



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.

▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 01-01-2013 , and ending 12-31-2013

Name of foundation BINDER FOUNDATION		A Employer identification number 95-4635806	
Number and street (or P O box number if mail is not delivered to street address) 225 SOUTH LAKE AVENUE NO 300		B Telephone number (see instructions) (310) 473-8440	
City or town, state or province, country, and ZIP or foreign postal code PASADENA, CA 91101		C If exemption application is pending, check here ▶ <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return</div> <div><input type="checkbox"/> Initial return of a former public charity</div> <div><input type="checkbox"/> Final return</div> <div><input type="checkbox"/> Amended return</div> <div><input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here ▶ <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 20,165,229		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ <input type="checkbox"/>	
J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check ▶ <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	173	173		
	4 Dividends and interest from securities.	418,146	418,146		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	70,705			
	b Gross sales price for all assets on line 6a 4,320,252				
	7 Capital gain net income (from Part IV, line 2) . . .		70,705		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
Operating and Administrative Expenses	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)	11	11		
	12 Total. Add lines 1 through 11	489,035	489,035		
	13 Compensation of officers, directors, trustees, etc	0	0		0
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	2,222	1,667		555
	c Other professional fees (attach schedule)	66,005	66,005		0
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	75	75		0
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	460	0		160
	24 Total operating and administrative expenses. Add lines 13 through 23	68,762	67,747		715
	25 Contributions, gifts, grants paid	1,102,900			1,102,900
	26 Total expenses and disbursements. Add lines 24 and 25	1,171,662	67,747		1,103,615
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-682,627			
	b Net investment income (if negative, enter -0-)		421,288		
	c Adjusted net income (if negative, enter -0-) . . .				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	4,761,955	990,680	990,680
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	9,473,946	12,142,770	14,513,701
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	3,790,270	4,204,624	4,655,278
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	100	5,570	5,570	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	18,026,271	17,343,644	20,165,229	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	0	0	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund	0	0	
	29	Retained earnings, accumulated income, endowment, or other funds	18,026,271	17,343,644	
	30	Total net assets or fund balances (see page 17 of the instructions)	18,026,271	17,343,644	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	18,026,271	17,343,644		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	18,026,271
2	Enter amount from Part I, line 27a	2	-682,627
3	Other increases not included in line 2 (itemize) ▶ _____	3	0
4	Add lines 1, 2, and 3	4	17,343,644
5	Decreases not included in line 2 (itemize) ▶ _____	5	0
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	17,343,644

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	70,705
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	784,576	18,216,560	0 043069
2011	1,363,861	19,005,749	0 071760
2010	769,340	18,415,952	0 041776
2009	1,240,382	16,436,214	0 075466
2008	1,017,631	19,961,075	0 050981

2	Total of line 1, column (d).	2	0 283052
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 056610
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	16,423,626
5	Multiply line 4 by line 3.	5	929,741
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	4,213
7	Add lines 5 and 6.	7	933,954
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	1,103,615

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)			
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	4,213
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2.		3	4,213
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	4,213
6 Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	13,967
b	Exempt foreign organizations—tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7 Total credits and payments Add lines 6a through 6d.		7	13,967
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	9,754
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 9,754 Refunded		11	0

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		1b	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?.		1c	No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ 0 (2) On foundation managers \$ 0			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		2	No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.		4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?.		4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5	No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either			
• By language in the governing instrument, or			
• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions)			
CA			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)?			
If "Yes," complete Part XIV		9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address N/A				
14	The books are in care of WASSERMAN GROSSMAN SLOAN LLP Telephone no (310) 954-1050 Located at PO BOX 1760 SANTA MONICA CA ZIP +4 904061760			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?		Yes	No
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?		Yes	No
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?		Yes	No
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?		Yes	No
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?		Yes	No
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).		Yes	No
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?		Yes	No
	If "Yes," list the years 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?		Yes	No
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to				
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3) Provide a grant to an individual for travel, study, or other similar purposes?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b		
Organizations relying on a current notice regarding disaster assistance check here.		<input checked="" type="checkbox"/>		
c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?		<input type="checkbox"/> Yes	<input type="checkbox"/> No	
If "Yes," attach the statement required by Regulations section 53.4945–5(d).				
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b No		
If "Yes" to 6b, file Form 8870.				
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?		<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
GORDON BINDER	TRUSTEE	0	0	0
225 SOUTH LAKE AVENUE SUITE 300 PASADENA, CA 91101	1 00			
ADELE BINDER	TRUSTEE	0	0	0
225 SOUTH LAKE AVENUE SUITE 300 PASADENA, CA 91101	1 00			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		0

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	0

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	15,347,920
b	Average of monthly cash balances.	1b	1,325,812
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	16,673,732
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	0
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	16,673,732
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	250,106
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	16,423,626
6	Minimum investment return. Enter 5% of line 5.	6	821,181

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	821,181
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	4,213
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	4,213
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	816,968
4	Recoveries of amounts treated as qualifying distributions.	4	0
5	Add lines 3 and 4.	5	816,968
6	Deduction from distributable amount (see instructions).	6	0
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	816,968

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	1,103,615
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,103,615
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	4,213
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	1,099,402
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				816,968
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.			0	
b Total for prior years 20____, 20____, 20____		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				60,787
e From 2012.				
f Total of lines 3a through e.	60,787			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 1,103,615				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions).		0		
c Treated as distributions out of corpus (Election required—see instructions).	0			
d Applied to 2013 distributable amount.				816,968
e Remaining amount distributed out of corpus	286,647			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0			0
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	347,434			
b Prior years' undistributed income Subtract line 4b from line 2b.		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.		0		
d Subtract line 6c from line 6b Taxable amount—see instructions		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).	0			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	0			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	347,434			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				60,787
d Excess from 2012. . . .				
e Excess from 2013. . . .				286,647

Part XIV

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . .

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

1 Information Regarding Foundation Managers:

■ List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	1,102,900
b Approved for future payment				
Total			3b	0

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

<p>Sign Here</p> <p>Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.</p> <p>*****</p> <p>Signature of officer or trustee</p>		<p>2014-05-02</p> <p>Date</p> <p>*****</p> <p>Title</p>
------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------	--	---------------------------------------------------------

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ **Yes** ☐ **No**

Paid Preparer Use Only	Print/Type preparer's name MICHAEL J HACIA	Preparer's Signature	Date 2014-05-02	Check if self-employed <input checked="" type="checkbox"/>	PTIN P01376457
	Firm's name ▶ WASSERMAN GROSSMAN & SLOAN LLP			Firm's EIN ▶ 26-3534500	
	Firm's address ▶ 10990 WILSHIRE BLVD 8TH FLOOR LOS ANGELES, CA 90024			Phone no (310) 954-1050	

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
ORION FUTURES FUND	P	2010-07-26	2013-06-20
18 593 SHARES OF INTERNATIONAL BUSINESS MACHINES CORP	P	2011-09-13	2013-12-11
1000 SHARES OF INTERNATIONAL BUSINESS MACHINES CORP	P	2011-09-14	2013-12-11
500 SHARES OF INTERNATIONAL BUSINESS MACHINES CORP	P	2011-09-19	2013-12-11
500 SHARES OF INTERNATIONAL BUSINESS MACHINES CORP	P	2011-09-22	2013-12-11
7 834 SHARES OF INTERNATIONAL BUSINESS MACHINES CORP	P	2011-12-13	2013-12-11
6 573 SHARES OF INTERNATIONAL BUSINESS MACHINES CORP	P	2012-03-13	2013-12-11
45 SHARES OF IDEXX LABATORIES INC	P	2012-08-24	2013-01-02
28 SHARES OF IDEXX LABATORIES INC	P	2012-08-24	2013-01-07
70 SHARES OF DEALERTRACK TECHNOLOGY	P	2012-08-24	2013-01-15
28 SHARES OF CELGENE CORPORATION	P	2012-08-24	2013-01-18
150 SHARES OF ADTRAN INC	P	2012-08-24	2013-01-28
30 SHARES OF SCHLUMBERGER LTD	P	2012-08-24	2013-01-28
305 SHARES OF II VI INC	P	2012-08-24	2013-01-28
98 SHARES OF ADTRAN INC	P	2012-08-24	2013-01-29
67 SHARES OF ADTRAN INC	P	2012-08-24	2013-01-30
258 SHARES OF TEVA PHARMACEUTICAL IN	P	2012-08-24	2013-03-04
285 SHARES OF II VI INC	P	2012-08-24	2013-03-05
66 SHARES OF IDEXX LABATORIES INC	P	2012-08-24	2013-03-07
80 SHARES OF GARDNER DENVER INC	P	2012-08-24	2013-03-11
8 SHARES OF ANSYS INC	P	2012-08-24	2013-03-14
49 SHARES OF T ROWE PRICE GROUP INC	P	2012-08-24	2013-04-09
14 SHARES OF T ROWE PRICE GROUP INC	P	2012-08-24	2013-04-10
60 SHARES OF NIC INC	P	2012-08-24	2013-04-19
134 SHARES OF K12 INC	P	2012-08-24	2013-04-22
76 SHARES OF IDEXX LABATORIES INC	P	2012-08-24	2013-04-23
130 SHARES OF K12 INC	P	2012-08-24	2013-05-03
60 SHARES OF APACHE CORP	P	2012-08-24	2013-05-09
68 SHARES OF 3D SYSTEMS CORP DEL	P	2012-08-24	2013-05-15
42 SHARES OF QUALCOMM INC	P	2012-08-24	2013-08-07

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
51 SHARES OF POLYPORE INTERNATIONAL	P	2012-08-24	2013-08-07
19 SHARES OF POLYPORE INTERNATIONAL	P	2012-08-24	2013-08-08
49 SHARES OF POLYPORE INTERNATIONAL	P	2012-08-24	2013-08-12
10 SHARES OF POLYPORE INTERNATIONAL	P	2012-08-24	2013-08-13
107 SHARES OF NIC INC	P	2012-08-24	2013-08-20
86 SHARES OF DEALERTRACK TECHNOLOGY	P	2012-08-24	2013-08-20
98 SHARES OF RESMED INC	P	2012-08-24	2013-08-20
55 SHARES OF JACOBS ENGINEERING GRO	P	2012-08-24	2013-08-21
124 SHARES OF RESMED INC	P	2012-08-24	2013-09-18
123 SHARES OF RESMED INC	P	2012-08-24	2013-09-24
20 SHARES OF TYLER TECHNOLOGIES INC	P	2013-01-10	2013-09-26
60 SHARES OF CONCUR TECHNOLOGIES IN	P	2012-08-24	2013-09-30
13 SHARES OF COSTAR GROUP INC	P	2012-08-24	2013-10-17
68 SHARES OF POTASH CORP SASKATCHEW	P	2012-08-24	2013-10-17
24 SHARES OF EXPONENT INC	P	2013-05-03	2013-10-25
10 SHARES OF TYLER TECHNOLOGIES INC	P	2012-11-27	2013-10-29
7 SHARES OF TYLER TECHNOLOGIES INC	P	2013-01-10	2013-10-29
41 SHARES OF 3D SYSTEMS CORP DEL	P	2012-08-24	2013-11-13
159 SHARES OF INNERWORKINGS INC	P	2012-11-09	2013-11-18
36 SHARES OF INNERWORKINGS INC	P	2012-11-12	2013-11-18
205 SHARES OF INNERWORKINGS INC	P	2012-11-16	2013-11-18
207 SHARES OF INNERWORKINGS INC	P	2013-04-19	2013-11-18
53 SHARES OF INNERWORKINGS INC	P	2013-04-23	2013-11-18
206 SHARES OF POTASH CORP SASKATCHEW	P	2012-08-24	2013-11-27
41 SHARES OF T ROWE PRICE GROUP INC	P	2012-08-24	2013-12-04
178 SHARES OF AMERICAN PUBLIC EDUCAT	P	2012-08-24	2013-12-24
51 SHARES OF TIFFANY & CO	P	2012-08-17	2013-01-02
102 SHARES OF LIMITED BRANDS INC	P	2012-08-17	2013-01-15
3 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-17
14 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-17

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
127 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-17
9 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-17
66 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-17
12 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-17
3 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-17
3 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-17
3 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-18
4 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-18
6 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-18
3 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-18
9 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-18
31 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-22
11 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-22
3 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-22
14 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-23
5 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-23
11 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-23
6 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-23
13 SHARES OF SPECTRA ENERGY CORPORATION	P	2012-08-17	2013-01-23
116 SHARES OF TUPPERWARE CORP	P	2012-08-17	2013-01-24
7 SHARES OF V F CORP	P	2012-08-17	2013-01-24
5 SHARES OF V F CORP	P	2012-08-17	2013-01-24
5 SHARES OF V F CORP	P	2012-08-17	2013-01-25
35 SHARES OF MARATHON PETROLEUM CORPORATION	P	2012-09-14	2013-02-01
29 SHARES OF ACCENTURE PLC-CL A	P	2012-12-19	2013-02-06
67 SHARES OF LIMITED BRANDS INC	P	2012-08-17	2013-02-06
20 SHARES OF LIMITED BRANDS INC	P	2012-08-17	2013-02-06
45 SHARES OF LIMITED BRANDS INC	P	2012-08-17	2013-02-06
87 SHARES OF TIFFANY & CO	P	2012-08-17	2013-02-06
31094 527 SHARES OF JPM HIGH YIELD FD - SEL	P	2012-08-23	2013-02-22

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
30978 934 SHARES OF JPM HIGH YIELD FD - SEL	P	2012-11-19	2013-02-22
100 SHARES OF COACH INC	P	2012-04-12	2013-02-25
75 SHARES OF COACH INC	P	2012-08-17	2013-02-25
20 SHARES OF COACH INC	P	2012-08-17	2013-02-26
28 SHARES OF COACH INC	P	2012-08-17	2013-02-26
205 SHARES OF ABBOTT LABORATORIES	P	2012-08-17	2013-03-01
52 SHARES OF AMERIPRISE FINANCIAL INC	P	2012-09-14	2013-03-01
74 SHARES OF E I DU PONT DE NEMOURS & CO	P	2012-08-17	2013-03-01
43 SHARES OF PPG INDUSTRIES INC	P	2012-08-17	2013-03-01
43 SHARES OF TIME WARNER CABLE INC	P	2009-07-24	2013-03-01
137 SHARES OF TJX COMPANIES INC	P	2012-08-17	2013-03-01
131 SHARES OF TJX COMPANIES INC	P	2012-10-24	2013-03-01
77 SHARES OF TJX COMPANIES INC	P	2012-12-12	2013-03-01
51 SHARES OF HARTFORD FINANCIAL SERVICES GROUP	P	2012-10-19	2013-03-06
84 SHARES OF HARTFORD FINANCIAL SERVICES GROUP	P	2012-10-19	2013-03-06
53 SHARES OF HARTFORD FINANCIAL SERVICES GROUP	P	2012-10-24	2013-03-06
50 SHARES OF MARATHON PETROLEUM CORPORATION	P	2012-09-14	2013-03-06
72 SHARES OF MERCK AND CO INC	P	2012-08-17	2013-03-06
154 SHARES OF PFIZER INC	P	2009-07-24	2013-03-06
204 SHARES OF REPUBLIC SERVICES INC	P	2012-08-17	2013-03-06
41 SHARES OF TIME WARNER INC	P	2012-12-19	2013-03-06
50 SHARES OF MARATHON PETROLEUM CORPORATION	P	2012-09-14	2013-03-08
17 SHARES OF MARATHON PETROLEUM CORPORATION	P	2011-10-04	2013-03-08
13 SHARES OF MARATHON PETROLEUM CORPORATION	P	2012-09-17	2013-03-08
12 SHARES OF ONEOK INC	P	2012-08-17	2013-03-08
2 SHARES OF APPLE INC	P	2012-08-17	2013-03-11
9 SHARES OF APPLE INC	P	2012-11-07	2013-03-11
17 SHARES OF APPLE INC	P	2009-07-24	2013-03-11
78 SHARES OF LINEAR TECHNOLOGY CORP	P	2012-08-17	2013-03-11
34 SHARES OF LINEAR TECHNOLOGY CORP	P	2012-08-17	2013-03-08

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
64 SHARES OF ONEOK INC	P	2012-08-17	2013-03-11
125 SHARES OF LINEAR TECHNOLOGY CORP	P	2012-08-17	2013-03-12
71 SHARES OF ONEOK INC	P	2012-08-17	2013-03-12
139 SHARES OF LINEAR TECHNOLOGY CORP	P	2012-08-17	2013-03-13
29 SHARES OF ONEOK INC	P	2012-08-17	2013-03-13
29 SHARES OF LINEAR TECHNOLOGY CORP	P	2012-08-17	2013-03-14
44 SHARES OF LINEAR TECHNOLOGY CORP	P	2012-08-17	2013-03-15
18 SHARES OF LINEAR TECHNOLOGY CORP	P	2012-08-17	2013-03-18
69 SHARES OF M & T BANK CORP	P	2012-08-17	2013-03-20
45 SHARES OF PFIZER INC	P	2009-07-24	2013-03-20
31 SHARES OF PFIZER INC	P	2009-07-24	2013-03-20
64 SHARES OF PFIZER INC	P	2009-07-24	2013-03-21
31 SHARES OF PFIZER INC	P	2009-07-24	2013-03-22
15 SHARES OF PFIZER INC	P	2009-07-24	2013-03-22
17 SHARES OF PFIZER INC	P	2009-07-24	2013-03-22
75 SHARES OF ARTHUR J GALLAGHER & CO	P	2012-08-17	2013-03-28
26 SHARES OF ARTHUR J GALLAGHER & CO	P	2012-08-17	2013-03-28
8 SHARES OF BLACKROCK INC	P	2012-08-17	2013-04-05
13 SHARES OF BLACKROCK INC	P	2012-09-14	2013-04-05
56 SHARES OF MARATHON PETROLEUM CORPORATION	P	2011-10-04	2013-04-05
125 SHARES OF VERIZON COMMUNICATIONS INC	P	2012-08-17	2013-04-23
31 SHARES OF VERIZON COMMUNICATIONS INC	P	2013-03-01	2013-04-23
19 SHARES OF VERIZON COMMUNICATIONS INC	P	2012-08-17	2013-04-23
8 SHARES OF VERIZON COMMUNICATIONS INC	P	2013-03-01	2013-04-23
78 SHARES OF VERIZON COMMUNICATIONS INC	P	2012-08-17	2013-04-23
61 SHARES OF LORILLARD INC	P	2013-03-06	2013-04-24
45 SHARES OF LORILLARD INC	P	2011-08-11	2013-04-24
30 SHARES OF LORILLARD INC	P	2011-08-11	2013-05-01
2 SHARES OF LORILLARD INC	P	2011-08-11	2013-04-29
32 SHARES OF LORILLARD INC	P	2011-08-11	2013-04-30

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
20 SHARES OF LORILLARD INC	P	2011-08-11	2013-04-29
34 SHARES OF LORILLARD INC	P	2011-08-11	2013-05-02
30 SHARES OF LORILLARD INC	P	2011-08-11	2013-05-03
13 SHARES OF LORILLARD INC	P	2011-08-11	2013-05-06
56 SHARES OF EMERSON ELECTRIC CO	P	2012-03-14	2013-05-09
6 SHARES OF EMERSON ELECTRIC CO	P	2012-03-27	2013-05-09
104 SHARES OF L BRANDS, INC	P	2012-08-17	2013-05-09
39 SHARES OF AMERICAN WATER WORKS CO INC	P	2012-08-17	2013-05-13
199 SHARES OF MICROSOFT CORP	P	2011-09-22	2013-05-13
14 SHARES OF REPUBLIC SERVICES INC	P	2012-08-17	2013-05-13
10 SHARES OF REPUBLIC SERVICES INC	P	2012-08-17	2013-05-13
61 SHARES OF AMERICAN WATER WORKS CO INC	P	2012-08-17	2013-05-14
85 SHARES OF REPUBLIC SERVICES INC	P	2012-08-17	2013-05-14
154 SHARES OF REPUBLIC SERVICES INC	P	2012-08-17	2013-05-15
75 SHARES OF REPUBLIC SERVICES INC	P	2012-08-17	2013-05-16
10 SHARES OF AMERICAN WATER WORKS CO INC	P	2012-08-17	2013-05-15
6 SHARES OF WILLIAMS SONOMA INC	P	2012-12-19	2013-05-22
2 SHARES OF WILLIAMS SONOMA INC	P	2012-12-19	2013-05-22
56 SHARES OF WILLIAMS SONOMA INC	P	2012-12-19	2013-05-22
5 SHARES OF WILLIAMS SONOMA INC	P	2012-12-19	2013-05-22
4 SHARES OF WILLIAMS SONOMA INC	P	2012-12-19	2013-05-22
1 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-17	2013-05-29
15 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-17	2013-05-29
3 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-17	2013-05-29
15 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-17	2013-05-29
1 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-18	2013-05-29
2 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-18	2013-05-29
3 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-18	2013-05-29
10 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-18	2013-05-29
8 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-18	2013-05-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-22	2013-05-29
2 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-22	2013-05-29
1 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-22	2013-05-29
4 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-23	2013-05-29
2 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-23	2013-05-29
23 SHARES OF WILLIAMS COMPANIES INC	P	2012-08-17	2013-05-29
3 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-17	2013-05-29
9 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-17	2013-05-29
8 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-17	2013-05-29
44 SHARES OF WILLIAMS COMPANIES INC	P	2012-08-17	2013-05-29
64 SHARES OF WILLIAMS COMPANIES INC	P	2012-08-17	2013-05-29
6 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-22	2013-05-29
4 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-22	2013-05-29
1 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-23	2013-05-29
4 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-23	2013-05-29
2 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-23	2013-05-29
5 SHARES OF WILLIAMS COMPANIES INC	P	2013-01-23	2013-05-29
183 SHARES OF MICROSOFT CORP	P	2011-09-22	2013-06-03
88 SHARES OF AT&T INC	P	2012-02-08	2013-06-07
12 SHARES OF AT&T INC	P	2012-02-08	2013-06-07
131 SHARES OF AT&T INC	P	2012-02-08	2013-06-07
12 SHARES OF J M SMUCKER CO	P	2012-08-17	2013-06-07
10 SHARES OF J M SMUCKER CO	P	2012-08-17	2013-06-07
3 SHARES OF J M SMUCKER CO	P	2012-08-17	2013-06-07
43 SHARES OF NV ENERGY INC	P	2013-03-05	2013-06-07
12 SHARES OF NV ENERGY INC	P	2013-03-05	2013-06-07
22 SHARES OF SOUTHERN CO	P	2012-08-17	2013-06-07
72 SHARES OF SOUTHERN CO	P	2012-08-17	2013-06-07
19 SHARES OF AT&T INC	P	2012-02-08	2013-06-10
26 SHARES OF AT&T INC	P	2012-02-08	2013-06-10

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
16 SHARES OF AT&T INC	P	2012-02-08	2013-06-10
12 SHARES OF J M SMUCKER CO	P	2012-08-17	2013-06-10
53 SHARES OF NV ENERGY INC	P	2013-03-05	2013-06-10
14 SHARES OF NV ENERGY INC	P	2013-03-05	2013-06-10
14 SHARES OF SOUTHERN CO	P	2012-08-17	2013-06-10
7 SHARES OF SOUTHERN CO	P	2012-08-17	2013-06-10
12 SHARES OF SOUTHERN CO	P	2012-08-17	2013-06-10
20 SHARES OF J M SMUCKER CO	P	2012-08-17	2013-06-11
53 SHARES OF NV ENERGY INC	P	2013-03-04	2013-06-11
4 SHARES OF NV ENERGY INC	P	2013-03-05	2013-06-11
18 SHARES OF NV ENERGY INC	P	2013-03-01	2013-06-11
8 SHARES OF NV ENERGY INC	P	2013-03-04	2013-06-11
41 SHARES OF NV ENERGY INC	P	2013-03-01	2013-06-12
26 SHARES OF NV ENERGY INC	P	2013-03-01	2013-06-13
10 SHARES OF NV ENERGY INC	P	2011-10-05	2013-06-14
14 SHARES OF NV ENERGY INC	P	2011-10-05	2013-06-14
3 SHARES OF NV ENERGY INC	P	2013-03-01	2013-06-14
5 SHARES OF NV ENERGY INC	P	2011-10-05	2013-06-14
2 SHARES OF NV ENERGY INC	P	2011-10-05	2013-06-14
12 SHARES OF NV ENERGY INC	P	2011-10-05	2013-06-17
24 SHARES OF NV ENERGY INC	P	2011-10-05	2013-06-17
17 SHARES OF NV ENERGY INC	P	2011-10-05	2013-06-17
12 SHARES OF NV ENERGY INC	P	2011-10-05	2013-06-18
10 SHARES OF NV ENERGY INC	P	2011-10-05	2013-06-18
22 SHARES OF NV ENERGY INC	P	2011-10-05	2013-06-19
10 SHARES OF NV ENERGY INC	P	2011-10-05	2013-06-19
19 SHARES OF NV ENERGY INC	P	2011-10-05	2013-06-19
50 SHARES OF NV ENERGY INC	P	2011-10-05	2013-06-19
62 SHARES OF NV ENERGY INC	P	2011-10-05	2013-06-20
10 SHARES OF NV ENERGY INC	P	2011-10-05	2013-06-20

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
29 SHARES OF BLACKROCK INC	P	2012-08-17	2013-06-21
63 SHARES OF EMERSON ELECTRIC CO	P	2012-02-15	2013-06-21
94 SHARES OF EMERSON ELECTRIC CO	P	2012-03-27	2013-06-21
87 SHARES OF MARATHON PETROLEUM CORPORATION	P	2011-10-04	2013-06-21
14 SHARES OF NV ENERGY INC	P	2011-10-05	2013-06-21
2 SHARES OF NV ENERGY INC	P	2011-10-05	2013-06-21
2 SHARES OF NV ENERGY INC	P	2011-10-05	2013-06-21
39 SHARES OF NV ENERGY INC	P	2011-10-05	2013-06-21
10 SHARES OF PPG INDUSTRIES INC	P	2012-08-17	2013-06-25
27 SHARES OF PPG INDUSTRIES INC	P	2013-05-13	2013-06-25
6 SHARES OF PRUDENTIAL FINANCIAL INC	P	2013-05-29	2013-06-25
23 SHARES OF PRUDENTIAL FINANCIAL INC	P	2013-05-29	2013-06-25
16 SHARES OF PRUDENTIAL FINANCIAL INC	P	2013-05-29	2013-06-25
7 SHARES OF PRUDENTIAL FINANCIAL INC	P	2013-05-30	2013-06-25
4 SHARES OF PRUDENTIAL FINANCIAL INC	P	2013-05-30	2013-06-25
5 SHARES OF PRUDENTIAL FINANCIAL INC	P	2013-05-30	2013-06-25
6776 808 SHARES OF PIMCO TOTAL RETURN FUND-P	P	2012-09-24	2013-06-28
25751 073 SHARES OF PIMCO TOTAL RETURN FUND-P	P	2012-12-05	2013-06-28
168 SHARES OF PRUDENTIAL FINANCIAL INC	P	2012-08-17	2013-06-26
24 SHARES OF PRUDENTIAL FINANCIAL INC	P	2013-05-29	2013-06-26
77 SHARES OF MICROSOFT CORP	P	2011-09-22	2013-07-01
17 SHARES OF BLACKROCK INC	P	2012-08-17	2013-07-11
42 SHARES OF CME GROUP INC	P	2012-08-17	2013-07-11
8 SHARES OF CME GROUP INC	P	2012-09-07	2013-07-11
45 SHARES OF CME GROUP INC	P	2012-09-07	2013-07-11
26978 417 SHARES OF JPM INTL CURRENCY INCOME FD	P	2012-09-24	2013-07-23
20 SHARES OF DUNKIN BRANDS GROUP INC	P	2012-08-17	2013-07-22
6 SHARES OF DUNKIN BRANDS GROUP INC	P	2012-08-17	2013-07-23
6 SHARES OF DUNKIN BRANDS GROUP INC	P	2012-08-17	2013-07-23
68 SHARES OF E I DU PONT DE NEMOURS & CO	P	2012-08-17	2013-07-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
20 SHARES OF DUNKIN BRANDS GROUP INC	P	2012-08-17	2013-07-24
3 SHARES OF DUNKIN BRANDS GROUP INC	P	2012-08-17	2013-07-24
15 SHARES OF CENTURYLINK INC	P	2011-09-12	2013-07-25
18 SHARES OF CENTURYLINK INC	P	2011-09-12	2013-07-25
32 SHARES OF DUNKIN BRANDS GROUP INC	P	2012-08-17	2013-07-25
3 SHARES OF DUNKIN BRANDS GROUP INC	P	2012-08-17	2013-07-25
9 SHARES OF DUNKIN BRANDS GROUP INC	P	2012-08-17	2013-07-25
63 SHARES OF CENTURYLINK INC	P	2011-09-12	2013-07-26
15 SHARES OF CENTURYLINK INC	P	2011-09-12	2013-07-29
43 SHARES OF CENTURYLINK INC	P	2011-09-12	2013-07-29
2 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-07-29
2 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-07-29
5 SHARES OF CENTURYLINK INC	P	2011-09-12	2013-07-30
23 SHARES OF CENTURYLINK INC	P	2011-09-12	2013-07-30
16983 68 SHARES OF DELAWARE EMERGING MARKETS-I	P	2012-11-01	2013-08-01
16960 651 SHARES OF DELAWARE EMERGING MARKETS-I	P	2013-02-22	2013-08-01
12 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-07-30
7 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-07-30
3 SHARES OF CENTURYLINK INC	P	2011-09-12	2013-07-31
15 SHARES OF CENTURYLINK INC	P	2011-09-12	2013-07-31
18 SHARES OF CENTURYLINK INC	P	2011-09-12	2013-07-31
23 SHARES OF CENTURYLINK INC	P	2011-09-12	2013-07-31
12 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-07-31
16 SHARES OF CENTURYLINK INC	P	2011-09-12	2013-08-01
5 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-01
32 SHARES OF THE HERSHEY COMPANY	P	2012-02-24	2013-08-05
10 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-06
5 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-07
94 SHARES OF T ROWE PRICE GROUP INC	P	2012-08-17	2013-08-08
5 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-12

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
20 SHARES OF T ROWE PRICE GROUP INC	P	2012-08-17	2013-08-12
2 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-13
56 SHARES OF T ROWE PRICE GROUP INC	P	2012-08-17	2013-08-13
20 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-14
2 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-14
2 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-14
36 SHARES OF T ROWE PRICE GROUP INC	P	2012-08-17	2013-08-14
15 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-15
2 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-15
5 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-15
3 SHARES OF T ROWE PRICE GROUP INC	P	2012-08-17	2013-08-15
15 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-16
22 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-16
5 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-16
5 SHARES OF T ROWE PRICE GROUP INC	P	2012-08-17	2013-08-16
5 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-19
4 SHARES OF T ROWE PRICE GROUP INC	P	2012-08-17	2013-08-19
7 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-19
2 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-20
56 SHARES OF HOME DEPOT INC	P	2012-08-17	2013-08-21
55 SHARES OF MONDELEZ INTERNATIONAL-W/I	P	2013-03-06	2013-08-21
15 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-21
7 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-21
15 SHARES OF SNAP-ON INC	P	2012-08-17	2013-08-21
22 SHARES OF JOHNSON & JOHNSON	P	2013-03-08	2013-08-22
49 SHARES OF MOLEX INC	P	2012-08-17	2013-08-22
16 SHARES OF TIME WARNER CABLE INC	P	2009-07-24	2013-08-22
54 SHARES OF VERIZON COMMUNICATIONS INC	P	2012-08-17	2013-08-22
3 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-22
2 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-23

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
10 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-23
3 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-26
2 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-27
5 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-27
3 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-29
7 SHARES OF NORTHEAST UTILITIES	P	2012-08-17	2013-08-30
2 SHARES OF BAXTER INTERNATIONAL INC	P	2013-08-08	2013-09-25
2 SHARES OF BAXTER INTERNATIONAL INC	P	2013-08-08	2013-09-25
2 SHARES OF BAXTER INTERNATIONAL INC	P	2013-08-09	2013-09-25
2 SHARES OF BAXTER INTERNATIONAL INC	P	2013-08-09	2013-09-25
2 SHARES OF BAXTER INTERNATIONAL INC	P	2013-08-12	2013-09-25
2 SHARES OF BAXTER INTERNATIONAL INC	P	2013-08-12	2013-09-25
67 SHARES OF BAXTER INTERNATIONAL INC	P	2013-09-05	2013-09-25
5 SHARES OF 3M CO	P	2012-08-17	2013-09-30
9 SHARES OF ANALOG DEVICES INC	P	2012-08-17	2013-09-30
26 SHARES OF ANALOG DEVICES INC	P	2012-08-17	2013-09-30
15 SHARES OF HOME DEPOT INC	P	2012-08-17	2013-09-30
15 SHARES OF KINDER MORGAN INC	P	2012-08-17	2013-09-30
34 SHARES OF QUALCOMM INC	P	2013-03-11	2013-09-30
2 SHARES OF SNAP-ON INC	P	2012-08-17	2013-09-30
56 SHARES OF WILLIAMS COMPANIES INC	P	2012-08-17	2013-09-30
12 SHARES OF 3M CO	P	2012-08-17	2013-10-01
17 SHARES OF ANALOG DEVICES INC	P	2012-08-17	2013-10-01
6 SHARES OF HOME DEPOT INC	P	2012-08-17	2013-10-01
42 SHARES OF KINDER MORGAN INC	P	2012-08-17	2013-10-01
25 SHARES OF QUALCOMM INC	P	2013-03-11	2013-10-01
32 SHARES OF WILLIAMS COMPANIES INC	P	2012-08-17	2013-10-01
10 SHARES OF 3M CO	P	2012-08-17	2013-10-02
20 SHARES OF ANALOG DEVICES INC	P	2012-08-17	2013-10-02
4 SHARES OF ANALOG DEVICES INC	P	2012-08-17	2013-10-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
6 SHARES OF HOME DEPOT INC	P	2012-08-17	2013-10-02
15 SHARES OF HOME DEPOT INC	P	2012-08-17	2013-10-02
15 SHARES OF KINDER MORGAN INC	P	2012-08-17	2013-10-02
4 SHARES OF SNAP-ON INC	P	2012-08-17	2013-10-02
13 SHARES OF WILLIAMS COMPANIES INC	P	2012-08-17	2013-10-02
9 SHARES OF 3M CO	P	2012-08-17	2013-10-03
2 SHARES OF ANALOG DEVICES INC	P	2012-08-17	2013-10-03
3 SHARES OF ANALOG DEVICES INC	P	2012-08-17	2013-10-03
49 SHARES OF HOME DEPOT INC	P	2012-08-17	2013-10-03
6 SHARES OF HOME DEPOT INC	P	2012-08-17	2013-10-03
9 SHARES OF KINDER MORGAN INC	P	2012-08-17	2013-10-03
36 SHARES OF MCGRAW HILL FINANCIAL INC	P	2012-12-11	2013-10-03
2 SHARES OF SNAP-ON INC	P	2012-08-17	2013-10-03
2 SHARES OF SNAP-ON INC	P	2012-08-17	2013-10-03
35 SHARES OF WILLIAMS COMPANIES INC	P	2012-08-17	2013-10-03
4 SHARES OF ANALOG DEVICES INC	P	2012-08-17	2013-10-04
2 SHARES OF SNAP-ON INC	P	2012-08-17	2013-10-04
18 SHARES OF ANALOG DEVICES INC	P	2012-08-17	2013-10-07
38 SHARES OF KINDER MORGAN INC	P	2012-08-17	2013-10-07
6 SHARES OF ANALOG DEVICES INC	P	2012-08-17	2013-10-08
4 SHARES OF SNAP-ON INC	P	2012-08-17	2013-10-08
5 SHARES OF SNAP-ON INC	P	2012-08-17	2013-10-09
4 SHARES OF SNAP-ON INC	P	2012-08-17	2013-10-09
7 SHARES OF SNAP-ON INC	P	2012-08-17	2013-10-10
2 SHARES OF SNAP-ON INC	P	2012-08-17	2013-10-10
39 SHARES OF THE HERSHEY COMPANY	P	2012-02-24	2013-10-10
2 SHARES OF THE HERSHEY COMPANY	P	2012-02-14	2013-10-10
66 SHARES OF CINCINNATI FINANCIAL CORP	P	2012-08-17	2013-10-11
67 SHARES OF HARTFORD FINANCIAL SERVICES GROUP	P	2012-10-23	2013-10-11
7 SHARES OF HARTFORD FINANCIAL SERVICES GROUP	P	2012-10-24	2013-10-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
36 SHARES OF HOME DEPOT INC	P	2012-08-17	2013-10-11
6 SHARES OF SNAP-ON INC	P	2012-08-17	2013-10-14
4 SHARES OF SNAP-ON INC	P	2012-08-17	2013-10-11
19 SHARES OF UNITED TECHNOLOGIES CORP	P	2013-05-13	2013-10-11
3 SHARES OF SNAP-ON INC	P	2012-08-17	2013-10-15
51 SHARES OF BRINKER INTERNATIONAL INC	P	2013-04-09	2013-10-29
26 SHARES OF BRINKER INTERNATIONAL INC	P	2013-04-10	2013-10-29
6 SHARES OF TIME WARNER CABLE INC	P	2009-07-24	2013-10-29
12 SHARES OF TIME WARNER CABLE INC	P	2009-07-24	2013-10-29
4 SHARES OF DUNKIN BRANDS GROUP INC	P	2012-08-17	2013-10-30
2 SHARES OF DUNKIN BRANDS GROUP INC	P	2012-08-17	2013-10-30
50 SHARES OF DUNKIN BRANDS GROUP INC	P	2012-08-17	2013-10-30
13 SHARES OF MCGRAW HILL FINANCIAL INC	P	2012-12-10	2013-10-30
3 SHARES OF MCGRAW HILL FINANCIAL INC	P	2012-12-10	2013-10-30
1 SHARES OF MCGRAW HILL FINANCIAL INC	P	2012-12-11	2013-10-30
18 SHARES OF PPG INDUSTRIES INC	P	2012-08-17	2013-10-30
3 SHARES OF TIME WARNER CABLE INC	P	2009-07-24	2013-10-30
6 SHARES OF TIME WARNER CABLE INC	P	2009-07-24	2013-10-30
8 SHARES OF TIME WARNER CABLE INC	P	2009-07-24	2013-10-29
9 SHARES OF DUNKIN BRANDS GROUP INC	P	2012-08-17	2013-10-31
20 SHARES OF DUNKIN BRANDS GROUP INC	P	2012-08-17	2013-10-31
3 SHARES OF MCGRAW HILL FINANCIAL INC	P	2012-12-10	2013-10-31
1 SHARES OF MCGRAW HILL FINANCIAL INC	P	2012-12-11	2013-10-31
19 SHARES OF MCGRAW HILL FINANCIAL INC	P	2012-12-10	2013-10-31
21 SHARES OF MCGRAW HILL FINANCIAL INC	P	2012-12-10	2013-10-31
42 SHARES OF MERCK AND CO INC	P	2012-08-17	2013-10-31
108 SHARES OF MERCK AND CO INC	P	2012-08-17	2013-10-31
79 SHARES OF MERCK AND CO INC	P	2012-08-17	2013-10-31
17 SHARES OF DUNKIN BRANDS GROUP INC	P	2012-08-17	2013-11-01
2 SHARES OF MCGRAW HILL FINANCIAL INC	P	2012-12-10	2013-11-01

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
7 SHARES OF MCGRAW HILL FINANCIAL INC	P	2012-12-11	2013-11-01
21 SHARES OF NORDSTROM INC	P	2012-08-17	2013-11-14
7 SHARES OF NORDSTROM INC	P	2012-08-17	2013-11-14
4 SHARES OF NORDSTROM INC	P	2012-08-17	2013-11-14
33 SHARES OF L BRANDS, INC	P	2012-08-17	2013-11-20
4 SHARES OF NORDSTROM INC	P	2012-08-17	2013-11-20
4 SHARES OF NORDSTROM INC	P	2012-08-17	2013-11-21
2 SHARES OF NORDSTROM INC	P	2012-08-17	2013-11-21
2 SHARES OF NORDSTROM INC	P	2012-08-17	2013-11-21
2 SHARES OF NORDSTROM INC	P	2012-08-17	2013-11-22
2 SHARES OF NORDSTROM INC	P	2012-08-17	2013-11-22
2 SHARES OF NORDSTROM INC	P	2012-08-17	2013-11-22
4 SHARES OF NORDSTROM INC	P	2012-08-17	2013-11-22
168 SHARES OF MOLEX INCORPORATED	P	2012-08-17	2013-11-25
3 SHARES OF NORDSTROM INC	P	2012-08-17	2013-11-25
3 SHARES OF NORDSTROM INC	P	2012-08-17	2013-11-26
2 SHARES OF NORDSTROM INC	P	2012-08-17	2013-11-26
4 SHARES OF TIME WARNER INC	P	2012-12-19	2013-11-27
6 SHARES OF TIME WARNER INC	P	2012-12-19	2013-11-27
8 SHARES OF TIME WARNER INC	P	2012-12-19	2013-11-27
6 SHARES OF TIME WARNER INC	P	2012-12-19	2013-11-27
2 SHARES OF TIME WARNER INC	P	2012-12-19	2013-11-29
4 SHARES OF TIME WARNER INC	P	2012-12-19	2013-11-29
11 SHARES OF TIME WARNER INC	P	2012-08-17	2013-12-02
11 SHARES OF TIME WARNER INC	P	2012-08-17	2013-12-02
18 SHARES OF TIME WARNER INC	P	2012-12-19	2013-12-02
5 SHARES OF TIME WARNER INC	P	2012-08-17	2013-12-02
164 SHARES OF MOLEX INCORPORATED	P	2012-08-17	2013-12-09
2 SHARES OF AT&T INC	P	2012-02-08	2013-12-11
2 SHARES OF AT&T INC	P	2012-02-08	2013-12-11

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
25 SHARES OF AT&T INC	P	2012-02-08	2013-12-11
61565 523 SHARES OF DOUBLELINE TOTAL RET BD-I	P	2012-08-23	2013-12-13
29535 865 SHARES OF JPM STRAT INCOME OPPORT FD - SEL	P	2013-06-28	2013-12-13
14830 798 SHARES OF PIMCO TOTAL RETURN FUND-P	P	2012-09-24	2013-12-13
16071 429 SHARES OF PIMCO TOTAL RETURN FUND-P	P	2013-02-22	2013-12-13
4 SHARES OF AT&T INC	P	2012-02-08	2013-12-12
50 SHARES OF AT&T INC	P	2012-02-08	2013-12-12
39 SHARES OF AT&T INC	P	2012-02-08	2013-12-13
7 SHARES OF XCEL ENERGY INC	P	2013-06-14	2013-12-13
17 SHARES OF XCEL ENERGY INC	P	2013-06-14	2013-12-13
2 SHARES OF XCEL ENERGY INC	P	2013-06-14	2013-12-13
11 SHARES OF XCEL ENERGY INC	P	2013-06-14	2013-12-13
23 SHARES OF XCEL ENERGY INC	P	2013-06-17	2013-12-13
9 SHARES OF XCEL ENERGY INC	P	2013-06-17	2013-12-13
10 SHARES OF XCEL ENERGY INC	P	2013-06-17	2013-12-13
8 SHARES OF XCEL ENERGY INC	P	2013-06-17	2013-12-13
62 SHARES OF AT&T INC	P	2012-02-08	2013-12-16
31 SHARES OF XCEL ENERGY INC	P	2013-06-07	2013-12-16
6 SHARES OF XCEL ENERGY INC	P	2013-06-10	2013-12-16
17 SHARES OF XCEL ENERGY INC	P	2013-06-10	2013-12-16
17 SHARES OF XCEL ENERGY INC	P	2013-06-13	2013-12-16
26 SHARES OF XCEL ENERGY INC	P	2013-06-13	2013-12-16
2 SHARES OF XCEL ENERGY INC	P	2013-06-14	2013-12-16
7 SHARES OF XCEL ENERGY INC	P	2013-06-14	2013-12-16
2 SHARES OF XCEL ENERGY INC	P	2013-06-14	2013-12-16
18 SHARES OF XCEL ENERGY INC	P	2013-06-17	2013-12-16
2 SHARES OF XCEL ENERGY INC	P	2013-06-18	2013-12-16
8 SHARES OF XCEL ENERGY INC	P	2013-06-18	2013-12-16
5 SHARES OF XCEL ENERGY INC	P	2013-06-18	2013-12-16
2 SHARES OF XCEL ENERGY INC	P	2013-06-18	2013-12-16

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
7 SHARES OF XCEL ENERGY INC	P	2013-06-18	2013-12-16
7 SHARES OF XCEL ENERGY INC	P	2013-06-19	2013-12-16
17 SHARES OF XCEL ENERGY INC	P	2013-06-19	2013-12-16
6 SHARES OF AT&T INC	P	2012-02-08	2013-12-17
75 SHARES OF AT&T INC	P	2012-02-08	2013-12-17
12 SHARES OF AT&T INC	P	2012-02-08	2013-12-17
4 SHARES OF XCEL ENERGY INC	P	2013-06-10	2013-12-17
1 SHARES OF XCEL ENERGY INC	P	2013-06-10	2013-12-17
5 SHARES OF XCEL ENERGY INC	P	2013-06-10	2013-12-17
19 SHARES OF XCEL ENERGY INC	P	2013-06-07	2013-12-17
28 SHARES OF XCEL ENERGY INC	P	2013-06-10	2013-12-17
12 SHARES OF XCEL ENERGY INC	P	2013-06-10	2013-12-17
7 SHARES OF XCEL ENERGY INC	P	2013-06-10	2013-12-17
24 SHARES OF XCEL ENERGY INC	P	2013-06-11	2013-12-17
6 SHARES OF XCEL ENERGY INC	P	2013-06-10	2013-12-17
4 SHARES OF XCEL ENERGY INC	P	2013-06-10	2013-12-17
2 SHARES OF XCEL ENERGY INC	P	2013-06-10	2013-12-17
3 SHARES OF XCEL ENERGY INC	P	2013-06-10	2013-12-18
22 SHARES OF XCEL ENERGY INC	P	2013-06-11	2013-12-18
7 SHARES OF XCEL ENERGY INC	P	2013-06-11	2013-12-18
3 SHARES OF XCEL ENERGY INC	P	2013-06-11	2013-12-18
22 SHARES OF XCEL ENERGY INC	P	2013-06-19	2013-12-18
4215 879 SHARES OF JPM INTL CURR INCOME FD - SEL	P	2012-09-24	2013-12-26
16071 429 SHARES OF JPM INTL CURR INCOME FD - SEL	P	2013-02-22	2013-12-26
13 SHARES OF NORDSTROM INC	P	2012-08-17	2013-12-23
19 SHARES OF NORDSTROM INC	P	2012-08-17	2013-12-23
4 SHARES OF NORDSTROM INC	P	2012-08-17	2013-12-24
6 SHARES OF NORDSTROM INC	P	2012-08-17	2013-12-24
13 SHARES OF NORDSTROM INC	P	2012-08-17	2013-12-26
13 SHARES OF NORDSTROM INC	P	2012-08-17	2013-12-27

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
2 SHARES OF NORDSTROM INC	P	2012-08-17	2013-12-27
2 SHARES OF NORDSTROM INC	P	2012-08-17	2013-12-30
29 SHARES OF NORDSTROM INC	P	2012-08-17	2013-12-30
27 SHARES OF NORDSTROM INC	P	2012-08-17	2013-12-31
9 SHARES OF NORDSTROM INC	P	2012-08-17	2013-12-31

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,455			1,455
3,289		3,040	249
176,897		164,397	12,500
88,448		86,068	2,380
88,448		83,971	4,477
1,386		1,514	-128
1,163		1,328	-165
4,220		4,243	-23
2,653		2,640	13
2,187		1,983	204
2,796		2,018	778
3,066		3,161	-95
2,386		2,236	150
5,367		5,716	-349
1,982		2,065	-83
1,348		1,412	-64
9,613		10,452	-839
4,928		5,341	-413
6,008		6,222	-214
5,977		4,933	1,044
641		540	101
3,649		3,072	577
1,049		878	171
1,089		841	248
3,275		2,897	378
6,576		7,165	-589
3,347		2,811	536
4,833		5,252	-419
3,256		1,839	1,417
2,742		2,622	120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,288		1,740	548
878		648	230
2,143		1,671	472
429		341	88
2,409		1,500	909
3,417		2,436	981
4,854		3,656	1,198
3,305		2,226	1,079
6,599		4,626	1,973
6,485		4,589	1,896
1,755		1,014	741
6,580		4,333	2,247
2,225		1,043	1,182
2,185		2,835	-650
1,908		1,294	614
978		470	508
684		355	329
3,188		1,109	2,079
1,081		1,983	-902
245		460	-215
1,394		2,581	-1,187
1,408		2,236	-828
360		607	-247
6,397		8,589	-2,192
3,262		2,570	692
8,014		6,018	1,996
2,988		3,112	-124
4,707		5,051	-344
81		87	-6
379		408	-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
3,445		3,700	-255
244		262	-18
1,799		1,923	-124
329		350	-21
82		87	-5
82		87	-5
81		87	-6
109		117	-8
163		175	-12
82		87	-5
245		262	-17
848		903	-55
301		320	-19
82		87	-5
380		408	-28
136		146	-10
300		320	-20
164		175	-11
353		379	-26
7,993		6,283	1,710
1,002		1,070	-68
730		765	-35
730		765	-35
2,612		1,944	668
2,101		2,069	32
3,182		3,318	-136
951		990	-39
2,144		2,228	-84
5,513		5,308	205
255,597		250,000	5,597

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
254,647		250,000	4,647
4,710		7,463	-2,753
3,533		4,316	-783
923		1,151	-228
1,308		1,611	-303
6,909		6,473	436
3,529		3,100	429
3,520		3,745	-225
5,770		4,745	1,025
3,714		1,428	2,286
6,157		6,298	-141
5,887		5,560	327
3,460		3,268	192
1,242		1,142	100
2,045		1,866	179
1,290		1,175	115
4,424		2,778	1,646
3,145		3,113	32
4,328		2,486	1,842
6,338		5,882	456
2,265		1,962	303
4,421		2,778	1,643
1,503		473	1,030
1,149		709	440
542		531	11
854		1,293	-439
3,841		5,066	-1,225
7,256		2,688	4,568
2,982		2,588	394
1,305		1,128	177

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,893		2,834	59
4,771		4,148	623
3,208		3,144	64
5,290		4,612	678
1,301		1,284	17
1,115		962	153
1,660		1,460	200
673		597	76
7,103		6,021	1,082
1,269		726	543
876		500	376
1,802		1,033	769
875		500	375
424		242	182
481		274	207
3,085		2,701	384
1,070		936	134
1,935		1,430	505
3,145		2,398	747
4,555		1,558	2,997
6,519		5,511	1,008
1,618		1,435	183
992		838	154
418		370	48
4,074		3,439	635
2,612		2,341	271
1,927		1,510	417
1,283		1,007	276
86		67	19
1,375		1,074	301

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
860		671	189
1,460		1,141	319
1,280		1,007	273
552		436	116
3,226		2,903	323
346		310	36
5,470		5,150	320
1,637		1,474	163
6,506		5,001	1,505
474		404	70
339		288	51
2,584		2,306	278
2,879		2,451	428
5,266		4,441	825
2,577		2,163	414
426		378	48
327		268	59
110		89	21
3,129		2,505	624
280		224	56
224		179	45
36		34	2
536		508	28
107		102	5
536		510	26
36		35	1
71		68	3
107		102	5
357		339	18
286		273	13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
36		35	1
71		70	1
36		35	1
143		140	3
71		70	1
823		743	80
107		101	6
322		305	17
286		271	15
1,577		1,422	155
2,297		2,068	229
216		211	5
144		141	3
36		35	1
144		140	4
72		70	2
180		175	5
6,423		4,599	1,824
3,118		2,651	467
425		362	63
4,647		3,947	700
1,214		999	215
1,013		833	180
304		250	54
1,012		866	146
283		242	41
976		1,012	-36
3,194		3,313	-119
680		572	108
934		783	151

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
576		482	94
1,203		999	204
1,245		1,067	178
330		282	48
621		644	-23
312		322	-10
534		552	-18
2,000		1,666	334
1,245		1,051	194
94		81	13
423		354	69
188		159	29
963		806	157
612		511	101
235		142	93
330		199	131
71		59	12
118		71	47
47		28	19
283		171	112
566		341	225
401		242	159
283		171	112
236		142	94
517		313	204
235		142	93
447		270	177
1,176		711	465
1,455		881	574
235		142	93

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
7,209		5,184	2,025
3,426		3,241	185
5,112		4,851	261
6,374		2,420	3,954
329		199	130
47		28	19
47		28	19
914		554	360
1,483		1,104	379
4,005		4,142	-137
427		415	12
1,636		1,588	48
1,138		1,119	19
498		492	6
285		280	5
356		353	3
72,918		78,408	-5,490
277,082		300,000	-22,918
11,960		9,101	2,859
1,709		1,658	51
2,657		1,935	722
4,563		3,039	1,524
3,216		2,269	947
613		467	146
3,446		2,628	818
300,000		302,698	-2,698
863		620	243
259		186	73
260		186	74
3,907		3,441	466

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
840		620	220
129		93	36
536		497	39
644		597	47
1,320		993	327
130		93	37
389		279	110
2,264		2,089	175
542		497	45
1,553		1,426	127
88		77	11
88		77	11
179		166	13
825		763	62
250,170		232,846	17,324
249,830		250,000	-170
535		463	72
312		270	42
108		99	9
539		497	42
649		597	52
830		763	67
534		463	71
577		531	46
224		193	31
3,111		1,951	1,160
437		386	51
221		193	28
7,059		5,850	1,209
217		193	24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
1,480		1,245	235
87		77	10
4,172		3,485	687
852		772	80
85		77	8
85		77	8
2,665		2,240	425
629		579	50
84		77	7
211		193	18
218		187	31
619		579	40
909		849	60
207		193	14
363		311	52
206		193	13
289		249	40
289		270	19
83		77	6
4,119		3,188	931
1,682		1,569	113
617		579	38
288		270	18
1,425		1,056	369
1,944		1,719	225
1,458		1,343	115
1,750		532	1,218
2,541		2,381	160
123		116	7
83		77	6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
414		386	28
124		116	8
82		77	5
206		193	13
123		116	7
286		270	16
133		146	-13
133		146	-13
133		147	-14
133		148	-15
133		147	-14
133		147	-14
4,471		4,673	-202
598		471	127
420		368	52
1,216		1,062	154
1,135		854	281
533		514	19
2,286		2,264	22
199		141	58
2,037		1,810	227
1,433		1,130	303
799		695	104
457		342	115
1,508		1,440	68
1,686		1,664	22
1,176		1,034	142
1,188		942	246
935		817	118
187		163	24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
455		342	113
1,143		854	289
535		514	21
399		282	117
475		420	55
1,067		847	220
92		82	10
139		123	16
3,722		2,789	933
457		342	115
317		309	8
2,373		2,035	338
198		141	57
199		141	58
1,267		1,131	136
187		163	24
199		141	58
842		735	107
1,321		1,303	18
279		245	34
385		282	103
478		352	126
383		282	101
683		493	190
196		141	55
3,627		2,378	1,249
186		121	65
3,214		2,608	606
2,203		1,469	734
230		155	75

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
2,753		2,049	704
592		422	170
395		282	113
2,031		1,808	223
296		211	85
2,251		1,974	277
1,147		1,011	136
708		199	509
1,420		399	1,021
192		124	68
97		62	35
2,422		1,551	871
918		734	184
213		169	44
71		57	14
3,269		1,986	1,283
350		100	250
701		199	502
946		266	680
429		279	150
954		620	334
210		169	41
70		56	14
1,329		1,073	256
1,469		1,186	283
1,899		1,816	83
4,889		4,670	219
3,585		3,416	169
814		527	287
141		113	28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
492		395	97
1,326		1,204	122
443		401	42
253		229	24
2,124		1,634	490
248		229	19
248		229	19
124		115	9
124		115	9
124		115	9
124		115	9
124		115	9
248		229	19
6,481		4,604	1,877
187		172	15
189		172	17
126		115	11
262		191	71
396		287	109
530		383	147
395		287	108
132		96	36
265		191	74
716		469	247
717		469	248
1,174		861	313
328		213	115
6,344		4,494	1,850
68		60	8
68		60	8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
850		753	97
669,217		699,984	-30,767
351,477		350,000	1,477
159,283		171,592	-12,309
172,607		180,000	-7,393
136		121	15
1,696		1,507	189
1,316		1,175	141
194		207	-13
471		502	-31
55		59	-4
305		324	-19
637		677	-40
249		266	-17
278		296	-18
222		237	-15
2,116		1,868	248
869		904	-35
168		175	-7
476		495	-19
476		496	-20
729		757	-28
56		59	-3
196		206	-10
56		59	-3
504		530	-26
56		58	-2
224		234	-10
140		147	-7
56		59	-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
196		205	-9
196		205	-9
476		499	-23
203		181	22
2,537		2,260	277
407		362	45
112		117	-5
28		29	-1
139		146	-7
530		552	-22
781		814	-33
335		349	-14
195		203	-8
669		697	-28
168		174	-6
112		116	-4
56		58	-2
84		87	-3
613		638	-25
195		203	-8
84		87	-3
613		638	-25
46,670		47,302	-632
177,911		180,000	-2,089
801		745	56
1,170		1,089	81
245		229	16
369		344	25
803		745	58
798		745	53

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
123		115	8
123		115	8
1,794		1,662	132
1,671		1,547	124
558		516	42

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,455
			249
			12,500
			2,380
			4,477
			-128
			-165
			-23
			13
			204
			778
			-95
			150
			-349
			-83
			-64
			-839
			-413
			-214
			1,044
			101
			577
			171
			248
			378
			-589
			536
			-419
			1,417
			120

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			548
			230
			472
			88
			909
			981
			1,198
			1,079
			1,973
			1,896
			741
			2,247
			1,182
			-650
			614
			508
			329
			2,079
			-902
			-215
			-1,187
			-828
			-247
			-2,192
			692
			1,996
			-124
			-344
			-6
			-29

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-255
			-18
			-124
			-21
			-5
			-5
			-6
			-8
			-12
			-5
			-17
			-55
			-19
			-5
			-28
			-10
			-20
			-11
			-26
			1,710
			-68
			-35
			-35
			668
			32
			-136
			-39
			-84
			205
			5,597

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			4,647
			-2,753
			-783
			-228
			-303
			436
			429
			-225
			1,025
			2,286
			-141
			327
			192
			100
			179
			115
			1,646
			32
			1,842
			456
			303
			1,643
			1,030
			440
			11
			-439
			-1,225
			4,568
			394
			177

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			59
			623
			64
			678
			17
			153
			200
			76
			1,082
			543
			376
			769
			375
			182
			207
			384
			134
			505
			747
			2,997
			1,008
			183
			154
			48
			635
			271
			417
			276
			19
			301

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			189
			319
			273
			116
			323
			36
			320
			163
			1,505
			70
			51
			278
			428
			825
			414
			48
			59
			21
			624
			56
			45
			2
			28
			5
			26
			1
			3
			5
			18
			13

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1
			1
			1
			3
			1
			80
			6
			17
			15
			155
			229
			5
			3
			1
			4
			2
			5
			1,824
			467
			63
			700
			215
			180
			54
			146
			41
			-36
			-119
			108
			151

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			94
			204
			178
			48
			-23
			-10
			-18
			334
			194
			13
			69
			29
			157
			101
			93
			131
			12
			47
			19
			112
			225
			159
			112
			94
			204
			93
			177
			465
			574
			93

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			2,025
			185
			261
			3,954
			130
			19
			19
			360
			379
			-137
			12
			48
			19
			6
			5
			3
			-5,490
			-22,918
			2,859
			51
			722
			1,524
			947
			146
			818
			-2,698
			243
			73
			74
			466

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			220
			36
			39
			47
			327
			37
			110
			175
			45
			127
			11
			11
			13
			62
			17,324
			-170
			72
			42
			9
			42
			52
			67
			71
			46
			31
			1,160
			51
			28
			1,209
			24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			235
			10
			687
			80
			8
			8
			425
			50
			7
			18
			31
			40
			60
			14
			52
			13
			40
			19
			6
			931
			113
			38
			18
			369
			225
			115
			1,218
			160
			7
			6

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			28
			8
			5
			13
			7
			16
			-13
			-13
			-14
			-15
			-14
			-14
			-202
			127
			52
			154
			281
			19
			22
			58
			227
			303
			104
			115
			68
			22
			142
			246
			118
			24

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			113
			289
			21
			117
			55
			220
			10
			16
			933
			115
			8
			338
			57
			58
			136
			24
			58
			107
			18
			34
			103
			126
			101
			190
			55
			1,249
			65
			606
			734
			75

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			704
			170
			113
			223
			85
			277
			136
			509
			1,021
			68
			35
			871
			184
			44
			14
			1,283
			250
			502
			680
			150
			334
			41
			14
			256
			283
			83
			219
			169
			287
			28

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			97
			122
			42
			24
			490
			19
			19
			9
			9
			9
			9
			9
			19
			1,877
			15
			17
			11
			71
			109
			147
			108
			36
			74
			247
			248
			313
			115
			1,850
			8
			8

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			97
			-30,767
			1,477
			-12,309
			-7,393
			15
			189
			141
			-13
			-31
			-4
			-19
			-40
			-17
			-18
			-15
			248
			-35
			-7
			-19
			-20
			-28
			-3
			-10
			-3
			-26
			-2
			-10
			-7
			-3

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			-9
			-9
			-23
			22
			277
			45
			-5
			-1
			-7
			-22
			-33
			-14
			-8
			-28
			-6
			-4
			-2
			-3
			-25
			-8
			-3
			-25
			-632
			-2,089
			56
			81
			16
			25
			58
			53


Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			8
			8
			1 3 2
			1 2 4
			4 2


Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

GORDON BINDER
ADELE BINDER

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
AMERICAN ENTERPRISE INSTITUTE FOR PUBLIC POLICY RESEARCH 1150 SEVENTEENTH STREET NW WASHINGTON,DC 20036	NONE	PUBLIC CHARITABLE OR	DONATION	450,000
CEDARS-SINAI MEDICAL CENTER 8700 BEVERLY BLVD SUITE 2416 LOS ANGELES,CA 90048	NONE	PUBLIC CHARITABLE OR	DONATION	1,500
CHILDREN'S HOSPITAL BOSTON 401 PARK DRIVE SUITE 602 BOSTON,MA 02215	NONE	PUBLIC CHARITABLE OR	DONATION	50,000
COMMUNITY PARTNERS FBO BABY2BABY 1000 NORTH ALAMEDA STREET SUITE 240 LOS ANGELES,CA 90012	NONE	PUBLIC CHARITABLE OR	DONATION	1,800
DEXTER SCHOOL 20 NEWTON STREET BROOKLINE,MA 024457498	NONE	PUBLIC CHARITABLE OR	DONATION	25,000
DREAM STREET 433 N CAMDEN DRIVE BEVERLY HILLS,CA 90210	NONE	PUBLIC CHARITABLE OR	DONATION	2,000
EVERYCHILD FOUNDATION PO BOX 1808 PACIFIC PALISADES,CA 90272	NONE	PUBLIC CHARITABLE OR	DONATION	15,000
FOSTER CARE COUNTS 11111 SANTA MONICA BLVD SUITE 1650 LOS ANGELES,CA 90025	NONE	PUBLIC CHARITABLE OR	DONATION	1,000
INSTITUTE OF CONTEMPORARY ART 100 NORTHERN AVENUE BOSTON,MA 02210	NONE	PUBLIC CHARITABLE OR	DONATION	65,000
ISABELLA STEWART GARDNER MUSEUM 280 THE FENWAY BOSTON,MA 02115	NONE	PUBLIC CHARITABLE OR	DONATION	25,000
MUSIC CENTER 135 NORTH GRAND AVENUE LOS ANGELES,CA 90012	NONE	PUBLIC CHARITABLE OR	DONATION	50,000
THE CHESTNUT HILL SCHOOL 428 HAMMOND STREET CHESTNUT HILL,MA 024671229	NONE	PUBLIC CHARITABLE OR	DONATION	25,000
THE HEART PROJECT 1140 NORTH CITRUS AVENUE LOS ANGELES,CA 90038	NONE	PUBLIC CHARITABLE OR	DONATION	10,000
THE HUNTINGTON 1151 OXFORD ROAD SAN MARINO,CA 91108	NONE	PUBLIC CHARITABLE OR	DONATION	110,500
THE MEADOWBROOK SCHOOL 10 FARM ROAD WESTON,MA 02493	NONE	PUBLIC CHARITABLE OR	DONATION	40,000
Total  3a				1,102,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
UNIVERSITY OF SOUTHERN CALIFORNIA ANNUAL FUND UNIVERSITY PARK CAMPUS LOS ANGELES,CA 900894017	NONE	PUBLIC CHARITABLE OR	DONATION	500
VINCENT MEMORIAL HOSPITAL 55 FRUIT STREET BOSTON,MA 021142792	NONE	PUBLIC CHARITABLE OR	DONATION	25,000
WILSHIRE BOULEVARD TEMPLE 3663 WILSHIRE BLVD LOS ANGELES,CA 900102798	NONE	PUBLIC CHARITABLE OR	DONATION	4,900
YMCA OF GREATER BOSTON 316 HUNTINGTON AVENUE BOSTON,MA 02115	NONE	PUBLIC CHARITABLE OR	DONATION	20,000
PASADENA HUMANE SOCIETY & SPCA 361 SOUTH RAYMOND AVENUE PASADENA,CA 91105	NONE	PUBLIC CHARITABLE OR	DONATION	50,000
THE GABRIELLA FOUNDATION 639 S COMMONWEALTH AVE STE B LOS ANGELES,CA 90005	NONE	PUBLIC CHARITABLE OR	DONATION	200
HUNTINGTON HOSPITAL 100 W CALIFORNIA BOULEVARD PASADENA,CA 91109	NONE	PUBLIC CHARITABLE OR	DONATION	25,000
AUTISM SPEAKS 6330 SAN VICENTE BLVD SUITE 401 LOS ANGELES,CA 90048	NONE	PUBLIC CHARITABLE OR	DONATION	50
THE FELIX ORGANIZATION 43 OYSTER BAY ROAD LOCUST VALLEY,NY 11560	NONE	PUBLIC CHARITABLE OR	DONATION	13,000
ONE VOICE 1228 15TH STREET SUITE C SANTA MONICA,CA 90404	NONE	PUBLIC CHARITABLE OR	DONATION	1,050
FRED HUTCHINSON CANCER RESEARCH CENTER 1100 FAIRVIEW AVE N LM-120 SEATTLE,WA 98109	NONE	PUBLIC CHARITABLE OR	DONATION	10,000
ENTERTAINMENT INDUSTRY FOUNDATION 1900 AVENUE OF THE STARS SUITE 1400 LOS ANGELES,CA 90067	NONE	PUBLIC CHARITABLE OR	DONATION	200
LELAND STANFORD JUNIOR UNIVERSITY 326 GALVEZ STREET STANFORD,CA 94305	NONE	PUBLIC CHARITABLE OR	DONATION	100
PENTACLE THEATRE INC 324 52ND AVE NW SALEM,OR 97304	NONE	PUBLIC CHARITABLE OR	DONATION	50
LOS ANGELES PHILHARMONIC 2301 N HIGHLAND AVE LOS ANGELES,CA 90068	NONE	PUBLIC CHARITABLE OR	DONATION	4,450
Total  3a				1,102,900

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
DANA HALL SCHOOL 45 DANA ROAD WELLESLEY,MA 02482	NONE	PUBLIC CHARITABLE OR	DONATION	25,000
OPTIMIST INTERNATIONAL 10020 CANTERBURY FARMS COURT ST LOUIS,MO 63128	NONE	PUBLIC CHARITABLE OR	DONATION	500
THE JEWISH FEDERATION OF GREATER LOS ANGELES 6505 WILSHIRE BLVD LOS ANGELES,CA 90048	NONE	PUBLIC CHARITABLE OR	DONATION	200
LOS ANGELES OPERA 135 NORTH GRAND AVENUE LOS ANGELES,CA 90012	NONE	PUBLIC CHARITABLE OR	DONATION	25,000
MAYFIELD JUNIOR SCHOOL 405 SOUTH EUCLID AVENUE PASADENA,CA 91101	NONE	PUBLIC CHARITABLE OR	DONATION	25,000
LOS ANGELES TEAM MENTORING 714 WOLYMPIC BLVD SUITE 640 LOS ANGELES,CA 90015	NONE	PUBLIC CHARITABLE OR	DONATION	900
Total			3a	1,102,900

TY 2013 Accounting Fees Schedule

Name: BINDER FOUNDATION

EIN: 95-4635806

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PRICEWATERHOUSECOOPERS, LLP	2,222	1,667		555

**TY 2013 Investments Corporate
Stock Schedule****Name:** BINDER FOUNDATION**EIN:** 95-4635806

Name of Stock	End of Year Book Value	End of Year Fair Market Value
JP MORGAN A/C# W71404-00-3	2,520,751	3,124,495
JP MORGAN A/C# A75293-00-0	501,385	689,877
JP MORGAN A/C# A75940-00-6	9,120,634	10,699,329

TY 2013 Investments - Other Schedule**Name:** BINDER FOUNDATION**EIN:** 95-4635806

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
MS GLOBAL SEC OPP FUND	AT COST	489,624	768,510
JP MORGAN OFFSHORE HEDGE FUNDS	AT COST	1,850,000	2,081,642
JP MORGAN A/C# A75940-00-6 - OTHER ASSETS	AT COST	1,865,000	1,805,126

TY 2013 Other Assets Schedule

Name: BINDER FOUNDATION

EIN: 95-4635806

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
DUE FROM STOCKBROKER	100	5,570	5,570

TY 2013 Other Expenses Schedule**Name:** BINDER FOUNDATION**EIN:** 95-4635806

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FRANCHISE TAX BOARD	10	0		10
ATTORNEY GENERAL'S REGISTRY OF CHARITABLE TRUSTS	150	0		150
OTHER DEDUCTION	300	0		0

TY 2013 Other Income Schedule

Name: BINDER FOUNDATION

EIN: 95-4635806

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
MISC SEC LIT DISTRIBUTIONS	11	11	11

TY 2013 Other Professional Fees Schedule**Name:** BINDER FOUNDATION**EIN:** 95-4635806

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT EXPENSES - JP MORGAN A/C # A75940-00-6	58,123	58,123		0
INVESTMENT EXPENSES - JP MORGAN A/C # A75293-00-0	7,882	7,882		0

TY 2013 Taxes Schedule

Name: BINDER FOUNDATION

EIN: 95-4635806

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	75	75		0