



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF****Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year **2013** or tax year beginning **2/1/2013**, and ending **1/31/2014**

Name of foundation ANTHONY F BAUER FOUNDATION			A Employer identification number 06-6361528	
Number and street (or P O box number if mail is not delivered to street address) Room/suite Wells Fargo Bank N A Trust Tax Dept - 1 W 4th St 4th Floor MAC D4000-041			B Telephone number (see instructions) (888) 730-4933	
City or town Winston Salem		State NC	ZIP code 27101-3818	
Foreign country name		Foreign province/state/county		Foreign postal code
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input checked="" type="checkbox"/> Address change <input type="checkbox"/> Name change				
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation				
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 317,890		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		
C If exemption application is pending, check here <input type="checkbox"/> D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>				

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	6,486	6,325		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	25,376			
	b Gross sales price for all assets on line 6a	202,244			
	7 Capital gain net income (from Part IV, line 2)		25,376		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	31,862	31,701	0		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	5,225	3,919		1,306
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	600			600
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	1,495	89		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	7,320	4,008	0	1,906
	25 Contributions, gifts, grants paid	10,000			10,000
26 Total expenses and disbursements. Add lines 24 and 25	17,320	4,008	0	11,906	
27 Subtract line 26 from line 12.					
a Excess of revenue over expenses and disbursements	14,542				
b Net investment income (if negative, enter -0-)		27,693			
c Adjusted net income (if negative, enter -0-)			0		

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2013)

HTA

SCANNED MAY 21 2014
FELIPE MAY 19 2014
POSTMARK DATE

20
NE

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	16,715	19,141	19,141
	3	Accounts receivable			
		Less: allowance for doubtful accounts			
	4	Pledges receivable			
		Less: allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule)			
		Less: allowance for doubtful accounts			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule)			
Liabilities	11	Investments—land, buildings, and equipment basis			
		Less: accumulated depreciation (attach schedule)			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	267,663	279,579	298,749
	14	Land, buildings, and equipment basis			
		Less: accumulated depreciation (attach schedule)			
	15	Other assets (describe)			
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	284,378	298,720	317,890
Net Assets or Fund Balances	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here <input type="checkbox"/>			
		and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/>			
		and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	284,378	298,720	
Net Assets or Fund Balances	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	284,378	298,720	
	31	Total liabilities and net assets/fund balances (see instructions)	284,378	298,720	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	284,378
2	Enter amount from Part I, line 27a	2	14,542
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	298,920
5	Decreases not included in line 2 (itemize) See Attached Statement	5	200
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	298,720

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement			
b See Attached Statement			
c See Attached Statement			
d See Attached Statement			
e See Attached Statement			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			0
b			0
c			0
d			0
e			0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			0
b			0
c			0
d			0
e			0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	25,376
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?
If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.☐ Yes ☒ No

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries	(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
	2012	15,493	301,417	0.051401
	2011	13,775	301,184	0.045736
	2010	14,606	290,017	0.050363
	2009	17,523	269,555	0.065007
	2008	15,712	319,469	0.049182

2 Total of line 1, column (d)	2	0.261689
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.052338
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	310,600
5 Multiply line 4 by line 3	5	16,256
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	277
7 Add lines 5 and 6	7	16,533
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	11,906

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1		554
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2		0
3	Add lines 1 and 2	3		554
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		554
6	Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a		721
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments. Add lines 6a through 6d	7		721
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		167
11	Enter the amount of line 10 to be Credited to 2014 estimated tax 167 Refunded	11		0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year. (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ▶ N/A				
14	The books are in care of ▶ WELLS FARGO BANK N A Telephone no. ▶ 888-730-4933			
Located at ▶ 1 W 4th St 4th Floor MAC D4000-041 Winston Salem NC ZIP+4 ▶ 27101-3818				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
If "Yes," attach the statement required by Regulations section 53.4945–5(d) N/A
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

5b	N/A	
6b		X
7b	N/A	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank N A Trust Tax Dept 1 W 4th St 4th Floor MAC D4000-041 Winston Salem, NC	TRUSTEE 4 00	5,225		

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	NONE	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	NONE	
2		
All other program-related investments See instructions		
3	NONE	
Total. Add lines 1 through 3		0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	298,570
b	Average of monthly cash balances	1b	16,760
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	315,330
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	315,330
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	4,730
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	310,600
6	Minimum investment return. Enter 5% of line 5	6	15,530

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	15,530
2a	Tax on investment income for 2013 from Part VI, line 5	2a	554
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	554
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	14,976
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	14,976
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	14,976

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes.		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	11,906
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	11,906
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	11,906

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				14,976
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			694	
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 11,906				
a Applied to 2012, but not more than line 2a			694	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				11,212
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				3,764
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9.				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.

Tax year	Prior 3 years			(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
				0
b 85% of line 2a				0
c Qualifying distributions from Part XII, line 4 for each year listed				0
d Amounts included in line 2c not used directly for active conduct of exempt activities				0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				0
3 Complete 3a, b, or c for the alternative test relied upon:				
a "Assets" alternative test—enter:				
(1) Value of all assets				0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
c "Support" alternative test—enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
(3) Largest amount of support from an exempt organization				0
(4) Gross investment income				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

- b** The form in which applications should be submitted and information and materials they should include

- c** Any submission deadlines.

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year See Attached Statement				
Total			3a	10,000
b Approved for future payment NONE				
Total			3b	0

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated					Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount					
1 Program service revenue.									
a _____									
b _____									
c _____									
d _____									
e _____									
f _____									
g Fees and contracts from government agencies									
2 Membership dues and assessments									
3 Interest on savings and temporary cash investments									
4 Dividends and interest from securities			14	6,486					
5 Net rental income or (loss) from real estate									
a Debt-financed property									
b Not debt-financed property									
6 Net rental income or (loss) from personal property									
7 Other investment income									
8 Gain or (loss) from sales of assets other than inventory			18	25,376					
9 Net income or (loss) from special events									
10 Gross profit or (loss) from sales of inventory									
11 Other revenue: a _____									
b _____									
c _____									
d _____									
e _____									
12 Subtotal. Add columns (b), (d), and (e)		0		31,862			0		
13 Total. Add line 12, columns (b), (d), and (e)				31,862				31,862	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B	Relationship of Activities to the Accomplishment of Exempt Purposes
-------------------	--

[illegible]

Security Type	EIN	CUSIP	Asset Name	FMV	Fed Cost
Invest - Other	06-6361528	04314H204	ARTISAN INTERNATIONAL FUND #661	13530.9	11227.13
Invest - Other	06-6361528	04314H600	ARTISAN MID CAP FUND-INS #1333	12141.47	9815.02
Invest - Other	06-6361528	22544R305	CREDIT SUISSE COMM RET ST-I #2156	14125.54	15825.07
Invest - Other	06-6361528	25264S833	DIAMOND HILL LONG-SHORT FD CL I #11	6466.83	5340.77
Invest - Other	06-6361528	256206103	DODGE & COX INT'L STOCK FD #1048	13407.42	11008.91
Invest - Other	06-6361528	256210105	DODGE & COX INCOME FD COM #147	21132.14	18587.75
Invest - Other	06-6361528	261980494	DREYFUS EMG MKT DEBT LOC C-I #6083	10523.62	11620.01
Invest - Other	06-6361528	262028855	DRIEHAUS ACTIVE INCOME FUND	12523.7	12417.56
Invest - Other	06-6361528	277923728	EATON VANCE GLOBAL MACRO - I #0088	4677.94	4786.9
Invest - Other	06-6361528	315920702	FID ADV EMER MKTS INC- CL I #607	4440.68	4606.91
Invest - Other	06-6361528	339128100	JP MORGAN MID CAP VALUE-I #758	7923.86	6656.93
Invest - Other	06-6361528	411511504	HARBOR CAPITAL APRCTION-INST #2012	20612.03	15721.29
Invest - Other	06-6361528	464287200	ISHARES CORE S & P 500 ETF	5554.27	4339
Invest - Other	06-6361528	4812C0803	JPMORGAN HIGH YIELD FUND SS #3580	4666.21	4707.04
Invest - Other	06-6361528	483438305	KALMAR GR W/ VAL SM CAP-INS #4	5683.54	4505.2
Invest - Other	06-6361528	487300808	KEELEY SMALL CAP VAL FD CL I #2251	6131.51	4525
Invest - Other	06-6361528	51855Q655	LAUDUS MONDRIAN INTL FI-INST #2940	7071.6	7589.49
Invest - Other	06-6361528	552983694	MFS VALUE FUND-CLASS I #893	13536.02	10904.42
Invest - Other	06-6361528	589509108	MERGER FD SH BEN INT #260	9130.85	9105.1
Invest - Other	06-6361528	63872T885	ASG GLOBAL ALTERNATIVES-Y #1993	7601.75	7904.17
Invest - Other	06-6361528	683974505	OPPENHEIMER DEVELOPING MKT-Y #788	14707.28	14858.21
Invest - Other	06-6361528	693390700	PIMCO TOTAL RET FD-INST #35	21490.45	20676.62
Invest - Other	06-6361528	693390882	PIMCO FOREIGN BD FD USD H-INST #103	7078.58	7341.57
Invest - Other	06-6361528	76628T645	RIDGEWORTH SEIX HY BD-I #5855	7800.76	7955.86
Invest - Other	06-6361528	77957P105	T ROWE PRICE SHORT TERM BD FD #55	17585.26	17687.22
Invest - Other	06-6361528	77958B402	T ROWE PRICE INST FLOAT RATE #170	3138.45	3144.55
Invest - Other	06-6361528	78463X863	SPDR DJ WILSHIRE INTERNATIONAL REAL	10225.32	10542.7
Invest - Other	06-6361528	922042858	VANGUARD FTSE EMERGING MARKETS ETF	4934.77	5378.18
Invest - Other	06-6361528	922908553	VANGUARD REIT VIPER	10905.84	10800.53
			TOTAL OTHER INVESTMENTS	298748.59	279579.11
Savings & Temp Inv	06-6361528	99999Y944	SECURED MARKET DEPOSIT ACCOUNT	9442.76	9442.76
Savings & Temp Inv	06-6361528	99999Y944	SECURED MARKET DEPOSIT ACCOUNT	9697.85	9697.85
			TOTAL SAVINGS & TEMP INVESTMENTS	19140.61	19140.61
			TOTAL INVESTMENTS	317889.2	298719.72

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

THE NEW HARTFORD ARTISANS WEAVING

Street

400 WOODLAND ST

City

HARTFORD

State

CT

Zip Code

06105

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,200

Name

FIDELCO GUIDE DOG FOUNDATION, INC

Street

103 OLD IRON ORE ROAD

City

BLOOMFIELD

State

CT

Zip Code

06002

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,200

Name

OAK HILL CAMP PROGRAM

Street

120 HOLCOMB STREET

City

HARTFORD

State

CT

Zip Code

06112

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,000

Name

CONNECTICUT RADIO INFORMATION SYSTEM INC

Street

318 WINDSOR AVENUE

City

WINDSOR

State

CT

Zip Code

06095

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,200

Name

MULTI-SENSORY ENVIRONMENT THE HOPE SCHOOL

Street

15 EAST HAZEL LANE

City

SPRINGFIELD

State

IL

Zip Code

62712

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

2,000

Name

VISTA VOCATIONAL & LIFE SKILLS

Street

1356 OLD CLINTON RD

City

WESTBROOK

State

CT

Zip Code

06498

Foreign Country**Relationship**

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,200

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

YOUNG AUDIENCES ARTS FOR LEARNING

Street

3074 WHITNEY AVE , BLDG. 2

City

HAMDEN

State

CT

Zip Code

06518

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

1,200

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions															Amount		Short Term CG Distributions														
															3,245																
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss																	
1	X	AQR MANAGED FUTURES ST	00203H859		7/12/2013		10/9/2013	2,942	3,047					-105																	
2	X	ARTISAN INTERNATIONAL FU	04314H204		2/5/2013		7/10/2013	1,220	1,178					42																	
3	X	ARTISAN INTERNATIONAL FU	04314H204		2/5/2013		7/10/2013	774	717					57																	
4	X	ARTISAN INTERNATIONAL FU	04314H204		9/12/2012		1/21/2014	847	654					193																	
5	X	ARTISAN MID CAP FUND-INS	04314H600		7/12/2013		7/31/2013	475	470					5																	
6	X	ARTISAN MID CAP FUND-INS	04314H600		7/12/2013		10/9/2013	167	161					6																	
7	X	ARTISAN MID CAP FUND-INS	04314H600		9/12/2012		10/9/2013	518	432					86																	
8	X	CREDIT SUISSE COMM RET S	22544R305		2/5/2013		10/9/2013	3,133	3,531					-398																	
9	X	DIAMOND HILL LONG-SHORT	25264S633		2/15/2013		7/10/2013	2,052	1,936					116																	
10	X	DODGE & COX INTL STOCK F	256206103		2/5/2013		7/10/2013	1,116	1,087					29																	
11	X	DODGE & COX INTL STOCK F	256206103		2/5/2013		7/31/2013	812	761					51																	
12	X	DODGE & COX INTL STOCK F	256206103		9/12/2012		10/9/2013	441	363					78																	
13	X	DODGE & COX INTL STOCK F	256206103		9/12/2012		12/1/2014	648	495					153																	
14	X	DODGE & COX INCOME FD CL	256210105		9/25/2008		2/13/2013	8,005	6,890					1,115																	
15	X	DODGE & COX INCOME FD CL	256210105		9/25/2008		7/10/2013	2,686	2,384					302																	
16	X	DODGE & COX INCOME FD CL	256210105		9/25/2008		7/31/2013	312	274					38																	
17	X	DODGE & COX INCOME FD CL	256210105		10/11/2013		12/1/2014	669	662					7																	
18	X	DREYFUS EMG MKT DEBT LO	261980494		2/15/2013		7/31/2013	336	372					-36																	
19	X	DREYFUS EMG MKT DEBT LO	261980494		2/15/2013		10/9/2013	2,329	2,551					-222																	
20	X	DREYFUS EMG MKT DEBT LO	261980494		12/7/2013		10/9/2013	340	373					-33																	
21	X	DREYFUS EMG MKT DEBT LO	261980494		11/9/2012		10/9/2013	1,894	1,998					-104																	
22	X	DREYFUS ACTIVE INCOME F	262028655		2/15/2013		12/1/2014	2,916	2,902					14																	
23	X	DREYFUS THE BOSTON CO S	26203E836		7/1/2009		2/1/2013	6,972	4,696					2,276																	
24	X	FEDERATED STRAT VAL DIV	314172560		8/18/2011		2/1/2013	21,325	18,110					3,215																	
25	X	FID ADV EMER MKTS INC-CL	315920702		8/17/2012		2/1/2013	15	15					0																	
26	X	FID ADV EMER MKTS INC-CL	315920702		8/7/2012		7/10/2013	3,881	4,257					-376																	
27	X	JP MORGAN MID CAP VALUE	339128100		7/15/2013		7/10/2013	850	754					96																	
28	X	GATEWAY FUND - Y #1986	367829884		9/12/2012		7/10/2013	2,358	2,311					45																	
29	X	GATEWAY FUND - Y #1986	367829884		11/9/2012		7/10/2013	2,309	2,221					88																	
30	X	GATEWAY FUND - Y #1986	367829884		2/5/2013		7/10/2013	6,233	6,155					78																	
31	X	HARBOR INTERNATIONAL FDI	411511306		8/18/2011		7/10/2013	7,189	6,072					1,117																	
32	X	HARBOR CAPITAL APFCTION	411511504		2/5/2013		7/10/2013	6,409	5,899					510																	
33	X	HARBOR CAPITAL APFCTION	411511504		2/5/2013		7/31/2013	940	843					97																	
34	X	HARBOR CAPITAL APFCTION	411511504		9/12/2012		10/9/2013	660	561					99																	
35	X	HARBOR CAPITAL APFCTION	411511504		9/12/2012		12/1/2014	1,553	1,185					388																	
36	X	HUSSMAN STRATEGIC GROW	448108100		11/9/2012		2/13/2013	10	11					-1																	
37	X	HUSSMAN STRATEGIC GROW	448108100		9/12/2012		2/13/2013	1,758	1,848					-90																	
38	X	HUSSMAN STRATEGIC GROW	448108100		11/9/2012		7/31/2013	1,614	1,739					-125																	
39	X	LAUDUS MONDRIAN INTL F-L	51855Q655		9/12/2012		7/31/2013	313	351					-38																	
40	X	ISHARES CORE S & P 500 ETR	464287200		2/13/2013		7/10/2013	4,312	3,967					345																	
41	X	ISHARES CORE S & P 500 ETR	464287200		9/12/2012		7/10/2013	1,990	1,739					251																	
42	X	ISHARES CORE S & P 500 ETR	464287200		9/12/2012		7/31/2013	340	290					50																	
43	X	ISHARES RUSSELL 2000 GRO	464287648		11/9/2012		2/13/2013	1,950	1,876					74																	
44	X	ISHARES RUSSELL 2000 GRO	464287648		11/9/2012		2/13/2013	2,681	2,334					347																	
45	X	ISHARES RUSSELL 2000 GRO	464287648		1/4/2013		2/13/2013	206	197					9																	
46	X	KALMAR GR WVAL SM CAP F	483438206		2/5/2013		7/31/2013	382	325					57																	
47	X	KALMAR GR WVAL SM CAP F	483438305		2/5/2013		12/1/2014	539	411					128																	
48	X	LAZARD EMERGING MKTS PT	52106N889		7/1/2009		2/12/2013	5,552	4,069					1,483																	
49	X	MFS VALUE FUND-CLASS I #8	552983694		2/5/2013		7/10/2013	5,028	4,524					504																	
50	X	MFS VALUE FUND-CLASS I #8	552983694		2/5/2013		7/31/2013	498	436					62																	
51	X	MFS VALUE FUND-CLASS I #8	552983694		2/5/2013		12/1/2014	497	409					88																	
52	X	ASG GLOBAL ALTERNATIVES	63872T885		7/12/2013		12/1/2014	1,234	1,242					-8																	
53	X	OPPENHEIMER DEVELOPING	683974505		2/5/2013		7/31/2013	486	502					-16																	
54	X	OPPENHEIMER DEVELOPING	683974505		2/5/2013		10/9/2013	2,093	2,045					48																	
55	X	PIMCO TOTAL RET FD-INST #	693390700		11/9/2012		2/13/2013	7,012	7,288					-276																	
56	X	VANGUARD FTSE EMERGING	922042858		9/12/2012		12/1/2014	629	667					-38																	
57	X	VANGUARD REIT VIPER	922908553		2/13/2013		7/31/2013	350	345					5																	
58	X	PIMCO TOTAL RET FD-INST #	693390700		9/25/2008		2/13/2013	9,978	9,254					724																	
59	X	PIMCO TOTAL RET FD-INST #	693390700		9/25/2008		7/16/2013	2,374	2,279					95																	
60	X	PIMCO TOTAL RET FD-INST #	693390700		9/25/2008		7/31/2013	313	300					13																	
61	X	PIMCO HIGH YIELD FD-INST #	693390841		7/1/2009		2/12/2013	7,590	5,906					1,684																	
62	X	PIMCO REAL RETURN FUND-I	693391104		7/1/2009		7/10/2013	2,151	2,000					151																	
63	X	PIMCO REAL RETURN FUND-I	693391104		12/2/2010		7/10/2013	149	146					3																	
64	X	RIDGEMOUNT SEIX HY BD-I #	76628T645		2/15/2013		7/31/2013	323	327					4																	
65	X	RIDGEMOUNT SEIX HY BD-I #	76628T645		2/15/2013		12/1/2014	2,440	2,526					0																	
66	X	RIDGEMOUNT SEIX HY BD-I #	76628T645		7/12/2013		12/1/2014	2,890	2,945					-55																	
67	X	RIDGEMOUNT SEIX HY BD-I #	76628T645		12/7/2013		12/1/2014	316	325					-9																	
68	X	RIDGEMOUNT SEIX HY BD-I #	76628T645		10/11/2013		12/1/2014	335	340					-5																	

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

		Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Net Gain or Loss
		Long Term CG Distributions	Amount						Totals					
		Short Term CG Distributions	3,245						Capital Gains/Losses					
									Other sales					
69	X	RIDGEWORTH SEIX HY BD-#	7682BT645			8/1/2012		1/21/2014	1,277	1,294				-17
70	X	T ROWE PRICE BLUE CHIP GR	77954Q106			8/18/2011		2/1/2013	18,260	13,349				4,911
71	X	VANGUARD FTSE EMERGING	922042858			2/1/2013		7/31/2013	551	630				79
72	X	VANGUARD FTSE EMERGING	922042858			2/1/2013		10/6/2013	1,925	2,114				-189
73	X	VANGUARD FTSE EMERGING	922042858			2/1/2013		1/21/2014	3,340	3,822				-482
74	X	VANGUARD FTSE EMERGING	922042858			1/11/2013		1/21/2014	550	614				-64
75	X	CRM MID CAP VALUE FD-INST	92934R769			7/1/2009		2/1/2013	9,439	5,942				3,497

Part I, Line 16b (990-PF) - Accounting Fees

		600	0	0	600
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	600			600

Part I, Line 18 (990-PF) - Taxes

		1,495	89	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	FOREIGN TAXES PAID	89	89		
2	PY EXCISE TAXES PAID	685			
3	ESTIMATED EXCISE TAXES PAID	721			

Part II, Line 13 (990-PF) - Investments - Other

		267,663		279,579		298,749
Asset Description		Basis of Valuation	Book Value Beg. of Year	Book Value End of Year	FMV End of Year	
1	SEE ATTACHED			279,579		298,749

Part III (990-PF) - Changes in Net Assets or Fund Balances

Line 5 - Decreases not included in Part III, Line 2

1	COST BASIS ADJ	1	168
2	PY RETURN OF CAP ADJ	2	32
3	Total	3	200

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions										Amount	3,245	0	
Short Term CG Distributions										Amount	0	0	
Description of Property Sold	CUSIP #	How Acquired	Date Acquired	Date Sold	Gross Sales Price	Depreciation Allowed	Adjustments	Cost or Other Basis Plus Expense of Sale	Gain or Loss	F M V as of 12/31/69	Adjusted Basis as of 12/31/69	Excess of FMV Over Adj Basis	Gain Minus Excess of FMV Over Adjusted Basis or Losses
1 AGR MANAGED FUTURES STR-1 #152130020H859	00020H859		7/12/2013	10/9/2013	2,942			3,047	-105				-105
2 ARTISAN INTERNATIONAL FUND #681	04314H204		7/12/2013	7/12/2013	1,220			1,178	42				42
3 ARTISAN INTERNATIONAL FUND #681	04314H204		2/5/2013	7/12/2013	774			717	57				57
4 ARTISAN INTERNATIONAL FUND #681	04314H204		9/12/2012	7/12/2014	847			654	193				193
5 ARTISAN MID CAP FUND-INS #1333	04314H600		7/12/2013	7/12/2013	475			470	5				5
6 ARTISAN MID CAP FUND-INS #1333	04314H600		7/12/2013	10/9/2013	167			161	6				6
7 ARTISAN MID CAP FUND-INS #1333	04314H600		9/12/2012	10/9/2013	518			432	86				86
8 CREDIT SUISSE COMM RET ST-1 #2158	02354R305		2/5/2013	10/9/2013	3,133			3,531	-398				-398
9 DIAMOND HILL LONG-SHORT FD CL-1 #23584S833	256208103		2/5/2013	7/12/2013	2,052			1,936	116				116
10 DODGE & COX INTL STOCK FD #1048	256208103		2/5/2013	7/12/2013	1,118			1,087	29				29
11 DODGE & COX INTL STOCK FD #1048	256208103		2/5/2013	7/12/2013	812			761	51				51
12 DODGE & COX INTL STOCK FD #1048	256208103		9/12/2012	10/9/2013	441			363	78				78
13 DODGE & COX INTL STOCK FD #1048	256208103		9/12/2012	12/12/2014	648			495	153				153
14 DODGE & COX INCOME FD COM #147	256210105		9/25/2008	7/12/2013	8,005			8,890	-885				-885
15 DODGE & COX INCOME FD COM #147	256210105		9/25/2008	7/12/2013	2,686			2,384	302				302
16 DODGE & COX INCOME FD COM #147	256210105		9/25/2008	7/12/2013	312			274	38				38
17 DODGE & COX INCOME FD COM #147	256210105		10/1/2013	12/12/2014	669			662	7				7
18 DREYFUS EMG MKT DEBT LOC C1 #608	281980494		2/15/2013	7/12/2013	336		36	372	-36				-36
19 DREYFUS EMG MKT DEBT LOC C1 #608	281980494		2/15/2013	7/12/2013	2,329			2,551	-222				-222
20 DREYFUS EMG MKT DEBT LOC C1 #608	281980494		1/27/2013	10/9/2013	340			373	-33				-33
21 DREYFUS EMG MKT DEBT LOC C1 #608	281980494		1/19/2012	10/9/2013	1,894			1,998	-104				-104
22 DREYFUS EMG MKT DEBT LOC C1 #608	281980494		2/15/2013	12/12/2014	2,916			2,902	14				14
23 DREYFUS ACTIVE INCOME FUND	262028855		7/12/2013	7/12/2013	6,972			4,695	2,276				2,276
24 FEDERATED STRAT VAL DIV FD IS #682	314172560		8/16/2011	2/12/2013	21,325			18,110	3,215				3,215
25 FID ADV EMER MKTS INC-CL #1807	315920702		8/17/2012	7/12/2013	15			15	0				0
26 FID ADV EMER MKTS INC-CL #1807	315920702		2/15/2013	7/12/2013	3,881			4,257	-376				-376
27 JF MORGAN MID CAP VALUE-1 #758	339128100		9/12/2012	7/12/2013	850			1,754	-904				-904
28 KATANA FUND - Y #1986	367623884		9/12/2012	7/12/2013	2,356			2,311	45				45
29 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	9,233			8,155	1,078				1,078
30 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,189			6,072	-4,883				-4,883
31 KATANA FUND - Y #1986	367623884		8/16/2011	7/12/2013	4,111			5,699	-1,588				-1,588
32 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
33 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
34 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
35 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
36 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
37 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
38 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
39 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
40 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
41 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
42 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
43 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
44 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
45 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
46 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
47 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
48 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
49 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
50 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
51 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
52 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
53 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
54 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
55 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
56 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
57 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
58 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
59 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
60 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
61 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
62 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
63 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
64 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
65 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
66 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
67 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
68 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
69 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
70 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
71 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
72 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
73 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
74 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110
75 KATANA FUND - Y #1986	367623884		2/5/2013	7/12/2013	1,950			840	1,110				1,110

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account	0
1	Wells Fargo Bank N.A. Trust Tax D	X	1 W 4th St 4th Floor MAC D4000-04-1	Winston Salem	NC	27101-3818		TRUSTEE	4 00	5,225			0

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date	Amount
1 Credit from prior year return		0
2 First quarter estimated tax payment	6/10/2013	171
3 Second quarter estimated tax payment	7/9/2013	172
4 Third quarter estimated tax payment	10/9/2013	171
5 Fourth quarter estimated tax payment	1/9/2014	207
6 Other payments		
7 Total		721

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2012 that remained undistributed at the beginning of the 2013 tax year	1	694
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	694