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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

, and ending

Name of foundation KLM FOUNDATION		A Employer identification number 95-4682685
Number and street (or P.O. box number if mail is not delivered to street address) 10100 SANTA MONICA BLVD., STE 610		B Telephone number 310-551-9936
City or town, state or province, country, and ZIP or foreign postal code LOS ANGELES, CA 90067		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 47,657,531.	J Accounting method: ☐ Cash ☒ Accrual ☐ Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		2,842,425.		N/A	
2 Check ☐ if the foundation is not required to attach Sch. B					
3 Interest on savings and temporary cash investments			167,720.		
4 Dividends and interest from securities		536,412.	507,267.		STATEMENT 2
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		886,932.			STATEMENT 1
b Gross sales price for all assets on line 6a		5,084,830.			
7 Capital gain net income (from Part IV, line 2)			759,215.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income			3,989.		STATEMENT 3
12 Total. Add lines 1 through 11		4,265,769.	1,438,191.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees STMT 4		84,508.	42,254.		42,254.
b Accounting fees STMT 5		89,896.	41,611.		41,611.
c Other professional fees STMT 6		94,752.	182,926.		0.
17 Interest					
18 Taxes STMT 7		254,412.	26,245.		150.
19 Depreciation and depletion					
20 Occupancy		28,797.	14,399.		14,398.
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 8		62.	31.		31.
24 Total operating and administrative expenses. Add lines 13 through 23		552,427.	307,466.		98,444.
25 Contributions, gifts, grants paid		1,600,700.			1,740,200.
26 Total expenses and disbursements. Add lines 24 and 25		2,153,127.	307,466.		1,838,644.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		2,112,642.			
b Net investment income (if negative, enter -0-)			1,130,725.		
c Adjusted net income (if negative, enter -0-)				N/A	

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	6,973,696.	5,969,827.	5,969,827.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	2,374.	2,497.	2,497.
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 9	22,275,072.	28,692,888.	28,692,888.
	c Investments - corporate bonds STMT 10	4,743,041.	5,739,071.	5,739,071.
	11 Investments - land, buildings, and equipment: basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 11	5,924,759.	7,107,349.	7,107,349.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶ STATEMENT 12)	58,380.	145,898.	145,898.	
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	39,977,322.	47,657,530.	47,657,530.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable	135,000.		
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 13)	79,994.	394,819.	
	23 Total liabilities (add lines 17 through 22)	214,994.	394,819.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☒ and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	39,762,328.	47,262,711.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☐ and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	39,762,328.	47,262,711.	
31 Total liabilities and net assets/fund balances	39,977,322.	47,657,530.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	39,762,328.
2 Enter amount from Part I, line 27a	2	2,112,642.
3 Other increases not included in line 2 (itemize) ▶ SECURITIES - UNREALIZED GAINS	3	5,387,741.
4 Add lines 1, 2, and 3	4	47,262,711.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	47,262,711.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE NORTHERN TRUST STATEMENT #01329			
b SEE NORTHERN TRUST STATEMENT #47711			
c SEE NORTHERN TRUST STATEMENT #47711			
d K-1 GAIN/LOSS	P	VARIOUS	VARIOUS
e CAPITAL GAINS DIVIDENDS			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 35,779.		59,528.	<23,749.>
b 3,669,014.		3,404,598.	264,416.
c 1,105,256.		835,022.	270,234.
d		26,467.	<26,467.>
e 274,781.			274,781.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<23,749.>
b			264,416.
c			270,234.
d			<26,467.>
e			274,781.

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 2 759,215.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	1,677,162.	36,612,080.	.045809
2011	1,337,974.	34,933,870.	.038300
2010	1,367,404.	30,569,345.	.044731
2009	1,310,656.	24,224,443.	.054105
2008	1,400,273.	28,283,759.	.049508

2 Total of line 1, column (d)	2	.232453
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046491
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	41,749,436.
5 Multiply line 4 by line 3	5	1,940,973.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	11,307.
7 Add lines 5 and 6	7	1,952,280.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	1,838,644.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	22,615.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	22,615.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	22,615.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a 38,144.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c 5,000.		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	43,144.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	20,529.	
11 Enter the amount of line 10 to be credited to 2014 estimated tax	11	20,529.	Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ► <u>N/A</u>				
14	The books are in care of ► <u>WTAS LLC</u> Telephone no. ► <u>213-593-2300</u> Located at ► <u>400 SOUTH HOPE STREET, SUITE 2000, LOS ANGELES, CA</u> ZIP+4 ► <u>90071</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes ☐ No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No	
If "Yes," list the years ►		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
KATHLEEN L. MCCARTHY 10100 SANTA MONICA BLVD., STE 610 LOS ANGELES, CA 90067	TRUSTEE 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
IRELL & MANELLA, LLP - 1800 AVENUE OF THE STARS, SUITE 900, LOS ANGELES, CA 90067	LEGAL SERVICES	84,508.
WTAS LLC - 400 SOUTH HOPE STREET, SUITE 2000, LOS ANGELES, CA 90071	ACCOUNTING AND TAX SERVICES	77,646.

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 NONE	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1 NONE	
	0.
2	
All other program-related investments. See instructions	
3 NONE	
	0.
	0.
Total. Add lines 1 through 3	

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	30,080,975.
b	Average of monthly cash balances	1b	5,104,669.
c	Fair market value of all other assets	1c	7,199,570.
d	Total (add lines 1a, b, and c)	1d	42,385,214.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	42,385,214.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	635,778.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	41,749,436.
6	Minimum investment return. Enter 5% of line 5	6	2,087,472.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	2,087,472.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	22,615.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	56,878.
c	Add lines 2a and 2b	2c	79,493.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	2,007,979.
4	Recoveries of amounts treated as qualifying distributions	4	3,500.
5	Add lines 3 and 4	5	2,011,479.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	2,011,479.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	1,838,644.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	1,838,644.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,838,644.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				2,011,479.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			1,708,917.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 1,838,644.				
a Applied to 2012, but not more than line 2a			1,708,917.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				129,727.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				1,881,752.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

- b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

b 85% of line 2a

- c** Qualifying distributions from Part XII,
line 4 for each year listed

- d** Amounts included in line 2c not used directly for active conduct of exempt activities

- e** Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

- a "Assets" alternative test - enter:
(1) Value of all assets

- (2) Value of assets qualifying under section 4942(j)(3)(B)(i)**

- b "Endowment" alternative test** - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

- c "Support" alternative test - enter:**

- (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

- (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

- (3) Largest amount of support from an exempt organization**

- (4) Gross investment income**

[illegible]

Part XV	Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)
----------------	--

1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

KATHLEEN L. MCCARTHY

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

- b** The form in which applications should be submitted and information and materials they should include

N/A

- c Any submission deadlines?**

N/A

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE ATTACHED STATEMENT A	NONE	501(C)(3)	GENERAL	1,740,200.
Total			▶ 3a	1,740,200.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A

Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1 Program service revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
f _____						
g Fees and contracts from government agencies						
2 Membership dues and assessments						
3 Interest on savings and temporary cash investments						
4 Dividends and interest from securities	523000	12,495.	14	523,917.		
5 Net rental income or (loss) from real estate:						
a Debt-financed property						
b Not debt-financed property						
6 Net rental income or (loss) from personal property						
7 Other investment income	523000	<16,327.>	14	20,316.		
8 Gain or (loss) from sales of assets other than inventory	523000	231,406.	18	651,537.		
9 Net income or (loss) from special events						
10 Gross profit or (loss) from sales of inventory						
11 Other revenue:						
a _____						
b _____						
c _____						
d _____						
e _____						
12 Subtotal. Add columns (b), (d), and (e)		227,574.		1,195,770.		0.
13 Total Add line 12, columns (b), (d), and (e)					13	1,423,344.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B

Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- | | | Yes | No |
|----------|--|--------------|----------|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | |
| | (1) Cash | 1a(1) | X |
| | (2) Other assets | 1a(2) | X |
| b | Other transactions: | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | X |
| | (4) Reimbursement arrangements | 1b(4) | X |
| | (5) Loans or loan guarantees | 1b(5) | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | X |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. | | |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. The preparation of this return (other than taxpayer) is based on all information of which preparer has any knowledge.

and believe it is true, correct, and complete. Declaration is prepared (other than

 Signature of officer or trustee

Date 11-11-14

TRUSTEE
Title

May the IRS discuss this return with the preparer shown below (see instr)?

☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
	SUSAN K. AMSTER		11/10/2014		P00378875
	Firm's name ▶ WTAS LLC			Firm's EIN ▶ 33-1197384	
	Firm's address ▶ 400 SOUTH HOPE STREET, SUITE 2000 LOS ANGELES, CA 90071			Phone no 213-593-2300	

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

KLM FOUNDATION

Employer identification number

95-4682685

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II**Special Rules**☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization	Employer identification number
KLM FOUNDATION	95-4682685

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	KATHLEEN L. MCCARTHY CHARITABLE LEAD ANNUITY TRUST 10100 SANTA MONICA BLVD., STE 610 LOS ANGELES, CA 90067	\$ 2,469,166.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	LEAVEY CHARITABLE LEAD ANNUITY TRUST 10100 SANTA MONICA BLVD., STE 610 LOS ANGELES, CA 90067	\$ 373,259.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
		\$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Employer identification number

95-4682685

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____

Name of organization KLM FOUNDATION	Employer identification number 95-4682685
---	---

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year. (Enter this information once) ▶ \$ _____

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF GAIN OR (LOSS) FROM SALE OF ASSETS STATEMENT 1

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SEE NORTHERN TRUST STATEMENT #01329					
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
35,779.	54,088.	0.	0.	<18,309.>	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SEE NORTHERN TRUST STATEMENT #47711					
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
3,669,014.	3,406,285.	0.	0.	262,729.	

(A) DESCRIPTION OF PROPERTY			MANNER ACQUIRED	DATE ACQUIRED	DATE SOLD
SEE NORTHERN TRUST STATEMENT #47711					
(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	(E) DEPREC.	(F) GAIN OR LOSS	
1,105,256.	835,610.	0.	0.	269,646.	

(A) DESCRIPTION OF PROPERTY	(B) GROSS SALES PRICE	(C) COST OR OTHER BASIS	(D) EXPENSE OF SALE	MANNER ACQUIRED PURCHASED	DATE ACQUIRED VARIOUS	DATE SOLD VARIOUS
K-1 GAIN/LOSS	0.	<98,085.>	0.		0.	98,085.
CAPITAL GAINS DIVIDENDS FROM PART IV						274,781.
TOTAL TO FORM 990-PF, PART I, LINE 6A						886,932.

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES	STATEMENT	2
-------------	--	-----------	---

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST	811,193.	274,781.	536,412.	507,267.	
TO PART I, LINE 4	811,193.	274,781.	536,412.	507,267.	

FORM 990-PF	OTHER INCOME	STATEMENT	3
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DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FOREIGN FUND SERVICING INCOME	0.	3,989.	
TOTAL TO FORM 990-PF, PART I, LINE 11	0.	3,989.	

FORM 990-PF	LEGAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL FEES	84,508.	42,254.		42,254.	
TO FM 990-PF, PG 1, LN 16A	84,508.	42,254.		42,254.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	89,896.	41,611.		41,611.	
TO FORM 990-PF, PG 1, LN 16B	89,896.	41,611.		41,611.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CUSTODIAN FEES	71,152.	15,595.		0.	
INVESTMENT MANAGER FEES	0.	55,999.		0.	
K-1 DEDUCTIONS	0.	87,732.		0.	
INVESTMENT ADVISOR FEES	23,600.	23,600.		0.	
TO FORM 990-PF, PG 1, LN 16C	94,752.	182,926.		0.	

FORM 990-PF	TAXES			STATEMENT 7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FEDERAL EXCISE TAXES	145,362.	0.		0.
TAXES AND LICENSES	150.	0.		150.
FOREIGN TAXES	0.	26,245.		0.
DEFERRED TAX PROVISION	108,900.	0.		0.
TO FORM 990-PF, PG 1, LN 18	254,412.	26,245.		150.

FORM 990-PF	OTHER EXPENSES			STATEMENT 8
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	62.	31.		31.
TO FORM 990-PF, PG 1, LN 23	62.	31.		31.

FORM 990-PF	CORPORATE STOCK		STATEMENT 9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
NORTHERN TRUST #2601329 - SEE STMT	19,171,806.	19,171,806.	
NORTHERN TRUST #2647711 - SEE STMT	7,760,695.	7,760,695.	
NORTHERN TRUST #2681426 - SEE STMT	1,760,387.	1,760,387.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	28,692,888.	28,692,888.	

FORM 990-PF	CORPORATE BONDS	STATEMENT 10
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
NORTHERN TRUST #2601329 - SEE STMT	5,739,071.	5,739,071.
TOTAL TO FORM 990-PF, PART II, LINE 10C	5,739,071.	5,739,071.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 11
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
PARTNERSHIP INVESTMENT - OCM HIGH YIELD PLUS	FMV	64,413.	64,413.
PARTNERSHIP INVESTMENT - ARCHIPELAGO	FMV	4,909,729.	4,909,729.
PARTNERSHIP INVESTMENT - OCM/GFI POWER	FMV	39,413.	39,413.
PARTNERSHIP INVESTMENT - OCM PRIN OPP FUND IV	FMV	294,055.	294,055.
PARTNERSHIP INVESTMENT - OCM OPP FUND VII	FMV	124,637.	124,637.
PARTNERSHIP INVESTMENT - CRESCENT CAPITAL	FMV	1,675,102.	1,675,102.
TOTAL TO FORM 990-PF, PART II, LINE 13		7,107,349.	7,107,349.

FORM 990-PF	OTHER ASSETS	STATEMENT 12
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DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
DEPOSITS	3,385.	3,385.	3,385.
INTEREST & DIVIDEND RECEIVABLE	9,995.	142,513.	142,513.
PREPAID EXCISE TAXES	45,000.	0.	0.
TO FORM 990-PF, PART II, LINE 15	58,380.	145,898.	145,898.

FORM 990-PF

OTHER LIABILITIES

STATEMENT 13

DESCRIPTION

BOY AMOUNT

EOY AMOUNT

DEFERRED TAX LIABILITY

9,194.

179,700.

DUE TO BROKER

70,800.

191,017.

EXCISE TAX PAYABLE

0.

24,102.

TOTAL TO FORM 990-PF, PART II, LINE 22

79,994.

394,819.

Application for Extension of Time To File an Exempt Organization Return

OMB No. 1545-1709

Department of the Treasury
Internal Revenue Service

► **File a separate application for each return.**

► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
Type or print	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	KLM FOUNDATION	95-4682685
File by the due date for filing your return. See instructions.	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
	10100 SANTA MONICA BLVD., STE 610	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions.	
	LOS ANGELES, CA 90067	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

WTAS LLC - 400 SOUTH HOPE STREET, SUITE 2000 - LOS

- The books are in the care of ► **ANGELES, CA 90071**

Telephone No. ► **213-593-2300**

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2014**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year **2013** or
- ☐ tax year beginning _____, and ending _____.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$	43,144.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	38,144.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	5,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box ☒ **X**

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1).

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Type or print File by the due date for filing your return. See instructions.	Enter filer's identifying number, see instructions.	
	Name of exempt organization or other filer, see instructions.	Employer identification number (EIN) or
	KLM FOUNDATION	95-4682685
	Number, street, and room or suite no. If a P.O. box, see instructions.	Social security number (SSN)
	10100 SANTA MONICA BLVD., STE 610	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	LOS ANGELES, CA 90067	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

WTAS LLC - 400 SOUTH HOPE STREET, SUITE 2000 - LOS

- The books are in the care of **ANGELES, CA 90071**

Telephone No **213-593-2300**

Fax No

- If the organization does not have an office or place of business in the United States, check this box ☐

- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **NOVEMBER 15, 2014.**

5 For calendar year **2013**, or other tax year beginning _____, and ending _____

6 If the tax year entered in line 5 is for less than 12 months, check reason. ☐ Initial return ☐ Final return

☐ Change in accounting period

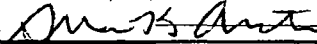
7 State in detail why you need the extension _____

ADDITIONAL TIME IS REQUIRED IN ORDER TO OBTAIN INFORMATION NEEDED TO FILE A COMPLETE AND ACCURATE TAX RETURN.

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$	43,144.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$	43,144.
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$	0.

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature  Title **CPA**

Date **8-6-14**

Form 8868 (Rev. 1-2014)

KLM FOUNDATION

95-4682685

**STATEMENT ATTACHED TO AND MADE PART OF FORM 990-PF
U.S. RETURN OF PRIVATE FOUNDATION
FOR YEAR ENDED DECEMBER 31, 2013**

Part VII-B, Question 1a(3)

The KLM Foundation, a trustee of the KLM Foundation, and another private foundation share office expenses and equipment based upon usage.

KLM Foundation
EIN: 95-4682685
A Statement Attached to and Made Part of Form 990-PF
For the Year Ended December 31, 2013

Grants and Contributions paid during the year
Statement A: Part XV, 3a

Check #	Organization Name	Amount
CK #3836	CHARLOTTE CATHOLIC	\$250.00
CK #3837	FIRSTSTEP	\$1,000.00
CK #3841	DOLORES MISSION	\$100.00
CK #3842	KECK SCHOOL	\$100.00
CK #3845	THE MUSIC CENTER	\$1,000.00
CK #3846	BURNRESCUE	\$1,000.00
CK #3847	TOGETHER IN MISSIO	\$2,500.00
CK #3850	SOCIAL SERVICE	\$5,000.00
CK #3851	LIBRARY FOUNDATION	\$100.00
CK #3852	AUXILIARY OF THE L	\$250.00
CK #3855	NATIONAL WILDLIFE	\$100.00
CK #3856	SPECIAL OLYMPICS	\$100.00
CK #3857	TROJAN LEAGUE OF	\$100.00
CK #3858	USC TROY CAMP	\$500.00
CK #3860	THE MUSIC CENTER	\$2,500.00
CK #3861	MARYMOUNT HIGH	\$1,000.00
CK #3862	RSHM RETIREMENT FU	\$1,000.00
CK #3863	SISTERS OF SOCIAL	\$100.00
CK #3864	MARYMOUNT HIGH	\$100.00
CK #3865	OUR HOUSE	\$100.00
CK #3866	DOHENY EYE INSTITU	\$1,000.00
CK #3867	AMERICAN HEART	\$1,000.00
CK #3868	THE COLLEAGUES	\$8,500.00
CK #3871	SAINT PATRICK'S	\$1,000.00
CK #3872	HOUSE OF PRAYER FO	\$1,000.00
CK #3873	ST. PAUL THE APOST	\$1,000.00
CK #3874	CASA OF LOS ANGELE	\$1,000.00
CK #3875	CITY OF HOPE	\$100.00
CK #3877	ST. JOSEPH CENTER	\$2,000.00
CK #3878	THE MUSIC CENTER	\$1,000.00
CK #3880	MARY & JOSEPH	\$100.00
CK #3882	LADIES OF CHARITY	\$200.00
CK #3883	NATURAL HISTORY	\$10,000.00
CK #3884	TRANSFIGURATION	\$1,000.00
CK #3887	LOS ANGELES COUNTY	\$1,000.00
CK #3888	CHILDREN'S BUREAU	\$1,000.00
CK #3889	OPICA	\$250.00
CK #3890	ARCHDIOCESE OF LOS	\$1,000.00
CK #3892	LOS ANGELES SPORTS	\$300.00
CK #3894	DOLORES MISSION	\$1,200.00
CK #3897	THE PAULIST FATHER	\$1,000.00
CK #3900	LOYOLA HIGH SCHOOL	\$1,000.00
CK #3901	CATHOLIC EDUCATION	\$1,000.00
CK #3902	CATHOLIC EDUCATION	\$250.00
CK #3903	DOCTORS WITHOUT	\$100.00
CK #3906	THE ALZHEIMER'S ASS	\$100.00

CK #3907	ASSISTANCE LEAGUE	\$150.00
CK #3911	ASCENDING LIGHT LEA	\$100.00
CK #3912	USC ASSOCIATES	\$1,000.00
CK #3914	HOOVER INSTITUTION	\$1,000.00
CK #3918	LOS ANGELES ORPHANA	\$1,000.00
CK #3920	LES AMIES - CII	\$100.00
CK #3921	SOAR {ANNUAL}	\$1,000.00
CK #3922	OFFICE OF RESTORAT	\$100.00
CK #3924	EOHSJ	\$15,000.00
CK #3926	USC CARUSO CATHOLI	\$200.00
CK #3927	SPECIAL OLYMPICS	\$1,000.00
CK #3930	LOS ANGELES HOUSE	\$100.00
CK #3931	THE USC NORRIS COM	\$250.00
CK #3932	THE COLLEAGUES	\$100.00
CK #3934	AMERICA PRESS, INC	\$250.00
CK #3935	AMERICAN DIABETES	\$1,000.00
CK #3936	ASPCA	\$2,000.00
CK #3937	THE AFRICAN WILDLI	\$5,000.00
CK #3938	AMERICAN CANCER SO	\$5,000.00
CK #3939	AMERICAN RED CROSS	\$1,000.00
CK #3940	THE COLLEAGUES	\$100.00
CK #3942	COVENANT HOUSE	\$1,000.00
CK #3943	CALIFORNIA PATRONS	\$35,000.00
CK #3944	CARMELITE MONASTER	\$2,500.00
CK #3945	THE CATHEDRAL OF O	\$5,000.00
CK #3946	CARE	\$1,000.00
CK #3947	CHILDHELP	\$1,000.00
CK #3948	CATHOLIC CHARITIES	\$1,000.00
CK #3949	CASA OF LOS ANGELE	\$4,000.00
CK #3950	DOLORES MISSION SC	\$10,000.00
CK #3951	DOCTORS WITHOUT BO	\$5,000.00
CK #3952	DOMINICAN SCHOOL O	\$1,000.00
CK #3953	DOMINICAN SISTERS	\$1,000.00
CK #3954	MACULAR DEGENERATI	\$1,000.00
CK #3955	CALIFORNIA HOSPITA	\$100.00
CK #3956	FINCA	\$1,000.00
CK #3957	GOOD SHEPHERD CENT	\$2,500.00
CK #3958	GENTLE GIANTS	\$1,000.00
CK #3959	GOOD SHEPHERD SHELT	\$2,500.00
CK #3960	HOLY FAMILY HOSPITA	\$1,000.00
CK #3961	INSTITUTE FOR ADVAN	\$5,000.00
CK #3962	INTERNATIONAL LIFE	\$1,000.00
CK #3963	JESUIT SCHOOL OF T	\$1,000.00
CK #3964	JOHN WAYNE CANCER	\$5,000.00
CK #3965	LAS MADRINAS	\$50,000.00
CK #3966	LAY MISSION-HELPER	\$1,000.00
CK #3967	LOYOLA HIGH SCHOOL	\$25,000.00
CK #3968	LIVE & LET LIVE FA	\$1,000.00
CK #3969	LINCOLN TRAINING C	\$1,000.00
CK #3970	MADD	\$1,000.00
CK #3971	MARYMOUNT CALIFORN	\$5,000.00
CK #3972	MEALS ON WHEELS WE	\$1,000.00
CK #3973	MISSION DOCTORS ASS	\$1,000.00
CK #3974	HOSPICE OF HUMBOLD	\$1,000.00
CK #3975	NATIONAL TRUST FOR	\$1,000.00

CK #3976	DEL MAR FOUNDATION	\$1,000.00
CK #3977	EMQ FAMILIES FIRST	\$1,000.00
CK #3978	MILES JESU	\$1,000.00
CK #3979	MARYMOUNT HIGH SCH	\$500,000.00
CK #3980	NATIONAL PARK FOUN	\$5,000.00
CK #3981	NATIONAL WILDLIFE	\$1,000.00
CK #3982	ORDER OF MALTA	\$25,000.00
CK #3983	PARA LOS NINOS	\$2,500.00
CK #3984	THE PAULIST FATHER	\$5,000.00
CK #3985	PRISON FELLOWSHIP	\$2,500.00
CK #3986	THE RIGHT TO LIFE	\$1,000.00
CK #3987	THE RONALD REAGAN	\$1,000.00
CK #3988	SAINT JOHN'S HEALT	\$10,000.00
CK #3989	ST. PAUL THE APOST	\$100,000.00
CK #3990	ST. PAUL THE APOST	\$10,000.00
CK #3991	ST. PAUL THE APOST	\$25,000.00
CK #3992	ST. VINCENT MEALS	\$1,000.00
CK #3993	THE SALVATION ARMY	\$1,000.00
CK #3994	SISTERS OF THE HOL	\$100.00
CK #3995	SMILE TRAIN	\$2,500.00
CK #3998	UNITED WAY OF GRE	\$1,000.00
CK #3999	UNION RESCUE MISSI	\$2,500.00
CK #4000	UNITED FRIENDS OF T	\$1,000.00
CK #4001	USC CARUSO CATHOLIC	\$250,000.00
CK #4002	THE USO	\$1,000.00
CK #4003	VATICAN OBSERVATORY	\$1,000.00
CK #4004	VERBUM DEI HIGH SC	\$5,000.00
CK #4005	VETERANS PARK CONS	\$1,000.00
CK #4006	WESTSIDE PREGNANCY	\$2,500.00
CK #4007	WOMEN'S LEAGUE OF	\$1,000.00
CK #4008	WOUNDED WARRIOR PR	\$2,500.00
CK #4009	ARBOR DAY FOUNDATI	\$1,000.00
CK #4010	USC TLLA JP ALLEN	\$1,000.00
CK #4013	MALTESER INTERNATI	\$4,000.00
CK #4014	ADOPTAPLATOON	\$100.00
CK #4015	ASSISTANCE LEAGUE	\$5,000.00
CK #4016	AUXILIARY OF THE L	\$1,000.00
CK #4017	BARLOW RESPIRATORY	\$1,000.00
CK #4018	CALIFORNIA HOSPITA	\$5,000.00
CK #4019	CALIFORNIA SCIENCE	\$25,000.00
CK #4020	CALIFORNIA STATE P	\$1,000.00
CK #4021	CATHOLIC EDUCATION	\$100,000.00
CK #4022	THE COLLEAGUES	\$50,000.00
CK #4023	DAUGHTERS OF MARY A	\$1,000.00
CK #4025	FRIENDS OF THE ARC	\$1,000.00
CK #4026	GEORGETOWN	\$25,000.00
CK #4027	GLAZA ZOO FUND	\$5,000.00
CK #4028	GOODWILL SOUTHERN	\$1,000.00
CK #4029	FREEDOMS FOUNDATIO	\$5,000.00
CK #4030	USC CARUSO CATHOLI	\$20,000.00
CK #4031	CHILDREN'S BUREAU	\$100.00
CK #4032	SANTA CLARA UNIVER	\$25,000.00
CK #4033	HOLY FAMILY SERVIC	\$1,000.00
CK #4034	HOSPITALER FOUNDA	\$5,000.00
CK #4035	HOUSE OF PRAYER FO	\$2,500.00

CK #4036	IEDF, INC.	\$2,500.00
CK #4037	JESUIT VOLUNTEER C	\$1,000.00
CK #4038	JUNIOR LEAGUE OF L	\$1,000.00
CK #4039	KAPPA ALPHA THETA	\$5,000.00
CK #4040	LEARNING ALLY	\$1,000.00
CK #4041	LIBRARY FOUNDATION	\$2,000.00
CK #4042	LIFESAVERS WILD HO	\$1,000.00
CK #4043	LOS ANGELES HOUSE	\$1,000.00
CK #4044	LOYOLA MARYMOUNT U	\$50,000.00
CK #4046	MARLBOROUGH SCHOOL	\$2,500.00
CK #4047	THE MANNEQUINS OF	\$250.00
CK #4048	MARY & JOSEPH RETR	\$1,000.00
CK #4049	MARYCREST MANOR	\$1,000.00
CK #4050	MEDICAL MISSION SI	\$1,000.00
CK #4051	MEND	\$2,500.00
CK #4052	OFFICE OF RESTORAT	\$1,000.00
CK #4053	OPICA	\$1,000.00
CK #4054	NEW MOUNT CARMEL F	\$10,000.00
CK #4055	OPTIONS UNITED	\$1,000.00
CK #4056	KNIGHTS OF ST. GRE	\$15,000.00
CK #4057	PAULIST PRODUCTION	\$10,000.00
CK #4058	PEACEFUL VALLEY DO	\$1,000.00
CK #4059	RESURRECTION SCHOO	\$5,000.00
CK #4060	RETIREMENT FUND FO	\$5,000.00
CK #4061	ST. JAMES INN	\$2,500.00
CK #4062	ST. JOHN'S SEMINAR	\$5,000.00
CK #4063	ST. THOMAS THE APO	\$1,000.00
CK #4064	SAVE THE REDWOODS	\$1,000.00
CK #4065	SISTERS OF SOCIAL	\$50,000.00
CK #4066	SKULL AND DAGGER F	\$1,000.00
CK #4067	SOAR	\$4,000.00
CK #4068	TROOPSDIRECT	\$1,000.00
CK #4069	USC ATHLETICS	\$1,000.00
CK #4070	USC HILLEL FOUNDAT	\$1,000.00
CK #4071	USC LIBRARIES	\$15,000.00
CK #4072	USC NORRIS COMPREH	\$5,000.00
CK #4073	USOC	\$1,000.00
CK #4074	ACHIEVABLE FOUNDAT	\$1,000.00
CK #4075	HOMEBOY INDUSTRIES	\$10,000.00
CK #4077	THE MUSIC CENTER	\$100.00
CK #4079	CHILDREN'S BURN FO	\$1,000.00
CK #4080	RED CLOUD INDIAN S	\$1,000.00
CK #4081	SAINT ANDREW'S ABB	\$1,000.00

\$1,740,200.00

2013 Tax Information Statement

Recipient's Name and Address:

KLM FOUNDATION
WEALTH & TAX ADVISORY INC.
400 S HOPE ST SUITE 2000
LOS ANGELES, CA 90071

Account Number: 26-01329

Recipient's Tax ID Number: 95-4682685

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2013 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description		Stock or Other Symbol				Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed				
Long Term Sales										
626755102		MURPHY USA INC COM		MUSA						
7500		09/03/2013	06/06/2008	29.03	39.61	0.00	-10.58	0.00	0.00	0.00
24702R101		DELL INC		DELL						
2600.0000		10/30/2013	Various	35,750.00	59,488.85	0.00	-23,738.85	0.00	0.00	0.00
Total Long Term Sales				35,779.03	59,528.46	0.00	-23,749.43	0.00	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 7 of 47
Ref: DME

Recipient's Name and Address:

KLM FOUNDATION
WEALTH & TAX ADVISORY INC.
400 S HOPE ST SUITE 2000
LOS ANGELES, CA 90071

Account Number:

26-47711
95-4682685

Recipient's Tax ID Number:

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

2013 Proceeds from Broker and Barter Exchange Transactions

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol								
		Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld	
Short Term Sales										
36160B105	ADR GDF SUEZ S A SPONSORED ADR ADR			GDFZY						
1000.0000	01/02/2013 09/19/2012			20,861.63	25,456.00	0.00	-4,594.37	0.00	0.00	0.00
910047109	UNITED CONTL HLDGS INC COM STK			UAL						
540.0000	01/02/2013 Various			12,943.50	12,831.67	0.00	111.83	0.00	0.00	0.00
166764100	CHEVRONTEXACO CORP COM			CVX						
500.0000	01/03/2013 06/13/2012			55,358.45	50,261.00	0.00	5,097.45	0.00	0.00	0.00
171232101	CHUBB CORP COM			CB						
1000.0000	01/03/2013 Various			76,657.78	77,496.34	0.00	-838.56	0.00	0.00	0.00
651639106	NEWMONT MINING CORP NEW COMMON STOCK			NEM						
500.0000	01/03/2013 08/15/2012			23,461.37	22,988.55	0.00	472.82	0.00	0.00	0.00
931142103	WAL MART STORES INC			WMT						
240.0000	01/10/2013 08/16/2012			16,286.03	17,371.66	0.00	-1,085.63	0.00	0.00	0.00
93317Q105	WALTER INDS INC			WLT						
120.0000	01/10/2013 10/12/2012			4,695.84	4,287.77	0.00	408.07	0.00	0.00	0.00
942683103	WATSON PHARMACEUTICALS INC			WPI						
130.0000	01/14/2013 06/25/2012			10,943.92	9,317.54	0.00	1,626.38	0.00	0.00	0.00
942683103	WATSON PHARMACEUTICALS INC			WPI						
120.0000	01/16/2013 06/25/2012			10,217.60	8,600.81	0.00	1,616.79	0.00	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 8 of 47
Ref: DME

Recipient's Name and Address:

KLM FOUNDATION
WEALTH & TAX ADVISORY INC.
400 S HOPE ST SUITE 2000
LOS ANGELES, CA 90071

Account Number: 26-47711

Recipient's Tax ID Number: 95-4682685

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
942683103	WATSON PHARMACEUTICALS INC	WPI	2,549.04	2,150.20	0.00	398.84	0.00	0.00
942683103	WATSON PHARMACEUTICALS INC	WPI	1,698.89	1,433.47	0.00	265.42	0.00	0.00
00507K103	#REORG/ACTAVIS INC STK MERGER ACTAVIS PLC 2R1PAW1 EFF 10-01-2013	ACT	16,919.62	14,334.68	0.00	2,584.94	0.00	0.00
45666Q102	INFORMATICA CORP	INFA	50,651.04	39,218.47	0.00	11,432.57	0.00	0.00
1370.0000	01/25/2013 Various	BEAM	8,761.38	7,480.11	0.00	1,281.27	0.00	0.00
073730103	BEAM INC	PSX	9,944.14	4,815.63	0.00	5,128.51	0.00	0.00
140.0000	01/29/2013 Various	MRVL	28,406.36	24,830.10	0.00	3,576.26	0.00	0.00
718546104	PHILLIPS 66 COM	WHR	19,164.50	10,861.95	0.00	8,302.55	0.00	0.00
160.0000	01/30/2013 Various	BA	22,765.10	21,910.17	0.00	854.93	0.00	0.00
G5876H105	MARVELL TECHNOLOGY GROUP LTD ORD							
3000.0000	01/30/2013 12/14/2012							
963320106	WHIRLPOOL CORP							
170.0000	01/31/2013 07/25/2012							
097023105	BOEING CO							
300.0000	02/05/2013 01/17/2013							

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Page 9 of 47
Ref: DME

Recipient's Name and Address:

KLM FOUNDATION
WEALTH & TAX ADVISORY INC.
400 S HOPE ST SUITE 2000
LOS ANGELES, CA 90071

Account Number:

26-47711
95-4682685

Recipient's Tax ID Number:

☐ Corrected ☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks, Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
375558103	GILEAD SCIENCES INC	GILD	540.0000	02/05/2013	05/09/2012	21,835.44	13,542.42	0.00	8,293.02	0.00	0.00
988498101	YUM BRANDS INC	YUM	400.0000	02/07/2013	Various	25,474.58	25,579.28	0.00	-104.70	0.00	0.00
988498101	YUM BRANDS INC	YUM	130.0000	02/07/2013	12/21/2012	8,260.01	8,305.47	0.00	-45.46	0.00	0.00
845467109	SOUTHWESTERN ENERGY CO COM	SWN	200.0000	02/11/2013	03/21/2012	6,750.24	6,710.66	0.00	39.58	0.00	0.00
G0692U109	AXIS CAPITAL HOLDINGS LTD COM	AXS	210.0000	02/11/2013	03/26/2012	8,280.49	7,004.57	0.00	1,275.92	0.00	0.00
963320106	WHIRLPOOL CORP	WHR	50.0000	02/13/2013	07/25/2012	5,540.38	3,194.69	0.00	2,345.69	0.00	0.00
60871R209	MOLSON COORS BREWING CO CL B	TAP	1000.0000	02/14/2013	11/16/2012	45,123.98	40,038.60	0.00	5,085.38	0.00	0.00
948626106	WEIGHT WATCHERS INTL INC NEW	WTW	240.0000	02/14/2013	12/06/2012	10,809.95	12,073.27	0.00	-1,263.32	0.00	0.00
067901108	BARRICK GOLD CORP	ABX	190.0000	02/15/2013	05/23/2012	5,986.36	7,399.85	0.00	-1,413.49	0.00	0.00

This is important tax information and is being furnished to you.

2013 Tax Information Statement

Recipient's Name and Address:

KLM FOUNDATION
WEALTH & TAX ADVISORY INC.
400 S HOPE ST SUITE 2000
LOS ANGELES, CA 90071

Account Number: 26-47711

Recipient's Tax ID Number: 95-4682685

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

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225447101	CREE INC	CREE	225447101	02/19/2013	07/05/2012	13,510.52	7,506.33	0.00	6,004.19	0.00	0.00
300.0000			300.0000								
35671D857	FREEMPORT MCMORAN C & G CL B COM STK	FCX	35671D857	02/20/2013	12/06/2012	7,046.98	6,479.51	0.00	567.47	0.00	0.00
210.0000			210.0000								
74733V100	QEP RES INC COM STK	QEP	74733V100	02/20/2013	01/18/2013	28,289.82	27,636.25	0.00	653.57	0.00	0.00
920.0000			920.0000								
948626106	WEIGHT WATCHERS INTL INC NEW	WTW	948626106	02/20/2013	12/06/2012	10,755.01	12,073.27	0.00	-1,318.26	0.00	0.00
240.0000			240.0000								
35671D857	FREEMPORT MCMORAN C & G CL B COM STK	FCX	35671D857	02/21/2013	12/06/2012	18,983.12	18,204.33	0.00	778.79	0.00	0.00
590.0000			590.0000								
790849103	ST JUDE MEDICAL INC	STJ	790849103	02/21/2013	04/18/2012	7,716.37	7,253.46	0.00	462.91	0.00	0.00
190.0000			190.0000								
189754104	COACH INC	COH	189754104	02/22/2013	01/23/2013	5,141.57	5,596.26	0.00	-454.69	0.00	0.00
110.0000			110.0000								
225447101	CREE INC	CREE	225447101	02/22/2013	07/05/2012	18,753.58	10,508.86	0.00	8,244.72	0.00	0.00
420.0000			420.0000								
428236103	HEWLETT PACKARD CO COM	HPQ	428236103	02/22/2013	11/20/2012	8,335.15	5,496.40	0.00	2,838.75	0.00	0.00
460.0000			460.0000								

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KLM FOUNDATION
WEALTH & TAX ADVISORY INC.
400 S HOPE ST SUITE 2000
LOS ANGELES, CA 90071

Account Number:

26-47711
95-4682685

Recipient's Tax ID Number:

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
882508104	TEXAS INSTRUMENTS INC	02/22/2013	05/08/2012	TXN	5,998.94	0.00	494.14	0.00	0.00
180.0000									
790849103	ST JUDE MEDICAL INC	02/25/2013	04/18/2012	STJ	5,350.15	0.00	387.26	0.00	0.00
130.0000									
988858106	ZALE CORP NEW	02/25/2013	11/30/2012	ZLC	11,643.03	0.00	-2,963.67	0.00	0.00
3000.0000									
189754104	COACH INC	02/27/2013	01/23/2013	COH	13,736.28	0.00	-655.19	0.00	0.00
270.0000									
00738A106	ADTRAN INC	02/28/2013	12/05/2012	ADTN	15,197.76	0.00	2,764.55	0.00	0.00
800.0000									
36160B105	ADR GDF SUEZ S A SPONSORED ADR ADR	03/01/2013	09/19/2012	GDFZY	4,226.04	0.00	-1,628.84	0.00	0.00
230.0000									
H8817H100	TRANSCOCEAN LTD	03/04/2013	12/12/2012	RIG	16,128.35	0.00	2,304.53	0.00	0.00
300.0000									
36160B105	ADR GDF SUEZ S A SPONSORED ADR ADR	03/05/2013	09/19/2012	GDFZY	19,601.12	0.00	-5,000.25	0.00	0.00
770.0000									
878742204	TECK RESOURCES LTD CLASS B'SUB-VTG	03/05/2013	02/19/2013	TCK	29,783.13	0.00	-2,862.87	0.00	0.00
1000.0000									

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14040H105	CAPITAL ONE FINL CORP	03/08/2013	03/12/2012	COF	3,232.45	0.00	291.93	0.00	0.00
60.0000					2,940.52				
46625H100	J P MORGAN CHASE & CO	03/18/2013	05/11/2012	JPM	24,677.39	0.00	6,126.34	0.00	0.00
500.0000					18,551.05				
500255104	KOHL'S CORP	03/19/2013	11/29/2012	KSS	39,554.37	0.00	376.93	0.00	0.00
850.0000					39,177.44				
45666Q102	INFORMATICA CORP	03/26/2013	11/19/2012	INFA	16,740.12	0.00	3,148.82	0.00	0.00
500.0000					13,591.30				
375558103	GILEAD SCIENCES INC	04/01/2013	Various	GILD	21,321.30	0.00	10,343.17	0.00	0.00
440.0000					10,978.13				
861012102	ADR STMICROELECTRONICS N V SHS-N Y REGISTRY	04/01/2013	Various	STM	23,570.17	0.00	4,985.07	0.00	0.00
3000.0000					18,585.10				
922042858	MFC VANGUARD FTSE EMERGING MKTS ETF	04/01/2013	09/13/2012	VWO	21,269.52	0.00	-95.48	0.00	0.00
500.0000					21,365.00				
009158106	AIR PRODUCTS & CHEMICALS INC COM	04/02/2013	12/06/2012	APD	42,721.44	0.00	1,765.64	0.00	0.00
500.0000					40,955.80				
037411105	APACHE CORP	04/02/2013	Various	APA	29,064.63	0.00	-415.41	0.00	0.00
380.0000					29,480.04				

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247916208	DENBURY RES INC NEW	DNR	4000.0000	04/02/2013	01/04/2013	71,537.19	66,852.80	0.00	4,684.39	0.00	0.00
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	SLB	90.0000	04/02/2013	12/14/2012	6,665.05	6,157.77	0.00	507.28	0.00	0.00
45666Q102	INFORMATICA CORP	INFA	290.0000	04/03/2013	11/19/2012	9,706.69	7,882.96	0.00	1,823.73	0.00	0.00
595017104	MICROCHIP TECHNOLOGY INC.	MCHP	1500.0000	04/03/2013	10/26/2012	53,822.69	46,592.10	0.00	7,230.59	0.00	0.00
882508104	TEXAS INSTRUMENTS INC	TXN	140.0000	04/03/2013	05/08/2012	4,858.81	4,281.51	0.00	577.30	0.00	0.00
315616102	F5 NETWORKS INC	FFIV	80.0000	04/04/2013	04/11/2012	6,104.97	9,787.37	0.00	-3,682.40	0.00	0.00
718546104	PHILLIPS 66 COM	PSX	150.0000	04/04/2013	05/07/2012	9,453.80	4,509.99	0.00	4,943.81	0.00	0.00
013817101	ALCOA INC	AA	1350.0000	04/05/2013	Various	10,926.65	13,391.53	0.00	-2,464.88	0.00	0.00
013817101	ALCOA INC	AA	1350.0000	04/08/2013	09/14/2012	11,098.51	13,379.17	0.00	-2,280.66	0.00	0.00

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346375108	FORMFACTOR INC COM STK	04/08/2013	Various	FORM	21,910.00	0.00	-11,545.27	0.00	0.00
5000.0000					33,455.27				
718546104	PHILLIPS 66 COM	04/08/2013	05/07/2012	PSX	29,831.33	0.00	15,399.36	0.00	0.00
480.0000					14,431.97				
45666Q102	INFORMATICA CORP	04/09/2013	Various	INFA	15,837.57	0.00	2,989.80	0.00	0.00
475.0000					12,847.77				
067901108	BARRICK GOLD CORP	04/10/2013	05/23/2012	ABX	5,899.20	0.00	-3,058.52	0.00	0.00
230.0000					8,957.72				
38259P508	GOOGLE INC CL A	04/10/2013	04/23/2012	GOOG	7,873.12	0.00	1,919.74	0.00	0.00
10.0000					5,953.38				
882508104	TEXAS INSTRUMENTS INC	04/10/2013	05/08/2012	TXN	4,992.28	0.00	710.77	0.00	0.00
140.0000					4,281.51				
594918104	MICROSOFT CORP COM	04/12/2013	Various	MSFT	11,213.25	0.00	-303.67	0.00	0.00
390.0000					11,516.92				
538034109	LIVE NATION ENTERTAINMENT INC	04/15/2013	Various	LYV	30,791.05	0.00	7,419.29	0.00	0.00
2500.0000					23,371.76				
85771P102	STATOIL ASA SPONSORED ADR	04/15/2013	04/11/2013	STO	35,177.21	0.00	-1,226.29	0.00	0.00
1500.0000					36,403.50				

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922042858	MFC VANGUARD FTSE EMERGING MKTS ETF	VWO	500.0000	04/15/2013	09/13/2012	20,818.33	21,365.00	0.00	-546.67	0.00	0.00
H8817H100	TRANSOCEAN LTD	RIG	200.0000	04/15/2013	12/12/2012	9,781.44	9,215.88	0.00	565.56	0.00	0.00
111320107	BROADCOM CORP CL A	BRCM	410.0000	04/17/2013	05/21/2012	13,445.08	12,830.91	0.00	614.17	0.00	0.00
704549104	PEABODY ENERGY CORP COM	BTU	1000.0000	04/17/2013	04/05/2013	18,900.57	20,058.90	0.00	-1,158.33	0.00	0.00
882508104	TEXAS INSTRUMENTS INC	TXN	360.0000	04/17/2013	05/08/2012	12,281.52	11,009.58	0.00	1,271.94	0.00	0.00
654902204	NOKIA CORP SPONSORED ADR	NOK	5000.0000	04/18/2013	01/31/2013	15,667.14	19,686.00	0.00	-4,018.86	0.00	0.00
580037109	MCDERMOTT INTERNATIONAL INC	MDR	1500.0000	04/19/2013	03/01/2013	15,086.06	17,105.40	0.00	-2,019.34	0.00	0.00
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	SLB	410.0000	04/23/2013	12/14/2012	29,435.45	28,052.08	0.00	1,383.37	0.00	0.00
87311L104	TW TELECOM INC CL A STK	TWTC	300.0000	04/23/2013	03/15/2013	8,012.85	7,409.82	0.00	603.03	0.00	0.00

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134429109	CAMPBELL SOUP CO	CPB	1000.0000	04/24/2013	Various	46,332.36	33,904.20	0.00	12,428.16	0.00	0.00
067901108	BARRICK GOLD CORP	ABX	470.0000	04/25/2013	05/23/2012	9,056.03	18,304.90	0.00	-9,248.87	0.00	0.00
317923100	FINISH LINE INC CL A	FINL	1320.0000	04/30/2013	01/23/2013	25,487.56	23,902.30	0.00	1,585.26	0.00	0.00
29364G103	ENTERGY CORP NEW	ETR	500.0000	05/01/2013	04/08/2013	35,552.05	33,641.05	0.00	1,911.00	0.00	0.00
58933Y105	MERCK & CO INC	MRK	610.0000	05/01/2013	Various	27,379.54	25,551.98	0.00	1,827.56	0.00	0.00
74733T105	QLIK TECHNOLOGIES INC COM STK	QLIK	10.0000	05/08/2013	08/17/2012	264.18	230.80	0.00	33.38	0.00	0.00
88023U101	TEMPUR SEALY INTL INC COM	TPX	390.0000	05/09/2013	10/24/2012	18,433.75	9,861.30	0.00	8,572.45	0.00	0.00
65535H208	NOMURA HOLDINGS INC ADR	NMR	2000.0000	05/13/2013	11/15/2012	18,415.98	7,515.40	0.00	10,900.58	0.00	0.00
708160106	JC PENNEY CO INC COM	JCP	380.0000	05/13/2013	04/15/2013	7,073.46	5,635.02	0.00	1,438.44	0.00	0.00

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251566105	DEUTSCHE TELEKOM AG SPONSORED ADR	DTEGY	1130.0000	05/15/2013	09/10/2012	13,081.71	13,928.83	0.00	-847.12	0.00	0.00
465741106	ITRON INC	ITRI	90.0000	05/15/2013	02/14/2013	3,730.52	3,913.07	0.00	-182.55	0.00	0.00
465741106	ITRON INC	ITRI	100.0000	05/20/2013	Various	4,305.07	3,975.09	0.00	329.98	0.00	0.00
433578507	ADR HITACHI LTD A.D.R. FOR 10 COM	HTHIY	1000.0000	05/23/2013	Various	68,937.08	54,843.50	0.00	14,093.59	0.00	0.00
65535H208	NOMURA HOLDINGS INC ADR	NMR	3000.0000	05/23/2013	11/15/2012	25,037.26	11,273.10	0.00	13,764.16	0.00	0.00
29364G103	ENTERGY CORP NEW	ETR	280.0000	05/28/2013	04/05/2013	19,539.90	18,695.15	0.00	844.75	0.00	0.00
93317Q105	WALTER INDS INC	WLT	130.0000	05/29/2013	10/12/2012	2,350.64	4,645.08	0.00	-2,294.44	0.00	0.00
29364G103	ENTERGY CORP NEW	ETR	570.0000	05/30/2013	Various	39,596.31	37,394.26	0.00	2,202.05	0.00	0.00
86562M209	ADR SUMITOMO MITSUI FINL GROUP INC SPONSORED ADR	SMFG	2500.0000	06/04/2013	05/22/2013	21,024.63	23,191.75	0.00	-2,167.12	0.00	0.00

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88023U101	TEMPUR SEALY INTL INC COM	TPX	7,474.43	4,551.37	0.00	2,923.06	0.00	0.00
180.0000	06/05/2013 10/24/2012	TPX	8,154.92	4,804.23	0.00	3,350.69	0.00	0.00
88023U101	TEMPUR SEALY INTL INC COM	HSP	3,196.06	3,146.33	0.00	49.73	0.00	0.00
190.0000	06/06/2013 10/24/2012	TPX	4,429.39	2,528.54	0.00	1,900.85	0.00	0.00
441060100	HOSPIRA INC	VOD	43,667.98	42,184.80	0.00	1,483.18	0.00	0.00
90.0000	06/12/2013 07/02/2012	WLT	5,298.78	15,721.82	0.00	-10,423.04	0.00	0.00
88023U101	TEMPUR SEALY INTL INC COM	WIN	12,113.03	12,232.80	0.00	-119.77	0.00	0.00
100.0000	06/17/2013 10/24/2012	DBD	31,613.74	30,130.80	0.00	1,482.94	0.00	0.00
92857W209	VODAFONE GROUP PLC SPONSORED ADR	WIN	27,999.51	28,096.95	0.00	-97.44	0.00	0.00
1500.0000	06/17/2013 04/08/2013							
93317Q105	WALTER INDS INC							
440.0000	06/17/2013 10/12/2012							
97381W104	#REORG/WINDSTREAM NAME CHANGE WINDSTREAMHLDGS INC COM 2S1PAC1 09-03-2013							
1500.0000	06/19/2013 05/09/2013							
253651103	DIEBOLD INC COM							
1000.0000	06/24/2013 02/12/2013							
97381W104	#REORG/WINDSTREAM NAME CHANGE WINDSTREAMHLDGS INC COM 2S1PAC1 09-03-2013							
3500.0000	07/15/2013 05/09/2013							

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39304D102	GREEN DOT CORP COM STK	GDOT	600.0000	07/18/2013	12/05/2012	12,032.85	7,636.26	0.00	4,396.59	0.00	0.00
62855J104	MYRIAD GENETICS INC	MYGN	400.0000	07/18/2013	06/14/2013	12,467.86	11,850.76	0.00	617.10	0.00	0.00
848637104	SPLUNK INC COMSTK COM USD0.001	SPLK	500.0000	07/18/2013	01/04/2013	24,989.56	14,698.65	0.00	10,290.91	0.00	0.00
055434203	BG PLC ADR FIN INST N	BRGY	2000.0000	07/19/2013	05/16/2013	36,703.15	37,176.60	0.00	-473.45	0.00	0.00
30161Q104	EXELIXIS INC COM STK ISIN# US30161Q1040	EXEL	5560.0000	07/23/2013	Various	30,017.35	27,786.93	0.00	2,230.42	0.00	0.00
57060U100	MFC MARKET VECTORS ETF TR GOLD MINERS ETF FD	GDX	1000.0000	07/24/2013	06/04/2013	27,709.71	29,647.60	0.00	-1,937.89	0.00	0.00
68389X105	ORACLE CORPORATION	ORCL	1000.0000	07/24/2013	03/21/2013	32,486.83	32,389.80	0.00	97.03	0.00	0.00
670704105	NUVASIVE INC COM	NUVA	1570.0000	07/31/2013	05/02/2013	36,441.57	32,632.45	0.00	3,809.12	0.00	0.00
708160106	JC PENNEY CO INC COM	JCP	720.0000	08/06/2013	04/15/2013	9,412.53	10,676.88	0.00	-1,264.35	0.00	0.00

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P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
035623107	ANN INC COM	ANN	1000.0000	08/22/2013	Various	32,346.23	28,979.50	0.00	3,366.73	0.00	0.00
98138H101	WORKDAY INC CL A COM USD0.001	WDAY	120.0000	08/26/2013	Various	9,147.44	6,960.31	0.00	2,187.13	0.00	0.00
189754104	COACH INC	COH	1000.0000	08/29/2013	07/31/2013	52,646.18	52,964.30	0.00	-318.12	0.00	0.00
170032809	CHIQUITA BRANDS INTL INC	COB	2000.0000	09/04/2013	04/25/2013	26,048.94	17,664.20	0.00	8,384.74	0.00	0.00
88023U101	TEMPUR SEALY INTL INC COM	TPX	1000.0000	09/04/2013	07/26/2013	41,240.68	37,828.10	0.00	3,412.58	0.00	0.00
98986T108	ZYNGA INC	ZNGA	5010.0000	09/06/2013	Various	14,979.64	15,687.79	0.00	-708.15	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL	20.0000	09/11/2013	02/22/2013	9,453.31	8,997.98	0.00	455.33	0.00	0.00
037833100	APPLE COMPUTER INC	AAPL	10.0000	09/12/2013	02/22/2013	4,710.47	4,498.99	0.00	211.48	0.00	0.00
465741106	ITRON INC	ITRI	370.0000	09/12/2013	Various	14,895.94	14,334.98	0.00	560.96	0.00	0.00

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Recipient's Name and Address:

KLM FOUNDATION
WEALTH & TAX ADVISORY INC.
400 S HOPE ST SUITE 2000
LOS ANGELES, CA 90071

Account Number: 26-47711

Recipient's Tax ID Number: 95-4682685

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Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
493267108	KEYCORP NEW COM	KEY	35,739.87	27,857.10	0.00	7,882.77	0.00	0.00
3000.0000	09/13/2013 01/17/2013	CRR	24,056.80	16,748.59	0.00	7,308.21	0.00	0.00
140781105	CARBO CERAMICS INC COM	ARO	13,012.45	21,175.19	0.00	-8,162.74	0.00	0.00
240.0000	09/16/2013 05/17/2013	ALTR	9,541.06	8,788.40	0.00	752.66	0.00	0.00
007865108	AEROPOSTALE INC	CRR	5,021.68	3,489.29	0.00	1,532.39	0.00	0.00
1295.0000	09/17/2013 05/15/2013	PBR/A	41,104.53	52,557.65	0.00	-11,453.12	0.00	0.00
021441100	ALTERA CORP	ALTR	7,125.16	6,679.18	0.00	445.98	0.00	0.00
250.0000	09/17/2013 02/11/2013	IPXL	23,708.12	20,048.16	0.00	3,659.96	0.00	0.00
140781105	CARBO CERAMICS INC COM	YHOO	10,027.02	5,085.02	0.00	4,942.00	0.00	0.00
50.0000	09/17/2013 05/17/2013							
71654V101	ADR PETROLEO BRASILEIRO SA PETROBRAS SPONSORED ADR NON VTG							
2500.0000	09/17/2013 Various							
021441100	ALTERA CORP							
190.0000	09/23/2013 02/11/2013							
45256B101	IMPAX LABORATORIES INC COM							
1160.0000	09/24/2013 05/03/2013							
984332106	YAHOO INC							
320.0000	09/24/2013 10/09/2012							

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45256B101	IMPAX LABORATORIES INC COM			IPXL						
340.0000		09/25/2013	05/03/2013		6,899.63	5,876.19	0.00	1,023.44	0.00	0.00
560879108	#REORG/MAKO SURGICAL CORP CASH MERGER			MAKO						
2000.0000		12-17-2013			59,042.77	24,693.80	0.00	34,348.97	0.00	0.00
09/25/2013		12/31/2012								
984332106	YAHOO INC			YHOO						
260.0000		09/25/2013	10/09/2012		8,182.73	4,131.58	0.00	4,051.15	0.00	0.00
140781105	CARBO CERAMICS INC COM			CRR						
20.0000		09/26/2013	05/17/2013		1,951.96	1,395.72	0.00	556.24	0.00	0.00
140781105	CARBO CERAMICS INC COM			CRR						
390.0000		09/26/2013	05/17/2013		38,123.82	27,216.46	0.00	10,907.36	0.00	0.00
85771P102	STATOIL ASA SPONSORED ADR			STO						
500.0000		09/27/2013	05/03/2013		11,429.75	11,773.90	0.00	-344.15	0.00	0.00
170032809	CHIKUITA BRANDS INTL INC			COB						
2000.0000		09/30/2013	04/25/2013		25,141.96	17,664.20	0.00	7,477.76	0.00	0.00
855030102	STAPLES INC			SPLS						
2080.0000		09/30/2013	Various		30,534.07	26,870.83	0.00	3,663.24	0.00	0.00
071813109	BAXTER INTL INC			BAX						
350.0000		10/09/2013	05/07/2013		22,739.10	23,793.77	0.00	-1,054.67	0.00	0.00

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4053C105	ADR GRUPO FINANCIERO SANTANDER MEX S A BDE C V SPONSORED ADR REPSTG SHS SER B	10/10/2013	09/13/2013	BSMX	13,420.76	0.00	-509.24	0.00	0.00
1000.0000									
651639106	NEWMONT MINING CORP NEW COMMON STOCK	10/14/2013	06/04/2013	NEM	33,926.30	0.00	-8,346.75	0.00	0.00
1000.0000									
30161N101	EXELON CORP	10/16/2013	Various	EXC	48,070.80	0.00	-4,733.70	0.00	0.00
1500.0000									
382550101	GOODYEAR TIRE & RUBBER CO	10/22/2013	02/22/2013	GT	20,199.15	0.00	12,791.57	0.00	0.00
1500.0000									
02076X102	ALPHA NATURAL RESOURCES INC COM	10/28/2013	08/14/2013	ANR	9,229.35	0.00	665.82	0.00	0.00
1500.0000									
071813109	BAXTER INTL INC	10/28/2013	05/07/2013	BAX	44,188.43	0.00	-678.71	0.00	0.00
650.0000									
848637104	SPLUNK INC COMSTK COM USD0.001	10/28/2013	01/04/2013	SPLK	14,698.65	0.00	15,795.41	0.00	0.00
500.0000									
30212P303	EXPEDIA INC DEL COM NEW	10/30/2013	10/15/2013	EXPE	17,253.32	0.00	700.96	0.00	0.00
360.0000									
62913F201	NII HLDGS INC COM NEW CL B NEW	10/31/2013	05/21/2013	NIHD	17,249.00	0.00	-10,277.13	0.00	0.00
2000.0000									

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34959E109	FORTINET INC COM	11/01/2013	10/07/2013	FTNT	22,036.70	0.00	-2,336.75	0.00	0.00
1000.0000									
835699307	SONY CORP ADR	11/04/2013	Various	SNE	14,994.43	0.00	-2,068.81	0.00	0.00
760.0000									
40412C101	HCA HLDGS INC COM	11/05/2013	Various	HCA	18,459.71	0.00	5,167.61	0.00	0.00
510.0000									
007865108	AEROPOSTALE INC	11/06/2013	Various	ARO	20,655.11	0.00	-9,338.05	0.00	0.00
1295.0000									
15135U109	CENOVUS ENERGY INC COM NPV	11/06/2013	08/29/2013	CVE	8,455.27	0.00	28.39	0.00	0.00
290.0000									
15135U109	CENOVUS ENERGY INC COM NPV	11/07/2013	Various	CVE	37,766.25	0.00	-247.28	0.00	0.00
1310.0000									
177376100	CITRIX SYS INC	11/13/2013	Various	CTXS	31,886.56	0.00	-961.66	0.00	0.00
520.0000									
071813109	BAXTER INTL INC	11/14/2013	05/07/2013	BAX	14,956.08	0.00	-153.20	0.00	0.00
220.0000									
189754104	COACH INC	11/15/2013	10/22/2013	COH	12,592.33	0.00	785.16	0.00	0.00
250.0000									

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989774104	ZULILY INC CL A CL A	ZU	50.0000	11/15/2013	11/15/2013	1,947.96	1,100.00	0.00	847.96	0.00	0.00
177376100	CITRIX SYS INC	CTXS	980.0000	11/18/2013	10/10/2013	56,029.83	57,681.04	0.00	-1,651.21	0.00	0.00
30303M102	FACEBOOK INC CL A CL A	FB	500.0000	11/19/2013	10/30/2013	23,206.54	24,978.65	0.00	-1,772.11	0.00	0.00
608753109	MOLYCORP INC COMMON STOCK	MCP	3000.0000	11/19/2013	Various	14,039.46	19,053.90	0.00	-5,014.44	0.00	0.00
67072V103	NXSTAGE MED INC COM STK	NXTM	500.0000	11/19/2013	Various	4,481.57	6,983.01	0.00	-2,501.44	0.00	0.00
002896207	ABERCROMBIE & FITCH CO CL A	ANF	650.0000	11/20/2013	11/06/2013	22,945.32	23,774.92	0.00	-829.60	0.00	0.00
292505104	ENCANA CORP COM NPV	ECA	310.0000	11/20/2013	04/16/2013	6,029.64	5,838.76	0.00	190.88	0.00	0.00
458140100	INTEL CORP COM	INTC	1500.0000	11/22/2013	Various	36,524.21	36,923.02	0.00	-398.81	0.00	0.00
67072V103	NXSTAGE MED INC COM STK	NXTM	1000.0000	11/25/2013	09/04/2013	9,987.12	12,569.50	0.00	-2,582.38	0.00	0.00

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724479100	PITNEY BOWES INC	PBI	860.0000	11/25/2013	Various	20,554.24	13,039.83	0.00	7,514.41	0.00	0.00
93317Q105	WALTER INDS INC	WLT	1000.0000	11/27/2013	09/05/2013	14,084.15	14,307.70	0.00	-223.55	0.00	0.00
380956409	GOLDCORP INC NEW COMMON	GG	500.0000	12/03/2013	11/14/2013	10,486.41	12,223.70	0.00	-1,737.29	0.00	0.00
984121103	XEROX CORP	XR	1870.0000	12/03/2013	Various	21,233.47	16,751.53	0.00	4,481.94	0.00	0.00
36112J107	FUSION-IO INC	FIO	800.0000	12/13/2013	10/30/2013	6,808.76	8,677.60	0.00	-1,868.84	0.00	0.00
13342B105	CAMERON INT'L CORP COM	CAM	1000.0000	12/16/2013	07/25/2013	56,829.01	60,060.10	0.00	-3,231.09	0.00	0.00
46120E602	INTUITIVE SURGICAL INC COM NEW STK	ISRG	120.0000	12/17/2013	Various	42,735.02	45,973.36	0.00	-3,238.34	0.00	0.00
98138H101	WORKDAY INC CL A COM USD0.001	WDAY	500.0000	12/17/2013	Various	39,850.00	28,376.20	0.00	11,473.80	0.00	0.00
46120E602	INTUITIVE SURGICAL INC COM NEW STK	ISRG	130.0000	12/18/2013	Various	46,481.45	48,008.62	0.00	-1,527.17	0.00	0.00

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189754104	COACH INC			COH					
460.0000	12/26/2013	Various		26,089.73	22,823.54	0.00	3,266.19	0.00	0.00
Total Short Term Sales					3,669,013.69	0.00	264,415.67	0.00	0.00
Long Term Sales									
37733W105	GLAXO PLC			GSK					
500.0000	01/23/2013	Various		22,428.89	22,641.57	0.00	-212.67	0.00	0.00
45666Q102	INFORMATICA CORP			INFA					
130.0000	01/25/2013	01/06/2012		4,806.30	4,671.78	0.00	134.52	0.00	0.00
768573107	RIVERBED TECHNOLOGY INC COM			RVBD					
150.0000	02/08/2013	01/27/2012		2,590.44	3,572.31	0.00	-981.87	0.00	0.00
225447101	CREE INC			CREE					
80.0000	02/22/2013	01/18/2012		3,572.11	1,849.78	0.00	1,722.33	0.00	0.00
284131208	ADR ELAN PLC ADR REPRESENTING SHS			ELN					
1390.0000	02/25/2013	05/11/2011		15,857.59	11,479.38	0.00	4,378.21	0.00	0.00
284131208	ADR ELAN PLC ADR REPRESENTING SHS			ELN					
580.0000	03/04/2013	Various		6,630.70	4,786.18	0.00	1,844.52	0.00	0.00
74733T105	QLIK TECHNOLOGIES INC COM STK			QLIK					
160.0000	03/05/2013	02/17/2012		4,299.02	4,583.60	0.00	-284.58	0.00	0.00

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14040H105	CAPITAL ONE FINL CORP	03/08/2013	02/09/2012	COF	9,697.33	0.00	888.68	0.00	0.00
180.0000				ELN	8,808.66	0.00	5,317.06	0.00	0.00
284131208	ADR ELAN PLC ADR REPRESENTING SHS	03/11/2013	Various	STRZA	11,818.66	0.00	4,114.70	0.00	0.00
1450 0000				GILD	2,391.13	0.00	1,518.52	0.00	0.00
85571Q102	STARZ LIBERTY SER A COMMON STOCK	03/20/2013	12/08/2010	JNPR	1,388.93	0.00	-16,933.46	0.00	0.00
317.0000				NUAN	35,408.74	0.00	-1,881.19	0.00	0.00
375558103	GILEAD SCIENCES INC	04/01/2013	02/17/2012	SWN	10,131.83	0.00	343.26	0.00	0.00
60.0000				SWN	3,355.33	0.00	194.20	0.00	0.00
48203R104	JUNIPER NETWORKS INC	04/02/2013	02/10/2012	TM	2,013.20	0.00	2,917.50	0.00	0.00
1000.0000					11,377.53	0.00			
67020Y100	NUANCE COMMUNICATIONS INC COM	04/02/2013	02/10/2012						
380.0000									
845467109	SOUTHWESTERN ENERGY CO COM	04/02/2013	03/21/2012						
100.0000									
845467109	SOUTHWESTERN ENERGY CO COM	04/02/2013	03/21/2012						
60.0000									
892331307	TOYOTA MOTOR CORP SP ADR REP2COM	04/03/2013	03/02/2012						
140.0000									

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315616102	F5 NETWORKS INC	04/04/2013	Various	5,341.84	6,173.47	0.00	-831.63	0.00	0.00
70.0000									
14040H105	CAPITAL ONE FINL CORP	04/05/2013	02/09/2012	17,875.00	16,149.21	0.00	1,725.79	0.00	0.00
330.0000									
845467109	SOUTHWESTERN ENERGY CO COM	04/05/2013	03/21/2012	6,197.40	5,704.06	0.00	493.34	0.00	0.00
170.0000									
45253H101	IMMUNOGEN INC COM	04/08/2013	Various	28,531.75	14,445.65	0.00	14,086.10	0.00	0.00
1770.0000									
45666Q102	INFORMATICA CORP	04/09/2013	05/29/2009	16,337.70	7,672.07	0.00	8,665.63	0.00	0.00
490.0000									
67020Y100	NUANCE COMMUNICATIONS INC COM	04/15/2013	Various	20,704.33	26,401.65	0.00	-5,697.32	0.00	0.00
1000.0000									
111320107	BROADCOM CORP CL A	04/16/2013	Various	13,382.49	13,428.79	0.00	-46.30	0.00	0.00
400.0000									
111320107	BROADCOM CORP CL A	04/17/2013	05/09/2011	3,935.14	4,027.82	0.00	-92.68	0.00	0.00
120.0000									
969203108	WILLBROS GROUP INC COM	04/19/2013	01/13/2012	28,036.42	12,837.96	0.00	15,198.46	0.00	0.00
3230.0000									

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369604103	GENERAL ELECTRIC CO	04/22/2013	02/23/2012	GE	3,011.01	0.00	332.07	0.00	0.00
140.0000					2,678.94				
38259P508	GOOGLE INC CL A	04/22/2013	10/05/2011	GOOG	7,946.38	0.00	3,050.46	0.00	0.00
10.0000					4,895.92				
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	04/23/2013	09/21/2011	SLB	358.97	0.00	20.47	0.00	0.00
5.0000					338.50				
806857108	SCHLUMBERGER LTD ISIN #AN8068571086	04/23/2013	10/01/2010	SLB	358.97	0.00	46.04	0.00	0.00
5.0000					312.93				
87311L104	TW TELECOM INC CL A STK	04/23/2013	11/16/2011	TWTC	1,602.57	0.00	529.64	0.00	0.00
60.0000					1,072.93				
369604103	GENERAL ELECTRIC CO	04/24/2013	02/23/2012	GE	9,343.52	0.00	1,115.34	0.00	0.00
430.0000					8,228.18				
87311L104	TW TELECOM INC CL A STK	04/24/2013	Various	TWTC	4,477.21	0.00	1,439.68	0.00	0.00
170.0000					3,037.53				
969203108	WILLBROS GROUP INC COM	04/25/2013	01/13/2012	WG	2,788.40	0.00	1,596.02	0.00	0.00
300.0000					1,192.38				
969203108	WILLBROS GROUP INC COM	04/25/2013	01/13/2012	WG	3,428.45	0.00	1,997.59	0.00	0.00
360.0000					1,430.86				

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2013 Tax Information Statement

Recipient's Name and Address:

KLM FOUNDATION
WEALTH & TAX ADVISORY INC.
400 S HOPE ST SUITE 2000
LOS ANGELES, CA 90071

Account Number: 26-47711

Recipient's Tax ID Number: 95-4682685

☐ Corrected

☐ 2nd TIN notice

THE NORTHERN TRUST COMPANY
P.O. BOX 803878
CHICAGO, IL 60680

Sales are listed at Gross Proceeds less commissions and option premiums.

Cusip	Quantity Sold	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
					Stocks Bonds, etc.						
073730103		BEAM INC			BEAM						
330.0000		05/01/2013	02/02/2012		21,360.65		17,517.88	0.00	3,842.77	0.00	0.00
315616102		F5 NETWORKS INC			FFIV						
130.0000		05/02/2013	08/09/2011		9,604.31		9,491.91	0.00	112.40	0.00	0.00
375558103		GILEAD SCIENCES INC			GILD						
120.0000		05/02/2013	02/17/2012		6,345.94		2,777.86	0.00	3,568.08	0.00	0.00
74733T105		QLIK TECHNOLOGIES INC COM STK			QLIK						
310.0000		05/08/2013	02/17/2012		8,189.45		8,880.72	0.00	-691.27	0.00	0.00
87311L104		TW TELECOM INC CL A STK			TWTC						
100.0000		05/08/2013	11/10/2011		2,880.92		1,775.94	0.00	1,104.98	0.00	0.00
969203108		WILLBROS GROUP INC COM			WG						
400.0000		05/08/2013	01/13/2012		3,931.19		1,589.84	0.00	2,341.35	0.00	0.00
G0692U109		AXIS CAPITAL HOLDINGS LTD COM			AXS						
760.0000		05/15/2013 Various			34,904.64		24,830.69	0.00	10,073.95	0.00	0.00
G0692U109		AXIS CAPITAL HOLDINGS LTD COM			AXS						
330.0000		05/22/2013	03/20/2012		14,311.95		10,751.47	0.00	3,560.48	0.00	0.00
67073Y106		#REORG/NV ENERGY INC CASH MERGER 12-20-2013			NVE						
1070.0000		05/30/2013	03/15/2012		25,337.15		16,902.57	0.00	8,434.58	0.00	0.00

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2013 Tax Information Statement

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Ref: DME

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Cusip	Description	Stock or Other Symbol	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
82967N108	#REORG SIRIUS NAME CHANGE SIRIUS XM HLDGS INC	SIRI	34,212.40	19,083.91	0.00	15,128.49	0.00	0.00
10000.0000	COM 0002T1FAF1 11/15/2013							
06/18/2013	Various							
747525103	QUALCOMM INC	QCOM						
500.0000	06/25/2013 06/19/2012		30,585.91	28,603.35	0.00	1,982.56	0.00	0.00
FHB903208	MARRIOTT INTL CL A COM							
31793.0000	07/10/2013 12/04/2009		13.17	0.00	0.00	13.17	0.00	0.00
747525103	QUALCOMM INC	QCOM						
310.0000	07/24/2013 Various		19,724.83	13,415.05	0.00	6,309.78	0.00	0.00
747525103	QUALCOMM INC	QCOM						
190.0000	07/24/2013 06/19/2012		12,089.41	10,869.27	0.00	1,220.14	0.00	0.00
89417E109	THE TRAVELERS COMPANIES INC.	TRV						
500.0000	07/24/2013 Various		41,067.03	28,092.26	0.00	12,974.77	0.00	0.00
225447101	CREE INC	CREE						
270.0000	07/30/2013 01/18/2012		18,572.46	6,242.99	0.00	12,329.47	0.00	0.00
14040H105	CAPITAL ONE FINL CORP	COF						
130.0000	07/31/2013 02/09/2012		8,993.25	6,361.81	0.00	2,631.44	0.00	0.00
225447101	CREE INC	CREE						
150.0000	07/31/2013 01/18/2012		10,518.62	3,468.33	0.00	7,050.29	0.00	0.00

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Cusip	Quantity Sold	Description	Date of Sale or Exchange	Date of Acquisition	Stock or Other Symbol		Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
					Stocks Bonds, etc.						
DCA517101		FRACTIONAL FIRST HORIZON NATIONAL CORP COM STK									
39468.0000		08/09/2013 Various			4.73		0.00	0.00	4.73	0.00	0.00
225447101		CREE INC			CREE						
160.0000		08/13/2013 01/18/2012			12,115.70		3,699.55	0.00	8,416.15	0.00	0.00
032511107		ANADARKO PETROLEUM CORP			APC						
180.0000		08/19/2013 05/15/2012			16,258.73		12,161.59	0.00	4,097.14	0.00	0.00
26153C103		DREAMWORKS ANIMATION INC CL A			DWA						
1000.0000		08/21/2013 07/10/2012			29,472.78		19,498.80	0.00	9,973.98	0.00	0.00
790849103		ST JUDE MEDICAL INC			STJ						
200.0000		09/06/2013 04/18/2012			10,485.99		7,635.22	0.00	2,850.77	0.00	0.00
037833100		APPLE COMPUTER INC			AAPL						
20.0000		09/11/2013 04/24/2012			9,453.31		11,153.70	0.00	-1,700.39	0.00	0.00
H27013103		WEATHERFORD INTL LTD			WFT						
420.0000		09/12/2013 Various			6,214.29		7,166.62	0.00	-952.33	0.00	0.00
H27013103		WEATHERFORD INTL LTD			WFT						
580.0000		09/12/2013 Various			8,581.64		9,489.13	0.00	-907.49	0.00	0.00
790849103		ST JUDE MEDICAL INC			STJ						
730.0000		09/30/2013 Various			39,078.04		26,444.29	0.00	12,633.75	0.00	0.00

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225447101	CREE INC	CREE	34,195.41	11,792.32	0.00	22,403.09	0.00	0.00
510.0000	10/01/2013 01/18/2012							
054303102	AVON PRODS INC	AVP	31,256.00	30,720.80	0.00	535.20	0.00	0.00
1500.0000	10/02/2013 Various							
67020Y100	NUANCE COMMUNICATIONS INC COM	NUAN	8,697.19	11,803.47	0.00	-3,106.28	0.00	0.00
500.0000	10/17/2013 Various							
717081103	PFIZER INC	PFE	5,361.29	3,869.08	0.00	1,492.21	0.00	0.00
180.0000	10/17/2013 03/01/2012							
48203R104	JUNIPER NETWORKS INC	JNPR	12,107.01	19,165.86	0.00	-7,058.85	0.00	0.00
650.0000	10/25/2013 Various							
48203R104	JUNIPER NETWORKS INC	JNPR	11,034.92	14,509.60	0.00	-3,474.68	0.00	0.00
590.0000	10/28/2013 Various							
294821608	ERICSSON L M TEL CO ADR CL B	ERIC	9,100.88	6,625.72	0.00	2,475.16	0.00	0.00
740.0000	10/30/2013 Various							
H27013103	WEATHERFORD INTL LTD	WFT	2,826.32	2,748.34	0.00	77.98	0.00	0.00
170.0000	11/05/2013 01/27/2010							
H27013103	WEATHERFORD INTL LTD	WFT	9,143.97	8,523.02	0.00	620.95	0.00	0.00
550.0000	11/05/2013 02/21/2012							

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Cusip	Description	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
969203108	WILLBROS GROUP INC COM	11/06/2013	01/13/2012	WG	3,016.05	0.00	1,783.92	0.00	0.00
310.0000					1,232.13				
292505104	ENCANA CORP COM NPV	11/07/2013	Various	ECA	13,543.09	0.00	-1,411.53	0.00	0.00
740.0000					14,954.62				
548661107	LOWES COMPANIES INC	11/15/2013	Various	LOW	15,502.02	0.00	8,325.47	0.00	0.00
300.0000					7,176.55				
292505104	ENCANA CORP COM NPV	11/20/2013	Various	ECA	19,839.46	0.00	-634.03	0.00	0.00
1020.0000					20,473.49				
82968B103	SIRIUS XM HLDGS INC COM	11/22/2013	12/23/2011	SIRI	3,690.13	0.00	1,906.10	0.00	0.00
1000.0000					1,784.03				
171779309	CIENA CORP COM NEW	11/26/2013	08/14/2012	CIEN	5,049.26	0.00	1,214.06	0.00	0.00
230.0000					3,835.20				
428236103	HEWLETT PACKARD CO COM	11/26/2013	Various	HPQ	37,005.72	0.00	19,581.38	0.00	0.00
1470.0000					17,424.34				
382550101	GOODYEAR TIRE & RUBBER CO	12/03/2013	10/15/2012	GT	21,388.32	0.00	9,005.22	0.00	0.00
1000.0000					12,383.10				
375558103	GILEAD SCIENCES INC	12/12/2013	02/17/2012	GILD	21,334.42	0.00	14,389.76	0.00	0.00
300.0000					6,944.66				

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Cusip	Description	Stock or Other Symbol	Quantity Sold	Date of Sale or Exchange	Date of Acquisition	Stocks Bonds, etc.	Cost or Other Basis	Wash Sale Loss Disallowed	Net Gain or Loss	Federal Income Tax Withheld	State Tax Withheld
G72800108	PROTHENA CORP PLC USD0.01	PRTA	82.0000	12/12/2013	Various	2,389.50	534.85	0.00	1,854.65	0.00	0.00
585055106	MEDTRONIC INC	MDT	600.0000	12/17/2013	06/11/2012	33,883.27	22,528.32	0.00	11,354.95	0.00	0.00
375558103	GILEAD SCIENCES INC	GILD	220.0000	12/18/2013	02/17/2012	15,951.50	5,092.75	0.00	10,858.75	0.00	0.00
585055106	MEDTRONIC INC	MDT	400.0000	12/18/2013	06/11/2012	22,725.28	15,018.88	0.00	7,706.40	0.00	0.00
496902404	KINROSS GOLD CORP COM	KGC	1000.0000	12/19/2013	Various	4,396.92	14,427.82	0.00	-10,030.90	0.00	0.00
375558103	GILEAD SCIENCES INC	GILD	140.0000	12/20/2013	02/17/2012	10,493.36	3,240.84	0.00	7,252.52	0.00	0.00
Total Long Term Sales						1,105,255.65	835,022.00	0.00	270,233.67	0.00	0.00

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Accounting by Account

31 DEC 13

Account number Multiple Accounts

2680046,2675514,2680045,2672848,2601329,2625543,2625149,2629908,2647711,2681426

Asset Summary by Account

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2601329 - KLM FOUNDATION

Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Transaction	Total	Market value incl accruals	%
Equities								
Common stock								
Brazil - USD	0.00	18,120.70	77,176.82	-59,056.12	0.00	-59,056.12	18,120.70	0.037%
Canada - USD	340.62	221,713.85	312,902.90	-91,189.05	0.00	-91,189.05	222,054.47	0.453%
Germany - USD	0.00	377.00	32,641.61	-32,264.61	0.00	-32,264.61	377.00	0.001%
United States - USD	2,060.60	2,409,332.27	2,009,112.99	400,219.28	0.00	400,219.28	2,411,392.87	4.915%
Total common stock	2,401.22	2,649,543.82	2,431,834.32	217,709.50	0.00	217,709.50	2,651,945.04	5.406%
Funds - common stock								
International Region - USD	0.00	7,345,240.70	5,156,088.49	2,189,152.21	0.00	2,189,152.21	7,345,240.70	14.972%
United States - USD	0.00	6,989,486.40	4,076,747.22	2,912,739.18	0.00	2,912,739.18	6,989,486.40	14.247%
Total funds - common stock	0.00	14,334,727.10	9,232,835.71	5,101,891.39	0.00	5,101,891.39	14,334,727.10	29.219%
Funds - equities etf								
United States - USD	0.00	1,242,435.00	1,000,276.00	242,159.00	0.00	242,159.00	1,242,435.00	2.533%
Total funds - equities etf	0.00	1,242,435.00	1,000,276.00	242,159.00	0.00	242,159.00	1,242,435.00	2.533%
Total equities	2,401.22	18,226,705.92	12,664,946.03	5,561,759.89	0.00	5,561,759.89	18,229,107.14	37.158%
Fixed Income								
Funds - government agencies								
United States - USD	2,164.65	3,555,477.85	3,627,319.78	-71,841.93	0.00	-71,841.93	3,557,642.50	7.252%
Total funds - government agencies	2,164.65	3,555,477.85	3,627,319.78	-71,841.93	0.00	-71,841.93	3,557,642.50	7.252%
Funds - other fixed income								
United States - USD	0.00	2,183,593.48	2,236,618.60	-53,025.12	0.00	-53,025.12	2,183,593.48	4.451%
Total funds - other fixed income	0.00	2,183,593.48	2,236,618.60	-53,025.12	0.00	-53,025.12	2,183,593.48	4.451%
Total fixed income	2,164.65	5,739,071.33	5,863,938.38	-124,867.05	0.00	-124,867.05	5,741,235.98	11.703%

Northern Trust

*Generated by Northern Trust from reviewed periodic data on 23 Oct 14 B0887

Accounting by Account

31 DEC 13

Account number Multiple Accounts

2680046,2675514,2680045,2672848,2601329,2625543,2625149,2629908,2647711,2681426

Asset Summary by Account

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2601329 - KLM FOUNDATION

Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Transaction	Total	Market value incl accruals	%
Commodities								
Funds - commodity linked								
United States - USD	0.00	860,877.85	1,163,396.02	-302,518.17	0.00	-302,518.17	860,877.85	1.755%
Total funds - commodity linked	0.00	860,877.85	1,163,396.02	-302,518.17	0.00	-302,518.17	860,877.85	1.755%
Funds - commodity etf								
Global Region - USD	0.00	84,223.25	51,367.10	32,856.15	0.00	32,856.15	84,223.25	0.172%
Total funds - commodity etf	0.00	84,223.25	51,367.10	32,856.15	0.00	32,856.15	84,223.25	0.172%
Total commodities	0.00	945,101.10	1,214,763.12	-269,662.02	0.00	-269,662.02	945,101.10	1.926%

Cash and Cash Equivalents

Funds - short term investment

Funds - Short Term Investment	39.61	6,723,892.41	6,723,892.41	0.00	0.00	0.00	6,723,932.02	13.706%
Total funds - short term investment	39.61	6,723,892.41	6,723,892.41	0.00	0.00	0.00	6,723,932.02	13.706%
Total cash and cash equivalents	39.61	6,723,892.41	6,723,892.41	0.00	0.00	0.00	6,723,932.02	13.706%

Adjustments To Cash

Pending trade purchases

Pending trade purchases	0.00	-2,164.65	-2,164.65	0.00	0.00	0.00	-2,164.65	-0.004%
Total pending trade purchases	0.00	-2,164.65	-2,164.65	0.00	0.00	0.00	-2,164.65	-0.004%
Total adjustments to cash	0.00	-2,164.65	-2,164.65	0.00	0.00	0.00	-2,164.65	-0.004%
Total for 2601329	4,605.48	31,632,606.11	26,465,376.29	5,167,230.82	0.00	5,167,230.82	31,637,211.59	64.488%
Total Cost Incl. Accruals			26,469,980.77					

Northern Trust

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Accounting by Account

31 DEC 13

Account number Multiple Accounts

2680046,2675514,2680045,2672848,2601329,2625543,2625149,2629908,2647711,2681426

Asset Summary by Account

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2647711 - KLM FOUNDATION - DODGE&COX

Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Transaction	Total	Market value incl accruals	%
Equities								
Common stock								
Canada - USD	341.38	107,877.00	118,565.00	-10,688.00	0.00	-10,688.00	108,218.38	0.221%
China - USD	0.00	40,786.20	40,856.71	-70.51	0.00	-70.51	40,786.20	0.083%
Germany - USD	0.00	58,338.80	41,663.23	16,675.57	0.00	16,675.57	58,338.80	0.119%
Hong Kong - USD	0.00	10,651.27	12,417.14	-1,765.87	0.00	-1,765.87	10,651.27	0.022%
Ireland - USD	0.00	26.52	6.40	20.12	0.00	20.12	26.52	0.000%
Israel - USD	0.00	77,980.00	73,249.05	4,730.95	0.00	4,730.95	77,980.00	0.159%
Italy - USD	0.00	28,672.00	26,997.83	1,674.17	0.00	1,674.17	28,672.00	0.058%
Japan - USD	0.00	235,748.00	180,402.17	55,345.83	0.00	55,345.83	235,748.00	0.481%
Netherlands - USD	0.00	97,925.00	89,844.90	8,080.10	0.00	8,080.10	97,925.00	0.200%
Norway - USD	0.00	24,130.00	23,547.80	582.20	0.00	582.20	24,130.00	0.049%
Sweden - USD	0.00	33,782.40	24,630.73	9,151.67	0.00	9,151.67	33,782.40	0.069%
Switzerland - USD	0.00	200,889.40	134,810.24	66,079.16	0.00	66,079.16	200,889.40	0.409%
United Kingdom - USD	831.72	341,645.20	301,368.44	40,276.76	0.00	40,276.76	342,476.92	0.698%
United States - USD	8,324.70	6,502,242.75	4,592,991.00	1,909,251.75	0.00	1,909,251.75	6,510,567.45	13.271%
Total common stock	9,497.80	7,760,694.54	5,661,350.64	2,099,343.90	0.00	2,099,343.90	7,770,192.34	15.839%
Total equities	9,497.80	7,760,694.54	5,661,350.64	2,099,343.90	0.00	2,099,343.90	7,770,192.34	15.839%
Cash and Cash Equivalents								
Funds - short term investment								
Funds - Short Term Investment	6.23	830,719.19	830,719.19	0.00	0.00	0.00	830,725.42	1.693%
Total funds - short term investment	6.23	830,719.19	830,719.19	0.00	0.00	0.00	830,725.42	1.693%
Total cash and cash equivalents	6.23	830,719.19	830,719.19	0.00	0.00	0.00	830,725.42	1.693%
Adjustments To Cash								
Pending trade purchases								
Pending trade purchases	0.00	-60,448.45	-60,448.45	0.00	0.00	0.00	-60,448.45	-0.123%

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Accounting by Account

31 DEC 13

Account number Multiple Accounts

2680046,2675514,2680045,2672848,2601329,2625543,2625149,2629908,2647711,2681426

◆ Asset Summary by Account

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2647711 - KLM FOUNDATION - DODGE&COX

Description	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Transaction	Total	Market value incl accruals	%
<i>Adjustments To Cash</i>								
Total pending trade purchases	0.00	-60,448.45	-60,448.45	0.00	0.00	0.00	-60,448.45	-0.123%
Total adjustments to cash	0.00	-60,448.45	-60,448.45	0.00	0.00	0.00	-60,448.45	-0.123%
Total for 2647711	9,504.03	8,530,965.28	6,431,621.38	2,099,343.90	0.00	2,099,343.90	8,540,469.31	17.409%
Total Cost Incl. Accruals			6,441,125.41					

Accounting by Account

31 DEC 13

Account number Multiple Accounts

2680046,2675514,2680045,2672848,2601329,2625543,2625149,2629908,2647711,2681426

Asset Summary by Account

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2681426 - KLM FOUNDATION-CAPGEMRGMKTS

Country	Accrued income/expense	Market value	Cost	Market	Unrealized gain/loss Transaction	Total	Market value incl accruals	%
Equities								
Funds - common stock								
Emerging Markets Region - USD	22,153.60	1,760,387.12	2,436,756.75	-676,369.63	0.00	-676,369.63	1,782,540.72	3.633%
Total funds - common stock	22,153.60	1,760,387.12	2,436,756.75	-676,369.63	0.00	-676,369.63	1,782,540.72	3.633%
Total equities	22,153.60	1,760,387.12	2,436,756.75	-676,369.63	0.00	-676,369.63	1,782,540.72	3.633%
Adjustments To Cash								
Pending trade purchases								
Pending trade purchases	0.00	-88,614.40	-88,614.40	0.00	0.00	0.00	-88,614.40	-0.181%
Total pending trade purchases	0.00	-88,614.40	-88,614.40	0.00	0.00	0.00	-88,614.40	-0.181%
Other receivables								
Other Receivables	0.00	66,460.80	66,460.80	0.00	0.00	0.00	66,460.80	0.135%
Total other receivables	0.00	66,460.80	66,460.80	0.00	0.00	0.00	66,460.80	0.135%
Total adjustments to cash	0.00	-22,153.60	-22,153.60	0.00	0.00	0.00	-22,153.60	-0.045%
Total for 2681426	22,153.60	1,738,233.52	2,414,603.15	-676,369.63	0.00	-676,369.63	1,760,387.12	3.688%
Total Cost Incl. Accruals			2,436,756.75					
Total Unrealized Gains						10,782,488.83		
Total Unrealized Losses						-1,962,040.96		
Total	76,062.64	48,982,827.39	40,162,379.52	8,820,447.87	0.00	8,820,447.87	49,058,880.03	100.000%
Total Cost Incl. Accruals			40,238,432.16					

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