



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation GENE HAAS FOUNDATION		A Employer identification number 95-4724825
Number and street (or P.O. box number if mail is not delivered to street address) 2800 STURGIS ROAD	Room/suite	B Telephone number (805) 278-1800
City or town, state or province, country, and ZIP or foreign postal code OXNARD, CA 93030		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 94,543,061.	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	50,000,000.		N/A	
	2 Check ☐ if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	3,911.	3,911.		STATEMENT 1
	4 Dividends and interest from securities	956,249.	956,249.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	<293,514.>			
	b Gross sales price for all assets on line 6a	1,659,654.			
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	50,666,646.	960,160.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 3	9,180.	0.		0.
	b Accounting fees STMT 4	15,350.	0.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 5	34,444.	24,856.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
23 Other expenses STMT 6	1,185.	0.		0.	
24 Total operating and administrative expenses. Add lines 13 through 23	60,159.	24,856.		0.	
25 Contributions, gifts, grants paid	3,115,477.			3,115,477.	
26 Total expenses and disbursements. Add lines 24 and 25	3,175,636.	24,856.		3,115,477.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	47,491,010.				
b Net investment income (if negative, enter -0-)		935,304.			
c Adjusted net income (if negative, enter -0-)			N/A		

Part I Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1 Cash - non-interest-bearing			26,242,586.	65,525,116.	65,525,116.
	2 Savings and temporary cash investments					
	3 Accounts receivable ▶					
	Less: allowance for doubtful accounts ▶					
	4 Pledges receivable ▶					
	Less: allowance for doubtful accounts ▶					
	5 Grants receivable					
	6 Receivables due from officers, directors, trustees, and other disqualified persons					
	7 Other notes and loans receivable ▶					
	Less: allowance for doubtful accounts ▶					
	8 Inventories for sale or use					
	9 Prepaid expenses and deferred charges					
	10a Investments - U.S. and state government obligations					
	b Investments - corporate stock STMT 8	16,006,817.	29,012,692.	29,012,692.		
	c Investments - corporate bonds					
	Liabilities	11 Investments - land, buildings, and equipment basis ▶				
Less: accumulated depreciation ▶						
12 Investments - mortgage loans						
13 Investments - other						
14 Land, buildings, and equipment basis ▶						
Less: accumulated depreciation ▶						
15 Other assets (describe ▶ PREPAID TAXES)		14,606.	5,253.	5,253.		
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		42,264,009.	94,543,061.	94,543,061.		
17 Accounts payable and accrued expenses						
18 Grants payable						
Net Assets or Fund Balances	19 Deferred revenue					
	20 Loans from officers, directors, trustees, and other disqualified persons					
	21 Mortgages and other notes payable					
	22 Other liabilities (describe ▶ GRANT PAYABLE)	500,000.	0.			
23 Total liabilities (add lines 17 through 22)	500,000.	0.				
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ X					
	24 Unrestricted	41,764,009.	94,543,061.			
	25 Temporarily restricted					
	26 Permanently restricted					
	Foundations that do not follow SFAS 117, check here ▶ ☐					
	27 Capital stock, trust principal, or current funds					
	28 Paid-in or capital surplus, or land, bldg., and equipment fund					
	29 Retained earnings, accumulated income, endowment, or other funds					
30 Total net assets or fund balances	41,764,009.	94,543,061.				
31 Total liabilities and net assets/fund balances	42,264,009.	94,543,061.				

Part II Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	41,764,009.
2 Enter amount from Part I, line 27a	2	47,491,010.
3 Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 7	3	5,288,042.
4 Add lines 1, 2, and 3	4	94,543,061.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	94,543,061.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a CHARLES SCHWAB - SEE ATTACHED STATEMENT A		P	VARIOUS	VARIOUS
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,659,654.		1,953,168.	<293,514.>
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<293,514.>
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	<293,514.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,579,628.	20,005,028.	.078962
2011	1,264,259.	18,142,436.	.069685
2010	1,129,680.	18,616,276.	.060682
2009	887,783.	16,741,957.	.053027
2008	1,168,796.	18,689,047.	.062539

2 Total of line 1, column (d)	2	.324895
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.064979
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	47,520,203.
5 Multiply line 4 by line 3	5	3,087,815.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	9,353.
7 Add lines 5 and 6	7	3,097,168.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	3,115,477.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	9,353.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	9,353.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	9,353.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		6a	14,606.
6 Credits/Payments:		6b	
a 2013 estimated tax payments and 2012 overpayment credited to 2013		6c	14,747.
b Exempt foreign organizations - tax withheld at source		6d	
c Tax paid with application for extension of time to file (Form 8868)		7	29,353.
d Backup withholding erroneously withheld		8	
7 Total credits and payments. Add lines 6a through 6d		9	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		10	20,000.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		11	0.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid			
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax ☒ 20,000. Refunded ☐			

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☒ \$ 0. (2) On foundation managers. ☒ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☒ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Form 990-PF (2013)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► HAAS HOLDINGS, INC. Telephone no. ► (805) 278-1800 Located at ► 2800 STURGIS ROAD, OXNARD, CA ZIP+4 ► 93030			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	☐ Yes ☒ No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		X

Form 990-PF (2013)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

☐ Yes ☒ No**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GENE F. HAAS 2800 STURGIS ROAD	PRESIDENT 1.00	0.	0.	0.
KURT ZIERHUT 2800 STURGIS ROAD	SECRETARY 1.00	0.	0.	0.
ROBERT MURRAY 2800 STURGIS ROAD	C.F.O. 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2013)

Part VII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2013)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	24,446,279.
b	Average of monthly cash balances	1b	23,797,582.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	48,243,861.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	48,243,861.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	723,658.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	47,520,203.
6	Minimum investment return Enter 5% of line 5	6	2,376,010.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,376,010.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	9,353.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	9,353.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,366,657.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	2,366,657.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,366,657.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	3,115,477.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	3,115,477.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	9,353.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	3,106,124.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				2,366,657.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	242,956.			
b From 2009	58,550.			
c From 2010	221,289.			
d From 2011	364,879.			
e From 2012	594,681.			
f Total of lines 3a through e	1,482,355.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$	3,115,477.			
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				2,366,657.
e Remaining amount distributed out of corpus	748,820.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,231,175.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	242,956.			
9 Excess distributions carryover to 2014 Subtract lines 7 and 8 from line 6a	1,988,219.			
10 Analysis of line 9:				
a Excess from 2009	58,550.			
b Excess from 2010	221,289.			
c Excess from 2011	364,879.			
d Excess from 2012	594,681.			
e Excess from 2013	748,820.			

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT B				3,115,477.
Total			3a	3,115,477.
b Approved for future payment				
NONE				
Total			3b	0.

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

GENE HAAS FOUNDATION

Employer identification number

95-4724825

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

GENE HAAS FOUNDATION

95-4724825

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	GENE HAAS 2800 STURGIS ROAD OXNARD, CA 93030	\$ 50,000,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization

Employer identification number

GENE HAAS FOUNDATION

95-4724825

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization

Employer identification number

GENE HAAS FOUNDATION

95-4724825

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

THE GENE HAAS FOUNDATION
REALIZED GAINS AND LOSSES SCHEDULE FOR 2013

DESCRIPTION	Settle Date	Tran Date	Units	Price	Sale Amount	Basis Amount	Gain/Loss
American Eagle Outfitters New	06/21/13	06/18/13	500	19.032	9,506.88	11,708.35	(2,201.47)
Banco Santander SA ADR	02/08/13	02/08/13	0.6341	7.8852	5.00	5.51	(0.51)
Banco Santander SA ADR	05/10/13	05/13/13	0.5294	7.0457	3.73	4.47	(0.74)
Banco Santander SA ADR	08/13/13	08/09/13	0.4848	7.5495	3.66	3.97	(0.31)
Banco Santander SA ADR	11/13/13	11/13/13	0.0731	8.6183	0.63	0.58	0.05
Dow Chemical	12/31/13	12/26/13	2000	44.874	89,737.49	65,024.95	24,712.54
Linnco LLC	07/09/13	07/03/13	600	26.521	15,910.17	22,976.05	(7,065.88)
Linnco LLC	07/09/13	07/03/13	1300	26.525	34,477.25	49,781.44	(15,304.19)
Linnco LLC	07/09/13	07/03/13	550	26.521	14,584.33	21,061.38	(6,477.05)
Linnco LLC	07/09/13	07/03/13	50	26.525	1,326.05	1,914.67	(588.62)
Orange (fka France Telecom SA) AI	12/31/13	12/26/13	5000	12.094	60,460.00	89,657.62	(29,197.62)
Pitney Bowes Inc	12/31/13	12/26/13	800	23.66	18,926.77	15,374.49	3,552.28
Pitney Bowes Inc	12/31/13	12/26/13	2,000.00	23.65	47,296.94	38,436.22	8,860.72
Pitney Bowes Inc	12/31/13	12/26/13	1,000.00	23.64	23,638.47	19,218.11	4,420.36
Pitney Bowes Inc	12/31/13	12/26/13	4,200.00	23.6405	99,283.68	80,716.08	18,567.60
Royal Dutch Shell	04/02/13	03/27/13	300.00	65.032	19,508.82	20,122.23	(613.41)
Royal Dutch Shell	04/02/13	03/27/13	7,700.00	65.031	500,718.87	516,470.49	(15,751.62)
Telefonica Spon ADR	07/09/13	07/03/13	100.00	12.605	1,260.37	2,201.19	(940.82)
Telefonica Spon ADR	07/09/13	07/03/13	100.00	12.602	1,260.07	2,201.19	(941.12)
Telefonica Spon ADR	07/09/13	07/03/13	7,800.00	12.601	98,277.36	171,693.02	(73,415.66)
Telefonica Spon ADR	11/19/13	11/14/13	1,100.00	16.43	18,072.03	24,213.12	(6,141.09)
Telefonica Spon ADR	11/19/13	11/14/13	13,900.00	16.421	228,239.64	305,965.77	(77,726.13)
Waste Management Inc	12/31/13	12/26/13	1,500.00	44.983	67,464.38	52,100.95	15,363.43
Annaly Capital Mgmt	06/06/13	06/03/13	5,000.00	13.601	67,994.87	88,463.20	(20,468.33)
Annaly Capital Mgmt	06/10/13	06/05/13	5,000.00	13.491	67,444.88	88,463.20	(21,018.32)
Annaly Capital Mgmt	07/09/13	07/03/13	600.00	12.125	7,274.33	10,615.58	(3,341.25)
Annaly Capital Mgmt	07/09/13	07/03/13	9,390.00	12.121	113,805.81	166,133.90	(52,328.09)
Annaly Capital Mgmt	07/09/13	07/03/13	10.00	12.124	121.23	176.93	(55.70)
Annaly Capital Mgmt	11/14/13	11/08/13	5,000.00	10.612	53,050.13	88,463.21	(35,413.08)
					1,659,653.84	1,953,167.87	(293,514.03)

Attachment A.

Schedule of Grants

Date	City	Contingent	Address	City	State	Amount
1/16/2013	1491	\$10,000.00	The American Institute of Aeronautics and Astronautics Policy Symposium Gold Sponsor	Reston, VA	20191	Merrie Scott
1/23/2013	1492	\$500.00	City of Hope	Duarte, CA	91010	
2/6/2013	1493	\$2,500.00	North Valley Family YMCA	Northridge, CA	91326 USA	Jane Stanton
2/6/2013	1495	\$2,000.00	Girl Scouts of Greater Los Angeles	Los Angeles, CA	90017	
2/6/2013	1496	\$3,000.00	Project Lead The Way	Indianapolis, IN	46240	Linda Christopher
2/6/2013	1497	\$3,000.00	Thousand Oaks H S Baseball Boosters	Thousand Oaks, CA	91360	John Torosian
2/6/2013	1498	\$2,900.00	National Museum of Education	Akron, OH	44308-1126	
2/6/2013	1499	\$3,000.00	Boys & Girls Club of Moorpark	Moorpark, CA	93020	W Scott Mosher
2/6/2013	1500	\$3,000.00	Camarillo Fiesta Association	Camarillo, CA	93011 USA	
2/6/2013	1502	\$500.00	Core Athletics Youth Association	Concord, NC	28027	
2/6/2013	1503	\$500.00	American Cancer Society Relay for Life	Valencia, CA	91355	
2/6/2013	1504	\$1,500.00	Kiwans Club of Simi Valley	Simi Valley, CA	93062	Gwen Major
2/6/2013	1505	\$15,000.00	Casa Pacifica	Camarillo, CA	93012 USA	Steven Elson, Ph D
2/6/2013	1506	\$2,500.00	Turning Point Foundation	Camarillo, CA	93011	Stacie Benich
2/6/2013	1507	\$1,000.00	Los Colinas Middle School	Ventura, CA	93001 USA	Jane Lax
2/6/2013	1508	\$5,000.00	Ventura County Office of Education	Camarillo, CA	93012	
2/6/2013	1509	\$5,000.00	Big Brothers Big Sisters of Ventura County	Camarillo, CA	93010 USA	John E. Tarkany
2/6/2013	1510	\$5,000.00	The Shop Rat Foundation	Camarillo, CA	93010 USA	Lynne West
2/6/2013	1511	\$5,000.00	Cuyahoga Community College Foundation	Cleveland, OH	44115-2878 USA	Hugh Halston
2/6/2013	1512	\$5,000.00	Hennepin Technical College	Pleasant Lake, MI	49272	Amelia Hutchings
2/6/2013	1514	\$5,000.00	Perry Technical Institute	Cleveland, OH	44115-2878 USA	
2/6/2013	1515	\$5,000.00	Erie Community College	Brooklyn Park, MN	55445-2389	Mike McGee
2/6/2013	1516	\$20,000.00	Pepperdine University	Yakima, WA	98903	Erin Fishburn
2/6/2013	1517	\$30,000.00	Rensselaer Polytechnic Institute	Williamsburg, NY	14221 USA	Paul S. Goodrich
2/6/2013	1518	\$5,000.00	HVCC Foundation Mfg Capstone Acct	Malibu, CA	90263	Sheila King
2/6/2013	1519	\$5,000.00	HVCC Foundation Mfg Capstone Acct	Troy, NY	12180-3590 USA	Sam Chiappone
2/6/2013	1520	\$10,000.00	St Sebastian Catholic School	Troy, NY	12180	Dave Larkin
2/6/2013	1521	\$2,000.00	USM Foundation	Santa Paula, CA	93060	Ninette Reyes
3/6/2013	1522	\$50,000.00	Workshops for Warriors	Cambridge, MD	21613	Heman Luis Prado
4/2/2013	1523	\$500,000.00	Society of Manufacturing Engineers Education Found	San Diego, CA	92113	Bart Aslin
5/7/2013	1525	\$34,261.00	Ventura County Rescue Mission	Dearborn, MI	48128-0930	
5/7/2013	1526	\$25,000.00	RSHM Provincial Center	Oxnard, CA	93031-5545	
5/7/2013	1527	\$25,000.00	Byzantine Catholic Cathedral of Saint Mary	Montebello, CA	90640-2901	Sister Catherine Minihoto
5/7/2013	1528	\$50,000.00	Food Share	Sherman Oaks, CA	91411-3441	Rev Melvin Rybarczyk
5/7/2013	1529	\$25,000.00	The Teen Project	Oxnard, CA	93036	Ann Sobel
5/7/2013	1530	\$25,000.00	Rain Project Transitional Living Center	Rancho Santa Margarita, CA	92688	Linda Morgan
5/7/2013	1531	\$25,000.00	Casa Pacifica	Camarillo, CA	93011-1934	Ami Milla
5/7/2013	1532	\$10,000.00	Boys and Girls Club of Santa Clara Valley	Camarillo, CA	93012	Steven Elson, Ph D
5/7/2013	1533	\$10,000.00	Boys and Girls Club of Camarillo	Santa Paula, CA	93061-0152	Jan Marholin
5/7/2013	1534	\$10,000.00	Technical college Educational Foundation	Green Bay, WI	54307-9042	Greg Stuart
5/7/2013	1535	\$10,000.00	Teddy Bear Cancer Foundation	Santa Barbara, CA	93101	Crystal Heckel
5/7/2013	1536	\$7,500.00	Boys & Girls Club of Moorpark	Moorpark, CA	93020	Lindsey Guerrero
5/7/2013	1537	\$5,000.00	Lower Columbia College Foundation	Longview, WA	98632	W Scott Mosher
5/7/2013	1538	\$5,000.00	Meadowlark Service League	Camarillo, CA	93011	Erin Brown
5/7/2013	1539	\$5,000.00	Rio Mesa Scholarship Foundation	Camarillo, CA	93011	Judy Goulet
5/7/2013	1540	\$5,000.00	Southwestern Illinois College	Belleville, IL	62221	Rena Thoele
5/7/2013	1541	\$5,000.00	American Precision Museum	Windsor, VT	05089	Ann Lawless
5/7/2013	1542	\$2,500.00	U S Vets	Petaluma, CA	94952	Dan Sumia
5/7/2013	1543	\$5,000.00	Lenoir Community College	Los Angeles, CA	90017	Bobby Merritt
5/7/2013	1544	\$5,000.00	Camarillo Hospice	Kinston, NC	28502	Sandy Nirenberg
5/7/2013	1545	\$5,000.00	R D Anderson Applied Technology Center	Camarillo, CA	93010	Kerri McAlister
5/7/2013	1546	\$5,000.00	Experimental Aircraft Association	Moore, SC	29369	Ernie Salmon
5/7/2013	1547	\$2,500.00	Uster County BOCES Career & Technical Center	Camarillo, CA	93010	Evelyn Torres
5/7/2013	1548	\$2,500.00	Shoes That Fit	Lake Katrine, NY	12449	Roni Lomeli
5/7/2013	1549	\$2,500.00	El Centro Family Learning Center	Claremont, CA	91711	
5/7/2013	1550	\$1,500.00	State University Channel Islands Founda	Oxnard, CA	93032-1613	
5/7/2013	1551	\$1,500.00	Simi Havoc Baseball	Camarillo, CA	93012 USA	Richard Graves
5/7/2013	1552	\$1,000.00	AGMA FOUNDATION	Westlake Village, CA	91361	
5/7/2013	1553	\$1,000.00	City of Oxnard Multicultural Festival	Alexandria, VA	22314	Christina Aerenlund
5/7/2013	1554	\$1,000.00	American Lung Association	Oxnard, CA	93030	
5/7/2013	1555	\$250.00	American Lung Association	Los Angeles, CA	90010	
5/7/2013	1556	\$250.00	American Lung Association	Los Angeles, CA	90010	

Attachment E

Schedule of Grants

Line Item	Date	Amount	Organization	Project Description	Address	City	State	Zip	Person
1557	5/7/2013	\$40,500.00	Society of Manufacturing Engineers Education Fund	Skills USA & Canada Medalist Awards	One SME Drive	Dearborn, MI	48128-0930		Bart Aslin
1558	5/7/2013	\$15,000.00	Dunwoody College of Technology	Machine Technology Scholarships	818 Dunwoody Blvd	Minneapolis, MN	55403		Mary Pouch Meador
1559	5/8/2013	\$739.00	Ventura County Rescue Mission	Purchase new oven	234 East 6th Street	Oxnard, CA	93031-5545		
1561	5/14/2013	\$750.00	Boy Scouts of America	Sponsor eagle scouts at 2012-2013 recognition meeting	509 E Daily Drive	Camarillo, CA	93010		Kevin Patterson
1562	5/16/2013	\$7,500.00	National Tooling and Machining Association	Rothlisberger training endowment	1603 Don Carlos Ave	Glendale, CA	91208 USA		
1563	5/16/2013	\$10,000.00	St. John's Healthcare Foundation	Support charitable activities	1600 North Rose Ave	Oxnard, CA	93030		Heather Huffman
1564	5/16/2013	\$2,500.00	American Cancer Society Relay for Life	Relay for life of Santa Clara Valley	25020 W Avenue Stanford #170	Valencia, CA	91355		
Deposit	5/16/2013	-\$2,825.00	Los Angeles County Bar Foundation	Reimburse by Haas Automation for dinners	#N/A				
1566	6/11/2013	\$8,087.00	Christa McAuliffe Elementary School	Grant for outdoor science school for 134 students	3300 Via Marina Ave	Oxnard, CA	93035		Patricia Guerrero
1567	7/10/2013	\$25,000.00	Caregivers	Building Bridges Program Assisting the elderly	1765 Goodyear Ave suite 205	Ventura, CA	93003		Tammy Glenn
1574	9/6/2013	\$10,000.00	Avon Foundation	Avon walk for breast cancer	1900 West 5th Street	Oxnard, CA	93030		Tim Blaylock
1575	9/26/2013	\$5,000.00	Boys & Girls Club of Greater Oxnard & Port Hueneme	Program support	P O Box 933	Ojai, CA	93023		
1577	9/26/2013	\$2,500.00	Ventura County Sheriff Search & Rescue	Specialized equipment advanced training	3701 Orange Dr	Oxnard, CA	93036		Chris Boden
1578	9/26/2013	\$5,000.00	Garden Acres Santa Clause Inc	Infra to the sea marathon	902 Leonard St NW	Grand Rapids, MI	49504		
1579	9/26/2013	\$47,000.00	The Geek Group	Infrastructure upgrades	3900 Bluefin Circle	Oxnard, CA	93035		
1580	9/26/2013	\$2,500.00	Channel Islands Maritime Museum	Education programs & general support	1555 Kendall Ave	Camarillo, CA	93010		
1581	9/26/2013	\$400.00	LPSSA PTO	Jog-A-Thon	Lang 209, 80 Vandenberg Ave	Troy, NY	12180		Dave Larkin
1582	9/26/2013	\$625.00	HVCC Foundation Mfg Capstone Acct	upgrade mfg labs	1510 Bilgo Lane	Kewaskum, WI	53040		Patrick Moerchen
1583	9/26/2013	\$5,140.00	Kewaskum High School	CAD/CAM CNC PROGRAM	One SME Drive	Dearborn, MI	48128-0930		Bart Aslin
1584	9/26/2013	\$500,000.00	Society of Manufacturing Engineers Education Fund	Scholarships	VU Foundation Office, 1002 North First St	Vincennes, IN	47591		Cathy McClelland
1585	9/26/2013	\$25,000.00	Vincennes University Foundation	Teacher training 20k, Program support 5k	360 Mobil Ave 213C	Camarillo, CA	93010		Sharon Taylor
1586	9/26/2013	\$500.00	Pleasant Valley Education Foundation	Children Christmas Gifts	2290 Eastman Ave Unit 106	Ventura, CA	93003		Kris Giles
1587	9/26/2013	\$5,000.00	Kids to Kids	Help pay for college for high school students	P O Box 6757	Oxnard, CA	93031		Ellie Allen
1588	9/26/2013	\$1,000.00	Rod McCarty Memorial Scholarship Fund	Support 2013 First Lego League	196 Main St	Oxnard, CA	93030		Tim Blaylock
1589	9/26/2013	\$150,000.00	Boys & Girls Club of Greater Oxnard & Port Hueneme	Support fund to provide for 1yr for 1000 youth to access prog	620 W Germantown Pike Suite 250	Windsor, VT	05089		Ann Lawless
1590	9/26/2013	\$10,000.00	American Precision Museum	Support working machine shop	P O Box 253	Oxnard, CA	93032		Marci Schrankweiler
1591	9/26/2013	\$25,000.00	For Pete's Sake Cancer Respite Foundation	Grant	27 S Patera Lane	Santa Barbara, CA	93117		Hayley Jessup
1593	10/4/2013	\$20,000.00	Knights of Columbus	Relief for Philippines after typhoon hayyan	875 Cochran Street	Simi Valley, CA	93063		Kathy Hinkle
1596	11/15/2013	\$50,000.00	Direct Relief	Grant	P O Box 152	Santa Paula, CA	93061-0152		Jan Marholin
1597	11/15/2013	\$750.00	Simi Valley PTA/PTSA Council	Grant	110 8th St	Troy, NY	12180-3590 USA		Sam Chiappone
1598	12/19/2013	-\$10,000.00	Boys and Girls Club of Santa Clara Valley	Void Check	727 Grant Avenue	Lake Katrine, NY	12449		Evelyn Torres
1473	12/19/2013	-\$1,000.00	Rensselaer Polytechnic Institute	Void Check	110 8th St	Troy, NY	12180-3590 USA		Sam Chiappone
1549	12/19/2013	-\$2,500.00	Ulster County BOCES Career & Technical Center	Void Check	2970 Main Street	San Diego, CA	92113		Hernan Luis Prado
1600	12/19/2013	\$1,000.00	Rensselaer Polytechnic Institute	Support National Mfg day (replaces lost check 1473)	234 East 6th Street	Oxnard, CA	93031-5545		Bonnie Weigel
1601	12/19/2013	\$50,000.00	Workshops for Warriors	Challenge grant for 2013	150 N Hayes Ave	Oxnard, CA	93030		Tim Blaylock
1602	12/19/2013	\$50,000.00	Ventura County Rescue Mission	Support for shelter	1900 West 5th Street	Oxnard, CA	93030		Jan Marholin
1603	12/19/2013	\$25,000.00	Lighthouse for Women & Children	Support for emergency shelter	P O Box 152	Santa Paula, CA	93061-0152		Evelyn Torres
1604	12/19/2013	\$25,000.00	Food Share	Program Support	727 Grant Avenue	Kannapolis, NC	28061		Bret Flood
1605	12/19/2013	\$25,000.00	Boys & Girls Club of Greater Oxnard & Port Hueneme	Program Support	6001 Haas Way	Camarillo, CA	93012		Bruce MacDonald
1606	12/19/2013	\$50,000.00	Boys and Girls Club of Santa Clara Valley	Program Support	4001 Mission Oaks Blvd Ste S	Oxnard, CA	93030		Alfred Tarazon
1607	12/19/2013	\$2,500.00	Ulster County BOCES Career & Technical Center	Program Support (replacement for lost check #1471)	3400 W Gonzales Rd	Oxnard, CA	93030		Steven Elson, Ph D
1608	12/19/2013	\$500,000.00	Tony Stewart Foundation, inc	Solar car challenge 2013 (replacement for lost check)	31 Hofmans Crossing Rd	Califon, NJ	07830		Jose A. Macedo
1609	12/19/2013	\$10,000.00	Action Foundation	Final installment for 2,000,000 grant	Building 13, Room 233	San Luis Obispo, CA	93407		David R. Fields
1610	12/19/2013	\$1,500.00	Oxnard H.S. Baseball	Program Support	210 N. Ave 21	Los Angeles, CA	90031		John E. Tarkany
1611	12/19/2013	\$50,000.00	Cookies for Kids' Cancer	Tractor & lockers	11415 Northhoff Street	Northridge, CA	91330-9230		Ms. Gay Evans
1612	12/19/2013	\$50,000.00	Casa Pacifica	Grant	1145 Highbrook St #308	Akron, OH	44302		Jelene Koester
1613	12/19/2013	\$1,500.00	California Polytechnic Mechanical Eng Dept	Scholarships for former foster youth to attend college or trade	2011 W Washington Ave	Yakima, WA	98903		Erin Fishburn
1614	12/19/2013	\$25,000.00	Society of St. Vincent De Paul	Travel support to distribute toolholders to HTEC schools	4667 Telegraph Road	Ventura, CA	93003		Dr Robin Calote
1615	12/19/2013	\$34,000.00	California State University Northridge	Support winter shelter & family center	28243 Greenwald	Perris, CA	92570		Darlene Hiltz
1616	12/19/2013	\$2,900.00	National Museum of Education	Student technician \$9K, project support \$15K, scholarships	303 N Ventura Ave	Ventura, CA	93001		John Rak
1617	12/19/2013	\$5,000.00	Perry Technical Institute	Science screen report film series for local high schools	3400 West Gonzales Rd	Oxnard, CA	93030		Maggie Morrison
1618	12/19/2013	\$60,000.00	Ventura College Foundation	Machine technology scholarships	PO BOX 862	Camarillo, CA	93011		Betty Sullivan
1619	12/19/2013	\$20,000.00	Lucky Chi Dog Rescue	Scholarships	9000 Brooklyn Blvd	Brooklyn Park, MN	55445-2389		Mike McGee
1620	12/19/2013	\$20,000.00	Catholic Charities Ventura County	Dog Rescue	550 Airport Way	Camarillo, CA	93010		John E. Tarkany
1621	12/19/2013	\$500.00	Oxnard High School Girls Soccer Program	Program Support	4667 Telegraph Road	Ventura, CA	93003		Dr Robin Calote
1622	12/19/2013	\$4,800.00	American Association of University Women	Equipment and uniforms	456 N Reino Rd	Newbury Park, CA	91320		Sam Rice
1623	12/19/2013	\$7,500.00	Hennepin Technical College	Science camp fees and scholarships	857 E Main St	Ventura, CA	93001		Amy Balchum
1624	12/19/2013	\$5,000.00	Ventura County Office of Education	Internships	Stevens Way, Box 352600	Seattle, WA	98195		Sue Chen
1625	12/19/2013	\$60,000.00	Ventura College Foundation	Support for Science Fairs	380 Amell Rd	Camarillo, CA	930310		Anthony Salcido
1626	12/19/2013	\$1,500.00	Newberry Park H S	Program Support					
1627	12/19/2013	\$5,000.00	Ventura County Jewish Family Services	First robotics competition					
1628	12/19/2013	\$20,000.00	University of Washington Mech Eng	Provide 200 counseling sessions to people in crisis					
1629	12/19/2013	\$5,000.00	Young Life Camarillo	Sponsor of EcoCar project					

[illegible]

12/19/2013	1630	\$10,000.00	John Swett High School	Matching grant for ind. equipment for training	1098 Pomona St	Crockett, CA 94525	Tony Tanner
12/19/2013	1631	\$10,000.00	ACE Charter H S	Support robotics program	570 Airport Way	Camranillo, CA 93010	Gregory Bryant
12/19/2013	1632	\$5,000.00	Patrick Henry C C	Scholarships	645 Patriot Ave	Martinsville, VA 24112	Sarah Beth Keyser
12/19/2013	1633	\$1,000.00	Oxnard H S Band Boosters	Program Support	P O Box 5461	Oxnard, CA 93031-5461	Marissa Mach
12/19/2013	1634	\$10,000.00	Kingdom Center	Support transitional living center	1450 S Rose Ave	Oxnard, CA 93033	Cynthia Wilson

\$3,115,477 00

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB	3,911.	3,911.	
TOTAL TO PART I, LINE 3	3,911.	3,911.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CHARLES SCHWAB	956,249.	0.	956,249.	956,249.	
TO PART I, LINE 4	956,249.	0.	956,249.	956,249.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	9,180.	0.		0.
TO FM 990-PF, PG 1, LN 16A	9,180.	0.		0.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	15,350.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	15,350.	0.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAXES & LICENSES	235.	0.		0.
FOREIGN TAX PAID	24,856.	24,856.		0.
EXCISE TAXES	9,353.	0.		0.
TO FORM 990-PF, PG 1, LN 18	34,444.	24,856.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	929.	0.		0.
ADVERTISING	256.	0.		0.
TO FORM 990-PF, PG 1, LN 23	1,185.	0.		0.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 7

DESCRIPTION	AMOUNT
UNREALIZED GAINS ON MARKETABLE SECURITIES	4,788,042.
GRANT DEDUCTED ON TAX NOT ON BOOK	500,000.
TOTAL TO FORM 990-PF, PART III, LINE 3	5,288,042.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CHARLES SCHWAB - EQUITY	23,103,118.	23,103,118.
CHARLES SCHWAB - EQUITY (MARKET CHANGE)	5,909,574.	5,909,574.
TOTAL TO FORM 990-PF, PART II, LINE 10B	29,012,692.	29,012,692.

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service

► **File a separate application for each return.**
► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Type or print	Name of exempt organization or other filer, see instructions	Enter filer's identifying number
	GENE HAAS FOUNDATION	Employer identification number (EIN) or 95-4724825
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P.O. box, see instructions 2800 STURGIS ROAD	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions OXNARD, CA 93030	

Enter the Return code for the return that this application is for. (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

HAAS HOLDINGS, INC.

- The books are in the care of ► **2800 STURGIS ROAD - OXNARD, CA 93030**
Telephone No. ► **(805) 278-1800** Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for
► ☒ calendar year **2013** or
► ☐ tax year beginning _____, and ending _____

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	29,353.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	14,606.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	14,747.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.