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Return of Private Foundation

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation WASSERMAN FOUNDATION		A Employer identification number 95-6038762
Number and street (or P.O. box number if mail is not delivered to street address) 10960 WILSHIRE BLVD. 5TH FLOOR		B Telephone number (310) 277-4657
City or town, state or province, country, and ZIP or foreign postal code LOS ANGELES, CA 90024		C If exemption application is pending, check here ☐
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 195,651,790. (Part I, column (d) must be on cash basis.)	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check ☒ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		2,588,059.	2,588,059.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		4,445,527.			
b Gross sales price for all assets on line 6a		52,258,319.			
7 Capital gain net income (from Part IV, line 2)			4,445,527.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		854,139.	854,139.		STATEMENT 2
12 Total. Add lines 1 through 11		7,887,725.	7,887,725.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages		285,000.	0.		285,000.
15 Pension plans, employee benefits					
16a Legal fees STMT 3		6,675.	6,675.		0.
b Accounting fees STMT 4		223,464.	0.		0.
c Other professional fees STMT 5		843,335.	843,335.		0.
17 Interest		10,603.	10,603.		0.
18 Taxes STMT 6		130,709.	0.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings		10,733.	0.		0.
22 Printing and publications					
23 Other expenses STMT 7		66,967.	0.		0.
24 Total operating and administrative expenses. Add lines 13 through 23		1,577,486.	860,613.		285,000.
25 Contributions, gifts, grants paid		12,810,706.			12,810,706.
26 Total expenses and disbursements. Add lines 24 and 25		14,388,192.	860,613.		13,095,706.
27 Subtract line 26 from line 12		-6,500,467.			
a Excess of revenue over expenses and disbursements			7,027,112.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	14,037,314.	3,915,613.	3,915,613.
	2 Savings and temporary cash investments	11,912,823.		
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock			
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other	STMT 8	147,881,745.	163,415,802.	191,736,177.
14 Land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		173,831,882.	167,331,415.	195,651,790.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)		0.	0.
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ☒ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	173,831,882.	167,331,415.	
	30 Total net assets or fund balances	173,831,882.	167,331,415.	
31 Total liabilities and net assets/fund balances	173,831,882.	167,331,415.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	173,831,882.
2 Enter amount from Part I, line 27a	2	-6,500,467.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	167,331,415.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	167,331,415.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b SEE ATTACHED STATEMENTS				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e 52,258,319.		47,812,792.	4,445,527.	
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
a				
b				
c				
d				
e			4,445,527.	
2 Capital gain net income or (net capital loss)		If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 2		4,445,527.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)		If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8 3		N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	12,462,172.	186,268,873.	.066904
2011	13,957,977.	186,888,548.	.074686
2010	12,893,343.	192,405,955.	.067011
2009	9,361,905.	199,394,191.	.046952
2008	12,016,937.	207,793,678.	.057831
2 Total of line 1, column (d)			2 .313384
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 .062677
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 189,492,944.
5 Multiply line 4 by line 3			5 11,876,849.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 70,271.
7 Add lines 5 and 6			7 11,947,120.
8 Enter qualifying distributions from Part XII, line 4			8 13,095,706.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b	1	70,271.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	70,271.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	70,271.
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	91,414.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	100,000.
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	191,414.
8	Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	121,143.
11	Enter the amount of line 10 to be Credited to 2014 estimated tax ☒ Refunded ☐	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ☒ \$ 0. (2) On foundation managers ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.WASSERMANFOUNDATION.ORG	13	X	
14	The books are in care of ► NKSFB, LLC Telephone no ► (310) 277-4657 Located at ► 10960 WILSHIRE BLVD. 5TH FLOOR, LOS ANGELES, CA ZIP+4 ► 90024			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception: Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	1b
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CASEY WASSERMAN	PRESIDENT			
10960 WILSHIRE BLVD. 5TH FLOOR				
LOS ANGELES, CA 90024	0.00	0.	0.	0.
LYNNE WASSERMAN	VICE PRESIDENT			
10960 WILSHIRE BLVD. 5TH FLOOR				
LOS ANGELES, CA 90024	0.00	0.	0.	0.
CAROL A. LEIF	VICE PRESIDENT			
10960 WILSHIRE BLVD. 5TH FLOOR				
LOS ANGELES, CA 90024	0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments. See instructions	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes	
a	Average monthly fair market value of securities	1a 192,378,623.
b	Average of monthly cash balances	1b
c	Fair market value of all other assets	1c
d	Total (add lines 1a, b, and c)	1d 192,378,623.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.
2	Acquisition indebtedness applicable to line 1 assets	2 0.
3	Subtract line 2 from line 1d	3 192,378,623.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4 2,885,679.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5 189,492,944.
6	Minimum investment return. Enter 5% of line 5	6 9,474,647.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1 9,474,647.
2a	Tax on investment income for 2013 from Part VI, line 5	2a 70,271.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b
c	Add lines 2a and 2b	2c 70,271.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3 9,404,376.
4	Recoveries of amounts treated as qualifying distributions	4 0.
5	Add lines 3 and 4	5 9,404,376.
6	Deduction from distributable amount (see instructions)	6 0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7 9,404,376.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes	
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a 13,095,706.
b	Program-related investments - total from Part IX-B	1b 0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2
3	Amounts set aside for specific charitable projects that satisfy the	
a	Suitability test (prior IRS approval required)	3a
b	Cash distribution test (attach the required schedule)	3b
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4 13,095,706.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5 70,271.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6 13,025,435.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				9,404,376.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008	1,633,552.			
b From 2009				
c From 2010	3,360,171.			
d From 2011	4,748,036.			
e From 2012	3,329,980.			
f Total of lines 3a through e	13,071,739.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 13,095,706.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				9,404,376.
e Remaining amount distributed out of corpus	3,691,330.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	16,763,069.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	1,633,552.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	15,129,517.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010	3,360,171.			
c Excess from 2011	4,748,036.			
d Excess from 2012	3,329,980.			
e Excess from 2013	3,691,330.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED EXHIBIT A LOS ANGELES, CA 90024	NOT RELATED	PUBLIC CHARITIES	CHARITABLE	12,810,706.
Total			3a	12,810,706.
b Approved for future payment				
NONE				
Total			3b	0.

WASSERMAN FOUNDATION

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a JPM #5000 (SEE STATEMENT)		P	VARIOUS	12/31/13
b JPM #5000 (SEE STATEMENT)		P	VARIOUS	12/31/13
c JPM #5000 (SEE STATEMENT)		P	VARIOUS	12/31/13
d JPM #5000 (SEE STATEMENT)		P	VARIOUS	12/31/13
e JPM #9002 (SEE STATEMENT)		P	VARIOUS	12/31/13
f JPM #9002 (SEE STATEMENT)		P	VARIOUS	12/31/13
g JPM #9002 (SEE STATEMENT)		P	VARIOUS	12/31/13
h JPM #7008 (SEE STATEMENT)		P	VARIOUS	12/31/13
i JPM #7008 (SEE STATEMENT)		P	VARIOUS	12/31/13
j JPM #6008 (SEE STATEMENT)		P	VARIOUS	12/31/13
k JPM #6008 (SEE STATEMENT)		P	VARIOUS	12/31/13
l JPM #6008 (SEE STATEMENT)		P	VARIOUS	12/31/13
m JPM #4007 (SEE STATEMENT)		P	VARIOUS	12/31/13
n JPM #4007 (SEE STATEMENT)		P	VARIOUS	12/31/13
o JPM #4007 (SEE STATEMENT)		P	VARIOUS	12/31/13

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,173,424.		2,291,803.	-118,379.
b 1,512,647.		1,494,678.	17,969.
c 2,969,676.			2,969,676.
d		66,692.	-66,692.
e 42,327,328.		42,422,239.	-94,911.
f 187,959.			187,959.
g 10,353.			10,353.
h 324,523.		309,994.	14,529.
i 713,730.		442,246.	271,484.
j 29,645.		29,800.	-155.
k 7,337.			7,337.
l 591.			591.
m 678,416.		680,903.	-2,487.
n 10,914.			10,914.
o 1,546.			1,546.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			-118,379.
b			17,969.
c			2,969,676.
d			-66,692.
e			-94,911.
f			187,959.
g			10,353.
h			14,529.
i			271,484.
j			-155.
k			7,337.
l			591.
m			-2,487.
n			10,914.
o			1,546.

2 Capital gain net income or (net capital loss) - { If gain, also enter in Part I, line 7
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter "-0-" in Part I, line 8

2

3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	400 SHS 3M CO	P	VARIOUS	04/24/13
b	FRACTIONAL SHARES 3M CO	P	VARIOUS	04/24/13
c	200 SHS 3M CO	P	VARIOUS	11/18/13
d	BDT CAPITAL PARTNERS FUND I-A, LP	P	VARIOUS	12/31/13
e	K2 MEDIA LLC	P	VARIOUS	12/31/13
f	BREXAN HOWARD EMERGING MARKETS LOCAL FIXED INCOME	P	VARIOUS	12/31/13
g	POWERSHARES DB COMMODITY INDEX TRACING FUND	P	VARIOUS	12/31/13
h	POWERSHARES DB COMMODITY INDEX TRACING FUND	P	VARIOUS	12/31/13
i	K2 MEDIA LLC	P	VARIOUS	12/31/13
j	CENTERVIEW CAPITAL LP	P	VARIOUS	12/31/13
k	CAPITAL GAINS DIVIDENDS			
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 41,924.		40,487.	1,437.
b 336.			336.
c 19,488.		19,804.	-316.
d 435,680.			435,680.
e		2,522.	-2,522.
f		8,161.	-8,161.
g		236.	-236.
h		2,919.	-2,919.
i		308.	-308.
j 173,724.			173,724.
k 639,078.			639,078.
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			1,437.
b			336.
c			-316.
d			435,680.
e			-2,522.
f			-8,161.
g			-236.
h			-2,919.
i			-308.
j			173,724.
k			639,078.
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }	2	4,445,527.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }	3	N/A

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BDT CAPITAL PARTNERS CBI I-A, LP	95,758.	0.	95,758.	95,758.	
BDT CAPITAL PARTNERS FUND I-A, LP	102,422.	0.	102,422.	102,422.	
CENTERVIEW CAPITAL LP	10,841.	0.	10,841.	10,841.	
ELW BUILDING COMPANY	815.	0.	815.	815.	
GOLDMAN SACHS #224955	1,097.	0.	1,097.	1,097.	
GRE II PRIVATE INVESTORS LLC	16,093.	0.	16,093.	16,093.	
JPM #35566008	179,715.	0.	179,715.	179,715.	
JPM #35566008 (ACCRUED INT)	-1,006.	0.	-1,006.	-1,006.	
JPM #52504007	79,189.	0.	79,189.	79,189.	
JPM #52504007 (ACCRUED INT)	-23.	0.	-23.	-23.	
JPM #63627003	63,760.	0.	63,760.	63,760.	
JPM #877204651	79.	0.	79.	79.	
JPM #A10635000	2,079,972.	639,078.	1,440,894.	1,440,894.	
JPM #A10639002	499,887.	0.	499,887.	499,887.	
JPM #A10639002 (ACCRUED INT)	-499.	0.	-499.	-499.	
JPM #A11607008	97,775.	0.	97,775.	97,775.	
JPM #Q11931005	5.	0.	5.	5.	
K2 MEDIA LLC	1,038.	0.	1,038.	1,038.	
POWERSHARES DB COMMODITY INDEX TRACKING FUND	219.	0.	219.	219.	
TO PART I, LINE 4	3,227,137.	639,078.	2,588,059.	2,588,059.	

FORM 990-PF	OTHER INCOME	STATEMENT	2
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
K-1 ELW BUILDING LLC.	-4,896.	-4,896.	
K-1 VII INTRASTAR PARTNERS LLC	-32,707.	-32,707.	
K-1 BDT CAPITAL PARTNERS FUND I-A LP	-33,106.	-33,106.	
J.P. MORGAN #52504007	900.	900.	
K2 MEDIA LLC	-47,387.	-47,387.	
JPM #A10635000	529.	529.	
POWERSHARES DB COMMODITY INDEX TRACKING FUND	-24,878.	-24,878.	
MISCELLANEOUS INCOME	996,099.	996,099.	
CENTERVIEW CAPITAL LP	-415.	-415.	
TOTAL TO FORM 990-PF, PART I, LINE 11	854,139.	854,139.	

FORM 990-PF	LEGAL FEES	STATEMENT	3
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	6,675.	6,675.		0.
TO FM 990-PF, PG 1, LN 16A	6,675.	6,675.		0.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	223,464.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	223,464.	0.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MANAGEMENT	843,335.	843,335.		0.
TO FORM 990-PF, PG 1, LN 16C	843,335.	843,335.		0.

FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FORIEGN TAX ON DIVIDENDS	22,833.	0.		0.
PAYROLL TAX	14,716.	0.		0.
FILING FEES	10.	0.		0.
STATE TAXES	150.	0.		0.
FEDERAL TAXES	93,000.	0.		0.
TO FORM 990-PF, PG 1, LN 18	130,709.	0.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INSURANCE	41,608.	0.		0.
PAYROLL FEES	1,145.	0.		0.
WEBSITE	8,327.	0.		0.
DUES & SUBSCRIPTIONS	64.	0.		0.
PARKING	642.	0.		0.
MEALS & ENTERTAINMENT	1,395.	0.		0.
BANK FEES	970.	0.		0.
EVENT COSTS	9,459.	0.		0.
TRANSPORTATION	684.	0.		0.
POSTAGE AND MESSENGER	112.	0.		0.
TELECOMMUNICATIONS	1,569.	0.		0.
AUTO EXPENSES	992.	0.		0.
TO FORM 990-PF, PG 1, LN 23	66,967.	0.		0.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	8
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
INVESTMENTS - ELW BUILDING, LLC	COST	6,392,989.	6,392,989.
INVESTMENTS IN HEDGE FUNDS	COST	57,988,446.	70,954,285.
INVESTMENTS - JP MORGAN (EQUITIES)	COST	58,747,177.	73,408,893.
INVESTMENTS - JP MORGAN (FIXED INC)	COST	38,203,060.	39,025,833.
ARMSTRONG INVESTMENT	COST	255,000.	255,000.
INVESTMENTS - K-2 MEDIA LLC	COST	148,538.	147,999.
INVESTMENTS - CANYON-AGASSI CHARTER SCHOOL	COST	1,680,592.	1,551,178.
TOTAL TO FORM 990-PF, PART II, LINE 13		163,415,802.	191,736,177.

<u>Charitable Organization</u>	<u>Total</u>
Alliance For Children's Rights	10,000
Alzheimer's Association	10,000
Baby 2 Baby	5,000
Beerly Hills Firefighters Association	6,500
Beverly Hills Police Officers Association	5,000
Boarding For Breast Cancer	5,000
Boys & Girls Club of Oklahoma County	5,000
Brady Center to Prevent Gun Violence	25,000
Brandeis University	100,000
Brentwood School	20,000
CCFA	250
Cedars Sinai Sports Spectacular	10,000
Champions of the Community	75,000
Charity: Water	35,000
Charley Hoffman Foundation	20,000
Children's Action Network/Tide's Center	15,000
Children's Defense Fund	117,000
CICLAVIA	50,000
City of Hope	25,000
Clinton Foundation	20,000
Coach ART	10,000
College Track	250,000
Common Sense Media	10,000
Community Partners	48,000
Compton Jr. Posse	10,000
Cystic Fibrosis Foundation	10,000
Discovery Land Company Foundation	10,000
DNA Foundation	50,000
Donorschoose.org	1,000,000
Do Something	20,000
Dream Center Foundation	3,500
Eating Disorders Coalition	2,500
Edward Charles Foundation	43,314
FJC- Foundation of Philanthropic Funds	5,000
Friends of Expo Center	5,000
Friends of the Israeli Defense Forces	100,000
FSA	2,500
Food Allergy Research & Education	5,000

<u>Charitable Organization</u>	<u>Total</u>
Girls, Inc.	10,000
GLAAD	25,680
Glaza/Safari Club	25,000
God's Love We Deliver	1,000
Grammy Museum Foundation	300,000
Harriet Buhai Center	5,000
Harvard University	300,000
HollyRod Foundation	5,000
Hoops That Help Inc.	25,000
House Ear Institute	150,000
Human Rights Watch	400,000
IDEO.ORG	250,000
JJ Redick Foundation	5,000
Jordan & Kyra Memorial Foundation	5,000
Juvenile Diabetes Foundation	5,000
Kids Unlimited of Oregon	2,500
LACMA	450,000
LAJCC Charity Foundation	5,000
LAUSD	500,000
Living Cities	200,000
Los Angeles Fire Department	50,000
Los Angeles Galaxy Foundation	5,000
Los Angeles Police Foundation	225,000
Los Angeles World Affairs Council	5,000
Mammoth Mountain Community Foundation	7,000
Mia Hamm Foundation	5,000
Memorial Sloan-Kettering	500
Menlo Charity Horse Show	7,000
Millions Trees LA	255,000
MLB Urban Youth Foundation	4,500
Morgan Pressel Foundation	10,000
Motion Picture TV Fund	300,000
Nationwide Children's Hospital	6,500
National Council on Teacher Quality	90,000
National Kidney Foundation	25,000
Newmark Schools	5,000
New Profit Inc.	8,681
New York City Ballet	15,000

<u>Charitable Organization</u>	<u>Total</u>
New School Venture Fund (ICEF)	250,000
NJ Golf Foundation	3,000
North County Solutions for Change	5,000
Pacific Council on International Policy	10,000
Parent Revolution	250,000
Partnership for Los Angeles Schools	484,049
Peace Players International	45,000
Pennsylvania SPCA	10,000
People for the American Way	100,000
PGA Tour Charities	10,000
PGA Tour Wives Association	5,000
Philadelphia Futures	10,000
Piedmont Community Foundation	3,000
Planned Parenthood	5,000
Professional Baseball Scouts Foundation	7,500
Public Counsel	7,500
Road 2 Recovery	10,000
Robert Trent Jones Golf Club Charitable Foundation	5,000
Robin Hood Foundation	200,000
Ronald McDonald House Charities	2,500
Sanford Children's Hospital	17,500
Santa Barbara Foresters	1,500
Seed Project	32,000
Skirball Cultural Center	300,000
SXMX Ministry	40,000
Teachers College of Columbia University	450,000
Team Up For Down Syndrome	1,500
The Bridgespan Group Inc.	102,032
The Center for Early Education AFD	800,000
The First Tee	250,000
The Hope Heart Institute	2,000
The Kitchen Community	200,000
The Mission Continues	200,000
The One Campaign	500,000
The Painted Turtle	6,000
The Ryan Sheckeler Foundation	50,000
The University of Connecticut Foundation	5,000
The Valerie Fund	2,500

WASSERMAN FOUNDATION
FORM 990-PF, PART XV
TAX YEAR 12/31/13

FEIN: 95-6038762

<u>Charitable Organization</u>	<u>Total</u>
TJ Martell Foundation	20,000
UCLA Athletics	500,000
UCLA Foundation	1,157,500
United States Hunter Jumper Association	12,700
USA Cycling Development Foundation	45,000
USA Swimming Foundation	60,000
USC Annenberg	5,000
USC Keck School of Medicine	200,000
US Olympic Foundation	75,000
Violence Intervention Program	25,000
Washington International Horse Show	25,000
Whitney Museum	250,000
Women's Sports Foundation	15,000
Young Life of California	1,000
2015 Special Olympics World Summer	200,000
Total Contributions Year to Date	<u><u>12,810,706</u></u>



WASSERMAN FOUNDATION
Account Number A 10635-00-0

Capital Gain and Loss Schedule

Short-Term Covered

• Code W Indicates Wash Sale
L Indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O Indicates Ordinary Income gain or loss
F Indicates Foreign Exchange gain or loss on Capital transactions

Stock and
other symbol
Cusip

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
DODGE & COX INTL STOCK FUND	DODF 256206103	04/26/13 05/30/13	4,810 355	184,958.15	177,550 21		7,407.94
DREYFUS EMG MKT DEBT LOC C-I	DDBI 261980494	01/23/13 08/01/13	32,383.420	451,101 04	500,000.00		-48,898.96
JPM INTL CURR INCOME FD - SEL FUND 3831	JCIS 4812A3296	04/01/13 08/01/13	122 966	1,352 63	1,375 99		-23.36
VIRTUS EMERGING MKTS OPPOR-I	HIEM 92828T889	Various 08/01/13	154,839.963	1,536,012.43	1,612,876.86		-76,864 43
Total Short-Term Covered				2,173,424.25	2,291,803.06		-118,378.81

✓ →



Capital Gain and Loss Schedule

WASSERMAN FOUNDATION

Account Number A 10635-00-0

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
POWERSHARES DB COMMODITY INDEX TRACKING FUND	DBC 73935S105		06/28/13 11/22/13	59,382.000	1,512,646.98	1,494,677.57		17,969.41
Total Short-Term Non Covered					1,512,646.98	1,494,677.57		17,969.41



Capital Gain and Loss Schedule

WASSERMAN FOUNDATION

Account Number A 10635-00-0

Long-Term Non Covered

* Code W indicates Wash Sale
 L indicates a Nondeductible Loss other than a Wash Sale
 ** Ordinary Income O indicates Ordinary Income gain or loss
 F indicates Foreign Exchange gain or loss on Capital Transactions
 Stock and other symbol Cusip

Description	Code*	Date Acquired/ Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
ABERDEEN ASIA-PAC XJ-INST EQUITY FUND - INSTITUTIONAL SERVICE SHARES	AAPI 003021698	Various 05/30/13	197,368 420	2,409,868 41	1,997,642 80		412,225.61
BARC PALUADIUM CBEN 5/08/13 LNKD TO PLDMLNPM 6.75%CPN, 80% BARRIER, 17% CAP 4/27/12, INITIAL STRIKE 677 00	06738K4B4	04/27/12 05/08/13	500,000 000	533,750 00	500,000.00		33,750 00
BARC 93% PPN BRIC BASKET 9/19/13 LNKD TO 3 CURRENCY BSKTS 50% 35% & 15% WEIGHT, UNCAPPED 9/16/11	06738KVA6	09/16/11 09/19/13	750,000 000	697,500 00	770,302 44		00 -72,802 44 F
BARC 95% PPN FX BASKET 1/22/13 LNKD TO BRL INR CNY & RUB VS USD 1 73XLEV, UNCAPPED 7/15/11	06738KPD7	07/15/11 01/22/13	500,000 000	475,000 00	507,389 41		00 -32,389 41 F
CS BREN SPX 10/30/13 10%BUFFER-2 XLEV- 5%CAP 10%MAXTRN INITIAL LEVEL-10/12/12 SPX 1428.59	22546TC90	10/12/12 10/30/13	500,000 000	550,000 00	500,000.00		50,000 00
CS BREN ASIA BASKET 11/14/13 10%BUFFER-2 XLEV- 6.2%CAP 12 4%MAXTRN INITIAL LEVEL-10/26/12 ASIA BASKET 100	22546TF22	10/26/12 11/14/13	350,000 000	393,400.00	350,000.00		43,400 00



Capital Gain and Loss Schedule

WASSERMAN FOUNDATION

Account Number A 10635-00-0

Long-Term Non Covered

* Code W indicates Wash Sale

L indicates a Nondeductible Loss other than a Wash Sale

** Ordinary Income O indicates Ordinary Income gain or loss

F indicates Foreign Exchange gain or loss on Capital transactions
Stock and
other symbol
Cusip

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
CS BREN EAFE 08/28/13		08/10/12	500,000 000	596,000 00	500,000 00		96,000.00
10%BUFFER-2 XLEV- 9 6%CAP	22546TXS5	08/28/13					
19 2%MAXRTRN							
INITIAL LEVEL-08/10/12 SX5E 2423.22							
UKX 5847 1:1 TPX 749 79							
CS MARKET PLUS SPX 03/11/13		09/02/11	500,000,000	656,515 00	500,000 00		156,515 00
76% CONTIN BARRIER- 0%CPN	22546TEM9	03/11/13					
UNCAPPED							
INITIAL LEVEL-09/02/11 SPX. 1173 97							
DB MARKET PLUS MXN FX NOTE 5/01/13		04/20/12	500,000,000	538,500 00	500,000 00		00
LINKED TO MXN VS USD	2515A1JG7	05/01/13					38,500 00 F
15% BUFFER, 7 7%CPN, UNCAPPED							
4/20/12, INITIAL STRIKE 13 1010							
DB SARN SPX 07/30/14		07/27/12	500,000 000	553,000 00	500,000.00		53,000 00
100/100/100- 10%BUFFER	2515A1L74	08/06/13					
10 6%CPN							
INITIAL LEVEL-07/27/12 SPX 1358.97							
DODGE & COX INTL STOCK FUND	DODF	02/16/11	81,015 393	3,115,041 85	3,000,000 00		115,041 85
	256206103	05/30/13					
GS BREN EAFE 12/04/13		11/16/12	500,000 000	583,700 00	500,000 00		83,700 00
10%BUFFER-2 XLEV- 8.37%CAP	38141GJG0	12/04/13					
16.74%MAXRTRN							
INITIAL LEVEL-11/16/12 SX5E-2427.32							
UKX 5605 59 TPX 751 34							
GS BREN SPX 11/14/13		10/26/12	500,000 000	552,125 00	500,000 00		52,125 00
10%BUFFER-1 5 XLEV- 6.95%CAP	38143U8E4	11/14/13					
10.425%MAXRTRN							
INITIAL LEVEL-10/26/12 SPX 1,411.94							



Capital Gain and Loss Schedule
WASSERMAN FOUNDATION
Account Number A 10635-00-0

Long-Term Non Covered

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F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol Cusip	Code*	Date Acquired/ Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
GS CONT BUFF EQ SPX 08/21/13			08/03/12	500,000.000	575,000.00	500,000.00		75,000.00
80% CONTIN BARRIER- 7.6%CPN 15% CAP	38143U5N7		08/21/13					
INITIAL LEVEL-08/03/12 SPX 1390.99								
GS CONT BUFF EQ SPX 11/06/13			10/19/12	500,000.000	575,000.00	500,000.00		75,000.00
80% CONTIN BARRIER- 4 15%CPN 15% CAP	38143U7X3		11/06/13					
INITIAL LEVEL-10/19/12 SPX 1433.19								
GS MARKET PLUS SPX 03/04/13			08/26/11	500,000.000	644,115.00	500,000.00		144,115.00
22% CONTIN BARRIER- 0%CPN UNCAPPED	38143UB67		03/04/13					
INITIAL LEVEL-08/26/11 SPX 1176.80								
GS REN SPX 12/04/13			11/16/12	500,000.000	597,000.00	500,000.00		97,000.00
2 XLEV- 9 7%CAP- 19 4%MAXPYMT INITIAL LEVEL-11/16/12 SPX 1359.88	38141GJF2		12/05/13					
ISHARES BARCLAYS TIPS BOND FUND	TIP 464287176		Various 02/22/13	16,600.000	1,998,173.59	1,669,041.13		329,132.46
ISHARES BARCLAYS TIPS BOND FUND	TIP 464287176		Various 03/25/13	16,547.000	1,999,570.80	1,656,483.09		343,087.71
ISHARES BARCLAYS TIPS BOND FUND	TIP 464287176		08/07/09 04/22/13	2,470.000	300,488.52	247,026.92		53,461.60
ISHARES BARCLAYS TIPS BOND FUND	TIP 464287176		08/07/09 06/28/13	2,713.000	303,508.06	271,329.57		32,178.49



Capital Gain and Loss Schedule

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JPM BREN EAFE 04/17/13		03/30/12	500,000 000	556,323 72	500,000 00		56,323 72
10%BUFFER-2 XLEV- 8 75%CAP	48125VSW1	04/17/13					
17.5%MAXRTRN							
INITIAL LEVEL-03/30/12 SX5E 2477 28							
UKX 5768 45 TPX 854.35							
JPM BREN EAFE 08/14/13		07/27/12	425,000 000	516,375 00	425,000 00		91,375.00
10%BUFFER-2 XLEV- 10 75%CAP	48125VW80	08/14/13					
21 5%MAXRTRN							
INITIAL LEVEL-07/27/12 SX5E 2301 23							
UKX 5627 21 TPX 726 44							
JPM BREN EAFE 05/30/13		05/11/12	500,000 000	595,500.00	500,000 00		95,500 00
10%BUFFER-2 XLEV- 9 55%CAP	48125VVD6	05/30/13					
19.1%MAXRTRN							
INITIAL LEVEL-05/11/12 SX5E 2254.54							
UKX 5575 52 TPX 758 38							
JPM BREN EAFE 09/11/13		08/24/12	500,000 000	594,500 00	500,000.00		94,500 00
10%BUFFER-2 XLEV- 9 45%CAP	48125V3R9	09/11/13					
18 9%MAXRTRN							
INITIAL LEVEL-08/24/12 SX5E 2434 23							
UKX 5776.60 TPX 757 23							
JPM CONT BUFF EQ SPX 6/26/13		06/08/12	500,000 000	575,000 00	500,000 00		75,000 00
80% CONTIN BARRIER- 15%CPN	48125VD31	06/26/13					
15% CAP							
INITIAL LEVEL-6/8/12 SPX 1325 66							
JPM INTL CURR INCOME FD - SEL	JCIS	Various	101,618 940	1,117,808 34	1,125,688 02		-7,879.68
FUND 3831	4812A3296	08/01/13					



Capital Gain and Loss Schedule

WASSERMAN FOUNDATION

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JPM MARKET PLUS SPX 02/15/13	48125XK70	08/09/11	500,000.000	647,927.92	500,000.00		147,927.92
69 25% CONTIN BARRIER- 0%CPN ,UNCAPPED INITIAL LEVEL-08/09/11 SPX 1,172.53		02/15/13					
JPM PARTICIPATING GOLD NOTE 10/31/13		10/19/12	250,000.000	195,883.71	250,000.00		-54,116.29
LNKD TO GOLDLNP	48126DBU2	10/31/13					
85%BARRIER, 14 50%MAXRTN 10/19/12, INITIAL STRIKE 1737.00							
SG BREN SPX 12/18/13		11/30/12	500,000.000	551,000.00	500,000.00		51,000.00
10%BUFFER-1.5 XLEV- 6.8%CAP 10 2%MAXRTN	78423EDX9	12/18/13					
INITIAL LEVEL-11/30/12 SPX 1416.18							
SPDR GOLD TRUST	GLD	Various	12,938.000	1,533,011.17	1,634,955.21		-101,944.04
	78463V107	06/28/13					
SPDR GOLD TRUST	GLD	03/25/09	6,498.000	781,075.24	590,666.26		190,408.98
	78463V107	11/22/13					
SPDR GOLD TRUST		00/00/00	26,507.000	1,392.25	00		1,392.25
COST BASIS ALLOC RATE 0.000329643	78463V107	01/07/13					
SPDR GOLD TRUST		00/00/00	26,507.000	1,438.65	00		1,438.65
COST BASIS ALLOC. RATE 0.000355489	78463V107	02/21/13					
SPDR GOLD TRUST		00/00/00	26,507.000	1,397.75	00		1,397.75
COST BASIS ALLOC RATE 0.000341809	78463V107	03/12/13					
SPDR GOLD TRUST		00/00/00	26,507.000	1,431.35	.00		1,431.35
COST BASIS ALLOC. RATE 0.000353866	78463V107	04/09/13					
SPDR GOLD TRUST		00/00/00	26,507.000	1,477.19	00		1,477.19
COST BASIS ALLOC RATE 0.000403935	78463V107	05/10/13					



WASSERMAN FOUNDATION
Account Number A 10635-00-0

Capital Gain and Loss Schedule

Long-Term Non Covered

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Description	Stock and other symbol	Cusp	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
SPDR GOLD TRUST				00/00/00	26,507 000	1,291 94	00		1,291 94
COST BASIS ALLOC RATE 0 000364055	78463V107			06/17/13					
SPDR GOLD TRUST				00/00/00	13,569 000	651 16	00		651 16
COST BASIS ALLOC RATE 0 000395335	78463V107			07/10/13					
SPDR GOLD TRUST				00/00/00	13,569 000	599 35	00		599 35
COST BASIS ALLOC. RATE 0.000343817	78463V107			08/15/13					
SPDR GOLD TRUST				00/00/00	13,569 000	635 63	00		635 63
COST BASIS ALLOC RATE 0.000355207	78463V107			09/19/13					
SPDR GOLD TRUST				00/00/00	13,569 000	653 90	00		653 90
COST BASIS ALLOC. RATE 0 000388300	78463V107			10/14/13					
SPDR GOLD TRUST				00/00/00	13,569 000	585 16	00		585 16
COST BASIS ALLOC RATE 0 000351151	78463V107			11/13/13					
SPDR GOLD TRUST				00/00/00	7,071 000	293 09	00		293 09
COST BASIS ALLOC RATE 0 000348786	78463V107			12/17/13					
UBS CONT BUFF EQ SPX 09/05/13				08/17/12	500,000 000	575,000 00	500,000 00		75,000 00
80% CONTIN BARRIER- 6.75%CPN	902674LF5			09/05/13					
15% CAP									
INITIAL LEVEL-08/17/12 SPX 1418 16									

Total Long-Term Non Covered 26,898,508.75 23,995,524.85 2,969,675.75
-66,691.85 F



Capital Gain and Loss Schedule

IM - WASSERMAN FND - CORE BOND

Account Number A 10639-00-2

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Short-Term Non Covered

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
APPLE INC VAR RT 05/03/2018 DTD 05/03/2013	037833AG5	04/30/13 07/02/13	45,000 000	44,828 96	45,000 00		-171 04
APPLE INC VAR RT 05/03/2018 DTD 05/03/2013	037833AG5	04/30/13 08/13/13	55,000 000	54,949 95	55,000 00		-50.05
APPLE INC VAR RT 05/03/2018 DTD 05/03/2013	037833AG5	04/30/13 10/25/13	30,000 000	29,881 35	30,000 00		-118 65
APPLE INC VAR RT 05/03/2018 DTD 05/03/2013	037833AG5	04/30/13 12/10/13	50,000 000	49,915 00	50,000 00		-85 00
BANK OF AMERICA AUTO TRUST SER 2012-1 CL A3 0 78% SEP 15 2013 DTD 04/18/2012	06052YAC3	09/12/13 10/15/13	13,280 460	13,280 46	13,307 44		-26 98
BANK OF AMERICA AUTO TRUST SER 2012-1 CL A3 0 78% SEP 15 2013 DTD 04/18/2012	06052YAC3	09/12/13 11/15/13	13,133 180	13,133 18	13,159 86		-26 68
BANK OF AMERICA AUTO TRUST SER 2012-1 CL A3 0 78% SEP 15 2013 DTD 04/18/2012	06052YAC3	09/12/13 12/16/13	11,876.460	11,876 46	11,900 58		-24 12
BANK OF AMERICA AUTO TRUST SER 2012-1 CL A3 0 78% SEP 15 2013 DTD 04/18/2012	06052YAC3	09/12/13 01/15/14	11,886 210	11,886 21	11,910 35		-24 14
BANK OF MONTREAL 2 1/2% JAN 11 2017 DTD 01/11/2012	06366QW86	04/15/13 07/02/13	55,000 000	56,446 50	57,725 80		-1,279 30
BANK OF MONTREAL 0 800% NOV 06 2015 DTD 11/06/2012	06366RJH9	11/14/12 02/25/13	45,000 000	45,023 85	44,956.80		67 05



Capital Gain and Loss Schedule

IM - WASSERMAN FND - CORE BOND

Account Number: A 10639-00-2

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BANK OF MONTREAL 0 800% NOV 06 2015 DTD 11/06/2012	06366RJH9	11/14/12	40,000 000	40,132 40	39,961 60		170 80
BANK OF MONTREAL 0 800% NOV 06 2015 DTD 11/06/2012	06366RJH9	Various 07/19/13	140,000 000	139,804 00	139,863 60		-59 60
BANK OF MONTREAL MTN 1.300% 07/15/2016 DTD 07/16/2013	06366RPR0	07/11/13 08/13/13	95,000.000	95,124 45	94,913 55		210.90
BANK OF NEW YORK MELLON MTN 1 350% 03/06/2018 DTD 03/06/2013	06406HCJ6	03/04/13 06/07/13	115,000 000	113,782 15	114,966 65		-1,184 50
BANK OF NOVA SCOTIA 2.55% JAN 12 2017 DTD 01/12/2012	064159AM8	04/01/13 10/25/13	35,000 000	36,415 75	36,733 20		-317 45
BANK OF NOVA SCOTIA 0 75% OCT 09 2015 DTD 10/09/2012	064159BA3	10/03/12 07/02/13	85,000 000	84,562 25	84,997 45		-435 20
BANK OF NOVA SCOTIA 0.75% OCT 09 2015 DTD 10/09/2012	064159BA3	10/03/12 08/13/13	50,000 000	49,882 00	49,998 50		-116 50
BANK OF NOVA SCOTIA 0.950% 03/15/2016 DTD 03/15/2013	064159BV7	03/08/13 07/02/13	75,000 000	74,723 25	74,995 50		-272 25
BEAR STEARNS COMMERCIAL MORTGA 2006-T22 CL A4 VAR RT 04/12/2038 DTD 04/01/2006	07387BFS7	12/04/13 01/13/14	473 990	473 99	514 30		-40 31
BERKSHIRE HATHAWAY INC 1 550% 02/09/2018 DTD 02/11/2013	084670BH0	01/29/13 07/02/13	45,000 000	44,354 25	44,937 45		-583 20



IM - WASSERMAN FND - CORE BOND
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Capital Gain and Loss Schedule

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CANADIAN IMPERIAL BANK 0 9% OCT 01 2015 DTD 10/01/2012	136069ET4	09/24/12 02/25/13	145,000 000	145,374 10	144,956 50		417 60
CANADIAN IMPERIAL BANK 1 550% 01/23/2018 DTD 01/23/2013	136069FA4	01/17/13 06/20/13	115,000 000	112,415 95	114,906 85		-2,490 90
CANADIAN IMPERIAL BANK 1 350% 07/18/2016 DTD 07/18/2013	136069FU0	07/15/13 10/25/13	35,000 000	35,294 35	34,978 30		316 05
CHEVRON CORP 1.104% 12/05/2017 DTD 12/05/2012	166764AA8	11/28/12 02/25/13	50,000 000	50,027 00	50,000 00		27 00
CHEVRON CORP 1 104% 12/05/2017 DTD 12/05/2012	166764AA8	11/28/12 10/25/13	45,000 000	44,517 60	45,000 00		-482 40
CHEVRON CORP 1.718% 06/24/2018 DTD 06/24/2013	166764AE0	06/17/13 07/02/13	40,000 000	39,797 20	40,000 00		-202 80
CHEVRON CORP 1.718% 06/24/2018 DTD 06/24/2013	166764AE0	06/17/13 07/02/13	65,000 000	64,610 00	65,000 00		-390 00
COCA-COLA CO/THE 1 150% 04/01/2018 DTD 03/05/2013	191216BA7	02/28/13 07/02/13	20,000 000	19,420 00	19,956 80		-536.80
COCA-COLA CO/THE 1 150% 04/01/2018 DTD 03/05/2013	191216BA7	02/28/13 10/25/13	35,000 000	34,480 95	34,924 40		-443 45
COCA-COLA CO/THE 1 150% 04/01/2018 DTD 03/05/2013	191216BA7	02/28/13 10/31/13	75,000 000	73,818 75	74,838 00		-1,019 25
DISCOVER CARD EXECUTION NOTE T 2011-A1 CL A1 VAR RT 08/15/2016 DTD 03/08/2011	254683AP0	07/16/13 11/22/13	185,000 000	185,126 46	185,197 76		-71 30
FHLMC 1 000% 07/28/2017 DTD 06/25/2012	3137EADJ5	07/25/12 02/26/13	130,000 000	131,240 59	131,282 45		-41 86



IM - WASSERMAN FND - CORE BOND
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Capital Gain and Loss Schedule

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Description
FHLMC 1 000% 07/28/2017 DTD 06/25/2012
FHLMC 3790 CL AP
4 5% 01/15/2037 DTD 01/28/2011
FHLMC 3790 CL AP
4 5% 01/15/2037 DTD 01/28/2011
FHLMC 3790 CL AP
4 5% 01/15/2037 DTD 01/28/2011
FHLMC 3790 CL AP
4 5% 01/15/2037 DTD 01/28/2011
FHLMC 3790 CL AP
4 5% 01/15/2037 DTD 01/28/2011
FHLMC 3790 CL AP
4 5% 01/15/2037 DTD 01/28/2011
FHLMC 3790 CL AP
4 5% 01/15/2037 DTD 01/28/2011
FHLMC 3790 CL AP
4 5% 01/15/2037 DTD 01/28/2011
FHLMC 4100 CL PA
3.000% 01/15/2042 DTD 08/30/2012
FHLMC 4100 CL PA
3.000% 01/15/2042 DTD 08/30/2012
FHLMC 4100 CL PA
3.000% 01/15/2042 DTD 08/30/2012
FHLMC 4100 CL PA
3.000% 01/15/2042 DTD 08/30/2012

Stock and
other symbol
CUSIP
3137EADJ5
3137A5B78
3137A5B78
3137A5B78
3137A5B78
3137A5B78
3137A5B78
3137A5B78
3137A5B78
3137A5B78
3137A5B78
3137ASZE7
3137ASZE7
3137ASZE7
3137ASZE7

Date Acquired
Date Sold
07/25/12
07/02/13
09/27/12
02/15/13
09/27/12
03/15/13
09/27/12
04/15/13
09/27/12
05/15/13
09/27/12
06/17/13
09/27/12
07/25/13
09/27/12
07/02/13
10/18/12
02/15/13
10/18/12
03/15/13
10/18/12
04/15/13
10/18/12
05/15/13

Units
100,000 000
5,941 670
6,097 680
6,250 990
6,401 520
6,549 190
6,693 950
461,842 110
2,950 500
3,054 160
3,155 850
3,255 500

Sales
Price
99,007 20
5 941 67
6,097 68
6,250 99
6,401 52
6,549 19
6,693 95
494,171 05
2,950 50
3,054 16
3 155 85
3,255 50

Cost or
Other Basis
100,986 50
6,587 83
6,760 80
6,930.79
7,097 69
7,261 41
7,421 92
512,067 44
3,110 93
3,220 23
3,327 45
3,432 52

Gain or Loss
Ordinary Income**
-1,979.30
-646 16
-663 12
-679 80
-696 17
-712 22
-727 97
-17,896 39
-160.43
-166 07
-171 60
-177 02



Capital Gain and Loss Schedule

IM - WASSERMAN FND - CORE BOND

Account Number A 10639-00-2

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Description	Cusp	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
FHLMC 4100 CL PA	3137ASZE7		10/18/12	344,253 060	363,832.45	362,971 82		860 63
3 000% 01/15/2042 DTD 08/30/2012			05/13/13					
FNMA REMIC 2011-132 CL PA	3136A2QP1		10/17/12	4,203 360	4,203 36	4,602 68		-399.32
4 500% 08/25/2039 DTD 11/30/2011			02/25/13					
FNMA REMIC 2011-132 CL PA	3136A2QP1		10/17/12	4,291 370	4,291 37	4,699.05		-407 68
4 500% 08/25/2039 DTD 11/30/2011			03/25/13					
FNMA REMIC 2011-132 CL PA	3136A2QP1		10/17/12	4,377 050	4,377 05	4,792 87		-415 82
4 500% 08/25/2039 DTD 11/30/2011			04/25/13					
FNMA REMIC 2011-132 CL PA	3136A2QP1		10/17/12	4,460 320	4,460 32	4,884 05		-423 73
4 500% 08/25/2039 DTD 11/30/2011			05/28/13					
FNMA REMIC 2011-132 CL PA	3136A2QP1		10/17/12	4,541 090	4,541 09	4,972 49		-431 40
4 500% 08/25/2039 DTD 11/30/2011			06/25/13					
FNMA REMIC 2011-132 CL PA	3136A2QP1		10/17/12	9,562 150	9,562 15	10,470 55		-908 40
4 500% 08/25/2039 DTD 11/30/2011			07/25/13					
FNMA REMIC 2011-132 CL PA	3136A2QP1		10/17/12	12,739 500	12,739 50	13,949 75		-1,210 25
4 500% 08/25/2039 DTD 11/30/2011			08/26/13					
FNMA REMIC 2011-132 CL PA	3136A2QP1		10/17/12	4,837 970	4,837 97	5,297 58		-459 61
4 500% 08/25/2039 DTD 11/30/2011			09/25/13					
FNMA REMIC 2010-45 CL GD	31398PMV8		09/19/12	5,912 760	5,912 76	6,566 86		-654 10
5 000% 04/25/2033 DTD 04/30/2010			02/25/13					
FNMA REMIC 2010-45 CL GD	31398PMV8		09/19/12	5,851 850	5,851 85	6,499 21		-647 36
5 000% 04/25/2033 DTD 04/30/2010			03/25/13					
FNMA REMIC 2010-45 CL GD	31398PMV8		09/19/12	5,791 630	5,791 63	6,432 33		-640 70
5 000% 04/25/2033 DTD 04/30/2010			04/25/13					



Capital Gain and Loss Schedule

IM - WASSERMAN FND - CORE BOND

Account Number A 10639-00-2

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other symbol

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FNMA REMIC 2010-45 CL GD	31398PMV8		09/19/12	5,732 110	5,732 11	6,366 22		-634 11
5 000% 04/25/2033 DTD 04/30/2010			05/28/13					
FNMA REMIC 2010-45 CL GD	31398PMV8		09/19/12	5,673 250	5,673 25	6,300 85		-627 60
5 000% 04/25/2033 DTD 04/30/2010			06/25/13					
FNMA REMIC 2010-45 CL GD	31398PMV8		09/19/12	5,615 070	5,615 07	6,236.24		-621 17
5 000% 04/25/2033 DTD 04/30/2010			07/25/13					
FNMA REMIC 2010-45 CL GD	31398PMV8		09/19/12	4,949 700	4,949 70	5,497 26		-547 56
5 000% 04/25/2033 DTD 04/30/2010			08/26/13					
FNMA ZERO CPN	31359MEL3		10/11/12	140,000 000	135,117 50	134,879 82		237 68
06/01/2017 DTD 01/29/1999			02/26/13					
FNMA ZERO CPN	31359MEL3		Various	475,000 000	462,099 81	457,488 02		4,611 79
06/01/2017 DTD 01/29/1999			05/02/13					
FNMA 254634 5 5% 02/01/23	31371KY70		12/12/12	7,014 220	7,014 22	7,669 61		-655 39
			02/25/13					
FNMA 254634 5 5% 02/01/23	31371KY70		12/12/12	4,835 810	4,835 81	5,287 66		-451 85
			03/25/13					
FNMA 254634 5 5% 02/01/23	31371KY70		12/12/12	6,099 840	6,099 84	6,669.79		-569 95
			04/25/13					
FNMA 254634 5 5% 02/01/23	31371KY70		12/12/12	5,403 210	5,403 21	5,908 07		-504 86
			05/28/13					
FNMA 254634 5 5% 02/01/23	31371KY70		12/12/12	6,750 640	6,750 64	7,381 40		-630 76
			06/25/13					
FNMA 254634 5 5% 02/01/23	31371KY70		12/12/12	5,112 220	5,112 22	5,589 89		-477 67
			07/25/13					



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FNMA 254634 5 5% 02/01/23	31371KY70	12/12/12	5,760 590	5,760 59	6,298 85		-538 26
		08/26/13					
FNMA 254634 5 5% 02/01/23	31371KY70	12/12/12	5,036 010	5,036 01	5,506 56		-470 55
		09/25/13					
FNMA 254634 5 5% 02/01/23	31371KY70	12/12/12	3,712 460	3,712 46	4,059 34		-346 88
		10/25/13					
FNMA 254634 5 5% 02/01/23	31371KY70	12/12/12	3,206 940	3,206 94	3,506 59		-299 65
		11/25/13					
FNMA 255444 4 5% 09/01/24	31371LWD7	09/17/12	2,719 450	2,719 45	2,954 00		-234 55
		02/25/13					
FNMA 255444 4.5% 09/01/24	31371LWD7	09/17/12	4,335 360	4,335 36	4,709 28		-373 92
		03/26/13					
FNMA 255444 4 5% 09/01/24	31371LWD7	09/17/12	1,339 180	1,339 18	1,454 68		-115 50
		04/25/13					
FNMA 255444 4 5% 09/01/24	31371LWD7	09/17/12	11,321 140	11,321 14	12,297 59		-976 45
		05/28/13					
FNMA 255444 4 5% 09/01/24	31371LWD7	09/17/12	1,289 030	1,289 03	1,400.21		-111 18
		06/25/13					
FNMA 255444 4 5% 09/01/24	31371LWD7	09/17/12	1,294 110	1,294 11	1,405 73		-111 62
		07/25/13					
FNMA 255444 4 5% 09/01/24	31371LWD7	09/17/12	1,956 420	1,956 42	2,125 16		-168 74
		08/26/13					
FNMA 890165 5% 01/01/29	31410K6A7	12/12/12	3,882 610	3,882 61	4,229 62		-347 01
		02/25/13					



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FNMA 890165 5% 01/01/29	31410K6A7	12/12/12	3,854 770	3,854 77	4,199 29		-344 52
		03/25/13					
FNMA 890165 5% 01/01/29	31410K6A7	12/12/12	3,546 940	3,546 94	3,863 95		-317 01
		04/25/13					
FNMA 890165 5% 01/01/29	31410K6A7	12/12/12	5,538 460	5,538 46	6,033 46		-495 00
		05/28/13					
FNMA 890165 5% 01/01/29	31410K6A7	12/12/12	3,891 260	3,891 26	4,239 04		-347 78
		06/25/13					
FNMA 890165 5% 01/01/29	31410K6A7	12/12/12	2,871 050	2,871 05	3,127 65		-256 60
		07/25/13					
FNMA 890165 5% 01/01/29	31410K6A7	12/12/12	3,769 250	3,769 25	4,106 13		-336 88
		08/26/13					
FNMA 890165 5% 01/01/29	31410K6A7	12/12/12	3,144 760	3,144 76	3,425 82		-281 06
		09/25/13					
FNMA 890165 5% 01/01/29	31410K6A7	12/12/12	2,292 800	2,292 80	2,497 72		-204 92
		10/25/13					
FNMA 890165 5% 01/01/29	31410K6A7	12/12/12	2,228 980	2,228 98	2,428 20		-199 22
		11/25/13					
FORD CREDIT AUTO OWNER TRUST		07/23/13	5,581 940	5,581 94	5,581 92		02
2013-C CL A2 0.550% 04/15/2016	34530DAB5	01/15/14					
DTD 07/30/2013							
GENERAL ELEC CAP CORP		11/14/12	45,000 000	44,082 90	44,941 95		-859 05
1 600% 11/20/2017 DTD 11/20/2012	36962G6K5	07/02/13					
GENERAL ELEC CAP CORP MTN		01/03/13	75,000 000	74,745 75	74,759 25		-13 50
1 000% 01/08/2016 DTD 01/08/2013	36962G6R0	08/13/13					



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GENERAL ELEC CAP CORP MTN 1.500% 07/12/2016 DTD 07/12/2013	36962G6Z2	07/09/13 08/13/13	80,000 000	80,422 40	79,974 40		448 00
GNMA SER 2010-85 CL NK 3 25% JAN 20 2038 DTD 07/01/2010	38374YWC3	05/29/12 02/20/13	7,481 000	7,481 00	7,857 39		-376 39
GNMA SER 2010-85 CL NK 3 25% JAN 20 2038 DTD 07/01/2010	38374YWC3	05/29/12 03/20/13	7,432 140	7,432 14	7,806 07		-373 93
GNMA SER 2010-85 CL NK 3 25% JAN 20 2038 DTD 07/01/2010	38374YWC3	05/29/12 04/22/13	7,383 570	7,383 57	7,755 06		-371 49
GNMA SER 2010-85 CL NK 3 25% JAN 20 2038 DTD 07/01/2010	38374YWC3	05/29/12 05/21/13	7,335 290	7,335 29	7,704 35		-369 06
GNMA SER 2010-85 CL NK 3 25% JAN 20 2038 DTD 07/01/2010	38374YWC3	05/29/12 05/13/13	488,877 100	516,987 53	513,473 73		3,513 80
GNMA SER 2010-164 CL LE 3% OCT 20 2038 DTD 12/01/2010	38377N3P7	06/04/12 02/20/13	4,608 760	4,608 76	4,830 56		-221 80
GNMA SER 2010-164 CL LE 3% OCT 20 2038 DTD 12/01/2010	38377N3P7	06/04/12 03/20/13	4,703 250	4,703 25	4,929.59		-226 34
GNMA SER 2010-164 CL LE 3% OCT 20 2038 DTD 12/01/2010	38377N3P7	06/04/12 04/22/13	4,676 240	4,676 24	4,901 28		-225 04



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GNMA SER 2010-164 CL LE 3% OCT 20 2038 DTD 12/01/2010	38377N3P7	06/04/12 05/20/13	4,649 410	4,649 41	4,873 16		-223 75
HARRIS CNTY TX SENIOR LIEN TOLL ROAD 1 361% D AUG 15 2017 DTD 10/26/2012 HELD BY DTC BOOK ENTRY ONLY	414005PU6	10/03/12 07/09/13	100,000 000	96,751 00	100,000 00		-3,249 00
HYUNDAI AUTO RECEIVABLES TRUST SER 2012-A CL A3 0 72% NOV 15 2014 DTD 03/07/12	44890GAC9	07/08/13 07/15/13	15,885 280	15,885 28	15,903 90		-18 62
HYUNDAI AUTO RECEIVABLES TRUST SER 2012-A CL A3 0 72% NOV 15 2014 DTD 03/07/12	44890GAC9	07/08/13 08/15/13	15,503 500	15,503 50	15,521 67		-18.17
HYUNDAI AUTO RECEIVABLES TRUST SER 2012-A CL A3 0.72% NOV 15 2014 DTD 03/07/12	44890GAC9	07/08/13 09/18/13	14,685 880	14,685 88	14,703 09		-17 21
HYUNDAI AUTO RECEIVABLES TRUST SER 2012-A CL A3 0 72% NOV 15 2014 DTD 03/07/12	44890GAC9	07/08/13 10/15/13	13,474 810	13,474 81	13,490 60		-15 79
HYUNDAI AUTO RECEIVABLES TRUST SER 2012-A CL A3 0 72% NOV 15 2014 DTD 03/07/12	44890GAC9	07/08/13 11/15/13	13,010 000	13,010 00	13,025 25		-15 25
HYUNDAI AUTO RECEIVABLES TRUST SER 2012-A CL A3 0 72% NOV 15 2014 DTD 03/07/12	44890GAC9	07/08/13 12/16/13	12,046 590	12,046 59	12,060 71		-14 12



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Description	Custp	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
HYUNDAI AUTO RECEIVABLES TRUST			07/08/13	12,024 040	12,024 04	12,038 13		-14 09
SER 2012-A CL A3 0 72%	44890GAC9		01/15/14					
NOV 15 2014 DTD 03/07/12								
INTL BK RECON & DEVELOP			02/06/13	385,000 000	384,634 25	384,684.30		-50.05
0 500% 04/15/2016 DTD 02/13/2013	459058CX9		02/13/13					
INTL BK RECON & DEVELOP			02/06/13	100,000 000	100,086 60	99,918 00		168 60
0 500% 04/15/2016 DTD 02/13/2013	459058CX9		02/26/13					
INTL BK RECON & DEVELOP			02/06/13	280,000 000	280 896 00	279,770 40		1,125 60
0.500% 04/15/2016 DTD 02/13/2013	459058CX9		05/16/13					
MICROSOFT CORP			11/02/12	50,000 000	49,800 00	49,711 50		88 50
0 875% 11/15/2017 DTD 11/07/2012	594918AP9		02/25/13					
MICROSOFT CORP			11/02/12	25,000 000	24,304 50	24,855 75		-551 25
0 875% 11/15/2017 DTD 11/07/2012	594918AP9		07/02/13					
MICROSOFT CORP			11/02/12	65,000 000	63,616.15	64,624 95		-1,008 80
0 875% 11/15/2017 DTD 11/07/2012	594918AP9		09/19/13					
N ORANGE/CNTY CA CMNTY CLG DIST			01/25/13	100,000 000	98,251 00	100,000 00		-1,749 00
UNLIMITED TAX 0 964% AUG 01 2016			07/09/13					
DTD 02/14/2013 FED TAXABLE	661334DQ2							
HELD BY B/E ONLY								
NASSAU CNTY NY INTERIM FIN AUTH			10/04/12	100,000 000	96,926 00	100,000 00		-3,074 00
NOV 15 2017 DTD 10/11/2012	631663MZ1		07/09/13					
HELD BY DTC BOOK ENTRY								
NIPPON TELEGR & TELEPH			07/11/12	45,000.000	45,237 15	44,939 25		297 90
1 4% JUL 18 2017			02/25/13					
DTD 07/18/2012	654624AE5							



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RABOBANK NEDERLAND UTREC 3 3/8% JAN 19 2017 DTD 01/19/2012	21686CAD2	09/24/12 02/25/13	60,000.000	64,576 20	63,858 60		717 60
RABOBANK NEDERLAND UTREC 3 3/8% JAN 19 2017 DTD 01/19/2012	21686CAD2	09/24/12 07/02/13	30,000 000	31,502 40	31,929 30		-426.90
ROYAL BANK OF CANADA 0 850% 03/08/2016 DTD 03/08/2013	78008SD86	03/05/13 07/02/13	75,000 000	74,586 75	74,953 50		-366 75
ROYAL BANK OF CANADA MTN 2 3% JUL 20 2016 DTD 07/20/2011	78008TLB8	04/24/13 10/25/13	30,000 000	31,040 40	31,356 30		-315 90
SHELL INTERNATIONAL FIN 1 125% AUG 21 2017 DTD 08/21/2012	822582AR3	08/07/13 10/25/13	20,000 000	19,901 40	19,731 20		155 73 14 47 O
SHELL INTERNATIONAL FIN 0 625% 12/04/2015 DTD 12/06/2012	822582AU6	11/29/12 01/23/13	75,000 000	75,237 00	74,982 00		255 00
SHELL INTERNATIONAL FIN 0 625% 12/04/2015 DTD 12/06/2012	822582AU6	11/29/12 01/25/13	195,000 000	195,538 20	194,953 20		585 00
TORONTO DOMINION BANK 2 1/2% JUL 14 2016 DTD 07/14/2011	89114QAB4	02/20/13 02/25/13	75,000.000	78,701 25	78,690 00		11.25
TORONTO DOMINION BANK 2 1/2% JUL 14 2016 DTD 07/14/2011	89114QAB4	03/11/13 07/02/13	65,000 000	67,371 20	68,135 60		-764 40
TORONTO DOMINION BANK 2 1/2% JUL 14 2016 DTD 07/14/2011	89114QAB4	03/11/13 10/25/13	15,000 000	15,603 45	15,723 60		-120 15



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other symbol

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Description	Code*	Date Acquired/ Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
TORONTO-DOMINION BANK MTN		04/23/13	70,000 000	68,912 90	69,953 10		-1,040 20
1 400% 04/30/2018 DTD 04/30/2013	89114QAG3	06/07/13					
TOTAL CAPITAL INTL SA		06/21/12	85,000 000	86,258 85	84,841 05		1,417 80
1 55% JUN 28 2017	89153VAC3	02/25/13					
DTD 06/28/2012							
TOTAL CAPITAL INTL SA		08/05/13	70,000 000	70,409 50	69,808 20		601 30
1 000% 08/12/2016 DTD 08/12/2013	89153VAF6	10/31/13					
TOYOTA MOTOR CREDIT CORP		07/16/12	150,000 000	150,993 00	149,920 50		1,072 50
SR NOTES 0 875% JUL 17 2015	89233P6J0	02/25/13					
DTD 07/19/2012							
TOYOTA MOTOR CREDIT CORP		10/02/12	50,000 000	48,657 00	49,971 00		-1,314 00
SR NOTES 1.25% OCT 05 2017	89233P6S0	07/02/13					
DTD 10/05/2012							
TOYOTA MOTOR CREDIT CORP		05/14/13	115,000 000	114,783 80	114,952 85		-169 05
0 800% 05/17/2016 DTD 05/17/2013	89236TAL9	08/12/13					
US TREAS 1.25% 11/30/18	912828A34	12/02/13	95,000 000	93,975 78	94,235 55		-259 77
	912828A34	12/05/13					
US TREAS TIPS 2 5% 07/15/16	912828FL9	04/09/12	15,000 000	19,739 47	19,918 54		-179 07
	912828FL9	01/29/13					
US TREAS TIPS 1 625% 01/15/18	912828HN3	01/29/13	125,000 000	152,679 36	160,975 19		-8,295 83
	912828HN3	07/02/13					
US TREAS TIPS 1 625% 01/15/18	912828HN3	01/29/13	185,000 000	227,434 10	239,138 50		-11,704 40
	912828HN3	09/18/13					
US TREAS 2 625% 04/30/16	912828KR0	Various	690,000 000	738,030 47	740,751 17		-2,720 70
	912828KR0	02/06/13					



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US TREAS 1 5% 07/31/16	912828QX1	07/17/12 02/20/13	75,000 000	77,510 75	78,023 44		-512 69
US TREAS 1 5% 07/31/16	912828QX1	07/17/12 03/11/13	80,000 000	82,675 00	83,225 00		-550 00
US TREAS 1 5% 07/31/16	912828QX1	Various 05/14/13	525,000 000	542,657 23	542,642 19		15 04
US TREAS 1 375% 09/30/18	912828RH5	10/22/13 10/30/13	190,000 000	190,853 52	190,630 86		222 66
US TREAS 0 875% 12/31/16	912828RX0	11/08/12 02/26/13	330,000 000	334,408 59	334,846 87		-438 28
US TREAS 0 875% 01/31/17	912828SC5	02/28/13 02/28/13	220,000 000	222,904 69	222,870 31		34 38
US TREAS 0 875% 01/31/17	912828SC5	02/28/13 03/04/13	75,000 000	75,987 30	75,978 52		8 78
US TREAS 0 875% 01/31/17	912828SC5	02/28/13 04/01/13	35,000 000	35,479 88	35,456 64		23 24
US TREAS 0 875% 01/31/17	912828SC5	Various 04/17/13	150,000 000	152,255 86	151,927 15		328 71
US TREAS 0 875% 01/31/17	912828SC5	02/25/13 04/23/13	110,000 000	111,637 11	111,362 11		275 00
US TREAS 0 875% 01/31/17	912828SC5	02/25/13 05/14/13	260,000 000	262,985 94	263,219 52		-233 58
US TREAS 1% 03/31/17	912828SM3	03/28/13 04/11/13	285,000 000	290,366 02	290,054 30		311 72



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US TREAS 1% 03/31/17				912828SM3			03/28/13 04/15/13	160,000 000	163,150 00	162,837 50		312 50
US TREAS 1% 03/31/17				912828SM3			03/28/13 04/16/13	135,000 000	137,620 90	137,394 14		226 76
US TREAS 1% 03/31/17				912828SM3			03/28/13 04/17/13	60,000 000	61,169 53	61,064 06		105 47
US TREAS TIPS 0 125% 04/15/17				912828SQ4			09/26/12 05/09/13	385,000 000	417,836 66	424,246 22		-6,409 56
US TREAS 0 875% 04/30/17				912828SS0			04/30/13 04/30/13	160,000 000	162,450 00	162,450 00		00
US TREAS 0 875% 04/30/17				912828SS0			Various 05/14/13	1,575,000 000	1,590,811 52	1,598,122 59		-7,311 07
US TREAS 0 875% 04/30/17				912828SS0			05/13/13 07/02/13	310,000 000	308,631 64	313,257 42		-4,625 78
US TREAS 0 875% 04/30/17				912828SS0			05/13/13 10/30/13	175,000 000	175,478 52	176,838 86		-1,360 34
US TREAS 0 625% 05/31/17				912828SY7			Various 02/26/13	715,000 000	715,446 88	712,872 66		2,574 22
US TREAS 0 625% 05/31/17				912828SY7			05/28/13 06/17/13	35,000 000	34,753 91	34,816 80		-62 89
US TREAS 0 625% 05/31/17				912828SY7			Various 07/02/13	305,000 000	300,258 20	303,348 83		-3,090 63
US TREAS 0 625% 05/31/17				912828SY7			05/31/13 07/19/13	245,000 000	242,071 48	243,334 77		-1,263 29



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US TREAS 0 5% 07/31/17	912828TG5	07/31/13 08/07/13	130,000 000	127,344 14	127,288 28		42 64 13 22 O
US TREAS 0 5% 07/31/17	912828TG5	07/31/13 08/22/13	110,000 000	106,785 93	107,705 47		-919 54
US TREAS 0 25% 08/15/15	912828TK6	09/04/12 02/26/13	410,000 000	409,455 47	409,439 45		16 02
US TREAS 0 25% 08/31/14	912828TL4	09/05/12 01/02/13	305,000 000	305,083 40	305,083 40		00
US TREAS 0 25% 08/31/14	912828TL4	Various 02/26/13	875,000 000	875,546 88	875,126 37		420 51
US TREAS 0 625% 08/31/17	912828TM2	09/18/13 10/30/13	245,000 000	242,253 32	240,712 50		1,425 92 114 90 O
US TREAS 0 25% 09/30/14	912828TQ3	Various 02/26/13	1,250,000 000	1,250,732 43	1,249,265 63		1,466 80
US TREAS 0 25% 09/30/14	912828TQ3	10/18/12 02/28/13	140,000 000	140,076 56	139,879 69		196 87
US TREAS 0 25% 09/30/14	912828TQ3	10/18/12 04/01/13	255,000 000	255,159 37	254,780 86		378 51
US TREAS 0 25% 09/30/14	912828TQ3	Various 04/23/13	1,295,000 000	1,296,062 30	1,293,826 17		2,236 13
US TREAS 0 625% 09/30/17	912828TS9	Various 11/08/13	200,000 000	197,078 13	197,393 95		-315 82
US TREAS 0.25% 10/15/15	912828TT7	Various 01/30/13	1,020,000 000	1,016,533 59	1,016,034 76		498 83



Capital Gain and Loss Schedule

IM - WASSERMAN FND - CORE BOND

Account Number A 10639-00-2

Short-Term Non Covered

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Cusp

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
US TREAS 0 25% 10/31/14	912828TU4	10/24/12 02/27/13	1,380,000 000	1,380,646 87	1,379,029 69		1,617 18
US TREAS 0 25% 10/31/14	912828TU4	10/24/12 05/08/13	265,000 000	265,248 44	264,813 67		434.77
US TREAS 0 25% 10/31/14	912828TU4	Various 05/14/13	500,000 000	500,468 75	499,542 19		926 56
US TREAS 0.25% 10/31/14	912828TU4	10/26/12 06/04/13	530,000 000	530,351 95	529,461 72		890 23
US TREAS 0 375% 11/15/15	912828TX8	11/07/12 01/03/13	80,000 000	79,981 25	80,040 63		-59 38
US TREAS 0 375% 11/15/15	912828TX8	11/07/12 02/26/13	655,000 000	655,946 68	655,332 62		614 06
US TREAS 0 25% 11/30/14	912828TZ3	Various 01/25/13	1,665,000 000	1,664,349 61	1,664,805 47		-455 86
US TREAS 0 25% 11/30/14	912828TZ3	Various 05/02/13	630,000 000	630,713 68	629,856 44		857 24
US TREAS 0 625% 11/30/17	912828UA6	11/30/12 01/29/13	200,000 000	197,843.75	200,109 38		-2,265 63
US TREAS 0 625% 11/30/17	912828UA6	11/30/12 01/29/13	80,000 000	79,125 00	80,043 75		-918 75
US TREAS 0.625% 11/30/17	912828UA6	Various 01/30/13	90,000 000	89,064 85	90,031 44		-966 59
US TREAS 0.625% 11/30/17	912828UA6	11/29/13 12/05/13	120,000 000	117,867 19	118,275 00		-407.81



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Capital Gain and Loss Schedule

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Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
US TREAS 0 125% 12/31/14	912828UD0	01/25/13 04/11/13	1,595,000 000	1,592,570 11	1,590,451 76		1,617 38
US TREAS 0 75% 12/31/17	912828UE8	12/31/12 01/17/13	160,000 000	159,731 25	160,250 00		500 97 O
US TREAS 0 75% 12/31/17	912828UE8	12/31/12 01/17/13	110,000 000	109,759 37	110,171 88		-518 75
US TREAS 0 75% 12/31/17	912828UE8	12/31/12 01/17/13	200,000 000	198,789 06	200,312 50		-412 51
US TREAS 0 75% 12/31/17	912828UE8	12/31/12 01/29/13	730,000 000	730,513 28	729,383 98		-1,523 44
US TREAS 0.375% 01/15/16	912828UG3	Various 05/14/13	85,000 000	85,544 53	84,963 47		1,129 30
US TREAS 0 875% 01/31/18	912828UJ7	Various 03/04/13	1,010,000 000	1,010,118 36	1,010,007 03		581 06
US TREAS 0.25% 01/31/15	912828UK4	Various 06/04/13	165,000 000	165,116 02	165,038 67		111 33
US TREAS 0 375% 02/15/16	912828UM0	02/25/13 03/05/13	165,000 000	164,864 65	165,038 67		77 35
US TREAS 0 375% 02/15/16	912828UM0	02/25/13 03/08/13	90,000 000	90,140 63	90,021 10		-174 02
US TREAS 0.375% 02/15/16	912828UM0	Various 04/25/13	535,000 000	535,794 14	534,366 02		119 53
US TREAS 0 375% 02/15/16	912828UM0	02/27/13 07/02/13	935,000 000	934,452 15	935,036 52		1,428 12
US TREAS 0 25% 02/28/15	912828UP3						-584 37



IM - WASSERMAN FND - CORE BOND
Account Number A 10639-00-2

Capital Gain and Loss Schedule

Short-Term Non Covered

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to Gain or Loss	Gain or Loss Ordinary Income**
US TREAS 0 25% 02/28/15	912828UP3	02/27/13 07/26/13	220,000 000	220,060 16	220,008 59		51 57
US TREAS 0 25% 03/31/15	912828UT5	Various 05/14/13	1,545,000 000	1,545,301 76	1,545,663 86		-362 10
US TREAS 0 25% 03/31/15	912828UT5	Various 07/19/13	325,000 000	325,000 00	325,129 49		-129 49
US TREAS 0.25% 03/31/15	912828UT5	04/11/13 07/30/13	100,000 000	100,007.81	100,039 06		-31 25
US TREAS 0 25% 03/31/15	912828UT5	04/11/13 08/01/13	220,000 000	219,957 03	220,085 94		-128 91
US TREAS 0 25% 03/31/15	912828UT5	04/11/13 08/30/13	370,000 000	369,768 75	370,144 53		-375 78
US TREAS 0 25% 03/31/15	912828UT5	04/11/13 10/04/13	445,000 000	445,086 91	445,173 83		-86 92
US TREAS 0 25% 04/15/16	912828UW8	Various 05/14/13	110,000 000	109,561 72	109,720.50		-158 78
US TREAS 0 25% 04/15/16	912828UW8	04/25/13 06/12/13	450,000 000	446,431 64	448,837 50		-2,405 86
US TREAS 0 25% 04/15/16	912828UW8	04/25/13 06/26/13	70,000 000	69,267.19	69,811.33		-544.14
US TREAS 0.25% 04/15/16	912828UW8	04/25/13 07/02/13	110,000 000	108,977 34	109,703 52		-726 18
US TREAS 0.125% 04/30/15	912828UY4	Various 07/02/13	660,000 000	657,550 78	658,972 26		-1,421 48

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Stock and other symbol Cusip



Capital Gain and Loss Schedule

IM - WASSERMAN FND - CORE BOND

Account Number A 10639-00-2

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Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
US TREAS 0 125% 04/30/15	912828UY4	05/13/13 10/22/13	340,000 000	339,495 31	339,229 69		265 62
US TREAS 0.25% 05/31/15	912828VD9	06/04/13 06/26/13	225,000 000	224,516 60	224,780 27		-263 67
US TREAS 0 25% 05/31/15	912828VD9	06/04/13 10/22/13	480,000 000	480,112 50	479,531 25		581 25
US TREAS 0.25% 05/31/15	912828VD9	06/04/13 10/30/13	390,000 000	390,137 11	389,619 14		517 97
US TREAS 1% 05/31/18	912828VE7	06/07/13 06/14/13	100,000 000	99,898 44	99,605 47		292 97
US TREAS 1% 05/31/18	912828VE7	06/07/13 06/17/13	75,000 000	74,759 77	74,704 10		55 67
US TREAS 0 375% 06/30/15	912828VH0	07/19/13 08/07/13	145,000 000	145,226 56	145,181 25		45 31
US TREAS 0 375% 06/30/15	912828VH0	Various 08/14/13	210,000 000	210,229 69	210,054 69		175 00
US TREAS 0 375% 06/30/15	912828VH0	07/09/13 10/30/13	205,000 000	205,440 43	205,032 03		408 40
US TREAS 0 25% 07/31/15	912828VN7	08/13/13 10/30/13	115,000 000	114,991 02	114,820 31		170 71
US TREAS 0 875% 09/15/16	912828VW7	09/17/13 10/30/13	265,000 000	267,432 62	265,745 31		1,687 31
US TREAS 09/30/15	912828VY3	Various 10/30/13	1,115,000 000	1,113,954 69	1,113,841 00		113 69



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Capital Gain and Loss Schedule

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Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
US TREAS 09/30/15		10/04/13	75,000 000	74,991 21	74,882 81		108 40
	912828VY3	12/02/13					
US TREAS 0 625% 10/15/16		Various	350,000 000	349,931 64	350,452 93		-521 29
	912828WA4	12/19/13					
US TREAS 0 25% 10/31/15		11/21/13	120,000 000	119,934 38	119,943 75		-9 37
	912828WB2	12/04/13					
US TREAS 1 25% 10/31/18		10/31/13	65,000 000	64,441 41	64,768 95		-327 54
	912828WD8	11/08/13					
WAL-MART STORES INC		04/04/13	35,000 000	34,819 05	34,975 15		-156 10
0 600% 04/1 1/2016 DTD 04/11/2013		07/02/13					
WAL-MART STORES INC		04/04/13	35,000 000	35,000 70	34,975 15		25 55
0 600% 04/1 1/2016 DTD 04/11/2013		10/31/13					
WELLS FARGO & COMPANY		04/16/13	35,000 000	34,214 95	35,084.35		-869 40
1 500% 01/16/2018 DTD 12/26/2012		07/02/13					
WELLS FARGO & COMPANY		04/16/13	80,000 000	79,967 20	80,192 80		-225 60
1 500% 01/16/2018 DTD 12/26/2012		11/22/13					
WELLS FARGO & COMPANY SR NOTES		07/22/13	60,000 000	60,149 52	60,000 00		149 52
VAR RT 07/20/2016 DTD 07/29/2013		10/25/13					
WESTPAC BANKING CORP		07/24/13	25,000 000	25,117 75	25,000 00		117 75
VAR RT 07/30/2018 DTD 07/30/2013		10/25/13					
	961214CB7						
Total Short-Term Non Covered				42,327,328.34	42,422,239.00		-95,554.22
							643.56 O



Capital Gain and Loss Schedule

IM - WASSERMAN FND - CORE BOND
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Long-Term Non Covered

Description	Code	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
ASIAN DEVELOPMENT BANK 2 5/8% FEB 09 2015 DTD 02/09/2010	045167BX0	02/02/10 02/26/13	275,000 000	287,028 50	274,015 50		13,013 00
BANK OF MONTREAL MTN 1 3/4% APR 29 2014 DTD 04/29/2011	06366QGJ0	04/26/11 02/25/13	155,000 000	157,190 15	154,874 45		2,315 70
BANK OF NEW YORK MELLON MTN 1 7% NOV 24 2014 DTD 11/23/2011	06406HBZ1	11/17/11 07/02/13	75,000 000	76,069 50	74,921 25		1,148 25
BANK OF NOVA SCOTIA 3.4% JAN 22 2015 DTD 01/22/2010	064149A64	01/19/10 02/25/13	120,000 000	126,363 60	119,846 40		6,517 20
BERKSHIRE HATHAWAY INC 1 9% JAN 31 2017 DTD 01/31/2012	084670BD9	01/24/12 02/25/13	120,000 000	123,843 60	119,994 00		3,849 60
BERKSHIRE HATHAWAY INC 1 9% JAN 31 2017 DTD 01/31/2012	084670BD9	01/24/12 10/25/13	45,000 000	46,134 45	44,997 75		1,136 70
CANADA GOVERNMENT NOTES 2 3/8% SEP 10 2014 DTD 09/10/2009	135087ZA5	09/02/09 02/26/13	175,000 000	180,783 75	173,993 75		6,790 00
CANADA GOVERNMENT NOTES 2 3/8% SEP 10 2014 DTD 09/10/2009	135087ZA5	09/02/09 11/21/13	155,000 000	157,769 63	154,108 75		3,660 88

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Capital Gain and Loss Schedule

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Account Number A 10639-00-2

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CO ST HSG & FIN AUTH CO EMPLOYMENT COMPENSATION 1 85% B MAY 15 2017 DTD 06/28/2012 FED TAXABLE HELD BY DTC BOOK ENTRY ONLY	19648CAF8	06/21/12 07/09/13	100,000 000	99,858 00	100,989 00		-1,131 00
EL PASO TEXAS PENSION UNLIMITED TAX 3 61% AUG 15 2014 DTD 06/25/2009 FED TAXABLE HELD BY DTC BOOK ENTRY ONLY	283734MB4	05/13/09 07/09/13	175,000 000	180,449 50	175,000 00		5,449 50
FHLMC SER 2628 CL QM 4 5% NOV 15 2031 DTD 06/01/2003	31393VQ61	04/15/11 02/15/13	17,049 060	17,049 06	17,986 76		-937 70
FHLMC SER 2628 CL QM 4 5% NOV 15 2031 DTD 06/01/2003	31393VQ61	04/15/11 03/15/13	14,571 350	14,571 35	15,372 77		-801 42
FHLMC SER 2628 CL QM 4 5% NOV 15 2031 DTD 06/01/2003	31393VQ61	04/15/11 04/15/13	18,252 010	18,252 01	19,255 87		-1,003 86
FHLMC SER 2628 CL QM 4 5% NOV 15 2031 DTD 06/01/2003	31393VQ61	04/15/11 05/15/13	15,485 500	15,485 50	16,337 20		-851 70
FHLMC SER 2628 CL QM 4 5% NOV 15 2031 DTD 06/01/2003	31393VQ61	04/15/11 06/17/13	14,467 080	14,467 08	15,262.77		-795 69
FHLMC SER 2628 CL QM 4 5% NOV 15 2031 DTD 06/01/2003	31393VQ61	04/15/11 07/15/13	13,412 510	13,412 51	14,150 20		-737 69



Capital Gain and Loss Schedule

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Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
FHLMC SER 2628 CL QM 4.5% NOV 15 2031 DTD 06/01/2003	31393VQ61	04/15/11 08/15/13	12,958 170	12,958 17	13,670 87		-712 70
FHLMC SER 2628 CL QM 4 5% NOV 15 2031 DTD 06/01/2003	31393VQ61	04/15/11 09/16/13	8,200 670	8,200 67	8,651 71		-451 04
FHLMC SER 2628 CL QM 4 5% NOV 15 2031 DTD 06/01/2003	31393VQ61	04/15/11 10/15/13	9,973 760	9,973 76	10,522 31		-548 55
FHLMC SER 2628 CL QM 4.5% NOV 15 2031 DTD 06/01/2003	31393VQ61	04/15/11 11/15/13	8,528 690	8,528 69	8,997 77		-469.08
FHLMC SER 2628 CL QM 4 5% NOV 15 2031 DTD 06/01/2003	31393VQ61	04/15/11 12/16/13	8,357 430	8,357 43	8,817 09		-459 66
FHLMC SER 2628 CL QM 4.5% NOV 15 2031 DTD 06/01/2003	31393VQ61	04/15/11 01/15/14	9,258 960	9,258 96	9,768 20		-509 24
FHLMC GOLD G11728 5 5% 02/01/20	31283K4M7	08/12/09 02/15/13	6,640 470	6,640 47	7,032 67		-392 20
FHLMC GOLD G11728 5 5% 02/01/20	31283K4M7	08/12/09 03/15/13	8,815 770	8,815 77	9,336 45		-520 68
FHLMC GOLD G11728 5.5% 02/01/20	31283K4M7	08/12/09 04/15/13	12,436.550	12,436.55	13,171.08		-734.53
FHLMC GOLD G11728 5 5% 02/01/20	31283K4M7	08/12/09 05/15/13	11,708 540	11,708 54	12,400 08		-691 54



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FHLMC GOLD G11728 5 5% 02/01/20	31283K4M7	08/12/09 06/17/13	10,967 330	10,967 33	11,615 09		-647 76
FHLMC GOLD G11728 5 5% 02/01/20	31283K4M7	08/12/09 07/15/13	8,615 449	8 615 43	9,124 30		-508 87
FHLMC GOLD G11728 5 5% 02/01/20	31283K4M7	08/12/09 07/02/13	355,157 690	386,233 99	376,134 17		10,099 82
FHLMC GOLD G11769 5% 10/01/20	31283K6E3	09/24/09 02/15/13	7,066 710	7,066 71	7,464 21		-397 50
FHLMC GOLD G11769 5% 10/01/20	31283K6E3	09/24/09 02/26/13	189,439 130	203,173 51	200,095 09		3,078 42
FHLMC GOLD G11773 5% 10/01/20	31283K6J2	09/15/11 02/15/13	9,823 250	9,823 25	10,639.81		-816 56
FHLMC GOLD G11773 5% 10/01/20	31283K6J2	09/15/11 03/15/13	8,477 400	8,477 40	9,182.08		-704 68
FHLMC GOLD G11773 5% 10/01/20	31283K6J2	09/15/11 04/15/13	9,862 440	9,862.44	10,682 25		-819 81
FHLMC GOLD G11773 5% 10/01/20	31283K6J2	09/15/11 05/15/13	9,727 930	9,727 93	10,536 56		-808 63
FHLMC GOLD G11773 5% 10/01/20	31283K6J2	09/15/11 06/17/13	8,643 070	8,643 07	9,361 53		-718 46
FHLMC GOLD G11773 5% 10/01/20	31283K6J2	09/15/11 07/15/13	6,615 930	6,615 93	7,165 88		-549 95
FHLMC GOLD G11773 5% 10/01/20	31283K6J2	09/15/11 08/15/13	7,906 590	7,906 59	8,563 82		-657 23



Capital Gain and Loss Schedule

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Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
FHLMC GOLD G11773 5% 10/01/20	31283K6J2	09/15/11 09/16/13	7,656 030	7,656 03	8,292 44		-636 41
FHLMC GOLD G11773 5% 10/01/20	31283K6J2	09/15/11 10/15/13	5,466 280	5,466 28	5,920 66		-454 38
FHLMC GOLD G11773 5% 10/01/20	31283K6J2	09/15/11 11/15/13	4,996 250	4,996 25	5,411 56		-415 31
FHLMC GOLD G11773 5% 10/01/20	31283K6J2	09/15/11 12/16/13	5,672 040	5,672 04	6,143 53		-471 49
FHLMC GOLD G11773 5% 10/01/20	31283K6J2	09/15/11 01/15/14	4,793 620	4,793 62	5,192 09		-398 47
FNMA REMIC 2011-132 CL PA 4 500% 08/25/2039 DTD 11/30/2011	3136A2QP1	10/17/12 10/25/13	6,088 820	6,088 82	6,667 26		-578.44
FNMA REMIC 2011-132 CL PA 4.500% 08/25/2039 DTD 11/30/2011	3136A2QP1	10/17/12 11/25/13	4,581 430	4,581 43	5,016 67		-435 24
FNMA REMIC 2011-132 CL PA 4 500% 08/25/2039 DTD 11/30/2011	3136A2QP1	10/17/12 12/26/13	5,251 230	5,251 23	5,750 10		-498 87
FNMA REMIC 2011-132 CL PA 4 500% 08/25/2039 DTD 11/30/2011	3136A2QP1	10/17/12 01/27/14	2,596 790	2,596 79	2,843 48		-246 69
FNMA REMIC 2010-45 CL GD 5.000% 04/25/2033 DTD 04/30/2010	31398PMV8	09/19/12 09/25/13	6,108 540	6,108 54	6,784.30		-675 76
FNMA REMIC 2010-45 CL GD 5 000% 04/25/2033 DTD 04/30/2010	31398PMV8	09/19/12 10/25/13	5,102.240	5,102 24	5,666 68		-564 44
FNMA REMIC 2010-45 CL GD 5 000% 04/25/2033 DTD 04/30/2010	31398PMV8	09/19/12 11/25/13	2,829 950	2,829 95	3,143 01		-313 06



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Capital Gain and Loss Schedule

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FNMA REMIC 2010-45 CL GD 5.000% 04/25/2033 DTD 04/30/2010	31398PMV8		09/19/12 12/26/13	3,505 550	3,505 55	3,893 35		-387 80
FNMA REMIC 2010-45 CL GD 5.000% 04/25/2033 DTD 04/30/2010	31398PMV8		09/19/12 01/27/14	2,783 940	2,783 94	3,091 91		-307 97
FNMA 1 625% 10/26/15	31398A4M1		Various 02/26/13	315,000 000	325,326 64	307,589 25		14,575 19 3,162 20 O
FNMA 1 625% 10/26/15	31398A4M1		02/01/11 07/02/13	165,000 000	169,143 15	160,660 35		6,284 59 2,218 21 O
FNMA 254634 5 5% 02/01/23	31371KY70		12/12/12 12/26/13	3,035 880	3,035 88	3,319 55		-283 67
FNMA 254634 5 5% 02/01/23	31371KY70		12/12/12 01/27/14	3,593 780	3,593 78	3,929 57		-335 79
FNMA 255444 4 5% 09/01/24	31371LWD7		09/17/12 09/25/13	19,492 220	19,492 22	21,173 42		-1,681 20
FNMA 255444 4 5% 09/01/24	31371LWD7		09/17/12 10/25/13	18,336 950	18,336 95	19,918 51		-1,581 56
FNMA 255444 4 5% 09/01/24	31371LWD7		09/17/12 11/25/13	1,770 280	1,770 28	1,922 97		-152 69
FNMA 255444 4 5% 09/01/24	31371LWD7		09/17/12 12/26/13	16,793 280	16,793 28	18,241 70		-1,448 42
FNMA 255444 4 5% 09/01/24	31371LWD7		09/17/12 01/27/14	943 470	943 47	1,024 84		-81 37
FNMA 4 5% 07/25/38	31397STK0		04/13/11 02/25/13	13,078 640	13,078 64	13,661 05		-582 41



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FNMA 4 5% 07/25/38			04/13/11	167,364 540	171,862.46	174,817 48		-2,955 02
	31397STK0		02/26/13					
FNMA 888148 VAR RATE 02/01/37			01/26/10	128 620	128 62	136 18		-7 56
	31410FWH4		02/25/13					
FNMA 888148 VAR RATE 02/01/37			01/26/10	124 600	124 60	131 92		-7 32
	31410FWH4		03/25/13					
FNMA 888148 VAR RATE 02/01/37			01/26/10	137 850	137 85	145 95		-8 10
	31410FWH4		04/25/13					
FNMA 888148 VAR RATE 02/01/37			01/26/10	5,946 400	5,946.40	6,295 75		-349 35
	31410FWH4		05/28/13					
FNMA 888148 VAR RATE 02/01/37			01/26/10	1,228 490	1,228 49	1,300 66		-72 17
	31410FWH4		06/25/13					
FNMA 888148 VAR RATE 02/01/37			01/26/10	89 460	89 46	94 72		-5 26
	31410FWH4		07/25/13					
FNMA 888148 VAR RATE 02/01/37			01/26/10	98 360	98 36	104 14		-5 78
	31410FWH4		08/26/13					
FNMA 888148 VAR RATE 02/01/37			01/26/10	10,160 450	10,160 45	10,757 38		-596 93
	31410FWH4		09/25/13					
FNMA 888148 VAR RATE 02/01/37			01/26/10	4,111 710	4,111 71	4,353 27		-241 56
	31410FWH4		10/25/13					
FNMA 888148 VAR RATE 02/01/37			01/26/10	5,867 220	5,867 22	6,211 92		-344 70
	31410FWH4		11/25/13					
FNMA 888148 VAR RATE 02/01/37			01/26/10	2,620 460	2,620 46	2,774 41		-153 95
	31410FWH4		12/26/13					



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FNMA 888148 VAR RATE 02/01/37		01/26/10	4,340 630	4,340 63	4,595 64		-255 01
	31410FWH4	01/27/14					
FNMA 890165 5% 01/01/29		12/12/12	2,089 340	2,089.34	2,276 07		-186 73
	31410K6A7	12/26/13					
FNMA 890165 5% 01/01/29		12/12/12	2,294 090	2,294.09	2,499 12		-205.03
	31410K6A7	01/27/14					
FREDDIE MAC		06/25/09	13,068 300	13,068 30	13,562 45		-494 15
SER 2924 CL EH 5 25% MAR 15 2024	31395LAS0	02/15/13					
DTD 01/01/2005							
FREDDIE MAC		06/25/09	12,778 500	12,778 50	13,261 69		-483 19
SER 2924 CL EH 5 25% MAR 15 2024	31395LAS0	03/15/13					
DTD 01/01/2005							
FREDDIE MAC		06/25/09	15,864 300	15,864 30	16,464 17		-599 87
SER 2924 CL EH 5 25% MAR 15 2024	31395LAS0	04/15/13					
DTD 01/01/2005							
FREDDIE MAC		06/25/09	16,553 880	16,553 88	17,179 82		-625 94
SER 2924 CL EH 5 25% MAR 15 2024	31395LAS0	05/15/13					
DTD 01/01/2005							
FREDDIE MAC		06/25/09	11,315 910	11,315 91	11,743 79		-427 88
SER 2924 CL EH 5 25% MAR 15 2024	31395LAS0	06/17/13					
DTD 01/01/2005							
FREDDIE MAC		06/25/09	13,948 740	13,948 74	14,476 18		-527 44
SER 2924 CL EH 5 25% MAR 15 2024	31395LAS0	07/15/13					
DTD 01/01/2005							
FREDDIE MAC		06/25/09	12,619 200	12,619 20	13,096 36		-477 16
SER 2924 CL EH 5 25% MAR 15 2024	31395LAS0	08/15/13					
DTD 01/01/2005							



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Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
FREDDIE MAC SER 2924 CL EH 5 25% MAR 15 2024 DTD 01/01/2005	31395LAS0		06/25/09 09/16/13	12,319 140	12,319 14	12,784 96		-465 82
FREDDIE MAC SER 2924 CL EH 5 25% MAR 15 2024 DTD 01/01/2005	31395LAS0		06/25/09 10/15/13	10,220 820	10,220 82	10,607 30		-386 48
FREDDIE MAC SER 2924 CL EH 5 25% MAR 15 2024 DTD 01/01/2005	31395LAS0		06/25/09 11/15/13	8,172 000	8,172 00	8,481 00		-309.00
FREDDIE MAC SER 2924 CL EH 5 25% MAR 15 2024 DTD 01/01/2005	31395LAS0		06/25/09 12/16/13	6,404 400	6,404.40	6,646 57		-242 17
FREDDIE MAC SER 2924 CL EH 5.25% MAR 15 2024 DTD 01/01/2005	31395LAS0		06/25/09 01/15/14	11,267 430	11,267 43	11,693 48		-426 05
GENERAL ELEC CAP CORP 2 1/4% NOV 09 2015 DTD 11/09/2010	36962G4T8		Various 02/25/13	240,000 000	249,076 80	238,918 80		9,841 73 316 27 O
GENERAL ELEC CAP CORP MTN 2 3% APR 27 2017 DTD 04/27/2012	36962G5W0		04/24/12 10/25/13	25,000 000	25,791 75	24,967 25		824 50
GNMA SER 2009-116 CL MK 4 5% NOV 16 2038 DTD 12/01/2009	38376PK82		08/15/11 02/19/13	1,437 640	1,437 64	1,553 55		-115.91
GNMA SER 2009-116 CL MK 4 5% NOV 16 2038 DTD 12/01/2009	38376PK82		08/15/11 03/18/13	1,428 280	1,428 28	1,543 44		-115 16



Capital Gain and Loss Schedule

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Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
GNMA		08/15/11	2,997 950	2,997 95	3,239 66		-241 71
SER 2009-116 CL MK 4 5% NOV 16 2038 DTD 12/01/2009	38376PK82	04/16/13					
GNMA		08/15/11	4,283 940	4,283 94	4,629 33		-345 39
SER 2009-116 CL MK 4 5% NOV 16 2038 DTD 12/01/2009	38376PK82	05/16/13					
GNMA		08/15/11	3,495 700	3,495 70	3,777 54		-281 84
SER 2009-116 CL MK 4 5% NOV 16 2038 DTD 12/01/2009	38376PK82	06/17/13					
GNMA		08/15/11	3,031 940	3,031 94	3,276 39		-244 45
SER 2009-116 CL MK 4 5% NOV 16 2038 DTD 12/01/2009	38376PK82	07/16/13					
GNMA		08/15/11	2,327 140	2,327 14	2,514 77		-187 63
SER 2009-116 CL MK 4 5% NOV 16 2038 DTD 12/01/2009	38376PK82	08/16/13					
GNMA		08/15/11	2,975 480	2,975 48	3,215 38		-239 90
SER 2009-116 CL MK 4 5% NOV 16 2038 DTD 12/01/2009	38376PK82	09/16/13					
GNMA		08/15/11	2,109 190	2,109 19	2,279 24		-170 05
SER 2009-116 CL MK 4 5% NOV 16 2038 DTD 12/01/2009	38376PK82	10/16/13					
GNMA		08/15/11	1,480 320	1,480 32	1,599 67		-119 35
SER 2009-116 CL MK 4 5% NOV 16 2038 DTD 12/01/2009	38376PK82	11/18/13					
GNMA		08/15/11	2,557 120	2,557 12	2,763 29		-206 17
SER 2009-116 CL MK 4 5% NOV 16 2038 DTD 12/01/2009	38376PK82	12/16/13					



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GNMA SER 2009-116 CL MK 4 5% NOV 16 2038 DTD 12/01/2009	38376PK82	08/15/11 01/16/14	1,880 470	1,880 47	2,032 08		-151 61
GNMA SER 2010-167 CL KW 5% SEP 20 2036 DTD 12/01/2010	38377NUW2	03/01/11 02/20/13	12,633 580	12,633 58	13,415 28		-781 70
GNMA SER 2010-167 CL KW 5% SEP 20 2036 DTD 12/01/2010	38377NUW2	03/01/11 02/26/13	223,622 871	232,567 79	237,459 55		-4,891 76
GNMA SER 2010-164 CL LE 3% OCT 20 2038 DTD 12/01/2010	38377N3P7	06/04/12 06/20/13	7,455 100	7,455 10	7,813 88		-358 78
GNMA SER 2010-164 CL LE 3% OCT 20 2038 DTD 12/01/2010	38377N3P7	06/04/12 07/22/13	8,489 080	8,489 08	8,897 62		-408 54
GNMA SER 2010-164 CL LE 3% OCT 20 2038 DTD 12/01/2010	38377N3P7	06/04/12 08/20/13	7,979 640	7,979 64	8,363 66		-384 02
GNMA SER 2010-164 CL LE 3% OCT 20 2038 DTD 12/01/2010	38377N3P7	06/04/12 09/20/13	6,455 160	6,455 16	6,765 81		-310 65
GNMA SER 2010-164 CL LE 3% OCT 20 2038 DTD 12/01/2010	38377N3P7	06/04/12 10/21/13	4,310 170	4,310 17	4,517 60		-207 43
GNMA SER 2010-164 CL LE 3% OCT 20 2038 DTD 12/01/2010	38377N3P7	06/04/12 11/20/13	6,609 720	6,609 72	6,927 81		-318 09

J.P.Morgan



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GNMA SER 2010-164 CL LE 3% OCT 20 2038 DTD 12/01/2010	38377N3P7		06/04/12 12/20/13	4,714 850	4,714 85	4,941 75		-226 90
GNMA SER 2010-164 CL LE 3% OCT 20 2038 DTD 12/01/2010	38377N3P7		06/04/12 01/21/14	4,961 510	4,961 51	5,200 28		-238 77
GNMA 4 25% 10/20/38	38376P6D7		08/12/11 02/20/13	4,971 420	4,971 42	5,330 60		-359 18
GNMA 4 25% 10/20/38	38376P6D7		08/12/11 03/20/13	5,330 870	5,330 87	5,716 03		-385 16
GNMA 4 25% 10/20/38	38376P6D7		08/12/11 04/22/13	5,225 550	5 225 55	5,603 09		-377 54
GNMA 4 25% 10/20/38	38376P6D7		08/12/11 05/20/13	6,606 600	6,606 60	7,083 93		-477 33
GNMA 4 25% 10/20/38	38376P6D7		08/12/11 06/20/13	4,843 380	4,843 38	5,193 32		-349 94
GNMA 4 25% 10/20/38	38376P6D7		08/12/11 07/22/13	4,125 930	4,125 93	4,424 03		-298 10
GNMA 4 25% 10/20/38	38376P6D7		08/12/11 08/20/13	3,985 290	3,985 29	4,273 23		-287 94
GNMA 4 25% 10/20/38	38376P6D7		08/12/11 09/20/13	3,477 780	3,477 78	3,729 05		-251 27
GNMA 4 25% 10/20/38	38376P6D7		08/12/11 10/21/13	2,642 860	2,642 86	2,833 81		-190 95
GNMA 4 25% 10/20/38	38376P6D7		08/12/11 11/20/13	2,638 270	2,638 27	2,828 89		-190 62



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Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
GNMA 4 25% 10/20/38	38376P6D7	08/12/11 12/20/13	2,189 890	2,189 89	2,348 11		-158 22
GNMA 4 25% 10/20/38	38376P6D7	08/12/11 01/21/14	2,147 830	2,147 83	2,303 01		-155 18
GNMA 4.5% 08/20/38	38376YQ46	08/12/11 02/20/13	4,688 430	4,688 43	5,031 27		-342.84
GNMA 4.5% 08/20/38	38376YQ46	08/12/11 03/20/13	4,850 210	4,850 21	5,204 88		-354 67
GNMA 4 5% 08/20/38	38376YQ46	08/12/11 04/22/13	5,318 930	5,318 93	5,707 88		-388 95
GNMA 4 5% 08/20/38	38376YQ46	08/12/11 05/20/13	6,645 110	6,645.11	7,131 03		-485 92
GNMA 4 5% 08/20/38	38376YQ46	08/12/11 06/20/13	4,588 570	4,588 57	4,924 11		-335 54
GNMA 4 5% 08/20/38	38376YQ46	08/12/11 07/22/13	3,901 670	3,901 67	4,186 98		-285 31
GNMA 4 5% 08/20/38	38376YQ46	08/12/11 08/20/13	3,515 720	3,515 72	3,772 81		-257 09
GNMA 4 5% 08/20/38	38376YQ46	08/12/11 09/20/13	3,137 470	3,137 47	3,366 90		-229 43
GNMA 4 5% 08/20/38	38376YQ46	08/12/11 10/21/13	2,119 190	2,119 19	2,274 16		-154 97
GNMA 4 5% 08/20/38	38376YQ46	08/12/11 11/20/13	2,357 200	2,357 20	2,529 57		-172 37



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Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
GNMA 4 5% 08/20/38	38376YQ46	08/12/11 12/20/13	1,834 440	1,834 44	1,968 58		-134 14
GNMA 4.5% 08/20/38	38376YQ46	08/12/11 01/21/14	2,013 020	2,013 02	2,160 22		-147 20
GNMA 4% 09/20/38	38376ETD7	Various 02/20/13	9,823 750	9,823 75	10,433 35		-609 60
GNMA 4% 09/20/38	38376ETD7	Various 02/26/13	300,712 110	319,882 50	319,372 35		510 15
HARRIS CNTY TX REF TOLL RD & SUB LIEN UNLIMITED TAX 2 09% B AUG 15 2015 DTD 11/18/2010 FED TAXABLE HELD BY DTC BOOK ENTRY	414005KQ0	10/20/10 07/09/13	250,000 000	256,455 00	250,000 00		6,455 00
JPMORGAN CHASE FRN CPI 4/18/13	48123MF72	04/03/08 04/18/13	800,000 000	800,000 00	800,000 00		00
MANITOBA (PROVINCE OF) 1 3/8% APR 28 2014 DTD 04/27/2011	563469TN5	04/19/11 02/26/13	175,000 000	177,396 89	174,861 75		2,535 14
MANITOBA (PROVINCE OF) 1 3/8% APR 28 2014 DTD 04/27/2011	563469TN5	04/19/11 11/21/13	175,000 000	175,918 58	174,861 75		1,056 83
MERCK & CO INC 2 1/4% JAN 15 2016 DTD 12/10/2010	58933YAB1	12/07/10 02/25/13	80,000 000	83,829 60	79,807 20		4,022 40
MERCK & CO INC 2 1/4% JAN 15 2016 DTD 12/10/2010	58933YAB1	12/07/10 07/02/13	40,000 000	41,374 00	39,903 60		1,470 40



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Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
NIPPON TELEGR & TELEPH 1 4% JUL 18 2017 DTD 07/18/2012	654624AE5	07/11/12 10/25/13	20,000 000	19,896 20	19,973 00		-76 80
NOTRE DAME UNIVERSITY DU LAC INDIANA 4 141% SEP 01 2013 DTD 01/29/2009	914744AA5	01/14/09 09/03/13	470,000 000	470,000 00	470,000 00		00
NOVARTIS CAPITAL CORP 2 9% APR 24 2015 DTD 03/16/2010	66989HAC2	03/09/10 02/25/13	55,000 000	57,779 15	54,737 10		3,042 05
NOVARTIS CAPITAL CORP 2 9% APR 24 2015 DTD 03/16/2010	66989HAC2	03/09/10 10/24/13	90,000 000	93,357 90	89,569 80		3,788 10
RABOBANK NEDERLAND UTREC 3 3/8% JAN 19 2017 DTD 01/19/2012	21686CAD2	Various 10/23/13	40,000 000	42,686 00	40,617 00		2,069 00
RABOBANK NEDERLAND UTREC 3 3/8% JAN 19 2017 DTD 01/19/2012	21686CAD2	01/11/12 10/31/13	50,000 000	53,228 50	49,956 50		3,272 00
ROYAL BANK OF CANADA 1 45% OCT 30 2014 DTD 10/31/2011	78008TXA7	10/25/11 02/25/13	70,000 000	71,134 00	69,973 40		1,160 60
ROYAL BANK OF CANADA 1 45% OCT 30 2014 DTD 10/31/2011	78008TXA7	10/25/11 12/10/13	130,000 000	131,342 90	129,950 60		1,392 30
STRUCTURED ASSET SECURITIES CORP SER 2003-37A CL 3A7 4 52% DEC 25 2033 DTD 11/01/2003	86359BBZ3	02/28/08 02/26/13	1,015 690	1,015 69	1,025 61		-9 92



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Description
Cusp
Stock and
other symbol

Date Acquired
Date Sold
Code*

Units

Sales
Price

Cost or
Other Basis

Adjustments to
gain or loss

Gain or Loss
Ordinary Income**

STRUCTURED ASSET SECURITIES CORP	86359BBZ3	02/28/08	120 260	120 26	121 43		-1 17
SER 2003-37A CL 3A7 4 52%		03/25/13					
DEC 25 2033 DTD 11/01/2003							
STRUCTURED ASSET SECURITIES CORP	86359BBZ3	02/28/08	673 040	673 04	679 61		-6 57
SER 2003-37A CL 3A7 4 52%		04/25/13					
DEC 25 2033 DTD 11/01/2003							
STRUCTURED ASSET SECURITIES CORP	86359BBZ3	02/28/08	705 110	705 11	711 99		-6 88
SER 2003-37A CL 3A7 4 52%		05/28/13					
DEC 25 2033 DTD 11/01/2003							
STRUCTURED ASSET SECURITIES CORP	86359BBZ3	02/28/08	822 280	822 28	830 31		-8 03
SER 2003-37A CL 3A7 4 52%		06/25/13					
DEC 25 2033 DTD 11/01/2003							
STRUCTURED ASSET SECURITIES CORP	86359BBZ3	02/28/08	2,970 590	2,970 59	2,999 59		-29 00
SER 2003-37A CL 3A7 4 52%		07/25/13					
DEC 25 2033 DTD 11/01/2003							
STRUCTURED ASSET SECURITIES CORP	86359BBZ3	02/28/08	4,012 030	4,012 03	4,051 20		-39 17
SER 2003-37A CL 3A7 4 52%		08/26/13					
DEC 25 2033 DTD 11/01/2003							
STRUCTURED ASSET SECURITIES CORP	86359BBZ3	02/28/08	2,707 140	2,707 14	2,733 57		-26 43
SER 2003-37A CL 3A7 4 52%		09/25/13					
DEC 25 2033 DTD 11/01/2003							
STRUCTURED ASSET SECURITIES CORP	86359BBZ3	02/28/08	3,902 970	3,902 97	3,941 08		-38 11
SER 2003-37A CL 3A7 4 52%		10/25/13					
DEC 25 2033 DTD 11/01/2003							
STRUCTURED ASSET SECURITIES CORP	86359BBZ3	02/28/08	424 860	424 86	429 01		-4 15
SER 2003-37A CL 3A7 4 52%		11/25/13					
DEC 25 2033 DTD 11/01/2003							



IM - WASSERMAN FND - CORE BOND
Account Number A 10639-00-2

Capital Gain and Loss Schedule

Long-Term Non Covered

* Code W indicates Wash Sale
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** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions
Stock and other symbol Cusp

Description	Cusp	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
STRUCTURED ASSET SECURITIES CORP								
SER 2003-37A CL 3A7 4.52%	86359BBZ3		02/28/08	676 300	676 30	682 90		-6 60
DEC 25 2033 DTD 11/01/2003			12/26/13					
STRUCTURED ASSET SECURITIES CORP								
SER 2003-37A CL 3A7 4.52%	86359BBZ3		02/28/08	1,000 530	1,000 53	1,010 30		-9 77
DEC 25 2033 DTD 11/01/2003			01/27/14					
TORONTO DOMINION BANK								
2 1/2% JUL 14 2016	89114QAB4		07/07/11	25,000 000	26,233 75	24,906 75		1,327 00
DTD 07/14/2011			02/25/13					
TORONTO DOMINION BANK								
2 1/2% JUL 14 2016	89114QAB4		07/07/11	20,000 000	20,804 60	19,925 40		879 20
DTD 07/14/2011			10/25/13					
TOTAL CAPITAL SA								
3% JUN 24 2015	89152UAC6		06/17/10	45,000 000	46,809 00	44,824 05		1,984 95
DTD 06/24/2010			10/25/13					
TOYOTA MOTOR CREDIT CORP								
SR NOTES 1 25% OCT 05 2017	89233P6S0		10/02/12	20,000 000	19,821 80	19,988 40		-166 60
DTD 10/05/2012			10/25/13					
US TREAS TIPS 2 5% 07/15/16								
	912828FL9		09/20/11	375,000 000	493,486 65	495,649.87		-2,163.22
			01/29/13					
US TREAS 2.625% 04/30/16			Various	75,000.000	80,109 37	80,371 49		-262 12
	912828KR0		04/24/13					
US TREAS 1 25% 09/30/15			Various	1,060,000.000	1,085,630 46	1,087,841 99		-2,211 53
	912828NZ9		02/26/13					
US TREAS 2% 01/31/16			Various	595,000 000	617,614 65	585,292 62		27,666 00
	912828PS3		07/02/13					4,656 03 O



IM - WASSERMAN FND - CORE BOND
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Capital Gain and Loss Schedule

Long-Term Non Covered

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Description	Stock and other symbol Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
US TREAS 1 5% 07/31/16	912828QX1		07/28/11 05/14/13	70,000 000	72,354 29	69,915 23		2,439 06
US TREAS 1 5% 07/31/16	912828QX1		07/28/11 07/09/13	75,000 000	76,687 50	74,909 18		1,778 32
US TREAS 1 5% 07/31/16	912828QX1		07/28/11 07/11/13	65,000 000	66,597 07	64,921 29		1,675 78
US TREAS 1 5% 07/31/16	912828QX1		07/28/11 07/15/13	115,000 000	117,807 62	114,860 74		2,946 88
US TREAS 1.5% 07/31/16	912828QX1		07/28/11 07/19/13	305,000 000	313,077 73	304,630 66		8,447 07
US TREAS 1 5% 07/31/16	912828QX1		07/28/11 08/05/13	60,000 000	61,560 93	59,927 34		1,633 59
US TREAS 1 5% 07/31/16	912828QX1		07/28/11 08/07/13	215,000 000	220,593 36	214,739 65		5,853 71
US TREAS 1.5% 07/31/16	912828QX1		07/28/11 09/17/13	575,000 000	587,263 67	574,303 72		12,959 95
US TREAS 1% 08/31/16	912828RF9		08/30/11 01/24/13	255,000 000	259,681 64	255,856 64		3,825 00
US TREAS 1% 08/31/16	912828RF9		08/30/11 02/26/13	485,000 000	494,093 75	486,629 29		7,464 46
US TREAS 1% 08/31/16	912828RF9		Various 10/30/13	500,000 000	506,171 88	501,423 05		4,748 83
US TREAS 1% 09/30/16	912828RJ1		09/30/11 02/26/13	630,000 000	641,714 07	630,959 77		10,754 30



Capital Gain and Loss Schedule

IM - WASSERMAN FND - CORE BOND
Account Number A 10639-00-2

Long-Term Non Covered

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** Ordinary Income O indicates Ordinary Income gain or loss

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Stock and
other symbol
Cusp

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
US TREAS 1% 10/31/16	912828RM4	10/31/11 02/26/13	740,000 000	753,759 38	739,508 59		14,250 79
US TREAS 0 875% 11/30/16	912828RU6	11/30/11 02/26/13	265,000 000	268,643 75	263,995 90		4,647 85
WAL-MART STORES INC	931142CR2	03/24/10 02/25/13	85,000 000	89,191 35	84,412 65		4,778 70
SR NOTES 2 7/8% APR 01 2015 DTD 04/01/2010		03/24/10 10/25/13	50,000 000	51,763 00	49,654 50		2,108 50
WAL-MART STORES INC	931142CR2	03/24/10 12/10/13	110,000 000	113,694 90	109,239 90		4,455 00
SR NOTES 2 7/8% APR 01 2015 DTD 04/01/2010		02/08/12 02/25/13	85,000 000	85,984 30	84,758 60		1,225 70
WELLS FARGO & COMPANY 1 1/4% FEB 13 2015 DTD 02/15/2012	94974BFA3	02/08/12 11/22/13	115,000 000	116,063 75	114,673 40		1,390 35
WELLS FARGO & COMPANY 1 1/4% FEB 13 2015 DTD 02/15/2012	94974BFA3	11/03/09 03/15/13	59,000 000	61,402 13	58,879 64		2,522 49
YALE UNIVERSITY MTN 2 900% 10/15/2014 DTD 11/10/2009 NON-TRADABLE POSITION	98458P9C1						



Capital Gain and Loss Schedule

IM - WASSERMAN FND - CORE BOND
Account Number A 10639-00-2

Long-Term Non Covered

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Description
YALE UNIVERSITY MTN
2 900% 10/15/2014 DTD 11/10/2009
NON-TRADABLE POSTION

Stock and
other symbol
CUSIP
98458P9C1

Date Acquired
Date Sold
11/03/09 05/31/13

Units
39,000 000

Sales
Price
40,537 19

Cost or
Other Basis
38,920 44

Adjustments to
gain or loss

Gain or Loss
Ordinary Income**
1,616 75

Total Long-Term Non Covered

14,203,795.80 14,005,483.94

187,959.15
10,352.71 O



Capital Gain and Loss Schedule

WASSERMAN FOUNDATION-NEUBERGER
Account Number A 11607-00-8

Short-Term Covered

* Code W indicates Wash Sale
L indicates a Nondeductible Loss other than a Wash Sale
** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol/ Cusip	Code*	Date Acquired/ Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
ABB LTD	ABB		Various	1,300 000	32,485 91	29,738 68		2,747 23
SPONS ADR	000375204		11/05/13					
BED BATH & BEYOND INC	BBBY		04/29/13	500 000	34,785 74	34,049 70		736 04
	075896100		06/26/13					
BOEING CO	BA		04/29/13	300 000	40,865 76	27,633 00		13,232 76
	097023105		12/17/13					
CSX CORP	CSX		Various	1,100 000	30,370 25	26,983 00		3,387.25
	126408103		12/17/13					
DECKERS OUTDOORS CORP	DECK		05/02/12	1,700 000	77,544 10	90,926 54		-13,382.44
	243537107		03/01/13					
KNOLL INC	KNL		Various	2,450 000	38,672 57	39,858 85		-1,186 28
	498904200		09/10/13					
KNOLL INC	KNL		01/11/13	550 000	8,679 39	8,664 75		14 64
	498904200		09/10/13					
NIELSEN HOLDINGS NV	NLSN		04/29/13	200 000	8,527.55	6,846 00		1,681 55
	N63218106		12/02/13					
NIELSEN HOLDINGS NV	NLSN		04/29/13	300 000	13,576.80	10,269 00		3,307.80
	N63218106		12/17/13					
OMNICOM GROUP INC	OMC		04/29/13	100 000	6,311.26	5,996 50		314 76
	581919106		05/29/13					
OMNICOM GROUP INC	OMC		04/29/13	300 000	18,637 92	17,989 50		648.42
	581919106		06/26/13					



WASSERMAN FOUNDATION-NEUBERGER
Account Number A 11607-00-8

Capital Gain and Loss Schedule

Short-Term Covered

* Code W indicates Wash Sale
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Description
SCHLUMBERGER LTD
SLB
806857108

Stock and
other symbol
CUSIP

Date Acquired
Date Sold
04/29/13
10/18/13

Code*

Units

Sales
Price

Cost or
Other Basis

Adjustments to
gain or loss

Gain or Loss
Ordinary Income**

Total Short-Term Covered

324,523.10 309,994.02 14,529.08



WASSERMAN FOUNDATION-NEUBERGER
Account Number A 11607-00-8

Capital Gain and Loss Schedule

Long-Term Covered

* Code W indicates Wash Sale
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** Ordinary Income O indicates Ordinary Income gain or loss
F indicates Foreign Exchange gain or loss on Capital transactions

Description	Stock and other symbol Cusip	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
DECKERS OUTDOORS CORP	DECK 243537107	Various 07/19/13	900 000	51,585 21	47,691 42		3,893 79
HANESBRANDS INC	HBI 410345102	12/07/11 03/27/13	450 000	19,896 71	10,352 07		9,544 64
HANESBRANDS INC	HBI 410345102	12/07/11 04/10/13	750 000	36,762 52	17,253 45		19,509 07
HCA HOLDINGS INC	HCA 40412C101	03/10/11 03/27/13	300 000	12,144 44	9,399 18		2,745 26
HCA HOLDINGS INC	HCA 40412C101	03/10/11 04/29/13	350 000	14,292 31	10,965 71		3,326 60
MATTEL INC	MAT 577081102	03/30/11 03/27/13	250 000	10,851 20	6,147 07		4,704 13
MATTEL INC	MAT 577081102	03/30/11 04/17/13	150 000	6,727 99	3,688 25		3,039 74
MCDERMOTT INTERNATIONAL INC	MDR 580037109	Various 05/09/13	7,700 000	72,227 46	86,811 00		-14,583 54
NIELSEN HOLDINGS NV	NLSN N63218106	02/28/12 12/17/13	25 000	1,131 40	726 55		404 85
RAYTHEON CO	RTN 755111507	12/27/11 01/11/13	900 000	52,246 88	43,723 53		8,523 35
SEALED AIR CORP	SEE 81211K100	06/08/11 03/27/13	700 000	16,810 61	16,098 74		711 87



Capital Gain and Loss Schedule

WASSERMAN FOUNDATION-NEUBERGER
Account Number A 11607-00-8

* Code W indicates Wash Sale

L indicates a Nondeductible Loss other than a Wash Sale

** Ordinary Income O indicates Ordinary Income gain or loss

F indicates Foreign Exchange gain or loss on Capital transactions

Stock and
other symbol
CUSIP

Long-Term Covered

Description	SEE 81211K100	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
SEALED AIR CORP			06/08/11 08/02/13	1,000 000	30,046 77	22,998 20		7,048 57
Total Long-Term Covered					324,723.50	275,855.17		48,868.33



WASSERMAN FOUNDATION-NEUBERGER
Account Number A 11607-00-8

Capital Gain and Loss Schedule

Long-Term Non Covered

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** Ordinary Income O indicates Ordinary Income gain or loss
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Stock and other symbol Cusp

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
CONAGRA FOODS INC	CAG	12/09/10	100 000	3,565 07	2,224 06		1,341 01
	205887102	03/28/13					
DARDEN RESTAURANTS INC	DRI	09/16/09	150 000	7,352 12	5,465 70		1,886 42
	237194105	09/16/13					
DARDEN RESTAURANTS INC	DRI	09/16/09	1,250 000	57,801 12	45,547 50		12,253 62
	237194105	09/24/13					
EBAY INC	EBAY	03/15/10	1,300 000	71,278 44	33,746 70		37,531 74
	278642103	01/24/13					
ECOLAB INC	ECL	03/04/09	500 000	36,658 87	15,457 10		21,201 77
	278865100	01/24/13					
NEWS CORP INC	65249B109	03/30/09	775 000	11,620 61	2,310 44		9,310 17
		07/16/13					
PFIZER INC	PFE	04/08/10	500 000	13,874 93	8,554 20		5,320 73
	717081103	01/29/13					
THERMO FISHER SCIENTIFIC INC	TMO	Various	425 000	30,130 38	15,054 14		15,076 24
	883556102	01/30/13					
THERMO FISHER SCIENTIFIC INC	TMO	05/01/09	575 000	51,047 67	20,162 61		30,885 06
	883556102	07/19/13					
TWENTY FIRST CENTY FOX INC		03/30/09	3,100 000	105,677 46	17,868 08		87,809 38
CL A	90130A101	10/17/13					
Total Long-Term Non Covered				389,006.67	166,390.53		222,616.14



WASSERMAN FOUNDATION - HY
Account Number A 35566-00-8

Capital Gain and Loss Schedule

Short-Term Non Covered

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Stock and other symbol Cusp

Description	Cusp	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
NATIONSTAR MORT/CAP CORP 6 500% 07/01/2021 DTD 02/07/2013	63860UAK6		Various 10/10/13	14,000 000	13,370 00	13,675 00		-305 00
SUNGARD DATA SYSTEMS INC SR SUB 7 5/8% NOV 15 2020 DTD 11/16/2010	867363AU7		07/18/12 04/04/13	4,000 000	4,340 00	4,300 00		40 00
CALLABLE 11/15/2015 @ 103 81 SUNGARD DATA SYSTEMS INC SR SUB 7 5/8% NOV 15 2020 DTD 11/16/2010	867363AU7		07/18/12 04/05/13	4,000 000	4,340 00	4,300 00		40 00
CALLABLE 11/15/2015 @ 103 81 SUNGARD DATA SYSTEMS INC SR SUB 7 5/8% NOV 15 2020 DTD 11/16/2010	867363AU7		07/18/12 04/08/13	7,000 000	7,595 00	7,525 00		70 00
CALLABLE 11/15/2015 @ 103 81								
Total Short-Term Non Covered					29,645.00	29,800.00		-155.00



WASSERMAN FOUNDATION - HY
Account Number A 35566-00-8

Capital Gain and Loss Schedule

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Stock and other symbol Cusp

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
AVIS BUDGET CAR RENTAL		Various	15,000.000	16,912 50	16,415 00		497.50
NON-TRADEABLE ASSET	05399AHT5	04/03/13					
CORP ACTIONS CONTRA							
9 625% 03/15/2018 DTD 08/12/2010							
BOISE PAPER HDG CO ISSR	09747GAB9	Various	25,000 000	28,442 50	27,142 50		1,300 00
8% APR 01 2020		11/25/13					
DTD 03/19/2010							
CAPITAL ONE CAPITAL IV		Various	50,000 000	50,000 00	50,462 50		-462 50
6 745% FEB 17 2037	140422AA4	01/02/13					
DTD 02/05/2007							
CINEMARK USA INC		05/14/12	10,000 000	11,203 50	11,205 00		-1 50
8 5/8% JUN 15 2019	172441AS6	06/24/13					
DTD 12/15/2009							
CITYCENTER HLDGS/FINANCE		07/23/12	15,000 000	15,749.55	15,825 00		-75.45
7 5/8% JAN 15 2016	178760AB0	11/14/13					
DTD 07/15/2011							
CKE RESTAURANTS INC		Various	21,000 000	24,727 50	23,301 60		1,425 90
NON-TRADEABLE ASSET	12599BMK0	04/02/13					
CORP ACTIONS CONTRA							
11 3/8% 7/15/2018 DTD 7/12/2010							
CRICKET COMMUNICATIONS INC		Various	35,000 000	36,356 25	37,250 00		-893 75
7 3/4% MAY 15 2016	226566AK3	05/15/13					
DTD 11/15/2009							
DUPONT FABROS TECH LP		03/24/11	10,000 000	10,600 40	11,037 50		-437 10
NON-TRADEABLE ASSET	26699ACL0	09/24/13					
CORP ACTIONS CONTRA							
8 500% 12/15/2017 DTD 06/15/2010							



Capital Gain and Loss Schedule

WASSERMAN FOUNDATION - HY
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Stock and other symbol Cusp

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
FORD MOTOR COMPANY GLOBAL LANDMARK SECURITIES NOTES 7 45% JUL 16 2031 DTD 7/16/99	345370CA6	07/05/11 06/04/13	5,000 000	6,233 75	5,737 50		496 25
FORD MOTOR COMPANY GLOBAL LANDMARK SECURITIES NOTES 7 45% JUL 16 2031 DTD 7/16/99	345370CA6	07/05/11 06/04/13	5,000 000	6,254 10	5,737 50		516 60
FORD MOTOR COMPANY GLOBAL LANDMARK SECURITIES NOTES 7 45% JUL 16 2031 DTD 7/16/99	345370CA6	07/05/11 06/05/13	4,000 000	4,904 40	4,590 00		314 40
FORD MOTOR COMPANY GLOBAL LANDMARK SECURITIES NOTES 7 45% JUL 16 2031 DTD 7/16/99	345370CA6	Various 06/05/13	5,000,000	6,151 50	6,037 50		114 00
FORD MOTOR COMPANY GLOBAL LANDMARK SECURITIES NOTES 7 45% JUL 16 2031 DTD 7/16/99	345370CA6	04/05/12 06/06/13	5,000 000	6,152.90	6,107 50		45 40
FORD MOTOR COMPANY GLOBAL LANDMARK SECURITIES NOTES 7 45% JUL 16 2031 DTD 7/16/99	345370CA6	04/05/12 06/06/13	6,000,000	7,440.00	7,320.00		120.00
HANESBRANDS INC 8% DEC 15/2016 DTD 12/10/2009	410345AF9	Various 12/16/13	12,000 000	12,480 00	13,026 00		-546 00



Capital Gain and Loss Schedule

WASSERMAN FOUNDATION - HY

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Stock and other symbol Cusp

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
HOST HOTELS & RESORTS LP 6 3/4% JUN/01 2016 DTD 04/04/2006	44108EBA5	Various 02/22/13	16,000 000	16,400 00	16,600 00		-200 00
INGLES MARKETS INC NON-TRADEABLE ASSET CORP ACTIONS CONTRA 8 875% 05/15/2017 DTD 07/07/2009	45799AJQ1	07/06/11 06/12/13	25,000 000	26,234 50	26,937 50		-703 00
IRON MOUNTAIN INC NON-TRADEABLE ASSET CORP ACTIONS CONTRA 8 3/8% AUG 15 2021 DTD 08/10/2009	46299ALF5	Various 08/23/13	16,000 000	17,560 00	17,410 00		150 00
L-3 COMMUNICATIONS CORP 3 95% NOV 15 2016 DTD 11/22/2011	502413BB2	12/01/11 09/04/13	10,000 000	10,575 00	10,140 00		435 00
LEAR CORP 8 1/8% MAR 15 2020 DTD 03/26/2010	521865AS4	Various 03/26/13	3,000 000	3,090 00	3,291 60		-201 60
PINNACLE ENTERTAINMENT 8 5/8% AUG 01 2017 DTD 02/01/2010	723456AK5	Various 08/19/13	25,000 000	26,078 25	27,225 00		-1,146 75
R R DONNELLEY & SONS NON-TRADEABLE ASSET CORP ACTIONS CONTRA 6 125% 01/15/2017 DTD 01/08/2007	25799AFA2	Various 03/14/13	17,000 000	18,105 00	15,307 50		2,315 64 481 86 O



Capital Gain and Loss Schedule

WASSERMAN FOUNDATION - HY
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F indicates Foreign Exchange gain or loss on Capital transactions

Stock and
other symbol
Cusip

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
RITE AID CORP	76799ACJ9	Various 06/24/13	50,000 000	51,475 00	50,125 00		1,350.00
NON-TRADEABLE ASSET							
CORP ACTIONS CONTRA							
7 500% 03/01/2017 DTD 02/15/2007							
RR DONNELLEY & SONS CO							
NON-TRADEABLE ASSET	25799AFJ3	08/30/11 08/28/13	2,000 000	2,230 00	1,845 00		339 01 45 99 O
CORP ACTIONS CONTRA							
7.250% 05/15/2018 DTD 06/01/2011							
SEAGATE TECHNOLOGY HDD H							
NON - TRADEABLE ASSET	81199ACD8	Various 06/14/13	21,000 000	24,412 50	22,060 00		2,352 50
CORP ACTIONS CONTRA							
SR NOTES 6 80% OCT 1 2016 DTD 9/20/2006							
SUPERVALU INC							
8% MAY 01/2016	868536AT0	07/08/11 01/10/13	1,000 000	998 75	1,037 50		-38 75
DTD 05/07/2009							
SUPERVALU INC							
8% MAY 01/2016	868536AT0	07/08/11 01/10/13	1,000 000	1,000 00	1,037 50		-37 50
DTD 05/07/2009							
SUPERVALU INC							
8% MAY 01/2016	868536AT0	07/08/11 01/23/13	1,000 000	998.75	1,037 50		-38 75
DTD 05/07/2009							
SUPERVALU INC							
8% MAY 01/2016	868536AT0	07/08/11 01/24/13	1,000 000	998 75	1,037 50		-38 75
DTD 05/07/2009							



WASSERMAN FOUNDATION - HY
Account Number A 35566-00-8

Capital Gain and Loss Schedule

Long-Term Non Covered

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F indicates Foreign Exchange gain or loss on Capital transactions
Stock and
other symbol
Cusip

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
SUPERVALU INC	868536AT0	07/08/11	1,000 000	998 75	1,037 50		-38 75
8% MAY 01 2016		01/25/13					
DTD 05/07/2009							
SUPERVALU INC	868536AT0	07/08/11	1,000 000	998 75	1,037 50		-38 75
8% MAY 01 2016		01/28/13					
DTD 05/07/2009							
SUPERVALU INC	868536AT0	07/08/11	2,000 000	1,997.50	2,075 00		-77 50
8% MAY 01 2016		01/28/13					
DTD 05/07/2009							
SUPERVALU INC	868536AT0	07/08/11	4,000 000	4,000 00	4,150 00		-150 00
8% MAY 01 2016		01/30/13					
DTD 05/07/2009							
SUPERVALU INC	868536AT0	07/08/11	1,000 000	998 75	1,037 50		-38 75
8% MAY 01 2016		01/30/13					
DTD 05/07/2009							
SUPERVALU INC	868536AT0	07/08/11	1,000 000	998 75	1,037 50		-38 75
8% MAY 01 2016		01/30/13					
DTD 05/07/2009							
SUPERVALU INC	868536AT0	07/08/11	1,000 000	998 75	1,037 50		-38 75
8% MAY 01 2016		01/31/13					
DTD 05/07/2009							
US STEEL CORP		Various	4,000 000	4,260 00	4,188 75		71 25
SR NOTES 7 3/8% APR 01 2020	912909AF5	05/06/13					
DTD 03/19/2010							
US STEEL CORP		06/07/11	3,000 000	3,195 00	3,143 75		51 25
SR NOTES 7 3/8% APR 01 2020	912909AF5	05/01/13					
DTD 03/19/2010							



Capital Gain and Loss Schedule
WASSERMAN FOUNDATION - HY
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Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
US STEEL CORP		07/07/11	1,000 000	1,070 00	1,043 75		26 25
SR NOTES 7 3/8% APR 01 2020	912909AF5	05/07/13					
DTD 03/19/2010							
US STEEL CORP		07/07/11	1,000 000	1,071 25	1,043 75		27 50
SR NOTES 7 3/8% APR 01 2020	912909AF5	05/08/13					
DTD 03/19/2010							
US STEEL CORP		07/07/11	1,000 000	1,070 00	1,043 75		26 25
SR NOTES 7 3/8% APR 01 2020	912909AF5	05/08/13					
DTD 03/19/2010							
US STEEL CORP		07/07/11	3,000 000	3,213 75	3,131 25		82 50
SR NOTES 7 3/8% APR 01 2020	912909AF5	05/07/13					
DTD 03/19/2010							
US STEEL CORP		07/07/11	2,000 000	2,136.25	2,087 50		48 75
SR NOTES 7 3/8% APR 01 2020	912909AF5	05/10/13					
DTD 03/19/2010							
US STEEL CORP		07/07/11	2,000 000	2,140 00	2,087 50		52 50
SR NOTES 7 3/8% APR 01 2020	912909AF5	05/13/13					
DTD 03/19/2010							
US STEEL CORP		07/07/11	2,000 000	2,095 00	2,087.50		7 50
SR NOTES 7 3/8% APR 01 2020	912909AF5	05/21/13					
DTD 03/19/2010							
US STEEL CORP		07/07/11	1,000 000	1,043 13	1,043 75		- 62
SR NOTES 7 3/8% APR 01 2020	912909AF5	05/23/13					
DTD 03/19/2010							
VALASSIS COMMUNICATION		09/09/11	3,000 000	3,191 25	2,895 00		277 97
6 5/8% FEB 01 2021	918866AU8	04/29/13					18 28 O
DTD 01/28/2011							



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Capital Gain and Loss Schedule

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Description	Cusp	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
VALASSIS COMMUNICATION 6 5/8% FEB 01 2021 DTD 01/28/2011	918866AU8		09/09/11 04/29/13	1,000 000	1,060 00	965 00		88 91 6 09 O
VALASSIS COMMUNICATION 6 5/8% FEB 01 2021 DTD 01/28/2011	918866AU8		09/09/11 04/30/13	2,000 000	2,125 00	1,930.00		182 79 12 21 O
VALASSIS COMMUNICATION 6 5/8% FEB 01 2021 DTD 01/28/2011	918866AU8		Various 05/06/13	4,000 000	4,255 00	3,850 00		378 59 26 41 O
VALASSIS COMMUNICATION 6 5/8% FEB 01 2021 DTD 01/28/2011	918866AU8		Various 10/30/13	15,000 000	14,962 50	15,476 25		-513 75
Total Long-Term Non Covered					501,644.73	493,716.70		7,337.19 590.84 O



Capital Gain and Loss Schedule

WASSERMAN FOUNDATION - SD HY
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Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
ADT CORP		11/18/13	5,000,000	4,852 50	4,836 50		15 00
2 250% 07/15/2017 DTD 01/15/2013	00101JAE6	11/25/13					1.00 O
AES CORPORATION		11/26/12	3,000 000	3,532 23	3,405 00		127 23
8% OCT 15 2017	00130HBBH7	11/25/13					
DTD 10/15/2007							
AES CORPORATION		12/07/12	15,000,000	17,782 50	18,000 00		-217 50
9 3/4% APR 15 2016	00130HBBQ7	11/25/13					
DTD 04/02/2009							
AIRCASTLE LTD		Various	15,000 000	16,651 50	16,643 75		7 75
6 75% APR 15 2017	00928QAF8	11/25/13					
DTD 06/06/2012							
ALLBRITTON COMMUNICATION		06/17/13	10,000,000	10,688 00	10,687 50		.50
8% MAY 15 2018	016745AL5	11/25/13					
DTD 04/30/2010							
ALLY FINANCIAL INC		02/11/13	10,000 000	11,200 00	11,150 00		50 00
6 1/4% DEC 01 2017	02005NAD2	11/25/13					
DTD 06/01/2011							
ARCELORMITTAL		04/16/13	5,000 000	6,325 00	6,293 75		31 25
9 85% JUN 01 2019	03938LAM6	11/25/13					
DTD 05/20/2009							
ARCELORMITTAL		11/26/12	5,000 000	5,302 55	5,032 50		270 05
4 1/2% FEB 25 2017	03938LAW4	11/25/13					
DTD 02/28/2012							
ARCH COAL INC		06/18/13	10,000 000	9,975 00	10,175 00		-200 00
8 3/4% AUG 01 2016	039380AB6	08/01/13					
DTD 08/01/2010							



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Capital Gain and Loss Schedule

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Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
AUDATEX NORTH AMERICA IN		07/15/13	15,000 000	16,030 26	16,050 00		-19 74
6 750% 06/15/2018 DTD 06/14/2011	05070GAD0	11/18/13					
AVIS BUDEGET CAR RENTAL		Various	15,000 000	16,359 75	16,650 00		-290 25
8 1/4% JAN 15 2019	053773AN7	11/25/13					
DTD 01/15/2011							
AVIS BUDGET CAR RENTAL		Various	15,000 000	16,912 50	16,857 50		55 00
NON-TRADEABLE ASSET	05399AHT5	04/03/13					
CORP ACTIONS CONTRA							
9 625% 03/15/2018 DTD 08/12/2010		Various	10,000 000	11,175 00	11,271 25		-96.25
BURGER KING CORP	121207AA2	11/25/13					
9 7/8% OCT 15 2018							
DTD 10/19/2010							
CABLEVISION SYSTEMS CORP		11/18/13	7,000 000	7,857 50	7,873 46		-15 96
SR NOTES 7 3/4% APR 15 2018	12686CAZ2	11/25/13					
DTD 04/15/2010							
CASE NEW HOLLAND INC		Various	5,000,000	5,912.50	5,902 50		10 00
7 7/8 % DEC 01 2017	147446AR9	11/25/13					
DTD 06/01/2011							
CB UNITED RENTALS NORT		05/16/13	5,000 000	5,353 45	5,450 00		-96 55
5.75% 07/15/2018 DTD 01/09/2013	911365AY0	11/25/13					
CCO HLDGS LLC CAP CORP		05/28/13	5,000 000	5,465.55	5,656 25		-190.70
8 1/8% APR 30 2020	1248EPAP8	11/25/13					
DTD 10/30/2010							
CCO HLDGS LLC CAP CORP		12/12/12	5,000 000	5,301.05	5,475 00		-173 95
7 1/4% OCT 30 2017	1248EPAQ6	11/25/13					
DTD 09/27/2010							



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Description	Code *	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
CDW LLC/CDW FINANCE		06/19/13	5,000 000	5,565 00	5,462 50		102 50
8 1/2% APR 01 2019	12513GAX7	11/25/13					
DTD 10/01/2011							
CELANESE US HOLDINGS LLC		03/22/13	15,000 000	16,147.50	16,275 00		-127 50
6 5/8% OCT 15 2018	15089QAB0	11/25/13					
DTD 09/24/2010							
CITYCENTER HLDGS/FINANCE		10/21/13	12,000 000	12,599.64	12,900 00		-300 36
7 5/8% JAN 15 2016	178760AB0	11/14/13					
DTD 07/15/2011							
CLEARWATER PAPER CORP		Various	10,000 000	10,785 00	10,837 50		-52 50
7 1/8% NOV 01 2018	18538RAE3	11/25/13					
DTD 05/01/2011							
COMMERCIAL METALS CO		Various	10,000 000	11,107.00	10,965 00		142 00
6 1/2% JUL 15 2017	201723AH6	11/25/13					
DTD 07/17/2007							
CONTINENTAL AIRLINES INC		07/19/12	297 860	297 86	300.84		-2 98
7 7/8% JUL 02 2018	210795PL8	07/02/13					
DTD 12/10/2003							
D R HORTON INC		05/16/13	1,000 000	1,105 00	1,120 00		-15 00
6 1/2% APR 15 2016	23331AAZ2	11/25/13					
DTD 04/17/2006							
DELPHI CORP		Various	5,000 000	5,305 25	5,432 50		-127 25
5 875% 05/15/2019 DTD 11/15/2011	24713EAC1	11/25/13					
DINEEQUITY INC		01/03/13	5,000 000	5,558 00	5,737 50		-179 50
9 1/2% OCT 30 2018	254423AB2	11/25/13					
DTD 04/30/2011							



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DISH DBS CORP 4 625% JUL 15 2017 DTD 10/09/2012	25470XAH8	Various 11/25/13	23,000 000	23,948 75	24,058 75		-110 00
E-TRADE FINANCIAL CORP 6 000% 11/15/2017 DTD 11/14/2012	269246BJ2	05/13/13 11/25/13	5,000 000	5,330 00	5,312 50		17 50
ENDO PHARMACEUT HLDGS IN 7% JUL 15 2019 DTD 06/08/2011	29264FAG1	06/03/13 11/25/13	5,000 000	5,401 55	5,381 25		20 30
EQUINIX INC SR NOTES 4 875% 04/01/2020 DTD 03/05/2013	29444UAL0	06/17/13 11/25/13	5,000 000	4,969 05	5,074 00		-104 95
FOREST OIL CORPORATION 7 1/4% JUN 15 2019 DTD 12/15/2007	346091AZ4	05/13/13 09/27/13	3,000 000	3,011 25	3,045 00		-33 75
FOREST OIL CORPORATION 7 1/4% JUN 15 2019 DTD 12/15/2007	346091AZ4	05/13/13 09/26/13	7,000 000	7,035 00	7,105 00		-70 00
FRONTIER COMMUNICATIONS 8 1/4% APR 15 2017 DTD 04/12/2010	35906AAF5	Various 11/25/13	15,000 000	17,381 40	17,490 00		-108 60
GCB INTELSAT JACKSON HLDG 7 1/4% APR 01 2019 DTD 10/01/2011	45824TAE5	Various 11/25/13	12,000 000	12,962 40	13,102 00		-139 60
GENERAL MOTORS FINL CO 6 750% 06/01/2018 DTD 12/01/2011	37045XAB2	01/07/13 11/25/13	5,000 000	5,675 00	5,775 00		-100 00
GREIF INC 6 3/4% FEB 01 2017 DTD 02/09/2007	397624AE7	Various 11/25/13	10,000 000	11,105 00	11,245 00		-140 00



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GRIFON CORPORATION							
7 1/8% APR 01 2018	398433AF9	12/11/12	5,000 000	5,364 50	5,337 50		27 00
DTD 03/17/2011		11/25/13					
HCA INC							
8% OCT 01 2018	404119BL2	08/07/13	2,000 000	2,360 48	2,280 00		80 48
DTD 10/03/2011		11/25/13					
HERTZ CORP							
6 3/4% APR 15 2019	428040CJ6	07/15/13	10,000 000	10,775 00	10,770 00		5 00
DTD 04/15/11		11/25/13					
HOSPIRA INC							
6.05% MAR 30 2017	441060AJ9	09/17/13	10,000 000	11,048 30	10,912 80		135 50
DTD 03/23/2007		11/25/13					
INTL LEASE FINANCE CORP							
8 75% MAR 15 2017	459745GG4	04/11/13	20,000 000	23,530 00	23,650 00		-120 00
DTD 03/15/2011 STEP CPN		11/25/13					
ISLE OF CAPRI CASINOS							
7 3/4% MAR 15 2019	464592AL8	Various	8,000 000	8,588 40	8,617 50		-29 10
DTD 03/07/2011		11/25/13					
LEAR CORP							
7 7/8% MAR 15 2018	521865AR6	01/07/13	1,000 000	1,030 00	1,095 00		-65 00
DTD 03/26/2010		03/26/13					
LEAR CORP							
7 7/8% MAR 15 2018	521865AR6	01/07/13	4,000 000	4,230 00	4,380 00		-150 00
DTD 03/26/2010		11/25/13					
LIMITED BRANDS INC							
6 9% JUL 15 2017	532716AM9	08/07/13	5,000 000	5,750 50	5,662 50		88 00
DTD 07/17/2007		11/25/13					



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LIMITED BRANDS INC 8 1/2% JUN 15 2019 DTD 12/15/2009	532716AR8	06/03/13 11/25/13	5,000 000	6,022 50	6,137 50		-115 00
METROPOLIS WIRELESS INC SR NOTES 7 7/8% SEP 01 2018 DTD 09/21/2010	591709AK6	10/07/13 11/25/13	9,000 000	9,708 75	9,776 25		-67 50
MGM MIRAGE INC 7 5/8% JAN 15 2017 DTD 12/21/2006	552953BB6	05/13/13 11/25/13	4,000 000	4,545 00	4,610 00		-65.00
MGM RESORTS INTL 11 3/4% MAR 01 2018 DTD 09/22/2009	55303QAA8	01/03/13 11/25/13	10,000 000	12,800 00	12,262 50		537 50
NIELSEN FINANCE LLC/CO 7 3/4% OCT 15 2018 DTD 04/15/2011	65409QAY8	08/13/13 11/25/13	5,000 000	5,441 50	5,468.75		-27 25
NORANDA ALUMINIUM ACQUIS FLOATING RATE NOTE MAY 15 2015 DTD 05/15/2008	65543AAB0	09/19/12 01/15/13	5,000 000	4,725 00	4,787 50		-62 50
NRG ENERGY INC 7 5/8% JAN 15 2018 DTD 07/15/2011	629377BN1	07/29/13 11/25/13	10,000 000	11,377 10	11,244 00		133 10
PIONEER DRILLING COMPANY 9 7/8% MAR 15 2018 DTD 09/15/2010	723655AB2	Various 11/25/13	8,000.000	8,565.60	8,680.00		-114 40
PIONEER NATURAL RESOURCE 6 7/8% MAY 01 2018 DTD 05/01/2006	723787AF4	12/14/12 11/25/13	10,000 000	11,869 50	12,250 50		-381 00



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Description
R R DONNELLY & SONS COMPANY
NOTES 4 95% APR 1 2014
DTD 3/10/2004
REGAL CINEMAS CORP
8 5/8% JUL 15 2019
DTD 07/15/2009
REGENCY ENERGY PARTNERS
9 3/8% JUN 01 2016
DTD 05/20/2009
RESOLUTE FOREST PRODUCTS
NON-TRADEABLE ASSET
CORP ACTIONS CONTRA
10 250% 10/15/2018 DTD 10/04/2010
ROSETTA RESOURCES INC
9 1/2% APR 15 2018
DTD 04/15/2010
ROYAL BANK OF SCOTLAND GROUP PLC
SUB NOTES 5% OCT 1 2014
DTD 10/2/2002
ROYAL BANK OF SCOT GRP PLC
SUB NOTES 4 70% JUL 03 2018
DTD 7/03/2003
RRI ENERGY INC
7 7/8% JUN 15 2017
DTD 06/13/2007

Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
257867AM3	01/10/13 11/25/13	5,000 000	5,058 75	5,137 50		-78.75
758753AD9	10/23/13 11/25/13	10,000 000	10,766 00	10,850 00		-84 00
75886AAD0	06/19/12 06/03/13	5,000 000	5,234 40	5,537 50		-303 10
00399AFX9	Various 05/08/13	27,000 000	31,507 38	30,970 00		537 38
777779AC3	Various 11/25/13	15,000 000	16,102 50	16,400 00		-297 50
780097AL5	07/20/12 04/16/13	10,000.000	10,340 00	10,075.00		265 00
780097AM3	04/16/13 11/25/13	20,000 000	20,429 80	20,200 00		229 80
74971XAC1	10/08/13 11/25/13	5,000 000	5,531 50	5,462.50		69 00



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SEAGATE HDD CAYMAN 6 7/8% MAY 01 2020 DTD 05/01/2011	81180WAD3	11/18/13 11/25/13	8,000 000	8,704 00	8,779 20		-75 20
SERVICE CORP INTL 7 5/8% OCT 01 2018 DTD 10/03/2006	817565BM5	09/17/13 11/25/13	2,000 000	2,302 62	2,299.38		3.24
SPECTRUM BRANDS INC 144A 6.750% 03/15/2020 DTD 03/20/2012	84762LAL9	07/25/13 11/25/13	5,000 000	5,384 50	5,381.25		3 25
SPRINT NEXTEL CORP 9.125% 03/01/2017 DTD 03/01/2012	852061AP5	Various 11/25/13	20,000 000	23,562 60	23,725 00		-162 40
SPX CORP 6 7/8% SEP 01 2017 DTD 08/16/2010	784635AP9	09/09/13 11/25/13	5,000 000	5,615 60	5,555 00		60 60
STANDARD PACIFIC CORP 10 3/4% SEP 15 2016 DTD 09/17/2009	85375CAW1	02/27/13 11/25/13	5,000 000	6,043 80	6,250.00		-206 20
STANDARD PACIFIC CORP 8 3/8% MAY 15 2018 DTD 05/03/2010	85375CAX9	05/15/13 11/25/13	5,000 000	5,737 50	5,962 50		-225 00
SWIFT ENERGY CO SR NOTES 7 1/8% JUN 01 2017 DTD 06/01/2007	870738AF8	02/27/13 11/25/13	3,000.000	3,078.00	3,090.00		-12.00
THERMADYNE HOLDINGS CORP 9% DEC 15/2017 DTD 06/15/2011	883435AJ8	08/21/13 12/12/13	375 000	386 25	406.41		-20 16



Capital Gain and Loss Schedule

WASSERMAN FOUNDATION - SD HY

Account Number A 52504-00-7

Short-Term Non Covered

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Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
THERMADYNE HOLDINGS CORP 9% DEC 15 2017 DTD 06/15/2011	883435AJ8	08/21/13 12/17/13	2,625 000	2,796 94	2,844 84		-47 90
VANGUARD HEALTH HDG LLC/INC 8% FEB 01 2018 DTD 01/29/2010	92203PAE6	05/13/13 10/31/13	5,000 000	5,291.95	5,362 50		-70 55
VULCAN MATERIALS SR NOTES 7% JUN 15 2018 DTD 06/20/2008	929160AK5	11/18/13 11/25/13	3,000 000	3,393 36	3,412.50		-19.14
WINDSTREAM CORP 7 7/8% NOV 01 2017 DTD 10/08/2009	97381WAJ3	12/07/12 11/25/13	10,000 000	11,450.00	11,250 00		200 00

Total Short-Term Non Covered

678,416.07

680,902.68

-2,487.61

1.00 O



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Capital Gain and Loss Schedule

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ALLIANCE ONE INTL INC 10% JUL 15/2016 DTD 07/15/2010	018772AM5	11/18/11 08/02/13	15,000 000	15,750 00	13,533.71		1,817 13 399 16 O
ALLY FINANCIAL INC 5 1/2% FEB 15 2017 DTD 02/14/2012	02005NAL4	08/22/12 11/25/13	20,000 000	21,652 00	20,800 00		852 00
ALPHA NATURAL RESOURCES 6 00% JUN 01 2019 DTD 06/01/2011	02076XAB8	08/09/12 10/15/13	5,000 000	4,200 00	4,500 00		-300 00
AMER CASINO & ENTERTAIN NON-TRADEABLE ASSET CORP ACTIONS CONTRA 11 00% 06/15/2014 DTD 12/15/2009	02599AGQ7	05/10/12 07/03/13	5,000 000	5,025 00	5,300 00		-275 00
ARCELORMITTAL 4 1/2% FEB 25 2017 DTD 02/28/2012	03938LAW4	Various 11/25/13	15,000 000	15,907 65	14,743 75		1,094 07 69 83 O
BALL CORP NON-TRADEABLE ASSET CORP ACTIONS CONTRA 7.125% 09/01/2016 DTD 08/11/2009	05899ABA7	11/16/11 05/23/13	10,000 000	10,532 20	10,875 00		-342 80
BANK OF AMERICA CORP 3 3/4% JUL 12 2016 DTD 07/12/2011	06051GEK1	Various 11/25/13	15,000 000	15,962 10	14,422 80		1,207 10 332 20 O
BELO CORP SR NOTES 8% NOV 15 2016 DTD 11/16/2009	080555AJ4	11/15/11 11/25/13	15,000 000	15,637 50	16,350.00		-712 50



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Description
BERRY PLASTICS CORP
8 1/4% NOV 15 2015
DTD 11/12/2009
BILL BARRETT CORP
9 7/8% JUL 15 2016
DTD 07/08/2009
BOISE PAPER HDG FINANCE
9% NOV 01 2017
DTD 05/01/2010
CASE NEW HOLLAND INC
7 7/8 % DEC 01 2017
DTD 06/01/2011
CCO HLDGS LLC CAP CORP
7 1/4% OCT 30 2017
DTD 09/27/2010
CIT GROUP INC
SR NOTES 5% MAY 15 2017
DTD 05/04/2012
CITIGROUP INC
SR NOTES 6 1/2% AUG 19 2013
DTD 08/19/2008
CONSOL ENERGY INC
8% APR 01 2017
DTD 10/01/2010
CONSTELLATION BRANDS INC
SR NOTES 7 1/4% SEP 01 2016
DTD 08/15/2006

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
BERRY PLASTICS CORP 8 1/4% NOV 15 2015 DTD 11/12/2009	085790AQ6	11/16/11 03/11/13	15,000 000	15,618 75	15,900 00		-281 25
BILL BARRETT CORP 9 7/8% JUL 15 2016 DTD 07/08/2009	06846NAB0	04/10/12 07/15/13	10,000 000	10,493 80	11,025 00		-531.20
BOISE PAPER HDG FINANCE 9% NOV 01 2017 DTD 05/01/2010	09747FAC9	06/20/12 11/01/13	5,000 000	5,225 00	5,550 00		-325.00
CASE NEW HOLLAND INC 7 7/8 % DEC 01 2017 DTD 06/01/2011	147446AR9	11/29/11 11/25/13	10,000 000	11,825 00	10,900 00		925 00
CCO HLDGS LLC CAP CORP 7 1/4% OCT 30 2017 DTD 09/27/2010	1248EPAQ6	10/16/12 11/25/13	10,000 000	10,602 10	10,975 00		-372 90
CIT GROUP INC SR NOTES 5% MAY 15 2017 DTD 05/04/2012	125581GM4	09/05/12 11/25/13	10,000 000	10,715 00	10,450 00		265 00
CITIGROUP INC SR NOTES 6 1/2% AUG 19 2013 DTD 08/19/2008	172967EU1	11/30/11 04/16/13	7,000.000	7,137.76	7,293 23		-155 47
CONSOL ENERGY INC 8% APR 01 2017 DTD 10/01/2010	20854PAD1	11/23/11 11/25/13	15,000 000	15,919 95	16,050 00		-130 05
CONSTELLATION BRANDS INC SR NOTES 7 1/4% SEP 01 2016 DTD 08/15/2006	21036PAD0	11/15/11 11/25/13	10,000 000	11,429 00	11,025 00		404 00



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CONTINENTAL AIRLINES INC							
7 7/8% JUL 02 2018	210795PL8	07/19/12	4,349 460	4,697 42	4,392.96		304 46
DTD 12/10/2003		11/25/13					
CRICKET COMMUNICATIONS INC							
7 3/4% MAY 15 2016	226566AK3	11/16/11	20,000 000	20,775 00	20,500 00		275 00
DTD 11/15/2009		05/15/13					
CSC HOLDINGS INC							
7 5/8% JUL 15 2018	126304AK0	11/23/11	10,000 000	11,556 00	10,750 00		806 00
DTD 7/21/1998		11/25/13					
D R HORTON INC							
5 1/4% FEB 15 2015	23331AAW9	11/15/11	5,000 000	5,177 70	5,100 00		77 70
DTD 02/11/2005		11/25/13					
DAVITA INC							
6 3/8% NOV 01 2018	23918KAL2	11/08/12	10,000 000	10,527 50	10,725 00		-197 50
DTD 10/20/2010		11/25/13					
DEAN FOODS CO							
7% JUN 1 2016	242370AA2	11/15/11	10,000 000	11,210 00	9,975 00		1,235 00
DTD 5/17/2006		11/25/13					
DENBURY RESOURCES INC							
NON-TRADEABLE ASSET	24799AMQ2	11/18/11	15,000 000	15,363 75	16,537 50		-1,173 75
CORP ACTIONS CONTRA		02/05/13					
9 750% 03/01/2016 DTD 02/13/2009							
DINEEQUITY INC							
9 1/2% OCT 30 2018	254423AB2	07/31/12	5,000 000	5,558 00	5,537 50		20 50
DTD 04/30/2011		11/25/13					



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EL PASO CORPORATION 7% JUN 15 2017 DTD 06/18/2007	28336LBQ1	10/03/12 11/25/13	5,000 000	5,689 05	5,750 00		-60 95
FELCOR LODGING LP 6 3/4% JUN 01 2019 DTD 05/10/2011	31430QBB2	10/16/12 11/25/13	10,000 000	10,712.50	10,725 00		-12 50
FERRELLGAS LP FERRELLGAS NON-TRADEABLE ASSET CORP ACTIONS CONTRA 9 1/8% OCT 01 2017 DTD 04/01/2010	31599ADG1	09/25/12 11/04/13	5,000 000	5,253 15	5,425 00		-171 85
GANNETT CO INC 6 3/8% SEP 01 2015 DTD 09/27/2010	364725AT8	11/15/11 11/25/13	15,000 000	16,240 50	15,225 00		1,015 50
HANESBRANDS INC 8% DEC 15 2016 DTD 12/10/2009	410345AF9	Various 12/16/13	5,000 000	5,200 00	5,490.62		-290 62
HARRAHS OPERATING CO INC 11 1/4% JUN 01 2017 DTD 12/01/2009	413627BL3	11/23/11 11/25/13	10,000 000	10,225 00	10,225 00		00
HCA INC NOTES 6 3/4% JUL 15 2013 DTD 7/28/2003	404119AH2	11/15/11 07/15/13	15,000 000	15,000 00	15,525 00		-525 00
HCA INC SR NOTES 5 3/4% MAR 15 2014 DTD 3/8/2004	404119AL3	Various 11/25/13	15,000 000	15,197 25	15,137 50		59 75



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HEALTH MANAGEMENT ASSOCIATION 6 1/8% APR 15 2016 DTD 04/21/2006	421933AH5	11/15/11 11/25/13	15,000 000	16,650 00	15,375 00		1,275 00
IGATE CORP 9% MAY 01 2016 DTD 11/01/2011	45169UAC9	Various 11/25/13	15,000 000	16,014 00	16,362 50		-348 50
INGLES MARKETS INC NON-TRADEABLE ASSET CORP ACTIONS CONTRA 8 875% 05/15/2017 DTD 07/07/2009	45799AJQ1	11/22/11 06/12/13	10,000 000	10,493 80	10,575 00		-81 20
INTL LEASE FINANCE CORP MEDIUM TERM NOTE 5 65% JUN 01 2014 DTD 05/29/2007	45974VB49	11/18/11 04/11/13	20,000 000	20,850 00	19,150 00		1,229 13 470 87 O
INTL LEASE FINANCE CORP 8 75% MAR 15 2017 DTD 03/15/2011 STEP CPN	459745GG4	09/13/12 11/25/13	10,000 000	11,765 00	11,875 00		-110 00
ISLE OF CAPRI CASINOS 7 3/4% MAR 15 2019 DTD 03/07/2011	464592AL8	Various 11/25/13	5,000 000	5,367 75	5,310 00		57 75
JABIL CIRCUIT INC SR NOTES 7 3/4% JUL 15 2016 DTD 08/11/2009	466313AE3	11/16/11 11/25/13	10,000 000	11,419 30	11,200 00		219 30
JARDEN CORP NON-TRADEABLE ASSET CORP ACTIONS CONTRA 8 000% 05/01/2016 DTD 04/30/2009	47199ACT4	11/15/11 03/28/13	10,000 000	10,467 50	10,950 00		-482.50



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LENNAR CORP 12 1/4% JUN 01 2017 DTD 04/30/2009	526057BA1	08/24/12 11/25/13	10,000 000	13,155 00	13,125 00		30 00
LEVEL 3 FINANCING INC 10% FEB 01 2018 DTD 01/20/2010	527298AP8	10/02/12 12/16/13	10,000 000	10,616 63	11,225 00		-608 37
MERRILL LYNCH & CO MEDIUM TERM NOTES 6 7/8% APR 25 2018 DTD 04/25/2008	59018YN64	07/31/12 11/25/13	5,000 000	5,972 25	5,796 05		176 20
MERRILL LYNCH & CO NOTES 6 7/8% NOV 15 2018 DTD 11/24/98	590188JN9	01/09/12 11/25/13	10,000 000	12,079 60	10,102 80		1,976 80
METROPCS WIRELESS INC SR NOTES 7 7/8% SEP 01 2018 DTD 09/21/2010	591709AK6	Various 11/25/13	15,000 000	16,181 25	15,712 50		468 75
MGM MIRAGE INC 6 5/8% JUL 15 2015 DTD 06/20/2005	552953AR2	05/18/12 11/25/13	5,000 000	5,389 50	5,162 50		227 00
NIELSEN FINANCE LLC/CO 7 3/4% OCT 15 2018 DTD 04/15/2011	65409QAY8	Various 11/25/13	10,000 000	10,883 00	11,000 00		-117 00
NOVELIS INC 8 3/8% DEC 15 2017 DTD 12/17/2010	67000XAL0	01/31/12 11/25/13	10,000 000	10,703 00	11,100 00		-397 00
OWENS-BROCKWAY GLASS CONTAINER INC GTD SR NT DTD 08/01/2009 7 3/5% DUE 05/15/2016	69073TAP8	11/17/11 11/25/13	15,000 000	16,951 50	16,275 00		676 50



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PEABODY ENERGY CORP SR NOTES 7 3/8% NOV 1 2016 DTD 10/12/2006	704549AE4	11/15/11 11/25/13	10,000 000	11,348.00	10,850 00		498 00
PIONEER DRILLING COMPANY 9 7/8% MAR 15 2018 DTD 09/15/2010	723655AB2	09/27/12 11/25/13	5,000 000	5 353 50	5,450 00		-96 50
PIONEER NATURAL RESOURCE SR NOTES 5 7/8% JUL 15 2016 DTD 7/15/2004	723787AE7	11/17/11 11/25/13	20,000 000	22,272 20	21,800 00		472 20
R R DONNELLY & SONS COMPANY NOTES 4 95% APR 1 2014 DTD 3/10/2004	257867AM3	11/15/11 11/25/13	10,000 000	10,117 50	9,800 00		146 10 171.40 O
REGENCY ENERGY PARTNERS 9 3/8% JUN 01 2016 DTD 05/20/2009	75886AAD0	Various 06/03/13	15,000 000	15,703 20	16,630 00		-926 80
RITE AID CORP NON-TRADEABLE ASSET CORP ACTIONS CONTRA 9 750% 06/12/2016 DTD 10/01/2009	76799ACH3	11/15/11 02/21/13	15,000 000	15,675 00	16,312.50		-637.50
ROUSE COMPANY 6 3/4% NOV 09 2015 DTD 11/09/2010	779278AA8	02/23/12 05/01/13	5,000 000	5,168 75	5,250 00		-81.25
ROYAL BK SCOTLND GRP PLC 5% NOV 12/2013 DTD 11/12/2003	780097AN1	01/26/12 04/16/13	10,000 000	10,152 80	9,850.00		200 23 102 57 O



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ROYAL CARIBBEAN CRUISES		11/15/11	10,000 000	11,269 00	10,750 00		519 00
SR NOTES 7 1/4% JUN 15 2016	780153AR3	11/25/13					
DTD 6/12/2006							
RRI ENERGY INC		11/17/11	10,000 000	10,677 80	10,025 00		652 80
7 5/8% JUN 15 2014	74971XAB3	06/24/13					
DTD 06/13/2007							
RRI ENERGY INC		10/01/12	5,000 000	5,531 50	5,400 00		131 50
7 7/8% JUN 15 2017	74971XAC1	11/25/13					
DTD 06/13/2007							
SEAGATE TECHNOLOGY HDD H		05/18/12	2,000 000	2,262 50	2,235 00		27 50
SR NOTES 6 80% OCT 1 2016	81180RAE2	11/25/13					
DTD 9/20/2006							
SEAGATE TECHNOLOGY HDD H		Various	13,000 000	15,112.50	14,465 00		647 50
NON - TRADEABLE ASSET	81199ACD8	06/14/13					
CORP ACTIONS CONTRA							
SR NOTES 6 80% OCT 1 2016 DTD							
9/20/2006							
SERVICE CORP INTL		11/18/11	15,000 000	16,375 20	16,162 50		212 70
6 3/4% APR 01 2016	817565BA1	11/25/13					
DTD 10/01/2004							
STEP CPN							
SPECTRUM BRANDS INC		Various	15,000 000	16,745 70	16,562 50		183 20
NON-TRADEABLE ASSET	84799ACP9	09/05/13					
CORP ACTIONS CONTRA							
9 1/2% JUN 15 2018							
DTD 06/15/2011							



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SPEEDWAY MOTORSPORTS INC 8 3/4% JUN 01 2016 DTD 05/19/2009	847788ANG	05/10/12 06/03/13	5,000 000	5,218 75	5,475 00		-256 25
SUPERVALU INC 8% MAY 01 2016 DTD 05/07/2009	868536AT0	11/16/11 01/10/13	1,000 000	998 75	1,040 00		-41 25
SUPERVALU INC 8% MAY 01 2016 DTD 05/07/2009	868536AT0	11/16/11 01/28/13	1,000 000	998 75	1,040 00		-41 25
SUPERVALU INC 8% MAY 01 2016 DTD 05/07/2009	868536AT0	11/16/11 01/30/13	2,000 000	2,000 00	2,080 00		-80 00
SUPERVALU INC 8% MAY 01 2016 DTD 05/07/2009	868536AT0	11/16/11 01/30/13	1,000 000	998 75	1,040 00		-41 25
SWIFT ENERGY CO SR NOTES 7 1/8% JUN 01 2017 DTD 06/01/2007	870738AF8	Various 11/25/13	5,000 000	5,130 00	5,177 50		-47 50
THERMADYNE HOLDINGS CORP 9% DEC 15 2017 DTD 06/15/2011	883435AJ8	09/05/12 12/12/13	625 000	643 75	662 50		-18.75
THERMADYNE HOLDINGS CORP 9% DEC 15 2017 DTD 06/15/2011	883435AJ8	09/05/12 12/17/13	4,375 000	4,661 56	4,637.50		24 06
TRANSDIGM INC 7 3/4% DEC 15 2018 DTD 06/15/2011	893647AP2	Various 11/25/13	10,000 000	10,725 00	11,150 00		-425 00



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US STEEL CORP 6 05% JUN 01 2017 DTD 05/21/2007	912909AC2	09/13/12 11/25/13	5,000 000	5,365 00	5,075 00		290 00
VULCAN MATERIALS 6 1/2% DEC 01 2016 DTD 06/14/2011	929160AQ2	Various 11/25/13	15,000 000	16,710 00	15,787 50		922 50
WPX ENERGY INC 5 25% JAN 15 2017 DTD 06/25/2012	98212BAC7	09/11/12 11/25/13	5,000 000	5,350 50	5,362 50		-12 00
Total Long-Term Non Covered				809,540.67	797,080.92		10,913.72 1,546.03 O