
218	X	SPDR GOLD TRUST	78463V107		5/8/2012		5/10/2013	5	6					-1
219	X	SPDR GOLD TRUST	78463V107		3/6/2012		5/10/2013	32	38					-6
220	X	SPDR GOLD TRUST	78463V107		4/4/2012		5/10/2013	7	8					-1
221	X	SPDR GOLD TRUST	78463V107		7/30/2012		6/17/2013	4	4					0
222	X	SPDR GOLD TRUST	78463V107		3/6/2012		6/17/2013	12,034	15,185					-3,151
223	X	SPDR GOLD TRUST	78463V107		3/12/2012		6/17/2013	77,020	93,088					-16,068
224	X	SPDR GOLD TRUST	78463V107		3/12/2012		6/17/2013	16	19					-3
225	X	SPDR GOLD TRUST	78463V107		3/12/2012		6/17/2013	43,190	53,148					-9,958
226	X	SPDR GOLD TRUST	78463V107		3/28/2012		6/17/2013	6	8					-2
227	X	SPDR GOLD TRUST	78463V107		3/6/2012		6/17/2013	4	5					-1
228	X	SPDR GOLD TRUST	78463V107		5/8/2012		6/17/2013	4	5					-1
229	X	SPDR GOLD TRUST	78463V107		3/12/2012		6/17/2013	6	7					-1
230	X	SPDR GOLD TRUST	78463V107		3/6/2012		6/17/2013	28	34					-6
231	X	SPDR GOLD TRUST	78463V107		7/30/2012		6/25/2013	9,497	12,078					-2,581
232	X	SPDR GOLD TRUST	78463V107		3/12/2012		6/25/2013	13,937	18,594					-4,657
233	X	SPDR GOLD TRUST	78463V107		4/4/2012		6/25/2013	15,170	19,256					-4,086
234	X	SPDR GOLD TRUST	78463V107		5/8/2012		6/25/2013	11,100	13,991					-2,891
235	X	SPDR GOLD TRUST	78463V107		3/28/2012		6/25/2013	15,910	20,694					-4,784
236	X	SPDR GOLD TRUST	78463V107		7/31/2013		8/15/2013	6	6					0
237	X	SPDR GOLD TRUST	78463V107		7/31/2013		8/15/2013	2	2					0
238	X	SPDR GOLD TRUST	78463V107		3/6/2012		5/10/2013	5	6					-1
239	X	SPDR GOLD TRUST	78463V107		3/28/2012		5/10/2013	7	8					-1
240	X	SPDR GOLD TRUST	78463V107		4/4/2012		6/17/2013	6	7					-1
241	X	SPDR GOLD TRUST	78463V107		7/31/2013		9/19/2013	6	6					0
242	X	SPDR GOLD TRUST	78463V107		7/31/2013		10/14/2013	2	2					0
243	X	SPDR GOLD TRUST	78463V107		7/31/2013		10/14/2013	2	2					0
244	X	SPDR GOLD TRUST	78463V107		7/31/2013		10/14/2013	7	7					0
245	X	SPDR GOLD TRUST	78463V107		7/31/2013		10/23/2013	5,595	5,468					37
246	X	SPDR GOLD TRUST	78463V107		7/31/2013		11/3/2013	6	6					0
247	X	SPDR GOLD TRUST	78463V107		7/31/2013		12/17/2013	6	6					0
248	X	SPDR GOLD TRUST	78463V107		7/31/2013		1/17/2014	17,015	18,015					-1,000
249	X	TEMPLETON GLBL BOND FD	880208400		2/20/2012		6/17/2013	13	13					0
250	X	TEMPLETON GLBL BOND FD	880208400		3/6/2012		6/17/2013	588	618					-20
251	X	TEMPLETON GLBL BOND FD	880208400		3/6/2012		6/17/2013	58,470	58,869					-399
252	X	TEMPLETON GLBL BOND FD	880208400		3/12/2012		6/17/2013	13	13					0
253	X	TEMPLETON GLBL BOND FD	880208400		3/12/2012		6/17/2013	3	3					0
254	X	TEMPLETON GLBL BOND FD	880208400		3/6/2012		6/17/2013	625	630					-5
255	X	TEMPLETON GLBL BOND FD	880208400		5/17/2013		6/17/2013	598	622					-24
256	X	TEMPLETON GLBL BOND FD	880208400		5/17/2013		6/17/2013	598	628					-30
257	X	TEMPLETON GLBL BOND FD	880208400		3/12/2012		6/17/2013	13	13					0
258	X	TEMPLETON GLBL BOND FD	880208400		3/12/2012		6/17/2013	27,873	28,234					-361
259	X	TEMPLETON GLBL BOND FD	880208400		1/17/2013		6/17/2013	598	617					-19
260	X	TEMPLETON GLBL BOND FD	880208400		3/19/2013		6/17/2013	611	632					-21
261	X	TEMPLETON GLBL BOND FD	880208400		3/19/2013		6/17/2013	15,155	14,828					327
262	X	TEMPLETON GLBL BOND FD	880208400		3/28/2012		6/25/2013	13	13					0
263	X	TEMPLETON GLBL BOND FD	880208400		3/28/2012		6/25/2013	13	13					0
264	X	TEMPLETON GLBL BOND FD	880208400		3/28/2012		6/25/2013	630	630					0
265	X	TEMPLETON GLBL BOND FD	880208400		3/12/2012		6/25/2013	42,988	44,470					-1,482
266	X	TEMPLETON GLBL BOND FD	880208400		3/28/2012		6/25/2013	459	471					-12
267	X	TEMPLETON GLBL BOND FD	880208400		4/4/2012		6/26/2013	13,166	13,506					-340
268	X	TEMPLETON GLBL BOND FD	880208400		1/17/2013		6/26/2013	13	13					0
269	X	TEMPLETON GLBL BOND FD	880208400		4/17/2013		6/26/2013	13	13					0
270	X	TEMPLETON GLBL BOND FD	880208400		5/8/2012		6/26/2013	13	13					0
271	X	TEMPLETON GLBL BOND FD	880208400		2/20/2013		6/26/2013	13	13					0
272	X	TEMPLETON GLBL BOND FD	880208400		2/20/2013		6/26/2013	13	13					0

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals		Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss	
								Gross Sales/Other sales	Cost, Other Basis and Expenses						
Long Term CG Distributions								Amount		Gross Sales		Cost, Other Basis and Expenses		Net Gain or Loss	
Short Term CG Distributions								9,239		5,076,002		4,803,629		272,373	
273	X	TEMPLETON GLBL BOND FD	880208400		3/28/2012		6/26/2013	15,614	17,016					-402	
274	X	TEMPLETON GLBL BOND FD	880208400		6/19/2013		6/26/2013	13	14					-1	
275	X	TEMPLETON GLBL BOND FD	880208400		6/19/2013		6/26/2013	9	9					0	
276	X	TEMPLETON GLBL BOND FD	880208400		7/31/2013		10/23/2013	14,264	14,464					-200	
277	X	TEMPLETON GLBL BOND FD	880208400		8/19/2013		10/23/2013	37	38					1	
278	X	TEMPLETON GLBL BOND FD	880208400		8/19/2013		10/23/2013	49	52					-3	
280	X	TEMPLETON GLBL BOND FD	880208400		8/19/2013		10/23/2013	12	13					-1	
281	X	TEMPLETON GLBL BOND FD	880208400		7/31/2013		10/23/2013	49	52					-3	
282	X	TEMPLETON GLBL BOND FD	880208400		3/6/2012		6/17/2013	4,244	4,147					97	
283	X	TETON WESTWOOD MIGHTY	881661876		10/17/2013		10/23/2013	4,884	3,547					1,337	
284	X	TEMPLETON GLBL BOND FD	880208400		8/19/2013		1/17/2014	13	13					0	
285	X	TEMPLETON GLBL BOND FD	880208400		8/19/2013		1/17/2014	13	14					1	
286	X	TEMPLETON GLBL BOND FD	880208400		7/31/2013		1/17/2014	14,923	14,749					174	
287	X	TEMPLETON GLBL BOND FD	880208400		9/15/2013		1/17/2014	13	13					0	
288	X	TEMPLETON GLBL BOND FD	880208400		9/15/2013		1/17/2014	25	27					-1	
289	X	TEMPLETON GLBL BOND FD	880208400		1/17/2014		1/17/2014	2	2					0	
291	X	TEMPLETON GLBL BOND FD	880208400		9/18/2013		1/17/2014	1	1					0	
292	X	TEMPLETON GLBL BOND FD	880208400		12/18/2013		1/17/2014	13	13					0	
293	X	TEMPLETON GLBL BOND FD	880208400		12/18/2013		1/17/2014	102	104					-2	
294	X	TEMPLETON GLBL BOND FD	880208400		12/28/2012		6/17/2013	13	13					0	
295	X	TETON WESTWOOD MIGHTY	881661876		3/6/2012		6/17/2013	2	2					0	
296	X	TETON WESTWOOD MIGHTY	881661876		3/6/2012		6/17/2013	22	17					5	
297	X	TETON WESTWOOD MIGHTY	881661876		10/12/2013		6/17/2013	35,024	26,686					8,338	
298	X	INVESCO EUROPEAN SMALL	001411814		10/12/2013		10/23/2013	8	7					1	
299	X	INVESCO EUROPEAN SMALL	001411814		10/12/2013		10/23/2013	3	2					1	
300	X	INVESCO EUROPEAN SMALL	001411814		10/12/2013		10/23/2013	2,204	2,130					74	
301	X	COHEN & STEERS GLOBAL	19247N409		3/12/2012		6/17/2013	45	40					5	
302	X	COHEN & STEERS GLOBAL	19247N409		3/12/2012		6/17/2013	37,752	33,113					4,639	
303	X	COHEN & STEERS GLOBAL	19247N409		12/28/2012		6/17/2013	34	32					2	
304	X	COHEN & STEERS GLOBAL	19247N409		3/12/2012		6/17/2013	15,174	13,545					1,629	
305	X	COHEN & STEERS GLOBAL	19247N409		3/12/2012		6/25/2013	8,522	8,030					492	
306	X	COHEN & STEERS GLOBAL	19247N409		5/8/2012		6/25/2013	3,228	3,086					142	
307	X	COHEN & STEERS GLOBAL	19247N409		6/1/2012		6/25/2013	1,694	1,670					24	
308	X	COHEN & STEERS GLOBAL	19247N409		5/8/2012		6/25/2013	43	41					2	
309	X	COHEN & STEERS GLOBAL	19247N409		4/4/2012		6/25/2013	4,950	4,643					307	
310	X	COHEN & STEERS GLOBAL	19247N409		3/28/2012		6/25/2013	3,744	3,573					171	
311	X	COHEN & STEERS GLOBAL	19247N409		6/1/2012		6/26/2013	2,965	2,581					384	
312	X	COHEN & STEERS GLOBAL	19247N409		12/28/2012		6/26/2013	19,712	19,668					-156	
313	X	COHEN & STEERS GLOBAL	19247N409		12/28/2012		6/26/2013	2	2					0	
314	X	COHEN & STEERS GLOBAL	19247N409		7/31/2013		10/23/2013	38	36					2	
315	X	COHEN & STEERS GLOBAL	19247N409		7/31/2013		10/23/2013	4,934	4,642					292	
316	X	COHEN & STEERS GLOBAL	19247N409		10/12/2013		10/23/2013	2	2					0	
317	X	COHEN & STEERS GLOBAL	19247N409		12/12/2013		1/17/2014	132	132					0	
318	X	COHEN & STEERS GLOBAL	19247N409		12/12/2013		1/17/2014	5	5					0	
319	X	COHEN & STEERS GLOBAL	19247N409		7/31/2013		1/17/2014	4,815	4,733					82	
320	X	COHEN & STEERS GLOBAL	19247N409		10/12/2013		1/17/2014	4,081	4,120					-39	
321	X	COHEN & STEERS GLOBAL	19247N409		3/28/2013		6/17/2013	46	45					1	
322	X	CULLEN INTERNATIONAL	230001703		3/28/2013		6/17/2013	3	3					0	
323	X	CULLEN INTERNATIONAL	230001703		3/28/2013		6/17/2013	1,156	1,141					15	
324	X	DOUBLELINE TOTAL RETURN	258620103		3/12/2012		6/17/2013	49,662	49,525					137	
325	X	IVY ASSET STRATEGY	466001864		7/31/2013		10/23/2013	5,481	5,068					413	
326	X	LOOMIS SAYLES STRATEGIC	543487250		2/26/2013		6/17/2013	982	947					35	
327	X	LOOMIS SAYLES STRATEGIC	543487250		4/26/2013		6/17/2013	16	16					0	
328	X	LOOMIS SAYLES STRATEGIC	543487250		3/6/2012		6/17/2013	15,859	14,967					1,292	
329	X	LOOMIS SAYLES STRATEGIC	543487250		3/6/2012		6/17/2013	16	15					1	
330	X	LOOMIS SAYLES STRATEGIC	543487250		3/12/2012		6/17/2013	1	1					0	
331	X	LOOMIS SAYLES STRATEGIC	543487250		5/29/2012		6/17/2013	2	2					0	
332	X	LOOMIS SAYLES STRATEGIC	543487250		3/6/2012		6/17/2013	104,700	97,609					7,091	
333	X	LOOMIS SAYLES STRATEGIC	543487250		5/29/2013		6/17/2013	1,094	1,110					-16	
334	X	LOOMIS SAYLES STRATEGIC	543487250		3/27/2012		6/17/2013	949	932					17	
335	X	CULLEN INTERNATIONAL	230001703		12/28/2012		6/17/2013	105,471	101,261					4,210	
336	X	CULLEN INTERNATIONAL	230001703		12/28/2012		6/26/2013	46,277	46,880					-603	
337	X	CULLEN INTERNATIONAL	230001703		3/28/2013		6/26/2013	4	4					0	
338	X	CULLEN INTERNATIONAL	230001703		12/28/2012		6/26/2013	46,648	46,880					-232	
339	X	CULLEN INTERNATIONAL	230001703		9/30/2012		10/23/2013	0	0					0	
340	X	CULLEN INTERNATIONAL	230001703		7/31/2013		10/23/2013	4,323	4,049					274	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Totals			Net Gain or Loss
											Capital Gains/Losses	Cost, Other Basis and Expenses	Net Gain or Loss	
								5,076,002	4,803,629	272,373				
								0	0	0				
341	X	CULLEN INTERNATIONAL	230001703		9/30/2013		10/23/2013	89						
342	X	CULLEN INTERNATIONAL	230001703		12/31/2013		1/17/2014	3						
343	X	CULLEN INTERNATIONAL	230001703		7/31/2013		1/17/2014	0						
344	X	CULLEN INTERNATIONAL	230001703		12/31/2013		1/17/2014	53						
345	X	CULLEN INTERNATIONAL	230001703		7/31/2013		1/17/2014	4,053	3,766					287
346	X	DOUBLELINE TOTAL RETURN	258620103		3/6/2012		6/17/2013	52,317	52,080					237
347	X	DOUBLELINE TOTAL RETURN	258620103		3/12/2012		6/17/2013	1						0
348	X	LOOMIS SAYLES STRATEGIC	543487250		3/28/2012		6/26/2013	15,012	14,624					388
349	X	LOOMIS SAYLES STRATEGIC	543487250		6/26/2013		6/26/2013	2						0
350	X	LOOMIS SAYLES STRATEGIC	543487250		8/28/2013		10/23/2013	98	93					5
351	X	LOOMIS SAYLES STRATEGIC	543487250		9/26/2013		10/23/2013	65	64					1
352	X	LOOMIS SAYLES STRATEGIC	543487250		7/31/2013		10/23/2013	4,882	4,707					175
353	X	LOOMIS SAYLES STRATEGIC	543487250		5/5/2013		10/23/2013	472	449					23
354	X	LOOMIS SAYLES STRATEGIC	543487250		9/26/2013		10/23/2013	6	6					0
355	X	LOOMIS SAYLES STRATEGIC	543487250		11/27/2013		1/17/2014	64	65					-1
356	X	LOOMIS SAYLES STRATEGIC	543487250		10/29/2013		1/17/2014	32	33					-1
357	X	LOOMIS SAYLES STRATEGIC	543487250		7/5/2013		1/17/2014	6,825	6,546					279
358	X	LOOMIS SAYLES STRATEGIC	543487250		10/29/2013		1/17/2014	32	33					0
359	X	LOOMIS SAYLES STRATEGIC	543487250		12/17/2013		1/17/2014	97	97					0
360	X	LOOMIS SAYLES STRATEGIC	543487250		12/17/2013		1/17/2014	6	6					0
361	X	MAINSTAY HIGH YIELD	56062X708		1/31/2013		6/17/2013	1,142	1,150					-8
362	X	MAINSTAY HIGH YIELD	56062X708		4/30/2013		6/17/2013	1,154	1,176					-22
363	X	MAINSTAY HIGH YIELD	56062X708		3/28/2013		6/17/2013	1,180	1,170					-10
364	X	MAINSTAY HIGH YIELD	56062X708		3/6/2012		6/17/2013	51,973	50,523					1,448
365	X	MAINSTAY HIGH YIELD	56062X708		5/31/2012		6/17/2013	1,172	1,183					-11
366	X	MAINSTAY HIGH YIELD	56062X708		3/12/2012		6/17/2013	6	6					0
367	X	MAINSTAY HIGH YIELD	56062X708		5/31/2013		6/17/2013	1	1					0
368	X	MAINSTAY HIGH YIELD	56062X708		1/31/2013		6/17/2013	6	6					0
369	X	MAINSTAY HIGH YIELD	56062X708		2/28/2013		6/17/2013	1,154	1,162					-8
370	X	MAINSTAY HIGH YIELD	56062X708		3/12/2012		6/17/2013	33,380	32,559					821
371	X	MAINSTAY HIGH YIELD	56062X708		3/6/2012		6/17/2013	14,842	14,282					560
372	X	UAM FPA PRESENT PORT	302547759		7/31/2013		10/23/2013	23	22					1
373	X	LOOMIS SAYLES STRATEGIC	543487250		3/28/2012		6/26/2013	16	15					1
374	X	LOOMIS SAYLES STRATEGIC	543487250		6/26/2012		6/26/2013	13	13					0
375	X	LOOMIS SAYLES STRATEGIC	543487250		7/30/2012		6/26/2013	16	15					1
376	X	LOOMIS SAYLES STRATEGIC	543487250		4/26/2012		6/26/2013	16	16					0
377	X	LOOMIS SAYLES STRATEGIC	543487250		3/27/2013		6/26/2013	16	16					0
378	X	LOOMIS SAYLES STRATEGIC	543487250		4/26/2012		6/26/2013	402	403					-1
379	X	LOOMIS SAYLES STRATEGIC	543487250		1/29/2013		6/17/2013	805	789					16
380	X	LOOMIS SAYLES STRATEGIC	543487250		4/26/2012		6/17/2013	7,229	6,780					449
381	X	LOOMIS SAYLES STRATEGIC	543487250		3/12/2012		6/17/2013	467	468					-1
382	X	LOOMIS SAYLES STRATEGIC	543487250		3/12/2012		6/25/2013	60,749	59,373					1,376
383	X	LOOMIS SAYLES STRATEGIC	543487250		3/12/2012		6/26/2013	7,304	7,087					207
384	X	LOOMIS SAYLES STRATEGIC	543487250		1/29/2013		6/26/2013	16	15					1
385	X	LOOMIS SAYLES STRATEGIC	543487250		7/30/2012		6/26/2013	10,490	9,989					501
386	X	LOOMIS SAYLES STRATEGIC	543487250		5/8/2012		6/26/2013	16	15					1
387	X	LOOMIS SAYLES STRATEGIC	543487250		4/4/2012		6/26/2013	13,131	12,733					398
388	X	LOOMIS SAYLES STRATEGIC	543487250		4/4/2012		6/26/2013	15,074	14,637					437
389	X	POWERSHARES EXCHANGE	73937B779		8/9/2013		1/17/2014	33	33					0
390	X	POWERSHARES EXCHANGE	73937B779		7/31/2013		1/17/2014	12,800	12,702					98
391	X	POWERSHARES EXCHANGE	73937B779		7/31/2013		1/17/2014	33	33					0
392	X	PRUDENTIAL JENNISON	74441L832		3/6/2012		6/17/2013	3,884	3,884					0
393	X	PRUDENTIAL JENNISON	74441L832		3/6/2012		6/17/2013	97,990	85,650					12,340
394	X	PRUDENTIAL JENNISON	74441L832		3/6/2012		6/17/2013	29	26					3
395	X	PRUDENTIAL JENNISON	74441L832		3/6/2012		6/17/2013	20,847	17,862					2,985
396	X	PRUDENTIAL JENNISON	74441L832		3/22/2013		6/17/2013	1,604	1,566					38
397	X	PRUDENTIAL JENNISON	74441L832		3/22/2013		6/17/2013	10	8					2
398	X	PRUDENTIAL JENNISON	74441L832		3/12/2012		6/25/2013	12,333	10,981					1,352
399	X	PRUDENTIAL JENNISON	74441L832		6/20/2013		6/25/2013	67,902	63,221					4,681
400	X	PRUDENTIAL JENNISON	74441L832		6/20/2013		6/25/2013	15	15					0
401	X	PRUDENTIAL JENNISON	74441L832		6/20/2013		6/25/2013	1,389	1,394					-5
402	X	PRUDENTIAL JENNISON	74441L832		6/20/2013		6/26/2013	15	15					0
403	X	PRUDENTIAL JENNISON	74441L832		4/4/2012		6/26/2013	18,506	17,154					1,352
404	X	PRUDENTIAL JENNISON	74441L832		6/20/2013		6/26/2013	5	5					0
405	X	PRUDENTIAL JENNISON	74441L832		3/28/2012		6/26/2013	16,667	15,506					1,161
406	X	PRUDENTIAL JENNISON	74441L832		4/4/2012		6/26/2013	15	14					1
407	X	PRUDENTIAL JENNISON	74441L832		3/12/2012		6/26/2013	12,629	11,657					972
408	X	PRUDENTIAL JENNISON	74441L832		5/8/2012		6/26/2013	22,078	20,085					1,993

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

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											Capital Gains/Losses	Other sales	Totals	
								5 076 002	0	4 803 629	0	272 373		
								9 239	0	0	0	0		
409	X	PRUDENTIAL JENNISON	74441L832		9/20/2013		10/23/2013	9	10					-1
410	X	PRUDENTIAL JENNISON	74441L832		9/20/2013		10/23/2013	92	98					-6
411	X	PRUDENTIAL JENNISON	74441L832		7/31/2013		7/31/2013	5,405	5,126					279
412	X	RS GLOBAL NATURAL	74972H648		12/28/2012		6/17/2013	53,296	50,843					2,453
413	X	RS GLOBAL NATURAL	74972H648		12/28/2012		6/17/2013	4	3					0
414	X	RS GLOBAL NATURAL	74972H648		12/28/2012		6/17/2013	23,087	23,323					-236
415	X	RS GLOBAL NATURAL	74972H648		12/28/2012		6/26/2013	18	19					-1
416	X	RS GLOBAL NATURAL	74972H648		12/28/2012		6/26/2013	23,049	23,323					-274
417	X	SPDR GOLD TRUST	78463V107		7/30/2012		2/21/2013	4	4					0
418	X	SPDR GOLD TRUST	78463V107		3/6/2012		2/21/2013	31	33					-2
419	X	SPDR GOLD TRUST	78463V107		4/4/2012		2/21/2013	7	7					0
420	X	SPDR GOLD TRUST	78463V107		3/6/2012		2/21/2013	5	5					0
421	X	SPDR GOLD TRUST	78463V107		3/12/2012		2/21/2013	6	7					-1
422	X	SPDR GOLD TRUST	78463V107		3/28/2012		2/21/2013	7	7					0
423	X	SPDR GOLD TRUST	78463V107		5/8/2012		2/21/2013	5	5					0
424	X	SPDR GOLD TRUST	78463V107		3/12/2012		2/21/2013	18	19					-1
425	X	SPDR GOLD TRUST	78463V107		5/6/2012		3/12/2013	5	5					0
426	X	SPDR GOLD TRUST	78463V107		4/4/2012		3/12/2013	6	7					-1
427	X	SPDR GOLD TRUST	78463V107		3/6/2012		3/12/2013	30	32					-2
428	X	SPDR GOLD TRUST	78463V107		3/28/2012		3/12/2013	7	7					0
429	X	TETON WESTWOOD MIGHTY	88166L876		3/12/2012		6/17/2013	9	7					2
430	X	TETON WESTWOOD MIGHTY	88166L876		3/12/2012		6/17/2013	13,310	10,475					2,835
431	X	TETON WESTWOOD MIGHTY	88166L876		3/28/2012		6/25/2013	2,763	2,278					505
432	X	TETON WESTWOOD MIGHTY	88166L876		3/12/2012		6/25/2013	8,718	6,943					1,775
433	X	TETON WESTWOOD MIGHTY	88166L876		4/4/2012		6/25/2013	4,652	3,745					907
434	X	TETON WESTWOOD MIGHTY	88166L876		4/4/2012		6/25/2013	22	17					5
435	X	TETON WESTWOOD MIGHTY	88166L876		7/30/2012		6/25/2013	22	17					5
436	X	TETON WESTWOOD MIGHTY	88166L876		7/30/2012		6/25/2013	8,457	6,582					1,875
437	X	TETON WESTWOOD MIGHTY	88166L876		7/30/2012		6/25/2013	7,174	5,623					1,551
438	X	TETON WESTWOOD MIGHTY	88166L876		12/28/2012		6/26/2013	21,088	17,571					3,517
439	X	TETON WESTWOOD MIGHTY	88166L876		12/28/2012		6/26/2013	22	17					5
440	X	TETON WESTWOOD MIGHTY	88166L876		3/12/2012		6/26/2013	13	11					2
441	X	TETON WESTWOOD MIGHTY	88166L876		7/30/2012		6/26/2013	10,850	8,409					2,441
442	X	TETON WESTWOOD MIGHTY	88166L876		7/31/2013		10/23/2013	2,205	2,068					137
443	X	TETON WESTWOOD MIGHTY	88166L876		7/31/2013		10/23/2013	9	8					1
444	X	TETON WESTWOOD MIGHTY	88166L876		8/29/2013		10/23/2013	1	1					0
445	X	TETON WESTWOOD MIGHTY	88166L876		11/26/2013		1/17/2014	2	2					0
446	X	TETON WESTWOOD MIGHTY	88166L876		7/31/2013		1/17/2014	0	0					0
447	X	TETON WESTWOOD MIGHTY	88166L876		7/31/2013		1/17/2014	3,908	3,695					213
448	X	VANGUARD HIGH DVD YIELD	921946406		3/6/2012		6/17/2013	58	47					11
449	X	VANGUARD HIGH DVD YIELD	921946406		4/12/2013		6/17/2013	1,899	1,808					91
450	X	VANGUARD HIGH DVD YIELD	921946406		12/28/2012		6/17/2013	2,532	2,152					380
451	X	VANGUARD HIGH DVD YIELD	921946406		10/1/2012		6/17/2013	1,956	1,719					237
452	X	VANGUARD HIGH DVD YIELD	921946406		3/12/2012		6/17/2013	3,740	3,111					629
453	X	VANGUARD HIGH DVD YIELD	921946406		3/6/2012		6/17/2013	107,895	87,972					19,923
454	X	VANGUARD HIGH DVD YIELD	921946406		4/4/2012		6/17/2013	14,156	11,227					2,929
455	X	VANGUARD HIGH DVD YIELD	921946406		3/12/2012		6/25/2013	11,379	9,877					1,552
456	X	VANGUARD HIGH DVD YIELD	921946406		3/12/2012		6/25/2013	63,142	54,179					8,963
457	X	VANGUARD HIGH DVD YIELD	921946406		10/1/2012		6/25/2013	56	50					6
458	X	VANGUARD HIGH DVD YIELD	921946406		3/28/2012		6/25/2013	13,666	11,775					1,891
459	X	VANGUARD HIGH DVD YIELD	921946406		5/6/2012		6/25/2013	15,060	12,813					2,247
460	X	VANGUARD HIGH DVD YIELD	921946406		12/28/2012		6/25/2013	33,523	29,389					4,134
461	X	VANGUARD HIGH DVD YIELD	921946406		7/30/2012		6/25/2013	17,403	15,381					2,022
462	X	VANGUARD HIGH DVD YIELD	921946406		4/1/2013		6/25/2013	20	18					2
463	X	VANGUARD HIGH DVD YIELD	921946406		7/31/2013		10/23/2013	5,250	5,219					31
464	X	VANGUARD HIGH DVD YIELD	921946406		9/30/2013		10/23/2013	172	179					-7
465	X	DOUBLELINE TOTAL RETURN	258620103		3/28/2013		6/17/2013	752	760					-8
466	X	DOUBLELINE TOTAL RETURN	258620103		5/31/2013		6/17/2013	9	9					0
467	X	DOUBLELINE TOTAL RETURN	258620103		2/28/2013		6/17/2013	718	726					-8
468	X	DOUBLELINE TOTAL RETURN	258620103		5/31/2013		6/17/2013	763	766					-3
469	X	DOUBLELINE TOTAL RETURN	258620103		1/31/2013		6/17/2013	774	782					-8
470	X	DOUBLELINE TOTAL RETURN	258620103		4/30/2013		6/17/2013	729	742					-13
471	X	DOUBLELINE TOTAL RETURN	258620103		3/28/2012		6/25/2013	14,834	15,061					-227
472	X	DOUBLELINE TOTAL RETURN	258620103		4/12/2012		6/25/2013	24,254	24,583					-329
473	X	DOUBLELINE TOTAL RETURN	258620103		6/1/2012		6/25/2013	5,524	5,574					-50
474	X	DOUBLELINE TOTAL RETURN	258620103		6/1/2012		6/25/2013	14,026	14,202					-176
475	X	DOUBLELINE TOTAL RETURN	258620103		5/8/2012		6/25/2013	10	10					0
476	X	DOUBLELINE TOTAL RETURN	258620103		5/8/2012		6/25/2013	10,616	10,759					-143

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Totals			Net Gain or Loss
											Capital Gains/Losses	Other sales	Net Gain or Loss	
										5,076,002	0	4,803,629	272,373	
										0	0	0	0	
477	X	DOUBLELINE TOTAL RETURN			5/8/2012		6/26/2013	11						0
478	X	DOUBLELINE TOTAL RETURN			6/1/2012		6/26/2013	11						0
479	X	DOUBLELINE TOTAL RETURN			7/31/2013		6/26/2013	11						0
480	X	DOUBLELINE TOTAL RETURN			7/30/2012		6/26/2013	9,995						-220
481	X	DOUBLELINE TOTAL RETURN			8/20/2012		6/26/2013	10,151						-92
482	X	DOUBLELINE TOTAL RETURN			4/30/2013		10/23/2013	65						3
483	X	DOUBLELINE TOTAL RETURN			7/31/2013		10/23/2013	4						1
484	X	DOUBLELINE TOTAL RETURN			8/30/2013		10/23/2013	10						1
485	X	DOUBLELINE TOTAL RETURN			9/30/2013		10/23/2013	72						5
486	X	DOUBLELINE TOTAL RETURN			9/30/2013		10/23/2013	6						0
487	X	DOUBLELINE TOTAL RETURN			7/31/2013		10/23/2013	4,265						45
488	X	UAM FPA CRESCENT PORT			3/6/2012		6/17/2013	104,643						12,026
489	X	UAM FPA CRESCENT PORT			3/12/2012		6/17/2013	11,592						1,196
490	X	UAM FPA CRESCENT PORT			3/6/2012		6/17/2013	13						1
491	X	UAM FPA CRESCENT PORT			3/25/17/59		6/17/2013	16,790						2,247
492	X	UAM FPA CRESCENT PORT			3/25/17/59		6/17/2013	3						0
493	X	UAM FPA CRESCENT PORT			3/12/2012		6/25/2013	58,081						5,223
494	X	UAM FPA CRESCENT PORT			5/6/2012		6/25/2013	31						3
495	X	UAM FPA CRESCENT PORT			4/4/2012		6/25/2013	28						3
496	X	UAM FPA CRESCENT PORT			3/12/2012		6/25/2013	4,085						356
497	X	UAM FPA CRESCENT PORT			3/28/2012		6/25/2013	14,454						1,149
498	X	UAM FPA CRESCENT PORT			4/4/2012		6/25/2013	16,187						1,399
499	X	UAM FPA CRESCENT PORT			7/30/2012		6/25/2013	11,111						1,133
500	X	UAM FPA CRESCENT PORT			5/6/2012		6/25/2013	17,703						1,946
501	X	UAM FPA CRESCENT PORT			3/12/2012		6/25/2013	18						1
502	X	DOUBLELINE TOTAL RETURN			2/28/2013		6/25/2013	11						0
503	X	METROPOLITAN WEST TOTAL			7/31/2013		10/23/2013	3						2
504	X	METROPOLITAN WEST TOTAL			9/30/2013		10/23/2013	1						0
505	X	METROPOLITAN WEST TOTAL			7/31/2013		10/23/2013	4,334						52
506	X	METROPOLITAN WEST TOTAL			9/30/2013		10/23/2013	10						-1
507	X	METROPOLITAN WEST TOTAL			9/30/2013		10/23/2013	10						-1
508	X	METROPOLITAN WEST TOTAL			9/30/2013		10/23/2013	31						0
509	X	NEUBERGER BERMAN			3/6/2012		6/17/2013	13						3
510	X	NEUBERGER BERMAN			6/12/2012		6/17/2013	4,786						1,116
511	X	NEUBERGER BERMAN			12/28/2012		6/17/2013	7						1
512	X	NEUBERGER BERMAN			6/12/2012		6/17/2013	35,660						7,400
513	X	NEUBERGER BERMAN			3/12/2012		6/17/2013	12,655						2,303
514	X	NEUBERGER BERMAN			3/28/2012		6/25/2013	12						2
515	X	NEUBERGER BERMAN			5/6/2012		6/25/2013	10						2
516	X	MAINSTAY HIGH YIELD			3/28/2012		6/25/2013	3						0
517	X	MAINSTAY HIGH YIELD			3/28/2012		6/25/2013	4,824						0
518	X	MAINSTAY HIGH YIELD			3/12/2012		6/25/2013	41,515						69
519	X	MAINSTAY HIGH YIELD			3/12/2012		6/25/2013	3						0
520	X	MAINSTAY HIGH YIELD			5/6/2012		6/25/2013	10,818						19
521	X	MAINSTAY HIGH YIELD			4/4/2012		6/25/2013	15,508						196
522	X	MAINSTAY HIGH YIELD			3/28/2012		6/25/2013	10,189						34
523	X	MAINSTAY HIGH YIELD			1/31/2013		6/25/2013	6						0
524	X	MAINSTAY HIGH YIELD			4/30/2013		6/25/2013	6						0
525	X	MAINSTAY HIGH YIELD			7/30/2012		6/25/2013	9,949						-50
526	X	MAINSTAY HIGH YIELD			4/4/2012		6/25/2013	6						0
527	X	METROPOLITAN WEST TOTAL			4/4/2012		6/25/2013	11						0
528	X	METROPOLITAN WEST TOTAL			3/12/2012		6/25/2013	24,205						-91
529	X	METROPOLITAN WEST TOTAL			4/4/2012		6/25/2013	4,036						-7
530	X	METROPOLITAN WEST TOTAL			4/4/2012		6/25/2013	10						-1
531	X	METROPOLITAN WEST TOTAL			5/8/2012		6/25/2013	10,825						1
532	X	METROPOLITAN WEST TOTAL			5/8/2012		6/25/2013	9,245						-97
533	X	METROPOLITAN WEST TOTAL			7/31/2013		6/25/2013	11						0
534	X	METROPOLITAN WEST TOTAL			7/30/2012		6/25/2013	9,996						-277
535	X	METROPOLITAN WEST TOTAL			5/31/2013		6/25/2013	0						0
536	X	METROPOLITAN WEST TOTAL			6/1/2012		6/25/2013	14,005						-199
537	X	METROPOLITAN WEST TOTAL			9/30/2013		6/25/2013	11						0
538	X	METROPOLITAN WEST TOTAL			9/30/2013		6/25/2013	31						-1
540	X	NEUBERGER BERMAN			7/30/2012		6/25/2013	7,834						1,527
541	X	NEUBERGER BERMAN			5/8/2012		6/25/2013	6,015						1,353
542	X	NEUBERGER BERMAN			7/30/2012		6/25/2013	12						2
543	X	NEUBERGER BERMAN			3/28/2012		6/25/2013	2,403						328
544	X	NEUBERGER BERMAN			4/4/2012		6/25/2013	4,588						687

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
	Long Term CG Distributions	Amount	9,239											
	Short Term CG Distributions													
	Totals													
545	NEUBERGER BERMAN	64122Q705			3/12/2012		6/25/2013	8,300	6,939					1,361
546	NEUBERGER BERMAN	64122Q705			12/28/2012		6/29/2013	3	2					1
547	NEUBERGER BERMAN	64122Q705			12/28/2012		6/28/2013	19,851	16,921					2,930

Part I, Line 16c (990-PF) - Other Professional Fees

		16,937	10,162	0	6,775
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	Merrill Lynch Fees as Agent	16,937	10,162		6,775

Part I, Line 18 (990-PF) - Taxes

		5,156	349	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Foreign Tax Withheld	349	349		
2	Prior Year Excise Tax Due	1,490			
3	Estimated Excise Payments	3,317			

Part II, Line 20 (990-PF) - Loans from Officers, Directors, Trustees, Other Disqualified Persons

		2,000,000	0	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000	2,000,000
Name of Lender		Original Amount	Balance Due Beg. of Year	Balance Due End of Year	Security Provided	Date of Note	Maturity Date	Repayment Terms	Interest Rate	Purpose of Loan	Consideration Description	Fair Market Value of Consideration	
1	JOHN A THAIN AND CARMEN M THAIN	TRUSTEES	2,000,000	0	NONE	12/23/2013	12/23/2016	US DOLLARS	%	TO PAY OUT TO GRANT	FCASH	2,000,000	

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 3 - Other increases not included in Part III, Line 2

1	OUTSTANDING LOAN DUE 12/23/2016	1	2,000,000
2	GRANT MADE IN CURRENT YEAR NOT CLEARED UNTIL NEXT YEAR	2	1,000
3	COST BASIS ADJUSTMENT	3	2,318
4	Total	4	2,003,318

Line 5 - Decreases not included in Part III, Line 2

1	PY GRANT CHECK CLEARED IN CURRENT YEAR	1	1,900
2	Total	2	1,900

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Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Short Term CG Distributions		Amount		9 239		0		5 085 753		0		72		4 803 701		263,134		0		263,134	
CUSIP #	Description of Property Sold	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses										
253	880208400	TEMPLETON GBLI BOND FD	3/17/2012	6/17/2013	3			3	0	0	0	0	0										
254	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	625		4	630	-1	0	0	0	-1										
255	880208400	TEMPLETON GBLI BOND FD	4/17/2013	6/17/2013	598		0	622	-24	0	0	0	-24										
256	880208400	TEMPLETON GBLI BOND FD	5/17/2013	6/17/2013	598		0	628	-30	0	0	0	-30										
257	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	13		0	13	0	0	0	0	0										
258	880208400	TEMPLETON GBLI BOND FD	3/17/2012	6/17/2013	27,873		0	28,234	-361	0	0	0	-361										
259	880208400	TEMPLETON GBLI BOND FD	5/17/2013	6/17/2013	598		0	617	-19	0	0	0	-19										
260	880208400	TEMPLETON GBLI BOND FD	3/19/2013	6/17/2013	611		0	632	-21	0	0	0	-21										
261	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	15,155		0	14,828	327	0	0	0	327										
262	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	13		0	13	0	0	0	0	0										
263	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	612		0	630	-18	0	0	0	-18										
264	880208400	TEMPLETON GBLI BOND FD	3/17/2012	6/17/2013	47,988		0	44,470	4,471	0	0	0	4,471										
265	880208400	TEMPLETON GBLI BOND FD	3/28/2012	6/17/2013	459		0	471	-12	0	0	0	-12										
266	880208400	TEMPLETON GBLI BOND FD	3/28/2012	6/17/2013	13,168		0	13,506	-340	0	0	0	-340										
267	880208400	TEMPLETON GBLI BOND FD	4/4/2012	6/17/2013	13		0	13	0	0	0	0	0										
268	880208400	TEMPLETON GBLI BOND FD	4/17/2013	6/17/2013	13		0	13	0	0	0	0	0										
269	880208400	TEMPLETON GBLI BOND FD	4/17/2013	6/17/2013	13		0	13	0	0	0	0	0										
270	880208400	TEMPLETON GBLI BOND FD	5/25/2013	6/17/2013	13		0	13	0	0	0	0	0										
271	880208400	TEMPLETON GBLI BOND FD	5/25/2013	6/17/2013	13		0	13	0	0	0	0	0										
272	880208400	TEMPLETON GBLI BOND FD	5/25/2013	6/17/2013	13		0	13	0	0	0	0	0										
273	880208400	TEMPLETON GBLI BOND FD	5/25/2013	6/17/2013	13		0	13	0	0	0	0	0										
274	880208400	TEMPLETON GBLI BOND FD	5/25/2013	6/17/2013	13		0	13	0	0	0	0	0										
275	880208400	TEMPLETON GBLI BOND FD	5/25/2013	6/17/2013	13		0	13	0	0	0	0	0										
276	880208400	TEMPLETON GBLI BOND FD	5/25/2013	6/17/2013	9		0	9	0	0	0	0	0										
277	880208400	TEMPLETON GBLI BOND FD	5/25/2013	6/17/2013	14,284		0	14,484	-200	0	0	0	-200										
278	880208400	TEMPLETON GBLI BOND FD	7/31/2013	10/23/2013	10		0	10	0	0	0	0	0										
279	880208400	TEMPLETON GBLI BOND FD	8/19/2013	10/23/2013	37		0	38	-1	0	0	0	-1										
280	880208400	TEMPLETON GBLI BOND FD	8/19/2013	10/23/2013	48		0	52	-4	0	0	0	-4										
281	880208400	TEMPLETON GBLI BOND FD	8/19/2013	10/23/2013	12		0	13	-1	0	0	0	-1										
282	880208400	TEMPLETON GBLI BOND FD	10/17/2013	10/23/2013	49		0	52	-3	0	0	0	-3										
283	880208400	TEMPLETON GBLI BOND FD	7/31/2013	10/23/2013	4,244		0	4,147	97	0	0	0	97										
284	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	4,884		0	3,547	1,337	0	0	0	1,337										
285	880208400	TEMPLETON GBLI BOND FD	10/17/2013	10/23/2013	0		0	0	0	0	0	0	0										
286	880208400	TEMPLETON GBLI BOND FD	8/19/2013	11/7/2014	13		0	13	0	0	0	0	0										
287	880208400	TEMPLETON GBLI BOND FD	8/19/2013	11/7/2014	14		0	14	0	0	0	0	0										
288	880208400	TEMPLETON GBLI BOND FD	7/31/2013	11/7/2014	14,923		0	14,749	174	0	0	0	174										
289	880208400	TEMPLETON GBLI BOND FD	8/19/2013	11/7/2014	13		0	13	0	0	0	0	0										
290	880208400	TEMPLETON GBLI BOND FD	8/19/2013	11/7/2014	25		0	27	-2	0	0	0	-2										
291	880208400	TEMPLETON GBLI BOND FD	11/7/2014	11/7/2014	1		0	1	0	0	0	0	0										
292	880208400	TEMPLETON GBLI BOND FD	11/7/2014	11/7/2014	1		0	1	0	0	0	0	0										
293	880208400	TEMPLETON GBLI BOND FD	11/7/2014	11/7/2014	102		0	104	-2	0	0	0	-2										
294	880208400	TEMPLETON GBLI BOND FD	11/7/2014	11/7/2014	13		0	13	0	0	0	0	0										
295	880208400	TEMPLETON GBLI BOND FD	11/7/2014	11/7/2014	2		0	2	0	0	0	0	0										
296	880208400	TEMPLETON GBLI BOND FD	11/7/2014	11/7/2014	2		0	2	0	0	0	0	0										
297	880208400	TEMPLETON GBLI BOND FD	11/7/2014	11/7/2014	22		0	17	5	0	0	0	5										
298	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	35,074		0	26,699	8,328	0	0	0	8,328										
299	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
300	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
301	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
302	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
303	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
304	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
305	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
306	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
307	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
308	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
309	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
310	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
311	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
312	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
313	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
314	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
315	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
316	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
317	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
318	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
319	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
320	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
321	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
322	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
323	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
324	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
325	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
326	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
327	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
328	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
329	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
330	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
331	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
332	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
333	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
334	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
335	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										
336	880208400	TEMPLETON GBLI BOND FD	3/6/2012	6/17/2013	0		0	0	0	0	0	0	0										

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

CUSIP #	Description of Property Sold	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adj Basis	Gains Minus Excess of FMV Over Adjusted Basis or Losses
337	CULLEN INTERNATIONAL	230001703	6/29/2013	6/29/2013	4			46,980	4	0	0	0	0
338	CULLEN INTERNATIONAL	230001703	6/29/2013	6/29/2013	46,980				-232	0	0	0	-232
339	CULLEN INTERNATIONAL	230001703	10/31/2013	10/31/2013	0			0	0	0	0	0	0
340	CULLEN INTERNATIONAL	230001703	10/31/2013	10/31/2013	4,323			4,049	274	0	0	0	274
341	CULLEN INTERNATIONAL	230001703	9/30/2013	9/30/2013	89			87	2	0	0	0	2
342	CULLEN INTERNATIONAL	230001703	7/31/2013	7/31/2013	3			3	0	0	0	0	0
343	CULLEN INTERNATIONAL	230001703	7/31/2013	7/31/2013	63			57	6	0	0	0	6
344	CULLEN INTERNATIONAL	230001703	7/31/2013	7/31/2013	4,033			3,788	245	0	0	0	245
345	CULLEN INTERNATIONAL	230001703	5/2/2012	5/2/2012	52,377			52,080	297	0	0	0	297
346	DOUBLELINE TOTAL RETURN	238890103	3/2/2012	3/2/2012	1			1	0	0	0	0	0
347	DOUBLELINE TOTAL RETURN	238890103	3/2/2012	3/2/2012	1			1	0	0	0	0	0
348	LOUIS SAYLES STRATEGIC	543487250	6/25/2013	6/25/2013	15,012			14,624	388	0	0	0	388
349	LOUIS SAYLES STRATEGIC	543487250	6/25/2013	6/25/2013	2			2	0	0	0	0	0
350	LOUIS SAYLES STRATEGIC	543487250	6/25/2013	6/25/2013	98			93	5	0	0	0	5
351	LOUIS SAYLES STRATEGIC	543487250	6/25/2013	6/25/2013	65			64	1	0	0	0	1
352	LOUIS SAYLES STRATEGIC	543487250	6/25/2013	6/25/2013	4,892			4,707	185	0	0	0	185
353	LOUIS SAYLES STRATEGIC	543487250	6/25/2013	6/25/2013	472			442	30	0	0	0	30
354	LOUIS SAYLES STRATEGIC	543487250	6/25/2013	6/25/2013	6			6	0	0	0	0	0
355	LOUIS SAYLES STRATEGIC	543487250	11/7/2013	11/7/2013	64			63	1	0	0	0	1
356	LOUIS SAYLES STRATEGIC	543487250	10/29/2013	10/29/2013	32			32	0	0	0	0	0
357	LOUIS SAYLES STRATEGIC	543487250	7/1/2013	7/1/2013	8,826			8,543	283	0	0	0	283
358	LOUIS SAYLES STRATEGIC	543487250	11/7/2013	11/7/2013	97			96	1	0	0	0	1
359	LOUIS SAYLES STRATEGIC	543487250	12/1/2013	12/1/2013	31			31	0	0	0	0	0
360	LOUIS SAYLES STRATEGIC	543487250	12/1/2013	12/1/2013	6			6	0	0	0	0	0
361	MAINSTAY HIGH YIELD	56062X708	1/1/2013	1/1/2013	1,142			1,150	-8	0	0	0	-8
362	MAINSTAY HIGH YIELD	56062X708	4/30/2013	4/30/2013	1,154			1,176	-22	0	0	0	-22
363	MAINSTAY HIGH YIELD	56062X708	3/28/2013	3/28/2013	1,160			1,170	-10	0	0	0	-10
364	MAINSTAY HIGH YIELD	56062X708	3/6/2013	3/6/2013	51,973			50,625	1,448	0	0	0	1,448
365	MAINSTAY HIGH YIELD	56062X708	5/31/2013	5/31/2013	1,172			1,183	-11	0	0	0	-11
366	MAINSTAY HIGH YIELD	56062X708	3/2/2012	3/2/2012	6			6	0	0	0	0	0
367	MAINSTAY HIGH YIELD	56062X708	5/31/2013	5/31/2013	1			1	0	0	0	0	0
368	MAINSTAY HIGH YIELD	56062X708	1/31/2013	1/31/2013	6			6	0	0	0	0	0
369	MAINSTAY HIGH YIELD	56062X708	2/28/2013	2/28/2013	1,154			1,152	2	0	0	0	2
370	MAINSTAY HIGH YIELD	56062X708	3/12/2012	3/12/2012	33,380			32,559	821	0	0	0	821
371	MAINSTAY HIGH YIELD	56062X708	3/6/2012	3/6/2012	14,842			14,282	560	0	0	0	560
372	UAM EPA CRESCENT PORT	302541759	7/31/2013	7/31/2013	23			22	1	0	0	0	1
373	LOUIS SAYLES STRATEGIC	543487250	3/28/2013	3/28/2013	16			15	1	0	0	0	1
374	LOUIS SAYLES STRATEGIC	543487250	6/25/2013	6/25/2013	13			13	0	0	0	0	0
375	LOUIS SAYLES STRATEGIC	543487250	7/30/2012	7/30/2012	16			15	1	0	0	0	1
376	LOUIS SAYLES STRATEGIC	543487250	4/26/2013	4/26/2013	16			16	0	0	0	0	0
377	LOUIS SAYLES STRATEGIC	543487250	3/27/2013	3/27/2013	16			16	0	0	0	0	0
378	LOUIS SAYLES STRATEGIC	543487250	4/26/2013	4/26/2013	402			403	-1	0	0	0	-1
379	LOUIS SAYLES STRATEGIC	543487250	1/29/2013	1/29/2013	805			783	16	0	0	0	16
380	LOUIS SAYLES STRATEGIC	543487250	3/12/2012	3/12/2012	7,229			6,780	449	0	0	0	449
381	LOUIS SAYLES STRATEGIC	543487250	4/26/2013	4/26/2013	467			468	-1	0	0	0	-1
382	LOUIS SAYLES STRATEGIC	543487250	3/12/2012	3/12/2012	60,749			59,373	1,376	0	0	0	1,376
383	LOUIS SAYLES STRATEGIC	543487250	3/12/2012	3/12/2012	7,304			7,097	207	0	0	0	207
384	LOUIS SAYLES STRATEGIC	543487250	1/29/2013	1/29/2013	16			15	1	0	0	0	1
385	LOUIS SAYLES STRATEGIC	543487250	7/30/2012	7/30/2012	10,490			9,989	501	0	0	0	501
386	LOUIS SAYLES STRATEGIC	543487250	5/6/2012	5/6/2012	16			15	1	0	0	0	1
387	LOUIS SAYLES STRATEGIC	543487250	4/4/2012	4/4/2012	13,131			12,733	398	0	0	0	398
388	LOUIS SAYLES STRATEGIC	543487250	8/9/2013	8/9/2013	15,074			14,637	437	0	0	0	437
389	POWERSHARES EXCHANGE	739378779	7/31/2013	7/31/2013	12,800			12,702	98	0	0	0	98
390	POWERSHARES EXCHANGE	739378779	7/31/2013	7/31/2013	33			33	0	0	0	0	0
391	POWERSHARES EXCHANGE	739378779	7/31/2013	7/31/2013	4,126			3,884	242	0	0	0	242
392	PRUDENTIAL JENNISON	44411832	3/6/2012	3/6/2012	97,990			85,650	12,340	0	0	0	12,340
393	PRUDENTIAL JENNISON	44411832	3/6/2012	3/6/2012	29			26	3	0	0	0	3
394	PRUDENTIAL JENNISON	44411832	3/6/2012	3/6/2012	1,604			1,566	38	0	0	0	38
395	PRUDENTIAL JENNISON	44411832	3/22/2013	3/22/2013	10			9	1	0	0	0	1
396	PRUDENTIAL JENNISON	44411832	3/12/2012	3/12/2012	12,333			10,961	1,372	0	0	0	1,372
397	PRUDENTIAL JENNISON	44411832	6/20/2013	6/20/2013	67,902			63,221	4,681	0	0	0	4,681
398	PRUDENTIAL JENNISON	44411832	6/20/2013	6/20/2013	1,389			1,394	-5	0	0	0	-5
399	PRUDENTIAL JENNISON	44411832	6/20/2013	6/20/2013	18,506			17,154	1,352	0	0	0	1,352
400	PRUDENTIAL JENNISON	44411832	6/20/2013	6/20/2013	5			5	0	0	0	0	0
401	PRUDENTIAL JENNISON	44411832	3/28/2012	3/28/2012	16,667			15,506	1,161	0	0	0	1,161
402	PRUDENTIAL JENNISON	44411832	4/4/2012	4/4/2012	15			14	1	0	0	0	1
403	PRUDENTIAL JENNISON	44411832	3/12/2012	3/12/2012	12,629			11,657	972	0	0	0	972
404	PRUDENTIAL JENNISON	44411832	5/6/2012	5/6/2012	22,078			20,085	1,993	0	0	0	1,993
405	PRUDENTIAL JENNISON	44411832	9/20/2013	9/20/2013	9			10	-1	0	0	0	-1
406	PRUDENTIAL JENNISON	44411832	9/20/2013	9/20/2013	92			98	-6	0	0	0	-6
407	PRUDENTIAL JENNISON	44411832	7/31/2013	7/31/2013	5,405			5,126	279	0	0	0	279
408	RS GLOBAL NATURAL	74972H848	12/29/2012	12/29/2012	53,296			50,843	2,453	0	0	0	2,453
409	RS GLOBAL NATURAL	74972H848	12/29/2012	12/29/2012	4			4	0	0	0	0	0
410	RS GLOBAL NATURAL	74972H848	12/29/2012	12/29/2012	23,087			23,323	-236	0	0	0	-236
411	RS GLOBAL NATURAL	74972H848	12/29/2012	12/29/2012	18			19	-1	0	0	0	-1
412	SPDR GOLD TRUST	78463V107	7/30/2012	7/30/2012	23,049			23,323	-274	0	0	0	-274
413	SPDR GOLD TRUST	78463V107	3/6/2012	3/6/2012	31			33	-2	0	0	0	-2
414	SPDR GOLD TRUST	78463V107	4/4/2012	4/4/2012	7			7	0	0	0	0	0
415	SPDR GOLD TRUST	78463V107	3/6/2012	3/6/2012	5			5	0	0	0	0	0

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

CUIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	FMV as of 12/31/09	Adjusted Basis as of 12/31/09	Excess of FMV Over AG Basis	Gain/Minus Excess of FMV/Over Adjusted Basis or Losses	Amount	
													Long Term CG Distributions	Short Term CG Distributions
421	SPDR GOLD TRUST	7/12/2012	2/2/2013	6	0	0	7	-1	0	0	0	0	263,134	0
422	SPDR GOLD TRUST	3/29/2012	2/2/2013	7	0	0	7	0	0	0	0	0	0	0
423	SPDR GOLD TRUST	3/29/2012	2/2/2013	5	0	0	5	0	0	0	0	0	0	0
424	SPDR GOLD TRUST	3/29/2012	2/2/2013	18	0	0	18	-1	0	0	0	0	0	0
425	SPDR GOLD TRUST	3/29/2012	2/2/2013	6	0	0	6	0	0	0	0	0	0	0
426	SPDR GOLD TRUST	3/29/2012	2/2/2013	30	0	0	32	-2	0	0	0	0	0	0
427	SPDR GOLD TRUST	3/29/2012	2/2/2013	7	0	0	7	0	0	0	0	0	0	0
428	SPDR GOLD TRUST	3/29/2012	2/2/2013	6	0	0	7	2	0	0	0	0	0	0
429	LETON WESTWOOD MIGHTY	3/12/2012	6/17/2013	13,310	0	0	10,475	2,835	0	0	0	0	2,835	0
430	LETON WESTWOOD MIGHTY	3/12/2012	6/17/2013	2,783	0	0	2,278	505	0	0	0	0	505	0
431	LETON WESTWOOD MIGHTY	3/12/2012	6/17/2013	6,748	0	0	6,943	-195	0	0	0	0	-195	0
432	LETON WESTWOOD MIGHTY	4/4/2012	6/25/2013	4,652	0	0	3,745	907	0	0	0	0	907	0
433	LETON WESTWOOD MIGHTY	4/4/2012	6/25/2013	22	0	0	17	5	0	0	0	0	5	0
434	LETON WESTWOOD MIGHTY	7/30/2012	6/25/2013	22	0	0	17	5	0	0	0	0	5	0
435	LETON WESTWOOD MIGHTY	7/30/2012	6/25/2013	8,457	0	0	6,582	1,875	0	0	0	0	1,875	0
436	LETON WESTWOOD MIGHTY	5/8/2012	6/25/2013	7,174	0	0	5,623	1,551	0	0	0	0	1,551	0
437	LETON WESTWOOD MIGHTY	12/28/2012	6/25/2013	21,088	0	0	17,571	3,517	0	0	0	0	3,517	0
438	LETON WESTWOOD MIGHTY	3/12/2012	6/25/2013	22	0	0	17	5	0	0	0	0	5	0
439	LETON WESTWOOD MIGHTY	7/30/2012	6/25/2013	13	0	0	11	2	0	0	0	0	2	0
440	LETON WESTWOOD MIGHTY	7/30/2012	6/25/2013	10,850	0	0	8,408	2,441	0	0	0	0	2,441	0
441	LETON WESTWOOD MIGHTY	7/31/2013	10/23/2013	2,203	0	0	2,068	137	0	0	0	0	137	0
442	LETON WESTWOOD MIGHTY	6/29/2013	10/23/2013	1	0	0	0	0	0	0	0	0	0	0
443	LETON WESTWOOD MIGHTY	11/26/2013	11/7/2014	2	0	0	0	0	0	0	0	0	0	0
444	LETON WESTWOOD MIGHTY	7/31/2013	11/7/2014	0	0	0	0	0	0	0	0	0	0	0
445	LETON WESTWOOD MIGHTY	7/31/2013	11/7/2014	3,908	0	0	3,695	213	0	0	0	0	213	0
446	LETON WESTWOOD MIGHTY	3/6/2012	6/17/2013	58	0	0	47	11	0	0	0	0	11	0
447	LETON WESTWOOD MIGHTY	4/17/2013	6/17/2013	1,899	0	0	1,808	91	0	0	0	0	91	0
448	VANGUARD HIGH DVO YIELD	12/28/2012	6/17/2013	2,532	0	0	2,152	380	0	0	0	0	380	0
449	VANGUARD HIGH DVO YIELD	10/12/2012	6/17/2013	1,956	0	0	1,719	237	0	0	0	0	237	0
450	VANGUARD HIGH DVO YIELD	3/12/2012	6/17/2013	3,740	0	0	3,111	629	0	0	0	0	629	0
451	VANGUARD HIGH DVO YIELD	3/6/2012	6/17/2013	107,885	0	0	87,972	19,913	0	0	0	0	19,913	0
452	VANGUARD HIGH DVO YIELD	3/6/2012	6/17/2013	14,156	0	0	11,227	2,929	0	0	0	0	2,929	0
453	VANGUARD HIGH DVO YIELD	4/4/2012	6/25/2013	11,379	0	0	9,827	1,552	0	0	0	0	1,552	0
454	VANGUARD HIGH DVO YIELD	3/12/2012	6/25/2013	63,142	0	0	54,179	8,963	0	0	0	0	8,963	0
455	VANGUARD HIGH DVO YIELD	10/12/2012	6/25/2013	56	0	0	50	6	0	0	0	0	6	0
456	VANGUARD HIGH DVO YIELD	3/28/2012	6/25/2013	13,668	0	0	11,775	1,891	0	0	0	0	1,891	0
457	VANGUARD HIGH DVO YIELD	5/6/2012	6/25/2013	15,060	0	0	12,813	2,247	0	0	0	0	2,247	0
458	VANGUARD HIGH DVO YIELD	7/30/2012	6/25/2013	33,523	0	0	29,385	4,138	0	0	0	0	4,138	0
459	VANGUARD HIGH DVO YIELD	7/30/2012	6/25/2013	17,403	0	0	15,381	2,022	0	0	0	0	2,022	0
460	VANGUARD HIGH DVO YIELD	4/17/2013	6/25/2013	20	0	0	18	2	0	0	0	0	2	0
461	VANGUARD HIGH DVO YIELD	9/30/2013	10/23/2013	179	0	0	172	7	0	0	0	0	7	0
462	VANGUARD HIGH DVO YIELD	5/31/2013	6/17/2013	752	0	0	760	-8	0	0	0	0	-8	0
463	VANGUARD HIGH DVO YIELD	2/28/2013	6/17/2013	718	0	0	726	-8	0	0	0	0	-8	0
464	VANGUARD HIGH DVO YIELD	5/31/2013	6/17/2013	763	0	0	766	-3	0	0	0	0	-3	0
465	DOUBLELINE TOTAL RETURN	4/30/2013	6/17/2013	774	0	0	742	32	0	0	0	0	32	0
466	DOUBLELINE TOTAL RETURN	3/28/2012	6/25/2013	729	0	0	742	-13	0	0	0	0	-13	0
467	DOUBLELINE TOTAL RETURN	3/28/2012	6/25/2013	14,834	0	0	15,061	-227	0	0	0	0	-227	0
468	DOUBLELINE TOTAL RETURN	3/12/2012	6/25/2013	24,254	0	0	24,583	-329	0	0	0	0	-329	0
469	DOUBLELINE TOTAL RETURN	4/4/2012	6/25/2013	5,524	0	0	5,574	-50	0	0	0	0	-50	0
470	DOUBLELINE TOTAL RETURN	4/4/2012	6/25/2013	14,026	0	0	14,202	-176	0	0	0	0	-176	0
471	DOUBLELINE TOTAL RETURN	3/12/2012	6/25/2013	10,616	0	0	10,759	-143	0	0	0	0	-143	0
472	DOUBLELINE TOTAL RETURN	5/6/2012	6/25/2013	11	0	0	11	0	0	0	0	0	0	0
473	DOUBLELINE TOTAL RETURN	5/6/2012	6/25/2013	11	0	0	11	0	0	0	0	0	0	0
474	DOUBLELINE TOTAL RETURN	1/31/2013	6/25/2013	11	0	0	11	0	0	0	0	0	0	0
475	DOUBLELINE TOTAL RETURN	7/30/2012	6/25/2013	9,775	0	0	9,995	-220	0	0	0	0	-220	0
476	DOUBLELINE TOTAL RETURN	4/4/2012	6/25/2013	10,151	0	0	10,243	-92	0	0	0	0	-92	0
477	DOUBLELINE TOTAL RETURN	8/30/2012	10/23/2013	62	0	0	65	-3	0	0	0	0	-3	0
478	DOUBLELINE TOTAL RETURN	7/31/2013	10/23/2013	5	0	0	4	1	0	0	0	0	1	0
479	DOUBLELINE TOTAL RETURN	8/30/2013	10/23/2013	10	0	0	11	-1	0	0	0	0	-1	0
480	DOUBLELINE TOTAL RETURN	9/30/2013	10/23/2013	72	0	0	77	-5	0	0	0	0	-5	0
481	DOUBLELINE TOTAL RETURN	9/30/2013	10/23/2013	6	0	0	6	0	0	0	0	0	0	0
482	DOUBLELINE TOTAL RETURN	7/31/2013	10/23/2013	4,265	0	0	4,220	45	0	0	0	0	45	0
483	DOUBLELINE TOTAL RETURN	3/6/2012	6/17/2013	104,643	0	0	92,617	12,026	0	0	0	0	12,026	0
484	DOUBLELINE TOTAL RETURN	3/12/2012	6/17/2013	11,592	0	0	10,396	1,196	0	0	0	0	1,196	0
485	DOUBLELINE TOTAL RETURN	3/12/2012	6/17/2013	13	0	0	12	1	0	0	0	0	1	0
486	DOUBLELINE TOTAL RETURN	3/6/2012	6/17/2013	18,790	0	0	14,543	2,247	0	0	0	0	2,247	0
487	DOUBLELINE TOTAL RETURN	7/30/2012	6/25/2013	3	0	0	3	0	0	0	0	0	0	0
488	DOUBLELINE TOTAL RETURN	3/12/2012	6/25/2013	31	0	0	28	3	0	0	0	0	3	0
489	DOUBLELINE TOTAL RETURN	5/6/2012	6/25/2013	31	0	0	28	3	0	0	0	0	3	0
490	DOUBLELINE TOTAL RETURN	3/12/2012	6/25/2013	4,085	0	0	3,729	356	0	0	0	0	356	0
491	DOUBLELINE TOTAL RETURN	3/12/2012	6/25/2013	16,187	0	0	14,454	1,733	0	0	0	0	1,733	0
492	DOUBLELINE TOTAL RETURN	4/4/2012	6/25/2013	17,033	0	0	14,768	2,265	0	0	0	0	2,265	0
493	DOUBLELINE TOTAL RETURN	5/6/2012	6/25/2013	17,033	0	0	14,768	2,265	0	0	0	0	2,265	0
494	DOUBLELINE TOTAL RETURN	3/12/2012	6/25/2013	18	0	0	15,946	1,757	0	0	0	0	1,757	0
495	DOUBLELINE TOTAL RETURN	2/28/2013	6/25/2013	11	0	0	11	0	0	0	0	0	0	0
496	DOUBLELINE TOTAL RETURN	7/31/2013	6/25/2013	3	0	0	2	1	0	0	0	0	1	0
497	DOUBLELINE TOTAL RETURN	9/30/2013	10/23/2013	1	0	0	1	0	0	0	0	0	0	0
498	DOUBLELINE TOTAL RETURN	9/30/2013	10/23/2013	1	0	0	1	0	0	0	0	0	0	0
499	DOUBLELINE TOTAL RETURN	9/30/2013	10/23/2013	1	0	0	1	0	0	0	0	0	0	0
500	DOUBLELINE TOTAL RETURN	9/30/2013	10/23/2013	1	0	0	1	0	0	0	0	0	0	0
501	DOUBLELINE TOTAL RETURN	9/30/2013	10/23/2013	1	0	0	1	0	0	0	0	0	0	0
502	DOUBLELINE TOTAL RETURN	9/30/2013	10/23/2013	1	0	0	1	0	0	0	0	0	0	0
503	DOUBLELINE TOTAL RETURN	9/30/2013	10/23/2013	1	0	0	1	0	0	0	0	0	0	0
504	DOUBLELINE TOTAL RETURN	9/30/2013	10/23/2013	1	0	0	1	0	0	0	0	0	0	0

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	1	Amount
1 Credit from prior year return		1	0
2 First quarter estimated tax payment		2	
3 Second quarter estimated tax payment		3	
4 Third quarter estimated tax payment		4	
5 Fourth quarter estimated tax payment		5	
6 Other payments		6	3,317
7 Total		7	3,317

ANTZ FOUNDATION

ACCOUNT INVESTMENT OBJECTIVE

January 01, 2014 - January 31, 2014

GROWTH: Objective is to accumulate wealth over time through price appreciation rather than current income. The investor should be willing to accept the risk of price volatility and principal loss in seeking to achieve growth

If you have changes to your investment objective, please contact your Private Wealth Advisor(s)

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	0.87	0.87		.87		
BIF MONEY FUND	986.00	986.00	1.0000	986.00		
TOTAL		986.87		986.87		

Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Current Yield%
COHEN & STEERS GLOBAL REALTY SHARES, INC. CL I SYMBOL: CSSPX Initial Purchase: 10/01/13 Equity 100% .8360 Fractional Share	173	8,008.17	44,960.00	7,778.08	(230.09)	8,008	(230)	167	2.14
CULLEN INTERNATIONAL HIGH DIV FD CL I SYMBOL: CIHIX Initial Purchase: 07/31/13 Equity 100% .9660 Fractional Share	1,058	11,098.89	10,850.00	11,479.30	380.41	11,077	401	291	2.53
TOTAL		19,107.06	55,810.00	19,257.38	150.32	19,085	189	458	2.34

Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Current Yield%
DOUBLELINE TOTAL RETURN BOND FUND CL I SYMBOL: DBLTX Initial Purchase: 07/31/13	2,278	24,958.36	10,970.00	24,989.66	31.30	24,925	64	1,267	5.06

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ANTZ FOUNDATION

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

January 01, 2014 - January 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT (continued) Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
Fixed Income 100%								
.5070 Fractional Share		5.52	10.9700	5.56	.04			1 5.06
EATON VANCE FLOATING RATE ADV CL I	1,116	12,532.68	11.2200	12,521.52	(11.16)	12,532	(11)	603 4.81
SYMBOL: EIFAX Initial Purchase: 01/17/14								
Fixed Income 100%								
.5020 Fractional Share		5.64	11.2200	5.63	(0.01)			1 4.81
FIDELITY ADVISOR NEW INSIGHTS FD CL I	803	21,601.96	26.4900	21,271.47	(330.49)	19,102	2,169	
SYMBOL: FINX Initial Purchase: 07/31/13								
Equity 100%								
7400 Fractional Share		19.82	26.4900	19.60	(0.22)			
FIRST EAGLE GLOBAL CLASS I	510	27,115.99	52.9700	27,014.70	(101.29)	26,436	577	400 1.48
SYMBOL: SGIIX Initial Purchase: 07/31/13								
Equity 100%								
.5650 Fractional Share		30.55	52.9700	29.93	(0.62)			1 1.48
INVESCO EUROPEAN SMALL COMPANY FUND CL Y	503	7,288.99	14.8500	7,469.55	180.56	7,288	180	44 5.7
SYMBOL: ESMYX Initial Purchase: 10/01/13								
Equity 100%								
.8130 Fractional Share		11.78	14.8500	12.07	.29			1 .57
ISHARES GOLD TR SYMBOL: IAU	655	7,992.24	12.0700	7,905.85	(86.39)	7,992	(86)	
Initial Purchase: 01/17/14								

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

January 01, 2014 - January 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
<i>Alternative Investments 100%</i>								
IVY ASSET STRATEGY FUND CL I	850	25,050.52	31.6100	26,868.50	1,817.98	25,019	1,848	165 .61
SYMBOL: IVAEX Initial Purchase: 07/31/13								
<i>Alternative Investments 100%</i>								
.3900 Fractional Share		12.77	31.6100	12.33	(0.44)			1 .61
<i>Alternative Investments 100%</i>								
LOOMIS SAYLES STRATEGIC INC FD CL Y	758	12,015.72	16.2100	12,287.18	271.46	11,919	367	566 4.60
SYMBOL: NEZYYX Initial Purchase: 07/31/13								
<i>Fixed Income 100%</i>								
.3310 Fractional Share		5.35	16.2100	5.37	.02			1 4.60
<i>Alternative Investments 100%</i>								
MAINSTAY MARKETFIELD FUND CL I	1,490	26,594.74	18.3300	27,311.70	716.96	26,576	735	3 .01
SYMBOL: MFLDX Initial Purchase: 07/31/13								
<i>Alternative Investments 100%</i>								
.2460 Fractional Share		4.60	18.3300	4.51	(0.09)			.01
<i>Alternative Investments 100%</i>								
METROPOLITAN WEST TOTAL RETURN BOND FD CL I	2,350	24,940.06	10.6800	25,098.00	157.94	24,812	285	774 3.08
SYMBOL: MWTIX Initial Purchase: 07/31/13								
<i>Fixed Income 100%</i>								
.4970 Fractional Share		5.28	10.6800	5.31	.03			1 3.08
<i>Alternative Investments 100%</i>								
MFS UTILITIES FD CL I	588	12,330.36	20.8400	12,253.92	(76.44)	12,330	(76)	368 3.00
SYMBOL: MMUIX Initial Purchase: 01/17/14								
<i>Equity 100%</i>								

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

January 01, 2014 - January 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Current Yield%
.4260 Fractional Share		8.93	20.8400	8.88	(0.05)			1	3.00
OPPENHEIMER DEVELOPING MARKETS FD CL Y SYMBOL: ODVYX Initial Purchase: 07/31/13 Equity 100%	221	7,686.69	34.6100	7,648.81	(37.88)	7,577	71	36	47
.4030 Fractional Share		14.80	34.6100	13.95	(0.85)			1	.47
POWERSHARES BUYBACK ACHVRS SYMBOL: PKW Initial Purchase: 10/01/13 Equity 100%	375	15,206.81	40.9700	15,363.75	156.94	15,206	156	100	64
POWERSHARES S&P SC INDUSTRIAL SYMBOL: PSCI Initial Purchase: 01/17/14 Equity 100%	121	5,578.10	43.7608	5,295.06	(283.04)	5,578	(283)	26	.48
POWERSHARES EXCHANGE SYMBOL: SPLV Initial Purchase: Equity 100%		0.33	32.2400	.33				1	2.65
.0102 Fractional Share									
PRUDENTIAL JENNISON NATURAL RESOURCES INC Z SYMBOL: PNRZX Initial Purchase 07/31/13 Equity 100%	118	5,588.86	49.2700	5,813.86	225.00	5,588	225		
.4330 Fractional Share		20.50	49.2700	21.33	.83				
PRUDENTIAL JENNISON	1,507	24,491.68	16.5200	24,895.64	403.96	23,635	1,260	594	2.38

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

January 01, 2014 - January 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Current Yield%
EQUITY INCOME FUND CL Z									
SYMBOL: JDEZX Initial Purchase: 07/31/13									
Equity 100%									
8820 Fractional Share		14.92	16.5200	14.57	(0.35)			1	2.38
SPDR S&P MIDCAP 400 ETF TRUST									
SYMBOL: MDY Initial Purchase: 01/17/14									
Equity 100%									
52		12,784.12	238.7800	12,416.56	(367.56)	12,784	(367)	136	1.09
TEMPLETON GLOBAL TOTAL RETURN FUND CL ADV									
SYMBOL: TTRZX Initial Purchase 01/17/14									
Fixed Income 100%									
.4040 Fractional Share		5.43	13.0900	5.29	(0.14)			1	4.22
TEMPLETON GLBL BOND FD									
SYMBOL: TGBAX Initial Purchase:									
Fixed Income 100%									
8110 Fractional Share		10.56	12.7000	10.30	(0.26)			1	4.03
TETON WESTWOOD MIGHTY MITES FUND CL I									
SYMBOL: WEIMX Initial Purchase: 07/31/13									
Equity 100%									
.9830 Fractional Share		22.84	23.8200	23.42	.58				
THE OAKMARK INTL SMALL CAP FUND									
462		7,793.94	16.8400	7,780.08	(13.86)	7,793	(13)	255	3.27

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

January 01, 2014 - January 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income Yield%
SYMBOL: OAKEX Initial Purchase: 01/27/14							
Equity 100%							
.3590 Fractional Share	6.06	16.8400	6.05	(0.01)			1 3.27
UAM FPA CRESCENT PORT							
	726	32.4600	23,565.96	295.48	22,559	1,006	189 80
SYMBOL: FPACX Initial Purchase: 07/31/13							
Alternative Investments 100%							
.1640 Fractional Share	5.39	32.4600	5.32	(0.07)			1 .80
VANGUARD HIGH DVD YIELD							
ETF	415	59.8300	24,829.45	(36.56)	24,866	(36)	726 2.92
SYMBOL: VYM Initial Purchase: 07/31/13							
Equity 100%							
.7017 Fractional Share	43.42	59.8300	41.98	(1.44)			2 2.92
VANGUARD FTSE ALL WORLD							
EX US	199	47.7900	9,510.21	255.26	9,206	304	269 2.82
SYMBOL: VEU Initial Purchase 07/31/13							
Equity 100%							
3068 Fractional Share	15.47	47.7900	14.66	(0.81)			1 2.82
Subtotal (Fixed Income)							
			83,075.80				
Subtotal (Equities)							
			215,486.38				
Subtotal (Alternative Investments)							
			85,674.17				

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YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF PRINCIPAL INVESTMENTS

January 01, 2014 - January 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	(continued) Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
TOTAL	380,680.79	384,236.35	385,223.22	3,555.56	9,799	7,343	1.91		
TOTAL PRINCIPAL INVESTMENTS	381,667.66	385,223.22	3,555.56						

Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment.

Cumulative Investment Return: Estimated Market Value minus Total Client Investment of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.

Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.

Unrealized Gain or (Loss): Estimated Market Value minus Total Cost Basis (total cost of shares directly purchased and still held, as well as cost of shares acquired through reinvestment). Provided for Tax Planning purposes only and is not applicable to retirement accounts.

Initial Purchase: Date of your initial investment in this fund

Notes

Total values exclude N/A items

♦Cost basis has been adjusted by the deferred loss amount from a previous "Wash Sale" and the acquisition date has been adjusted to include the holding period of the lot closed by that previous "Wash Sale"

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF INCOME INVESTMENTS

CASH/MONEY ACCOUNTS Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH	0.35	0.35		.35		
BIF MONEY FUND	3,571.00	3,571.00	1.0000	3,571.00		
TOTAL		3,571.35		3,571.35		

ANTZ FOUNDATION

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF INCOME INVESTMENTS

January 01, 2014 - January 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return(\$)	Estimated Annual Current Income Yield%
DOUBLELINE TOTAL RETURN BOND FUND CL I SYMBOL: DBLTX Initial Purchase:RE/INV Fixed Income 100%	17	188.32	10.9700	186.49	(1.83)	N/A	186	10 5.06
FIRST EAGLE GLOBAL CLASS I SYMBOL: SGIIX Initial Purchase:RE/INV Equity 100%	5	260.85	52.9700	264.85	4.00	N/A	264	4 1.48
IVY ASSET STRATEGY FUND CL I SYMBOL: IVAEX Initial Purchase:RE/INV Alternative Investments 100%	4	124.73	31.6100	126.44	1.71	N/A	126	1 6.1
LOOMIS SAYLES STRATEGIC INC FD CL Y SYMBOL: NEZYX Initial Purchase:RE/INV Fixed Income 100%	2	33.18	16.2100	32.42	(0.76)	N/A	32	2 4.60
METROPOLITAN WEST TOTAL RETURN BOND FD CL I SYMBOL: MWTIX Initial Purchase:RE/INV Fixed Income 100%	9	96.88	10.6800	96.12	(0.76)	N/A	96	3 3.08
PRUDENTIAL JENNISON EQUITY INCOME FUND CL Z SYMBOL: JDEZX Initial Purchase:RE/INV Equity 100%	5	84.01	16.5200	82.60	(1.41)	N/A	82	2 2.38

ANTZ FOUNDATION

YOUR TRUST MANAGEMENT ACCOUNT STATEMENT OF INCOME INVESTMENTS

January 01, 2014 - January 31, 2014

MUTUAL FUNDS/CLOSED END FUNDS/UIT Description	Quantity	(continued)	Total Cost Basis	Estimated Market Price	Estimated Market Value	Unrealized Gain/(Loss)	Total Client Investment	Cumulative Investment Return (\$)	Estimated Annual Current Income	Yield%
TEMPLETON GLBL BOND FD ADV CL	3		41 08 ♦	12.7000	38.10	(2 98)	N/A	38	2	4.03
SYMBOL: TGBAX Fixed Income 100%		Initial Purchase: REINV								
VANGUARD HIGH DVD YIELD ETF	2		124 27	59.8300	119.66	(4 61)	N/A	119	4	2.92
SYMBOL: YVM Equity 100%		Initial Purchase: REINV								
Subtotal (Fixed Income)					353.13					
Subtotal (Equities)					467.11					
Subtotal (Alternative Investments)					126.44					
TOTAL			953 32		946.68	(6 64)		943	28	2 96
TOTAL INCOME INVESTMENTS			4,524.67		4,518.03	(6 64)			28	62
<p>Total Client Investment: Cost of shares directly purchased and still held. Does not include shares purchased through reinvestment. Does not apply to income investments "denoted by 'N/A'".</p> <p>Cumulative Investment Return: Estimated Market Value minus Total Client Investment.</p> <p>Cumulative Investment Return: Return is the dollar value of the capital appreciation (depreciation) of all shares purchased and still held, including shares acquired through reinvestment of dividends and distributions, which may be greater or less than the actual income distributed.</p> <p>Market Timing: Merrill Lynch's policies prohibit mutual fund market timing, which involves the purchase and sale of mutual fund shares within short periods of time with the intention of capturing short-term profits resulting from market volatility. Market timing may result in lower returns for long-term fund shareholders because market timers capture short-term gains that would otherwise pass to all shareholders and due to increased transaction costs and fewer assets for investment due to the need to retain cash to satisfy redemptions.</p>										
LONG PORTFOLIO			Adjusted/Total Cost Basis		Estimated Market Value	Unrealized Gain/(Loss)	Estimated Accrued Interest	Estimated Annual Income	Current Yield%	
TOTAL PRINCIPAL/INCOME INVESTMENTS			386,192.33		389,741.25	3,548 92		7,371	1 89	

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24-Hour Assistance: (800) MERRILL
Access Code: 59-734-99026

YOUR EMA BANK DEPOSIT INTEREST SUMMARY

Money Account Description	Opening Balance	Average Deposit Balance	Current Yield%	Interest on Deposits	Closing Balance
FIA Card Services, N A	87,037	84,424	07	5.02	84,041
TOTAL ML Bank Deposit Program	87,037			5.02	84,041

January 01, 2014 - January 31, 2014

YOUR EMA ASSETS

Description	Quantity	Total Cost Basis	Estimated Market Price	Estimated Market Value	Estimated Annual Income	Est. Annual Yield%
CASH/MONEY ACCOUNTS						
CASH	10,449.61	10,449.61		10,449.61		
+ML BANK DEPOSIT PROGRAM	84,041.00	84,041.00	1.0000	84,041.00	59	07
-FDIC INSURED NOT SIPC COVERED						
TOTAL		94,490.61		94,490.61	59	.07

LONG PORTFOLIO	Adjusted/Total Cost Basis	Estimated Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Current Yield%
TOTAL	94,490.61	94,490.61		58	.06

YOUR EMA TRANSACTIONS

Date	Transaction Type	Quantity	Description	Income	Income Year To Date
01/31	Bank Interest		BANK DEPOSIT INTEREST	1.02	
	Income Total		ML BANK DEPOSIT PROGRAM	4.00	
	Subtotal (Taxable Interest)			5.02	5.02
	NET TOTAL			5.02	5.02