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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation

THE CADES FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

C/O E. GUNNER SCHULL, 1000 BISHOP ST

Room/suite

1500

City or town, state or province, country, and ZIP or foreign postal code

HONOLULU, HI 96813

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 12,220,189. (Part I, column (d) must be on cash basis)

J Accounting method:

☒ Cash ☐ Accrual☐ Other (specify)

A Employer identification number

99-0285922

B Telephone number

808-521-9200

C If exemption application is pending, check here ☐D 1. Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check ☒ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments	30,510.	30,510.		Statement 1
4 Dividends and interest from securities	237,450.	237,450.		Statement 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	372,186.			
b Gross sales price for all assets on line 6a	4,093,695.			
7 Capital gain net income (from Part IV, line 2)		372,186.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income	73,262.	73,262.		Statement 3
12 Total. Add lines 1 through 11	713,408.	713,408.		
13 Compensation of officers, directors, trustees, etc	0.	0.		0.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees Stmt 4	3,820.	0.		3,820.
b Accounting fees Stmt 5	12,434.	0.		12,434.
c Other professional fees Stmt 6	61,481.	61,481.		0.
17 Interest				
18 Taxes Stmt 7	9,418.	9,418.		0.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses Stmt 8	25,130.	0.		25,130.
24 Total operating and administrative expenses. Add lines 13 through 23	112,283.	70,899.		41,384.
25 Contributions, gifts, grants paid	490,250.			490,250.
26 Total expenses and disbursements Add lines 24 and 25	602,533.	70,899.		531,634.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	110,875.			
b Net investment income (if negative, enter -0-)		642,509.		
c Adjusted net income (if negative, enter -0-)			N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
				(a) Book Value	(b) Book Value
Assets	1	Cash - non-interest-bearing	13,214.	60,324.	60,324.
	2	Savings and temporary cash investments	412,151.	336,283.	336,283.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations Stmt 9	966,961.	508,578.	508,488.
	b	Investments - corporate stock Stmt 10	7,626,906.	8,296,795.	9,791,599.
	c	Investments - corporate bonds Stmt 11	1,264,129.	1,192,256.	1,245,862.
Liabilities	11	Investments - land, buildings, and equipment basis ▶			
		Less: accumulated depreciation ▶			
	12	Investments - mortgage loans			
	13	Investments - other Stmt 12	277,633.	277,633.	277,633.
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	10,560,994.	10,671,869.	12,220,189.
	17	Accounts payable and accrued expenses			
	18	Grants payable			
Net Assets or Fund Balances	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	0.	0.	
		Foundations that follow SFAS 117, check here ▶ ☐			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☒			
	27	Capital stock, trust principal, or current funds	12,635,635.	12,635,635.	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29	Retained earnings, accumulated income, endowment, or other funds	-2,074,641.	-1,963,766.	
	30	Total net assets or fund balances	10,560,994.	10,671,869.	
	31	Total liabilities and net assets/fund balances	10,560,994.	10,671,869.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,560,994.
2	Enter amount from Part I, line 27a	2	110,875.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	10,671,869.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	10,671,869.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b See Attached Statements				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 4,093,695.		3,721,509.	372,186.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			372,186.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	372,186.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	559,243.	10,935,987.	.051138
2011	514,317.	11,122,457.	.046241
2010	478,505.	10,874,419.	.044003
2009	572,093.	9,587,523.	.059671
2008	558,846.	11,576,726.	.048273

2 Total of line 1, column (d)	2	.249326
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049865
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	11,502,879.
5 Multiply line 4 by line 3	5	573,591.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	6,425.
7 Add lines 5 and 6	7	580,016.
8 Enter qualifying distributions from Part XII, line 4	8	531,634.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	12,850.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	12,850.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	12,850.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	12,581.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	12,581.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	269.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☐ Refunded ☐	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ HI		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► E. GUNNER SCHULL Telephone no. ► 808-521-9200 Located at ► 1000 BISHOP STREET, SUITE 1500, HONOLULU, HI ZIP+4 ► 96813			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 13		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3

0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	11,667,931.
b	Average of monthly cash balances	1b	10,119.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	11,678,050.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	11,678,050.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	175,171.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	11,502,879.
6	Minimum investment return. Enter 5% of line 5	6	575,144.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	575,144.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	12,850.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	12,850.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	562,294.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	562,294.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	562,294.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	531,634.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	531,634.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	531,634.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				562,294.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			487,509.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 531,634.				
a Applied to 2012, but not more than line 2a			487,509.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				44,125.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				518,169.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income

[illegible]

NONE

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ADULT FRIENDS FOR YOUTH 3375 KOAPAKA ST SUITE B290 HONOLULU, HI 96819	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	2,500.
ALOHA COUNCIL, BOY SCOUTS OF AMERICA 42 PUIWA RD HONOLULU, HI 96817	NONE	PUBLIC CHARITY	DISTINGUISHED CITIZEN AWARD DINNER	3,500.
AMERICAN RED CROSS 4155 DIAMOND HEAD RD HONOLULU, HI 96816	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	10,000.
ARMED SERVICES YMCA OF HONOLULU 1441 PALI HWY HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	2,500.
ARTHRITIS FOUNDATION 2752 WOODLAWN DR HONOLULU, HI 96822	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	500.
Total	See continuation sheet(s)			490,250.
b Approved for future payment				
None				
Total				0.

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | Yes | No |
|----------|--|-------|----|
| | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

Date _____

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name
MARSHALL T.
INGRAHAM

Preparer's signature _____

Date _____

Check ☒ self-employed

PTIN

Firm's name ▶ MARSHALL T. INGRAHAM, CPA

Firm's EIN ► 99-0235063

Firm's address ► 1188 BISHOP ST., SUITE 2207
HONOLULU, HI 96813

Phone no. 808-526-1990

THE CADES FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	UBS #26609 YM COVERED STMT ATTACHED	P	Various	12/31/13
b	UBS #26609 YM COVERED STMT ATTACHED	P	Various	12/31/13
c	UBS #26609 YM NON COVERED STMT ATTACHED	P	Various	12/31/13
d	MERRIL LYNCH 04564 COVERED STMT ATTACHED	P	Various	12/31/13
e	MERRIL LYNCH 04564 COVERED STMT ATTACHED	P	Various	12/31/13
f	MERRIL LYNCH 04564 NONCOVERED STMT ATTACHED	P	Various	12/31/13
g	UBS #28187 STMT ATTACHED	P	Various	12/31/13
h	MERRIL LYNCH 03161 COVERED STMT ATTACHED	P	Various	12/31/13
i	MERRIL LYNCH 03157 NON COVERED STMT ATTACHED	P	Various	12/31/13
j	MERRIL LYNCH 03157 NON-COVERED STMT ATTACHED	P	Various	12/31/13
k	MERRIL LYNCH 03161 COVERED STMT ATTACHED	P	Various	12/31/13
l	MERRIL LYNCH 03162 NON COVERED STMT ATTACHED	P	Various	12/31/13
m	MERRIL LYNCH 03162 NON COVERED STMT ATTACHED	P	Various	12/31/13
n	MERRILL LYNCH 03163 COVERED STMT ATTACHED	P	Various	12/31/13
o	MERRILL LYNCH 03163 NON-COVERED STMT ATTACHED	P	Various	12/31/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 126,573.		114,517.	12,056.
b 88,663.		74,629.	14,034.
c 139,601.		84,245.	55,356.
d 499,932.		446,793.	53,139.
e 254,146.		200,521.	53,625.
f 1,264.		610.	654.
g 455,000.		442,144.	12,856.
h 182,963.		121,275.	61,688.
i 297,284.		315,068.	-17,784.
j 139,974.		145,175.	-5,201.
k 180,829.		186,051.	-5,222.
l 35,547.		35,882.	-335.
m 61,482.		61,414.	68.
n 120,321.		134,717.	-14,396.
o 920.		920.	0.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			12,056.
b			14,034.
c			55,356.
d			53,139.
e			53,625.
f			654.
g			12,856.
h			61,688.
i			-17,784.
j			-5,201.
k			-5,222.
l			-335.
m			68.
n			-14,396.
o			0.

- 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }
- 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter "-0-" in Part I, line 8 }

2

3

THE CADES FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	MERRILL LYNCH 03163 COVERED STMT ATTACHED	P	Various	12/31/13
b	MERRILL LYNCH 03163 NON COVERED STMT ATTACHED	P	Various	12/31/13
c	MERRILL LYNCH 03164 COVERED STMT ATTACHED	P	Various	12/31/13
d	MERRILL LYNCH 03164 NON COVERED STMT ATTACHED	P	Various	12/31/13
e	MERRILL LYNCH 03164 COVERED STMT ATTACHED	P	Various	12/31/13
f	MERRILL LYNCH 04B65 COVERED STMT ATTACHED	P	Various	12/31/13
g	MERRILL LYNCH 04B65 NON COVERED STMT ATTACHED	P	Various	12/31/13
h	MERRILL LYNCH 04B65 COVERED STMT ATTACHED	P	Various	12/31/13
i	MERRILL LYNCH 04B65 NON COVERED STMT ATTACHED	P	Various	12/31/13
j	MERRILL LYNCH 04B65 OTHER NON COVERED STMT ATTACHED	P	Various	12/31/13
k	MERRILL LYNCH 04B66 COVERED STMT ATTACHED	P	Various	12/31/13
l	MERRILL LYNCH 04B66 COVERED STMT ATTACHED	P	Various	12/31/13
m	MERRILL LYNCH 04B66 NON COVERED STMT ATTACHED	P	Various	12/31/13
n	Capital Gains Dividends			
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 34,419.		23,106.	11,313.
b 7.			7.
c 59,329.		62,708.	-3,379.
d 141.		141.	0.
e 46,298.		38,218.	8,080.
f 344,906.		341,771.	3,135.
g 4,430.		3,332.	1,098.
h 117,868.		114,542.	3,326.
i 261,945.		228,416.	33,529.
j 24,560.			24,560.
k 502,621.		465,236.	37,385.
l 51,099.		44,806.	6,293.
m 47,713.		35,272.	12,441.
n 13,860.			13,860.
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			11,313.
b			7.
c			-3,379.
d			0.
e			8,080.
f			3,135.
g			1,098.
h			3,326.
i			33,529.
j			24,560.
k			37,385.
l			6,293.
m			12,441.
n			13,860.
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	372,186.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }	3	N/A

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ASSETS SCHOOL 1 OHANA WAY HONOLULU, HI 96818	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	10,000.
BALLET HAWAII 777 S HOTEL ST STE 101 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	30,000.
BAMBOO RIDGE PRESS 80 NORTH KING STREET HONOLULU, HI 96817	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	7,500.
BISHOP MUSEUM 1525 BERNICE ST HONOLULU, HI 96817	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	3,000.
BLOOD BANK OF HAWAII 2043 DILLINGHAM BLVD HONOLULU, HI 96819	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	500.
BOYS AND GIRLS CLUB OF HAWAII 1523 KALAKAUA AVE, STE 202 HONOLULU, HI 96815	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	5,000.
CHAMBER MUSIC HAWAII PO BOX 61939 HONOLULU, HI 96839	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	10,000.
CHILD & FAMILY SERVICES 200 N. VINEYARD BLVD HONOLULU, HI 96817	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	5,000.
COALITION FOR A DRUG-FREE HAWAII 1130 N. NIMITZ HWY HONOLULU, HI 96817	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	1,500.
FAMILY PROGRAMS HAWAII 250 S. VINEYARD BLVD HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	500.
Total from continuation sheets				471,250.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FAMILY PROMISE OF HAWAII 69 N. KAINALU DR KAILUA, HI 96734	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	5,000.
HANAHAU'OLI SCHOOL 1922 MAKIKI ST HONOLULU, HI 96822	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	5,000.
HAWAII BAR JOURNAL 1100 ALAKEA ST, SUITE 1000 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	SPECIAL ISSUE #13	11,500.
HAWAII FOOD BANK 2611 KILHAU ST HONOLULU, HI 96819	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	10,000.
HAWAII INSTITUTE FOR PUBLIC AFFAIRS 1003 BISHOP ST HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	5,000.
HAWAII JUSTICE FOUNDATION PO BOX 1230 HONOLULU, HI 96807	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	10,000.
HAWAII OPERA THEATRE 848 S. BERETANIA ST #301 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	10,000.
HAWAII PUBLIC RADIO 738 KAHEKA STREET HONOLULU, HI 96814	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	10,000.
HAWAII THEATRE CENTER 1130 BETHEL ST HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	2,500.
HAWAII YOUTH SYMPHONY ASSOCIATION 1110 UNIVERSITY AVE STE 200 HONOLULU, HI 96826	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	25,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HELPING HANDS HAWAII 2100 N. NIMITZ HWY HONOLULU, HI 96819	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	1,500.
HISTORIC HAWAII FOUNDATION 680 IWILEI RD, STE 690 HONOLULU, HI 96817	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	2,500.
HONOLULU CHAMBER MUSIC SERIES PO BOX 2233 HONOLULU, HI 96804	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	2,500.
HONOLULU MUSEUM OF ART 900 S BERETANIA ST HONOLULU, HI 96814	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	20,000.
HONOLULU THEATRE FOR YOUTH 1149 BETHEL STREET, SUITE 700 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	9,500.
HUGS 3636 KILAUEA AVE HONOLULU, HI 96816	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	5,000.
INSTITUTE FOR HUMAN SERVICES 546 KA'AAHI ST HONOLULU, HI 96817	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	25,000.
INSTITUTE FOR NATIVE PACIFIC EDUCATION 1001 KAMOKILA BLVD, SUITE 226 KAPOLEI, HI 96707	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	5,000.
IOLANI SCHOOL 563 KAMOKU ST HONOLULU, HI 96826	NONE	EDUCATIONAL INSTITUTION	UNRESTRICTED GIFT	25,000.
IONA CONTEMPORARY DANCE THEATRE P.O. BOX 670 KAILUA, HI 96734	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	2,500.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
KAPIOLANI HEALTH FOUNDATION 55 MERCHANT ST SUITE 2600 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	1,500.
LE JARDIN ACADEMY 917 KALIANAOLE HWY KAILUA, HI 96734	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	25,000.
LEGAL AID SOCIETY OF HAWAII 924 BETHEL ST HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	10,000.
MAKE A WISH FOUNDATION PO BOX 1877 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	500.
MANOA VALLEY THEATRE 2833 E. MANOA RD HONOLULU, HI 96822	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	15,000.
MARCH OF DIMES, HAWAII CHAPTER 1580 MAKALOA ST, #1200 HONOLULU, HI 96814	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	2,500.
MCKINLEY HIGH SCHOOL FOUNDATION 1039 S. KING ST HONOLULU, HI 96814	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	15,000.
MID-PACIFIC INSTITUTE 2445 KAALA STREET HONOLULU, HI 96822	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	25,000.
MOTHERS AGAINST DRUNK DRIVING 745 FORT STREET STE 303 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	1,000.
PBS HAWAII 2350 DOLE STREET HONOLULU, HI 96817	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	15,000.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TEACH FOR AMERICA - HAWAII 500 ALA MOANA BLVD SUITE 3-400 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	12,500.
THE MEDITATION CENTER OF THE PACIFIC 245 N. KUKUI ST HONOLULU, HI 96817	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	500.
UH FOUNDATION 2444 DOLE STREET HONOLULU, HI 96822	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	1,250.
UH FOUNDATION PO BOX 11270 HONOLULU, HI 96828-0270	NONE	PUBLIC CHARITY	WM RICHARDSON SCHOOL OF LAW	16,000.
UNIVERSITY OF HAWAII 230 DOLE ST SAKAMAKI HALL D-200 HONOLULU, HI 96822	NONE	PUBLIC CHARITY	UH BEKESY LAB	15,000.
VOLUNTEER LEGAL SERVICES HAWAII 545 QUEEN ST STE 100 HONOLULU, HI 96813	NONE	PUBLIC CHARITY	UNRESTRICTED GIFT	50,000.
Total from continuation sheets				

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
FIRST HAWAIIAN BANK	4.	4.	
MERRILL LYNCH #145-03157	4,898.	4,898.	
MERRILL LYNCH #145-03162 #1	5,539.	5,539.	
MERRILL LYNCH #145-03164	22.	22.	
MERRILL LYNCH #145-04B51	6.	6.	
MERRILL LYNCH #145-04B65	20,039.	20,039.	
UBS #26609	2.	2.	
Total to Part I, line 3	30,510.	30,510.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
MERRILL LYNCH #04B64	11,147.	267.	10,880.	10,880.	
MERRILL LYNCH #04B65	5,679.	0.	5,679.	5,679.	
MERRILL LYNCH #145-03157	27,446.	13,593.	13,853.	13,853.	
MERRILL LYNCH #145-03161	34,076.	0.	34,076.	34,076.	
MERRILL LYNCH #145-03163	11,320.	0.	11,320.	11,320.	
MERRILL LYNCH #145-03164	9,650.	0.	9,650.	9,650.	
MERRILL LYNCH #14B66	18,282.	0.	18,282.	18,282.	
UBS #26609 YM	7,439.	0.	7,439.	7,439.	
UBS #28187	126,271.	0.	126,271.	126,271.	
To Part I, line 4	251,310.	13,860.	237,450.	237,450.	

Form 990-PF	Other Income	Statement	3
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Description	(a) Revenue Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income
MERRILL LYNCH OID #145-03157	1,152.	1,152.	
MERRILL LYNCH OID #145-04B65	19,138.	19,138.	
OIL AND GAS ROYALTIES	47,718.	47,718.	
OTHER INCOME	2,059.	2,059.	
BS #28187 F1099 COLLECTIBLE GAIN	3,195.	3,195.	
Total to Form 990-PF, Part I, line 11	73,262.	73,262.	

Form 990-PF	Legal Fees	Statement	4
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
CADES SCHUTTE	3,820.	0.		3,820.
To Fm 990-PF, Pg 1, ln 16a	3,820.	0.		3,820.

Form 990-PF	Accounting Fees	Statement	5
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ACCOUNTING FEES	12,434.	0.		12,434.
To Form 990-PF, Pg 1, ln 16b	12,434.	0.		12,434.

Form 990-PF	Other Professional Fees	Statement	6
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
INVESTMENT ADVISORY - ML	52,167.	52,167.		0.
INVESTMENT ADVISORY - UBS	9,314.	9,314.		0.
To Form 990-PF, Pg 1, ln 16c	61,481.	61,481.		0.

Form 990-PF	Taxes	Statement	7
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
FOREIGN TAXES	5,043.	5,043.		0.
OIL & GAS PRODUCTION TAXES - ENCANA OIL	52.	52.		0.
OIL & GAS PRODUCTION TAXES WEXPRO CO	1,611.	1,611.		0.
OIL & GAS PRODUCTION TAXES BLACK HILLS EXP & PROD	2,712.	2,712.		0.
To Form 990-PF, Pg 1, ln 18	9,418.	9,418.		0.

Form 990-PF	Other Expenses	Statement	8
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Description	(a) Expenses Per Books	(b) Net Invest- ment Income	(c) Adjusted Net Income	(d) Charitable Purposes
ADMINISTRATION FEES	25,130.	0.		25,130.
To Form 990-PF, Pg 1, ln 23	25,130.	0.		25,130.

Form 990-PF U.S. and State/City Government Obligations Statement 9

Description	U.S. Gov't	Other Gov't	Book Value	Fair Market Value
U.S. GOVERNMENT/STATE OBLIGATIONS	X		508,578.	508,488.
Total U.S. Government Obligations			508,578.	508,488.
Total State and Municipal Government Obligations				
Total to Form 990-PF, Part II, line 10a			508,578.	508,488.

Form 990-PF Corporate Stock Statement 10

Description	Book Value	Fair Market Value
CORPORATE STOCK	8,296,795.	9,791,599.
Total to Form 990-PF, Part II, line 10b	8,296,795.	9,791,599.

Form 990-PF Corporate Bonds Statement 11

Description	Book Value	Fair Market Value
CORPORATE BONDS	1,192,256.	1,245,862.
Total to Form 990-PF, Part II, line 10c	1,192,256.	1,245,862.

Form 990-PF Other Investments Statement 12

Description	Valuation Method	Book Value	Fair Market Value
OIL AND GAS LEASES	COST	27,633.	27,633.
MA FDG III L.P.	COST	250,000.	250,000.
Total to Form 990-PF, Part II, line 13		277,633.	277,633.

Form 990-PF

Part VIII - List of Officers, Directors
Trustees and Foundation Managers

Statement 13

Name and Address	Title and Avrg Hrs/Wk	Compen- sation	Employee Ben Plan Expense Contrib Account
JAMES S. CAMPBELL 1000 BISHOP ST, STE 1500 HONOLULU, HI 96813	DIRECTOR/PRESIDENT 0.00	0.	0. 0.
IAN MCLEAN COOKE 1000 BISHOP ST, STE 1500 HONOLULU, HI 96813	DIRECTOR 0.00	0.	0. 0.
E. GUNNER SCHULL 1000 BISHOP ST, STE 1500 HONOLULU, HI 96813	DIRECTOR/VP/SECY 6.00	0.	0. 0.
MARRY TAKUMI 1000 BISHOP ST, STE 1500 HONOLULU, HI 96813	DIRECTOR/VP/TREASURER 0.00	0.	0. 0.
HONDA GRISWOLD 1000 BISHOP ST, STE 1500 HONOLULU, HI 96813	DIRECTOR/VP 0.00	0.	0. 0.
Totals included on 990-PF, Page 6, Part VIII		0.	0. 0.

• If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

• If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions	
Type or print Name of exempt organization or other filer, see instructions THE CADES FOUNDATION	Employer identification number (EIN) or 99-0285922
File by the due date for filing your return. See instructions Number, street, and room or suite no. If a P.O. box, see instructions C/O M.T. INGRAHAM, CPA - 1188 BISHOP ST, STE 2207	Social security number (SSN)
City, town or post office, state, and ZIP code. For a foreign address, see instructions. HONOLULU, HI 96813	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

E. GUNNER SCHULL

• The books are in the care of **1000 BISHOP STREET, SUITE 1500 - HONOLULU, HI 96813**

Telephone No. **808-521-9200**

Fax No.

• If the organization does not have an office or place of business in the United States, check this box ☐

• If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

4 I request an additional 3-month extension of time until **November 15, 2014.**

5 For calendar year **2013**, or other tax year beginning , and ending

6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

7 State in detail why you need the extension

INVESTMENT AND BROKERAGE INFORMATION NECESSARY TO PREPARE ALL NECESSARY RECONCILIATIONS IS NOT YET AVAILABLE. ADDITIONAL TIME IS REQUESTED IN ORDER TO GATHER THIS DATA AND PREPARE A COMPLETE AND ACCURATE RETURN.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	12,000.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	12,581.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature

Title

Date

Form 8868 (Rev. 1-2014)

UBS Financial Services Inc.

1000 Harbor Boulevard
Weehawken, NJ 07086-6790
Federal ID 13-2638166

Your Financial Advisor:

YASUKAWA/MCCLAFFERTY
808-536-4511/800-342-2828

Payer

THE CADES FOUNDATION
1000 BISHOP ST STE1200
HONOLULU HI 96813-4298

2013 Informational Statement

Account Number: JR 28187 YM
Tax Identification Number: 99-0285922

Proceeds from Broker Transactions

Long-term transactions for noncovered securities, included in Box 2a

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8) IVA WORLDWIDE FUND CLASS A										
					CUSIP 45070A107		Symbol (Box 1d) IVWAX			
Sell	4,640.0250		10/01/10	12/09/13	85,000.00	74,750.80				10,249.20
Description (Box 8) JANUS FLEXIBLE BOND FUND A										
					CUSIP 47103C761		Symbol (Box 1d) IDFAX			
Sell	18,450.1850		09/24/10	04/22/13	200,000.00	201,476.02				(1,476.02)
Sell	8,088.0350		09/24/10	12/09/13	85,000.00	88,321.34				(3,321.34)
Sub Total	26,538.2200				285,000.00	289,797.36				(4,797.36)
Description (Box 8) NEUBERGER BERMAN EQUITY INCOME FUND CLASS A										
					CUSIP 641224522		Symbol (Box 1d) NBHAX			
Sell	6,860.7950		03/04/11	12/09/13	85,000.00	77,595.59				7,404.41
Total	38,039.0400				\$455,000.00	\$442,143.75				\$12,856.25
Total					\$455,000.00					

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1000 BISHOP ST STE1200
HONOLULU HI 96813-4298

2013 Informational Statement

Account Number: JR 26609 YM
Tax Identification Number: 99-0285922

Proceeds from Broker Transactions

Short-term transactions for covered securities, included in Box 2a

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8) AGILENT TECHNOLOGIES INC										
						CUSIP 00846U101	Symbol (Box 1d) A			
Sell	301.0000		01/04/13	03/20/13	12,815.84	12,713.28				102.56
Description (Box 8) BB&T CORP										
						CUSIP 054937107	Symbol (Box 1d) BBT			
Sell	102.0000		01/04/13	05/14/13	3,252.98	3,052.53				200.45
Description (Box 8) BED BATH & BEYOND INC										
						CUSIP 075896100	Symbol (Box 1d) BBBY			
Sell	178.0000		01/04/13	05/14/13	12,228.31	10,108.62				2,119.69
Description (Box 8) COACH INC										
						CUSIP 189754104	Symbol (Box 1d) COH			
Sell	227.0000		01/04/13	01/30/13	11,677.10	12,671.03				(993.93)
Description (Box 8) EBAY INC										
						CUSIP 278642103	Symbol (Box 1d) EBAY			
Sell	111.0000		08/16/12	01/04/13	5,828.49	5,032.26				796.23
Description (Box 8) EDWARDS LIFESCIENCES CORP										
						CUSIP 28176E108	Symbol (Box 1d) EW			
Sell	163.0000		03/20/13	05/23/13	10,737.66	13,173.84				(2,436.18)
Description (Box 8) EMC CORP MASS										
						CUSIP 268648102	Symbol (Box 1d) EMC			
Sell	51.0000		01/04/13	07/10/13	1,247.36	1,231.14				16.22

continued next page

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2013 Informational Statement

Account Number: JR 26609 YM
Tax Identification Number: 99-0285922

Proceeds from Broker Transactions (continued)

Short-term transactions for covered securities, included in Box 2a (continued)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/loss (\$)
Description (Box 8) FASTENAL CO										
					CUSIP 311900104	Symbol (Box 1d) FAST				
Sell	211 0000		01/31/13	08/20/13	9,469 90	10,404 96				(935.06)
Description (Box 8) JOHNSON & JOHNSON COM										
					CUSIP 478160104	Symbol (Box 1d) JNJ				
Sell	49.0000		06/28/12	01/04/13	3,500.48	3,264 46				236 02
Description (Box 8) MICROSOFT CORP										
					CUSIP 594918104	Symbol (Box 1d) MSFT				
Sell	471 0000		01/04/13	06/21/13	15,664 06	12,691.71				2,972.35
Description (Box 8) MONSANTO CO NEW										
					CUSIP 61166W101	Symbol (Box 1d) MON				
Sell	70 0000		05/29/12	01/04/13	6,679.47	5,202 35				1,477 12
Description (Box 8) PERRIGO CO **MERGER-EFF-12/2013**										
					CUSIP 714290103					
Exchange	93 0000		12/21/12	12/19/13	14,447 51	9,553 07				4,894 44
Exchange	25 0000		01/04/13	12/19/13	3,883 74	2,695 50				1,188 24
Sub Total	118 0000				18,331.25	12,248.57				6,082.68
Description (Box 8) PRECISION CASTPARTS CORP										
					CUSIP 740189105	Symbol (Box 1d) PCP				
Sell	24.0000		09/21/12	01/04/13	4,601.59	3,934 89				666 70
Description (Box 8) ROPER INDS INC NEW										
					CUSIP 776696106	Symbol (Box 1d) ROP				
Sell	32.0000		03/15/12	01/04/13	3,682.16	3,167 89				514.27

continued next page

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2013 Informational Statement

Account Number: JR 26609 YM
Tax Identification Number: 99-0285922

Proceeds from Broker Transactions (continued)

Short-term transactions for covered securities, included in Box 2a (continued)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8) TRAVELERS COS INC/THE					CUSIP 89417E109		Symbol (Box 1d) TRV			
Sell	87 0000		02/08/12	01/04/13	6,401.32	5,181.58				1,219.74
Description (Box 8) VF CORP					CUSIP 918204108		Symbol (Box 1d) VFC			
Sell	3 0000		02/24/12	01/04/13	455.43	437.98				17.45
Total	2,198.0000				\$126,573.40	\$114,517.09				\$12,056.31

Long-term transactions for covered securities, included in Box 2a

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8) AMERICAN TOWER CORP REIT					CUSIP 03027X100		Symbol (Box 1d) AMT			
Sell	84 0000		10/03/11	01/04/13	6,469.54	4,562.62				1,906.92
Sell	78 0000		10/03/11	07/19/13	5,811.57	4,236.72				1,574.85
Sell	87 0000		04/25/12	07/19/13	6,482.14	5,627.01				855.13
Sub Total	249.0000				18,763.25	14,426.35				4,336.90
Description (Box 8) BB&T CORP					CUSIP 054937107		Symbol (Box 1d) BBT			
Sell	322.0000		02/09/12	05/14/13	10,269.22	9,532.78				736.44

continued next page

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2013 Informational Statement

Account Number: JR 26609 YM
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Proceeds from Broker Transactions (continued)

Long-term transactions for covered securities, included in Box 2a (continued)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/loss (\$)
Description (Box 8) CAPITAL ONE FINCL CORP										
					CUSIP 14040H105		Symbol (Box 1d) COF			
Sell	29 0000		03/11/11	01/04/13	1,775 72	1,439 62				336 10
Sell	207 0000		03/11/11	03/05/13	11,169 53	10,275 94				893 59
Sub Total	236 0000				12,945 25	11,715 56				1,229.69
Description (Box 8) GOOGLE INC CL A										
					CUSIP 38259P508		Symbol (Box 1d) GOOG			
Sell	5 0000		02/02/11	01/04/13	3,657.57	3,058 04				599.53
Description (Box 8) NATL-OILWELLVARCO INC										
					CUSIP 637071101		Symbol (Box 1d) NOV			
Sell	21 0000		01/10/11	01/04/13	1,490 34	1,349 71				140 63
Sell	179 0000		01/10/11	05/17/13	12,292 72	11,504 71				788 01
Sub Total	200 0000				13,783.06	12,854 42				928.64
Description (Box 8) ROPER INDS INC NEW										
					CUSIP 776696106		Symbol (Box 1d) ROP			
Sell	32 0000		03/15/12	05/22/13	3,996 98	3,167 88				829 10
Sell	78 0000		03/16/12	05/22/13	9,742 64	7,756 77				1,985 87
Sub Total	110.0000				13,739.62	10,924 65				2,814 97
Description (Box 8) VF CORP										
					CUSIP 918204108		Symbol (Box 1d) VFC			
Sell	83 0000		02/24/12	08/28/13	15,504.67	12,117.38				3,387 29
Total	1,205.0000				\$88,662.64	\$74,629.18				\$14,033 46

continued next page

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2013 Informational Statement

Account Number: JR 26609 YM
Tax Identification Number: 99-0285922

Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities, included in Box 2a

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/loss (\$)
Description (Box 8) ACCENTURE PLC IRELAND CL A					CUSIP G1151C101		Symbol (Box 1d) ACN			
Sell	109 0000		05/12/09	01/04/13	7,496 49	3,189 12				4,307 37
Sell	185 0000		05/12/09	07/19/13	13,852 49	5,412 73				8,439 76
Sub Total	294 0000				21,348.98	8,601 85				12,747.13
Description (Box 8) CDN NATL RAILWAY CO CAD					CUSIP 136375102		Symbol (Box 1d) CNI			
Sell	51.0000		06/18/09	01/04/13	4,669 82	2,142 55				2,527 27
Description (Box 8) COCA COLA CO COM					CUSIP 191216100		Symbol (Box 1d) KO			
Sell	93 0000		09/09/10	01/04/13	3,502 30	2,702 54				799 76
Sell	337 0000		09/09/10	09/20/13	13,094 01	9,793 09				3,300 92
Sub Total	430.0000				16,596 31	12,495.63				4,100.68
Description (Box 8) COLGATE PALMOLIVE CO					CUSIP 194162103		Symbol (Box 1d) CL			
Sell	40 0000		05/12/09	01/04/13	4,275.23	2,511 92				1,763 31
Description (Box 8) EMC CORP MASS					CUSIP 268648102		Symbol (Box 1d) EMC			
Sell	476 0000		10/05/09	07/10/13	11,642 00	8,020.79				3,621.21
Description (Box 8) EXXON MOBIL CORP					CUSIP 30231G102		Symbol (Box 1d) XOM			
Sell	60 0000		07/12/10	01/04/13	5,324 28	3,518.68				1,805 60

continued next page

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2013 Informational Statement

Account Number: JR 26609 YM
Tax Identification Number: 99-0285922

Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities, included in Box 2a (continued)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/loss (\$)
Description (Box 8) INTL BUSINESS MACH					CUSIP 459200101		Symbol (Box 1d) IBM			
Sell	28 0000		05/15/09	01/04/13	5,415 36	2,870 80				2,544 56
Sell	66 0000		05/15/09	08/09/13	12,456 73	6,766 89				5,689 84
Sub Total	94 0000				17,872 09	9,637.69				8,234.40
Description (Box 8) INTUITIVE SURGICAL INC NEW					CUSIP 46120E602		Symbol (Box 1d) ISRG			
Sell	7 0000		08/26/10	01/04/13	3,520 99	1,905 44				1,615 55
Sell	25 0000		08/26/10	05/23/13	11,923 42	6,805 12				5,118 30
Sub Total	32.0000				15,444 41	8,710 56				6,733.85
Description (Box 8) KRAFT FOODS GROUP INC					CUSIP 50076Q106		Symbol (Box 1d) KRFT			
Sell	13 0000		05/12/09	01/04/13	592 84	344 49				248 35
Sell	113 0000		05/12/09	05/14/13	6,119 56	2,994 48				3,125 08
Sub Total	126 0000				6,712 40	3,338 97				3,373.43
Description (Box 8) MONDELEZ INTL INC					CUSIP 609207105		Symbol (Box 1d) MDLZ			
Sell	97 0000		05/12/09	01/04/13	2,586 93	1,596.30				990 63
Description (Box 8) PRAXAIR INC					CUSIP 74005P104		Symbol (Box 1d) PX			
Sell	28 0000		05/12/09	01/04/13	3,163 09	2,002 53				1,160 56
Description (Box 8) QUALCOMM INC					CUSIP 747525103		Symbol (Box 1d) QCOM			
Sell	86.0000		05/26/06	01/04/13	5,449.70	3,944 50				1,505.20

continued next page

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2013 Informational Statement

Account Number: JR 26609 YM
Tax Identification Number: 99-0285922

Proceeds from Broker Transactions (continued)

Long-term transactions for noncovered securities, included in Box 2a (continued)

Activity type	Quantity / face value (Box 1e)	Original cost basis (\$)	Date acquired (Box 1b)	Date of sale / exchange (Box 1a)	Sale amount (Box 2a) (\$)	Cost or other basis (Box 3) (\$)	Wash sale loss disallowed (Box 5) (\$)	Federal income tax withheld (Box 4) (\$)	Loss not allowed (Box 2b)	Gain/Loss (\$)
Description (Box 8) SCHLUMBERGER LTD NETHERLANDS ANTILLES										
					CUSIP 806857108	Symbol (Box 1d) SLB				
Sell	36.0000		05/12/09	01/04/13	2,595.41	1,996.92				598.49
Description (Box 8) TJX COS INC NEW										
					CUSIP 872540109	Symbol (Box 1d) TJX				
Sell	75.0000		05/25/10	01/04/13	3,343.67	1,660.00				1,683.67
Description (Box 8) UNITED PARCEL SERVICE INC CL B										
					CUSIP 911312106	Symbol (Box 1d) UPS				
Sell	22.0000		08/02/10	01/04/13	1,690.51	1,448.30				242.21
Sell	165.0000		08/02/10	05/17/13	14,605.95	10,862.28				3,743.67
Sub Total	187.0000				16,296.46	12,310.58				3,985.88
Description (Box 8) UNTD TECHNOLOGIES CORP										
					CUSIP 913017109	Symbol (Box 1d) UTX				
Sell	27.0000		04/09/07	01/04/13	2,280.10	1,755.18				524.92
Total	2,139.0000				\$139,600.88	\$84,244.65				\$55,356.23
Total					\$354,836.92					

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HONOLULU HI 96813-4298

2013 Informational Statement

Account Number. JR 26609 YM
Tax Identification Number 99-0285922

2013 Realized Gain/Loss Summary

Description	Sale amount	Cost/other basis	Wash sale loss disallowed	Net Gain/Loss
Short-term Gain/Loss				
Short-term transactions for covered securities, included in Box 2a	126,573 40	114,517 09		12,056 31
Total short-term	\$126,573 40	\$114,517 09		\$12,056 31
Long-term Gain/Loss				
Long-term transactions for covered securities, included in Box 2a	88,662 64	74,629 18		14,033 46
Long-term transactions for noncovered securities, included in Box 2a	139,600 88	84,244 65		55,356 23
Total long-term	\$228,263 52	\$158,873 83		\$69,389 69
Sub Total	\$354,836 92	\$273,390 92		\$81,446 00
Totals	\$354,836 92	\$273,390 92		\$81,446 00

Dividends and Distributions Details

Transactions shown as "Qualified Dividend" only indicate that the dividend is issuer-qualified. We do not compute the holding period required for a qualified dividend. It is the responsibility of the taxpayer to determine whether the holding period requirements have been met. To assist you, we include the ex-dividend date information if available.

Security Description	CUSIP	Activity Description	Ex Date	Payment Date	Amount
ACCENTURE PLC IRELAND CLA	G1151C101	Qualified Foreign Div	04/10/13	05/15/13	\$ 149.85
ALLERGAN INC	018490102	Qualified Dividend	02/26/13	03/21/13	6 55
		Qualified Dividend	05/21/13	06/13/13	6 55
		Qualified Dividend	08/20/13	09/12/13	6 55
		Qualified Dividend	11/18/13	12/11/13	6 55
		Sub-Total:			\$ 26 20
AMER EXPRESS CO	025816109	Qualified Dividend	07/02/13	08/09/13	39 79
		Qualified Dividend	10/02/13	11/08/13	39 79
		Sub-Total:			\$ 79.58
AMERICAN TOWER CORP REIT	03027X100	Dividend	04/08/13	04/25/13	32 67
		Qualified Dividend	04/08/13	04/25/13	10 23
		Dividend	06/13/13	07/16/13	33 92
		Qualified Dividend	06/13/13	07/16/13	10 63
		Sub-Total:			\$ 87.45

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THE CADES FOUNDATION

2013 TAX REPORTING STATEMENT

The following sections are provided to facilitate your review and the preparation of your tax return

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline. Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available) but the adjusted basis will not be transmitted to the IRS.

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

ALLERGAN INC	12.0000	Sale	CUSIP Number 10/08/13	018490102	12/11/13	1,148.74	1,072.57	0.00	76.17	
ALLEGHENY TECH INC	12.0000	Sale	CUSIP Number 06/21/12	01741R102	02/21/13	369.98	369.56	0.00	0.42	
58.0000	Sale	12/21/12	02/21/13	1,788.26	1,721.43	1,788.26	1,721.43	0.00	66.83	
160.0000	Sale	12/21/12	03/04/13	4,727.11	4,748.78	4,727.11	4,748.78	0.00	(21.67)	
113.0000	Sale	12/21/12	04/15/13	3,188.80	3,353.83	3,188.80	3,353.83	0.00	(165.03)	
226.0000	Sale	12/21/12	06/28/13	5,990.88	6,707.66	5,990.88	6,707.66	0.00	(716.78)	
88.0000	Sale	01/29/13	06/28/13	2,332.74	2,723.66	2,332.74	2,723.66	0.00	(390.92)	
Security Subtotal				18,397.77	19,624.92	18,397.77	19,624.92	0.00	(1,227.15)	
ANHEUSER-BUSCH INBEV ADR	17.0000	Sale	CUSIP Number 11/01/13	03524A108	12/11/13	1,726.83	1,742.74	0.00	(15.91)	
AVAGO TECHNOLOGIES LTD	35.0000	Sale	CUSIP Number 09/19/13	Y0486S104	12/11/13	1,627.12	1,426.12	0.00	201.00	

THE CADES FOUNDATION

2013 TAX REPORTING STATEMENT

(OMB NO. 1545-0715)

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
Security Subtotal								
41L HLDG NV NY REG SHS		CUSIP Number	N07059210					
76 0000 Sale		04/18/13	07/09/13	6,231.27	5,431.90	0.00	799.37	
36.0000 Sale		04/18/13	07/10/13	2,992.90	2,573.00	0.00	419.90	
				9,224.17	8,004.90	0.00	1,219.27	
Security Subtotal								
ADARKO PETE CORP		CUSIP Number	032511107					
103.0000 Sale		12/21/12	05/10/13	8,840.75	7,778.01	0.00	1,062.74	
Security Subtotal								
BERRY GROF PLC-SP ADR		CUSIP Number	12082W204					
55.0000 Sale		07/12/12	03/12/13	2,336.57	1,988.69	0.00	347.88	
49.0000 Sale		09/12/12	03/12/13	2,081.69	1,703.50	0.00	378.19	
24.0000 Sale		09/12/12	06/28/13	977.32	834.36	0.00	142.96	
195.0000 Sale		12/21/12	06/28/13	7,940.75	7,679.10	0.00	261.65	
				13,336.33	12,205.65	0.00	1,130.68	
Security Subtotal								
COCK (THE) AND WILCOX		CUSIP Number	05615F102					
CO SHS		12/21/12	12/20/13	2,004.36	1,519.68	0.00	484.68	
59.0000 Sale		07/02/13	12/24/13	305.99	273.24	0.00	32.75	
64.0000 Sale		07/02/13	12/26/13	2,197.68	1,943.06	0.00	254.62	
				4,508.03	3,735.98	0.00	772.05	
Security Subtotal								
ING COMPANY		CUSIP Number	097023105					
13.0000 Sale		01/30/13	12/11/13	1,728.45	969.10	0.00	759.35	
Security Subtotal								
STOL-MYERS SQUIBB CO		CUSIP Number	110122108					
17.0000 Sale		02/04/13	12/11/13	860.19	623.66	0.00	236.53	
Security Subtotal								
MECO CORP COM		CUSIP Number	13321L108					
12.0000 Sale		03/15/12	03/05/13	248.46	276.51	0.00	(28.05)	
286.0000 Sale		12/21/12	03/05/13	5,921.73	5,748.11	0.00	173.62	
200.0000 Sale		12/21/12	03/06/13	4,155.63	4,019.66	0.00	135.97	
				10,325.82	10,044.28	0.00	281.54	
Security Subtotal								
CAREMARK CORP		CUSIP Number	126650100					
25.0000 Sale		11/27/13	12/11/13	1,710.09	1,669.95	0.00	40.14	





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THE CADES FOUNDATION

2013 TAX REPORTING STATEMENT

(OMB NO. 1545-07)

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CTrip.COM INTL LTD ADR								
133.0000	Sale	07/19/12	03/13/13	2,643.05	1,969.25	0.00	673.80	
14.0000	Sale	07/19/12	03/14/13	279.90	207.29	0.00	72.61	
56.0000	Sale	07/20/12	03/14/13	1,119.64	835.79	0.00	283.85	
31.0000	Sale	07/20/12	05/13/13	886.46	462.67	0.00	423.79	
152.0000	Sale	12/21/12	05/13/13	4,346.52	3,452.76	0.00	893.76	
148.0000	Sale	12/21/12	06/28/13	4,871.47	3,361.89	0.00	1,509.58	
76.0000	Sale	12/21/12	09/18/13	3,727.36	1,726.38	0.00	2,000.98	
23.0000	Sale	12/21/12	09/18/13	1,126.71	522.46	0.00	604.25	
14.0000	Sale	12/21/12	10/08/13	743.71	318.02	0.00	425.69	
110.0000	Sale	12/21/12	11/01/13	6,025.05	2,498.70	0.00	3,526.35	
103.0000	Sale	01/29/13	11/01/13	5,841.65	2,050.89	0.00	3,590.76	
29.0000	Sale	01/29/13	11/04/13	1,637.49	577.44	0.00	1,060.05	
				33,049.01	17,983.54	0.00	15,065.47	
Security Subtotal								
CATERPILLAR INC DEL								
33.0000	Sale	10/23/12	149123101	2,730.68	2,763.26	0.00	(32.58)	
76.0000	Sale	10/23/12	04/16/13	6,146.03	6,363.86	0.00	(217.83)	
26.0000	Sale	10/26/12	04/17/13	2,102.59	2,176.64	0.00	(74.05)	
42.0000	Sale	11/01/12	04/17/13	3,396.50	3,674.18	0.00	(277.68)	
				14,375.80	14,977.94	0.00	(602.14)	
Security Subtotal								
CONCHO RESOURCES INC								
5.0000	Sale	06/21/13	20605P101	493.74	425.95	0.00	67.79	
CIE FINANCIERE RICHEMONT								
168.0000	Sale	06/28/13	204319107	1,637.97	1,489.92	0.00	148.05	
COCA COLA COM								
34.0000	Sale	07/02/13	191216100	1,369.26	1,371.07	0.00	(1.81)	
298.0000	Sale	07/02/13	12/11/13	11,867.43	12,017.03	0.00	(149.60)	
				13,236.69	13,388.10	0.00	(151.41)	
Security Subtotal								
COLGATE PALMOLIVE								
21.0000	Sale	07/02/13	194162103	1,367.93	1,210.22	0.00	157.71	

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2013 TAX REPORTING STATEMENT

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
JMMINS INC								
20,000	COM Sale	05/16/12	231021106	2,314.91	2,027.89	0.00	287.02	
9,000	Sale	07/13/12	01/30/13	1,041.71	778.56	0.00	263.15	
22,000	Sale	07/13/12	01/30/13	2,502.06	1,903.14	0.00	598.92	
21,000	Sale	10/04/12	04/15/13	2,388.33	1,932.48	0.00	455.85	
1,000	Sale	10/04/12	04/15/13	111.27	92.02	0.00	19.25	
19,000	Sale	10/17/12	04/16/13	2,114.14	1,759.55	0.00	354.59	
2,000	Sale	12/21/12	04/16/13	222.55	213.39	0.00	9.16	
5,000	Sale	12/21/12	12/11/13	654.19	533.49	0.00	120.70	
	Security Subtotal			11,349.16	9,240.52	0.00	2,108.64	
INBURY RES INC								
154,000	Sale	08/06/12	247916208	2,683.71	2,406.53	0.00	277.18	
366,000	Sale	12/21/12	07/02/13	6,378.17	5,874.48	0.00	503.69	
76,000	Sale	12/21/12	07/03/13	1,319.08	1,219.84	0.00	99.24	
	Security Subtotal			10,380.96	9,500.85	0.00	880.11	
UNIX INC								
16,000	Sale	04/25/13	29444U502	2,939.14	3,403.18	0.00	(464.04)	
YAY INC								
163,000	COM Sale	02/13/13	278642103	8,084.68	9,277.59	0.00	(1,192.91)	
75,000	Sale	06/21/13	11/27/13	3,719.95	3,834.06	0.00	(114.11)	
	Security Subtotal			11,804.63	13,111.65	0.00	(1,307.02)	
PRESS SCRIPTS HLDG CO								
160,000	Sale	01/25/12	30219G108	8,510.49	8,371.60	0.00	138.89	
17,000	Sale	02/07/12	01/24/13	904.24	872.60	0.00	31.64	
75,000	Sale	11/06/12	01/24/13	3,989.30	4,064.41	0.00	(75.11)	
	Security Subtotal			13,404.03	13,308.61	0.00	95.42	
PERSON ELEC CO								
43,000	Sale	05/16/12	291011104	2,327.28	2,040.63	0.00	286.65	
1,000	Sale	04/16/13	04/16/13	54.13	47.22	0.00	6.91	
42,000	Sale	05/25/12	04/29/13	2,302.02	1,983.20	0.00	318.82	
	Security Subtotal			4,683.43	4,071.05	0.00	612.38	





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2013 TAX REPORTING STATEMENT

(OMB NO. 1545-0715)

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FREEPRT-MCMRAN CPR & GLD								
76.0000	Sale	03/07/12	02/26/13	2,395.71	2,968.35	0.00	(572.64)	
11.0000	Sale	05/16/12	02/26/13	346.75	360.63	0.00	(13.88)	
61.0000	Sale	05/16/12	04/10/13	2,068.07	1,999.88	0.00	68.19	
21.0000	Sale	06/04/12	04/10/13	711.97	674.03	0.00	37.94	
236.0000	Sale	12/21/12	06/28/13	6,430.49	7,865.12	0.00	(1,434.63)	
				11,952.99	13,868.01	0.00	(1,915.02)	
Security Subtotal								
FACEBOOK INC CLASS A COMMON STOCK								
326.0000	Sale	10/24/12	06/21/13	7,938.41	7,686.69	0.00	251.72	
197.0000	Sale	11/01/12	06/21/13	4,797.15	4,156.19	0.00	640.96	
				12,735.56	11,842.88	0.00	892.68	
Security Subtotal								
SPDR S P DIVID ETF								
60.0000	Sale	11/27/13	12/17/13	4,317.52	4,389.77	0.00	(72.25)	
499.0000	Sale	11/27/13	12/31/13	36,229.96	36,508.29	0.00	(278.33)	
				40,547.48	40,898.06	0.00	(350.58)	
Security Subtotal								
GOLDCORP INC								
56.0000	Sale	04/25/12	04/10/13	1,767.15	2,283.53	0.00	(516.38)	
15.0000	Sale	12/21/12	04/10/13	473.35	530.25	0.00	(56.90)	
147.0000	Sale	12/21/12	06/28/13	3,364.80	5,196.45	0.00	(1,831.65)	
67.0000	Sale	01/08/13	06/28/13	1,533.61	2,392.69	0.00	(859.08)	
93.0000	Sale	02/26/13	06/28/13	2,128.76	3,126.92	0.00	(998.16)	
				9,267.67	13,529.84	0.00	(4,262.17)	
Security Subtotal								
HARLEY DAVIDSON INC WIS								
16.0000	Sale	06/28/13	12/11/13	1,093.34	877.65	0.00	215.69	
HERSHEY COMPANY								
15.0000	Sale	07/02/13	12/11/13	1,441.17	1,345.81	0.00	95.36	

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(OMB NO. 1545-0715)

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
JITVE SURGICAL INC								
		CUSIP Number	46120E602					
4 0000	Sale	03/01/13	11/27/13	1,503.95	2,211.41	0.00	(707.46)	
5 0000	Sale	04/02/13	11/27/13	1,879.95	2,463.73	0.00	(583.78)	
4 0000	Sale	04/19/13	11/27/13	1,503.95	1,881.40	0.00	(377.45)	
4 0000	Sale	04/22/13	11/27/13	1,503.95	1,893.69	0.00	(389.74)	
Security Subtotal				6,391.80	8,450.23	0.00	(2,058.43)	
IMINA INC								
		CUSIP Number	452327109					
9 0000	Sale	04/17/12	04/17/13	516.46	400.89	0.00	115.57	
11 0000	Sale	04/18/12	04/17/13	631.23	493.15	0.00	138.08	
23 0000	Sale	06/04/12	04/25/13	1,442.12	930.19	0.00	511.93	
12 0000	Sale	06/04/12	05/09/13	805.35	485.32	0.00	320.03	
25 0000	Sale	06/05/12	05/09/13	1,677.82	1,017.21	0.00	660.61	
13 0000	Sale	08/21/12	07/26/13	1,054.57	545.59	0.00	508.98	
28 0000	Sale	12/21/12	10/08/13	2,129.03	1,581.95	0.00	547.08	
31 0000	Sale	12/21/12	11/27/13	3,085.58	1,751.44	0.00	1,334.14	
74 0000	Sale	12/21/12	11/29/13	7,243.01	4,180.87	0.00	3,062.14	
9 0000	Sale	12/21/12	12/02/13	873.85	508.48	0.00	365.37	
22 0000	Sale	12/21/12	12/11/13	2,195.67	1,242.96	0.00	952.71	
Security Subtotal				21,654.69	13,138.05	0.00	8,516.64	
JIT INC								
		CUSIP Number	461202103					
41 0000	Sale	09/21/12	07/02/13	2,543.70	2,401.13	0.00	142.57	
37 0000	Sale	04/15/13	07/02/13	2,295.54	2,374.32	0.00	(78.78)	
23 0000	Sale	04/25/13	07/02/13	1,426.97	1,311.18	0.00	115.79	
19 0000	Sale	04/25/13	07/03/13	1,178.54	1,083.15	0.00	95.39	
Security Subtotal				7,444.75	7,169.78	0.00	274.97	
VEGAS SANDS CORP								
		CUSIP Number	517834107					
35 0000	Sale	06/28/13	12/11/13	2,688.48	1,834.14	0.00	854.34	
VEDIN CORP								
		CUSIP Number	53578A108					
20 0000	Sale	03/09/12	01/29/13	2,534.66	1,814.51	0.00	720.15	
13 0000	Sale	05/29/12	04/02/13	2,255.52	1,270.54	0.00	984.98	
Security Subtotal				4,790.18	3,085.05	0.00	1,705.13	





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1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	CL A	CUSIP Number	526057104					
72.0000	Sale	05/13/13	06/28/13	2,623.95	3,112.52	0.00	(488.57)	
152.0000	Sale	05/14/13	06/28/13	5,539.48	6,641.58	0.00	(1,102.10)	
	Security Subtotal			8,163.43	9,754.10	0.00	(1,590.67)	
	MEAD JOHNSON NUTRITION CO	CUSIP Number	582839106					
18.0000	Sale	06/21/13	12/11/13	1,529.70	1,408.64	0.00	121.06	
	MERCK AND CO INC SHS	CUSIP Number	58933Y105					
53.0000	Sale	04/15/13	10/08/13	2,536.07	2,487.10	0.00	48.97	
25.0000	Sale	04/15/13	12/11/13	1,223.23	1,173.16	0.00	50.07	
	Security Subtotal			3,759.30	3,660.26	0.00	99.04	
	MICHAEL KORS HLDGS LTD SHS	CUSIP Number	G60754101					
14.0000	Sale	11/27/13	12/11/13	1,130.07	1,141.96	0.00	(11.89)	
	NATIONAL-OILWELL VARCO INC	CUSIP Number	637071101					
30.0000	Sale	05/11/12	02/26/13	1,984.21	2,059.69	0.00	(75.48)	
15.0000	Sale	05/25/12	02/26/13	992.11	1,020.15	0.00	(28.04)	
	Security Subtotal			2,976.32	3,079.84	0.00	(103.52)	
	NVIDIA	CUSIP Number	67066G104					
421.0000	Sale	12/21/12	06/21/13	6,079.09	5,164.59	0.00	914.50	
748.0000	Sale	12/21/12	06/24/13	10,532.26	9,176.03	0.00	1,356.23	
103.0000	Sale	12/21/12	12/11/13	1,591.48	1,261.10	0.00	330.38	
	Security Subtotal			18,202.83	15,601.72	0.00	2,601.11	
	NETSUITE INC	CUSIP Number	64118Q107					
17.0000	Sale	02/27/13	12/11/13	1,642.43	1,176.04	0.00	466.39	
	NIKE INC CL B	CUSIP Number	654106103					
13.0000	Sale	07/02/13	12/11/13	1,002.28	813.71	0.00	188.57	
	OCEANEERING INTL INC	CUSIP Number	675232102					
17.0000	Sale	06/28/13	12/11/13	1,306.26	1,229.57	0.00	76.69	

THE CADES FOUNDATION

2013 TAX REPORTING STATEMENT

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
Security Subtotal								
RICELINE COM INC								
8.0000	Sale	03/11/13	741503403	7,774.45	5,790.31	0.00	1,984.14	
2.0000	Sale	03/11/13	09/17/13	2,361.47	1,447.58	0.00	913.89	
			12/11/13	10,135.92	7,237.89	0.00	2,898.03	
Security Subtotal								
ARKER HANNIFIN CORP								
28.0000	Sale	10/17/12	701094104	2,627.54	2,352.71	0.00	274.83	
36.0000	Sale	10/17/12	01/30/13	3,286.19	3,024.91	0.00	261.28	
26.0000	Sale	10/17/12	02/26/13	2,284.35	2,184.66	0.00	99.69	
27.0000	Sale	10/17/12	04/16/13	2,579.97	2,268.69	0.00	311.28	
50.0000	Sale	10/19/12	07/02/13	4,777.73	3,951.60	0.00	826.13	
30.0000	Sale	11/01/12	07/02/13	2,866.64	2,413.68	0.00	452.96	
16.0000	Sale	11/01/12	07/03/13	1,523.48	1,287.29	0.00	236.19	
				19,945.90	17,483.54	0.00	2,462.36	
Security Subtotal								
UINTILES TRANSNATIONAL								
94.0000	Sale	09/24/13	74876Y101	4,070.60	4,221.30	0.00	(150.70)	
Security Subtotal								
UALCOMM INC								
13.0000	Sale	05/25/12	747525103	806.36	744.76	0.00	61.60	
Security Subtotal								
OCKWELL AUTOMATION INC								
17.0000	Sale	02/06/12	773903109	1,508.65	1,382.83	0.00	125.82	
11.0000	Sale	03/06/12	01/30/13	976.20	850.02	0.00	126.18	
30.0000	Sale	04/17/12	04/15/13	2,557.46	2,373.67	0.00	183.79	
24.0000	Sale	05/16/12	04/15/13	2,045.98	1,807.20	0.00	238.78	
				7,088.29	6,413.72	0.00	674.57	
Security Subtotal								
ED HAT INC								
71.0000	Sale	12/21/12	756577102	3,313.51	3,889.42	0.00	(575.91)	
48.0000	Sale	04/02/13	11/27/13	2,240.12	2,362.35	0.00	(122.23)	
45.0000	Sale	04/10/13	11/27/13	2,100.11	2,243.09	0.00	(142.98)	
46.0000	Sale	04/17/13	11/27/13	2,146.78	2,315.65	0.00	(168.87)	
				9,800.52	10,810.51	0.00	(1,009.99)	
Security Subtotal								
ACKSPACE HOSTING INC								
56.0000	Sale	07/19/12	750086100	2,082.94	2,516.60	0.00	(433.66)	
22.0000	Sale	07/19/12	06/21/13	788.45	988.67	0.00	(200.22)	
46.0000	Sale	02/13/13	06/24/13	1,648.59	2,775.63	0.00	(1,127.04)	





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2013 TAX REPORTING STATEMENT

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
		CUSIP Number						
	RACKSPACE HOSTING INC	02/21/13	06/24/13	1,576.92	2,420.06	0.00	(843.14)	
	44,0000 Sale	04/15/13	06/24/13	2,759.61	3,684.54	0.00	(924.93)	
	77,0000 Sale	04/17/13	06/24/13	1,612.76	2,116.47	0.00	(503.71)	
	45,0000 Sale	05/09/13	06/24/13	2,150.36	2,391.22	0.00	(240.86)	
	60,0000 Sale			12,619.63	16,893.19	0.00	(4,273.56)	
	Security Subtotal							
	RALPH LAUREN CORP	05/24/12	07/02/13	2,301.12	1,924.37	0.00	376.75	
	13,0000 Sale	07/05/12	07/02/13	2,069.20	1,778.39	0.00	290.81	
	12,0000 Sale	01/24/13		2,931.39	2,803.86	0.00	127.53	
	17,0000 Sale			7,301.71	6,506.62	0.00	795.09	
	Security Subtotal							
	ROCHE HLDG LTD SPN ADR	05/08/12	04/18/13	2,568.29	1,791.00	0.00	777.29	
	42,0000 Sale							
	SCHLUMBERGER LTD	05/25/12	04/15/13	2,132.87	1,893.89	0.00	238.98	
	29,0000 Sale	05/25/12	04/16/13	4,086.05	3,657.15	0.00	428.90	
	56,0000 Sale	05/25/12	04/19/13	2,374.59	2,220.42	0.00	154.17	
	34,0000 Sale	10/04/12	04/19/13	628.58	645.83	0.00	(17.25)	
	9,0000 Sale			9,222.09	8,417.29	0.00	804.80	
	Security Subtotal							
	SCHWAB CHARLES CORP NEW	07/19/12	02/21/13	2,278.44	1,798.93	0.00	479.51	
	139,0000 Sale	07/19/12	02/26/13	2,979.37	2,407.19	0.00	572.18	
	186,0000 Sale	12/21/12	02/26/13	864.98	771.38	0.00	93.60	
	54,0000 Sale	12/21/12	07/02/13	7,045.98	4,728.30	0.00	2,317.68	
	331,0000 Sale	12/21/12	12/11/13	2,054.43	1,171.36	0.00	883.07	
	82,0000 Sale			15,223.20	10,877.16	0.00	4,346.04	
	Security Subtotal							
	SERVICENOW INC	06/21/13	12/11/13	1,048.51	822.42	0.00	226.09	
	21,0000 Sale							
	STARBUCKS CORP	05/13/13	12/11/13	1,531.97	1,258.23	0.00	273.74	
	20,0000 Sale							

THE CADES FOUNDATION

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS (OMB NO. 1545-0715)

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1e. Quantity	Transaction Description	1b Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	SLA MTRS INC		88160R101					
49.0000	Sale	04/16/13	05/13/13	3,912.27	2,239.13	0.00	1,673.14	
8.0000	Sale	04/16/13	06/21/13	796.51	365.57	0.00	430.94	
72.0000	Sale	04/17/13	06/21/13	7,168.61	3,257.72	0.00	3,910.89	
29.0000	Sale	04/17/13	10/29/13	4,604.86	1,312.14	0.00	3,292.72	
35.0000	Sale	04/17/13	11/01/13	5,635.54	1,583.61	0.00	4,051.93	
	Security Subtotal			22,117.79	8,758.17	0.00	13,359.62	

ERMO FISHER SCIENTIFIC INC

			883556102					
13.0000	Sale	05/24/12	04/11/13	1,042.29	673.48	0.00	368.81	
24.0000	Sale	05/24/12	04/15/13	1,888.49	1,243.35	0.00	645.14	
72.0000	Sale	07/19/12	04/15/13	5,665.49	3,809.89	0.00	1,855.60	
43.0000	Sale	02/26/13	04/15/13	3,383.57	3,138.58	0.00	244.99	
	Security Subtotal			11,979.84	8,865.30	0.00	3,114.54	

IA INC CL A SHRS

			92826C839					
57.0000	Sale	10/01/12	02/13/13	8,866.60	7,790.90	0.00	1,075.70	
18.0000	Sale	04/12/13	12/11/13	3,727.74	2,986.35	0.00	741.39	
	Security Subtotal			12,594.34	10,777.25	0.00	1,817.09	

Covered Short Term Capital Gains and Losses Subtotal

T SHORT TERM CAPITAL GAINS AND LOSSES

ING TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

			009717101					
80.0000	Sale	01/17/12	09/19/13	4,229.94	2,571.07	0.00	1,658.87	
25.0000	Sale	01/17/12	12/11/13	1,117.48	803.46	0.00	314.02	
	Security Subtotal			5,347.42	3,374.53	0.00	1,972.89	



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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
AMAZON COM INC COM								
	18.0000 Sale	01/25/12	07/02/13	5,116.13	3,334.76	0.00	1,781.37	
	8.0000 Sale	01/25/12	12/11/13	3,064.83	1,482.12	0.00	1,582.71	
	1.0000 Sale	01/25/12	12/17/13	388.79	185.26	0.00	203.53	
	11.0000 Sale	02/01/12	12/17/13	4,276.70	1,966.24	0.00	2,310.46	
	Security Subtotal			12,846.45	6,968.38	0.00	5,878.07	
PROLOGIS INC								
	154.0000 Sale	01/05/12	06/28/13	5,809.02	4,501.56	0.00	1,307.46	
	88.0000 Sale	01/06/12	06/28/13	3,319.45	2,532.88	0.00	786.57	
	Security Subtotal			9,128.47	7,034.44	0.00	2,094.03	
AMER EXPRESS COMPANY								
	15.0000 Sale	09/28/11	12/11/13	1,266.73	710.98	0.00	555.75	
APPLE INC								
	8.0000 Sale	03/03/11	12/11/13	4,489.36	2,870.24	0.00	1,619.12	
	1.0000 Sale	03/04/11	12/11/13	561.17	358.18	0.00	202.99	
	Security Subtotal			5,050.53	3,228.42	0.00	1,822.11	
AUTODESK INC DEL PV\$0 01								
	25.0000 Sale	08/24/12	12/11/13	1,159.98	720.68	0.00	439.30	
BABCOCK (THE) AND WILCOX CO SHS								
	25.0000 Sale	05/25/12	12/11/13	817.98	599.86	0.00	218.12	
	9.0000 Sale	05/29/12	12/11/13	294.48	222.42	0.00	72.06	
	48.0000 Sale	05/29/12	12/20/13	1,630.66	1,186.22	0.00	444.44	
	141.0000 Sale	12/21/12	12/23/13	4,772.88	3,631.78	0.00	1,141.10	
	63.0000 Sale	12/21/12	12/24/13	2,141.92	1,622.71	0.00	519.21	
	Security Subtotal			9,657.92	7,262.99	0.00	2,394.93	
CITIGROUP INC COM NEW								
	60.0000 Sale	02/08/12	04/12/13	2,665.77	2,032.77	0.00	633.00	
	92.0000 Sale	03/07/12	04/12/13	4,087.53	3,043.50	0.00	1,044.03	
	73.0000 Sale	03/15/12	04/12/13	3,243.37	2,656.07	0.00	587.30	
	Security Subtotal			9,996.67	7,732.34	0.00	2,264.33	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ERE CO								
31.0000	Sale	CUSIP Number 01/25/12	244199105 04/16/13	2,577.89	2,664.58	0.00	(86.69)	
100.0000	Sale	01/25/12	06/21/13	8,259.63	8,595.42	0.00	(335.79)	
25.0000	Sale	02/17/12	06/21/13	2,064.91	2,092.11	0.00	(27.20)	
29.0000	Sale	03/14/12	06/21/13	2,395.30	2,368.69	0.00	26.61	
	Security Subtotal			15,297.73	15,720.80	0.00	(423.07)	
SNEY (WALT) CO COM STK								
21.0000	Sale	CUSIP Number 01/25/12	254687106 12/11/13	1,487.40	824.79	0.00	662.61	
UNIX INC								
12.0000	Sale	CUSIP Number 05/17/12	29444U502 09/19/13	2,204.35	1,838.08	0.00	366.27	
32.0000	Sale	05/18/12	09/19/13	5,878.26	4,927.85	0.00	950.41	
	Security Subtotal			8,082.61	6,765.93	0.00	1,316.68	
OG RESOURCES INC								
32.0000	Sale	CUSIP Number 10/27/11	26875P101 10/17/13	5,777.90	2,966.60	0.00	2,811.30	
49.0000	Sale	06/28/12	10/17/13	8,847.43	4,146.26	0.00	4,701.17	
	Security Subtotal			14,625.33	7,112.86	0.00	7,512.47	
ERSON ELEC CO								
1.0000	Sale	CUSIP Number 05/25/12	291011104 12/11/13	66.51	47.22	0.00	19.29	
13.0000	Sale	06/20/12	12/11/13	864.75	595.76	0.00	268.99	
	Security Subtotal			931.26	642.98	0.00	288.28	
EEPRT-MCMRAN CPR & GLD								
4.0000	Sale	CUSIP Number 11/01/11	35671D857 02/21/13	128.72	156.14	0.00	(27.42)	
79.0000	Sale	11/10/11	02/21/13	2,542.28	3,065.91	0.00	(523.63)	
17.0000	Sale	11/10/11	02/26/13	535.88	659.75	0.00	(123.87)	
38.0000	Sale	06/04/12	06/28/13	1,035.41	1,219.66	0.00	(184.25)	
	Security Subtotal			4,242.29	5,101.46	0.00	(859.17)	
OLDMAN SACHS GROUP INC								
25.0000	Sale	CUSIP Number 01/25/12	38141G104 02/04/13	3,690.52	2,663.37	0.00	1,027.15	
18.0000	Sale	01/25/12	02/13/13	2,771.67	1,917.63	0.00	854.04	
3.0000	Sale	01/25/12	07/17/13	484.07	319.60	0.00	164.47	
48.0000	Sale	02/03/12	07/17/13	7,745.15	5,607.40	0.00	2,137.75	





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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	GOLDMAN SACHS GROUP INC	CUSIP Number	38141G104					
	4,0000 Sale	03/07/12	07/17/13	645.44	465.84	0.00	179.60	
	11.0000 Sale	03/07/12	12/11/13	1,847.53	1,281.05	0.00	566.48	
	Security Subtotal			17,184.38	12,254.89	0.00	4,929.49	
	GOOGLE INC CL A	CUSIP Number	38259P508					
	3 0000 Sale	10/19/12	12/11/13	3,235.68	2,021.60	0.00	1,214.08	
	INTUITIVE SURGICAL INC	CUSIP Number	46120E602					
	NEW							
	6,0000 Sale	09/17/12	10/08/13	2,319.13	3,060.36	0.00	(741.23)	
	7,0000 Sale	09/18/12	10/08/13	2,705.67	3,608.24	0.00	(902.57)	
	4,0000 Sale	09/18/12	11/27/13	1,503.95	2,061.85	0.00	(557.90)	
	9,0000 Sale	10/01/12	11/27/13	3,383.90	4,491.87	0.00	(1,107.97)	
	4,0000 Sale	10/09/12	11/27/13	1,503.95	1,976.30	0.00	(472.35)	
	Security Subtotal			11,416.60	15,198.62	0.00	(3,782.02)	
	ILLUMINA INC	CUSIP Number	452327109					
	COM							
	36,0000 Sale	02/09/12	04/16/13	2,080.80	1,678.89	0.00 (Y)	401.91	
	5,0000 Sale	02/09/12	04/17/13	286.92	233.18	0.00 (Y)	53.74	
	16,0000 Sale	04/18/12	04/25/13	1,003.20	717.31	0.00	285.89	
	29,0000 Sale	06/05/12	07/26/13	2,352.47	1,179.97	0.00	1,172.50	
	37,0000 Sale	08/21/12	10/08/13	2,813.34	1,552.84	0.00	1,260.50	
	Security Subtotal			8,536.73	5,362.19	0.00	3,174.54	
	INTERCONTINENTALEXCHANGE	CUSIP Number	45865V100					
	INC							
	12,0000 Sale	01/25/12	02/21/13	1,842.35	1,408.08	0.00	434.27	
	17,0000 Sale	01/25/12	04/02/13	2,745.71	1,994.78	0.00	750.93	
	Security Subtotal			4,588.06	3,402.86	0.00	1,185.20	
	INTERCONTINENTALEXCHANGE	CUSIP Number	45866F104					
	GROUP INC SHS W							
	9 0000 Sale	01/25/12	12/11/13	1,968.18	1,056.06	0.00	912.12	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
TUIT INC								
	COM	CUSIP Number	461202103					
47.0000	Sale	03/03/11	07/02/13	2,915.95	2,498.05	0.00	417.90	
39.0000	Sale	03/07/12	07/02/13	2,419.62	2,237.02	0.00	182.60	
60.0000	Sale	03/30/12	07/02/13	3,722.50	3,611.36	0.00	111.14	
54.0000	Sale	04/20/12	07/02/13	3,350.25	3,093.11	0.00	257.14	
	Security Subtotal			12,408.32	11,439.54	0.00	968.78	
NDER MORGAN INC. DEL								
	COM	CUSIP Number	49456B101					
138.0000	Sale	10/20/11	01/04/13	5,073.46	3,912.14	0.00	1,161.32	
31.0000	Sale	10/20/11	01/08/13	1,151.87	878.82	0.00	273.05	
32.0000	Sale	11/22/11	01/08/13	1,189.04	916.97	0.00	272.07	
11.0000	Sale	11/22/11	02/04/13	405.35	315.21	0.00	90.14	
54.0000	Sale	11/23/11	02/04/13	1,989.92	1,528.16	0.00	461.76	
29.0000	Sale	11/23/11	12/11/13	946.84	820.68	0.00	126.16	
	Security Subtotal			10,756.48	8,371.98	0.00	2,384.50	
UDER ESTEE COS INC A								
	COM	CUSIP Number	51843D104					
23.0000	Sale	02/03/12	12/11/13	1,704.50	1,302.71	0.00	401.79	
VEDIN CORP								
	COM	CUSIP Number	53578A108					
1.0000	Sale	03/09/12	04/02/13	173.50	90.73	0.00	82.77	
6.0000	Sale	05/29/12	12/11/13	1,392.77	586.41	0.00	806.36	
	Security Subtotal			1,566.27	677.14	0.00	889.13	
ONSANTO CO NEW DEL COM								
	COM	CUSIP Number	61166W101					
15.0000	Sale	01/25/12	12/11/13	1,658.37	1,195.12	0.00	463.25	
ITIONAL-OILWELL VARCO INC								
	COM	CUSIP Number	637071101					
10.0000	Sale	05/25/12	12/11/13	786.59	680.09	0.00	106.50	
BLE ENERGY INC								
	COM	CUSIP Number	655044105					
39.0000	Sale	03/03/11	02/26/13	4,215.01	3,603.21	0.00	611.80	
17.0000	Sale	03/03/11	12/11/13	1,167.80	785.32	0.00	382.48	
	Security Subtotal			5,382.81	4,388.53	0.00	994.28	





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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity Transaction Description 1b Date of Acquisition 1a Date of Sale or Exchange 2a Amount 3 Cost Basis 5 Wash Sale Loss Disallowed Gain or (Loss) Remarks

QUALCOMM INC 747525103

41,000	Sale	03/10/11	01/29/13	2,603.55	2,215.45	0.00	388.10
5,000	Sale	03/10/11	04/18/13	319.30	270.18	0.00	49.12
41,000	Sale	07/21/11	04/18/13	2,618.26	2,319.89	0.00	298.37
28,000	Sale	01/25/12	04/18/13	1,788.08	1,647.31	0.00	140.77
74,000	Sale	01/25/12	04/23/13	4,769.17	4,353.60	0.00	415.57
47,000	Sale	01/25/12	04/25/13	2,915.28	2,765.13	0.00	150.15
29,000	Sale	04/19/12	04/25/13	1,798.79	1,835.89	0.00	(37.10)
26,000	Sale	05/25/12	07/09/13	1,547.83	1,489.51	0.00	58.32
4,000	Sale	06/04/12	07/09/13	238.13	223.19	0.00	14.94
19,000	Sale	06/04/12	12/11/13	1,397.05	1,060.14	0.00	336.91
Security Subtotal				19,995.44	18,180.29	0.00	1,815.15

ROCKWELL AUTOMATION INC 773903109

18,000	Sale	03/06/12	04/15/13	1,534.48	1,390.95	0.00	143.53
7,000	Sale	05/16/12	12/11/13	768.59	527.10	0.00	241.49
4,000	Sale	07/05/12	12/11/13	439.20	261.85	0.00	177.35
Security Subtotal				2,742.27	2,179.90	0.00	562.37

RED HAT INC 756577102

17,000	Sale	03/03/11	01/08/13	917.36	709.61	0.00	207.75
43,000	Sale	03/03/11	09/17/13	2,258.73	1,794.89	0.00	463.84
55,000	Sale	06/04/12	11/27/13	2,566.81	2,776.03	0.00	(209.22)
Security Subtotal				5,742.90	5,280.53	0.00	462.37

RACKSPACE HOSTING INC 750086100

19,000	Sale	03/09/12	06/21/13	706.71	1,018.33	0.00	(311.62)
49,000	Sale	06/18/12	06/21/13	1,822.57	2,160.96	0.00	(338.39)
Security Subtotal				2,529.28	3,179.29	0.00	(650.01)

RALPH LAUREN CORP 751212101

39,000	Sale	05/24/12	06/28/13	6,745.23	5,773.11	0.00	972.12
13,000	Sale	06/04/12	06/28/13	2,248.42	1,853.16	0.00	395.26
5,000	Sale	06/04/12	07/02/13	862.16	712.76	0.00	149.40
17,000	Sale	06/20/12	07/02/13	2,931.38	2,506.12	0.00	425.26
Security Subtotal				12,787.19	10,845.15	0.00	1,942.04

ROCHE HLDG LTD SPN ADR 771195104

7,000	Sale	05/08/12	12/11/13	470.74	298.50	0.00	172.24
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THE CADES FOUNDATION

2013 TAX REPORTING STATEMENT

(OMB NO. 1545-0715)

1099-B

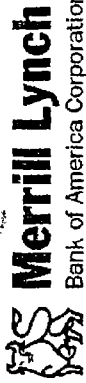
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	CUSIP Number	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	TATE STREET CORP 21,000 Sale	10/17/12	857477103	12/11/13	1,488.88	936.98	0.00	551.90	
	CHLUMBERGER LTD 28,000 Sale	10/04/12	806857108	12/11/13	2,424.83	2,009.25	0.00	415.58	
	SALESFORCE COM INC 28,000 Sale	01/25/12	79466L302	12/11/13	1,438.89	814.17	0.00	624.72	
	HERMO FISHER SCIENTIFIC INC 35,000 Sale	01/25/12	883556102	04/11/13	2,806.16	1,860.60	0.00	945.56	
	VEYERHAEUSER CO 95,000 Sale	01/25/12	962166104	02/04/13	2,883.23	1,900.59	0.00	982.64	
	74,000 Sale	01/25/12		02/07/13	2,224.22	1,480.46	0.00	743.76	
	74,000 Sale	01/25/12		05/13/13	2,284.46	1,480.46	0.00	804.00	
	120,000 Sale	01/25/12		05/14/13	3,801.94	2,400.74	0.00	1,401.20	
	116,000 Sale	02/16/12		05/14/13	3,675.22	2,349.75	0.00	1,325.47	
	Security Subtotal				14,869.07	9,612.00	0.00	5,257.07	
	UM BRANDS INC 19,000 Sale	07/20/12	988498101	12/11/13	1,390.21	1,226.62	0.00	163.59	
	VELLS FARGO & CO NEW DEL 69,000 Sale	10/12/11	949746101	01/18/13	2,389.04	1,855.67	0.00	533.37	
	55,000 Sale	10/12/11		12/11/13	2,407.30	1,479.15	0.00	928.15	
	8,000 Sale	10/13/11		12/11/13	350.16	209.80	0.00	140.36	
	Security Subtotal				5,146.50	3,544.62	0.00	1,601.88	
	Covered Long Term Capital Gains and Losses Subtotal				254,146.12	200,520.81	0.00	53,625.31	

UNCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

OG RESOURCES INC 7,000 Sale	CUSIP Number 11/03/10	26875P101 10/17/13	1,263.91	610.48	0.00	653.43
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Account No.
145-04B64

Taxpayer No.
XX-XXX5922

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THE CADES FOUNDATION

2013 TAX REPORTING STATEMENT

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

(OMB NO. 1545-0715)

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
Noncovered Long Term Capital Gains and Losses Subtotal				1,263.91	610.48	0.00	653.43	
NET LONG TERM CAPITAL GAINS AND LOSSES				255,410.03	201,131.29	0.00	54,278.74	
TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES				755,342.50				
TOTAL REPORTED SALES PROCEEDS				755,342.50				

(Y) The gain or loss of this transaction includes an adjustment to basis for the deferred loss amount on one or more previous "Wash Sales."

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
53,139.37		53,625.31	653.43
	0.00		



Account No.
145-03157

Taxpayer No.
99-0285922

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THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

U.S. TRSY INFLATION NTE	CUSIP Number	912828TE0						
0.125% JUL 15 2022								
30000.0000 Sale	07/24/12		12/05/13	29,347.85	32,737.01	0.00	(3,389.16)	
3000.0000 Sale	11/28/12		12/05/13	2,934.78	3,305.68	370.90 (W)	0.00	
19000.0000 Sale	11/28/12		12/05/13	18,586.97	20,935.82	0.00	(2,348.85)	
Security Subtotal				50,869.60	56,978.51	370.90	(5,738.01)	

U.S. TREASURY NOTE	CUSIP Number	912828SH4						
1.375% FEB 28 2019								
32000.0000 Sale	03/01/12		08/09/13	31,705.00	31,875.00	0.00	(170.00)	

U.S. TRSRY INFLATION BON	CUSIP Number	912810PZ5						
2.500% JAN 15 2029								
6000.0000 Sale	07/11/12		12/05/13	7,689.06	9,067.81	0.00	(1,378.75)	

FIXED INCOME SHARES	CUSIP Number	01882B205						
SERIES C F CL INSTL								
1221.0000 Sale	01/28/11		06/13/13	16,056.15	15,592.17	0.00	463.98	

FIXED INCOME SHARES	CUSIP Number	01882B304						
SERIES M F CL INSTL								
3065.0000 Sale	01/28/11		06/13/13	33,653.70	31,661.45	0.00	1,992.25	

Noncovered Long Term Capital Gains and Losses Subtotal

139,973.51 145,174.94 370.90 (4,830.53)

NET LONG TERM CAPITAL GAINS AND LOSSES

139,973.51 145,174.94 370.90 (4,830.53)

TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES 437,258.38
TOTAL REPORTED SALES PROCEEDS 437,258.38

(W) This transaction has been identified as a "Wash Sale" based on IRS regulations. The Wash Sale Loss Disallowed column reflects the deferred loss amount. The cost basis of the related transaction(s) has been adjusted by the deferred loss amount from either the cost basis on purchases or proceeds from short sales. Please refer to the instructions for Form 8949 for how to report the deferred loss amount and applicable adjustment code.

THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
U.S. TREASURY NOTE								
1.125% DEC 31 2019				2,863.11	2,971.88	108.77 (W)	0.00	
3000.0000 Sale		01/11/13	12/05/13	40,083.54	41,606.25	0.00	(1,522.71)	
42000.0000 Sale		01/11/13	12/05/13	42,946.65	44,578.13	108.77	(1,522.71)	
Security Subtotal								
U.S. TREASURY NOTE								
2.000% FEB 15 2023				14,970.00	16,203.07	0.00	(1,233.07)	
023				4,678.13	5,034.20	0.00	(356.07)	
16000.0000 Sale		03/01/13	08/26/13	11,249.06	12,079.90	0.00	(830.84)	
5000.0000 Sale		03/06/13	12/05/13	1,874.84	2,024.02	149.18 (W)	0.00	
12000.0000 Sale		04/02/13	12/05/13	18,748.44	20,240.10	0.00	(1,491.66)	
20000.0000 Sale		04/02/13	12/05/13	51,520.47	55,581.29	149.18	(3,911.64)	
Security Subtotal								
U.S. TRSY INFLATION BOND								
2.375% JAN 15 2025				2,868.28	3,327.56	459.28 (W)	0.00	
02.375% JAN 15 2025				15,775.55	18,301.55	0.00	(2,526.00)	
2000.0000 Sale		12/07/12	12/05/13	18,643.83	21,629.11	459.28	(2,526.00)	
11000.0000 Sale		12/07/12	12/05/13					
Security Subtotal								
U.S. TRSRY INFLATION BON								
2.500% JAN 15 2029				26,911.72	32,754.67	0.00	(5,842.95)	
21000.0000 Sale		12/07/12	12/05/13					
Noncovered Short Term Capital Gains and Losses Subtotal								
				297,284.87	315,068.02	1,012.92	(16,770.23)	
NET SHORT TERM CAPITAL GAINS AND LOSSES								
				297,284.87	315,068.02	1,012.92	(16,770.23)	

THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of this section of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)								
U.S. TREASURY NOTE								
2.000% NOV 15 2021								
02.000% NOV 15 2021								
	3000.0000 Sale	05/07/13	12/05/13	2,896.86	3,104.46	207.60 (W)	0.00	
	29000.0000 Sale	05/07/13	12/05/13	28,002.98	30,009.68	0.00	(2,006.70)	
	Security Subtotal			30,899.84	33,114.14	207.60	(2,006.70)	
U.S. TREASURY NOTE								
0.750% OCT 31 2017								
	30000.0000 Sale	11/23/12	03/01/13	30,092.48	30,098.33	0.00	(5.85)	
	31000.0000 Sale	11/23/12	03/06/13	31,027.85	31,101.42	0.00	(73.57)	
	6000.0000 Sale	12/13/12	12/05/13	5,931.09	6,019.18	88.09 (W)	0.00	
	60000.0000 Sale	12/13/12	12/05/13	59,310.94	60,191.75	0.00	(880.81)	
	Security Subtotal			126,362.36	127,410.68	88.09	(960.23)	

THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a marketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount payable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

199-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
ACKROCK INC		CUSIP Number	09247X101					
18.0000	Sale	12/12/12	12/11/13	5,298.80	3,607.67	0.00	1,691.13	
15.0000	Sale	12/13/12	12/11/13	4,415.68	3,009.22	0.00	1,406.46	
	Security Subtotal			9,714.48	6,616.89	0.00	3,097.59	
RNIVAL CORP PAIRED SHS								
122.0000	Sale	07/24/13	11/27/13	4,416.63	4,504.73	0.00	(88.10)	
115.0000	Sale	07/26/13	11/27/13	4,163.22	4,279.00	0.00	(115.78)	
100.0000	Sale	07/29/13	11/27/13	3,620.19	3,712.55	0.00	(92.36)	
152.0000	Sale	07/30/13	11/27/13	5,502.68	5,630.35	0.00	(127.67)	
147.0000	Sale	07/31/13	11/27/13	5,321.68	5,450.80	0.00	(129.12)	
109.0000	Sale	08/01/13	11/27/13	3,946.00	4,086.02	0.00	(140.02)	
	Security Subtotal			26,970.40	27,663.45	0.00	(693.05)	

THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

99-B

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
DRRECTIONS CORP OF AMER								
93.0000	Sale	04/26/13	11/27/13	3,115.43	3,299.40	0.00	(183.97)	
55.0000	Sale	04/29/13	11/27/13	1,842.46	1,960.92	0.00	(118.46)	
51.0000	Sale	04/30/13	11/27/13	1,708.46	1,827.20	0.00	(118.74)	
690.0000	Sale	05/02/13	11/27/13	23,114.46	24,935.47	0.00	(1,821.01)	
				29,780.81	32,022.99	0.00	(2,242.18)	
Security Subtotal								
DRIVING INC								
251.0000	Sale	05/17/13	12/11/13	4,262.96	3,985.13	0.00	277.83	
DR S P DIVID ETF								
967.0000	Sale	11/27/13	12/31/13	70,224.83	70,748.52	0.00	(523.69)	
ENL DYNAMICS CORP COM								
47.0000	Sale	02/20/13	12/11/13	4,242.14	3,138.75	0.00	1,103.39	
10.0000	Sale	02/21/13	12/11/13	902.58	663.98	0.00	238.60	
32.0000	Sale	02/22/13	12/11/13	2,888.28	2,152.18	0.00	736.10	
				8,033.00	5,954.91	0.00	2,078.09	
Security Subtotal								
ETTERAS FINL CORP								
30.0000	Sale	12/21/12	07/24/13	612.33	749.27	0.00	(136.94)	
40.0000	Sale	12/21/12	08/02/13	791.41	999.03	0.00	(207.62)	
90.0000	Sale	12/21/12	08/05/13	1,722.97	2,247.82	0.00	(524.85)	
2.0000	Sale	12/21/12	08/07/13	38.50	49.95	0.00	(11.45)	
21.0000	Sale	12/21/12	08/08/13	404.09	524.49	0.00	(120.40)	
10.0000	Sale	12/21/12	08/09/13	192.03	249.76	0.00	(57.73)	
41.0000	Sale	12/21/12	08/12/13	788.16	1,024.01	0.00	(235.85)	
66.0000	Sale	12/21/12	09/18/13	1,275.32	1,648.40	0.00	(373.08)	
855.0000	Sale	12/21/12	11/27/13	14,309.46	21,354.32	0.00	(7,044.86)	
				20,134.27	28,847.05	0.00	(8,712.78)	
Security Subtotal								
RSHEY COMPANY								
14.0000	Sale	09/17/12	05/20/13	1,249.97	983.37	0.00	266.60	
3.0000	Sale	09/17/12	05/21/13	265.61	210.72	0.00	54.89	
50.0000	Sale	09/18/12	05/21/13	4,426.88	3,551.99	0.00	874.89	
				5,942.46	4,746.08	0.00	1,196.38	
Security Subtotal								
TEL CORP								
58.0000	Sale	12/21/12	12/11/13	1,421.66	1,195.15	0.00	226.51	

THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	MICROSOFT CORP 158.0000 Sale	CUSIP Number 12/21/12	594918104 01/31/13	4,344.06	4,270.74	0.00	73.32	
	Covered Short Term Capital Gains and Losses Subtotal			180,828.93	186,050.91	0.00	(5,221.98)	
	NET SHORT TERM CAPITAL GAINS AND LOSSES			180,828.93	186,050.91	0.00	(5,221.98)	

ONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

ALTRIA GROUP INC 3.0000 Sale	CUSIP Number 01/28/11	02209S103 12/11/13	112.85	71.65	0.00	41.20	
BERKSHIRE HATHAWAY INC DEL CL B NEW 19.0000 Sale 122.0000 Sale 28.0000 Sale 57.0000 Sale 38.0000 Sale	CUSIP Number 01/28/11	084670702 04/26/13 09/29/11 09/29/11 04/29/13 01/25/12 01/25/12	2,037.73 13,084.42 2,991.44 6,089.74 4,029.48	1,490.26 8,838.25 2,028.45 4,461.96 2,974.64	0.00 (Y) 0.00 0.00 0.00 0.00	547.47 4,246.17 962.99 1,627.78 1,054.84	
Security Subtotal			28,232.81	19,793.56	0.00	8,439.25	
RISTOL-MYERS SQUIBB CO 289.0000 Sale	CUSIP Number 01/28/11	110122108 12/11/13	14,623.15	7,497.53	0.00	7,125.62	
ONOCOPHILLIPS 40.0000 Sale	CUSIP Number 01/28/11	20825C104 12/11/13	2,791.65	2,172.65	0.00	619.00	
INN FINCL CRP OHIO 119.0000 Sale	CUSIP Number 02/14/12	172062101 12/11/13	6,145.81	4,124.59	0.00	2,021.22	
ISCO SYSTEMS INC COM 210.0000 Sale	CUSIP Number 07/21/11	17275R102 01/31/13	4,325.48	3,431.13	0.00	894.35	
OMINION RES INC NEW VA 9.0000 Sale 76.0000 Sale 73.0000 Sale	CUSIP Number 01/28/11 01/28/11 01/28/11	25746U109 07/23/13 07/24/13 07/25/13	538.94 4,478.61 4,324.43	390.86 3,300.56 3,170.28	0.00 0.00 0.00	148.08 1,178.05 1,154.15	

THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	OMINION RES INC NEW VA	CUSIP Number	25746U109					
50.0000	Sale	01/28/11	07/26/13	2,947.63	2,171.43	0.00	776.20	
38.0000	Sale	01/28/11	12/11/13	2,405.37	1,650.28	0.00	755.09	
	Security Subtotal			14,694.98	10,683.41	0.00	4,011.57	
	EDERATED INVESTRS B	CUSIP Number	314211103					
67.0000	Sale	02/13/12	12/11/13	1,732.60	1,194.56	0.00	538.04	
	ATTERAS FINL CORP	CUSIP Number	41902RT03					
92.0000	Sale	08/08/11	04/26/13	2,446.27	2,364.69	0.00	81.58	
3.0000	Sale	08/08/11	04/29/13	80.01	77.11	0.00	2.90	
9.0000	Sale	08/08/11	05/17/13	240.04	231.33	0.00	8.71	
34.0000	Sale	11/02/11	05/17/13	906.83	878.11	0.00	28.72	
43.0000	Sale	11/02/11	05/20/13	1,135.87	1,110.55	0.00	25.32	
13.0000	Sale	11/03/11	05/20/13	343.41	337.94	0.00	5.47	
10.0000	Sale	11/03/11	07/23/13	228.13	259.96	0.00	(31.83)	
56.0000	Sale	11/03/11	07/24/13	1,142.99	1,455.76	0.00	(312.77)	
23.0000	Sale	11/04/11	07/24/13	469.44	598.56	0.00	(129.12)	
	Security Subtotal			6,992.99	7,314.01	0.00	(321.02)	
	ASBRO INC	CUSIP Number	418056107					
95.0000	Sale	02/10/12	04/30/13	4,495.78	3,488.58	0.00	1,007.20	
39.0000	Sale	02/10/12	05/01/13	1,832.30	1,432.15	0.00	400.15	
66.0000	Sale	02/10/12	12/11/13	3,411.78	2,423.65	0.00	988.13	
	Security Subtotal			9,739.86	7,344.38	0.00	2,395.48	
	ERSHEY COMPANY	CUSIP Number	427866108					
60.0000	Sale	01/28/11	02/20/13	4,878.71	2,834.26	0.00	2,044.45	
102.0000	Sale	01/28/11	02/21/13	8,191.54	4,818.23	0.00	3,373.31	
5.0000	Sale	01/28/11	02/22/13	401.80	236.19	0.00	165.61	
51.0000	Sale	01/28/11	05/17/13	4,572.65	2,409.12	0.00	2,163.53	
37.0000	Sale	01/28/11	05/20/13	3,303.46	1,747.79	0.00	1,555.67	
	Security Subtotal			21,348.16	12,045.59	0.00	9,302.57	
	VTEL CORP	CUSIP Number	458140100					
66.0000	Sale	08/08/11	12/11/13	1,617.74	1,345.70	0.00	272.04	
	OHNSON AND JOHNSON COM	CUSIP Number	478160104					
14.0000	Sale	01/28/11	12/11/13	1,307.02	841.32	0.00	465.70	



Merrill Lynch
Bank of America Corporation

Account No.
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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
LORILLARD INC								
		CUSIP Number	544147101					
19,000	Sale	01/28/11	07/23/13	839.10	474.10	0.00	365.00	
11,000	Sale	01/28/11	07/24/13	484.45	274.48	0.00	209.97	
128,000	Sale	01/28/11	12/11/13	6,468.28	3,193.95	0.00	3,274.33	
	Security Subtotal			7,791.83	3,942.53	0.00	3,849.30	
LOWE'S COMPANIES INC								
		CUSIP Number	548661107					
38,000	Sale	08/08/11	07/29/13	1,655.10	737.55	0.00	917.55	
35,000	Sale	08/08/11	12/11/13	1,661.21	679.32	0.00	981.89	
28,000	Sale	11/01/11	12/11/13	1,328.98	581.47	0.00	747.51	
	Security Subtotal			4,645.29	1,998.34	0.00	2,646.95	
MICROSOFT CORP								
		CUSIP Number	594918104					
67,000	Sale	11/01/11	01/31/13	1,842.09	1,753.69	0.00	88.40	
NEWMARKET CORP								
		CUSIP Number	651587107					
1,000	Sale	01/28/11	07/24/13	275.43	126.10	0.00	149.33	
1,000	Sale	01/28/11	07/25/13	276.48	126.10	0.00	150.38	
1,000	Sale	01/28/11	07/26/13	549.40	252.21	0.00	297.19	
3,000	Sale	01/28/11	07/29/13	812.16	378.31	0.00	433.85	
2,000	Sale	01/28/11	07/30/13	543.02	252.21	0.00	290.81	
5,000	Sale	01/28/11	07/31/13	1,342.96	630.52	0.00	712.44	
3,000	Sale	01/28/11	08/01/13	840.24	378.31	0.00	461.93	
1,000	Sale	01/28/11	08/02/13	281.02	126.10	0.00	154.92	
1,000	Sale	01/28/11	08/05/13	277.34	126.10	0.00	151.24	
1,000	Sale	01/28/11	08/06/13	273.28	126.10	0.00	147.18	
2,000	Sale	01/28/11	08/07/13	542.60	252.21	0.00	290.39	
1,000	Sale	01/28/11	08/08/13	275.39	126.10	0.00	149.29	
18,000	Sale	01/28/11	12/11/13	5,771.06	2,269.86	0.00	3,501.20	
	Security Subtotal			12,060.38	5,170.23	0.00	6,890.15	
PAYCHEX INC								
		CUSIP Number	704326107					
38,000	Sale	02/08/11	02/20/13	1,284.03	1,216.40	0.00 (Y)	67.63	
1,000	Sale	02/08/11	04/26/13	36.00	32.02	0.00 (Y)	3.98	
91,000	Sale	08/08/11	04/26/13	3,276.45	2,420.75	0.00	855.70	
4,000	Sale	08/08/11	04/29/13	143.65	106.41	0.00	37.24	
49,000	Sale	08/08/11	12/11/13	2,107.67	1,303.47	0.00	804.20	
54,000	Sale	11/01/11	12/11/13	2,322.74	1,549.78	0.00	772.96	
	Security Subtotal			9,170.54	6,628.83	0.00	2,541.71	

THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

999-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
FIZER INC 160 0000	Sale	CUSIP Number 01/28/11	717081103 12/11/13	4,908.87	2,914.42	0.00	1,994.45	
AL-MART STORES INC 154.0000	Sale	CUSIP Number 01/28/11	931142103 02/20/13	10,681.08	8,734.50	0.00	1,946.58	
132.0000	Sale	01/28/11	05/17/13	10,253.01	7,486.71	0.00	2,766.30	
51.0000	Sale	08/08/11	05/17/13	3,961.40	2,549.79	0.00	1,411.61	
	Security Subtotal			24,895.49	18,771.00	0.00	6,124.49	

ELLS FARGO & CO NEW DEL
91.0000 Sale

1,747.60

Covered Long Term Capital Gains and Losses Subtotal

182,962.59

121,274.52

0.00

61,688.07

NET LONG TERM CAPITAL GAINS AND LOSSES

182,962.59

121,274.52

0.00

61,688.07

TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES
TOTAL REPORTED SALES PROCEEDS

363,791.52
363,791.52

(Y) The gain or loss of this transaction includes an adjustment to basis for the deferred loss amount on one or more previous "Wash Sales."

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
(5,221.98)	0.00	61,688.07	0.00

Account No.
145-03162

Taxpayer No.
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THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

AT&T BROADBAND CORP
COMPANY GUARNT GLB
08.375% MAR 15 2013
1000 0000 Redemption

CUSIP Number	06/29/12	03/15/13	1,000.01	1,000.00	0.00	0.01
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TIME WARNER CABLE INC
COMPANY GUARNT
07.500% APR 01 2014
4000.0000 Sale

CUSIP Number	02/21/13	09/18/13	4,128.40	4,139.27	0.00	(10.87)
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WESTERN UNION CO
6.50% DUE 2/26/2014
1000.0000 Sale

CUSIP Number	08/01/13	09/18/13	1,023.00	1,024.80	0.00	(1.80)
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FORD CREDIT AUT ABS 2010
A 3 01 000%JUN15 14
AMORTIZED FACTOR 0.06044
5000.0000 Prin Payment

CUSIP Number	01/15/13	02/15/13	196.25	196.25	0.00	0.00
			205.11	205.11	0.00	0.00

Security Subtotal

401.36	401.36	0.00	0.00
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THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
IMERICREDIT AUT ABS 2011								
1 A3 01.390%SEP08 15								
AMORTIZED FACTOR 0.58800								
	Prin Payment	03/08/13	03/08/13	316.45	316.45	0.00	0.00	
	Prin Payment	04/08/13	04/08/13	324.48	324.48	0.00	0.00	
	Prin Payment	05/08/13	05/08/13	300.74	300.74	0.00	0.00	
	Prin Payment	06/10/13	06/10/13	304.95	304.95	0.00	0.00	
	Prin Payment	07/08/13	07/08/13	267.66	267.66	0.00	0.00	
	Prin Payment	08/08/13	08/08/13	294.04	294.04	0.00	0.00	
	Prin Payment	09/09/13	09/09/13	278.93	278.93	0.00	0.00	
	Prin Payment	10/08/13	10/08/13	234.60	234.60	0.00	0.00	
	Prin Payment	11/08/13	11/08/13	262.10	262.10	0.00	0.00	
3000.0000	Redemption	02/06/13	12/09/13	63.39	63.66	0.00	(0.27)	
1000.0000	Redemption	02/27/13	12/09/13	21.13	21.20	0.00	(0.07)	
	Security Subtotal			2,668.47	2,668.81	0.00	(0.34)	
ORD CREDIT AUT ABS 2012								
A A3 00.840%AUG15 16								
AMORTIZED FACTOR 0.97834								
	Prin Payment	06/17/13	06/17/13	108.30	108.30	0.00	0.00	
	Prin Payment	07/15/13	07/15/13	285.34	285.34	0.00	0.00	
	Prin Payment	08/15/13	08/15/13	316.58	316.58	0.00	0.00	
	Prin Payment	09/16/13	09/16/13	297.90	297.90	0.00	0.00	
	Prin Payment	10/15/13	10/15/13	276.85	276.85	0.00	0.00	
	Prin Payment	11/15/13	11/15/13	282.10	282.10	0.00	0.00	
	Prin Payment	12/16/13	12/16/13	257.44	257.44	0.00	0.00	
	Security Subtotal			1,824.51	1,824.51	0.00	0.00	
U.S. TREASURY NOTE								
0.500% JUL 31 2017								
	5000.0000 Sale	10/05/12	02/26/13	4,965.80	4,969.14	3.34 (W)	0.00	
	5000.0000 Sale	10/16/12	02/26/13	4,965.81	4,963.25	0.00	2.56	
	5000.0000 Sale	10/07/12	09/18/13	4,856.63	4,972.50	0.00 (Y)	(115.87)	
	5000.0000 Sale	10/16/12	09/18/13	4,856.64	4,963.25	0.00	(106.61)	
	5000.0000 Sale	12/18/12	09/18/13	4,856.65	4,954.69	0.00	(98.04)	
	Security Subtotal			24,501.53	24,822.83	3.34	(317.96)	
Noncovered Short Term Capital Gains and Losses Subtotal				35,547.28	35,881.58	3.34	(330.96)	

THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
				35,547.28	35,881.58	3.34	(330.96)	

NET SHORT TERM CAPITAL GAINS AND LOSSES

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

UNCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

AT&T BROADBAND CORP CUSIP Number 00209TAA3

COMPANY GUARNT GLB

08.375% MAR 15 2013

2000.0000 Redemption

1000.0000 Redemption

1000.0000 Redemption

Security Subtotal

BEAR STEARNS COS LLC

GLB

05.700% NOV 15 2014

4000.0000 Sale

1000.0000 Sale

Security Subtotal

DOX COMMUNICATIONS INC

04.625% JUN 01 2013

5000.0000 Redemption

5000.0000 Redemption

GENERAL ELEC CAP CORP

GLB

02.800% JAN 08 2013

5000.0000 Redemption

5000.0000 Redemption

JOHNSON CONTROLS INC

GLB

01.750% MAR 01 2014

5000.0000 Sale

GRAFT FOODS INC

05.250% OCT 01 2013

4000.0000 Redemption

2,000.00

999.99

1,000.00

3,999.99

2,000.00

1,000.00

1,000.00

4,000.00

0.00

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2013 ANNUAL STATEMENT SUMMARY

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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UNITEDHEALTH GROUP INC CUSIP Number 91324PBH4

04.875% FEB 15 2013
5000.0000 Redemption

5,000.00 0.00 0.00

WESTERN UNION CO
6.50% DUE 2/26/2014
4000.0000 Sale

4,092.00 4,069.65 0.00 22.35

FORD CREDIT AUT ABS 2010
A A3 01.000%JUN15 14
5000.0000 Redemption

97.10 97.80 0.00 (0.70)

FEDERAL NATL MTG ASSOC
NOTES
01.750% MAY 07 2013
24000.0000 Redemption

24,000.00 24,000.00 0.00 0.00

Noncovered Long Term Capital Gains and Losses Subtotal

61,482.14 61,413.78 0.00 68.36

NET LONG TERM CAPITAL GAINS AND LOSSES

61,482.14 61,413.78 0.00 68.36

TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES
TOTAL REPORTED SALES PROCEEDS

97,029.42
97,029.42

(W) This transaction has been identified as a "Wash Sale" based on IRS regulations. The Wash Sale Loss Disallowed column reflects the deferred loss amount. The cost basis of the related transaction(s) has been adjusted by the deferred loss amount from either the cost basis on purchases or proceeds from short sales. Please refer to the instructions for Form 8949 for how to report the deferred loss amount and applicable adjustment code.

(Y) The gain or loss of this transaction includes an adjustment to basis for the deferred loss amount on one or more previous "Wash Sales."

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
0.00	(330.96)	0.00	68.36

THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

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In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

ASTRAZENECA PLC SPND ADR		CUSIP Number	046353108					
28.0000	Sale	06/26/12	01/23/13	1,373.69	1,215.18	0.00	158.51	
37.0000	Sale	12/21/12	01/23/13	1,815.25	1,757.26	0.00	57.99	
12.0000	Sale	12/21/12	01/24/13	591.50	569.92	0.00	21.58	
17.0000	Sale	12/21/12	03/22/13	835.90	807.39	0.00	28.51	
35.0000	Sale	12/21/12	03/25/13	1,733.83	1,662.28	0.00	71.55	
Security Subtotal				6,350.17	6,012.03	0.00	338.14	
BARRICK GOLD CORPORATION		CUSIP Number	067901108					
198.0000	Sale	12/21/12	11/27/13	3,211.14	6,646.21	3,435.07 (W)	0.00	
198.0000	Sale	12/21/12	11/27/13	3,211.15	6,768.80	0.00 (Y)	(3,557.65)	
110.0000	Sale	04/16/13	11/27/13	1,783.97	2,148.09	364.12 (W)	0.00	
110.0000	Sale	04/16/13	11/27/13	1,783.97	2,216.19	0.00 (Y)	(432.22)	
59.0000	Sale	11/21/13	11/27/13	956.86	993.38	0.00	(36.52)	
Security Subtotal				10,947.09	18,772.67	3,799.19	(4,026.39)	
BELGACOM SA DE DROIT PUBLIC SHS		CUSIP Number	077701100					
1735.0000	Sale	12/21/12	11/27/13	9,958.73	10,063.00	0.00	(104.27)	

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2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	WIDGESTONE CORP ADR 51,000 Sale	CUSIP Number 10/04/12	108441205 03/14/13	3,367.00	2,317.18	0.00	1,049.82	
	MMERZBANK AG-SPONS ADR Cash/Lieu	CUSIP Number 03/25/13	202597605 05/08/13	8.60	9.27	0.00	(0.67)	
	JIFILM HLDGS CORP ADR 18,000 Sale	CUSIP Number 12/21/12	35958N107 09/09/13	407.89	350.64	0.00	57.25	
	ARES MSCI ACWI 948,000 Sale	CUSIP Number 11/27/13	464288240 12/31/13	44,280.31	43,929.28	0.00	351.03	
	OLD FIELDS SP ADR NEW 438,000 Sale 89,000 Sale 329,000 Sale	CUSIP Number 12/21/12 04/17/13 08/23/13	38059T106 11/27/13 11/27/13 11/27/13	1,739.31 353.42 1,306.47 3,399.20	4,384.48 569.45 1,856.19 6,810.12	0.00 0.00 0.00 0.00	(2,645.17) (216.03) (549.72) (3,410.92)	
	Security Subtotal							
	PALA PLATINUM SPON ADR 49,000 Sale 127,000 Sale	CUSIP Number 12/21/12 12/21/12	452553308 01/11/13 03/11/13	968.36 1,893.53 2,861.89	930.02 2,410.46 3,340.48	0.00 0.00 0.00	38.34 (516.93) (478.59)	
	Security Subtotal							
	IO CORP SPD ADR 22,000 Sale	CUSIP Number 12/21/12	485537302 02/26/13	706.80	574.86	0.00	131.94	
	NROSS GOLD CORP 56,000 Sale 470,000 Sale	CUSIP Number 12/21/12 12/21/12	496902404 06/11/13 11/27/13	331.70 2,171.41 2,503.11	530.07 4,448.78 4,978.85	0.00 0.00 0.00	(198.37) (2,277.37) (2,475.74)	
	Security Subtotal							
	AKITA CORP SPOND ADR 33,000 Sale	CUSIP Number 08/22/12	560877300 01/11/13	1,510.54	1,231.10	0.00	279.44	
	WMONT MINING CORP 224,000 Sale 26,000 Sale	CUSIP Number 12/21/12 04/16/13	651639106 11/21/13 11/21/13	5,785.84 671.58 6,457.42	9,994.86 890.84 10,885.70	0.00 0.00 0.00	(4,209.02) (219.26) (4,428.28)	
	Security Subtotal							

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2013 ANNUAL STATEMENT SUMMARY

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
Security Subtotal								
ORCLA AS A SHS ADR		CUSIP Number	686331109					
413.0000 Sale		03/20/13	11/27/13	3,266.77	3,420.67	0.00	(153.90)	
138.0000 Sale		05/08/13	11/27/13	1,091.56	1,218.77	0.00	(127.21)	
396.0000 Sale		06/27/13	11/27/13	3,132.31	3,292.54	0.00	(160.23)	
				7,490.64	7,931.98	0.00	(441.34)	
Security Subtotal								
ROYAL DUTCH SHELL PLC SPONS ADR B		CUSIP Number	780259107					
134.0000 Sale		12/21/12	11/27/13	9,363.69	9,535.36	0.00	(171.67)	
Security Subtotal								
SEGA SAMMY HOLDINGS INC ADR		CUSIP Number	815794102					
142.0000 Sale		12/21/12	04/09/13	732.47	623.38	0.00	109.09	
184.0000 Sale		12/21/12	04/23/13	1,108.43	807.76	0.00	300.67	
265.0000 Sale		12/21/12	04/30/13	1,712.31	1,163.35	0.00	548.96	
555.0000 Sale		12/21/12	10/11/13	3,783.25	2,436.45	0.00	1,346.80	
				7,336.46	5,030.94	0.00	2,305.52	
Security Subtotal								
SCHNEIDER ELEC SA ADR		CUSIP Number	80687P106					
107.0000 Sale		06/21/12	02/04/13	1,610.83	1,176.68	0.00	434.15	
Security Subtotal								
SUMITOMO MITSUBI TR HLDGS INC SPONSORED ADR		CUSIP Number	86562X106					
203.0000 Sale		12/21/12	03/25/13	933.27	710.30	0.00	222.97	
Security Subtotal								
SIBANYE GOLD LTD SHS ADR		CUSIP Number	825724206					
16.0000 Sale		06/26/12	02/22/13	92.87	127.54	0.00	(34.67)	
15.0000 Sale		06/27/12	02/22/13	87.08	117.70	0.00	(30.62)	
60.0000 Sale		12/21/12	02/22/13	348.36	442.71	0.00	(94.35)	
50.0000 Sale		12/21/12	02/25/13	299.08	369.02	0.00	(69.94)	
				827.39	1,056.97	0.00	(229.58)	
Security Subtotal								
Covered Short Term Capital Gains and Losses Subtotal				120,321.03	134,717.41	3,799.19	(10,597.19)	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

COMMERZBANK AG-SPONS ADR	CUSIP Number	202597605
165.0000 Prin Payment	06/13/13	602.26
		0.00

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2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SIEMENS AG	ADR	CUSIP Number 07/25/13	826197501 07/25/13	317.82	317.82	0.00	0.00	
104.0000	Prin Payment							
Noncovered Short Term Capital Gains and Losses Subtotal				920.08	920.08	0.00	0.00	
NET SHORT TERM CAPITAL GAINS AND LOSSES				121,241.11	135,637.49	3,799.19	(10,597.19)	

LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

ASTRAZENECA PLC SPND ADR	12.0000	Sale	CUSIP Number 02/10/11	046353108 01/23/13	588.72	584.12	0.00	4.60	
BAE SYS PLC SPN ADR	78.0000	Sale	CUSIP Number 01/10/12	05523R107 08/16/13	2,133.78	1,468.09	0.00	665.69	
GOLD FIELDS SP ADR NEW	64.0000	Sale	CUSIP Number 06/26/12	38059T106 11/27/13	254.14	692.35	0.00	(438.21)	
63.0000	Sale			11/27/13	250.17	670.87	0.00	(420.70)	
Security Subtotal					504.31	1,363.22	0.00	(858.91)	
HUANENG PWR INTL SP ADR	4.0000	Sale	CUSIP Number 01/24/12	443304100 04/19/13	166.83	94.78	0.00	72.05	
9.0000	Sale			04/19/13	375.37	214.04	0.00	161.33	
2.0000	Sale			01/25/12	86.25	47.56	0.00	38.69	
10.0000	Sale			04/22/13	431.28	235.31	0.00	195.97	
3.0000	Sale			01/26/12	130.34	70.59	0.00	59.75	
22.0000	Sale			04/23/13	955.85	507.94	0.00	447.91	
7.0000	Sale			01/27/12	304.14	166.83	0.00	137.31	
14.0000	Sale			01/30/12	597.01	333.66	0.00	263.35	
7.0000	Sale			01/30/12	295.86	166.83	0.00	129.03	
10.0000	Sale			10/24/13	422.66	241.08	0.00	181.58	
Security Subtotal					3,765.59	2,078.62	0.00	1,686.97	
HOME RETAIL GROUP PLC	325.0000	Sale	CUSIP Number 01/25/12	43731T102 03/14/13	2,883.93	2,070.25	0.00	813.68	



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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
LLOYDS BANKING GROUP PLC								
	ADR	CUSIP Number	539439109					
13 0000	Sale	01/09/12	05/10/13	47.15	20.65	0.00	26.50	
515.0000	Sale	01/10/12	05/10/13	1,867.92	855.41	0.00	1,012.51	
466.0000	Sale	01/10/12	05/13/13	1,657.66	774.03	0.00	883.63	
243 0000	Sale	01/10/12	05/14/13	871.82	403.62	0.00	468.20	
	Security Subtotal			4,444.55	2,053.71	0.00	2,390.84	
MAGNA INTL INC CL A VTG								
		CUSIP Number	559222401					
5.0000	Sale	01/09/12	02/15/13	269.98	181.26	0.00	88.72	
19.0000	Sale	01/10/12	02/15/13	1,025.93	716.79	0.00	309.14	
28.0000	Sale	01/10/12	06/07/13	1,897.97	1,056.33	0.00	841.64	
1.0000	Sale	01/10/12	09/05/13	80.64	37.73	0.00	42.91	
8.0000	Sale	01/11/12	09/05/13	645.18	308.34	0.00	336.84	
21.0000	Sale	01/12/12	09/05/13	1,693.61	814.46	0.00	879.15	
34 0000	Sale	01/12/12	12/12/13	2,637.11	1,318.65	0.00	1,318.46	
	Security Subtotal			8,250.42	4,433.56	0.00	3,816.86	
NOVARTIS ADR								
		CUSIP Number	66987V109					
17.0000	Sale	01/09/12	02/06/13	1,169.27	969.27	0.00	200.00	
4.0000	Sale	01/10/12	02/06/13	275.13	228.35	0.00	46.78	
	Security Subtotal			1,444.40	1,197.62	0.00	246.78	
STORA ENSO OYJ SPD ADR								
		CUSIP Number	86210M106					
236.0000	Sale	01/10/12	04/23/13	1,558.49	1,549.58	0.00	8.91	
154.0000	Sale	01/10/12	06/10/13	1,056.37	1,011.16	0.00	45.21	
215.0000	Sale	01/10/12	12/19/13	2,068.97	1,411.69	0.00	657.28	
166.0000	Sale	01/10/12	12/20/13	1,597.90	1,089.96	0.00	507.94	
	Security Subtotal			6,281.73	5,062.39	0.00	1,219.34	
SEVEN AND I HOLDINGS CO LTD SHS								
		CUSIP Number	81783H105					
24.0000	Sale	02/01/11	12/24/13	1,826.48	1,263.60	0.00	562.88	
8.0000	Sale	01/10/12	12/24/13	608.83	450.46	0.00	158.37	
	Security Subtotal			2,435.31	1,714.06	0.00	721.25	

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2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	WOLTERS KLUWR NV SPN ADR	CUSIP Number 08/10/11	977874205 11/26/13	1,152.16	735.73	0.00	416.43	
	41,0000 Sale	01/25/12	11/26/13	533.94	344.28	0.00	189.66	
	19,0000 Sale			1,686.10	1,080.01	0.00	606.09	
	Security Subtotal							
	Covered Long Term Capital Gains and Losses Subtotal			34,418.84	23,105.65	0.00	11,313.19	
	NET LONG TERM CAPITAL GAINS AND LOSSES			34,418.84	23,105.65	0.00	11,313.19	

OTHER TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

SIBANYE GOLD LTD SHS ADR	CUSIP Number 03/06/13	825724206 03/06/13	1.45	N/A	0.00	N/A	
Cash/Lieu							
TNT EXPRESS NV SHS ADR	CUSIP Number 05/23/13	87262N109 05/23/13	0.49	N/A	0.00	N/A	
Cash/Lieu							
Cash/Lieu		09/09/13	5.26	N/A	0.00	N/A	
Security Subtotal			5.75		0.00		
Other Transactions Subtotal			7.20		0.00		

TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES 155,667.15
TOTAL REPORTED SALES PROCEEDS 155,667.15

(W) This transaction has been identified as a "Wash Sale" based on IRS regulations. The Wash Sale Loss Disallowed column reflects the deferred loss amount. The cost basis of the related transaction(s) has been adjusted by the deferred loss amount from either the cost basis on purchases or proceeds from short sales. Please refer to the instructions for Form 8949 for how to report the deferred loss amount and applicable adjustment code.

(Y) The gain or loss of this transaction includes an adjustment to basis for the deferred loss amount on one or more previous "Wash Sales."

N/A Results which cannot be calculated because of insufficient data in the Capital Gain (or Loss) Column and are not included in the Realized Capital Gain and Loss summary.



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The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
AMERICA MOVIL SAB DE CV ADR								
35.0000	Sale	12/21/12	12/10/13	786.07	804.77	0.00	(18.70)	
BANCO BRADESCO S A ADR								
	Cash/Lieu	CUSIP Number	059460303					
334.0000	Sale	12/21/12	04/10/13	8.30	8.01	0.00	0.29	
54.0000	Sale	12/21/12	11/27/13	4,435.84	5,351.93	0.00	(916.09)	
		04/08/13	11/27/13	717.17	894.34	0.00	(177.17)	
	Security Subtotal			5,161.31	6,254.28	0.00	(1,092.97)	
IG GROUP PLC SPON ADR								
	Sale	CUSIP Number	055434203					
27.0000	Sale	12/21/12	05/06/13	481.87	437.40	0.00	44.47	
29.0000	Sale	12/21/12	05/07/13	515.77	469.80	0.00	45.97	
267.0000	Sale	12/21/12	09/20/13	5,125.59	4,325.40	0.00	800.19	
	Security Subtotal			6,123.23	5,232.60	0.00	890.63	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	BAIDU INC SPON ADR							
4.0000	Sale	12/21/12	056752108	605.52	393.68	0.00	211.84	
11.0000	Sale	12/21/12	0925/13	1,772.99	1,082.62	0.00	690.37	
	Security Subtotal			2,378.51	1,476.30	0.00	902.21	
	BHP BILLITON LTD ADR							
24.0000	Sale	12/21/12	088606108	1,660.06	1,843.20	0.00	(183.14)	
41.0000	Sale	12/21/12	04/09/13	2,594.95	3,148.79	0.00	(553.84)	
	Security Subtotal			4,255.01	4,991.99	0.00	(736.98)	
	BELLE INTERNAT-UNSPON AD							
109.0000	Sale	04/25/13	078454105	1,341.77	1,788.42	0.00	(446.65)	
66.0000	Sale	05/24/13	11/27/13	812.45	1,011.57	0.00	(199.12)	
60.0000	Sale	06/13/13	11/27/13	738.59	866.62	0.00	(128.03)	
	Security Subtotal			2,892.81	3,666.61	0.00	(773.80)	
	CAP GEMINI SP ADR							
41.0000	Sale	01/24/12	139098107	889.29	732.26	0.00	157.03	
71.0000	Sale	01/24/12	01/18/13	1,645.66	1,268.06	0.00	377.60	
	Security Subtotal			2,534.95	2,000.32	0.00	534.63	
	CANON INC ADR REP5SH							
36.0000	Sale	12/21/12	138006309	1,310.08	1,405.97	0.00	(95.89)	
	CENTRICA PLC							
61.0000	Sale	10/21/13	15639K300	1,344.42	1,454.50	0.00	(110.08)	
	CENOVUS ENERGY INC							
49.0000	Sale	02/26/13	15135U109	1,406.77	1,538.80	0.00	(132.03)	
	DEUTSCHE POST AG SHS							
35.0000	Sale	03/20/13	25157Y202	1,185.48	842.11	0.00	343.37	
	ISHARES TR MSCI EAFE							
220.0000	Sale	11/27/13	464288885	15,708.30	15,413.20	0.00	295.10	
	OAO GAZPROM SPON ADR							
285.0000	Sale	12/21/12	368287207	1,897.93	2,676.15	0.00	(778.22)	

THE CADES FOUNDATION

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
COMATSU NEW NEW SPNSDADR 144.0000 Sale		CUSIP Number 12/21/12	500458401 11/27/13	3,064.50	3,569.76	0.00	(505.26)	
PEARSON SPONSORED ADR 38.0000 Sale		CUSIP Number 05/03/12	705015105 03/06/13	673.54	719.20	0.00	(45.66)	
18.0000 Sale		05/08/12	03/06/13	319.05	340.86	0.00	(21.81)	
11.0000 Sale		05/09/12	03/06/13	194.98	207.06	0.00	(12.08)	
15.0000 Sale		05/09/12	03/14/13	269.66	282.35	0.00	(12.69)	
22.0000 Sale		05/10/12	03/14/13	395.51	416.09	0.00	(20.58)	
9.0000 Sale		05/11/12	03/14/13	161.80	169.10	0.00	(7.30)	
17.0000 Sale		05/14/12	03/14/13	305.63	317.06	0.00	(11.43)	
6.0000 Sale		05/15/12	03/14/13	107.87	111.28	0.00	(3.41)	
	Security Subtotal			2,428.04	2,563.00	0.00	(134.96)	
OTASH CORP SASKATCHEWAN 42.0000 Sale		CUSIP Number 12/21/12	73755L107 11/19/13	1,368.72	1,672.25	0.00	(303.53)	
ODEXO ADR 20.0000 Sale		CUSIP Number 02/21/13	833792104 08/29/13	1,766.90	1,847.93	0.00	(81.03)	
OLVO AKTIEBOLAGET ADR 96.0000 Sale		CUSIP Number 09/25/12	928856400 07/19/13	1,377.49	1,362.21	0.00	15.28	
ORLEY PARSONS LTD UNSPOND 161.0000 Sale		CUSIP Number 12/21/12	98161Q101 11/27/13	2,338.55	3,934.84	0.00	(1,596.29)	
	Covered Short Term Capital Gains and Losses Subtotal			59,329.07	62,707.59	0.00	(3,378.52)	

ONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

EPPEL LTD SPONS ADR 289.0000 Prin Payment		CUSIP Number 06/14/13	492051305 06/14/13	141.45	141.45	0.00	0.00	
	Noncovered Short Term Capital Gains and Losses Subtotal			141.45	141.45	0.00	0.00	
	NET SHORT TERM CAPITAL GAINS AND LOSSES			59,470.52	62,849.04	0.00	(3,378.52)	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
OVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
MERICA MOVIL SAB DE CV CUSIP Number 02364W105								
ADR								
69.0000	Sale	01/24/12	02/20/13	1,543.82	1,583.26	0.00	(39.44)	
44.0000	Sale	01/24/12	03/14/13	866.54	1,009.61	0.00	(143.07)	
35.0000	Sale	01/24/12	12/10/13	786.06	803.10	0.00	(17.04)	
14.0000	Sale	04/05/12	12/10/13	314.42	336.45	0.00	(22.03)	
22.0000	Sale	04/09/12	12/10/13	494.10	522.10	0.00	(28.00)	
	Security Subtotal			4,004.94	4,254.52	0.00	(249.58)	
DIDAS AG SPONSORED ADR CUSIP Number 00687A107								
35.0000	Sale	02/03/11	08/14/13	1,965.42	1,102.15	0.00	863.27	
G GROUP PLC SPON ADR CUSIP Number 055434203								
17.0000	Sale	08/08/11	05/03/13	302.68	353.13	0.00	(50.45)	
3.0000	Sale	08/08/11	05/06/13	53.54	62.32	0.00	(8.78)	
	Security Subtotal			356.22	415.45	0.00	(59.23)	
AIDU INC SPON ADR CUSIP Number 056752108								
11.0000	Sale	07/10/12	09/25/13	1,665.16	1,248.29	0.00 (Y)	416.87	
RAMBLES LTD SHS CUSIP Number 105105100								
65.0000	Sale	06/17/11	05/23/13	1,164.72	972.06	0.00	192.66	
56.0000	Sale	06/17/11	07/19/13	922.41	837.47	0.00	84.94	
	Security Subtotal			2,087.13	1,809.53	0.00	277.60	
4P GEMINI SP ADR CUSIP Number 139098107								
19.0000	Sale	10/10/11	01/07/13	414.83	342.85	0.00	71.98	
12.0000	Sale	10/10/11	01/08/13	260.27	216.53	0.00	43.74	
	Security Subtotal			675.10	559.38	0.00	115.72	
OCA COLA HELLENIC S ADS CUSIP Number 1912EP104								
80.0000	Sale	01/24/12	03/13/13	2,201.65	1,502.39	0.00	699.26	
16.0000	Sale	01/24/12	03/14/13	444.71	300.48	0.00	144.23	
17.0000	Sale	01/24/12	03/15/13	475.28	319.26	0.00	156.02	
2.0000	Sale	01/24/12	03/19/13	56.60	37.56	0.00	19.04	

THE CADES FOUNDATION

1099-B 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS 2013 ANNUAL STATEMENT SUMMARY

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
COCA COLA HELLENIC S ADS								
1.0000	Sale	01/24/12	1912EP104 03/20/13	28.99	18.78	0.00	10.21	
5.0000	Sale	01/24/12	03/21/13	139.48	93.90	0.00	45.58	
				3,346.71	2,272.37	0.00	1,074.34	
Security Subtotal								
COMPASS GROUP PLC ADR								
93.0000	Sale	02/03/11	20449X203 07/12/13	1,254.75	849.09	0.00	405.66	
GENOVUS ENERGY INC								
56.0000	Sale	02/03/11	15135U109 11/27/13	1,607.73	1,929.72	0.00	(321.99)	
CIELO S A SPONSORED ADR								
	Cash/Lieu	09/23/11	171778202 05/23/13	5.52	3.20	0.00	2.32	
COCA COLA AMATIL SPD ADR								
36.0000	Sale	02/03/11	191085208 05/10/13	942.20	824.04	0.00	118.16	
FRESENIUS MEDICAL CARE								
AG & CO SPON ADR								
42.0000	Sale	02/03/11	07/08/13	1,303.61	1,268.87	0.00	34.74	
3.0000	Sale	02/03/11	07/09/13	94.65	90.63	0.00	4.02	
13.0000	Sale	02/03/11	08/08/13	425.05	392.74	0.00	32.31	
44.0000	Sale	02/03/11	08/09/13	1,434.47	1,329.29	0.00	105.18	
				3,257.78	3,081.53	0.00	176.25	
Security Subtotal								
FOMENTO ECNMCO MEX SPADR								
SAB DE CV								
7.0000	Sale	03/03/11	344419106 01/22/13	755.00	402.21	0.00	352.79	
2.0000	Sale	09/02/11	01/22/13	215.72	138.58	0.00	77.14	
				970.72	540.79	0.00	429.93	
Security Subtotal								
FANUC LTD-UNSP								
55.0000	Sale	02/03/11	307305102 11/27/13	1,525.62	1,397.55	0.00	128.07	
GRUPO TELEVISA SA ADR								
43.0000	Sale	09/26/11	40049J206 03/12/13	1,163.33	795.76	0.00	367.57	
GALAXY ENTMT GROUP LTD								
SPON ADR								
14.0000	Sale	04/20/12	36318L104 09/12/13	950.45	426.63	0.00	523.82	

THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
GROUP INC								
6,000	Sale	05/05/11	09/16/13	204.74	123.86	0.00	80.88	
14,000	Sale	01/24/12	09/16/13	477.74	270.20	0.00	207.54	
	Security Subtotal			682.48	394.06	0.00	288.42	
ERIAL TOB GRP SPS ADR								
24,000	Sale	02/03/11	07/15/13	1,634.39	1,479.12	0.00	155.27	
3FISHER PLC SP ADR								
159,000	Sale	01/24/12	04/26/13	1,529.01	1,276.77	0.00	252.24	
REAL CO ADR								
46,000	Sale	09/13/11	02/21/13	1,332.05	901.17	0.00	430.88	
5,000	Sale	09/13/11	04/22/13	162.96	97.95	0.00	65.01	
37,000	Sale	01/24/12	04/22/13	1,205.91	775.15	0.00	430.76	
34,000	Sale	01/24/12	04/23/13	1,131.65	712.30	0.00	419.35	
	Security Subtotal			3,832.57	2,486.57	0.00	1,346.00	
TLE S A REP RG SH ADR								
16,000	Sale	02/03/11	08/09/13	1,084.51	877.44	0.00	207.07	
ASH CORP SASKATCHEWAN								
21,000	Sale	05/14/12	09/16/13	693.96	844.92	0.00	(150.96)	
20,000	Sale	05/23/12	09/16/13	660.91	783.19	0.00	(122.28)	
2,000	Sale	08/28/12	09/16/13	66.10	82.03	0.00	(15.93)	
30,000	Sale	08/28/12	11/19/13	977.65	1,230.39	0.00	(252.74)	
	Security Subtotal			2,398.62	2,940.53	0.00	(541.91)	
TH-NPHW PLC SPADR NEW								
4,000	Sale	01/24/12	02/21/13	213.55	189.08	0.00	24.47	
12,000	Sale	01/24/12	02/22/13	643.83	567.24	0.00	76.59	
	Security Subtotal			857.38	756.32	0.00	101.06	
GENTA AG ADR								
13,000	Sale	01/24/12	10/17/13	1,039.82	809.64	0.00	230.18	
DBANK A B ADR								
40,000	Sale	01/24/12	04/04/13	875.94	583.13	0.00	292.81	

THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
'NILEVER NV NY REG SHS 36.0000 Sale		CUSIP Number 02/03/11	904784709 07/30/13	1,440.28	1,080.57	0.00	359.71	
'OLKSWAGEN A G ADR 34.0000 Sale 1.0000 Sale 31.0000 Sale		CUSIP Number 10/07/11 10/07/11 01/24/12	928662402 01/09/13 02/28/13 02/28/13	1,522.11 43.68 1,354.38	923.53 27.16 1,097.09	0.00 0.00 0.00	598.58 16.52 257.29	
Security Subtotal				2,920.17	2,047.78	0.00	872.39	
'OLVO AKTIEBOLAGET ADR 155.0000 Sale		CUSIP Number 01/24/12	928856400 07/19/13	2,224.05	1,976.25	0.00	247.80	

Covered Long Term Capital Gains and Losses Subtotal

46,298.00

38,218.18

0.00

8,079.82

NET LONG TERM CAPITAL GAINS AND LOSSES

46,298.00

38,218.18

0.00

8,079.82

TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES
TOTAL REPORTED SALES PROCEEDS

105,768.52
105,768.52

(Y) The gain or loss of this transaction includes an adjustment to basis for the deferred loss amount on one or more previous "Wash Sales."

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
(3,378.52)	0.00	8,079.82	0.00

THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c:

COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)

NUANCE COMMUNICATION CUSIP Number 67020YAF7

XPN PLG PRNT ML#A6NH8

02.750% NOV 01 2031

8000.0000	Sale	11/02/12	02/08/13	8,480.00	8,839.91	0.00	(359.91)	
1000.0000	Sale	11/02/12	02/08/13	1,060.00	1,105.00	0.00	(45.00)	
1000.0000	Sale	11/02/12	02/08/13	1,064.68	1,104.99	0.00	(40.31)	
1000.0000	Sale	12/07/12	02/08/13	1,064.69	1,074.96	0.00	(10.27)	
10000.0000	Sale	12/07/12	10/29/13	9,825.00	10,726.26	0.00	(901.26)	
Security Subtotal				21,494.37	22,851.12	0.00	(1,356.75)	

AMGEN INC

SR CONVERT NOTES

DUE FEBRUARY 1 2013

CUSIP Number 031162AQ3

1000.0000	Sale	07/13/12	01/25/13	1,071.49	1,052.50	0.00	18.99	
1000.0000	Sale	07/13/12	01/25/13	1,071.49	1,059.71	0.00	11.78	
2000.0000	Sale	07/13/12	01/25/13	2,142.98	2,119.43	0.00	23.55	
1000.0000	Sale	07/18/12	01/25/13	1,066.92	1,076.25	0.00	(9.33)	
2000.0000	Sale	07/23/12	01/25/13	2,133.85	2,120.00	0.00	13.85	
Security Subtotal				7,486.73	7,427.89	0.00	58.84	

THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ARRIS GROUP INC								
CONV								
02.000%	NOV 15 2026							
1000.0000	Sale	02/26/13	11/06/13	1,072.40	1,123.57	0.00	(51.17)	
3000.0000	Sale	02/26/13	11/06/13	3,217.20	3,370.67	0.00	(153.47)	
1000.0000	Sale	02/27/13	11/06/13	1,072.40	1,133.37	0.00	(60.97)	
	Security Subtotal			5,362.00	5,627.61	0.00	(265.61)	
HOLOGIC INC								
CONV								
STEP% SER 2010								
2000.0000	Sale	01/15/13	12/12/13	2,310.00	2,512.74	0.00	(202.74)	(G)
1000.0000	Sale	01/17/13	12/12/13	1,154.99	1,266.37	0.00	(111.38)	(G)
1000.0000	Sale	01/23/13	12/12/13	1,155.00	1,268.02	0.00	(113.02)	(G)
1000.0000	Sale	02/01/13	12/12/13	1,155.01	1,286.42	0.00	(131.41)	(G)
1000.0000	Sale	02/01/13	12/12/13	1,155.00	1,286.42	0.00	(131.42)	(G)
1000.0000	Sale	02/01/13	12/12/13	3,465.00	3,859.27	0.00	(394.27)	(G)
3000.0000	Sale	02/01/13	12/12/13	1,155.00	1,257.59	0.00	(102.59)	(G)
1000.0000	Sale	02/11/13	12/12/13	1,155.00	1,257.59	0.00	(102.59)	(G)
1000.0000	Sale	02/11/13	12/12/13	1,155.00	1,247.25	0.00	(92.25)	(G)
1000.0000	Sale	02/15/13	12/12/13	4,620.00	4,989.02	0.00	(369.02)	(G)
4000.0000	Sale	02/15/13	12/12/13	1,155.00	1,241.57	0.00	(86.57)	(G)
1000.0000	Sale	02/19/13	12/12/13	2,310.00	2,483.15	0.00	(173.15)	(G)
2000.0000	Sale	02/19/13	12/12/13	21,945.00	23,955.41	0.00	(2,010.41)	
	Security Subtotal							
INTEL CORP								
CONV								
02.950%	DEC 15 2035							
3000.0000	Sale	08/17/12	08/16/13	3,185.89	3,592.40	0.00	(406.51)	(G)
FIDELITY NATL FINANCIAL								
CONV								
04.250%	AUG 15 2018							
9000.0000	Sale	08/07/12	05/03/13	12,200.32	10,060.47	0.00	2,139.85	
8000.0000	Sale	08/20/12	05/06/13	10,899.56	9,027.25	0.00	1,872.31	
3000.0000	Sale	08/20/12	05/07/13	4,128.75	3,385.03	0.00	743.72	
	Security Subtotal			27,228.63	22,472.75	0.00	4,755.88	



Merrill Lynch
Bank of America Corporation

Account No.
145-04B65

Taxpayer No.
99-0285922

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THE CADES FOUNDATION

1099-B **2013 ANNUAL STATEMENT SUMMARY**
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
CHARLES RIVER LABORATORY								
CONV			CUSIP Number	159864AB3				
02.250%	JUN 15 2013							
11000.0000	Redemption	09/21/12	06/17/13	11,000.00	11,176.00	0.00	(176.00)	
ELECTRONIC ARTS INC								
CONV			CUSIP Number	285512AA7				
00.750%	JUL 15 2016							
3000.0000	Sale	05/29/13	12/12/13	3,135.00	3,153.09	0.00	(18.09)	
3000.0000	Sale	05/29/13	12/12/13	3,135.00	3,153.09	0.00	(18.09)	
6000.0000	Sale	05/29/13	12/12/13	6,270.00	6,306.17	0.00	(36.17)	
3000.0000	Sale	08/16/13	12/12/13	3,135.00	3,335.62	0.00	(200.62)	
2000.0000	Sale	08/19/13	12/12/13	2,090.00	2,227.26	0.00	(137.26)	
1000.0000	Sale	08/21/13	12/12/13	1,045.00	1,110.34	0.00	(65.34)	
2000.0000	Sale	08/21/13	12/12/13	2,090.00	2,220.68	0.00	(130.68)	
3000.0000	Sale	08/26/13	12/12/13	3,135.00	3,404.99	0.00	(269.99)	
				24,035.00	24,911.24	0.00	(876.24)	
Security Subtotal								
ENERSYS								
CONV			CUSIP Number	29275YAA0				
STEP% JUN 01 2038								
8000.0000	Sale	01/08/13	07/30/13	10,973.21	9,739.38	0.00	1,233.83 (G)	
4000.0000	Sale	01/08/13	07/31/13	5,534.80	4,872.28	0.00	662.52 (G)	
				16,508.01	14,611.66	0.00	1,896.35	
Security Subtotal								
GENERAL CABLE CORP								
CONV SUBORDINATED GLB			CUSIP Number	369300AL2				
STEP% NOV 15 2029								
4000.0000	Sale	01/31/13	12/12/13	4,235.00	5,098.93	0.00	(863.93) (G)	
6000.0000	Sale	02/04/13	12/12/13	6,352.50	7,641.18	0.00	(1,288.68) (G)	
				10,587.50	12,740.11	0.00	(2,152.61)	
Security Subtotal								
JEFFERIES GROUP INC								
CONV			CUSIP Number	472319AG7				
03.875% NOV 01 2029								
22000.0000	Sale	05/15/13	10/23/13	23,292.50	24,926.25	0.00	(1,633.75) (G)	

THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
MOLINA HEALTHCARE INC								
	CONV SER MOH							
	03.750% OCT 01 2014							
	10000.0000 Sale	02/20/13	12/12/13	11,475.00	12,247.10	0.00	(772.10)	
NUANCE COMMUNICATIONS								
	CONV GLB							
	02.750% AUG 15 2027							
	1000.0000 Sale	04/02/13	12/12/13	1,039.38	1,240.61	0.00	(201.23)	TRANSACTION ADDED
	2000.0000 Sale	04/02/13	12/12/13	2,078.75	2,481.21	0.00	(402.46)	TRANSACTION ADDED
	1000.0000 Sale	04/05/13	12/12/13	1,039.38	1,208.03	0.00	(168.65)	TRANSACTION ADDED
	1000.0000 Sale	04/12/13	12/12/13	1,039.38	1,261.91	0.00	(222.53)	TRANSACTION ADDED
	Security Subtotal			5,196.89	6,191.76	0.00	(994.87)	
ROYAL GOLD INC.								
	2.875% CONV SENIOR NOTES							
	DUE JUNE 15, 2019							
	6000.0000 Sale	06/20/12	02/28/13	6,287.65	6,232.27	0.00	55.38	
	2000.0000 Sale	06/20/12	02/28/13	2,095.88	2,072.58	0.00	23.30	
	1000.0000 Sale	06/21/12	02/28/13	1,047.94	1,031.53	0.00	16.41	
	1000.0000 Sale	06/21/12	02/28/13	1,047.94	1,031.53	0.00	16.41	
	1000.0000 Sale	06/22/12	02/28/13	1,047.94	1,026.06	0.00	21.88	
	2000.0000 Sale	06/22/12	03/05/13	2,062.74	2,052.05	0.00	10.69	
	1000.0000 Sale	06/22/12	03/05/13	1,031.37	1,026.02	0.00	5.35	
	2000.0000 Sale	06/25/12	03/05/13	2,062.74	2,057.77	0.00	4.97	
	1000.0000 Sale	06/25/12	03/05/13	1,031.37	1,031.72	0.00	(0.35)	
	1000.0000 Sale	06/25/12	03/05/13	1,031.37	1,031.71	0.00	(0.34)	
	1000.0000 Sale	06/26/12	03/05/13	1,031.37	1,036.46	0.00	(5.09)	
	3000.0000 Sale	06/26/12	03/05/13	3,094.11	3,109.34	0.00	(15.23)	
	Security Subtotal			22,872.42	22,739.04	0.00	133.38	
RYLAND GROUP								
	CONV COMPANY GUARNT							
	00.250% JUN 01 2019							
	1000.0000 Sale	05/15/13	12/12/13	910.00	1,011.09	0.00	(101.09)	
	2000.0000 Sale	05/15/13	12/12/13	1,820.00	2,022.19	0.00	(202.19)	
	5000.0000 Sale	05/15/13	12/12/13	4,550.00	5,055.47	0.00	(505.47)	
	2000.0000 Sale	05/15/13	12/12/13	1,820.00	2,023.97	0.00	(203.97)	

THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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RYLAND GROUP
CONV COMPANY GUARNT
00.250% JUN 01 2019

1000.0000	Sale	05/15/13	12/12/13	910.00	1,008.75	0.00	(98.75)	
1000.0000	Sale	05/16/13	12/12/13	910.00	1,001.81	0.00	(91.81)	
	Security Subtotal			10,920.00	12,123.28	0.00	(1,203.28)	

TESLA MOTORS INC
CONV

1000.0000	Sale	05/17/13	07/16/13	1,176.69	1,040.94	0.00	135.75	
3000.0000	Sale	05/17/13	07/17/13	3,600.00	3,122.61	0.00	477.39	
1000.0000	Sale	05/17/13	08/14/13	1,350.00	1,040.25	0.00	309.75	
1000.0000	Sale	05/17/13	08/14/13	1,343.34	1,040.25	0.00	303.09	
2000.0000	Sale	05/17/13	08/15/13	2,684.84	2,080.45	0.00	604.39	
1000.0000	Sale	05/17/13	08/15/13	1,361.59	1,040.23	0.00	321.36	
1000.0000	Sale	05/17/13	08/19/13	1,373.87	1,048.50	0.00	325.37	
2000.0000	Sale	05/17/13	08/19/13	2,760.68	2,096.99	0.00	663.69	
	Security Subtotal			15,651.01	12,510.22	0.00	3,140.79	

VOLCANO CORP
CONV

1000.0000	Sale	12/05/12	04/23/13	963.13	1,028.94	0.00	(65.81)	
1000.0000	Sale	12/05/12	04/23/13	963.12	1,028.94	0.00	(65.82)	
4000.0000	Sale	12/05/12	04/23/13	3,852.51	4,124.99	0.00	(272.48)	
1000.0000	Sale	12/05/12	04/23/13	963.12	1,031.25	0.00	(68.13)	
1000.0000	Sale	12/05/12	04/23/13	963.13	1,031.25	0.00	(68.12)	
1000.0000	Sale	12/05/12	04/23/13	963.13	1,031.25	0.00	(68.12)	
1000.0000	Sale	12/05/12	04/23/13	963.12	1,031.25	0.00	(68.13)	
1000.0000	Sale	12/06/12	04/23/13	963.13	1,031.27	0.00	(68.14)	
2000.0000	Sale	12/06/12	04/23/13	1,926.25	2,062.53	0.00	(136.28)	
1000.0000	Sale	12/06/12	04/23/13	963.13	1,031.27	0.00	(68.14)	
1000.0000	Sale	12/07/12	04/23/13	963.13	1,030.13	0.00	(67.00)	
1000.0000	Sale	12/07/12	04/23/13	963.13	1,029.26	0.00	(66.13)	
1000.0000	Sale	12/10/12	04/23/13	963.12	1,026.55	0.00	(63.43)	
2000.0000	Sale	12/11/12	04/23/13	1,926.26	2,043.82	0.00	(117.56)	
2000.0000	Sale	12/12/12	04/23/13	1,926.25	2,028.93	0.00	(102.68)	
2000.0000	Sale	12/12/12	04/23/13	1,926.25	2,043.30	0.00	(117.05)	
	Security Subtotal			22,151.91	23,634.93	0.00	(1,483.02)	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
WALTER INVESTMENT MGMT								
4.5% CONV SENIOR NOTES								
DUE NOV 1 2019								
5000.0000	Sale	02/07/13	12/12/13	5,206.25	5,436.72	0.00	(230.47)	
5000.0000	Sale	02/12/13	12/12/13	5,206.25	5,616.61	0.00	(410.36)	
1000.0000	Sale	02/19/13	12/12/13	1,041.25	1,148.23	0.00	(106.98)	
				11,453.75	12,201.56	0.00	(747.81)	
Security Subtotal								
ANGLOGOLD HOLDINGS LTD								
SERIES								
CONV PFD STK								
74.0000	Sale	06/13/12	02/07/13	2,596.22	3,168.53	0.00	(572.31)	
FIFTH THIRD BANCORP								
316773100								
95.0000	Sale	11/08/12	07/10/13	1,781.25	1,496.71	0.00	284.54	
43.0000	Sale	11/08/12	07/10/13	806.25	675.16	0.00	131.09	
129.0000	Sale	11/12/12	07/10/13	2,418.76	2,035.71	0.00	383.05	
259.0000	Sale	11/14/12	07/10/13	4,856.27	4,064.69	0.00	791.58	
407.0000	Sale	11/20/12	07/10/13	7,631.28	6,533.30	0.00	1,097.98	
449.0000	Sale	11/27/12	07/10/13	8,418.79	7,269.30	0.00	1,149.49	
				25,912.60	22,074.87	0.00	3,837.73	
Security Subtotal								
GENERAL MOTORS CO								
MANDATORY CONVERTIBLE								
JR PFD CONV SER B								
540.0000	Sale	12/31/12	10/21/13	27,383.89	23,707.94	0.00	3,675.95	
HORNBECK OFFSHORE SVCS								
INC NEW								
440543106								
2.0000	Sale	11/15/13	12/03/13	99.02	107.85	0.00	(8.83)	
2.0000	Sale	11/15/13	12/03/13	99.02	107.85	0.00	(8.83)	
2.0000	Sale	11/15/13	12/03/13	99.02	107.85	0.00	(8.83)	
2.0000	Sale	11/15/13	12/03/13	99.02	107.85	0.00	(8.83)	
2.0000	Sale	11/15/13	12/03/13	99.02	107.85	0.00	(8.83)	
2.0000	Sale	11/15/13	12/03/13	99.02	107.85	0.00	(8.83)	
2.0000	Sale	11/15/13	12/03/13	99.02	107.85	0.00	(8.83)	
2.0000	Sale	11/15/13	12/03/13	99.02	107.85	0.00	(8.83)	
2.0000	Sale	11/15/13	12/03/13	99.02	107.85	0.00	(8.83)	
2.0000	Sale	11/15/13	12/03/13	99.02	107.85	0.00	(8.83)	
2.0000	Sale	11/15/13	12/03/13	99.02	107.85	0.00	(8.83)	
5.0000	Sale	11/15/13	12/03/13	247.57	269.62	0.00	(22.05)	
5.0000	Sale	11/15/13	12/03/13	247.57	269.62	0.00	(22.05)	

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1e Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
HORNBECK OFFSHORE SVCS								
		CUSIP Number	440543106					
INC NEW		11/15/13	12/03/13	247.57	269.62	0.00	(22.05)	
5.0000	Sale	11/15/13	12/03/13	396.12	431.40	0.00	(35.28)	
8.0000	Sale	11/15/13	12/03/13	396.12	431.40	0.00	(35.28)	
16.0000	Sale	11/15/13	12/03/13	792.25	862.80	0.00	(70.55)	
35.0000	Sale	11/15/13	12/03/13	1,733.05	1,887.37	0.00	(154.32)	
	Security Subtotal			5,050.45	5,500.33	0.00	(449.88)	
METLIFE INC								
		CUSIP Number	59156R108					
110.0000	COM Sale	07/22/13	09/16/13	5,418.36	5,568.48	0.00	(150.12)	
136.0000	Sale	01/31/13	09/16/13	6,697.82	5,810.58	0.00	887.24	
	Security Subtotal			12,116.18	11,379.06	0.00	737.12	
	Covered Short Term Capital Gains and Losses Subtotal			344,905.95	341,771.06	0.00	3,134.89	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

LIBERTY MEDIA CORP								
		CUSIP Number	530718AF2					
CONV								
03.125%	MAR 30 2023							
	Prin Payment	01/15/13	01/15/13	179.18	179.18	0.00	0.00	
SALIX PHARMACEUTICALS LT								
		CUSIP Number	795435AE6					
CONV SENIOR NOTES								
01.500%	MAR 15 2019							
2000.0000	Sale	04/12/13	12/13/13	2,825.00	2,102.15	0.00	722.85	
1000.0000	Sale	04/12/13	12/16/13	1,426.04	1,051.05	0.00	374.99	
	Security Subtotal			4,251.04	3,153.20	0.00	1,097.84	
	Noncovered Short Term Capital Gains and Losses Subtotal			4,430.22	3,332.38	0.00	1,097.84	
	NET SHORT TERM CAPITAL GAINS AND LOSSES			349,336.17	345,103.44	0.00	4,232.73	

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
LIBERTY MEDIA CORP								
CONV								
03.125% MAR 30 2023		01/25/12	02/01/13	17,595.60	13,917.49	0.00	3,678.11	
12000.0000 Sale		01/25/12	04/09/13	4,938.23	3,479.38	0.00	1,458.85	
3000.0000 Sale		01/25/12	04/09/13	3,285.00	2,319.58	0.00	965.42	
2000.0000 Sale		01/25/12	04/09/13	4,927.50	3,479.37	0.00	1,448.13	
3000.0000 Sale								
Security Subtotal				30,746.33	23,195.82	0.00	7,550.51	
AT&T CORP-LIBERTY ME CLD								
CONV								
03.250% MAR 15 2031		02/07/12	03/08/13	28,236.53	31,063.49	0.00	(2,826.96)	(G)
28000.0000 Redemption								
ARRIS GROUP INC								
CONV								
02.000% NOV 15 2026		06/25/12	11/04/13	2,182.19	2,095.33	0.00	86.86	
2000.0000 Sale		06/26/12	11/04/13	2,182.20	2,106.42	0.00	75.78	
2000.0000 Sale		06/26/12	11/04/13	2,182.20	2,106.42	0.00	75.78	
1000.0000 Sale		07/03/12	11/05/13	1,072.40	1,065.26	0.00	7.14	
2000.0000 Sale		07/16/12	11/05/13	2,144.80	2,137.23	0.00	7.57	
1000.0000 Sale		07/17/12	11/05/13	1,072.40	1,069.95	0.00	2.45	
1000.0000 Sale		07/17/12	11/05/13	1,069.50	1,069.95	0.00	(0.45)	
1000.0000 Sale		07/17/12	11/06/13	1,072.39	1,069.90	0.00	2.49	
1000.0000 Sale		07/19/12	11/06/13	2,144.81	2,135.74	0.00	9.07	
2000.0000 Sale		07/19/12	11/06/13	1,072.40	1,067.88	0.00	4.52	
1000.0000 Sale		07/19/12	11/06/13	3,217.20	3,203.61	0.00	13.59	
3000.0000 Sale		07/20/12	11/06/13	3,217.20	3,193.56	0.00	23.64	
Security Subtotal				22,629.69	22,321.25	0.00	308.44	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
INTEL CORP								
	CONV JR SUBORDINATED							
	02.950% DEC 15 2035							
3000.0000	Sale	08/13/12	08/16/13	3,185.88	3,613.57	0.00	(427.69) (G)	
2000.0000	Sale	08/13/12	08/16/13	2,123.92	2,409.04	0.00	(285.12) (G)	
2000.0000	Sale	08/15/12	08/16/13	2,123.92	2,394.02	0.00	(270.10) (G)	
	Security Subtotal			7,433.72	8,416.63	0.00	(982.91)	
CHESAPEAKE ENERGY CORP								
	CONV COMPANY GUARNT							
	02.750% NOV 15 2035							
9000.0000	Sale	01/26/12	04/08/13	9,000.01	9,452.08	0.00	(452.07) (G)	
SYMANTEC CORP								
	CONV SER B GLB							
	01.000% JUN 15 2013							
4000.0000	Sale	01/31/12	05/08/13	5,078.28	4,462.50	0.00	615.78	
6000.0000	Sale	01/31/12	05/15/13	7,693.98	6,693.75	0.00	1,000.23	
	Security Subtotal			12,772.26	11,156.25	0.00	1,616.01	
APACHE CORPORATION								
	DEPOSITARY SHARES							
	6% MAND CNVT PFD							
17.0000	Sale	08/19/11	02/22/13	749.02	933.66	0.00	(184.64)	
17.0000	Sale	09/19/11	02/22/13	749.02	946.94	0.00	(197.92)	
5.0000	Sale	09/19/11	02/22/13	220.30	278.51	0.00	(58.21)	
27.0000	Sale	08/19/11	02/22/13	1,189.62	1,482.86	0.00	(293.24)	
7.0000	Sale	08/24/11	02/22/13	308.43	391.60	0.00	(83.17)	
10.0000	Sale	08/24/11	02/22/13	440.59	559.43	0.00	(118.84)	
7.0000	Sale	08/30/11	02/22/13	308.43	402.41	0.00	(93.98)	
1.0000	Sale	08/30/11	02/22/13	44.06	57.49	0.00	(13.43)	
9.0000	Sale	09/02/11	02/22/13	396.54	512.10	0.00	(115.56)	
8.0000	Sale	09/02/11	02/22/13	352.48	455.20	0.00	(102.72)	
8.0000	Sale	09/08/11	02/22/13	352.48	458.23	0.00	(105.75)	
9.0000	Sale	09/08/11	02/22/13	396.54	515.50	0.00	(118.96)	
8.0000	Sale	09/09/11	02/22/13	352.48	445.68	0.00	(93.20)	
10.0000	Sale	09/09/11	02/22/13	440.60	553.95	0.00	(113.35)	
5.0000	Sale	09/14/11	02/22/13	220.30	274.58	0.00	(54.28)	
12.0000	Sale	09/19/11	02/22/13	528.73	668.42	0.00	(139.69)	
	Security Subtotal			7,049.62	8,936.56	0.00	(1,886.94)	



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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
Covered Long Term Capital Gains and Losses Subtotal				117,868.16	114,542.08	0.00	3,326.08	

NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

TELEFEX INCORPORATED
3.875% CONV SR SBRDNTD
DUE AUGUST 1 2017
CUSIP Number 879369AA4

1000.0000	Sale	10/27/11	04/29/13	1,421.05	1,131.83	0.00	289.22	
1000.0000	Sale	10/31/11	04/29/13	1,421.06	1,135.60	0.00	285.46	
1000.0000	Sale	11/01/11	04/29/13	1,421.06	1,120.38	0.00	300.68	
1000.0000	Sale	11/01/11	04/29/13	1,421.06	1,120.38	0.00	300.68	
1000.0000	Sale	11/02/11	04/30/13	1,379.18	1,125.98	0.00	253.20	
1000.0000	Sale	11/09/11	04/30/13	1,379.18	1,133.60	0.00	245.58	
1000.0000	Sale	11/15/11	04/30/13	1,379.18	1,132.44	0.00	246.74	
1000.0000	Sale	11/15/11	05/02/13	1,368.07	1,132.09	0.00	235.98	
2000.0000	Sale	11/18/11	05/10/13	2,803.50	2,278.40	0.00	525.10	
1000.0000	Sale	11/21/11	05/14/13	1,402.03	1,130.65	0.00	271.38	
1000.0000	Sale	11/21/11	08/08/13	1,346.68	1,123.33	0.00	223.35	
1000.0000	Sale	11/22/11	08/08/13	2,693.36	2,244.46	0.00	448.90	
1000.0000	Sale	11/28/11	08/08/13	1,346.68	1,118.09	0.00	228.59	
1000.0000	Sale	12/02/11	08/08/13	1,346.68	1,143.20	0.00	203.48	
2000.0000	Sale	12/08/11	08/08/13	2,693.36	2,257.13	0.00	436.23	
1000.0000	Sale	12/15/11	08/08/13	1,346.68	1,129.60	0.00	217.08	
Security Subtotal				26,168.81	21,457.16	0.00	4,711.65	

AMGEN INC
SR CONVERT NOTES
DUE FEBRUARY 1 2013
CUSIP Number 031162AQ3

9000.0000	Sale	08/28/08	01/16/13	9,918.00	8,831.25	0.00	1,086.75	
3000.0000	Sale	08/28/08	01/16/13	3,306.00	2,943.75	0.00	362.25	
9000.0000	Sale	10/10/08	01/16/13	9,918.00	7,166.89	0.00	2,751.11	
2000.0000	Sale	10/10/08	01/25/13	2,142.98	1,592.64	0.00	550.34	
1000.0000	Sale	06/29/11	01/25/13	1,071.49	1,005.00	0.00	66.49	
1000.0000	Sale	06/29/11	01/25/13	1,071.49	1,005.00	0.00	66.49	
9000.0000	Sale	06/29/11	01/25/13	9,643.41	9,045.00	0.00	598.41	
Security Subtotal				37,071.37	31,589.53	0.00	5,481.84	



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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
ANIXTER INTL INC								
CONV GLB								
01.000% FEB 15 2013								
2000.0000	Sale	04/11/08	01/18/13	2,370.66	2,293.02	0.00	77.64	
5000.0000	Sale	05/13/10	01/22/13	5,951.88	5,123.70	0.00	828.18	
5000.0000	Sale	05/13/10	01/23/13	5,978.00	5,123.70	0.00	854.30	
7000.0000	Sale	05/13/10	01/24/13	8,387.23	7,173.18	0.00	1,214.05	
	Security Subtotal			22,687.77	19,713.60	0.00	2,974.17	
AFFILIATED MANAGERS CLD								
CONV								
03.950% AUG 15 2038								
5000.0000	Exchange	10/27/10	09/13/13	7,056.18	5,286.79	0.00	1,769.39	
1000.0000	Exchange	12/23/10	09/13/13	1,411.24	1,102.80	0.00	308.44	
1000.0000	Exchange	01/07/11	09/13/13	1,411.24	1,103.66	0.00	307.58	
1000.0000	Exchange	01/31/11	09/13/13	1,411.25	1,151.53	0.00	259.72	
2000.0000	Exchange	11/04/10	09/13/13	2,822.47	2,140.43	0.00	682.04	
5000.0000	Exchange	11/15/10	09/13/13	7,056.18	5,307.51	0.00	1,748.67	
1000.0000	Exchange	11/16/10	09/13/13	1,411.23	1,057.42	0.00	353.81	
1000.0000	Exchange	11/24/10	09/13/13	1,411.23	1,067.97	0.00	343.26	
1000.0000	Exchange	11/24/10	09/13/13	1,411.23	1,067.97	0.00	343.26	
1000.0000	Exchange	11/30/10	09/13/13	1,411.24	1,064.48	0.00	346.76	
3000.0000	Exchange	12/03/10	09/13/13	4,233.71	3,239.81	0.00	993.90	
1000.0000	Exchange	12/08/10	09/13/13	1,411.24	1,091.36	0.00	319.88	
1000.0000	Exchange	12/14/10	09/13/13	1,411.24	1,091.38	0.00	319.86	
1000.0000	Exchange	12/14/10	09/13/13	1,411.24	1,091.38	0.00	319.86	
	Security Subtotal			35,280.92	26,864.49	0.00	8,416.43	
CHESAPEAKE ENERGY CORP								
CONV COMPANY GUARNT								
02.750% NOV 15 2035								
1000.0000	Sale	02/06/09	04/08/13	1,000.00	974.75	0.00	25.25	(G)
9000.0000	Sale	02/06/09	04/08/13	9,000.00	8,772.72	0.00	227.28	(G)
4000.0000	Sale	02/06/09	04/08/13	4,000.00	3,898.99	0.00	101.01	(G)
1000.0000	Sale	02/06/09	04/08/13	1,000.00	974.75	0.00	25.25	(G)
4000.0000	Sale	05/20/10	04/08/13	4,000.00	4,273.89	0.00	(273.89)	(G)
1000.0000	Sale	02/08/11	04/08/13	999.99	1,293.75	0.00	(293.76)	(G)
1000.0000	Sale	02/09/11	04/08/13	1,000.00	1,287.65	0.00	(287.65)	(G)
	Security Subtotal			20,999.99	21,476.50	0.00	(476.51)	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
EMC CORP								
CONV SER B								
01 750% DEC 01 2013								
2000.0000	Sale	02/03/09	04/29/13	2,821.68	1,926.80	0.00	894.88	
4000.0000	Sale	02/03/09	04/30/13	5,639.76	3,853.60	0.00	1,786.16	
1000.0000	Sale	02/03/09	05/01/13	1,403.68	963.40	0.00	440.28	
Security Subtotal				9,865.12	6,743.80	0.00	3,121.32	
GOLDCORP INC NEW								
CONV								
02.0000% AUG 01 2014								
3000.0000	Sale	06/09/10	04/18/13	3,082.50	3,491.25	0.00	(408.75)	
7000.0000	Sale	10/25/11	04/18/13	7,192.50	8,775.90	0.00	(1,583.40)	
Security Subtotal				10,275.00	12,267.15	0.00	(1,992.15)	
MEDTRONIC INC								
1.625% CONV SUB NTS								
DUE APRIL 15 2013								
6000.0000	Sale	06/23/11	03/26/13	6,003.00	6,002.61	0.00	0.39	
4000.0000	Sale	06/23/11	03/26/13	4,002.00	4,001.74	0.00	0.26	
1000.0000	Sale	06/29/11	03/26/13	1,000.50	1,000.52	0.00	(0.02)	
5000.0000	Sale	06/29/11	03/26/13	5,002.50	5,002.54	0.00	(0.04)	
5000.0000	Sale	06/29/11	03/26/13	5,002.50	5,002.42	0.00	0.08	
Security Subtotal				21,010.50	21,009.83	0.00	0.67	
NEWMONT MINING CORP								
CONV COMP GUARNT SER A								
01.250% JUL 15 2014								
18000.0000	Sale	11/24/08	06/05/13	18,855.00	15,871.95	0.00	2,983.05	
7000.0000	Sale	02/09/09	06/05/13	7,332.50	7,631.40	0.00	(298.90)	
Security Subtotal				26,187.50	23,503.35	0.00	2,684.15	
OMNICOM GROUP INC OMNICO								
CONV SER * GLB								
ZERO% JUL 01 2038								
1000.0000	Exchange	12/15/10	06/12/13	1,000.00	1,000.34	0.00	(0.34)	
4000.0000	Exchange	12/17/10	06/12/13	3,999.99	4,001.38	0.00	(1.39)	
2000.0000	Exchange	12/28/10	06/12/13	2,000.00	2,000.72	0.00	(0.72)	
6000.0000	Exchange	01/07/11	06/12/13	6,000.00	6,002.69	0.00	(2.69)	
2000.0000	Exchange	01/11/11	06/12/13	2,000.00	2,000.80	0.00	(0.80)	

THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e Quantity	Transaction Description	1a. Date of Acquisition	1b. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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OMNICO GROUP INC OMNICO
CONV SER * GLB
ZERO% JUL 01 2038

2000.0000	Exchange	01/12/11	06/12/13	2,000.00	2,000.77	0.00	(0.77)	
5000.0000	Exchange	01/13/11	06/12/13	5,000.01	5,001.79	0.00	(1.78)	
	Security Subtotal			22,000.00	22,008.49	0.00	(8.49)	

TYSON FOODS, INC.
3.25% CONV SENIOR NOTES
DUE OCTOBER 15, 2013

1000.0000	Sale	12/03/10	08/20/13	1,889.87	1,212.50	0.00	677.37	
2000.0000	Sale	12/03/10	08/20/13	3,779.74	2,425.00	0.00	1,354.74	
1000.0000	Sale	12/06/10	08/20/13	1,889.87	1,215.86	0.00	674.01	
2000.0000	Sale	12/07/10	08/20/13	3,779.75	2,451.46	0.00	1,328.29	
3000.0000	Sale	12/07/10	08/20/13	5,669.62	3,677.18	0.00	1,992.44	
	Security Subtotal			17,008.85	10,982.00	0.00	6,026.85	

XILINX INC
CONV JR SUBORDINATED
03.125% MAR 15 2037

4000.0000	Sale	09/03/10	04/25/13	5,355.50	4,331.21	0.00	1,024.29 (G)	
2000.0000	Sale	09/03/10	04/25/13	2,677.74	2,165.62	0.00	512.12 (G)	
4000.0000	Sale	09/07/10	04/25/13	5,355.51	4,303.02	0.00	1,052.49 (G)	
	Security Subtotal			13,388.75	10,799.85	0.00	2,588.90	

Noncovered Long Term Capital Gains and Losses Subtotal

33,528.83

NET LONG TERM CAPITAL GAINS AND LOSSES

36,854.91

OTHER TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

EXTERRAN HOLDINGS, INC
CONV
04.250% JUN 15 2014

1000.0000	Sale	02/04/13	08/16/13	1,295.65	1,194.91	0.00	N/C	
1000.0000	Sale	02/04/13	08/19/13	1,277.87	1,194.90	0.00	N/C	
1000.0000	Sale	02/05/13	08/20/13	1,272.72	1,200.11	0.00	N/C	
1000.0000	Sale	02/05/13	08/22/13	1,268.07	1,200.11	0.00	N/C	

THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY -
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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OTHER TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)

EXTERRAN HOLDINGS, INC CUSIP Number 30225XAA1

CONV	04 250% JUN 15 2014	02/05/13	08/23/13	2,560.67	2,400.23	0.00	N/C	
	2000.0000 Sale	02/05/13	08/26/13	1,277.25	1,200.11	0.00	N/C	
	1000.0000 Sale	02/07/13	08/27/13	1,251.58	1,209.90	0.00	N/C	
	1000.0000 Sale	02/07/13	08/28/13	1,265.64	1,209.90	0.00	N/C	
	1000.0000 Sale	02/07/13	08/29/13	1,261.00	1,209.89	0.00	N/C	
	Security Subtotal			12,730.45	12,020.06	0.00		

FIFTH THIRD BANCORP	Cash/Lieu	CUSIP Number 07/09/13	316773100	5.30	N/A	0.00	N/A	
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HORNBECK OFFSHORE SVCS INC NEW	Cash/Lieu	CUSIP Number 11/25/13	440543106	7.20	N/A	0.00	N/A	
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METLIFE INC	COM Cash/Lieu	CUSIP Number 09/25/13	59156R108	30.38	N/A	0.00	N/A	
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OMNICO GROUP	COM Cash/Lieu	CUSIP Number 06/14/13	681919106	36.76	N/A	0.00	N/A	
78.0000 Sale		06/12/13	07/08/13	4,964.40	N/A	0.00	N/A	
Security Subtotal				5,001.16		0.00		

TYSON FOODS INC CL A	Cash/Lieu	CUSIP Number 10/16/13	902494103	6,769.12	N/A	0.00	N/A	
229.0000 Sale		10/18/13	10/18/13	15.89	N/A	0.00	N/A	
Security Subtotal				6,785.01		0.00		

Other Transactions Subtotal

24,559.50

0.00

TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES
TOTAL REPORTED SALES PROCEEDS

753,708.41
753,708.41



Account No.
145-04B65

Taxpayer No.
99-0285922

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THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

1099-B

2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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(G) Gain recognized on the sale, exchange, or retirement of a Contingent Payment Debt Instrument (CPDI) is treated as ordinary interest income. Loss is treated as ordinary loss to the extent of the holder's total Original Issue Discount (OID) inclusions (including any positive adjustments) with respect to the instrument cannot exceed the total net negative adjustments. Any additional loss is treated as capital loss. Accordingly, gains and ordinary losses should be backed out of Schedule D, Form 1040 and shown on Schedule B, Form 1040. The adjusted basis shown is generally original cost increased by accrued OID and decreased by the amount of any projected payment previously made on the debt instrument. Where the instrument was purchased in the secondary market at a price greater than the adjusted issue price, the adjusted basis is also reduced by the allocable portion of the difference between purchase price and adjusted issue price. The differential is reflected in the "Amortization/Accretion" columns, but is not included as a negative adjustment in the "Additional Information on Contingent Payment Debt Instruments" section of the Tax Reporting Statement. Thus, you may need to make an additional adjustment on your return for this amount. If you held an instrument purchased at original issuance until maturity, and the instrument provided for a single projected payment at maturity, the adjusted basis shown is equal to the amount realized at maturity (gain/loss is shown as \$0.00) and any gain/loss that would have resulted had the basis not been set to the amount realized, is reflected as a net positive or negative adjustment in the "Additional Information on Contingent Payment Debt Instruments" section of the Tax Reporting Statement. Please contact your Tax Advisor for more information.

N/C Results may not be calculated for transactions which involve the amortization of premium, the repayment of principal, the sale of partnership interest, derivative products purchased in the secondary market, or the determination of ordinary income and/or capital items for discount and zero-coupon issues.

N/A Results which cannot be calculated because of insufficient data in the Capital Gain (or Loss) Column and are not included in the Realized Capital Gain and Loss summary.

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
3,134.89	1,097.84	3,326.08	33,528.83

REALIZED COST BASIS INFORMATION FOR SECURITIES SUBJECT TO AMORTIZATION/ACCRETION AS OF DECEMBER 31, 2013

Security Description	Quantity	Date Acquired	Adjusted Cost Basis	Year-To-Date Amortization/Accretion	Life-To-Date Sales Price
NUANCE COMMUNICATION	8000.0000	11/02/12	8,839.91	(4.29)	(9.80)
NUANCE COMMUNICATION	1000.0000	11/02/12	1,105.00	(0.53)	(1.22)
NUANCE COMMUNICATION	1000.0000	11/02/12	1,104.99	(0.54)	(1.22)
NUANCE COMMUNICATION	1000.0000	12/07/12	1,074.96	(0.37)	(0.54)
NUANCE COMMUNICATION	10000.0000	12/07/12	10,726.26	(28.74)	(28.74)
					8,480.00
					1,060.00
					1,064.68
					1,064.69
					9,825.00



Account No.
145-04B66

Taxpayer No.
99-0285922

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THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your tax return.

The 2013 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes those with a purchase date within the following timeline: Equities acquired on or after January 1, 2011 and Mutual Funds acquired on or after January 1, 2012. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available).

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and non-covered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the aforementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID. For purposes of allocating original purchase price to the components of an equity unit consisting of a purchase contract and a debt security subject to a remarketing event, we assumed that the fair market value of the debt security on purchase date was equal to its adjusted issue price. The difference between your original cost and the amount allocable to the debt component was allocated to the purchase contract. In making adjustments to the cost basis of the purchase contract, it was assumed that the unit holder included contract adjustment payments in income when received.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

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2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
SHORT TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
81.0000	ARCH CAPITAL GRP LTD BM Sale	03/30/12	01/07/13	3,588.49	3,022.29	0.00	566.20	
	AIRGAS INC COM	CUSIP Number 009363102	01/07/13					
51.0000	Sale	08/03/12	01/07/13	4,773.08	4,114.48	0.00	658.60	
	ANNALY CAP MGMT INC	CUSIP Number 035710409	01/07/13					
141.0000	Sale	01/25/12	01/07/13	2,069.43	2,354.26	0.00	(284.83)	
201.0000	Sale	02/17/12	01/07/13	2,950.05	3,316.51	0.00	(366.46)	(Y)
468.0000	Sale	03/23/12	01/07/13	6,868.78	7,618.43	0.00	(749.65)	
136.0000	Sale	12/13/12	01/07/13	1,996.06	1,954.02	0.00	42.04	
	Security Subtotal			13,884.32	15,243.22	0.00	(1,358.90)	
	AMERISOURCEBERGEN CORP	CUSIP Number 03073E105	01/07/13					
335.0000	Sale	07/18/12	01/07/13	14,656.89	13,453.73	0.00	1,203.16	
	ADVANCE AUTO PARTS INC	CUSIP Number 00751Y106	01/07/13					
51.0000	Sale	05/31/12	01/07/13	3,748.92	3,678.35	0.00	70.57	

THE CADES FOUNDATION

1099-B **2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS**

1e. Quantity	Transaction Description	1b Date of Acquisition	1a Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
AMERICAN INTERNATIONAL GROUP INC								
		CUSIP Number	026874784					
159,000	Sale	12/05/12	01/07/13	5,692.59	5,379.43	0.00	313.16	
ASSURANT INC								
		CUSIP Number	04621X108					
113,000	Sale	10/12/12	01/07/13	3,967.52	4,456.77	0.00	(489.25)	
34,000	Sale	10/12/12	01/07/13	1,193.76	1,461.81	0.00 (Y)	(268.05)	
40,000	Sale	10/15/12	01/07/13	1,404.44	1,726.82	0.00 (Y)	(322.38)	
29,000	Sale	10/31/12	01/07/13	1,018.22	1,097.46	0.00	(79.24)	
	Security Subtotal			7,583.94	8,742.86	0.00	(1,158.92)	
AMER EXPRESS COMPANY								
		CUSIP Number	025816109					
103,000	Sale	10/31/12	01/07/13	6,176.05	5,835.48	0.00 (Y)	340.57	
127,000	Sale	10/31/12	01/07/13	7,615.13	7,056.00	0.00	559.13	
55,000	Sale	11/02/12	01/07/13	3,297.90	3,130.49	0.00	167.41	
	Security Subtotal			17,089.08	16,021.97	0.00	1,067.11	
AMERICAN WTR WKS CO INC NEW								
		CUSIP Number	030420103					
149,000	Sale	09/21/12	01/07/13	5,593.37	5,500.87	0.00	92.50	
ARCHER DANIELS MIDLD								
		CUSIP Number	039483102					
99,000	Sale	01/07/13	12/11/13	4,143.53	2,765.58	0.00	1,377.95	
BROADCOM CORP CALIF CL A								
		CUSIP Number	111320107					
654,000	Sale	07/18/13	11/27/13	17,311.67	21,786.90	0.00	(4,475.23)	
BAXTER INTERNTL INC								
		CUSIP Number	071813109					
267,000	Sale	01/07/13	11/27/13	18,177.92	18,323.04	0.00	(145.12)	
W R BERKLEY CORP								
		CUSIP Number	084423102					
181,000	Sale	10/01/12	01/07/13	7,061.19	6,770.72	0.00	290.47	
84,000	Sale	10/02/12	01/07/13	3,277.03	3,129.65	0.00	147.38	
	Security Subtotal			10,338.22	9,900.37	0.00	437.85	
COMCAST CORP NEW CL A								
		CUSIP Number	20030N101					
483,000	Sale	01/07/13	02/04/13	18,307.37	18,333.71	0.00	(26.34)	
CARDINAL HEALTH INC OHIO								
		CUSIP Number	14149Y108					
81,000	Sale	01/07/13	12/11/13	5,376.89	3,431.96	0.00	1,944.93	

THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	CVS CAREMARK CORP 26.0000 Sale	CUSIP Number 01/07/13	126650100 12/11/13	1,778.50	1,297.09	0.00	481.41	
	CLIFFS NATURAL RESOURCES INC	CUSIP Number 01/25/12	18683K101 01/07/13	454.10	915.84	0.00	(461.74)	
	12.0000 Sale	01/07/13		2,346.24	2,810.73	0.00	(464.49)	
	62.0000 Sale	01/07/13		1,627.22	3,927.40	0.00 (Y)	(2,300.18)	
	43.0000 Sale	01/07/13		5,070.90	5,272.62	0.00	(201.72)	
	134.0000 Sale	09/27/12		9,498.46	12,926.59	0.00	(3,428.13)	
	Security Subtotal							
	CITIGROUP INC COM NEW 162.0000 Sale	CUSIP Number 01/25/12	172967424 01/07/13	6,846.42	4,860.73	0.00	1,985.69	
	CHUBB CORP 70.0000 Sale	CUSIP Number 03/30/12	171232101 01/07/13	5,411.09	4,854.22	0.00	556.87	
	DEVON ENERGY CORP NEW 9.0000 Sale	CUSIP Number 01/25/12	25179M103 01/07/13	491.70	588.60	0.00	(96.90)	
	DU PONT E I DE NEMOURS 21.0000 Sale	CUSIP Number 01/07/13	263534109 12/11/13	1,268.91	962.27	0.00	306.64	
	EDISON INTL CALIF 396.0000 Sale	CUSIP Number 01/07/13	281020107 11/27/13	18,129.71	18,285.97	0.00	(156.26)	
	EXXON MOBIL CORP COM 81.0000 Sale	CUSIP Number 06/19/12	30231G102 01/07/13	7,098.68	6,827.87	0.00	270.81	
	100.0000 Sale	07/03/12		8,763.82	8,609.59	0.00	154.23	
	Security Subtotal			15,862.50	15,437.46	0.00	425.04	
	EXPRESS SCRIPTS HLDG CO 121.0000 Sale	CUSIP Number 04/20/12	30219G108 01/07/13	6,585.05	7,091.87	0.00	(506.82)	
	62.0000 Sale	04/24/12		3,374.16	3,564.57	0.00	(190.41)	
	Security Subtotal			9,959.21	10,656.44	0.00	(697.23)	

THE CADES FOUNDATION

2013 ANNUAL STATEMENT SUMMARY 2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1099-B

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	FREEPRT-MCMRAN CPR & GLD	CUSIP Number	35671D857					
198,000	Sale	08/22/12	01/07/13	6,993.62	7,176.09	0.00	(182.47)	
76,000	Sale	10/19/12	01/07/13	2,684.42	2,558.24	0.00 (Y)	126.18	
73,000	Sale	12/12/12	01/07/13	2,578.46	2,354.78	0.00	223.68	
	Security Subtotal			12,256.50	12,089.11	0.00	167.39	
	GOLDMAN SACHS GROUP INC	CUSIP Number	38141G104					
104,000	Sale	01/25/12	01/07/13	13,895.96	11,254.88	0.00	2,641.08	
	GENERAL ELECTRIC	CUSIP Number	369604103					
95,000	Sale	01/11/12	01/07/13	1,999.13	1,790.85	0.00	208.28	
	HALLIBURTON COMPANY	CUSIP Number	406216101					
28,000	Sale	01/07/13	12/11/13	1,376.47	1,022.56	0.00	353.91	
	HERTZ GLOBAL HOLDINGS IN	CUSIP Number	42805T105					
360,000	Sale	07/27/12	01/07/13	6,268.54	4,008.13	0.00	2,260.41	
	HUMANA INC	CUSIP Number	444859102					
67,000	Sale	07/09/12	01/07/13	4,504.97	5,106.89	0.00	(601.92)	
23,000	Sale	07/24/12	01/07/13	1,546.48	1,720.26	0.00 (Y)	(173.78)	
54,000	Sale	12/05/12	01/07/13	3,630.89	3,511.75	0.00	119.14	
	Security Subtotal			9,682.34	10,338.90	0.00	(656.56)	
	INTL GAME TECHNOLOGY	CUSIP Number	459902102					
131,000	Sale	02/03/12	01/07/13	1,927.49	2,113.85	0.00	(186.36)	
237,000	Sale	02/08/12	01/07/13	3,487.14	3,743.51	0.00	(256.37)	
384,000	Sale	08/27/12	01/07/13	5,650.05	4,668.13	0.00	981.92	
	Security Subtotal			11,064.68	10,525.49	0.00	539.19	
	JPMORGAN CHASE & CO	CUSIP Number	46625H100					
42,000	Sale	01/25/12	01/07/13	1,902.56	1,581.30	0.00	321.26	
407,000	Sale	06/06/12	01/07/13	18,436.72	13,331.73	0.00	5,104.99	
	Security Subtotal			20,339.28	14,913.03	0.00	5,426.25	
	JOHNSON CONTROLS INC	CUSIP Number	478366107					
155,000	Sale	02/06/13	12/11/13	7,783.14	4,834.17	0.00	2,948.97	
	LAM RESEARCH CORP COM	CUSIP Number	512807108					
144,000	Sale	01/25/12	01/07/13	5,416.20	6,248.95	0.00	(832.75)	

THE CADES FOUNDATION

1099-B 2013 ANNUAL STATEMENT SUMMARY
2013 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
		CUSIP Number	530322106					
	LIBERTY MEDIA CORP							
	LIBERTY CAP COM SER A							
	50 0000 Sale	01/25/12	01/07/13	5,926.41	4,148.00	0.00	1,778.41	
	ELI LILLY & CO							
	211.0000 Sale	03/23/12	01/07/13	10,828.99	8,414.70	0.00	2,414.29	
	LOWE'S COMPANIES INC							
	36.0000 Sale	01/07/13	12/11/13	1,708.68	1,249.09	0.00	459.59	
	METLIFE INC COM							
	151.0000 Sale	01/25/12	01/07/13	5,401.17	5,383.97	0.00	17.20	
	MONDELEZ INTERNATIONAL INC							
	14.0000 Sale	01/07/13	12/11/13	481.17	372.50	0.00	108.67	
	MARSH & MCLENNAN COS INC							
	33 0000 Sale	01/07/13	12/11/13	1,572.83	1,166.11	0.00	406.72	
	MICROSOFT CORP							
	125.0000 Sale	01/25/12	01/07/13	3,338.69	3,691.56	0.00	(352.87)	
	NORFOLK SOUTHERN CORP							
	192.0000 Sale	06/21/12	01/07/13	12,466.88	13,741.29	0.00	(1,274.41)	
	23.0000 Sale	07/09/12	01/07/13	1,493.43	1,661.86	0.00	(168.43)	
	Security Subtotal			13,960.31	15,403.15	0.00	(1,442.84)	
	NORTHROP GRUMMAN CORP							
	57.0000 Sale	01/07/13	12/11/13	6,165.03	3,918.49	0.00	2,246.54	
	ORACLE CORP \$0.01 DEL							
	362.0000 Sale	05/02/12	01/07/13	12,421.02	10,612.32	0.00	1,808.70	
	65 0000 Sale	08/31/12	01/07/13	2,230.30	2,042.02	0.00	188.28	
	Security Subtotal			14,651.32	12,654.34	0.00	1,996.98	
	PHILLIPS 66 SHS							
	60.0000 Sale	06/07/12	01/07/13	3,088.13	1,950.72	0.00	1,137.41	



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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	QUALCOMM INC	CUSIP Number	747525103					
124.0000	Sale	10/31/12	01/07/13	7,937.47	7,347.48	0.00	589.99	
23.0000	Sale	11/14/12	01/07/13	1,472.28	1,424.98	0.00	47.30	
	Security Subtotal			9,409.75	8,772.46	0.00	637.29	
	RAYTHEON CO DELAWARE NEW	CUSIP Number	755111507					
47.0000	Sale	01/07/13	12/11/13	4,033.00	2,749.25	0.00	1,283.75	
	ROYAL DUTCH SHELL PLC	CUSIP Number	780259107					
73.0000	Sale	06/19/12	01/07/13	5,190.69	5,117.20	0.00	73.49	
	SAFEWAY INC NEW	CUSIP Number	786514208					
278.0000	Sale	01/07/13	03/13/13	6,672.82	4,928.05	0.00	1,744.77	
34.0000	Sale	01/07/13	03/14/13	818.97	602.71	0.00	216.26	
287.0000	Sale	01/07/13	04/10/13	7,427.82	5,087.59	0.00	2,340.23	
260.0000	Sale	01/07/13	06/19/13	6,233.10	4,608.97	0.00	1,624.13	
	Security Subtotal			21,152.71	15,227.32	0.00	5,925.39	
	SOUTHWEST AIRLINS CO	CUSIP Number	844741108					
796.0000	Sale	07/26/12	01/07/13	8,617.62	6,877.12	0.00	1,740.50	
405.0000	Sale	09/04/12	01/07/13	4,384.60	3,587.61	0.00	796.99	
	Security Subtotal			13,002.22	10,464.73	0.00	2,537.49	
	TEVA PHARMACTCL INDS ADR	CUSIP Number	881624209					
260.0000	Sale	09/28/12	01/07/13	9,904.22	10,790.03	0.00	(885.81)	
	TIME WARNER CABLE INC	CUSIP Number	88732J207					
67.0000	Sale	01/25/12	01/07/13	6,513.51	4,611.61	0.00	1,901.90	
	TE CONNECTIVITY LTD	CUSIP Number	H84989104					
180.0000	Sale	04/13/12	01/07/13	6,683.84	6,277.01	0.00	406.83	
21.0000	Sale	04/16/12	01/07/13	779.78	738.73	0.00	41.05	
16.0000	Sale	04/26/12	01/07/13	594.13	588.76	0.00	5.37	
	Security Subtotal			8,057.75	7,604.50	0.00	453.25	
	VIACOM INC NEW CL B	CUSIP Number	92553P201					
100.0000	Sale	03/19/12	01/07/13	5,604.88	4,773.99	0.00	830.89	

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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5 Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
VODAFONE GROU PLC SP ADR 140.0000 Sale		CUSIP Number 01/25/12	92857W209 01/07/13	3,694.07	3,851.06	0.00	(156.99)	
WINDSTREAM CORP 405.0000 Sale		CUSIP Number 01/25/12	97381W104 01/07/13	3,926.26	4,876.33	0.00	(950.07)	
586.0000 Sale		08/23/12	01/07/13	5,680.97	5,501.14	0.00	179.83	
140.0000 Sale		08/24/12	01/07/13	1,357.23	1,322.69	0.00	34.54	
Security Subtotal				10,964.46	11,700.16	0.00	(735.70)	
WESTERN UN CO 50.0000 Sale		CUSIP Number 01/13/12	959802109 01/07/13	685.64	942.90	0.00	(257.26)	
WALGREEN CO 298.0000 Sale		CUSIP Number 08/03/12	931422109 01/07/13	11,344.63	10,565.20	0.00	779.43	
81.0000 Sale		10/12/12	01/07/13	3,083.62	2,946.73	0.00	136.89	
Security Subtotal				14,428.25	13,511.93	0.00	916.32	
XEROX CORP 524.0000 Sale		CUSIP Number 01/07/13	984121103 12/11/13	5,863.51	3,788.94	0.00	2,074.57	
WASTE MANAGEMENT INC NEW 16.0000 Sale		CUSIP Number 01/07/13	94106L109 12/11/13	704.47	544.58	0.00	159.89	
WELLS FARGO & CO NEW DEL 193.0000 Sale		CUSIP Number 01/25/12	949746101 01/07/13	6,693.65	5,838.64	0.00	855.01	
Covered Short Term Capital Gains and Losses Subtotal				502,620.53	465,236.13	0.00	37,384.40	
NET SHORT TERM CAPITAL GAINS AND LOSSES				502,620.53	465,236.13	0.00	37,384.40	
LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 1c.								
COVERED TRANSACTIONS - 1099-B Line 6b. (cost basis reported to the IRS)								
ALLSTATE CORP DEL COM 8.0000 Sale		CUSIP Number 02/23/12	020002101 12/11/13	426.15	252.93	0.00	173.22	
ARCH CAPITAL GRP LTD BM 41.0000 Sale		CUSIP Number 04/18/11	G0450A105 01/07/13	1,816.39	1,383.17	0.00	433.22	



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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
	W R BERKLEY CORP							
11.0000	Sale	06/17/11	084423102 01/07/13	429.13	353.61	0.00	75.52	
17.0000	Sale	07/08/11	01/07/13	663.20	559.06	0.00	104.14	
	Security Subtotal			1,092.33	912.67	0.00	179.66	
	COMERICA INC							
148.0000	COM	12/08/11	200340107 01/07/13	4,786.49	3,833.10	0.00	953.39	
133.0000	Sale	12/15/11	01/07/13	4,301.38	3,341.03	0.00	960.35	
	Security Subtotal			9,087.87	7,174.13	0.00	1,913.74	
	DEVON ENERGY CORP NEW							
109.0000	Sale	10/05/11	25179M103 01/07/13	5,954.92	6,086.22	0.00	(131.30)	
	DIRECTV SHS							
85.0000	Sale	08/19/11	25490A309 01/07/13	4,288.16	3,627.94	0.00	660.22	
	GENERAL ELECTRIC							
345.0000	Sale	12/22/11	369604103 01/07/13	7,259.99	6,216.69	0.00	1,043.30	
	LAM RESEARCH CORP							
89.0000	COM	08/19/11	512807108 01/07/13	3,347.50	3,202.72	0.00	144.78	
	LIBERTY MEDIA CORP							
42.0000	Sale	03/09/11	530322106 01/07/13	4,978.16	3,133.27	0.00	1,844.89	
15.0000	Sale	03/10/11	01/07/13	1,777.91	1,112.11	0.00	665.80	
	Security Subtotal			6,756.07	4,245.38	0.00	2,510.69	
	ELI LILLY & CO							
9.0000	Sale	12/14/11	532457108 01/07/13	461.89	362.63	0.00	99.26	
	PHILIP MORRIS INTL INC							
66.0000	Sale	11/16/11	718172109 01/07/13	5,698.61	4,792.85	0.00	905.76	
	WESTERN UN CO							
358.0000	Sale	12/22/11	959802109 01/07/13	4,909.17	6,548.89	0.00	(1,639.72)	
	Covered Long Term Capital Gains and Losses Subtotal			51,099.05	44,806.22	0.00	6,292.83	



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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
NONCOVERED TRANSACTIONS - 1099-B Line 6a. (cost basis not reported to the IRS)								
CHEVRON CORP								
32.0000	Sale	07/21/08	01/07/13	3,504.19	2,755.17	0.00	749.02	
20.0000	Sale	12/01/08	01/07/13	2,190.13	1,489.70	0.00	700.43	
	Security Subtotal			5,694.32	4,244.87	0.00	1,449.45	
DARDEN RESTAURANTS INC								
52.0000	Sale	05/28/10	01/07/13	2,388.54	2,239.85	0.00	148.69	
DEERE CO								
34.0000	Sale	05/28/10	01/07/13	3,012.33	1,962.99	0.00	1,049.34	
FREEMPT-MCMRAN CPR & GLD								
77.0000	Sale	05/05/09	01/07/13	2,719.73	1,875.75	0.00	843.98	
GENERAL ELECTRIC								
477.0000	Sale	03/05/10	01/07/13	10,037.71	7,775.38	0.00	2,262.33	
95.0000	Sale	07/14/10	01/07/13	1,999.12	1,448.75	0.00	550.37	
	Security Subtotal			12,036.83	9,224.13	0.00	2,812.70	
JOHNSON AND JOHNSON COM								
9.0000	Sale	06/29/10	12/11/13	840.23	532.06	0.00	308.17	
REYNOLDS AMERICAN INC								
36.0000	Sale	10/29/09	01/07/13	1,515.10	870.64	0.00	644.46	
313.0000	Sale	12/03/09	01/07/13	13,172.97	8,001.58	0.00	5,171.39	
	Security Subtotal			14,688.07	8,872.22	0.00	5,815.85	
VODAFONE GROU PLC SP ADR								
240.0000	Sale	10/19/10	01/07/13	6,332.69	6,320.04	0.00	12.65	
Noncovered Long Term Capital Gains and Losses Subtotal				47,712.74	35,271.91	0.00	12,440.83	
NET LONG TERM CAPITAL GAINS AND LOSSES				98,811.79	80,078.13	0.00	18,733.66	
TOTAL SALES PROCEEDS FOR CAPITAL GAINS AND LOSSES				601,432.32				
TOTAL REPORTED SALES PROCEEDS				601,432.32				



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1e. Quantity	Transaction Description	1b. Date of Acquisition	1a. Date of Sale or Exchange	2a. Amount	3. Cost Basis	5. Wash Sale Loss Disallowed	Gain or (Loss)	Remarks
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(Y) The gain or loss of this transaction includes an adjustment to basis for the deferred loss amount on one or more previous "Wash Sales."

2013 REALIZED CAPITAL GAIN AND LOSS SUMMARY

COVERED SHORT TERM GAINS/LOSSES	NONCOVERED SHORT TERM GAINS/LOSSES	COVERED LONG TERM GAINS/LOSSES	NONCOVERED LONG TERM GAINS/LOSSES
37,384.40	0.00	6,292.83	12,440.83